

NATIONAL QUALITY FORUM

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CHILD HEALTH QUALITY MEASURES STEERING  
COMMITTEE

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TUESDAY  
NOVEMBER 9, 2010

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The Steering Committee met at the National Quality Forum, Suite 600 North, 601 13th Street, N.W., Washington, D.C., at 8:00 a.m., Thomas McInerny and Marina Weiss, Co-Chairs, presiding.

PRESENT:

THOMAS McINERNY, MD, Co-Chair  
MARINA WEISS, PhD, Co-Chair  
MARTHA BERGREN, RN, DNS, NCSN, National  
Association of School Nurses  
SARAH BROWN, MSPH, The National Campaign to  
Prevent Teen and Unplanned Pregnancy  
CARROLL CARLSON, RN, BSN, Group Health  
Cooperative of Eau Claire  
ALEX CHEN, MD, MS, Keck School of Medicine  
DAVID CLARKE, MD, The Children's Hospital  
JAMES GLAUBER, MD, MPH, Neighborhood Health  
Plan  
MARGARITA HURTADO, PhD, MHS, American  
Institutes for Research  
KATHY JENKINS, MD, MPH, Children's Hospital  
Boston  
PHILLIP KIBORT, MD, MBA, Children's Hospitals  
and Clinics of Minnesota  
ALLAN LIEBERTHAL, MD, FAAP, Southern  
California Permanente Medical Group  
MARLENE MILLER, MD, Msc, Johns Hopkins Health  
System

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DONNA PERSAUD, MD, Parkland Health and  
Hospital System  
JAMES QUIRK, MD, PhD, Stony Brook University  
Medical Center  
GOUTHAM RAO, MD, University of Pittsburgh  
School of Medicine  
ELLEN SCHWALENSTOCKER, PhD, MBA, National  
Association of Children's Hospitals and  
Related Institutions\*  
BONNIE ZIMA, MD, MPH, UCLA Dept of Psychiatry

NQF STAFF:

HEIDI BOSSLEY, MSN, MBA  
HELEN BURSTIN, MD, MPH  
EMMA NOCHOMOVITZ, MPH  
EUGENE CUNNINGHAM  
SUZANNE THEBERGE, MPH  
REVA WINKLER, MD, MPH

ALSO PRESENT:

SEPHEEN BYRON, MHS, National Committee for  
Quality Assurance  
KERRY CHRISTIANSON, American Medical  
Association\*  
MARY McINTYRE, MD, Alabama Medicaid Agency\*  
KAREN PIERCE, MD, AMA Physician Consortium for  
Performance Improvement\*  
JANELLE PLUMMER, MD, American Academy of  
Pediatrics\*  
COLLEEN REULAND, MS, Child and Adolescent  
Health Measurement Initiative\*  
SARAH SCHOLLE, MPH, DrPH, National Committee  
for Quality Assurance  
SAMANTHA TIERNEY, MPH, AMA Physician  
Consortium for Performance Improvement\*

\*Present via telephone

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T-A-B-L-E O-F C-O-N-T-E-N-T-S

Welcome, Recap of Day One .....  
5  
Thomas McInerny, MD (Co-Chair)  
Marina Weiss, PhD (Co-Chair)

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Mental Health

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(No vote taken.)

1364: Child and adolescent major depressive  
disorder: Diagnostic evaluation (American  
Medical Association) ..... 32  
voting ..... 44

1365: Child and adolescent major depressive  
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1406: Risky behavior screening (NCQA) ..... 60  
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Dental Health

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voting ..... 106

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Minnesota) ..... 115  
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P-R-O-C-E-E-D-I-N-G-S

8:08 a.m.

CO-CHAIR MCINERNEY: Welcome to the second day, and congratulations. We actually have reviewed 19 measures, and you have, I think at your place you have the list -- oh, we just have the list. We'll give you one.

But we did review 19 measures, with 21 to go, so we're almost halfway there.

And I think the pace definitely picked up a little bit in the afternoon, and hopefully we can continue that pace and get through the remainder of the measures by -- I believe we're scheduled to adjourn at 3:00, and we plan to do that.

So, we'll get started. We're going to start with the mental health measures.

If you look on your agenda, those are the measures on day two at 8:15 a.m. And we have -- we will be having some representatives from the American Medical

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1 Association on conference call for the two  
2 measures, their two measures, 1364 and 1365.

3 We're a little surprised that your  
4 NCQA representative isn't here yet.

5 Oh, there you are. I didn't see  
6 you, sorry. Good, glad our NCQA  
7 representative is here.

8 So let's start with 1394,  
9 depression screening.

10 DR. ZIMA: All right. Good  
11 morning. This actually is a process measure  
12 at the provider level. It describes actually  
13 two things, that depression screening by 13  
14 and 18.

15 However, I had some concerns about  
16 whether the description was consistent with  
17 the operational definition, because the  
18 denominator appears to be only for a visit  
19 within a one year window of the 13<sup>th</sup> or 18<sup>th</sup>  
20 birthday.

21 This seemed a little inconsistent  
22 with the text that said the target age range

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1 was six to thirteen and thirteen to eighteen,  
2 so I think that will need a little  
3 clarification.

4 Also, the act of screening is not  
5 operationalized, and the rationale for the  
6 importance of this measure is really estimates  
7 -- prevalence estimates of depression.

8 I also wanted to, it was a little  
9 bit of a query, because some of the citations  
10 supporting the high impact of these measures  
11 include two research papers on ADHD and  
12 conduct disorder, prevalence estimate of  
13 serious emotional disturbance, which actually  
14 is based more on a functioning measure,  
15 secondary data analysis of mental health  
16 services among children and teens.

17 However, they do cite the USPSTF  
18 recommendation that screening only applies  
19 actually to teens ages 12 to 18. And this  
20 concept is supported by the AFFP, Bright  
21 Futures, and Michigan Quality Improvement  
22 Consortium.

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1           The assumption, I think, within  
2 this measure is that improved detection will  
3 lead to improved care.

4           And I think, you know, over the  
5 last day we've had some discussion with other  
6 disorders of whether that's true and whether  
7 that fits the bar for a measure today.

8           I wondered whether improved  
9 detection really did lead to improved care,  
10 especially in privately insured behavior  
11 health carve-outs in Medicaid.

12           There was one study, however,  
13 cited, that showed that in a primary care  
14 practice about half of the teens that were  
15 detected got meds.

16           Reliability is not established,  
17 something that's been similar to some of the  
18 other NCQA measures proposed, and the validity  
19 is limited to face validity. Testing is not  
20 completed, so I wasn't sure if this was a  
21 time-limited measure, or --

22           DR. WINKLER: I think that we

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1 ought to consider whether you feel the measure  
2 has been adequately tested for reliability or  
3 validity, and if not, then it would be a  
4 problem.

5 DR. ZIMA: Okay. In general, I  
6 think that there's more evidence supporting  
7 screening teens, but not the younger children.

8 And again, I think we need some  
9 discussion maybe to make a look at this one-  
10 year window versus how it's described with the  
11 age range.

12 And I think that was really about  
13 it on this one.

14 CO-CHAIR MCINERNEY: I'm concerned  
15 about the value of screening under age 13,  
16 because I don't think there's really any  
17 evidence that that's going to be very helpful.

18 I certainly agree with the  
19 screening of the adolescents, since we've had  
20 the U.S. Preventative Services Task Force  
21 weigh in on that.

22 As far as treatment, I think

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1 there's a little bit of hope there, because  
2 the Academy of Pediatrics recently released  
3 the -- what we call the mental health tool  
4 kit, and also published an article in, I think  
5 it was June Pediatrics, about the primary care  
6 pediatrician being able to treat mild to  
7 moderate mental health disorders such as ADHD,  
8 depression, anxiety, et cetera, often, and  
9 hopefully, in collaboration with mental health  
10 professionals.

11 And the tool kit is designed to  
12 give the primary care pediatricians some of  
13 the tools necessary to do that.

14 And the Academy of Pediatrics is  
15 really trying to get more primary care  
16 pediatricians into taking care of children  
17 with mild to moderate mental health disorders,  
18 since we know there clearly are not enough  
19 psychiatrists, psychologists, et cetera, to go  
20 around.

21 And I certainly agree with you  
22 about these mental health carve-outs, managed

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1 care mental health carve-outs. They're poor  
2 for adults, and terrible for children.  
3 Hopefully we can keep those to a minimum.

4 So, I'm wondering, if we can sort  
5 of split this and decide on whether the 13 to  
6 18 year olds would be -- decide on that, and  
7 then take a separate decision regarding the  
8 under 13 year olds.

9 DR. ZIMA: These are -- NCQA puts  
10 these forth as two separate measures, so you  
11 can act on them independently.

12 CO-CHAIR MCINERNEY: Good. Thank  
13 you. Other comments?

14 DR. ZIMA: I'd like a second look  
15 on the denominator on that, and make sure that  
16 -- I was concerned about the inconsistency of  
17 the description of the measure, whether it was  
18 age range of 13 to -- even if we went with the  
19 other one through 18, or is it the one-year  
20 window of the child's 18<sup>th</sup> year? So, that's  
21 the first question.

22 And then I think the other

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1 decision point is, again, sort of a question  
2 of the bar for the committee about detection,  
3 is that enough? Because there is no follow-  
4 up.

5 DR. GLAUBER: I have a question  
6 about the denominator. I know we weren't too  
7 kind to well child visits yesterday, but if  
8 the unitive analysis is the practice, and all  
9 that we're requiring for the measure is that  
10 there be a face-to-face encounter, and knowing  
11 that a lot of adolescents don't make a yearly  
12 well visit, then a practice may be held  
13 accountable for screening when the child has  
14 only been in for an illness or injury-related  
15 visit, and that's not something that's  
16 realistic or feasible from a practice  
17 perspective.

18 DR. ZIMA: And remember also, the  
19 suicide screening is not operationalized, so I  
20 wasn't sure, you know, if I was to get this  
21 data, what that would mean. Is it just a  
22 question, or is it --

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1 DR. SCHOLLE: May I clarify the  
2 denominator choice?

3 DR. ZIMA: Thank you, yes.

4 DR. SCHOLLE: So, this is looking  
5 for children -- so the denominator are  
6 children who've reached the age -- the  
7 birthday, and had a visit -- during the  
8 measurement year, and had a visit in that 12-  
9 month period.

10 We're looking for screening to  
11 have happened within the measurement year or  
12 the year prior, so if it's children turning  
13 their 13<sup>th</sup> birthday, we're going back two  
14 years.

15 So they could have been screened  
16 during their age 11 and age 12. And we're  
17 looking at the Preventive Services Task Force  
18 recommendations, because we thought that that  
19 was consistent.

20 I thought the task force  
21 recommendations were age 12, so it would still  
22 work. But for the age 18 -- so it's by the

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1 18<sup>th</sup> birthday. So screening from age 16 and  
2 age 17, it had to happen before their 18<sup>th</sup>  
3 birthday.

4 And I did want to talk about the  
5 numerator, definition of what is screening.  
6 In our field test, we actually looked to see  
7 whether a standardized tool was used, and we  
8 want -- because we did want to require the use  
9 of a standardized tool.

10 However, what we found is that  
11 there were -- there was evidence in the chart  
12 that depression was screened for, but rarely  
13 were standardized tools used. And so -- and  
14 the rates would have been extremely low using  
15 a standardized tool.

16 So, for these measures, our  
17 measurement advisory panel, this and the other  
18 mental health measures, our measurement  
19 advisory panel said, let's focus on screening,  
20 because they could be using -- you know, the  
21 two-item screener for -- and that might not be  
22 documented as a standardized tool.

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1 DR. WINKLER: Question to bring  
2 up: if the folks from the outcomes committee  
3 recall, we evaluated the pediatric symptom  
4 checklist as an outcome measure, and as a  
5 change measure. So how do these all fit  
6 together, I think is a question to consider.

7 DR. ZIMA: I think just to  
8 clarify, the pediatric symptom checklist which  
9 the author was Mike Murphy and Mike Jelinek,  
10 the bottom line is that there's a lot more  
11 evidence that it improves detection of need  
12 for mental health services, but it was a time-  
13 limited endorsement because the data was not  
14 there to support it as an outcome measure.

15 DR. SCHOLLE: The Pediatric  
16 Symptom Checklist, the measures that were  
17 recommended by the U.S. Preventative Services  
18 Task Force were the PHQA and other measures.

19 So, and the Task Force recommended  
20 several different measures, and that's why we  
21 didn't tie this screening measure to a  
22 specific tool.

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1 DR. ZIMA: Yes, in all fairness,  
2 the Pediatric Symptom Checklist is not just  
3 for depression.

4 DR. JENKINS: I'm still a little  
5 confused about the screening method that  
6 you're advocating for through putting this  
7 measure forward.

8 There was another measure  
9 developer who faced the same challenge, where  
10 they wanted essentially to push people towards  
11 a high reliability, high validity tool, but  
12 not force people to choose specifically one.

13 So, they actually specified in the  
14 measure that it had to be a tool that met  
15 certain validity and reliability requirements,  
16 and then provided a list of possible tools  
17 that a practice could choose to use.

18 And I must say, I don't know if  
19 that can be done here, but I'd like that much  
20 better than to say that when we did our chart  
21 review, we couldn't determine that an adequate  
22 screening tool was used from the

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1 documentation. And our rates would be low if  
2 we had made that requirement.

3 So therefore, the measure we're  
4 putting forward didn't include that.

5 DR. ZIMA: Yes. And the approach  
6 of giving the psychiatrist or primary care  
7 provider an option of tools that are  
8 standardized is consistent with ADHD care.

9 CO-CHAIR MCINERNEY: Kathy, and I'd  
10 like to second that motion. We're moving  
11 toward that in all respects. In developmental  
12 screening, we're not accepting that the  
13 pediatrician asked a few questions about the  
14 child's development and assumed their  
15 development was normal.

16 We want them to be using a  
17 standardized test, and I think the same should  
18 be true for the depression screening as well.

19 DR.CHEN: I'm sorry, so if that's  
20 the case, maybe we should review this one  
21 after? Maybe come back with a list of  
22 standardized tools, so at least we'll know

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1 that we're comfortable with it or not.

2 DR. SCHOLLE: We actually have in  
3 our original field test specs, the  
4 standardized tool, and we have the language.  
5 So would you like for us to come back with a  
6 revised one that says, using a standardized  
7 tool?

8 DR. WINKLER: So, Sarah, when you  
9 were field-testing it, was part of the field  
10 test the use of the standardized tool,  
11 whichever one you've specified as -- and in  
12 the chart --

13 DR. SCHOLLE: We looked to see,  
14 was depression screening done at all? And  
15 then we looked to see, if yes, was a  
16 standardized tool used, and if yes, which  
17 standardized tool?

18 And we were looking specifically  
19 for the tools recommended by the U.S.  
20 Preventative Services Task Force, which are  
21 the PHQA and the BECK.

22 And I agree with you. It's very

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1 rare that those standardized tools are used,  
2 and that is a better way to do case finding.

3 DR. ZIMA: Just a question. In  
4 your field testing, do you recall kind of the  
5 distribution of the age range you had, because  
6 some of the standardized tools for the older  
7 child might be more appropriate than the  
8 younger. Or else you have a very interesting  
9 data set that we should --

10 DR. SCHOLLE: So, we did -- so our  
11 field test was focused on those children  
12 turning age 13 and children turning age 18.

13 I think Sepheen's going to try to  
14 pull up the results very quickly, but my  
15 recollection of the data is that the use of  
16 standardized tool was rare, even in these  
17 high-performing practices that were part of  
18 our field test and also on the health plan  
19 side. So it's just not used very much at all.

20 DR. WINKLER: So, essentially,  
21 Bonnie, the opportunity that this measure  
22 could bring is to push the use of standardized

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1 tools, and that would be a beneficial thing.

2 DR. ZIMA: Yes, I think that, you  
3 know, I think Sepheen actually taught me  
4 yesterday to emphasize baby steps.

5 And, as this little psychiatrist,  
6 I'll remain humble in my field today, how much  
7 we need to do.

8 DR. JENKINS: I think an  
9 alternative would be if you can justify why  
10 you think a high-performance metric should be  
11 based on a non-standardized tool. I guess we  
12 could consider that rationale.

13 But right now, it's hard to go all  
14 the way with that proposal.

15 DR. SCHOLLE: Our Measurement  
16 Advisory Panel felt that it was important to  
17 have discussion of this topic, and they wanted  
18 to recognize providers that were having a  
19 discussion of depression at all and  
20 documenting that in the children's chart.

21 So they wanted to -- they thought  
22 that was the first step, get people to start

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1 asking questions and documenting discussions  
2 of depression, and they felt like that was the  
3 most feasible first step.

4 And the rates that we're looking  
5 at in these high-performing practices, 17  
6 percent of the 13-year-olds there was  
7 documentation of standardized tool, 10 percent  
8 of the 18-year-olds.

9 But in the health plan population,  
10 we did a field test with health plans and with  
11 physician practices -- in the health plan, it  
12 was zero percent using a standardized tool.  
13 So we feel like that probably represents the  
14 real world.

15 So our panel, our Measurement  
16 Advisory Panel said, agreed with you on the  
17 developmental screening, standardized tool.  
18 And on depression, they said, you know, on  
19 mental health, there's really not a lot of  
20 consensus, and they felt like any kind of  
21 discussion and screening was the first step.  
22 That was the discussion.

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1 DR. LIEBERTHAL: Did the  
2 participants express any thoughts about why  
3 they were not using standardized tools, and  
4 would they be willing to use standardized  
5 tools?

6 MS. BYRON: So in our de-brief,  
7 with the participants, they cited the fact  
8 that this task force came out, it's brand new.  
9 And they felt like there wasn't a lot of  
10 consensus around what the tools were.

11 Even across states, actually, if  
12 you look at Medicaid, they use -- a lot of  
13 them actually have -- they provide lists of  
14 tools to their plans or to their physicians,  
15 and they're all different.

16 So some of them are actually tied  
17 to what their state is doing, and they can't  
18 necessarily -- you know, if the state's going  
19 to pay for a certain tool, that's what they're  
20 going to use.

21 And so they're getting a lot of  
22 different information coming in at them, and

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1 if a state is putting a tool on there that  
2 isn't necessarily on the list, and yet our  
3 measure has a different tool, they get caught  
4 in the middle. So that's why we wanted to  
5 just keep it to screening, documented.

6 DR. ZIMA: So, this is a very good  
7 conversation that I think -- and we don't want  
8 to be creating new measures in this process.

9 But I think what you're raising,  
10 which I'd be very interested in, is, as you  
11 look at the data, A, what percentage is there  
12 any documentation of even inquiry? You know,  
13 sort of a two-pronged provisional operational  
14 definition of screening. Was it asked? Yes,  
15 no? And then, B, was the tool used?

16 I think some of that  
17 operationalization there might be helpful to  
18 me to better interpret how this measure would  
19 be used.

20 MS. BROWN: A couple of questions.  
21 When you say, was it asked, I'm wondering if  
22 everybody knows what it is or what the exact

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1 question would be.

2 I mean, I think there's a lot of  
3 variation in how people approach these issues,  
4 and I'm inclined to feel better about this  
5 measure if there were some discussion of these  
6 standardized tools.

7 So the question is, I don't  
8 understand. Are you all saying that there are  
9 screening tools that are well-studied, well-  
10 recognized, and can be used?

11 Okay, how many? Are there 12 of  
12 them, two of them, 50?

13 So, four or five. And are they  
14 suited to adolescents 13 and -- okay, so, can  
15 the measure, again, we're not talking about  
16 what happens if they test positive or we're  
17 concerned. I understand it's a screening  
18 tool.

19 But what's wrong with specifying  
20 either what the leading question is and/or  
21 what the range of useful tools might be? That  
22 really nails it down.

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1 MS. BYRON: That actually is how  
2 we specified the measure when we took it to  
3 field test.

4 We did provide a list, and this is  
5 where we did get the feedback saying, your  
6 list is actually different from the list that  
7 we get from, you know, the Medicaid State  
8 Office, or your list is different from the  
9 list that we see here.

10 So I think there are a lot of  
11 tools out there. But when you start actually  
12 going through each tool, there isn't complete  
13 and total consensus around all of them.

14 MS. BROWN: Well, I understand.  
15 So what that means is that's a strong research  
16 recommendation, then, from this group, is to  
17 take the six leading state-mandated Medicaid  
18 ones and the four that the mental health --  
19 and try and come up with the two or three that  
20 have the best evidence of effectiveness.

21 DR. PERSAUD: I suspect also that  
22 what's going on is that there are tools out

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1 there that are covering a number of items  
2 beyond depression, and that's where you're  
3 getting into issues.

4 There are tools that have multiple  
5 constructs of things that they're screening  
6 for, or questions embedded in something else.

7 Like, we use a teen screen, and I  
8 think we have some depression questions  
9 embedded in there. You would not recognize  
10 right off that there was a depression screen  
11 in there.

12 And that's because of the issue  
13 of, for teenagers, trying to screen for all of  
14 these things as a practical issue.

15 DR. RAO: I think we're making the  
16 assumption that a tool is absolutely necessary  
17 to pick up depression, and that the tool has  
18 to be documented.

19 Now, I'm curious to see among your  
20 test practices, what did they find the  
21 prevalence of depression to be? Because if  
22 it's comparable to what we know nationally,

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1       however they're screening for depression,  
2       they're successful.       Do we have that  
3       information?

4                   DR. SCHOLLE:    We don't have data  
5       on the number who need it, although I believe  
6       it was a relatively small proportion.

7                   DR. ZIMA:    I'm having some empathy  
8       for the next meeting you guys are going to  
9       have.

10                   And it's a problem, because the  
11       prevalence estimates of childhood and  
12       adolescent depressions are often based on the  
13       DISC, which is diagnostic criteria from the  
14       DSM-IV.

15                   But when you're looking at some of  
16       these larger-based samples, they're more sort  
17       of generic screeners, like what Donna's  
18       talking about, which were really developed  
19       more to indicate need for mental health  
20       services, not a disorder.

21                   So, and just one other side, I put  
22       on the list, particularly if we're going to

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1 stick with some of these young kids, was there  
2 any discussion about the children's depression  
3 inventory?

4 DR. SCHOLLE: I believe we had  
5 that on a list. We really just didn't see any  
6 standardized tools being used. We did not  
7 bring to this committee a measure that we  
8 tried to do for age six for mental health  
9 symptoms where we had even greater problems.

10 DR. ZIMA: They actually are  
11 probably quite wise, given that the evidence  
12 for the diagnostic criteria is stronger for  
13 our teens than our younger children, and so I  
14 would imagine that was also a big issue in  
15 your measurement group.

16 CO-CHAIR MCINERNEY: I'd worry  
17 about just relying on, the physicians said, I  
18 screened, because I think the screening could  
19 be, you're not depressed now, are you? Good,  
20 okay, let's move on.

21 And then they write down, yes, I  
22 screened for depression.

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1                   Well, clearly, that's not the  
2 recommended way to screen for depression. And  
3 so I think we need to raise the bar and say we  
4 need to use one of the several standardized  
5 tests to make sure that it was done  
6 appropriately.

7                   DR. GLAUBER:     And just speaking  
8 from a state in which, you know, screening is  
9 mandated by court order and it's paid for,  
10 it's pretty remarkable the level of  
11 performance that can be achieved just from  
12 billing data for 96110 for kids, we're up to  
13 two-thirds of kids that are being screened by  
14 a validated instrument.

15                  DR. ZIMA:     Do you have any data,  
16 what's happening with the kids that are  
17 detected?

18                  DR.     GLAUBER:           Well, that's  
19 interesting, because we did our own internal  
20 analysis to see which -- what percentage of  
21 those kids, just based on billing data, wind  
22 up having a behavior health claim within 60

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1 days of screening, because you can use a  
2 modifier to indicate whether a behavior health  
3 need was identified. And less than ten  
4 percent of kids had a behavioral health visit.  
5 But that's just based on claim.

6 DR. JENKINS: I just want to make  
7 one last point, as sympathetic as Bonnie is,  
8 to me, there's a bright line between a  
9 question you might find of value to ask, like,  
10 your very generic data that you got, and what  
11 you're putting forward as an official, high-  
12 stakes performance metric.

13 And I think that you're at the  
14 rate right now with some of your core  
15 questions where it's really just a quality  
16 metric. You're saying people should go and  
17 collect this data and try to understand it and  
18 understand what it means to take a baby step.

19 But to me, there is a bright line  
20 above that that is where this conversation is  
21 at, just to clarify.

22 CO-CHAIR MCINERNEY: So I think

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1 everybody's in agreement that we will defer  
2 this and NCQA will come back with some  
3 indication of what they feel about the  
4 standardized testing instruments.

5 DR. SCHOLLE: Okay, thank you.

6 CO-CHAIR MCINERNY: Good. Thank  
7 you.

8 DR. WINKLER: Do we have anyone  
9 from AMAPCPI on the line?

10 MS. TIERNEY: Yes, this is Sam at  
11 the AMA PCPI.

12 DR. WINKLER: Great. Okay, then  
13 we're going to launch into the discussion of  
14 measure 1364, child and adolescent major  
15 depressive disorder, diagnostic evaluation.

16 Dr. Persaud?

17 DR. PERSAUD: So, this is a  
18 measure of looking to assess how well those  
19 who diagnose children with major depression  
20 use the DSM-IV criteria. And it's looking for  
21 the percentage of times when major depression  
22 is diagnosed in children 16 through 17 years

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1 of age, that at least five elements with  
2 duration of two weeks or longer, including  
3 either depressed mood, loss of interest in  
4 pleasure, how often those are used when the  
5 diagnosis is made.

6 This measure doesn't have, as put  
7 forward in the form, any contradictory  
8 information. It's recommended by evidence-  
9 based guidelines.

10 There is a significant performance  
11 gap in the data with most psychiatrists saying  
12 they either do not use the DSM-IV criteria or  
13 use it partially.

14 There's no disparity-sensitive  
15 information that I think this a measure that  
16 has potential for picking up disparities in  
17 care, and this proposes a population health  
18 measure.

19 But I think this is going to be,  
20 again, a quality metric and probably at the  
21 practitioner level of performance  
22 accountability credentialing metric

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1 potentially.

2                   It has been field tested. The  
3 field testing is face validity, and then some  
4 inter-rater reliability across checking  
5 charts, and just two things, I think, that are  
6 up for discussion, I hope Bonnie will have  
7 some interesting ideas on.

8                   One is, there was an algorithm  
9 used for the numerator, which is extracting  
10 how many symptoms were used from the DSM  
11 criteria, and I'm not sure I could follow all  
12 of it, so it would be good to hear the measure  
13 developer describe that.

14                   In one place in the form, I think  
15 they said it's administrative data, but I  
16 think that's going to be on the level of chart  
17 abstraction. And a flow sheet is mentioned,  
18 so I think there's some issues of feasibility  
19 of getting that numerator data. That's one.

20                   And the other is that ages six  
21 through seventeen are all lumped together in  
22 this measure, and I do want to hear thoughts

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1 about how closely the younger children fall  
2 into the DSM-IV criteria.

3 I think there are just two ways of  
4 looking at, one being stringent with that  
5 diagnosis, which is important, given the black  
6 box warning, et cetera, but the issue of  
7 sometimes having to stretch a little bit for  
8 the younger children because they don't  
9 completely meet criteria.

10 And those of us who voted were  
11 favorable to this measure.

12 DR. WINKLER: Just to clarify,  
13 Donna, this measure, if you look at this  
14 section, level of measurement of page seven,  
15 is for individual clinicians or groups. It's  
16 not population. It is at the practice level.

17 DR. PERSAUD: Okay, I missed that.

18 CO-CHAIR MCINERNEY: Bonnie?

19 DR. ZIMA: I think again, the most  
20 important question is whether adherence to  
21 this measure is related to any improved  
22 outcomes. And the data's not there. I mean,

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1 it may not be a fatal flaw, but I think it's  
2 still an issue that we wrestle with here.

3 The other thing, too, is just I  
4 actually am a little bit more skeptical about  
5 the strength of the evidence. I think the  
6 American Academy of Child and Adolescent  
7 Clinical Practice Guidelines have based more  
8 on literature review. It's not based on  
9 grading the quality of the scientific evidence  
10 like I think many of the people here are more  
11 familiar with.

12 And also, remember, the DSM-IV  
13 diagnosis of depression was initially created  
14 for adults, and then it sort of was adapted  
15 later for younger children with an  
16 irritability proviso.

17 But again, the scientific evidence  
18 for psychiatric disorders based on the DSM  
19 criteria is quite variable, depending on  
20 disorder, okay? So I think that tucked within  
21 this measure is an evidence base that is  
22 variable, okay, and that kind of is a little

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1 bit of an Achilles' heel.

2 Also, I agree with Donna that the  
3 feasibility of abstracting this numerator is  
4 difficult, and most people do not document all  
5 five diagnoses for two weeks, disorder and  
6 persistence of at least that one target  
7 symptom of depressed mood anhedonia for two  
8 weeks.

9 So that also raises to me a little  
10 concern about the feasibility.

11 I also didn't understand the  
12 assumption that the implementation results are  
13 expected to be applicable to this pediatric  
14 measure, and a question I had was, was there  
15 any information on how the adult version  
16 performed in the CMS PQRI program.

17 DR. WINKLER: Someone from PCPI  
18 want to address any of these issues?

19 MS. TIERNEY: Hi, this is Sam  
20 Tierney again. Thank you for your thoughtful  
21 comment.

22 There were a number of questions,

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1 so hopefully I can address some of them.

2 And I believe also we have one of  
3 the physician leaders on our work group on the  
4 line. Her name is Dr. Karen Pierce.

5 So, Dr. Pierce, if you have  
6 anything to add, please --

7 DR. PIERCE: I do. Can you guys  
8 hear me at all?

9 MS. TIERNEY: Yes, we can.

10 DR. PIERCE: Perfect. Here is my  
11 concern about the measure. You're right, it's  
12 a quality measure, because I think with the  
13 black box warning on the SSRIs and depression  
14 being a cause of significant morbidity in  
15 children, to put kids willy-nilly on meds  
16 without a clear diagnosis that meets criteria  
17 for depression, albeit adult criteria, it  
18 becomes a patient safety issue, as much as  
19 anything else.

20 So this is one of these quality  
21 measures where a clear diagnosis following  
22 guidelines is recommended because of the

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1 patient safety data.

2 DR. WINKLER: It would be helpful  
3 if you could speak up just a little bit more.  
4 We're catching little bits of what you're  
5 saying.

6 DR. PIERCE: Me? I know I have a  
7 terrible line. I'm thinking about calling in.  
8 Can you not hear me now, or is it better?

9 DR. WINKLER: It's about the same,  
10 actually.

11 DR. PIERCE: Could I just call in  
12 again? Why don't I do that?

13 DR. WINKLER: Okay.

14 DR. PIERCE: All right. Bye.

15 MS. TIERNEY: In the meantime,  
16 this is Sam Tierney. I -- just to address a  
17 couple of the other questions that I heard, I  
18 know there was some question as to the  
19 scientific evidence and the quality, I guess,  
20 of the American Academy of Child and  
21 Adolescent Psychiatry Guidelines.

22 And I do have a copy of the

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1 Guideline with me, and I'll just share with  
2 you how they say that it was developed.

3 They say that the treatment  
4 recommendations are based both on empirical  
5 evidence and clinical consensus and are graded  
6 according to the strength of the empirical and  
7 clinical support.

8 And they also offer a number of  
9 recommendations that are based and that are  
10 rated -- one of the recommendations that I  
11 think supports this measure is classified as a  
12 minimal standard.

13 And just for your information --  
14 and I think this might have been included in  
15 the form that we submitted as well, according  
16 to their criteria, minimal standards are  
17 applied to recommendations that are based on  
18 rigorous empirical evidence and/or  
19 overwhelming clinical consensus. Minimal  
20 standards apply more than 95 percent of the  
21 time.

22 So I don't know if that addresses

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1 those concerns.

2 Another question that I heard that  
3 was related to the feasibility of the measure,  
4 and I believe we submitted for you  
5 classifications for electronic health record  
6 extraction of the measure.

7 And many of the symptoms are  
8 available in codifiable field using SNOMED  
9 codings, so I think that from a feasibility  
10 standpoint we would be able to capture the  
11 data fairly easily.

12 CO-CHAIR MCINERNEY: Thank you very  
13 much. To me, this is analogous to what we've  
14 done with the ADHD guidelines a while back in  
15 that we did tighten and say that  
16 pediatricians, if they're going to diagnose  
17 ADHD, they should follow the appropriate DSM-  
18 IV criteria for that.

19 And I think that's an important  
20 first step so that again, you're not putting  
21 children on stimulant medication who don't  
22 meet the criteria for ADHD.

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1                   And so I think this is a very  
2 important step for children and adolescents  
3 with depression.

4                   I agree with you, Bonnie, that the  
5 holy grail of trying to get them all into  
6 treatment is not here, but at least we take  
7 this step first.

8                   And again, the idea of making sure  
9 that only those who meet the criteria for  
10 depression are being put on SSRIs or referred  
11 for counseling I think is a very good first  
12 step.

13                  DR. LIEBERTHAL:     Bonnie, do you  
14 know if the DSM-5 will be more child-specific?

15                  DR. ZIMA:     No.     And I actually  
16 went on the DSM-5 website to take a look and  
17 see whether there was going to be a big change  
18 in the diagnostic criteria, and I couldn't  
19 find that change.

20                  But that is a question, because  
21 the DSM-5 will be scheduled to be published in  
22 2013.

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1           So a question I had for the NQF  
2       staff was really, giving the timing of your  
3       process, what are the implications?

4           DR. WINKLER:       If an endorsed  
5       measure has embedded in something like DSM  
6       which will have an update, that would --  
7       especially any changes to the DSM criteria  
8       that would impact the measure, that would  
9       prompt an ad hoc review that we could do at  
10      any time, because there's been change either  
11     in the evidence or in the guidelines that  
12     exist.

13           And that's true for all of our  
14     measures, so that doesn't have to be an  
15     overriding consideration at this point.

16           DR.CHEN:       Can I just ask a  
17     question?       I'm not familiar with this  
18     literature myself, but does the evidence  
19     support as young as children six years of age?

20           I mean, I would be comfortable  
21     with this if it is sort of mid to older teens,  
22     but I'm just not sure the DSM-IV criteria, the

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1 categories would be fit for younger kids.

2 I think a lot of kids can present  
3 with depression in different ways,  
4 behaviorally or through anxiety or other  
5 disorders.

6 DR. PIERCE: Well, there is  
7 evidence -- this is Karen Pierce again. There  
8 is evidence --

9 DR. WINKLER: Can you speak up  
10 just a little bit? You're very faint.

11 DR. PIERCE: The concern is there  
12 is evidence that DSM depression criteria is  
13 valid in kids even as young as three and four,  
14 some of the preschool kids. So, it is a valid  
15 diagnosis if you are using the irritability  
16 criteria and using specific diagnostic  
17 criteria.

18 So it is important even in the  
19 younger kids, more importantly, to use the  
20 diagnostic criteria so it's not over-  
21 diagnosed.

22 CO-CHAIR MCINERNEY: All right. If

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1 there are no more questions, I guess we can  
2 take a vote?

3 DR. WINKLER: All right. So do we  
4 have any of the committee members on the  
5 phone?

6 DR. SCHWALENSTOCKER: Reva, this  
7 is Ellen.

8 DR. WINKLER: Ellen, I think I  
9 heard you, but you are so faint.

10 DR. SCHWALENSTOCKER: Yes, I'm  
11 here.

12 DR. WINKLER: Okay, great.  
13 Thanks.

14 Okay, so for the committee, how  
15 many feel that this measure 1364 meets the  
16 importance criteria?

17 Ellen?

18 DR. SCHWALENSTOCKER: Yes.

19 DR. WINKLER: Okay. So that's 14.

20 Were there any no's? No.

21 In terms of scientific  
22 acceptability, how many feel that it meets the

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1 criteria completely?

2 That's none.

3 How many partially?

4 Twelve.

5 Minimally?

6 There's one.

7 Ellen?

8 DR. SCHWALENSTOCKER: Partially.

9 DR. WINKLER: Okay. In terms of  
10 usability, how many feel it meets the criteria  
11 completely?

12 Two.

13 How many partially?

14 Ten.

15 Minimally?

16 One.

17 Ellen?

18 DR. SCHWALENSTOCKER: Partial.

19 DR. WINKLER: Thank you.

20 And feasibility, completely?

21 Okay.

22 Partially?

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1 Minimally?

2 And Ellen?

3 DR. SCHWALENSTOCKER: Partial.

4 DR. WINKLER: Okay. Thank you.

5 All right. In terms of recommendation for  
6 endorsement, how many want to -- yes to  
7 recommend the measure?

8 How many do not want to recommend  
9 the measure?

10 Are there any abstentions?

11 Ellen?

12 DR. SCHWALENSTOCKER: I would  
13 recommend endorsement.

14 DR. WINKLER: Okay. All right.

15 So it's 11 yes, 2 no, one abstention.

16 Okay. So I guess the next measure  
17 to discuss is also from the AMA PCPI, 1365,  
18 child and adolescent major depressive  
19 disorders, suicide risk assessment.

20 Dr. Zima?

21 DR. ZIMA: All right. This, too,  
22 is a process measure at the provider level.

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1 It assesses the percentage visits of patients  
2 ages six to seventeen who have a clinical  
3 diagnosis of major depression and have had an  
4 assessment of suicide risk.

5 The main rationale for the  
6 importance of the measures is that they argue  
7 that major depression is prevalent and that  
8 suicide is the third-leading cause of death  
9 among teens.

10 The citations supporting the  
11 opportunity for improvement, however, appear  
12 to be based on adult samples, and I think the  
13 strongest argument for this measure is that  
14 suicide is a bad thing.

15 Its scientific acceptability is  
16 that it does not provide evidence for  
17 assessing how actual -- how assessing the risk  
18 reduces the risk of suicide. However, we're  
19 always taught clinically that you're supposed  
20 to do that.

21 However, like some other measures  
22 I think we've discussed, this measure, too,

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1 specifies something that you're expected to do  
2 clinically, and frankly, you would fail your  
3 boards in psychiatry if you didn't.

4 The method for rating the strength  
5 of the recommendation did not rate the quality  
6 of the scientific evidence. And again, just  
7 like the earlier -- indicated that we talked  
8 about it, it was really based more on clinical  
9 consensus where the academy -- that it's  
10 something that you should do.

11 I think the assessment for suicide  
12 risk is not operationalized in this measure.  
13 And again, I'm not sure whether that's  
14 something that people feel strongly that there  
15 should be in there, or how you would interpret  
16 screening for suicide risk.

17 The denominator only includes  
18 youth that have a clinical depression, so I  
19 think it's important that in this measure,  
20 screening for suicide risk would be dependent  
21 on first detection of major depression in the  
22 child.

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1           As far as reliability, it appears  
2           that the testing that's noted is not really  
3           directly related to this process measure.  
4           Validity is based only on face validity.  
5           There's no information provided for risk  
6           adjustment.

7           And again, this might be again, I  
8           understand, premature for the field, but just  
9           to keep in mind that the clinical risk of  
10          suicide is much higher among older youth and  
11          also other risk factors for suicide that you  
12          would take into account confound with age.

13          So things like substance abuse and  
14          access to weapons, driving a car, would all be  
15          confounded with age. So I think it needs to  
16          be said.

17          As far as unintended consequences,  
18          just one concern was if we detect suicidal  
19          ideation but this measure does not require any  
20          follow-up, what do we do with that?

21          What are the legal implications  
22          for a physician that documents -- and that's a

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1 very thorny issue, I understand. But what  
2 would be the reporting duties of the physician  
3 if he or she documented that in the record?

4 And then I think, again, the  
5 rationale for the implementation of this  
6 measure is based on the adult version, and at  
7 least here we had some data that among adults,  
8 the percentage was 81 percent in 2008.

9 DR. WINKLER: Any other comments  
10 from any other committee members?

11 Does anyone from PCPI want to make  
12 any comments in response to Dr. Zima?

13 DR. PIERCE: Other than her  
14 comments, this is Karen Pierce again.

15 DR. WINKLER: Okay. All right.

16 DR. LIEBERTHAL: It was mentioned  
17 that the assessment of suicide risk wasn't  
18 operationalized. I assume that means that  
19 there were no guidance as far as structured  
20 evaluation. So what constitutes an assessment  
21 for suicide risk in a general provider's  
22 office?

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1 DR. PIERCE: I think even asking,  
2 it turns out, if you look -- this is Karen  
3 Pierce -- if you look at data from ER samples,  
4 if a kid shows up in the ER, is suicidal,  
5 parents, doctors, and kids all know that  
6 they're suicidal, two weeks later, you  
7 evaluate the kid.

8 The kid is still actively  
9 suicidal, but often the doctor and the parent  
10 do not know because the kid is looking better.

11 So this is a safety measure,  
12 you're right. There is not much evidence, but  
13 the evidence that when kids start looking  
14 quote "better," they're often at higher risk.

15 And it needs to be just alert and  
16 above, because most suicides, a patient has  
17 seen a mental health provider within the last  
18 three weeks and has not been queried about  
19 their safety.

20 DR. JENKINS: I have a clarifying  
21 question, then. Is this measure intended to  
22 be used by the psychiatrist or by the

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1       pediatricians or by any health care encounter  
2       that I guess that a child with major  
3       depression has?

4                       I'm a little confused about it.  
5       And I did think there were some validated  
6       instruments, for example, with ER encounters  
7       and inpatient encounters about screening tools  
8       for assessment of suicidal ideation. I don't  
9       know if that's what you're referring here to  
10      or to something different than that.

11                      MS. TIERNEY: This is Sam Tierney.  
12      I would say that this measure is broadly  
13      applicable to any physician caring for  
14      patients, child and adolescents with major  
15      depression. It would apply to primary care  
16      physicians as well as psychiatrists, and  
17      others treating these patients.

18                      MS. BROWN: This is Sarah Brown.  
19      I'm still confused, though, about what the  
20      question is, or what the tool is. Is this any  
21      question, or a set of questions? What  
22      actually is -- what is somebody supposed to

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1 do? What is a provider supposed to do?

2 DR. PIERCE: You're correct.  
3 They're supposed to just ask. There is not a  
4 tool.

5 DR. WINKLER: We can't hear you.  
6 Can you speak up?

7 DR. PIERCE: You're right. There  
8 is not a tool. There is one suicide tool,  
9 David Shaffer's tool, which has been  
10 validated, and is a nice questionnaire. And  
11 his data suggests that's good.

12 But this measure does not  
13 recommend a tool at this point. It's right  
14 now a clinical discussion.

15 DR. JENKINS: Just to make a  
16 point, we just had another measure which was  
17 in the same situation. And I certainly saw it  
18 at this level. It sounds more like a quality  
19 metric than a performance measure, because  
20 it's not well specified or well validated.

21 MS. TIERNEY: This is Sam. If I  
22 could just add -- I mean, I think the work

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1 group plus the type of assessment brought on  
2 purpose, you know, this is required at every  
3 visit.

4 And so the specific type and  
5 magnitude of the assessment required by the  
6 measure is intended to be at the discretion of  
7 the individual clinician and should be  
8 specific to the needs of the patient.

9 So maybe at an initial visit, you  
10 might do a different type of assessment than  
11 you would when a patient's receiving ongoing  
12 treatment.

13 Or as Dr. Pierce mentioned, if a  
14 patient's gone to the ER, you might want to do  
15 a different assessment subsequent to that  
16 visit to the ER.

17 So I think on purpose the measure  
18 is left broad, because it really should vary  
19 and be specific to the needs of the patient at  
20 that time.

21 DR. WINKLER: This is Reva.  
22 Question. You have indicated that these are -

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1 - you have EHR specs for this particular  
2 measure. Is there a particular location this  
3 information is going to reside in an EHR?  
4 Because with it sort of vague like that, it  
5 seems like it might be not necessarily found  
6 in the same place in an EHR. Have you further  
7 specified where the data will reside?

8 MS. CHRISTIANSEN: Hi. This is  
9 Kerry Christiansen from the AMA, and I will be  
10 happy to address that.

11 Along with many of our measures,  
12 the way you document information in the  
13 electronic health record does definitely  
14 affect the feasibility of it. But we feel it  
15 is possible to design the way you do your  
16 documentation around this to be able to  
17 collect the data in a standardized way.

18 Does that kind of answer the  
19 question? The feasibility is dependent on the  
20 design of your electronic health record.

21 DR. JENKINS: Is this a time-  
22 limited question, or is this a full

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1 endorsement question?

2 DR. WINKLER: I think they said  
3 that this has really not been tested, and I  
4 don't believe these EHR specs have been  
5 tested, so I would say it's a time-limited.

6 Okay. Is everybody ready to --  
7 Bonnie, did you have something more?

8 DR. ZIMA: You know, this is a  
9 difficult one for me, because in psychiatry,  
10 it's a medico-legal issue. You absolutely  
11 have to screen for suicide in any child that's  
12 depressed. I mean, it's -- so.

13 CO-CHAIR MCINERNEY: Okay, shall we  
14 vote?

15 DR. WINKLER: Okay. How many on  
16 the committee feel that measure 1365 meets the  
17 importance criteria? Yes?

18 Any no's?

19 Ellen?

20 DR. SCHWALENSTOCKER: Yes.

21 DR. WINKLER: Thank you.

22 All right, in terms of the

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1 scientific acceptability of the measure, how  
2 many feel it completely meets the criteria?

3 That's zero.

4 Partially meets the criteria?

5 One, two, three, four, five.

6 Minimally meets the criteria?

7 One, two, three, four, five.

8 Doesn't meet the criteria at all?

9 One, two, three.

10 Okay. And Ellen?

11 DR. SCHWALENSTOCKER: Minimally.

12 DR. WINKLER: Okay, great, thank  
13 you.

14 In terms of usability, how many  
15 feel it meets it completely?

16 That's zero.

17 Partially? One, two, three, four.

18 Minimally? One, two, three, four,  
19 five, six, seven.

20 Not at all? Two.

21 Ellen?

22 DR. SCHWALENSTOCKER: Partially.

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1 DR. WINKLER: Okay. And now  
2 feasibility. Completely meets criteria?

3 That's zero.

4 Partially meets criteria? That's  
5 zero.

6 Minimally meets criteria? One,  
7 two, three, four, five, six, seven, eight,  
8 nine, ten, eleven, twelve.

9 And not at all? I see one.

10 Ellen? I can't hear you.

11 DR. SCHWALENSTOCKER: I'm sorry.  
12 Minimally.

13 DR. WINKLER: Thank you. All  
14 right.

15 So, recommendation for  
16 endorsement, and this would be a time-limited  
17 endorsement, who says yes? One, two, three,  
18 four, five, six.

19 How many no's? One, two, three,  
20 four, five, six.

21 Any abstentions? One.

22 Ellen?

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1 DR. SCHWALENSTOCKER: I think yes  
2 for time-limited.

3 DR. WINKLER: Okay. Very close,  
4 with seven yeses, six no's. So I think  
5 there's some issues on this that -- are there  
6 any follow-up you would like, additional  
7 information from the developers? Anything?  
8 Because an almost split vote on the committee  
9 isn't an enthusiastic recommendation. So is  
10 there something that would help?

11 DR. LIEBERTHAL: More of a  
12 specification of what screening for suicide  
13 risk means.

14 DR. JENKINS: And more of a  
15 specification in certain settings and certain  
16 timeframes by certain individuals, as well as  
17 the issues about where -- about the  
18 documentation and how the audits will be done  
19 to make the assessment.

20 DR. ZIMA: Yes. It was a little  
21 premature, I think, given the evidence base  
22 and the lack of testing.

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1 DR. PERSAUD: I think if there's  
2 any other language or evidence that can be  
3 found out there to support its usefulness,  
4 that would help just a little bit more.

5 DR. WINKLER: So, folks from the  
6 PCPI, you've heard the concerns of the  
7 committee, and we will come back to you and  
8 see if you can address some of those, and then  
9 bring any additional information back to the  
10 committee for them to feel -- to look at.

11 CO-CHAIR MCINERNEY: Very good.  
12 Thank you very much.

13 DR. WINKLER: I guess the next one  
14 in this group is measure 1406, risky behavior  
15 screening. This is a measure from NCQA and  
16 Sarah Brown.

17 MS. BROWN: Well, I think this is  
18 familiar territory to us now, which is a very  
19 important set of issues. I mean, a lot of  
20 what ails adolescents or burdens adolescents  
21 is in the behavioral domain, so I don't think  
22 there's any question that the issues described

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1 here are very important.

2 I think our challenge is, what do  
3 we feel about the evidentiary base or the  
4 value of asking about these questions and  
5 counseling a referral? I think they probably  
6 vary enormously between the different issues  
7 described.

8 Just for example, there is a  
9 fairly well-developed although imperfect  
10 system for handling family planning and  
11 contraceptive services for sexually active  
12 adolescents. I'm not sure that applies to all  
13 the other issues that are described in this.

14 So I really -- without going into  
15 all the details, I think the question is,  
16 what's the yield here? It may be that we say,  
17 you know, this is such an important domain of  
18 issues that simply asking about it is again,  
19 one of those baby steps.

20 But I don't think we can say that  
21 there's a lot of evidence that particularly in  
22 busy practice settings, busy clinics, that

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1 sort of ripping through a list of asking young  
2 people about this stuff is going to make an  
3 enormous difference. And the materials freely  
4 admit that this is based on expert opinion and  
5 consensus and there are very few data cited.

6 I also, just because it's going to  
7 come up again and it already has, I'm still  
8 confused. I'd like the NCQA people to just  
9 explain to me what the 19 physician group  
10 survey was. That seems to be a basis for a  
11 number of these.

12 And I don't know if that's a large  
13 and robust group, highly varied, lots of  
14 diversity in patients and practitioners, or  
15 really what that is and how sort of strong a  
16 base of data that is for any of these  
17 measures. There are several others that have  
18 used this same system as well.

19 So, without going on, that's sort  
20 of the overview here.

21 DR. SCHOLLE: May I respond, then?

22 First, I'd like to clarify that there are

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1 four different rates here, just to make sure  
2 everybody understood that, that we're looking  
3 at screening for each of these four  
4 activities.

5 The physicians, we worked with the  
6 American Academy of Pediatrics Quality  
7 Improvement Network to identify physicians who  
8 are willing to participate in this field test,  
9 and the field test was designed to test the  
10 composite rather than individual indicators.

11 So each of the physicians had  
12 about 20 adolescents that were represented out  
13 of the 50 children that they conducted chart  
14 reviews.

15 So we gave them detailed  
16 specifications and asked them to provide us  
17 data on each of these measures. So we said,  
18 tell us how many -- identify a sample -- or a  
19 consecutive sample of children aged 13,  
20 starting from a particular date.

21 And then they told us, was there  
22 documentation of a discussion of these risky

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1 behavior topics.

2 Now, at the same time, we did  
3 field testing with five health plans. And  
4 actually, it's really -- those two sets of  
5 data that our panel was reviewing, because the  
6 physicians, we know are people that are part  
7 of a quality improvement network, probably  
8 more attuned to these kinds of topics.

9 The health plans we think probably  
10 represent the usual practice better in this.  
11 And the health plans, those were also chart  
12 reviews conducted by health plan staff.

13 The performance rates actually on  
14 this measure -- as in all the measures, the  
15 performance rates were higher among the  
16 physicians than among the health plan -- than  
17 the children that were identified through the  
18 health plan sampling.

19 But we tended to see, you know,  
20 that there was more discussion of these topics  
21 with -- or documented for the age 18 and the  
22 age 13, but probably about a 50 percent

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1 response rate for the age 13 on each of these  
2 topics.

3 MS. BROWN: Sarah, can you also  
4 comment on the actual structure of the  
5 questions asked?

6 I mean, how were these -- there  
7 are four different ones, and they all --  
8 again, standardized tools, or just, did you  
9 ask about x?

10 DR. SCHOLLE: For this, we looked  
11 to see whether there was any note indicating  
12 the date, and whether the provider asked or  
13 counseled about the following topics. So  
14 that's what the directions were. Did somebody  
15 ask about it, or did they counsel about these  
16 topics, sexual activities? So, that was as  
17 much direction as we gave.

18 MS. BYRON: Well, there's a little  
19 more. I mean, we also noted, you know, we  
20 gave some guidance as to what would count as  
21 the numerator hit.

22 So we said, documentation of

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1 counseling could include a note indicating one  
2 of the following: engagement in discussion of  
3 current risky behaviors, checklist indicating  
4 that risky behavior was addressed, counseling  
5 or referral for risky behavior education,  
6 member received educational materials on risky  
7 behavior, and anticipatory guidance for risky  
8 behavior.

9 Now, these are based on existing  
10 measures where we do also ask for counseling.

11 So we found that structuring it that way sort  
12 of gives them enough guidance, and our  
13 auditors enough guidance, to see whether or  
14 not a certain documentation would qualify as  
15 the person having received counseling. So  
16 those are modeled on specs that we have found  
17 to work in the field.

18 DR. SCHOLLE: And the other thing  
19 is, we looked for both a checklist where  
20 somebody's reporting something or counseling  
21 in the absence of documenting the behavior,  
22 because one of the things that our measurement

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1 advisory panel talked about was a privacy  
2 concern for the teens, and whether you'd have  
3 this discussion, but you wouldn't document the  
4 results.

5 We actually found that the results  
6 were often documented, but we wanted to give  
7 practices credit where they were having a  
8 private conversation with teens, not  
9 documenting the results in the chart to  
10 protect the teens' privacy.

11 DR. PERSAUD: I have a couple  
12 comments. I think that I'm less concerned  
13 with this measure about how they actually  
14 looked at the chart to find out whether they  
15 were asking questions, because I think that  
16 there's many people practicing medicine where  
17 they look after adolescents have long since  
18 been overrun by what it takes to assess them,  
19 and many of us use questionnaires that cover  
20 these questions.

21 And this is why we can't pull out  
22 the depression, because what you're going to

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1 find is most of them are 20-to-40-item  
2 questionnaires that they're using. So I'm  
3 less concerned about that.

4 I think the issue about the  
5 usefulness of whether, when we pick up things  
6 in the adolescents, what's our ability to  
7 affect outcome. This might be akin to the  
8 discussion we had about obesity and the BMI.

9 So, I think that we do have to  
10 screen the adolescents. We can't get out of  
11 that. It's just that, what is the ability of  
12 the primary care practice to affect an  
13 outcome?

14 And I think there's a good reason  
15 why it's better in the area of STIs and birth  
16 control, because you can prescribe birth  
17 control. So we're definitely going to do  
18 that.

19 What the issues is, is when you  
20 get to the substance abuse issues, those are  
21 only going to be amenable to moderate and  
22 intensive counseling, except for very mild

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1 circumstances. And those would require  
2 referrals.

3 So I think that's where the  
4 evidence breaks down, because the addiction  
5 medicine field right now I think is not  
6 performing.

7 And I guess I speak in part of a  
8 parent of a child with mental health illness  
9 and addiction, what it took to deal with that.

10 I think the problem isn't screening. I think  
11 we shouldn't measure screening. I think the  
12 development of what is effective for substance  
13 abuse hasn't occurred yet.

14 CO-CHAIR MCINERNEY: For better or  
15 for worse, about three or four years ago, the  
16 New York State Department of Health and  
17 Medicaid, et cetera, did adopt this very  
18 measure, and is looking at charts, reviewing  
19 charts to see if the physicians did screen for  
20 these behaviors.

21 And they felt it was important  
22 enough to do, given the fact that the

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1 evidence, I agree, is somewhat sketchy at  
2 best. But at least that's what's happening in  
3 New York State.

4 MS. BROWN: A few minutes ago,  
5 though, you made a comment about just  
6 screening for depression, just saying, you're  
7 feeling better, or how are you doing today,  
8 and then it's documented.

9 I think that applies in equal  
10 measure to this set. Without any guidance --  
11 I mean, unless people are using checklists,  
12 and I understand that, but probably a number  
13 are just saying, are you sexually active? --  
14 yes, no. Do you -- you know, my daughters get  
15 asked all that. Do you use illegal  
16 substances? And then -- you know.

17 So, I, you know, I think this  
18 issue of actually what it is as for suicide  
19 risk or depression matters a lot.

20 On the other hand, again, I think  
21 this area is so important that there may be  
22 value just in asking, if nothing else, to

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1 educate the provider that these are really  
2 critical issues.

3 And hopefully, when there's more  
4 data on prevalence, it increases the pressure  
5 to develop better community systems to respond  
6 and provide care and treatment. That's the  
7 best case argument, I think, to be made for  
8 this measure.

9 DR. SCHOLLE: We did try to find  
10 whether there were any standardized tools for  
11 these, and what we understood was that there's  
12 some sort of acronym that's often documented  
13 in the chart?

14 DR. PERSAUD: HEDS interview.

15 DR. SCHOLLE: Right.

16 DR. PERSAUD: And that's why the  
17 tools are not easy, because most of us are  
18 using a combination of the tool and then we  
19 have to deal with the report generation with  
20 the adolescent, so it is harder to define.

21 DR. WINKLER: Donna, that brings  
22 up just a technical point. If indeed people

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1 are using checklists where you're asking  
2 questions that will address several of these  
3 measures, whether it's risky behaviors or  
4 depression or this or that, and those  
5 checklists and questionnaires might be  
6 different among different practices and  
7 practitioners, how easy is it to reliably  
8 abstract that data on chart review? Do the  
9 abstractives know where to look?

10 DR. SCHOLLE: We got a lot of  
11 complaints in our field tests, but this is not  
12 one of them. But I think what we did find is  
13 that the teens -- that in some practices, they  
14 had the questionnaires, and then it was pretty  
15 routine. You could go in and find the  
16 questionnaires, or it was documented in the  
17 visit notes.

18 So I think that's the challenge.  
19 Our goal is to move this into EHR  
20 specifications and to think about that.

21 But I do think both documentation  
22 that comes directly from the child and

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1 documentation that the practice team might use  
2 would count here. That's what we wanted to do  
3 is to allow both of those to count.

4 DR. JENKINS: Was this being put  
5 forward fully tested, or is this a time-  
6 limited question?

7 DR. WINKLER: I mean, Sarah and I  
8 have talked about this, and this group of  
9 measures have been tested for feasibility, but  
10 they really have not been tested for  
11 reliability or validity beyond just assessing  
12 the face validity.

13 DR. JENKINS: So just to make the  
14 point, reliability and validity would be that  
15 it captures the patients that it should  
16 capture based on how the chart audits are  
17 classifying whether that patient was screened  
18 or not.

19 And that's a much, much higher  
20 bar, but that's actually what we're talking  
21 about here. I don't think this has been fully  
22 tested.

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1 CO-CHAIR MCINERNY: Do any of the  
2 practices use the guidelines for Adolescent  
3 Preventive Services, the GAPS questionnaire?

4 DR. SCHOLLE: I don't know.

5 CO-CHAIR MCINERNY: Because that  
6 is one that's been around for quite a long  
7 time, and is felt to be reasonably reliable.

8 Of course all of these things  
9 require that the adolescent is truthful, and  
10 we know, guess what, that's not always the  
11 case.

12 We also, though, tend to know that  
13 they are a bit more truthful when they're  
14 filling out something on paper than when  
15 they're being asked the questions face to  
16 face.

17 DR. SCHOLLE: So in the  
18 specification, just to be clear, it allows  
19 either the checklist was used, or if there was  
20 any counseling provided or documented.

21 And we found that a number of the  
22 practices did use questionnaires, and so that

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1 counted. But also, if there's discussion in  
2 the absence of the documentation of what the  
3 child's response is, then that counted as  
4 well.

5 DR. ZIMA: It's interesting. I  
6 don't think this issue obviously is going to  
7 go away, and one of the things that I hope  
8 that you'll be discussing in the measurement  
9 is, maybe in the next year or two, when we can  
10 have teens actually enter the sensitive  
11 information directly into computers in the  
12 waiting rooms, this will capture even more Dr.  
13 McInerny's issue of trying to get more  
14 truthful information from our teens.

15 DR. SCHOLLE: That's actually what  
16 we proposed to do in our pediatric quality  
17 measures measurement program application.

18 CO-CHAIR MCINERNY: Well, you  
19 know, certainly the Academy of Pediatrics  
20 recommends that starting at about age 12 or 13  
21 that the physician in the room with the  
22 patient alone asks the sensitive questions and

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1 not with the parent, and that's a good first  
2 step.

3           However, I don't think that the  
4 adolescents truly trust that that will keep  
5 sensitive information from reaching the  
6 parents. And one of the biggest problems is  
7 that if there's any laboratory testing or  
8 referral done, then when the insurance company  
9 pays the bill and it goes to the parents,  
10 there's an explanation of benefits.

11           And the parent is going to say, hey,  
12 wait a minute, how come you had a test for an  
13 STI, or how come you got referred for  
14 counseling for this or that? And that's a  
15 problem, and it's a very difficult problem to  
16 get around.

17           MS. BROWN: I just want to  
18 underscore that a million times over. If  
19 there's any notion that the parents are going  
20 to find out about sexual activity, drug use,  
21 it's a dealbreaker in many, many instances.  
22 And I don't think that's fully addressed in

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1 this.

2 I mean, it's just a reality of  
3 life. And it's not your responsibility to  
4 solve that problem --

5 DR. SCHOLLE: And that's why we --

6 MS. BROWN: -- but it really  
7 limits the value of this.

8 DR. SCHOLLE: Well, that's why we  
9 allowed that documentation of discussion,  
10 because we really --

11 MS. BROWN: Right.

12 DR. SCHOLLE: -- wanted it to be  
13 brought up and if -- to allow that discussion  
14 to be documented without having the results  
15 documented, in case that's what was happening.  
16 It's a challenge.

17 CO-CHAIR MCINERNEY: Ready to vote?

18 DR. WINKLER: Committee ready to -  
19 - so how many of you feel that the importance  
20 criteria have been met for measure 1406? One,  
21 two, three, four, five, six, seven, eight,  
22 nine, ten -- Ellen?

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1 DR. SCHWALENSTOCKER: Yes.

2 DR. WINKLER: Thank you. And were  
3 there any no votes? Okay.

4 In terms of the scientific  
5 acceptability of the measured properties, how  
6 many feel it is met completely? Zero.

7 Partially? One, two, three, four,  
8 five, six, seven.

9 Minimally? One, two, three, four,  
10 five, six.

11 Not at all? No. Okay.

12 Ellen?

13 DR. SCHWALENSTOCKER: I think  
14 partially.

15 DR. WINKLER: Okay. Thank you.  
16 Usability, how many meet completely?

17 Partially? One, two, three, four,  
18 five.

19 Minimally? One, two, three, four, five,  
20 six, seven, eight.

21 Not at all?

22 Okay. Ellen?

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1 DR. SCHWALENSTOCKER: Partially.

2 DR. WINKLER: Thank you. And  
3 feasibility, completely meets? Zero.

4 Partially meets? One, two, three,  
5 four, five, six, seven. Okay.

6 Minimally? One, two, three, four,  
7 five, six.

8 Ellen?

9 DR. SCHWALENSTOCKER: Partially.

10 DR. WINKLER: Okay. All right, so  
11 recommendation for endorsement, and does  
12 everyone agree with Kathy that the measure is  
13 really a time-limited requirement? Okay.

14 Recommendation yes, for time-  
15 limited, got it.

16 Time limit is endorsed only for  
17 two years -- oh, I'm sorry, you're right. It's  
18 just been changed to one year. But does it  
19 come with?

20 DR. JENKINS: But the burden is on  
21 the measure steward to fill in all of the  
22 measurement issues whether it relates to

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1 reliability, validity, and testing.

2 DR. WINKLER: Right, exactly. Any  
3 no votes?

4 Ellen?

5 DR. SCHWALENSTOCKER: Yes.

6 MS. SCHOLLE: Just to be clear,  
7 the time-limited endorsement means that given  
8 a one-year time period, it would be very  
9 difficult for us to come back with a revised  
10 measure with more reliability and validity  
11 information within that time period, because  
12 we don't have money right now to go do it  
13 immediately, so just so that you're -- the  
14 Committee's aware.

15 DR. WINKLER: Yes. Well, and  
16 something that is part of the ongoing follow-  
17 up is, any of these measures that are  
18 recommended for time-limited, one of our jobs  
19 is to go back to the measure developer and  
20 talk to them about the requirements for that  
21 before that can go forward.

22 So the fact that that's where

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1 you've established yourself, we can do that  
2 follow-up.

3 CO-CHAIR MCINERNY: Can you  
4 provide an extension for another year if it  
5 they say yes, we're working on it?

6 MS. BOSSLEY: The short answer is  
7 probably no, not another year. We're getting  
8 significant concerns raised by the Consensus  
9 Standards Approval Committee and the board  
10 with having time-limited measures out there  
11 without testing it for reliability and  
12 validity.

13 But what we can do is work with  
14 NCQA and see if there's some in-between,  
15 something we can do. Yes.

16 DR. WINKLER: We are expecting  
17 another measure to vote for on the phone at  
18 9:45.

19 Do you think we could maybe  
20 squeeze in one measure from yesterday before  
21 then? Okay.

22 And that might be -- how about

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1 1390? This is child and adolescents access to  
2 primary care practitioners, measure from NCQA,  
3 Dr. McInerny, that was your measure from work  
4 group three. Measure 1390.

5 CO-CHAIR MCINERNY: Sure, I'd like  
6 to -- let me try and grab that, get that up  
7 here so everybody else can get a chance --  
8 because that's a different work group.

9 DR. WINKLER: While everybody's  
10 grabbing it, I've pulled it up. The  
11 description of the measure is the percentage  
12 of members 12 months to 19 years of age who  
13 had a visit with a PCP.

14 The organization reports four  
15 separate percentages for each product line.  
16 Children 12 to 24 months and then 25 months to  
17 six years who had a visit with a PCP during  
18 the measurement year, children 7 to 11 years,  
19 and adolescents 12 to 19 years who had a visit  
20 with a PCP during the year or the year prior  
21 to the measurement year.

22 CO-CHAIR MCINERNY: Yes. And this

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1 is really an access measure. It's not looking  
2 at whether this was a comprehensive well child  
3 visit but rather just was there access to the  
4 primary care physician in those various time  
5 periods with the notion that it is important  
6 to have access, and if there's no access at  
7 all, then that's clearly a problem.

8 So I think for that reason, when I  
9 reviewed this I felt that this was an  
10 important measure.

11 And then if we look at the  
12 scientific acceptability, that was pretty  
13 straightforward, and I felt that that did meet  
14 the scientific acceptability completely.

15 And then when we -- if we look at  
16 the usability, again, to me this seemed to  
17 meet the usability very completely as well.

18 And then feasibility, this is a  
19 pretty easy measure to measure because it's  
20 using administrative data. And so I felt that  
21 this did meet completely the criteria for  
22 feasibility.

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1 I don't know -- frankly, I did not  
2 see what the other members who looked at this,  
3 what they said.

4 Okay. The voting was, impact, 60  
5 percent completely, 40 percent partially, gap,  
6 80 percent completely, 20 percent partially,  
7 and relationship to outcome, 80 percent, 20  
8 percent.

9 DR. GLAUBER: Question. What are  
10 we left to infer about the children who don't  
11 satisfy this criteria in terms of access?

12 And wouldn't this be something  
13 that's better assessed through survey data  
14 about having a usual and ongoing source of  
15 care rather than just one face-to-face visit?

16 DR. RAO: I just wanted to echo  
17 what Jim said. I think that there is a lot of  
18 episodic care that takes place in primary care  
19 settings, so it would be nice if we had two  
20 visits as a standard in the same calendar  
21 year. That would at least demonstrate that  
22 there's some continuity there.

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1 DR. GLAUBER: Or tying this, as we  
2 discussed yesterday, to having an ER visit and  
3 in the absence of primary care visits.

4 DR. LIEBERTHAL: Where would it  
5 fit if the patient has a same-day urgent visit  
6 with a pediatrician, but the pediatrician does  
7 not have a primary care practice, such as in a  
8 -- we have urgent care within the department,  
9 so they could have a couple visits with the  
10 same doctor. Hopefully that  
11 doctor's going to update immunizations, but  
12 it's really not a primary care visit, so I  
13 think there's a lot of gray zones in this.

14 DR. GLAUBER: Or on the converse,  
15 the kids who don't satisfy this, I'm not sure  
16 that we can infer that they don't have access  
17 to care. It may be a reflection more of their  
18 health status or the measurement period being  
19 too short.

20 CO-CHAIR MCINERNEY: Well, with the  
21 proliferation of urgent care centers and  
22 retail-based clinics, there is an erosion of

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1 patients visiting their primary care physician  
2 for illness visits.

3 And I think it is important to  
4 document what's happening over time about  
5 access to care to the primary care physicians.

6 The American Academy of  
7 Pediatrics, frankly, takes a very dim view of  
8 patients going to retail-based clinics and  
9 urgent care centers, because they're not  
10 staffed, in most cases, by folks who really  
11 have a thorough knowledge of pediatrics in the  
12 first place.

13 And secondly, there is an  
14 argument, and I'm not sure how -- although,  
15 this is a nice argument, there's no evidence  
16 to back it up, that presumably, if a  
17 pediatrician sees a patient for an illness  
18 visit, they might notice that there's some  
19 other kind of a chronic or an ongoing  
20 condition that needs to be addressed, and  
21 addresses it at that visit, which would likely  
22 not happen at an urgent care center or retail-

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1 based clinic.

2 DR. RAO: I just wanted to ask,  
3 maybe Reva would know this, but do we have  
4 measures already that talk about whether, did  
5 we approve them, that children have a medical  
6 home, or an ongoing primary care provider?

7 DR. WINKLER: We have, in the  
8 outcomes project, you recommended the survey-  
9 based measure of the medical home.

10 DR. RAO: Okay.

11 DR. WINKLER: And so that is one  
12 measure there. Otherwise, I don't believe  
13 there are any others.

14 MR. STEINHART: This is Amos  
15 Steinhart. I joined the call a little bit  
16 early.

17 DR. SCHOLLE: Just to clarify,  
18 emergency visits don't count towards this  
19 measure. It is ambulatory, and I'm not  
20 familiar with the billing codes that are used  
21 by emergency centers, and whether they would  
22 do that. But it's not the intent of this

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1 measure to capture urgent or emergent care.

2 And the value or the benefit of  
3 this measure compared to a survey measure is  
4 that it captures the entire enrolled  
5 population, as opposed to surveys that are  
6 usually done on people that have, you know, a  
7 sample of patients. And generally, we have  
8 very low response rates, and one might expect  
9 that there might be some non-response bias.

10 MS. CARLSON: This is a health  
11 plan measure, so ideally, the measure is  
12 supposed to summarize the ability of the  
13 health plan to provide access to their members  
14 to necessary primary care services.

15 I don't know if it does that. I mean,  
16 it's truly just a descriptive statistic. And  
17 I'm not sure that you can make a lot of  
18 inference from it. But it's a measure  
19 that's been around for some time. So you can  
20 see trending with it. And health plans use it  
21 in different ways, and they do use it when  
22 they're looking at access and their services

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1 and trying to drill down to specific areas  
2 where they may have difficulties.

3 DR. JENKINS: Carol, I agree. And  
4 I guess what I would state it as, I'm  
5 struggling with it, as I was with many of the  
6 similar measures yesterday as an  
7 accountability measure for the plan, as a  
8 quality measure, you know, for the plan to  
9 track and try to understand, I totally  
10 understand this measure. As a population  
11 health measure, I understand it.

12 But as an accountability measure  
13 for the plan, I don't think it's a good  
14 measure of access. People might have access  
15 that they choose not to take advantage of it  
16 or don't feel that they need.

17 DR. LIEBERTHAL: I think the issue  
18 is, in the broad term, PCP, and I think it  
19 might be better defined as within a health  
20 plan, the child should have an assigned  
21 primary care provider.

22 And I think then to have at least

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1 one visit with the assigned primary care  
2 provider rather than just anybody who could  
3 qualify as a PCP, whether they have any  
4 relationship to the child or not, how to  
5 phrase that, I'm not sure.

6 But that's the direction -- that's  
7 where I see the value of this, and this  
8 relationship to access.

9 DR. SCHOLLE: Just to clarify,  
10 most health plans do not require patients to  
11 have an assigned PCP. They encourage it, and  
12 they encourage people to change their PCP if  
13 it's not working out for them, so that would  
14 actually be very, very difficult to  
15 operationalize.

16 DR. PERSAUD: I think also that  
17 even then, there, I think what this is boiling  
18 down to is that it is hard to decide who it is  
19 that is practicing the scope of primary care,  
20 and that's what's hard.

21 You'll have a bunch of middle  
22 levels or primary care pediatricians, but they

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1 could be doing different scope of practice  
2 care.

3 I think this would maybe over-  
4 generalize and maybe make it look like there's  
5 more access when there could even be less.

6 There are children certainly that  
7 turn up in primary care practices where the  
8 scope of care delivered is primary care, but  
9 they come in and they don't engage in primary  
10 care.

11 They come in seeking urgent care  
12 and get that and are told, come back for a  
13 check-up, and they don't return again.

14 So, as Goutham said, we tend to,  
15 in the primary care services at practice  
16 level, we tend to look at two visits as a more  
17 ideal way of assessing whether they're really  
18 accessing primary care.

19 And I think, I mean, if that's the  
20 whole sentence, it's not just access to care.

21 Are they accessing true primary care?

22 I noticed under the testing and

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1 analysis that there wasn't any reliability,  
2 and the validity was expert opinion, so I  
3 wanted to know if the measure developer had  
4 any comment about that.

5 DR. SCHOLLE: So, these are  
6 claims-based measures, and this is not a  
7 measure where we can provide comparison of the  
8 chart data to the claims, because we really do  
9 see the claims as the place to find  
10 information about visits.

11 We tend to use a chart review data  
12 to go back and document -- validate  
13 information like diagnoses. And in terms of  
14 reliability, we can provide you plannable  
15 reliability information, but we don't have it  
16 with us today.

17 DR.CHEN: Sorry, can I just  
18 comment on a few things? So I actually agree  
19 with Sarah in the sense that I have a lot of  
20 experience with survey data, and also with  
21 claims data.

22 And I think if you're interested

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1 in looking at access, there are some things  
2 that survey data does better. But I think in  
3 this case I'd rather have hard facts, that  
4 they actually went to a visit.

5 So, in that sense, I think this is  
6 the right data collection approach, rather  
7 than using survey data.

8 Now, the issue is, are we  
9 interested in this measure as sort of the  
10 standard, very crude access measure, where  
11 they can just satisfy so the health plans can  
12 monitor their access and then their use and  
13 utilizations of services?

14 Or are we more interested, in,  
15 like Allan's saying, that we actually wanted  
16 to raise the bar a little bit where we really  
17 want them to see a primary care provider,  
18 whether or not they provide primary care or  
19 not or well child care, I don't know if we can  
20 discern that from administrative data, but at  
21 least we wanted them to see a primary care  
22 provider.

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1                   And if we do endorse it that would  
2 then, it is up to the health plans to make  
3 sure that they're assigned to a primary  
4 provider.

5                   And I have done a lot of study on  
6 the MEPS data, where you could actually do  
7 this in actually administrative data where you  
8 know if they are assigned to a primary care  
9 provider, and you know if they had a visit to  
10 that primary care provider for that survey  
11 year.

12                   So I mean, I think it's possible.  
13 I don't know if it's easy. But it's  
14 certainly something that if we think it's  
15 important for a kid to see a primary care  
16 provider during that period, then that's how  
17 we should try to push the bar that way.

18                   But as a purely access measure, I  
19 think it's really an absolute minimum in this  
20 case.

21                   DR. GLAUBER: But one question I  
22 have, in terms of it as an access measure, do

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1 we know what percentage of kids -- you know,  
2 I'm thinking of kids with special health care  
3 needs who may be exclusively cared for by a  
4 sub-specialist or a behavioral health  
5 clinician who have access to care but are not  
6 seeing a primary care doctor, so it's really a  
7 coordination of care issue rather than access  
8 to care.

9 DR.CHEN: Right. I mean, that's a  
10 very good point, although I think I would say  
11 most medical home agencies or proponents would  
12 promote that in the medical care team, there  
13 would be a primary care provider that's the  
14 general pediatrician that would be the team.

15 I mean, even though they don't  
16 have to have visits with that general -- and  
17 that happens to a lot of my patients,  
18 actually. They see cardiology as their  
19 primary care, but I would still feel  
20 comfortable that they come see me because I  
21 provide the care coordination, make sure  
22 everything goes well. So, I mean,

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1 especially for those kids. I mean, they have  
2 multiple visits in a year. I don't think  
3 having a visit to a primary care provider is  
4 an extra burden to them, but I don't  
5 necessarily think that primary care should be  
6 provided only by general pediatricians, so I  
7 agree with that.

8 CO-CHAIR MCINERNEY: Well,  
9 certainly one of the cornerstones of the  
10 medical home is access to care.

11 And when you look at some of the  
12 measures of medical homeness, they look at,  
13 how does the physician practice work to make  
14 access to care easy? Do they offer same-day  
15 appointments, after-hours appointments, et  
16 cetera and so forth?

17 And so in that regard, I think the  
18 access measure is an important measure, true  
19 at the health plan level. But I also think  
20 that it's an important measure at the medical  
21 home level as well.

22 DR. JENKINS: I'm still a little

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1 troubled with the fact that yesterday we  
2 talked about well child visits for well  
3 children in the adolescent age range on an  
4 annual basis and found that problematic from  
5 an evidence perspective.

6 And this is a measure between age  
7 12 months and 19 years, and it's asking  
8 essentially the same question, in the case of  
9 a well child, someone who did not think they  
10 needed to go to a doctor and chose not to go.

11 So I'm troubled by the disconnect  
12 there, and I just need some help with it,  
13 along with the accountability issue.

14 DR. SCHOLLE: It is different from  
15 the well child visit, because it does not look  
16 for the specific CPT codes that are for well  
17 child care. It's for a visit with the primary  
18 care physician, so it's access to the primary  
19 care physician, so it's not well child.

20 DR. JENKINS: Well, I guess just  
21 to make my point, and I'm stuck on the patient  
22 who chose not to come in because they did not

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1 feel they needed medical care.

2 The plan -- that patient will be  
3 in the plan's denominator, and not in the  
4 numerator. So let's suppose 20 percent of the  
5 adolescent patients chose not to do that.  
6 They get a grade of 80. That's their grade.  
7 Okay?

8 And it's reflective of that 20  
9 percent who chose not to come in. And I'm  
10 still struggling of, should they have come in?

11 I mean, are we saying they should  
12 have come in, the plan should have brought  
13 them in? How could the plan have brought them  
14 in to give them a grade of 80 instead of 100  
15 on this measure in the adolescent age range?  
16 That's my problem.

17 DR. LIEBERTHAL: I think that the  
18 intent of the measure is very, very good. My  
19 concern is that the definition of it doesn't  
20 achieve the intent, and I'm just off the top  
21 trying to figure out how to make the numerator  
22 statement achieve the goal.

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1                   And I can't do it right here at  
2                   the table, but I think that more effort needs  
3                   to go into a better definition that would  
4                   achieve the access goal.

5                   CO-CHAIR MCINERNEY:       Well, this  
6                   would change the measure significantly, but  
7                   one way to get at that would be to see if the  
8                   patient went to a retail-based clinic, urgent  
9                   care center, or emergency room in that same  
10                  period of time.

11                  And if they went to any of those  
12                  three or four times, and not to the primary  
13                  care physician at all, then that seems to me  
14                  that's an access problem.   And I would pull  
15                  out -- sure, I suspect there are some  
16                  adolescents who feel they're pretty healthy  
17                  and they never go anywhere for anything.

18                  And you know, one can argue that,  
19                  appropriately, that that may not be fair to  
20                  doing the plan for that reason.

21                  MS. CARLSON:       Well, I think that  
22                  gets back to my comment before where you

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1 really need to take some of these measures and  
2 look at them together to try to determine what  
3 the actual outcome is.

4 So you really have -- this is one  
5 of those measures where, as a health plan, we  
6 would look at it along with several other  
7 measures in a market basket approach, and  
8 decide whether or not we have a true access  
9 issue.

10 We would take this data and  
11 compare it to our data for preventive visits,  
12 along with maybe data about how many  
13 physicians we have in network within certain  
14 geographic areas. And we'd look at that  
15 together to try to determine if we have an  
16 access issue.

17 So I'm concerned that maybe our  
18 expectations may be too high based on the use  
19 of one measure as opposed to using a number of  
20 measures to get at what you're trying to get  
21 at.

22 MS. BROWN: Just to follow up on

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1 Cathy's comment, I'd like to hear from NCQA  
2 about how they think measure 1411 relates to  
3 1390. I mean, yes.

4 DR. SCHOLLE: 1411 is adolescent  
5 well care.

6 MS. BROWN: I have a feeling that  
7 if I knew all of the measures that have been  
8 developed and are out in the universe, that  
9 there are a lot in this area, and I think that  
10 somehow they have to be looked at as a group,  
11 and probably come up with one rather than  
12 three or four.

13 I don't know what the absolute  
14 number is, and I understand they measure  
15 somewhat different things. I do understand  
16 that.

17 But it would be better to have one  
18 powerful measure than four, you know, that all  
19 have subtle differences. I think it's such a  
20 burden on everybody.

21 DR. SCHOLLE: So there's a  
22 challenge to that. So just to elaborate on

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1 what Carol was talking about, so what we have  
2 are -- we have this measure that we're talking  
3 about, which is about access to a primary  
4 care provider. So then we have measures that  
5 look at well child visits. And then we have  
6 measures that look at emergency department use  
7 and inpatient use.

8 And so we look at -- so we have  
9 measures that allow you to look at those  
10 different pieces of work. They're based on  
11 claims data, and because of the inadequacies  
12 of claims data, and the lack of information -  
13 - consistent information across health plans  
14 or across state Medicaid programs about how  
15 they assign or allow, more often allow,  
16 patients to identify a primary care physician.

17 You know, in the claims data,  
18 there's some places, some states that could  
19 apply this measure to the defined primary care  
20 provider for the patient, but we couldn't do  
21 that consistently.

22 So we always come back to the

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1 common denominator of being able to, across  
2 the country, so we can have comparative data.

3 So that's the way we've created this group of  
4 measures.

5 And the challenges that you've  
6 talked about related to accountability or  
7 using this, okay, so those are going to apply  
8 consistently across all these different  
9 groups.

10 I mean, adolescents, not all  
11 adolescents -- I mean, my kids are healthy,  
12 but they have a sports physical every year,  
13 right? So that kind of expectation, that's  
14 going to be similar across large populations,  
15 and we're talking about large populations.

16 So what you can do is make  
17 comparisons, and maybe for age six, or age  
18 four, it ought to be 100 percent. For age  
19 twelve, maybe 100 percent is not what you're  
20 trying to get at.

21 But this is a utilization measure  
22 where we're making comparisons across

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1 populations and over time, so that we can look  
2 at trends in access.

3 And I think that -- we've tried to  
4 split it, access, well child visits, emergency  
5 use, and patient use. And that gives you a  
6 sense of the utilization across, and it would  
7 allow us to make national comparisons across  
8 states. It allows us now to make national  
9 comparisons across health plans.

10 CO-CHAIR MCINERNEY: Just a couple  
11 more quick comments and then I think we need  
12 to take a vote.

13 DR. QUIRK: My question is, what's  
14 the difference between utilization and access?

15 And I think that they're getting jumbled up  
16 and I think that we're kidding ourselves.

17 I think that all that this measure  
18 tells you is how many patients go to their  
19 primary care doctor who is a pediatrician. It  
20 doesn't tell you anything else.

21 I think if the health plans want  
22 to know about utilization, they can bear the

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1 burden of finding out why patients don't go,  
2 because they're the ones paying the premium.

3 I also believe that access is a  
4 very, very complicated thing. Sarah taught me  
5 about this 15 years ago.

6 You know, some of it's  
7 affordability, some of it is susceptibility,  
8 some of it is availability, some of it is  
9 acceptability.

10 So there's a whole lot of things  
11 that go into utilization. This doesn't  
12 address that want and form those very, very  
13 important questions.

14 If I'm a working-class stiff with  
15 a health plan that is not very rich, and I  
16 have to take a half a day off to drive my kid  
17 and sit in a waiting room for half a day, my  
18 kid isn't going to go.

19 If I've got a kid who's an  
20 adolescent who doesn't play sports, which most  
21 of them don't, then I'm not going to take a  
22 day off work with no pay to take the kid to

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1 something that the kid doesn't value that to  
2 me is a pain in the neck to do.

3 So there's a lot of those issues.

4 And they're all -- you know, you're in  
5 denial. And this doesn't assess  
6 accountability. It tells you about  
7 utilization at best, but it has nothing to do  
8 with access.

9 I think there is no value to this  
10 measure. That's my personal --

11 DR. GLAUBER: And it doesn't tell  
12 you about medical homeness either. It is a  
13 measure of utilization.

14 You know, I realize that this  
15 could be used for comparative purposes, but  
16 across regions, there may be different models  
17 of how primary care is paid and if there is  
18 more --

19 DR. QUIRK: No, because it doesn't  
20 -- you're not addressing the issue of co-pays,  
21 the cost of co-pays.

22 Does this contract require a co-

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1 pay? If I go to a doctor, I'm going to incur  
2 -- I'm going to get more lab tests, more  
3 radiologic and imaging tests, because that's  
4 what doctors do. And depending on the  
5 structure of the local environment and the  
6 plan, I have co-pays. They get expensive.

7 DR. GLAUBER: Yes, but I'm just  
8 making the point that certain systems of care  
9 that may be on a more capitated or global  
10 budget can facilitate access without promoting  
11 visit-based care through other methods of  
12 interacting with the system, whether that be  
13 Internet-based, advice nurses, and so forth.

14 So children can have access, and  
15 perhaps in more advanced systems of care,  
16 without -- you know, to James's point, having  
17 to take time off work and churn the kid  
18 through the system in order to have a visit  
19 for a problem that might otherwise be  
20 addressed.

21 DR. QUIRK: There is no  
22 generalizability to this because it is such -

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1 - we are such a cottage industry in the United  
2 States. This might work in Boston, all right,  
3 but it's not going to work in rural Arkansas.

4 CO-CHAIR MCINERNEY: Okay, we've  
5 got to move on. Let's take a vote.

6 DR. WINKLER: All right. How many  
7 of the committee feel that this measure meets  
8 the importance criteria? One, two, three,  
9 four, five.

10 How many no's?

11 Did I have six? Okay, thank you.

12 One, two, three, four, five, six,  
13 seven.

14 Ellen?

15 DR. SCHWALENSTOCKER: I would say  
16 yes, it needs to be important, this criteria.

17 DR. WINKLER: Dead tie. All  
18 right.

19 MS. BOSSLEY: I would recommend  
20 that you would just keep going through the  
21 rest of the criteria and then vote.

22 DR. WINKLER: I agree. That's

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1 where I was going to go. Glad to hear --  
2 okay.

3 In terms of scientific  
4 acceptability, how many believe it meets the  
5 criteria completely?

6 Partially?

7 Minimally?

8 Not at all?

9 Ellen?

10 DR. SCHWALENSTOCKER: Minimally.

11 DR. WINKLER: Okay. Usability.

12 Completely meets the criteria?

13 Partially meets?

14 Minimally meets?

15 Not at all? No?

16 Ellen?

17 DR. SCHWALENSTOCKER: Minimally.

18 DR. WINKLER: Thank you.

19 Feasibility, completely meets? Eleven.

20 Partially? Two. Are there any  
21 others?

22 Minimally?

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1 Ellen?

2 DR. SCHWALENSTOCKER: Minimally.

3 DR. WINKLER: Okay. Are there any  
4 minimallies here? She was a min. Okay.

5 All right. So, recommendation for  
6 endorsement, how many vote yes?

7 One, two, three, four.

8 How many vote no?

9 One, two, three, four, five, six,  
10 seven, eight, nine --

11 Ellen?

12 DR. SCHWALENSTOCKER: I'm no.

13 DR. WINKLER: Thank you. Okay, it  
14 was four yes, ten no.

15 DR.CHEN: Can I just raise one  
16 quick question? And I think that's sort of to  
17 echo what Kathy's saying.

18 So, today I'm getting a little bit  
19 more sense of the tension between what's a  
20 performance measure and why NQF is endorsing  
21 it, and what is it, just a useful quality  
22 metrics that any health plan can do on their

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1 own just to keep track of whatever they're  
2 doing.

3 So this is obviously not a perfect  
4 measure, but it is a measure that I think can  
5 provide useful information. How useful it is  
6 depends on how creative the person who is  
7 analyzing it -- is doing with it, but it is a  
8 useful matrix, nonetheless.

9 But obviously, it's not up to the  
10 standards of a performance measure, especially  
11 for high-stakes performance measure.

12 So my question is, maybe this was  
13 asked yesterday as well, but I'm a little bit  
14 more confused today than I was yesterday.  
15 Does NQF endorsement lead to support and  
16 funding for NCQA to do this more, to have more  
17 elaborate testing, or field testing? Or is it  
18 just give them a ground where health plans  
19 have to try to look at these numbers? Or, I  
20 mean, what's the point?

21 DR. WINKLER: NQF is looking to  
22 evaluate measures and endorse them for the

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1 major purposes for public reporting, as well  
2 as of quality improvement.

3 Throughout the decade of NQF's  
4 existence, we've certainly seen a focus of  
5 using NQF-endorsed measures when measures are  
6 being used for public reporting.

7 We've certainly seen that by the  
8 federal government, and we see it with a lot  
9 of private plans and others. And so that is  
10 what NQF is looking -- that's our goal, is to,  
11 of all the measures out there, to sort through  
12 them and find the ones that meet our criteria  
13 such that they can be used for those purposes.

14 DR. CHEN:: I'm sorry. So then I  
15 don't understand why this measure couldn't be  
16 used for public reporting.

17 I think Cathy's concern is that it  
18 may be used for pay for performance which may  
19 not be fair, but for public reporting a cross-  
20 comparison of health plans across the nation  
21 and following trends over time, I don't see  
22 any issue with this measure being used, as

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1 long as we know its limitations.

2 We know it's actually an  
3 utilization measure. It's not an access  
4 measure, I guess, if you want to make that  
5 distinction.

6 DR. WINKLER: One of the other  
7 things I'll just mention as a result of NQF's  
8 processes, we deliberately bring different  
9 perspectives to the table.

10 You all represent sort of  
11 different points of view, and the measure  
12 evaluation criteria are attempting to define a  
13 type of measure. And there has been a  
14 deliberate attempt to raise that level of the  
15 information and the quality of information  
16 that you get over time.

17 And so what I could say is a  
18 result is not everybody at the table agreed  
19 with you.

20 DR. LIEBERTHAL: While the NQF's  
21 goal is for public reporting, in fact, the  
22 insurance companies are looking to NQF-

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1 endorsed measures for their pay for  
2 performance programs.

3 And I would have to make the  
4 assumption with each one of these measures  
5 that it may be a pay for performance -- used  
6 for pay for performance. So I don't think we  
7 can separate the two.

8 DR. JENKINS: That's explicit, in  
9 the state of Massachusetts, they're required  
10 by statute to only include endorsed measures  
11 for pay for performance.

12 MS. BERGREN: So the plan level  
13 criteria that we look at could be used for pay  
14 for performance?

15 DR. LIEBERTHAL: The purchasers of  
16 insurance from a plan such as, in our  
17 occasion, California, the Pacific PGBH, it's a  
18 big consortium of companies that have gotten  
19 together to evaluate health insurers and  
20 health plans. And they do use these types of  
21 measures in determining which insurers they  
22 will contract with and how much they will pay.

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1 DR. JENKINS: And also some of the  
2 plans, because they need to meet these specs,  
3 roll it down further within to the practice  
4 level as an accountability measure. And  
5 that's where that bright line starts to come  
6 from.

7 I think, Alex says a population  
8 health measures, I would have endorsed it.  
9 It's that accountability at the plan level  
10 that I'm struggling with.

11 DR. WINKLER: We have another  
12 measure developer waiting patiently to talk  
13 about the next measure, and I think maybe  
14 after that we can take a break.

15 The next measure we're going to  
16 talk about is measure 1419. This is primary  
17 care prevention, intervention as part of as  
18 well or ill child care as offered by the  
19 primary care medical providers.

20 This is from the University of  
21 Minnesota, and Dr. Rao?

22 DR. RAO: Yes, I'll just give a

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1 brief introduction because I know Dr. Diener's  
2 on the phone.

3 So this is a revised measure,  
4 essentially. Dr. Diener was here last year to  
5 present the measure, and it deals with the  
6 application to fluoride varnish to children at  
7 risk for caries, and that includes the  
8 Medicaid population. As he points out that  
9 that's only 30 percent of children, but they  
10 represent about 80 percent of the disease  
11 burden.

12 Furthermore, it's an important  
13 measure, because the fluoride varnish has been  
14 shown to reduce the incidents of caries  
15 significantly.

16 There is a great deal of room for  
17 improvement because this is just emerging.  
18 Funding through the EPSDT programs is now  
19 available in about 40 states, and seems to be  
20 becoming much more common.

21 So the measure is, the extent to  
22 which the fluoride varnish is applied during

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1 EPSDT visits among Medicaid and high-risk  
2 children.

3 The only -- in terms of its  
4 usability, feasibility, I think it's  
5 relatively easy to use because it comes from  
6 claims data.

7 It's likely to be accurately  
8 reported, because it represents a supplemental  
9 payment on top of the EPSDT visit. So my  
10 practice manager, my practice has said, you  
11 know, if you're doing this, make sure that you  
12 mark this off, because we get \$18 or \$19  
13 extra, so it's something that we can probably  
14 measure fairly easily here.

15 And I think it's a very  
16 progressive measure, because it's looking at a  
17 physician-based or a practice-based behavior  
18 that's relatively new, whereas a lot of the  
19 things we've talked about already, we should  
20 have been doing for the last 50 years, and we  
21 were just not doing them right.

22 So, I think it's progressive in

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1 that sense. It can lead practice a little  
2 bit.

3 I didn't see -- and Dr. Diener can  
4 clarify a lot of information about testing. I  
5 know that the measure is in use right now, but  
6 in terms of results and things, I didn't find  
7 those in the measure application that's here.

8  
9 So I'm going to maybe stop there  
10 and let him provide some more information.

11 DR. DIENER: Thank you very much.  
12 I'm a little unclear as to what it is that  
13 you're looking for that you didn't find.

14 DR. RAO: Just results on how well  
15 the measure is performing, how well primary  
16 care providers in Minnesota, for example, are  
17 doing with it.

18 DR. DIENER: Well, I can tell you  
19 that between -- based on -- this is all based  
20 on claims data and a report generated by the  
21 Department of Human Services that between 2008  
22 and 2009 the number of EPSDT exams with

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1 fluoride varnishing included rose across the  
2 state now from 5 percent of EPSDT visits to 8  
3 percent of EPSDT visits.

4 It's measurable, and if you track  
5 over time, granted, it takes a year -- DHS  
6 considers that the data set is not complete  
7 until a year out from the last date of service  
8 in the year. So it's not going to be until  
9 December 31<sup>st</sup> of this year that the 2009 data  
10 set will be considered complete.

11 So I'm giving you a snapshot along  
12 the way. So, it can be measured. It can be  
13 teased apart by whoever's provider number is  
14 used for the billing.

15 Oftentimes, it's a physician.  
16 Sometimes it's a clinic. Sometimes it's a  
17 health plan.

18 And if you introduce the patient  
19 ID number you can actually tease out from this  
20 data set the number of varnishings each  
21 individual child got during the year from  
22 anywhere from one to x number of providers.

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1 They may switch clinics four times during the  
2 year and get varnished from four different  
3 providers during the course of the year.

4 DR. PERSAUD: I have a question.

5 Does this exclude varnish done by a dental  
6 provider?

7 DR. DIENER: Absolutely.

8 DR. PERSAUD: So this is limited

9 --

10 DR. DIENER: All medical claims.

11 DR. PERSAUD: So, okay, so this is  
12 on medical claims data.

13 DR. DIENER: Yes.

14 DR. PERSAUD: And I wasn't clear  
15 on the numerator and denominator regarding  
16 whether you're assessing number of  
17 applications per age of the child against  
18 expected number of applications, or just the  
19 raw number. Is it a rate? Is it a percentage  
20 versus expected?

21 DR. DIENER: Now, at the most  
22 basic level, I'm looking at it statewide as a

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1 measure of how well physicians or primary care  
2 medical providers, because we've got to  
3 include physician assistants and nurse  
4 practitioners, at least in Minnesota, how well  
5 primary care medical providers are providing  
6 carriage prevention services to their patients  
7 during the course of an EPSDT exam.

8 DR. PERSAUD: So is it measured  
9 against the expected number of applications  
10 they should have had for their age? Is it a  
11 percentage of the expected number, or just how  
12 many applications?

13 DR. DIENER: Well, you can -- if  
14 you start getting into individual patients by  
15 using the patient ID number, you can break it  
16 down during the course of each year, how many  
17 did the child get?

18 Now, the child, according to the  
19 American Dental Association's recommendations,  
20 starting with the eruption of the first tooth  
21 or by age one, every child -- high-risk child  
22 should get four applications a year, all the

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1 way through teenage years.

2 So the number four is the ideal  
3 for the year for child regardless of age. You  
4 can break it down if you introduce the patient  
5 ID, how many individual patients got it. But  
6 at the gross level, I'm looking at the number  
7 of EPSDT exams Dr. X did and how many  
8 varnishings he did as part of that number of  
9 EPSDT exams.

10 Ideally, it should be 100 EPSDT  
11 exams and 100 varnishings. And you've got  
12 every kid at every EPSDT visit.

13 MS. CARLSON: Does Minnesota not  
14 provide a dental benefit? Is that why --

15 DR. DIENER: Oh, no.

16 MS. CARLSON: -- you're only  
17 looking at medical?

18 DR. DIENER: No. We're looking at  
19 medical across the country because dentists  
20 generally won't take Medicaid children.  
21 That's the problem.

22 MS. CARLSON: Right. So, but in

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1 those states where dentists do take Medicaid  
2 children, is your expectation that physicians  
3 still reach 100 percent of the EPSDT screens?

4 DR. DIENER: Nationwide, dentists  
5 only get paid twice a year for putting varnish  
6 on. So, in the best of all worlds, a Medicaid  
7 child has a dental home, i.e., a dentist who  
8 will see the child whenever the child has a  
9 problem and regardless of what the problem is,  
10 the dentist sees the child twice a year and  
11 puts varnish on.

12 The dentist communicates that care  
13 to the physician, who then on two visits for  
14 medical care during the course of the year,  
15 three months apart from the dental visit, puts  
16 varnish on.

17 Now, the child gets four  
18 varnishings a year, twice at the dentist,  
19 twice at the physician's office. This is the  
20 ideal.

21 We're a long way from ideal  
22 because so few kids get in to see the dentist

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1 and in greater -- you name the state,  
2 Minnesota, Massachusetts, Kentucky, it doesn't  
3 matter.

4 In grading, in the rural parts of  
5 the state where there are more general  
6 dentists than pediatric dentists, general  
7 dentists are scared to death of one and two  
8 year olds. They've had essentially no  
9 exposure to them in dental school. So they  
10 will tell the mothers, starting at age three,  
11 when the mother has been told by her public  
12 health nurse or her physician to start at age  
13 one.

14 DR. RAO: Dr. Diener, just a quick  
15 question. I mean, you're saying that there's  
16 no EPSDT exam where this varnish is not  
17 indicated?

18 DR. DIENER: Well --

19 DR. PERSAUD: That's not true for  
20 the state of Texas.

21 DR. DIENER: Well, let's see. In  
22 the first year of life, we see kids at two

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1 months, four months, six months, nine months.

2 Okay. The child won't start  
3 getting teeth until six months or thereafter.

4 So in theory, you might have two EPSDT exams  
5 in less time than the three months' interval  
6 that the ADA says should be observed for  
7 putting varnish on.

8 But once you hit age one and you  
9 see kids maybe every six months, you may end  
10 up having to have special fluoride varnish  
11 clinics akin to the immunization clinics if  
12 you really want to work hard at getting the  
13 kid varnished four times a year.

14 DR. PERSAUD: I guess what I'm  
15 struggling with is just trying to look at, for  
16 example, the state of Texas, where Medicaid  
17 has decided to pay for this up to the age of  
18 36 months only, and to allow, I think five or  
19 six total. That's the situation.

20 And then my practice is in an  
21 urban environment where we actually have lots  
22 of dentists that take Medicaid. So, and we've

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1 trained, and can and will do this, and I think  
2 the children will get a blend of both types --  
3 both applications.

4           And I'm just kind of wondering  
5 whether this should be all on the medical  
6 provider, or let's get all the codes, because  
7 what they should get is the dental varnish  
8 applied, just so that we don't end up with a  
9 rigid construct that does not flex to the  
10 different practice patterns.

11           I think, to me, the bottom line  
12 is, the oral varnish needs to get on those  
13 teeth, no matter whether it's a dentist or a  
14 medical home.

15           DR. DIENER: I agree with you.  
16 And ideally, if we, doctors and dentists, bill  
17 on a similar billing system, you could merge  
18 the two.

19           But dentists bill on an ADA form  
20 that is quite -- and it goes to the dental  
21 insurer within the state versus the medical  
22 side.

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1 I'm not sure that the state has  
2 the capability of bringing together whatever  
3 claims data they may get from the dental side  
4 together with the claims data they're getting  
5 from the medical side.

6 At least on the medical side,  
7 they've got to roll things up for the CMS 416  
8 report. And in Minnesota at least, the report  
9 I referred to earlier is an offshoot of the  
10 report that has to be created for the CMS  
11 report.

12 And Minnesota's got one person at  
13 DHS who can do reports. That's the extent of  
14 their staff. So there's a staff limitation.  
15 I think, at least in Minnesota, it costs  
16 money.

17 So, ideally, yes, it would be  
18 wonderful to merge the dental and the medical  
19 claims database. Then you could find out to  
20 the last varnish how many varnishings a kid  
21 got during the course of the year from both  
22 the doc and the dentist.

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1 DR. GLAUBER: And I'd just like to  
2 underscore Goutham's point about this being a  
3 progressive measure, especially viewed with  
4 respect to the clinically preventable burden  
5 of disease here.

6 The target population is very  
7 similar to the target population for the lead  
8 screening measure, which is a HEDIS measure,  
9 and most Medicaid agencies hold plans  
10 accountable for, and therefore, resources and  
11 improvement effort is directed towards that  
12 particular measure, which doesn't have U.S.  
13 Preventative Services Task Force endorsement.

14 So if the committee thinks that  
15 there's really an opportunity here to prevent  
16 -- to make a dent against one of the most  
17 common conditions in childhood, especially in  
18 the Medicaid population, I think having a  
19 measure here would really spur improvement  
20 efforts.

21 DR. DIENER: I'm sorry, I missed  
22 the last couple of words of your sentence.

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1 Will do what?

2 DR. GLAUBER: Well, I think having  
3 an endorsed measure here will focus greater  
4 intention within state Medicaid agencies and  
5 plans towards improvement efforts.

6 DR. JENKINS: Are you suggesting  
7 the opposite to everything I've said at all  
8 the other measures, that there should be a  
9 plan accountability and that they do have  
10 access to both the dental claims and the  
11 medical claims?

12 DR. DIENER: Ma'am, excuse me for  
13 a second. As long as I've been on the phone  
14 call, yours is the only voice I cannot  
15 understand on the phone. It's just -- I can't  
16 pick up any words. There's something about  
17 the microphone that you're using is different  
18 from all of the other microphones in the room.

19 DR. JENKINS: Yes, I agree with  
20 everyone. I thought this was one of the most  
21 important measures we saw under the outcomes  
22 work, and now here we are again under the

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1 process measures work, and I'm hoping we can  
2 find a way to solve the measurement issues.

3 I guess my question is to Dr.  
4 Glauber, where I personally have argued  
5 against plan accountability on many measures,  
6 perhaps this is one where we could hold the  
7 plans accountable and they would solve the  
8 measurement problem by having access to both  
9 the dental claims data and the medical claims  
10 data in a way that no one else will.

11 DR. LIEBERTHAL: The denominator  
12 is a very complex statement that implies that  
13 a risk assessment be done without stating what  
14 the risk assessment tool is. It includes  
15 primarily Medicaid and CHIP- eligible  
16 children, but I think it equally applies to  
17 commercial insurance children.

18 The communication with the dental  
19 home, under the best of circumstances, is  
20 minimal, if any. You know, the best I can do  
21 is ask the family, have they seen a dentist  
22 for the child, and I assume that the dentist

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1 that they saw now becomes the dental home.

2 DR. DIENER: Well, I'm not sure  
3 that that's a fair assumption. A lot of kids  
4 will see a kid once to pull a tooth and then  
5 that's it.

6 DR. LIEBERTHAL: Well, it's pretty  
7 hard then, for us, to determine whether a  
8 dental home -- the child has a dental home.  
9 So, as you look at the denominator, I don't  
10 think it's a functional denominator.

11 DR. DIENER: The denominator is  
12 all children who have a child and teen  
13 checkup.

14 DR. LIEBERTHAL: Well, that's not  
15 what the denominator statement as submitted  
16 says.

17 It's a long paragraph, all high  
18 risk children, dot, dot, dot, will be  
19 identified by paper and pencil caries risk  
20 assessment tool. And if the child is covered  
21 by Medicaid, CHIP, but does not have a dental  
22 home and then the child is high risk, if a

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1 child is, but they do have a dental home,  
2 other risk factors will be considered. A very  
3 complicated statement to implement.

4 DR. DIENER: Well, in fact, there  
5 are two questions that are asked first, are  
6 you on Medicaid? Yes. Do you have a dentist  
7 who will see you regularly? No. You're high-  
8 risk.

9 Basically, most of these kids who  
10 are on Medicaid or CHIP, by virtue of their  
11 inability to get regular comprehensive dental  
12 care, are high risk.

13 But the ADA, in its fluoride  
14 varnish recommendation, distinguishes between  
15 low risk, moderate risk, and high risk.

16 CO-CHAIR MCINERNEY: But that's not  
17 what the denominator statement says.

18 MS. BROWN: Just two questions.  
19 What does the AAP think about this? I mean,  
20 this is now saying to pediatricians, and other  
21 primary care providers, that they need to take  
22 this on. I'm just wondering what their

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1 position is.

2 And then secondly --

3 DR. DIENER: Well, I'm part of the  
4 AAP Oral Health Initiative. We're going  
5 around the country trying to get the seven  
6 states that are currently not yet reimbursing  
7 to reimburse, and we're working across all the  
8 states where reimbursement is in place to get  
9 as many primary care pediatricians to do  
10 fluoride varnishing as part of well child  
11 care.

12 MS. BROWN: Okay. And second  
13 question is, Carol and I were just muttering,  
14 in essence, this lets the dentist off the  
15 hook.

16 It says, I don't know why you're  
17 not doing this, we wish you would, but we're  
18 going to fill in the gap. And I understand  
19 that.

20 But what's the long-term strategy  
21 to get dentists to respond to this need,  
22 rather than just say, you're not doing it, so

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1 somebody else is going to fill the void, or is  
2 that not --

3 DR. DIENER: Well, some of that's  
4 going to have to come from within the dental  
5 profession, and I can't speak to that.

6 But I am going very soon now to  
7 start a project in Minnesota with the State  
8 Health Department where we're going to try to  
9 create in greater Minnesota oral health zones,  
10 getting all the stakeholders together in a  
11 community, tell them the extent of the  
12 prevalence of caries in their population of  
13 their children, and trying to get them to take  
14 ownership of their problem in their community.

15 Ideally, then, the physicians and  
16 the dentists in that community will figure out  
17 how to work together. Ideally, the physicians  
18 can do the prevention and the counseling of  
19 the caregiver and putting on the varnish if  
20 the dentists will take the train wrecks who  
21 need restorative care.

22 But there's no guarantee that

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1 that's going to fire. I mean, the dentist has  
2 to be willing to participate.

3 CO-CHAIR MCINERNY: This is Tom  
4 McInerny. And I was present for this measure  
5 when it was looked at previously here, and we  
6 did get hung up on the denominator, and I  
7 think we're getting hung up again.

8 Now, the original presentation a  
9 year or so ago, you used the term, EPSDT, but  
10 you now have substituted a term -

11 DR. DIENER: Well, in Minnesota,  
12 the EPSDT exam is called child and teen  
13 checkup.

14 CO-CHAIR MCINERNY: Child and  
15 what?

16 DR. DIENER: Child and teen  
17 checkup.

18 CO-CHAIR MCINERNY: Oh, child --

19 DR. DIENER: That's Minnesota's  
20 name for the EPSDT exam.

21 CO-CHAIR MCINERNY: All right.  
22 Well, thank you. But I still think your

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1 denominator statement is far too complicated  
2 and needs to be simplified significantly.

3 DR. DIENER: Well, I was advised  
4 that what I submitted had addressed the issue.  
5 I'm sorry that -- it is what it is, but I was  
6 told that everything looked great. I mean, I  
7 can redo it and set it up, the denominator,  
8 all kids who get a chart are on the EPSDT  
9 exam, period.

10 MS. BOSSLEY: This is Heidi. I  
11 mean, if this denominator said it was all  
12 exams, would that -- I mean, it sounds like  
13 that might address the committee's concerns.  
14 It sounds like you might be able to do that.

15 DR. DIENER: Right, because the  
16 report that I get from DHS shows by provider  
17 the number of duplicated and unduplicated  
18 EPSDT exam done during the course of the prior  
19 year.

20 The other two columns state the  
21 number of fluoride varnishings done duplicated  
22 and unduplicated.

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1 DR. RAO: You know, I just want to  
2 add that that would solve a lot of problems,  
3 because if this is a progressive measure, what  
4 we're monitoring for is improvement over time.

5 So if they have a dental home, if  
6 they have -- if they're getting care  
7 elsewhere, even if they're not high risk, we'd  
8 still expect each provider's performance to  
9 improve, so that would be helpful.

10 DR. DIENER: And really in very  
11 simple terms, the number of kids who should  
12 get an EPSDT exam and the number of kids who  
13 as part of that EPSDT exam got fluoride  
14 varnish. And you can parse it by child after  
15 that.

16 DR. PERSAUD: The other issue is  
17 the one about age, that this goes up to age  
18 20. And I doubt that there is uniformity  
19 amongst the states for paying for this up to  
20 the age of 20.

21 DR. DIENER: No. Minnesota is,  
22 and a couple of other states are, but you're

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1 right. Some states pay up to age three or age  
2 five or age six or age thirteen.

3 Each state Medicaid program has a  
4 call on the number of varnishings it will pay  
5 for per year, the age of the child who gets  
6 the varnishings, the training that the  
7 provider has to undergo, the codes to be used.

8 All of that is at the state level.

9 DR. PERSAUD: So I guess the  
10 question for us is, you know, what will this  
11 measure drive, if it's not reimbursed to the  
12 same age in different places?

13 Do we expect it to drive  
14 reimbursement, and then to realize from an  
15 accountability perspective, you would get into  
16 issues if you're practicing in the state where  
17 Medicaid is not paying for that benefit past a  
18 certain age.

19 DR. DIENER: My hope is that as  
20 time goes on, those states which are  
21 reimbursing only up to age five or age six  
22 will begin to look at some numbers and see

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1 that they are saving money on expensive  
2 ambulatory surgical care restoring multiple  
3 carious teeth in ambulatory surgery with the  
4 risk of anaesthetic death, ER visits for  
5 abscess teeth, which is only incomplete care  
6 because ER doctors don't pull teeth. They  
7 drill and fill, they tell you to see your  
8 dentist in the morning, and then they treat  
9 pain and infection.

10 I'm hoping that the states, unlike  
11 Minnesota, which is already paying up to the  
12 age of Medicaid eligibility, will move in that  
13 direction.

14 There's no guarantee, but at  
15 least, at least every state that is paying,  
16 and there are only seven that are not, Hawaii,  
17 Oklahoma, Louisiana, Arkansas, Tennessee,  
18 Indiana, Delaware, and the District of  
19 Columbia, are the only states that are not at  
20 this time reimbursing. They're all  
21 reimbursing up to age three.

22 DR. LIEBERTHAL: Two points. One,

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1 I think as we determine what states are  
2 currently paying should not be an issue if  
3 it's scientifically valid and a quality  
4 improvement measure, then what states are  
5 currently paying for it should not be an  
6 issue.

7 Second, when we vote on this, can  
8 we vote on it based on a simplified  
9 denominator?

10 DR. DIENER: I'm perfectly  
11 comfortable with that.

12 DR. PERSAUD: So can someone  
13 summarize what that denominator is?

14 DR. LIEBERTHAL: As I interpret  
15 it, it is that children at EPSDT visits will  
16 get the varnish.

17 CO-CHAIR MCINERNEY: Right. That's  
18 it. The denominator is all children with an  
19 EPSDT exam.

20 MS. BROWN: I've got two data  
21 questions, though. If the dental data and the  
22 primary care practice data in Medicaid can't

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1 be blended or put together in some way, that's  
2 a real problem to this recommendation.

3 If there's a child who is getting it at  
4 a dentist but whoever brings that child in for  
5 an EPSDT visit doesn't know that, so I think  
6 that we need to understand whether or not this  
7 recommendation hinges on the ability to link  
8 those two data sets.

9 And then secondly, if a state  
10 Medicaid program isn't going to pay for  
11 varnishing, then saying that it's to be done  
12 up to age 20 is not useful.

13 I mean, sort of as a political  
14 organizing effort, it is, but in essence,  
15 then, for a state that doesn't pay for it,  
16 then you're asking the dentist or the primary  
17 care provider to do this for free. And I  
18 don't understand that.

19 CO-CHAIR MCINERNEY: Yes. It's  
20 what we call an unfunded mandate.

21 DR. SCHWALENSTOCKER: This is  
22 Ellen. With that thought in mind, I'm

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1 wondering if -- it sounds like this measure is  
2 being considered as a provider or a plan-level  
3 measure, maybe.

4 It might be helpful to make it a  
5 population-based measure, initially, and not  
6 hold individual --

7 DR. JENKINS: But then be holding  
8 Medicaid responsible, not the practitioners --

9 DR. DIENER: I can't understand  
10 what you're saying.

11 DR. PERSAUD: For a cleaner  
12 measure, I'd feel better if the measure went  
13 to age five and it was any oral varnishing,  
14 dental or medical. Because that's what I'm  
15 interested in, are the children getting the  
16 varnish, or not? And if they're not,  
17 etcetera, I mean, that's all in the measure.

18 But that would be a cleaner  
19 measure that is interpretable. Then we can  
20 understand that if they're not getting it,  
21 what it does mean, perhaps, is that the  
22 dentist just can't come through, and then it

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1 may go all medical.

2 And then if we go up to age five,  
3 and that's an age -- or age three, where the  
4 threshold number of states do cover it, well,  
5 then at least we can understand that there may  
6 be barriers beyond just reimbursement and deal  
7 with those and move on.

8 CO-CHAIR MCINERNY: I agree. I  
9 think it's time that we take the vote here.  
10 We're running on. So, let's go ahead.

11 DR. MILLER: Tom?

12 DR. MILLER: Tom, this is Marlene  
13 Miller. I have a question. When you're going  
14 to take a vote on this, it sounds like we're  
15 talking about changing the definition.

16 And if the committee wants you to change  
17 a definition, all the prior reliability and  
18 validity testing is out the water, so I don't  
19 understand exactly how we're going to do this  
20 process.

21 DR. WINKLER: Well, I think that's  
22 the question at hand, and maybe as an

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1 alternative to voting, perhaps we need to, as  
2 we've done with some of the other measures, go  
3 back and kind of put the words in place so  
4 that everybody knows what you'd be evaluating.

5 But it sounds like the developer  
6 is sort of amendable to a lot of this stuff,  
7 so I think if we make it a little bit cleaner,  
8 and then the committee knows exactly what  
9 they're voting on.

10 DR. MILLER: But then all the  
11 testing that's done prior to now doesn't  
12 matter, because it's a new measure.

13 DR. WINKLER: I think that some of  
14 the questions that the committee was asking  
15 actually were clarifications. And I'm not  
16 sure that -- I think we need to find out if it  
17 really changed anything or more just make the  
18 meaning more clear.

19 Does that seem -

20 MS. BOSSLEY: Reva, this one would  
21 be for time-limited anyway.

22 DR. WINKLER: Okay.

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1 MS. BOSSLEY: So there wasn't any  
2 testing provided.

3 DR. WINKLER: All right. But I  
4 still think there's enough -- we need to  
5 clarify the words for everybody.

6 MS. BOSSLEY: Yes, I agree. I  
7 think you all could probably table this  
8 measure, wait until you get something revised  
9 back, and I think, again, it would be time-  
10 limited.

11 DR. WINKLER: Right.

12 MS. BOSSLEY: That doesn't change.

13 DR. DIENER: What does time-  
14 limited mean?

15 MS. BOSSLEY:: You would have to  
16 provide testing information on reliability and  
17 validity within 12 months of endorsement. But  
18 we can talk more offline on what that means  
19 for you.

20 DR. MILLER: This is Marlene  
21 again. I thought I was at a meeting with  
22 Helen Burstin and she said we're not doing

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1 time-limited endorsements anymore.

2 DR. WINKLER: You're breaking up a  
3 lot, and we're not really understanding what  
4 you're saying very readily. Are you on  
5 speaker phone?

6 DR. MILLER: Yes, I've picked up  
7 the handset. Can you hear me better now?

8 DR. DIENER: Much better.

9 DR. WINKLER: Yes, that's better.

10 DR. MILLER: I thought I was at a  
11 meeting with Helen Burstin about a month ago  
12 and I thought time-limited endorsements, we're  
13 not doing anymore.

14 DR. WINKLER: There are limited  
15 times when we do them, and this particular  
16 project, because there is an association with  
17 the CHIPRA efforts around the set of measures,  
18 that is one of the sort of exceptions. And so  
19 for this particular project, that option is  
20 still open.

21 MS. BOSSLEY: Right. And Marlene,  
22 just to give you a little bit more detail on

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1 that, there's really three components to it.

2 One is a legislated mandate or  
3 some expected need for measures. The other  
4 one is there's no other measure within this  
5 category or topic and this measure really does  
6 apply. And I'm, as usual, blanking on the  
7 third one.

8 But if it's within that -- oh,  
9 it's not complex. So it's not a composite or  
10 outcome measure.

11 DR. WINKLER: All right. So, we  
12 agree we'll table it until we can get things a  
13 little more clarified, and then bring it back  
14 to you for a final decision?

15 Break time?

16 CO-CHAIR MCINERNEY: Break time.  
17 Ten minutes. Twenty of.

18 (Whereupon, the above-entitled  
19 matter went off the record at 10:29 a.m. and  
20 resumed at 10:44 a.m.)

21 DR. WINKLER: Okay. We're going  
22 to continue on the topic of oral health,

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1 dental visits, and we're going to start with  
2 measure 1405, oral health access, from NCQA.

3 Martha?

4 MS. BERGREN: Okay. This is a  
5 process measure at the primary care provider  
6 level, and the priority is population health  
7 and care coordination.

8 And the oral health access is part  
9 of the composite well child care at ages two,  
10 six, thirteen, and eighteen. And this is a  
11 four-measure criteria at each of those age  
12 ranges, and it's a chart review criteria.

13 Again, this is oral health access,  
14 which is the most common childhood chronic  
15 condition. And is also a preventable issue.  
16 And when damage occurs, it is irreversible,  
17 and this was all well documented.

18 Some of the concerns from the  
19 members on the importance was, who is the one  
20 responsible when there is non-compliance on  
21 this in the consumer's choice of whether or  
22 not they access dental care?

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1                   And           that           there's           some  
2           disagreements about whose job this is, the  
3           primary care provider's or the dentist's  
4           responsibility.

5                   So the groups that are endorsing  
6           this are the American Academy of Pediatric  
7           Dentists, the ADA, the AAP and its Bright  
8           Futures measure.

9                   There's also the United States  
10          Preventative           Services           Task           Force  
11          recommendation for the oral fluoride  
12          supplementation, which is a B recommendation.

13          But there's insufficient evidence to  
14          recommend for or against routine risk  
15          assessment of preschool children by primary  
16          care clinicians for dental disease prevention.

17                  There's no validated risk  
18          assessment tools, little evidence that the  
19          primary care provider can systematically  
20          assess for risk, little evidence that  
21          counseling or referring high-risk children  
22          leads to fewer caries or reduced dental

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1 disease, and that referral does not result in  
2 subsequent dental visits.

3 The numerator is that an oral  
4 health screen is documented in the medical  
5 record at ages two, six, thirteen, and  
6 eighteen, and the note must mention at least  
7 one of the following: dental treatment by the  
8 PCP, risk assessment by the PCP, referrals to  
9 the dentist, or parent report of a dental  
10 visit.

11 The denominator is that the child  
12 who has turned that age within the measurement  
13 year and had a face-to-face visit that  
14 predated the child's birthday by at least by  
15 12 months.

16 No risk adjustment, no reliability  
17 testing, the validity is the panel of experts  
18 face validity. And again, the method of  
19 extraction is the chart review. And they  
20 estimate that a random sample of 30 to 50  
21 patients in a 2,000 patient practice would be  
22 sufficient.

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1           The measure is not currently in  
2 use, but it was field tested. The usability  
3 was tested, and the feasibility is the chart  
4 review. It's difficult.

5           That's it.

6           CO-CHAIR MCINERNY: Question. The  
7 way this is phrased, it's the responsibility  
8 of the PCP to inquire at least about dental  
9 visits and/or do risk assessment. Is that  
10 correct? Is that the limit of the PCP's  
11 responsibility?

12          MS. BERGREN: To ask and either  
13 assess risk, refer to a dentist, or document  
14 that the patient reported that they have had a  
15 visit.

16          CO-CHAIR MCINERNY: Refer to a  
17 dentist with a note saying that the PCP  
18 advised the parent that the child should be  
19 seen by a dentist, is that considered --

20          MS. BERGREN: It didn't go into  
21 that detail.

22          DR. SCHOLLE: It also includes

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1 treatment, so the kinds of treatment that were  
2 addressed in the previous measure, so sealants  
3 or the varnishes, any of that treatment that  
4 occurs would also count, and a referral would  
5 be that the referral is documented in the  
6 chart.

7 MS. BERGREN: The group that --

8 DR. SCHOLLE: It's a specific  
9 referral, not just a referral generally, you  
10 should see a dentist, but a specific referral.

11 MS. BERGREN: The group that  
12 reviewed this felt 100 percent that it was  
13 important and that there was some differences  
14 of opinion on the outcome measurement, but not  
15 a lot of concerns about this measure.

16 DR.CHEN: Just a question for  
17 Sarah. Referral, you meant actual referral in  
18 terms of insurance referral to like a dentist,  
19 or is it -- I think you said that just writing  
20 down that the patient is referred to a dentist  
21 is not enough, right? It's not sufficient?

22 DR. SCHOLLE: So, different

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1 insurance companies would have different rules  
2 about how to get it, but what we wanted is a  
3 specific referral to a dentist.

4 DR.CHEN: What do you mean by  
5 that? I mean, is it a referral form, an  
6 appointment made, or --

7 DR. SCHOLLE: So, we didn't  
8 specify that an appointment needed to be made,  
9 but that there was a specific referral to a  
10 dentist that was documented.

11 DR. QUIRK: I need clarification.  
12 Being someone who sees patients, when you say  
13 that a referral be made, you're saying that I  
14 have onus of making the phone call and finding  
15 a dentist who will -

16 DR. SCHOLLE: A patient referral  
17 to a dentist. So it wouldn't -- I mean, it's  
18 --

19 DR. QUIRK: I could make a  
20 recommendation to the patient. I refer to a  
21 dentist.

22 Okay, just for precision, in terms

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1 of the terminology as it is generally used in  
2 health care. Do you understand my -- because  
3 to have to call and make a referral puts an  
4 enormous burden on a practice.

5 DR.CHEN: So, just to give an  
6 example, where I am, we don't actually have  
7 dentists -- our dentists no longer take our  
8 kids, basically, because of insurance reasons.

9 So what we do is we generate a  
10 list of community dental providers that would  
11 see these kids, and we give them that list.  
12 Would that count as referral?

13 DR. SCHOLLE: Yes.

14 DR. QUIRK: Okay.

15 DR. GLAUBER: Question for NCQA.  
16 Since this is a health plan measure and  
17 requires chart review, this would be based on  
18 a sample of children, correct? So --

19 DR. SCHOLLE: This is actually  
20 specified at the provider level rather than at  
21 the health plan level.

22 MS. BERGREN: We have a different

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1 issue, that it's the HEDIS measure, but this  
2 is the physician level.

3 DR. SCHOLLE: So this is intended  
4 to come from the chart, but so the entire set  
5 of composite measures that -- when we've said  
6 that it's part of a composite and it's  
7 provider-level, those are based on reporting  
8 from a provider organization, a practice, or a  
9 physician.

10 DR. GLAUBER: But are they pulling  
11 a sample of kids who have had a face-to-face  
12 visit in the last year, or a comprehensive  
13 well visit?

14 Because I could only imagine that  
15 this level of activity occurs during a well  
16 visit. So if we're also including kids that  
17 didn't have a well visit but just had an  
18 illness visit, then that's going to contribute  
19 to variability in performance.

20 DR. SCHOLLE: So in the  
21 denominator for these provider-level measures,  
22 we struggle with, how do we define the

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1 population that that provider is responsible  
2 for.

3 In the health plan, it's people  
4 that are enrolled, and usually we have some  
5 kind of continuous enrollment criterion.

6 For provider-level measures, the  
7 way we've managed that is we look for  
8 somebody, for the child who's had their second  
9 birthday and who have had evidence of an  
10 ongoing relationship with this provider as  
11 determined by they had a visit that predates  
12 the child's birthday by at least 12 months.

13 So, what we're saying, they're  
14 selected -- the way the sampling selection  
15 goes is that they take a date and they take a  
16 consecutive sample of children who have had a  
17 visit.

18 And then we look to see, has this  
19 provider seen this child -- seen this child  
20 sometime before a year ago, because that tells  
21 us, well, at least that child was having care  
22 from this practice for a year?

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1                   Now, I can see that sometimes kids  
2 would go and come and go and come, but  
3 generally, what we're trying to do is  
4 establish that there's been an ongoing  
5 relationship with a practice, and so a visit -  
6 - but not necessarily a visit during that  
7 measurement year during that 12-month period.

8                   And all of these measures have a  
9 look-back period of two years, except for --  
10 so that if they addressed it eighteen months  
11 ago, it would still count.

12                   We don't expect them to have a  
13 visit -- and not all children of the age  
14 groups that we're looking at are going to have  
15 a visit every year or a well child visit every  
16 year, so we're trying to allow for that.

17                   DR. WINKLER:           Any further  
18 discussion? Are you ready to --

19                   DR. JENKINS:        Could I ask what,  
20 when it says a risk assessment performed by  
21 the primary care clinician, what would a risk  
22 assessment be?

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1 DR. SCHOLLE: I'm looking to see  
2 if our specs have details on that. We don't  
3 have specific language, other than I believe  
4 that we were building that term based on our  
5 knowledge that AAP was recommending this risk  
6 assessment.

7 So what we wanted to see is that  
8 they were looking -- we originally tested this  
9 with something that said, did they look in  
10 their mouth, and that wasn't good enough.

11 And when we got back from our  
12 panel, you know, we said, okay, did they look  
13 in their mouth? Did they do other stuff?

14 So what we wanted to do was get  
15 some sense of whether they were thinking about  
16 dental risk and so we left it at that. This  
17 is --

18 DR. WINKLER: Decision time?  
19 Okay.

20 How many on the committee feel  
21 this measure 1405 meets the importance  
22 criteria? Thirteen.

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1                   How many say no?

2                   And Ellen and Marlene, you're on  
3 the phone?

4                   DR. SCHWALENSTOCKER: I say yes.

5                   DR. MILLER: I agree.

6                   DR. WINKLER: Both yeses, thank  
7 you.

8                   Okay. So moving on to the  
9 scientific acceptability of the measure, how  
10 many feel the measure meets the criteria  
11 completely?

12                   Partially? Ten.

13                   Minimally?

14                   Not at all?

15                   Okay. Marlene and Ellen?

16                   DR. MILLER: I'd say minimally.

17                   DR. WINKLER: Okay. Ellen?

18                   DR. SCHWALENSTOCKER: I vote  
19 partially.

20                   DR. WINKLER: Okay. And for  
21 usability, how many feel it meets the criteria  
22 completely? Two.

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1 Partially? Four.  
2 Minimally?  
3 Are there any not at all?  
4 Okay. And Marlene and Ellen?  
5 DR. MILLER: Minimally.  
6 DR. WINKLER: Ellen?  
7 DR. SCHWALENSTOCKER: Partially.  
8 DR. WINKLER: Okay. Feasibility,  
9 how many feel it meets it completely? None.  
10 Partially? Seven.  
11 Minimally?  
12 Any nones?  
13 Okay. Marlene and Ellen?  
14 DR. MILLER: Minimally.  
15 DR. WINKLER: Okay. Ellen?  
16 DR. SCHWALENSTOCKER: Partially.  
17 I guess I'm a higher grader.  
18 DR. WINKLER: Okay. Not a  
19 problem. And in terms of recommendation for  
20 endorsement, how many would vote yes? Four.  
21 Okay, okay.  
22 How many would say no?

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1 Marlene and Ellen?

2 DR. MILLER: I'm a no.

3 DR. WINKLER: Okay. Ellen?

4 I can't hear you.

5 DR. SCHWALENSTOCKER: All right,  
6 yes.

7 DR. WINKLER: Again, another close  
8 one. It was seven yes and eight no. Yes.  
9 Okay.

10 All right. We have another dental  
11 visit measure, 1388, so we'll move into --

12 MS. BERGREN: Okay. 1388 is the  
13 percent of members two to twenty-one who had a  
14 dental visit in the calendar year.

15 It's an access measure at the health  
16 plan level, and a population health care  
17 coordination measure, and will be collected  
18 via claims data, administrative data.

19 Again, all the importance was  
20 documented. It's the most common childhood  
21 chronic condition. It's irreversible. Once  
22 it occurs, damage occurs.

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1                   Again there was concerns about who  
2                   is responsible for the outcome. Recommended  
3                   by the American Academy of Pediatric Dentists,  
4                   the ADA and the AAP.

5                   And again, the same concerns with  
6                   the Preventative Task Force recommendations.

7                   The numerator is one visit in one  
8                   year detected on the claims encounter, and the  
9                   denominator is all plan members ages two to  
10                  twenty-one.

11                  There's no risk adjustment, no  
12                  reliability testing. There's face validity,  
13                  and it's a current HEDIS measure. And there  
14                  are not multiple data sources.

15                  There has been some testing done.  
16                  The feasibility, there were some concerns  
17                  about the feasibility. When dental visits are  
18                  not covered by insurance, this might lead to  
19                  some inaccuracy in the medical claims data.

20                  And 23 percent of children don't  
21                  have dental insurance. And 29 percent of  
22                  children that do have dental insurance have

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1 Medicaid, and they are much less likely to be  
2 able to access a dental care provider even if  
3 they have insurance.

4 Okay. That's all I've got.

5 DR. LIEBERTHAL: I don't see how a  
6 health plan can be held accountable for this,  
7 because in our bifurcated world of medical  
8 insurance and dental insurance or very often  
9 non-insurance, the health plan has absolutely  
10 no control over whether a child sees a  
11 dentist.

12 All we can do is recommend that  
13 they see a dentist, but whether they actually  
14 see one is far beyond the control of the  
15 medical health plan.

16 DR. SCHOLLE: This measure is only  
17 for Medicaid health plans and for children who  
18 have a dental benefit as part of their  
19 Medicaid coverage, so that's covered in the  
20 specifications.

21 DR. LIEBERTHAL: And, but again,  
22 the health plan would not be -- have control

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1 over the -- whether the child exercised that  
2 insurance, and they're two entirely different  
3 -- well, I don't know if they're different  
4 billing systems, but the health plan doesn't  
5 have access to that dental billing system.

6 MS. CARLSON: I guess speaking as  
7 a health plan in a state where Medicaid does  
8 offer the dental benefit, health plans do have  
9 access to the dental claims, and they are held  
10 accountable.

11 Actually, it's a P for P measure.

12 It has been in place for several years now,  
13 and it seems to be working, although, so  
14 access to data shouldn't be the issue.

15 I think the greater issue is  
16 access to providers, which is sort of a  
17 universal issue nationwide. So, I guess as a  
18 health plan, I wouldn't consider this an issue  
19 in terms of data retrieval or extraction.

20 DR. GLAUBER: And I think there's  
21 a whole range of HEDIS measures for which  
22 health plans are held accountable where we

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1 would argue that we have limited ability to  
2 impact the outcome.

3           You know, colonoscopy screening,  
4 there's only so much your health plan can do  
5 to get you to do that, but yet we're measured  
6 by it, and we certainly undertake effort to  
7 encourage and educate members about the  
8 importance of it.

9           So, I don't think that should be a  
10 disqualifier for a measure that a health plan  
11 has limited ability to impact the outcome.

12           DR. LIEBERTHAL:       Well, for  
13 something in the medical field, the health  
14 plan can outreach to the patient and arrange  
15 for the visit with the specialist who does the  
16 procedure, you know.

17           And they do have control,  
18 mammography, colonoscopy, pap screening,  
19 immunizations, of course. But when you cross  
20 over -- at least in my view, when you cross  
21 over to the dental field, the ability to  
22 impact it in any way lapses. I may be wrong.

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1 I may be wrong.

2 DR. SCHOLLE: May I just clarify?

3 The specifications take that into account.  
4 So this is -- for Medicaid plans, this is  
5 specified as a measure for Medicaid plans only  
6 because dental is often -- it's an allowable  
7 benefit under Medicaid. Not all states  
8 exercise that optional benefit.

9 So, however, in the  
10 specifications, we go further and say the  
11 measure only applies to children who have the  
12 dental benefit.

13 So, in that way, we've tried to  
14 take into account those concerns about whether  
15 or not the health plan -- whether or not this  
16 is a covered benefit for this particular  
17 child, so that when we're making comparisons  
18 across health plans, we're looking at health  
19 plans that have this as a benefit for their  
20 children.

21 And it does -- it's really an  
22 access to dental care measure. So it's

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1 looking to see whether they had a dental  
2 visit, and it can identify problems.

3 We realize the problems in  
4 networks, and availability of pediatric  
5 dentists or dentists who take Medicaid. The  
6 issue is to be able to make comparisons across  
7 states or across health plans.

8 DR. LIEBERTHAL: Yes, I'm not  
9 arguing about the importance, or that this is  
10 an extremely important issue, just where the  
11 accountability lies.

12 And I'm speaking from the  
13 perspective of California and an HMO in  
14 California, where I think it would be very  
15 difficult.

16 Now, again, I don't know how other  
17 states work, but in California, which is the  
18 MediCAL, which is our Medicaid program,  
19 contracts with companies that have been formed  
20 for the express purpose of following the care  
21 of Medicaid patients.

22 And I could see -- so in our area

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1 it's called LA Care, so I could see LA Care  
2 being held accountable, because they're  
3 totally accountable for all the care the child  
4 receives.

5 But I couldn't see Kaiser being  
6 held accountable. So I think it's just a  
7 matter of where you designate accountability.

8 MS. CARLSON: And I think for this  
9 measure, it is designated down to the health  
10 plan level. If the health plan has contracted  
11 with the state for the Medicaid dental  
12 benefit, then this measurement would apply to  
13 that health plan.

14 If the health plan has not  
15 contracted with the state to provide that  
16 benefit, then they would not be measured using  
17 this metric.

18 DR. ZIMA: I think this additional  
19 information is really helpful, and I would  
20 recommend that some of these caveats, even  
21 though it's stated in here, it says HEDIS.

22 For those that may not be HEDIS

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1 gurus, if it could be repetitive and built  
2 into that numerator, I think it would help.

3 DR. PERSAUD: I would say this is  
4 probably a progressive measure in that this is  
5 something that can't be substituted for in  
6 children's care.

7 And I was asked, you know, well,  
8 isn't oral varnishing enough? And no, it's  
9 not.

10 They do need dental care, and the  
11 primary care pediatricians have had multiple  
12 measures in place. And the plan I have seen  
13 work with providers who aren't doing checkups.

14 And I think maybe it's an  
15 opportunity for plans to work with dentists,  
16 because we don't have a replacement for this  
17 yet.

18 DR. WINKLER: Okay. Decision  
19 time. For the committee, for this measure,  
20 1388, annual dental visits, how many believe  
21 it meets the importance criteria?

22 Yes?

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1 Marlene and Ellen?

2 DR. MILLER: Yes.

3 DR. WINKLER: Ellen?

4 DR. SCHWALENSTOCKER: Sorry, I was  
5 on mute. Yes.

6 DR. WINKLER: Thank you. Are  
7 there any no votes? No, okay.

8 All right. Under scientific  
9 acceptability, how many believe it meets the  
10 criteria completely?

11 CO-CHAIR MCINERNY: Can I ask, is  
12 that if we're understanding that this applies  
13 only to those plans that provide a Medicaid  
14 dental benefit?

15 DR. WINKLER: Okay. Yes. I think  
16 we will ask NCQA to maybe make that a little  
17 bit more explicit in the specs, to make it  
18 very clear for everyone.

19 Completely meet the criteria for  
20 scientific acceptability?

21 Partially meet? Six.

22 Minimally meet?

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1 Ellen and Marlene?  
2 DR. MILLER: Partially.  
3 DR. SCHWALENSTOCKER: Partially.  
4 DR. WINKLER: Okay. And for  
5 usability, completely meets, how many?  
6 No, partially meets?  
7 Minimally? Okay.  
8 Ellen and Marlene?  
9 DR. MILLER: Minimally.  
10 DR. SCHWALENSTOCKER: Partially.  
11 DR. WINKLER: Okay. And  
12 feasibility, completely meets?  
13 Partially meets?  
14 Minimally? One.  
15 Marlene and Ellen?  
16 DR. MILLER: Partially.  
17 DR. SCHWALENSTOCKER: Partially.  
18 DR. WINKLER: Okay, thank you. So  
19 recommendation for endorsement, how many on  
20 the committee vote yes?  
21 How many no's? Any abstentions?  
22 All right.

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1 Marlene, Ellen?

2 DR. MILLER: I'm a no.

3 DR. WINKLER: Okay.

4 DR. SCHWALENSTOCKER: I vote yes.

5 DR. WINKLER: Okay. Okay, it's 14  
6 yeses, one no, one abstention. Okie dokie.

7 It's 11:15 or getting very close  
8 to 11:15, and we're expecting another measure  
9 developer to join us for measure 1448.

10 Is somebody from CAHMI on the  
11 line?

12 MS. REULAND: Yes, I am. This is  
13 Colleen Reuland.

14 DR. WINKLER: Hello, Colleen. How  
15 are you?

16 MS. REULAND: I'm good, thank you.

17 DR. WINKLER: All right. Measure  
18 1448 is from work group two, and I'll give  
19 everybody a chance to pull that up, 1448.

20 This is developmental screening in  
21 the first three years of life. The  
22 description is, the percentage of children

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1 screened for risk of developmental, behavioral  
2 and social delays, using a standardized  
3 screening tool in the first three years of  
4 life.

5 This is a measure of screening in  
6 the first three years of life that includes  
7 three age-specific indicators assessing  
8 whether children are screened by 12 months of  
9 age, by 24 months of age, and by 36 months of  
10 age.

11 And Marina, I believe this goes to  
12 you, yes?

13 CO-CHAIR WEISS: All right.  
14 Fortunately we have Colleen on the phone so  
15 that she can go ahead and make some comments  
16 about this particular measure.

17 And also, we've got with us Sarah  
18 from NCQA who can talk about it and its  
19 relationship to the next measure that we'll be  
20 looking at. So those two together seem to me  
21 to make sense to think of them as a pair.

22 1448, as Reva said, is a process

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1 measure. It addresses the first three years  
2 of life. It's a developmental, behavioral,  
3 and social delays, standardized screening, and  
4 it's a process measure.

5           Beyond that, the rationale for the  
6 measure is that findings indicate that about  
7 20 percent of children are screened in the  
8 first five years of life, but despite that  
9 evidence, the number that actually get into  
10 treatment who require it is relatively low.  
11 So this is intended to push behavior on the  
12 part of providers.

13           Let me go to denominator and  
14 numerator. Let's see, USPTF notes, I should  
15 say, that the evidence is not as strong as it  
16 could be. And so I would want to explore a  
17 little bit of that with both NCQA and also  
18 with Colleen.

19           And in particular, they note the  
20 absence of a focus on certain conditions such  
21 as autism. There is a rationale to that, but  
22 I'll leave it to the developers to talk about

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1 that a little bit.

2 There are some -- there is a list  
3 of well-established and tested tools that can  
4 be used for this screening, which appears in  
5 your materials. And that list has been  
6 harmonized between the NCQA measure and the  
7 CAHMI measure as I understand it from the NCQA  
8 staff who are here.

9 Let me go to the denominator and  
10 numerator, hang on here a minute.

11 DR. SCHOLLE: Do you want us to  
12 comment on the autism issue?

13 CO-CHAIR WEISS: Yes, that would  
14 be great, while I'm looking for this.

15 DR. SCHOLLE: So, we actually have  
16 two separate measures. For NCQA we use  
17 developmental screening and autism, because  
18 they're different tools, screening tools  
19 recommended.

20 And so developmental screening can  
21 identify autism, but there are autism-specific  
22 tools for screening, so our panel recommended

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1 splitting them out.

2 MS. REULAND: This is Colleen from  
3 the CAHMI. The recommended periodicity for  
4 developmental screening is different than for  
5 autism screening. And the measure -- we  
6 wanted this measure to map to the core measure  
7 that's recommended by CMS and SNAAC, and that  
8 core measure was anchored to the ABCD  
9 initiative, which was anchored to  
10 developmental screening.

11 And just to kind of note that the  
12 synergy -- the measure that we're talking  
13 about right now is a state-level measure, and  
14 then the measure that NCQA is going to be  
15 talking about is a physician-level measure,  
16 but they're in synergy for a certain age.

17 CO-CHAIR WEISS: Okay, so the  
18 denominator is broken down by age. Members  
19 who turn twelve months of age during the  
20 measurement year, members who turn twenty-four  
21 months of age, members who turn thirty-six  
22 months of age of the measurement year.

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1                   And the numerator -- I'll tell you  
2                   in all candor, I had difficulty following this  
3                   write-up. The other one was a little bit more  
4                   clear to me.

5                   Do you want to speak to that?

6                   MS. REULAND: Sure. This measure  
7                   is a hybrid measure, so for the numerator for  
8                   claims data, it's a developmental testing or  
9                   screening code, a 96110. And then for the  
10                  medical chart, it's the documentation that  
11                  date of screening that the screening tool was  
12                  used and evidence that the screening tool was  
13                  completed and scored.

14                  We list criteria for the type of  
15                  screening tools that meets the definition of  
16                  developmental screening for risk for  
17                  developmental, behavior, social delays, in  
18                  terms of domains and in terms of specific  
19                  attributes, in terms of reliability and  
20                  validity. And then we list specific tools  
21                  that currently meet that criteria.

22                  The reason we approached it that

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1 way is that we wanted to also allow for  
2 flexibility that if future tools were  
3 developed that met the reliability, validity,  
4 and developmental domain, that the measure  
5 wouldn't be constrained to tools that exist  
6 right now.

7 But we wanted to list the tools  
8 that meet the criteria right now at the same  
9 time.

10 CO-CHAIR WEISS: All right. So  
11 that's pretty much what I've got.

12 DR. ZIMA: I'd like to say that I  
13 think this measure carefully stays within the  
14 scope of the measure, and I thought it was  
15 quite wise to make the exclusion of autism,  
16 because I think that for our children who do  
17 meet the diagnostic criteria for autism or  
18 things like mild to moderate mental  
19 retardation, the implications for the types of  
20 services that they can access is very  
21 different.

22 And I think it would be more

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1 complicated to interpret adherence to this  
2 measure if those more severely delayed  
3 children and more globally delayed children  
4 were included.

5 CO-CHAIR WEISS: So this is a care  
6 coordination measure, and it's also population  
7 health-based. And one of the things that  
8 probably should be pointed out is that there,  
9 of course, are disparity issues associated  
10 here, particularly in the income and financial  
11 arena.

12 DR. JENKINS: One question I had  
13 was in terms of the hybrid, whether the CPT  
14 codes in the claims data were reflective of  
15 the use of the validated instrument, or not.

16 And I completely understand the  
17 weakness of the codes there, but I'd just like  
18 to know the answer before we vote.

19 MS. REULAND: Speaking to the end  
20 part of that question, I want to make sure to  
21 address the question. I couldn't hear -- I  
22 know you said that you wanted to know about

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1 the use of the claims code, but I couldn't  
2 hear the end of your question.

3 DR. JENKINS: That's my only  
4 question. That's my only question.

5 MS. REULAND: Yes. In terms of  
6 the claims, there's kind of state-level  
7 variability in terms of validity of claims,  
8 which is partially why we made sure that it  
9 was a hybrid measure to include claims and  
10 medical chart data.

11 And within the ABCD -- so this  
12 measure is building off the ABCD screening.  
13 Academy states experiences, and each of those  
14 states have kind of created state-level  
15 policies for what kind of codes could meet the  
16 96110.

17 What codes or what kind of tools  
18 could be used in order for them to bill for  
19 96110? When we had our stakeholder call with  
20 over 50 people from the screening academy  
21 states to review the measure, we did ask them  
22 if any of the states had done validity testing

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1 of when a 96110 is billed, what do they see?  
2 And they had not done that work yet, and were  
3 planning -- some of them were planning to  
4 explore it.

5 I know through -- in Oregon, we're  
6 actually planning to explore the validity of  
7 it through our CHIPRA demonstration grant.

8 DR. JENKINS: But in general, most  
9 states, whatever their roles are, that allow  
10 billing under the 96110, only allow billing  
11 using an instrument that would meet the  
12 reliability criteria on the list of measures  
13 here?

14 MS. REULAND: The reliability  
15 criteria that we listed here was built off  
16 what a number of states in the ABCD screening  
17 academy had used in terms of a criteria that  
18 they laid out for what tools counted.

19 And because the SNAAC measure was  
20 supposed to build off the ABCD screening  
21 academy, we built off that work that they  
22 established.

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1                   So, for example, Minnesota,  
2                   Illinois, Connecticut, I'm thinking of another  
3                   state, all have this kind of criteria built  
4                   into their state policies organ.

5                   MS. BROWN: Can you all comment on  
6                   the fact that the USPSTF sort of is not in  
7                   favor of this? Is it that you feel that these  
8                   other groups see it differently, or you're  
9                   just more persuaded by them?

10                   Or is the complex of services that  
11                   that group reviewed, the Preventative Services  
12                   Task Force, is that importantly different from  
13                   what this measure is about?

14                   MS. REULAND: I think what makes  
15                   this measure complex, and also what makes it  
16                   so valuable, is that it's identifying children  
17                   at risk for developmental and behavioral  
18                   social delays, and that some of those children  
19                   will have developmental enhancement and  
20                   promotion that actually pushes them to not  
21                   have some of the developmental delays.

22                   I think also one of the other

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1 parts that is a bit complex is that the  
2 screening happens and then most of the -- a  
3 lot of the treatment happens in a different  
4 health system.

5 And so I think that's what's made  
6 some of the screening recommendations a bit  
7 complex, because you're talking about  
8 something that has a pretty long trajectory in  
9 terms of child health, and so therefore, your  
10 immediate outcome isn't as clear.

11 MS. BROWN: I understand that.  
12 I'm asking you to comment on the U.S.  
13 Preventative Services Task Force, who, at  
14 least in this brief, it says that they did not  
15 recommend this type of screening.

16 I'm just wondering why you  
17 disagree with them. And it could be because  
18 you have better data or different data, or  
19 because what you're recommending is different  
20 from the complex of services that that task  
21 force reviewed.

22 MS. REULAND: Yes. Sorry, We're

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1 anchored to what is recommended -- the  
2 recommendations that are recommended by the  
3 Bright Futures, which cuts across all  
4 pediatric providers, and that is now part of  
5 legislation.

6 MS. BYRON: Just to be clear, the  
7 Task Force did not address it. So it's not  
8 that they reviewed it and rejected it. They  
9 just didn't address it.

10 DR. SCHOLLE: I'm sorry, they rely  
11 on Bright Futures?

12 No, those are separate. Those are  
13 separate. So Bright Futures is from HHS,  
14 right, you know Bright Futures.

15 So the challenge is that the Task  
16 Force evaluates issues where there's an  
17 evidence base to support it, and one of the  
18 challenges with child measures and preventive  
19 services is that there aren't randomized  
20 controlled trials.

21 There aren't -- and some of the  
22 outcomes that we're interested in, you'd have

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1 to have like huge randomized control trials  
2 that look over 20 years to see what the  
3 outcomes are.

4 So that lack of evidence actually  
5 means that it's hard to do the kind of  
6 evidence review that the task force does.

7 Bright Futures looks at evidence  
8 -- I think it's called evidence-informed  
9 instead of evidence-based, because it does try  
10 to take into account what we know about the  
11 risk and what we know about the risk of  
12 developmental delays, that children are at  
13 risk.

14 If they're identified too late,  
15 they get into services late, and you've missed  
16 the opportunity for intervention, and so I  
17 think that's part of the challenge that we see  
18 here.

19 CO-CHAIR WEISS: To the extent  
20 that USPTF was involved in this at all, Sarah  
21 and Colleen, did they look at anything beyond  
22 speech and language?

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1 MS. BYRON: So the U.S.  
2 Preventative Services Task Force did not  
3 address this. It doesn't mean they will  
4 never, and actually, a lot of different things  
5 go into what makes them take on a certain  
6 topic.

7 You know, they actually solicit  
8 feedback from the public to say, what are  
9 topics that you think are happening in primary  
10 care that you would like to request an  
11 evidence review for, and -- or they'll do kind  
12 of an environmental scan.

13 I don't recall where this falls on  
14 their prioritization list, but I think that we  
15 have to understand that they have not  
16 addressed it.

17 So they have not come out with a  
18 statement for or against it, and we really  
19 can't say anything about the evidence --

20 CO-CHAIR WEISS: So the statement,  
21 then, on page 5 is incorrect when it says that  
22 USPTF concludes and then goes on to describe

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1 what the conclusion --

2 MS. BYRON: Let me -- yes, I'll  
3 have to look and see what that says.

4 I think, yes, they address autism.  
5 Let's see.

6 DR. SCHOLLE: The Task Force  
7 concluded evidence was insufficient to  
8 recommend for or against the use of brief  
9 formal screening instruments in primary care  
10 to detect speech and language delay in  
11 children.

12 However, this didn't address  
13 autism specifically.

14 Okay, so it's insufficient  
15 evidence, rather than not saying an A or a B  
16 or a D recommendation, insufficient evidence.

17 So it's that issue about there not being  
18 enough evidence to make a decision.

19 MS. REULAND: Related to speech  
20 and language, but I don't think they reviewed  
21 developmental.

22 DR. SCHWALENSTOCKER: This is

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1 Ellen. Can I just ask a question for  
2 clarification, that the measure listed as  
3 potentially a care coordination measure, and a  
4 couple of times people have mentioned early  
5 intervention, but I'm only seeing the measure  
6 as measuring if screening happened, not that  
7 referral or intervention actually happened.  
8 Is that correct?

9 CO-CHAIR WEISS: It's described,  
10 Ellen, it's described strictly as a screening  
11 measure. Is that correct, Colleen?

12 MS. REULAND: That's correct.  
13 Because it's kind of the first step to  
14 understand, were those kids screened who were  
15 identified at risk.

16 Then the next step would be care  
17 coordination, so it's the building block of  
18 it, but it doesn't address care coordination  
19 specifically, Ellen.

20 DR. SCHWALENSTOCKER: All right.  
21 Thanks, Colleen.

22 DR. WINKLER: Does everybody feel

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1 you've discussed enough?

2 All right, for the committee, how  
3 many feel this measure 1448 meets the criteria  
4 for importance?

5 Any no's here? No.

6 Ellen, Marlene?

7 DR. MILLER: Yes.

8 DR. SCHWALENSTOCKER: Yes.

9 DR. WINKLER: So we'll move on to  
10 scientific acceptability for this measure.  
11 How many feel it completely meets the  
12 criteria?

13 Partially meets the criteria?

14 Minimally meets the criteria?

15 Marlene and Ellen?

16 DR. MILLER: Partially.

17 DR. SCHWALENSTOCKER: I agree,  
18 partially.

19 DR. WINKLER: Okay, thank you.  
20 Usability. How many feel it completely meets  
21 the criteria? No?

22 Partially meets the criteria?

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1 Minimally meets the criteria?  
2 Ellen and Marlene?  
3 DR. MILLER: Partial.  
4 DR. SCHWALENSTOCKER: Partial.  
5 DR. WINKLER: Okay. And finally,  
6 feasibility, how many feel it meets the  
7 criteria completely?  
8 Partially?  
9 Okay. Minimally?  
10 All right. And Ellen and Marlene?  
11 DR. MILLER: Minimally.  
12 DR. WINKLER: And Ellen?  
13 DR. SCHWALENSTOCKER: Partially.  
14 DR. WINKLER: Okay. Good. Now  
15 recommendation for endorsement? How many  
16 yeses?  
17 Any no's?  
18 How many abstains? No?  
19 Ellen, Marlene?  
20 DR. SCHWALENSTOCKER: Yes.  
21 DR. WINKLER: All right, I heard  
22 yes from Ellen. Marlene?

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1 DR. MILLER: Oh, I said yes.

2 DR. WINKLER: Oh, okay, I'm sorry.

3 All right. 15 yes, 1 no, no abstentions.

4 Okay.

5 So we need the next measure -- I  
6 think is 1399. This is developmental  
7 screening by two years of age. This is the  
8 measure from NCQA.

9 CO-CHAIR WEISS: Right. This is a  
10 process measure population health priority,  
11 and it relates not only to screening but also  
12 proper follow-up between six months and two  
13 years of age.

14 It's an NCQA measure, both  
15 publicly reported and intended to drive  
16 quality improvement.

17 It's described as fully developed  
18 and tested. You know the rationale behind it.

19 And let's see. We're looking at,  
20 obviously, there's a disparities issue. Here  
21 -- one study cited found that only 23 percent  
22 of low-income children receive recommended

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1 preventive and developmental services. And of  
2 course, we know that there's been a chronic  
3 problem across the country with full  
4 compliance with EPSDT.

5 The USPTF did not review  
6 developmental screening generally. Rather,  
7 the Task Force reviewed the routine use of  
8 brief and formal screening instruments in  
9 primary care dealing with speech and language,  
10 and the recommendation received an I  
11 statement, which Sarah can expound upon if  
12 interested.

13 Let's talk about -- with the  
14 numerator and the denominator here, the  
15 numerator -- well, let's start with the  
16 denominator.

17 The denominator are children who  
18 turn two years of age between January 1<sup>st</sup> of  
19 the measurement year and the end of that  
20 calendar year and who had documented face-to-  
21 face visits between clinician and the child.

22 The numerator, children who had

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1 documentation in the medical record of a  
2 screening for risk of developmental,  
3 behavioral, and social delays prior to the age  
4 of two.

5 CO-CHAIR WEISS: All right.

6 MS. BYRON: This is Sepheen. I  
7 just want to make a quick clarification,  
8 because I noticed a mistake in our form.

9 So, we updated 2A measure  
10 specifications to be documentation of  
11 screening and with the standardized tool. And  
12 as Colleen noted, we aligned this and  
13 harmonized the two measures together.

14 And that correction did not make  
15 it into the very beginning, where we actually  
16 said screening plus follow-up. So that part,  
17 in the very beginning of the form, is  
18 incorrect. But under 2A, it's correct.

19 CO-CHAIR WEISS: And I should  
20 underscore that there is an established and  
21 evidence-based list of tools to be used in  
22 this screening.

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1 DR. JENKINS: So I guess the  
2 question is, is this measure exactly as the  
3 same as the middle measure that we just  
4 addressed?

5 CO-CHAIR WEISS: Not exactly,  
6 although there is some effort --

7 DR. JENKINS: Could I understand  
8 how it's different?

9 DR. SCHOLLE: It's complementary  
10 to the other -- so the other measure is  
11 intended for claims or chart review.

12 This is at a population level,  
13 state, or health plan level, and this is  
14 specified at the provider level for chart  
15 review reporting.

16 So it is -- this is the same as  
17 the middle part -- you know, one of the  
18 indicators in the other. But we're trying to  
19 specify it for a different reporting.

20 DR. PERSAUD: The denominator  
21 statement in this measure has that -- the  
22 denominator is children who have turned two

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1 and had a face-to-face encounter, whereas the  
2 prior measure didn't specify that.

3 DR. SCHOLLE: Right. Well, it's  
4 for -- so that face-to-face visit is in the  
5 previous year, right, to establish that the  
6 provider has an ongoing relationship with this  
7 patient? So it's not requiring a visit during  
8 that year.

9 DR. CLARKE: But that's not when  
10 the screening takes place. Is that correct?

11 DR. SCHOLLE: The screening can  
12 take place any time between 12 months and two  
13 years, and any documentation in the record  
14 that the screening using a standardized tool  
15 was done, so that would allow if the -- even  
16 if -- I mean, a child's going to have a visit  
17 between 12 and 24 months, we'd expect, if they  
18 have that ongoing relationship.

19 But if they didn't, and somehow  
20 there was documentation from a daycare  
21 provider or somewhere else, that would count  
22 as well.

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1 CO-CHAIR WEISS: And the prior  
2 measure went to 36 months, did it not, Sarah?

3 DR. SCHOLLE: The prior measure  
4 had three rates, one for in the first 12  
5 months, one for between 12 and 24 months, and  
6 the other rate is between 24 and 36 months.  
7 So it's looking at screening according to the  
8 AAP recommendations.

9 This measure, we designed it to be  
10 part of that bigger composite measure that  
11 we're trying to build.

12 DR.CHEN: Is that why you picked  
13 two years instead of three years? Is there  
14 any evidence to support that two years is  
15 critical compared to three years of age?

16 DR. SCHOLLE: So, the reason for  
17 using that two years is because -- the reason  
18 we chose age two is because age two is when  
19 there's already measures that look at whether  
20 immunizations are up to date by age two. And  
21 so we're trying to fold in all the recommended  
22 services for children who turn age two. So

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1 that's why we selected that age.

2 Yes?

3 MS. BERGREN: I just wondered if  
4 an exclusion should be a child that's already  
5 being -- already part of an early childhood  
6 intervention program.

7 MS. REULAND: It would be an  
8 exception.

9 DR. SCHOLLE: Okay, we did talk  
10 about that. So this becomes a challenge about  
11 how to document that.

12 In the record, and particularly in  
13 other -- in claims data where children may be  
14 in early intervention and the early  
15 intervention records aren't available to the  
16 claims, so if you were trying to do this from  
17 claims or from other records, you might not  
18 have that.

19 The way we would envision this  
20 being reported, rather than as an exclusion  
21 from the denominator, it would be recorded as  
22 an exception by the provider that is reporting

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1 it. Since it's a provider-level measure, it  
2 would be a way for the provider to say, this  
3 child doesn't -- this measure doesn't apply  
4 because the child is already in services.

5 DR. JENKINS: I'm sorry, what's  
6 the difference between an exception versus an  
7 exclusion?

8 DR. SCHOLLE: So, actually, part  
9 of this -- an exclusion is this service is  
10 never appropriate for this child, okay? And  
11 you remove them from the denominator.

12 So one of the discussions that we  
13 had was, well, you know, there might be some  
14 children who are in services for whom doing  
15 this screening and having some sort of  
16 updated, are they on track, where are they,  
17 makes sense.

18 It's not exactly primary screening  
19 anymore, but the -- our panel felt like it  
20 made more sense to -- not to exclude children,  
21 but rather to offer that exception.

22 So an exception is that for this

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1 particular child, it doesn't apply.  
2 Exclusion, it never applies to that child.

3 MS. BROWN: So the relationship of  
4 this measure to the previous one, as the  
5 previous one said, developmental screening,  
6 three times. And this one says, on the second  
7 one, the second year of life, if something is  
8 found, there needs to be follow-up.

9 Oh, that's a mis -- okay, so  
10 what's the difference between part B of the  
11 previous one and this one?

12 DR. SCHOLLE: The level of  
13 specification -- the previous measure is  
14 specified at the population level, for health  
15 plans or states, and this one is specified at  
16 the provider level.

17 DR. JENKINS: And the last one was  
18 also had the option to look at claims data,  
19 and this one is exclusively based on chart  
20 review.

21 MS. BROWN: So what does that  
22 mean? I don't --

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1 DR. SCHOLLE: I think if we just  
2 think about this one, what we're thinking of  
3 it as is as an accountability measure at the  
4 practice level for all children who meet the  
5 denominator criteria, which is essentially  
6 based on a face-to-face visit in a certain  
7 time window and having a birthday in a certain  
8 time period, and having the screening by the  
9 instrument documented in the record as having  
10 been performed.

11 MS. BROWN: So, what's the  
12 rationale then for only requiring a provider  
13 to do -- to take action in the second year?  
14 What about the first year and the third year?

15 Is it that we're just choosing one because  
16 three requirements is too much? Or I don't -  
17 -

18 DR. SCHOLLE: So this goes back to  
19 the logic of our -- and I apologize, you know,  
20 the logic of the composite measure that we  
21 were developing, and it seems like we really  
22 confused things by presenting the measures

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1 individually in addition to presenting the  
2 composite.

3 But the logic of the composites,  
4 that Sepheen presented yesterday, and I think  
5 that you'll review later today, is that we  
6 wanted measures that would look at the  
7 comprehensiveness of preventive services for  
8 children at key developmental ages, six  
9 months, two years, six years, thirteen, and  
10 eighteen.

11 And so all the measures you're  
12 seeing that are not currently HEDIS measures,  
13 we're presenting them for those age windows.

14 And so we chose age two because  
15 there's already measures that look at children  
16 by age two, and we wanted to try to fold that  
17 in as a critical time frame for doing it.

18 So there were some concerns on our  
19 panel that age one is a little early to be  
20 doing the screening, and that age two -- by 18  
21 months is really the critical time to do the  
22 screening, and so that's how our panel said,

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1 focus on age two.

2 DR.CHEN: Yes, and I agree with  
3 that. I respect that as well. I'm a little  
4 bit worried as an accountability measure, age  
5 two is a little bit too critical.

6 I mean, I think most people screen  
7 these kids at eighteen months, probably. That  
8 would give you basically six months to get  
9 that in as an accountability measure.

10 I would be much more comfortable,  
11 I don't know about other people at the table,  
12 with age three. But I know that doesn't fit  
13 into your framework of the composite measure,  
14 which I understand.

15 But I'm just a little bit  
16 uncomfortable at age two. I think it's too  
17 high a bar, especially for immigrant children  
18 and foreign, bilingual children. You can't  
19 pick up speech delay in these kids at age  
20 eighteen months. I think it's just too high a  
21 bar.

22 MS. CARLSON: This is maybe more

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1 of a technical question as well, but it looks  
2 like you're only including physician services,  
3 physician screens. What about mid-levels,  
4 advanced practice nurses?

5 DR. SCHOLLE: We did not intend to  
6 exclude mid-levels.

7 MS. CARLSON: So that's just an  
8 oversight on the -- okay, thank you.

9 DR. ZIMA: Just to clarify, this  
10 is for any type of health plan. It's not  
11 restricted to public --

12 DR. SCHOLLE: I said, this isn't a  
13 health plan measure, but no, it's not  
14 restricted by insurance. It's intended for  
15 all children.

16 CO-CHAIR MCINERNEY: Okay. Good  
17 discussion.

18 DR. WINKLER: Anything else?  
19 Ready to -- so for measure 1399, developmental  
20 screening by two years of age, this is a  
21 provider-level measure, not a population and  
22 health plan measure.

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1                   Of the committee, how many feel  
2                   that it meets the importance criteria?

3                   DR.CHEN:        We're voting at 24  
4                   months, right?

5                   DR. WINKLER:    Unchanged.

6                   DR. WINKLER:    How many no's?  
7                   Zero.

8                   Abstain? One.

9                   Marlene and Ellen?

10                  DR. MILLER:    Yes.

11                  DR. WINKLER:    Ellen?

12                  DR. SCHWALENSTOCKER:    Yes.

13                  DR. WINKLER:    All right. So under  
14                  scientific acceptability, how many feel it  
15                  completely meets the criteria?

16                  Partially meets the criteria?

17                  Minimally meets the criteria?

18                  Marlene, Ellen?

19                  DR. MILLER:    Partial.

20                  DR. SCHWALENSTOCKER:    I agree,  
21                  partial.

22                  DR. WINKLER:        Okay.        So,

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1 usability, criteria, completely meets?  
2 Partially meets?  
3 Minimally?  
4 Marlene and Ellen?  
5 DR. MILLER: Minimal.  
6 DR. WINKLER: Okay, Ellen?  
7 DR. SCHWALENSTOCKER: Partial.  
8 DR. WINKLER: Okay. And on the  
9 feasibility criteria, completely meets?  
10 Partially meets?  
11 Minimally meets?  
12 And Marlene and Ellen?  
13 DR. MILLER: Partial.  
14 DR. SCHWALENSTOCKER: I agree,  
15 partial.  
16 DR. WINKLER: Okay. So,  
17 recommendation for endorsement, all those for  
18 yes?  
19 All those for no?  
20 Abstain? Two.  
21 Marlene and Ellen?  
22 DR. MILLER: Yes.

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1 DR. WINKLER: Ellen?

2 DR. SCHWALENSTOCKER: Yes.

3 DR. WINKLER: Okay. 13 yeses, no  
4 no's, and two abstentions. Okay. Wow.

5 So we might as well finish this  
6 last one in the group, measure 1341, autism  
7 screening. This is, again, from work group  
8 two.

9 DR. MILLER: This is me.

10 DR. WINKLER: Right, this is  
11 Marlene's. And the measure is the percentage  
12 of children who turn two years old during the  
13 measurement year who had an autism screening  
14 and proper follow-up performed between six  
15 months and two years of age.

16 All right, Marlene?

17 DR. MILLER: So I'll just sort of  
18 talk through my sense of looking through the  
19 measure evaluation.

20 As you've said, that is the  
21 measure. It actually sort of implies two  
22 components. It's the percent of kids who had

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1 a screening, which is very well defined in the  
2 measure specs, and then proper follow-up  
3 performed, and that is actually very poorly  
4 specified in the definitional aspects of this  
5 measure later on through it.

6 This measure has been tested.  
7 There is data submitted on about 180 charts,  
8 but it has not actually been used in practical  
9 purposes, and it is being put forth for public  
10 reporting, internal QI, and also  
11 accountability.

12 I think we all know that sort of  
13 evidence behind it, that autism is an  
14 important problem, that it's prevalent, and  
15 that perhaps we do not identify these children  
16 as early as possible.

17 That being said, there is a key  
18 paragraph on outcome or evidence to support  
19 the focus of the measure, which is sort of the  
20 Achilles' heel on autism is it's sort of, what  
21 is the appropriate intervention, particularly  
22 at younger ages, is unclear, and the measure

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1 review articulates that.

2 So we may want to screen, but we  
3 don't actually know what to do once we know  
4 that data, which is a problematic, to me.

5 I think that's part of the reason  
6 on the summary review that the U.S.  
7 Preventative Services Task Force did not  
8 recommend this. They said not for or against  
9 a brief formal screening tool to detect these  
10 types of delays in it.

11 I think a larger issue,  
12 problematically, I have, is that there --  
13 there is not actually one tool recommended.  
14 There is a list of tools, and there's no data  
15 provided on the varying list of tools that you  
16 could use to screen on what the various  
17 sensitivity and specificity is of the tools.

18 So, it's not -- you can use any  
19 one of these, but we don't know if one is  
20 better than the other, and it sort of gives  
21 you credit for using any and all of them.

22 The review of process, if you

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1 will, is a chart review, which also brings up  
2 the burden. There's a note at the end that  
3 NCQA is considering looking how, eventually,  
4 how to specify this measure for electronic  
5 health records, but this right now is the  
6 burden of the chart review for it.

7           There is no risk adjustment, which  
8 is probably appropriate. However, there's  
9 also no disparities built in, which I'm not  
10 sure is appropriate, that there might well be  
11 some disparities of care, and it could be  
12 easily built into the measure, but has not  
13 been done.

14           There -- also, there's been no  
15 reliability testing. Remember I told you on  
16 the testing results, there's about 180 charts  
17 that were looked at, but there was no  
18 reliability testing, because it's a very  
19 subjective of looking through the chart, was  
20 one of these tools documented. And then,  
21 remember that second part of the measure is,  
22 was proper follow-up, that has a pretty broad

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1 aspect of what they consider proper follow-up.

2 To read the sentence, it says, for  
3 abnormal or indeterminate results, evidence of  
4 a confirmatory test, referral, or treatment,  
5 and is no better specified than that of what  
6 is a quote unquote appropriate follow-up.

7 Validity testing was only expert  
8 panel, sort of on face validity. And again,  
9 there's no mention of the link to outcome,  
10 meaning, that if I do this screen, I know that  
11 children do better.

12 And I think that hinges again,  
13 going back to U.S. Task Force and the fact  
14 that there's not necessarily a proven  
15 intervention that's known for this. I think  
16 that would probably be all of my summary of  
17 looking through this measure.

18 MS. BYRON: This is Sepheen.  
19 Could I make another clarification? So, this  
20 measure, actually, we structured similarly to  
21 the developmental screening measure, and it  
22 should be structured the same.

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1                   We had an issue of different  
2 deadlines for each of these, and we actually -  
3 - NQF was kind enough to give us an extension  
4 to work with CAHMI to make sure that we had  
5 harmonized our developmental screening  
6 measure, but I believe we turned in autism  
7 first before that.

8                   So, the form is incorrect. It  
9 really shouldn't be with follow-up. It should  
10 be screening with a standardized tool in the  
11 medical record.

12                   DR. SCHOLLE:       And the other  
13 clarification is, in terms of the tools, we  
14 use the list of tools that are recommended, I  
15 think, by Bright Futures.

16                   We did not require a specific --  
17 we didn't say, use this specific tool, because  
18 there are multiple tools out, available, so we  
19 relied on those existing guidelines for which  
20 tools are available that were recommended by  
21 the AAP.

22                   DR.CHEN:    So, I just want to make

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1 a comment. So, if I had a problem with the  
2 previous one at two years of age, I have a lot  
3 more problems with this one at two years of  
4 age.

5 The Preventative Services Task  
6 Force did not find any evidence or  
7 insufficient evidence to support even up to  
8 five years of age.

9 And for autism spectrum disorder,  
10 it's a very heterogeneous disorder, both  
11 genetically and environmentally, as well as  
12 behaviorally.

13 I can't expect anybody to make any  
14 type of assessment, even in a validated  
15 screening tool, for age two. And that's just  
16 my opinion on that.

17 DR. MILLER: And just so I can  
18 clarify, the measure is that you do the  
19 screening anywhere between six months and two  
20 years of age.

21 DR. SCHOLLE: Right, and the  
22 recommendation from Bright Futures is at the

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1 18 month and 24 month only. It doesn't go up  
2 to age five or anything, it's just at 18 and  
3 24 months that it's recommended by Bright  
4 Futures.

5 DR. MILLER: I know, but that's  
6 not what this measure specifies.

7 DR. SCHOLLE: This measure would  
8 count anything that happens before the -- the  
9 reality is, I don't think anybody would do an  
10 autism screening at six months, but we count  
11 whatever -- remember, this is part of a  
12 composite, and in that composite, we're  
13 looking for events that happen over that  
14 eighteen month period.

15 So if the panel would like for us  
16 to limit it, then we would. We're trying to  
17 anchor to that second birthday, and the Bright  
18 Futures recommendation says 18 months and 24  
19 months, so usually we give a couple of months  
20 to allow for something to happen.

21 So, if they're recommending it at  
22 18 months, then, you know, if you give people

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1 wiggle room for patients not making  
2 appointments by 24 months would be at -- I  
3 recognize the issues around language delay,  
4 that Dr. Chen recommended.

5 DR.CHEN: I'm sorry, this question  
6 is for Marlene.

7 Do we know if there is any  
8 evidence in terms of specificity and  
9 sensitivity of the evaluative screening tools  
10 at age two for autism? I mean, just how  
11 accurate is that screening tool?

12 DR. MILLER: I don't know. You're  
13 going to go beyond my expertise. I will say  
14 there's one, two, three, four tools that are  
15 listed. And then there is a sentence that  
16 says, because of lack and sensitivity and  
17 specificity, the Denver and the Revised Denver  
18 are not recommended.

19 So there's a suggestion that there  
20 is, someplace out there, this truth is known,  
21 but there's a long list of tools that are  
22 recommended, but not information about their

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1 sensitivity and specificity.

2 DR.CHEN: I'm primarily worried  
3 about labeling. I mean, if it's not -- if  
4 it's sensitive but not specific, which most  
5 screening tools should have as a good  
6 screening tool in terms of an instrument, then  
7 you're basically labeling these kids at two  
8 years of age as having ASD if you screen them.  
9 I mean, if it's a false positive.

10 (Off-mic comments.)

11 I know, but --

12 MS. BERGREN: But then you refer  
13 them to the school system, and they take over.  
14 And they don't label them that young.

15 UNIDENTIFIED SPEAKER: What's the  
16 false positive rate?

17 DR.CHEN: Let me just give you an  
18 example. My own son, right? I made a mistake  
19 of filling out his elementary school  
20 application that we are bilingual at home. He  
21 now has a mark as an English not proficient  
22 student, until he tests out of this label.

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1                   He's never been evaluated as being  
2                   English non-proficient.     It's the state of  
3                   California's requirement because there are so  
4                   many immigrant children that we have to  
5                   address kids that are English not proficient.

6                   So, we speak English at home, but  
7                   we are bilingual in terms of heritage and  
8                   language proficiency.     But my son has this  
9                   mark of English non proficient at elementary  
10                  school, because I filled out the form, instead  
11                  of having English as our language at home, I  
12                  filled out bilingual.

13                  So, I mean, this is just language.  
14                  It's not a big deal.     But I see a lot of kids  
15                  with autism that -- in the charts, that  
16                  they're screened, positive for ASD at two  
17                  years of age.     And that worries me.

18                  DR. ZIMA:     I'd like to provide a  
19                  little context, too, and that is that I hear  
20                  some discussion combining ASD with autism.

21                  And there are two issues.     One,  
22                  the diagnostic criteria for autism, if you

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1 noticed, is really still quite broad and  
2 underdeveloped, and even children who meet  
3 that diagnostic criteria for autism can have  
4 wide variation in the level of functioning and  
5 whether they're also retarded.

6           And then if we broaden it a little  
7 bit more to ASD, we get an even larger,  
8 exponentially greater heterogeneous group of  
9 children. And so I think in some ways, what  
10 my concern is that this is a little premature,  
11 given the limitations of how we make these  
12 diagnoses, versus, like the other indicator,  
13 where I think there's a lot more established  
14 evidence as far as screening for a global  
15 developmental delay.

16           DR. MILLER: And I will say some  
17 of the background evidence in the measure work  
18 group sort of bounces back and forth between  
19 autism and ASD, so CDC recommends it for ASD.

20           Some of the other language is for  
21 autism screening tools. I'm not sure it's  
22 well specified exactly. The measure is named

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1 autism screening, but a lot of the language  
2 behind why and where guidance, if you will, is  
3 pulled from, is about ASD.

4 DR. GLAUBER: And certain states,  
5 I don't know the exact number, but most  
6 recently, Massachusetts, have legislatively  
7 mandated insurance coverage of autism  
8 treatment services, so that creates its own  
9 provider community and momentum towards early  
10 diagnosis and treatment, and the potential  
11 that kids may get falsely labeled early and  
12 get on a treatment path that may not be  
13 necessary.

14 MS. BERGREN: I hear the concerns  
15 about the labeling, but having worked in that  
16 realm of the under six for the school  
17 districts, it's such a difficulty to get the  
18 kids in the system, and then we monitor them  
19 until they either meet the criteria or require  
20 services or don't. And we work with the  
21 primary care providers on that.

22 I guess my concern is, the delay

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1 that would occur in getting them into the  
2 early intervention system, that this mechanism  
3 allows that early referral and then constant  
4 monitoring. The onus is on the school to keep  
5 tabs on that family and myself, we did a lot  
6 of home visits to do that. The onus wasn't on  
7 the parents to get themselves to us.

8 CO-CHAIR MCINERNEY: Okay.

9 DR. WINKLER: Any further  
10 discussion? Ready to decide?

11 DR. JENKINS: I'm still confused.

12 Could I just ask a measure developer one more  
13 time without referring to Bright Futures or  
14 someone else, just the measure developer  
15 themselves, why they believe that screening  
16 with these sets of tools at two years is an  
17 important performance measure at the  
18 accountability level, given the current state  
19 of knowledge for primary care providers?

20 DR. SCHOLLE: So, I'll try that.  
21 So we worked with a multi-stakeholder process  
22 to try to identify measure concepts that are

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1 important. And what I can say is that in our  
2 process, that included physicians and Medicaid  
3 directors and consumer advocates and health  
4 plan representatives, they prioritized this  
5 measure.

6 We reviewed the evidence base. We  
7 provided them information about the  
8 guidelines, the various guidelines, the  
9 recommendations, the expectations from  
10 different states. And the panel recommended  
11 that we include this as a separate measure  
12 from the developmental screening measure.

13 So, it was their sense of the  
14 importance of this problem, the need to  
15 identify children early, and an opportunity to  
16 do that in the primary care practice, and to  
17 include that as an important component of well  
18 care for children.

19 DR. JENKINS: So, just to clarify,  
20 does that mean that they believed that  
21 children should be identified as potentially  
22 having this problem at age two years, and that

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1 there were valid instruments to accomplish  
2 that that could be applied in a primary care  
3 setting?

4 DR. SCHOLLE: Yes. I think they  
5 were looking to the recommendations from the  
6 American Academy of Pediatrics that say,  
7 screen children for the risk of these  
8 disorders, using these tools.

9 DR. QUIRK: How do the tools  
10 perform?

11 DR. SCHOLLE: So, I'm sorry, I  
12 don't have the information about the  
13 sensitivity and specificity of those specific  
14 tools.

15 DR. QUIRK: Yes, because  
16 sensitivity and specificity would vary  
17 according to how frequently you'd expect to  
18 see it in a population, and positive  
19 predictive values would be more useful, number  
20 one.

21 Number two, we don't have a  
22 validated tool --

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1 DR. SCHOLLE: Okay, I take it  
2 back. I -- we did. We do have that  
3 information, so the CHAT, the Checklist for  
4 Autism in Toddlers, I believe that's one  
5 that's commonly used as a sensitivity that's  
6 low, 0.38 to 0.65, and a specificity that's  
7 high, 0.98 to 1.0.

8 And it looks like there are other  
9 measures. The M-CHAT has moderate sensitivity  
10 and high specificity. There are a couple of  
11 other tools.

12 So you can see there, when we  
13 reviewed this, we did have these tools  
14 available to our panel. So I apologize, it's  
15 not an area of expertise for myself, but it  
16 appears that there are tools that accomplish  
17 that.

18 DR. MILLER: So all tools are not  
19 created equal. Why would the work, the  
20 development work of this, not identify the  
21 best tool and then put that forward?

22 DR. SCHOLLE: Well, that's a

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1 challenge that we have with all of the  
2 measures that we've talked about.

3 And in earlier discussion, in some  
4 cases, we said, hey, if we just get them to  
5 talk about it, we're thrilled. And it really  
6 depends on the extent to which a measure --  
7 specific tools have distinguished themselves  
8 as the best tool, and where there's consensus  
9 that there is a best tool.

10 And it also has to do with the  
11 cost and availability of the tool. Some of  
12 the best tools actually have prices attached  
13 to them for use, and I can't remember which  
14 measure that was.

15 So our panel took the approach  
16 that for the measures where we're requiring a  
17 standardized tool, that we know that different  
18 states have different requirements about which  
19 tools they'll pay for, and which tools they  
20 want people to use. And different providers  
21 have different preferences for tools.

22 So what we did is we worked with

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1 our panel and used the recommendations from  
2 the academy to say, these are tools that have  
3 some evidence of -- that they work well, and  
4 we said, use one of these standardized tools.

5 It's been our experience that if  
6 we say, use a specific tool, that people that  
7 have other tools, are using other tools,  
8 aren't very happy.

9 And so it's a challenge, because  
10 we're trying to move towards getting people to  
11 do something in a standardized fashion. And  
12 if there's real consensus that there's only  
13 one tool and it's publicly available and  
14 everybody can use it for free, then that would  
15 be best, but that's not always the case.

16 DR. JENKINS: But you do  
17 understand that even if there's a short list  
18 of tools, that you're actually advocating  
19 strongly by being here for universal screening  
20 and application of these tools to all two-  
21 year-olds in the United States.

22 DR. SCHOLLE: Yes.

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1 DR. JENKINS: That's what you're  
2 actually asking us to endorse.

3 DR. MILLER: Right, although the  
4 data is not all there in terms of showing that  
5 if you do do this screening, that there is  
6 absolutely improved outcomes for children with  
7 autism and that there's absolute clear  
8 interventions.

9 DR. GLAUBER: And I think this is  
10 an area where, unless you're aware of it,  
11 there is the potential for harm.

12 And we'd like to see some evidence  
13 that kids who screen positive, who may, upon  
14 further evaluation, either fall into an  
15 indeterminate group who have heightened  
16 monitoring, whether the implications for that  
17 child and that family's functioning, I think  
18 that would be a concern about endorsing  
19 universal screening at this age.

20 DR. QUIRK: I have a problem,  
21 because without really knowing what the  
22 performance characteristics of -- for any

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1 tool, for the variation amongst tools, if  
2 you're going to allow a choice of a menu of  
3 tools, that there is an enormous social  
4 burden, and an enormous financial burden, at  
5 perhaps a time in development where the  
6 sensitivity, specificity, the performance of  
7 the tool would be better applied later,  
8 perhaps. But that's a health services  
9 research project.

10 DR. WINKLER: All right. So, for  
11 this measure, 1341, autism screening, how many  
12 feel that it meets the importance criteria?

13 And Marlene and Ellen?

14 DR. MILLER: Yes.

15 DR. SCHWALENSTOCKER: Yes.

16 DR. WINKLER: Okay. How many say  
17 no? Four, okay.

18 Okay, all right. In terms of the  
19 criteria for scientific acceptability, how  
20 many feel it meets the criteria completely?  
21 Zero.

22 Partially? Two.

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1 Minimally? Five.  
2 None at all?  
3 And Marlene and Ellen?  
4 DR. MILLER: None.  
5 DR. SCHWALENSTOCKER: Minimally.  
6 DR. WINKLER: Okay. And  
7 usability, completely meets?  
8 Partially meets?  
9 Minimally meets?  
10 Not at all?  
11 And Ellen and Marlene?  
12 DR. MILLER: Minimal.  
13 DR. SCHWALENSTOCKER: I agree.  
14 DR. WINKLER: I can't hear you,  
15 Ellen.  
16 DR. SCHWALENSTOCKER: Minimal.  
17 DR. WINKLER: Okay. All righty.  
18 And now for feasibility,  
19 completely meets?  
20 Partially meets?  
21 Minimally meets?  
22 Not at all?

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1 Well, okay.

2 And Marlene and Ellen?

3 DR. MILLER: Minimal.

4 DR. WINKLER: Ellen? Ellen, are  
5 you there?

6 DR. SCHWALENSTOCKER: Yes, I said  
7 minimal.

8 DR. WINKLER: I'm sorry. Can't  
9 always hear you.

10 Recommendation for endorsement,  
11 how many yes? How many no?

12 And Marlene and Ellen?

13 DR. MILLER: No.

14 DR. WINKLER: Ellen?

15 DR. SCHWALENSTOCKER: I guess I  
16 have to say no.

17 DR. WINKLER: Okay. All righty.  
18 Were there any abstentions? Okay.

19 All righty. So that's that. We  
20 probably could do public comment, and then  
21 break for lunch.

22 Operator, would you see if anybody

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1 might be listening out there who would want to  
2 ask a question or make a comment?

3 OPERATOR: Certainly.

4 If you have a question or comment,  
5 please press star one at this time. Again,  
6 that is star one for a question or comment.  
7 We'll pause for just a moment.

8 DR. WINKLER: Thanks. Probably  
9 nobody's out there.

10 OPERATOR: There are no questions  
11 or comments at this time.

12 DR. WINKLER: Thank you. Anybody  
13 here in the room, beside the committee, want  
14 to say anything at this point, or is everybody  
15 ready for lunch?

16 Okay. So we will reconvene at  
17 maybe 12:40, since we're just a little bit  
18 early. Sound good?

19 Thanks.

20 (Whereupon, the above-entitled  
21 matter went off the record at 12:10 p.m. and  
22 resumed at 12:45 p.m.)

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2

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A-F-T-E-R-N-O-O-N S-E-S-S-I-O-N

4

12:45 p.m.

5

6

7

8

9

DR. WINKLER: We skipped over one measure from this morning's agenda, and that's 1404, lead screening. And why don't we go ahead and do that one, and then go through the rest of the afternoon's agenda.

10

11

So, 1404, and Shannon isn't here, so let me go back and grab it.

12

13

14

15

16

17

18

19

20

I can tell you this is not the first time NQF has seen this measure. This measure came through and had an interesting history, so, this is a measure of the percentage of children two years of age who had one or more Venus blood tests for lead poisoning by their second birthday, and the - - can you scroll down to the specifications just so everybody sees them?

21

22

The numerator is at least one capillary or Venus blood test on or before the

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1 child's second birthday, for all children who  
2 turn two years old during the measurement  
3 year, though I believe, and this is where it  
4 gets confusing, this is just for Medicaid  
5 children, correct, guys? Yes.

6 So this measure is a  
7 straightforward measure. It's been around for  
8 a while. It's a HEDIS measure at the Medicaid  
9 health plan level, correct?

10 This measure was reviewed in a  
11 project we did about a year and a little bit  
12 ago, and it was originally recommended.

13 However, it was out for public  
14 comment during August of 2009 when the CDC  
15 released changes in the recommendation for  
16 screening for lead. And those  
17 recommendations basically advocated, rather  
18 than assuming, like, for instance, a Medicaid  
19 population would be automatically high-risk,  
20 would be to look at local conditions and local  
21 risk factors rather than something that's more  
22 blanket like this.

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1                   And as a result of that change in  
2                   recommendation from the CDC, the steering  
3                   committee changed their recommendation to  
4                   recommend that this measure go forward for  
5                   endorsement. So, like I say, it was because  
6                   things were just rapidly changing right at  
7                   that particular time.

8                   So the other folks in work group  
9                   whatever, three, who looked at this measure,  
10                  I'd be interested to hear your thoughts in  
11                  terms of --

12                  DR. RAO: I think there's a couple  
13                  of considerations. One is the rapidly  
14                  changing recommendations from the CDC. The  
15                  other thing is the overall significance of  
16                  modestly elevated lead levels.

17                  You know, I live in a city that's  
18                  got lots of lead and lots of older housing and  
19                  stuff, and it's very rare that we see children  
20                  with elevated lead levels that require some  
21                  sort of intervention, and so I just think we  
22                  could probably spend resources more wisely.

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1 CO-CHAIR MCINERNY: Is this for  
2 all children, or just those on Medicaid? Is  
3 that what the measure says?

4 DR. WINKLER: Yes.

5 CO-CHAIR MCINERNY: I'm having  
6 trouble pulling it up, but, Medicaid only.  
7 Okay.

8 CO-CHAIR WEISS: I assume that  
9 means Medicaid and CHIP, right? Or does it?

10 CO-CHAIR MCINERNY: Well, it  
11 varies from state to state. In some states,  
12 CHIP is a Medicaid expansion, and in other  
13 states --

14 CO-CHAIR WEISS: Right. It's  
15 about a third, a third, a third, I think. In  
16 about a third of the states, it's  
17 indistinguishable from Medicaid.

18 About a third where it's a mix,  
19 about a third where it's a separate program.  
20 So would this screening be for the CHIP kids  
21 as well?

22 CO-CHAIR MCINERNY: It's probably

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1 a state to state.

2 In New York, it would not be.  
3 CHIP is separate from Medicaid in New York.

4 DR. JENKINS: I'm not seeing a  
5 Medicaid restriction in the numerator and the  
6 denominator statement.

7 CO-CHAIR MCINERNEY: Okay, I missed  
8 that. Thank you. Thank you.

9 MS. CARLSON: The problem that we  
10 have in Wisconsin is that our state Medicaid  
11 Department of Health Services program doesn't  
12 really accept or recognize the CDC  
13 recommendations for blood lead.

14 And in fact, they've made this a  
15 priority for the state, and they don't even  
16 accept this NCQA measure. They tightened it  
17 up so they want to see a blood lead at one  
18 year of age and a blood lead at two years of  
19 age.

20 And they don't accept the verbal  
21 assessment, which used to be, I think, the  
22 guideline in place. You do the verbal

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1 assessment and then test, if you need to,  
2 after that.

3 This is one of the hardest  
4 measures for health plans to perform on  
5 because there is so much disagreement in the  
6 medical community and not a lot of commitment  
7 in the medical community to this testing  
8 schedule, so.

9 DR. GLAUBER: Yes. This is, in my  
10 view, an example of where the health plan has  
11 accountability with very little ability to  
12 impact improvement if, indeed, improvement is  
13 needed, because of the lack of support among  
14 providers for doing this.

15 MS. CARLSON: The other issue is  
16 the data isn't always easily accessible. For  
17 instance, in Wisconsin, WIC programs can  
18 perform blood lead testing, health departments  
19 can perform blood lead testing. Then  
20 the state has a state database because it's  
21 legally required that the results be submitted  
22 to that database. But it's not 100 percent

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1 complete, and they don't match their Medicaid  
2 population at 100 percent with that database,  
3 so it is problematic to try to meet this  
4 metric no matter how they test for it.

5 DR. JENKINS: It looks to me like  
6 it's also being offered at the clinician  
7 level. Could I ask a measurement developer to  
8 speak to some of these issues?

9 MS. BYRON: Yes. So, this is  
10 actually as acknowledged, it's a long standing  
11 HEDIS measure at the health plan level.

12 We also considered it in our  
13 comprehensive well care composite framework as  
14 by age two, and a lot of these issues, they've  
15 come up in our measurement advisory panel.

16 I mean, I have to say, this is one  
17 where some people are really for it, some  
18 people are really against it. In the end, we  
19 did leave it in so that we could field test it  
20 and so it is at the physician level as well.

21 DR. GLAUBER: Also, in my  
22 experience, even when you have physician

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1 support and ordering of the test, there's a  
2 fair degree of parental non-adherence to  
3 actually getting the test done, because it's a  
4 blood stick and it's often in conjunction with  
5 visits in which the child has gotten several  
6 immunizations.

7 CO-CHAIR MCINERNEY: Well, to  
8 address that, in New York state -- and  
9 unfortunately New York state still says, for  
10 all children, not just Medicaid children, but  
11 all children in New York state.

12 And what we've done in our  
13 practice is we actually have a machine that  
14 does the lead test, and you do a finger stick  
15 in the office, get the result, and that way  
16 you know it's been done. And that's worked  
17 reasonably well.

18 I personally think it's not a very  
19 good use of resources, but to keep us from  
20 violating a state law, we go ahead and do it.

21 And I think the problem is that  
22 the real push should be for primary lead

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1 abatement, and fortunately, Rochester has been  
2 very strong in that area.

3 And we now have narrowed it down  
4 to barely 1,000 children in the whole city who  
5 have mildly elevated lead levels. And finding  
6 someone with a lead level over 15 is pretty  
7 rare these days, even Medicaid children.

8 If there's no further discussion,  
9 we'll take a -- oh, Alex?

10 DR.CHEN: Quick question. Do we  
11 know what AAP's stand on this is?

12 CO-CHAIR MCINERNY: I think it's  
13 high-risk.

14 DR. LIEBERTHAL: I'm just trying  
15 to picture the periodicity schedule in my  
16 head, and I think it's one of those that has a  
17 star, which means based on risk assessment.

18 MS. BYRON: I have it in front of  
19 me, and you're right. It has a star, so it's  
20 a risk assessment.

21 CO-CHAIR MCINERNY: Right. So  
22 it's not the test itself, it's just, ask a few

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1 questions.

2 DR. QUIRK: It says that -- it's  
3 on -- where am I, 1-B, where it's got AAP  
4 2005, it says, all Medicaid eligible children  
5 must be screened, and then it tells you what  
6 to do with the result of the screening.  
7 That's 2005.

8 MS. BYRON: Yes, and maybe -- we  
9 found that those recommendations had just come  
10 out at around the time when we were developing  
11 this and refining it.

12 And this puts all of us, I think,  
13 in a difficult position. And the feedback  
14 that we receive from organizations like the  
15 CDC, I don't want to speak for the CDC, but  
16 what we did hear is, this measure, at least,  
17 because there are some requirements for it,  
18 offers a standardized way to do it because  
19 it's specified.

20 You know, we find that some states  
21 require it, others do not, and so we're all  
22 kind of caught in between everything that's

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1 going on with that.

2 DR. LIEBERTHAL: If some states  
3 require it and some states don't, it's up to  
4 the state, then, to decide if they're going to  
5 monitor or do a performance measure on  
6 compliance with the state law.

7 But you can't generalize from what  
8 individual states are requiring if it's no  
9 longer a supportable, evidence-supported  
10 measure.

11 DR. MILLER: This is Marlene. I  
12 think I sort of echo that. I think this  
13 highlights with all the struggles and the  
14 decreasing incidence, if you will, is it, not  
15 everything that can be measured should be  
16 measured.

17 DR. GLAUBER: Just a question to  
18 NCQA, have you seen any movement in this  
19 measure in the time that you've been tracking  
20 it?

21 MS. BYRON: In 2008, the rate for  
22 Medicaid plans was 66.7. In 2009, it was

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1 66.4, so.

2 DR. JENKINS: But do you know the  
3 numbers of children with especially high lead  
4 levels that were identified through the  
5 screening?

6 MS. BYRON: We don't track that  
7 information. I think the CDC has that  
8 information, though.

9 CO-CHAIR MCINERNEY: Okay. If  
10 there's no further discussion, we'll take a  
11 vote.

12 DR. WINKLER: All right. How many  
13 on the committee feel this meets the  
14 importance criteria?

15 Yes?

16 No?

17 Any abstentions? Is that what I'm  
18 seeing, one?

19 How about Marlene and Ellen?

20 DR. MILLER: No.

21 DR. SCHWALENSTOCKER: Agree, no.

22 DR. WINKLER: Okay. All right.

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1 Okay. We're continuing on our afternoon  
2 agenda, so the next measure to discuss is from  
3 work group two. It's measure 1397, Sudden  
4 Infant Death Syndrome Counseling, another  
5 measure from NCQA.

6 Dr. Miller, you are --

7 DR. MILLER: It's me.

8 DR. WINKLER: Right, it's you.

9 DR. MILLER: Should I start?

10 DR. WINKLER: Sure, go ahead.

11 DR. MILLER: Okay. So this is a  
12 comparable, in a way, process measure to that  
13 autism one we discussed where the goal is to  
14 report on the percent of children who turn six  
15 months during that measurement year who had a  
16 Sudden Infant Death Syndrome counseling and  
17 proper follow-up.

18 Some of the comments I made  
19 earlier about defining proper follow-up also  
20 happen here. It's not very well specified in  
21 the measure, although it is in the name of the  
22 measure.

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1 I think in terms of importance, we  
2 all know Sudden Infant Death has affected  
3 large numbers of people, and the measure  
4 evaluation nicely goes through all the  
5 evidence.

6 So, for example, based on all the  
7 back to sleep campaign in the '90s, the rate  
8 of infants that are placed in the prone  
9 sleeping position decreased by 64 percent in a  
10 recent survey.

11 So 75 percent of kids used to be  
12 and with all the back to sleep campaign,  
13 that's down to 11.3. That's looking at data  
14 through about 2002, so it's still relatively  
15 fresh.

16 So there's lots of guidelines  
17 about the fact that people should be counseled  
18 on this, and I think that's unquestionable.

19 What is funny about this measure  
20 is that there actually is no tool recommended,  
21 and so I think that's because the guidelines  
22 have it.

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1                   But there's not a checklist  
2                   created yet of what should you counsel on,  
3                   what should be the things you check about.  
4                   And in fact, I think partly because there's  
5                   not an actual tool sort of evaluated out  
6                   there, this has never been, as far as I can  
7                   see, from the evaluations brought forth  
8                   between the U.S. Preventative Services Task  
9                   Force, because its guidelines and my gathering  
10                  is vaguer language about, this is something  
11                  you should counsel on, but there's not set  
12                  screening items for it.

13                  So the lack of the tool, quite  
14                  honestly, is my biggest problem with this.  
15                  There is nothing. The numerator would detail  
16                  a subjective chart review where you would  
17                  document, engaged in discussion about placing  
18                  infants on their back, and they would check if  
19                  that was documented.

20                  It talks about a checklist  
21                  indicating that SIDS was addressed, although  
22                  there is not any tool provided with this, that

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1 there is counseling or referral for SIDS  
2 education, that the member receive educational  
3 materials on SIDS, although again, there's not  
4 set tools out there. Find that anticipatory  
5 guidance for SIDS was given.

6 As I mentioned, it's all chart  
7 review subject to finding those elements, and  
8 so there is a high amount of burden.

9 There has not been any reliability  
10 testing on this. Again, with a -- very  
11 comparable to the autism one, about 190  
12 records have been looked at, and there's no  
13 reliability testing on two people look at the  
14 same chart and can they glean the same  
15 information on it.

16 There is no risk adjustment in  
17 this measure. In their sample for meaningful  
18 difference, again, here, they say they looked  
19 at 180 charts and almost 80 percent of kids  
20 had some documentation of it, which bears out  
21 the question of, is there enough of a signal  
22 there?

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1                   There        is        no        disparity  
2       stratification, and again I would question  
3       that there needs to be based on certain lines  
4       in terms of risk for SIDS, but that's not in  
5       here.

6                   Let me see if there's anything  
7       else that I would add on the measure. I don't  
8       think so. Hang on. I think that covers most  
9       of it.

10                  CO-CHAIR MCINERNY:       Well, just  
11       another issue, in the title it says, and  
12       follow-up, proper follow-up, but the measure -  
13       - this numerator does not say anything about  
14       follow-up.

15                  DR. MILLER:   No. Proper follow-up  
16       is a counseling or referral or educational  
17       materials, but they're not further specified  
18       of what that is.

19                  MS. BYRON:   This is NCQA. So this  
20       is a -- this is just a straight counseling  
21       measure. We wanted to see that counseling was  
22       documented in the medical record, and what

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1 you're seeing in terms of counseling or  
2 referral, those are -- again, this is  
3 structured similarly to any other counseling  
4 measures for existing HEDIS measures.

5 So it's a list of anything that  
6 would count as a numerator hit towards the  
7 measure.

8 So we say that if you see any of  
9 these five things, engagement about,  
10 discussion about placing infants on their  
11 backs, a checklist indicating that SIDS was  
12 addressed, because often times, physicians  
13 will have a checklist that just says, did you  
14 ask about, A, B, C, and D, and SIDS would be  
15 one of them.

16 If there's any counseling or  
17 referral for SIDS education, that would count  
18 as a numerator hit. It would mean that the  
19 physician counseled the patient.

20 If there were educational  
21 materials received or there is documentation  
22 of anticipatory guidance, so that's the

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1 guidelines that we give for meeting that  
2 numerator.

3 DR. SCHOLLE: Again, we apologize  
4 for the inconsistencies. Part of this is that  
5 the title of the measure was pulled from what  
6 we tested, and -- because we intended all of  
7 these measures to be counseling and follow-up  
8 or assessment and follow-up.

9 And what we found was that was  
10 very hard to document in the chart reviews,  
11 and so that's why in our -- in what we  
12 presented to you, it's what we think is  
13 feasible for chart review.

14 DR. JENKINS: Can I just ask a  
15 question about the timing? And I understand  
16 that the six-month time frame was chosen by  
17 the NCQA task force, but in terms of the  
18 proper time for counseling, it seemed a little  
19 late to me.

20 It seemed similar to some of our  
21 neonatal discussions we had yesterday.

22 DR. RAO: I just want to agree

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1 with Kathy. I think it should take place  
2 around the time you discuss breast-feeding,  
3 probably before the baby is born, not after  
4 they've been sleeping for a few weeks.

5 DR. QUIRK: I just have a  
6 question. Wouldn't, in term of the timing of  
7 this counseling, not to throw it back to the  
8 hospital, but isn't this something that ought  
9 to occur before the baby leaves the hospital?

10 You know, there's all those  
11 things, and that should be documented on a  
12 discharge note in the hospital by the nursery  
13 pediatricians or the nurse practitioner.  
14 That's when breast-feeding is getting done.

15 DR. GLAUBER: And if the intent of  
16 the measure is that counseling occur to  
17 prevent the -- reduce the likelihood of SIDS,  
18 I think a key component of it should also be  
19 assessment of ETS exposure in the home, since  
20 that's a major risk factor, and that's another  
21 measure that we're going to consider. But to  
22 be a really inclusive measure, I think this

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1 ought to be a component of it.

2 CO-CHAIR MCINERNEY: Any further  
3 discussion? We vote?

4 DR. WINKLER: All right. So how  
5 many on the committee feel this measure meets  
6 the importance criteria? Yes?

7 Any no's?

8 Abstains? Two.

9 How about Marlene and Ellen?

10 DR. MILLER: Yes.

11 DR. SCHWALENSTOCKER: Yes.

12 DR. WINKLER: Okay. All right.

13 So, for scientific acceptability, how many  
14 feel that it completely meets the criteria?

15 DR. CHEN: I'm sorry, can I just  
16 make one comment about scientific  
17 acceptability?

18 So, there is evidence that sleep  
19 position is associated with SIDS. But that's  
20 really where -- and smoking, of course.

21 But there's -- that's not 100  
22 percent of all SIDS. That's only some

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1 percentage of SIDS. So there's evidence  
2 there, but there's no evidence anywhere else  
3 about the rest of the 20 percent of SIDS that  
4 we can't correct by changing sleeping  
5 position.

6 So I think it's hard to vote on  
7 the scientific acceptability, because SIDS is  
8 a garbage wastebasket thing, where there's  
9 multiple diagnoses within that, we just  
10 couldn't find out why they died.

11 But a lot of it is sleep position,  
12 and if that's what we're voting for, there is  
13 plenty of evidence. But if there is all SIDS,  
14 then I'm not sure.

15 DR. MILLER: Well, I think what  
16 we'd be voting on is not so much, does sleep  
17 position matter, but does a counseling episode  
18 in your pediatrician's office influence your  
19 likelihood of not doing prone sleeping?

20 DR.CHEN: Well, counseling helps  
21 with sleep position, but not with anything  
22 else. But I do agree, six months is not very

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1 --

2 DR. SCHOLLE: If I can clarify,  
3 because if the issue is that by age six months  
4 is too late, age six months is the sampling  
5 approach, children who turn six months.

6 We can set the measure as, was  
7 this discussed, if you think it's appropriate  
8 for it to be discussed by the pediatrician or  
9 the pediatric provider by -- at the first  
10 pediatric visit, then we could frame it that  
11 way, okay, because all the children have to  
12 have been with this provider since birth.

13 So if that makes more sense, then  
14 we could set that time frame and say, it  
15 should be done at the first pediatric visit,  
16 if that's what the committee would like to  
17 see, if it's really about timing.

18 I also heard some people say, you  
19 know, that's too late. It should be done  
20 during pregnancy or at the hospital.

21 Our panel actually reviewed this  
22 measure. They reviewed depression screening,

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1 they reviewed breast feeding counseling, and  
2 on breast feeding they said, yes, you know,  
3 there's nothing for pediatricians to do. We  
4 field tested it and it came back and  
5 everybody's already into whatever they're  
6 going to do by the time the pediatrician or  
7 the pediatric provider can have any influence.

8 So, but they saw this as being  
9 something where the pediatric provider had a  
10 responsibility to reiterate this advice, so I  
11 wanted to see if the panel felt we should go  
12 back and really re-think the timing.

13 DR. JENKINS: For me, the answer  
14 would be yes.

15 DR. GLAUBER: For me, your  
16 important word was reiterate. Yes.

17 DR. MILLER: I would say yes, and  
18 I would want to see all new validity and  
19 reliability submitted with that, because  
20 that's a different measure.

21 DR. SCHOLLE: We actually tracked  
22 the timing of the counseling in our field

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1 test, so we may be able to provide some  
2 information related to that.

3 DR.CHEN: But I think that's for  
4 good validity data, just by the fact that SIDS  
5 dropped by significantly right after the back  
6 to sleep campaign was initiated.

7 I mean, I don't think you need any  
8 more validity data than that, if you are only  
9 addressing SIDS that's addressable by changing  
10 sleeping position.

11 DR. CLARKE: I think the absolute  
12 deadline for the first knowledge of the sleep  
13 position by the parents is hospital discharge.  
14 It's got to be before that.

15 DR. WINKLER: All right. So you  
16 did feel that it meets the importance  
17 criteria, so we'll go on with the rest of the  
18 criteria.

19 Alex, do you have a question?

20 DR.CHEN: With a change to the  
21 first visit, or reiterative timing?

22 DR. WINKLER: So, I wonder if we

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1 could have the opportunity to bring it back  
2 with it framed as the first visit.

3 Is that what you all would like?

4 Okay, we'll do it that way.

5 DR. GLAUBER: Should it be by the  
6 first visit, or by a chronological age, for  
7 example, one month of age?

8 DR. PERSAUD: I think it should be  
9 chronological. And to me, it doesn't matter  
10 where it gets done first. It really should  
11 get done first in the hospital, and it's  
12 probably -- I think what's worrying me a  
13 little bit about this measure is, I think,  
14 that we have seen a reduction in SIDS because  
15 it's being done as discharge preparation, and  
16 it is being done in the office, and I don't  
17 know if measuring this is going to get us much  
18 further.

19 I sit also on a fatality team, and  
20 we have an unyielding group of deaths that are  
21 not going down, and I don't know if this is  
22 going to affect that.

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1                   There's this group of babies, and  
2                   I don't know if it's cultural, what it is,  
3                   that aren't responding to counseling or maybe  
4                   they're not all SIDS and we don't know what  
5                   they are. And I don't know if this measure is  
6                   going to help us close that gap. That's my  
7                   concern.

8                   DR.CHEN:     Right.     I don't think  
9                   you can ever expect to close the gap, because  
10                  there's a recent article in 2009 in Journal of  
11                  Pediatrics that pretty much suggested that a  
12                  certain percentage of kids cannot be modified  
13                  by whatever we do with a sleep position. And  
14                  some of them are actually cardiac kids.

15                  DR. GLAUBER:   Have you found that  
16                  ETS exposure is a component of this unyielding  
17                  group?

18                  DR. PERSAUD:   Variably.    I mean,  
19                  we're just not sure at all about them. The  
20                  pathologist just are completely uncomfortable  
21                  with calling, what is it, both the cause and  
22                  the manner of death.

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1 DR. WINKLER: So, does the  
2 committee want to give NCQA an opportunity to  
3 revise the time frames and bring it back for  
4 you to have a second look?

5 Okay.

6 DR. MILLER: Is it possible for  
7 NCQA to also not only look at the first visit  
8 but also look at hospital discharge?

9 DR. SCHOLLE: At hospital  
10 discharge? We'll consider. It's a completely  
11 different frame, but we'll take it back and  
12 discuss it with some of the other measure  
13 developers that are working in that area.

14 DR. WINKLER: Okay. All righty.  
15 Okay. So the next measure for the afternoon  
16 is 1381, and this is from the Alabama Medicaid  
17 Agency.

18 Do we have somebody from -- the  
19 developer on the line?

20 DR. MCINTYRE: Yes, this is Dr.  
21 Mary McIntyre.

22 DR. WINKLER: Great. Thank you

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1 for joining us. So, Dr. Glauber, I believe  
2 this is yours. This is asthma emergency  
3 department visits. This is from work group  
4 one.

5 DR. GLAUBER: This is totally  
6 unrelated to any of the other measures in work  
7 group one --

8 DR. WINKLER: Right, right.

9 DR. GLAUBER: -- so it's sort of a  
10 stand-alone.

11 DR. WINKLER: Yes. We had to vote  
12 somewhere, so you caught it.

13 DR. GLAUBER: Good. I'm glad to  
14 have it. It's -- you know, it's interesting  
15 that we're only getting to an asthma measure  
16 this late in the meeting.

17 So it is the percentage of  
18 patients with asthma one to 21 years of age  
19 who have had one or more ER visits during the  
20 measurement period.

21 And just a little bit of  
22 background about asthma, in that the current

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1 thinking in the guidelines is that there's  
2 really -- it's a heterogeneous disease that  
3 should be assessed along two domains that  
4 don't necessarily relate or track that closely  
5 to each other, one of which is impairment,  
6 which is the level of day-to-day symptoms and  
7 activity limitations a patient may experience  
8 with asthma.

9 And the other is the risk domain,  
10 which is the potential for serious or life-  
11 threatening asthma attacks along with  
12 impairment in pulmonary function.

13 So this is really an outcome  
14 measure in the risk domain. And the measures  
15 that are currently in place and have been  
16 endorsed by NQF are really more process  
17 measures and in the impairment domain, so this  
18 is really the first measure that looks at the  
19 risk domain in terms of exacerbations.

20 And you know, I don't think the  
21 importance of this is much in doubt. The  
22 developers provide some data from their

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1 Medicaid program that ten percent of their  
2 enrollees have been identified as having  
3 asthma, and that totally aligns with my  
4 patient population in Massachusetts with about  
5 a ten percent prevalence.

6 So again, this is an outcome  
7 measure, although in a certain sense, it could  
8 viewed as a process measure, and if the timely  
9 identification of a child with an ER visit  
10 leads to further interventions by primary care  
11 physicians to improve their overall asthma  
12 management, so as the developers should, they  
13 use this as a trigger -- an ER visit as a  
14 trigger into a chronic care management  
15 intervention.

16 Is there something you wanted to  
17 add there?

18 DR. MCINTYRE: Well, and I wanted  
19 to at least give an understanding on how we  
20 came about it, but I'll do that when you  
21 finish.

22 DR. GLAUBER: Okay. In terms of

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1 the scientific acceptability and  
2 specifications, the percentage of people with  
3 asthma that have an ER visit during a 12-month  
4 measurement period, and there's no widespread  
5 accepted definition, at least  
6 administratively, of how you identify the  
7 asthma population.

8 So in terms of the denominator,  
9 what the developers had proposed is a claims-  
10 based algorithm which looks at a diagnosis, a  
11 visit with an asthma diagnosis, or two -- at  
12 least two prescriptions for a short-acting  
13 beta-agonist.

14 And you know, given the age  
15 population here where we're included people  
16 down to one year of age, there is some  
17 potential for mis-diagnosis or  
18 misidentification of kids who don't have an  
19 asthma diagnosis but who have received a  
20 couple of these prescriptions, perhaps for  
21 bronchiolitis episodes.

22 And in terms of validity, the

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1 developers have suggested that identification  
2 of a claim for -- with a diagnosis of asthma  
3 validly identifies children with asthma.

4 But they've defined this not based  
5 on medical record review of the ER visit, but  
6 the fact that of the enrollees who were  
7 accepted into this care management program,  
8 all of them had asthma.

9 So you could probably see, this is  
10 not a randomly selected population. So you'd  
11 imagine that the families of the kids who  
12 truly did have asthma would accept enrollment  
13 into the care management program.

14 So I think there are some validity  
15 concerns about whether a single claim for an  
16 ER visit with an asthma diagnosis truly  
17 identifies this population.

18 There is -- they say that there is  
19 potential for stratification by gender and  
20 race code, and that's important if there is  
21 reliability identification of race/ethnicity  
22 because this is an area where there is plenty

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1 of evidence of racial/ethnic disparities in  
2 terms of asthma ER visits, hospitalizations,  
3 and even mortality.

4 And it would be important to  
5 stratify this population by age, because it's  
6 known that children zero to four years of age  
7 have a much higher rate of asthma  
8 exacerbations in an ER visit.

9 So if you're doing comparisons of  
10 different populations, you'd want to stratify  
11 this by age, because if you have a different  
12 age mix within the population, that's going to  
13 drive differences in the rates.

14 I don't think there is much  
15 concern about validity testing, since it's  
16 pretty much a claims-based query.

17 But I did just want to reemphasize  
18 my concerns about the validity given -- also,  
19 I think it's good that we're looking at the  
20 younger-age population, because this is the  
21 highest risk population. But this is also the  
22 population in which there's more diagnostic

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1       uncertainty about what constitutes and when  
2       you make the asthma diagnosis.

3               So there could well be variability  
4       in provider practices in terms of what they  
5       call a wheezing child when they present to the  
6       ER. So within one community, physicians may  
7       preferentially call these kids bronchiolitis  
8       or reactive airway disease, whereas in another  
9       community, these kids may be more likely to  
10      receive an asthma diagnosis.

11              So some of the variability in  
12      performance may be due not to actual  
13      performance but to diagnostic preferences.

14              But I think, overall, this is an  
15      important measure and an important outcome for  
16      asthma, and I think fills a need in the asthma  
17      measurement space for having an assessment of  
18      ER visits.

19              DR. PERSAUD: Can I ask, we've --  
20      did we do an asthma measure in the child  
21      health outcomes group?

22              DR. WINKLER: I think you looked

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1 at one, but I don't believe you recommended  
2 one.

3 DR. PERSAUD: We didn't -- oh, we  
4 did not, okay. Because I remember that the  
5 age issue had come up and we discussed, that  
6 measure, as it was presented, had every age  
7 group.

8 And I remember we had a discussion  
9 about who to exclude, and I didn't remember if  
10 it was up to one or up to two is what I'm kind  
11 of thinking.

12 DR. GLAUBER: Yes, and I would  
13 also point out that the HEDIS asthma measure  
14 excludes kids under five. So we really -- for  
15 the majority of people with asthma, the  
16 disease starts in early childhood. And we  
17 don't have any good measures, so I think this  
18 does fill that need.

19 DR. LIEBERTHAL: I think that Jim  
20 gave an excellent summary of the measure. I  
21 would disagree with him, however, with the age  
22 group.

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1                   Children under -- whether it's  
2                   three, four, or five, NCQA has chosen five to  
3                   twelve, and almost every group that's looked  
4                   at asthma measures starts at age five.

5                   Under age five, you get into all  
6                   of the viral-induced causing of wheezing, and  
7                   viral trigger is the most common trigger in  
8                   children under five years old.

9                   Even though there was one fairly  
10                  recent article that seemed to show that  
11                  controller medicines did have an impact on  
12                  these children, many other studies have shown  
13                  that controller medicines do not have value in  
14                  these children, and the treatment is oral  
15                  steroids at the onset of a URI.

16                  I think that the age group under  
17                  five is a very mixed bag, and so this type of  
18                  an asthma measure in that group I think would  
19                  probably not be a good idea.

20                  Also, this is a group where the  
21                  parents panic pretty easily and will wind up  
22                  in the ED just from parental anxiety, not

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1 because of the severity of the illness.

2 The -- so I really would -- I  
3 don't like it going down to one year of age.

4 DR. GLAUBER: Yes, I'm glad you  
5 raised that point, because I forgot to mention  
6 that I think this is an important outcome  
7 measure.

8 I'm less sold on it as a quality  
9 measure for the reasons you said that  
10 available treatment can only partially impact  
11 this outcome, and children who are receiving  
12 the best asthma care will, nonetheless, have  
13 exacerbations leading to ER visits.

14 There's controversy about the  
15 effectiveness of preventive measures. And  
16 also a good -- when you're looking at the  
17 younger population, some percentage of these  
18 visits are going to be totally non-preventable  
19 because they're going to be an incident case  
20 of asthma in a child who has no previous  
21 diagnosis.

22 But given that this is the

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1 population that has the highest risk of ER  
2 visits and hospitalizations, I do think it's  
3 important to have a measure that's looking at  
4 that, even though it's not a performance  
5 measure.

6 DR. MCINTYRE: This is Dr.  
7 McIntyre, and I wonder, at what point can I  
8 just give you a basic understanding of why we  
9 came -- ended up with where we are with this  
10 measure?

11 We actually had the same  
12 discussion that you did about the under one,  
13 you know, what age did we need to look at.

14 And basically, I think it would  
15 help with the understanding of the intent of  
16 the use of the measure.

17 This actually came about as the  
18 result of what we were doing with the  
19 transformation grant that we received funding  
20 for. And part of that grant was to establish  
21 our data-driven quality improvement program,  
22 that was specifically looking at putting

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1 together a chronic disease program and having  
2 some way to determine whether or not we had  
3 actually improved outcomes, and actually ended  
4 up with 50 plus members from diverse  
5 backgrounds.

6 And we actually pulled in what we  
7 call domain experts, pulmonary pediatric  
8 pulmonologists from the university system, as  
9 well as pediatric asthma center specialists to  
10 talk specifically about the whole issue.

11 And we did get into, what age do  
12 we need to look at, but we determined, because  
13 what the intent of this group was to identify  
14 patients that could potentially respond from  
15 intervention.

16 And so we were actually trying to  
17 really, you know, go beyond, you know, the  
18 whole idea about, well, we may end up with  
19 somebody that may not actually be asthmatic,  
20 that whole discussion came up with using the  
21 claims data, and putting in those medications.

22 But we looked at it as so much

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1 about -- we talked about accountability, and  
2 came to agreement that the focus was on the  
3 identification of individuals, and not so much  
4 on determining whether or not a provider was  
5 accountable or performing below a certain  
6 measure.

7 That was the initial beginning of  
8 it. So the goal was to improve care and  
9 outcome.

10 So then we actually put the  
11 measure into pilot testing in February of  
12 2008, and we called it one of our five asthma  
13 missed opportunities, being really careful  
14 about what we ended up naming the measure. And  
15 it was the asthma emergency department visit.

16 And the whole goal of this was, we  
17 looked at it on an individual, the ability to  
18 be able to look at it overall with all of the  
19 counties combined, as an individual county  
20 measure, but even to allow a provider to be  
21 able to click and go down and identify those  
22 patients in his practice that had been seen in

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1 an emergency room with a so-called asthma  
2 diagnosis, with ultimately the provider being  
3 the one that says either they actually have  
4 asthma or they don't.

5 And we have a PCPM population,  
6 which is a primary care case management  
7 program, so these patients are assigned with a  
8 physician.

9 They may not have even seen them  
10 by the time they end up in the emergency room  
11 to give them the ability to be able to get  
12 those patients in.

13 And then we combine it with the  
14 care management referral to be able to then  
15 say, here are patients that have been in the  
16 emergency room within this time frame, and  
17 what we really want you to do as a care  
18 coordinator is work with trying to identify -  
19 - you know, we used a CARAT on children. We  
20 did an EPA assessment on adults. They did  
21 quality of life tools on everybody that was  
22 enrolled in the care management program.

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1                   And so ultimately, there is an  
2                   evaluation piece, and the results are that  
3                   we're using the University of Alabama at  
4                   Birmingham that we were able to improve the  
5                   outcomes.

6                   We were also able to move not just  
7                   the quality of life measures, because that was  
8                   initiated during intervals within the care  
9                   management, but also, we were able to actually  
10                  look at the measures and actually look at  
11                  where we were a year out, two years out,  
12                  within the pilot program.

13                  So, you know, part of this being  
14                  about the claims base, and that whole issue,  
15                  we basically went with what we could get  
16                  information with, not having access to state-  
17                  wide EHR system or HIE with all of the  
18                  information, and we were able to make a  
19                  difference for these parents with the  
20                  children, because it was mostly children in  
21                  the asthma piece. We did a diabetes one as  
22                  well.

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1           You know, ended up actually  
2 identifying that they had a broader knowledge,  
3 a better understanding, they knew what the  
4 triggers were, they actually knew what the  
5 medications were.

6           Many of them didn't. They didn't  
7 know what an asthma rescue medication was from  
8 a controller. They -- we found out there was  
9 really a lack of knowledge when it came down  
10 to that.

11           So there were improvements that  
12 have been documented that, hopefully, it's in  
13 the final stages to get to CMS with UAB  
14 planning on publishing the results of the  
15 study as part of the pilot program.

16           So the measure does allow the data  
17 to be stratified by race, ethnicity, gender,  
18 and geographic area. Apparently, only the  
19 county level data has been studied to date.  
20 We have actually broken it out now by age and  
21 that's not on the website yet.

22           We're looking at adult versus

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1 pediatric to see if there are differences in  
2 the results obtained from that. And the  
3 evaluation results are being finalized, with  
4 many of the quality of life indicators and the  
5 changes identified being statistically  
6 significant improvements based on the  
7 information that's been done by UAB.

8 DR. WINKLER: Thank you very much.

9 I just wanted to correct what I  
10 replied to Donna in terms of what we did in  
11 the outcome project. Yes, my brain was half  
12 asleep.

13 But indeed, one of the measures,  
14 the outcomes activity that you did last year  
15 was to recommend, and the measure is minutes  
16 away from endorsement, is the asthma admission  
17 rate measure, the population measure from  
18 AHRQ, which is the admission rate for asthma  
19 in children ages two through seventeen per  
20 hundred thousand population, so.

21 DR. JENKINS: I just want to  
22 clarify. I think this measure is also just

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1 being proposed at the population level. Is  
2 that correct?

3 And I have a question for the  
4 developer, which is whether or not any risk  
5 adjustment is necessary to understand  
6 variation in this measure, or with a very high  
7 level population level, is that not necessary  
8 to understand variation?

9 DR. MCINTYRE: I'm really having a  
10 hard time hearing you. You're not clear on my  
11 end. And it may be because I'm having to use  
12 my cell phone, but the other speaker was  
13 clear. Are you near to the mike, or can you  
14 hear me?

15 DR. JENKINS: Yes, two questions.  
16 One is just to verify that you're proposing  
17 this measure at the population level and not  
18 at the provider level.

19 And two, did you feel that there  
20 was any risk adjustment based on severity of  
21 asthma or other factors that were necessary to  
22 understand variation in the measure?

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1 DR. MCINTYRE: Okay. The  
2 population level is what we're proposing it,  
3 as far as -- and it's how we actually used it  
4 with the pilot program.

5 But I have to admit that we moved  
6 it beyond that at that point. At that point,  
7 it's actually become, with some modifications  
8 to it, a provider-level.

9 And it's actually part of our  
10 profile report that providers get on a  
11 quarterly basis, where they look to see where  
12 they are compared with their peer group, not  
13 like we did with our population level where we  
14 determined targets -- set target goals.

15 So, it's already moved beyond that  
16 even though what we used it with, with CSQ, is  
17 population level.

18 Risk adjustment, as far as with  
19 severity, we worked with -- we didn't do any  
20 risk adjustment with this, because what we  
21 were trying to do is identify people and allow  
22 providers to be able to identify those people,

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1 children and adults, who have been to the  
2 emergency room with a --

3 (Temporary failure of telephone  
4 connection.)

5 CO-CHAIR MCINERNEY: Any further  
6 discussion?

7 MS. WINKLER: I just wanted to go  
8 back, because Donna reminded me of the  
9 previous measure that this group put forward,  
10 in terms of the age issue.

11 This measure, as presented, Jim,  
12 do you agree? I mean, it's children just less  
13 than age 21, right? Okay, I wasn't sure.  
14 It's one to 21.

15 And the previously recommended  
16 measure was two to seventeen. You know, this  
17 is a perfect example of the need for  
18 harmonization, folks, I mean.

19 DR. MCINTYRE: I'm sorry. I'm  
20 also at the management conference, and I'm  
21 trying to do two things, so I am so sorry.

22 MS. WINKLER: No problem. Okay.

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1 So we were just talking about age.

2 DR. MCINTYRE: Yes.

3 MS. WINKLER: I'm asking the  
4 committee, in terms of other measures that  
5 we've endorsed around asthma, particularly  
6 asthma hospitalization, the age range is two  
7 to seventeen, and this is one to twenty-one.  
8 We really don't want them all over the board  
9 like that.

10 DR. GLAUBER: Well, I think if we  
11 went with age two, that would address some of  
12 the mis-classification potential around how  
13 the denominator is including kids who would  
14 qualify just on the basis of having two  
15 Albuterol prescriptions.

16 DR. MCINTYRE: And this is Dr.  
17 McIntyre again. We're fine with the two, we  
18 just put it in because our experts wanted to  
19 look for younger children.

20 But let me ask something else.  
21 And I know about your 17, which is one of the  
22 issues that we're having with some of the

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1 HEDIS measures, with the Medicaid population,  
2 they are covered, as long as they're covered,  
3 up to the age of through 20, okay?

4 So that when we drop them before  
5 20, you know, when we have measures and we  
6 don't have anything to go with that  
7 population, it's almost like they've dropped  
8 off a cliff and they're in no-man's land for  
9 the Medicaid.

10 So that's the thing that we have  
11 with even some of the measures that you all  
12 have with not modifying them. Okay, I just  
13 thought I needed to say that.

14 DR. JENKINS: Also, I didn't hear  
15 the answer to the question, because you had  
16 dropped off, about risk adjustment?

17 DR. MCINTYRE: Oh, the risk  
18 adjustment was that we didn't find that it was  
19 necessary to be done as part of this because  
20 of what we were using the measure for, but we  
21 did do it with AAHRQ and their asthma return  
22 on investment calculators, we put in the

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1 information in the pilot.

2 And basically, when you look at  
3 severity, we were trying to -- you know, it  
4 was almost like it was like -- when you  
5 started getting two or more ER visits.

6 We actually looked at the number  
7 of visits, even though this just picks up  
8 anybody with one or more, because we wanted to  
9 try to get those children and intervene, and  
10 adults, okay?

11 But we didn't do any other risk  
12 adjustment. But we have done some testing  
13 beyond what we've done.

14 DR. GLAUBER: I would also argue  
15 against risk adjustment. There was a -- just  
16 a study presented, it's not published yet, but  
17 it was presented at CHSS meeting from  
18 Connecticut looking at a series of their  
19 asthma hospitalizations.

20 And they reported that roughly  
21 half of their admissions were in  
22 kids previously thought to have

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1           intermittent asthma or mild,  
2           persistent asthma. And in terms  
3           of their ICU admissions, that  
4           having being thought to have  
5           intermittent asthma in the past  
6           significantly increased -- no, it  
7           was much more of an association  
8           with an ICU admission.

9           So, you know, in terms of  
10          exacerbation risk, it doesn't seem to -- as I  
11          said, it doesn't necessarily tie very closely  
12          to disease severity in terms of the ways in  
13          which we currently stratify for disease  
14          severity.

15          So, you know, basically, a kid  
16          with very mild asthma can have a pretty  
17          serious or life-threatening exacerbation.

18          DR. SCHWALENSTOCKER: This is  
19          Ellen, and I realize this is a population-  
20          based measure, but one worry I have about it  
21          is the unintended consequence of taking kids  
22          out of receiving care, even if it's in a sub-

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1 optimal setting. So I wondered if you --

2 MS. WINKLER: Ellen, we're having  
3 trouble hearing you. You're fading in and  
4 out.

5 DR. SCHWALENSTOCKER: Is that  
6 better?

7 MS. WINKLER: Yes.

8 DR. SCHWALENSTOCKER: Can you hear  
9 me?

10 MS. WINKLER: Yes, that's better.

11 DR. SCHWALENSTOCKER: So, one  
12 question I had, I realize this is a  
13 population-based measure.

14 But I wondered if you had any  
15 experience or evidence that the measure itself  
16 resulted in discouraging seeking care for  
17 children, even if it's in a setting we might  
18 not prefer.

19 DR. MCINTYRE: No, it actually did  
20 the -- it worked in the opposite direction.  
21 One of the things that we identified, is many  
22 of these children had not even been seen by

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1 their primary care providers, and not just  
2 children, adults, that they didn't have an  
3 office visit, but they had an emergency room  
4 visit.

5 And what we ended up doing with  
6 this is we were able to get them and connect  
7 them to their primary care, their medical  
8 home. So it actually worked in the opposite  
9 direction with getting them into care, which  
10 is one of the goals of the program as well,  
11 okay, to connect them to a medical home.

12 MS. WINKLER: Okay, thank you.  
13 Okay, any other discussion?

14 All right. So how does the  
15 committee feel that this measure meets the  
16 importance criteria? Yes, or no? All say  
17 yes?

18 Nos? One.

19 Marlene and Ellen?

20 DR. MILLER: Yes.

21 DR. SCHWALENSTOCKER: Yes.

22 MS. WINKLER: Okay. All right,

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1 that's 14 yes, 1 no.

2 All right. Scientific  
3 acceptability of the measure properties. How  
4 many believe it meets the criteria completely?

5 DR. GLAUBER: Is that with the  
6 amended definition of the measure to start at  
7 age two? Is that where --

8 MS. WINKLER: I think that would  
9 be with the recommendation for age two.

10 Completely?

11 Partially?

12 Minimally?

13 Ellen and Marlene?

14 DR. MILLER: Partial.

15 DR. SCHWALENSTOCKER: Partial.

16 MS. WINKLER: Okay. All right.

17 Usability, completely meets? Two.

18 Partially meets?

19 Minimally?

20 Marlene and Ellen?

21 MS. MILLER: Minimal.

22 DR. SCHWALENSTOCKER: I would say

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1 partially.

2 MS. WINKLER: Okay. Feasibility,  
3 completely meets?

4 Partially?

5 Minimally?

6 Marlene, Ellen?

7 MS. MILLER: Minimal.

8 DR. SCHWALENSTOCKER: Partial.

9 MS. WINKLER: Ellen?

10 DR. SCHWALENSTOCKER: Partial.

11 MS. WINKLER: Okay. Questions,  
12 discussion? No?

13 Okay, recommendation for  
14 endorsement, all those yes?

15 Any no's?

16 And Marlene and Ellen?

17 DR. MILLER: No.

18 DR. SCHWALENSTOCKER: I vote yes  
19 with the age difference.

20 MS. WINKLER: Okay. All right.  
21 There's 13 yes, 3 no. All right.

22 So, moving on down the last, the

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1 next measure is, we go to two measures of  
2 vision screening, which is more the area that  
3 work group one was functioning in.

4 And the first one is 1412,  
5 preschool vision screening in the medical  
6 home. This is brought to us from the American  
7 Academy of Pediatrics.

8 Do we have somebody from the  
9 measure developer on the line? Okay. Are we  
10 expecting somebody? Oh, we are. Are we  
11 early? Well, we're not early.

12 Is anybody from AAP on the line?  
13 No.

14 Well, why don't we try and do the  
15 NCQA measure first, and maybe the other folks  
16 will show up.

17 So it's 1398, vision screening.

18 Kathy, I think that's yours.

19 This is, again, this is another  
20 measure that's split into three ages, but it's  
21 vision screening by six years, vision  
22 screening by thirteen years, or vision

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1 screening by eighteen years.

2 DR. JENKINS: Sorry, I think this  
3 is similar to some of the other measures that  
4 we've seen from NCQA, where they've put  
5 together recommended vision screening, that  
6 there are three age groups, as we have just  
7 heard within their comprehensive well child  
8 care.

9 And -- just looking for the  
10 numerator statement, I just have to scroll  
11 down through all their evidence.

12 There are some recommendations  
13 from the TAP work group here which has some  
14 concerns about the screening methodologies and  
15 the specifics of the actual types of  
16 screenings, and a number of concerns about low  
17 sensitivity related to the specific  
18 instruments that were being used, and a real  
19 call that any screening recommendations only  
20 use high sensitivity instruments that are more  
21 robust.

22 And I would just call the group to

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1 look at the comments from the TAP work group.

2 I think, Ellen, you had been on the calls.

3 We talked about this earlier, and I might ask

4 you to comment on what the TAP group had

5 suggested for both of these measures.

6 DR. LIEBERTHAL: The Tap Work  
7 Group included an ophthalmologist and an  
8 optometrist, and I was a little bit surprised  
9 by their comments regarding the sensitivity  
10 and specificity of the standard tests used in  
11 pediatric offices, which are generally the  
12 wall charts, whether they be the Snellen  
13 symbols, the E -- what are -- they call it the  
14 falling E or something like that, or the  
15 letters.

16 And they said that those are very  
17 low sensitivity. They really didn't -- they  
18 recommended one type of test that's done at  
19 five feet using different wall charts and  
20 saying that they're much more accurate because  
21 the child is closer to them, you have the  
22 child more engaged, and you don't have that

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1 twenty-foot space of distraction.

2 But beyond that, the vision  
3 screening devices, none of them appear to be  
4 adequate, really good or practical.

5 So what I came out of that meeting  
6 with was, you know, there are no really highly  
7 sensitive tests available in the general  
8 provider office. However, the -- it's a lot  
9 better than nothing.

10 The division into the three  
11 groups, their feeling was that getting the  
12 child between age four and six was the prime  
13 group, earlier if possible, but that's not  
14 always the case. And doing the testing for  
15 the twelve-year-olds and the eighteen-year-  
16 olds didn't seem to be as important.

17 So their leaning was towards just  
18 the one age group, and I guess it would be  
19 nice if we could optimize the test that was  
20 used.

21 And they said that no specific  
22 method was mentioned in the measure, but I'm

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1 not sure there's one available that would be  
2 appropriate.

3 DR. JENKINS: Yes, and just to  
4 reiterate that a lot of the importance  
5 criteria for this and for the other measure  
6 that from the AAP related to vision screening  
7 were about the risk of missing and intervening  
8 on amblyopia.

9 And that there's a time issue  
10 there that's a little bit disconnected from  
11 the six, thirteen, and eighteen age windows  
12 that we're looking at here.

13 In terms of what was actually  
14 specified as the numerator details, it's a  
15 similar documentation criteria that we've seen  
16 in some of the other NCQA measures, and it's  
17 for -- there is some specification about  
18 distance visual acuity, specifically in each  
19 eye. That was important.

20 And I guess in this one, the  
21 evidence of confirmatory testing or referral  
22 or a follow-up visit was included. Is that

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1 correct?

2 So in this case, you did include  
3 the referral criteria, as opposed to the  
4 others? So I guess that's a difference.

5 The denominators are very similar  
6 to what we've seen before with the two year  
7 look back and the birth date and the  
8 requirement for a visit with a primary care  
9 provider in the prior 12 months.

10 There wasn't any risk adjustment  
11 for the process measure. I think that's  
12 really about it.

13 I guess my personal concerns are  
14 similar to some of what we've talked about  
15 previously, but the major issue that was  
16 brought up was really what Allan alluded to  
17 from the TAP, and our general concerns about  
18 recommending or embedding into quality metrics  
19 or performance metrics screening tools and  
20 testing that are not widely regarded by  
21 experts as of value. And that introduces a  
22 problem.

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1 DR. LIEBERTHAL: This was the  
2 first time I had heard that the standard ways  
3 of testing have such low sensitivity. And it  
4 came from two individuals who received the  
5 referrals, and I'm sure that they were quoting  
6 evidence-based information.

7 If we throw away the testing that  
8 we traditionally do because it's not accurate  
9 enough, we have two choices.

10 We either substitute something  
11 that's more accurate, and from what I could  
12 gather from these two individuals, the only  
13 thing that that would be at the current time  
14 would be referral to a specialist, which some  
15 people have advocated that all children should  
16 see an optometrist before they start school,  
17 or do nothing. And I think the referring all  
18 children to an optometrist is a logistically  
19 and cost-wise very problematic.

20 Doing nothing is, I think, even though  
21 it's not as good a test as we would like, it's  
22 still better than doing nothing.

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1 CO-CHAIR MCINERNY: Yes, Allan,  
2 did they say that the problem was they were  
3 getting too many children referred who failed  
4 a test and had normal vision, or did they say  
5 -- and I always forget sensitivity and  
6 specificity, which is which. Did they say we  
7 were missing kids --

8 DR. LIEBERTHAL: Missing kids.  
9 Sensitivity would be a high false negative  
10 rate.

11 DR. JENKINS: Just to reiterate  
12 the point, I think it might be on the TAP,  
13 because the TAP said most children who fail  
14 vision screenings never receive care, which  
15 was another issue.

16 So it was also wanting to kind of  
17 close the loop, not just on the screening, but  
18 also on the rest of it.

19 And there's a comment here from  
20 the TAP work group from the AHRQ national  
21 Advisory Council on Health Care Research and  
22 Quality Subcommittee on Children's Health Care

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1 Quality Measures voted the vision screening  
2 measure off the core set of 25 measures that  
3 the committee recommended to the secretary for  
4 Medicaid and the CHIP programs.

5 Chair Rita Mangione-Smith said it  
6 was not a reflection of the importance of  
7 vision or eye health among children, but  
8 rather the opposite, a consensus among the  
9 Subcommittee that current vision screening is,  
10 as reported, fragmented, disorganized, and  
11 unaccountable to the desired protected child  
12 health outcomes. In her words, vision  
13 screening is not ready for prime time.

14 So, that's the issue -- that's the  
15 history here, and I believe that some of their  
16 TAP comments were simply reflective of a  
17 similar perspective.

18 DR. GLAUBER: And I recall that  
19 there was a TAP comment saying that the -- you  
20 know, the risk of the low sensitivity is that  
21 families would receive false assurance that  
22 their child had normal vision and then maybe

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1 not be as responsive to any ongoing problems  
2 that may emerge.

3 DR. JENKINS: Exactly. So I guess  
4 we need the measure developer to address some  
5 of these issues. And also, for me, the time  
6 frame issue, where there's a disconnect  
7 between some of the early detection importance  
8 to preserve vision as well as get kids into  
9 treatment and services, and the level of the  
10 measure in the older age groups.

11 MS. SCHOLLE: So, we actually  
12 tested a different -- a more complicated  
13 measure than what we presented here. We  
14 changed some of the numerator requirements  
15 based on the results, because to try to  
16 respond to the concerns about what's most  
17 important for children at age six and age  
18 thirteen and eighteen, our panel felt that it  
19 was important to do the screening for both the  
20 younger kids and the teenagers because of the  
21 changes in vision that happen during  
22 adolescence.

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1 I can't speak to the issue about  
2 the sensitivity and specificity. We  
3 originally tested specs that were based on the  
4 specialist recommendations for screening, and  
5 we found that those were unworkable.

6 Just, we couldn't document what  
7 was happening that way in the primary care  
8 setting in the medical records.

9 I don't know about the sensitivity  
10 and specificity of these screening approaches.

11 I'm not sure that -- I don't remember which  
12 measure was used -- was proposed and reviewed  
13 and is the point of the discussion about the  
14 CHIPRA core measures, and what you've quoted  
15 from that report, but it was not this measure.

16 And I thought that it actually had  
17 to do with vision and hearing screening that  
18 was happening in the schools and whether it  
19 was being recorded somewhere else.

20 So, anyway, I can't refute -- the  
21 piece that I don't know about is whether this  
22 method of screening that's done routinely or

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1 in primary care practice is sufficient for  
2 identifying vision problems in children.

3 DR. LIEBERTHAL: I think what  
4 they're saying is that it is not sensitive  
5 enough to be the standard of -- for screening.

6 And I personally -- I'm not quite  
7 sure how to deal with that, because I cannot  
8 imagine not doing -- you know, making some  
9 effort to determine a child's vision.

10 DR. GLAUBER: Is the sensitivity  
11 problem particular to the younger age group,  
12 or is it the older age groups as well?

13 DR. LIEBERTHAL: They can find  
14 their discussion, and you'll see what the next  
15 -- the other measure, the AAP measure. They  
16 limited their discussion to the under-six-  
17 year-old.

18 They felt that the measuring for  
19 the thirteen-year-old and the eighteen-year-  
20 old was unimportant -- did not have a level of  
21 importance.

22 I think probably -- you know, I'm

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1 not -- I think it would probably be more  
2 reliable, saying, isn't that what an  
3 optometrist does when you first walk into  
4 their office, is they throw the letters up on  
5 the wall and say, can you read those?

6 CO-CHAIR MCINERNY: Now, this is  
7 an interesting problem because one of the --  
8 the first study that the Pediatric Research in  
9 the Office Setting folks did, and this is now  
10 close to 20 or maybe even 25 years ago, was  
11 how often pediatricians were doing vision  
12 screenings at three and four years of age.

13 And it turned out they weren't  
14 doing them very often. And now, I'm saying,  
15 well, maybe they were right, because they  
16 weren't that good.

17 (Laughter.)

18 Although, you know, this is sort  
19 of an article of faith for pediatricians in  
20 the Academy of Pediatrics is to do the vision  
21 screenings. And certainly, in the three,  
22 four, and five years old, four to try to

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1 prevent amblyopia.

2           The other issue I had, with the  
3 older children, is, at least in New York  
4 state, and I don't know if this is true in  
5 other states, maybe you can answer that, but  
6 the nurses at school do vision screenings for  
7 kids once they're in kindergarten and all the  
8 way through high school at some rate.

9           And so that becomes duplicative,  
10 if they're doing it and we're doing it, then  
11 why do that?

12           MS. BERGREN: That's true. It  
13 varies from state to state what the number of  
14 times a child is screened. But it's pretty  
15 common in the younger ages, in the early  
16 grades, of kindergarten first, and then beyond  
17 that, it's every couple of years. But it goes  
18 away in -- but not every state has any  
19 requirements in the high school ages.

20           DR. LIEBERTHAL: In California,  
21 it's very spotty. It can vary from school  
22 district to school district, and with a large

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1 school district such as Los Angeles, from  
2 school to school, because they don't have the  
3 resources.

4 CO-CHAIR MCINERNEY: It seems like  
5 you need a proposition on that.

6 (Laughter.)

7 DR. LIEBERTHAL: Well, if you're  
8 going to have one proposition, you have to two  
9 contradictory propositions. You've got to be  
10 able to read it.

11 (Laughter.)

12 MS. BERGREN: I guess I'm  
13 concerned that there's nothing to substitute  
14 for this, and that it doesn't call for a  
15 particular type of screening instrument in the  
16 criteria.

17 And to not recommend this would --  
18 I don't see it being substituted by  
19 recommending that all children get an  
20 ophthalmologist or an optometrist visit. I  
21 see this as reducing the number of kids that  
22 are going to be seen, if we don't agree with

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1 it, as opposed to increasing it.

2 MS. WINKLER: I listened to the  
3 TAP call also, and the TAP members were  
4 absolutely in this quandary.

5 I mean, I think they wanted to  
6 make people aware that the traditional vision  
7 screening tools aren't as good as you think  
8 they might be, and that awareness was an  
9 important factor, but that they certainly were  
10 not advocating doing nothing. That was not a  
11 good alternative either.

12 But the fact that there probably  
13 is a lack of awareness that the most commonly  
14 used tools aren't particularly sensitive is  
15 something that needs to be more widely  
16 understood.

17 CO-CHAIR MCINERNEY: Well, is this  
18 another perfect is the enemy of good enough  
19 situation here?

20 DR. GLAUBER: Well, I just wonder,  
21 since the TAP said the importance was a lot  
22 less for the thirteen and eighteen-year-olds

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1 and given that there is sort of a paucity of  
2 measures and recommendations, relatively  
3 speaking, for the six-year-old population,  
4 whether we might amend the measure to just  
5 focus on the six-year-old, and that would set  
6 up the challenges for trying to figure out the  
7 most feasible and sensitive strategy for  
8 screening.

9 MS. WINKLER: You don't need to  
10 amend the measure. These are three separate  
11 measures.

12 DR. LIEBERTHAL: And measure 1398  
13 is very similar, but only deals with the child  
14 under six years old.

15 MS. SCHOLLE: Would it make sense  
16 for us, since I was not able to listen to the  
17 TAP call, I wonder if it would make sense for  
18 us to talk with some members of that group to  
19 see if we could get some advice on refining  
20 the numerators?

21 MS. WINKLER: A couple of options.  
22 Certainly you can. We also posted the

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1 recording of the TAP call on our website, so  
2 it's available for anybody to listen to.

3 CO-CHAIR MCINERNEY: Okay, well, I  
4 think we've had enough discussion. Let's take  
5 a vote.

6 MS. WINKLER: All right. I heard  
7 a sense that you would like to focus in on the  
8 measure for the six-year-olds. And not so  
9 much on the thirteen to eighteens. We'll  
10 split those.

11 And we're talking about the  
12 measure as is, though I think it's -- NCQA  
13 sounds, you know, open to hearing the feedback  
14 and seeing what they might be able to do to  
15 address some of these concerns, but we don't  
16 know what that's going to be.

17 Is this another measure you want  
18 to table, or do you want to go ahead and vote  
19 on it now? Come on, gang.

20 DR. LIEBERTHAL: I think we can  
21 vote on it.

22 MS. WINKLER: Vote on it now?

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1       Okay.  So we were just talking about the age  
2       six measure, and how many feel that it meets  
3       the importance criteria?

4                   Marlene and Ellen?       Marlene and  
5       Ellen, are you still with us?

6                   DR. SCHWALENSTOCKER:  Sorry, I was  
7       on the -- yes.

8                   MS. WINKLER:       Marlene, are you  
9       still there?

10                   It looks like we lost her too.  
11       Okay.

12                   Then the scientific acceptability  
13       of the measure, and we're talking about the  
14       six-year-olds, okay?

15                   How many feel it meets the  
16       criteria completely?

17                   Partially?

18                   Minimally?

19                   Not at all?

20                   Are there any no's?

21                   And Ellen?

22                   DR. SCHWALENSTOCKER:  Minimally.

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1 MS. WINKLER: Okay, thank you.

2 Usability, completely meets criteria?

3 Partially meets criteria?

4 Minimally meets criteria?

5 And Ellen?

6 DR. SCHWALENSTOCKER: Partially.

7 MS. WINKLER: Okay. Feasibility,  
8 completely meets? Partially? Okay.

9 Minimally? All right.

10 Ellen?

11 DR. SCHWALENSTOCKER: Partially.

12 MS. WINKLER: Okay, great. All  
13 right. So, recommend for endorsement? Just  
14 the one, just the six-year-old. Yes?

15 Nos? One.

16 Ellen?

17 DR. SCHWALENSTOCKER: Yes.

18 MS. WINKLER: Okay, twelve yes,  
19 one no.

20 Okay. Do we have anybody from the  
21 American Academy of Pediatrics, measure  
22 developers for measure 1412? Anybody out

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1       there?

2                   DR. LIEBERTHAL:     I don't think  
3       they're on.  I actually -- before I even knew  
4       that this was going to be presented at this  
5       meeting, the prime author, who's chair of the  
6       section of ophthalmology in the AAP called me  
7       for advice on developing a measure.  So I have  
8       a little background on the measure, so I think  
9       in that case, maybe I can present that and not  
10      vote.

11                   CO-CHAIR MCINERNY:     So Kathy  
12      already left, so 1398 is a -- 1412, I'm sorry,  
13      I got them mixed up.

14                   This is presented by the AAP.  The  
15      background on it and the importance of it are  
16      pretty much the same as the NCQA measure under  
17      six years of age.

18                   This is number of preschool  
19      children under five years old that receive  
20      visual acuity testing or photo screening in  
21      the medical home is the numerator.

22                   It's a pretty straightforward, and

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1 each has a CPT code.

2 By the way, and with regard to the  
3 TAP comments, there are no CPT codes that  
4 differentiate the type of screening done,  
5 other than whether it is screening test of  
6 visual acuity or the photo screening, which  
7 involves devices that are used.

8 So, even if they came up with  
9 other methods of doing visual acuity  
10 screening, there's currently no way of  
11 identifying what is done.

12 The denominator, again, is a very  
13 simple denominator, all children under five  
14 years old who attend a routine well child  
15 visit in their medical home.

16 He was -- when questioned about,  
17 does it have to be in the medical home, what  
18 about kids who are already seeing an  
19 optometrist or an ophthalmologist, the author  
20 wanted to maintain that it be done in the  
21 medical home whether or not they were being  
22 seen outside, as just something that we should

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1 do on a routine basis.

2 And exclusions are basically that  
3 you can't do the screening due to the patient  
4 being unstable or uncooperative or the parents  
5 have refused screening. And I don't know how  
6 you would extract that from the medical record  
7 on a reliable basis, but those are the only  
8 exclusions.

9 This measure has not been tested  
10 for validity or in any other -- or  
11 reliability.

12 The comments of the TAP were  
13 essentially the same. They preferred this  
14 measure, first of all, because they think that  
15 screening by five years is more -- is better  
16 than waiting until six years, and also they  
17 were -- they thought that the first measure,  
18 the previous measure, was an all or none as  
19 far as the age groups, so their leaning was to  
20 approve this measure as opposed to the other  
21 one.

22 But the comments on the numerator

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1 are pretty much the same, the accuracy. The  
2 question was, on the TAP, just quoting,  
3 children with any vision-related symptoms  
4 should be excluded from screening, as children  
5 with vision-related symptoms should never  
6 receive vision screening of any type, and  
7 should be tracked directly into care by an  
8 optometrist or pediatric ophthalmologist, so  
9 they were discounting the exclusions as being  
10 an indication to -- for referral.

11 DR. PERSAUD: And I noticed, this  
12 one had the USPSTF, strength of evidence is a  
13 grade B, and I guess that's why I voted the  
14 other one down, because I scrolled ahead and  
15 looked at this one and thought it was a better  
16 measure.

17 DR. LIEBERTHAL: I didn't look at  
18 the other one about the USPSTF -- but were  
19 they looking at age group, or were they  
20 looking at all three together?

21 I really didn't look at that  
22 closely. But they should be the same, because

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1 you're basically doing the same thing.

2 DR. PERSAUD: I think it had to do  
3 with the age cutoff. What the USPSTF said is  
4 that for older children, you don't make any  
5 change in visual acuity, but for younger  
6 children you can help them with amblyopia and  
7 blindness.

8 MS. WINKLER: These are two  
9 measures that are essentially very, very  
10 similar, and what we do when we look at  
11 similar measures is we evaluate each of them  
12 against the criteria and compare.

13 If you feel that one, by the way,  
14 it's specified or the way the data source is  
15 or whatever, look at how they meet the  
16 criteria.

17 If one clearly meets the criteria  
18 better, then there will be a preference for  
19 that measure.

20 If they're the same, then at some  
21 point, we'll need to make a decision, because  
22 we would not really want to see two measures

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1 this much alike being recommended. There  
2 would be no purpose in that.

3 So I do want you to take a look at  
4 it from that perspective.

5 CO-CHAIR MCINERNY: So, in that  
6 situation, should we go through the regular  
7 voting on the measure, and then at the end of  
8 that, take a vote as to whether we prefer this  
9 one or 1398?

10 MS. WINKLER: Yes.

11 CO-CHAIR MCINERNY: Okay. Sounds  
12 good.

13 MS. WINKLER: Do we feel like  
14 everybody's had a chance to look at the  
15 specifications of both measures, in  
16 particular, of this measure as we do it?  
17 Sarah?

18 MS. BROWN: Can you just tell me  
19 quickly what the difference between the two of  
20 them is?

21 MS. WINKLER: Basically age.

22 MS. BROWN: That's all? And

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1 medical --

2 MS. WINKLER: And specifying  
3 medical home rather than just the --

4 DR. LIEBERTHAL: And the  
5 exclusions. This one does not specify follow-  
6 up.

7 DR. SCHWALENSTOCKER: This is  
8 Ellen. I mean, you then have to have a  
9 measure of medical home to go with this?

10 MS. WINKLER: Ellen, we can't hear  
11 you.

12 DR. SCHWALENSTOCKER: I'm sorry.  
13 My question is about the medical home, and how  
14 that's being defined, and if it's adequately  
15 defined in the measure.

16 MS. WINKLER: I think she's asking  
17 about the specifications for the term medical  
18 home and whether that would be commonly  
19 understood and commonly applied.

20 DR. GLAUBER: It seems like it's  
21 in the definition but not really in the  
22 specifications, so, I don't know if that

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1 really has an impact.

2 MS. WINKLER: Okay.

3 DR. LIEBERTHAL: I have concerns  
4 about that also because if a child is being  
5 seen by an ophthalmologist or an optometrist,  
6 that trumps the vision screening in the  
7 medical home.

8 However, when I presented that to  
9 the AAP and the author, they wanted to keep it  
10 in.

11 MS. WINKLER: Okay. So, on this  
12 measure, 1412, how many feel that it meets the  
13 importance criteria? So I would assume they  
14 would be the same, right? Okay.

15 Any no votes?

16 Oh, Allan, you're abstaining.

17 Ellen, what were you?

18 DR. SCHWALENSTOCKER: Yes.

19 MS. WINKLER: Okay, great. So the  
20 scientific acceptability of this measure.  
21 Does it completely meet criteria?

22 Partially meet criteria? Nine.

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1 Minimally meet criteria? Two.  
2 Ellen?  
3 DR. SCHWALENSTOCKER: Partially.  
4 MS. WINKLER: Okay. Usability  
5 criteria, completely meet?  
6 Partially meet?  
7 Minimally meet?  
8 Ellen?  
9 DR. SCHWALENSTOCKER: Partial.  
10 MS. WINKLER: Thank you.  
11 Feasibility, completely?  
12 Partially? All right.  
13 Minimally?  
14 Ellen?  
15 DR. SCHWALENSTOCKER: Partial.  
16 MS. WINKLER: Okay. And so how  
17 many would recommend this measure for  
18 endorsement? And it would be a time-limited  
19 endorsement because of the lack of testing.  
20 Yes?  
21 Any no votes?  
22 Ellen?

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1 DR. SCHWALENSTOCKER: Yes.

2 MS. WINKLER: Okay. Now, in terms  
3 of, you looked at two measures that are very  
4 similar. In terms of your evaluations of the  
5 rating of the criteria, they're remarkably  
6 similar.

7 Generally, you felt the scientific  
8 acceptability of either measure was -- more  
9 votes for the minimal usability, partial,  
10 feasibility, partial.

11 I am concerned about, the  
12 scientific acceptability of the second measure  
13 was rated more at partial, where it was  
14 minimal on the first one.

15 Jim, you have a question?

16 DR. GLAUBER: What may  
17 differentiate these measures in terms of  
18 harmonization is the perceived importance of  
19 the target age ranges, and we only got to vote  
20 on that as a yes/no.

21 MS. WINKLER: Okay.

22 DR. LIEBERTHAL: In determining

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1 the measure that NQF endorses, is there a  
2 mechanism for harmonizing the measures, taking  
3 the age from one and the wording from another?

4 MS. WINKLER: Not easily. Let me  
5 put it to you that way. I think there's a  
6 general concerted effort to want to achieve  
7 harmonization. It's much more easily said  
8 than done, and the history of the measures  
9 have their own reasons for doing things the  
10 way they do, and that does not make them very  
11 amenable to change.

12 NQF had a recent harmonization  
13 work group that looked at the whole issue  
14 around harmonization, and they felt that the  
15 harmonizing at the end of the process has very  
16 limited success, and that harmonization is  
17 best achieved at the early stages of  
18 development of measures.

19 So, and we've certainly found that  
20 to be our experience, so this one becomes a  
21 difficult one.

22 I guess from the perspective of

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1 the committee in terms of these two, what do  
2 you see to be the real strengths of one over  
3 the other? Do you have a preference of one  
4 over the other, and why?

5 DR. CHEN: Can I just raise a  
6 question about the difference between medical  
7 home and non-medical home setting?

8 Is there any reason why we should  
9 prefer the medical home setting versus the  
10 non-medical home setting? I mean, I think age  
11 makes a difference for me, but aside from  
12 that, it's really the main difference is the  
13 medical home versus the non-medical-home  
14 setting, right?

15 CO-CHAIR MCINERNEY: Well, I think  
16 the reason for that is the reason for the  
17 Academy of Pediatrics' stress on the medical  
18 home is because that the primary care  
19 physician in the medical home is to be  
20 responsible for the patient's health in the  
21 broadest sense of the term, and that  
22 therefore, it would be important that this be

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1 done in the medical home.

2 MS. BERGREN: Were you finished?  
3 For early childhood screening that's done in  
4 the school setting, there are highly trained  
5 screeners who do that screening, and that is  
6 one of the only things that they do. They  
7 don't really have a lot of other  
8 responsibilities.

9 So, from that respect, I don't  
10 think there would be that much difference.  
11 You know, the screening that's done in the  
12 early childhood setting is usually pretty  
13 good.

14 DR. ZIMA: I guess I had a  
15 preference for NCQA just because the  
16 specifications were better operationalized.

17 And then the other question I have  
18 is, and it's really just question is, if this  
19 is close, does membership to AAP influence  
20 decisions among our group?

21 I'm just saying, but if we were to  
22 look at -- I'm just thinking in terms of your

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1 position, having to defend the choice, I think  
2 it would be something simply to explore as a  
3 sensitivity analysis, just to make sure.

4 CO-CHAIR MCINERNEY: Well, a couple  
5 of things. One, not all children are in an  
6 early program, educational program, so you'd  
7 miss a bunch, and that's where the medical  
8 home would be more important.

9 Number two, I would certainly, if  
10 folks are more comfortable, I think those of  
11 us who are AAP members could recuse ourselves  
12 from the vote.

13 DR. QUIRK: We've already  
14 established that these screenings got poor  
15 sensitivity, so maybe it's less -- probably  
16 there isn't a statistically significant  
17 difference in who does the screen, whether  
18 it's a school nurse or a technologist or a  
19 pediatrician or a family doctor or an  
20 optometrist, so who cares?

21 I think timing is very important.

22 I think that the kid should have a visual --

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1 it doesn't get everything, but if you can't  
2 read the letters on the page or on the  
3 blackboard, you're going to be stigmatized in  
4 about five milliseconds in kindergarten.

5 I have a very vivid memory from  
6 1951 where we were all screened by the school.

7 We had already decided who in the  
8 kindergarten class couldn't see very well, and  
9 we kind of ostracized them a little bit.

10 So I think it's very important,  
11 before you get into an organized, socialized,  
12 or pre-socialized activity, that that's taken  
13 care of, and then that there's a referral.

14 I don't care if it's in a home, I  
15 don't care if it's in an office or a school  
16 nurse's office.

17 DR. LIEBERTHAL: In response to  
18 the question, I think it was said at the  
19 beginning of the meeting that we're here for  
20 our expertise, not representing any particular  
21 organization, and I take that very seriously.

22 The only reason I recused myself

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1 is I had background information from the  
2 developer which I thought disqualified me from  
3 voting, but it wasn't because I'm a member of  
4 the AAP or was appointed for this by the AAP.

5 DR. ZIMA: And for the record, I  
6 don't think AAP members should recuse from the  
7 vote. I'm just anticipating a close vote.

8 MS. WINKLER: Well, how about this  
9 as a first step. Why don't we take a bit of a  
10 straw vote, and just see.

11 With -- we look at the differences  
12 between the measures that are primarily around  
13 age, primarily the specification around the  
14 medical home, and the exclusions. Did I miss  
15 anything, are those --

16 DR. LIEBERTHAL: Yes, the NCQA  
17 included follow-up --

18 MS. WINKLER: Oh, okay.

19 DR. LIEBERTHAL: Which the other  
20 measure did not.

21 MS. WINKLER: Okay, the follow-up  
22 on the NCQA measure. All right. So those are

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1 the sort of the main issues.

2 So we have some of the folks from  
3 AAP on the phone? Hello? Hello?

4 Yes, hello?

5 DR. PLUMMER: Hi, can you hear me?

6 MS. WINKLER: Just barely.

7 DR. PLUMMER: Okay. This is  
8 Janelle Plummer from the AAP.

9 MS. WINKLER: Okay. Great. We've  
10 been talking about your vision screening  
11 measure here for a while.

12 DR. PLUMMER: Yes, I heard.

13 MS. WINKLER: Oh, good. All  
14 right. Did you have any -- if you've been  
15 listening, then, do you have any comments in  
16 response to some of the discussion?

17 DR. PLUMMER: I just wanted to be  
18 clear, the two measures are NCQA and AAP, and  
19 the issues are around the setting and the  
20 inclusion of follow-up.

21 MS. WINKLER: Yes.

22 DR. PLUMMER: Are there any other

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1 concerns?

2 MS. WINKLER: Age was the  
3 discussion point.

4 MS. DR. PLUMMERER: Okay. Okay.

5 MS. WINKLER: Did anybody have a  
6 question you wanted to ask the measure  
7 developer on this measure?

8 Okay. Dr. Lieberthal kind of  
9 represented the measure. Were there any  
10 questions the developer wanted to ask of the  
11 committee?

12 DR. PLUMMER: No.

13 MS. WINKLER: Okay. All right.  
14 So, let's get a sense of -- we don't have the  
15 full committee here.

16 We had a bit of an attrition here,  
17 so maybe this will be sort of a first round,  
18 see how it goes sort of thing, in terms of,  
19 we've talked about the differences between the  
20 two measures.

21 So how many of you would prefer --  
22 having to make a choice, how many of you would

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1 prefer the measure from NCQA, at age six?

2 And how many would prefer the  
3 measure from AAP, the medical home, blah blah  
4 blah?

5 Is there anybody who didn't vote?

6 Allan recused himself.

7 DR. SCHWALENSTOCKER: Yes, I'm  
8 going to abstain, because I think I missed  
9 something on the NCQA measure and I don't want  
10 to state a preference.

11 MS. WINKLER: Okay. At this  
12 point, you've indicated a preference for the  
13 AAP measure. Was there something that -- was  
14 there any particular follow-up with that, any  
15 clarifications, any issues you wanted to  
16 further raise with the AAP?

17 DR. LIEBERTHAL: Janelle, you're  
18 still on the phone?

19 DR. PLUMMER: Yes.

20 MS. LIEBERTHAL: Yes, it's Al.  
21 The only thing I liked about the NCQA better  
22 than the AAP measure was that it specified

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1 action to take if the vision screening test is  
2 abnormal, and I think that is something that,  
3 if the authors would consider, might be added.

4 DR. PLUMMER: Okay.

5 CO-CHAIR MCINERNEY: The only  
6 problem is, I don't believe, as I look at the  
7 numerator, that in the NCQA measure, it didn't  
8 say follow-up in the numerator. I'm trying to  
9 find it again.

10 DR. LIEBERTHAL: In 2A.3,  
11 numerator details --

12 CO-CHAIR MCINERNEY: Oh.

13 DR. LIEBERTHAL: -- it says,  
14 documentation must include the data note  
15 indicating the following, and there were three  
16 bullet points.

17 CO-CHAIR MCINERNEY: Okay. It's  
18 not in 2A.1 but 2A.3. Okay. Very important.  
19 Thank you.

20 Yes, and I agree, I think the  
21 follow-up would be important if we could  
22 include that in the AAP measure.

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1 MS. WINKLER: Okay. All right.

2 DR. PLUMMER: Would there be an  
3 opportunity for modification, prior to the  
4 endorsement?

5 MS. WINKLER: Yes, I think there's  
6 an opportunity. These are recommendations to  
7 you that you could bring back to the  
8 committee, but we'd have to do that in a  
9 reasonably short period of time.

10 DR. PLUMMER: Okay.

11 DR. RAO: Just a quick question.  
12 That was a time-limited measure, the AAP?

13 MS. WINKLER: Yes.

14 DR. CHEN: Only the AAP is. I  
15 think that's part of the reason why I prefer  
16 it, too.

17 CO-CHAIR MCINERNEY: We've done  
18 very well. We actually got through all of  
19 today's measures.

20 However, we did have four measures  
21 left over from Monday, from yesterday, that we  
22 did not get to, and I don't think we have time

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1 to go into those now.

2 We're supposed to -- many of us  
3 are going to be leaving shortly. We do need  
4 time for the public comment, and then the  
5 wrap-up.

6 MS. WINKLER: I think we've pushed  
7 you fairly hard today, and I see many signs of  
8 fatigue around the table, and I think that  
9 would be reasonable.

10 We have several conference calls  
11 already established for follow-up, so I think  
12 we'll be able to discuss those measures at  
13 that time.

14 So, I think at this point,  
15 operator, is there anybody on the phone?

16 OPERATOR: Not at this time.

17 MS. WINKLER: Thank you.

18 Is there anybody in the room who  
19 wants to say something?

20 All right, so public comment was  
21 relatively short. It's been --

22 CO-CHAIR MCINERNEY: Well, then

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1 we'll do another measure.

2 MS. WINKLER: Yes, really? I  
3 mean, it's been a really long two days, and we  
4 realize that this was a very ambitious agenda.  
5 You guys have really done a remarkable job of  
6 getting through as much of it as you did, and  
7 thank you all for hanging in there and pushing  
8 yourselves as hard as you did.

9 So, what we're going to do is do a  
10 quick summary tomorrow of the decisions that  
11 you made, and we will kind of circulate them  
12 so you have an interim view of the work that  
13 we did.

14 But we still have measures to go,  
15 and you will be hearing from us in the next  
16 couple of weeks in terms of the agendas for  
17 those follow-up conference calls.

18 Suzanne, did you want to talk  
19 about any of the --

20 MS. THEBERGE: Nope, just we'll be  
21 in touch with you with the call-in  
22 information, the agendas. The dates are up on

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1 the slide set. Let me know if you have any  
2 questions.

3 If you know now that you are not  
4 able to attend one of those calls, and you  
5 haven't told me already, please send me an  
6 email and let me know, especially if we need  
7 to cover one of your measures that we missed  
8 yesterday, I'll make sure to get that on a  
9 call when you're available. And I just want  
10 to say thank you to everyone.

11 CO-CHAIR MCINERNEY: I did a first  
12 rough count, of the 19 measures that we  
13 considered yesterday, we voted yes for 10 of  
14 those.

15 And of the seventeen measures that  
16 we considered today, we voted yes for nine of  
17 those. So we're running about -- batting 500  
18 on those.

19 MS. WINKLER: There's no normal --  
20 it's very variable.

21 Yes, and I think that's why I  
22 wouldn't want to push them.

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CO-CHAIR MCINERNY: Right.

MS. WINKLER: I think everybody's  
-- I think fatigue is setting in.

CO-CHAIR MCINERNY: Great. Thank  
you, everybody.

(Whereupon, the above-entitled  
matter was concluded at 2:33 p.m.)

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