NATIONAL QUALITY FORUM

Measure Submission and Evaluation Worksheet 5.0

This form contains the information submitted by measure developers/stewards, organized according to NQF's measure evaluation criteria and process. The evaluation criteria, evaluation guidance documents, and a blank online submission form are available on the submitting standards web page.

NQF #: 0220 NQF Project: Cancer Project

(for Endorsement Maintenance Review)

Original Endorsement Date: Mar 01, 2007 Most Recent Endorsement Date: Mar 01, 2007

BRIEF MEASURE INFORMATION

De.1 Measure Title: 0220: Adjuvant hormonal therapy

Co.1.1 Measure Steward: Commission on Cancer, American College of Surgeons

De.2 Brief Description of Measure: Percentage of female patients, age >18 at diagnosis, who have their first diagnosis of breast cancer (epithelial malignancy), at AJCC stage I, II, or III, who's primary tumor is progesterone or estrogen receptor positive recommended for tamoxifen or third generation aromatase inhibitor (considered or administered) within 1 year (365 days) of diagnosis.

2a1.1 Numerator Statement: Hormone therapy is considered or administered within 1 year (365 days) of the date of diagnosis

2a1.4 Denominator Statement: Include if all of the following characteristics are identified:

Women

Age >=18 at time of diagnosis

Known or assumed to be first or only cancer diagnosis

Epithelial malignancy only Primary tumors of the breast

AJCC T1c or Stage II or III

Primary tumor is estrogen receptor positive or progesterone receptor positive

All or part of 1st course of treatment performed at the reporting facility

Known to be alive within 1 year (365 days) of date of diagnosis

2a1.8 Denominator Exclusions: Exclude, if any of the following characteristics are identified:

Men

Under age 18 at time of diagnosis

Second or subsequent cancer diagnosis

Tumor not originating in the breast

Non-epithelial malignancies

Stage 0, in-situ tumor

AJCC T1mic, T1a, or T1b tumor

Stage IV, metastatic tumor

Primary tumor is estrogen receptor negative and progesterone receptor negative

None of 1st course therapy performed at reporting facility

Died within 1 year (365 days) of diagnosis

1.1 Measure Type: Process

2a1. 25-26 Data Source: Electronic Clinical Data: Registry, Paper Records

2a1.33 Level of Analysis: Facility

1.2-1.4 Is this measure paired with another measure? No

De.3 If included in a composite, please identify the composite measure (title and NQF number if endorsed):

STAFF NOTES (issues or questions regarding any criteria)
Comments on Conditions for Consideration:
Is the measure untested? Yes No If untested, explain how it meets criteria for consideration for time-limited endorsement:
1a. Specific national health goal/priority identified by DHHS or NPP addressed by the measure (check De.5): 5. Similar/related endorsed or submitted measures (check 5.1): Other Criteria:
Staff Reviewer Name(s):
1. IMPACT, OPPORTUITY, EVIDENCE - IMPORTANCE TO MEASURE AND REPORT
Importance to Measure and Report is a threshold criterion that must be met in order to recommend a measure for endorsement. All three subcriteria must be met to pass this criterion. See <u>guidance on evidence</u> . Measures must be judged to be important to measure and report in order to be evaluated against the remaining criteria. (evaluation criteria)
1a. High Impact: H M L I (The measure directly addresses a specific national health goal/priority identified by DHHS or NPP, or some other high impact aspect of healthcare.)
De.4 Subject/Topic Areas (Check all the areas that apply): Cancer, Cancer: Breast De.5 Cross Cutting Areas (Check all the areas that apply): Care Coordination, Disparities
1a.1 Demonstrated High Impact Aspect of Healthcare: Affects large numbers, Frequently performed procedure, Patient/societal consequences of poor quality
1a.2 If "Other," please describe:
1a.3 Summary of Evidence of High Impact (<i>Provide epidemiologic or resource use data</i>): There is extensive evidence that horome (endocrine) therapy with hormone receptor positive breast cancer reduces the risk of local recurrence, contralateral breast cancer, distant recurrence, and death. Meaures specifices use of tamoxifen or 3rd generation aromatase inhibitor rather than specifiying tamoxifen for pre-menopausal and aromatase inhibitor for post-menopausal because a) Difficulty in clearly identify from records or administrative data the menopause status and b) variation in appropriate use of tamoxifen in post-menopausal, and some reasonable use of aromatase inhibitor in pre-menopausal women with the use of ovarian suppression.
1a.4 Citations for Evidence of High Impact cited in 1a.3: 1. Early Breast Cancer Trialists Collaborative Group (EBCTCG) et al. Relevance of breast cancer hormone receptors and other factors to the efficacy of adjuvant tamoxifen: patient-level meta-analysis of randomised trials. Lancet 2011;378(9793):771:784. 2. Cuzick J, Sestak I, Baum M, et al. Effect of anastrozole and tamoxifen as adjuvant treatment for early-stage breast cancer: 10-year analysis of the ATAC trail. Lancet Oncol 2010;11:1135-1141. 3. Burstein JH, Prestrud AA, Seidenfeld J, et al. American Society of Clinical Oncology clinical practice guidelines: update on adjuvant endocrine therapy for women with hormone receptor positive breast cancer. J Clin Oncol 2010;28:3784-3796.
1b. Opportunity for Improvement: H M L I (There is a demonstrated performance gap - variability or overall less than optimal performance)
1b.1 Briefly explain the benefits (improvements in quality) envisioned by use of this measure: Improve the utilization of hormone therapy for women with estrogen receptor positive breast cancer. 1b.2 Summary of Data Demonstrating Performance Gap (Variation or overall less than optimal performance across providers):
[For Maintenance – Descriptive statistics for performance results for this measure - distribution of scores for measured entities by

quartile/decile, mean, median, SD, min, max, etc.]

provider.	terature do	ocumenting the	vairation in the use of hor	mone therapy related to race, age, socioeconomic status, and		
in 1b.2 inc 1. Short L	luding nur J, Fisher N	mber of measu. MD, Wahl PM e	red entities; number of pati	nance – Description of the data or sample for measure results reported ients; dates of data; if a sample, characteristics of the entities included care among commercially insured patients with newly diagnosed 5:193-202.		
for this me	easure by	Pata on Dispar population grou patient and tu	up]	p: [For Maintenance –Descriptive statistics for performance results		
				Maintenance – Description of the data or sample for measure results per of patients; dates of data; if a sample, characteristics of the entities		
Is the mea	asure foc	us a health ou	tcome? Yes No	the criteria for quantity, quality, consistency of the body of evidence.) If not a health outcome, rate the body of evidence.		
			Quality: H M L			
Quantity M-H	Quality M-H	Consistency M-H	Does the measure pass s Yes	subcriterion10?		
L	M-H	M	Yes IF additional research unlikely to change conclusion that benefits to patients outweigh harms: otherwise No			
М-Н	L	М-Н	Yes IF potential benefit	Yes IF potential benefits to patients clearly outweigh potential harms: otherwise No		
L-M-H	L-M-H	L	No 🗌			
			s relationship to at least tervention, or service	Does the measure pass subcriterion1c? Yes IF rationale supports relationship		
outcome,	process, s		dentify the appropriate link	te the measure focus, e.g., health outcome, intermediate clinical ss, e.g., structure-process-health outcome; process- health outcome;		
		lence (Check a deline, System		ence (other than within guideline development)		
of evidence	e and ide	ntify any differe		ate the central topic, population, and outcomes addressed in the body cus and measure target population):		
1c.5 Quar	-	udies in the B	ody of Evidence (Total กน	umber of studies, not articles): Multiple randomized clinical trial and		
across studirectness	dies in the Indirectne	e body of evide ess of the evide	nce resulting from study fa ence to this measure (e.g.,	or confidence in the estimates of benefits and harms to patients actors. Please address: a) study design/flaws; b) interventions, comparisons, outcomes assessed, population included adde to few patients or events): High level evidence		
1c.7 Cons	sistency o	of Results acro	oss Studies (Summarize ti	he consistency of the magnitude and direction of the effect): Strong		

COI			

1c.8 Net Benefit (Provide estimates of effect for benefit/outcome; identify harms addressed and estimates of effect; and net benefit - benefit over harms):

Approximate 25% recuction in risk of distant cancer recurrence and death

- 1c.9 Grading of Strength/Quality of the Body of Evidence. Has the body of evidence been graded? Yes
- 1c.10 If body of evidence graded, identify the entity that graded the evidence including balance of representation and any disclosures regarding bias: National Comprehensive Cancer Network (NCCN): Eartly Breast Cancer Trialists Collaborative Group
- 1c.11 System Used for Grading the Body of Evidence: Other
- 1c.12 If other, identify and describe the grading scale with definitions: Level I, IIA, IIB, III
- 1c.13 Grade Assigned to the Body of Evidence: Level I
- 1c.14 Summary of Controversy/Contradictory Evidence: None
- 1c.15 Citations for Evidence other than Guidelines (Guidelines addressed below): See 1b.4
- 1c.16 Quote verbatim, the specific guideline recommendation (Including guideline # and/or page #):
- "Adjuvant endocrine therapy" [depending on other tumor characteristics also includes "+/- adjuvant chemotherapy" or "+ adjuvant chemotherapy"]
- 1c.17 Clinical Practice Guideline Citation: NCCN Clinical Practice Guidelines
- 1c.18 National Guideline Clearinghouse or other URL: www.nccn.org
- 1c.19 Grading of Strength of Guideline Recommendation. Has the recommendation been graded? Yes
- 1c.20 If guideline recommendation graded, identify the entity that graded the evidence including balance of representation and any disclosures regarding bias: National Comprehensive Cancer Network (NCCN)
- 1c.21 System Used for Grading the Strength of Guideline Recommendation: Other
- 1c.22 If other, identify and describe the grading scale with definitions: Level I, IIA, IIB, III
- 1c.23 Grade Assigned to the Recommendation: Level I
- **1c.24 Rationale for Using this Guideline Over Others:** All guidelines recommend hormone therapy with hormone receptor postiive breast cancer

Based on the NQF descriptions for rating the evidence, what was the <u>developer's assessment</u> of the quantity, quality, and consistency of the body of evidence?

1c.25 Quantity: High 1c.26 Quality: High1c.27 Consistency: High

Was the threshold criterion, *Importance to Measure and Report*, met? (1a & 1b must be rated moderate or high and 1c yes) Yes No Provide rationale based on specific subcriteria:

For a new measure if the Committee votes NO, then STOP.

For a measure undergoing endorsement maintenance, if the Committee votes NO because of 1b. (no opportunity for improvement), it may be considered for continued endorsement and all criteria need to be evaluated.

2. RELIABILITY & VALIDITY - SCIENTIFIC ACCEPTABILITY OF MEASURE PROPERTIES

Extent to which the measure, <u>as specified</u>, produces consistent (reliable) and credible (valid) results about the quality of care when implemented. **(evaluation criteria)**

Measure testing must demonstrate adequate reliability and validity in order to be recommended for endorsement. Testing may be conducted for data elements and/or the computed measure score. Testing information and results should be entered in the appropriate field. Supplemental materials may be referenced or attached in item 2.1. See guidance on measure testing.

- **S.1 Measure Web Page** (In the future, NQF will require measure stewards to provide a URL link to a web page where current detailed specifications can be obtained). Do you have a web page where current detailed specifications for this measure can be obtained? Yes
- S.2 If yes, provide web page URL: http://www.facs.org/cancer/qualitymeasures.html
- 2a. RELIABILITY. Precise Specifications and Reliability Testing: H H M L L I
- 2a1. Precise Measure Specifications. (The measure specifications precise and unambiguous.)
- **2a1.1 Numerator Statement** (Brief, narrative description of the measure focus or what is being measured about the target population, e.g., cases from the target population with the target process, condition, event, or outcome): Hormone therapy is considered or administered within 1 year (365 days) of the date of diagnosis
- **2a1.2 Numerator Time Window** (*The time period in which the target process, condition, event, or outcome is eligible for inclusion*): 1 year (365 days)
- **2a1.3 Numerator Details** (All information required to identify and calculate the cases from the target population with the target process, condition, event, or outcome such as definitions, codes with descriptors, and/or specific data collection items/responses: Hormone Therapy [NAACCR Item#1400]=82-87 OR; Hormone Therapy [NAACCR Item#1400]=1, AND Date Hormone Therapy Started (NAACCR Item#710] <=365 days following Date of Diagnosis [NAACCR Item#340]
- 2a1.4 Denominator Statement (Brief, narrative description of the target population being measured):

Include if all of the following characteristics are identified:

Women

Age >=18 at time of diagnosis

Known or assumed to be first or only cancer diagnosis

Epithelial malignancy only

Primary tumors of the breast

AJCC T1c or Stage II or III

Primary tumor is estrogen receptor positive or progesterone receptor positive

All or part of 1st course of treatment performed at the reporting facility

Known to be alive within 1 year (365 days) of date of diagnosis

- **2a1.5 Target Population Category** (Check all the populations for which the measure is specified and tested if any): Adult/Elderly Care
- **2a1.6 Denominator Time Window** (*The time period in which cases are eligible for inclusion*):

Typically a 12 month, calendar year, time period

2a1.7 Denominator Details (All information required to identify and calculate the target population/denominator such as definitions, codes with descriptors, and/or specific data collection items/responses):

Sex [NAACCR Item#220]=2; CS Tumor Size [NAACCR Item#2800= 010 and AJCC pN [NAACCR Item#890]=0, OR AJCC pN [NAACCR Item#890]=1, 2, or 3; AND CS SSF1 (ERA) [NAACCR Item#2880]=010 or 030; AND CS SSF2 (PRA) [NAACCR Item#2890]=010 or 030; AND Surgical Procedure of the Primary Site [NAACCR Item#1290] = 20–90

2a1.8 Denominator Exclusions (Brief narrative description of exclusions from the target population):

Exclude, i f any of the following characteristics are identified:

Men

Under age 18 at time of diagnosis

Second or subsequent cancer diagnosis

Tumor not originating in the breast

Non-epithelial malignancies

Stage 0, in-situ tumor

AJCC T1mic, T1a, or T1b tumor

Stage IV, metastatic tumor

Primary tumor is estrogen receptor negative and progesterone receptor negative

None of 1st course therapy performed at reporting facility

Died within 1 year (365 days) of diagnosis

2a1.9 Denominator Exclusion Details (All information required to identify and calculate exclusions from the denominator such as definitions, codes with descriptors, and/or specific data collection items/responses):

See: http://www.facs.org/cancer/ncdb/cp3rv2-measurespecs-1211.pdf

2a1.10 Stratification Details/Variables (All information required to stratify the measure results including the stratification variables, codes with descriptors, definitions, and/or specific data collection items/responses):

No stratification applied

2a1.11 Risk Adjustment Type (Select type. Provide specifications for risk stratification in 2a1.10 and for statistical model in 2a1.13): No risk adjustment or risk stratification **2a1.12 If "Other," please describe**:

2a1.13 Statistical Risk Model and Variables (Name the statistical method - e.g., logistic regression and list all the risk factor variables. Note - risk model development should be addressed in 2b4.):

2a1.14-16 Detailed Risk Model Available at Web page URL (or attachment). Include coefficients, equations, codes with descriptors, definitions, and/or specific data collection items/responses. Attach documents only if they are not available on a webpage and keep attached file to 5 MB or less. NQF strongly prefers you make documents available at a Web page URL. Please supply login/password if needed:

2a1.17-18. Type of Score: Rate/proportion

2a1.19 Interpretation of Score (Classifies interpretation of score according to whether better quality is associated with a higher score, a lower score, a score falling within a defined interval, or a passing score): Better quality = Higher score

2a1.20 Calculation Algorithm/Measure Logic(Describe the calculation of the measure score as an ordered sequence of steps including identifying the target population; exclusions; cases meeting the target process, condition, event, or outcome; aggregating data; risk adjustment; etc.):

See: http://www.facs.org/cancer/ncdb/cp3rv2-measurespecs-1211.pdf

2a1.21-23 Calculation Algorithm/Measure Logic Diagram URL or attachment:

URL

http://www.facs.org/cancer/ncdb/cp3rv2-measurespecs-1211.pdf

2a1.24 Sampling (Survey) Methodology. If measure is based on a sample (or survey), provide instructions for obtaining the sample, conducting the survey and guidance on minimum sample size (response rate):

2a1.25 Data Source (Check all the sources for which the measure is specified and tested). If other, please describe:

Electronic Clinical Data : Registry, Paper Records
2a1.26 Data Source/Data Collection Instrument (Identify the specific data source/data collection instrument, e.g. name of database, clinical registry, collection instrument, etc.): Hospital cancer registry data, reported to the American College of Surgeons, Commission on Cancer, National Cancer Data Base
2a1.27-29 Data Source/data Collection Instrument Reference Web Page URL or Attachment: URL http://www.naaccr.org/StandardsandRegistryOperations/VolumeII.aspx
2a1.30-32 Data Dictionary/Code Table Web Page URL or Attachment: URL http://www.facs.org/cancer/coc/fordsmanual.html
2a1.33 Level of Analysis (Check the levels of analysis for which the measure is specified and tested): Facility
2a1.34-35 Care Setting (Check all the settings for which the measure is specified and tested): Hospital/Acute Care Facility
2a2. Reliability Testing. (Reliability testing was conducted with appropriate method, scope, and adequate demonstration of reliability.)
2a2.1 Data/Sample (Description of the data or sample including number of measured entities; number of patients; dates of data; if a sample, characteristics of the entities included): This measure has been implemented by the ACoS CoC since 2007 across all CoC-accredited cancer programs, and reports on approximately 65,200 cases per year to almost 1,400 cancer programs.
2a2.2 Analytic Method (Describe method of reliability testing & rationale): Cancer registry case records reported to the NCDB are reviewed annually, annualized hospital performance rates are provided back to CoC accredited cancer programs via the CoC's Cancer Program Practice Profile Report (CP3R) using the denominator and numerator criteria documented in response to items 2a1.3 and 2a1.7, respectively, in the Specifications section. (http://www.facs.org/cancer/ncdb/cp3r.html)
2a2.3 Testing Results (<i>Reliability statistics</i> , assessment of adequacy in the context of norms for the test conducted): The mean performance rates across all CoC-accredited cancer programs was 76.6 in 2007 and 77.1 in 2008. The two years available at the time of this writing. Cancer programs in the 75th percentile had performance rates of 95.8 and 96.9 in each respective year. Even with high aggregate performance rates demonstrated by programs room for improvment across the system of CoC-accredited programs remains, with 3.5% of programs with statistically low outlier performance rates (<15%). The SD of the distribution of performance rates for this measure is noticably greater than that of the other measures, in excess of 27%.
2b. VALIDITY. Validity, Testing, including all Threats to Validity: H M L I
2b1.1 Describe how the measure specifications (measure focus, target population, and exclusions) are consistent with the evidence cited in support of the measure focus (criterion 1c) and identify any differences from the evidence:
2b2. Validity Testing. (Validity testing was conducted with appropriate method, scope, and adequate demonstration of validity.)
2b2.1 Data/Sample (Description of the data or sample including number of measured entities; number of patients; dates of data; if a sample, characteristics of the entities included): See 2a2.1. This measure has been implemented across all CoC-accredited cancer programs and subject to local review by standing committies of these hospitals and site surveyors at the time of accreditation site visits.
2b2.2 Analytic Method (Describe method of validity testing and rationale; if face validity, describe systematic assessment): Performance rates are reviewed and discussed, randomly selected charts are reviewed by the site surveyor to ascertain the completness and validity of the data recorded in the local cancer registry and reported to the NCDB and included in the CP3R reporting application.

- **2b2.3 Testing Results** (Statistical results, assessment of adequacy in the context of norms for the test conducted; if face validity, describe results of systematic assessment):
- This measure has a high degree of user acceptability, the measure denominator and numerator are viewed by the clinical constituency within these cancer programs as valid and an appropriate reflection of the standard of care described in NCCN clinical guidelines.
- **POTENTIAL THREATS TO VALIDITY**. (All potential threats to validity were appropriately tested with adequate results.)
- **2b3. Measure Exclusions.** (Exclusions were supported by the clinical evidence in 1c or appropriately tested with results demonstrating the need to specify them.)
- **2b3.1 Data/Sample for analysis of exclusions** (Description of the data or sample including number of measured entities; number of patients; dates of data; if a sample, characteristics of the entities included):
- **2b3.2 Analytic Method** (Describe type of analysis and rationale for examining exclusions, including exclusion related to patient preference):
- 2b3.3 Results (Provide statistical results for analysis of exclusions, e.g., frequency, variability, sensitivity analyses):
- **2b4.** Risk Adjustment Strategy. (For outcome measures, adjustment for differences in case mix (severity) across measured entities was appropriately tested with adequate results.)
- **2b4.1 Data/Sample** (Description of the data or sample including number of measured entities; number of patients; dates of data; if a sample, characteristics of the entities included):
- **2b4.2 Analytic Method** (Describe methods and rationale for development and testing of risk model or risk stratification including selection of factors/variables):
- **2b4.3 Testing Results** (<u>Statistical risk model</u>: Provide quantitative assessment of relative contribution of model risk factors; risk model performance metrics including cross-validation discrimination and calibration statistics, calibration curve and risk decile plot, and assessment of adequacy in the context of norms for risk models. <u>Risk stratification</u>: Provide quantitative assessment of relationship of risk factors to the outcome and differences in outcomes among the strata):
- 2b4.4 If outcome or resource use measure is not risk adjusted, provide rationale and analyses to justify lack of adjustment:
- **2b5. Identification of Meaningful Differences in Performance**. (The performance measure scores were appropriately analyzed and discriminated meaningful differences in quality.)
- **2b5.1 Data/Sample** (Describe the data or sample including number of measured entities; number of patients; dates of data; if a sample, characteristics of the entities included):
- **2b5.2 Analytic Method** (Describe methods and rationale to identify statistically significant and practically/meaningfully differences in performance):
- **2b5.3 Results** (Provide measure performance results/scores, e.g., distribution by quartile, mean, median, SD, etc.; identification of statistically significant and meaningfully differences in performance):

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2b6. Comparability of Multiple Data Sources/Methods. (If specified for more than one data source, the various approaches result in comparable scores.)
2b6.1 Data/Sample (Describe the data or sample including number of measured entities; number of patients; dates of data; if a sample, characteristics of the entities included):
2b6.2 Analytic Method (Describe methods and rationale for testing comparability of scores produced by the different data sources specified in the measure):
2b6.3 Testing Results (Provide statistical results, e.g., correlation statistics, comparison of rankings; assessment of adequacy in the context of norms for the test conducted):
2c. Disparities in Care: H M L I NA (If applicable, the measure specifications allow identification of disparities.)
2c.1 If measure is stratified for disparities, provide stratified results (Scores by stratified categories/cohorts):
2c.2 If disparities have been reported/identified (e.g., in 1b), but measure is not specified to detect disparities, please
explain: This measure was not specified to report stratified performance rates, however the CoC's recently released (2011) "real clinical time" Rapid Quality Reporting System (RQRS) (http://www.facs.org/cancer/ncdb/rqrs.html) reports back measure-specific performance rates by a number of strata, eg. patient age, sex, ethnicity, insurance status, and area-based SES. RQRS hosts a prosective treatment alert system, and so performance rates are both high and consistant with clinical expectation, however room for potential improvment remains. In a comparative analysis of 16 NCI/NCCCP pilot sites using RQRS with a comparative group of 25 other CoC-accredited cancer programs also using RQRS revealed that at NCCCP cancer programs white patients more frequently received HT (83.2%) than did African-American women (78.7%); and Medicaid recipients less frequently (76.2%) received HT than insured patients (83.3%). Comparative rates from the 25 non-NCCCP programs were slightly lower across the board, however the patterns of disparate receipt of care were mirrored in this group of hospitals. Analysis from cases diagnosed 2008-2010.
2.1-2.3 Supplemental Testing Methodology Information:
Steering Committee: Overall, was the criterion, Scientific Acceptability of Measure Properties, met? (Reliability and Validity must be rated moderate or high) Yes No Provide rationale based on specific subcriteria:
If the Committee votes No, STOP
3. USABILITY
Extent to which intended audiences (e.g., consumers, purchasers, providers, policy makers) can understand the results of the measure and are likely to find them useful for decision making. (evaluation criteria)
C.1 Intended Purpose/ Use (Check all the purposes and/or uses for which the measure is intended): Public Reporting, Quality Improvement (Internal to the specific organization), Quality Improvement with Benchmarking (external benchmarking to multiple organizations), Regulatory and Accreditation Programs
3.1 Current Use (Check all that apply; for any that are checked, provide the specific program information in the following questions): Public Reporting, Regulatory and Accreditation Programs, Quality Improvement with Benchmarking (external benchmarking to multiple organizations), Quality Improvement (Internal to the specific organization)
3a. Usefulness for Public Reporting: H M L I

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3a.1. Use in Public Reporting - disclosure of performance results to the public at large (*If used in a public reporting program, provide name of program*(s), *locations, Web page URL*(s)). <u>If not publicly reported in a national or community program</u>, state the reason AND plans to achieve public reporting, potential reporting programs or commitments, and timeline, e.g., within 3 years of endorsement: **[For <u>Maintenance</u> –** *If not publicly reported, describe progress made toward achieving disclosure of performance results to the public at large and expected date for public reporting; provide rationale why continued endorsement should be considered.*]

This measure is currently in use by ACoS CoC, with performance rates reported back to >1,500 CoC accredited cancer programs since 2007. Over the past five years this measure has been made available primarily for the purposes of QI, however the CoC's 2012 Program Standards (http://www.facs.org/cancer/coc/cocprogramstandards2012.pdf) now include expected a minimum performance rate for this measure to be achieved and documented, as well as a commendation recognition for centers that publicly report clinical performance metrics and outcomes. While the CoC anticipates that programs will increasingly self-select to publicly report their own performance rates within the context of the communities they serve, a national public reporting program will require an external mandate (i.e. Federal requirements).

- **3a.2.Provide a rationale for why the measure performance results are meaningful, understandable, and useful for public reporting.** If usefulness was demonstrated (e.g., focus group, cognitive testing), describe the data, method, and results: This measure is based on level 1 clinical evidence. This measure and its specifications have been in use since 2007 though the CoC's CP3R on-line reporting tool (http://www.facs.org/cancer/ncdb/cp3r.html) and also included in the more recently released (2011) "real clinical time" RQRS on-line reporting tool (http://www.facs.org/cancer/ncdb/rqrs.html). This measure has been easily understood and accepted by the data collection, quality assessment/improvement, and clinical constituents of the >1,500 CoC accredited cancer programs.
- 3.2 Use for other Accountability Functions (payment, certification, accreditation). If used in a public accountability program, provide name of program(s), locations, Web page URL(s): This measure is currently being considered by the Centers for Medicare and Medicaid Services for inclusion in their public reporting project for PPS-Exempt Cancer Hospitals per the Cancer Hospital Quality Reporting Program (CH QRP) as defined in Section 3005 of the Patient Protection and Affordable Care Act (ACA).
- **3b.** Usefulness for Quality Improvement: H M L I (The measure is meaningful, understandable and useful for quality improvement.)

3b.1. Use in QI. If used in quality improvement program, provide name of program(s), locations, Web page URL(s): **[For Maintenance** – If not used for QI, indicate the reasons and describe progress toward using performance results for improvement].

See response to 3a.1 above.

3b.2. Provide rationale for why the measure performance results are meaningful, understandable, and useful for quality improvement. If usefulness was demonstrated (e.g., QI initiative), describe the data, method and results:

See response to 3a.2 above. In addition, this measure has application in the realm of quality improvement activities, allowing

See response to 3a.2 above. In addition, this measure has application in the realm of quality improvement activities, allowing cancer centers to assess and monitor local performance related to the coordination of care and clinical process which are potentially actionable

Overall, to what extent was the criterion, <i>Usability</i> , met?	H M L I
Provide rationale based on specific subcriteria:	

4. FEASIBILITY

Extent to which the required data are readily available, retrievable without undue burden, and can be implemented for performance measurement. (evaluation criteria)

- 4a. Data Generated as a Byproduct of Care Processes: H M L I
- 4a.1-2 How are the data elements needed to compute measure scores generated? (Check all that apply).

Data used in the measure are:

Abstracted from a record by someone other than person obtaining original information (e.g., chart abstraction for quality

Abstracted from a record by someone other than person obtaining original information (e.g., chart abstraction for quality measure or registry)

4b. Electronic Sources: H M L I
4b.1 Are the data elements needed for the measure as specified available electronically (Elements that are needed to compute measure scores are in defined, computer-readable fields): Some data elements are in electronic sources
4b.2 If ALL data elements are not from electronic sources, specify a credible, near-term path to electronic capture, OR provide a rationale for using other than electronic sources: The ACoS/CoC implementation of this measure is framed around the feasibility of data collection and reporting considerations. Cancer registries in the United States depend on a multitude of information sources in order to completely abstract case records and be in compliance with State, Federal and private sector accreditation requirements. There is continuing work within the cancer registry and surveillance community, lead largely by the CDC/NPCR program, to help prepare the registries for the universal implementation of EHRs, but until such a time presents itself, registry data will depend upon some level of human review and intervention to ensure data are complete and accurately recorded.
4c. Susceptibility to Inaccuracies, Errors, or Unintended Consequences: H M L I
4c.1 Identify susceptibility to inaccuracies, errors, or unintended consequences of the measurement identified during testing and/or operational use and strategies to prevent, minimize, or detect. If audited, provide results: This measure, as specified, is susceptable to under-reporting of the adjuvant hormone therapy component appearing in the measure numerator. Due to referal of services, access to patient clinical follow-up with radiation oncology may initally be limited or unavailble. However, CoC accredited programs have demonstrated through retrospective case and chart reviews that significant additional and accurate information regarding treatment provided to patients can be ascertained, resulting in higher and clinically more accurate reflections of the care provided or coordinated through thier centers. In addition, at the time of each CoC accreditation survey visit, a chart review of measure eligible cases is conducted on a random selection of as many as 25 cases to ensure the accuracy and validity of the clinical information (focusing on the fact of treatment, the timing of administration of adj. hormone therapy, and whether documentation of consultation and patient refusal ocurred) recorded in the registry, reported to the NCDB, and included in the CP3R reporting tool. Additionally, the CoC's 2012 Program Standards (http://www.facs.org/cancer/coc/cocprogramstandards2012.pdf) now require direct review and oversight of this measure and the data supporting the denominator and numerator be monitiord by an attending physician (Cancer Liaison Physician, CLP) on staff at the center on a quarterly basis.
4d. Data Collection Strategy/Implementation: H M L I
A.2 Please check if either of the following apply (regarding proprietary measures): 4d.1 Describe what you have learned/modified as a result of testing and/or operational use of the measure regarding data collection, availability of data, missing data, timing and frequency of data collection, sampling, patient confidentiality, time and cost of data collection, other feasibility/implementation issues (e.g., fees for use of proprietary measures): 1) The infrastructure to monitor compliance with this measure has been in place since 2005 to assess and feed-back to the >1500 Commission on Cancer accredited centers performance rates for this measure. CoC accredited cancer programs account for 70-80% of patients affected by this measure. This measure is currently reported to CoC accredited programs through the National Cancer Data Base (NCDB) using the Cancer Program Practice Profile Report (CP3R) web-based audit and feed-back reporting tool. The CP3R is generally described at: www.facs.org/cancer/ncdb/cp3roverview.pdf, and specifications for this measure are provided at: www.facs.org/cancer/ncdb/cp3rmeasurespecs.pdf. In addition, this measure is also reported to over 250 cancer programs participating in its "real clinical time" feedback reporting tool through its Rapid Quality Response System (RQRS). An overview of the RQRS is available at: www.facs.org/cancer/ncdb/qualitytools.html. Both of these reporting tools have been utilized in the cancer registry community and will not produce an undue burden on the data collection network. 2) The data for this measure are key elements already collected in all hospital registries. This measure has been reviewed using cancer registry data. The CoC data demonstrates variation in the measure. Registries have demonstrated the ability to identify gaps in data collection and to correctly identify therapy in the majority of cases. The measure is readily implemented. Overall, to what extent was the criterion, Feasibility, met? H M L I
Provide rationale based on specific subcriteria:
OVERALL SUITABILITY FOR ENDORSEMENT

Rationale:

If the Committee votes No. STOP.

If the Committee votes Yes, the final recommendation is contingent on comparison to related and competing measures.

5. COMPARISON TO RELATED AND COMPETING MEASURES

If a measure meets the above criteria and there are endorsed or new related measures (either the same measure focus or the same target population) or competing measures (both the same measure focus and the same target population), the measures are compared to address harmonization and/or selection of the best measure before a final recommendation is made.

5.1 If there are related measures (either same measure focus or target population) or competing measures (both the same measure focus and same target population), list the NQF # and title of all related and/or competing measures:

5a. Harmonization

- 5a.1 If this measure has EITHER the same measure focus OR the same target population as <u>NQF-endorsed measure(s)</u>: Are the measure specifications completely harmonized?
- 5a.2 If the measure specifications are not completely harmonized, identify the differences, rationale, and impact on interpretability and data collection burden:

5b. Competing Measure(s)

5b.1 If this measure has both the same measure focus and the same target population as NQF-endorsed measure(s): Describe why this measure is superior to competing measures (e.g., a more valid or efficient way to measure quality); OR provide a rationale for the additive value of endorsing an additional measure. (Provide analyses when possible):

CONTACT INFORMATION

- **Co.1 Measure Steward (Intellectual Property Owner):** Commission on Cancer, American College of Surgeons, 633 N Saint Clair Street, Chicago, Illinois, 60611-3211
- Co.2 Point of Contact: Andrew, Stewart, MA, astewart@facs.org, 312-202-5285-
- **Co.3 Measure Developer if different from Measure Steward:** Commission on Cancer, American College of Surgeons, 633 N Saint Clair Street, Chicago, Illinois, 60611-3211
- Co.4 Point of Contact: Andrew, Stewart, MA, astewart@facs.org, 312-202--
- **Co.5 Submitter:** Andrew, Stewart, MA, astewart@facs.org, 312-202-5285-, Commission on Cancer, American College of Surgeons

Co.6 Additional organizations that sponsored/participated in measure development:

This measure was harmonized with measure development efforts coordinated between the American Society of Clinical Oncology (ASCO) and The National Cancer Care Network (NCCN) prior to NQF's formal review and consideration of measures submitted in response to its call for measures in 2005 as part of it's Quality of Cancer Care Performance Measures project (Desch CE, McNiff KK, Schneider EC, et al. American Society of Clinical Oncology / National Comprehensive Cancer Network Quality Measures. J Clin Oncol 2008;26:3631-3637). The measure, as specified here, has not been altered or changed in any way since harmonization of specifications between these three organizations occured in the fall of 2006.

Co.7 Public Contact: Andrew, Stewart, MA, astewart@facs.org, 312-202-5285-, Commission on Cancer, American College of Surgeons

ADDITIONAL INFORMATION

Workgroup/Expert Panel involved in measure development

Ad.1 Provide a list of sponsoring organizations and workgroup/panel members' names and organizations. Describe the members' role in measure development.

Christopher Pezzi, MD, FACS (Abington Memorial Hospital, Abington PA); Lawrence Shulman, MD (Dana Farber Cancer Institute, Boston MA); Stephen Edge, MD, FACS (Roswell Park Cancer Institute, Buffalo NY); David Winchester, MD, FACS (Northshore University Health System, Evanston IL); Diana Dickson-Witmer, MD, FACS (Chistiana Health Care System, Wilmington DE); Kelly Hunt, MD, FACS (MD Anderson Cancer Center, Houston TX); Marilyn Leitch, MD, FACS (University of Texas – Southwestern, Dallas TX); Katherine Virgo, PhD (American Cancer Society)

This panel meets at least once a calendar quarter to review quality measures currently supported and implemented by the ACoS Commission on Caner and to invstigate and consider/review development of possible new measures.

Ad.2 If adapted, provide title of original measure, NQF # if endorsed, and measure steward. Briefly describe the reasons for adapting the original measure and any work with the original measure steward:

Measure Developer/Steward Updates and Ongoing Maintenance

Ad.3 Year the measure was first released: 2007

Ad.4 Month and Year of most recent revision: 06, 2007

Ad.5 What is your frequency for review/update of this measure? Annual

Ad.6 When is the next scheduled review/update for this measure? 05, 2012

Ad.7 Copyright statement:

Ad.8 Disclaimers:

Ad.9 Additional Information/Comments:

Date of Submission (MM/DD/YY): 10/03/2011