

### Memo

#### June 5, 2019

- To: Consensus Standards Approval Committee (CSAC)
- From: Behavioral Health and Substance Use Project Team
- Re: Behavioral Health and Substance Use, Fall 2018 Measure Review Cycle

#### **CSAC Action Required**

The CSAC will review recommendations from the Behavioral Health and Substance Use Standing Committee at its June 5-6, 2019 meeting and vote on whether to uphold the recommendations from the Committee.

This memo includes a summary of the project, measure recommendations, themes identified and responses to the public and member comments and the results from the NQF member expression of support. The following documents accompany this memo:

- Behavioral Health and Substance Use Fall 2018 Cycle Draft Report. The draft report has been updated to reflect the changes made following the Standing Committee's discussion of public and member comments. The complete draft report and supplemental materials are available on the project webpage.
- <u>Comment Table</u>. Staff has identified themes within the comments received. This table lists 17 comments received during the post-meeting comment period and the NQF and Standing Committee responses.

#### Background

The Behavioral Health and Substance Use project aims to endorse measures of accountability for improving the delivery of behavioral health and substance use services and achieving better health outcomes for the U.S. population. The 23-member Standing Committee oversees NQF's portfolio of Behavioral Health and Substance Use measures that includes measures pertaining to serious mental illnesses (e.g., schizophrenia, mania, major depression), dysthymia, anxiety, ADHD and other learning behavioral problems, alcohol and illegal drug use, tobacco dependence, care coordination (between and within the spheres of psychiatric, substance use, and related physical illness), medication use, and patient care experience. This portfolio contains 54 measures: 45 process measures, eight outcome and resource use measures, and one composite measure. The Standing Committee evaluated two newly submitted measures and two measures undergoing maintenance review against NQF's standard evaluation criteria.

#### **Draft Report**

The Behavioral Health and Substance Use Fall 2018 Cycle draft report presents the results of the evaluation of four measures considered under the Consensus Development Process (CDP). Three measures are recommended for endorsement, and one measure is not recommended.

	Maintenance	New	Total
Measures under consideration	2	2	4
Measures recommended for endorsement	2	1	3
Measures not recommended for endorsement	0	1	1
Reasons for not recommending	Importance - 0 Scientific Acceptability - 0 Use - 0 Overall - 0 Competing Measure - 0	Importance – 1 Scientific Acceptability - 0 Use - 0 Overall - 0 Competing Measure – 0	1

The measures were evaluated against the 2018 version of the measure evaluation criteria.

#### **CSAC Action Required**

Pursuant to the CDP, the CSAC is asked to consider endorsement of three candidate consensus measures.

#### Measures Recommended for Endorsement

• 0004 Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment (National Committee for Quality Assurance (NCQA))

Overall Suitability for Endorsement: Yes-18; No-0

• 2152 Preventive Care and Screening: Unhealthy Alcohol Use: Screening & Brief Counseling (Physician Consortium for Performance Improvement (PCPI))

Overall Suitability for Endorsement: Yes-16; No-2

• 3453 Continuity of Care After Inpatient or Residential Treatment for Substance Use Disorder (SUD) (Centers for Medicare & Medicaid Services (CMS)/ Mathematica)

Overall Suitability for Endorsement: Yes-18; No-0

#### Measure Not Recommended for Endorsement

(See <u>Appendix B</u> for the Committee's votes and rationale)

• 3451 Non-Acute Mental Health Services Utilization for Dual Eligible Beneficiaries (CMS/Mathematica)

#### **Comments and Their Disposition**

NQF received 17 comments from 5 organizations (including four member organizations) and individuals pertaining to the draft report and to the measures under consideration.

A table of comments submitted during the comment period, with the responses to each comment and the actions taken by the Standing Committee and measure developers, is posted to the Behavioral Health and Substance Use <u>project webpage</u>.

#### Comment Themes and Committee Responses

Comments about specific measure specifications and rationale were forwarded to the developers, who were invited to respond.

The Standing Committee reviewed all of the submitted comments (general and measure specific) and developer responses. Committee members focused their discussion on measures or topic areas with the most significant and recurring issues.

#### Themed Comments

#### Theme 1 – Measure Specification Considerations

Six comments specific to measure specifications were received for measures 0004 *Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment* (NCQA) and 3453 *Continuity of Care After Inpatient or Residential Treatment for Substance Use Disorder (SUD)* (CMS)/ Mathematica). Some commenters supported the continued endorsement of the measures but expressed concerns about changes in the specifications. For measure 0004, a commenter noted concern that the post-initiation engagement period was extended from 30 to 34 days and separately suggested measuring the frequency of persons who refused treatment to consider how impactful this denominator exclusion step is on intent-to-treat statistics.

Another commenter supported the update of the engagement period from 30 to 34 days, but requested clarification on why the decision was reached. The commenter also suggested the measure be updated to reflect DSM-5 terminology ("substance use disorder" rather than "abuse" or "dependence") and disagreed that a psychotherapeutic or therapeutic code should be required with a MAT-approved medication code to meet the initiation numerator. One comment noted support for the specification updates: inclusion of pharmacotherapy, inclusion of telehealth services, and extension of the post-initiation engagement period to 34 days.

For measure 3453, a commenter recommended that the developer include peer support services and case management as continuity of care visits in the numerator. Another commenter suggested that the specifications be harmonized with the related measures identified (e.g., follow-up time period). The commenter noted that existing measures that focus on follow-up could be re-specified to include patients with SUD and also expressed concern that the measure could potentially limit access to treatment for Medicaid beneficiaries if SUD is only specified as the primary condition.

#### **Committee Response**

The Committee generally agreed with the nature and direction of the comments and the developer responses to these comments. Moreover, consideration of the comments by the Committee did not change the previous recommendations for any of the measures.

#### **Developer Response**

3453: Continuity of Care After Inpatient or Residential Treatment for Substance Use Disorder (SUD) Peer support services are a growing resource with the potential to

enhance an individual's care experience and quality of care; however, based on a review of the literature, there is currently limited empirical evidence that peer support services for individuals with SUD are related to improved outcomes. We acknowledge that case management could count as continuity of care. We will review the evidence and consider adding peer support services and care coordination for the annual update.

We acknowledge the concerns about assessing whether appropriate follow-up care for those with substance use disorder (SUD) should occur as the primary condition alone. To clarify, for continuity (follow-up) services, the measure allows either a primary or secondary diagnosis of substance use disorder.

NQF 3453 is harmonized with related measures to the extent possible. Differences between NQF 3453 and other measures are the result of important conceptual differences between the measures, stakeholder input from a public comment period hosted by the Centers for Medicare & Medicaid Services, discussions with stakeholders, and input from the measure developer's technical expert panel (TEP). For example, NQF 3453 and to NQF 0576 Follow-Up After Hospitalization for Mental Illness (FUH) target different populations. NQF 3453 targets beneficiaries with SUD as a principal diagnosis and NQF 0576 FUH targets beneficiaries with mental illness as a principal diagnosis. In the original conceptualization of the measure, the measure developers considered 7and 30-day follow-up; however, based on the input received through stakeholder interviews, a public comment period, and from the TEP, the measure developers changed the specifications to 7- and 14-day follow-up to address concerns that beneficiaries would discontinue treatment if they were not immediately connected to follow-up care. Nonetheless, we agree that further efforts to harmonize across measures are important and that the set of related measures should be considered together.

**0004:** Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment During the 2017 re-evaluation of the Initiation and Engagement of Alcohol and Other Drug Abuse and Dependence Treatment measure, NCQA added the dispensing or administration of pharmacotherapy for the treatment of alcohol and opioid use disorders to the list of allowable services for the measure numerators. Guidelines for treatment for those with substance use disorders recommend early engagement in treatment but are not specific with regards to a timeframe. Current timeframes for initiation and engagement of treatment used in this measure are based on expert consensus. With the proposed addition of pharmacotherapy for the treatment of alcohol and opioid used disorders as appropriate treatment, NCQA proposed a slight increase in the engagement timeframe from 30 days to 34 days to account for scheduling of appropriate dosing for all included medications (including monthly injectables) as well as potential lags in claims processing. This proposed change was brought to NCQA expert panels and public comment, where it received strong support.

Thank you for your detailed commentary on this measure. APAF's suggestion to modify the language used in the measure from substance "abuse and dependence" to "substance use disorder" is one that is already underway at NCQA. As this small change

in nomenclature impacts multiple HEDIS measures, users can likely expect to see it reflected in the Initiation and Engagement measure during the next NQF endorsement cycle.

The purpose of the 2017 measure re-evaluation was to better align the measure with the most recent clinical practice guidelines for the treatment of patients with substance use disorders. Those guidelines recommend the use of medication-assisted treatment (MAT), or pharmacotherapy used in conjunction with psychosocial services, for the treatment of substance use disorders. In the coming years, NCQA will evaluate emerging evidence and guidelines to determine if it is appropriate to further modify this measure to allow for the use of pharmacotherapy alone to satisfy numerator requirements. NCQA will also look to evidence and our expert panels to determine if other measure criteria (e.g., negative lookback period) are still aligned with the most recent clinical guidelines and standard methodology.

#### (NQF Staff response per the specific comment above

Subsequent review of the specifications for the measure, that MAT alone—absent any corresponding therapeutic code—is already enough to count as a numerator event. As such it seems the comment is no longer relevant to the measure as specified.)

During the 2017 re-evaluation of the measure, NCQA also lengthened the "engagement" timeframe from 30 to 34 days. The rationale for this timeframe extension was to account for scheduling of appropriate dosing for all newly included medications (including monthly injectables) as well as potential lags in claims processing. This proposed change was brought to NCQA expert panels and public comment, where it received strong support.

Regarding your comment about screening members for substance use disorders, this is a comment that we have received in the past and one that we are interested in exploring further. NCQA currently maintains a measure, Unhealthy Alcohol Use Screening and Follow-Up, based on the USPSTF "B" recommendation statement that assesses the percentage of members 18 years of age and older who were screened for unhealthy alcohol use using a standardized instrument and, if screened positive, received appropriate follow-up care. At this time, however, we do not currently have any measures related to screening and referral to treatment for those with opioid or other drug use disorders. We look forward to the USPSTF completing its review on screening for drug use in the coming years.

Finally, with regard to your comment about a provider-level measure, we apologize for the confusion caused by the NQF submission form, we should have only checked "health plan" for NQF 0004. The commenter is correct that a provider-level version of the measure is used in the MIPs program and can be found here:

<u>https://ecqi.healthit.gov/system/files/ecqm/measures/CMS137v7.html</u>. This eMeasure has been specifically adapted and tested for use at the provider level.

#### Theme 2 – Data Limitations

Five commenters referenced data limitations for measures 0004 *Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment* (NCQA), 3453 *Continuity of Care After Inpatient or Residential Treatment for Substance Use Disorder (SUD)* (CMS/Mathematica), and 2152 *Preventive Care and Screening: Unhealthy Alcohol Use: Screening & Brief Counseling* (PCPI). Commenters for each of these measures noted concerns with using claims data as the primary and/or only data source.

For measure 0004 the commenter noted that health plans may not get claims early enough (e.g., if the initial diagnosis is made in the emergency department) to refer the appropriate patients to treatment in the timeframe required by the numerator.

For measure 3453, one commenter noted concerns with using Medicaid claims as the only data source because nonbillable services are covered by a variety of sources depending on the state. Other commenters noted that some of the services of interest may not be captured by claims data, especially for integrated clinics. Another commenter expressed that claims data do not provide enough clinical information to confirm the adequacy of follow-up care, and thus they suggested registry data as a potential source for more detailed information.

For measure 2152, commenters noted concerns that brief interventions are often not entered in claims since they are not typically billable or otherwise billed. The commenter also suggested cross-walking the measure with Screening, Brief Intervention, Referral, and Treatment (SBIRT) (for substance use disorder) codes.

#### **Committee Response**

Generally, the Committee agreed with the public comments and the corresponding responses from developers. The Committee did not seek to re-vote on any of the measures pursuant to the comments. The Committee did, however, take some exception to the comment which expressed concern that some services may be missed because they are "hidden" or otherwise not billed (e.g., because they are bundled with other services, or not covered). Though the Committee did understand the concern regarding "hidden" services, they were equally concerned that capturing such trivial (i.e., short duration or low intensity) services could result in 'errors of commissions' (i.e., excessive sensitivity) which might give accountable organizations credit for services that ultimately reflect low quality care. Consideration of bundled service impact on claims-based measures, further supports the Committee's interest in "care coordination" as an important measure concept, especially for behavioral health disorders.

#### **Developer Response**

3453 Continuity of Care After Inpatient or Residential Treatment for Substance Use Disorder (SUD). Any services (outpatient visit, telehealth encounter, and pharmacotherapy) that the integrated clinics bill to Medicaid will be included in the numerator. Moreover, same-day-as-discharge services (with the exception of pharmacotherapy) are not included in the numerator, so the fact that these services are not billable will not influence measure results. We will monitor opportunities to harmonize with related measures on which services should be included on the same day or day after discharge and revisit this issue in the annual update. We acknowledge that Medicaid data doesn't contain the level of detail found in clinical registries and that more fine-grained clinical information about the quality of the continuity service would be useful. In the future, a continuity of care measure based on electronic clinical data systems may be feasible. This Medicaid claims-based measure provides a feasible and important opportunity to understand and improve the extent to which states provide continuity of care to beneficiaries with SUD. We agree that there could be missing information because of out-of-pocket payment or grant funding, and that the extent of this issue will vary by state. Based on discussions with stakeholders, some states that are implementing alternate payment models or are funding services through state block grants are creating state specific strategies to track use of certain SUD services. Other states may choose to take a similar approach. Regardless, we agree that states should understand the service coverage and reimbursement policies within their state.

**2152 Preventive Care and Screening: Unhealthy Alcohol Use: Screening & Brief Counseling** The PCPI's measure development and maintenance projects are each guided by a content-specific technical expert panel (TEP). The TEPs are considering the addition of telehealth codes for future iterations of the measures, pending a discussion about the impact of making this change. The TEP wants to ensure that the use of telehealth codes is appropriate within the context of assessment and brief counseling for unhealthy alcohol use. We will ask our TEP to evaluate SBIRT codes and determine revisions to the measure specifications.

#### Theme 3 – Measure Gaps

One comment on the general draft report was received about gaps in measurement. The commenter advocated to designate serious mental illness (SMI) as a health disparities category, essentially building SMI into measure development as a diagnostic cluster and risk category. The commenter also noted the lack of measures related to quality of life, the use of antipsychotic long-acting injectable medications, the full continuum of treatment for people with SMI and/or SUD, and measures that rely on data sources beyond claims data.

#### **Committee Response**

The Committee understands the idea that persons with SMI should considered as a health disparities category noting for example that persons with SMI have substantial risk profiles compared to the general population that include high rates of tobacco use and high rates of victimization. However, the Committee is not supportive of SMI as a risk-adjustment category because it could give accountable organizations an excuse to withhold quality care for this particularly vulnerable population. The Committee agrees that quality of life and continuum of treatment (across age and disease stages) are important gap areas for BHSU measures.

In regards to long-acting injectables (LAIs) as a target of measurement science, some on the Committee agreed this was an important goal, but others expressed concern that not all SMI clients desired such treatments. Ultimately the LAI discussion converged on the idea that more measures need to be developed to facilitate and promote tailored therapies predicated upon patient choice/patient-centered care.

#### Measure-Specific Comments

### 3451 Non-Acute Mental Health Services Utilization for Dual Eligible Beneficiaries (Centers for Medicare & Medicaid Services)

Although commenters noted the dearth of measures available for the dual-eligible population, they supported the Standing Committee's decision not to recommend this measure for endorsement. One commenter noted the current specifications may not be linked to positive behavioral outcomes for it to be a useful quality measure.

#### **Committee Response**

The Committee agrees that measures for Medicare/Medicaid duals are important and that they presently represent a measurement 'gap.' The Committee did not change their decision to not recommend the measure for endorsement.

#### **Developer Response**

Thank you for your comment. We agree that the measure lacks specificity but note that this is by design to support the measure's intent to provide a metric of access to non-acute mental health services for individuals with a mental health need. During the development of the measure, we received feedback through a public comment period, expert work group meeting, and a technical expert panel meeting that a broad definition of mental health need was most appropriate for a measure intended to capture access to non-acute mental health services. We also received feedback from a technical expert panel that limiting the measure to capture only those mental health encounters where a mental health condition was listed as the primary diagnosis was an appropriate restriction on the sensitivity of the measure. This is because the measure is intended to capture only those encounters in which a mental health condition is actually treated. Reports from the field indicate that many providers use secondary or tertiary diagnosis fields to capture conditions that are present during an encounter but were not necessarily treated during that encounter.

### 2152: Preventive Care and Screening: Unhealthy Alcohol Use: Screening & Brief Counseling (PCPI Foundation)

One comment noted support for the measure concept but inquired about the level of analysis in which the measure should be used. The commenter also questioned whether the denominator *kappa* statistic value of 0.31 was enough to demonstrate reliability. Another comment expressed support for the measure as proposed.

#### Staff Response

NQF clarified that score-level reliability was high and sufficient to meet reliability criteria despite the low element-level kappa statistic.

#### **Committee Response**

The Standing Committee appreciates the comment but did not change their decision to recommend the measure for endorsement.

#### Measure Steward/Developer Response

While previous testing stated that the data were not captured at the physician level, more recent testing included in the testing attachment uses aggregated data that contain both individual provider level data as well as providers reporting as a group. The strong testing results using this dataset indicate that this measure can be used to publicly report performance for both group practices and solo practitioners. The reliability results referenced were also a part of previous testing done on the measure. The kappa statistic value of 0.31, defined as fair agreement, was an example of the limitation of the kappa statistic. While agreement can be high, if one classification category dominates, kappa can be significantly reduced. The testing attachment includes updated reliability using a signal to noise ratio which reports an overall average reliability of 0.98 out of 1.00 indicative of very high reliability. 1. (Warrens MJ, A Formal Proof of a Paradox Associated with Cohen's Kappa. Journal of Classification. 27:322-332, 2010; Feinstein AR, Cicchetti DV. High Agreement but Low Kappa: I. The Problems of Two Paradoxes. Journal of Clinical Epidemiology. 43:543-549, 1990)

#### **Member Expression of Support**

Throughout the 16-week continuous public commenting period, NQF members had the opportunity to express their support ("support" or "do not support") for each measure submitted for endorsement consideration to inform the Committee's recommendations. One NQF member provided their expression of support. Appendix C details the expression of support.

#### **Removal of NQF Endorsement**

Six measures previously endorsed by NQF have not been re-submitted, and endorsement has been removed.

Measure	Measure Description	Reason for Removal of Endorsement
1651 TOB-1 Tobacco Use Screening	Hospitalized patients age 18 years and older who are screened within the first day of admission for tobacco use (cigarettes, smokeless tobacco, pipe and cigars) within the past 30 days.	The developer withdrew these chart-based measures to align with CMS's goal of promoting eCQM use. The measures are being retooled as eCQMs.
1654 TOB-2 Tobacco Use Treatment Provided or Offered & TOB-2a Tobacco Use Treatment	The measure is reported as an overall rate which includes all hospitalized patients 18 years of age and older to whom tobacco use treatment was provided during the hospital stay, or offered and refused, and a second rate, a subset of the first, which includes only those patients who received tobacco use treatment during the hospital stay.	The developer withdrew these chart-based measures to align with CMS's goal of promoting eCQM use. The measures are being retooled as eCQMs.

Measure	Measure Description	Reason for Removal of Endorsement
1656 TOB-3 Tobacco Use Treatment Provided or Offered at Discharge and TOB-3a Tobacco Use Treatment at Discharge	The measure is reported as an overall rate which includes all hospitalized patients 18 years of age an older to whom tobacco use treatment was provided, or offered and refused, at the time of hospital discharge, and a second rate, a subset of the first, which includes only those patients who received tobacco use treatment at discharge. Treatment at discharge includes a referral to outpatient counseling and a prescription for one of the FDA-approved tobacco cessation medications.	The developer withdrew these chart-based measures to align with CMS's goal of promoting eCQM use. The measures are being retooled as eCQMs.
1661 SUB-1 Alcohol Use Screening	Hospitalized patients 18 years of age and older who are screened within the first day of admission using a validated screening questionnaire for unhealthy alcohol use.	The developer withdrew these chart-based measures to align with CMS's goal of promoting eCQM use. The measures are being retooled as eCQMs.
1663 SUB-2 Alcohol Use Brief Intervention Provided or Offered & SUB-2a Alcohol Use Brief Intervention	The measure is reported as an overall rate which includes all hospitalized patients 18 years of age and older to whom a brief intervention was provided, or offered and refused, and a second rate, a subset of the first, which includes only those patients who received a brief intervention.	The developer withdrew these chart-based measures to align with CMS's goal of promoting eCQM use. The measures are being retooled as eCQMs.
1664 SUB-3 Alcohol and Other Drug Use Disorder Treatment Provided or Offered at Discharge & SUB-3a Alcohol and Other Drug Use Disorder Treatment at Discharge	The measure is reported as an overall rate which includes all hospitalized patients 18 years of age and older to whom alcohol or drug use disorder treatment was provided, or offered and refused, at the time of hospital discharge, and a second rate, a subset of the first, which includes only those patients who received alcohol or drug use disorder treatment at discharge.	The developer withdrew these chart-based measures to align with CMS's goal of promoting eCQM use. The measures are being retooled as eCQMs.

#### Appendix A: CSAC Checklist

The table below lists the key considerations to inform the CSAC's review of the measures

Key Consideration	Yes/No	Notes
Were there any process concerns raised during the CDP project? If so, briefly explain.	No	
Did the Standing Committee receive requests for reconsideration? If so, briefly explain.	No	
Did the Standing Committee overturn any of the Scientific Methods Panel's ratings of Scientific Acceptability? If so, state the measure and why the measure was overturned.	No	
If a recommended measure is a related and/or competing measure, was a rationale provided for the Standing Committee's recommendation? If not, briefly explain.	No	No <i>competing</i> measures were identified, but several <i>related</i> measures were discussed in detail at one of the Committee meetings and there was general agreement for more harmonization of numerator and denominator definitions across measures that shared diagnostic, treatment, or other (e.g., follow-up period) definitions.
Were any measurement gap areas addressed? If so, identify the areas.	Yes	Measures for: life-span including recovery, quality of life, tailored treatments, duals (Medicare/Medicaid)
Are there additional concerns that require CSAC discussion? If so, briefly explain.	No	

#### Appendix B: Measure Not Recommended for Endorsement

The table below lists the Committee's vote and rationale for the measure not recommended for endorsement.

Measure	Voting Results	Standing Committee Rationale
3451 Non-Acute Mental Health Services Utilization for Dual Eligible Beneficiaries (Centers for Medicare & Medicaid Services (CMS)/Mathematica)	Evidence H-0; M-7; L-8; I-4 Gap N/A Reliability N/A Validity N/A Feasibility N/A Usability and Use Use N/A Usability N/A	This measure is not intended to assess the adequacy or quality of treatment, but rather indicate mental health service access. The Committee was concerned about the sensitivity and specificity of both the numerator and denominator, such as: numerator events are counted irrespective of their treatment appropriateness, the numerator excludes primary care visits with mental health diagnosis as secondary, and the denominator may be overly sensitive. The Committee encouraged the developer to consider narrowing the denominator specifications.

Legend: H = High; M = Moderate; L = Low	; I = Insufficient
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#### **Appendix C: NQF Member Expression of Support Results**

One NQF member provided an expression of support for the endorsement of three measures under consideration. Results for each measure are provided below.

## 0004 Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment (National Committee for Quality Assurance)

Member Council	Support	Do Not Support	Total
QMRI	1	0	1

2152 Preventive Care and Screening: Unhealthy Alcohol Use: Screening & Brief Counseling (PCPI Foundation)

Member Council	Support	Do Not Support	Total
QMRI	1	0	1

3451 Non-Acute Mental Health Services Utilization for Dual Eligible Beneficiaries (CMS/Mathematica Policy Research, Inc.)

Member Council	Support	Do Not Support	Total
QMRI	0	1	1

#### **Appendix D: Details of Measure Evaluation**

Rating Scale: H=High; M=Moderate; L=Low; I=Insufficient; NA=Not Applicable

#### Measures Recommended

#### 0004 Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment

#### **Submission**

**Description**: This measure assesses the degree to which the organization initiates and engages members identified with a need for alcohol and other drug (AOD) abuse and dependence services and the degree to which members initiate and continue treatment once the need has been identified. Two rates are reported:

- Initiation of AOD Treatment. The percentage of adolescent and adult members with a new episode of AOD abuse or dependence who initiate treatment through an inpatient AOD admission, outpatient visit, intensive outpatient encounter, partial hospitalization, telehealth or medication assisted treatment (MAT) within 14 days of the diagnosis.
- Engagement of AOD Treatment. The percentage of adolescent and adult members with a new episode of AOD abuse or dependence who initiated treatment and who had two or more additional AOD services or MAT within 34 days of the initiation visit.

Numerator Statement: Initiation of AOD Treatment:

Initiation of treatment through an inpatient AOD admission, outpatient visit, intensive outpatient encounter or partial hospitalization, telehealth or medication treatment within 14 days of the diagnosis.

Engagement of AOD Treatment:

Initiation of AOD treatment and two or more additional AOD services or medication treatment within 34 days of the initiation visit.

**Denominator Statement**: Patients age 13 years of age and older as of December 31 of the measurement year who were diagnosed with a new episode of alcohol or other drug dependency (AOD) during the first 10 and ½ months of the measurement year (e.g., January 1-November 15).

**Exclusions**: Exclude members who had a claim/encounter with a diagnosis of AOD abuse or dependence (AOD Abuse and Dependence Value Set), AOD medication treatment (AOD Medication Treatment Value Set) or an alcohol or opioid dependency treatment medication dispensing event (Medication Treatment for Alcohol Abuse or Dependence Medications List; Medication Treatment for Opioid Abuse or Dependence Medications List) during the 60 days (2 months) before the IESD.

Exclude patients who use hospice services or elect to use a hospice benefit any time during the measurement year, regardless of when the services began.

Adjustment/Stratification: No risk adjustment or risk stratification

Level of Analysis: Health Plan

Setting of Care: Emergency Department and Services, Inpatient/Hospital, Outpatient Services
Type of Measure: Process
Data Source: Claims
Measure Steward: National Committee for Quality Assurance

#### STANDING COMMITTEE MEETING 1/31/2019

#### 1. Importance to Measure and Report: The measure meets the Importance criteria

(1a. Evidence, 1b. Performance Gap)

1a. Evidence: H-7; M-11; L-0; I-0; 1b. Performance Gap: H-10; M-8; L-0; I-0 Rationale:

- The measure developer submitted updated evidence that includes pharmacotherapy as an appropriate treatment modality for those with opioid and alcohol use disorders.
- The measure developer updated the measure to include Medication-assisted treatment (MAT) and evidence-based telehealth services to deliver psychosocial treatment and added diagnosis codification (e.g., separately specified alcohol, opioid, or other drug abuse or dependence and appropriate pharmacotherapy).
- Overall, the Committee agreed that the evidence submitted enhanced the submission from the last measure maintenance review in 2012.
- Standing Committee members expressed concern that disparities were evident by insurance type (an optional stratification criteria per the developer), but such determinants were otherwise not sufficiently considered in regards to other social determinants such as race and ethnicity.

#### 2. Scientific Acceptability of Measure Properties: <u>The measure meets the Scientific</u> <u>Acceptability criteria</u>

(2a. Reliability - precise specifications, testing; 2b. Validity - testing, threats to validity 2a. Reliability: H-2; M-16; L-0; I-0 2b. Validity: H-1; M-17; L-0; I-0 Rationale:

- The measure developer conducted performance measure score reliability testing using a beta-binomial model (Adams 2009).
- The measure developer extended the engagement of the AOD treatment time frame from 30 to 34 days, thereby increasing sensitivity of the numerator for "engagement" to just outside of 1 month after the pathology is detected.
- The Standing Committee noted concern about not being able to determine if individuals were receiving both medication and counselling when such multimodal treatment is likely indicated.
- At least one Committee member suggested "woodwork penalties" may emerge for entities that screen aggressively, whereas a second member noted that empirical studies actually demonstrate that higher initiation and engagement rates positively correlate with higher screening rates. This was a risk-benefit concern, but it did not prevent support for the measure's testing.

#### 3. Feasibility: H-10; M-7; L-1; I-0

(3a. Clinical data generated during care delivery; 3b. Electronic sources; 3c.Susceptibility to inaccuracies/ unintended consequences identified 3d. Data collection strategy can be implemented)

#### Rationale:

- Overall, the Committee agreed that this measure is feasible.
- All data elements are electronically available.
- At least one Committee member commented that incentives for providers may be necessary to ensure encounters pertinent to this measure are documented. However, this concern did not impede the progress of this measure passing the feasibility criterion.

#### 4. Use and Usability

4a. Use; 4a1. Accountability and transparency; 4a2. Feedback on the measure by those being measured and others; 4b. Usability; 4b1. Improvement; 4b2. The benefits to patients outweigh evidence of unintended negative consequences to patients)

#### 4a. Use: Pass-18; No Pass-0 4b. Usability: H-4; M-13; L-1; I-0

#### Rationale:

- This measure is currently in use in quality improvement and public reporting programs.
- One public commenter said the measure may be limited because it omits codes for multiple ASAM residential treatment levels of care. The developer was asked about the inclusion of residential treatment codes specifically and confirmed that they were included. Certainty about the completeness of that residential treatment list; however, was not confirmed during the meeting.

#### **5. Related and Competing Measures**

There are no competing measures. The following measures are related:

- #2599: Alcohol Screening and Follow-up for People with Serious Mental Illness (NCQA)
- #3312: Continuity of Care for Medicaid Beneficiaries after Detoxification (Detox) From Alcohol and/or Drugs (CMS)
- #3605: Follow-Up After Emergency Department Visit for Mental Illness or Alcohol and Other Drug Abuse or Dependence (NCQA)
- #2152: Preventive Care and Screening: Unhealthy Alcohol Use: Screening & Brief Counseling (PCPI)

#### 6. Standing Committee Recommendation for Endorsement: Y-18; N-0

#### 7. Public and Member Comment

• One comment was received during the pre-commenting period. The commenter shared support for the measure, but noted a limitation in that it excludes multiple ASAM

residential treatment levels of care. The commenter suggested this observation be considered during the next update to the measure.

The following comments were received after the Committee's evaluation:

- One commenter echoed Committee concern that the follow-up period of this measure was extended from 30 to 34 days and more generally that follow-up periods for this sort of measure can be 7, 14, 30 or 34. In response the developer stated that the additional days served to make the numerator of the measure sensitive to two practicalities:

   a)Pharmacy refills for a presumed 30 day supply which might be delayed slightly, and b) Lags in claims processing/reporting.
- A comment pursuant this measure encouraged the adoption the terminology "substance use disorder" instead of "substance abuse and dependence." The rational for this nomenclature change is to keep in line with the change that occurred several years ago in the Diagnostic Statistic Manual (DSM) when it evolved from DSM-IV to DSM-5. That change included combining abuse and dependence into one criteria, with slightly altered thresholds and with changes in the specific criteria that de-emphasized criminal justice involvement and added "craving", per se, as a symptom of interest (Hasin DS et al., *AJP*, 2013, pub number: 12060782). The Committee agreed with this lexicon suggestion.
- One commenter expressed concern that persons refusing treatment would simply be lost from the denominator of this measure. In response the developer noted only that they were limited by the claims data. The Committee generally accepted this argument, but was also sympathetic to the commenter and in the future hoped for measure that would account for such lost-to-follow-up issues.
- A commenter supported the new addition of telehealth codes as qualifying numerator events. Both the Committee and the commenter agree that telehealth should represent reimbursable and bona fide follow-up services for persons with alcohol and other drug treatment needs.
- One commenter expressed concern that claims typically are created too slowly to be used as a referral tool to follow-up services.
- NQF received a comment expressing concern that this measure may not be sufficiently sensitive to medication assisted therapy (MAT) (e.g., methadone, buprenorphine) for opioid use because such codes alone (absent other psychotherapy codes) were not classified as sufficient for numerator inclusion. In response the developer indicated that the psychotherapy code requirement was in line with existing guidelines, though they would keep track of those guidelines if they changed towards MAT alone. During the Committee discussion; however, it was determined that the current specifications of the measure actually do not require the psychotherapy codes, so MAT alone is permissible as a numerator event.

#### 8. Consensus Standards Approval Committee (CSAC) Vote: Y-X; N-X

#### 9. Appeals

#### 2152 Preventive Care and Screening: Unhealthy Alcohol Use: Screening & Brief Counseling

#### **Submission**

**Description**: Percentage of patients aged 18 years and older who were screened for unhealthy alcohol use using a systematic screening method at least once within the last 24 months AND who received brief counseling if identified as an unhealthy alcohol user

**Numerator Statement**: Patients who were screened for unhealthy alcohol use using a systematic screening method at least once within the last 24 months AND who received brief counseling if identified as an unhealthy alcohol user

**Denominator Statement**: All patients aged 18 years and older seen for at least two visits or at least one preventive visit during the measurement period

**Exclusions**: Documentation of medical reason(s) for not screening for unhealthy alcohol use (e.g., limited life expectancy, other medical reasons)

Adjustment/Stratification: No risk adjustment or risk stratification

Level of Analysis: Clinician : Group/Practice, Clinician : Individual

Setting of Care: Home Care, Outpatient Services

Type of Measure: Process

Data Source: Registry Data

Measure Steward: PCPI Foundation

#### STANDING COMMITTEE MEETING [01/30/2019]

#### 1. Importance to Measure and Report: The measure meets the Importance criteria

(1a. Evidence, 1b. Performance Gap)

1a. Evidence: **Previous Evidence Evaluation Accepted**; 1b. Performance Gap: H-9; M-9; L-0; I-0; Rationale:

- The Standing Committee agreed that the evidence base for the measure has not changed and consented to the previous vote on evidence.
- The measure developer provided performance data from the CMS Physician Quality Reporting System (PQRS) from 2012 through 2015 (data for those patients only receiving screening for unhealthy alcohol use). Additionally, the developer included the 2016 rate, 68.7% (this rate includes screening and brief intervention).
- The Committee agreed that the performance gap continues to be significant in all populations presented. One Committee member noted that this measure remains valuable because it continues to encourage primary care providers to screen for alcohol misuse and perform brief intervention as necessary.
- The measure developer was not able to provide updated disparities data as the reporting programs have not yet made these data available. The developer, however, was able to identify studies that demonstrate variation in risk for alcohol use disorder and prevalence of screening based on racial, ethnic, and educational differences.

#### 2. Scientific Acceptability of Measure Properties: <u>The measure meets the Scientific</u> <u>Acceptability criteria</u>

(2a. Reliability - precise specifications, testing; 2b. Validity - testing, threats to validity) 2a. Reliability: H-3; M-12; L-3; I-0; 2b. Validity: H-3; M-11; L-3; I-0

#### Rationale:

- The developer provided updated measure score reliability testing using 2016 PQRS registry data. A beta-binominal model was used to assess the signal-to-noise ratio. The results of the reliability test, 0.99 using Adams' R calculation, indicated that variability between providers is in excess of variability within providers.
- The developer provided updated measure score validity testing by conducting a correlation analysis with two measures: Preventive Care and Screening: Screening for High Blood Pressure and Follow-up (PQRS #317) and Preventive Care and Screening: Screening for Clinical Depression and Follow-Up Plan (PQRS #134). The results indicate a positive correlation with the two evidence-based process of care measures focused on preventive care services noted:
  - Preventive Care and Screening: Screening for High Blood Pressure and Follow-up has a moderate positive correlation (0.29)
  - Preventive Care and Screening: Screening for Clinical Depression and Follow-Up Plan has a strong positive correlation (0.61).
- The Standing Committee had no concerns with the updated reliability and validity testing.
- Several Committee members commented on the measure's inability to capture all the ways in which counseling may occur, including telehealth and/or counseling provided by medical professionals located in the same facility, but employed by different organizations. The developer does not presently include telehealth encounters within the specifications.

#### 3. Feasibility: H-3; M-12; L-1; I-0

(3a. Clinical data generated during care delivery; 3b. Electronic sources; 3c.Susceptibility to inaccuracies/ unintended consequences identified; 3d. Data collection strategy can be implemented)

#### Rationale:

• The Standing Committee agreed the measure is feasible for implementation. Some data elements are in defined fields in electronic sources. The developer notes that this measure's data can be pulled from EHRs and use claims data in PQRS.

#### 4. Usability and Use: The maintenance measure meets the Use subcriterion

(Used and useful to the intended audiences for 4a. Accountability and Transparency; 4b. Improvement; and 4c. Benefits outweigh evidence of unintended consequences) 4a. Use: Pass-15; No Pass-2; 4b. Usability: H-3; M-15; L-1; I-0 Rationale: • The measure is publicly reported and used in the Merit Based Incentive Payment System (MIPS) program. Prior to 2016, the measure was used in the PQRS. 2018 data will be available for public reporting on Physician Compare in late 2019.

#### 5. Related and Competing Measures

- There are no competing measures. The following measures are related:
  - #2599: Alcohol Screening and Follow-Up for People with Serious Mental Illness (NCQA)
  - #1661: SUB-1 Alcohol Use Screening (TJC) (lost endorsement in 2018)
  - #1663: SUB-2 Alcohol Use Brief Intervention Provided or Offered and SUB 2a Alcohol Use Brief Intervention (TJC) (lost endorsement in 2018)
- These measures are currently harmonized to the extent possible.

#### 6. Standing Committee Recommendation for Endorsement: Yes-16; No-2

#### 7. Public and Member Comment

- A commenter noted concerns that administrative claims may not contain records of brief interventions and other services-of-interest to this measure, i.e., this was a concern about the measure's sensitivity to all numerator events, some of which might be unbilled or "hidden" in bundled transactions. At least one Committee member expressed rivaling concerns that such "hidden" encounters often do not reflect substantial therapeutic efforts (e.g., good quality care in terms of intensity and duration). Accordingly, the Committee agreed that in future versions of this sort of measure, the numerator definitions especially should be created to account both for sensitivity and specificity concerns.
- A comment expressed concern regarding a low data-element reliability coefficient demonstrating only "fair" reproducibility of the denominator: *kappa*=.31. In response the developer noted that coefficient corresponding to test-retest percent agreement which was more than reasonable (87%), that it is well known that the Cohen's *kappa* is stringent when chance agreement is high, and mostly importantly, that their recent testing with Adam-R statistics (score-level) any ways was exceptionally high (0.98) demonstrating reproducibility of the inter-entity differences was substantial and in accordance with NQF quality testing criteria. The Committee did not take exception to this response by the developer

#### 8. Consensus Standards Approval Committee (CSAC) Endorsement Decision: Yes-X; No-X

#### 9. Appeals

## 3453 Continuity of care after inpatient or residential treatment for substance use disorder (SUD)

#### **Submission**

**Description**: Percentage of discharges from an inpatient or residential treatment for substance use disorder (SUD) for Medicaid beneficiaries, ages 18 to 64, which was followed by a treatment service for SUD. SUD treatment includes having an outpatient visit, intensive outpatient encounter or partial hospitalization, telehealth encounter, or filling a prescription or being administered or ordered a medication for SUD. (After an inpatient discharge only, residential treatment also counts as continuity of care.) Two rates are reported, continuity within 7 and 14 days after discharge.

**Numerator Statement**: Discharges in the denominator with an outpatient visit, intensive outpatient encounter or partial hospitalization, telehealth or filled a prescription for or were administered or ordered a medication for SUD. (After an inpatient discharge only, residential treatment also counts as continuity of care.) Two rates are reported, continuity within 7 and 14 days after discharge.

**Denominator Statement**: Adult Medicaid beneficiary discharges from inpatient or residential treatment for SUD with a principal diagnosis of SUD during from January 1 to December 15 of the measurement year.

**Exclusions**: Exclude from the denominator for both rates:

- Discharges with hospice services during the measurement year
- Both the initial discharge and the admission/direct transfer discharge if the admission/direct transfer discharge occurs after December 15 of the measurement year.

Discharges followed by admission or direct transfer to inpatient or SUD residential treatment setting within 7 or 14-day continuity of care period. These discharges are excluded from the measure because transfer, hospitalization or admission to residential treatment within 7 or 14 days may prevent a continuity of care visit from taking place. An exception is admission to residential treatment following discharge from inpatient treatment; we do not exclude these admissions, because continuity into residential treatment after inpatient treatment is considered appropriate treatment.

Adjustment/Stratification: No risk adjustment or risk stratification

Level of Analysis: Population : Regional and State

**Setting of Care:** Emergency Department and Services, Home Care, Inpatient/Hospital, Outpatient Services

Type of Measure: Process

Data Source: Claims

**Measure Steward**: Centers for Medicare & Medicaid Services, Centers for Medicaid & CHIP Services

#### STANDING COMMITTEE MEETING 1/31/2019

1. Importance to Measure and Report: The measure meets the Importance criteria

(1a. Evidence, 1b. Performance Gap)

#### 1a. Evidence: M-16; L-2; I-0; 1b. Performance Gap: H-10; M-7; L-0; I-0

#### Rationale:

- This measure is based on evidence from review articles as well as additional studies that indicate continuity of care for SUD–defined differently in the literature–is linked to improved 2-year mortality, reduced hospital readmissions and criminal justice activity, sustained treatment, and improved employment status.
- The Committee agreed that both literature and the analysis of Medicaid data (7-day rate average across 13 states: 18.4%; 14-day rate: 24.2%) indicate there is generally low performance with variation by state.
- There were also differences in rates by subgroups, including higher continuity rates for those in rural areas. The developer suggests this may be related to intensified discharge planning because of long distances between the inpatient facility and the patient's home. The Committee encouraged the developer to further explore differences between rural and urban populations and examine the distribution of types of follow-up services used in rural versus urban areas.

#### 2. Scientific Acceptability of Measure Properties: <u>The measure meets the Scientific</u> <u>Acceptability criteria</u>

(2a. Reliability - precise specifications, testing; 2b. Validity - testing, threats to validity 2a. Reliability: H-3; M-14; L-0; I-0 2b. Validity: H-5; M-11; L-1; I-0

#### Rationale:

- The developer used 2014 Medicaid Analytic Extract data to conduct measure score reliability testing. A beta-binominal model was used to assess the signal-to-noise ratio. Adams' R values were >=0.9 across states for both the 7- and 14-day rates.
- To test convergent validity, performance on this measure was compared to measures #3312 Continuity of Care for Medicaid Beneficiaries after Detoxification (Detox) From Alcohol and/or Drugs and
- #0576 Follow-Up After Hospitalization for Mental Illness (FUH). Spearman rank correlation results indicated moderate, positive, but not significant correlations between the measure and the two external measures. A technical expert panel (TEP) strongly supported the measure's validity, but two members only strongly supported the 7-day rate since they believed follow-up should occur within 7 days.
- The Committee discussed the time period in which follow-up services should be performed. The developer shared that same-day services only count for the pharmacotherapy, which aligns with similar measures.
- The developer acknowledged the following are included in the measure: case management visits if the client was directly included, only discharges with SUD as a primary or principle diagnosis (for inclusion in the denominator), and follow-up services in the primary care setting.
- The developer stated that self-help services (e.g., Alcoholics Anonymous) are not included in the measure since even with that type of care, in-parallel medical care would also be indicated.
- One Committee member commented that excluding those who relapse to inpatient care suggests the burden falls on the patient when, perhaps, the health system could have

bettered prepared the patient before leaving inpatient or residential treatment. Sensitivity testing of the inpatient relapse exclusion from the denominator of this measure was deemed by the developer to be a rare event that did not appreciably change the results. The developer also responded that these patients are excluded since relapses to inpatient care could be related to the initial care received in hospital, care provided after discharge, or individual factors/social determinants of health.

- There was concern that judging validity based on correlation with another measure, though an accepted and widely-used method, is not the best way to determine validity.
- Overall, the Committee agreed the measure is valid, citing evidence in the literature linking continuity of care to improved mortality and improved outcomes.

#### 3. Feasibility: H-10; M-8; L-0; I-0

(3a. Clinical data generated during care delivery; 3b. Electronic sources; 3c.Susceptibility to inaccuracies/ unintended consequences identified 3d. Data collection strategy can be implemented)

Rationale:

• This claims-based measure is feasible to implement as users have successfully implemented similarly structured measures.

#### 4. Use and Usability

4a. Use; 4a1. Accountability and transparency; 4a2. Feedback on the measure by those being measured and others; 4b. Usability; 4b1. Improvement; 4b2. The benefits to patients outweigh evidence of unintended negative consequences to patients)

#### 4a. Use: Pass-18; No Pass-0 4b. Usability: H-6; M-12; L-0; I-0

#### Rationale:

- This new measure is intended for use by states to improve care for Medicaid recipients with SUD.
- Testing indicated low performance rates, and the Committee agreed the measure's benefits outweigh potential unintended consequences related to organizations finding care quickly or refusing clients that are higher risk or harder to place.

#### **5. Related and Competing Measures**

- There are no directly competing measures. The following measures are related:
  - #0004: Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment
  - o #0576: Follow-Up After Hospitalization for Mental Illness (FUH)
  - #2605: Follow-Up After Emergency Department Visit for Mental Illness or Alcohol and Other Drug Abuse or Dependence
  - #3312: Continuity of Care for Medicaid Beneficiaries after Detoxification (Detox) From Alcohol and/or Drugs
- Parts of the specifications for the proposed measure harmonize with some measures but not others.

- The developer examined similarities and differences in timing of continuity of care, population, diagnosis (i.e., primary versus secondary diagnosis), services, and practitioner allowed to provide follow-up in the numerator and diagnosis and age in the denominator.
- The Committee approved the specifications used in the measure, but was interested in additional alignment and standardization of elements in all continuity of care measures.

#### 6. Standing Committee Recommendation for Endorsement: Y-18; N-0

#### 7. Public and Member Comment

- Similar to measure 0004, one commenter expressed support for the new inclusion of telehealth codes as qualifying services for this measure's numerator. Support was further expressed for inclusion of medication assisted treatment (e.g., methadone, buprenorphine) for opioid use disorder.
- One comment expressed concern that this measure did not include peer supports or case management services as qualifying events in the numerator. The developer responded by stating that presently their reading of the evidence-base literature did not support these sort of services (especially peer supports), per se, as standard of care for persons discharged from inpatient/residential care for SUD. The developer did note that in future iterations of the measure they would consider if their numerator definition should be expanded to include peer supports or case management services alone. The Committee generally agreed with the developer's assessment in that regard.
- One comment expressed concerned that the denominator inclusion criteria for this measure was sensitive only to primary rather than higher order (second, third, etc.) diagnoses recorded in the medical record. In response the developer acknowledged this 'sensitivity to cases' limitation, but further noted that for the numerator secondary or higher order (confirmed by the develop on the conference call) diagnoses were considered. As such it can be said that this measure has somewhat limited case/disease sensitivity, but more liberal treatment sensitivity. In follow-up discussion the Committee did not express major concern about these sensitivity/specificity issues.
- One commenter noted that this measure is limited because Medicaid claims especially reflect potentially difference benefits packages (i.e., different paid-for services) in each state. The Committee cautioned interstate comparisons absent consideration of different state Medicaid regulations. However, the Committee did not change their recommendation of the measure.

#### 8. Consensus Standards Approval Committee (CSAC) Vote: Y-X; N-X

#### 9. Appeals

#### Measure Not Recommended

#### 3451 Non-Acute Mental Health Services Utilization for Dual Eligible Beneficiaries

#### **Submission**

**Description**: The percentage of dual eligible beneficiaries with a mental health service need who received a non-acute mental health service in the measurement year.

**Numerator Statement**: The number of dual eligible beneficiaries receiving at least one nonacute mental health service in the 12-month measurement year. The following services are included as non-acute mental health services:

- Outpatient service with a mental health provider for a mental health diagnosis
- Mental health outpatient encounter
- Mental health condition management in primary care

**Denominator Statement**: The number of dual eligible beneficiaries age 21 and older with a mental health service need in the 18-month identification window (the 12-month measurement year plus six months prior to the measurement year).

#### Exclusions: None

Adjustment/Stratification: No risk adjustment or risk stratification

Level of Analysis: Health Plan

Setting of Care: Home Care, Outpatient Services, Post-Acute Care

Type of Measure: Process

Data Source: Claims

Measure Steward: Centers for Medicare & Medicaid Services

#### STANDING COMMITTEE MEETING 01/30/2019

#### 1. Importance to Measure and Report: The measure meets the Importance criteria

1a. Evidence: M-7; L-8; I-4 1b. Performance Gap: N/A

Rationale:

- The developer stated that the measure is not intended to assess the adequacy or intensity of the services or treatment, but rather aims to tabulate a 'low-bar' indicator for mental health service access. This explanation left the Committee concerned about the indicator's validity as a quality of care measure.
- The Committee was concerned about the sensitivity and specificity of both the numerator and denominator. Several of those concerns are listed here:
  - Numerator events were counted irrespective of their treatment appropriateness or duration.
  - The numerator excluded primary care visits if the claim entry had the mental health diagnosis in a secondary position. At least one Committee member noted that individuals visiting primary care settings often have multiple diagnoses entered in their claims record, and the order of those entries may not reflect issue urgency.

- The denominator may be overly sensitive (e.g., a single anti-anxiety medication prescription may flag someone with a treatable mental illness who only has a very acute adjustment problem). The Committee thus encouraged the developer to consider narrowing the denominator specifications.
- The Committee expressed concern about including some dementia cases in the measure denominator. The developer commented that their empirical data showing such diagnoses are rare relative to their total population of interest and a technical expert panel (TEP) supported the measure's face validity. Still, the Committee remained concern about this diagnostic inclusion.
- The Committee agreed that lack of access to mental health services is a major issue for the dual-eligible population, but they ultimately decided to reject the measure because of the concerns summarized above.
- After the failed vote on evidence, the Committee offered the developer brief advice towards the measure's re-submission. That advice included the suggestion that a future submission should better describe why California and Rhode Island data is not suitable to test their measure.

#### 2. Scientific Acceptability of Measure Properties: <u>The measure meets the Scientific</u> <u>Acceptability criteria</u>

(2a. Reliability - precise specifications, testing; 2b. Validity - testing, threats to validity 2a. Reliability: **N/A** 2b. Validity: **N/A** 

#### 3. Feasibility: N/A

(3a. Data generated during care; 3b. Electronic sources; and 3c. Data collection can be implemented (eMeasure feasibility assessment of data elements and logic)

#### 4. Use and Usability

(4a. Use; 4a1. Accountability and transparency; 4a2. Feedback on the measure by those being measured and others; 4b. Usability; 4b1. Improvement; 4b2. The benefits to patients outweigh evidence of unintended negative consequences to patients)

4a. Use: N/A 4b. Usability: N/A

#### 5. Related and Competing Measures

 This measure is related to NQF #0576: Follow-Up After Hospitalization for Mental Illness (FUH).

#### 6. Standing Committee Recommendation for Endorsement: N/A

#### 7. Public and Member Comment

 All expressed support for the need for more Medicare/Medicaid dual relevant measures (this idea is expressed in the "gaps" section of this report). All comments also echoed the Committee's stated concern that the current measure is not specific enough regarding numerator and denominator definitions to well capture appropriate clients for intervention and appropriate treatment/indication pairings for inclusion in the denominator. In response, the developer acknowledged these concerns, but also noted the intent of the measure was purely to measure access to services, not deployment of evidence-based treatment responses more directly. The specific responses from the developer appear below. These responses did not trigger the Committee to re-consider their vote 'not to recommend' this measure in its current form.

- The measure developer provided the following response to the comments:
  - o Thank you for your comment. We agree that the measure lacks specificity, but note that this is by design to support the measure's intent to provide a metric of access to non-acute mental health services for individuals with a mental health need. During the development of the measure, we received feedback through a public comment period, expert work group meeting, and a technical expert panel meeting that a broad definition of mental health need was most appropriate for a measure intended to capture access to non-acute mental health services. We also received feedback from a technical expert panel that limiting the measure to capture only those mental health encounters where a mental health condition was listed as the primary diagnosis was an appropriate restriction on the sensitivity of the measure. This is because the measure is intended to capture only those encounters in which a mental health condition is actually treated. Reports from the field indicate that many providers use secondary or tertiary diagnosis fields to capture conditions that are present during an encounter but were not necessarily treated during that encounter.
  - Thank you for your comment. In reviewing the evidence for the measure concept, we found numerous studies that demonstrate a significant proximal link between access to and use of non-acute mental health services for individuals with a mental health service need with increase quality of life, as well as a reduction in negative outcomes such as homelessness, hospitalization, incarceration, and episodes of violence. Please note that the measure is not intended to assess the appropriateness, adequacy, or intensity of care, but rather whether beneficiaries with mental health needs have access to non-acute mental health services. Our testing results and the review of the evidence indicate that there is a substantial gap in such access, which leave many individuals in the measure population at increased risk for negative consequences related to non-treatment of mental health conditions.

#### 8. Consensus Standards Approval Committee (CSAC) Vote: Y-X; N-X

#### 9. Appeals



## Behavioral Health and Substance Use Fall 2018 Review Cycle

**CSAC** Review and Endorsement

June 5-6, 2019

## Standing Committee's Recommendations

### Three measures recommended

- 0004 Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment
- 2152 Preventive Care and Screening: Unhealthy Alcohol Use: Screening & Brief Counseling
- 3453 Continuity of Care After Inpatient or Residential Treatment for Substance Use Disorder

### One measure not recommended for endorsement

- 3451 Non-Acute Mental Health Services Utilization for Dual Eligible Beneficiaries
  - Reason for not recommending: Failed Evidence; Committee believed specific link between evidence and quality not substantiated

## **Overarching** Issues

#### Standardization around Measuring Continuity of Care

- Follow-up period: 7, 14, 34 days?
- Attribution within and between clinics
- Life-span and disease span considerations
- Integration of BH and general medical care

### Inclusion of Telehealth Services

 Included in 3 of 4 measures. Committee was supportive of such remote interactions as a bona fide care pathway to address BHSU disorder prevention and treatment.

Harmonizing Measures Sharing Overlapping Disease, Process, or Diagnostic Constructs

- Common populations (e.g., disease states)
- Common processes or outcomes (e.g., care coordination, prevention)

## **Measurement Gaps**

The Standing Committee and commenters identified the following gaps:

- Social determinants: housing, employment, criminal justice
- Care coordination across life and disease span (birth to death; prevention to recovery)
- Patient-centered, with functional outcomes as target
- Burnout issues among providers
- Opioids
- Care integration (mental illness and substance use disorders, especially)
- Measures of overall well-being, quality of life

## **Public and Member Comments**

## 13 comments received related to the following topics:

- Measure specification
  - Follow-up duration to 34 days?
  - Contemporary terminology is "substance use disorder"
- Data limitations
  - Limited sensitivity of claims to transition, brief services or those otherwise hidden in claims (e.g., bundled)

## Measure gaps

- Serious mental illnesses (SMI) should be a health disparities category (not a risk-adjustment parameter)
- Medicare/Medicaid dual measures needed

## Member Expression of Support

# One NQF member organization provided an expression of support for two of the four measures:

- 0004 Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment (National Committee for Quality Assurance)
- 2152 Preventive Care and Screening: Unhealthy Alcohol Use: Screening & Brief Counseling (PCPI Foundation)

## **Timeline and Next Steps**

Process Step	Timeline
Appeals Period	June 10 - July 9, 2019
Adjudication of Appeals	July 10 - August 6, 2019
Final Report	September 2019

## **Questions?**

## **Project team:**

- Michael Abrams, MPH, PhD, Senior Director
- Nicolette Mehas, PharmD, Director
- Shaconna Gorham, MS, PMP, Senior Project Manager
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## **Project webpage:**

http://www.qualityforum.org/Behavioral Health and Sub stance Use.aspx

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Behavioral Health and Substance Use: Fall 2018 Review Cycle

DRAFT REPORT FOR CSAC REVIEW

June 5, 2019



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# Behavioral Health and Substance Use, Fall 2018 Cycle

### DRAFT REPORT FOR CSAC REVIEW

## **Executive Summary**

This report summarizes the most recent measurement evaluation and deliberation activities of National Quality Forum's (NQF's) Behavioral Health and Substance Use (BHSU) Standing Committee.

Behavioral health including psychiatric illness (mental illness) and substance use disorders (SUDs) (e.g., tobacco and heroin abuse and dependence) continues to be an important organizational construct (unified by brain-based etiology and behavioral symptomology) of health care across the globe. The most comprehensive annual report of behavioral health prevalence data is in the U.S. is the Substance Abuse and Mental Health Services Administration's (SAMHSA) National Survey on Drug Use and Health (NSDUH). Results from the 2017 NSDUH indicated that in the U.S. 19.7 million persons (age  $\geq$ 12 years) suffered from an apparent SUD (not including tobacco dependence), and 46.6 million persons (age  $\geq$ 18 years) suffered from a mental illness. These numbers jointly suggest that substantive behavioral health disease was evident in at least 56 million adolescent and adult Americans in 2017, or roughly 21% of the maturing/mature population. This 1 in 5 rate is consistent with other epidemiologic studies that have previously revealed the prevalence of behavioral health conditions in the U.S.

The NSDUH from 2017 further reveals an important concern about behavioral health care in this country: only 12.5% of persons with SUDs reported treatment during that year, and only 43.6% of those with any mental illness reported receiving care for that distinctive constellation of conditions.<sup>1</sup> This gap between marked behavioral health pathology and treatment alone should give one pause about the quality of the U.S. health care system regarding such issues, and it certainly represents unmet or untapped need. This unfulfilled need persists even as behavioral health disease burden is dominant in the U.S., and furthermore even as good treatments exist to ease such suffering. Specifically, 2015 data which quantified age-standardized disability adjusted life years (DALYs) showed that mental and substance use disorders yield more years lost to sub-optimal health per 100,000 persons in the U.S. (3,355 DALYs) than any other major disease category including cancers (3,131 DALYs), circulatory diseases (3,065 DALYs), injuries (2,419 DALYs), and endocrine (kidney) diseases (1,827 DALYs).<sup>2</sup> Recent work in behavioral health has confirmed or newly described effective psychosocial or psychopharmaceutical therapies for depression, opioid addiction, anxiety, schizophrenia, and bipolar disorder. <sup>3–10</sup> However, this same and related work also demonstrates ongoing challenges in the prevention, diagnosis, and treatment of behavioral health disorders—illnesses that are typically chronic, cycling, and difficult to precisely diagnose because they are not correlated to salient biologic markers. As such, quality of care in behavioral health remains one of the great challenges in health care.

The review and evaluation of behavioral health measures has long been a priority of NQF with endorsement for mental health and substance use disorder measures going back at least a decade. At present there are approximately 54 behavioral health measures fully endorsed by NQF. The background and description of NQF's most recent BHSU Standing Committee meeting as well as previous meetings are available on NQF's project <u>webpage</u>. This Committee acts as a national "gate keeper" regarding measurement tools that may be used to advance accountability and quality specifically relevant to the delivery of behavioral health and substance use services. The Committee's most recent decision-making meeting is detailed in this report, and it includes the evaluation and voting results of measures pertaining to the early detection and more downstream treatment of substance use disorders including alcohol and illegal drugs, as well as access to mental health services among persons dually eligible for Medicare and Medicaid.

For this project, the Standing Committee evaluated two newly-submitted measures and two measures undergoing maintenance review vis-a-vis NQF's standard evaluation criteria. Three measures were recommended for endorsement, and the Committee did not recommend one measure. The three measures that were recommended by the Standing Committee are:

- 0004 Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment
- 2152 Preventive Care and Screening: Unhealthy Alcohol Use: Screening & Brief Counseling
- 3453 Continuity of Care After Inpatient or Residential Treatment for Substance Use Disorder (SUD)

The Committee did not recommend the following measure:

3451 Non-Acute Mental Health Services Utilization for Dual Eligible Beneficiaries

Brief summaries of the measures discussed are included in the main body of this report; detailed summaries of the Committee's discussion and ratings of the criteria for each measure are in <u>Appendix A</u>.

In addition to evaluating the four performance measures describe above, the Standing Committee discussed "gaps" and "harmonization" issues surrounding those measures as well as the BHSU measurement endeavors more generally. Substantive points that emerged from those discussions included interest in capturing new therapies and therapy modalities (e.g., novel pharmaceuticals, telehealth) in the definition of BHSU treatments, and encouraging the 'pipeline' of measures that consider BHSU outcomes across the life-span and disease continuum from prevention to recovery. The Committee also discussed how best to harmonize measures which share population or process/outcome definitions or both, and they expressed strong interest in having NQF develop strategies to promote the development of new measures which adhere to such harmonization goals.

# Introduction

Behavioral healthcare refers to a continuum of services for individuals at risk of—or suffering from mental (i.e., emotional and/or cognitive issues) or addictive disorders, challenges broadly ranging from mood and anxiety disorders, to learning disabilities and substance abuse or dependence (including tobacco dependence). In the United States, over 56 million adolescents and adults suffer from a discernable behavioral health disorder (roughly 1 in 5), <sup>1</sup> and this includes over 11 million persons with the most serious forms of mental illness (schizophrenia, bipolar, major depression), and a similar number of persons (substantially overlapping with the 11 million) who suffer simultaneously from a mental illness and a SUD. Behavioral disorders overall impart considerable pain and dysfunction on the U.S. population, so much so that it represents the leading cause of death and disability when compared to other major illness clusters including cancers, circulatory disease (heart disease, stroke, arteriosclerosis), injuries, and kidney disease.<sup>2</sup> Opioid overdose deaths have recently become a particular concern in the U.S. and data compiled by the U.S. Centers for Disease Control and Prevention placed such deaths at over 47,000 in 2017 alone.<sup>12</sup> U.S. suicides in 2016 approach that number,<sup>13</sup> and deaths attributable to alcohol use (overdose, accidents, cirrhosis, cancers) numbered approximately 88,000 per 2006-2010 data, thus making alcohol use the third most common cause of preventable mortality behind tobacco use (first) and poor diet and physical inactivity (second).<sup>14</sup> Finally, mental illness correlates markedly with premature death by an average of 8 years for all mental illnesses and 25 vears for the most serious forms.<sup>15</sup> The causes for this premature mortality are multifactorial including tobacco use, suicide, poor self-advocacy, and risk of victimization, but a least one recent study found that 95% of these premature deaths are from medical causes.

Despite the deep challenges posed by behavioral health illnesses– they are typically cycling, chronic, and serious– there exist many evidence-based approaches to prevent such illnesses and to treat persons and families impacted by them.<sup>16–18</sup> Applications of these strategies; however, are neither easy nor universal, made challenging by the complexity and uncertainty of the underlying pathology and by stigma that shrouds a category of diseases which often negatively impacts one's social functioning.<sup>19–22</sup> Accordingly, quality measurement and quality improvement tools are essential to behavioral health (i.e., mental and substance use health) maintenance and progression, indeed, extraordinarily so compared to most other conditions that fall under the distinctive purview of the U.S. healthcare system.

# NQF Portfolio of Performance Measures for Behavioral Health and Substance Use Conditions

The Behavioral Health and Substance Use Standing Committee (Appendix C) oversees NQF's portfolio of Behavioral Health and Substance Use measures (Appendix B) that includes measures pertaining to serious mental illnesses (e.g., schizophrenia, mania, major depression), dysthymia, anxiety, ADHD and other learning behavioral problems, alcohol and illegal drug use, tobacco dependence, care coordination (between and within the spheres of psychiatric, substance use, and related physical illness), medication use, and patient care experience. This portfolio contains 54 measures: 45 process measures, eight outcome and resource use measures, and one composite measure (see table below).

	Process	Outcome/Resource Use	Composite
Alcohol and Drug Use	8	0	1
Care Coordination	2	0	0
Depression	5	4	0
Medication Use	10	0	0
Experience of Care	3	0	0
Tobacco	8	0	0
Physical Health	9	4	0
Total	45	8	1

#### Table 1. NQF Behavioral Health and Substance Use Portfolio of Measures

Additional behavioral health measures have been assigned to other portfolios. Examples include patient experience measures (Patient Experience and Function project); measures focused on antipsychotics, screening for drugs of abuse in psychosis, and tobacco use (Pediatrics/Patient Safety projects); measures related to pharmacotherapy for opioid use disorder (Patient Safety project), unplanned readmissions following psychiatric hospitalization (All-Cause Admissions and Readmissions project), and smoking prevalence (Prevention and Population Health project).

# **Behavioral Health and Substance Use Measure Evaluation**

On January 30 and 31, 2019 the Behavioral Health and Substance Use Standing Committee evaluated two new measures and two measures undergoing maintenance review against <u>NQF's standard evaluation</u> <u>criteria</u>.

	Maintenance	New	Total
Measures under consideration	2	2	4
Measures recommended for endorsement	2	1	3
Measures not recommended for endorsement	0	1	1
Measures withdrawn from consideration	6	0	6
Reasons for not recommending	Importance – 0 Scientific Acceptability – 0 Use – 0 Overall Suitability – 0 Competing Measure – 0	Importance – 1 Scientific Acceptability – 0 Overall Suitability – 0 Competing Measure – 0	1
			+

# **Comments Received Prior to Committee Evaluation**

NQF solicits comments on endorsed measures on an ongoing basis through the <u>Quality Positioning</u> <u>System (QPS)</u>. In addition, NQF solicits comments for a continuous 16-week period during each evaluation cycle via an online tool located on the project webpage. For this evaluation cycle, the commenting period opened on November 29, 2019 and will close on April 9, 2019. As of January 18, one comment was submitted and shared with the Committee prior to the measure evaluation meetings (see <u>Appendix F</u>). This comment specifically pertained to measure #0004 (initiation of and engagement in substance abuse services) and encouraged the full inclusion of residential treatment levels of care in the measure's definition.

# **Comments Received After Committee Evaluation**

The continuous 16-week public commenting period with NQF member support closed on April 9, 2019. Following the Committee's evaluation of the measures under consideration, NQF received 16 comments from four member organizations pertaining to the draft report and to the measures under consideration. All comments for each measure under consideration have been summarized in <u>Appendix</u> <u>A</u>.

Throughout the 16-week continuous public commenting period, NQF members had the opportunity to express their support ('support' or 'do not support') for each measure submitted for endorsement consideration to inform the Committee's recommendations. One NQF member provided their expression of support.

# **Overarching Issues**

During the Standing Committee's discussion of the measures, several overarching issues emerged that were factored into the Committee's ratings and recommendations. Those overarching issues are summarized in this section and are thus not necessarily repeated in detail with each individual measure description. This section further summarizes themes that emerged from the Standing Committee's more general "harmonization" and "gaps" discussions.

## Standardization around Measuring Continuity of Care

Triggered by discussion of measure #3453 *Continuity of Care After Inpatient or Residential Treatment for Substance Use Disorder (SUD),* the Committee had the following ideas or questions regarding "coordination of care" measures more generally:

- What are proper durations for follow-up to achieve the desired level of coordination after discharge: same day, within 7 days, 14 days, and/or 34 days? Moreover, the Committee encouraged future measures to use scientific evidence to determine an intervention window.
- Who (including which facilities) should be credited for (and thus encouraged towards) care coordination activities: physicians, physician assistants, social workers, discharge staff, outpatient program admitting staff, or others who facilitate the transition?
- How can coordination of care measures be designed to efficiently address the full spectrum of behavioral health: across the life-span; and including prevention-, screening-, diagnosis-, treatment-, and recovery-oriented interventions?

• A Committee member shared a recent publication which reviewed over 700 quality measures (many NQF-endorsed) and then isolated, organized, and prioritized those relevant to the integration of behavioral health and general medical care efforts. <sup>23</sup>

## Inclusion of Telehealth Services

With the evolution of the internet and telecommunication more generally, telehealth is now being widely adopted or considered as a billable and fully functional way for patients and providers to interact. Three measures being reviewed for endorsement (i.e., #0004, #3451, #3453) include at least some telehealth services as applicable events that meet the numerator definition, while one (i.e., #2152) did not include such services. The Committee generally approved of the use of telehealth for inclusion in the measure specifications. As such, there was little concern expressed that these remote encounters would compromise care.

## Harmonizing Measures Sharing Overlapping Disease, Process, or Diagnostic Constructs

The Committee discussed the need to promote efficient measure use and simplify measurement in areas where there are multiple related measures. For example, rather than having several measures for a singular and cross-cutting treatment phase or construct (e.g., inpatient, care coordination, prevention) and different patient populations (e.g., any behavioral health disorder, SMI) the Committee advocated for the development of one measure that then could be applied separately to various subpopulations of interest. Related to this discussion point specifically, one Committee member cited a recently published essay which advocates that SMI should be designated as a disparities category (like race and gender), thereby suggesting this notable behavioral health disease status is important both as a broad diagnostic cluster and as a stratification dimension to uncover potentially unjust or otherwise inappropriate health care practices across the prevention and treatment spectrum.<sup>24</sup>

Alignment efforts need to harmonize related measures or justify their divergence. For example, measures #2152 *Preventive Care and Screening: Unhealthy Alcohol Use: Screening and Brief Counseling* and #2599 *Alcohol Screening and Follow-up for People with Serious Mental Illness* are related, but the periodicity the latter measure uses for appropriate screening is intensified (i.e., more frequent) given the increased risk that the SMI population faces. This is an example of a diverging definition that is justified using logic (e.g., epidemiology) tied to the measure targets.

Per the "related and competing" discussion, the Committee also encouraged the development of measures which either "nested" similar process or outcome measures together (e.g., care coordination, inpatient care) or completely unified them such that they could be applied across the life-span and the total care continuum. Some members of the Committee advocated for NQF to more aggressively pursue such harmonization goals (i.e., unifying measures sharing either diseases-state or process/outcome constructs) via leading edge framework activities that, in turn, could inspire all Standing Committees and developers toward greater measurement efficiencies.

## Gaps and Future Directions

The Committee discussed "gaps" apparent in the portfolio and more generally future directions they hoped the 'pipeline' of new measures might connect to. This gaps/pipeline discussion was inspired by a

listing of such aspirations from the <u>2016-2017 review cycle</u>. Below is a summary of gaps and future directions emerging from the Committee's discourse during this review cycle.

- More measures focused on social determinants of health were encouraged including those pertaining to housing, employment, and criminal justice issues.
- Adding to discussion already summarized above, care coordination across the life-span and full course of the wellness/illness continuum (from prevention to prodromal to illness and recovery) was reiterated as an important target of measurement science. Related to these specific ideas, measures of recovery, overall well-being, and total cost of care (including composite measures) were encouraged.
- Presumably to make measurement more patient-centered, at least one member of the Committee advocated for more measures that precisely paired patient goals with functional outcomes.
- One member of the Committee suggested that quality measurement could address provider "burnout" by targeting efficiency issues including those tied to payer-managed care (e.g., prior authorization, treatment limits).
- One member suggested that top priorities at present include: 1. the opioid crisis, 2. care integration especially between mental health and substance use disorders, but also between those two behavioral health issues and physical health (e.g., primary care), and 3. measures of overall well-being (noted also per bullet 2 above).

NQF received the following public comments about the report:

- Serious mental illness (SMI) should be designated as a disparities category. The Committee had suggested this in their previous discussions and in the draft report which likely prompted this comment. In the post-comment meeting, the Committee again expressed support for this idea noting, for example, that individuals with SMI have unique risks/vulnerabilities which include heightened exposure to victimization and violence, and very high rates (~80%) of tobacco use. During this discussion Committee members also cautioned against the use of disparitiy subgroups as risk-adjustment variables because such adjustments may encourage accountable entities to deliver sub-standard care to persons with SMI.
- More quality of life measures are needed, and two specific instruments were cited as examples to fill that gap.
- More life-span and full-spectrum of illness measures are needed.
- Use of long-acting injectable (LAIs) antipsychotics. In response to that comment, one Committee member encouraged this suggestion because, they argued, LAIs are underutilized therapy and many persons in need do not like to take daily pills. Another member of the Committee; however, cautioned that some patients may not like LAIs-- a suggestion leading to general agreement by the Committee that tailored/custom/patient-oriented approaches are quintessential to quality treatment and measurement concepts.
- More patient-focused (customized) treatment measures are needed.
- More measures are needed to address specific issues faced by Medicare/Medicaid duals, persons who older and have higher rates of categorical disability than the general population.

# Summary of Measure Evaluation

The following brief summaries of the measure evaluation highlight the major issues that the Committee considered. Details of the Committee's discussion and ratings of the criteria for each measure are included in <u>Appendix A</u>.

# 0004 Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment (National Committee for Quality Assurance): Recommended

**Description:** This measure assesses the degree to which a health plan initiates and engages members identified with a need for alcohol and other drug (AOD) abuse and dependence services and the degree to which members initiate and continue treatment once the need has been identified. Two rates are reported: Initiation of AOD Treatment. The percentage of adolescent and adult members with a new episode of AOD abuse or dependence who initiate treatment through an inpatient AOD admission, outpatient visit, intensive outpatient encounter, partial hospitalization, telehealth or medication assisted treatment (MAT) within 14 days of the diagnosis; and Engagement of AOD abuse or dependence who initiated treatment and who had two or more additional AOD services or MAT within 34 days of the initiation visit.; **Measure Type:** Process; **Level of Analysis:** Health Plan; **Setting of Care:** Emergency Department, Inpatient/Hospital, Outpatient Services; **Data Source:** Claims

Since this measure's last maintenance review, in 2012, it has been updated to include evidence-based pharmacotherapy, telehealth services, and new diagnostic codes. Additionally, the post-initiation engagement study period has been extended from 30 to 34 days. The Standing Committee indicated overall support of the endorsement of the measure and agreed that the updated specifications improved the measure overall. During the Committee discussions, the following salient points of interest or concerns emerged regarding this measure: refused initiations were treated as non-initiations, Medicaid and Medicare yielded distinctive rates suggesting risk-adjustment should be considered, combined drug and counseling therapy was not differentiated from one or the other, data systems to deploy this measure may presently be lacking and costly to achieve, and the measure is short-term only (34 days). The discourse further exposed the Committee's intellectual curiosity regarding the following points: 1. the measure holds promise to consider differences in treatment pathways for different substances of abuse (e.g., opioids versus alcohol), and 2. concerns about a "woodwork penalty," i.e., that those detecting more cases would fail to achieve the measure more often. This idea of a "woodwork penalty" was refuted by the citation of data suggesting the opposite correlation.

Public comment regarding this measure included queries or concerns about the following issues: the full inclusion of residential treatment codes, the duration of follow-up being extended from 30 to 34 days, sensitivity to multi-modal treatment (medication and counseling) as an explicit numerator event, adaptation of the phrase substance "use disorder" in lieu of "abuse and dependence", accounting for those who refuse treatment, and the long lag between an encounter and claims availability to the referring entity.

# 2152 Preventive Care and Screening: Unhealthy Alcohol Use: Screening & Brief Counseling (PCPI Foundation): Recommended

**Description**: Percentage of patients aged 18 years and older who were screened for unhealthy alcohol use using a systematic screening method at least once within the last 24 months AND who received brief counseling if identified as an unhealthy alcohol user; **Measure Type**: Process; **Level of Analysis**:

Clinician: Group/Practice, Clinician: Individual; **Setting of Care**: Outpatient Services, Home Care; **Data Source**: Registry Data

This process measure, originally endorsed in 2014, intends to increase screening rates for unhealthy alcohol use using a systematic screening method and further to ensure those that screened positive receive brief counseling. The Standing Committee confirmed that the evidence base for the measure has not changed since the 2014 review, and agreed to accept the previous vote on evidence. The Committee had no concerns with the updated score-level reliability and validity testing. Committee members noted concern about the absence of telehealth codes and the possibility of data not reflecting brief intervention encounters with non-behavioral health providers who do not document screening activity in medical records. The measure is currently used in the Centers for Medicare and Medicaid's Physician Quality Reporting System (PQRS) and Merit-based Incentive Payment System (MIPS) programs. Overall, the Committee agreed that this is an important measure and voted to recommend it for continued endorsement.

Public comment regarding this measure addressed concerns about some relevant transactions (i.e., numerator events) being "hidden" in claims because they were not reimbursed by the payer, or because they were bundled with other services. One commenter also noticed that past testing revealed only a "fair" Kappa agreement statistic of 0.31 for the denominator data element.

# 3453 Continuity of Care After Inpatient or Residential Treatment for Substance Use Disorder (SUD) (Centers for Medicare & Medicaid Services): Recommended

**Description**: Percentage of discharges from an inpatient or residential treatment facility for substance use disorder (SUD) for Medicaid beneficiaries, ages 18 to 64, which were followed by a treatment service for SUD; **Measure Type**: Process; **Level of Analysis**: Population: Regional and State; **Setting of Care**: Home Care, Inpatient/Hospital, Outpatient Services; **Data Source**: Claims

This new measure assesses whether patients discharged for SUD received an outpatient visit, intensive outpatient encounter or partial hospitalization, telehealth encounter; filled a prescription; or were administered or ordered a medication for SUD (e.g., methadone, buprenorphine). The measure is sensitive to primary and secondary diagnoses. Follow-up services in the primary care setting are included in the numerator. Two rates are reported, continuity within 7 and 14 days after discharge. The Standing Committee affirmed that credible evidence indicates that continuity of care post-discharge is related to reductions in: substance use, readmissions, criminal justice involvement, unemployment, and mortality. The developer provided evidence that Medicaid-based performance on this measure is generally low and variable. The Standing Committee agreed the score-level reliability and validity testing were appropriate and further that these results indicate the measure can distinguish between high- and low-performing states. At least one Committee member expressed concern that the validity testingdependent upon comparing the current measure to other similar process measures – was not persuasive regarding a quality of care connection. In response to this concern, the developer cited two studies <sup>25,26</sup> that support the connectivity between this measure and reduced mortality and readmissions. Committee members had slight sensitivity concerns around not crediting certain same-day-as-discharge services, but generally agreed with the need for other contacts within days 1–14. This claims-based

measure especially is intended to monitor and improve the quality of care for Medicaid beneficiaries with SUD, and the Committee recommended it for endorsement.

Public comment regarding this measure included the following supports or concerns about the measure: 1. Support was expressed for inclusion of telehealth generally and medication therapies for opioid use disorders, 2. Concern about the exclusion of peer supports or case management services, per se, as qualifying events, 3. Concern that the denominator is not sensitive to secondary diagnostic entries, and 4. Concern that because Medicaid benefits differ between states, interstate comparisons may lack full validity.

# 3451 Non-Acute Mental Health Services Utilization for Dual Eligible Beneficiaries (Centers for Medicare & Medicaid Services): Not Recommended

**Description**: The percentage of dual eligible (Medicare/Medicaid) beneficiaries with a mental health service need who received a non-acute mental health service in the measurement year. **Measure Type**: Process; **Level of Analysis**: Health Plan; **Setting of Care**: Home Care, Outpatient Services, Post-Acute Care; **Data Source**: Claims

This new measure did not pass NQF's "Evidence" criterion and thus deliberations were halted. Concerns regarding the Evidence were principally that the numerator and denominator definitions lacked either reasonable sensitivity or specificity. Specifically, three points were made by the Committee: 1. The denominator may be overly sensitive to single prescription fills for substances such as anxiolytics, thereby potentially including some cases that do not warrant any other mental health service, 2. the numerator lacks desired sensitivity to primary care mental health services where the primary diagnosis on the claim is not a mental health indication, and 3. the numerator will capture rendered mental health services even if the services are inappropriate in terms of duration/dose or diagnostic-treatment pairings. The developer acknowledged these concerns, but noted the measure was designed to assess access to any mental health service, not the appropriateness of that care. In response the Committee expressed concern that such a limited definition, at least one Committee member expressed concern about the inclusion of dementia cases. In response to this concern the developer commented that their dementia inclusion was rare and 'approved as relevant' by their technical expert panel (TEP).

## Measures Withdrawn from Consideration

Six measures previously endorsed by NQF have not been re-submitted for maintenance of endorsement or have been withdrawn during the endorsement evaluation process. Endorsement for these measures will be removed.

Public comment regarding this measure supported the need for measures like this one (which focused on Dual Medicare/Medicaid populations), but also were supportive of the Committee's concern that this particular measure's numerator is too non-specific, i.e., it risks capturing services that are not evidence-based.

Table 3	. Measures	Withdrawn	from	Consideration
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Measure	Reason for withdrawal
1651 TOB-1 Tobacco Use Screening	The developer withdrew this chart-based measure to align with CMS's goal of promoting eCQM use. The measure is being retooled as an eCQM.
1654 TOB-2 Tobacco Use Treatment Provided or Offered & TOB-2a Tobacco Use Treatment	The developer withdrew this chart-based measure to align with CMS's goal of promoting eCQM use. The measure is being retooled as an eCQM.
1656 TOB-3 Tobacco Use Treatment Provided or Offered at Discharge and TOB-3a Tobacco Use Treatment at Discharge	The developer withdrew this chart-based measure to align with CMS's goal of promoting eCQM use. The measure is being retooled as an eCQM.
1661 SUB-1 Alcohol Use Screening	The developer withdrew this chart-based measure to align with CMS's goal of promoting eCQM use. The measure is being retooled as an eCQM.
1663 SUB-2 Alcohol Use Brief Intervention Provided or Offered & SUB-2a Alcohol Use Brief Intervention	The developer withdrew this chart-based measure to align with CMS's goal of promoting eCQM use. The measure is being retooled as an eCQM.
1664 SUB-3 Alcohol and Other Drug Use Disorder Treatment Provided or Offered at Discharge & SUB-3a Alcohol and Other Drug Use Disorder Treatment at Discharge	The developer withdrew this chart-based measure to align with CMS's goal of promoting eCQM use. The measure is being retooled as an eCQM.

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# **Appendix A: Details of Measure Evaluation**

#### Rating Scale: H=High; M=Moderate; L=Low; I=Insufficient; NA=Not Applicable

### Measures Recommended

0004 Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment

#### Submission | Specifications

**Description**: This measure assesses the degree to which the organization initiates and engages members identified with a need for alcohol and other drug (AOD) abuse and dependence services and the degree to which members initiate and continue treatment once the need has been identified. Two rates are reported:

• Initiation of AOD Treatment. The percentage of adolescent and adult members with a new episode of AOD abuse or dependence who initiate treatment through an inpatient AOD admission, outpatient visit, intensive outpatient encounter, partial hospitalization, telehealth or medication assisted treatment (MAT) within 14 days of the diagnosis.

• Engagement of AOD Treatment. The percentage of adolescent and adult members with a new episode of AOD abuse or dependence who initiated treatment and who had two or more additional AOD services or MAT within 34 days of the initiation visit.

Numerator Statement: Initiation of AOD Treatment:

Initiation of treatment through an inpatient AOD admission, outpatient visit, intensive outpatient encounter or partial hospitalization, telehealth or medication treatment within 14 days of the diagnosis.

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Engagement of AOD Treatment:

Initiation of AOD treatment and two or more additional AOD services or medication treatment within 34 days of the initiation visit.

**Denominator Statement**: Patients age 13 years of age and older as of December 31 of the measurement year who were diagnosed with a new episode of alcohol or other drug dependency (AOD) during the first 10 and  $\frac{1}{2}$  months of the measurement year (e.g., January 1-November 15).

**Exclusions**: Exclude members who had a claim/encounter with a diagnosis of AOD abuse or dependence (AOD Abuse and Dependence Value Set), AOD medication treatment (AOD Medication Treatment Value Set) or an alcohol or opioid dependency treatment medication dispensing event (Medication Treatment for Alcohol Abuse or Dependence Medications List; Medication Treatment for Opioid Abuse or Dependence Medications List) during the 60 days (2 months) before the IESD.

Exclude patients who use hospice services or elect to use a hospice benefit any time during the measurement year, regardless of when the services began.

Adjustment/Stratification: No risk adjustment or risk stratification

Level of Analysis: Health Plan

Setting of Care: Emergency Department and Services, Inpatient/Hospital, Outpatient Services

Type of Measure: Process

Data Source: Claims

Measure Steward: National Committee for Quality Assurance

#### STANDING COMMITTEE MEETING 1/31/2019

#### 1. Importance to Measure and Report: The measure meets the Importance criteria

(1a. Evidence, 1b. Performance Gap)

1a. Evidence: H-7; M-11; L-0; I-0; 1b. Performance Gap: H-10; M-8; L-0; I-0

Rationale:

• The measure developer submitted updated evidence that includes pharmacotherapy as an appropriate treatment modality for those with opioid and alcohol use disorders.

0004 Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment

- The measure developer updated the measure to include Medication-assisted treatment (MAT) and evidence-based telehealth services to deliver psychosocial treatment and added diagnosis codification (e.g., separately specified alcohol, opioid, or other drug abuse or dependence and appropriate pharmacotherapy).
- Overall, the Committee agreed that the evidence submitted enhanced the submission from the last measure maintenance review in 2012.
- Standing Committee members expressed concern that disparities were evident by insurance type (an optional stratification criteria per the developer), but such determinants were otherwise not sufficiently considered in regards to other social determinants such as race and ethnicity.

2. Scientific Acceptability of Measure Properties: The measure meets the Scientific Acceptability criteria

(2a. Reliability - precise specifications, testing; 2b. Validity - testing, threats to validity

2a. Reliability: H-2; M-16; L-0; I-0 2b. Validity: H-1; M-17; L-0; I-0

Rationale:

- The measure developer conducted performance measure score reliability testing using a beta-binomial model (Adams 2009).
- The measure developer extended the engagement of the AOD treatment time frame from 30 to 34 days, thereby increasing sensitivity of the numerator for "engagement" to just outside of 1 month after the pathology is detected.
- The Standing Committee noted concern about not being able to determine if individuals were receiving both medication and counselling when such multimodal treatment is likely indicated.
- At least one Committee member suggested "woodwork penalties" may emerge for entities that screen aggressively, whereas a second member noted that empirical studies actually demonstrate that higher initiation and engagement rates positively correlate with higher screening rates. This was a risk-benefit concern, but it did not prevent support for the measure's testing.

#### 3. Feasibility: H-10; M-7; L-1; I-0

(3a. Clinical data generated during care delivery; 3b. Electronic sources; 3c.Susceptibility to inaccuracies/ unintended consequences identified 3d. Data collection strategy can be implemented)

Rationale:

- Overall, the Committee agreed that this measure is feasible.
- All data elements are electronically available.
- At least one Committee member commented that incentives for providers may be necessary to ensure encounters pertinent to this measure are documented. However, this concern did not impede the progress of this measure passing the feasibility criterion.

#### 4. Use and Usability

4a. Use; 4a1. Accountability and transparency; 4a2. Feedback on the measure by those being measured and others; 4b. Usability; 4b1. Improvement; 4b2. The benefits to patients outweigh evidence of unintended negative consequences to patients)

4a. Use: Pass-18; No Pass-0 4b. Usability: H-4; M-13; L-1; I-0 Rationale:

- This measure is currently in use in quality improvement and public reporting programs.
- One public commenter said the measure may be limited because it omits codes for multiple ASAM
  residential treatment levels of care. The developer was asked about the inclusion of residential
  treatment codes specifically and confirmed that they were included. Certainty about the completeness
  of that residential treatment list; however, was not confirmed during the meeting.

#### 5. Related and Competing Measures

There are no competing measures. The following measures are related:

0004 Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment

- #2599: Alcohol Screening and Follow-up for People with Serious Mental Illness (NCQA)
- #3312: Continuity of Care for Medicaid Beneficiaries after Detoxification (Detox) From Alcohol and/or Drugs (CMS)
- #3605: Follow-Up After Emergency Department Visit for Mental Illness or Alcohol and Other Drug Abuse or Dependence (NCQA)
- #2152: Preventive Care and Screening: Unhealthy Alcohol Use: Screening & Brief Counseling (PCPI)

6. Standing Committee Recommendation for Endorsement: Y-18; N-0

#### 7. Public and Member Comment

• One comment was received during the pre-commenting period. The commenter shared support for the measure, but noted a limitation in that it excludes multiple ASAM residential treatment levels of care. The commenter suggested this observation be considered during the next update to the measure.

The following comments were received after the Committee's evaluation:

- One commenter echoed Committee concern that the follow-up period of this measure was extended from 30 to 34 days and more generally that follow-up periods for this sort of measure can be 7, 14, 30 or 34. In response the developer stated that the additional days served to make the numerator of the measure sensitive to two practicalities: a)Pharmacy refills for a presumed 30 day supply which might be delayed slightly, and b) Lags in claims processing/reporting.
- A comment pursuant this measure encouraged the adoption the terminology "substance use disorder" instead of "substance abuse and dependence." The rational for this nomenclature change is to keep in line with the change that occurred several years ago in the Diagnostic Statistic Manual (DSM) when it evolved from DSM-IV to DSM-5. That change included combining abuse and dependence into one criteria, with slightly altered thresholds and with changes in the specific criteria that de-emphasized criminal justice involvement and added "craving", per se, as a symptom of interest (Hasin DS et al., *AJP*, 2013, pub number: 12060782). The Committee agreed with this lexicon suggestion.
- One commenter expressed concern that persons refusing treatment would simply be lost from the denominator of this measure. In response the developer noted only that they were limited by the claims data. The Committee generally accepted this argument, but was also sympathetic to the commenter and in the future hoped for measure that would account for such lost-to-follow-up issues.
- A commenter supported the new addition of telehealth codes as qualifying numerator events. Both the Committee and the commenter agree that telehealth should represent reimbursable and bona fide follow-up services for persons with alcohol and other drug treatment needs.
- One commenter expressed concern that claims typically are created too slowly to be used as a referral tool to follow-up services.
- NQF received a comment expressing concern that this measure may not be sufficiently sensitive to
  medication assisted therapy (MAT) (e.g., methadone, buprenorphine) for opioid use because such
  codes alone (absent other psychotherapy codes) were not classified as sufficient for numerator
  inclusion. In response the developer indicated that the psychotherapy code requirement was in line
  with existing guidelines, though they would keep track of those guidelines if they changed towards
  MAT alone. During the Committee discussion; however, it was determined that the current
  specifications of the measure actually do not require the psychotherapy codes, so MAT alone is
  permissible as a numerator event.

#### 8. Consensus Standards Approval Committee (CSAC) Vote: Y-X; N-X

9. Appeals

#### 2152 Preventive Care and Screening: Unhealthy Alcohol Use: Screening & Brief Counseling

#### Submission | Specifications

**Description**: Percentage of patients aged 18 years and older who were screened for unhealthy alcohol use using a systematic screening method at least once within the last 24 months AND who received brief counseling if identified as an unhealthy alcohol user

**Numerator Statement**: Patients who were screened for unhealthy alcohol use using a systematic screening method at least once within the last 24 months AND who received brief counseling if identified as an unhealthy alcohol user

**Denominator Statement**: All patients aged 18 years and older seen for at least two visits or at least one preventive visit during the measurement period

**Exclusions**: Documentation of medical reason(s) for not screening for unhealthy alcohol use (e.g., limited life expectancy, other medical reasons)

Adjustment/Stratification: No risk adjustment or risk stratification

Level of Analysis: Clinician : Group/Practice, Clinician : Individual

Setting of Care: Home Care, Outpatient Services

Type of Measure: Process

Data Source: Registry Data

Measure Steward: PCPI Foundation

STANDING COMMITTEE MEETING [01/30/2019]

#### 1. Importance to Measure and Report: The measure meets the Importance criteria

(1a. Evidence, 1b. Performance Gap)

1a. Evidence: **Previous Evidence Evaluation Accepted**; 1b. Performance Gap: H-9; M-9; L-0; I-0; <u>Rationale</u>:

- The Standing Committee agreed that the evidence base for the measure has not changed and consented to the previous vote on evidence.
- The measure developer provided performance data from the CMS Physician Quality Reporting System (PQRS) from 2012 through 2015 (data for those patients only receiving screening for unhealthy alcohol use). Additionally, the developer included the 2016 rate, 68.7% (this rate includes screening and brief intervention).
- The Committee agreed that the performance gap continues to be significant in all populations presented. One Committee member noted that this measure remains valuable because it continues to encourage primary care providers to screen for alcohol misuse and perform brief intervention as necessary.
- The measure developer was not able to provide updated disparities data as the reporting programs have not yet made these data available. The developer, however, was able to identify studies that demonstrate variation in risk for alcohol use disorder and prevalence of screening based on racial, ethnic, and educational differences.

2. Scientific Acceptability of Measure Properties: <u>The measure meets the Scientific Acceptability criteria</u>

(2a. Reliability - precise specifications, testing; 2b. Validity - testing, threats to validity) 2a. Reliability: H-3; M-12; L-3; I-0; 2b. Validity: H-3; M-11; L-3; I-0

Rationale:

- The developer provided updated measure score reliability testing using 2016 PQRS registry data. A beta-binominal model was used to assess the signal-to-noise ratio. The results of the reliability test, 0.99 using Adams' R calculation, indicated that variability between providers is in excess of variability within providers.
- The developer provided updated measure score validity testing by conducting a correlation analysis with two measures: Preventive Care and Screening: Screening for High Blood Pressure and Follow-up (PQRS #317) and Preventive Care and Screening: Screening for Clinical Depression and Follow-Up Plan

#### 2152 Preventive Care and Screening: Unhealthy Alcohol Use: Screening & Brief Counseling

(PQRS #134). The results indicate a positive correlation with the two evidence-based process of care measures focused on preventive care services noted:

- Preventive Care and Screening: Screening for High Blood Pressure and Follow-up has a moderate positive correlation (0.29)
- Preventive Care and Screening: Screening for Clinical Depression and Follow-Up Plan has a strong positive correlation (0.61).
- The Standing Committee had no concerns with the updated reliability and validity testing.
- Several Committee members commented on the measure's inability to capture all the ways in which counseling may occur, including telehealth and/or counseling provided by medical professionals located in the same facility, but employed by different organizations. The developer does not presently include telehealth encounters within the specifications.

#### **3. Feasibility:** H-3; M-12; L-1; I-0

(3a. Clinical data generated during care delivery; 3b. Electronic sources; 3c.Susceptibility to inaccuracies/ unintended consequences identified; 3d. Data collection strategy can be implemented) Rationale:

• The Standing Committee agreed the measure is feasible for implementation. Some data elements are in defined fields in electronic sources. The developer notes that this measure's data can be pulled from EHRs and use claims data in PQRS.

#### 4. Usability and Use: The maintenance measure meets the Use subcriterion

(Used and useful to the intended audiences for 4a. Accountability and Transparency; 4b. Improvement; and 4c. Benefits outweigh evidence of unintended consequences)

4a. Use: Pass-15; No Pass-2; 4b. Usability: H-3; M-15; L-1; I-0

Rationale:

• The measure is publicly reported and used in the Merit Based Incentive Payment System (MIPS) program. Prior to 2016, the measure was used in the PQRS. 2018 data will be available for public reporting on Physician Compare in late 2019.

#### 5. Related and Competing Measures

- There are no competing measures. The following measures are related:
  - #2599: Alcohol Screening and Follow-Up for People with Serious Mental Illness (NCQA)
  - #1661: SUB-1 Alcohol Use Screening (TJC) (lost endorsement in 2018)
  - #1663: SUB-2 Alcohol Use Brief Intervention Provided or Offered and SUB 2a Alcohol Use Brief Intervention (TJC) (lost endorsement in 2018)
- These measures are currently harmonized to the extent possible.

#### 6. Standing Committee Recommendation for Endorsement: Yes-16; No-2

#### 7. Public and Member Comment

- A commenter noted concerns that administrative claims may not contain records of brief interventions
  and other services-of-interest to this measure, i.e., this was a concern about the measure's sensitivity
  to all numerator events, some of which might be unbilled or "hidden" in bundled transactions. At least
  one Committee member expressed rivaling concerns that such "hidden" encounters often do not
  reflect substantial therapeutic efforts (e.g., good quality care in terms of intensity and duration).
  Accordingly, the Committee agreed that in future versions of this sort of measure, the numerator
  definitions especially should be created to account both for sensitivity and specificity concerns.
- A comment expressed concern regarding a low data-element reliability coefficient demonstrating only "fair" reproducibility of the denominator: *Kappa*=.31. In response the developer noted that coefficient corresponding to test-retest percent agreement which was more than reasonable (87%), that it is well

#### 2152 Preventive Care and Screening: Unhealthy Alcohol Use: Screening & Brief Counseling

known that the Cohen's Kappa is stringent when chance agreement is high, and mostly importantly, that their recent testing with Adam-R statistics (score-level) any ways was exceptionally high (0.98) demonstrating reproducibility of the inter-entity differences was substantial and in accordance with NQF quality testing criteria. The Committee did not take exception to this response by the developer

#### 8. Consensus Standards Approval Committee (CSAC) Endorsement Decision: Yes-X; No-X

9. Appeals

#### 3453 Continuity of care after inpatient or residential treatment for substance use disorder (SUD)

#### Submission | Specifications

**Description**: Percentage of discharges from an inpatient or residential treatment for substance use disorder (SUD) for Medicaid beneficiaries, ages 18 to 64, which was followed by a treatment service for SUD. SUD treatment includes having an outpatient visit, intensive outpatient encounter or partial hospitalization, telehealth encounter, or filling a prescription or being administered or ordered a medication for SUD. (After an inpatient discharge only, residential treatment also counts as continuity of care.) Two rates are reported, continuity within 7 and 14 days after discharge.

**Numerator Statement**: Discharges in the denominator with an outpatient visit, intensive outpatient encounter or partial hospitalization, telehealth or filled a prescription for or were administered or ordered a medication for SUD. (After an inpatient discharge only, residential treatment also counts as continuity of care.) Two rates are reported, continuity within 7 and 14 days after discharge.

**Denominator Statement**: Adult Medicaid beneficiary discharges from inpatient or residential treatment for SUD with a principal diagnosis of SUD during from January 1 to December 15 of the measurement year.

Exclusions: Exclude from the denominator for both rates:

- Discharges with hospice services during the measurement year
- Both the initial discharge and the admission/direct transfer discharge if the admission/direct transfer discharge occurs after December 15 of the measurement year.

Discharges followed by admission or direct transfer to inpatient or SUD residential treatment setting within 7 or 14-day continuity of care period. These discharges are excluded from the measure because transfer, hospitalization or admission to residential treatment within 7 or 14 days may prevent a continuity of care visit from taking place. An exception is admission to residential treatment following discharge from inpatient treatment; we do not exclude these admissions, because continuity into residential treatment after inpatient treatment is considered appropriate treatment.

Adjustment/Stratification: No risk adjustment or risk stratification

Level of Analysis: Population : Regional and State

Setting of Care: Emergency Department and Services, Home Care, Inpatient/Hospital, Outpatient Services Type of Measure: Process

Type of weasure. Froce

Data Source: Claims

Measure Steward: Centers for Medicare & Medicaid Services, Centers for Medicaid & CHIP Services

#### STANDING COMMITTEE MEETING 1/31/2019

#### 1. Importance to Measure and Report: The measure meets the Importance criteria

(1a. Evidence, 1b. Performance Gap)

1a. Evidence: M-16; L-2; I-0; 1b. Performance Gap: H-10; M-7; L-0; I-0

Rationale:

• This measure is based on evidence from review articles as well as additional studies that indicate continuity of care for SUD–defined differently in the literature–is linked to improved 2-year mortality,

3453 Continuity of care after inpatient or residential treatment for substance use disorder (SUD)

reduced hospital readmissions and criminal justice activity, sustained treatment, and improved employment status.

- The Committee agreed that both literature and the analysis of Medicaid data (7-day rate average across 13 states: 18.4%; 14-day rate: 24.2%) indicate there is generally low performance with variation by state.
- There were also differences in rates by subgroups, including higher continuity rates for those in rural areas. The developer suggests this may be related to intensified discharge planning because of long distances between the inpatient facility and the patient's home. The Committee encouraged the developer to further explore differences between rural and urban populations and examine the distribution of types of follow-up services used in rural versus urban areas.

2. Scientific Acceptability of Measure Properties: The measure meets the Scientific Acceptability criteria

(2a. Reliability - precise specifications, testing; 2b. Validity - testing, threats to validity

2a. Reliability: H-3; M-14; L-0; I-0 2b. Validity: H-5; M-11; L-1; I-0

Rationale:

- The developer used 2014 Medicaid Analytic Extract data to conduct measure score reliability testing. A beta-binominal model was used to assess the signal-to-noise ratio. Adams' R values were >=0.9 across states for both the 7- and 14-day rates.
- To test convergent validity, performance on this measure was compared to measures #3312 Continuity of Care for Medicaid Beneficiaries after Detoxification (Detox) From Alcohol and/or Drugs and
- #0576 Follow-Up After Hospitalization for Mental Illness (FUH). Spearman rank correlation results indicated moderate, positive, but not significant correlations between the measure and the two external measures. A technical expert panel (TEP) strongly supported the measure's validity, but two members only strongly supported the 7-day rate since they believed follow-up should occur within 7 days.
- The Committee discussed the time period in which follow-up services should be performed. The developer shared that same-day services only count for the pharmacotherapy, which aligns with similar measures.
- The developer acknowledged the following are included in the measure: case management visits if the client was directly included, only discharges with SUD as a primary or principle diagnosis (for inclusion in the denominator), and follow-up services in the primary care setting.
- The developer stated that self-help services (e.g., Alcoholics Anonymous) are not included in the measure since even with that type of care, in-parallel medical care would also be indicated.
- One Committee member commented that excluding those who relapse to inpatient care suggests the burden falls on the patient when, perhaps, the health system could have bettered prepared the patient before leaving inpatient or residential treatment. Sensitivity testing of the inpatient relapse exclusion from the denominator of this measure was deemed by the developer to be a rare event that did not appreciably change the results. The developer also responded that these patients are excluded since relapses to inpatient care could be related to the initial care received in hospital, care provided after discharge, or individual factors/social determinants of health.
- There was concern that judging validity based on correlation with another measure, though an accepted and widely-used method, is not the best way to determine validity.
- Overall, the Committee agreed the measure is valid, citing evidence in the literature linking continuity of care to improved mortality and improved outcomes.

#### 3. Feasibility: H-10; M-8; L-0; I-0

(3a. Clinical data generated during care delivery; 3b. Electronic sources; 3c.Susceptibility to inaccuracies/ unintended consequences identified 3d. Data collection strategy can be implemented) Rationale:

• This claims-based measure is feasible to implement as users have successfully implemented similarly structured measures.

#### 3453 Continuity of care after inpatient or residential treatment for substance use disorder (SUD)

#### 4. Use and Usability

4a. Use; 4a1. Accountability and transparency; 4a2. Feedback on the measure by those being measured and others; 4b. Usability; 4b1. Improvement; 4b2. The benefits to patients outweigh evidence of unintended negative consequences to patients)

4a. Use: Pass-18; No Pass-0 4b. Usability: H-6; M-12; L-0; I-0

Rationale:

- This new measure is intended for use by states to improve care for Medicaid recipients with SUD.
- Testing indicated low performance rates, and the Committee agreed the measure's benefits outweigh potential unintended consequences related to organizations finding care quickly or refusing clients that are higher risk or harder to place.

#### 5. Related and Competing Measures

- There are no directly competing measures. The following measures are related:
  - $\circ$   $\ \ \,$  #0004: Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment
  - #0576: Follow-Up After Hospitalization for Mental Illness (FUH)
  - #2605: Follow-Up After Emergency Department Visit for Mental Illness or Alcohol and Other Drug Abuse or Dependence
  - #3312: Continuity of Care for Medicaid Beneficiaries after Detoxification (Detox) From Alcohol and/or Drugs
- Parts of the specifications for the proposed measure harmonize with some measures but not others.
  - The developer examined similarities and differences in timing of continuity of care, population, diagnosis (i.e., primary versus secondary diagnosis), services, and practitioner allowed to provide follow-up in the numerator and diagnosis and age in the denominator.
- The Committee approved the specifications used in the measure, but was interested in additional alignment and standardization of elements in all continuity of care measures.

#### 6. Standing Committee Recommendation for Endorsement: Y-18; N-0

#### 7. Public and Member Comment

- Similar to measure 0004, one commenter expressed support for the new inclusion of telehealth codes as qualifying services for this measure's numerator. Support was further expressed for inclusion of medication assisted treatment (e.g., methadone, buprenorphine) for opioid use disorder.
- One comment expressed concern that this measure did not include peer supports or case management services as qualifying events in the numerator. The developer responded by stating that presently their reading of the evidence-base literature did not support these sort of services (especially peer supports), per se, as standard of care for persons discharged from inpatient/residential care for SUD. The developer did note that in future iterations of the measure they would consider if their numerator definition should be expanded to include peer supports or case management services alone. The Committee generally agreed with the developer's assessment in that regard.
- One comment expressed concerned that the denominator inclusion criteria for this measure was sensitive only to primary rather than higher order (second, third, etc.) diagnoses recorded in the medical record. In response the developer acknowledged this 'sensitivity to cases' limitation, but further noted that for the numerator secondary or higher order (confirmed by the develop on the conference call) diagnoses were considered. As such it can be said that this measure has somewhat limited case/disease sensitivity, but more liberal treatment sensitivity. In follow-up discussion the Committee did not express major concern about these sensitivity/specificity issues.
- One commenter noted that this measure is limited because Medicaid claims especially reflect
  potentially difference benefits packages (i.e., different paid-for services) in each state. The Committee
  cautioned interstate comparisons absent consideration of different state Medicaid regulations.
  However, the Committee did not change their recommendation of the measure.

#### 8. Consensus Standards Approval Committee (CSAC) Vote: Y-X; N-X

3453 Continuity of care after inpatient or residential treatment for substance use disorder (SUD)

9. Appeals

## Measure Not Recommended

3451 Non-Acute Mental Health Services Utilization for Dual Eligible Beneficiaries

**Submission** 

**Description**: The percentage of dual eligible beneficiaries with a mental health service need who received a non-acute mental health service in the measurement year.

**Numerator Statement**: The number of dual eligible beneficiaries receiving at least one non-acute mental health service in the 12-month measurement year. The following services are included as non-acute mental health services:

- Outpatient service with a mental health provider for a mental health diagnosis
- Mental health outpatient encounter
- Mental health condition management in primary care

**Denominator Statement**: The number of dual eligible beneficiaries age 21 and older with a mental health service need in the 18-month identification window (the 12-month measurement year plus six months prior to the measurement year).

Exclusions: None

Adjustment/Stratification: No risk adjustment or risk stratification

Level of Analysis: Health Plan

Setting of Care: Home Care, Outpatient Services, Post-Acute Care

Type of Measure: Process

Data Source: Claims

Measure Steward: Centers for Medicare & Medicaid Services

#### STANDING COMMITTEE MEETING 01/30/2019

#### 1. Importance to Measure and Report: The measure meets the Importance criteria

1a. Evidence: M-7; L-8; I-4 1b. Performance Gap: N/A

Rationale:

- The developer stated that the measure is not intended to assess the adequacy or intensity of the services or treatment, but rather aims to tabulate a 'low-bar' indicator for mental health service access. This explanation left the Committee concerned about the indicator's validity as a quality of care measure.
- The Committee was concerned about the sensitivity and specificity of both the numerator and denominator. Several of those concerns are listed here:
  - Numerator events were counted irrespective of their treatment appropriateness or duration.
  - The numerator excluded primary care visits if the claim entry had the mental health diagnosis in a secondary position. At least one Committee member noted that individuals visiting primary care settings often have multiple diagnoses entered in their claims record, and the order of those entries may not reflect issue urgency.
  - The denominator may be overly sensitive (e.g., a single anti-anxiety medication prescription may flag someone with a treatable mental illness who only has a very acute adjustment problem). The Committee thus encouraged the developer to consider narrowing the denominator specifications.
  - The Committee expressed concern about including some dementia cases in the measure denominator. The developer commented that their empirical data showing such diagnoses are rare relative to their total population of interest and a technical expert panel (TEP) supported

3451 Non-Acute Mental Health Services Utilization for Dual Eligible Beneficiaries

the measure's face validity. Still, the Committee remained concern about this diagnostic inclusion.

- The Committee agreed that lack of access to mental health services is a major issue for the dualeligible population, but they ultimately decided to reject the measure because of the concerns summarized above.
- After the failed vote on evidence, the Committee offered the developer brief advice towards the measure's re-submission. That advice included the suggestion that a future submission should better describe why California and Rhode Island data is not suitable to test their measure.

2. Scientific Acceptability of Measure Properties: The measure meets the Scientific Acceptability criteria

(2a. Reliability - precise specifications, testing; 2b. Validity - testing, threats to validity 2a. Reliability: **N/A** 2b. Validity: **N/A** 

#### 3. Feasibility: N/A

(3a. Data generated during care; 3b. Electronic sources; and 3c. Data collection can be implemented (eMeasure feasibility assessment of data elements and logic)

#### 4. Use and Usability

(4a. Use; 4a1. Accountability and transparency; 4a2. Feedback on the measure by those being measured and others; 4b. Usability; 4b1. Improvement; 4b2. The benefits to patients outweigh evidence of unintended negative consequences to patients)

4a. Use: N/A 4b. Usability: N/A

#### 5. Related and Competing Measures

• This measure is related to NQF #0576: Follow-Up After Hospitalization for Mental Illness (FUH).

#### 6. Standing Committee Recommendation for Endorsement: N/A

#### 7. Public and Member Comment

All comments expressed support for the need for more Medicare/Medicaid dual relevant measures (this idea is expressed in the "gaps" section of this report). All comments also echoed the Committee's stated concern that the current measure is not specific enough regarding numerator and denominator definitions to well capture appropriate clients for intervention and appropriate treatment/indication pairings for inclusion in the denominator. In response, the developer acknowledged these concerns, but also noted the intent of the measure was purely to measure access to services, not deployment of evidence-based treatment responses more directly. The specific responses from the developer appear below. These responses did not trigger the Committee to re-consider their vote 'not to recommend' this measure in its current form.

The measure developer provided the following response to the comments:

Thank you for your comment. We agree that the measure lacks specificity, but note that this is by design to support the measure's intent to provide a metric of access to non-acute mental health services for individuals with a mental health need. During the development of the measure, we received feedback through a public comment period, expert work group meeting, and a technical expert panel meeting that a broad definition of mental health need was most appropriate for a measure intended to capture access to non-acute mental health services. We also received feedback from a technical expert panel that limiting the measure to capture only those mental health encounters where a mental health condition was listed as the primary diagnosis was an appropriate restriction on the sensitivity of the measure. This is because the measure is intended to capture only those encounters in which a mental health condition is actually treated. Reports from the field indicate that many providers use

3451 Non-Acute Mental Health Services Utilization for Dual Eligible Beneficiaries		
secondary or tertiary diagnosis fields to capture conditions that are present during an encounter but were not necessarily treated during that encounter.		
<ul> <li>Thank you for your comment. In reviewing the evidence for the measure concept, we found numerous studies that demonstrate a significant proximal link between access to and use of non-acute mental health services for individuals with a mental health service need with increase quality of life, as well as a reduction in negative outcomes such as homelessness, hospitalization, incarceration, and episodes of violence. Please note that the measure is not intended to assess the appropriateness, adequacy, or intensity of care, but rather whether beneficiaries with mental health needs have access to non-acute mental health services. Our testing results and the review of the evidence indicate that there is a substantial gap in such access, which leave many individuals in the measure population at increased risk for negative consequences related to non-treatment of mental health conditions.</li> </ul>		
8. Consensus Standards Approval Committee (CSAC) Vote: Y-X; N-X		
9. Appeals		

# Appendix B: Behavioral Health and Substance Use Portfolio—Use in Federal Programs<sup>a</sup>

NQF #	Title	Federal Programs: Finalized or Implemented as of May 01, 2019
0004	Initiation and Engagement of Alcohol and Other Drug Dependence Treatment	Merit-based Incentive Payment System (MIPS) (Finalized 2016) Qualified Health Plan (QHP) Quality Rating System (QRS) (Implemented 2015)
0004e	Initiation and Engagement of Alcohol and Other Drug Dependence Treatment (eMeasure)	Merit-based Incentive Payment System (MIPS) (Finalized 2018)
0027	Medical Assistance With Smoking and Tobacco Use Cessation	Medicaid (Implemented 2018) Qualified Health Plan (QHP) Quality Rating System (QRS) (Implemented 2016)
0028	Preventive Care & Screening: Tobacco Use: Screening & Cessation Intervention	Merit-based Incentive Payment System (MIPS) (Finalized 2016) Medicare Shared Savings Program (MSSP) (Implemented 2012)
0028e	Preventive Care and Screening: Tobacco Use: Screening and Cessation Intervention (eMeasure)	Merit-based Incentive Payment System (MIPS) (Implemented 2018) Million Hearts (Implemented 2018)
0104	Adult Major Depressive Disorder: Suicide Risk Assessment	Merit-based Incentive Payment System (MIPS) (Implemented 2016)
0104e	Adult Major Depressive Disorder: Suicide Risk Assessment (eMeasure)	Merit-based Incentive Payment System (MIPS) (Implemented 2018)
0105	Antidepressant Medication Management (AMM)	Merit-based Incentive Payment System (MIPS) (Finalized 2016) Qualified Health Plan (QHP) Quality Rating System (QRS) (Implemented 2016) Medicaid (Implemented 2013)

<sup>&</sup>lt;sup>a</sup> Per CMS Measures Inventory Tool as of 02/27/2019

NQF #	Title	Federal Programs: Finalized or Implemented as of May 01, 2019
0105e	Antidepressant Medication Management (AMM) (eMeasure)	Merit-based Incentive Payment System (MIPS) (Implemented 2018)
0108	Follow-Up Care for Children Prescribed ADHD Medication (ADD)	Merit-based Incentive Payment System (MIPS) (Implemented 2018)
0108	Follow-Up Care for Children Prescribed ADHD Medication (ADD) (eMeasure)	Merit-based Incentive Payment System (MIPS) (Implemented 2018)
0418	Preventive Care and Screening: Screening for Depression and Follow-Up Plan	Medicaid (Implemented 2018)
0418e	Preventive Care and Screening: Screening for Depression and Follow-Up Plan (eMeasure)	Merit-based Incentive Payment System (MIPS) (Implemented 2018)
0560	HBIPS-5 Patients Discharged on Multiple Antipsychotic Medications with Appropriate Justification	Hospital Compare (Implemented 2013) Inpatient Psychiatric Quality Reporting (Implemented 2013)
0576	Follow-Up After Hospitalization for Mental Illness (FUH)	Merit-based Incentive Payment System (MIPS) (Finalized 2016) Hospital Compare (Implemented 2015) Inpatient Psychiatric Facility Quality Reporting (Implemented 2015) Qualified Health Plan (QHP) Quality Rating System (QRS) (Implemented 2015) Medicaid (Implemented 2013)
0640	HBIPS-2 Hours of physical restraint use	Hospital Compare (Implemented 2013) Inpatient Psychiatric Facility Quality Reporting (Implemented 2013)
0641	HBIPS-3 Hours of seclusion use	Hospital Compare (Implemented 2013) Inpatient Psychiatric Facility Quality Reporting (Implemented 2013)
0710e	Depression Remission at Twelve Months (eMeasure)	Merit-based Incentive Payment System (MIPS) (Implemented 2018)
0711	Depression Remission at Six Months	Merit-Based Incentive Payment System (MIPS) Program (Finalized 2016)

NQF #	Title	Federal Programs: Finalized or Implemented as of May 01, 2019
0712e	Depression Utilization of the PHQ-9 Tool (eMeasure)	Merit-based Incentive Payment System (MIPS) (Implemented 2018)
1365	Child and Adolescent Major Depressive Disorder: Suicide Risk Assessment	Merit-based Incentive Payment System (MIPS) (Finalized 2016)
1365e	Child and Adolescent Major Depressive Disorder: Suicide Risk Assessment (eMeasure)	Merit-based Incentive Payment System (MIPS) (Implemented 2018)
1651	TOB-1 Tobacco Use Screening	Hospital Compare (Implemented 2016) Inpatient Psychiatric Facility Quality Reporting (Implemented 2016; to be removed 2019)
1654	TOB - 2 Tobacco Use Treatment Provided or Offered and the subset measure TOB- 2a Tobacco Use Treatment	Hospital Compare (Implemented 2016) Inpatient Psychiatric Hospital Facility Reporting (Implemented 2016)
1656	TOB-3 Tobacco Use Treatment Provided or Offered at Discharge and the subset measure TOB- 3a Tobacco Use Treatment at Discharge	Hospital Compare (Implemented 2017) Inpatient Psychiatric Hospital Facility Reporting (Implemented 2017)
1661	SUB-1 Alcohol Use Screening	Hospital Compare (Implemented 2015) Inpatient Psychiatric Facility Quality Reporting (Implemented 2015; to be removed 2019)
1663	SUB-2 Alcohol Use Brief Intervention Provided or Offered and SUB-2a Alcohol Use Brief Intervention	Hospital Compare (Implemented 2017) Inpatient Psychiatric Facility Quality Reporting (Implemented 2017)
1664	SUB-3 Alcohol & Other Drug Use Disorder Treatment Provided or Offered at Discharge and SUB- 3a Alcohol & Other	Inpatient Psychiatric Facility Quality Reporting (Implemented 2017)

NQF #	Title	Federal Programs: Finalized or Implemented as of May 01, 2019
	Drug Use Disorder Treatment at Discharge	
1879	Adherence to Antipsychotic Medications for Individuals with Schizophrenia	Merit-based Incentive Payment System (MIPS) (Finalized 2013) Medicaid (Implemented 2018)
1932	Diabetes Screening for People With Schizophrenia or Bipolar Disorder Who Are Using Antipsychotic Medications (SSD)	Medicaid (Implemented 2018)
2152	Preventive Care and Screening: Unhealthy Alcohol Use: Screening & Brief Counseling	Merit-based Incentive Payment System (MIPS) (Finalized 2016)
2605	Follow-up after Discharge from the Emergency Department for Mental Health or Alcohol or Other Drug Dependence	Medicaid (Implemented 2018)
2607	Diabetes Care for People with Serious Mental Illness: Hemoglobin A1c (HbA1c) Poor Control (>9.0%)	Medicaid (Implemented 2017)

# Appendix C: Behavioral Health and Substance Use Standing Committee and NQF Staff

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# Appendix D: Measure Specifications

	0004 Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment
Steward	National Committee for Quality Assurance
Description	This measure assesses the degree to which the organization initiates and engages members identified with a need for alcohol and other drug (AOD) abuse and dependence services and the degree to which members initiate and continue treatment once the need has been identified. Two rates are reported:
	<ul> <li>Initiation of AOD Treatment. The percentage of adolescent and adult members with a new episode of AOD abuse or dependence who initiate treatment through an inpatient AOD admission, outpatient visit, intensive outpatient encounter, partial hospitalization, telehealth or medication assisted treatment (MAT) within 14 days of the diagnosis.</li> <li>Engagement of AOD Treatment. The percentage of adolescent and adult members</li> </ul>
	with a new episode of AOD abuse or dependence who initiated treatment and who had two or more additional AOD services or MAT within 34 days of the initiation visit.
Туре	Process
Data Source	Claims NCQA collects HEDIS data directly from Health Management Organizations and Preferred Provider Organizations via a data submission portal - the Interactive Data Submission System (IDSS).
Level	Health Plan
Setting	Emergency Department and Services, Inpatient/Hospital, Outpatient Services
Numerator Statement	Initiation of AOD Treatment: Initiation of treatment through an inpatient AOD admission, outpatient visit, intensive outpatient encounter or partial hospitalization, telehealth or medication treatment within 14 days of the diagnosis.
	Engagement of AOD Treatment:
	Initiation of AOD treatment and two or more additional AOD services or medication treatment within 34 days of the initiation visit.
Numerator Details	Index Episode Start Date. The earliest date of service for an eligible encounter during the Intake Period with a diagnosis of AOD abuse or dependence.
	• For an outpatient, intensive outpatient, partial hospitalization, observation, telehealth, detoxification or ED visit (not resulting in an inpatient stay), the IESD is the date of service.
	• For an inpatient stay, the IESD is the date of discharge.
	• For an ED and observation visits that results in an inpatient stay, the IESD is the date of the inpatient discharge (an AOD diagnosis is not required for the inpatient stay; use the diagnosis from the ED or observation visit to determine the diagnosis cohort).
	• For direct transfers, the IESD is the discharge date from the last admission (an AOD diagnosis is not required for the transfer; use the diagnosis from the initial admission to determine the diagnosis cohort).
	INITIATION OF AOD TREATMENT
	Initiation of AOD treatment within 14 days of the IESD.
	If the Index Episode was an inpatient discharge (or an ED visit that resulted in an inpatient stay), the inpatient stay is considered initiation of treatment and the member is compliant.

0004 Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment
If the Index Episode was not an inpatient discharge, the member must initiate treatment on the IESD or in the 13 days after the IESD (14 total days). Any of the following code combinations meet criteria for initiation:
• An acute or nonacute inpatient admission with a diagnosis matching the IESD diagnosis cohort using one of the following: Alcohol Abuse and Dependence Value Set, Opioid Abuse and Dependence Value Set, Other Drug Abuse and Dependence Value Set. To identify acute and nonacute inpatient admissions:
• Identify all acute and nonacute inpatient stays (Inpatient Stay Value Set).
Identify the admission date for the stay.
• IET Stand Alone Visits Value Set with a diagnosis matching the IESD diagnosis cohort using one of the following: Alcohol Abuse and Dependence Value Set, Opioid Abuse and Dependence Value Set, Other Drug Abuse and Dependence Value Set, with or without a telehealth modifier (Telehealth Modifier Value Set).
• Observation Value Set with a diagnosis matching the IESD diagnosis cohort using one of the following: Alcohol Abuse and Dependence Value Set, Opioid Abuse and Dependence Value Set.
• IET Visits Group 1 Value Set with IET POS Group 1 Value Set and a diagnosis matching the IESD diagnosis cohort using one of the following: Alcohol Abuse and Dependence Value Set, Opioid Abuse and Dependence Value Set, Other Drug Abuse and Dependence Value Set with or without a telehealth modifier (Telehealth Modifier Value Set).
• IET Visits Group 2 Value Set with IET POS Group 2 Value Set and a diagnosis matching the IESD diagnosis cohort using one of the following: Alcohol Abuse and Dependence Value Set, Opioid Abuse and Dependence Value Set, Other Drug Abuse and Dependence Value Set with or without a telehealth modifier (Telehealth Modifier Value Set).
• A telephone visit (Telephone Visit Value Set) with a diagnosis matching the IESD diagnosis cohort using one of the following: Alcohol Abuse and Dependence Value Set, Opioid Abuse and Dependence Value Set, Other Drug Abuse and Dependence Value Set.
• An online assessment (Online Assessment Value) set with a diagnosis matching the IESD diagnosis cohort using one of the following: Alcohol Abuse and Dependence Value Set, Opioid Abuse and Dependence Value Set, Other Drug Abuse and Dependence Value Set.
• If the Index Episode was for a diagnosis of alcohol abuse or dependence (Alcohol Abuse and Dependence Value Set) a medication treatment dispensing event (Medication Treatment for Alcohol Abuse or Dependence Medications List) or medication treatment during a visit (AOD Medication Treatment Value Set).
• If the Index Episode was for a diagnosis of opioid abuse or dependence (Opioid Abuse and Dependence Value Set) a medication treatment dispensing event (Medication Treatment for Opioid Abuse or Dependence Medications List) or medication treatment during a visit (AOD Medication Treatment Value Set).
For all initiation events except medication treatment (AOD Medication Treatment Value Set; Medication Treatment for Alcohol Abuse or Dependence Medications List; Medication Treatment for Opioid Abuse or Dependence Medications List), initiation on the same day as the IESD must be with different providers in order to count.
• If a member is compliant for the Initiation numerator for any diagnosis cohort (i.e., alcohol, opioid, other drug) or for multiple cohorts, count the member only once in the Total Initiation numerator. The "Total" column is not the sum of the diagnosis columns.
0004 Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment
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• Exclude the member from the denominator for both indicators (Initiation of AOD Treatment and Engagement of AOD Treatment) if the initiation of treatment event is an inpatient stay with a discharge date after November 27 of the measurement year.
ENGAGEMENT OF AOD TREATMENT
1) Numerator compliant for the Initiation of AOD Treatment numerator and
<ul> <li>2) Members whose initiation of AOD treatment was a medication treatment event</li> </ul>
(Medication Treatment for Alcohol Abuse or Dependence Medications List; Medication Treatment for Opioid Abuse or Dependence Medications List; AOD Medication Treatment
Value Set).
These members are numerator compliant if they have two or more engagement events where only one can be an engagement medication treatment event.
3) Remaining members whose initiation of AOD treatment was not a medication treatment event (members not identified in step 2).
These members are numerator compliant if they meet either of the following:
At least one engagement medication treatment event.
At least two engagement visits
Two engagement visits can be on the same date of service, but they must be with different providers in order to count as two events. An engagement visit on the same date of service as an engagement medication treatment event meets criteria (there is no requirement that they be with different providers).
Engagement visits:
Any of the following meet criteria for an engagement visit:
• An acute or nonacute inpatient admission with a diagnosis matching the IESD diagnosis cohort using one of the following: Alcohol Abuse and Dependence Value Set, Opioid Abuse and Dependence Value Set, Other Drug Abuse and Dependence Value Set. To identify acute or nonacute inpatient admissions:
<ul> <li>Identify all acute and nonacute inpatient stays (Inpatient Stay Value Set).</li> </ul>
<ul> <li>Identify the admission date for the stay.</li> </ul>
• IET Stand Alone Visits Value Set with a diagnosis matching the IESD diagnosis cohort using one of the following: Alcohol Abuse and Dependence Value Set, Opioid Abuse and Dependence Value Set, Other Drug Abuse and Dependence Value Set, with or without a telehealth modifier (Telehealth Modifier Value Set).
• Observation Value Set with a diagnosis matching the IESD diagnosis cohort using one of the following: Alcohol Abuse and Dependence Value Set, Opioid Abuse and Dependence Value Set.
• IET Visits Group 1 Value Set with IET POS Group 1 Value Set with a diagnosis matching the IESD diagnosis cohort using one of the following: Alcohol Abuse and
Dependence Value Set, Opioid Abuse and Dependence Value Set, Other Drug Abuse and Dependence Value Set, with or without a telehealth modifier (Telehealth Modifier Value Set).
• IET Visits Group 2 Value Set with IET POS Group 2 Value Set with a diagnosis matching the IESD diagnosis cohort using one of the following: Alcohol Abuse and Dependence Value Set, Opioid Abuse and Dependence Value Set, Other Drug Abuse and Dependence Value Set, with or without a telehealth modifier (Telehealth Modifier Value Set).

	0004 Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment
	<ul> <li>A telephone visit (Telephone Visits Value Set) with a diagnosis matching the IESD diagnosis cohort using one of the following: Alcohol Abuse and Dependence Value Set, Opioid Abuse and Dependence Value Set, Other Drug Abuse and Dependence Value Set.</li> <li>An online assessment (Online Assessments Value Set) with a diagnosis matching the IESD diagnosis cohort using one of the following: Alcohol Abuse and Dependence Value Set, Set, Opioid Abuse and Dependence Value Set, Other Drug Abuse and Dependence Value Set.</li> </ul>
	Engagement Medication Treatment Events:
	Either of the following meets criteria for an engagement medication treatment event:
	• If the IESD diagnosis was a diagnosis of alcohol abuse or dependence (Alcohol Abuse and Dependence Value Set), one or more medication treatment dispensing events (Medication Treatment for Alcohol Abuse or Dependence Medications List) or medication treatment during a visit (AOD Medication Treatment Value Set), beginning on the day after the initiation encounter through 34 days after the initiation event (total of 34 days), meets criteria for Alcohol Abuse and Dependence Treatment.
	• If the IESD diagnosis was a diagnosis of opioid abuse or dependence (Opioid Abuse and Dependence Value Set), one or more medication dispensing events (Medication Treatment for Opioid Abuse or Dependence Medications List) or medication treatment during a visit (AOD Medication Treatment Value Set), beginning on the day after the initiation encounter through 34 days after the initiation event (total of 34 days), meets criteria for Opioid Abuse and Dependence Treatment.
	If the member is compliant for multiple cohorts, only count the member once for the Total Engagement numerator. The Total Column is not the sum of the diagnosis columns.
Denominator Statement	Patients age 13 years of age and older as of December 31 of the measurement year who were diagnosed with a new episode of alcohol or other drug dependency (AOD) during the first 10 and ½ months of the measurement year (e.g., January 1-November 15).
Denominator Details	<ul> <li>Identify the Index Episode. Identify all members 13 years and older as of December 31 of the measurement year who during the Intake Period had one of the following:</li> <li>An outpatient visit, telehealth, intensive outpatient visit or partial hospitalization with a diagnosis of AOD abuse or dependence. Any of the following code combinations meet criteria:</li> </ul>
	<ul> <li>IET Stand Alone Visits Value Set with one of the following: Alcohol Abuse and Dependence Value Set, Opioid Abuse and Dependence Value Set, Other Drug Abuse and Dependence Value Set, with or without a telehealth modifier (Telehealth Modifier Value Set).</li> </ul>
	– IET Visits Group 1 Value Set with IET POS Group 1 Value Set and with one of the following: Alcohol Abuse and Dependence Value Set, Opioid Abuse and Dependence Value Set, Other Drug Abuse and Dependence Value Set, with or without a telehealth modifier (Telehealth Modifier Value Set).
	<ul> <li>IET Visits Group 2 Value Set with IET POS Group 2 Value Set and with one of the following: Alcohol Abuse and Dependence Value Set, Opioid Abuse and Dependence Value Set, Other Drug Abuse and Dependence Value Set, with or without a telehealth modifier (Telehealth Modifier Value Set).</li> </ul>
	• A detoxification visit (Detoxification Value Set) with one of the following: Alcohol Abuse and Dependence Value Set, Opioid Abuse and Dependence Value Set, Other Drug Abuse and Dependence Value Set.

	0004 Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment
	• An ED visit (ED Value Set) with one of the following: Alcohol Abuse and Dependence Value Set, Opioid Abuse and Dependence Value Set, Other Drug Abuse and Dependence Value Set.
	• An observation visit (Observation Value Set) with one of the following: Alcohol Abuse and Dependence Value Set, Opioid Abuse and Dependence Value Set, Other Drug Abuse and Dependence Value Set.
	• An acute or nonacute inpatient discharge with one of the following: Alcohol Abuse and Dependence Value Set, Opioid Abuse and Dependence Value Set, Other Drug Abuse and Dependence Value Set. To identify acute and nonacute inpatient discharges:
	<ul> <li>Identify all acute and nonacute inpatient stays (Inpatient Stay Value Set).</li> </ul>
	<ul> <li>Identify the discharge date for the stay.</li> <li>A telephone visit (Telephone Visits Value Set) with one of the following: Alcohol Abuse and Dependence Value Set, Opioid Abuse and Dependence Value Set, Other Drug Abuse and Dependence Value Set.</li> </ul>
	• An online assessment (Online Assessments Value Set) with one of the following: Alcohol Abuse and Dependence Value Set, Opioid Abuse and Dependence Value Set, Other Drug Abuse and Dependence Value Set.
	For members with more than one episode of AOD abuse or dependence, use the first episode.
	For members whose first episode was an ED or observation visit that resulted in an inpatient stay, use the diagnosis from the ED or observation visit to determine the diagnosis cohort and use the inpatient discharge date as the IESD.
	Select the Index Episode Start Date.
Exclusions	Exclude members who had a claim/encounter with a diagnosis of AOD abuse or dependence (AOD Abuse and Dependence Value Set), AOD medication treatment (AOD Medication Treatment Value Set) or an alcohol or opioid dependency treatment medication dispensing event (Medication Treatment for Alcohol Abuse or Dependence Medications List; Medication Treatment for Opioid Abuse or Dependence Medications List) during the 60 days (2 months) before the IESD.
	Exclude patients who use hospice services or elect to use a hospice benefit any time during the measurement year, regardless of when the services began.
Exclusion details	Exclude patients who had a claim/encounter with a diagnosis of AOD during the 60 days (2 months) before the Index Episode Start Date. (See corresponding Excel document for the AOD Dependence Value Set)
	- For an inpatient Index Episode Start Date, use the admission date to determine if the patient had a period of 60 days prior to the Index Episode Start Date with no claims with a diagnosis of AOD dependence.
	- For an ED visit that results in an inpatient event, use the ED date of service to determine if the patient had a period of 60 days prior to the Index Episode Start Date with no claims with a diagnosis of AOD dependence.
	- For direct transfers, use the first admission to determine if the patient had a period of 60 days prior to the Index Episode Start Date with no claims with a diagnosis of AOD dependence.
	Exclude from the denominator for both indicators (Initiation of AOD Treatment and Engagement of AOD Treatment) patients whose initiation of treatment event is an inpatient stay with a discharge date after December 1 of the measurement year.
Risk Adjustment	No risk adjustment or risk stratification

	0004 Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment
Stratification	<ul> <li>The total population is stratified by age: 13-17 and 18+ years of age.</li> <li>Report two age stratifications and a total rate.</li> <li>The total is the sum of the age stratifications.</li> <li>Report the following diagnosis cohorts for each age stratification and the total rate: <ul> <li>Alcohol abuse or dependence.</li> <li>Opioid abuse or dependence.</li> <li>Other drug abuse or dependence.</li> <li>Total.</li> </ul> </li> </ul>
Type Score	Rate/proportion better quality = higher score
Algorithm	<ul> <li>Step 1. Determine the eligible population. The eligible population is all patients who satisfy all specified denominator criteria (S7-S9).</li> <li>Step 2. Search administrative systems to identify numerator events for all patients in the</li> </ul>
	eligible population (S6). Step 3. Calculate the rate of numerator events in the eligible population.
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	2152 Preventive Care and Screening: Unhealthy Alcohol Use: Screening & Brief Counseling
Steward	PCPI Foundation

	2152 Preventive Care and Screening: Unhealthy Alcohol Use: Screening & Brief Counseling
Description	Percentage of patients aged 18 years and older who were screened for unhealthy alcohol use using a systematic screening method at least once within the last 24 months AND who received brief counseling if identified as an unhealthy alcohol user
Туре	Process
Data Source	Registry Data Not applicable.
Level	Clinician : Group/Practice, Clinician : Individual
Setting	Home Care, Outpatient Services
Numerator Statement	Patients who were screened for unhealthy alcohol use using a systematic screening method at least once within the last 24 months AND who received brief counseling if identified as an unhealthy alcohol user
Numerator Details	Time Period for Data Collection: At least once during the 24 month period. Definitions:
	Systematic screening method - For purposes of this measure, one of the following systematic methods to assess unhealthy alcohol use must be utilized. Systematic screening methods and thresholds for defining unhealthy alcohol use include:
	• AUDIT Screening Instrument (score >= 8)
	<ul> <li>AUDIT-C Screening Instrument (score &gt;= 4 for men; score &gt;= 3 for women)</li> </ul>
	<ul> <li>Single Question Screening - How many times in the past year have you had 5 (for men) or 4 (for women and all adults older than 65 years) or more drinks in a day? (response &gt;= 2)</li> </ul>
	Brief counseling - Brief counseling for unhealthy alcohol use refers to one or more counseling sessions, a minimum of 5-15 minutes, which may include: feedback on alcohol use and harms; identification of high risk situations for drinking and coping strategies; increased motivation and the development of a personal plan to reduce drinking.
	NUMERATOR NOTE: In the event that a patient is screened for unhealthy alcohol use and identified as a user but did not receive brief alcohol cessation counseling submit G9624.
	For Registry:
	Report Quality Data Code: G9621 - Patient identified as an unhealthy alcohol user when screened for unhealthy alcohol use using a systematic screening method and received brief counseling OR
	G9622 - Patient not identified as an unhealthy alcohol user when screened for unhealthy alcohol use using a systematic screening method
Denominator Statement	All patients aged 18 years and older seen for at least two visits or at least one preventive visit during the measurement period
Denominator	Time Period for Data Collection: 12 consecutive months
Details	For Registry:
	Patients aged >= 18 years
	AND
	At least two patient encounters during the performance period (CPT or HCPCS): 90791, 90792, 90832, 90834, 90837, 90845, 96150, 96151, 96152, 97165, 97166, 97167, 97168, 97802, 97803, 97804, 99201, 99202, 99203, 99204, 99205, 99212, 99213, 99214, 99215, G0270, G0271
	WITHOUT
	Telehealth Modifier: GQ, GT, 95, POS 2

	2152 Preventive Care and Screening: Unhealthy Alcohol Use: Screening & Brief Counseling
	OR
	At Least One Preventive Visit during the performance period (CPT or HCPCS): 99385, 99386, 99387, 99395, 99396, 99397, 99401, 99402, 99403, 99404, 99411, 99412, 99429, G0438, G0439 WITHOUT
	Telehealth Modifier: GQ, GT, 95, POS 02
Exclusions	Documentation of medical reason(s) for not screening for unhealthy alcohol use (eg, limited life expectancy, other medical reasons)
Exclusion details	Time Period for Data Collection: Denominator Exception(s) are determined on the date of the most recent denominator eligible encounter.
	Exceptions are used to remove a patient from the denominator of a performance measure when the patient does not receive a therapy or service AND that therapy or service would not be appropriate due to patient-specific reasons. The patient would otherwise meet the denominator criteria. Exceptions are not absolute, and are based on clinical judgment, individual patient characteristics, or patient preferences. The PCPI exception methodology uses three categories of reasons for which a patient may be removed from the denominator of an individual measure. These measure exception categories are not uniformly relevant across all measures; for each measure, there must be a clear rationale to permit an exception for a medical, patient, or system reason. Examples are provided in the measure exception language of instances that may constitute an exception and are intended to serve as a guide to clinicians. For measure Preventive Care and Screening: Unhealthy Alcohol Use: Screening & Brief Counseling, exceptions may include medical reason(s) (eg, limited life expectancy, other medical reasons). Although this methodology does not require the external reporting of more detailed exception data, the PCPI recommends that physicians document the specific reasons for exceptions data to identify practice patterns and opportunities for quality improvement. For Registry: Report Quality Data Code: G9623 - Documentation of medical reason(s) for not screening for unhealthy alcohol use (e.g. limited life expectancy, other medical reason(s) for not screening for unhealthy alcohol use
Diele Adjustmennet	(e.g., limited life expectancy, other medical reasons)
Risk Adjustment Stratification	No risk adjustment or risk stratification Consistent with CMS' Measures Management System Blueprint and recent national recommendations put forth by the IOM and NQF, the PCPI encourages the collection of race and ethnicity data as well as the results of this measure to be stratified by race, ethnicity, administrative sex, and payer.
Type Score	Rate/proportion better quality = higher score
Algorithm	To calculate performance rates:
	1. Find the patients who meet the initial population (ie, the general group of patients that a set of performance measures is designed to address).
	2. From the patients within the initial population criteria, find the patients who qualify for the denominator (ie, the specific group of patients for inclusion in a specific performance measure based on defined criteria). Note: in some cases the initial population and denominator are identical.
	3. From the patients within the denominator, find the patients who meet the numerator criteria (ie, the group of patients in the denominator for whom a process or outcome of

	2152 Preventive Care and Screening: Unhealthy Alcohol Use: Screening & Brief Counseling
	care occurs). Validate that the number of patients in the numerator is less than or equal to the number of patients in the denominator
	4. From the patients who did not meet the numerator criteria, determine if the provider has documented that the patient meets any criteria for exception when denominator exceptions have been specified [for this measure: medical reason(s) (eg, limited life expectancy, other medical reasons)]. If the patient meets any exception criteria, they should be removed from the denominator for performance calculationAlthough the exception cases are removed from the denominator population for the performance calculated and reported along with performance rates to track variations in care and highlight possible areas of focus for QI.
	If the patient does not meet the numerator and a valid exception is not present, this case represents a quality failure.
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	3453 Continuity of care after inpatient or residential treatment for substance use disorder (SUD)
Steward	Centers for Medicare & Medicaid Services, Centers for Medicaid & CHIP Services
Description	Percentage of discharges from an inpatient or residential treatment for substance use disorder (SUD) for Medicaid beneficiaries, ages 18 to 64, which was followed by a treatment service for SUD. SUD treatment includes having an outpatient visit, intensive outpatient encounter or partial hospitalization, telehealth encounter, or filling a prescription or being administered or ordered a medication for SUD. (After an inpatient discharge only, residential treatment also counts as continuity of care.) Two rates are reported, continuity within 7 and 14 days after discharge.
Туре	Process
Data Source	Claims Medicaid Alpha-MAX 2014 data: eligible (EL), inpatient (IP), other services (OT), long- term care (LT) and drug (RX) files. The other services (OT) file contains facility and individual provider services data. Most notably, it may contain both residential and other stayover service claims data as claims are assigned to MAX claims file types based upon the category of service provided.
Level	Population : Regional and State
Setting	Emergency Department and Services, Home Care, Inpatient/Hospital, Outpatient Services
Numerator Statement	Discharges in the denominator with an outpatient visit, intensive outpatient encounter or partial hospitalization, telehealth or filled a prescription for or were administered or ordered a medication for SUD. (After an inpatient discharge only, residential treatment also counts as continuity of care.) Two rates are reported, continuity within 7 and 14 days after discharge.
Numerator Details	<ul> <li>The measure will report two rates, continuity of care within 7 days and within 14 days after discharge.</li> <li>The numerator includes discharges with any of the following after inpatient or residential treatment: <ul> <li>Outpatient visit, intensive outpatient encounter or partial hospitalization with a primary or secondary SUD diagnosis on the day after discharge through day 7 or 14.</li> <li>Telehealth encounter for SUD on the day after discharge through day 7 or 14</li> <li>Pharmacotherapy (filling a prescription or being administered or ordered a medication) on day of discharge through day 7 or 14</li> <li>For inpatient discharges only, residential admissions on day 3 through day 7 or day 14</li> </ul> </li> <li>Public comments supported a measure for 7- and 14-day continuity and voiced that beyond that would be too long, risking losing the patient from the treatment system. The Technical Expert Panel unanimously agreed on the appropriateness of 7-day continuity of care. However, three TEP members felt that 14-days continuity of care is too long. Our approach balances clinical best practice thinking that the sooner the patient is connected to treatment the better while also allowing treatment programs more time for placement of patients in continuing treatment. Because it may be difficult at times for treatment programs to place clients in continuing care in a timely fashion after discharge due to limits in systems capacity, it is particularly important to allow more time for continuity of care to occur. Inpatient or residential treatment was considered to be SUD related if it had a primary SUD diagnosis or a procedure indicating SUD. SUD diagnoses are identified through ICD-9 codes.</li> </ul>

	3453 Continuity of care after inpatient or residential treatment for substance use disorder (SUD)
	System (HCPCS) codes, Uniform Billing (UB) Revenue Codes and ICD-9/ICD-10 procedure codes.
	Value sets for the measure are attached in the Excel workbook provided for question S.2b. We include 2016 HEDIS value sets because we used these value sets in measure testing. HEDIS value sets are used because they represent an existing set that states are already familiar with, they are an element of harmonizing with other endorsed measures, and they are updated by the National Committee on Quality Assurance (NCQA). Also, some states may need to include relevant state-specific codes.
Denominator Statement	Adult Medicaid beneficiary discharges from inpatient or residential treatment for SUD with a principal diagnosis of SUD during from January 1 to December 15 of the measurement year.
Denominator Details	Population: Medicaid beneficiaries age 18 through 64 as of January 1 of the measurement year.
	Benefit: Medical and Behavioral Health Services.
	Continuous Enrollment: Date of the inpatient or residential SUD treatment discharge through end of the following month. The enrollment requirement is to ensure that beneficiaries are enrolled for sufficient time to allow for the continuity activities, particularly for a discharge that occurs near the end of a month.
	Diagnosis Criteria: Discharges from inpatient or residential treatment with a primary diagnosis of SUD on any claim during the stay. Residential treatment is identified using the value sets in Tabs 1-3 of the attached Excel file. SUD diagnoses are identified using the value sets in Tabs 1-2.
	The denominator for this measure is based on discharges, not individuals. If a person has more than one discharge, include all discharges on or between January 1 and December 15 of the measurement year. December 15th is selected to allow sufficient time for continuity activities.
Exclusions	Exclude from the denominator for both rates:
	Discharges with hospice services during the measurement year
	• Both the initial discharge and the admission/direct transfer discharge if the admission/direct transfer discharge occurs after December 15 of the measurement year.
	Discharges followed by admission or direct transfer to inpatient or SUD residential treatment setting within 7 or 14-day continuity of care period. These discharges are excluded from the measure because transfer, hospitalization or admission to residential treatment within 7 or 14 days may prevent a continuity of care visit from taking place. An exception is admission to residential treatment following discharge from inpatient treatment; we do not exclude these admissions, because continuity into residential treatment after inpatient treatment is considered appropriate treatment.
Exclusion details	Codes reflecting exclusions are attached in S.2b. Residential treatment is identified using the value sets in Tabs 1-3 of the attached Excel file. SUD diagnoses are identified using the value sets in Tabs 1-2.
Risk Adjustment	No risk adjustment or risk stratification
Stratification	Not applicable.
Type Score	Rate/proportion better quality = higher score
Algorithm	In the steps below we reference the Excel workbook we attached for S.2b. The workbook includes:
	2016 HEDIS value sets used in measure testing

3453 Continuity of care after inpatient or residential treatment for substance use disorder (SUD)
• 2018 HEDIS value sets used in measure testing for pharmacotherapy and telehealth codes
• Value sets developed during the specification and testing of this measure, and the value sets from NQF #3312 Continuity of Care for Medicaid Beneficiaries After Detoxification (Detox) from Alcohol and/or Drugs and NQF #3400 Use of Pharmacotherapy for Opioid Use Disorder (OUD) that were used in the specification of this measure.
Note - some states may need to also include relevant state-specific codes.
Step 1: Identify denominator
<ul> <li>Step 1A. Eligible population: : Identify non-dually enrolled Medicaid beneficiaries age 18 through 64 years with any discharges from inpatient or residential treatment with a principal diagnosis of SUD during January 1 - December 15 of the measurement year.</li> <li>Patients must meet enrollment criteria, defined as Medicaid as the first payer and enrolled in the month of discharge and the following month. Age is calculated as of January 1 of the measurement year.</li> </ul>
Throughout Steps 1 and 2, the principal diagnosis of SUD is identified using a principal diagnosis from the 2016 "HEDIS AOD Dependence" value set (Tab 1 in the attached Excel file) or any procedure code from the 2016 "HEDIS AOD Procedures" value set (Tab 2). Secondary diagnosis of SUD is identified using the same value sets.
Step 1B. Flag claims as inpatient or as residential treatment: Among the Medicaid beneficiaries in Step 1A, flag claims as being either in an inpatient or residential setting using all inpatient, outpatient, and ambulatory claims files or tables that contain HCPCS, ICD-9/ICD-10 procedure or diagnosis codes, place of service, or UB revenue codes. Residential treatment is identified using the codes in the SUD Residential Treatment value set (Tab 3). If more than one discharge in a year, treat each discharge as a separate episode, e.g., an inpatient hospital discharge in January and a residential treatment discharge in July counts as two episodes.
Step 1B.1: Consolidate episodes: Multiple inpatient or residential treatment claims that are up to 2 days apart should be combined into a single episode. To facilitate this consolidation, sort the inpatient, outpatient and ambulatory discharges by Beneficiary ID and service dates to ensure the discharges from these multiple data sources are in chronological order. Use all inpatient and residential treatment claims, regardless of diagnosis, to create episodes.
Step 1C: Assign treatment location to episodes: Use HCPCS, ICD-9/ICD-10 procedure or diagnosis codes, place of service, or UB revenue codes in the SUD Residential Treatment value set (Tab 3) and the SUD diagnosis value sets as noted in Step 1A to assign each episode as inpatient residential treatment, or a mix of both (also indicating the first setting of each episode and the last setting of each episode).
Step 1D: Exclusions: Exclude discharges that meet the exclusion criteria as specified in the "Denominator Exclusion Details" section.
• Exclude discharges for patients who receive hospice services during the measurement year.
• Exclude discharges after December 15 of the measurement year.
• Exclude discharges followed by admission or direct transfer to an inpatient or SUD residential treatment setting within the 7- or 14-day continuity of care period regardless of the principal diagnosis (with exception of admission to residential treatment following discharge from inpatient treatment).
<ul> <li>Exclude episodes that do not include at least one claim with primary diagnosis of SUD.</li> </ul>

	3453 Continuity of care after inpatient or residential treatment for substance use disorder (SUD)
	The denominator for the 7- and 14-day continuity of care rates will differ because of the different exclusions based on transfer or admission to hospital or residential treatment for 7 versus 14 days. For example, a beneficiary admitted to a residential setting on day 10 after discharge will be excluded from the 7-day rate but not from the 14-day rate. Step 2: Identify numerator
	Step 2A: From the denominator, identify discharges from inpatient or residential treatment for SUD with a principal diagnosis of SUD with qualifying continuity of care for SUD (principal or secondary diagnosis) within 7 or 14 days of discharge.
	Step 2A.1: Visits: Identify visits meeting continuity of care criteria using outpatient claims files or tables that contain diagnosis, procedure, or revenue codes, procedure code modifiers, or place of service codes. SUD diagnoses can be in any position – primary or secondary – for continuity services. Visits have to occur the day after discharge through day 7 or 14. We identify visits as:
	1. Any procedure code or UB revenue code from "HEDIS IET Stand Alone Visits" value set (Tab 4); or
	2. Any procedure code from "HEDIS IET Visits Group 1" value set (Tab 5) along with place of service from "HEDIS IET POS Group 1" value set (Tab 6); or
	3. Any procedure code from "HEDIS IET Visits Group 2" value set (Tab 7) along with place of service from "HEDIS IET POS Group 2" value set (Tab 8).
	The claim must also have procedure code modifier that is missing or a value other than those in the "HEDIS Telehealth Modifier" value set (Tab 9).
	Step 2.A.2. Telehealth: Identify visits for telehealth meeting continuity of care criteria using outpatient claims files or tables that contain diagnosis, procedure, or revenue codes, procedure code modifiers, or place of service codes. SUD diagnoses can be in any position – primary or secondary – for continuity services. Telehealth has to occur the day after discharge through day 7 or 14. We identify telehealth as:
	1. Any procedure code from the "HEDIS Telephone Visit" value set (Tab 12); or
	2. Any procedure code or UB revenue code from "HEDIS IET Stand Alone Visits" value set (Tab 4); or
	3. Any procedure code from "HEDIS IET Visits Group 1" value set (Tab 5) along with place of service from "HEDIS IET POS Group 1" value set (Tab 6); or
	4. Any procedure code from "HEDIS IET Visits Group 2" value set (Tab 7) along with place of service from "HEDIS IET POS Group 2" value set (Tab 8).
	Claims identified using logic in #2-4 must also have procedure code modifier from the "HEDIS Telehealth Modifier" value set (Tab 9).
	Step 2A.3: Identify pharmacotherapy events: Indications of pharmacotherapy can occur in outpatient or pharmacy files or tables that contain procedure codes or NDCs. Pharmacotherapy events could be provided on the same day as the discharge through day 7 or 14. Pharmacotherapy continuity claims are identified as follows:
	1. In OT file, a) any procedure code from "HEDIS Medication Assisted Treatment" value set (Tab 10); or b) any HCPCS procedure code from "MAT Additional Codes" value set (Tab 11) (developed as part of testing for NQF 3312); or c) any state-specific procedure code from "MAT Additional Codes" value set (Tab 11) for the two states listed in the value set (these codes were identified through consultation for these states).
	<ul> <li>2. In RX file, any NDC from "AOD Pharmacotherapy" value set (Tab 13). This value set contains NDCs identified as part of testing for NQF 3312 and 3400.</li> <li>Step 3: Calculate rate</li> </ul>

	3453 Continuity of care after inpatient or residential treatment for substance use disorder (SUD)
	Step 3A: Calculate the overall 7- or 14-day continuity of care rate by dividing the number of discharges with evidence of a qualifying continuity of care visit or pharmacotherapy event (Step 2A) by the denominator (after exclusions) (Step 1D). Calculate the rates separately for each continuity of care time period.
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	NDC codes change periodically and should be updated whenever the measure is applied.
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# Appendix E: Related and Competing Measures

### Comparison of NQF #3451 and NQF #0576

	3451: Non-Acute Mental Health Services Utilization for Dual Eligible Beneficiaries	0576: Follow-Up After Hospitalization for Mental Illness (FUH)
Steward	Centers for Medicare & Medicaid Services	National Committee for Quality Assurance
Description	The percentage of dual eligible beneficiaries with a mental health service need who received a non-acute mental health service in the measurement year.	The percentage of discharges for patients 6 years of age and older who were hospitalized for treatment of selected mental illness diagnoses and who had a follow-up visit with a mental health practitioner. Two rates are reported: - The percentage of discharges for which the patient received follow-up within 30 days of discharge
		- The percentage of discharges for which the patient received follow-up within 7 days of discharge.
Туре	Process	Process
Data Source	Claims Both the numerator and denominator for this measure are based on administrative claims data. No data collection instrument provided Attachment FINAL _7.18.18Duals12_ValueSets.xlsx	Claims This measure is based on administrative claims collected in the course of providing care to health plan members. NCQA collects the Healthcare Effectiveness Data and Information Set (HEDIS) data for this measure directly from Health Management Organizations and Preferred Provider Organizations via NCQA's online data submission system. No data collection instrument provided Attachment 0576_FUH_Value_Sets.xlsx
Level	Health Plan	Health Plan, Integrated Delivery System
Setting	Home Care, Outpatient Services, Post-Acute Care	Inpatient/Hospital, Outpatient Services
Numerator Statement	The number of dual eligible beneficiaries receiving at least one non- acute mental health service in the 12-month measurement year. The following services are included as non-acute mental health services: - Outpatient service with a mental health provider for a	<ul> <li>30-Day Follow-Up: A follow-up visit with a mental health practitioner within 30 days after discharge.</li> <li>7-Day Follow-Up: A follow-up visit with a mental health practitioner within 7 days after discharge.</li> </ul>
	<ul> <li>mental health diagnosis</li> <li>Mental health outpatient encounter</li> <li>Mental health condition management in primary care</li> </ul>	

	3451: Non-Acute Mental Health Services Utilization for Dual Eligible Beneficiaries	0576: Follow-Up After Hospitalization for Mental Illness (FUH)
Numerator Details	<ul> <li>Include in the numerator all dual eligible beneficiaries receiving at least one non-acute mental health service (defined below) in the 12-month measurement year:</li> <li>Non-Acute Mental Health Service Definition</li> <li>A non-acute mental health service use is identified by the occurrence of any of the following three criteria:</li> <li>1. Any claim with from a mental health provider with a primary diagnosis code for mental health diagnosis (Mental Health Diagnosis Value Set) AND servicing provider taxonomy code is in the set: 101Y00000X, 101YN0800X, 101YP2500X, 103G0000X, 103TT0000X, 103TF0000X, 103TF0000X, 103TP0016X, 103TP0814X, 103TP2700X, 103TF0000X, 103TH0100X, 104100000X, 1041C0700X, 103TP2701X, 103TR0400X, 104100000X, 1041C0700X, 103TP2701X, 103TR0400X, 2084P0802X, 2084P0804X, 2084P0805X, 2084F0202X, 2084N0400X, 2084P0802X, 2084P0804X, 2084P0805X, 2084P0015X, 2084P0800X, 2084P0802X, 2084P0804X, 2084P0805X, 2084P0015X, 2084P0800X, 251S00000X, 363LP0808X, 364SP0808X</li> <li>Any claim with a mental health service procedure code in the following value sets (MPT IOP/PH Group 1, MPT Stand Alone Outpatient Group 1, Electroconvulsive Therapy, Transcranial Magnetic Stimulation) OR any procedure code in the following set: 90791, 90792, 90801, 90802, 90804, 90805, 90806, 90807, 90808, 90809, 90810, 90811, 90812, 90813, 90814, 90815, 90816, 90817, 90818, 90819, 90822, 90823, 90824, 90825, 90826, 90827, 90828, 90829, 90832, 90833, 90834, 90836, 90837, 90838, 90839, 90840, 90845, 90864, 90847, 90849, 90835, 90867, 90868, 90869, 90870, 90875, 90876, 90877, 90868, 90869, 90870, 90875, 90876, 96127, G0155, G0176, G0177, H0004, H0023, H0025, H0027, H0030, H0031, H0032, H0035, H0036, H0037, H0038, H0039, H0040, H0046, H1011, H2011, H2012, H2013, H2014, H2015, H2016, H2017, H2018, H2019, H2020, H2021, H2022, H2023, H2035, H2027, H2030, H2031, H2033, M0064, Q5008, S9480, S9482, S9484, S9485, T1025, T1026, T2038, T2048</li> </ul>	<ul> <li>For both indicators, a follow-up visit includes outpatient visits, intensive outpatient visits or partial hospitalizations that occur on the date of discharge. Any of the following meet criteria for a follow-up visit: <ul> <li>A visit (FUH Stand Alone Visits Value Set; FUH Visits Group 1 Value Set and FUH POS Group 1 Value Set; FUH Visits Group 2 Value Set and FUH POS Group 2 Value Set) with a mental health practitioner (see definition below).</li> <li>A visit to a behavioral healthcare facility (FUH RevCodes Group 1 Value Set).</li> <li>A visit to a non-behavioral healthcare facility (FUH RevCodes Group 2 Value Set) with a mental health practitioner.</li> <li>A visit to a non-behavioral healthcare facility (FUH RevCodes Group 2 Value Set) with a diagnosis of mental illness (Mental Illness Value Set).</li> <li>Transitional care management services (TCM 7 Day Value Set).</li> </ul> </li> <li>The following meets criteria for only the 30-Day Follow-Up indicator: <ul> <li>Transitional care management services (TCM 14 Day Value Set)</li> </ul> </li> <li>(See corresponding Excel document for the value sets referenced above)</li> <li>Mental Health Practitioner Definition: <ul> <li>A practitioner who provides mental health services and meets any of the following criteria:     <ul> <li>An MD or doctor of osteopathy (DO) who is certified as a psychiatrist or child psychiatry and Neurology or by the American Osteopathic Board of Psychiatry and Neurology or by the American Osteopathic Board of Psychiatry and Neurology or by the American Osteopathic Board of psychiatry and Neurology or by child psychiatry and si licensed to practice patient care psychiatry or child psychiatry and is licensed to practice patient care psychiatry or child psychiatry and is licensed to practice patient care psychiatry or child psychiatry and si licensed to practice by the state of practice.</li> </ul> </li> </ul></li></ul>

	3451: Non-Acute Mental Health Services Utilization for Dual Eligible Beneficiaries	0576: Follow-Up After Hospitalization for Mental Illness (FUH)
	3. Any claim from a primary care provider with a primary diagnosis code for mental health diagnosis (Mental Health Diagnosis Value Set) AND procedure code is in the set: 99201-99215 (Office), 99241-99255 (Consultation), or?99441-99444 (telephonic or online)	<ul> <li>An individual who is certified in clinical social work by the American Board of Examiners; who is listed on the National Association of Social Worker's Clinical Register; or who has a master's degree in social work and is licensed or certified to practice as a social worker, if required by the state of practice.</li> <li>A registered nurse (RN) who is certified by the American Nurses Credentialing Center (a subsidiary of the American Nurses Association) as a psychiatric nurse or mental health clinical nurse specialist, or who has a master's degree in nursing with a specialization in psychiatric/mental health and two years of supervised clinical experience and is licensed to practice as a psychiatric or mental health nurse, if required by the state of practice.</li> <li>An individual (normally with a master's or a doctoral degree in marital and family therapy and at least two years of supervised clinical experience) who is practicing as a marital and family therapist and is licensed or a certified counselor by the state of practice, or if licensure or certification is not required by the state of practice, who is eligible for clinical membership in the American Association for Marriage and Family Therapy.</li> <li>An individual (normally with a master's or doctoral degree in counseling and at least two years of supervised clinical experience) who is practicing as a professional counselor and who is licensed or certification is not required by the state of practice, or if licensure or certification is not required in the American Association for Marriage and Family Therapy.</li> <li>An individual (normally with a master's or doctoral degree in counseling and at least two years of supervised clinical experience) who is practicing as a professional counselor and who is licensed or certified to do so by the state of practice, or if licensure or certification is not required by the state of practice, is a National Certified Counselor with a Specialty Certification in Clinical</li> </ul>
		Mental Health Counseling from the National Board for Certified Counselors (NBCC).
Denominator Statement	The number of dual eligible beneficiaries age 21 and older with a mental health service need in the 18-month identification window (the 12-month measurement year plus six months prior to the measurement year).	Discharges from an acute inpatient setting (including acute care psychiatric facilities) with a principal diagnosis of mental illness during the first 11 months of the measurement year (i.e., January 1 to December 1) for patients 6 years and older.
Denominator Details	Include in the denominator all dual eligible beneficiaries age 21 and older continuously enrolled in the 12-month measurement year and at least 5 months of the 6 months prior to the measurement	An acute inpatient discharge with a principal diagnosis of mental illness (Mental Illness Value Set) on or between January 1 and December 1 of the measurement year.

3451: Non-Acute Mental Health Services Utilization for Dual Eligible Beneficiaries	0576: Follow-Up After Hospitalization for Mental Illness (FUH)
<ul> <li>year with a mental health service need (defined below) in the 18-month identification window.</li> <li>Mental Health Service Need Definition</li> <li>Mental health service need is identified by the occurrence of any of the following conditions: <ol> <li>Receipt of any mental health service meeting the numerator service criteria in the 18-month identification window.</li> <li>Any diagnosis of mental illness (not restricted to primary) in the 18-month identification window. These include diagnoses from the following value sets: <ol> <li>a) Psychotic Diagnosis Value Set 101</li> <li>b) Mania/Bipolar Diagnosis Value Set 102</li> <li>c) Depression Diagnosis Value Set 103</li> <li>d) Anxiety Diagnosis Value Set 103</li> <li>d) Anxiety Diagnosis Value Set 104</li> <li>e) ADHD Diagnosis Value Set 105</li> <li>f) Disruptive/Impulse/Conduct Diagnosis Value Set 106</li> <li>g) Adjustment Diagnosis Value Set 107</li> <li>h) Other Mental Health Diagnosis Value Set</li> </ol> </li> <li>a Receipt of any psychotropic medication listed in the Rx Table (see attached excel spreadsheet) in the 18-month identification window. These medications comprise the following drug therapy classes: <ol> <li>a) Antianxiety Rx</li> <li>b) Antidepressants Rx</li> <li>c) Antimania Rx</li> <li>d) Antipsychotic Rx</li> <li>e) ADHD Rx</li> </ol> </li> <li>4. Any claim with a mental health service procedure code in the following set:</li> <li>90791, 90792, 90801, 90802, 90804, 90805, 90806, 90807, 90808, 90809, 90810, 90811, 90812, 90833, 90834, 90836, 90837, 90838, 90839, 90832, 90832, 90832, 90833, 90834, 90836, 90837, 90838, 90839, 90832, 90832, 90833, 90834, 90834, 90836, 90837, 90838, 90839, 90832, 90832, 90833, 90834, 90834, 90836, 90837, 90838, 90839, 90832, 90832, 90833, 90834, 90836, 90837, 90838, 90839, 90832, 90832, 90833, 90834, 90836, 90837, 90838, 90839, 90832, 90833, 90834, 90836, 90837, 90838, 90839, 90832, 90832, 90833, 90834, 90836, 90837, 90838, 90839, 90832, 90832, 90833, 90834, 90836, 90837, 90838, 90839, 90832, 90833, 9</li></ol></li></ul>	<ul> <li>To identify acute inpatient discharges: <ol> <li>Identify all acute and nonacute inpatient stays (Inpatient Stay Value Set).</li> </ol> </li> <li>Exclude nonacute inpatient stays (Nonacute Inpatient Stay Value Set).</li> <li>Identify the discharge date for the stay.</li> <li>The denominator for this measure is based on discharges, not on patients. If patients have more than one discharge, include all discharges on or between January 1 and December 1 of the measurement year.</li> <li>Acute facility readmission or direct transfer: <ol> <li>If the discharge is followed by readmission or direct transfer to an acute inpatient care setting for a principal diagnosis of mental health (Mental Health Diagnosis Value Set) within the 30-day follow-up period, count only the last discharge.</li> <li>To identify readmissions to an acute inpatient care setting: <ol> <li>Identify all acute and nonacute inpatient stays (Inpatient Stay Value Set).</li> </ol> </li> <li>Exclude nonacute inpatient stays (Nonacute Inpatient Stay Value Set).</li> <li>Identify the admission date for the stay.</li> <li>*Due to the extensive volume of codes associated with identifying the denominator for this measure, we are attaching a separate file with value sets. See value sets located in question S.2b.</li> </ol></li></ul>

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	3451: Non-Acute Mental Health Services Utilization for Dual Eligible Beneficiaries	0576: Follow-Up After Hospitalization for Mental Illness (FUH)
	<ul> <li>90840, 90845, 90846, 90847, 90849, 90853, 90857, 90862, 90889,</li> <li>96101, 96102, 96103, 96110, 96111, 96116, 96118, 96119, 96120,</li> <li>90867, 90868, 90869, 90870, 90875, 90876, 96127, G0155, G0176,</li> <li>G0177, H0004, H0023, H0025, H0027, H0030, H0031, H0032,</li> <li>H0035, H0036, H0037, H0038, H0039, H0040, H0046, H1011,</li> <li>H2011, H2012, H2013, H2014, H2015, H2016, H2017, H2018,</li> <li>H2019, H2020, H2021, H2022, H2023, H2035, H2027, H2030,</li> <li>H2031, H2033, M0064, Q5008, S9480, S9482, S9484, S9485, T1025,</li> <li>T1026, T2038, T2048</li> <li>S. Any psychiatric inpatient stay in the following facility</li> <li>types: Community Psychiatric Hospital, Evaluation &amp; Treatment</li> <li>Center</li> </ul>	
Exclusions	None	<ul> <li>Exclude from the denominator for both rates, patients who receive hospice services during the measurement year.</li> <li>Exclude both the initial discharge and the readmission/direct transfer discharge if the readmission/direct transfer discharge occurs after December 1 of the measurement year.</li> <li>Exclude discharges followed by readmission or direct transfer to a nonacute facility within the 30-day follow-up period regardless of principal diagnosis.</li> <li>Exclude discharges followed by readmission or direct transfer to an acute facility within the 30-day follow-up period if the principal diagnosis was for non-mental health.</li> <li>These discharges are excluded from the measure because rehospitalization or transfer may prevent an outpatient follow-up visit from taking place.</li> </ul>
Exclusion Details	None	Exclude patients who use hospice services or elect to use a hospice benefit any time during the measurement year, regardless of when the services began. These patients may be identified using various methods, which may include but are not limited to enrollment data, medical record or claims/encounter data (Hospice Value Set).

	3451: Non-Acute Mental Health Services Utilization for Dual Eligible Beneficiaries	0576: Follow-Up After Hospitalization for Mental Illness (FUH)
		Exclude both the initial discharge and the readmission/direct transfer discharge if the last discharge occurs after December 1 of the measurement year.
		Exclude discharges followed by readmission or direct transfer to a nonacute care setting within the 30-day follow-up period, regardless of principal diagnosis for the readmission. To identify readmissions to a nonacute inpatient care setting:
		1. Identify all acute and nonacute inpatient stays (Inpatient Stay Value Set).
		<ol> <li>Confirm the stay was for nonacute care based on the presence of a nonacute code (Nonacute Inpatient Stay Value Set) on the claim.</li> <li>Identify the admission date for the stay.</li> </ol>
		Exclude discharges followed by readmission or direct transfer to an acute inpatient care setting within the 30-day follow-up period if the principal diagnosis was for non-mental health (any principal diagnosis code other than those included in the Mental Health Diagnosis Value Set). To identify readmissions to an acute inpatient care setting:
		1. Identify all acute and nonacute inpatient stays (Inpatient Stay Value Set).
		2. Exclude nonacute inpatient stays (Nonacute Inpatient Stay Value Set).
		3. Identify the admission date for the stay.
		These discharges are excluded from the measure because rehospitalization or transfer may prevent an outpatient follow-up visit from taking place.
		- See corresponding Excel document for the Value Sets referenced above in S.2b.
Risk Adjustment	Stratification by risk category/subgroup	No risk adjustment or risk stratification
Stratification	Measure is stratified by patient age as of the last day of the measurement period:	N/A

	3451: Non-Acute Mental Health Services Utilization for Dual Eligible Beneficiaries	0576: Follow-Up After Hospitalization for Mental Illness (FUH)
	1.         Age 21 to 64           2.         Age 65 and older	
Type Score	Rate/proportion better quality = higher score	Rate/proportion better quality = higher score
Algorithm	<ol> <li>Identify the denominator – individuals with a mental health service need in the measurement year or 6 months prior to the measurement year (see S.7).</li> <li>Stratify individuals in the denominator into age groups (i.e., 18-64, 65+) based on age on the last day of the measurement period (see S.10).</li> <li>Among the remainder denominator population, identify the numerator – individuals who received a mental health service in the measurement year (S.5).</li> <li>For each age group, divide the numerator population (step 3) by the denominator (step 2).</li> </ol>	<ul> <li>Step 1. Determine the denominator. The denominator is all discharges that meet the specified denominator criteria (S7).</li> <li>Step 2. Remove exclusions. Remove all discharges from the denominator that meet the specified exclusion criteria (S9).</li> <li>Step 3. Identify numerator events: Search administrative systems to identify numerator events for all discharges in the denominator (S5).</li> <li>Step 4. Calculate the rate by dividing the events in step 3 by the discharges in step 2.</li> </ul>
Submission items	5.1 Identified measures:	5.1 Identified measures:
	5a.1 Are specs completely harmonized?	5a.1 Are specs completely harmonized? No
	5a.2 If not completely harmonized, identify difference, rationale, impact: Not applicable. There are no related NQF-endorsed measures.	5a.2 If not completely harmonized, identify difference, rationale, impact: N/A
	5b.1 If competing, why superior or rationale for additive value: Not applicable. This measure does not address both the same measure focus and same target population as another NQF-endorsed measure.	5b.1 If competing, why superior or rationale for additive value: N/A

# Comparison of NQF #2152 and NQF #2599

	2152: Preventive Care and Screening: Unhealthy Alcohol Use: Screening & Brief Counseling	2599: Alcohol Screening and Follow-up for People with Serious Mental Illness
Steward	PCPI Foundation	National Committee for Quality Assurance
Description	Percentage of patients aged 18 years and older who were screened for unhealthy alcohol use using a systematic screening method at least once within the last 24 months AND who received brief counseling if identified as an unhealthy alcohol user	The percentage of patients 18 years and older with a serious mental illness, who were screened for unhealthy alcohol use and received brief counseling or other follow-up care if identified as an unhealthy alcohol user. Note: The proposed health plan measure is adapted from an existing provider-level measure for the general population (NQF #2152: Preventive Care & Screening: Unhealthy Alcohol Use: Screening & Brief Counseling). It was originally endorsed in 2014 and is currently
		stewarded by the American Medical Association (AMA-PCPI).
Туре	Process	Process
Data Source	Registry Data Not applicable. No data collection instrument provided No data dictionary	Claims, Electronic Health Records, Paper Medical Records The denominator for this measure is based on administrative claims. The numerator for this measure is based on administrative claims and/or medical record documentation collected in the course of providing care to health plan patients. No data collection instrument provided Attachment
		2599_Alcohol_Screening_for_People_With_Mental_Illness_Value_Set- 636583545268612951-636769175260262857.xlsx
Level	Clinician : Group/Practice, Clinician : Individual	Health Plan
Setting	Home Care, Outpatient Services	Outpatient Services
Numerator Statement	Patients who were screened for unhealthy alcohol use using a systematic screening method at least once within the last 24 months AND who received brief counseling if identified as an unhealthy alcohol user	Patients 18 years and older who are screened for unhealthy alcohol use during the last 3 months of the year prior to the measurement year through the first 9 months of the measurement year and received two events of counseling if identified as an unhealthy alcohol user.
Numerator Details	Time Period for Data Collection: At least once during the 24 month period. Definitions:	Alcohol Use Screening ADMINISTRATIVE: Patients who had systematic screening for unhealthy alcohol use (see Alcohol Screening Value Set) as identified by claim/encounter data

2152: Preventive Care and Screening: Unhealthy Alcohol Use: Screening & Brief Counseling	2599: Alcohol Screening and Follow-up for People with Serious Mental Illness
Systematic screening method - For purposes of this measure, one of the following systematic methods to assess unhealthy alcohol use must be utilized. Systematic screening methods and thresholds for defining unhealthy alcohol use include: <ul><li>AUDIT Screening Instrument (score &gt;= 8)</li><li>AUDIT-C Screening Instrument (score &gt;= 4 for men; score &gt;= 3 for women)</li><li>Single Question Screening - How many times in the past year have you had 5 (for men) or 4 (for women and all adults older than 65 years) or more drinks in a day? (response &gt;= 2)</br></br></li><li>Brief counseling - Brief counseling for unhealthy alcohol use refers to one or more counseling sessions, a minimum of 5-15 minutes, which may include: feedback on alcohol use and harms; identification of high risk situations for drinking and coping strategies; increased motivation and the development of a personal plan to reduce drinking.</li><li>NUMERATOR NOTE: In the event that a patient is screened for unhealthy alcohol use and identified as a user but did not receive brief alcohol cessation counseling submit G9624.</li><li>For Registry: Report Quality Data Code: G9621 - Patient identified as an unhealthy alcohol use using a systematic screening method and received brief counseling</li></ul>	during the last 3 months of the year prior to the measurement year through the first 9 months of the measurement year. MEDICAL RECORD: Patients who had systematic screening for unhealthy alcohol use during the last 3 months of the year prior to the measurement year through the first 9 months of the measurement year. Systematic Screening A systematic screening method is defined as: Asking the patient about their weekly use (alcoholic drinks per week), or Asking the patient about their per occasion use (alcoholic drinks per week), or Using a standardized tool such as the AUDIT, AUDIT-C, or CAGE or Using another standardized tool Unhealthy Alcohol Use Unhealthy alcohol use covers a spectrum that is associated with varying degrees of risk to health. Categories representing unhealthy alcohol use include risky use, problem drinking, harmful use, and alcohol abuse, and the less common but more severe alcoholism and alcohol abuse, and the less common but more severe alcoholism and alcohol dependence. Risky use is defined as >7 standard drinks per week or >3 drinks per occasion for women and persons >65 years of age; >14 standard drinks per week or >4 drinks per occasion for men =65 years of age. Follow-Up ADMINISTRATIVE: Patients who received two events of counseling (see Alcohol Screening and Brief Counseling Value Set) as identified by claim/encounter data within three months of screening if identified as unhealthy alcohol users. MEDICAL RECORD: Patients who received two events of counseling within three months of screening if identified as unhealthy alcohol users. The two event of counseling could be with the provider who performed screening or

	2152: Preventive Care and Screening: Unhealthy Alcohol Use: Screening & Brief Counseling	2599: Alcohol Screening and Follow-up for People with Serious Mental Illness
	OR G9622 - Patient not identified as an unhealthy alcohol user when screened for unhealthy alcohol use using a systematic screening method	<ul> <li>another provider including health plan clinical case managers.</li> <li>Participation in peer led support activities (such as Alcoholics Anonymous or Narcotics Anonymous) can count if documented in the health record (referrals alone do not count).</li> <li>Counseling</li> <li>Counseling may include at least one of the following:</li> <li>Feedback on alcohol use and harms</li> <li>Identification of high risk situations for drinking and coping strategies</li> <li>Increase the motivation to reduce drinking</li> <li>Development of a personal plan to reduce drinking</li> </ul>
Denominator Statement	All patients aged 18 years and older seen for at least two visits or at least one preventive visit during the measurement period	All patients 18 years of age or older as of December 31 of the measurement year with at least one inpatient visit or two outpatient visits for schizophrenia or bipolar I disorder, or at least one inpatient visit for major depression during the measurement year.
Denominator Details	Time Period for Data Collection: 12consecutive monthsFor Registry:Patients aged >= 18 yearsANDAt least two patient encounters during theperformance period (CPT or HCPCS): 90791,90792, 90832, 90834, 90837, 90845, 96150,96151, 96152, 97165, 97166, 97167, 97168,97802, 97803, 97804, 99201, 99202, 99203,99204, 99205, 99212, 99213, 99214, 99215,G0270, G0271WITHOUTTelehealth Modifier: GQ, GT, 95, POS 2ORAt Least One Preventive Visit during theperformance period (CPT or HCPCS): 99385,99386, 99387, 99395, 99396, 99397, 99401,	Age: 18 years and older Benefit: Medical Continuous Enrollment: No more than one gap in enrollment of up to 45 days during each year of the measurement year and the year prior. To determine continuous enrollment for a Medicaid beneficiary for whom enrollment is verified monthly, the person may not have more than a one month gap in coverage (i.e., a person whose coverage lapses for two months [60 days] is not considered continuously enrolled). Diagnosis Criteria: Identify patients with a serious mental illness. They must meet at least one of the following criteria during the measurement year or the year prior: At least one acute inpatient claim/encounter with any diagnosis of schizophrenia, bipolar I disorder, or major depression using any of the following code combinations: BH Stand Alone Acute Inpatient Value Set with one of the following diagnoses: - Schizophrenia Value Set

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99402, 99403, 99404, 99411, 99412, 99429,	- Bipolar Disorder Value Set
G0438, G0439	- Major Depression Value Set
WITHOUT	BH Acute Inpatient Value Set with BH Acute Inpatient POS Value Set
Telehealth Modifier: GQ, GT, 95, POS 02	and one of the following diagnoses:
	- Schizophrenia Value Set
	- Bipolar Disorder Value Set
	- Major Depression Value Set
	At least two visits in an outpatient, intensive outpatient, partial hospitalization, ED or non-acute inpatient setting, on different dates of service, with any diagnosis of schizophrenia or bipolar I disorder. Any two of the following code combinations meet criteria: BH Stand Alone Outpatient/PH/IOP Value Set with one of the following diagnoses:
	-
	- Schizophrenia Value Set
	<ul> <li>Bipolar Disorder Value Set</li> <li>BH Outpatient/PH/IOP Value Set with BH Outpatient/PH/IOP POS</li> <li>Value Set and one of the following diagnoses:</li> </ul>
	- Schizophrenia Value Set
	- Bipolar Disorder Value Set
	ED Value Set with one of the following diagnoses:
	- Schizophrenia Value Set
	- Bipolar Disorder Value Set
	BH ED Value Set with BH ED POS Value Set and one of the following diagnoses:
	- Schizophrenia Value Set
	- Bipolar Disorder Value Set
	BH Stand Alone Nonacute Inpatient Value Set with one of the following diagnoses:
	- Schizophrenia Value Set
	- Bipolar Disorder Value Set

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		<ul> <li>BH Nonacute Inpatient Value Set with BH Nonacute Inpatient POS</li> <li>Value Set and one of the following diagnoses:</li> <li>Schizophrenia Value Set</li> <li>Bipolar Disorder Value Set</li> </ul>
Exclusions	Documentation of medical reason(s) for not screening for unhealthy alcohol use (eg, limited life expectancy, other medical reasons)	Active diagnosis of alcohol abuse or dependence during the first nine months of the year prior to the measurement year (see Alcohol Disorders Value Set).
Exclusion Details	Time Period for Data Collection: Denominator Exception(s) are determined on the date of the most recent denominator eligible encounter.	Denominator exclusions are found through medical record or claims data (see Alcohol Disorders Value Set).
	Exceptions are used to remove a patient from the denominator of a performance measure when the patient does not receive a therapy or service AND that therapy or service would not be appropriate due to patient-specific reasons. The patient would otherwise meet the denominator criteria. Exceptions are not absolute, and are based on clinical judgment, individual patient characteristics, or patient preferences. The PCPI exception methodology uses three categories of reasons for which a patient may be removed from the denominator of an individual measure. These measure exception categories are not uniformly relevant across all measures; for each measure, there must be a clear rationale to permit an exception for a medical, patient, or system reason. Examples are provided in the measure exception language of instances that may constitute an exception and are intended to serve as a guide to	

	2152: Preventive Care and Screening: Unhealthy Alcohol Use: Screening & Brief Counseling	2599: Alcohol Screening and Follow-up for People with Serious Mental Illness
	clinicians. For measure Preventive Care and Screening: Unhealthy Alcohol Use: Screening & Brief Counseling, exceptions may include medical reason(s) (eg, limited life expectancy, other medical reasons). Although this methodology does not require the external reporting of more detailed exception data, the PCPI recommends that physicians document the specific reasons for exception in patients' medical records for purposes of optimal patient management and audit-readiness. The PCPI also advocates the systematic review and analysis of each physician's exceptions data to identify practice patterns and opportunities for quality improvement. For Registry: Report Quality Data Code: G9623 - Documentation of medical reason(s) for not screening for unhealthy alcohol use (e.g., limited life expectancy, other medical reasons)	
Risk Adjustment	No risk adjustment or risk stratification	No risk adjustment or risk stratification
Stratification	Consistent with CMS' Measures Management System Blueprint and recent national recommendations put forth by the IOM and NQF, the PCPI encourages the collection of race and ethnicity data as well as the results of this measure to be stratified by race, ethnicity, administrative sex, and payer.	Not applicable.
Type Score	Rate/proportion better quality = higher score	Rate/proportion better quality = higher score

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NQF REVIEW DRAFT—Comments due by April 9, 2019 by 6:00 PM ET.

	2152: Preventive Care and Screening: Unhealthy Alcohol Use: Screening & Brief Counseling	2599: Alcohol Screening and Follow-up for People with Serious Mental Illness
Algorithm	To calculate performance rates: 1. Find the patients who meet the initial population (ie, the general group of patients that a set of performance measures is designed to address). 2. From the patients within the initial population criteria, find the patients who qualify for the denominator (ie, the specific group of patients for inclusion in a specific performance measure based on defined criteria). Note: in some cases the initial population and denominator are identical. 3. From the patients within the denominator, find the patients who meet the numerator criteria (ie, the group of patients in the denominator for whom a process or outcome of care occurs). Validate that the number of patients in the number of patients in the denominator 4. From the patients who did not meet the numerator criteria, determine if the provider has documented that the patient meets any criteria for exception when denominator exceptions have been specified [for this measure: medical reason(s) (eg, limited life expectancy, other medical reasons)]. If the patient meets any exception criteria, they should be removed from the denominator for performance calculationAlthough the exception cases are removed from the denominator population for the performance calculation, the exception rate (ie, percentage with valid	<ul> <li>Step 1: Determine the eligible population.</li> <li>Step 1A: Identify all patients 18 years of age or older with a serious mental illness</li> <li>Step 1B: Exclude patients from step 1A who have a diagnosis of unhealthy alcohol use during the first 9 months of the year prior to the measurement year.</li> <li>Step 2: Identify Numerator.</li> <li>Step 2A: Identify the date of screening for unhealthy alcohol use during the measurement year or the year prior within the medical chart</li> <li>Step 2B: Identify the unhealthy alcohol screening result within the medical chart. If negative for unhealthy alcohol use, stop.</li> <li>Step 2: If positive for unhealthy alcohol use, identify the date of any follow-up care occurring within three months of screening.</li> <li>Step 3: Calculate the rate by adding the number of patients with a negative screening for unhealthy alcohol use and those who received follow-up care (from step 2B) plus the number of patients calculated to be in the eligible population (those remaining after Step 1B is complete.) 123834   140881   135810</li> </ul>

	2152: Preventive Care and Screening: Unhealthy Alcohol Use: Screening & Brief Counseling	2599: Alcohol Screening and Follow-up for People with Serious Mental Illness
	<ul> <li>exceptions) should be calculated and</li> <li>reported along with performance rates to</li> <li>track variations in care and highlight</li> <li>possible areas of focus for QI.</li> <li>If the patient does not meet the numerator</li> <li>and a valid exception is not present, this</li> <li>case represents a quality failure.</li> </ul>	
Submission items	5.1 Identified measures:	5.1 Identified measures: 2152 : Preventive Care and Screening: Unhealthy Alcohol Use: Screening & Brief Counseling
	5a.1 Are specs completely harmonized? Yes	5a.1 Are specs completely harmonized?
	<ul> <li>5a.2 If not completely harmonized, identify difference, rationale, impact: The related measures listed in 5.1b were developed after our measure. The NCQA measure focuses on a specific sub-population (people with serious mental illness) and is intended for use at the health plan level. In the TJC measures, screening and intervention are separate measures. Additionally, the TJC measures are intended for use at the hospital level. PCPI was contacted by these measure stewards respectively while the measures were developed, and they are currently harmonized to the extent feasible.</li> <li>5b.1 If competing, why superior or rationale for additive value: No competing NQF-endorsed measure.</li> </ul>	5a.2 If not completely harmonized, identify difference, rationale, impact: This measure was adapted from the existing provider-level measure (NQF #2152: Preventive Care and Screening: Unhealthy Alcohol Use: Screening & Brief Counseling) for use at the health plan level for the high risk subpopulation of people with serious mental illness. The measure is harmonized and has been reviewed with the original measure stewards and developers. The differences between the existing measure and the proposed serious mental illness subpopulation measure were developed with expert input and are described hereThe population focus: This measure focuses on people with serious mental illness, who are at a higher risk of unhealthy alcohol use than the general population and have demonstrated disparities in care -What counts as follow-up and the number of events for follow-up: This measure requires two events of counseling, raising expectations for the intensity of service for the serious mental illness population compared to the original measure for the general population, and is reasonably achievable, particularly in the health plan context. USPSTF recommendation supports multi- contact counseling which seems to have the best evidence of effectivenessIn addition, the existing measure (NQF #2152) is reported at the provider level and is focused on follow-up conducted at time of screening making a single event sufficient. However, at the

2152: Preventive Care and Screening: Unhealthy Alcohol Use: Screening & Brief Counseling	2599: Alcohol Screening and Follow-up for People with Serious Mental Illness
	health plan level, there is opportunity/responsibility for follow-up care beyond the visit. We believe our measure focused on screening patients with SMI for unhealthy alcohol use and capturing more intensive evidence-based follow-up care for a vulnerable population contributes to the national quality agenda.
	5b.1 If competing, why superior or rationale for additive value: Not applicable.

	3453: Continuity of care after inpatient or residential treatment for substance use disorder (SUD)	0004: Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment	0576: Follow-Up After Hospitalization for Mental Illness (FUH)	2605: Follow-Up After Emergency Department Visit for Mental Illness or Alcohol and Other Drug Abuse or Dependence	3312: Continuity of Care for Medicaid Beneficiaries after Detoxification (Detox) From Alcohol and/or Drugs
Steward	Centers for Medicare & Medicaid Services, Centers for Medicaid & CHIP Services	National Committee for Quality Assurance	National Committee for Quality Assurance	National Committee for Quality Assurance	Centers for Medicare & Medicaid Services, Centers for Medicaid & CHIP Services
Description	Percentage of discharges from an inpatient or residential treatment for substance use disorder (SUD) for Medicaid beneficiaries, ages 18 to 64, which was followed by a treatment service for SUD. SUD treatment includes having an outpatient visit, intensive outpatient encounter or partial hospitalization, telehealth encounter, or filling a prescription or being administered or ordered a medication for SUD. (After an inpatient discharge only, residential treatment also counts as continuity of care.) Two rates are reported, continuity within 7 and 14 days after discharge.	This measure assesses the degree to which the organization initiates and engages members identified with a need for alcohol and other drug (AOD) abuse and dependence services and the degree to which members initiate and continue treatment once the need has been identified. Two rates are reported: • Initiation of AOD Treatment. The percentage of adolescent and adult members with a new episode of AOD abuse or dependence who initiate treatment through an inpatient AOD admission, outpatient visit, intensive outpatient	The percentage of discharges for patients 6 years of age and older who were hospitalized for treatment of selected mental illness diagnoses and who had a follow-up visit with a mental health practitioner. Two rates are reported: - The percentage of discharges for which the patient received follow- up within 30 days of discharge - The percentage of discharges for which the patient received follow- up within 7 days of discharge.	The percentage of discharges for patients 18 years of age and older who had a visit to the emergency department with a primary diagnosis of mental health or alcohol or other drug dependence during the measurement year AND who had a follow-up visit with any provider with a corresponding primary diagnosis of mental health or alcohol or other drug dependence within 7- and 30-days of discharge. Four rates are reported: - The percentage of emergency department visits for mental health for which the patient received	Percentage of discharges from a detoxification episode for adult Medicaid Beneficiaries, age 18-64, that was followed by a treatment service for substance use disorder (including the prescription or receipt of a medication to treat a substance use disorder (pharmacotherapy) within 7 or 14 days after discharge. This measure is reported across all detoxification settings.

### Comparison of NQF #3453, NQF #0004, 0576, and #2605 and #3312

	3453: Continuity of care after inpatient or residential treatment for substance use disorder (SUD)	0004: Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment	0576: Follow-Up After Hospitalization for Mental Illness (FUH)	2605: Follow-Up After Emergency Department Visit for Mental Illness or Alcohol and Other Drug Abuse or Dependence	3312: Continuity of Care for Medicaid Beneficiaries after Detoxification (Detox) From Alcohol and/or Drugs
		<ul> <li>encounter, partial hospitalization, telehealth or medication assisted treatment (MAT) within 14 days of the diagnosis.</li> <li>Engagement of AOD Treatment. The percentage of adolescent and adult members with a new episode of AOD abuse or dependence who initiated treatment and who had two or more additional AOD services or MAT within 34 days of the initiation visit.</li> </ul>		follow-up within 7 days of discharge. - The percentage of emergency department visits for mental health for which the patient received follow-up within 30 days of discharge. - The percentage of emergency department visits for alcohol or other drug dependence for which the patient received follow-up within 7 days of discharge. - The percentage of emergency department visits for alcohol or other drug dependence for which the patient received follow-up within 30 days of discharge.	
Туре	Process	Process	Process	Process	Process
Data Source	Claims Medicaid Alpha- MAX 2014 data: eligible (EL), inpatient (IP), other services (OT), long-term care (LT) and drug (RX)	Claims NCQA collects HEDIS data directly from Health Management Organizations and Preferred Provider	Claims This measure is based on administrative claims collected in the course of providing care to health plan members.	Claims Both the numerator and the denominator for this measure are based on	Claims Medicaid Analytic eXtract (MAX) 2013 and 2014 eligible (EL), inpatient (IP), other services (OT), long-term

	3453: Continuity of care after inpatient or residential treatment for substance use disorder (SUD)	0004: Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment	0576: Follow-Up After Hospitalization for Mental Illness (FUH)	2605: Follow-Up After Emergency Department Visit for Mental Illness or Alcohol and Other Drug Abuse or Dependence	3312: Continuity of Care for Medicaid Beneficiaries after Detoxification (Detox) From Alcohol and/or Drugs
	files. The other services (OT) file contains facility and individual provider services data. Most notably, it may contain both residential and other stayover service claims data as claims are assigned to MAX claims file types based upon the category of service provided. No data collection instrument provided Attachment SUD- 18_measure_value_sets_ FINAL_08.09.18_tested_s etslocked.xlsx	Organizations via a data submission portal - the Interactive Data Submission System (IDSS). No data collection instrument provided Attachment 0004_IET_Value_Sets.xls x	NCQA collects the Healthcare Effectiveness Data and Information Set (HEDIS) data for this measure directly from Health Management Organizations and Preferred Provider Organizations via NCQA's online data submission system. No data collection instrument provided Attachment 0576_FUH_Value_Sets.x Isx	administrative claims data. No data collection instrument provided Attachment 2605_Follow_Up_After_ ED_Discharge_for_Ment al_Health_Conditions_V alue_Sets- 636220757625866651.xl sx	care (LT) and drug (RX) files. The other services file contains facility and individual provider services data. Most notably, it may contain both residential and other stayover service claims data as claims are assigned to MAX claims file types based upon the category of service provided. The inpatient file only contains inpatient hospital, sterilization, abortion and religious non- medical health care institution claims. No data collection instrument provided Attachment Cont_Care_After_Detox _Value_Sets.xlsx
Level	Population : Regional and State	Health Plan	Health Plan, Integrated Delivery System	Health Plan, Population : Regional and State	Population : Regional and State
Setting	Emergency Department and Services, Home Care, Inpatient/Hospital, Outpatient Services	Emergency Department and Services, Inpatient/Hospital, Outpatient Services	Inpatient/Hospital, Outpatient Services	Inpatient/Hospital, Outpatient Services	Inpatient/Hospital, Outpatient Services

	3453: Continuity of care after inpatient or residential treatment for substance use disorder (SUD)	0004: Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment	0576: Follow-Up After Hospitalization for Mental Illness (FUH)	2605: Follow-Up After Emergency Department Visit for Mental Illness or Alcohol and Other Drug Abuse or Dependence	3312: Continuity of Care for Medicaid Beneficiaries after Detoxification (Detox) From Alcohol and/or Drugs
Numerator Statement	Discharges in the denominator with an outpatient visit, intensive outpatient encounter or partial hospitalization, telehealth or filled a prescription for or were administered or ordered a medication for SUD. (After an inpatient discharge only, residential treatment also counts as continuity of care.) Two rates are reported, continuity within 7 and 14 days after discharge.	Initiation of AOD Treatment: Initiation of treatment through an inpatient AOD admission, outpatient visit, intensive outpatient encounter or partial hospitalization, telehealth or medication treatment within 14 days of the diagnosis.  Engagement of AOD Treatment: Initiation of AOD treatment and two or more additional AOD services or medication treatment within 34 days of the initiation visit.	30-Day Follow-Up: A follow-up visit with a mental health practitioner within 30 days after discharge. 7-Day Follow-Up: A follow-up visit with a mental health practitioner within 7 days after discharge.	The numerator for each denominator population consists of two rates: Mental Health - Rate 1: An outpatient visit, intensive outpatient encounter or partial hospitalization with any provider with a primary diagnosis of mental health within 7 days after emergency department discharge - Rate 2: An outpatient visit, intensive outpatient encounter or partial hospitalization with any provider with a primary diagnosis of mental health within 30 days after emergency department discharge Alcohol or Other Drug Dependence - Rate 1: An outpatient visit, intensive outpatient encounter or partial hospitalization with any	Discharges in the denominator who have an inpatient, intensive outpatient, partial hospitalization, outpatient visit, residential, or drug prescription or procedure within 7 or 14 days after discharge from a detoxification episode.

	3453: Continuity of care after inpatient or residential treatment for substance use disorder (SUD)	0004: Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment	0576: Follow-Up After Hospitalization for Mental Illness (FUH)	2605: Follow-Up After Emergency Department Visit for Mental Illness or Alcohol and Other Drug Abuse or Dependence	3312: Continuity of Care for Medicaid Beneficiaries after Detoxification (Detox) From Alcohol and/or Drugs
				provider with a primary diagnosis of alcohol or other drug dependence within 7 days after emergency department discharge - Rate 2: An outpatient visit, intensive outpatient encounter or partial hospitalization with any provider with a primary diagnosis of alcohol or other drug dependence within 30 days after emergency department discharge	
Numerator Details	The measure will report two rates, continuity of care within 7 days and within 14 days after discharge. The numerator includes discharges with any of the following after inpatient or residential treatment: • Outpatient visit, intensive outpatient encounter or partial hospitalization with a primary or secondary SUD	<ul> <li>Index Episode Start</li> <li>Date. The earliest date</li> <li>of service for an eligible</li> <li>encounter during the</li> <li>Intake Period with a</li> <li>diagnosis of AOD abuse</li> <li>or dependence.</li> <li>For an</li> <li>outpatient, intensive</li> <li>outpatient, partial</li> <li>hospitalization,</li> <li>observation, telehealth,</li> <li>detoxification or ED visit</li> <li>(not resulting in an</li> </ul>	For both indicators, a follow-up visit includes outpatient visits, intensive outpatient visits or partial hospitalizations that occur on the date of discharge. Any of the following meet criteria for a follow-up visit: - A visit (FUH Stand Alone Visits Value Set; FUH Visits Group 1 Value Set and FUH POS Group 1 Value Set; FUH	Mental Health Rate 1: An outpatient visit, intensive outpatient encounter or partial hospitalization with any provider with a primary diagnosis of mental health within 7 days after emergency department discharge - A visit (FUH Stand Alone Visits Value Set) with a primary diagnosis of mental	Measure data will be reported annually (12 months). To account for the 14-day time period after discharge from detoxification, the denominator period will start January 1 and end December 15 of the measurement year. The numerator includes individuals with any of the following within 14

3453: Continuity of care after inpatient or residential treatment for substance use disorder (SUD)	0004: Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment	0576: Follow-Up After Hospitalization for Mental Illness (FUH)	2605: Follow-Up After Emergency Department Visit for Mental Illness or Alcohol and Other Drug Abuse or Dependence	3312: Continuity of Care for Medicaid Beneficiaries after Detoxification (Detox) From Alcohol and/or Drugs
diagnosis on the day after discharge through day 7 or 14. • Telehealth encounter for SUD on the day after discharge through day 7 or 14 • Pharmacotherap y (filling a prescription or being administered or ordered a medication) on day of discharge through day 7 or 14 • For inpatient discharges only, residential admissions on day 3 through day 7 or day 14 Public comments supported a measure for 7- and 14-day continuity and voiced that beyond that would be too long, risking losing the patient from the treatment system. The Technical Expert Panel unanimously agreed on the appropriateness of 7-day continuity of care.	<ul> <li>inpatient stay), the IESD is the date of service.</li> <li>For an inpatient stay, the IESD is the date of discharge.</li> <li>For an ED and observation visits that results in an inpatient stay, the IESD is the date of the inpatient discharge (an AOD diagnosis is not required for the inpatient stay; use the diagnosis from the ED or observation visit to determine the diagnosis cohort).</li> <li>For direct transfers, the IESD is the diagnosis is not required for the transfer; use the diagnosis cohort).</li> <li>For direct transfers, the IESD is the discharge date from the last admission (an AOD diagnosis is not required for the transfer; use the diagnosis cohort).</li> <li>INITIATION OF AOD TREATMENT Initiation of AOD treatment within 14 days of the IESD.</li> </ul>	Visits Group 2 Value Set and FUH POS Group 2 Value Set) with a mental health practitioner (see definition below). - A visit to a behavioral healthcare facility (FUH RevCodes Group 1 Value Set). - A visit to a non- behavioral healthcare facility (FUH RevCodes Group 2 Value Set) with a mental health practitioner. - A visit to a non- behavioral healthcare facility (FUH RevCodes Group 2 Value Set) with a diagnosis of mental illness (Mental Illness Value Set). - Transitional care management services (TCM 7 Day Value Set). The following meets criteria for only the 30- Day Follow-Up indicator: - Transitional care management services (TCM 14 Day Value Set)	health (Mental Health Diagnosis Value Set). - A visit (FUH Visits Group 1 Value Set and FUH POS Group 1 Value Set) with a primary diagnosis of mental health (Mental Health Diagnosis Value Set). - A visit (FUH Visits Group 2 Value Set and FUH POS Group 2 Value Set) with a primary diagnosis of mental health (Mental Health Diagnosis Value Set). - A visit to a behavioral healthcare facility (FUH RevCodes Group 1 Value Set). - A visit to a non- behavioral healthcare facility (FUH RevCodes Group 2 Value Set) with a primary diagnosis of mental health (Mental Health Diagnosis Value Set).	days after discharge from detoxification: -Pharmacotherapy on day of discharge through day 7 or 14. -Outpatient, intensive outpatient, partial hospitalization, or residential treatment procedure with a diagnosis of SUD on the day after discharge through day 7 or 14. -Outpatient, intensive outpatient, partial hospitalization, or residential treatment with standalone SUD procedure on the day after discharge through day 7 or 14. -Inpatient admission with an SUD diagnosis or procedure code on day after discharge through day 7 or 14. -Long-term care institutional claims with an SUD diagnosis on day

3453: Continuity of care after inpatient or residential treatment for substance use disorder (SUD) However, three TEP	0004: Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment If the Index Episode was	0576: Follow-Up After Hospitalization for Mental Illness (FUH) (See corresponding	2605: Follow-Up After Emergency Department Visit for Mental Illness or Alcohol and Other Drug Abuse or Dependence - A visit to a non-	3312: Continuity of Care for Medicaid Beneficiaries after Detoxification (Detox) From Alcohol and/or Drugs after discharge through
members felt that 14- days continuity of care is too long. Our approach balances clinical best practice thinking that the sooner the patient is connected to treatment the better while also allowing treatment programs more time for placement of patients in continuing treatment. Because it may be difficult at times for treatment programs to place clients in continuing care in a timely fashion after discharge due to limits in systems capacity, it is particularly important to allow more time for continuity of care to occur. Inpatient or residential treatment was considered to be SUD related if it had a primary SUD diagnosis or a procedure indicating SUD. SUD diagnoses are identified through ICD-9 codes. Procedures are	an inpatient discharge (or an ED visit that resulted in an inpatient stay), the inpatient stay is considered initiation of treatment and the member is compliant. If the Index Episode was not an inpatient discharge, the member must initiate treatment on the IESD or in the 13 days after the IESD (14 total days). Any of the following code combinations meet criteria for initiation: • An acute or nonacute inpatient admission with a diagnosis matching the IESD diagnosis cohort using one of the following: Alcohol Abuse and Dependence Value Set, Opioid Abuse and Dependence Value Set, Other Drug Abuse and Dependence Value Set. To identify acute and	Excel document for the value sets referenced above) Mental Health Practitioner Definition: A practitioner who provides mental health services and meets any of the following criteria: • An MD or doctor of osteopathy (DO) who is certified as a psychiatrist or child psychiatrist by the American Medical Specialties Board of Psychiatry and Neurology or by the American Osteopathic Board of Neurology and Psychiatry; or, if not certified, who successfully completed an accredited program of graduate medical or osteopathic education in psychiatry and is licensed to practice patient care psychiatry, if	behavioral healthcare facility (FUH RevCodes Group 2 Value Set) with a primary diagnosis of mental health (Mental Health Diagnosis Value Set). - Transitional care management services (TCM 7 Day Value Set) where the date of service on the claim is 29 days after the date the patient was discharged from the emergency department with a primary diagnosis of mental health (Mental Health Diagnosis Value Set). Rate 2: An outpatient visit, intensive outpatient encounter or partial hospitalization with any provider with a primary diagnosis of mental health within 30 days after emergency department discharge - A visit (FUH Stand Alone Visits Value	day 7 or 14. Continuity is reset to zero if an overdose diagnosis code appears on the same outpatient or inpatient claim. SUD diagnoses are used to identify procedures connected to SUD diagnoses. SUD diagnoses. SUD diagnoses are identified through ICD-9 codes. Procedures are defined using a combination of Healthcare Common Procedure Coding System (HCPCS) codes, Uniform Billing (UB) Revenue Codes and ICD- 9/ICD-10 procedure codes. Pharmacotherapy includes naltrexone (short or long acting), acamprosate, or disulfiram for alcohol dependence treatment and buprenorphine for opioid dependence treatment, as well HCPCS codes to identify

3453: Continuity of care after inpatient or residential treatment for substance use disorder (SUD) defined using a	0004: Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment nonacute inpatient	0576: Follow-Up After Hospitalization for Mental Illness (FUH) required by the state of	2605: Follow-Up After Emergency Department Visit for Mental Illness or Alcohol and Other Drug Abuse or Dependence Set) with a primary	3312: Continuity of Care for Medicaid Beneficiaries after Detoxification (Detox) From Alcohol and/or Drugs procedures related to
combination of Healthcare Common Procedure Coding System (HCPCS) codes, Uniform Billing (UB) Revenue Codes and ICD-9/ICD-10 procedure codes. Value sets for the measure are attached in the Excel workbook provided for question S.2b. We include 2016 HEDIS value sets because we used these value sets in measure testing. HEDIS value sets are used because they represent an existing set that states are already familiar with, they are an element of harmonizing with other endorsed measures, and they are updated by the National Committee on Quality Assurance (NCQA). Also, some states may need to include relevant state- specific codes.	admissions: Identify all acute and nonacute inpatient stays (Inpatient Stay Value Set). Identify the admission date for the stay. IET Stand Alone Visits Value Set with a diagnosis matching the IESD diagnosis cohort using one of the following: Alcohol Abuse and Dependence Value Set, Opioid Abuse and Dependence Value Set, Other Drug Abuse and Dependence Value Set, With or without a telehealth modifier (Telehealth Modifier Value Set). Observation Value Set with a diagnosis matching the IESD diagnosis cohort using one of the following: Alcohol Abuse	<ul> <li>An individual</li> <li>who is licensed as a</li> <li>psychologist in his/her</li> <li>state of practice, if</li> <li>required by the state of</li> <li>practice.</li> <li>An individual</li> <li>who is certified in</li> <li>clinical social work by</li> <li>the American Board of</li> <li>Examiners; who is listed</li> <li>on the National</li> <li>Association of Social</li> <li>Worker's Clinical</li> <li>Register; or who has a</li> <li>master's degree in social</li> <li>work and is licensed or</li> <li>certified to practice as a</li> <li>social worker, if</li> <li>required by the state of</li> <li>practice.</li> <li>A registered</li> <li>nurse (RN) who is</li> <li>certified by the</li> <li>American Nurses</li> <li>Credentialing Center (a</li> <li>subsidiary of the</li> <li>American Nurses</li> <li>Association) as a</li> <li>psychiatric nurse or</li> </ul>	diagnosis of mental health (Mental Health Diagnosis Value Set). - A visit (FUH Visits Group 1 Value Set and FUH POS Group 1 Value Set) with a primary diagnosis of mental health (Mental Health Diagnosis Value Set). - A visit (FUH Visits Group 2 Value Set and FUH POS Group 2 Value Set) with a primary diagnosis of mental health (Mental Health Diagnosis Value Set). - A visit to a primary diagnosis Value Set). - A visit to a behavioral healthcare facility (FUH RevCodes Group 1 Value Set). - A visit to a non- behavioral healthcare facility (FUH RevCodes Group 2 Value Set) with a primary diagnosis of mental health (Mental	injecting drugs (e.g., long-acting injectable naltrexone). A list of value sets for the measure is attached in the Excel workbook provided for question S.2b. States may need to adapt the list of codes to include state-specific codes.
3453: Continuity of care after inpatient or residential treatment for substance use disorder (SUD)	0004: Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment	0576: Follow-Up After Hospitalization for Mental Illness (FUH)	2605: Follow-Up After Emergency Department Visit for Mental Illness or Alcohol and Other Drug Abuse or Dependence	3312: Continuity of Care for Medicaid Beneficiaries after Detoxification (Detox) From Alcohol and/or Drugs
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	and Dependence Value Set, Opioid Abuse and Dependence Value Set, Other Drug Abuse and Dependence Value Set. • IET Visits Group 1 Value Set with IET POS Group 1 Value Set and a diagnosis matching the IESD diagnosis cohort using one of the following: Alcohol Abuse and Dependence Value Set, Opioid Abuse and Dependence Value Set, Other Drug Abuse and Dependence Value Set with or without a telehealth modifier (Telehealth Modifier Value Set). • IET Visits Group 2 Value Set with IET POS Group 2 Value Set and a diagnosis matching the IESD diagnosis cohort using one of the following: Alcohol Abuse and Dependence Value Set, Opioid Abuse and Dependence Value Set and Dependence Value Set, Other Drug Abuse and Dependence Value Set, Other Drug Abuse and	mental health clinical nurse specialist, or who has a master's degree in nursing with a specialization in psychiatric/mental health and two years of supervised clinical experience and is licensed to practice as a psychiatric or mental health nurse, if required by the state of practice. • An individual (normally with a master's or a doctoral degree in marital and family therapy and at least two years of supervised clinical experience) who is practicing as a marital and family therapist and is licensed or a certified counselor by the state of practice, or if licensure or certification is not required by the state of practice, who is eligible for clinical membership in the American Association	Health Diagnosis Value Set). - A visit to a non- behavioral healthcare facility (FUH RevCodes Group 2 Value Set) with a primary diagnosis of mental health (Mental Health Diagnosis Value Set). - Transitional care management services (TCM 7 Day Value Set) where the date of service on the claim is 29 days after the date the patient was discharged from the emergency department with a primary diagnosis of mental health (Mental Health Diagnosis Value Set). - Transitional care management services (TCM 14 Day Value Set) where the date of service on the claim is 29 days after the date of service on the claim is 29 days after the date of service on the claim is 29 days after the date the patient was discharged from the emergency department	

3453: Continuity of care after inpatient or residential treatment for substance use disorder (SUD)	0004: Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment Dependence Value Set	0576: Follow-Up After Hospitalization for Mental Illness (FUH) for Marriage and Family	2605: Follow-Up After Emergency Department Visit for Mental Illness or Alcohol and Other Drug Abuse or Dependence with a primary diagnosis	3312: Continuity of Care for Medicaid Beneficiaries after Detoxification (Detox) From Alcohol and/or Drugs
	<ul> <li>with or without a telehealth modifier</li> <li>(Telehealth Modifier</li> <li>Value Set).</li> <li>A telephone</li> <li>visit (Telephone Visit</li> <li>Value Set) with a diagnosis matching the</li> <li>IESD diagnosis cohort</li> <li>using one of the following: Alcohol Abuse and Dependence Value</li> <li>Set, Opioid Abuse and</li> <li>Dependence Value Set,</li> <li>Other Drug Abuse and</li> <li>Dependence Value Set.</li> <li>An online</li> <li>assessment (Online</li> <li>Assessment Value) set</li> <li>with a diagnosis</li> <li>matching the IESD</li> <li>diagnosis cohort using</li> <li>one of the following:</li> <li>Alcohol Abuse and</li> <li>Dependence Value Set,</li> <li>Opioid Abuse and</li> <li>Dependence Value Set,</li> <li>Opioid Abuse and</li> <li>Dependence Value Set,</li> <li>If the Index</li> <li>Episode was for a</li> </ul>	<ul> <li>An individual (normally with a master's or doctoral degree in counseling and at least two years of supervised clinical experience) who is practicing as a professional counselor and who is licensed or certified to do so by the state of practice, or if licensure or certification is not required by the state of practice, is a National Certified Counselor with a Specialty Certification in Clinical Mental Health Counseling from the National Board for Certified Counselors (NBCC).</li> </ul>	of mental health (Mental Health Diagnosis Value Set). - Note: Transitional care management is a 30-day period that begins on the date of discharge and continues for the next 29 days. The date of service on the claim is 29 days after discharge and not the date of the face-to-face visit. Alcohol or Other Drug Dependence Rate 1: An outpatient visit, intensive outpatient encounter or partial hospitalization with any provider with a primary diagnosis of alcohol or other drug dependence within 7 days after emergency department discharge. Any of the following code combinations meet criteria:	

3453: Continui after inpatient residential trea substance use (SUD)	or Engagement of Alcohol atment for and Other Drug Abuse disorder or Dependence Treatment	0576: Follow-Up After Hospitalization for Mental Illness (FUH)	2605: Follow-Up After Emergency Department Visit for Mental Illness or Alcohol and Other Drug Abuse or Dependence	3312: Continuity of Care for Medicaid Beneficiaries after Detoxification (Detox) From Alcohol and/or Drugs
	diagnosis of alcohol abuse or dependence (Alcohol Abuse and Dependence Value Set) a medication treatment dispensing event (Medication Treatment for Alcohol Abuse or Dependence Medications List) or medication treatment during a visit (AOD Medication Treatment Value Set). • If the Index Episode was for a diagnosis of opioid abuse or dependence (Opioid Abuse and Dependence Value Set) a medication treatment dispensing event (Medication Treatment for Opioid Abuse or Dependence Medication treatment during a visit (AOD Medication treatment during a visit (AOD Medication Treatment Value Set). For all initiation events except medication		<ul> <li>IET Stand Alone</li> <li>Visits Value Set with a primary diagnosis of</li> <li>AOD (AOD Dependence</li> <li>Value Set).</li> <li>IET Visits Group</li> <li>1 Value Set with IET POS</li> <li>Group 1 Value Set and a primary diagnosis of</li> <li>AOD (AOD Dependence</li> <li>Value Set).</li> <li>IET Visits Group</li> <li>2 Value Set with IET POS</li> <li>Group 2 Value Set and a primary diagnosis of</li> <li>AOD (AOD Dependence</li> <li>Value Set).</li> <li>IET Visits Group</li> <li>2 Value Set with IET POS</li> <li>Group 2 Value Set and a primary diagnosis of</li> <li>AOD (AOD Dependence</li> <li>Value Set).</li> <li>Rate 2: An outpatient</li> <li>visit, intensive</li> <li>outpatient encounter or</li> <li>partial hospitalization</li> <li>with any provider with a</li> <li>primary diagnosis</li> <li>alcohol or other drug</li> <li>dependence within 30</li> <li>days after emergency</li> <li>department discharge.</li> <li>Any of the following</li> <li>code combinations meet</li> <li>criteria:</li> </ul>	

3453: Continuit after inpatient residential trea substance use o (SUD)	or Engagement of Alcohol atment for and Other Drug Abuse disorder or Dependence Treatment	0576: Follow-Up After Hospitalization for Mental Illness (FUH)	2605: Follow-Up After Emergency Department Visit for Mental Illness or Alcohol and Other Drug Abuse or Dependence	3312: Continuity of Care for Medicaid Beneficiaries after Detoxification (Detox) From Alcohol and/or Drugs
	treatment (AOD Medication Treatment Value Set; Medication Treatment for Alcohol Abuse or Dependence Medications List; Medication Treatment for Opioid Abuse or Dependence Medications List), initiation on the same day as the IESD must be with different providers in order to count. If a member is compliant for the Initiation numerator for any diagnosis cohort (i.e., alcohol, opioid, other drug) or for multiple cohorts, count the member only once in the Total Initiation numerator. The "Total" column is not the sum of the diagnosis columns. Exclude the member from the denominator for both indicators (Initiation of AOD Treatment and Engagement of AOD		<ul> <li>IET Stand Alone Visits Value Set with AOD Dependence Value Set</li> <li>IET Visits Group 1 Value Set with IET POS Group 1 Value Set and a primary diagnosis of AOD (AOD Dependence Value Set).</li> <li>IET Visits Group 2 Value Set with IET POS Group 2 Value Set and a primary diagnosis of AOD (AOD Dependence Value Set).</li> </ul>	

a r s	8453: Continuity of care after inpatient or residential treatment for substance use disorder (SUD)	0004: Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment	0576: Follow-Up After Hospitalization for Mental Illness (FUH)	2605: Follow-Up After Emergency Department Visit for Mental Illness or Alcohol and Other Drug Abuse or Dependence	3312: Continuity of Care for Medicaid Beneficiaries after Detoxification (Detox) From Alcohol and/or Drugs
		Treatment) if the initiation of treatment event is an inpatient stay with a discharge date after November 27 of the measurement year.  ENGAGEMENT OF AOD TREATMENT 1) Numerator compliant for the Initiation of AOD Treatment numerator and 2) Members whose initiation of AOD treatment was a medication treatment event (Medication Treatment for Alcohol Abuse or Dependence Medications List; Medication Treatment for Opioid Abuse or Dependence Medications List; AOD Medication Treatment Value Set).			
		These members are numerator compliant if			

3453: Continuity of care after inpatient or residential treatment for substance use disorder (SUD)	0004: Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment	0576: Follow-Up After Hospitalization for Mental Illness (FUH)	2605: Follow-Up After Emergency Department Visit for Mental Illness or Alcohol and Other Drug Abuse or Dependence	3312: Continuity of Care for Medicaid Beneficiaries after Detoxification (Detox) From Alcohol and/or Drugs
	they have two or more engagement events where only one can be an engagement medication treatment event. 3) Remaining members whose initiation of AOD treatment was not a medication treatment event (members not identified in step 2). These members are numerator compliant if they meet either of the following: • At least one engagement medication treatment event. • At least two engagement visits Two engagement visits can be on the same date of service, but they must be with different providers in order to count as two events. An engagement visit on the same date of service as an engagement			

3453: Continuity of care after inpatient or residential treatment for substance use disorder (SUD)	0004: Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment	0576: Follow-Up After Hospitalization for Mental Illness (FUH)	2605: Follow-Up After Emergency Department Visit for Mental Illness or Alcohol and Other Drug Abuse or Dependence	3312: Continuity of Care for Medicaid Beneficiaries after Detoxification (Detox) From Alcohol and/or Drugs
	medication treatment event meets criteria (there is no requirement that they be with different providers). Engagement visits: Any of the following meet criteria for an engagement visit: • An acute or nonacute inpatient admission with a diagnosis matching the IESD diagnosis cohort using one of the following: Alcohol Abuse and Dependence Value Set, Opioid Abuse and Dependence Value Set, Other Drug Abuse and Dependence Value Set. To identify acute or nonacute inpatient admissions: - Identify all acute and nonacute inpatient stays (Inpatient Stay Value Set).			

after resid	inpatient or lential treatment for tance use disorder	0004: Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment	0576: Follow-Up After Hospitalization for Mental Illness (FUH)	2605: Follow-Up After Emergency Department Visit for Mental Illness or Alcohol and Other Drug Abuse or Dependence	3312: Continuity of Care for Medicaid Beneficiaries after Detoxification (Detox) From Alcohol and/or Drugs
		<ul> <li>Identify the admission date for the stay.</li> <li>IET Stand Alone Visits Value Set with a diagnosis matching the IESD diagnosis cohort using one of the following: Alcohol Abuse and Dependence Value Set, Opioid Abuse and Dependence Value Set, Other Drug Abuse and Dependence Value Set, With or without a telehealth modifier (Telehealth Modifier Value Set).</li> <li>Observation Value Set with a diagnosis matching the IESD diagnosis cohort using one of the following: Alcohol Abuse and Dependence Value Set).</li> <li>Observation Value Set with a diagnosis matching the IESD diagnosis cohort using one of the following: Alcohol Abuse and Dependence Value Set, Opioid Abuse and Dependence Value Set, Opioid Abuse and Dependence Value Set, Other Drug Abuse and Dependence Valu</li></ul>			

3453: Continuity of care after inpatient or residential treatment for substance use disorder (SUD)	0004: Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment	0576: Follow-Up After Hospitalization for Mental Illness (FUH)	2605: Follow-Up After Emergency Department Visit for Mental Illness or Alcohol and Other Drug Abuse or Dependence	3312: Continuity of Care for Medicaid Beneficiaries after Detoxification (Detox) From Alcohol and/or Drugs
	diagnosis matching the IESD diagnosis cohort using one of the following: Alcohol Abuse and Dependence Value Set, Opioid Abuse and Dependence Value Set, Other Drug Abuse and Dependence Value Set, with or without a telehealth modifier (Telehealth Modifier Value Set). IET Visits Group 2 Value Set with IET POS Group 2 Value Set with a diagnosis matching the IESD diagnosis cohort using one of the following: Alcohol Abuse and Dependence Value Set, Opioid Abuse and Dependence Value Set, Other Drug Abuse and Dependence Value Set, With or without a telehealth modifier (Telehealth Modifier Value Set). A telephone visit (Telephone Visits Value Set) with a			

diagnosis matching the IESD diagnosis cohort using one of the following: Alcohol Abuse and Dependence Value Set, Opioid Abuse and Dependence Value Set, Other Drug Abuse and Dependence Value Set. • An online assessment (Online Assessments Value Set) with a diagnosis matching the IESD diagnosis cohort using one of the following: Alcohol Abuse and Dependence Value Set, Opioid Abuse and Dependence Value Set, Opioid Abuse and Dependence Value Set, Other Drug Abuse Abuse Set, Other Drug Abuse Abuse Set, Other Drug Abuse Set, Other	3453: Continuity of care after inpatient or residential treatment for substance use disorder (SUD)	0004: Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment	0576: Follow-Up After Hospitalization for Mental Illness (FUH)	2605: Follow-Up After Emergency Department Visit for Mental Illness or Alcohol and Other Drug Abuse or Dependence	3312: Continuity of Care for Medicaid Beneficiaries after Detoxification (Detox) From Alcohol and/or Drugs
diagnosis of alcohol		IESD diagnosis cohort using one of the following: Alcohol Abuse and Dependence Value Set, Opioid Abuse and Dependence Value Set, Other Drug Abuse and Dependence Value Set. • An online assessment (Online Assessments Value Set) with a diagnosis matching the IESD diagnosis cohort using one of the following: Alcohol Abuse and Dependence Value Set, Opioid Abuse and Dependence Value Set, Other Drug Abuse and Dependence Value Set, Other Drug Abuse and Dependence Value Set. Engagement Medication Treatment Events: Either of the following meets criteria for an engagement medication treatment event: • If the IESD diagnosis was a			

3453: Continuity of care after inpatient or residential treatment for substance use disorder (SUD)	0004: Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment	0576: Follow-Up After Hospitalization for Mental Illness (FUH)	2605: Follow-Up After Emergency Department Visit for Mental Illness or Alcohol and Other Drug Abuse or Dependence	3312: Continuity of Care for Medicaid Beneficiaries after Detoxification (Detox) From Alcohol and/or Drugs
	abuse or dependence (Alcohol Abuse and Dependence Value Set), one or more medication treatment dispensing events (Medication Treatment for Alcohol Abuse or Dependence Medications List) or medication treatment during a visit (AOD Medication Treatment Value Set), beginning on the day after the initiation encounter through 34 days after the initiation event (total of 34 days), meets criteria for Alcohol Abuse and Dependence Treatment. If the IESD diagnosis was a diagnosis of opioid abuse or dependence (Opioid Abuse and Dependence Value Set), one or more medication dispensing events (Medication Treatment for Opioid Abuse or Dependence			

	3453: Continuity of care after inpatient or residential treatment for substance use disorder (SUD)	0004: Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment	0576: Follow-Up After Hospitalization for Mental Illness (FUH)	2605: Follow-Up After Emergency Department Visit for Mental Illness or Alcohol and Other Drug Abuse or Dependence	3312: Continuity of Care for Medicaid Beneficiaries after Detoxification (Detox) From Alcohol and/or Drugs
		Medications List) or medication treatment during a visit (AOD Medication Treatment Value Set), beginning on the day after the initiation encounter through 34 days after the initiation event (total of 34 days), meets criteria for Opioid Abuse and Dependence Treatment. If the member is compliant for multiple cohorts, only count the member once for the			
		Total Engagement numerator. The Total Column is not the sum of the diagnosis columns.			
Denominator Statement	Adult Medicaid beneficiary discharges from inpatient or residential treatment for SUD with a principal diagnosis of SUD during from January 1 to	Patients age 13 years of age and older as of December 31 of the measurement year who were diagnosed with a new episode of alcohol or other drug dependency (AOD) during the first 10 and ½	Discharges from an acute inpatient setting (including acute care psychiatric facilities) with a principal diagnosis of mental illness during the first 11 months of the measurement year (i.e.,	Patients who were treated and discharged from an emergency department with a primary diagnosis of mental health or alcohol or other drug dependence on or between January 1 and	Adult Medicaid beneficiary discharges from detoxification from January 1 to December 15 of the measurement year.

	3453: Continuity of care after inpatient or residential treatment for substance use disorder (SUD)	0004: Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment	0576: Follow-Up After Hospitalization for Mental Illness (FUH)	2605: Follow-Up After Emergency Department Visit for Mental Illness or Alcohol and Other Drug Abuse or Dependence	3312: Continuity of Care for Medicaid Beneficiaries after Detoxification (Detox) From Alcohol and/or Drugs
	December 15 of the measurement year.	months of the measurement year (e.g., January 1-November 15).	January 1 to December 1) for patients 6 years and older.	December 1 of the measurement year.	
Denominator Details	Population: Medicaid beneficiaries age 18 through 64 as of January 1 of the measurement year. Benefit: Medical and Behavioral Health Services. Continuous Enrollment: Date of the inpatient or residential SUD treatment discharge through end of the following month. The enrollment requirement is to ensure that beneficiaries are enrolled for sufficient time to allow for the continuity activities, particularly for a discharge that occurs near the end of a month. Diagnosis Criteria: Discharges from inpatient or residential treatment with a primary diagnosis of SUD on any claim during the stay.	Identify the Index Episode. Identify all members 13 years and older as of December 31 of the measurement year who during the Intake Period had one of the following: • An outpatient visit, telehealth, intensive outpatient visit or partial hospitalization with a diagnosis of AOD abuse or dependence. Any of the following code combinations meet criteria: - IET Stand Alone Visits Value Set with one of the following: Alcohol Abuse and Dependence Value Set, Opioid Abuse and Dependence Value Set, Other Drug Abuse and Dependence Value Set, with or without a telehealth modifier	An acute inpatient discharge with a principal diagnosis of mental illness (Mental Illness Value Set) on or between January 1 and December 1 of the measurement year. To identify acute inpatient discharges: 1. Identify all acute and nonacute inpatient stays (Inpatient Stay Value Set). 2. Exclude nonacute inpatient stays (Nonacute Inpatient Stay Value Set). 3. Identify the discharge date for the stay. The denominator for this measure is based on discharges, not on patients. If patients have more than one discharge, include all discharges on or	Age: 18 years and older as of the date of discharge Benefit: Medical and Behavioral Health Continuous Enrollment: Date of emergency department visit through 30 days after discharge Diagnosis criteria: Patients who were treated and discharged from an emergency department with a primary diagnosis of mental health (see Mental Health Diagnosis Value Set) or alcohol or other drug dependence (see AOD Dependence (see AOD Dependence Value Set) on or between January 1 and December 1 of the measurement year. The denominator for this measure is based on	Measure data will be reported annually (12 months). To account for the 14-day time period after discharge from detoxification, the denominator period will start January 1 and end December 15 of the measurement year. Target population meets the following conditions: • Medicaid beneficiaries aged 18 years and older and less than 65 years with at least one detox discharge during the year January 1- December 15. • Enrolled in Medicaid during the month of detoxification discharge and the following month. The denominator is based on discharges, not individuals. A

Af re su (S (S A A A A A A A A A A A A A A A A A	453: Continuity of care fter inpatient or esidential treatment for ubstance use disorder SUD) esidential treatment is dentified using the value ets in Tabs 1-3 of the ttached Excel file. SUD iagnoses are identified sing the value sets in abs 1-2. he denominator for this neasure is based on ischarges, not ndividuals. If a person as more than one ischarge, include all ischarges on or between anuary 1 and December 5 of the measurement ear. December 15th is placted to allow.	0004: Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment (Telehealth Modifier Value Set). – IET Visits Group 1 Value Set with IET POS Group 1 Value Set and with one of the following: Alcohol Abuse and Dependence Value Set, Opioid Abuse and Dependence Value Set, Other Drug Abuse and Dependence Value Set, Other Drug Abuse and Dependence Value Set, with or without a telehealth modifier (Telehealth Modifier Value Set). – IET Visits Group	0576: Follow-Up After Hospitalization for Mental Illness (FUH) between January 1 and December 1 of the measurement year. Acute facility readmission or direct transfer: If the discharge is followed by readmission or direct transfer to an acute inpatient care setting for a principal diagnosis of mental health (Mental Health Diagnosis Value Set) within the 30-day follow-up period, count only the last discharge.	2605: Follow-Up After Emergency Department Visit for Mental Illness or Alcohol and Other Drug Abuse or Dependence discharges, not individuals. If a person has more than one discharge, include all discharges on or between January 1 and December 1 of the measurement year. Use only facility claims to identify denominator events (including admissions or direct transfers). Do not use professional claims.	3312: Continuity of Care for Medicaid Beneficiaries after Detoxification (Detox) From Alcohol and/or Drugs beneficiary may have more than one qualifying detox episode. Detoxification is identified using a combination of HCPCS codes, UB Revenue Codes and ICD-9/ICD-10 procedure codes. A list of value sets for the measure is attached in the Excel workbook provided for question S.2b. As with the numerator specifications, this document lists
15 96 56 51	5 of the measurement	Value Set).	follow-up period, count		numerator

3453: Contin after inpatien residential tr substance us (SUD)	nt or Engagement of Alcohol eatment for and Other Drug Abuse	0576: Follow-Up After Hospitalization for Mental Illness (FUH)	2605: Follow-Up After Emergency Department Visit for Mental Illness or Alcohol and Other Drug Abuse or Dependence	3312: Continuity of Care for Medicaid Beneficiaries after Detoxification (Detox) From Alcohol and/or Drugs
	<ul> <li>Value Set) with one of the following: Alcohol Abuse and Dependence</li> <li>Value Set, Opioid Abuse and Dependence Value Set, Other Drug Abuse and Dependence Value Set.</li> <li>An ED visit (ED Value Set) with one of the following: Alcohol Abuse and Dependence</li> <li>Value Set, Opioid Abuse and Dependence Value Set, Other Drug Abuse and Dependence Value Set.</li> <li>An observation visit (Observation Value Set) with one of the following: Alcohol Abuse and Dependence Value Set, Opioid Abuse and Dependence Value Set, Opioid Abuse and Dependence Value Set, Other Drug Abuse and Dependence Value Set.</li> <li>An acute or nonacute inpatient discharge with one of the following: Alcohol Abuse and Dependence</li> <li>Value Set, Opioid Abuse</li> </ul>			

3453: Continuity of care after inpatient or residential treatment for substance use disorder (SUD)	0004: Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment	0576: Follow-Up After Hospitalization for Mental Illness (FUH)	2605: Follow-Up After Emergency Department Visit for Mental Illness or Alcohol and Other Drug Abuse or Dependence	3312: Continuity of Care for Medicaid Beneficiaries after Detoxification (Detox) From Alcohol and/or Drugs
	and Dependence Value Set, Other Drug Abuse and Dependence Value Set. To identify acute and nonacute inpatient discharges: – Identify all acute and nonacute inpatient stays (Inpatient Stay Value Set). – Identify the discharge date for the stay. • A telephone visit (Telephone Visits Value Set) with one of the following: Alcohol Abuse and Dependence Value Set, Opioid Abuse and Dependence Value Set, Other Drug Abuse and Dependence Value Set. • An online assessment (Online Assessments Value Set) with one of the following: Alcohol Abuse and Dependence Value Set.			

	3453: Continuity of care after inpatient or residential treatment for substance use disorder (SUD)	0004: Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment	0576: Follow-Up After Hospitalization for Mental Illness (FUH)	2605: Follow-Up After Emergency Department Visit for Mental Illness or Alcohol and Other Drug Abuse or Dependence	3312: Continuity of Care for Medicaid Beneficiaries after Detoxification (Detox) From Alcohol and/or Drugs
		Dependence Value Set, Other Drug Abuse and Dependence Value Set. For members with more than one episode of AOD abuse or dependence, use the first episode. For members whose first episode was an ED or observation visit that resulted in an inpatient stay, use the diagnosis from the ED or observation visit to determine the diagnosis cohort and use the inpatient discharge date as the IESD. Select the Index Episode Start Date.			
Exclusions	<ul> <li>Exclude from the denominator for both rates:</li> <li>Discharges with hospice services during the measurement year</li> <li>Both the initial discharge and the admission/direct transfer discharge if the</li> </ul>	Exclude members who had a claim/encounter with a diagnosis of AOD abuse or dependence (AOD Abuse and Dependence Value Set), AOD medication treatment (AOD Medication Treatment Value Set) or an alcohol	Exclude from the denominator for both rates, patients who receive hospice services during the measurement year. Exclude both the initial discharge and the readmission/direct transfer discharge if the	The following are exclusions from the denominator: -If the discharge is followed by readmission or direct transfer to an emergency department for a principal diagnosis of mental health or alchohol or other drug	Not applicable. The measure does not have denominator exclusions.

	3453: Continuity of care after inpatient or residential treatment for substance use disorder (SUD) admission/direct transfer discharge occurs after December 15 of the measurement year. Discharges followed by admission or direct transfer to inpatient or SUD residential treatment setting within 7 or 14-day continuity of care period. These discharges are excluded from the measure because transfer, hospitalization or admission to residential treatment within 7 or 14 days may prevent a continuity of care visit from taking place. An exception is admission to residential treatment following discharge from inpatient treatment; we do not exclude these admissions, because continuity into residential treatment after inpatient treatment is considered appropriate treatment.	0004: Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment or opioid dependency treatment medication dispensing event (Medication Treatment for Alcohol Abuse or Dependence Medications List; Medication Treatment for Opioid Abuse or Dependence Medications List) during the 60 days (2 months) before the IESD. Exclude patients who use hospice services or elect to use a hospice benefit any time during the measurement year, regardless of when the services began.	0576: Follow-Up After Hospitalization for Mental Illness (FUH) readmission/direct transfer discharge occurs after December 1 of the measurement year. Exclude discharges followed by readmission or direct transfer to a nonacute facility within the 30-day follow-up period regardless of principal diagnosis. Exclude discharges followed by readmission or direct transfer to an acute facility within the 30-day follow-up period if the principal diagnosis was for non-mental health. These discharges are excluded from the measure because rehospitalization or transfer may prevent an outpatient follow-up visit from taking place.	2605: Follow-Up After Emergency Department Visit for Mental Illness or Alcohol and Other Drug Abuse or Dependence dependence within the 30-day follow-up period, count only the readmission discharge or the discharge from the emegenecy department to which the patient was transferred. -Exclude discharges followed by admission or direct transfer to an acute or nonacute facility within the 30-day follow-up period, regardless of primary diagnosis for the admission. These discharges are excluded from the measure because hospitalization or transfer may prevent an outpatient follow-up visit from taking place.	3312: Continuity of Care for Medicaid Beneficiaries after Detoxification (Detox) From Alcohol and/or Drugs
Exclusion Details	Codes reflecting exclusions are attached in	Exclude patients who had a claim/encounter	Exclude patients who use hospice services or	See Section S.10 for exclusion details	Not applicable.

NQF REVIEW DRAFT—Comments due by April 9, 2019 by 6:00 PM ET.

afte resid	3: Continuity of care er inpatient or dential treatment for stance use disorder D)	0004: Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment	0576: Follow-Up After Hospitalization for Mental Illness (FUH)	2605: Follow-Up After Emergency Department Visit for Mental Illness or Alcohol and Other Drug Abuse or Dependence	3312: Continuity of Care for Medicaid Beneficiaries after Detoxification (Detox) From Alcohol and/or Drugs
trea usin Tabs Exce are i	b. Residential atment is identified ag the value sets in s 1-3 of the attached el file. SUD diagnoses identified using the sets in Tabs 1-2.	with a diagnosis of AOD during the 60 days (2 months) before the Index Episode Start Date. (See corresponding Excel document for the AOD Dependence Value Set) - For an inpatient Index Episode Start Date, use the admission date to determine if the patient had a period of 60 days prior to the Index Episode Start Date with no claims with a diagnosis of AOD dependence. - For an ED visit that results in an inpatient event, use the ED date of service to determine if the patient had a period of 60 days prior to the Index Episode Start Date with no claims with a diagnosis of AOD dependence. - For direct transfers, use the first admission to determine if the patient had a period of	elect to use a hospice benefit any time during the measurement year, regardless of when the services began. These patients may be identified using various methods, which may include but are not limited to enrollment data, medical record or claims/encounter data (Hospice Value Set). Exclude both the initial discharge and the readmission/direct transfer discharge if the last discharge occurs after December 1 of the measurement year. Exclude discharges followed by readmission or direct transfer to a nonacute care setting within the 30-day follow-up period, regardless of principal diagnosis for the readmission. To identify readmissions to a nonacute inpatient care setting:		

 3453: Continuity of care after inpatient or residential treatment for substance use disorder (SUD)	0004: Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment	0576: Follow-Up After Hospitalization for Mental Illness (FUH)	2605: Follow-Up After Emergency Department Visit for Mental Illness or Alcohol and Other Drug Abuse or Dependence	3312: Continuity of Care for Medicaid Beneficiaries after Detoxification (Detox) From Alcohol and/or Drugs
	60 days prior to the Index Episode Start Date with no claims with a diagnosis of AOD dependence. Exclude from the denominator for both indicators (Initiation of AOD Treatment and Engagement of AOD Treatment) patients whose initiation of treatment event is an inpatient stay with a discharge date after December 1 of the measurement year.	<ol> <li>Identify all acute and nonacute inpatient stays (Inpatient Stay Value Set).</li> <li>Confirm the stay was for nonacute care based on the presence of a nonacute code (Nonacute Inpatient Stay Value Set) on the claim.</li> <li>Identify the admission date for the stay.</li> <li>Exclude discharges followed by readmission or direct transfer to an acute inpatient care setting within the 30- day follow-up period if the principal diagnosis was for non-mental health (any principal diagnosis code other than those included in the Mental Health Diagnosis Value Set). To identify readmissions to an acute inpatient care setting:</li> <li>Identify all acute and nonacute inpatient stays</li> </ol>		

	3453: Continuity of care after inpatient or residential treatment for substance use disorder (SUD)	0004: Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment	0576: Follow-Up After Hospitalization for Mental Illness (FUH)	2605: Follow-Up After Emergency Department Visit for Mental Illness or Alcohol and Other Drug Abuse or Dependence	3312: Continuity of Care for Medicaid Beneficiaries after Detoxification (Detox) From Alcohol and/or Drugs
			<ul> <li>(Inpatient Stay Value Set).</li> <li>2. Exclude nonacute inpatient stays</li> <li>(Nonacute Inpatient Stay Value Set).</li> <li>3. Identify the admission date for the stay.</li> <li>These discharges are excluded from the measure because rehospitalization or transfer may prevent an outpatient follow-up visit from taking place.</li> <li>See corresponding Excel document for the Value Sets referenced above in S.2b.</li> </ul>		
Risk Adjustment	No risk adjustment or risk stratification	No risk adjustment or risk stratification	No risk adjustment or risk stratification	No risk adjustment or risk stratification	No risk adjustment or risk stratification
Stratification	Not applicable.	<ul> <li>The total population is stratified by age: 13-17 and 18+ years of age.</li> <li>Report two age stratifications and a total rate.</li> </ul>	N/A	Not applicable.	Location of detox is used as a stratification variable in analyses. If an inpatient hospital claim had an ICD-9/ICD- 10 detoxification procedure code or a UB revenue code indicating detoxification, hospital

3453: Continuity of care after inpatient or residential treatment for substance use disorder (SUD)	0004: Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment	0576: Follow-Up After Hospitalization for Mental Illness (FUH)	2605: Follow-Up After Emergency Department Visit for Mental Illness or Alcohol and Other Drug Abuse or Dependence	3312: Continuity of Care for Medicaid Beneficiaries after Detoxification (Detox) From Alcohol and/or Drugs
	<ul> <li>The total is the sum of the age stratifications.</li> <li>Report the following diagnosis cohorts for each age stratification and the total rate: <ul> <li>Alcohol abuse or dependence.</li> <li>Opioid abuse or dependence.</li> <li>Other drug abuse or dependence.</li> <li>Total.</li> </ul> </li> </ul>			inpatient treatment is assigned as the location of detox. In addition, hospital inpatient treatment is also assigned if a non- inpatient claim contains a HCPCS code indicating hospital inpatient detox. The remaining detox location assignments are very straightforward. Whenever possible, use of the HCPCS codes to determine location is most desired as it reflects the more precise detoxification location. The other stayover treatment location is designed to capture detox location from non-inpatient claims that do not contain a HCPCS code. A list of value sets for the measure is attached in the Excel workbook provided for question S.2b.

	3453: Continuity of care after inpatient or residential treatment for substance use disorder (SUD)	0004: Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment	0576: Follow-Up After Hospitalization for Mental Illness (FUH)	2605: Follow-Up After Emergency Department Visit for Mental Illness or Alcohol and Other Drug Abuse or Dependence	3312: Continuity of Care for Medicaid Beneficiaries after Detoxification (Detox) From Alcohol and/or Drugs
Type Score	Rate/proportion better quality = higher score	Rate/proportion better quality = higher score	Rate/proportion better quality = higher score	Rate/proportion better quality = higher score	Rate/proportion better quality = higher score
Algorithm	In the steps below we reference the Excel workbook we attached for S.2b. The workbook includes: 2016 HEDIS value sets used in measure testing 2018 HEDIS value sets used in measure testing for pharmacotherapy and telehealth codes Value sets developed during the specification and testing of this measure, and the value sets from NQF #3312 Continuity of Care for Medicaid Beneficiaries After Detoxification (Detox) from Alcohol and/or Drugs and NQF #3400 Use of Pharmacotherapy for Opioid Use Disorder (OUD) that were used in	Step 1. Determine the eligible population. The eligible population is all patients who satisfy all specified denominator criteria (S7-S9). Step 2. Search administrative systems to identify numerator events for all patients in the eligible population (S6). Step 3. Calculate the rate of numerator events in the eligible population.	Step 1. Determine the denominator. The denominator is all discharges that meet the specified denominator criteria (S7). Step 2. Remove exclusions. Remove all discharges from the denominator that meet the specified exclusion criteria (S9). Step 3. Identify numerator events: Search administrative systems to identify numerator events for all discharges in the denominator (S5). Step 4. Calculate the rate by dividing the events in step 3 by the discharges in step 2.	Mental Health Step 1: Determine the eligible population. Step 1A: Identify patients with who were treated and discharged from an emergency department with a primary diagnosis of mental health. Step 1B: Exclude patients who meet the exclusion criteria as specified in the "Denominator Exclusion Details" section. Step 2: Identify the numerator. Step 2A: Identify those who had a qualifying follow-up visit within 7 days. Step 2B: Identify those who had a qualifying follow-up visit within 30 days.	The following step are used to identify the denominator, numerator, and calculation of the measure rate: Step 1: Identify denominator Step 1A: Eligible population: Identify enrolled Medicaid beneficiaries ages 18-64 years who have any detoxification (withdrawal management) in inpatient hospital, residential addiction treatment program, or ambulatory detoxification (withdrawal management) discharge from January 1 to December 15 of the measurement year and are enrolled the month of detoxification and the

3453: Continuity of care after inpatient or residential treatment for substance use disorder (SUD)	0004: Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment	0576: Follow-Up After Hospitalization for Mental Illness (FUH)	2605: Follow-Up After Emergency Department Visit for Mental Illness or Alcohol and Other Drug Abuse or Dependence	3312: Continuity of Care for Medicaid Beneficiaries after Detoxification (Detox) From Alcohol and/or Drugs
the specification of this measure.Note - some states may need to also include relevant state-specific codes.Step 1: Identify denominatorStep 1A. Eligible population: : Identify non- dually enrolled Medicaid beneficiaries age 18 through 64 years with any discharges from inpatient or residential treatment with a principal diagnosis of SUD during January 1 - December 15 of the measurement year.Patients must meet enrollment criteria, defined as Medicaid as the first payer and enrolled in the month of discharge and the following month. Age is calculated as of January 1 of the measurement year.Throughout Steps 1 and 2, the principal diagnosis of SUD is identified using			Step 3: Calculate the rates. Step 3A: Calculate the 7- day rate by dividing the number of patients with qualifying follow-up visit within 7 days (Step 2A) by the denominator (after exclusions) (Step 1B). Step 3B: Calculate the 30-day rate by dividing the number of patients with qualifying follow- up visit within 30 days (Step 2B) by the denominator (after exclusions) (Step 1B). Alcohol or Other Drug Dependence Step 1: Determine the eligible population. Step 1A: Identify patients with who were treated and discharged from an emergency department with a primary diagnosis of alcohol or other drug dependence.	following month. Age is calculated as of January 1 of the measurement year. Step 1B: Overall: Among the Medicaid beneficiaries in Step 1A, identify all detoxification discharges using all inpatient, outpatient and ambulatory claims files or tables that contain HCPCS or ICD- 9/ICD-10 procedure codes and UB revenue codes. If more than one detoxification in a year, treat each detoxification as a separate observation, e.g., an inpatient hospital detoxification in January and an ambulatory detoxification in July, counts as two observations. Step 1B.1: Multiple detox claims that are within 1-2 days are combined into a single detox episode. Accordingly, sort the

3453: Continuity of care after inpatient or residential treatment for substance use disorder (SUD) a principal diagnosis from the 2016 "HEDIS AOD	0004: Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment	0576: Follow-Up After Hospitalization for Mental Illness (FUH)	2605: Follow-Up After Emergency Department Visit for Mental Illness or Alcohol and Other Drug Abuse or Dependence Step 1B: Exclude patients who meet the	3312: Continuity of Care for Medicaid Beneficiaries after Detoxification (Detox) From Alcohol and/or Drugs inpatient, outpatient and ambulatory detox
Dependence" value set (Tab 1 in the attached Excel file) or any procedure code from the 2016 "HEDIS AOD Procedures" value set (Tab 2). Secondary diagnosis of SUD is identified using the same value sets. Step 1B. Flag claims as inpatient or as residential treatment: Among the Medicaid beneficiaries in Step 1A, flag claims as being either in an inpatient or residential setting using all inpatient, outpatient, and ambulatory claims files or tables that contain HCPCS, ICD-9/ICD-10 procedure or diagnosis codes, place of service, or UB revenue codes. Residential treatment is identified using the codes in the SUD Residential Treatment value set (Tab 3). If more than one			<ul> <li>patients who meet the exclusion criteria as specified in the "Denominator Exclusion Details" section.</li> <li>Step 2: Identify the numerator.</li> <li>Step 2A: Identify those who had a qualifying follow-up visit within 7 days.</li> <li>Step 2B: Identify those who had a qualifying follow-up visit within 30 days.</li> <li>Step 3B: Calculate the rates.</li> <li>Step 3A: Calculate the 7-day rate by dividing the number of patients with qualifying follow-up visit within 7 days (Step 2A) by the denominator (after exclusions) (Step 1B).</li> <li>Step 3B: Calculate the 30-day rate by dividing the number of patients with qualifying follow-up visit within 30 days</li> </ul>	discharges by Beneficiary ID and service dates to ensure the discharges from these multiple data sources are in chronological order. Then combine close- proximity episodes while retaining all clinical fields from each episode. Step 1C: Detox location assignment: hospital inpatient, inpatient residential addiction, outpatient residential outpatient addiction, other stayover treatment and ambulatory detoxification. Use HCPCs detox procedure codes to assign detox location whenever possible; revenue center detox will map to the hospital inpatient location when the revenue codes appear

3453: Continuity of care after inpatient or residential treatment for substance use disorder (SUD)	0004: Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment	0576: Follow-Up After Hospitalization for Mental Illness (FUH)	2605: Follow-Up After Emergency Department Visit for Mental Illness or Alcohol and Other Drug Abuse or Dependence	3312: Continuity of Care for Medicaid Beneficiaries after Detoxification (Detox) From Alcohol and/or Drugs
discharge in a year, treat each discharge as a separate episode, e.g., an inpatient hospital discharge in January and a residential treatment discharge in July counts as two episodes. Step 1B.1: Consolidate episodes: Multiple inpatient or residential treatment claims that are up to 2 days apart should be combined into a single episode. To facilitate this consolidation, sort the inpatient, outpatient and ambulatory discharges by Beneficiary ID and service dates to ensure the discharges from these multiple data sources are in chronological order. Use all inpatient and residential treatment claims, regardless of diagnosis, to create episodes. Step 1C: Assign treatment location to episodes: Use HCPCS, ICD-9/ICD-10			(Step 2B) by the denominator (after exclusions) (Step 1B).	on an inpatient claim or table. They will map to other stayover treatment when the revenue codes appear on a non-inpatient claim. If there is more than 1 detox location when episodes are combined, assign the location using the first claim's location. If there is a TIE between a detox episode being identified via revenue center codes and a more specific category using HCPCs on the SAME claim, the HCPCs location prevails. Step 2: Identify numerator Step 2A: Overall: From the denominator in Step 1B, identify those discharges from detoxification in any setting with a qualifying continuity service within 7 or 14 days after discharge.
procedure or diagnosis				-

3453: Continuity of care after inpatient or residential treatment for substance use disorder (SUD)	0004: Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment	0576: Follow-Up After Hospitalization for Mental Illness (FUH)	2605: Follow-Up After Emergency Department Visit for Mental Illness or Alcohol and Other Drug Abuse or Dependence	3312: Continuity of Care for Medicaid Beneficiaries after Detoxification (Detox) From Alcohol and/or Drugs
<ul> <li>codes, place of service, or UB revenue codes in the SUD Residential Treatment value set (Tab 3) and the SUD diagnosis value sets as noted in Step 1A to assign each episode as inpatient residential treatment, or a mix of both (also indicating the first setting of each episode and the last setting of each episode).</li> <li>Step 1D: Exclusions: Exclude discharges that meet the exclusion criteria as specified in the "Denominator Exclusion Details" section.</li> <li>Exclude discharges for patients who receive hospice services during the measurement year.</li> <li>Exclude discharges after December 15 of the measurement year.</li> <li>Exclude discharges for patients</li> </ul>				Step 2A.1: Identify SUD continuity services: Continuity services are assigned using clinical claims billing information (e.g., diagnosis, procedure, revenue codes). The measure includes all claims files or data tables that contain clinical fields (e.g., inpatient hospital, outpatient, other ambulatory and long- term care). SUD diagnoses can be in any position – primary or secondary – for continuity services. Since multiple claims files or tables could each contain a continuity claim, the specification calls for creating continuity variables separately within each file type or table, sorting the files or tables by beneficiary ID and service dates, then
discharges followed by				putting them together in

3453: Continuity of care after inpatient or residential treatment fo substance use disorder (SUD)	Engagement of Alcohol	0576: Follow-Up After Hospitalization for Mental Illness (FUH)	2605: Follow-Up After Emergency Department Visit for Mental Illness or Alcohol and Other Drug Abuse or Dependence	3312: Continuity of Care for Medicaid Beneficiaries after Detoxification (Detox) From Alcohol and/or Drugs
admission or direct transfer to an inpatient SUD residential treatment setting within the 7- or 14-day continuity of car period regardless of the principal diagnosis (with exception of admission residential treatment following discharge from inpatient treatment). • Exclude episod that do not include at least one claim with primary diagnosis of SU The denominator for th 7- and 14-day continuity of care rates will differ because of the different exclusions based on transfer or admission to hospital or residential treatment for 7 versus 1 days. For example, a beneficiary admitted to residential setting on da 10 after discharge will b excluded from the 7-day rate but not from the 14 day rate. Step 2: Identify numerator	nt e e e e e e e e e e e e e e e e e e e			order to assign the set of variables that are "First" to occur relative to the detox episode discharge date. Continuity services have to occur the day after discharge through day 7 or 14. Step 2A.2: Identify pharmacotherapy which may occur in multiple files or tables. For example, one claims file or data source may contain injectables, another claims file or table data source may contain oral medications. Consequently, pharmacotherapy variables are created separately in each source, the data sources are then sorted by beneficiary ID and service dates, then multiple pharmacotherapy data sources are put together so they will be in

3453: Continuity after inpatient or residential treatm substance use dis (SUD)	Engagement of Alcohol ent for and Other Drug Abuse	0576: Follow-Up After Hospitalization for Mental Illness (FUH)	2605: Follow-Up After Emergency Department Visit for Mental Illness or Alcohol and Other Drug Abuse or Dependence	3312: Continuity of Care for Medicaid Beneficiaries after Detoxification (Detox) From Alcohol and/or Drugs
Step 2A: From the denominator, ide discharges from in or residential treat for SUD with a pri- diagnosis of SUD in qualifying continut care for SUD (print secondary diagnot within 7 or 14 day discharge.Step 2A.1: Visits: visits meeting cort of care criteria us outpatient claims tables that contait diagnosis, proced revenue codes, pri code modifiers, o of service codes. It diagnoses can be position - primary secondary - for composition - primary 	ntify npatient ntment ncipal with uity of ncipal or sis) ys of Identify ntinuity ing files or n ure, or rocedure r place SUD in any y or ontinuity ve to er n day 7 y visits			chronological order to assign "First" variables. Pharmacotherapy services could be provided on the same day as the discharge from detox through day 7 or 14. Step 2A.3: Co-occurring events: Continuity service flags and pharmacotherapy flags are reset to zero if an overdose diagnosis code appears on the SAME claim as the continuity service. Further, outpatient continuity is also reset to zero if an emergency department visit occurs on the same day. If an inpatient continuity claim has an emergency department visit, it is allowed to remain a continuity service. Step 3: Calculate rate Step 3A: Calculate the overall 7- or 14-day continuity rates by dividing the number of

3453: Continuity of care after inpatient or residential treatment for substance use disorder (SUD)	0004: Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment	0576: Follow-Up After Hospitalization for Mental Illness (FUH)	2605: Follow-Up After Emergency Department Visit for Mental Illness or Alcohol and Other Drug Abuse or Dependence	3312: Continuity of Care for Medicaid Beneficiaries after Detoxification (Detox) From Alcohol and/or Drugs
Visits" value set (Tab 4); or2. Any procedure code from "HEDIS IET Visits Group 1" value set (Tab 5) along with place of service from "HEDIS IET POS Group 1" value set (Tab 6); or3. Any procedure code from "HEDIS IET Visits Group 2" value set (Tab 7) along with place of service from "HEDIS IET POS Group 2" value set (Tab 8).The claim must also have procedure code modifier that is missing or a value other than those in the "HEDIS Telehealth Modifier" value set (Tab 9).Step 2.A.2. Telehealth: Identify visits for telehealth meeting continuity of care criteria using outpatient claims files or tables that contain diagnosis, procedure, or revenue codes, procedure				discharges with a qualifying continuity service (Step 2A) by the denominator (Step 1B). Step 3B: Calculate the rates separately for each detox location by dividing the respective number of discharges by each location with a qualifying continuity service (Step 2A) by the denominator (Step 1C). 120752

3453: Continuity of care after inpatient or residential treatment for substance use disorder (SUD)	0004: Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment	0576: Follow-Up After Hospitalization for Mental Illness (FUH)	2605: Follow-Up After Emergency Department Visit for Mental Illness or Alcohol and Other Drug Abuse or Dependence	3312: Continuity of Care for Medicaid Beneficiaries after Detoxification (Detox) From Alcohol and/or Drugs
code modifiers, or place of service codes. SUD diagnoses can be in any position – primary or secondary – for continuity services. Telehealth has to occur the day after discharge through day 7 or 14. We identify telehealth as: 1. Any procedure code from the "HEDIS Telephone Visit" value set				
(Tab 12); or 2. Any procedure code or UB revenue code from "HEDIS IET Stand Alone Visits" value set (Tab 4); or				
3. Any procedure code from "HEDIS IET Visits Group 1" value set (Tab 5) along with place of service from "HEDIS IET POS Group 1" value set (Tab 6); or				
4. Any procedure code from "HEDIS IET Visits Group 2" value set (Tab 7) along with place of service from "HEDIS IET				

after i reside	Continuity of care npatient or ential treatment for ance use disorder	0004: Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment	0576: Follow-Up After Hospitalization for Mental Illness (FUH)	2605: Follow-Up After Emergency Department Visit for Mental Illness or Alcohol and Other Drug Abuse or Dependence	3312: Continuity of Care for Medicaid Beneficiaries after Detoxification (Detox) From Alcohol and/or Drugs
(Tab & Claim: logic i have p modif Teleha value Step 2 pharn Indica pharn occur pharn that c codes Pharn could same throug Same same throug Same same throug Same same throug Same same throug Same same same same same same same same s	aroup 2" value set a). s identified using n #2-4 must also procedure code ier from the "HEDIS ealth Modifier" set (Tab 9). 2A.3: Identify hacotherapy events: tions of hacotherapy events: tions of hacotherapy can in outpatient or hacy files or tables ontain procedure or NDCs. hacotherapy events be provided on the day as the discharge gh day 7 or 14. hacotherapy huity claims are fied as follows: DT file, a) any dure code from S Medication ed Treatment" set (Tab 10); or b) CPCS procedure from "MAT onal Codes" value ab 11) (developed				

3453: Continuity of care after inpatient or residential treatment for substance use disorder (SUD)	0004: Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment	0576: Follow-Up After Hospitalization for Mental Illness (FUH)	2605: Follow-Up After Emergency Department Visit for Mental Illness or Alcohol and Other Drug Abuse or Dependence	3312: Continuity of Care for Medicaid Beneficiaries after Detoxification (Detox) From Alcohol and/or Drugs
<ul> <li>as part of testing for NQF 3312); or c) any state- specific procedure code from "MAT Additional Codes" value set (Tab 11)</li> <li>for the two states listed in the value set (these codes were identified through consultation for these states).</li> <li>In RX file, any NDC from "AOD</li> <li>Pharmacotherapy" value set (Tab 13). This value set contains NDCs identified as part of testing for NQF 3312 and 3400.</li> <li>Step 3: Calculate rate</li> <li>Step 3A: Calculate the overall 7- or 14-day continuity of care rate by dividing the number of discharges with evidence of a qualifying continuity of care visit or pharmacotherapy event (Step 2A) by the denominator (after exclusions) (Step 1D). Calculate the rates separately for each</li> </ul>				

	3453: Continuity of care after inpatient or residential treatment for substance use disorder (SUD)	0004: Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment	0576: Follow-Up After Hospitalization for Mental Illness (FUH)	2605: Follow-Up After Emergency Department Visit for Mental Illness or Alcohol and Other Drug Abuse or Dependence	3312: Continuity of Care for Medicaid Beneficiaries after Detoxification (Detox) From Alcohol and/or Drugs
	continuity of care time period.				
Submission items	<ul> <li>5.1 Identified measures:</li> <li>2605 : Follow-Up After</li> <li>Emergency Department</li> <li>Visit for Mental Illness or</li> <li>Alcohol and Other Drug</li> <li>Abuse or Dependence</li> <li>0576 : Follow-Up After</li> <li>Hospitalization for Mental</li> <li>Illness (FUH)</li> <li>1937 : Follow-Up After</li> <li>Hospitalization for</li> <li>Schizophrenia (7- and 30- day)</li> <li>0004 : Initiation and</li> <li>Engagement of Alcohol and Other Drug Abuse or</li> <li>Dependence Treatment</li> <li>3312 : Continuity of Care for Medicaid Beneficiaries after Detoxification</li> <li>(Detox) From Alcohol and/or Drugs</li> <li>5a.1 Are specs completely harmonized? No</li> <li>5a.2 If not completely harmonized, identify</li> <li>difference, rationale,</li> </ul>	<ul> <li>5.1 Identified measures:</li> <li>5a.1 Are specs completely harmonized?</li> <li>5a.2 If not completely harmonized, identify difference, rationale, impact:</li> <li>5b.1 If competing, why superior or rationale for additive value: N/A</li> </ul>	<ul> <li>5.1 Identified measures:</li> <li>5a.1 Are specs completely harmonized? No</li> <li>5a.2 If not completely harmonized, identify difference, rationale, impact: N/A</li> <li>5b.1 If competing, why superior or rationale for additive value: N/A</li> </ul>	<ul> <li>5.1 Identified measures:</li> <li>0576 : Follow-Up After</li> <li>Hospitalization for</li> <li>Mental Illness (FUH)</li> <li>1937 : Follow-Up After</li> <li>Hospitalization for</li> <li>Schizophrenia (7- and</li> <li>30-day)</li> <li>3312 : Continuity of</li> <li>Care for Medicaid</li> <li>Beneficiaries after</li> <li>Detoxification (Detox)</li> <li>From Alcohol and/or</li> <li>Drugs</li> <li>5a.1 Are specs</li> <li>completely harmonized?</li> <li>Yes</li> <li>5a.2 If not completely</li> <li>harmonized, identify</li> <li>difference, rationale,</li> <li>impact: Portions of the</li> <li>specifications for this</li> <li>measure have been</li> <li>adapted from the</li> <li>existing health plan</li> <li>measures (Follow-up</li> <li>After Hospitalization for</li> </ul>	<ul> <li>5.1 Identified measures: 0004 : Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment</li> <li>2605 : Follow-Up After Emergency Department</li> <li>Visit for Mental Illness or Alcohol and Other Drug Abuse or Dependence</li> <li>5a.1 Are specs completely harmonized? No</li> <li>5a.2 If not completely harmonized, identify difference, rationale, impact: Follow-up time period: NQF 2605 examines follow-up care 7 days and 30 days after discharge. Our proposed measure (#3312) examines follow-up care 7 days and 14 days after discharge. The 14 day</li> </ul>

3453: Continuity of care after inpatient or residential treatment for substance use disorder (SUD)	0004: Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment	0576: Follow-Up After Hospitalization for Mental Illness (FUH)	2605: Follow-Up After Emergency Department Visit for Mental Illness or Alcohol and Other Drug Abuse or Dependence	3312: Continuity of Care for Medicaid Beneficiaries after Detoxification (Detox) From Alcohol and/or Drugs
impact: Parts of the specifications for the proposed measure harmonize with some measures but not others. Below we describe similarities and differences between the proposed measure and other measures. The differences do not impose additional data collection burden to states, because the data elements are available in administrative data and are consistent with some measures states are already likely collecting. Numerator: Timing of continuity of care. The proposed measure specifies continuity of care within 7- and 14-days of discharge and is harmonized with NQF 3312, Continuity of care for Medicaid beneficiaries after detoxification (detox) from alcohol			Mental Illness NQF #0576 and Follow-up After Hospitalization for Schizophrenia NQF#1937). The proposed measure is harmonized with the two existing NQF- endorsed measures. The following highlights the differences between the measures: -Population focus (denominator): The proposed measure targets patients discharged from the emergency department (not inpatient) and also focuses on patients with alcohol or other drug dependence disorders Numerator: The proposed measure captures follow-up with a primary mental health or alcohol or other drug dependence diagnosis (regardless of the type of provider).	follow-up time period aligns with NQF 0004 and the non-NQF endorsed Continuity of Care After Detoxification measure developed by the Washington Circle, and reflects the input of some public commenters that adults should receive some type of care within two weeks of discharge from detoxification. Diagnoses: NQF 2605 requires a primary diagnosis of alcohol and other drug dependence (AOD) for the follow-up service. Our proposed measure (#3312) requires a primary or secondary diagnosis of AOD. We allow a primary or secondary AOD diagnosis to address potential inaccuracies in how AOD diagnoses are coded. For example, some
and/or drugs, which also focuses on a SUD			5b.1 If competing, why superior or rationale for	providers may be concerned about the

3453: Continuity of care after inpatient or residential treatment for substance use disorder (SUD)	0004: Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment	0576: Follow-Up After Hospitalization for Mental Illness (FUH)	2605: Follow-Up After Emergency Department Visit for Mental Illness or Alcohol and Other Drug Abuse or Dependence	3312: Continuity of Care for Medicaid Beneficiaries after Detoxification (Detox) From Alcohol and/or Drugs
population. NQF 0576, 1937, and 2605 all specify follow-up within 7 and 30 days. The populations for NQF 0576 and 1937 include patients with mental health related diagnoses rather than focusing on substance use disorders. NQF 2605 has a target mixed population of mental health and SUD patients. In measure testing, stakeholders expressed concern that 30 days is too long for SUD patients to wait for a continuity of care service after discharge from inpatient or residential care. Timelier follow-up with these patients is needed so as not to lose them. NQF 0004 is partially harmonized with the proposed measure in that the initiation visit is specified as within 14 days of the index episode start date (diagnosis). Diagnoses in the continuity of care visit.			additive value: Not applicable.	stigma associated with an AOD diagnosis and therefore code it as a secondary diagnosis. Also, for adults with co- occurring mental health and AOD disorders, the assignment of primary and secondary diagnoses can be challenging and sometimes arbitrary. The differences in follow-up time period, location and diagnoses between NQF 2605 and our proposed measure (3312) do not impact the measure's interpretability in which a higher rate is indicative of better quality. Both measures rely on administrative data. The differences in measure specifications between 2605 and 3312 are minor and expected to have minimal impact on data collection burden.
3453: Continuity of care after inpatient or residential treatment for substance use disorder (SUD)	0004: Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment	0576: Follow-Up After Hospitalization for Mental Illness (FUH)	2605: Follow-Up After Emergency Department Visit for Mental Illness or Alcohol and Other Drug Abuse or Dependence	3312: Continuity of Care for Medicaid Beneficiaries after Detoxification (Detox) From Alcohol and/or Drugs
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The proposed measure is harmonized with NQF 3312 and NQF 0004 by allowing SUD to either be the primary or a secondary diagnosis for treatment services that count toward continuity in the numerator. This is to address potential inaccuracies in how SUD diagnoses are coded. For example, some providers may be concerned about the stigma associated with an SUD diagnosis and therefore code it as a secondary diagnosis. Also, for adults with co- occurring mental health and SUD disorders, the assignment of primary and secondary diagnoses can be challenging and sometimes arbitrary. NQF 2605 does not allow a secondary SUD diagnosis. NQF 0576, NQF 1937, are not clear on whether only a primary diagnosis is allowed in the numerator.				5b.1 If competing, why superior or rationale for additive value: Not applicable. There are no other NQF-endorsed measures that conceptually address the same measure focus and same target population.

3453: Continuity of ca after inpatient or residential treatment substance use disorde (SUD)	Engagement of Alcohol for and Other Drug Abuse	0576: Follow-Up After Hospitalization for Mental Illness (FUH)	2605: Follow-Up After Emergency Department Visit for Mental Illness or Alcohol and Other Drug Abuse or Dependence	3312: Continuity of Care for Medicaid Beneficiaries after Detoxification (Detox) From Alcohol and/or Drugs
Services to include as continuity of care. The proposed measure includes pharmacotherapy and telehealth as services count as continuity of care. NQF 2605, 0576 and 1937 do not inclu these services. Adding SUD medication or telehealth claim as evidence of continuity care is consistent with recent changes made the 2018 HEDIS specification of NQF O (National Committee Quality Assurance, 20) Practitioners valid for providing follow-up services. The propose measure and NQF 260 allow any practitioner provide follow-up services, because of t expectation that the follow-up services captured in the meas	e d that 5, ide g an y of n to 0004 on 18). d 05 r to he			
may be provided by primary care clinician NQF 0576 and 1937 o				

af re su	453: Continuity of care fter inpatient or esidential treatment for ubstance use disorder SUD)	0004: Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment	0576: Follow-Up After Hospitalization for Mental Illness (FUH)	2605: Follow-Up After Emergency Department Visit for Mental Illness or Alcohol and Other Drug Abuse or Dependence	3312: Continuity of Care for Medicaid Beneficiaries after Detoxification (Detox) From Alcohol and/or Drugs
pr se di De in de pr th ha pr co m Th in Sil NO ag m ov co re (M ac M in in in in in in in in in in in in in	llow non-mental health ractitioners in specified ettings and with specific iagnosis codes. enominator: Diagnoses denominator. The enominators for the roposed measure and all he related measures are armonized in requiring a rimary diagnosis for the bondition that is the heasure's focus. Age. he proposed measure is bitended for an adult fedicaid population. imilar to NQF 3312 and QF 1937, it includes ges 18-64.The proposed heasure excludes adults ver 64 years, because complete data on services eccived by dually-eligible Medicaid and Medicare) dults are not available in fedicaid data. NQF 2605 heludes adults age 18 and older. NQF 0576 heludes individuals age 6			Dependence	Drugs
in	nd older and NQF 0004 Includes age 13 and older. In terms of impact on				

a r s	3453: Continuity of care after inpatient or residential treatment for substance use disorder (SUD)	0004: Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment	0576: Follow-Up After Hospitalization for Mental Illness (FUH)	2605: Follow-Up After Emergency Department Visit for Mental Illness or Alcohol and Other Drug Abuse or Dependence	3312: Continuity of Care for Medicaid Beneficiaries after Detoxification (Detox) From Alcohol and/or Drugs
p h r t u c n n p s s	nterpretability, the proposed measure would have lower continuity rates than the measures that have a 30-day follow- up time period and higher continuity rates than the measures that only count hon-mental health practitioners in certain settings and with certain diagnosis codes.				
a a	5b.1 If competing, why superior or rationale for additive value: Not applicable; there are no competing measures.				

	0004: Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment	2599: Alcohol Screening and Follow-up for People with Serious Mental Illness	3312: Continuity of Care for Medicaid Beneficiaries after Detoxification (Detox) From Alcohol and/or Drugs	2605: Follow-Up After Emergency Department Visit for Mental Illness or Alcohol and Other Drug Abuse or Dependence	2152: Preventive Care and Screening: Unhealthy Alcohol Use: Screening & Brief Counseling
Steward	National Committee for Quality Assurance	National Committee for Quality Assurance	Centers for Medicare & Medicaid Services, Centers for Medicaid & CHIP Services	National Committee for Quality Assurance	PCPI Foundation
Description	This measure assesses the degree to which the organization initiates and engages members identified with a need for alcohol and other drug (AOD) abuse and dependence services and the degree to which members initiate and continue treatment once the need has been identified. Two rates are reported: Initiation of AOD Treatment. The percentage of adolescent and adult members with a new episode of AOD abuse or dependence who initiate treatment through an inpatient AOD admission,	The percentage of patients 18 years and older with a serious mental illness, who were screened for unhealthy alcohol use and received brief counseling or other follow-up care if identified as an unhealthy alcohol user. Note: The proposed health plan measure is adapted from an existing provider-level measure for the general population (NQF #2152: Preventive Care & Screening: Unhealthy Alcohol Use: Screening & Brief Counseling). It was originally endorsed in 2014 and is currently stewarded by the American Medical Association (AMA-PCPI).	Percentage of discharges from a detoxification episode for adult Medicaid Beneficiaries, age 18-64, that was followed by a treatment service for substance use disorder (including the prescription or receipt of a medication to treat a substance use disorder (pharmacotherapy) within 7 or 14 days after discharge. This measure is reported across all detoxification settings.	The percentage of discharges for patients 18 years of age and older who had a visit to the emergency department with a primary diagnosis of mental health or alcohol or other drug dependence during the measurement year AND who had a follow-up visit with any provider with a corresponding primary diagnosis of mental health or alcohol or other drug dependence within 7- and 30-days of discharge. Four rates are reported: - The percentage of emergency department visits for mental health for which the patient received follow-up within 7 days of discharge. - The percentage of emergency department	Percentage of patients aged 18 years and older who were screened for unhealthy alcohol use using a systematic screening method at least once within the last 24 months AND who received brief counseling if identified as an unhealthy alcohol user

## Comparison of NQF #0004, #2599, #3312, #2605 and #2152

	0004: Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment	2599: Alcohol Screening and Follow-up for People with Serious Mental Illness	3312: Continuity of Care for Medicaid Beneficiaries after Detoxification (Detox) From Alcohol and/or Drugs	2605: Follow-Up After Emergency Department Visit for Mental Illness or Alcohol and Other Drug Abuse or Dependence	2152: Preventive Care and Screening: Unhealthy Alcohol Use: Screening & Brief Counseling
	outpatient visit, intensive outpatient encounter, partial hospitalization, telehealth or medication assisted treatment (MAT) within 14 days of the diagnosis. • Engagement of AOD Treatment. The percentage of adolescent and adult members with a new episode of AOD abuse or dependence who initiated treatment and who had two or more additional AOD services or MAT within 34 days of the initiation visit.			<ul> <li>visits for mental health for which the patient received follow-up within 30 days of discharge.</li> <li>The percentage of emergency department visits for alcohol or other drug dependence for which the patient received follow-up within 7 days of discharge.</li> <li>The percentage of emergency department visits for alcohol or other drug dependence for which the patient received follow-up within 30 days of discharge.</li> </ul>	
Туре	Process	Process	Process	Process	Process
Data Source	Claims NCQA collects HEDIS data directly from Health Management Organizations and Preferred Provider Organizations via a data submission	Claims, Electronic Health Records, Paper Medical Records The denominator for this measure is based on administrative claims. The numerator for this measure is based on	Claims Medicaid Analytic eXtract (MAX) 2013 and 2014 eligible (EL), inpatient (IP), other services (OT), long-term care (LT) and drug (RX) files. The other services file contains facility and	Claims Both the numerator and the denominator for this measure are based on administrative claims data. No data collection instrument provided Attachment 2605_Follow_Up_After_ED	Registry Data Not applicable. No data collection instrument provided No data dictionary

	0004: Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment	2599: Alcohol Screening and Follow-up for People with Serious Mental Illness	3312: Continuity of Care for Medicaid Beneficiaries after Detoxification (Detox) From Alcohol and/or Drugs	2605: Follow-Up After Emergency Department Visit for Mental Illness or Alcohol and Other Drug Abuse or Dependence	2152: Preventive Care and Screening: Unhealthy Alcohol Use: Screening & Brief Counseling
	portal - the Interactive Data Submission System (IDSS). No data collection instrument provided Attachment 0004_IET_Value_Sets. xlsx	administrative claims and/or medical record documentation collected in the course of providing care to health plan patients. No data collection instrument provided Attachment 2599_Alcohol_Screening _for_People_With_Ment al_Illness_Value_Set- 636583545268612951- 636769175260262857.xl sx	individual provider services data. Most notably, it may contain both residential and other stayover service claims data as claims are assigned to MAX claims file types based upon the category of service provided. The inpatient file only contains inpatient hospital, sterilization, abortion and religious non-medical health care institution claims. No data collection instrument provided Attachment Cont_Care_After_Detox_ Value_Sets.xlsx	_Discharge_for_Mental_H ealth_Conditions_Value_S ets- 636220757625866651.xlsx	
Level	Health Plan	Health Plan	Population : Regional and State	Health Plan, Population : Regional and State	Clinician : Group/Practice, Clinician : Individual
Setting	Emergency Department and Services, Inpatient/Hospital, Outpatient Services	Outpatient Services	Inpatient/Hospital, Outpatient Services	Inpatient/Hospital, Outpatient Services	Home Care, Outpatient Services
Numerator Statement	Initiation of AOD Treatment:	Patients 18 years and older who are screened for unhealthy alcohol	Discharges in the denominator who have an inpatient, intensive	The numerator for each denominator population consists of two rates:	Patients who were screened for unhealthy alcohol use using a

0004: Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment	2599: Alcohol Screening and Follow-up for People with Serious Mental Illness	3312: Continuity of Care for Medicaid Beneficiaries after Detoxification (Detox) From Alcohol and/or Drugs	2605: Follow-Up After Emergency Department Visit for Mental Illness or Alcohol and Other Drug Abuse or Dependence	2152: Preventive Care and Screening: Unhealthy Alcohol Use: Screening & Brief Counseling
Initiation of treatment through an inpatient AOD admission, outpatient visit, intensive outpatient encounter or partial hospitalization, telehealth or medication treatment within 14 days of the diagnosis.  Engagement of AOD Treatment: Initiation of AOD treatment and two or more additional AOD services or medication treatment within 34 days of the initiation visit.	use during the last 3 months of the year prior to the measurement year through the first 9 months of the measurement year and received two events of counseling if identified as an unhealthy alcohol user.	outpatient, partial hospitalization, outpatient visit, residential, or drug prescription or procedure within 7 or 14 days after discharge from a detoxification episode.	Mental Health - Rate 1: An outpatient visit, intensive outpatient encounter or partial hospitalization with any provider with a primary diagnosis of mental health within 7 days after emergency department discharge - Rate 2: An outpatient visit, intensive outpatient encounter or partial hospitalization with any provider with a primary diagnosis of mental health within 30 days after emergency department discharge - Rate 1: An outpatient visit, intensive outpatient encounter or partial hospitalization with any provider with a primary diagnosis of mental health within 30 days after emergency department discharge Alcohol or Other Drug Dependence - Rate 1: An outpatient visit, intensive outpatient encounter or partial hospitalization with any provider with a primary diagnosis of alcohol or other drug dependence within 7 days after emergency department discharge	systematic screening method at least once within the last 24 months AND who received brief counseling if identified as an unhealthy alcohol user

	0004: Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment	2599: Alcohol Screening and Follow-up for People with Serious Mental Illness	3312: Continuity of Care for Medicaid Beneficiaries after Detoxification (Detox) From Alcohol and/or Drugs	2605: Follow-Up After Emergency Department Visit for Mental Illness or Alcohol and Other Drug Abuse or Dependence	2152: Preventive Care and Screening: Unhealthy Alcohol Use: Screening & Brief Counseling
				- Rate 2: An outpatient visit, intensive outpatient encounter or partial hospitalization with any provider with a primary diagnosis of alcohol or other drug dependence within 30 days after emergency department discharge	
Numerator Details	Index Episode Start Date. The earliest date of service for an eligible encounter during the Intake Period with a diagnosis of AOD abuse or dependence. • For an outpatient, intensive outpatient, partial hospitalization, observation, telehealth, detoxification or ED visit (not resulting in an inpatient stay), the IESD is the date of service. • For an inpatient stay, the	Alcohol Use Screening ADMINISTRATIVE: Patients who had systematic screening for unhealthy alcohol use (see Alcohol Screening Value Set) as identified by claim/encounter data during the last 3 months of the year prior to the measurement year through the first 9 months of the measurement year. MEDICAL RECORD: Patients who had systematic screening for unhealthy alcohol use during the last 3 months of the year prior to the measurement year	Measure data will be reported annually (12 months). To account for the 14-day time period after discharge from detoxification, the denominator period will start January 1 and end December 15 of the measurement year. The numerator includes individuals with any of the following within 14 days after discharge from detoxification: -Pharmacotherapy on day of discharge through day 7 or 14. -Outpatient, intensive outpatient, partial	Mental Health Rate 1: An outpatient visit, intensive outpatient encounter or partial hospitalization with any provider with a primary diagnosis of mental health within 7 days after emergency department discharge - A visit (FUH Stand Alone Visits Value Set) with a primary diagnosis of mental health (Mental Health Diagnosis Value Set). - A visit (FUH Visits Group 1 Value Set and FUH POS Group 1 Value Set) with a primary diagnosis of mental health (Mental	Time Period for Data Collection: At least once during the 24 month period. Definitions: Systematic screening method - For purposes of this measure, one of the following systematic methods to assess unhealthy alcohol use must be utilized. Systematic screening methods and thresholds for defining unhealthy alcohol use include: • AUDIT Screening Instrument (score >= 8) • AUDIT-C Screening

0004: Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment	2599: Alcohol Screening and Follow-up for People with Serious Mental Illness	3312: Continuity of Care for Medicaid Beneficiaries after Detoxification (Detox) From Alcohol and/or Drugs	2605: Follow-Up After Emergency Department Visit for Mental Illness or Alcohol and Other Drug Abuse or Dependence	2152: Preventive Care and Screening: Unhealthy Alcohol Use: Screening & Brief Counseling
IESD is the date of discharge. • For an ED and observation visits that results in an inpatient stay, the IESD is the date of the inpatient discharge (an AOD diagnosis is not required for the inpatient stay; use the diagnosis from the ED or observation visit to determine the diagnosis cohort). • For direct transfers, the IESD is the discharge date from the last admission (an AOD diagnosis is not required for the transfer; use the diagnosis from the initial admission to determine the diagnosis cohort). INITIATION OF AOD TREATMENT	through the first 9 months of the measurement year. Systematic Screening A systematic screening method is defined as: Asking the patient about their weekly use (alcoholic drinks per week), or Asking the patient about their per occasion use (alcoholic drinks per drinking day) or Using a standardized tool such as the AUDIT, AUDIT-C, or CAGE or Using another standardized tool Unhealthy Alcohol Use Unhealthy Alcohol Use Covers a spectrum that is associated with varying degrees of risk to health. Categories representing unhealthy alcohol use include risky use, problem drinking, harmful use, and alcohol abuse, and the less common but more	hospitalization, or residential treatment procedure with a diagnosis of SUD on the day after discharge through day 7 or 14. -Outpatient, intensive outpatient, partial hospitalization, or residential treatment with standalone SUD procedure on the day after discharge through day 7 or 14. -Inpatient admission with an SUD diagnosis or procedure code on day after discharge through day 7 or 14. -Long-term care institutional claims with an SUD diagnosis on day after discharge through day 7 or 14. -Long-term care institutional claims with an SUD diagnosis on day after discharge through day 7 or 14. Continuity is reset to zero if an overdose diagnosis code appears on the same outpatient or inpatient claim. SUD diagnoses are used to identify procedures	Health Diagnosis Value Set). - A visit (FUH Visits Group 2 Value Set and FUH POS Group 2 Value Set) with a primary diagnosis of mental health (Mental Health Diagnosis Value Set). - A visit to a behavioral healthcare facility (FUH RevCodes Group 1 Value Set). - A visit to a non- behavioral healthcare facility (FUH RevCodes Group 2 Value Set) with a primary diagnosis of mental health (Mental Health Diagnosis Value Set). - A visit to a non- behavioral healthcare facility (FUH RevCodes Group 2 Value Set) with a primary diagnosis of mental health (Mental Health Diagnosis of mental health (Mental Health Diagnosis of mental health (Mental Health Diagnosis Value Set). - Transitional care management services	<pre>men; score &gt;= 3 for women) • Single Question Screening - How many times in the past year have you had 5 (for men) or 4 (for women and all adults older than 65 years) or more drinks in a day? (response &gt;= 2) Brief counseling - Brief counseling for unhealthy alcohol use refers to one or more counseling sessions, a minimum of 5- 15 minutes, which may include: feedback on alcohol use and harms; identification of high risk situations for drinking and coping strategies; increased motivation and the development of a personal plan to reduce drinking. NUMERATOR NOTE: In the event that a patient is screened for unhealthy alcohol use and identified as a user but did not receive brief alcohol</pre>

treatment within 14 days of the IESD. If the Index Episode was an inpatient discharge (or an ED visit that resulted in an inpatient stay), the inpatient stay is considered initiation of treatment and the member is compliant. If the Index Episode was not an inpatient discharge, the member must initiate treatment on the IESD or in the 13 days after the IESD (14 total days). Any of the	2599: Alcohol Screening and Follow-up for People with Serious Mental Illness severe alcoholism and alcohol dependence. Risky use is defined as >7 standard drinks per week or >3 drinks per occasion for women and persons >65 years of age; >14 standard drinks per week or >4 drinks per week or >4 drinks per occasion for men =65 years of age. Follow-Up ADMINISTRATIVE: Patients who received two events of counseling (see Alcohol Screening and Brief Counseling Value Set) as identified by claim/encounter data within three months of	3312: Continuity of Care for Medicaid Beneficiaries after Detoxification (Detox) From Alcohol and/or Drugs connected to SUD diagnoses. SUD diagnoses are identified through ICD-9 codes. Procedures are defined using a combination of Healthcare Common Procedure Coding System (HCPCS) codes, Uniform Billing (UB) Revenue Codes and ICD-9/ICD-10 procedure codes. Pharmacotherapy includes naltrexone (short or long acting), acamprosate, or disulfiram for alcohol dependence treatment and buprenorphine for opioid dependence	2605: Follow-Up After Emergency Department Visit for Mental Illness or Alcohol and Other Drug Abuse or Dependence (TCM 7 Day Value Set) where the date of service on the claim is 29 days after the date the patient was discharged from the emergency department with a primary diagnosis of mental health (Mental Health Diagnosis Value Set). Rate 2: An outpatient visit, intensive outpatient encounter or partial hospitalization with any provider with a primary diagnosis of mental health within 30 days after emergency department discharge - A visit (FUH Stand	2152: Preventive Care and Screening: Unhealthy Alcohol Use: Screening & Brief Counseling submit G9624. For Registry: Report Quality Data Code: G9621 - Patient identified as an unhealthy alcohol user when screened for unhealthy alcohol use using a systematic screening method and received brief counseling OR G9622 - Patient not identified as an unhealthy alcohol user when screened for unhealthy alcohol use using a systematic screening method
<ul> <li>days). Any of the following code combinations meet criteria for initiation:</li> <li>An acute or nonacute inpatient admission with a diagnosis matching the IESD diagnosis cohort using one of the following: Alcohol</li> </ul>				

0004: Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment	2599: Alcohol Screening and Follow-up for People with Serious Mental Illness	3312: Continuity of Care for Medicaid Beneficiaries after Detoxification (Detox) From Alcohol and/or Drugs	2605: Follow-Up After Emergency Department Visit for Mental Illness or Alcohol and Other Drug Abuse or Dependence	2152: Preventive Care and Screening: Unhealthy Alcohol Use: Screening & Brief Counseling
<ul> <li>Set, Opioid Abuse and Dependence Value</li> <li>Set, Other Drug Abuse and Dependence</li> <li>Value Set. To identify acute and nonacute inpatient admissions: <ul> <li>Identify all</li> <li>acute and nonacute</li> <li>inpatient Stays</li> <li>(Inpatient Stay Value Set).</li> <li>Identify the admission date for the stay.</li> <li>IET Stand</li> </ul> </li> <li>Alone Visits Value Set with a diagnosis matching the IESD diagnosis cohort using one of the following: Alcohol Abuse and Dependence Value Set, Opioid Abuse and Dependence Value Set, Other Drug Abuse and Dependence</li> <li>Value Set, with or without a telehealth modifier (Telehealth Modifier Value Set).</li> </ul>	performed screening or another provider including health plan clinical case managers. Participation in peer led support activities (such as Alcoholics Anonymous or Narcotics Anonymous) can count if documented in the health record (referrals alone do not count). Counseling Counseling may include at least one of the following: Feedback on alcohol use and harms Identification of high risk situations for drinking and coping strategies Increase the motivation to reduce drinking Development of a personal plan to reduce drinking	include state-specific codes.	<ul> <li>A visit (FUH Visits Group 2 Value Set and FUH POS Group 2 Value Set) with a primary diagnosis of mental health (Mental Health Diagnosis Value Set).</li> <li>A visit to a behavioral healthcare facility (FUH RevCodes Group 1 Value Set).</li> <li>A visit to a non- behavioral healthcare facility (FUH RevCodes Group 2 Value Set) with a primary diagnosis of mental health (Mental Health Diagnosis Value Set).</li> <li>A visit to a non- behavioral healthcare facility (FUH RevCodes Group 2 Value Set) with a primary diagnosis Value Set).</li> <li>A visit to a non- behavioral healthcare facility (FUH RevCodes Group 2 Value Set) with a primary diagnosis of mental health (Mental Health Diagnosis value Set).</li> <li>Transitional care management services (TCM 7 Day Value Set) where the date of service</li> </ul>	

E // [ [	0004: Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Freatment	2599: Alcohol Screening and Follow-up for People with Serious Mental Illness	3312: Continuity of Care for Medicaid Beneficiaries after Detoxification (Detox) From Alcohol and/or Drugs	2605: Follow-Up After Emergency Department Visit for Mental Illness or Alcohol and Other Drug Abuse or Dependence	2152: Preventive Care and Screening: Unhealthy Alcohol Use: Screening & Brief Counseling
	Value Set with a diagnosis matching the IESD diagnosis cohort using one of the following: Alcohol Abuse and Dependence Value Set, Opioid Abuse and Dependence Value Set, Other Drug Abuse and Dependence Value Set.			on the claim is 29 days after the date the patient was discharged from the emergency department with a primary diagnosis of mental health (Mental Health Diagnosis Value Set). - Transitional care management services (TCM 14 Day Value Set) where the date of service on the claim is 29 days after the date the patient was discharged from the emergency department with a primary diagnosis of mental health (Mental Health Diagnosis Value Set). - Note: Transitional care management is a 30- day period that begins on the date of discharge and continues for the next 29 days. The date of service on the claim is 29 days after discharge and not the date of the face-to-face visit. Alcohol or Other Drug	
				Dependence	

0004: Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment	2599: Alcohol Screening and Follow-up for People with Serious Mental Illness	3312: Continuity of Care for Medicaid Beneficiaries after Detoxification (Detox) From Alcohol and/or Drugs	2605: Follow-Up After Emergency Department Visit for Mental Illness or Alcohol and Other Drug Abuse or Dependence	2152: Preventive Care and Screening: Unhealthy Alcohol Use: Screening & Brief Counseling
<ul> <li>IET Visits</li> <li>Group 2 Value Set</li> <li>with IET POS Group 2</li> <li>Value Set and a</li> <li>diagnosis matching</li> <li>the IESD diagnosis</li> <li>cohort using one of</li> <li>the following: Alcohol</li> <li>Abuse and</li> <li>Dependence Value</li> <li>Set, Opioid Abuse and</li> <li>Dependence Value</li> <li>Set, Other Drug Abuse</li> <li>and Dependence</li> <li>Value Set with or</li> <li>without a telehealth</li> <li>modifier (Telehealth</li> <li>Modifier Value Set).</li> <li>A telephone</li> <li>visit (Telephone Visit</li> <li>Value Set) with a</li> <li>diagnosis matching</li> <li>the IESD diagnosis</li> <li>cohort using one of</li> <li>the following: Alcohol</li> <li>Abuse and</li> <li>Dependence Value</li> <li>Set, Opioid Abuse and bependence</li> </ul>			Rate 1: An outpatient visit, intensive outpatient encounter or partial hospitalization with any provider with a primary diagnosis of alcohol or other drug dependence within 7 days after emergency department discharge. Any of the following code combinations meet criteria: - IET Stand Alone Visits Value Set with a primary diagnosis of AOD (AOD Dependence Value Set). - IET Visits Group 1 Value Set with IET POS Group 1 Value Set and a primary diagnosis of AOD (AOD Dependence Value Set). - IET Visits Group 2 Value Set with IET POS Group 2 Value Set and a primary diagnosis of AOD (AOD Dependence Value Set).	

0004: Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment	2599: Alcohol Screening and Follow-up for People with Serious Mental Illness	3312: Continuity of Care for Medicaid Beneficiaries after Detoxification (Detox) From Alcohol and/or Drugs	2605: Follow-Up After Emergency Department Visit for Mental Illness or Alcohol and Other Drug Abuse or Dependence	2152: Preventive Care and Screening: Unhealthy Alcohol Use: Screening & Brief Counseling
<ul> <li>An online assessment (Online Assessment Value) set with a diagnosis matching the IESD diagnosis cohort using one of the following: Alcohol Abuse and Dependence Value Set, Opioid Abuse and Dependence Value Set, Other Drug Abuse and Dependence Value Set.</li> <li>If the Index Episode was for a diagnosis of alcohol abuse or dependence (Alcohol Abuse and Dependence Value Set) a medication treatment dispensing event (Medication Treatment for Alcohol Abuse or Dependence Medications List) or medication Treatment Value Set).</li> <li>If the Index Episode was for a</li> </ul>			Rate 2: An outpatient visit, intensive outpatient encounter or partial hospitalization with any provider with a primary diagnosis alcohol or other drug dependence within 30 days after emergency department discharge. Any of the following code combinations meet criteria: - IET Stand Alone Visits Value Set with AOD Dependence Value Set - IET Visits Group 1 Value Set with IET POS Group 1 Value Set and a primary diagnosis of AOD (AOD Dependence Value Set). - IET Visits Group 2 Value Set with IET POS Group 2 Value Set and a primary diagnosis of AOD (AOD Dependence Value Set).	

0004: Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment	2599: Alcohol Screening and Follow-up for People with Serious Mental Illness	3312: Continuity of Care for Medicaid Beneficiaries after Detoxification (Detox) From Alcohol and/or Drugs	2605: Follow-Up After Emergency Department Visit for Mental Illness or Alcohol and Other Drug Abuse or Dependence	2152: Preventive Care and Screening: Unhealthy Alcohol Use: Screening & Brief Counseling
Treatmentdiagnosis of opioidabuse or dependence(Opioid Abuse andDependence ValueSet) a medicationtreatment dispensingevent (MedicationTreatment for OpioidAbuse or DependenceMedications List) ormedication treatmentduring a visit (AODMedicationTreatment Value Set).For all initiationevents exceptmedication treatment(AOD MedicationTreatment Value Set;MedicationTreatment for AlcoholAbuse or DependenceMedicationTreatment for AlcoholAbuse or DependenceMedicationTreatment for OpioidAbuse or DependenceMedicationTreatment for OpioidAbuse or DependenceMedication List;Medication List),initiation on the sameday as the IESD mustbe with different				
providers in order to count.				

0004: Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment	2599: Alcohol Screening and Follow-up for People with Serious Mental Illness	3312: Continuity of Care for Medicaid Beneficiaries after Detoxification (Detox) From Alcohol and/or Drugs	2605: Follow-Up After Emergency Department Visit for Mental Illness or Alcohol and Other Drug Abuse or Dependence	2152: Preventive Care and Screening: Unhealthy Alcohol Use: Screening & Brief Counseling
If a member is compliant for the Initiation numerator for any diagnosis cohort (i.e., alcohol, opioid, other drug) ou for multiple cohorts, count the member only once in the Tota Initiation numerator. The "Total" column is not the sum of the diagnosis columns. Exclude the member from the denominator for both indicators (Initiation of AOD Treatment and Engagement of AOD Treatment) if the initiation of treatment event is ar inpatient stay with a discharge date after November 27 of the measurement year.  ENGAGEMENT OF AOD TREATMENT 1) Numerator compliant for the				

0004: Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment	2599: Alcohol Screening and Follow-up for People with Serious Mental Illness	3312: Continuity of Care for Medicaid Beneficiaries after Detoxification (Detox) From Alcohol and/or Drugs	2605: Follow-Up After Emergency Department Visit for Mental Illness or Alcohol and Other Drug Abuse or Dependence	2152: Preventive Care and Screening: Unhealthy Alcohol Use: Screening & Brief Counseling
Initiation of AOD Treatment numerator and 2) Members whose initiation of AOD treatment was a medication treatment event (Medication Treatment for Alcohol Abuse or Dependence Medications List; Medication Treatment for Opioid Abuse or Dependence Medications List; AOD Medication Treatment Value Set). These members are numerator compliant if they have two or more engagement events where only one can be an engagement medication treatment event. 3) Remaining members whose initiation of AOD treatment was not a				

0004: Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment	2599: Alcohol Screening and Follow-up for People with Serious Mental Illness	3312: Continuity of Care for Medicaid Beneficiaries after Detoxification (Detox) From Alcohol and/or Drugs	2605: Follow-Up After Emergency Department Visit for Mental Illness or Alcohol and Other Drug Abuse or Dependence	2152: Preventive Care and Screening: Unhealthy Alcohol Use: Screening & Brief Counseling
event (members not				
identified in step 2).				
These members are				
numerator compliant				
if they meet either of				
the following:				
At least one				
engagement				
medication treatment				
event.				
At least two				
engagement visits				
Two engagement				
visits can be on the				
same date of service,				
but they must be with				
different providers in				
order to count as two				
events. An				
engagement visit on				
the same date of				
service as an				
engagement				
medication treatment				
event meets criteria				
(there is no				
requirement that they				
be with different				
providers).				
Engagement visits:				

0004: Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment	2599: Alcohol Screening and Follow-up for People with Serious Mental Illness	3312: Continuity of Care for Medicaid Beneficiaries after Detoxification (Detox) From Alcohol and/or Drugs	2605: Follow-Up After Emergency Department Visit for Mental Illness or Alcohol and Other Drug Abuse or Dependence	2152: Preventive Care and Screening: Unhealthy Alcohol Use: Screening & Brief Counseling
Any of the following meet criteria for an engagement visit: An acute o nonacute inpatient admission with a diagnosis matching the IESD diagnosis cohort using one of the following: Alcoh Abuse and Dependence Value Set, Opioid Abuse a Dependence Value Set, Other Drug Abu and Dependence Value Set. To identi acute or nonacute inpatient admission – Identify all acute and nonacute inpatient stays (Inpatient Stay Valu Set). – Identify the admission date for the stay. IET Stand Alone Visits Value S with a diagnosis matching the IESD	r nd ise fy s: e			

Enga Alcol Drug Depe	: Initiation and gement of nol and Other Abuse or endence tment	2599: Alcohol Screening and Follow-up for People with Serious Mental Illness	3312: Continuity of Care for Medicaid Beneficiaries after Detoxification (Detox) From Alcohol and/or Drugs	2605: Follow-Up After Emergency Department Visit for Mental Illness or Alcohol and Other Drug Abuse or Dependence	2152: Preventive Care and Screening: Unhealthy Alcohol Use: Screening & Brief Counseling
diagn one Alcol Depe Set, Depe Set, and Valu with mod Mod • Valu diagn the l coho the f Abus Depe Set, and Valu vith mod Mod • Valu diagn the l coho the f Abus Depe	nosis cohort using of the following: nol Abuse and endence Value Opioid Abuse and endence Value Other Drug Abuse Dependence e Set, with or out a telehealth ifier (Telehealth ifier Value Set). Observation e Set with a nosis matching ESD diagnosis rt using one of ollowing: Alcohol e and endence Value Opioid Abuse and endence Value Other Drug Abuse Dependence e Set. IET Visits ip 1 Value Set IET POS Group 1 e Set with a				
the I	nosis matching ESD diagnosis rt using one of				

0004: Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment	2599: Alcohol Screening and Follow-up for People with Serious Mental Illness	3312: Continuity of Care for Medicaid Beneficiaries after Detoxification (Detox) From Alcohol and/or Drugs	2605: Follow-Up After Emergency Department Visit for Mental Illness or Alcohol and Other Drug Abuse or Dependence	2152: Preventive Care and Screening: Unhealthy Alcohol Use: Screening & Brief Counseling
the following: Alcohol				
Abuse and				
Dependence Value				
Set, Opioid Abuse and				
Dependence Value				
Set, Other Drug Abuse and Dependence				
Value Set, with or				
without a telehealth				
modifier (Telehealth				
Modifier Value Set).				
IET Visits				
Group 2 Value Set				
with IET POS Group 2				
Value Set with a				
diagnosis matching				
the IESD diagnosis				
cohort using one of				
the following: Alcohol				
Abuse and				
Dependence Value				
Set, Opioid Abuse and				
Dependence Value				
Set, Other Drug Abuse				
and Dependence				
Value Set, with or				
without a telehealth				
modifier (Telehealth				
Modifier Value Set).				
• A telephone				
visit (Telephone Visits				
Value Set) with a				

Engager	nent of and Other use or ence	2599: Alcohol Screening and Follow-up for People with Serious Mental Illness	3312: Continuity of Care for Medicaid Beneficiaries after Detoxification (Detox) From Alcohol and/or Drugs	2605: Follow-Up After Emergency Department Visit for Mental Illness or Alcohol and Other Drug Abuse or Dependence	2152: Preventive Care and Screening: Unhealthy Alcohol Use: Screening & Brief Counseling
diagnos the IESE cohort u the folio Abuse a Depend Set, Opi Depend Set, Oth and Dep Value Se • assessm Set) witi matchin diagnos one of t Alcohol Depend Set, Opi Depend Set, Oth and Dep Value Se • Engager Medicat Treatme	is matching o diagnosis using one of owing: Alcohol nd ence Value oid Abuse and ence Value er Drug Abuse bendence et. An online ent (Online nents Value n a diagnosis g the IESD is cohort using he following: Abuse and ence Value oid Abuse and ence Value oid Abuse and ence Value er Drug Abuse pendence et.				
	riteria for an				

0004: Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment	2599: Alcohol Screening and Follow-up for People with Serious Mental Illness	3312: Continuity of Care for Medicaid Beneficiaries after Detoxification (Detox) From Alcohol and/or Drugs	2605: Follow-Up After Emergency Department Visit for Mental Illness or Alcohol and Other Drug Abuse or Dependence	2152: Preventive Care and Screening: Unhealthy Alcohol Use: Screening & Brief Counseling
Treatmentmedication treatmentevent:• If the IESDdiagnosis of alcoholabuse or dependence(Alcohol Abuse andDependence ValueSet), one or moremedication treatmentdispensing events(MedicationTreatment for AlcohoAbuse or DependenceMedications List) ormedicationTreatment Value Set)beginning on the dayafter the initiationencounter through 34days after theinitiation event (totalof 34 days), meetscriteria for AlcoholAbuse andDependenceTreatment.				
If the IESD     diagnosis was a     diagnosis of opioid				

En Ald Dr De	04: Initiation and gagement of cohol and Other ug Abuse or ependence eatment	2599: Alcohol Screening and Follow-up for People with Serious Mental Illness	3312: Continuity of Care for Medicaid Beneficiaries after Detoxification (Detox) From Alcohol and/or Drugs	2605: Follow-Up After Emergency Department Visit for Mental Illness or Alcohol and Other Drug Abuse or Dependence	2152: Preventive Care and Screening: Unhealthy Alcohol Use: Screening & Brief Counseling
(O De Se ma dis (N Tra Ab Ma du Ma Tra be aft en da ini of cri Ab	puse or dependence pioid Abuse and ependence Value t), one or more edication spensing events fedication eatment for Opioid puse or Dependence edications List) or edication treatment uring a visit (AOD edication eatment Value Set), eginning on the day ter the initiation acounter through 34 sys after the tiation event (total 34 days), meets iteria for Opioid puse and ependence eatment.				
co mi co on En	the member is mpliant for ultiple cohorts, only unt the member ice for the Total igagement imerator. The Total				

	0004: Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment	2599: Alcohol Screening and Follow-up for People with Serious Mental Illness	3312: Continuity of Care for Medicaid Beneficiaries after Detoxification (Detox) From Alcohol and/or Drugs	2605: Follow-Up After Emergency Department Visit for Mental Illness or Alcohol and Other Drug Abuse or Dependence	2152: Preventive Care and Screening: Unhealthy Alcohol Use: Screening & Brief Counseling
	Column is not the sum of the diagnosis columns.				
Denominator Statement	Patients age 13 years of age and older as of December 31 of the measurement year who were diagnosed with a new episode of alcohol or other drug dependency (AOD) during the first 10 and ½ months of the measurement year (e.g., January 1- November 15).	All patients 18 years of age or older as of December 31 of the measurement year with at least one inpatient visit or two outpatient visits for schizophrenia or bipolar I disorder, or at least one inpatient visit for major depression during the measurement year.	Adult Medicaid beneficiary discharges from detoxification from January 1 to December 15 of the measurement year.	Patients who were treated and discharged from an emergency department with a primary diagnosis of mental health or alcohol or other drug dependence on or between January 1 and December 1 of the measurement year.	All patients aged 18 years and older seen for at least two visits or at least one preventive visit during the measurement period
Denominator Details	Identify the Index Episode. Identify all members 13 years and older as of December 31 of the measurement year who during the Intake Period had one of the following: • An outpatient visit, telehealth, intensive outpatient visit or partial hospitalization with a diagnosis of	Age: 18 years and older Benefit: Medical Continuous Enrollment: No more than one gap in enrollment of up to 45 days during each year of the measurement year and the year prior. To determine continuous enrollment for a Medicaid beneficiary for whom enrollment is verified monthly, the person may not have more than a one month	Measure data will be reported annually (12 months). To account for the 14-day time period after discharge from detoxification, the denominator period will start January 1 and end December 15 of the measurement year. Target population meets the following conditions: • Medicaid beneficiaries aged 18 years and older and less than 65 years	Age: 18 years and older as of the date of discharge Benefit: Medical and Behavioral Health Continuous Enrollment: Date of emergency department visit through 30 days after discharge Diagnosis criteria: Patients who were treated and discharged from an emergency department with a primary diagnosis of mental health (see Mental Health Diagnosis Value	Time Period for Data Collection: 12 consecutive months For Registry: Patients aged >= 18 years AND At least two patient encounters during the performance period (CPT or HCPCS): 90791, 90792, 90832, 90834, 90837, 90845, 96150, 96151, 96152, 97165, 97166, 97167, 97168, 97802, 97803, 97804, 99201,

0004: Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence TreatmentAOD abuse or dependence. Any of the following code combinations meet criteria: - IET Stand Alone Visits Value Set with one of the following: Alcohol Abuse and Dependence Value Set, Opioid Abuse and Dependence Value Set, Other Drug Abuse and Dependence Value Set, with or without a telehealth modifier (Telehealth Modifier Value Set). - IET Visits	2599: Alcohol Screening and Follow-up for People with Serious Mental Illness gap in coverage (i.e., a person whose coverage lapses for two months [60 days] is not considered continuously enrolled). Diagnosis Criteria: Identify patients with a serious mental illness. They must meet at least one of the following criteria during the measurement year or the year prior: At least one acute inpatient claim/encounter with any diagnosis of schizophrenia, bipolar I disorder, or major	3312: Continuity of Care for Medicaid Beneficiaries after Detoxification (Detox) From Alcohol and/or Drugs with at least one detox discharge during the year January 1-December 15. • Enrolled in Medicaid during the month of detoxification discharge and the following month. The denominator is based on discharges, not individuals. A beneficiary may have more than one qualifying detox episode. Detoxification is identified using a combination of HCPCS codes, UB Revenue Codes and ICD-9/ICD-10 procedure codes. A list of value sets for the measure is attached in the Excel workbook	2605: Follow-Up After Emergency Department Visit for Mental Illness or Alcohol and Other Drug Abuse or Dependence Set) or alcohol or other drug dependence (see AOD Dependence Value Set) on or between January 1 and December 1 of the measurement year. The denominator for this measure is based on discharges, not individuals. If a person has more than one discharge, include all discharges on or between January 1 and December 1 of the measurement year. Use only facility claims to identify denominator events (including admissions or direct transfers). Do not use professional claims.	2152: Preventive Care and Screening: Unhealthy Alcohol Use: Screening & Brief Counseling 99202, 99203, 99204, 99205, 99212, 99213, 99214, 99215, G0270, G0271 WITHOUT Telehealth Modifier: GQ, GT, 95, POS 2 OR At Least One Preventive Visit during the performance period (CPT or HCPCS): 99385, 99386, 99387, 99395, 99396, 99397, 99401, 99402, 99403, 99404, 99411, 99412, 99429, G0438, G0439 WITHOUT Telehealth Modifier: GQ, GT, 95, POS 02
Modifier Value Set).	schizophrenia, bipolar I	measure is attached in		

0004: Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment	2599: Alcohol Screening and Follow-up for People with Serious Mental Illness	3312: Continuity of Care for Medicaid Beneficiaries after Detoxification (Detox) From Alcohol and/or Drugs	2605: Follow-Up After Emergency Department Visit for Mental Illness or Alcohol and Other Drug Abuse or Dependence	2152: Preventive Care and Screening: Unhealthy Alcohol Use: Screening & Brief Counseling
<ul> <li>modifier (Telehealth Modifier Value Set).</li> <li>IET Visits</li> <li>Group 2 Value Set with IET POS Group 2</li> <li>Value Set and with one of the following: Alcohol Abuse and Dependence Value Set, Opioid Abuse and Dependence Value Set, Other Drug Abuse and Dependence</li> <li>Value Set, with or without a telehealth modifier (Telehealth Modifier Value Set).</li> <li>A detoxification visit (Detoxification Value Set) with one of the following: Alcohol Abuse and Dependence Value Set, Opioid Abuse and Dependence Value Set, Opioid Abuse and Dependence Value Set, Other Drug Abuse and Dependence</li> <li>Value Set.</li> <li>An ED visit (ED Value Set) with one of the following:</li> </ul>	<ul> <li>A bipolar Disorder</li> <li>Value Set</li> <li>Major Depression</li> <li>Value Set</li> <li>At least two visits in an outpatient, intensive outpatient, partial hospitalization, ED or non-acute inpatient setting, on different dates of service, with any diagnosis of schizophrenia or bipolar I disorder. Any two of the following code combinations meet criteria:</li> </ul>			

0004: Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment	2599: Alcohol Screening and Follow-up for People with Serious Mental Illness	3312: Continuity of Care for Medicaid Beneficiaries after Detoxification (Detox) From Alcohol and/or Drugs	2605: Follow-Up After Emergency Department Visit for Mental Illness or Alcohol and Other Drug Abuse or Dependence	2152: Preventive Care and Screening: Unhealthy Alcohol Use: Screening & Brief Counseling
Alcohol Abuse and Dependence Value Set, Opioid Abuse and Dependence Value Set, Other Drug Abuse and Dependence Value Set. • An observation visit (Observation Value Set) with one of the following: Alcohol Abuse and Dependence Value Set, Opioid Abuse and Dependence Value Set, Other Drug Abuse and Dependence Value Set. • An acute or nonacute inpatient discharge with one of the following: Alcohol Abuse and Dependence Value Set, Other Drug Abuse and Dependence Value Set. • An acute or nonacute inpatient discharge with one of the following: Alcohol Abuse and Dependence Value Set, Opioid Abuse and Dependence Value Set, Opioid Abuse and Dependence Value Set, Other Drug Abuse and Dependence Value Set. To identify acute and nonacute inpatient discharges:	<ul> <li>Schizophrenia Value Set</li> <li>Bipolar Disorder</li> <li>Value Set</li> <li>BH Outpatient/PH/IOP</li> <li>Value Set with BH</li> <li>Outpatient/PH/IOP POS</li> <li>Value Set and one of the following diagnoses: <ul> <li>Schizophrenia Value</li> </ul> </li> <li>Set <ul> <li>Bipolar Disorder</li> <li>Value Set with one of the following diagnoses: <ul> <li>Schizophrenia Value</li> </ul> </li> <li>Set <ul> <li>Bipolar Disorder</li> <li>Value Set</li> </ul> </li> <li>BH ED Value Set with BH</li> <li>ED POS Value Set and one of the following diagnoses: <ul> <li>Schizophrenia Value</li> </ul> </li> <li>Set <ul> <li>BH ED Value Set with BH</li> <li>ED POS Value Set and one of the following diagnoses: <ul> <li>Schizophrenia Value</li> </ul> </li> <li>Set <ul> <li>Bh ED Value Set with BH</li> <li>ED POS Value Set and one of the following diagnoses: <ul> <li>Schizophrenia Value</li> </ul> </li> <li>Set <ul> <li>Bipolar Disorder</li> <li>Value Set</li> </ul> </li> <li>BH Stand Alone</li> <li>Nonacute Inpatient</li> </ul></li></ul></li></ul></li></ul>			

0004: Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment	2599: Alcohol Screening and Follow-up for People with Serious Mental Illness	3312: Continuity of Care for Medicaid Beneficiaries after Detoxification (Detox) From Alcohol and/or Drugs	2605: Follow-Up After Emergency Department Visit for Mental Illness or Alcohol and Other Drug Abuse or Dependence	2152: Preventive Care and Screening: Unhealthy Alcohol Use: Screening & Brief Counseling
<ul> <li>Identify all acute and nonacute inpatient stays (Inpatient Stay Value Set).</li> <li>Identify the discharge date for the stay.</li> <li>A telephone visit (Telephone Visits Value Set) with one of the following: Alcohol Abuse and Dependence Value Set, Opioid Abuse and Dependence Value Set, Other Drug Abuse and Dependence Value Set.</li> <li>An online assessment (Online Assessments Value Set) with one of the following: Alcohol Abuse and Dependence Value Set, Opioid Abuse and Dependence Value Set, Opioid Abuse and Dependence Value Set.</li> </ul>	Value Set with one of the following diagnoses: - Schizophrenia Value Set - Bipolar Disorder Value Set BH Nonacute Inpatient Value Set with BH Nonacute Inpatient POS Value Set and one of the following diagnoses: - Schizophrenia Value Set - Bipolar Disorder Value Set			

	0004: Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment	2599: Alcohol Screening and Follow-up for People with Serious Mental Illness	3312: Continuity of Care for Medicaid Beneficiaries after Detoxification (Detox) From Alcohol and/or Drugs	2605: Follow-Up After Emergency Department Visit for Mental Illness or Alcohol and Other Drug Abuse or Dependence	2152: Preventive Care and Screening: Unhealthy Alcohol Use: Screening & Brief Counseling
	For members with more than one episode of AOD abuse or dependence, use the first episode. For members whose first episode was an ED or observation visit that resulted in an inpatient stay, use the diagnosis from the ED or observation visit to determine the diagnosis cohort and use the inpatient discharge date as the IESD. Select the Index Episode Start Date.				
Exclusions	Exclude members who had a claim/encounter with a diagnosis of AOD abuse or dependence (AOD Abuse and Dependence Value Set), AOD medication treatment (AOD Medication Treatment Value Set) or an alcohol or	Active diagnosis of alcohol abuse or dependence during the first nine months of the year prior to the measurement year (see Alcohol Disorders Value Set).	Not applicable. The measure does not have denominator exclusions.	The following are exclusions from the denominator: -If the discharge is followed by readmission or direct transfer to an emergency department for a principal diagnosis of mental health or alchohol or other drug dependence within the 30-day follow- up period, count only the	Documentation of medical reason(s) for not screening for unhealthy alcohol use (eg, limited life expectancy, other medical reasons)

	0004: Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment	2599: Alcohol Screening and Follow-up for People with Serious Mental Illness	3312: Continuity of Care for Medicaid Beneficiaries after Detoxification (Detox) From Alcohol and/or Drugs	2605: Follow-Up After Emergency Department Visit for Mental Illness or Alcohol and Other Drug Abuse or Dependence	2152: Preventive Care and Screening: Unhealthy Alcohol Use: Screening & Brief Counseling
	<ul> <li>opioid dependency treatment medication dispensing event (Medication Treatment for Alcohol Abuse or Dependence Medications List; Medication Treatment for Opioid Abuse or Dependence Medications List) during the 60 days (2 months) before the IESD.</li> <li>Exclude patients who use hospice services or elect to use a hospice benefit any time during the measurement year, regardless of when the services began.</li> </ul>			readmission discharge or the discharge from the emegenecy department to which the patient was transferred. -Exclude discharges followed by admission or direct transfer to an acute or nonacute facility within the 30-day follow-up period, regardless of primary diagnosis for the admission. These discharges are excluded from the measure because hospitalization or transfer may prevent an outpatient follow-up visit from taking place.	
Exclusion Details	Exclude patients who had a claim/encounter with a diagnosis of AOD during the 60 days (2 months) before the Index Episode Start Date. (See corresponding Excel document for the	Denominator exclusions are found through medical record or claims data (see Alcohol Disorders Value Set).	Not applicable.	See Section S.10 for exclusion details	Time Period for Data Collection: Denominator Exception(s) are determined on the date of the most recent denominator eligible encounter. Exceptions are used to remove a patient from the denominator of a

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AOD Dependence Value Set)- For an inpatient Index Episode Start Date, use the admission date to determine if the patient had a period of 60 days prior to the Index Episode Start Date with no claims with a diagnosis of AOD dependence For an ED visit that results in an inpatient event, use the ED date of service to determine if the patient had a period of 60 days prior to the Index Episode Start Date with no claims with a diagnosis of AOD dependence For an ED visit that results in an inpatient event, use the ED date of service to determine if the patient had a period of 60 days prior to the Index Episode Start Date with no claims with a diagnosis of AOD dependence For direct transfers, use the first admission to determine if the patient had a period of 60 days prior to the Index Episode Start Date with no claims with a diagnosis of AOD dependence.				performance measure when the patient does not receive a therapy or service AND that therapy or service would not be appropriate due to patient-specific reasons. The patient would otherwise meet the denominator criteria. Exceptions are not absolute, and are based on clinical judgment, individual patient characteristics, or patient preferences. The PCPI exception methodology uses three categories of reasons for which a patient may be removed from the denominator of an individual measure. These measure exception categories are not uniformly relevant across all measures; for each measure, there must be a clear rationale to permit an exception for a medical, patient, or system reason. Examples are provided in the measure exception

0004: Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment	2599: Alcohol Screening and Follow-up for People with Serious Mental Illness	3312: Continuity of Care for Medicaid Beneficiaries after Detoxification (Detox) From Alcohol and/or Drugs	2605: Follow-Up After Emergency Department Visit for Mental Illness or Alcohol and Other Drug Abuse or Dependence	2152: Preventive Care and Screening: Unhealthy Alcohol Use: Screening & Brief Counseling
with a diagnosis of AOD dependence. Exclude from the denominator for both indicators (Initiation of AOD Treatment and Engagement of AOD Treatment) patients whose initiation of treatment event is an inpatient stay with a discharge date after December 1 of the measurement year.				language of instances that may constitute an exception and are intended to serve as a guide to clinicians. For measure Preventive Care and Screening: Unhealthy Alcohol Use: Screening & Brief Counseling, exceptions may include medical reason(s) (eg, limited life expectancy, other medical reasons). Although this methodology does not require the external reporting of more detailed exception data, the PCPI recommends that physicians document the specific reasons for exception in patients' medical records for purposes of optimal patient management and audit-readiness. The PCPI also advocates the systematic review and analysis of each physician's exceptions data to identify practice patterns and opportunities for quality improvement.

	0004: Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment	2599: Alcohol Screening and Follow-up for People with Serious Mental Illness	3312: Continuity of Care for Medicaid Beneficiaries after Detoxification (Detox) From Alcohol and/or Drugs	2605: Follow-Up After Emergency Department Visit for Mental Illness or Alcohol and Other Drug Abuse or Dependence	2152: Preventive Care and Screening: Unhealthy Alcohol Use: Screening & Brief Counseling
					For Registry: Report Quality Data Code: G9623 - Documentation of medical reason(s) for not screening for unhealthy alcohol use (e.g., limited life expectancy, other medical reasons)
Risk Adjustment	No risk adjustment or risk stratification	No risk adjustment or risk stratification	No risk adjustment or risk stratification	No risk adjustment or risk stratification	No risk adjustment or risk stratification
Stratification	The total population is stratified by age: 13-17 and 18+ years of age. • Report two age stratifications and a total rate. • The total is the sum of the age stratifications. Report the following diagnosis cohorts for each age stratification and the total rate: • Alcohol abuse or dependence. • Opioid abuse or dependence. • Other drug abuse or dependence.	Not applicable.	Location of detox is used as a stratification variable in analyses. If an inpatient hospital claim had an ICD- 9/ICD-10 detoxification procedure code or a UB revenue code indicating detoxification, hospital inpatient treatment is assigned as the location of detox. In addition, hospital inpatient treatment is also assigned if a non-inpatient claim contains a HCPCS code indicating hospital inpatient detox. The remaining detox location assignments are very straightforward. Whenever possible, use	Not applicable.	Consistent with CMS' Measures Management System Blueprint and recent national recommendations put forth by the IOM and NQF, the PCPI encourages the collection of race and ethnicity data as well as the results of this measure to be stratified by race, ethnicity, administrative sex, and payer.

	0004: Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment	2599: Alcohol Screening and Follow-up for People with Serious Mental Illness	3312: Continuity of Care for Medicaid Beneficiaries after Detoxification (Detox) From Alcohol and/or Drugs	2605: Follow-Up After Emergency Department Visit for Mental Illness or Alcohol and Other Drug Abuse or Dependence	2152: Preventive Care and Screening: Unhealthy Alcohol Use: Screening & Brief Counseling
	• Total.		of the HCPCS codes to determine location is most desired as it reflects the more precise detoxification location. The other stayover treatment location is designed to capture detox location from non- inpatient claims that do not contain a HCPCS code. A list of value sets for the measure is attached in the Excel workbook provided for question S.2b.		
Type Score	Rate/proportion better quality = higher score	Rate/proportion better quality = higher score	Rate/proportion better quality = higher score	Rate/proportion better quality = higher score	Rate/proportion better quality = higher score
Algorithm	Step 1. Determine the eligible population.The eligible population is all patients who satisfy all specified denominator criteria (S7-S9).Step 2. Search administrative systems to identify numerator events for	Step 1: Determine the eligible population. Step 1A: Identify all patients 18 years of age or older with a serious mental illness Step 1B: Exclude patients from step 1A who have a diagnosis of unhealthy alcohol use during the first 9 months of the	The following step are used to identify the denominator, numerator, and calculation of the measure rate: Step 1: Identify denominator Step 1A: Eligible population: Identify enrolled Medicaid beneficiaries ages 18-64 years who have any	Mental Health Step 1: Determine the eligible population. Step 1A: Identify patients with who were treated and discharged from an emergency department with a primary diagnosis of mental health. Step 1B: Exclude patients who meet the exclusion criteria as specified in the	To calculate performance rates: 1. Find the patients who meet the initial population (ie, the general group of patients that a set of performance measures is designed to address). 2. From the patients within the initial population criteria, find the patients who qualify for the
0004: Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatmentall patients in the eligible population (S6).Step 3. Calculate the rate of numerator events in the eligible population.	2599: Alcohol Screening and Follow-up for People with Serious Mental Illness year prior to the measurement year. Step 2: Identify Numerator. Step 2A: Identify the date of screening for unhealthy alcohol use during the measurement year or the year prior within the medical chart Step 2B: Identify the unhealthy alcohol screening recult within	3312: Continuity of Care for Medicaid Beneficiaries after Detoxification (Detox) From Alcohol and/or Drugs detoxification (withdrawal management) in inpatient hospital, residential addiction treatment program, or ambulatory detoxification (withdrawal management) discharge from January 1 to December 15 of the measurement year and are enrolled the month of	2605: Follow-Up After Emergency Department Visit for Mental Illness or Alcohol and Other Drug Abuse or Dependence "Denominator Exclusion Details" section. Step 2: Identify the numerator. Step 2A: Identify those who had a qualifying follow-up visit within 7 days. Step 2B: Identify those who had a qualifying follow-up visit within 30 days.	2152: Preventive Care and Screening: Unhealthy Alcohol Use: Screening & Brief Counseling denominator (ie, the specific group of patients for inclusion in a specific performance measure based on defined criteria). Note: in some cases the initial population and denominator are identical. 3. From the patients within the denominator, find the patients who meet the numerator criteria (ie, the group of patients in the	
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	screening result within the medical chart. If negative for unhealthy alcohol use, stop. Step 2C: If positive for unhealthy alcohol use, identify the date of any follow-up care occurring within three months of screening. Step 3: Calculate the rate by adding the number of patients with a negative screening for unhealthy alcohol use (from step 2B) plus the number of patients with positive screening for unhealthy alcohol use	are enrolled the month of detoxification and the following month. Age is calculated as of January 1 of the measurement year. Step 1B: Overall: Among the Medicaid beneficiaries in Step 1A, identify all detoxification discharges using all inpatient, outpatient and ambulatory claims files or tables that contain HCPCS or ICD-9/ICD-10 procedure codes and UB revenue codes. If more than one detoxification in a year, treat each detoxification as a separate observation,	Step 3: Calculate the rates. Step 3A: Calculate the 7- day rate by dividing the number of patients with qualifying follow-up visit within 7 days (Step 2A) by the denominator (after exclusions) (Step 1B). Step 3B: Calculate the 30- day rate by dividing the number of patients with qualifying follow-up visit within 30 days (Step 2B) by the denominator (after exclusions) (Step 1B). Alcohol or Other Drug Dependence Step 1: Determine the eligible population.	group of patients in the denominator for whom a process or outcome of care occurs). Validate that the number of patients in the numerator is less than or equal to the number of patients in the denominator 4. From the patients who did not meet the numerator criteria, determine if the provider has documented that the patient meets any criteria for exception when denominator exceptions have been specified [for this measure: medical reason(s) (eg, limited life	

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	and those who received follow-up care (from step 2C) and divide this by the number of patients calculated to be in the eligible population (those remaining after Step 1B is complete.)	e.g., an inpatient hospital detoxification in January and an ambulatory detoxification in July, counts as two observations. Step 1B.1: Multiple detox claims that are within 1-2 days are combined into a single detox episode. Accordingly, sort the inpatient, outpatient and ambulatory detox discharges by Beneficiary ID and service dates to ensure the discharges from these multiple data sources are in chronological order. Then combine close-proximity episodes while retaining all clinical fields from each episode. Step 1C: Detox location assignment: hospital inpatient, inpatient residential addiction, outpatient residential outpatient addiction, other stayover treatment and ambulatory detoxification. Use HCPCs	Step 1A: Identify patients with who were treated and discharged from an emergency department with a primary diagnosis of alcohol or other drug dependence. Step 1B: Exclude patients who meet the exclusion criteria as specified in the "Denominator Exclusion Details" section. Step 2: Identify the numerator. Step 2A: Identify those who had a qualifying follow-up visit within 7 days. Step 2B: Identify those who had a qualifying follow-up visit within 30 days. Step 3: Calculate the rates. Step 3A: Calculate the 7- day rate by dividing the number of patients with qualifying follow-up visit within 7 days (Step 2A) by the denominator (after exclusions) (Step 1B).	expectancy, other medical reasons)]. If the patient meets any exception criteria, they should be removed from the denominator for performance calculation. Although the exception cases are removed from the denominator population for the performance calculation, the exception rate (ie, percentage with valid exceptions) should be calculated and reported along with performance rates to track variations in care and highlight possible areas of focus for QI. If the patient does not meet the numerator and a valid exception is not present, this case represents a quality failure.

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		detox procedure codes to assign detox location whenever possible; revenue center detox will map to the hospital inpatient location when the revenue codes appear on an inpatient claim or table. They will map to other stayover treatment when the revenue codes appear on a non-inpatient claim. If there is more than 1 detox location when episodes are combined, assign the location using the first claim's location. If there is a TIE between a detox episode being identified via revenue center codes and a more specific category using HCPCs on the SAME claim, the HCPCs location prevails. Step 2: Identify numerator Step 2A: Overall: From the denominator in Step 1B, identify those discharges from detoxification in any	Step 3B: Calculate the 30- day rate by dividing the number of patients with qualifying follow-up visit within 30 days (Step 2B) by the denominator (after exclusions) (Step 1B).	

0004: Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment	2599: Alcohol Screening and Follow-up for People with Serious Mental Illness	3312: Continuity of Care for Medicaid Beneficiaries after Detoxification (Detox) From Alcohol and/or Drugs	2605: Follow-Up After Emergency Department Visit for Mental Illness or Alcohol and Other Drug Abuse or Dependence	2152: Preventive Care and Screening: Unhealthy Alcohol Use: Screening & Brief Counseling
		setting with a qualifying continuity service within 7 or 14 days after discharge. Step 2A.1: Identify SUD continuity services: Continuity services are assigned using clinical claims billing information (e.g., diagnosis, procedure, revenue codes). The measure includes all claims files or data tables that contain clinical fields (e.g., inpatient hospital, outpatient, other ambulatory and long- term care). SUD diagnoses can be in any position – primary or secondary – for continuity services. Since multiple claims files or tables could each contain a continuity claim, the specification calls for creating continuity variables separately within each file type or table, sorting the		
		files or tables by beneficiary ID and service		

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Treatment		dates, then putting them together in order to assign the set of variables that are "First" to occur relative to the detox episode discharge date. Continuity services have to occur the day after discharge through day 7 or 14. Step 2A.2: Identify pharmacotherapy which may occur in multiple files or tables. For example, one claims file or data source may contain injectables, another		
		claims file or table data source may contain oral medications. Consequently, pharmacotherapy variables are created separately in each source, the data sources are then sorted by beneficiary ID and service dates, then multiple pharmacotherapy data sources are put together so they will be in chronological order to		

0004: Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment	2599: Alcohol Screening and Follow-up for People with Serious Mental Illness	3312: Continuity of Care for Medicaid Beneficiaries after Detoxification (Detox) From Alcohol and/or Drugs	2605: Follow-Up After Emergency Department Visit for Mental Illness or Alcohol and Other Drug Abuse or Dependence	2152: Preventive Care and Screening: Unhealthy Alcohol Use: Screening & Brief Counseling
		assign "First" variables. Pharmacotherapy services could be provided on the same day as the discharge from detox through day 7 or 14. Step 2A.3: Co-occurring events: Continuity service flags and pharmacotherapy flags are reset to zero if an overdose diagnosis code appears on the SAME claim as the continuity service. Further, outpatient continuity is also reset to zero if an emergency department visit occurs on the same day. If an inpatient continuity claim has an emergency department visit, it is allowed to remain a continuity service.		
		Step 3: Calculate rate Step 3A: Calculate the overall 7- or 14-day continuity rates by dividing the number of discharges with a		

	0004: Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment	2599: Alcohol Screening and Follow-up for People with Serious Mental Illness	3312: Continuity of Care for Medicaid Beneficiaries after Detoxification (Detox) From Alcohol and/or Drugs	2605: Follow-Up After Emergency Department Visit for Mental Illness or Alcohol and Other Drug Abuse or Dependence	2152: Preventive Care and Screening: Unhealthy Alcohol Use: Screening & Brief Counseling
			qualifying continuity service (Step 2A) by the denominator (Step 1B). Step 3B: Calculate the rates separately for each detox location by dividing the respective number of discharges by each location with a qualifying continuity service (Step 2A) by the denominator (Step 1C).		
Submission items	<ul> <li>5.1 Identified measures:</li> <li>5a.1 Are specs completely harmonized?</li> <li>5a.2 If not completely harmonized, identify difference, rationale, impact:</li> <li>5b.1 If competing, why superior or</li> </ul>	<ul> <li>5.1 Identified measures:</li> <li>2152 : Preventive Care and Screening:</li> <li>Unhealthy Alcohol Use:</li> <li>Screening &amp; Brief</li> <li>Counseling</li> <li>5a.1 Are specs</li> <li>completely harmonized?</li> <li>5a.2 If not completely</li> <li>harmonized, identify</li> <li>difference, rationale,</li> <li>impact: This measure</li> </ul>	5.1 Identified measures: 0004 : Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment 2605 : Follow-Up After Emergency Department Visit for Mental Illness or Alcohol and Other Drug Abuse or Dependence 5a.1 Are specs completely harmonized? No	5.1 Identified measures: 0576 : Follow-Up After Hospitalization for Mental Illness (FUH) 1937 : Follow-Up After Hospitalization for Schizophrenia (7- and 30- day) 3312 : Continuity of Care for Medicaid Beneficiaries after Detoxification (Detox) From Alcohol and/or Drugs	<ul> <li>5.1 Identified measures:</li> <li>5a.1 Are specs completely harmonized? Yes</li> <li>5a.2 If not completely harmonized, identify difference, rationale, impact: The related measures listed in 5.1b were developed after our measure. The NCQA measure focuses on a specific sub-population</li> </ul>
	rationale for additive value: N/A	was adapted from the existing provider-level measure (NQF #2152: Preventive Care and Screening: Unhealthy	5a.2 If not completely harmonized, identify difference, rationale, impact: Follow-up time period: NQF 2605	5a.1 Are specs completely harmonized? Yes 5a.2 If not completely harmonized, identify	(people with serious mental illness) and is intended for use at the health plan level. In the TJC measures, screening

0004: Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment	2599: Alcohol Screening and Follow-up for People with Serious Mental Illness	3312: Continuity of Care for Medicaid Beneficiaries after Detoxification (Detox) From Alcohol and/or Drugs	2605: Follow-Up After Emergency Department Visit for Mental Illness or Alcohol and Other Drug Abuse or Dependence	2152: Preventive Care and Screening: Unhealthy Alcohol Use: Screening & Brief Counseling
	Alcohol Use: Screening & Brief Counseling) for use at the health plan level for the high risk subpopulation of people with serious mental illness. The measure is harmonized and has been reviewed with the original measure stewards and developers. The differences between the existing measure and the proposed serious mental illness subpopulation measure were developed with expert input and are described hereThe population focus: This measure focuses on people with serious mental illness, who are at a higher risk of unhealthy alcohol use than the general population and have demonstrated disparities in care -What counts as follow-up and the number of events for follow-up: This measure	examines follow-up care 7 days and 30 days after discharge. Our proposed measure (#3312) examines follow-up care 7 days and 14 days after discharge. The 14 day follow-up time period aligns with NQF 0004 and the non-NQF endorsed Continuity of Care After Detoxification measure developed by the Washington Circle, and reflects the input of some public commenters that adults should receive some type of care within two weeks of discharge from detoxification. Diagnoses: NQF 2605 requires a primary diagnosis of alcohol and other drug dependence (AOD) for the follow-up service. Our proposed measure (#3312) requires a primary or secondary diagnosis of AOD. We allow a primary or secondary AOD diagnosis to address potential	difference, rationale, impact: Portions of the specifications for this measure have been adapted from the existing health plan measures (Follow-up After Hospitalization for Mental Illness NQF #0576 and Follow-up After Hospitalization for Schizophrenia NQF#1937). The proposed measure is harmonized with the two existing NQF-endorsed measures. The following highlights the differences between the measures: - Population focus (denominator): The proposed measure targets patients discharged from the emergency department (not inpatient) and also focuses on patients with alcohol or other drug dependence disordersNumerator: The proposed measure captures follow-up with a primary mental health or alcohol or other drug	and intervention are separate measures. Additionally, the TJC measures are intended for use at the hospital level. PCPI was contacted by these measure stewards respectively while the measures were developed, and they are currently harmonized to the extent feasible.

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	requires two events of counseling, raising expectations for the intensity of service for the serious mental illness population compared to the original measure for the general population, and is reasonably achievable, particularly in the health plan context. USPSTF recommendation supports multi-contact counseling which seems to have the best evidence of effectivenessIn addition, the existing measure (NQF #2152) is reported at the provider level and is focused on follow-up conducted at time of screening making a single event sufficient. However, at the health plan level, there is opportunity/responsibilit y for follow-up care beyond the visit. We believe our measure focused on screening	inaccuracies in how AOD diagnoses are coded. For example, some providers may be concerned about the stigma associated with an AOD diagnosis and therefore code it as a secondary diagnosis. Also, for adults with co- occurring mental health and AOD disorders, the assignment of primary and secondary diagnoses can be challenging and sometimes arbitrary. The differences in follow-up time period, location and diagnoses between NQF 2605 and our proposed measure (3312) do not impact the measure's interpretability in which a higher rate is indicative of better quality. Both measures rely on administrative data. The differences in measure specifications between 2605 and 3312 are minor and expected to have minimal impact on data collection burden.	dependence diagnosis (regardless of the type of provider). 5b.1 If competing, why superior or rationale for additive value: Not applicable.	

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	patients with SMI for unhealthy alcohol use and capturing more intensive evidence- based follow-up care for a vulnerable population contributes to the national quality agenda. 5b.1 If competing, why superior or rationale for additive value: Not applicable.	5b.1 If competing, why superior or rationale for additive value: Not applicable. There are no other NQF-endorsed measures that conceptually address the same measure focus and same target population.		

## **Appendix F: Pre-Evaluation Comments**

Торіс	Commenter	Comment
0004: Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment (National Committee for Quality Assurance)	Submitted by Louisiana State Office of Behavioral Health	It's an excellent measure. However, it is limited by losing a significant amount of relevant data because it excludes multiple ASAM residential treatment levels of care. Many states and other entities would benefit greatly with more accurate data if that observation was considered by the reviewing committee to include residential levels of care in the next update of that measure.

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