TO: Cardiovascular Endorsement Maintenance Steering Committee

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SU: NQF policy on "reserve" measures

DA: May 31, 2011

At the recent meetings, the Steering Committee recommended that three measures be retired because current performance is very high and there seems to be little opportunity for improved performance. These measures have been successful in driving improvement in performance, but concerns have been raised about possible decline in performance if measurement is discontinued. The NQF Board of Directors has recently approved a policy for a special designation -- "reserve" measures -- that meet the following criteria:

A subset of measures evaluated during Endorsement Maintenance that have consistently demonstrated highest levels of performance may maintain endorsement with a special designation in the portfolio if they still address critical aspects of quality that require reinforcement <u>and</u> completely meet all other endorsement criteria. This category should be used by exception only for those measures that receive high ratings on evidence, validity, and reliability.

"Reserve" status would apply only to highly credible, reliable, and valid measures that have high levels of performance due to quality improvement actions (often facilitated or motivated through public reporting and pay-for-performance programs) rather than problems with the measure specifications. The key issue is the opportunity cost associated with measuring processes at high levels of performance—rather than focusing on areas where there is really a gap in care. NQF does not want to move into reserve status measures that are really not needed because they are too far from the desired outcome.

CONSIDERATIONS FOR RESERVE ENDORSEMENT STATUS

The data provided in measure submissions are frequently limited. In determining whether there is further opportunity for improvement, the Steering Committee should review data on representation, variation, and disparities:

- What is the representativeness of the data, i.e., is it national data from a majority of hospitals or is the data from a single state or payer group?
- What is the range in performance, particularly in the lowest decile or quartile?
- What is the performance among possible disparities population(s)?
- Is the measure performance data indicating high levels of performance consistent with other evidence (epidemiologic or research)?

• What is the size of the population at risk, effectiveness of an intervention, and consequences of a quality problem (e.g., even modest variation would be sufficient justification for some highly effective, potentially life-saving treatments)?

Other considerations include:

- Is this a measure with strong, direct evidence of a link to a desired health outcome? Generally measures more distal to the desired outcome with only indirect evidence would not qualify, e.g., assessment of blood pressure (BP) measurement rather than the BP value.
- Measures with a focus more distal to a desired outcome are not needed if there is a measure with a focus more proximal to the desired outcome (e.g., venous thromboembolism (VTE) prophylaxis ordered versus VTE prophylaxis administered).
- Is the measure needed if outcomes (i.e., mortality, readmission) of care are being measured?

MEASURES FOR POSSIBLE RESERVE ENDORSEMENT STATUS

The Steering Committee has recommended three measures that may be candidates for reserve endorsement status. The Committee voted that the following measures did not meet the *Importance to Measure and Report* criteria due to high performance and lack of opportunity for improvement:

- 0160 Beta blocker prescribed at discharge [for AMI] (CMS)
- 0142 Aspirin prescribed at discharge for AMI (CMS)
- 0135 Heart failure: Evaluation of left ventricular systolic dysfunction (CMS)

DATA ON OPPORTUNITY FOR IMPROVEMENT

160 Beta blocker prescribed at discharge

National performance rates: 1Q10: 98.2% 4Q09 98.3% 3Q09 98.2% 2Q09 98.1% Representative: 1Q10: 105,436 acute myocardial infarction (AMI) patients, 3111 hospitals Range/variation: additional data on percentile distribution is attached

Disparities: see Centers for Medicare & Medicaid Services (CMS) disparities spreadsheets

142 Aspirin prescribed at discharge for AMI

National performance rates: 1Q10: 98.5% 4Q09 98.5% 3Q09 98.4% 2Q09 98.3%

Representative: 1Q10: 107,852 AMI patients, 3096 hospitals Range/variation: additional data on percentile distribution is attached Disparities: see CMS disparities spreadsheets

135 Evaluation of left ventricular systolic dysfunction

National performance rates: 2Q09: 97.2%, 3Q09: 97.3%, 4Q09: 97.6%, 1Q10: 97.8%

Representative: 1Q10: 212,985 HF patients, 4,087 hospitals

Range/variation: additional data on percentile distribution is attached

Disparities: see CMS disparities spreadsheets

COMPLETE MEASURE EVALUATION

ACTION ITEM: Since measures under consideration for reserve endorsement must meet all criteria, the Committee must rate the measures on all four endorsement criteria. Measures 160 and 142 were only evaluated on the Importance criteria. The measure submission forms are provided again for your reference. The preliminary ratings from the work group members are provided below:

C CITE ITTELC		a de la constante de la consta			
SCIENTIFIC					
ACCEPTABILTY					
2a Specifications	C=4	2a. The measure is precisely specified. 2b. Testing demonstrates			
2b Reliability	C=4	reliability. 2c. The TAP has accepted the face validity of the measure.			
2c Validity	C=4	2d. Exclusions are consistent with current ACC/AHA performance			
2d Exclusions	C=4	measures. 2e. Risk adjustment is not necessary. 2f. Meaningful			
2e Risk adjustment	C=1,	differences in rates are reported. 2g. Paper record abstraction and			
-	NA=3	extraction of the data from an electronic health record (EHR) have not			
2f Meaningful	C=4	been compared. 2g. Not addressed. 2h. In addition to the measure			
differences		steward's recommendation to control for the simultaneous effect of			
2g Comparability	C=1 M=1	other potential factors, this cardiac measure should be stratified by race			
· · · ·	N=2	and ethnicity, since the performance data suggest potential disparities.			
2h Disparities	C=2 P=2	2h. Preliminary analyses suggest that disparities are present, but			
		definitive analyses have not been performed.			
USABILITY					
3a Understandable	C=4	3a. The measure is currently in use. 3b. This measure's specifications			
3a Understandable3b Harmonization	C=4 C=1 P=1	are not harmonized with NQF #0613 measure specifications, as the			
	-	are not harmonized with NQF #0613 measure specifications, as the latter's measure population uses the outpatient setting and includes			
	C=1 P=1	are not harmonized with NQF #0613 measure specifications, as the latter's measure population uses the outpatient setting and includes patients diagnosed with MI at any time in the past. 3c. No other NQF			
3b Harmonization	C=1 P=1 N=1 NA=1	are not harmonized with NQF #0613 measure specifications, as the latter's measure population uses the outpatient setting and includes			
3b Harmonization	C=1 P=1 N=1 NA=1 C=2 N=1	are not harmonized with NQF #0613 measure specifications, as the latter's measure population uses the outpatient setting and includes patients diagnosed with MI at any time in the past. 3c. No other NQF measure addresses this target population. 3c. NQF #0071 is a more appropriate measure (long-term adherence versus in-hospital treatment)			
3b Harmonization 3c Added value	C=1 P=1 N=1 NA=1 C=2 N=1	are not harmonized with NQF #0613 measure specifications, as the latter's measure population uses the outpatient setting and includes patients diagnosed with MI at any time in the past. 3c. No other NQF measure addresses this target population. 3c. NQF #0071 is a more			
3b Harmonization	C=1 P=1 N=1 NA=1 C=2 N=1	are not harmonized with NQF #0613 measure specifications, as the latter's measure population uses the outpatient setting and includes patients diagnosed with MI at any time in the past. 3c. No other NQF measure addresses this target population. 3c. NQF #0071 is a more appropriate measure (long-term adherence versus in-hospital treatment)			
3b Harmonization 3c Added value	C=1 P=1 N=1 NA=1 C=2 N=1	 are not harmonized with NQF #0613 measure specifications, as the latter's measure population uses the outpatient setting and includes patients diagnosed with MI at any time in the past. 3c. No other NQF measure addresses this target population. 3c. NQF #0071 is a more appropriate measure (long-term adherence versus in-hospital treatment) and is a better reflection of appropriate clinical care. 4a. The data are generated during routine clinical care. 4b. The data 			
3b Harmonization 3c Added value FEASIBILITY	C=1 P=1 N=1 NA=1 C=2 N=1 NA=1	 are not harmonized with NQF #0613 measure specifications, as the latter's measure population uses the outpatient setting and includes patients diagnosed with MI at any time in the past. 3c. No other NQF measure addresses this target population. 3c. NQF #0071 is a more appropriate measure (long-term adherence versus in-hospital treatment) and is a better reflection of appropriate clinical care. 4a. The data are generated during routine clinical care. 4b. The data must be abstracted from paper records. 4c. Exclusions do not require 			
3b Harmonization 3c Added value FEASIBILITY 4a Data a by-product	C=1 P=1 N=1 NA=1 C=2 N=1 NA=1	 are not harmonized with NQF #0613 measure specifications, as the latter's measure population uses the outpatient setting and includes patients diagnosed with MI at any time in the past. 3c. No other NQF measure addresses this target population. 3c. NQF #0071 is a more appropriate measure (long-term adherence versus in-hospital treatment) and is a better reflection of appropriate clinical care. 4a. The data are generated during routine clinical care. 4b. The data 			
3b Harmonization 3c Added value FEASIBILITY 4a Data a by-product of care	C=1 P=1 N=1 NA=1 C=2 N=1 NA=1 C=4	 are not harmonized with NQF #0613 measure specifications, as the latter's measure population uses the outpatient setting and includes patients diagnosed with MI at any time in the past. 3c. No other NQF measure addresses this target population. 3c. NQF #0071 is a more appropriate measure (long-term adherence versus in-hospital treatment) and is a better reflection of appropriate clinical care. 4a. The data are generated during routine clinical care. 4b. The data must be abstracted from paper records. 4c. Exclusions do not require additional data. 4d. Efforts are underway to minimize errors of inclusion/exclusion. 4d. Monitoring of the use of "other reason" is 			
3b Harmonization 3c Added value FEASIBILITY 4a Data a by-product of care	C=1 P=1 N=1 NA=1 C=2 N=1 NA=1 C=4 C=2 P=1	 are not harmonized with NQF #0613 measure specifications, as the latter's measure population uses the outpatient setting and includes patients diagnosed with MI at any time in the past. 3c. No other NQF measure addresses this target population. 3c. NQF #0071 is a more appropriate measure (long-term adherence versus in-hospital treatment) and is a better reflection of appropriate clinical care. 4a. The data are generated during routine clinical care. 4b. The data must be abstracted from paper records. 4c. Exclusions do not require additional data. 4d. Efforts are underway to minimize errors of inclusion/exclusion. 4d. Monitoring of the use of "other reason" is important to guarantee that this category is used consistently and 			
3b Harmonization 3c Added value FEASIBILITY 4a Data a by-product of care 4b Electronic	C=1 P=1 N=1 NA=1 C=2 N=1 NA=1 C=4 C=2 P=1 N=1	 are not harmonized with NQF #0613 measure specifications, as the latter's measure population uses the outpatient setting and includes patients diagnosed with MI at any time in the past. 3c. No other NQF measure addresses this target population. 3c. NQF #0071 is a more appropriate measure (long-term adherence versus in-hospital treatment) and is a better reflection of appropriate clinical care. 4a. The data are generated during routine clinical care. 4b. The data must be abstracted from paper records. 4c. Exclusions do not require additional data. 4d. Efforts are underway to minimize errors of inclusion/exclusion. 4d. Monitoring of the use of "other reason" is 			

160 Beta blocker prescribed at discharge (CMS)

0142 Aspirin prescribed at discharge (CMS)

SCIENTIFIC				
ACCEPTABILTY				
2a Specifications	C=3	2f. National performance rate has stayed between 98.3% and 98.5%		
2b Reliability	C=3	from 2Q09-1Q10. No data offered on different regions, providers. It is		
2c Validity	C=3	possible that this is such a widely used, accepted metric that the		
2d Exclusions	C=1 P=2	differences are not great but that this measure pushes performance. 2h.		
2e Risk adjustment	C=1,	Univariate analyses suggest potential disparities, rates range from		
	NA=2	96.5% for Hispanic/Latinos, to 97.4% for African Americans, to 98.5		
2f Meaningful	C=1 M=2	for White/Caucasians to 98.6% for native Americans. To date,		
differences		stratification analysis has not been performed. Further analyses need to		
2g Comparability	M=2	control for other potential confounding factors.		
	NA=1	The listed performance rates of 98.5% call into question the purpose of		
2h Disparities	N=2	this measure in 2011. Do the trivial differences in rates justify the		
	NA=1	expense of data collection for this measure? Also given the need to		
		report the same thing for other patient subsets, should this measure be		
		subsumed under other reported measures?		
USABILITY				
3a Understandable	C=1 P=1	3a. Hospital Inpatient Quality Reporting Program—measures can be		
	N=1	used by individual hospitals for internal quality improvement. Results		
3b Harmonization	N=3	not available. Used successfully in hospital inpatient quality reporting		
3c Added value	C=1 P=1	programs. 3b. Not harmonized with NQF #0631, which evaluates		
	M=1	primarily patients in the outpatient setting. 3c. No NQF endorsed		
		measures with same topic and target population. The reported minimal		
		differences in rates do not seem to allow for meaningful public		
		reporting. Likely that the measure has accomplished its goal and		
		question its ongoing use. Disagree that there are no other similar		
		measures given the PCI, ischemic vascular disease measures which		
		have large overlap.		
FEASIBILITY				
4a Data a by-product	C=3	4b All the data elements are not presently available in an electronic		
of care	~	health record, but retooling work with the Dept of Health and Human		
4b Electronic	C=1 P=1	Services (HHS) is expected to be completed in 2011. 4c/4d. There are		
	M=1	important exclusions that are common: allergy, bleeding diathesis,		
4c Exclusions	C=2 P=2	concomitant therapy with other anti-thrombotics/ anticoagulants that do		
4d Inaccuracies	C=1 P=2	require additional data sources and are not always easy to		
4e Implementation	C=2 P=1	retrieve/document. This can affect the accuracy of the measure and lead		
		to errors. Exclusions are varied and in the past "false exclusions"		
		were relatively common. Changes in the measure such that patients		
		prescribed the medication stayed in the measure attenuated this		
		problem. Data elements in the measure are closely tracked to see if		
		problems arise. 4e. The frequency of questions submitted by abstractors		
		pertaining to aspirin prescription and No Aspirin at discharge amounted		
		to only 3.3% during close tracking of the data elements.		

Measure 135 was evaluated by the Committee at the April 8, 2011 meeting:

0135 Evaluation of Left ventricular systolic function (LVSF)

Percentage of heart failure patients with documentation in the hospital record that left ventricular systolic (LVS) function was evaluated before arrival, during hospitalization, or is planned for after discharge. (CMS)

Committee evaluation: IMPORTANCE: Yes - 15, No - 3 SCIENTIFIC ACCEPTABILTY: C=7, P=6, M=5, N=0 USABILTY: C= 5, P=10, M=4, N=0 FEASIBILTY: C= 5, P=8, M=6, N=0 Meets criteria: Yes - 5 No-13

Discussion: Current performance very high. Concern with misinterpretation of measure so that testing is done at every hospitalization which is not required by the measure. Unintended consequence may be to encourage overuse.

ACTION ITEM: After consideration of the criteria for inactive endorsement, the Steering Committee will vote on a final recommendation for all three measures:

- Continue endorsement
- Reserve endorsement
- Remove endorsement