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THE NATIONAL QUALITY FORUM

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STEERING COMMITTEE ON NATIONAL VOLUNTARY CONSENSUS STANDARDS FOR PATIENT OUTCOMES

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MEETING

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TUESDAY APRIL 20, 2010

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The Patient Outcomes Steering Committee met in Salon 1 in the Marriott Bethesda Hotel, 5151 Pooks Hill Road, Bethesda, Maryland, at 10:00 a.m., Joyce Dubow and Lee Fleisher, Co-Chairs, presiding. MEMBERS PRESENT:

JOYCE DUBOW, MUP, Co-Chair LEE FLEISHER, MD, Co-Chair RUBEN AMARASINGHAM, MD, MBA, Member LAWRENCE M. BECKER, Member E. PATCHEN DELLINGER, MD, Member ANNE DEUTSCH, PhD, RN, Member BRIAN FILLIPO, MD, MMM, FACP, Member

LINDA GERBIG, RN, MSPH, Member EDWARD F. GIBBONS, MD, Member LINDA GROAH, RN, MSN, CNOR, FAAN, Member PATRICIA K. HAUGEN, member DAVID HERMAN, MD, Member DAVID S.P. HOPKINS, MS, PhD, Member DIANNE V. JEWELL, PT, DPT, PhD, CCS, Member DAVID A. JOHNSON, MD, FACP, FACG, FASGE, Member

IVER JUSTER, MD, Member

MEMBERS PRESENT (Cont'd):

BURKE KEALEY, MD, FHM, Member

PAULINE McNULTY, PhD, Member

LEE NEWCOMER, MD, MHA, Member

VANITA K. PINDOLIA, PharmD, BCPS, Member

AMY K. ROSEN, PhD, Member

BARBARA J. TURNER, MD, MSED, MA, FACP, Member

BARBARA YAWN, MD, Member

ALSO PRESENT:

HEIDI BOSSLEY, MSN, MBA, SENIOR DIRECTOR,

PERFORMANCE MEASURES

HELEN BURSTIN, STAFF

HAWA CAMARA, STAFF

SARAH FANTA, STAFF

SEAN O'BRIEN, MD, CONSULTING STATISTICAL

REVIEWER

REVA WINKLER, MD, MPH, PROGRAM CONSULTANT

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SEAN O'BRIEN, MD, Consulting Statistical
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Reviewer

NQF STAFF:

HEIDI BOSSLEY

HELEN BURSTIN

HAWA CAMARA

SARAH FANTA

REVA WINKLER

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   T-A-B-L-E O-F C-O-N-T-E-N-T-S
Welcome, Introductions, Disclosures . . . . . . 4
Overview of First Set of Outcomes . . . . . 10
Measures
Consideration of Candidate Measures:
Adjourn
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1	P-R-O-C-E-E-D-I-N-G-S
2	10:09 a.m.
3	CO-CHAIR DUBOW: Good morning,
4	everybody. I am Joyce Dubow, Co-Chair.
5	CO-CHAIR FLEISHER: I am Lee
6	Fleisher, the other Co-Chair.
7	CO-CHAIR DUBOW: We are a little
8	bit late, and we will go around the room,
9	introduce ourselves, declare whether we have
10	any conflicts, and then we will review the
11	agenda for the next two days.
12	We would very much appreciate it
13	if the name tags could be directed toward us
14	so that everybody gets called by the proper
15	name, and also, please, when you introduce
16	yourself, if you were Chair of one of the
17	Technical Panels, please also let us know that
18	so that we will know who is who.
19	I am Joyce Dubow from AARP.
20	CO-CHAIR FLEISHER: I am Lee
21	Fleisher from the University of Pennsylvania,
22	Chair of Anesthesia.

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1	DR. WINKLER: I am Reva Winkler.	
2	I am a Project Consultant to NQF.	
3	MEMBER AMARASINGHAM: I am Ruben	
4	Amarasingham. I am a physician at Parkland	
5	Health and Hospital System.	
6	MEMBER JOHNSON: David Johnson, a	
7	gastroenterologist from American College of	
8	Gastroenterology, and I was the GI TAP Chair.	
9	MEMBER JUSTER: Iver Juster from	
10	Outcomes and Informatics at ActiveHealth	
11	Management. No disclosures.	
12	MEMBER AMARASINGHAM: No	
13	disclosure.	
14	MEMBER JOHNSON: David Johnson, no	
15	disclosure.	
16	MEMBER KEALEY: I am Burke Kealey.	
17	I am a hospitalist for HealthPartners	
18	Integrated Delivery System in Minneapolis, and	
19	also an officer of the Society of Hospital	
20	Medicine. No disclosure.	
21	MEMBER McNULTY: Hi. I am Pauline	
22	McNulty from Johnson & Johnson. No	

Page 7 disclosures. 1 2 MEMBER GERBIG: Hi. I am Linda 3 Gerbig from the Association of Perioperative 4 Registered Nurses. No disclosures. 5 MEMBER BECKER: Hi. I am Larry 6 Becker. I am the Director at Xerox 7 Corporation, and I am also on the Board at The 8 NOF. 9 MEMBER TURNER: Good morning. Ι am Barbara Turner, American College of 10 Physicians. No disclosures. 11 12 DR O'BRIEN: Hi. I am Sean 13 O'Brien. I am a statistician at Duke Clinical 14 Research Institute, and I am here as a consultant for NQF as a fiscal reviewer. 15 DCI is involved with measures to do with STS. 16 So when those are discussed, I will not be here 17 18 as a consultant for NQF. 19 MEMBER FILLIPO: Hi. I am Brian 20 Fillipo, the Vice President for Medical 21 Affairs at Bon Secoeur, St. Mary's, and I have 22 no disclosures.

		Page
1	MEMBER YAWN: Barbara Yawn, family	
2	physician, health services researcher, and I	
3	was Chair of the TAP, Pulmonary TAP, and I	
4	have no disclosures.	
5	MEMBER HAUGEN: Pat Haugen,	
6	consumer, National Breast Cancer Coalition.	
7	No disclosures.	
8	MEMBER PINDOLIA: Vanita Pindolia,	
9	pharmacist, Henry Ford Health System in	
10	Detroit, Michigan, medication management	
11	programs, and I have no disclosures.	
12	MEMBER GROAH: Linda Groah, CEO of	
13	APRN, and I have no disclosures.	
14	MEMBER JEWELL: Dianne Jewell. I	
15	am a physical therapist representing the	
16	American Physical Therapy Association. I was	
17	the Chair of the Bone and Joint TAP, and I	
18	have no disclosures.	
19	MEMBER DEUTSCH: Anne Deutsch. I	
20	am a clinical research scientist, Rehab	
21	Institute of Chicago. No disclosures.	
22	MEMBER NEWCOMER: Lee Newcomer	

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1	with United Health Group, and I run the cancer	
2	and cells divisions there. I chaired the	
3	Cancer TAP, also the former Chairman, Park-	
4	Nicollet Health Services in Minneapolis.	
5	MEMBER HOPKINS: David Hopkins,	
6	Director of Quality Measurement, Pacific	
7	Business Group on health. I have no conflicts	
8	to disclose.	
9	MEMBER DELLINGER: Patch	
10	Dellinger, Professor of Surgery and Chief of	
11	General Surgery at the University of	
12	Washington. I chaired the IV TAP. I don't	
13	think I have any disclosures relevant to here.	
14	I have consulted for almost every	
15	pharmaceutical firm that makes any antibiotics	
16	over the years, but I don't think that is	
17	relevant to what we are doing here.	
18	MEMBER ROSEN: Amy Rosen. I am	
19	health services researcher at Boston	
20	University, School of Public Health and School	
21	of Medicine, and also at the VA.	
22	MS. CAMARA: Hawa Camara, analyst	

Page 10 1 at NQF. 2 DR. BURSTIN: Good morning, 3 everybody. I am Helen Burstin, Senior Vice 4 President at NQF. 5 MS. BOSSLEY: good morning. I am Heidi Bossley, Senior Director for Quality 6 7 Measures at NQF. 8 CO-CHAIR DUBOW: Are there any 9 Committee members on the phone? Is Shelley? 10 Okay. (Off-mike introductions.) 11 12 MS. FANTA: Hi. Sarah Fanta, 13 research analyst with NQF. 14 CO-CHAIR DUBOW: Are there any 15 members of the public on the phone who would 16 like to introduce themselves, please? We 17 heard a few of you. Rita? Hi. It is Rita 18 DR. GALLAGHER: 19 Munley Gallagher with the American Nurses 20 Association. 21 CO-CHAIR FLEISHER: Bruce, are you 22 there?

		Page 11	-
1	CO-CHAIR DUBOW: I thought we		
2	heard somebody else this morning.		
3	DR. PATTON: Mary Patton from the		
4	Association of American Medical Colleges.		
5	DR. HALL: Bruce Hall from the		
6	American College of Surgeons.		
7	CO-CHAIR DUBOW: Okay, thank you		
8	very much.		
9	DR. WINKLER: Welcome, everyone.		
10	The last six months since we met in October		
11	has been a very busy and intense time. As you		
12	all participated in, we had two conference		
13	calls in March to evaluate an initial set of		
14	12 measures, and I am going to give you the		
15	results of all of that, but at the same time,		
16	numerous conference calls and meetings were		
17	held with the Technical Advisory Panels		
18	preparing for this meeting.		
19	So thanks to everybody for your		
20	participation. But let's go on to what		
21	happened in the past, so we know where we are.		
22	Out of the 12 measures that you		

		Page 12
1	evaluated over the conference calls in March	
2	and 17th and 24th, you recommended eight of	
3	them to go forward for endorsement.	
4	Six of the measures were	
5	recommended straight out for regular	
б	endorsement, and they are the Intensive Care	
7	In-Hospital Mortality Rate, as well as the	
8	Intensive Care Length-of-Stay measure paired	
9	with the ICU.	
10	The complication rate for ICD and	
11	the 30-day readmission for PCI, and then the	
12	composite measure for AMI discharge care	
13	transition, and also the heart failure	
14	discharge care transition composite measure.	
15	So a majority of the Committee	
16	members recommended that these go forward for	
17	endorsement.	
18	Any questions from anybody on the	
19	Panel? Okay, now you did recommend that two	
20	of the measures go forward for time limited	
21	endorsement, and these are the two measures	
22	around pulmonary rehabilitation. The first is	

		Page 13
1	the health related quality of life, and the	
2	second is functional capacity. Any questions	
3	about those?	
4	We have had conversations with the	
5	developers of these two measures, and they are	
6	in agreement with doing the testing of these	
7	measures within the 12-24 month time frame	
8	that NQF requires. So that is where we are.	
9	MEMBER YAWN: Is it 12 or is it	
10	24?	
11	DR. BURSTIN: Twelve months is our	
12	new policy. This project hit it smack dab in	
13	that transition point. So I think we will	
14	allow them to go up to 24 months, but	
15	preferable, the sooner the better, and they	
16	know that.	
17	MEMBER YAWN: That is good. I was	
18	just going to make a complete 24 months.	
19	DR. WINKLER: So any other	
20	questions on that? Okay.	
21	The four measures that were not	
22	recommended but I will tell you that those	

Page 14 were close-ish, but these were the individual 1 2 measures that are part of composites. The majority of -- About half the Committee really 3 4 only recommended these measures for the 5 composite only, and then a goodly -- and then 6 several more not at all. 7 So as stand-alone measures, these 8 four were not recommended, but they are part 9 of the composite, which you did recommend. Ouestions on that? 10 11 Okay, so that is where we are. 12 Given that --13 MEMBER JUSTER: So they are still 14 going to be reported? 15 DR. WINKLER: These measures are 16 part of the composite. Correct. Just but you 17 did not recommend them as stand-alone, 18 independent measures. 19 Given that this is sort of a 20 decision endpoint, we would like the 21 opportunity for public comment on them. We will have 22 CO-CHAIR DUBOW:

1		Page	15
1	public comment in just a minute. I just want		
2	to remind you what happens to our		
3	recommendations. Do you want to explain that?		
4	DR. WINKLER: Sure. What is going		
5	to happen next is we have drafted a report		
6	that describes the discussions and the		
7	evaluation of these 12 measures. We are		
8	finalizing it to be released for public		
9	comment in May. All right?		
10	We expect to get feedback from NQF		
11	members as well as members of the public at		
12	large. Those comments will be collated and		
13	organized by measure.		
14	We will also be asking comments on		
15	the measures not recommended, and then we will		
16	be coming back to you sometime in June,		
17	probably around the third week of June, to		
18	look at those comments to see how This is		
19	feedback for your decisions, to see if it		
20	changes your mind, gives you a different way		
21	of thinking about things, brought up issues		
22	you hadn't considered, whatever.		

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1	This is sort of an opportunity to	
2	get feedback on the decisions you made, and	
3	at that time make any revisions which you feel	
4	might be necessary based on those comments.	
5	After that, the revised draft	
6	report will be sent to NQF members for voting.	
7	This is planned for the month of July, and	
8	then the results of voting will go to the	
9	Consensus Standards Approval Committee in	
10	August.	
11	The Consensus Standards Approval	
12	Committee of CSAC is a subcommittee of the	
13	Board of Directors whose charge is to look at	
14	the process of evaluating and recommending	
15	these measures as well as the measures	
16	themselves on behalf of the Board. Then the	
17	Board endorsement is scheduled for September.	
18	So that is where we are going to	
19	go with these measures going forward. So your	
20	role is not finished with them totally. We	
21	will want to come back with you after the	
22	comment period to get your feedback and	

Page 17 consideration of those comments. 1 2 MEMBER HOPKINS: So that report 3 you referred to is going to encompass 4 everything, not just the measures that we 5 already voted on, but everything that we are 6 looking at today? 7 DR. WINKLER: What we are going to 8 do is put --9 MEMBER HOPKINS: Just these? 10 DR. WINKLER: What we are going to 11 do is put these out in two ways. A couple of 12 It gets a group of them out forward reasons: and faster. It is a little easier on 13 14 audiences to digest that number of measures. So we are releasing them as two publications. 15 You are right. Down the road 16 17 where final-final comes together, they will get packaged together, but for right now --18 19 MEMBER HOPKINS: The other 20 question: Are we going to see that draft 21 report before it goes out? 22 DR. WINKLER: Yes. We are

planning on sending it to you. We didn't 1 2 think you wanted it like last Thursday when it 3 was ready, with everything else. So I will be 4 happy to send it to you tonight or tomorrow 5 night. Absolutely, we will be sending it to 6 you for your comments, but we didn't want to 7 over -- You have plenty right now. We want to 8 give you a chance to take a breather. 9 CO-CHAIR DUBOW: I just want to 10 remind you that the measures that we don't recommend are also included in the four and 11 subject to public comment as well. So that 12 13 you can see, we will have the opportunity to 14 gauge public response on all of the measures. 15 MEMBER HOPKINS: So the results 16 that you just told us, what got voted for, what didn't, that came out of our voting 17 18 survey. This is the first time we have heard 19 what those results are. 20 The reason it took a DR. WINKLER: 21 long time is some of you voted conditionally. 22 You recommend with conditions. I had to sort

		Page 19
1	through all those conditions with the measure	
2	developers and figure out whether they	
3	responded and whether your vote ultimately	
4	became a yes or a no. That took a while.	
5	That takes a while. So, yes.	
б	We also have I just finished	
7	them the summaries with the actual votes.	
8	Those will be available. Again another thing	
9	to circulate to you; didn't think you wanted	
10	it last week, and we will be sending those to	
11	you as well. they will be part of the	
12	information that is also posted. So it is in	
13	view for everyone on how the votes came out,	
14	not by individual but in the aggregate for the	
15	committee.	
16	CO-CHAIR DUBOW: If there are no	
17	more member comments, we are now open to	
18	entertaining comments from the public.	
19	MEMBER GIBBONS: I have a comment.	
20	CO-CHAIR FLEISHER: Please	
21	identify yourself.	
22	MEMBER GIBBONS: Ted Gibbons from	

Page 20 Seattle, Washington. 1 2 CO-CHAIR DUBOW: We can't hear 3 you. MEMBER GIBBONS: This is Ted 4 5 Gibbons from Seattle, Washington. 6 CO-CHAIR DUBOW: Are you speaking 7 on a speakerphone? 8 MEMBER GIBBONS: No. I am on a 9 headset. 10 CO-CHAIR DUBOW: It is really 11 breaking up. It is very difficult to hear 12 you. 13 MEMBER GIBBONS: Okay. I am 14 sorry. Why don't you go ahead then. I will 15 forgo my comment. 16 CO-CHAIR DUBOW: Ted, again we are 17 going to listen very carefully. Ted? 18 MEMBER GIBBONS: I will not 19 comment. Thanks. 20 CO-CHAIR DUBOW: Okay. 21 DR. WEINER: Thank you. I am Dr. 22 Weiner from SCAI, and I just want to raise

some concerns over the PCI readmission 1 2 We have been on record now almost measures. 3 four occasions opposing the current measures. 4 I think with now the passage of 5 the Patient Protection Affordable Care Act, 6 there are even new wrinkles that, I think, 7 need to be considered as part of this measure. 8 In the Hospital Readmission Reduction Program, there are actually now 9 10 penalties for readmissions to hospitals, and 11 that represents in the first year approximately a one percent cut across the 12 13 board for hospitals who fail to meet the 14 measure. This is Rita 15 DR. GALLAGHER: 16 Gallagher. We cannot hear you at all. DR. HALL: This is Bruce Hall from 17 18 the American College of Surgeons. Yes, we are not hearing anything on the call. 19 20 CO-CHAIR DUBOW: Okay, hold on a 21 minute. We are trying to sort that out. Can 22 you hear us? Guess not. We are going to try

		Page	22
1	something else. Just a minute. Thank you.		
2	DR. WEINER: I will start again,		
3	just for the benefit of the folks on the		
4	phone.		
5	I am Dr. Bonnie Weiner from SCAI.		
6	I am an interventional cardiologist, and we		
7	have been on record now on multiple occasions		
8	being opposed to the proposed PCI readmission		
9	measure, and I don't know that we should go		
10	through all of the details, but certainly, the		
11	concern evolves around attributable		
12	readmission.		
13	Now with the passage of the		
14	Patient Protection and Affordable Care Act,		
15	there is even a bigger issue, I think, which		
16	reflects on the potential for penalties as		
17	part of the Readmission Reduction Program,		
18	which represents about a one percent across		
19	the board cut to hospitals who don't meet an		
20	approved measure that will unfairly penalize		
21	hospitals with cath labs as opposed to		
22	hospitals that are potentially not at risk for		

that one percent risk, because they don't 1 2 happen to have a cath lab. So I think that is an unfair 3 4 advantage -- unfair disadvantage to hospitals 5 who are providing high quality care and high 6 value and high technical types of care for 7 cardiovascular patients. 8 It is also important to recognize 9 that in the Act there are specific exclusions for readmissions that are unrelated to the 10 11 prior discharge, and that has been one of our 12 big concerns about the way the current measure is designed, that it does not attribute the 13 14 readmissions to the PCI; and because this is 15 a measure that is specifically termed related to the procedure as opposed to the disease 16 17 state that the PCI is treating, it seems 18 unreasonable to us to expect the PCI hospital 19 physicians and system to be accountable for 20 readmissions that are unrelated to 21 complications of that procedure or the process 22 of that procedure.

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1	As we have looked at the data,	
2	there is a significant number of the	
3	readmissions within the 30 days after PCI that	
4	are not attributable to the PCI procedure	
5	itself, and we don't believe that that is	
6	being compensated for appropriately, the way	
7	the measure is defined.	
8	Finally, if this were to go	
9	forward, we think that, first of all, the 30-	
10	day is the wrong window for PCI, because there	
11	is a lot of noise beyond the first seven to 14	
12	days that, again, is mostly unrelated to the	
13	PCI procedure. But if it is going to go	
14	forward in its current form or any form, we	
15	think this should be a time-limited measure,	
16	because we think there is a lot to be learned	
17	about how to better define what is	
18	attributable and not attributable to the PCI	
19	procedure itself. Thank you.	
20	DR. NEWCOMER: Just a question.	
21	You mentioned that there is a lot of	
22	admissions after the PCI not related to. What	

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		Pa
1	were your criteria to deciding what was and	
2	what wasn't, and just give me a rough	
3	percentage of how many were not related.	
4	DR. WEINER: Sure. I don't have	
5	the exact numbers in front of me, but it could	
6	be as much as 30 percent. A good example is	
7	somebody who has a PCI who then comes back in	
8	to get their hip replaced or has a routine	
9	screening colonoscopy, because it happens to	
10	be their time to get a yearly colonoscopy.	
11	So there is a lot of those	
12	diagnoses that are captured within the 30	
13	days. There is no question, if somebody has	
14	a breathing event after a PCI and he needs a	
15	colonoscopy or an EGD, that would be an	
16	attributable risk, I think, because of the	
17	drugs we use and all the things that go on	
18	around the PCI. But for the routine screening	
19	ones, we have no mechanism to really sort that	
20	out from a coding standpoint. You know,	
21	there's a lot of attributable risks.	
22	MEMBER JOHNSON: I am a	

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Page 26 gastroenterologist. We don't admit people for 1 2 a colonoscopy or endoscopy. So they wouldn't 3 even be on the screen. 4 DR. WEINER: Oh, I mean, for some 5 of the states, that is true. But I think for 6 a lot of the states, they do get captured 7 because of billing issues as inpatient 8 procedures. 9 CO-CHAIR FLEISHER: And also you 10 would not be doing the rectal surgery within 11 30 days of a PCI. Where is your data from 12 that you actually gave us that 30 percent 13 unrelated? The measure specifications have 14 been provided to you by CMS? MR. HARDER: There should be the 15 16 top 100 codes in a chart at the end. 17 CO-CHAIR FLEISHER: So you are 18 saying it is from CMS data that you are --19 MR. HARDER: Right. 20 CO-CHAIR DUBOW: Are there any 21 other questions? Dr. Johnson, did you have a 22 question?

Page 27 MEMBER JOHNSON: Just a comment. 1 2 We actually have very specific guidelines and consensus recommendations. 3 So we never do a 4 procedure, unless it is an emergency, within 5 30 days, as far as any type of screening or 6 routine elective stuff; because Plavix is non-7 negotiative for 30 days. A non-drug alluding 8 stent, 30 days you can stop it, but we don't 9 do -- and I am a past President of the College, and I was involved in all these 10 11 quidelines. 12 So I will tell you that that just 13 seems to be a very, very minute scope of the 14 patients you are talking about. 15 DR. WEINER: And again, there's 16 100 codes, and I happened to pick those couple 17 off the top of my head. There are certainly 18 There are dialysis codes that are others. 19 used, and again we are not talking about 20 somebody who has acute renal failure and needs 21 dialysis because of a complication related to 22 contrast.

We can't sort out the sort of 1 2 chronic dialysis patient who gets sick for 3 other reasons, you know, two weeks later, and 4 winds up getting admitted, and the code of 5 dialysis is used as part of that admission. 6 That is why we think there is so 7 much noise in the measure. In order to 8 attribute it to the PCI procedure itself, we 9 need a lot more information, a lot more granularity about how those codes are being 10 11 used, and to not subject the hospitals to the 12 potential of the one percent penalty across the board for all their DRG reimbursements 13 14 just because we are not very good at defining what those attributable risks are up front. 15 16 DR. GALLAGHER: This is Rita 17 Gallagher again. I am on the measure 18 development team. Would it be okay if I made a comment about why we approached it this way? 19 20 CO-CHAIR DUBOW: Please. 21 DR. GALLAGHER: Okay. The 22 challenge -- You know, we didn't design it so

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1	that every We didn't design to account for
2	tightly related readmissions that were
3	related to the procedure just by the AMI going
4	into heart failure. The all cause relation
5	measures inside the group of four don't take
6	a narrow view.
7	Then the challenge is, I think,
8	NQF has seen in other measures like APR DRG
9	readmission measure where you try to look at
10	pairs of inpatient diagnosis and procedures,
11	and then a readmission diagnosis like heart
12	failure, and then readmission, and is this
13	related or not, not just to the procedure but
14	to the hospital around the procedure.
15	So this is a measure that measures
16	not just the procedure, but the person's
17	experience of hospitalization and discharge,
18	coordination of care, follow-up, medication
19	reconciliation, and there are a lot of things
20	around that care that may not be related to
21	the interventional cardiologist's actual
22	technical expertise in the procedure that do

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affect the possibility a patient is 1 2 readmitted, and we actually want to capture 3 the, quote/unquote, "aspect readmissions" 4 relative -- looking across the spectrum of 5 hospitals and seeing which hospitals had 6 relatively high readmission rates. 7 We know there are going to be some 8 readmissions that are unavoidable. We just 9 know that. We are not trying to get to sort 10 identify preventable readmissions and then get 11 those down to zero. We are looking at a relative performance of readmission for 12 hospitalization and the follow-on care for the 13 14 whole episode of the care of the patient, and 15 that is why it does not try to parse out is 16 this closely or tightly related to the actual 17 execution of the procedure. 18 I just want to comment. I am not sure what the breakdown is for doing that, but 19 20 that again is as relative performance relative 21 to peers. It doesn't require or demand that 22 only particular kinds of readmissions are

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		Page 31
1	counted relative to the condition or procedure	
2	for which the patient was originally	
3	readmitted.	
4	DR. WEINER: Just two comments.	
5	One is I think what you say is true if we were	
6	talking about disease state treatment, but we	
7	are not. This is labeled specifically as a	
8	PCI readmission measure, not an acute coronary	
9	syndrome, not an acute MI readmission. It is	
10	specifically targeted at the procedure, and to	
11	not make the readmission related to the	
12	procedure, if you are going to call it that,	
13	I think, is disingenuous.	
14	The second thing is that the law	
15	specifically says that the readmissions need	
16	to be attributable to the prior admission. So	
17	to just sort of ignore that and say this is,	
18	you know, somehow relative, when we don't even	
19	know what the right benchmarks are at this	
20	point, I think, is again a reason to at most	
21	make this a time-limited measure so we can	
22	gain that information, and at worst something	

		Page	32
1	that we should really go back to the drawing	_	
2	board and think about again.		
3	MEMBER GIBBONS: Well, this is one		
4	of the previous TAP folks here today. Can I		
5	make a comment about that?		
6	I actually saw this as the measure		
7	developer's perspective, and I think that,		
8	although I highly respect the intervention		
9	opinion on this, I think it is important,		
10	though, that it is disingenuous to say that		
11	this is only related to the PCI procedure.		
12	This is related to the disease		
13	states in the PCI with all the results and the		
14	need for the PCI and the complex medical		
15	conditions that are associated with		
16	individuals who need PCI.		
17	So this is actually looking at the		
18	global perspective of the medical care, is not		
19	meant to reduce the readmission rate to zero,		
20	but to look at patients that can be examined,		
21	reevaluated and reduced by an individual		
22	institution looking at their own experience.		

		Page	33
1	So I think that to say that this		
2	is only related to the PCI operator is		
3	actually is actually a very small part. This		
4	is looking at the condition that results from		
5	the PCI and the management of the patients who		
6	have just had the PCI.		
7	CO-CHAIR DUBOW: We heard that you		
8	support the position of the measure developer,		
9	and then missed a lot of what you said. If		
10	you could send us a quick overview, just so		
11	that the record is very clear, it would be		
12	very helpful. An e-mail to one of the staff		
13	would be great. We did get the thrust, but		
14	not the nuance.		
15	MEMBER GIBBONS: I'm sorry. I am		
16	actually on a microphone, but		
17	CO-CHAIR DUBOW: Okay. Well, I'm		
18	sorry about the technical issue, but just to		
19	ensure that we have your view, it would be		
20	useful if you could just dash a quick e-mail		
21	to staff, just so that we can have it recorded		
22	properly.		

		Page	34
1	Are there any other questions?		
2	Otherwise, we have another public comment.		
3	MR. HARDER: Hi. My name is Joel		
4	Harder. I am the Director of Quality		
5	Initiatives.		
6	I am asking the measure developer		
7	I know that Lein is here if she could		
8	step up and ask us and inform us if this		
9	should be used for the Hospital Reduction		
10	Program per the legislation, or not.		
11	CO-CHAIR FLEISHER: Is that		
12	question relevant to our decision?		
13	DR. HAN: Yes. I don't think we		
14	should comment on the health reform bill yet.		
15	MR. HARDER: It will be relevant		
16	to the comment period by the public, and I		
17	would like the transcript to reflect upon this		
18	information.		
19	CO-CHAIR DUBOW: But I am not sure		
20	that Lein is in a position to be responding on		
21	behalf of CMS. So I don't think it is a		
22	question that she is in a position to respond		

		Page	35
1	to. So it is fair game if you want to raise		
2	it, but I don't think that we have anybody		
3	here who can respond to it.		
4	These regulations haven't been		
5	written, and we just don't have the		
6	information yet.		
7	DR. HAN: And we have to work on		
8	how we are going to carry out those things in		
9	the health care reform bill, and I don't think		
10	that we are right now ready to answer that		
11	question. We have to work on that first. But		
12	I do have two responses to what you have		
13	raised.		
14	One is that this is a hospital		
15	level measure. So we are not specifically		
16	focusing on the physician or the surgeon who		
17	performs this procedure. It is the whole		
18	management of patients. It is the whole		
19	the system result of the measure. So I just		
20	want to remind you that it is a hospital level		
21	measure.		
22	The other thing is that I think,		

		Page
1	if you want to talk about the health care	
2	reform bill, we are talking about excess	
3	readmission here. So it is not shooting at	
4	zero, but it is excessive readmission here.	
5	CO-CHAIR FLEISHER: So may I ask,	
б	in relation to your first comment, so is this	
7	really more of an episode of care. The	
8	initial comment here was about this is a	
9	procedure, but it really sounds like the	
10	procedure represents an episode of care. Is	
11	that how you are defining it?	
12	DR. HAN: How do you define	
13	episode of care?	
14	CO-CHAIR FLEISHER: In other	
15	words, is it really just somebody happens to	
16	have a PCI and, therefore, that episode of	
17	care really	
18	DR. HAN: Inside a hospital?	
19	CO-CHAIR FLEISHER: Yes.	
20	DR. HAN: I think it is even	
21	because readmission, we talk about 30 days.	
22	So you have that discharge, too. How do you -	

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		Page	37
1	- you know, the whole care after. I mean	2	
2	discharge planning, that kind of process.		
3	I think, if that is the episode of		
4	care you are talking about, yes.		
5	CO-CHAIR FLEISHER: Yes, I am. So		
6	it is just not about the actual procedure.		
7	DR. HAN: It is not one thing. We		
8	are talking about from patient perspective,		
9	that you got hospital, and you were readmitted		
10	in 30 days. I think the whole package of the		
11	hospital. That is my point.		
12	CO-CHAIR FLEISHER: Thank you for		
13	that clarification.		
14	DR. HAN: Okay, thank you.		
15	MEMBER PINDOLIA: I think that you		
16	just said what several of us have been talking		
17	about is quite important, that this is a		
18	person. So we talk about patient centered		
19	outcomes, not a 15 or a 30 or a 50-minute		
20	window of time in that patient's life, and		
21	ignore all of the rest of the things that are		
22	happening around them.		

Page 38 So I really also strongly support 1 2 the idea that this is a patient centered 3 measure, and the patient comes in with many 4 things, and to ignore the fact that people 5 choose what happens to that patient in the 6 hospital, regardless of what the patient may 7 think at that moment, so they choose and do 8 the procedure. They choose to give them this 9 medicine or that medicine. 10 I just think that we need to look 11 at a patient centered measure, and it is --12 You have hospital care of that patient, and 13 you need to keep remembering that. 14 CO-CHAIR DUBOW: Okay. Are there 15 any other public comments? 16 MR. HARDER: Yes. I would like to 17 continue. 18 CO-CHAIR DUBOW: Please. 19 I just want to let MR. HARDER: 20 the Steering Committee understand that this is 21 also an inpatient and outpatient population, 22 and that in the outpatient population the

		Page	39
1	patient comes in for this procedure and gets		
2	discharged sometimes the same day, is the		
3	trend right now, and that 30-day window is		
4	fairly long, in our view, for the		
5	cardiovascular related readmissions that we		
6	are very, very interested in, and this isn't		
7	Nurse Sky's. This was evaluated by the TAP		
8	PCI Registry Steering Committee, which is		
9	where the patient population is coming from.		
10	We really feel that, you know, for		
11	this to be of best interest to the PCI patient		
12	population, these are issues that we want to		
13	see in this measure.		
14	CO-CHAIR FLEISHER: Other		
15	comments?		
16	CO-CHAIR DUBOW: Are there any		
17	comments from the public on the phone? Okay.		
18	Thank you very much. Thank you both.		
19	CO-CHAIR FLEISHER: Any comments		
20	from the committee? No.		
21	CO-CHAIR DUBOW: Thank you. So we		
22	are now going to do the diabetes measures?		

Page 40 The intro, right. Sorry. 1 2 DR. WINKLER: Joyce wants to stay 3 on time. Got it. Just to kind of start the day and 4 5 the work we have ahead of us is there is 6 another group of measures that we are going to 7 be discussing in the next two days. There are 8 28 measures. All right? 9 They are in a variety of areas, as we have listed them out. We will be 10 11 discussing them as we did on the phone, but 12 this way we have a slightly different dynamic with being face to face. 13 14 Measure developers are here with So what we will do is, much as we did on 15 us. 16 the phone, discuss them. We have tried to 17 bunch them into groups, but a lot of the agenda and the order of discussion has to do 18 19 with availability of those developers and some 20 of the logistics behind that. 21 So this is what we are going to do 22 today. Next slide: What happens with this

		Pa
1	outcome is similarly, but about a month to six	
2	weeks behind the first group, this will also -	
3	- we will write the draft report. We will	
4	share it with you. The comment period will be	
5	in July, the voting in September, and the	
6	Board endorsement in October.	
7	So we want them about a month	
8	apart, so you know where this is going, but	
9	they will follow the same pathways.	
10	One of the things that the Co-	
11	Chairs asked, briefly before we get started,	
12	was a review of the decisions this Committee	
13	made in October when we set sort of the	
14	planners for this project around what are	
15	outcomes.	
16	Just as a reminder of what we	
17	included, there was discussion as these	
18	measures were evaluated by the various	
19	Technical Panels of what are outcomes? Is	
20	this really an outcome measure? Where are	
21	those boundaries?	
22	If you recall, this group cast it	

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		Page	42
1	relatively broadly, and just as a review:		
2	Measures of patient function, symptom, health		
3	related quality of life were in, as well as		
4	intermediate clinical outcomes, the		
5	biochemical and physiologic, which we have		
6	seen some of each; patient experience is an		
7	outcome measure, as well as measures around		
8	knowledge, understanding, motivation and		
9	adherence or health behaviors.		
10	The next: Service utilization as		
11	a proxy for outcome, I think, is for the		
12	readmission measures or ED visit measures or		
13	some of those sorts of measures fall into.		
14	Then there is nonclinical or		
15	non-mortality, clinical morbidity associated		
16	with a disease control or condition, and then		
17	adverse events or complications are outcomes,		
18	and then sort of traditional mortality is,		
19	obviously, an outcome.		
20	So these are the parameters that		
21	you all agreed on would be our definition or		
22	our scope of what the meaning of outcomes is.		

Page 43 So the question does come up in a couple of 1 2 the measures, whether it fits under this group 3 or not. 4 Some of the measures are composite 5 measures, and they seem to have a mixture of process and outcome, and because of the 6 7 outcome component, these measures are being 8 considered under this project. 9 So it is within the purview of this Committee to determine that a measure 10 11 just does not fit under the definition, if you 12 so choose. The TAPs discussed some of these 13 and offered their opinions as well for you to 14 consider. 15 So are there any questions? This 16 is a sort of reminder/follow-up before we 17 start launching into things. 18 CO-CHAIR DUBOW: Just to keep this in mind and to keep this slide handy, because 19 20 I think it is going to -- I think we are going 21 to need to refer to it, to remind ourselves 22 about the parameters that we set for the

Page 44 definition of outcomes. 1 2 David, did you want to say 3 something? Yes. 4 DR. HOPKINS: T think 5 another way to look at this is we sort of set 6 out for ourselves the concept of what we 7 consider to be a full dashboard of outcome 8 measures, and at some point in this meeting I 9 hope we have the opportunity to sort of revisit how well we did at filling up the 10 dashboard. That is probably at the end and 11 12 not the beginning. 13 CO-CHAIR DUBOW: Right. And, 14 Reva, please remind me. The report will include research recommendations. 15 Is that 16 right? 17 DR. WINKLER: Yes. The report 18 includes any recommendations that you make 19 that accompany it, and whether they are 20 research or whatever. We had three 21 recommendations with the last group of 22 measures.

Page 45 There is also the second part of 1 2 this project which, because we need to get 3 through the measures, we aren't going to spend 4 as much time, but we will get back to, and 5 that is on the gaps, filling the gaps in the 6 kinds of measures. 7 We have been asking to the 8 Technical Advisory Panels for their 9 recommendations. We are using this as the framework, so that for each of the various 10 conditions that have been considered, do we 11 12 have a measure? If not, what kinds of measures would be desirable to fit into each 13 14 of them? 15 That is sort of an ongoing process 16 as we go through the rest of this, and it is 17 another part that, at the very end of all of 18 this, will get packaged together. But you 19 will have an opportunity to weigh in and 20 review that as well. 21 We are trying to break this down 22 into digestible pieces, but it is still an

		Pa
1	awful lot of information to manage right now.	
2	So while we will take notes on anything that	
3	you raise during the discussion, we will save	
4	the focus discussion on the gaps to a later	
5	time when we don't have this work to deal	
6	with.	
7	CO-CHAIR DUBOW: I think there is	
8	another overarching issue that we will have to	
9	deal with, and that is the concept of best in	
10	class, which NQF supports. We have a few	
11	measures that appear to be duplicative, but	
12	not entirely. On occasion they use a	
13	different data source, for example.	
14	So we are going to have to come to	
15	grips with, I think, the help of the staff to	
16	determine how to handle those measures. Do we	
17	endorse two measures that are seemingly very	
18	similar or do we make a decision about a	
19	preferable data source, for example? So I	
20	think we just need to keep that in mind, too.	
21	Barbara?	
22	MEMBER YAWN: And I had a question	

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		Page
1	related to the data source for some of the	
2	measures before. They were based all on CMS	
3	data, and so when I voted, I made it	
4	conditional that they only be applied to CMS	
5	data. I am not sure that I understand, when	
6	the measure is released, what is said about	
7	it; because it does change the way I would	
8	vote on some of these.	
9	If they are going to use something	
10	else than CMS data, I may be quite	
11	uncomfortable with some of these measures	
12	being applied.	
13	DR. BURSTIN: This is an	
14	interesting discussion. We have had lots of	
15	discussions about this, since you raised it on	
16	our conference call. Thank you, David. And	
17	it is really an important question.	
18	The issue at this point is the	
19	fact that these measures include risk models	
20	that have been explicitly done on the basis of	
21	patients over age 65 in that population. I	
22	think we strongly want to move toward getting	

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		Page 48
1	to the point where we have measures that allow	
2	us to, in fact, have a different risk model or	
3	a companion risk model, and we are trying to	
4	think through what those next steps would be.	
5	I think the idea that you would	
б	take a risk model developed for an over 65	
7	population and just assume it would work in an	
8	under 65 population, I think, is difficult.	
9	That is where	
10	I'm sorry. The second issue is	
11	just, for some of the populations like, for	
12	example, Medicare Advantage, I guess the issue	
13	more so is I think the risk model probably	
14	would still work, but the data availability,	
15	I think, becomes the bigger issue.	
16	So that is our understanding of	
17	it. Any other discussion would be very	
18	welcome.	
19	CO-CHAIR DUBOW: Just with respect	
20	to that, I thought we had, in certain cases,	
21	made a recommendation to the developer that	
22	the developer work on making the measure	

		Page 49
1	applicable to the missing population.	
2	MEMBER YAWN: And you got the	
3	responses from them.	
4	DR. WINKLER: Right. At this	
5	point, they would agree that the approach can	
6	be applied to other populations, assuming you	
7	have the appropriate data, and that the risk	
8	model would have to be adjusted.	
9	So they are not saying you can't	
10	use it, but it is not something you can pull	
11	off the shelf and just plug in for another	
12	population.	
13	MEMBER HOPKINS: So I still have	
14	my question. Why can't the measure be	
15	represented as applying to a population of	
16	people over 65 years old, and not specific to	
17	a segment of the Medicare population?	
18	MEMBER YAWN: I mean, I would have	
19	no problem with that. I just have a problem	
20	with saying this measure is approved, and it	
21	just seems like, okay, if you are 50 and you	
22	have this, it should also include you. That	

		Page	50
1	bothers me a great deal. So that is why I		
2	said some of my conditional approvals.		
3	CO-CHAIR FLEISHER: Sean, did you		
4	want to make a comment as someone who reviewed		
5	these measures from a methodologic standpoint?		
6	DR. O'BRIEN: I would say that		
7	some of the qualities of the measure you have		
8	to consider have to do with the reliability of		
9	the data elements and the data capture, and it		
10	is hard to really define that just in general		
11	without reference to a specific population		
12	and a specific source of the data.		
13	So I think, for creating		
14	scientific acceptability, it is helpful to		
15	define the source.		
16	CO-CHAIR DUBOW: On the other		
17	hand, or in addition, we need to make a		
18	decision about whether we stand on principle		
19	and not recommend a measure that otherwise has		
20	great merit for that particular population,		
21	and I would remind you, David, that virtually		
22	all people over 65 are Medicare beneficiaries.		

		Page
1	I think maybe under three percent	
2	aren't. So it is a pretty encompassing	
3	MEMBER HOPKINS: Everybody is not	
4	fee for service. That is my point.	
5	CO-CHAIR DUBOW: Well, just fee	
6	for service. Yes, well, I think there are	
7	I mean, on one of the measures I have a	
8	question about that, too. I think that is	
9	fair. But just hearing you talk about	
10	Medicare versus everybody over 65	
11	Okay. All right. So we need to	
12	make these decisions about how finely we cut	
13	this thing. But I think that CMS should	
14	receive this opinion from the Steering	
15	Committee, certainly. So I think that is	
16	legitimately included in the report.	
17	MEMBER YAWN: But there may be	
18	groups other than CMS that choose to grade	
19	hospitals and other things on some of these,	
20	like the PCI measure. We have a whole lot of	
21	people less than 65 getting those measures	
22	now.	

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		Page	52
1	CO-CHAIR DUBOW: Well, that is the		
2	under 65 issue.		
3	MEMBER YAWN: Yes, that is. That		
4	is what I say. I think there are two issues		
5	here. One is does it only apply to the CMS		
6	group that we have data for, and the other is		
7	can it apply to all ages? I think, yes, we		
8	have to separate them, but it seems to me that		
9	the age one You can't just ask a		
10	statistician about the age group, because it		
11	has to do with the medical condition.		
12	CO-CHAIR DUBOW: We have made		
13	those distinctions, I think. I think the		
14	staff is clear on them.		
15	DR. BURSTIN: I do think we ought		
16	to continue to follow up with CMS, because I		
17	think David's point about why you would		
18	exclude just to keep a service based on a data		
19	availability issue is not a methodologic		
20	concern. It is an issue of data availability.		
21	If the data was available for those other		
22	plans, I don't You know, the model will		

		Page	53
1	specify for those under 65, not for those in		
2	fee for service. I agree. We will follow up		
3	with CMS on that.		
4	CO-CHAIR FLEISHER: So one of my		
5	questions would be: If we endorse this model		
б	for the fee for service, do you have to		
7	actually go back to NQF to get it endorsed for		
8	Medicare Advantage or		
9	DR. BURSTIN: We will try to work		
10	those issues through before we put it out for		
11	comment.		
12	CO-CHAIR FLEISHER: Great.		
13	Perfect.		
14	MEMBER GERBIG: But is it correct		
15	that, once a measure is approved, it is		
16	available for any payer to use or can a		
17	measure be limited to only a 65 or older?		
18	My understanding was, once it is		
19	approved, it is available to anyone to use.		
20	DR. BURSTIN: This is, again, this		
21	e-mail exchange David and I had, that in some		
22	ways that almost becomes like an off-label use		

Page 54 It is pretty analogous. 1 for FDA. 2 It hasn't been tested on that 3 population. Do you really want to use that 4 drug with the potential risks and issues 5 involved in using it? I think, you know, if 6 the measure is specified for over 65, I think 7 those who choose to use it for under 65 could 8 potentially have some issues on their hands, and I think it would not be wise, although I 9 think we would like to work with the measure 10 11 developers in general to bring forward 12 measures that allow us to have risk models to 13 get as broad a population as possible. 14 CO-CHAIR DUBOW: In other words, 15 there is not an NQF police department, and you 16 know, this is a voluntary process all around, 17 maybe not at CMS, but it sort of is. But we 18 won't go into that. But the point is that NQF 19 specifies these measures and then trusts that 20 they will be used appropriately. 21 CO-CHAIR FLEISHER: So to say, 22 Helen, that somebody is using an NQF endorsed

		Page 55
1	measure, that would not be NQF endorsed.	2
2	Did we want to comment on best in	
3	class?	
4	DR. BURSTIN: Best in class, we	
5	have to come to as get to those issues. I	
б	mean, I think the thing from our perspective	
7	always is evaluate the measure in front of you	
8	exactly as it should be, based on the	
9	criteria, and then after you have evaluated	
10	that measure, I think it is appropriate to	
11	look toward whatever is already endorsed and	
12	make a decision on whether it actually adds	
13	something to the portfolio or is it really	
14	sort of just using the FDA analogy, is it	
15	just another sort of "me, too," kind of	
16	CO-CHAIR DUBOW: And that is a	
17	criterion in the measure evaluation list. So	
18	we have that to assess as part of our work.	
19	Okay. Are we	
20	CO-CHAIR FLEISHER: We are on	
21	time. We are going to go into the diabetes	
22	measures. We are actually going to Reva is	

		Page	56
1	going to go over the measures, but we are	_	
2	going to take them out of order in that we are		
3	going to do the single measure first, the		
4	HbAlc, and then we will do the two composite		
5	measures second.		
б	DR. WINKLER: So Hawa is going to		
7	bring that up. All right.		
8	CO-CHAIR DUBOW: Is the measure		
9	developer here, by the way?		
10	DR. WINKLER: There he is.		
11	DR. BURSTIN: Is anybody from		
12	Minnesota Community Measurement on the line?		
13	Dan? Anybody?		
14	CO-CHAIR DUBOW: All right.		
15	DR. WINKLER: Let me just I am		
16	just trying to find it. Okay.		
17	NQF has endorsed a set of diabetes		
18	measures for pretty close to its entire		
19	existence. Within the group of endorsed		
20	measures, there are a large number of outcome		
21	measures. Hemoglobin Alc levels, blood		
22	pressure levels, LDL levels are measures that		

are endorsed by NQF for years. 1 2 Currently within the portfolio we 3 have, in terms of Hemoglobin Alc control 4 outcome measures, we have endorsed the measure 5 that is poor control, which is Hemoglobin Alc greater than 9, and most recently we have also 6 7 endorsed the measure of Hemoglobin Alc less 8 than 8. 9 This is another of sort of a set 10 of measures from the same measure developer on 11 Hemoglobin Alc outcome measures, and this is 12 for patients 18 to 65 years of age with either 13 Type I or Type II diabetes with a Hemoglobin 14 Alc level less than or equal to 7 percent. This measure focuses in on a 15 16 narrower population than the other measures, 17 the other outcome measures that we have 18 endorsed. I think this one is one for selected populations. 19 20 So the numerator for this is the 21 most recent Hemoglobin Alc level performed 22 during the year of 7 percent. The applicable

		Page 58
1	population is aged 18 to 65 years. This is a	
2	younger population. The other diabetes	
3	measures apply to patients up through age 75.	
4	This is a more aggressive	
5	management target, and the go ahead and	
6	scroll down, Hawa. It is not a process	
7	measure. It is an outcome measure. It is an	
8	intermediate outcome measure.	
9	The Diabetes Technical Panel did	
10	review this measure, and they felt that again	
11	it was They rated it high on importance:	
12	Outcomes for diabetes, large population,	
13	getting them under good control. Appropriate	
14	intermediate outcomes do reflect long term	
15	outcomes.	
16	So the only issue was, because	
17	this is a narrower population and it is an	
18	aggressive outcome target, has the population	
19	been managed sufficiently enough to be	
20	appropriate for that lower level and more	
21	aggressive target? So that was rated highly.	
22	On the scientific acceptability,	

	I
1	they rated it generally highly again. The
2	measure is based on administrative data. They
3	were concerned that there might be some
4	exclusions not addressed, particularly
5	patients experiencing frequent hypoglycemic
6	episodes because of the aggressive target,
7	people who have occupational risks for which
8	you wouldn't want to have them experience
9	those episodes, patients who are already on
10	multiple medications and kind of maxed out on
11	treatment and are realizing that for this
12	measure not doing the Alc does count against.
13	So it is not patients who haven't had the
14	test done are included in the numerator.
15	They again rated the usability of
16	this measure highly. It is a straightforward
17	intermediate outcome measure similar to the
18	others, and feasibility is good. These
19	measures are already in use and use the same
20	methodology.
21	So that is the measure before you,
22	and I don't think Dr. Greenfield is here as

		Page
1	the TAP Chair. Is he?	
2	DR. BURSTIN: Shelley, are you on	
3	the line?	
4	MEMBER PINDOLIA: Reva, I have a	
5	question. I still don't quite understand what	
6	is the difference between this measure and the	
7	current NCQA HEDIS measure for HbAlc less than	
8	7? It is just the age?	
9	DR. WINKLER: Ben, correct me if I	
10	am wrong, but age is the primary difference.	
11	MEMBER PINDOLIA: So it is 18 to	
12	75 for the current one, and this is 18 to 65?	
13	DR. WINKLER: That is correct.	
14	MEMBER PINDOLIA: And that is the	
15	only difference?	
16	MR. HAMLIN: This measure was just	
17	recently revised, actually. We have been	
18	collecting the less than 7 for a couple of	
19	years now. We added the additional age	
20	exclusion plus some cardiovascular and other	
21	comorbid exclusions as well for this	
22	particular population, given the new studies	

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Page 61 in the coordinated events trial. 1 2 So we further restrict this with management exclusions for cardiovascular 3 4 patients. I don't have the exact list in 5 front of me, but it restricts the age as well. 6 It is trying to target the younger, healthier 7 population, particularly, for active 8 management. 9 MEMBER JUSTER: The previous measure was 7 or 8, because I thought it was 10 11 eight? 12 MR. HAMLIN: The previous measure 13 actually was 7. It was not restricted. The 14 8 was just recently introduced last year during the review when we also further 15 16 restricted the 7 population. 17 MEMBER JUSTER: And, Ben, two 18 questions for you. Does the current NCQA 19 measure -- is it less than or equal to or is 20 it less than? 21 Second, are the regular HEDIS 22 measures -- If somebody didn't have the test,

		Page	62
1	are they considered the same as a person who		
2	failed the In other words, they are both		
3	not numerator?		
4	MR. HAMLIN: Yes. If the value is		
5	not present, they are included in the		
6	numerator, but they don't get credit for the		
7	numerator. So they get dinged.		
8	I believe it should be less than		
9	or equal to 7.		
10	MEMBER JUSTER: Okay.		
11	CO-CHAIR FLEISHER: Barbara?		
12	MEMBER TURNER: I'm curious what		
13	the unit of analysis is here. Is it a plan or		
14	is it a provider's panel, and are they looking		
15	at the main controls, so it is okay that you		
16	have you know, expect a certain number of		
17	outliers that are going to be in your panel?		
18	MR. HAMLIN: This is applied to		
19	both the provider population and in the health		
20	plan population for both a diabetes		
21	recognition program providers and for the		
22	health plan.		

Page 63 The unit of analysis is the last 1 2 measurement taken during the measurement year, 3 which is our 12-month period from January to 4 December. We have done testing in the past 5 for these lab values of those relevant to, if 6 you will, the most reliable data for that 7 measurement period. If it was done multiple 8 times over the year, it would not deviate from 9 the mean. Generally, the last value is as 10 close as you are going to come. 11 We do have further -- The approach is all in retrospective claims based approach 12 13 with the health plan population. So --Right. 14 MEMBER TURNER: I just 15 have a follow-up question. Do you have a 16 minimum end per provider that you would insist 17 on having to be able to have stable estimates, 18 and have you looked at or thought about a change, so if you have somehow a high risk 19 20 population, most of them coming in with Alc's 21 of 10, you get credit for getting them down 22 below 8 as opposed to getting dinged because

they are at 9? 1 2 MR. HAMLIN: Right. Yes. For the 3 Diabetes Recognition Program, there is a 4 minimum of 25 patients that meet these 5 criteria, and this also -- It is important to 6 understand that in both of these programs, 7 this is one of the three HbAlc measures. So 8 you look at the greater than 9, the less than 9 8, and the less than 7, and you get credit for wherever your patient falls in that 10 11 population. 12 It is more the proportion of 13 patients we expect to see below 7 versus below 14 8 versus above 9, and the Diabetes Recognition Program weighting is skewed to that fact, as 15 16 is the performance score for the health plan 17 population. 18 So we do expect to see -- And 19 basically, we expect to see a certain 20 proportion to fall within these certain 21 parameters, and the weighting for the Diabetes 22 Recognition Program takes that into account.

Page 65 CO-CHAIR FLEISHER: 1 David? 2 MEMBER JOHNSON: The question, I 3 guess, is just in the unforeseen consequences, and I am not familiar with the Hemoglobin Alc 4 5 as a gastroenterologist routine measurements. 6 That is not what we do. But what happens to 7 the people that are poorly compliant in 8 situations where the doctors taking care of 9 them have the staff, and the patient's understanding and the educational levels? 10 Ι 11 could see a drift away of avoiding poorly 12 compliant patients just so you don't get 13 pulled out as a bad provider here of this 14 measure. 15 MR. HAMLIN: Right. I am sure 16 that there is a certain amount of gaming of 17 the system, if you will, for selecting 18 populations. But in general, since we are 19 looking at this primarily in the health plan 20 population, you know, with 7 million providers 21 and even more millions of patients, it is one 22 of the factors of life that we have to take.

		Page
1	We are basically looking for the	
2	overall population. This is reported as a	
3	reasonable rate by plan. So it is the entire	
4	plan population; and in the Diabetes	
5	Recognition Program, you know, you have a	
6	small end population, you can select patients.	
7	It is a continuous selection	
8	process. You are supposed to select 25 charts	
9	with the criteria, remove the ones that are	
10	not appropriate, select an additional 25, and	
11	go through that process. You know, I can't	
12	control the way providers select their charts	
13	for patient reporting in that program at this	
14	point.	
15	So there are certain assumptions	
16	that there may be some selection bias there as	
17	well.	
18	CO-CHAIR FLEISHER: Lee?	
19	MEMBER NEWCOMER: I just want to -	
20	- Kind of reading through the measure, this	
21	looks only at patients less than 7, and your	
22	comment about range between 7 and 8, and 9 and	

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Page 67 above, there are other measures that do that. 1 2 This one does not. 3 I just want to quality. This 4 stands alone at less than 7 only? 5 MR. HAMLIN: Right. 6 MEMBER NEWCOMER: Because we will 7 be looking at one shortly. 8 MR. HAMLIN: Right. The greater 9 than 9 and the less than 8 have already been endorsed as individual measures themselves. 10 So we are looking to add to both this as an 11 12 individual measure of less than 7 as well as 13 a component of our composite measure for 14 diabetes. 15 CO-CHAIR FLEISHER: So, in fact, 16 this is very similar to some of the previous measures in that we could endorse this 17 18 independently or as a part of a composite. 19 We prefer the former. MR. HAMLIN: 20 CO-CHAIR DUBOW: This is right now 21 being considered as a standalone measure. 22 CO-CHAIR FLEISHER: David?

		Page	68
1	MEMBER HOPKINS: I don't		
2	appreciate looking at this measure as a		
3	standalone, because I think it absolutely goes		
4	with the other two.		
5	Then I have a question for NCQA		
6	and NQF. Does this replace the existing		
7	endorsed less than 7 measure?		
8	DR. BURSTIN: There is no endorsed		
9	measure. It has never been endorsed.		
10	MR. HAMLIN: We are using HEDIS		
11	for several years. Now we are seeking		
12	endorsement with this new refined measure.		
13	MEMBER HOPKINS: Well, I think it		
14	is part of a suite with the 7, 8 and 9.		
15	DR. WINKLER: You could have the		
16	option of recommending it that way. Recommend		
17	that the measure is going to be an independent		
18	measure, but it should be used with the other		
19	two measures, because you do get a better		
20	holistic view of the population.		
21	MEMBER JOHNSON: What would be the		
22	rationale for keeping it standalone?		

		Page	69
1	MR. HAMLIN: You know, really, I		
2	don't know as a full standalone measure to		
3	really speak to that. We generally use it		
4	combined with the other HbAlc measures. I		
5	would imagine that, if a provider wished to		
б	use this measure as a standalone measure just		
7	to understand what proportion of the		
8	population was under 7, if they were doing a		
9	different program We have our own programs.		
10	We know that a number of our measures are used		
11	in other programs, particularly with the ones		
12	that NQF endorsed.		
13	I do see value in keeping a lower		
14	level for HbAlc target, if you will, in the		
15	younger and healthier population. Generally,		
16	if the measure doesn't get endorsed as a		
17	standalone, it is harder to make justification		
18	for inclusion in the composite use.		
19	All of our other measures that are		
20	currently in the composite are NQF endorsed as		
21	individual indicators.		
22	CO-CHAIR FLEISHER: Dianne?		

Page 70 MEMBER JEWELL: You have already 1 2 answered my question. Thank you. 3 CO-CHAIR FLEISHER: Barbara? And this is 65 and 4 MEMBER YAWN: 5 Is that correct? younger. 6 MR. HAMLIN: Eighteen to 65, yes. 7 MEMBER YAWN: And the average 8 person at 65 has how many chronic conditions? 9 MR. HAMLIN: I couldn't speak to 10 that right now in particular. MEMBER YAWN: About three on 11 12 average. So do you really -- You know, I 13 believe 65 is young. I am not sure I believe 14 it is unhealthy. I am concerned about the 15 age, of 7, and the data that is coming out about the side effects and the problems we are 16 17 causing between ages 50 and 65 to patients who 18 we are trying to push down to 7. 19 So I am concerned about the upper 20 age limit of this, and perhaps you could tell 21 us what the data is on the upper age limits 22 and the risks to those people.

		Page
1	MR. HAMLIN: Yes. Actually, you	
2	know, the upper age of 65 was I mean,	
3	despite the fact that that population is	
4	actually getting younger and healthier every	
5	year, it was selected because of the fact that	
6	we do a retrospective claims based approach	
7	for our measures.	
8	The DOPSI, you know, in the	
9	primary program, the health plan HEDIS	
10	population, we are looking at a retrospective	
11	approach. So we have to draw certain	
12	parameters around the population. The	
13	reduction of range to get it into the	
14	commercial Medicaid only, we have two product	
15	lines that we collect for this measure.	
16	That was why that age limit was	
17	selected. The additional comorbids that we	
18	added, the cardiovascular disease and other	
19	comorbid conditions that also excluded	
20	patients in this population, would suggest	
21	your other point of the ones who are not	
22	healthy at 65, would also be removed from the	

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Page 72 1 measure. 2 CO-CHAIR FLEISHER: We have that 3 on the screen, just in case anyone is looking. If I could see that. 4 MEMBER YAWN: 5 DR. BURSTIN: I'm sorry. It is also on your thumb drive. I think it is page 6 7 55 of the diabetes -- Slide 60 of the diabetes 8 risk file. 9 I just want to point out that, 10 although the denominator is up to age 65, there are a very large number of exclusions to 11 12 specifically get at the comorbidities, and that figure should speak to the specifics of 13 14 the comorbidities rather than the 15 generalities. 16 MEMBER YAWN: Yes. And that is I saw the cardiovascular. 17 great. MR. HAMLIN: Right. We have 18 19 chronic renal failure, dementia, and the other 20 ones you will see there as well. We are also 21 looking at this -- I mean, again, this, of 22 course, we have taken a retrospective claims
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1 approach primarily.

2	We are looking now to further
3	refining these definitions through, obviously,
4	electronic health record environment and new
5	coding that are going to be available, but
6	right now with the approach that we have and
7	the data we have collected in the last couple
8	of years for this measure, these seem to be
9	and our expert panels and the TAP agreed that
10	this was sort of a reasonable comorbid list to
11	include. This has all been through codes as
12	well. So there are complete code lists.
13	CO-CHAIR FLEISHER: I think B.J.
14	was next, and then Amy.
15	MEMBER TURNER: Thanks. So I
16	think pretty much the evidence of the value of
17	pushing Alc down to this level is for people
18	who have an estimated survival of at least 10
19	years to 20 years.
20	There are lots of people who have
21	those conditions that are not exclusions, like
22	cancer, etcetera, and their list would have to

		Page	74
1	be much more comprehensive, and it would		
2	actually have to be much more evidence based.		
3	This seems like a somewhat random selection of		
4	folks with, say, cardiovascular risk.		
5	So please explain to me why you		
6	need to apply this across the board without		
7	having a much better sense of what someone's		
8	estimated life span is.		
9	MR. HAMLIN: Well, again it was		
10	the You know, the criteria that are		
11	outlined here were the sort of best judgment		
12	from the expert panel and from us on the		
13	appropriate exclusions for this population,		
14	given the evidence for driving Alc.		
15	Obviously, the folks who are		
16	primarily who are on microvascular and		
17	macrovascular with long term complications for		
18	driving Alc down you know, I can't speak to		
19	the cancer at all at this point. I don't have		
20	the background to provide you there.		
21	MEMBER TURNER: That is the point,		
22	I think.		

		Page	75
1	MR. HAMLIN: Right.		
2	MEMBER TURNER: I mean, this is		
3	the thing. It is very well, myopic is a		
4	bad word to use here. But it is very limited,		
5	and the evidence right now, the adverse		
6	consequences of pushing somebody down to that		
7	level, is just emerging right now.		
8	You have two perfectly reasonable		
9	measures, the 9 and the 8, to be able to get		
10	people to improve their care and continue to		
11	strive, but to push people to a level where		
12	you don't really know there is a benefit for		
13	them, because they may not be living that		
14	long, and they may certainly be getting side		
15	effects that you can't capture with the kind		
16	of claims data that you use, seems to be		
17	having significant unintended consequences.		
18	MR. HAMLIN: Well, you know, I		
19	would disagree. There is actually evidence to		
20	show that moving certain patient populations		
21	who have a diagnosis of any kind of cancer may		
22	be inappropriate. I do agree that there are		

		Page
1	probably specific diagnosis of cancer or	
2	perhaps current treatment regimens that might	
3	want to exclude them from the population, but	
4	I don't believe the evidence shows that	
5	someone who has a diagnosis of breast cancer	
6	that is, you know, Stage 1 should not be	
7	managed well and actively if they are also	
8	diabetic.	
9	MEMBER NEWCOMER: There is	
10	evidence to look at age and look at	
11	complication rates for hypoglycemia as you	
12	age. Is there any evidence on that?	
13	MR. HAMLIN: Interestingly, when	
14	we first When we filtered this data and	
15	when we collected the first year data, we had	
16	forgotten to address certain restrictions on	
17	the age around our patient population. When	
18	the data came in on the first two years,	
19	actually the over 65 the 65 to 75	
20	population actually had better Alc rates than	
21	the other two populations combined.	
22	So it was one of those areas where	

		Page 77
1	we still feel like it is an inappropriate	
2	population to measure through our approach.	
3	However	
4	MEMBER NEWCOMER: Well, what is	
5	the evidence in the medical literature? What	
6	we are trying to drive to here is we know that	
7	hypoglycemia is a definite consequence of this	
8	kind of tight control. Does that vary from	
9	age population to age population in the	
10	literature?	
11	For instance, can a 20 to 30-year-	
12	old tolerate that better than a 50 to 65-year-	
13	old? Do we know?	
14	MR. HAMLIN: We don't know the	
15	exact parameters around what ages would	
16	tolerate the aggressive management better than	
17	others at this point, but again the experts	
18	felt that 65 was a reasonable cutoff for	
19	active management, given the new trials that	
20	just came out.	
21	MEMBER NEWCOMER: So we are	
22	talking about an opinion versus any evidence.	
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1	That is all we are trying to clarify here.	rage	/0
2	MR. HAMLIN: Yes.		
3	MEMBER NEWCOMER: Okay.		
4	CO-CHAIR FLEISHER: Okay. Amy?		
5	MEMBER ROSEN: I just wanted to		
6	follow up on that, that this measure is not		
7	risk adjusted, and given the concerns, I am		
8	wondering why risk adjustment was not		
9	considered, and something like age and gender		
10	could easily be folded into some type of		
11	measure like this. If not, risk adjusted, at		
12	least some stratification could be done.		
13	I also had some concern about the		
14	denominator in that you are using claims data,		
15	but oftentimes somebody may come in with		
16	multiple problems, and a diagnosis of diabetes		
17	might not get on the claim. So that won't be		
18	in the denominator.		
19	I wondered if you had thought		
20	about looking at pharmaceutical claims as a		
21	way of getting a more comprehensive		
22	denominator, and also had you looked at the		

reliability of the medical record review or 1 2 the automated laboratory data. 3 I know from my experience that, 4 depending on where you look in the medical 5 record, you may get different values of a 6 particular test. So I just wondered what kind 7 of guidelines there are in looking for that 8 particular numerator that people might follow, 9 so that you get a consistent reading from all the different providers. 10 11 MR. HAMLIN: To your first point, we don't actually risk adjust any of our 12 13 effectiveness of care measures. We only risk 14 adjust currently our cost of care measures for 15 HEDIS. That is being examined right now, whether we need additional risk adjustment 16 17 strata applied to more of our effectiveness of 18 care measures, but that is a long way off at 19 this point. 20 We do validate and verify our data 21 collection methodologies. So before a measure 22 can make it into the HEDIS population, we do

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		Page	80
1	do both a claims and a medical record review	rage	00
2	validation study.		
3	So we have looked at the		
4	reliability of the claims against the medical		
5	record and what turns up in the medical record		
6	versus what is available through electronic		
7	claims.		
8	To your third point, we do		
9	actually include pharmacy as an identifier for		
10	diabetes denominator. It is a visit with a		
11	diagnosis or a number of ambulatory		
12	prescriptions for anti-diabetic agents. So		
13	that is included as part of the identification		
14	criteria.		
15	CO-CHAIR DUBOW: The		
16	stratification issue?		
17	MR. HAMLIN: The stratification		
18	We don't risk adjust or stratify the majority		
19	of our We don't risk adjust any of our		
20	HEDIS measures. We don't stratify the		
21	majority of our measures at this point, but it		
22	is something that we are looking into as we		

		Page
1	move forward, whether that is something that	
2	we should be asking additional data elements	
3	from the plans.	
4	CO-CHAIR DUBOW: But you do	
5	stratify in a way by payer.	
6	MR. HAMLIN: Yes. We do stratify	
7	a commercial Medicare product, yes.	
8	MEMBER ROSEN: That is not what I	
9	am suggesting.	
10	CO-CHAIR DUBOW: I know, but it is	
11	a form of stratification.	
12	MR. HAMLIN: Right. We do report	
13	all these three separately. So the commercial	
14	population, the Medicare population, the	
15	Medicaid population all are reported	
16	separately. For this one, it would just be	
17	commercial and Medicaid.	
18	CO-CHAIR FLEISHER: Do we have any	
19	new topics that need to be covered?	
20	MEMBER AMARASINGHAM: I just have	
21	one question. If a person If a provider is	
22	trying to get a patient below 7 and the	

		Page	82
1	patient was experiencing hypoglycemic effects,		
2	how is that accounted for or is the patient		
3	excluded? I didn't see that in exclusions.		
4	MR. HAMLIN: It is not at this		
5	time. If a provider is You know, again,		
6	these measures are guidance. They are not		
7	absolute. We don't expect a provider to go		
8	against the best clinical practices for		
9	managing individual patients.		
10	This is a whole population		
11	approach that we are looking at. So, you		
12	know, we are not trying to tell physicians		
13	they have to manage them down to this certain		
14	level. They have to use their better		
15	judgment.		
16	CO-CHAIR FLEISHER: Vanita?		
17	MEMBER PINDOLIA: Hi. I just had		
18	one comment, again to have NCQA consider		
19	combining these with a Hemoglobin Alc 8 and 9		
20	measure. The reason is that, just looking at		
21	state of Michigan and, I am sure, other		
22	states, how the HEDIS measures are being used		

		Page
1	the five large HMOs, they are really just	
2	going after those less than 7, even though it	
3	is not NQF endorsed.	
4	The Blue Cross/Blue Shield	
5	Michigan PGIP program for physician incentive	
6	only targets if you got them less than 7.	
7	They don't even consider the 8 or 9. So there	
8	seems to be a misconception of less than 7 is	
9	good for everybody, and by putting them all as	
10	individual, they are getting to pick and	
11	choose, and it might lead to some major	
12	patient negative outcomes.	
13	MEMBER AMARASINGHAM: I would like	
14	to underscore that. I also think that, even	
15	among providers and plans, I think there is	
16	variation in sort of the baseline Hemoglobin	
17	Alc in the population. So if a plan or a	
18	provider had a higher proportion sort of in	
19	the 8, 9 range, I think this measure could be	
20	interpreted as getting everybody down to 7,	
21	which could have a lot of unintended	
22	consequences.	

		Page	84
1	So I think it has to be a suite of		
2	measures.		
3	CO-CHAIR DUBOW: Excuse me. If		
4	somebody on the phone has Everybody on the		
5	phone should be on Mute.		
6	CO-CHAIR FLEISHER: David, a new		
7	topic?		
8	MEMBER HOPKINS: No, no. I was		
9	actually going to move approval of this		
10	measure as part of a suite that would comprise		
11	less than 7, 8 and 9, less than or equal to,		
12	I think, and that is not a composite, by the		
13	way, if I understand the term composite.		
14	So we are using a different term		
15	here, which is suite, which means it is three		
16	distinct reported measurements that are		
17	reported together.		
18	CO-CHAIR FLEISHER: So we actually		
19	need David to comment, because that has not		
20	been proposed. All that has been proposed is		
21	your suite of pairing in a composite.		
22	DR. WINKLER: Well, actually, this		

		Page
1	is the purview of the Steering Committee, to	
2	do what has been done many times in the past	
3	at NQF. That is, we called them pairs when	
4	there were two. I don't know what you want to	
5	call them when it is three, you know,	
6	whatever. But the concept is not a new one.	
7	It is an old one, and it is independent of how	
8	the measure was developed or might be used.	
9	If you feel that your	
10	recommendation for endorsement, that these	
11	should go together as a whatever you want to	
12	call it group, suite and what we do is it	
13	will be put out for comment that way. We will	
14	tag the other two measures to it.	
15	When we put it out for vote, it is	
16	this and this and this, and you are voting on	
17	it as a group, so that they are an entity that	
18	rises and falls together.	
19	MEMBER HOPKINS: That is my	
20	motion.	
21	CO-CHAIR FLEISHER: Quick comment,	
22	B.J.?	

Page 86 MEMBER TURNER: So we have to 1 2 respond to that motion, up or down vote? 3 CO-CHAIR FLEISHER: Well, do we 4 want to go to public comment first? Are there 5 any public comments before we move for a vote? 6 MR. HALL: This is Bruce Hall from 7 the American College of Surgeons. I have a 8 couple of questions. 9 MEMBER NEWCOMER: You are not 10 entertaining the motion. Is that right? 11 CO-CHAIR FLEISHER: Not quite yet. 12 Who on the phone is speaking? 13 MR. HALL: It is Bruce Hall, 14 American College of Surgeons. CO-CHAIR FLEISHER: Do you want to 15 comment first? 16 17 MR. HALL: I have a couple of 18 questions for the developer essentially on 19 reliability and feasibility. 20 I saw that they provided a sample 21 set of calculations that was roughly 550 22 patients. I was wondering if they had any

		Page	87
1	sense of how many practices can meet that		
2	sample size? I did not see any other		
3	commentary on the reliability of the patients		
4	between providers. So I was wondering if		
5	there is any information on that.		
6	Then on the topic of feasibility,		
7	I see that they have made an estimate of how		
8	many hours of data collection would be		
9	required from both administrative folks and		
10	the medical record review.		
11	I was wondering if there has been		
12	any discussion of the cost and the burden that		
13	is created.		
14	MR. HAMLIN: I have to make one		
15	correction. The sample size of 550 is a		
16	recommended sample size for the medical record		
17	review approach. This is a paired measure we		
18	call a hybrid approach where you use a you		
19	select a sample, and do medical record review		
20	to collect the data elements such as HbA1c		
21	levels where they are not available just		
22	directly in claims data.		

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1	Our normal for the other measures		
2	is 411, but because of the additional		
3	exclusions applied to this measure, we had to		
4	up that sample size. That is applied to plans		
5	only, not to medical individual providers		
6	or medical groups.		
7	The provider sample size is 25, as		
8	we said before. So there is a difference in		
9	the two methodologies for which product each		
10	measure is used in.		
11	As far as the cost burden, again		
12	as we collect the data through this hybrid		
13	methodology of claims versus the medical		
14	record approach, we annually review the		
15	variation and performance among plans that are		
16	reporting as admin only the integrated		
17	delivery systems generally have this through		
18	electronic data versus the medical record		
19	approach, and we look at the rates, the		
20	variation of rates among the plans and then		
21	the variation of rates plan year to year and		
22	try and move that to a claims based approach		

		Page	89
1	whenever possible.		
2	Unfortunately, this is not one		
3	that is possible at this current time.		
4	CO-CHAIR FLEISHER: Okay. Other		
5	comments?		
6	DR. JEWELL: Hi. I am Kay Jewell.		
7	I am a physician, a consultant in Wisconsin,		
8	and I have two disclaimers. One is I am a		
9	consultant with one of the drug companies that		
10	has diabetes related products, but I also have		
11	a conflict well, influence in some work I		
12	do with consumers.		
13	I have two points relative to the		
14	selection and the exclusion of the age 65. If		
15	that was selected, as I understand, a couple		
16	of years ago immediately after advance and		
17	reported and published, it was a reaction to		
18	concern of unintended consequences, and it has		
19	not been reviewed, and the actual evidence for		
20	excluding a 65-year-old person who doesn't		
21	have comorbidities from achieving a Alc of		
22	less than 7 I don't believe that there is		

evidence for that as a risk factor and is an 1 2 issue for hypoglycemia. 3 In fact, just like your data of 4 the over 65, that they are actually doing 5 better at getting Alc's, and we aren't seeing 6 large numbers of problems that have been 7 reported; and in fact, the NHANES data from 8 2003-4 -- it is the less than 65-year-olds. Sixty-eight percent of them are achieving less 9 than 7 percent in 2003-4 versus 48 percent for 10 those less than 65. 11 12 So the data would suggest that the 13 elderly are not having a problem with this, 14 especially if you have a way to exclude the comorbidities. 15 16 The other concern: There also has 17 to be a way for the physician to be doing the 18 individual assessments. The ADA and the 19 Endocrine Society do recommend an individual 20 assessment, and looking at the comorbidities, 21 and do not use age all alone as a criteria for 22 not achieving good control, and there has to

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		Page
1	be some room for individual physician	
2	assessment.	
3	Hypoglycemia, as far as the data	
4	that I have looked at, what they have	
5	identified and this did come up at the TAP;	
6	Dr. Hellman talked about it, that it wasn't	
7	age per se that was the issue for hypoglycemic	
8	events. It was things like frequency of	
9	testing and attention to testing, and	
10	hypoglycemic awareness, which is probably	
11	different.	
12	That is one of the issues and	
13	concerns about including this population, is	
14	that we are going to have all this	
15	hypoglycemia, because it is an age issue. I	
16	don't think the evidence is there.	
17	In terms of looking at the long	
18	term effects, there are two issues of long	
19	term effects that come at 15 to 20 years for	
20	cardiovascular, but there are also short term	
21	effects for a 65-year-old.	
22	There are short term effects in	

		Page
1	terms of retinopathy, and eye disease can be	
2	achieved within five to eight years. There is	
3	also risks in terms of impact in terms of	
4	infection, infection control, and neuropathy.	
5	Neuropathy is an early issue, and a very, very	
6	important patient outcome pain issue.	
7	MEMBER NEWCOMER: How do those	
8	differ from a population at 8 or less?	
9	CO-CHAIR FLEISHER: I think,	
10	actually, we need to move on, because we have	
11	multiple measures. Unless anyone feels	
12	strongly, I would just like to comment that	
13	the TAP endorsed this measure as a standalone	
14	measure. Would you like to comment, Reva, on	
15	the TAP?	
16	DR. WINKLER: In general, they	
17	felt that this measure narrowed a population.	
18	There were some more questions that you	
19	raising with it. Was it narrowed	
20	appropriately and enough to worry about the	
21	adverse consequences, but you know, they	
22	generally supported the measure.	

		Page	93
1	CO-CHAIR FLEISHER: So I have		
2	actually heard a motion. I assume Lee was		
3	going to second it.		
4	MEMBER NEWCOMER: I wasn't,		
5	actually.		
6	CO-CHAIR FLEISHER: You weren't?		
7	Well, what I have heard is several either		
8	endorse the measure standalone, endorse the		
9	measure as part of a triplet, endorse it as		
10	part of a composite, or not endorse it. So I		
11	wanted to defer to Reva to see how we will		
12	vote.		
13	DR. WINKLER: I would recommend		
14	voting each of those independently, and we		
15	will see where it leads us. Is there a		
16	logical You know, do you feel it should be		
17	a standalone measure, yes or no? Then the		
18	next one: Do you feel Would you recommend		
19	it as part of the three-group, yes or no?		
20	That way, rather than split the committee.		
21	CO-CHAIR FLEISHER: And by hands?		
22	So I guess it is a show of hands with regard		

		Page	94
1	to the committee, with regard to a standalone		
2	measure. All those in favor of a standalone		
3	measure.		
4	MEMBER NEWCOMER: I need some help		
5	before we do that. One question on the		
б	standalone measure. Do we have evidence that		
7	the hemoglobin elastin-7 has that we		
8	understand what its long term side effects are		
9	for all populations? Was there evidence		
10	talked about that in the TAP?		
11	I understand for certain		
12	populations, it clearly benefits. That is		
13	indisputable.		
14	DR. WINKLER: They certainly		
15	discussed it. It varied between some evidence		
16	and a lot of opinion.		
17	DR. NEWCOMER: So just tell me		
18	about the sum evidence for this large a		
19	population.		
20	DR. WINKLER: I can't speak to the		
21	details.		
22	DR. NEWCOMER: Okay. Thanks.		

Page 95 I would just refer 1 DR. BURSTIN: 2 you again to the TAP summary. They really did 3 spend quite a bit of time on this measure, and 4 they specifically felt that in general the 5 evidence wasn't there for Hemoglobin Alc less 6 than 7 for all patients, but they did 7 specifically indicate, at least in what they 8 said, that they thought that the no risk 9 adjustment beyond exclusions was okay, and they specifically wanted to be sure that Stage 10 4 and 5 CKD was out, which it is. 11 12 So that is all we can share, 13 unfortunately. I am not sure if Shelley has 14 joined us yet on the phone. 15 CO-CHAIR FLEISHER: Before we 16 vote, David, do you want to introduce yourself and any disclosures? 17 18 MEMBER HERMAN: My name is David 19 I am from the Mayo Clinic. Herman. No 20 disclosures. 21 CO-CHAIR FLEISHER: So I guess we 22 are calling the vote. All those who would

		Page
1	like to endorse this measure as a standalone	
2	measure, please raise your hands.	
3	Abstentions? No.	
4	DR. WINKLER: No? That is	
5	everybody?	
б	CO-CHAIR FLEISHER: One	
7	abstention. Okay. Second vote: As part of	
8	a group of measures, including 7, 8 and 9.	
9	MEMBER TURNER: Point of	
10	clarification. I don't know what that means.	
11	I don't understand how you operationalize it.	
12	Does it mean 25 percent have to be under 7,	
13	and 50 percent have to be under How do you	
14	do that? I am just wondering how you	
15	operationalize it.	
16	DR. BURSTIN: I think there is	
17	really two issues. I think what you have just	
18	said is on its own, you wouldn't, for example,	
19	want public reporting of Hemoglobin Alc less	
20	than 7 for selected populations on its own.	
21	You then have an opportunity when	
22	we get to the composite to say, okay, maybe	

not on its own, but maybe in the composite. 1 2 I think the third issue that was brought up 3 was that, since we have already endorsed the 4 less than 8 and the greater than 9, I guess 5 one other possibility would be to indicate 6 your support potentially for Hemoglobin Alc 7 less than 7 should only be publicly reported 8 with the other two levels. That is what, I 9 think, was --10 MEMBER TURNER: It doesn't help me 11 understand what it means. In other words, is it the goal to have 90 percent of your 12 population under 7? Well, then how do you --13 14 You said it was weighted, and I am trying to 15 understand what weighting means. No? 16 DR. BURSTIN: I think there is some confusion between the Diabetes 17 18 Recognition Program, which is not on the 19 table, which is weighted and scored. Some of 20 that will come up during the composite 21 discussion, because I think some of the 22 weighting is pretty similar to what we were

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		Page
1	literally just talking about in terms of	
2	publicly reporting the measure.	
3	Would you want to see the rates of	
4	those three levels for a given practice or	
5	whatever the case may be publicly reported,	
6	even if you didn't feel comfortable seeing Alc	
7	less than 7 on its own. That is all.	
8	MEMBER NEWCOMER: Is this measure	
9	actually that, and wouldn't we vote on that	
10	measure alone, because the next measure does	
11	ask for 8, 9 and 7.	
12	CO-CHAIR FLEISHER: But it	
13	includes multiple other criteria.	
14	MEMBER NEWCOMER: So we are voting	
15	on would we like to see 7, 8, 9 standing	
16	alone?	
17	CO-CHAIR FLEISHER: Correct.	
18	MEMBER AMARASINGHAM: Here is my -	
19	- With respect to this suite of measures, sort	
20	of representing all three, what is the	
21	implication for the provider, though?	
22	For example, if the provider's	

		Page	99
1	baseline was, before they even saw the		
2	patient, 40 percent had a Hemoglobin Alc		
3	greater than 9, then what would it mean in the		
4	subsequent year to represent the proportions;		
5	because you don't have an anchor period.		
6	So I am just trying to understand		
7	what is the purpose of the reporting? Is it		
8	to kind of reflect the provider's performance		
9	or to say this is sort of the baseline		
10	population?		
11	DR. BURSTIN: It is intended to		
12	reflect the population.		
13	MEMBER NEWCOMER: I would answer		
14	that as yes. The first year would be baseline		
15	performance. The subsequent years would be		
16	performance. So it is just a measure. All we		
17	are doing today is saying this is an		
18	acceptable measurement, and how it is used is		
19	going to vary from person to person.		
20	CO-CHAIR DUBOW: I think we need		
21	to be clear that NCQA has to go along with		
22	If this is a recommendation to NCQA It was		

	Page 100
1	submitted as a standalone measure. So we need
2	to This vote is going to reflect the
3	recommendation.
4	MR. HAMLIN: The reason it is a
5	standalone measure is because the other two
б	are already endorsed. It is the one left
7	over.
8	MEMBER YAWN: The only advantage I
9	see of it being part of the suite is you get
10	to exclude some people. Now do I think it is
11	the right ones, and do I have any evidence?
12	No. That is the only thing that I can see
13	that is positive. Rather than just saying,
14	okay, we are going to do 7, 8 and 9, and have
15	no exclusions for 7, this gives you some
16	exclusions for 7, and that is the only
17	advantage I can see of thinking about putting
18	it out there.
19	Doesn't mean I am going to vote
20	for it. I am just saying I think that is what
21	we have to think about.
22	CO-CHAIR FLEISHER: Quickly,

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	E C
1	because we do need to move on.
2	MEMBER PINDOLIA: I think the
3	other advantage is how it is used out in the
4	public. Once NCQA approves, the HEDIS
5	measures are used to give payer incentives.
б	They are used to have measurements of this
7	health system is better than this one, and to
8	have a trio, at least you can see overall, and
9	you are not being forced to draw everyone less
10	than 7. So there is another use.
11	MR. HAMLIN: My only one concern
12	with putting it in a trio is that the greater
13	than 9 and less than 8 do not have these
14	exclusions applied. So they apply to
15	different populations.
16	So there is some variance in those
17	populations. The population of the greater
18	than 9 and less than 8 are 18 to 75 with no
19	additional comorbidity exclusions. The less
20	than 7 is. So
21	DR. BURSTIN: Just to be clear,
22	just a point of process, you have already made

	Page 102
1	the initial assessment of should it be a
2	standalone measure. No. Your only options at
3	this point are potentially to put this back to
4	NCQA with a recommendation or even a condition
5	saying the only way the Steering Committee
6	would approve Alc is if it was always reported
7	with 8 and 9.
8	That is really your only
9	opportunity at this point. They haven't
10	submitted to you a suite of 7, 8 and 9. So at
11	this point it would be your recommendation
12	back to them indicating less and this is
13	just a possibility less than 7 would be
14	fine as long as it is reported with the other
15	two levels. That could be a condition or
16	recommendation.
17	CO-CHAIR FLEISHER: David, would
18	it be acceptable, can we defer that vote until
19	we talk about the composite? Do you want to
20	vote now?
21	MEMBER HOPKINS: Well, there is a
22	different problem, because you've got two

Page 103 composites, and I believe they are in 1 2 competition with each other. 3 CO-CHAIR DUBOW: So let's wait on 4 that. 5 CO-CHAIR FLEISHER: So the vote on 6 the second motion which Helen described of 7 going back to NCQA and actually suggesting 8 this be developed into a measure with all 9 three. How many vote -- No? 10 DR. BURSTIN: No, sorry. Don't 11 want to confuse things. They wouldn't 12 actually develop anything. I think the issue would be this Steering Committee has indicated 13 14 not as a standalone. The Steering Committee 15 could potentially put forward a motion saying 16 you would conditionally approve Hemoglobin Alc 17 only if it is always reported with the other 18 two levels. 19 It is just a reporting issue. Ιt 20 is not combining it into a composite, which is 21 the later discussion. 22 CO-CHAIR FLEISHER: So how many

Page 104 vote yes for that? 1 2 DR. WINKLER: Fifteen. 3 CO-CHAIR FLEISHER: Noes? So we 4 have four noes. Abstentions? Okay. 5 MS. BOSSLEY: Barbara walked out of the room. 6 7 CO-CHAIR DUBOW: Oh, okay. Ιf 8 Barbara walked out, we didn't get her vote. 9 Okay. 10 CO-CHAIR FLEISHER: Okay. Anybody 11 else not vote? Well -- Do people want five 12 minutes? CO-CHAIR DUBOW: We have to finish 13 14 this. DR. WINKLER: The decision was to 15 16 -- The next measure will be the composite 17 measure submitted by NCQA, which is the 18 comprehensive diabetes care measure. This is 19 measure 29, and this is essentially a 20 composite measure, the percentages measures. 21 This is 18 through 75 with 22 diabetes related to the following, and you can

Page 105 see the components of the composite. Ben, 1 2 correct me if I am wrong. The most recent version I saw of this did not have two blood 3 4 pressure controls. Correct? 5 MR. HAMLIN: Yes. If you downloaded this from your online site, we were 6 7 having technical issues getting the updates in 8 there. So, yes, it does not include 130 over 9 80. We were having trouble saving our changes after the TAP. 10 11 CO-CHAIR FLEISHER: So what is the 12 current one? The current measures includes? 13 MR. HAMLIN: The current one 14 measure includes everything you see here 15 except for the less than 130 over 80, because the TAP asked us if we would remove that from 16 consideration. 17 18 CO-CHAIR FLEISHER: And keep just 19 the one? 20 MR. HAMLIN: Keep the one, 140 21 over 90, yes. 22 CO-CHAIR FLEISHER: Great. Thank

Page 106 1 you. 2 DR. WINKLER: This is a composite 3 measure that includes these components, all of 4 these components with the exception of the Hemoglobin Alc less than 7 currently endorsed 5 6 by NQF, and also the blood pressure control 7 less than 130 over 80, which is not included 8 in the most recent version. 9 What we need to go to, Hawa, is the table that talks about the weightings and 10 11 how this composite is put together. 12 MEMBER NEWCOMER: There is an asterisk behind the Hemoglobin less than 7. 13 14 Is that because it is not in the current NCQA? Is that what the asterisk stands for? 15 16 DR. WINKLER: No. Because it is 17 not currently NOF endorsed, and your actions 18 have relevance to what is going on here. 19 MEMBER NEWCOMER: Okay, thanks. 20 So do you have that one, Helen? 21 DR. BURSTIN: Right. 22 DR. WINKLER: Okay. One of the

		Page
1	things, when this was initially presented to	
2	the TAP is these weightings were not	
3	available, and so they re-met by conference	
4	call last Thursday to take a look at how these	
5	measures are combined.	
6	These are the criteria as well as	
7	the points given. This is the table for the	
8	recognition program. So the meeting 75, I	
9	think, is more an implementation issue, but	
10	the methodology for combining each of the	
11	all of these measures is really a two-step	
12	methodology; whereas, you get credit if you	
13	meet the criteria, and then you get that many	
14	points, and then the sum of the points.	
15	So the final score is your total	
16	number of points. How a user or implementer	
17	might then use those points to display or	
18	publicly report, I think NCQA does it one	
19	way, which isn't necessarily the only way that	
20	it might be done, and that was the discussion	
21	on the TAP.	
22	Again, as you will find with most	

Page 108 of the composite measures that we will be 1 2 discussing over the next couple of days, the weightings and the choice of how many points 3 4 and things like that are somewhat arbitrary, 5 but based on the developer's value system around what is important in the care of 6 7 patients around this condition with their Technical Panels. 8 9 MEMBER NEWCOMER: So we need to 10 clarify again. This is not part of what we 11 are voting on today, though. Is that correct? 12 DR. WINKLER: This is what you are 13 voting on today. This is the composite 14 measure. The weighting -- the criteria and 15 the points, not the recognition part. 16 MEMBER NEWCOMER: I didn't see that in our documents. 17 18 CO-CHAIR FLEISHER: Bur the weights were endorsed by --19 20 DR. WINKLER: They haven't been 21 endorsed, not by NQF. 22 CO-CHAIR FLEISHER: No, by the
	Page 10	9
1	TAP.	
2	DR. WINKLER: The TAP liked the	
3	weights, yes. when they reviewed them, they	
4	supported them, and suggested that this was a	
5	good composite of diabetes care, that the	
6	weightings made sense. They made clinical	
7	sense, and they supported the measure going	
8	forward.	
9	MEMBER KEALEY: So what does the	
10	rejection of the less than 7 that we just did	
11	what does that do to this?	
12	DR. BURSTIN: Nothing. So the NQF	
13	composite framework requires that all the	
14	measures within a composite be individually	
15	evaluated. They don't to be, rather,	
16	standalone or only as part of a composite.	
17	So you have now indicated the Alc	
18	measure can only be as part of this composite	
19	or as NCQA agrees in that pairing other	
20	measure, but it is fine here, if you agree it	
21	is acceptable as part of a composite.	
22	MEMBER KEALEY: And if we like	

Page 110 everything but the less than 7, is there any 1 2 ability to parse that apart or --DR. BURSTIN: You would have to 3 4 again make those issues discussions back and 5 forth with NCOA. 6 MEMBER YAWN: It is not a percent. 7 MEMBER AMARASINGHAM: But it would 8 actually -- I assume, Helen, that that would 9 actually mean we would turn down the measure, but suggest a change, because we couldn't 10 11 approve the measure with the composite. 12 DR. BURSTIN: The measure being a 13 composite. 14 CO-CHAIR FLEISHER: Vanita? 15 MEMBER PINDOLIA: I just had a 16 question on the point system. How does that 17 work? It is all or nothing? So let's say you 18 have 16 percent greater than 9. You get zero 19 points? 20 The way this is going to be used, 21 I know in the state of Michigan and I know in 22 other states, it is going to be used for the

	Page 111
1	physician incentive programs, and this is
2	going to look so nice, because it is going to
3	composite all of them together.
4	So all of a sudden, you have 16
5	percent. You get zero. So you are down to 90
6	right away. Is that or is there a grading?
7	The 10 will become a 9 to 8, and the 7 to 6.
8	MR. HAMLIN: The total points add
9	up to 100. If you can make 75 points, you
10	achieve the SEQ ADA physician recognition for
11	diabetes care, but that is This is the
12	points, yes.
13	MEMBER PINDOLIA: But the points -
14	- I am just wondering like for the 10, the 5,
15	the 20 is it a 10 or a zero, a five and a
16	zero? You either are there or you are not, or
17	is it
18	MR. HAMLIN: Yes, for each
19	category. If you meet the criteria, then you
20	get the points or not. Yes, sorry.
21	CO-CHAIR FLEISHER: Okay, David
22	and Ruben.

Page 112 MEMBER HOPKINS: So I have a point 1 2 of order. Since NQF now has a requirement that we consider measures that are best in 3 4 class, and since we have another type of 5 composite measure that gets at many of the 6 same things in a different way, I think it is 7 important that we have the opportunity to 8 review both before voting on either. I don't 9 know how you want to do that. Since there are 10 DR. BURSTIN: different components, I think it would be 11 12 helpful to finish our discussion of this 13 measure and not necessarily vote, but then come back after the discussion of both 14 15 composites. 16 CO-CHAIR FLEISHER: Yes. MEMBER HOPKINS: Three different 17 18 approaches. 19 MEMBER DELLINGER: If I am 20 understanding correctly, the less than 7 here 21 comes without the restrictions that were on 22 the less than 7 that we just finished

		Pag
1	discussing, because it doesn't say that	
2	anywhere here.	
3	DR. BURSTIN: It says for special	
4	populations.	
5	MEMBER DELLINGER: Oh, okay.	
б	Thank you.	
7	MEMBER AMARASINGHAM: The question	
8	I have: This was clearly This was only	
9	developed by expert opinion. There is no	
10	empiric evidence to suggest these percentages.	
11	So one question I have is could this be	
12	proposed as a one-year time-limited, only	
13	because I am curious what is the underlying	
14	population in the United States where it	
15	actually achieved this.	
16	MR. HAMLIN: This is actually	
17	This was actually developed through expert	
18	consensus but based on four years of data	
19	collection in the DRP, and this was just	
20	reviewed last year. It is based on four years	
21	of data collection.	
22	MEMBER AMARASINGHAM: So do you	

	Page 114
1	have a histogram or a distribution for how
2	this would look, like if you got 80 percentile
3	on this, what does that mean?
4	MR. HAMLIN: If you meet the
5	Sorry, for the recognition? I am not
6	understanding your question.
7	MEMBER AMARASINGHAM: Do you have
8	a histogram of points?
9	MR. HAMLIN: Not with me, no, but
10	they were just reviewed in 2009, and what they
11	did is they looked at the data for each of
12	these years and the weighting that came in
13	from each of the provider offices that were
14	seeking recognition. Then the experts
15	basically judged that, yes, this weighting was
16	still valid and usable and appropriate for
17	this population.
18	CO-CHAIR DUBOW: This measure has
19	been in use for a long time. It doesn't
20	really qualify for time-limited endorsement.
21	That is not what that process would do.
22	MEMBER NEWCOMER: Lee. I will

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1	Page 115
1	just make a comment. When we do come to
2	voting, I would like to say that I like the
3	measures and would strongly endorse them, but
4	I would also amend, if it is possible, to get
5	that criteria off the table.
6	What is important here is that you
7	measure what is happening to a diabetic
8	patient. You have a number of excellent
9	measures there, and they are well thought out.
10	What kind of criteria table you
11	might use to determine what is, quote, "good"
12	diabetic care and other is nothing more than
13	expert opinion, as already stated, and doesn't
14	meet a good evidence standard.
15	These other measures, though, do
16	meet evidence standards, and what is important
17	is to report them.
18	CO-CHAIR FLEISHER: So when you
19	say criteria table, do you mean the weighting?
20	MEMBER NEWCOMER: The point system
21	that is there.
22	CO-CHAIR FLEISHER: The weighting

		Page
1	only?	
2	MEMBER NEWCOMER: People could	
3	come up with 1,000 different point	
4	combinations, and they would only be opinion	
5	based. However, everything on that left tab	
6	is very good evidence based and are excellent	
7	measures to follow diabetic control.	
8	MEMBER YAWN: Well, you are going	
9	to see another composite measure that takes	
10	those, and it just says yes or no. So you	
11	have to have 100 percent of all of them to get	
12	credit for anything, and I am going to tell	
13	you, it is a real bear to try to deal with 100	
14	percent.	
15	So I am not saying those	
16	percentages are right. I don't like only 60	
17	percent of the eye, for example. That seems	
18	really low to me. But I think that at least	
19	they are trying to get at the concept of	
20	nobody is going to be perfect, and this at	
21	least gives you some	
22	MEMBER NEWCOMER: I think you also	

Page1made my point, that you don't think 60 percent2is right.3CO-CHAIR FLEISHER: Helen would4like to address the weighting system, and then5we can go to the other measure. So let's let6Helen talk.7DR. BURSTIN: Again, all these8measures with the exception of Alc less than97 have already been endorsed by NQF. So these10are ones people can go ahead and report on11right now. That is not an issue.12The only thing that is new here,13in addition to the discussion we just had14about Alc less than 7, is the idea of bringing15in a composite. Again, in our definition a16composite is combining multiple measures into1718So by needing a single score,19you've got to have some scheme that will bring20them together. They could have whatever21the case may be. They based on expert opinion22this series of weights to get at what they			
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21 the case may be. They based on expert opinion	19	you've got to have some scheme that will bring	
	20	them together. They could have whatever	
22 this series of weights to get at what they	21	the case may be. They based on expert opinion	
	22	this series of weights to get at what they	

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thought was most important. 1 2 Again, we could certainly go back 3 to NCQA, ask for further details about the 4 logic of it, but there is a requirement that 5 we have to get to a single score. So unless 6 it is an all or none composite, we've got to 7 have some formula to get at that. 8 CO-CHAIR FLEISHER: A quick 9 comment. Then I would like to look at the 10 next measure. 11 MEMBER DELLINGER: A question: Are the percents in the center column there --12 are those what have already been endorsed? 13 14 DR. BURSTIN: No. Those are thresholds that are not the issue. The issue 15 16 is more -- So the actual clinical measures on 17 the left have been endorsed, yes -- or right, 18 whatever. 19 MEMBER AMARASINGHAM: I know that 20 we have been saying that these measures have 21 been endorsed, but it is not insignificant 22 that there is new criteria.

Page 119 DR. BURSTIN: No, that is the new 1 2 measure. 3 MEMBER AMARASINGHAM: That is what 4 I am saying. So I think --5 DR. BURSTIN: That is just weighting. 6 7 MEMBER AMARASINGHAM: Right. 8 DR. BURSTIN: Essentially that 9 creates the weights --10 MEMBER AMARASINGHAM: But the 11 weighting is very important. 12 DR. BURSTIN: The weighting is 13 critical, and we want you to take a critical 14 eye to it and see if it makes sense. Yes. 15 CO-CHAIR FLEISHER: So that is 16 what we will or will not vote on, but let's go to the second measure. 17 DR. HALL: This is Bruce Hall. 18 Ι 19 am on the phone. I have a quick question. Is 20 the weighting available? I am trying to 21 follow the discussion and the materials, and 22 I just cannot find this information.

1		
		Page
1	MR. HAMLIN: It is not there.	
2	DR. HALL: Okay. Thank you.	
3	CO-CHAIR FLEISHER: Okay, and we	
4	haven't opened this up for public comment.	
5	DR. HALL: This is Bruce Hall. I	
6	am not the developer as you may know,	
7	representing the college. But I am having a	
8	hard time following the discussion. How could	
9	any weighting scheme, whether it is an equal	
10	weighting scheme or any other weighting scheme	
11	how could that possible be submitted on the	
12	reliability of that composite if it hasn't	
13	already been in practice a long time, or the	
14	interpretability of those scores if they	
15	hadn't been in practice a long time?	
16	CO-CHAIR FLEISHER: Thanks, Bruce,	
17	for that comment. We are going to move on to	
18	the second measure.	
19	DR. WINKLER: The third diabetes	
20	measure is submitted by Minnesota Community	
21	Measurement. This is an optimal diabetes care	
22	measure. It is an all or none composite that	

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1	has five components, and we have it up there.
2	These are patients looking at the
3	Alc level, the LDL level, the blood pressure,
4	the tobacco use or nonuse, and the daily
5	aspirin use.
6	So this is on a patient level data
7	collection. How many patients have hit all
8	five of the targets. So this includes
9	patients 18 to 75 with diabetes who meet all
10	of them. The Hemoglobin Alc is less than 8.
11	The LDL is less than 100. The blood pressure
12	is less than 130 over 80. They don't smoke,
13	and this is the most recent revision for
14	patients over the age of 41, daily aspirin use
15	unless there are contraindications.
16	So it is a five-part measure that,
17	at a patient level, if you hit all five, you
18	get credit for it.
19	MEMBER JUSTER: Clarification.
20	Wasn't this recently revised so it was a daily
21	aspirin if you have cardiovascular disease or
22	is it still at age 41 or above?

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1	DR. WINKLER: Actually, we had
2	Like I say, yesterday we got a
3	CO-CHAIR DUBOW: It is. It is.
4	DR. WINKLER: Yes.
5	CO-CHAIR DUBOW: Thank you.
6	DR. WINKLER: Now but because they
7	have made revisions that came in in the last
8	day or two, the most recent one says daily
9	aspirin for age 41-plus, use unless
10	contraindicated. That is the most recent one
11	that I got.
12	CO-CHAIR DUBOW: The material that
13	we got
14	DR. WINKLER: Right, and this came
15	in like at four o'clock yesterday.
16	CO-CHAIR DUBOW: Okay. But it
17	does make the distinction that Iver mentioned.
18	DR. WINKLER: Is someone from
19	Minnesota on the phone? Excellent.
20	CO-CHAIR DUBOW: Because the
21	Chairman of the TAP is not here, does somebody
22	one of the staff want to just summarize

		Page	123
1	what the TAP their review, please?		
2	DR. WINKLER: Yes. The TAP		
3	generally was concerned about a couple of		
4	aspects of it. When this was first presented,		
5	the revisions to the aspirin component had not		
6	been made, and there had been recent evidence		
7	to show the adjustment wasn't needed. So we		
8	had to wait for the changes that they have		
9	made.		
10	It was felt that the Alc target of		
11	less than 8 was reasonable. The LDL was less		
12	than 100. Those are aligned with current re-		
13	endorsed NQF measures. So everything is fine.		
14	I think the biggest issue centered around the		
15	blood pressure target of 130 over 80. There		
16	were concerns, particularly, of most recent		
17	publications that actually were coming out the		
18	week the TAP met that this blood pressure		
19	target was of concern.		
20	So that element of it was probably		
21	the major focus of the TAP's discussion and		
22	their concern with this measure.		

Page 124 1 MEMBER NEWCOMER: Reva, what was 2 the concern? The data was showing it was too 3 aggressive? 4 DR. WINKLER: Yes. Right. Ι 5 think it was the most recent ACCORD trial, not 6 showing benefit of aggressive blood pressure 7 management for that population. 8 MEMBER HOPKINS: What is their 9 response? 10 MEMBER NEWCOMER: Yes, have they modified it since then? 11 12 DR. WINKLER: Has Minnesota 13 modified it? No. 14 MEMBER NEWCOMER: They have not. 15 Okay. MEMBER YAWN: I am also bothered 16 by the 41 without evidence of cardiovascular 17 disease. I do not believe that is evidence 18 19 based, and for women it is 55, not 41. So I 20 know that we have the one that says 21 cardiovascular disease, but the update is the 22 41-plus.

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1	MS. PITZEN: No, that is not		
2	correct. We just wanted to make a		
3	clarification as the measure developer, if we		
4	could.		
5	Hi, this is Collette from		
6	Minnesota Community Measurement. We actually		
7	did work on this process, and the aspirin		
8	component is justification for cardiovascular		
9	disease, irregardless of age.		
10	DR. JEWELL: Of what type of		
11	cardiovascular disease?		
12	MS. PITZEN: We have a defined		
13	list of vascular disease, cardiovascular and		
14	peripheral vascular.		
15	DR. JEWELL: Thank you.		
16	MS. PITZEN: Thank you.		
17	MEMBER NEWCOMER: So if the		
18	developer is on the line, are you intending to		
19	change the blood pressure recommendations or		
20	do they stay the same?		
21	MS. PITZEN: I can answer that.		
22	We constantly are reviewing the evidence and		

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1	the guidelines. A year ago we revised our Alc
2	target that was less than 7 to less than 8,
3	and recently, based on the ADA standard and
4	our expert guideline changes, we did change
5	the aspirin component, and we would expect to
6	be reviewing the blood pressure evidence as it
7	emerges, and pulling our group together again
8	to decide if we need to change that component.
9	The evidence coming out is similar.
10	CO-CHAIR FLEISHER: So, Helen, can
11	you comment? What happens if they change it
12	after we endorse it?
13	DR. BURSTIN: If there is a
14	significant or material change to the measure,
15	it would come to NQF as an ad hoc review. We
16	would together experts to review the change
17	and make a determination if it made sense.
18	I mean, again, literally, the
19	studies came out the day the TAP was meeting.
20	So I think they were able to go back and do a
21	revision on the aspirin one, but I think it is
22	not clear they have been able to in literally

	Page 127
1	real time.
2	Let me just look up the date. The
3	ACCORD trial was March 14th.
4	CO-CHAIR FLEISHER: Comments?
5	B.J.?
6	MEMBER TURNER: So with moving
7	targets on a lot of these variables, to have
8	them all glommed together and have to meet
9	them all seems like a pretty crude hammer to
10	me. And I know that there is a lot of
11	variability across racial groups.
12	I guess this is Minnesota, but I
13	come from Philadelphia where we have a lot of
14	African Americans where blood pressure control
15	is much more difficult. You have to have four
16	drugs sometimes to be at that level, and the
17	side effects are much more significant, too.
18	There is a lot of concern about
19	diastolic hypotension. We don't have any
20	information about that here. So I think,
21	within some of these, especially the
22	hypertension and aspirin we are really

Page 128 focusing on here, there are concerns and 1 2 questions at this point. 3 I think, to have them all glommed 4 together and you pass/fail is not a very 5 sensitive measure, given that there is a lot 6 of instability in the measure segments. 7 CO-CHAIR FLEISHER: Other 8 comments? Questions for the developer? 9 MEMBER PINDOLIA: Just a question on the non-tobacco user piece. Can you just 10 11 provide clarification. Is this purely the 12 number of patients as a non-tobacco? How 13 about if they are in a smoking cessation 14 program? 15 MS. PITZEN: Collette. This is 16 non-tobacco use. 17 MEMBER PINDOLIA: So it is just you have to be a non-tobacco user. So if you 18 19 have someone who is currently smoking and is 20 trying to quit, that doesn't count as a 21 positive? 22 MS. PITZEN: That is correct.

Page 129 CO-CHAIR FLEISHER: Comments? 1 2 Lee? 3 MEMBER NEWCOMER: I will just 4 offer the counter-view, that the thinking in 5 Minnesota was that, since these patients -- if 6 you get all five, you are going to get a much 7 bigger bang for your buck in terms of delayed 8 outcomes and complications. That is why they 9 put the five together. 10 MEMBER YAWN: And the one they 11 don't pay attention to is eyes. 12 MEMBER NEWCOMER: Right. 13 CO-CHAIR FLEISHER: Okay, are 14 there any other questions regarding this 15 particular measure, rather than comments on 16 the appropriateness? 17 MEMBER AMARASINGHAM: I quess one 18 question is can we endorse the measure with 19 the requirement that it be revised to meet the 20 core quidelines? 21 It could certainly DR. BURSTIN: 22 be something where the measure could be

		Page 130
1	provisionally conditionally approved based	rage 150
2	on the potential for them revising the blood	
3	pressure measure, in particular. But again,	
4	that is one option for you.	
5	DR. WINKLER: But realize that	
6	until they do, it is a No vote.	
7	MEMBER ROSEN: I think, given the	
8	lack of attention to different risk groups,	
9	from what I have heard about the measure, is	
10	really very insensitive to the needs of the	
11	diabetic population, and it is really a very	
12	heterogeneous group rather than homogeneous.	
13	So I have a concern about that.	
14	CO-CHAIR FLEISHER: Comment from	
15	the developer, or response?	
16	MS. PITZEN: I don't have	
17	understand the last comment and what it meant.	
18	MEMBER ROSEN: Well, you are just	
19	As Barbara Turner said, you are just kind	
20	of lumping in these five intermediate outcome	
21	or process measures and applying it to all	
22	patients with diabetes, when in fact patients	

	Page 131
1	with diabetes have very different levels of
2	risk and need.
3	So I don't think it is very
4	sensitive to that.
5	MEMBER NEWCOMER: Although the
6	evidence shows You know, we have had our
7	debate about blood pressure, but the evidence
8	shows that all diabetics would, in fact, have
9	better outcomes with these measures. So I
10	don't think it discriminates in that sense at
11	all.
12	MS. PITZEN: Well, the other
13	thing, as a developer I think we would like to
14	just comment that, actually, as it is
15	preventive, it does meet all evidence, and we
16	wanted to be clear that Collette said earlier
17	that, in fact, we have an eye to the new
18	evidence preventive stuff. We review it. We
19	will study the blood pressure in the studies,
20	and it is among other studies, and I think it
21	is important to improvement to review all
22	evidence, not just base a change on one study,

		Page
1	and the 130 over 80 is supported in ADA	
2	guidelines.	
3	MEMBER TURNER: I don't think we	
4	want to go into a long discussion about blood	
5	pressure cut points, but I do think that there	
б	is enough of a discussion going on in the	
7	world that you can't be dogmatic about the 130	
8	over 80 is the measure we have to use for	
9	everyone.	
10	CO-CHAIR FLEISHER: Thanks. I	
11	would actually ask Helen or Rita to give us	
12	some help in how we should proceed with	
13	talking about the two measures, as well as	
14	what else we need to do as far as voting for	
15	the individual criteria.	
16	DR. BURSTIN: Sure. Just to make	
17	the point, as we were talking about earlier in	
18	terms of trying to You know, these are	
19	essentially competing composites. I don't know	
20	if one could imagine a world with both of	
21	them.	
22	You would have to make that	

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1	determination, but what we would ask you to do
2	is actually we want you to go through the
3	formal process of voting on each of the
4	criteria for each of them, and then make an
5	assessment of what you would like to move
6	forward, because we really do want to be able
7	You know, the measures, in and of
8	themselves, have to pass the criteria, and
9	then you can make a determination of the next
10	steps in terms of whether there is one that is
11	best in class.
12	MEMBER YAWN: Could I ask the
13	developer why the Minnesota measure does not
14	include eye exams?
15	MS. PITZEN: At the time this
16	measure was developed, and we have quite a bit
17	of history back to 2003, it was felt that the
18	intermediate outcomes of controlling enough
19	the LDL and blood pressure would prevent
20	potential complications down the road from
21	occurring. So we did not include that process
22	measure as part of our composite.

Page 134 My comment would be 1 MEMBER YAWN: 2 that is nice down the road maybe 10 years, but 3 it may not be nice for people between the time 4 you start and 10 years down the road, because 5 they already have eye disease. 6 CO-CHAIR FLEISHER: Iver, and then 7 I would like to move to taking the vote. Last 8 comment. 9 MEMBER JUSTER: And, actually, I 10 suppose the same comment could be made for 11 nephropathy screening. 12 CO-CHAIR FLEISHER: Okay. So we 13 are going to actually discuss the measure we just discussed. So we will start with the 14 Minnesota measure, and Reva will take us 15 16 through voting on each of the criteria. 17 DR. WINKLER: Just as we did with 18 the other measures in the first group, we do 19 need to have the Committee's final assessment 20 on how well the measure meets the criteria for 21 importance to measure and report, scientific 22 acceptability of the measure properties,

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usability and feasibility. 1 2 I will draw your attention to the 3 handy dandy little cheat sheet provided at 4 each place of those criteria. But having this 5 sort of data helps as the measure moves 6 through the process to kind of provide summary 7 evidence for the various audiences going 8 forward to help determine how things are going 9 to go. 10 CO-CHAIR FLEISHER: Can we put the TAP's recommendations for these? 11 12 DR. WINKLER: The TAPs only looked at the sub-criteria. They did not vote on the 13 14 overall criteria. That is your -- That is a Steering Committee role. But how they felt on 15 the sub-criteria are listed here as well. 16 17 All right. So we are looking 18 right now at the Minnesota Community 19 Measurement composite measure, optimal 20 diabetes care. So what we are looking for is 21 your -- the Committee's assessment on 22 importance to measure and report. This is a

Page 136 1 yes/no vote. 2 So do you want to do it? Do you 3 want me to do it? 4 CO-CHAIR FLEISHER: All those that 5 vote Yes? 6 DR. WINKLER: Eighteen. 7 CO-CHAIR DUBOW: Noes? 8 DR. WINKLER: Three. 9 CO-CHAIR FLEISHER: Abstain? One. 10 DR. BURSTIN: And people could 11 actually refer to that little handout. Ιt 12 would be helpful, because again we are asking 13 you to do an overall assessment of importance 14 based, in fact, on the three sub-criteria of the impact to the condition, if there is a 15 16 known gap in care and the relation -- and the 17 third piece of that would be evidence, and I 18 guess that would be potentially part of what 19 people are voting on. So to be clear. 20 DR. WINKLER: So the next 21 criterion is scientific acceptability of this 22 measure as it is specified, the properties of

	Page 137
1	this particular measure, and there are
2	multiple sub-criteria around the
3	specifications, reliability, validity, their
4	exclusions, risk adjustment, meaningful
5	differences, comparability and approach to
6	disparity.
7	You can see the recommendations of
8	the TAP in terms of those sub-criteria. So
9	the Steering Committee will provide the
10	overall rating for that criterion.
11	MEMBER YAWN: Sorry. Can I ask
12	just what about In scientific
13	acceptability, I don't see a place for gaps.
14	DR. BURSTIN: That is under
15	importance to measure and report. You have
16	already voted on that.
17	MEMBER YAWN: Thank you.
18	DR. BURSTIN: You are welcome.
19	This is really the scientific acceptability of
20	the measurement properties, its reliability,
21	its validity, the precision of the
22	specifications. That is not the evidence.

Page 138 You just did that one. 1 2 MEMBER HOPKINS: So do we have the 3 data on reliability and validity or did the TAP -- The TAP looked at it and said it was 4 5 complete? 6 DR. BURSTIN: Yes. 7 CO-CHAIR FLEISHER: So those who vote Yes for this criterion? No? 8 CO-CHAIR DUBOW: No. 9 DR. WINKLER: Give me a second. 10 Importance actually is a threshold criteria. 11 12 You voted Yes. So we can move forward. I you 13 had voted No, we would stop. 14 Okay. So on scientific acceptability, the voting is: Completely 15 16 adheres to the criteria; partially adheres to 17 the criteria; minimally adheres; or not at all. Okay? 18 19 How many would favor completely 20 meets the criteria for this measure? I am 21 seeing one. 22 MEMBER NEWCOMER: Clarify again

Page 139 what we are voting on. 1 2 DR. WINKLER: This measure as 3 specified completely meets the criteria as listed and laid out by NQF for scientific 4 5 acceptability of the measure properties, this 6 measure as specified. Would that be 7 partially? 8 So we try it again. How about 9 completely? Anybody to vote for completely? How about partially? All right. 10 11 Twenty-one. Okay. 12 How about minimally? I knew there was at least one. Okay. And abstentions? 13 Ι 14 don't believe so. I think we've got 15 everybody. All right. 16 The next topic is usability of this measure. The usability criteria focused 17 around distinctive or added value, how well it 18 19 is harmonized with other measures, and 20 provides added value as a new measure to 21 NQF's portfolio. Okay? 22 How many think it adheres to the

Page 140 criteria completely? Six? 1 2 Partially? Thirteen. How about minimally? 3 Two. Abstain? 4 No. 5 Okay. The last criterion is 6 feasibility, and this is what is the burden, 7 what is the cost, what does it take to 8 generate the information, particularly around 9 how well it is amenable to use by electronic sources, moving into EHRs, whether exclusions 10 require different data sources, potential for 11 12 inaccuracies or errors. 13 MEMBER NEWCOMER: Are the comments 14 up there relative to feasibility or are they more relative to usability? 15 16 DR. WINKLER: Right. Well, 17 sometimes we would get -- It gets to be a 18 messy discussion sometimes. 19 So the vote for feasibility. How 20 many think it meets the criteria completely? 21 Fifteen. 22 Partially? Five.

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1	Minimally? One, two. Two.
2	Abstentions? Zero. Okay.
3	CO-CHAIR FLEISHER: Do you want to
4	do the other one?
5	DR. WINKLER: Yes. I think it
6	would be confusing to do the overall? I think
7	you need your recommendation.
8	DR. BURSTIN: This is a little
9	unusual. I think the simplest thing is let's
10	rate the criteria. Then you could see the
11	criteria as you have rated them head to head,
12	and then make your assessment, I think, would
13	make the most sense.
14	So let's do the other one, same
15	game. Let me explain that, actually, because
16	the logic is we would only I'm sorry.
17	I think the issue is we would only
18	do the head to head comparison if, in fact,
19	both meet our criteria. So we need to
20	establish that first, and then we will come
21	back and do the assessment.
22	DR. WINKLER: Now we are going to

	Page 142
1	turn our attention Hawa, do you have the
2	table up? Great to the NCQA composite. If
3	you recall, it had the numerous process and
4	outcome measures, I believe, on that page.
5	All right, so important to measure
6	and report. This is a yes/no criterion. So
7	how many would say, Yes, it is important to
8	measure and report? A unanimous 22.
9	All right. Scientific
10	acceptability of the measure properties as
11	specified, and that includes the composite
12	methodology of the weighting and the points.
13	All right?
14	So how many feel it meets the
15	criterion for scientific acceptability
16	completely? Okay.
17	Partially? That is 17.
18	Minimally? Four.
19	Anybody else? Not at all? No?
20	Okay.
21	In terms of usability of this
22	measure What?

Γ

Page 143 MS. BOSSLEY: I think it is five 1 2 for partial, because we should have 22 voting. 3 I meant minimal. I'm sorry. DR. WINKLER: No, there is five --4 5 I was going to say, there were six, not even All right. Given we did so well with 6 five. 7 that one, let's try usability. 8 How many would say it meets the 9 usability criteria completely? Four. Partially? Fifteen. 10 11 And minimally? One, two, three. 12 MEMBER PINDOLIA: Can I change my 13 vote to a higher one? 14 DR. WINKLER: You were partial? 15 DR. BURSTIN: So you want to go to 16 Completely? MEMBER PINDOLIA: Yes. 17 18 DR. WINKLER: Okay. So you are 19 14. We can do that. Five-fourteen-three. 20 All right. 21 Feasibility criteria: Completely? 22 Eleven.

Page 144 Partially? 1 Eleven. 2 Minimally? Is there anybody still 3 on minimally? No? It should be everybody. 4 Right? Okay. All righty. 5 CO-CHAIR FLEISHER: Okay. Helen? 6 CO-CHAIR DUBOW: Heidi is doing 7 it. 8 CO-CHAIR FLEISHER: So next do you 9 suggest voting on each individually, Helen? CO-CHAIR DUBOW: If you give me 10 11 just a second, I will give you a summary of 12 what you said. 13 MS. PITZEN: Hello? 14 DR. BURSTIN: Sorry. We are just 15 compiling results. You haven't missed 16 anything. Just give us a moment. 17 DR. WINKLER: Okay. I have -- I 18 can tell you. So let me start first with the 19 all or none. It is not pretty. 20 CO-CHAIR FLEISHER: While they are 21 putting this up, I just would propose -- Does 22 anybody feel these are competing versus non-
		Page
1	competing measures? In particular, does	
2	anyone feel that they both could be endorsed,	
3	because they are non-competing? David, do you	
4	want to make a comment?	
5	MEMBER HOPKINS: I feel they are	
6	competing, and I feel that there is a property	
7	of the Minnesota measure that really hasn't	
8	been highlighted. It is, in fact, a patient-	
9	centered perspective measurement, and we have	
10	so few measures that are formulated this way.	
11	I just think it is really important for people	
12	to think about that.	
13	It is about the whole patient	
14	getting the care and with the results that are	
15	important to that individual. So I strongly	
16	favor that measure.	
17	CO-CHAIR FLEISHER: Iver?	
18	MEMBER HOPKINS: I think the flip	
19	side of that is I do find that in the other	
20	measure the need for weighting invites	
21	arbitrariness, and there just is no way to	
22	scientifically validate the weights.	

Page 146 MEMBER JUSTER: There is a 1 2 compelling case to be made for all or nothing 3 measures or 80 percent or more measures or 4 whatever you want to call them. I don't know 5 if there is space to say something about -- I 6 might be happier voting yes if this measure 7 was harmonized or it included the retinal exam 8 and screen for nephropathy. 9 So it is sort of a great idea "and" kind of thing. Is there space for that 10 11 sort of thing? 12 CO-CHAIR FLEISHER: Patchen? 13 MEMBER DELLINGER: Just in terms 14 of how we describe things, we are calling the Minnesota measure an all or none. It is all 15 16 or none for individual patient, but the 17 measure is the percent of patients who meet 18 the all or none criteria. 19 CO-CHAIR FLEISHER: Brian? 20 MEMBER FILLIPO: I also am in 21 strong support of all or none criteria. Ι 22 think there are patient centered, although I

	Page 147
1	think this specific collection of indicators
2	is just not granular enough, and not risk
3	adjusted. So I don't think that this
4	particular one is good.
5	CO-CHAIR FLEISHER: Do you make a
6	comment, Joyce?
7	CO-CHAIR DUBOW: I was just
8	repeating something I heard at the table and
9	that is whether, in fact, these are two
10	measures, because they set different levels of
11	achievement, the all or none measure as
12	opposed to one that is one of gradation and so
13	whether, in fact, these represent two
14	measures.
15	On the other hand, if the idea is
16	to represent to the public, for example, to a
17	patient what is good diabetes care, then we
18	have a different cut. But the issue is
19	whether we have the opportunity to make room
20	for both or whether we need to take a cut on
21	it.
22	CO-CHAIR FLEISHER: Patricia?

Page 148 1 MS. HAUGEN: Yes. I just wanted 2 to comment. If you look at usability, it is that is this understandable to the public, and 3 can it be used in decision making? I think 4 5 that speaks to the Minnesota measurement 6 where, although it is the percentage that 7 there is some clarity in this, I think one 8 issue of composite measures from a patient 9 perspective is how you really understand the intricacies of it, and can I make a decision 10 or does it inform me? I think this one has 11 some clarity to it that, from a patient 12 standpoint, would make it usable. 13 14 CO-CHAIR FLEISHER: Barbara? 15 MEMBER YAWN: But I am going to go 16 back to you say it is patient-centered. It is 17 patient-centered as long as you don't care 18 about their eyes or their kidneys. But 19 there's -- Well, outcomes are identifying 20 early eye disease, and being able to prevent 21 I think that is very significant. blindness. 22 So I am concerned about those lack. Ι

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understand the simplicity. 1 2 CO-CHAIR FLEISHER: So I would 3 actually ask Reva, for example, would we ever 4 endorse a measure but say we believe that there is -- from either a research or a gap in 5 6 care perspective, these two are not -- have 7 been ignored, and it should be included in 8 another measure to be developed over the next 9 year? Would that be reasonable? 10 DR. BURSTIN: There is only so much you can do in terms of conditions on a 11 12 measure. The measure that is before you, not conditions, but that would be essentially 13 14 rejecting and asking a different measure to come back. 15 16 You can potentially ask for 17 harmonization of the individual components, if 18 that is something you would feel more 19 comfortable with. That is something, I think, 20 within your purview, but I think adding 21 components to the composite couldn't be done 22 at this point.

	Page 150
1	I mean, for example, this issue of
2	the blood pressure control There are, you
3	know, the aspirin measure. I think there are
4	potentially conditions that could be placed on
5	it, if you feel it is important, and that is,
6	in fact, we do although I am not sure we
7	should, we do specifically have criteria for
8	composite measures, and harmonization of the
9	components is an important aspect. So just
10	another consideration.
11	MEMBER AMARASINGHAM: Can I ask a
12	quick question? When we vote on the all or
13	none measure then, will there be an option
14	about the condition with respect to the ACCORD
15	trial guidelines in harmonizing the blood
16	pressure?
17	DR. BURSTIN: Yes.
18	CO-CHAIR FLEISHER: So we will
19	include that in the vote, conditional pending
20	blood pressure, as one of the options. Then
21	secondly Okay, do you want to propose what
22	the condition would be, so that when we vote,

	Page 151
1	we know what the condition is?
2	MEMBER AMARASINGHAM: I am not
3	familiar with the specifications of the ACCORD
4	you know, what the results were from the
5	ACCORD trial with respect to this. So I guess
6	I would say that they should reflect the
7	results of the ACCORD trial, which I believe -
8	_
9	CO-CHAIR FLEISHER: It is the
10	interpretation.
11	MEMBER AMARASINGHAM: Right, but
12	at least a review of it.
13	DR. BURSTIN: Right, and I think
14	it would be reasonable for you potentially ask
15	that they respond back, for example, why the
16	blood pressure I mean, I think their
17	response we have heard on the telephone was
18	that it was one study. They had looked at the
19	full view of the evidence.
20	I think the concern is, as I
21	recall from the TAP, and I did just pull up
22	the study, is I think the issue was the fact

	Page 152
1	that there is possible harms associated with
2	a lower blood pressure, and that was the issue
3	they really homed in on. It wasn't just an
4	issue of the fact that it didn't significantly
5	reduce the bad outcomes from cardiovascular
6	disease, but that there were signals of
7	possible harm with intensive blood pressure
8	control, including a rate of serious adverse
9	events that were significantly higher in the
10	intensive therapy group compared to the
11	standard therapy group. That was the issue
12	they are really homing in on, was the safety
13	issue.
14	CO-CHAIR FLEISHER: So can we
15	actually say conditional and then reviewing
16	and responding to the TAP's concerns, and that
17	would be what I would propose as the
18	condition, so that they could either change it
19	approve it by either changing the blood
20	pressure or responding appropriately, and
21	there's multiple other levels in which this
22	needs to be approved. Okay?

Page 153 1 MEMBER PINDOLIA: I'm sorry. Ι 2 have been trying to read the results. I was 3 trying to figure out exactly how many people 4 are meeting these five, and out of their 5 119,000 patients submitted, 19 percent met all 6 five targets with a range of 45 percent to 7 below one percent. 8 So does that mean below one 9 percent physicians are considered to be not 10 practicing properly or is it they just have a 11 negatively selected patient population or in 12 an urban area or --13 MEMBER NEWCOMER: It simply means 14 they had a 99 percent opportunity for 15 improvement. That is all it means. 16 MEMBER YAWN: Not when they get 17 paid. 18 MEMBER PINDOLIA: Right. Not when 19 there is going to be incentives applied to it 20 and not when there is going to be -- just 21 because you have higher smokers, because you 22 don't have an opportunity to even have smoking

cessation. 1 2 DR. BURSTIN: The measure is 3 titled optimal care, just to recall that. Ιt 4 is inherently a different construction. Ι 5 just want to be clear. That is actually how 6 it is titled. 7 MEMBER HERMAN: And the developers 8 are on the phone, and they could tell you 9 that, when they have looked at it, it is not 10 stratified by one group or another. I mean, there are some clinics that should really be 11 12 doing a whole lot better, but are doing very, 13 very poorly. So there is not a bias within this that we can detect. 14 15 MEMBER PINDOLIA: That could be 16 used like to then have -- like how is that 17 going to be used? It is just a reporting or 18 there going to be actual them saying what does 19 your practice need or what is it missing? Is 20 there processes to help improve those? 21 CO-CHAIR FLEISHER: So I am going 22 to call the vote.

1	
	Page 155
1	MEMBER NEWCOMER: There has to be
2	a comment here. If we are still looking
3	between the two measures We are not then?
4	Okay. All right.
5	My comment: The difference
6	between the two measures
7	CO-CHAIR FLEISHER: Do you want to
8	go through the criteria, Reva?
9	DR. WINKLER: On the optimum care,
10	the ONM measure, you said it was important.
11	It partially meets the scientific
12	acceptability criteria, partially meets the
13	usability criteria, and completely meets the
14	feasibility criteria.
15	Compared to the NCQA composite of
16	the multiple measures: Yes, it is important,
17	partially meets the criteria presented for
18	acceptability, partially meets the criteria
19	for usability, and partially meets the
20	criteria for feasibility.
21	MEMBER YAWN: So the only variant
22	is really the feasibility.

	Page 156
1	CO-CHAIR FLEISHER: And the
2	scientific acceptability.
3	DR. BURSTIN: Can you go back?
4	MEMBER NEWCOMER: There is a key
5	difference in philosophy between these two
6	measures. The first measure, the five
7	aggregates strives toward as best performance
8	as you can possibly get. Ultimately, your
9	goal is to get as close to 100 as you can.
10	The other is about minimal
11	performance. It is more like meeting test
12	standards. If you get to your 15 percent, you
13	can move on to the next measure and improve
14	it, my point being, if you take a look from a
15	clinical standpoint, I think measure one says
16	we are going to try harder to get to total
17	perfection.
18	The second measure is more about
19	passing the test, and for that reason I would
20	favor the first measure.
21	CO-CHAIR FLEISHER: But realize,
22	you just actually advocated that you could

	Page 157
1	have both
2	MEMBER NEWCOMER: You certainly
3	could.
4	CO-CHAIR FLEISHER: I mean you
5	could actually endorse both measures of what
6	I am hearing, and actually say did you pass
7	the test, and did you have a perfect score,
8	which are two different criteria.
9	DR. BURSTIN: Just one other
10	point. Since they obviously are so close in
11	terms of your ratings, there is really not a
12	whole lot of light between them as you
13	actually look at the ratings of them.
14	You are still fairly early in this
15	process. So, again, there is also nothing
16	wrong with potentially putting them both out
17	for comment and allowing us to get what is
18	usually a very I assume we will get a
19	fairly robust response on this, the set of two
20	measures, is one potential idea.
21	CO-CHAIR DUBOW: I like that idea
22	a lot. I also think that it is possible that

		Page
1	these two measures appeal to two different	
2	interests: One, the patient who, obviously,	
3	wants optimal care and is going to be very,	
4	very interested. It is intuitively easier to	
5	understand whether you have got everything you	
6	should get, and in only one measure. The	
7	Minnesota Community measure absolutely does	
8	that.	
9	On the other hand, it seems to me	
10	that the NCQA measure does have some value	
11	from a clinical perspective, ticking off the	
12	items, because you are knowing whether you are	
13	getting past some predetermined threshold.	
14	So it feels to me as though there	
15	is the potential here for having our cake and	
16	eating it, too, in that there is one measure	
17	that really will resonate with patients, and	
18	a second one that may have some more salience	
19	for the clinical audience.	
20	CO-CHAIR FLEISHER: And that may	
21	get back to the question of what is your	
22	population in the clinic. If the population	

		Page 159
1	is highly motivated, then you may be able to	
2	achieve optimal care. If the population is	
3	much less motivated, then a measure that says	
4	you are doing certain things and can you	
5	actually pass a test, given a less motivated	
б	population? may be important.	
7	Last comment, and then we are	
8	going to vote.	
9	MEMBER HOPKINS: So Joyce's	
10	comment reminds me of our recent debate about	
11	the and the Board's debate about NQF	
12	expectations for use of measures, and I	
13	believe where it sits is public reporting	
14	what we call accountability and quality	
15	improvement, and the important word there is	
16	"and."	
17	So I personally favor the first	
18	measure for public reporting, and I do not	
19	favor the second, because it is too low a bar.	
20	CO-CHAIR FLEISHER: It requires	
21	the patient to be involved.	
22	MEMBER YAWN: I am still going to	

	Page 160
1	argue that optimal care doesn't mean the
2	process measures for some outcomes are ignored
3	while the process measures for others, like
4	Hemoglobin Alc which is a process it is an
5	intermediate outcome, just like having an eye
6	exam is an intermediate outcome. It is not
7	optimal care, in my opinion, but you have all
8	said you think it is.
9	CO-CHAIR FLEISHER: So we are
10	actually going to vote, I think, yes or no on
11	Minnesota, followed by yes without conditions,
12	yes with conditions that they respond to the
13	TAP regarding the blood pressure issue, no,
14	and then a second comment with regard to
15	potential gap in care unless we just rather we
16	would endorse that they evaluate in the future
17	including issues of retinopathy and
18	nephropathy. Would that be fair? Okay.
19	MEMBER HOPKINS: And the Minnesota
20	one has the condition that they look at the
21	blood pressures.
22	CO-CHAIR FLEISHER: That is a

		Page
1	minimum. That is the second. So the first	
2	one is the NCQA, which is a simply yes or no.	
3	I'm sorry. I made the mistake in the order.	
4	Yes, my fault.	
5	CO-CHAIR DUBOW: Oh, okay.	
6	CO-CHAIR FLEISHER: Okay. I was	
7	looking at that and remembered. So we	
8	discussed NCQA first. All those in favor of	
9	endorsing the NCQA measure?	
10	MEMBER NEWCOMER: We can do more	
11	than one?	
12	CO-CHAIR FLEISHER: Yes.	
13	CO-CHAIR DUBOW: We can. We can	
14	do more than one. Yes, we can. Sure.	
15	DR. BURSTIN: We can do more than	
16	one, because at this point all you are doing	
17	is approving it to move for the membership.	
18	CO-CHAIR DUBOW: What was that?	
19	DR. WINKLER: I got 13.	
20	CO-CHAIR FLEISHER: This NCQA is	
21	not with conditions.	
22	DR. WINKLER: Okay. Thirteen,	

	Page 162			
1	okay.			
2	CO-CHAIR FLEISHER: All those who			
3	vote No?			
4	DR. WINKLER: Eight.			
5	CO-CHAIR FLEISHER: Okay.			
6	DR. WINKLER: Are there any			
7	abstentions?			
8	MEMBER ROSEN: I just wondered if			
9	we could, for the NCQA one, have a condition			
10	that we get some sort of more empirical			
11	evidence as to the way they system has been			
12	used.			
13	CO-CHAIR FLEISHER: Is that a			
14	condition or just a comment, because I didn't			
15	hear any We voted Yes without conditions.			
16	It is a comment.			
17	MEMBER ROSEN: It is a comment.			
18	CO-CHAIR FLEISHER: Okay. We need			
19	to vote. For those who voted Yes, is it vote			
20	Yes without conditions well, that is what			
21	I heard. No?			
22	DR. WINKLER: I hadn't heard the			

	Page 163
1	conditions. The question, I think, Amy just
2	brought up was if your comment, you would
3	like to see more data, but does that mean
4	that, if they don't produce it, then you vote
5	no?
6	MEMBER ROSEN: I am concerned
7	about the weighting and how the criteria were
8	developed for the weighting. So if they can
9	produce more evidence on that, that
10	MEMBER NEWCOMER: We have already
11	been told there isn't any. So they aren't
12	going to be able to do it.
13	MEMBER ROSEN: It is just clinical
14	judgment that something is a 20 versus a 10.
15	Expert opinion, and in my mind that is not
16	good enough. So, okay.
17	CO-CHAIR FLEISHER: Well, you
18	voted Yes or No?
19	MEMBER ROSEN: I voted No.
20	CO-CHAIR FLEISHER: You voted No.
21	Okay. So then we can just give that comment.
22	Okay. Next is Minnesota. So now

	Page 164
1	Oh, are there any abstentions?
2	DR. WINKLER: There would have to
3	be. We need 22.
4	DR. BURSTIN: Were there any
5	abstentions on that vote?
6	DR. WINKLER: Oh, Barbara is out.
7	CO-CHAIR FLEISHER: So we will get
8	it when she comes back.
9	Okay. Minnesota: So we have Yes
10	without any conditions. Remember there is the
11	issue of the ACCORD trial. So anybody vote
12	Yes without any conditions?
13	DR. WINKLER: One, two. Two.
14	CO-CHAIR FLEISHER: Yes with the
15	conditions that they evaluate the ACCORD trial
16	and respond regarding the appropriateness of
17	their blood pressure criteria?
18	DR. WINKLER: Fourteen.
19	CO-CHAIR FLEISHER: No?
20	DR. WINKLER: Four. Any
21	abstentions? No? And Vanita. One.
22	CO-CHAIR FLEISHER: Okay.

Page 165 MEMBER YAWN: And also Barbara. 1 2 She is not here. 3 CO-CHAIR FLEISHER: And a simple -- Do we need a Yes or No that we would like 4 5 them to look at nephropathy or retinopathy or 6 are people willing -- So we don't vote on 7 that. We just suggest? 8 Is it the will of the committee 9 that they look at that for any future measure? 10 Okay. Thank you. 11 DR. BURSTIN: Could you go over 12 the final votes, Reva? The final votes were 13 DR. WINKLER: 14 Yes for the measure as is; with two Yes with 15 the condition that they respond to the blood 16 pressure, the ACCORD trial, and come back to this committee. That was 14. Noes were four. 17 18 I think what is going to happen is, when they come back, we will probably have 19 20 to re-vote it. 21 I think there is time for us to 22 get that back so that it isn't left unresolved

	Page 166
1	and hanging. I think the better we can get it
2	resolved, it would be useful.
3	CO-CHAIR DUBOW: All right. I
4	think we are done with the diabetes measures.
5	Is that right?
6	Okay, the first one is always
7	harder. That was very difficult. Now we have
8	to make a decision. I can't imagine that some
9	people don't want to take a five-minute break,
10	but it is also close to lunch. So maybe we
11	can combine Okay, we are checking on lunch.
12	I was going to suggest that we take a quick
13	break, bring our food back and It is not
14	there? Okay. So it will be at one. Well,
15	can everybody manage 20 minutes? No?
16	CO-CHAIR FLEISHER: So why don't
17	we just have Reva actually go over the
18	measure?
19	CO-CHAIR DUBOW: Yes. Well, what
20	we have been asked to do is to We are back
21	to talk about the cross-cutting measures, and
22	we were going to hit the BTE measure first, if

	Page 167
1	that is okay. But if you want to introduce
2	all of the cross-cutting measures, Reva, that
3	is what we should try for. But we will start
4	with that one.
5	Okay. So we are about to start
6	our discussion on the cross-cutting measures.
7	We will stop at one, and next time build in a
8	little bit of a break.
9	DR. WINKLER: So we are going to
10	do this one first. The first one we will be
11	looking at is a measure that is the proportion
12	of patients with a chronic condition, and they
13	are listed in there, multiple chronic
14	conditions, that have a potentially avoidable
15	complication during an entire calendar year.
16	I think it is important I hope
17	you have had a chance to kind of read the Word
18	document that came along with the measures
19	talking about the background of the
20	development of these measures as part of the
21	Prometheus Project.
22	The potentially avoidable

Page 1681complications is a concept that these are2being built around. There are numerous3measures we are going to see three others -4- around more shorter term acute events, but5this is the cross-cutting for chronic6conditions, looking at avoidable7complications.8Some of them include readmission.9Some of them include types of care required10for things like DVTs and sort of other kind of11complication type measures, as well as12utilization type things such as readmission or13ED visit or all of these sorts of things.14So that is the measure, and I15guess having read that background piece will16help better understand the Bridges to17Excellence folks' approach and, as I said,18this is all part of the Prometheus payment19system. So that is the first one.20The second one is the Medicare21Health Outcomes Survey, the physical22components summary score. This is The		
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 this is the cross-cutting for chronic conditions, looking at avoidable complications. Some of them include readmission. Some of them include types of care required for things like DVTs and sort of other kind of complication type measures, as well as utilization type things such as readmission or ED visit or all of these sorts of things. So that is the measure, and I guess having read that background piece will help better understand the Bridges to Excellence folks' approach and, as I said, this is all part of the Prometheus payment system. So that is the first one. The second one is the Medicare Health Outcomes Survey, the physical 	3	measures we are going to see three others -
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7complications.8Some of them include readmission.9Some of them include types of care required10for things like DVTs and sort of other kind of11complication type measures, as well as12utilization type things such as readmission or13ED visit or all of these sorts of things.14So that is the measure, and I15guess having read that background piece will16help better understand the Bridges to17Excellence folks' approach and, as I said,18this is all part of the Prometheus payment19system. So that is the first one.20The second one is the Medicare21Health Outcomes Survey, the physical	5	this is the cross-cutting for chronic
8 Some of them include readmission. 9 Some of them include types of care required 10 for things like DVTs and sort of other kind of 11 complication type measures, as well as 12 utilization type things such as readmission or 13 ED visit or all of these sorts of things. 14 So that is the measure, and I 15 guess having read that background piece will 16 help better understand the Bridges to 17 Excellence folks' approach and, as I said, 18 this is all part of the Prometheus payment 19 system. So that is the first one. 20 The second one is the Medicare 21 Health Outcomes Survey, the physical	б	conditions, looking at avoidable
9Some of them include types of care required10for things like DVTs and sort of other kind of11complication type measures, as well as12utilization type things such as readmission or13ED visit or all of these sorts of things.14So that is the measure, and I15guess having read that background piece will16help better understand the Bridges to17Excellence folks' approach and, as I said,18this is all part of the Prometheus payment19system. So that is the first one.20The second one is the Medicare21Health Outcomes Survey, the physical	7	complications.
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11 complication type measures, as well as 12 utilization type things such as readmission or 13 ED visit or all of these sorts of things. 14 So that is the measure, and I 15 guess having read that background piece will 16 help better understand the Bridges to 17 Excellence folks' approach and, as I said, 18 this is all part of the Prometheus payment 19 system. So that is the first one. 20 The second one is the Medicare 21 Health Outcomes Survey, the physical	9	Some of them include types of care required
 12 utilization type things such as readmission or 13 ED visit or all of these sorts of things. 14 So that is the measure, and I 15 guess having read that background piece will 16 help better understand the Bridges to 17 Excellence folks' approach and, as I said, 18 this is all part of the Prometheus payment 19 system. So that is the first one. 20 The second one is the Medicare 21 Health Outcomes Survey, the physical 	10	for things like DVTs and sort of other kind of
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15 guess having read that background piece will help better understand the Bridges to 17 Excellence folks' approach and, as I said, 18 this is all part of the Prometheus payment 19 system. So that is the first one. 20 The second one is the Medicare 21 Health Outcomes Survey, the physical	13	ED visit or all of these sorts of things.
 help better understand the Bridges to Excellence folks' approach and, as I said, this is all part of the Prometheus payment system. So that is the first one. The second one is the Medicare Health Outcomes Survey, the physical 	14	So that is the measure, and I
17 Excellence folks' approach and, as I said, 18 this is all part of the Prometheus payment 19 system. So that is the first one. 20 The second one is the Medicare 21 Health Outcomes Survey, the physical	15	guess having read that background piece will
18 this is all part of the Prometheus payment 19 system. So that is the first one. 20 The second one is the Medicare 21 Health Outcomes Survey, the physical	16	help better understand the Bridges to
<pre>19 system. So that is the first one. 20 The second one is the Medicare 21 Health Outcomes Survey, the physical</pre>	17	Excellence folks' approach and, as I said,
20 The second one is the Medicare 21 Health Outcomes Survey, the physical	18	this is all part of the Prometheus payment
21 Health Outcomes Survey, the physical	19	system. So that is the first one.
	20	The second one is the Medicare
22 components summary score. This is The	21	Health Outcomes Survey, the physical
	22	components summary score. This is The

Page 169 measure steward is NCOA. This is a patient 1 2 survey tool. It is in use in the Medicare population. It is based on the SF-36 -- I 3 think the A version -- but this is a measure 4 5 that has been around a long time. 6 What we gave you, in addition to 7 the submission were the links to their very 8 extensive website that has the tool and the current results and a lot of the research that 9 has been done around it, those sorts of 10 11 supporting documents. 12 The third one is another measure from NCOA, the care for older adults. 13 This is 14 a three-part measure that includes results for advanced care planning, functional status 15 16 assessment, and pain screening. But these are 17 three parts with each one reported as an individual measure and with individual 18 19 results. 20 So those are the three cost-21 cutting measures we are going to be looking at 22 on the next go-round.

Page 170 CO-CHAIR DUBOW: Okay. We have --1 2 We are going to do the BTE measure first. So, Francois, will you join us at the table? 3 We 4 have the developer with us, and if you would 5 like to make some opening remarks, that would 6 be just fine. 7 This is the 2209 MEMBER NEWCOMER: 8 measure? 9 CO-CHAIR DUBOW: Sorry. This is 10 the -- Right. 11 MR. DeBRANTES: Well, yes, thank 12 you. I don't know if, Reva, there was an 13 opportunity to send out the PowerPoint slides. 14 DR. BURSTIN: It is on the PDF. 15 CO-CHAIR DUBOW: So let's just 16 find it. Do we have it? Just bear with us for a minute. No? 17 18 CO-CHAIR FLEISHER: I don't see 19 it. 20 CO-CHAIR DUBOW: Well, she will 21 pull it up. 22 MR. DeBRANTES: All right. Thank

	Page 171
1	you, and I apologize for those not having been
2	sent, I guess, on time.
3	What I wanted to do is to
4	summarize a little bit what our approach has
5	been in devising this comprehensive
6	complication of care measure and, obviously,
7	be in a position to answer questions that any
8	members of the Steering Committee might have.
9	As Helen mentioned, there are
10	others like this coming up a little later. So
11	they are all constructed essentially the same
12	way, although this one measure is around
13	chronic conditions, in particular. The other
14	ones are around acute medical conditions.
15	We have been through four TAPs. I
16	would say that the grade we have gotten from
17	the four TAPs are highly variable as, by the
18	way, are potentially avoidable complication
19	rates. So I think it is well consistent and
20	probably speaks to, I think, both the
21	definitions around the measures and the
22	challenge that they represent for most

physicians. 1 2 Just a couple of words on where this all came from which, as Helen mentioned, 3 4 is a by-product of our work around creating 5 episodic care payment, and in doing so, part 6 of our charge has been to determine the extent 7 to which we can identify care that is 8 appropriate, right for patients, versus things 9 that might happen in a patient's life that are caused by what we have come to call 10 11 potentially avoidable complications. 12 What is important in these definitions and in this measure is that we 13 14 think about this as truly a patient centric measurement. So this isn't about an 15 16 individual physician or an individual 17 hospital. This is about a patient. 18 In some instances, that patient 19 might have asthma but with comorbid 20 conditions, or that patient might have 21 diabetes with comorbid conditions. 22 We don't try to parse out the

Page 173 diabetes from the asthma, from the COPD, 1 more 2 than to think about the patient as a whole, and to determine the extent to which the 3 4 patient has had one or more avoidable 5 complications during the course of their 6 episode, which we define in the case of 7 chronic conditions as being one year. 8 So I think one of the -- bringing 9 back some of the comments that we got from the first TAP we went through, which I think was 10 11 pulmonology, there was a significant pushback 12 that the measure wasn't tightly linked to COPD That is because we don't think 13 or asthma. 14 about this from a provider centric 15 perspective. 16 We think about this from a patient 17 centric perspective, and a patient with COPD often has comorbid conditions. So the extent 18 to which they are hospitalized for one of 19 20 their comorbid conditions as opposed to their 21 core condition, we consider that a potentially 22 avoidable complication, because what we are

	Page 174
1	trying to do is to create a measure that looks
2	at and evaluates the system of care around the
3	patient and creates accountability for the
4	system, not necessarily individuals but anyone
5	within the system, and creates co-
6	responsibilities for all the providers that
7	manage and co-manage, whether they do it
8	consciously or unconsciously, or don't do it,
9	but are supposed to be co-managing the
10	patients.
11	So the potentially avoidable
12	complications are essentially divided into
13	three parts, and maybe we will skip to that
14	section so that folks can understand it.
15	Well, let me just quickly go
16	through this. So we have gotten lots of help,
17	actually and this is just a few examples;
18	there is a larger summary in the Word document
19	that was sent out.
20	We have had a fair amount of
21	support from AHRQ, CMS, HC Health Partners,
22	other organizations, ACC-related physicians,

	Page 175
1	in really doing and looking at this issue of
2	what can you consider to be typical care
3	versus care associated to potentially
4	avoidable complication, and all this help from
5	physicians across the country has been baked
6	into these definitions. Next slide.
7	So the six chronic conditions that
8	we have studied are the six that are listed
9	here: Diabetes, coronary artery disease,
10	congestive heart failure, COPD, diabetes, and
11	hypertension.
12	Importantly, this measure, as you
13	have looked at the definition, is really for
14	patients below the age of 65. So I want to
15	make sure that that is clear. We are not
16	including patients above the age of 65, which
17	often happen to have multiple, multiple
18	chronic conditions, mostly because we haven't
19	studied patients above the age of 65.
20	We study patients below the age of
21	65, and so that is what this measure is
22	intended to look at, is accountability in the

1	
	Page
1	management of patients under the age of 65 in
2	commercial populations. Next slide.
3	So here is the way an episode of
4	care is defined. The reason why I bring this
5	up is because we count avoidable complications
6	during the period of time that is defined
7	around this episode. In this instance, it
8	happens to be one year.
9	So a chronic care episode is
10	looked at as being a one-year episode. We
11	look at it usually in a calendrical fashion so
12	that it is tied to the benefit year. It is
13	tied to contracts. It is tied to a whole
14	bunch of other things.
15	The claims that are analyzed as
16	part of any effort around measurement using
17	claims are really distinguished between two
18	types of claims, professional services, labs
19	and other ancillary services and drugs
20	outpatient based claims, if you will versus
21	inpatient based claims.
22	So these come in two different

	Page 177
1	streams, and we accumulate them together. As
2	we look at an episode of care during the
3	course of a year, we classify events,
4	services, as either being typical so those
5	are the ones that are illustrated on this
б	chart as blue versus potentially avoidable,
7	and we will get into the definitions of what
8	we consider to be potentially avoidable.
9	I emphasize potentially, because
10	we don't pretend that any of these are
11	completely avoidable, but potentially
12	avoidable and should, therefore, be worked on.
13	Inpatient stays and ED visits, in
14	our definitions, are mostly potentially
15	avoidable complications for these patients
16	with chronic conditions. Next slide.
17	So the way you arrive at the
18	measure and the measure definitions and the
19	accounting around the measure is really using
20	claims data. So all claims data come into
21	this is just an illustration of a funnel.
22	Some get excluded. Why? Because they are not

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1	at all relevant to that patient's condition,
2	and cancer is an example. Then the balance
3	get sorted between typical services versus
4	potentially avoidable complications or
5	services associated to potentially avoidable
6	complications.
7	The measure that we are proposing
8	here, and with the three that will follow, is
9	counting these events, so counting events that
10	are associated to avoidable complications.
11	Next slide.
12	So just to give you a sense of the
13	size of the database, the sample sizes of the
14	patient cohorts that we have analyzed through
15	our effort, very large amounts of patients.
16	So four million in total, 172,000 patients
17	with diabetes. We do not suffer in our
18	analysis from small sample size problems. We
19	have very adequate sample sizes to do severity
20	adjustments and other analyses.
21	MEMBER YAWN: Lower age limit?
22	MR. DeBRANTES: Excuse me?

Page 179 Lower age limit? 1 MEMBER YAWN: 2 MR. DeBRANTES: Lower age limit 3 depends on the condition. For the most part, 4 it is 18, except for pediatric asthma. 5 MEMBER YAWN: Well, I assume that 6 will go down to two or three. 7 MR. DeBRANTES: So in a snapshot, 8 you can see that, out of the total 650 or so 9 patients with these chronic conditions, about 72 percent during the course of a year had one 10 or more potentially avoidable complications. 11 12 Again, these can be associated to either the core condition, comorbid conditions or patient 13 14 safety issues, and I will get into that. 15 There is a huge amount of regional 16 variation, as you can imagine, for rates of 17 potentially avoidable complications by 18 condition. This is just a snapshot. We 19 ranked all of the states into deciles, and 20 then we ranked the states by decile, so that 21 you have a decile distribution here with the 22 min, the max, and kind of the average.

		Page 180
1	I think what is important is that	
2	and we see this not just in these chronic	
3	conditions but also in the acute medical	
4	hospitalizations is that there is more	
5	distribution, I would say or there is a	
6	wider variation on the top end of the decile	
7	distribution than there is on the lower end,	
8	again not surprising, but it does tell us, I	
9	think, clearly, in our analyses that a	
10	significant percentage of these avoidable	
11	complications can, in fact, be avoided;	
12	because you have The variation is not	
13	explainable by severity of patients.	
14	So adjusting for the severity of	
15	patients, you have these significant	
16	variations in rates of hospitalizations and	
17	emergency department visits by patients.	
18	When we actually look at the	
19	distribution of these patients across the	
20	country, unsurprisingly and related to your	
21	prior discussion, patients in Minnesota on	
22	average have far fewer rates of potentially	
	Page 181	
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1	avoidable complications than patients in, say,	
2	Arkansas, and I am not passing judgment on one	
3	versus the other more than I do think that	
4	there is a relationship between low rates of	
5	potentially avoidable complications and good	
6	systems of care. Next slide.	
7	So rates of potentially avoidable	
8	complications fall into three categories.	
9	Type 1 are avoidable complications that are	
10	associated to the patient's core condition; so	
11	diabetes, for example.	
12	The second would be related to	
13	comorbid conditions. So a patient with	
14	diabetes also has asthma. If they are	
15	hospitalized for their asthma, we will	
16	consider that a potentially avoidable	
17	complication.	
18	The third one are avoidable	
19	complications that are associated to patient	
20	safety issues, and an adverse drug event is a	
21	classic example of such a potentially	
22	avoidable complication.	

		Page	182
1	When we look at the distribution		
2	of those types of avoidable complications by		
3	disease category, the vast majority of		
4	avoidable complications come from Type 2,		
5	which is comorbidities and patient safety		
6	failure. Far fewer come from the core		
7	condition.		
8	I think this speaks to the		
9	critical importance of this measure and its		
10	contribution to the field of accountability,		
11	because what we see is that, when you are		
12	looking at the tight scope of a measure,		
13	asthma or COPD, and you are trying to		
14	determine the extent to which that asthma or		
15	that COPD is being controlled appropriately,		
16	we find that on average, yes, although there		
17	are avoidable complications. But what seems		
18	to be forgotten are the comorbid conditions of		
19	the patient, and that is what is driving a lot		
20	of these avoidable complications.		
21	So if we make this move from a		
22	solid measurement of individual physicians to		

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1	as more systems measurement of surrounding the
2	patient and understanding whether or not the
3	system is, in fact, working to the benefit of
4	the patient, we have to look far broader than
5	just the tight Type 1 potentially avoidable
6	complication.
7	Some further scopes again and
8	these now start relating back to dollars,
9	because we do look at dollars quite
10	extensively in our work. So that both the
11	volumes or the frequency of avoidable
12	complications, but also the costs associated
13	to these avoidable complications are highly
14	skewed toward PACs of Type 2, so associated to
15	comorbid conditions with 255 out of \$400
16	million. So lots and lots of dollars being
17	spent in this population on avoidable
18	complications. Next slide.
19	This is just somewhat of a top 10,
20	if you will or close, of top drivers of
21	potentially avoidable complications for each
22	one of these chronic conditions.

	Page 184
1	Emergency room visits, as you can
2	see, are a significant driver, but then again
3	the acute flare-ups of the core condition,
4	UTIs, cardiac dysrhythmias, pneumonia, lung
5	complications.
6	So these are the things that most
7	of you who practice know that, when patients
8	hit the hospital at the emergency department,
9	this is what they present with. This is what
10	we see as avoidable complications.
11	The reality is that, if the
12	patients are fundamentally managed as a
13	whole, I do think that we can legitimately say
14	these numbers should go down, and without
15	holding the system accountable for them, then
16	it ain't going to happen.
17	We do have relatively robust
18	severity adjustment built into each one of
19	these measures, and this is just an
20	illustration of how that severity adjustment
21	works.
22	So if you've got the population

	Page 185
1	severity index that varies, that is going to
2	have an impact on their rate of potentially
3	avoidable complications, and you can
4	recalculate the rates of potentially avoidable
5	complications severity adjusted to the patient
6	population, so that when you are using this
7	for comparative performance purposes, it is
8	fully severity adjusted.
9	MEMBER TURNER: Which measure is
10	it?
11	MR. DeBRANTES: Excuse me?
12	MEMBER TURNER: Which measure are
13	you using the severity level?
14	MR. DeBRANTES: The severity
15	adjustment is based on a Excuse me? Well,
16	we used some of it, but it is really a
17	relatively standardized linear multi-variable
18	linear regression model.
19	DR. RASTOGI: So we created the
20	risk adjusted models for each of the six
21	conditions, and then calculated for every
22	patient their severity score, and then for the

Page 186 whole population you can calculate a severity 1 2 index. 3 So then you compare one health 4 plan to the other health plan or one employee 5 base to the other employee base, whatever is 6 their population. Their constituent base, we 7 will call it as one, and then each other 8 population we can look at the sum total of the 9 severity scores of individual patients with that condition. 10 So it is all based on a linear 11 12 regression model like Francois was mentioning. 13 MEMBER TURNER: So I am just 14 trying to figure out what the inputs are into 15 the severity model. 16 MR. DeBRANTES: Well, resource use 17 and cost of care as an initial input. 18 DR. RASTOGI: Yes. So diagnosis 19 scores, pharmacy goes into it. Procedures go 20 into it. Quite a bit of the services that are 21 there, they all go into the severity 22 adjustment, and it is all part of the measure

		Page 187
1	development.	
2	We have included all the variable	
3	lists in the measure submission.	
4	CO-CHAIR FLEISHER: Amita, would	
5	you introduce yourself, please?	
6	DR. RASTOGI: Yes. I am Dr.	
7	Amita Rastogi. I am Medical Director with	
8	BTE, work with Francois.	
9	MEMBER HOPKINS: Can somebody	
10	explain why the risk adjustment didn't work	
11	inversely on this slide? If coefficient B is	
12	higher severity am I interpreting that	
13	right? then why wouldn't you downward	
14	adjust their PAC rate?	
15	DR. RASTOGI: If it is higher	
16	severity?	
17	MR. DeBRANTES: No, it is the	
18	opposite. It is lower severity. So the index	
19	work in the opposite way, but yes.	
20	DR. RASTOGI: No, that is exactly	
21	right.	
22	MR. DeBRANTES: This is actual	

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Page 188 calculated severity index. 1 2 MEMBER HOPKINS: So lower index is higher severity. 3 4 MR. DeBRANTES: Right. You can do 5 it either way. MEMBER TURNER: Has this been 6 7 published anywhere? 8 MR. DeBRANTES: Yes. Actually, we 9 have published it in a couple of journals, and 10 we have one new paper that is in review now with HCR. 11 CO-CHAIR FLEISHER: Did you do any 12 13 head to head comparisons of this severity 14 index model against some of the other more established ones? 15 16 MR. DeBRANTES: Well, we are doing 17 it now, and Rand is actually conducting that 18 study right now. 19 CO-CHAIR DUBOW: Okay. We are 20 going to have a discussion of the measure 21 itself as soon as we grab lunch. We are going 22 to hear from Sean, because Sean is going to

	Page 189
1	give us his Is that okay, Sean? We are
2	going to get lunch. Don't go away. You can
3	get lunch, but don't leave. Don't leave.
4	So is 20 minutes adequate? Can we
5	manage? We can eat in here. We are going
б	to eat in here. Okay. Thank you.
7	(Whereupon, the foregoing matter
8	went off the record at 1:05 p.m.)
9	
10	
11	
12	
13	
14	
15	
16	
17	
18	
19	

	Page 190
1	A-F-T-E-R-N-O-O-N S-E-S-S-I-O-N
2	1:27 p.m.
3	CO-CHAIR DUBOW: So the first
4	thing I want to tell you is that we need a
5	count. There is a dinner that has been
6	arranged for here in the hotel at 6:15, and
7	the van will pick you up, those of you who are
8	staying at the hotel, at 7:30.
9	Could we have a count of those
10	people who are going to be at the dinner, so
11	we can make arrangements? Lee, this is a
12	complete meal. Okay.
13	Do we know about tomorrow yet?
14	MS. BOSSLEY: No.
15	CO-CHAIR DUBOW: Okay. So plans
16	for starting tomorrow are still not final. We
17	are going to start at some point tomorrow, the
18	earlier, the better, but we just don't know
19	when.
20	Now we want to begin our
21	discussion about this measure. So Sean is
22	still having his conversation with Amita. We

	Page 191
1	are going to start with that, and we are also
2	going to have We have a screen shot of the
3	vote of the various Work Groups, Reva?
4	CO-CHAIR FLEISHER: It is on here.
5	CO-CHAIR FLEISHER: We have them.
6	Okay. So let Sean give us his take on the
7	methodology first. Okay?
8	DR. O'BRIEN: I was just trying to
9	figure out what my take is, based on talking
10	to Amita who was filling me in on very useful
11	information.
12	I basically reviewed the measure
13	based on what was provided in the measure
14	submission, and then poking around in the web
15	a lot and found an extensive amount of
16	research looking at all kinds of aspects of
17	the validity and reliability and the science
18	underlying the method.
19	Most of the work that is there is
20	dealing with modeling of the evidence in the
21	form of case rates and estimation of cost. So
22	I think my impression is that, although the

	Page 192
1	modeling is extensive, the modeling is
2	focusing on cost rather than the frequency of
3	PACs per se.
4	So that, to the extent that the
5	models exist, they may have been optimized for
6	one endpoint, and they are being applied to a
7	different endpoint. So there would be a
8	question of to what extent would results get
9	there if you had a custom model specific to
10	the endpoint of PACs, the binary yes/no
11	occurrence, rather than the cost of an
12	episode.
13	So I guess I will just continue,
14	because I definitely have questions.
15	Typically, when you are adjusting for an event
16	rate, you want to adjust for factors that were
17	present at the beginning you know,
18	intrinsic risks to the patient that are
19	present at the beginning of the care episode,
20	and not adjust for factors that are influenced
21	by the care providers that you are assessing.
22	My impression of the documentation

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is that there are some variables in there that
are actually things that are going on during
the course of that episode. At least, that is
a point that could be clarified. I may have
been reading that incorrectly.

6 I quess it seemed like a dramatic 7 amount of variation between the states, which 8 is good news. I just would want to be careful 9 to understand how the units that are being measured are entering their data, and could 10 there be variations in the data collections, 11 12 the number of diagnoses that are being filled in or present upon admission, indicators, 13 14 anything like that that might be an alternative explanation for such very wide 15 16 variation. The mechanics of the severity 17 18 adjustment: In my review I wrote that I 19 wasn't clear that there was a severity

20 adjustment for that PAC rate, that basically 21 they are going to -- PAC rates are calculated 22 as not relative values.

Page 194 They say in their documentation, 1 2 for example, a health plan would report that 3 60 percent of its plan members with a given chronic condition incurred PACs in the study 4 5 time window. So they are just reporting absolute percentage like that. That has not 6 7 been -- It doesn't sound like it is adjusting 8 for the severity. 9 CO-CHAIR FLEISHER: Sean, we just 10 heard they did. So is there --DR. O'BRIEN: Well, so that is 11 what I was trying to work through. To the 12 13 extent that it is adjusted, I am not quite 14 clear on the mechanics. So I can't really 15 comment on that. 16 CO-CHAIR FLEISHER: But just 17 before you finish that point, Francois, yes or 18 no with regard to risk adjustment? 19 MR. DeBRANTES: Yes. So the 20 severity adjustment model is done using 21 dollars, absolutely, and it turns out a 22 severity index, both at the individual patient

	Page 195
1	level and then rolls it up at the population
2	level, which at that point allows you to
3	determine the relative severity, for example,
4	of a diabetic population versus any of the
5	other chronic conditions.
6	You can look at the severity of
7	sub-cohorts within the total population
8	studied and determine the differences in the
9	severity index and adjust the PAC rate for
10	that. And we are, in fact, doing that, for
11	example, with some payers in New Jersey and in
12	other parts of the country.
13	The variation that exists in our
14	developmental database has been replicated
15	when we studied other databases, although
16	again we see striking differences in rates of
17	potentially avoidable complication from system
18	to system and plan to plan, using the exact
19	same methodology; and it is not, at least for
20	us, explainable by We haven't been able to
21	explain that from differences in
22	documentation.

Page 196 If it is there, it is likely to be 1 2 standard noise across more than specifically 3 focused in one location versus another, but That is a limitation of any claims 4 unknown. 5 dataset. You are going to have some amount of noise in it, and that is what we exclusively 6 7 use for this measure, is claims data. 8 DR. O'BRIEN: I quess -- The 9 mechanics of the severity adjustment aren't 10 clear to me. I think what I maybe understand 11 is that you -- Basically, each patient, you are going to have some weighted average of the 12 13 risk factors that are present --14 That is right. MR. DeBRANTES: 15 DR. O'BRIEN: For each factor that 16 is present, you add on a certain amount and 17 calculate that patient's severity score, and 18 now you can, for a population, calculate the average severity score of that population or 19 20 you can calculate the sum of the severity 21 scores, and you can concur, okay, relative to 22 some maybe benchmark population or rough edge

	Page 197	
1	population, is this hospital, is this plan	
2	more severe or their case mix more severe	
3	compared to the benchmark population or less	
4	severe. You can quantify that by the ratio.	
5	This cohort that we are interested	
б	in measuring has an average severity of 2	
7	compared to the benchmark as one. So they are	
8	twice as severe as the benchmark.	
9	Now how you take that result now	
10	and you see that this population that you are	
11	measuring has a PAC rate of 70 percent	
12	their severity is double the benchmark	
13	population. Now how do you take that 70	
14	percent PAC rate and adjust it for the fact	
15	that their predicted their average severity	
16	score was twofold compared to the national	
17	population? To me, that it is not clear.	
18	DR. RASTOGI: You just	
19	MR. DeBRANTES: You use the	
20	factor. Use the factor, and you apply the	
21	severity factor to the PAC percentage.	
22	CO-CHAIR FLEISHER: Are we taking	

	Page 198
1	Do we want to take questions right now or
2	do you want to continue your critique?
3	MEMBER TURNER: So can we clarify
4	just a touch more. So what goes into this is
5	just dollars, though? The severity adjustment
б	is just dollars?
7	MR. DeBRANTES: Is all kinds, yes.
8	MEMBER TURNER: Okay. And so if
9	somebody for some reason has a physician who
10	does lots of testing on them and does lots of
11	MRIs, they are going to have more dollars.
12	MR. DeBRANTES: That has nothing
13	to do with it.
14	MEMBER TURNER: Okay. So what
15	dollars do you look at?
16	MR. DeBRANTES: Because it is not
17	about the total dollars consumed by a patient.
18	It is about their risk factors, and their risk
19	factors are the types of comorbidities they
20	might have based on, for example, the drug
21	regimen or the types of office visits they
22	have had.

	Page 199
1	So it is about risk factors of
2	patients, not about
3	MEMBER TURNER: But it is not
4	disease diagnosis based. It is dollars
5	attached to disease? I am still trying to get
6	it straight.
7	MR. DeBRANTES: It is code based.
8	I mean, I guess all that is relatively I
9	guess, maybe not relatively well explained in
10	the document, but it is For every patient
11	population, you've got specific code sets that
12	define the risk factors, and there is a
13	comprehensive list of all the risk factors.
14	MEMBER TURNER: Okay. Diabetes
15	and hypertension.
16	MR. DeBRANTES: That is correct.
17	MEMBER TURNER: Okay, I am getting
18	it.
19	MR. DeBRANTES: So if you go
20	through the spreadsheets, you've got a
21	comprehensive list of all the risk factors for
22	each population, and those risk factors are

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		Pa
1	calculated population by population.	
2	So based on the relative profile	
3	of a given population, you are going to have	
4	a risk profile for that population and the	
5	individuals within that population, which is	
6	going to give you your severity index.	
7	MEMBER TURNER: I missed the	
8	dollars part. That's the thing.	
9	MEMBER ROSEN: So I understand	
10	what you are saying, are you saying that we	
11	then have this risk profile, and you look at	
12	the PACs, P-A-Cs that the patient has, and	
13	they will determine what the cost will be?	
14	MR. DeBRANTES: Well, we certainly	
15	look at cost, but the measure as presented is	
16	counting the total number of potentially	
17	avoidable complications, not the dollars	
18	associated to them.	
19	MEMBER ROSEN: Right.	
20	CO-CHAIR FLEISHER: I guess where	
21	people are getting confused is we all many	
22	of us are used to an Alex Hauser or Charleson	

	Page 201
1	or some sense of comorbidities. Are you using
2	that or not? Is it just a simple question
3	that can be
4	MR. DeBRANTES: No.
5	DR. RASTOGI: The comorbidity
б	index like Charleson index is approximately
7	similar.
8	CO-CHAIR FLEISHER: Right.
9	DR. RASTOGI: Because these are
10	Quite a few are outpatient, and we saw only
11	six percent of the PACs was anything to do
12	with hospital. Ninety-two percent-plus was on
13	outpatient care. So we couldn't do
14	Charleson's index.
15	MEMBER TURNER: I mean, there's
16	tons of outpatient
17	MR. DeBRANTES: Yes. So I am
18	going to go back to our not-for-profit
19	organization was primarily funded for the
20	development of a program, and we decided
21	specifically not to use any commercial
22	application as a matter of policy, so that we

Page 202 could put our work in the public domain at no 1 2 cost. 3 CO-CHAIR DUBOW: Barbara. 4 MEMBER YAWN: Is there a clear 5 distinction between a PAC and something you 6 use for risk adjustment, because where I am 7 concerned is could PACs be calculated in the 8 risk adjustment and then for -- of course, you 9 would expect them to add more PACs? 10 MR. DeBRANTES: That is an excellent question, and I think it is what 11 12 distinguishes this approach from all the current episode approaches, is that we do not 13 14 specifically exclude all potentially avoidable 15 complications prior to looking at risk 16 factors. 17 So risk factors are purely 18 designed and evaluated on the typical services 19 of the patients, excluding all potentially 20 avoidable complications. Otherwise, you get 21 into the circularity of --22 MEMBER YAWN: You try to avoid

	Page 203
1	that.
2	MR. DeBRANTES: Exactly. Exactly.
3	So risk factors are risk factors that exclude
4	completely potentially avoidable
5	complications.
6	MEMBER YAWN: Thank you.
7	CO-CHAIR DUBOW: Iver.
8	MEMBER JUSTER: So at the bottom
9	of all this, would the intuitive idea be that
10	you have two populations now, and one of them
11	has a twice as high risk. So you would expect
12	them to have twice as high PAC?
13	MR. DeBRANTES: That is correct.
14	MEMBER JUSTER: Okay.
15	CO-CHAIR DUBOW: Amy?
16	MEMBER ROSEN: A couple of
17	comments. One is that it is difficult to
18	separate out from a diagnosis code or
19	something present on admissions is also a
20	complication. There has been a lot in the
21	literature on that, and that is why present on
22	admission codes have been introduced in the

private sector. 1 2 I am just wondering if you have 3 looked at that, because you really don't know 4 if something is a complication or present on 5 admission. 6 My big concern also is that how 7 are the PACs determined? Was this by a 8 clinical panel that determined whether or not 9 a potentially avoidable complication was related to the index condition? How did you 10 11 come up with this list of potentially 12 avoidable complications, because there is a 13 literature, you know, on this, starting with 14 all these complication screening programs and some of the patient safety indicators from 15 16 AHRQ are certainly important complications of 17 care. 18 So there is one out there. AHRO 19 has also done preventable hospitalization. 20 MR. DeBRANTES: Absolutely. 21 MEMBER ROSEN: There are a lot of 22 episode groupers.

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1	My third point is that there are a
2	lot of episode groupers out there. Have you
3	checked your methodology comparing yourself to
4	the reviews or the But it would be really
5	important to see how you have conceptualized
6	these components as your measure, whereas some
7	others have been doing it all along.
8	MR. DeBRANTES: Sure.
9	MEMBER ROSEN: And what is the
10	contribution of your measure?
11	MR. DeBRANTES: Sure. Well, none
12	of the others use potentially avoidable
13	complications. So I think that is a clear
14	distinction, which is why we are here, and in
15	fact, we are working with Ingenix now so that
16	they can incorporate our definitions of
17	potentially avoidable complications into the
18	ETGs.
19	The issue of PLA applies mostly to
20	the potentially avoidable complication
21	measures that we are going to be looking at
22	later this afternoon around MI, pneumonia,

	Page 206
1	and stroke. In our submission for those acute
2	medical events, we do specify that conditions
3	that are present on admission would not be
4	counted as potentially avoidable complications
5	for the reasons that you specified.
6	When reviewing the patients in
7	chronic conditions, PLSA is a nonissue,
8	because the ED visit itself is a potentially
9	avoidable complication, and you would expect
10	a patient who has diabetes admitted for an
11	emergency department visit for, say,
12	hypoglycemia to have a PLA diabetes. So it is
13	not as applicable an issue on chronic care
14	avoidable complications as it is on the
15	inpatient ones and, certainly, for the
16	inpatient ones we do exclude PLA for the
17	reasons that you mentioned.
18	Then I think your other point
19	about harmonization, I think, is what you were
20	pointing at. Absolutely. We have
21	incorporated in our definition of avoidable
22	complications all of the existing ones to

	Page
1	date.
2	So again this afternoon when we go
3	through MI, stroke and pneumonia, you will see
4	that CMS defined hospital condition or defined
5	PSIs all those are included as potentially
6	avoidable complications. So we use those
7	definitions and incorporate them.
8	We do take, and make no excuse for
9	it quite the contrary We do take a very
10	liberal view of potentially avoidable
11	complications. So our list is far, far
12	broader than what you will find at CMS, AHRQ,
13	or anywhere else, and that is on purpose.
14	MEMBER JOHNSON: Francois, how did
15	you establish that the relationship between
16	risk and complications was linear? Then how
17	do you assure that it is linear across all
18	disease states when you are looking at
19	multiple disease states? So you go up by a
20	factor of two times risk. Does it equate to
21	two times the complication 50 percent
22	reduction and

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Page 208 1 MR. DeBRANTES: Oh, you mean in 2 the industry? 3 MEMBER JOHNSON: -- and is that 4 consistent across everyone of your 5 extrapolations when you look at multiple 6 diseases? 7 MR. DeBRANTES: Yes. So don't 8 know, and you know, when you do something like 9 severity adjusting a rate of potentially avoidable complication, you have to make a 10 decision. 11 12 In this instance, the decision that we made is, believe it or not, keep it 13 14 simple. So is it linear? I don't think so. 15 Again, the population that we studied is commercially insured. 16 17 So that is a more -- It certainly 18 is a more homogeneous population than you 19 would get if you took like, for example, an 20 non-payer dataset, and you might come to some 21 different conclusions in non-payer dataset. In the commercial insured 22

	Page 209
1	population, I think it is you know, the
2	linearity is more of a reasonable assumption,
3	and is it perfect? No, by no means. I just
4	don't You know, there is no such thing as
5	perfection in severity adjustment. So you try
6	to do something that is fair and reasonable,
7	explainable, I think, to physicians and
8	hospitals, so that when you hold them
9	accountable, there is an understanding of the
10	methodology.
11	CO-CHAIR FLEISHER: So can I ask:
12	It sounds like it is not really risk adjusted.
13	Sounds like you are going to actually present
14	absolute rates with a severity index that the
15	hospital could risk adjust, because it doesn't
16	sound like you would be presenting risk
17	adjusted rates, or am I missing something?
18	MR. DeBRANTES: No, you are not
19	missing. You are correct.
20	CO-CHAIR FLEISHER: Okay.
21	MR. DeBRANTES: And back to the
22	conversation this morning about the Minnesota

	Page 210
1	Community Measurement measure on diabetes
2	which, by the way, Bridges to Excellence uses
3	as its top level of performance in the country
4	as we recognize physicians there is no
5	severity adjustment.
б	The purpose is to count events. I
7	want to relate a comment that we had during
8	the discussion with the stroke PACs with the
9	TAP. There was a comment about comas, in
10	particular, and I am bringing it up, because
11	of the 400-odd potentially avoidable
12	complications, six of them were comas.
13	The point from the neurologists
14	was that, you know, in many instances coma is
15	unavoidable for patients with stroke. I asked
16	a relatively basic question, which is not
17	being a clinician myself, which is: Can you -
18	- Are comas always on unavoidable for patients
19	with stroke?
20	Of course, the answer is no. So
21	we don't call these absolutely avertable
22	complications for very specific reasons. We

-		Page	211
1	call these potentially avoidable		
2	complications. The purpose is let's start		
3	counting these things. Let's start		
4	understanding the system that exists or		
5	doesn't exist around patients, and let's work		
б	to reduce them.		
7	You know, we don't think that you		
8	can get to zero. None of us think we can get		
9	to zero, but we could probably get from 90		
10	percent to 45 percent. I know that bothers a		
11	lot of people, but I think it bothers the		
12	patient a lot more when something happens.		
13	DR. O'BRIEN: I feel like I have		
14	heard two different answers to the question of		
15	whether it is risk adjusted or not. So I am		
16	confused about that. But I would just say		
17	that, based on what is in the measure		
18	submission, which may be what we should go on,		
19	there is not written down the mechanics of		
20	actually taking an observed percentage and		
21	adjusting it up or down to account for the		
22	risk. It just indicates there is not		

amounts and values to say the purpose of risk 1 2 adjusting PAC rates. There is a model that has been 3 4 validated for the purpose of predicting costs 5 and, to the extent that it is applied -- I 6 know there is all kinds of statistical and 7 nonstatistical reasons for decisions when you 8 are developing a measure, but it seems like 9 ideally developing a model that is specifically for this event would be the 10 11 preferable way to do it. 12 This seems like kind of a non-13 standard approach to take, basically, a model 14 that is for cost, and then multiply your observed PAC rate up or down by the ratio of 15 16 this population's predicted cost relative to 17 something else -- I think there's pitfalls 18 with that approach based on where I have seen similar approaches in another context. 19 20 The question is, if you have 21 extreme variation between the units in these 22 predicted costs, which we may expect, to what

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	Page 213
1	extent will you result maybe be driven by what
2	you are getting on the denominator? Your
3	predicted costs rather than observed results,
4	I think, would be appropriately rated.
5	I assume that didn't make sense.
6	MR. DeBRANTES: No, no, your point
7	is well taken. I think the decision is do you
8	apply some severity adjustment to these rates
9	of potentially avoidable complications or not?
10	In this instance, we have taken the
11	methodology we have developed around severity
12	adjustment and said you can apply that
13	methodology whether it is the best or not
14	is clearly subject to opinion and you can
15	apply that methodology to severity adjusting
16	the PAC rate.
17	CO-CHAIR DUBOW: Okay. Any other
18	discussion? Perhaps we can see how the sub-
19	group voted on this measure.
20	MS. BOSSLEY: Can everyone read
21	that or should we kind of summarize?
22	CO-CHAIR DUBOW: Why don't you do

1			
		Page	214
1	that?		
2	MS. BOSSLEY: Okay.		
3	DR. BURSTIN: Tell them where it		
4	is, too.		
5	MS. BOSSLEY: It starts first on		
6	page 83 of your PDF 82, actually. That is		
7	where the important section starts.		
8	In general, for importance the		
9	four people who reviewed this measure thought		
10	that it did completely meet the importance		
11	criteria for the gap. There is evidence, and		
12	it does meet a priority.		
13	For scientific acceptability		
14	and for anyone who was on the Work Group, feel		
15	free to jump in and add any comments. I am		
16	just going to provide high level.		
17	For scientific acceptability,		
18	again you were asked to get in on the sub-		
19	criteria. So for the specifications and the		
20	reliability, the four felt that it completely		
21	met the criteria.		
22	For validity, we had a split		

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between completely and partially. Then when
you go down and look at exclusions, looking at
the risk adjustment that was provided, meaning
whether you can actually determine meaningful
differences based on how it is specified,
there of you felt it was completely, one
partially, and also we had one minimally for
meaningful differences.
Comparability, again four of you
felt that it was completely met. Disparities,
we had a mix between completely, partially,
not at all, and did not apply.
Then for the next one, usability:
This is where we are looking at harmonization,
does the measure bring added value, is it
understandable?
For understandable, two felt it
completely met the sub-criteria. Two felt it
partially met it.
Harmonization: Three of you felt
that it completely did. One said Not
Applicable, because there wasn't any other

	Page 216	
1	measure other than what you have before you.	
2	Then whether there was added	
3	value, all four felt it completely met the	
4	sub-criteria.	
5	Then the last in feasibility,	
6	again we are looking at can the data be	
7	produced as a by-product of care? Is there	
8	electronic means to collect it?	
9	Looking at exclusions, do you need	
10	additional data sources or not? Inaccuracies	
11	within the data and implementation? Pretty	
12	much everyone felt that it was completely	
13	meeting the criteria except for the	
14	inaccuracies piece, and that was two for	
15	completely and two for partially.	
16	So very few ratings of minimal or	
17	not at all.	
18	CO-CHAIR DUBOW: Is there anybody	
19	on the Sub-Work Group who is able to talk	
20	about the understandable piece of it under	
21	usability? Does anybody from the Sub-Group	
22	remember that particular?	
		Page
----	--	------
1	I am just interested in why this	
2	is not considered understandable. I mean,	
3	what I always consider under this category is	
4	public reporting piece and how consumers will	
5	understand this, and this one feels to me so	
6	unbelievably understandable that it exceeds	
7	the grade that it could get, because	
8	MS. BOSSLEY: I am wondering if	
9	the first comment that says very useful with	
10	the managed care population for which there is	
11	an assigned primary care physician or group,	
12	less useful with insured population where	
13	there is not a PCP. I mean, Lee, I think you	
14	were on the group.	
15	CO-CHAIR FLEISHER: Yes. Well, I	
16	am not sure about that first one, but I think	
17	when you put a rate starting at 89 percent and	
18	that it can be both preventable potentially	
19	preventable and potentially not preventable,	
20	I think that is one of the concerns people	
21	have.	
22	if I remember some of the comments	

217

	Page 218
1	from the other TAPs, it is, you know, if you
2	get into an accident or certain other
3	conditions certain conditions that you
4	can't There are certain preventable
5	certain PACs that may not be preventable, but
6	you have grouped them into large buckets, and
7	that is why is some concern, especially at an
8	89 percent rate. People would think that you
9	could get to a zero percent rate, which I
10	agree, that is why you have articulated that
11	you might get from 85 to 45, but I think if it
12	was released to the public, it may or may not
13	be understandable in that regard, because of
14	the wide capture of PACs.
15	MR. DeBRANTES: Can I respond to
16	that? Again, I think this was discussed
17	earlier in the Community Measurement effort
18	where you can go on the website and see rates
19	of 45 to one percent compliance, and in
20	Minnesota people understand that. It might be
21	shocking to many, but they do understand it.
22	I don't think It doesn't seem

	Page 219
1	to me as if everyone is thinking, oh, there
2	numbers should all be at 100 percent, more
3	than there is variation, and there is a big
4	difference between 45 percent and one percent
5	or 85 percent and 45 percent.
б	CO-CHAIR DUBOW: I would just add
7	that these measures, when reported well, have
8	some context, and there is some help to the
9	user in understanding how to interpret the
10	measure.
11	So you don't just slap up a bunch
12	of numbers without providing some kind of
13	guidance. In that context, I think that these
14	kinds of measures are eminently understandable
15	to the public who want to avoid complications.
16	It really relates to the patient-centeredness
17	part of this approach.
18	MEMBER JUSTER: As one committee
19	members, I think my main concern was just
20	which was not an easy thing for me to do
21	was pretend I am an uninformed consumer, and
22	I am having I am living in this world with

Page 220 ever shortening sound bites that are you know, that the news programs are trying to get me to understand something in nine seconds. So I am competing with this, and the things that I might and I am sure there will be some consumer testing, because I really like this measure, but I would need to know, for example, it really isn't expected to be 100 to zero, like everybody who has a heart can't. That should be taking a statin unless they can't. That should be There should be zero noncompliance there. Mere, I am being expected to I am going to say, okay, well, if it shouldn't reach zero, what should it reach, because the whole thing about variation might just go Right over my head. Mere adjusted these took into account characteristics that people had before they were measured that they came into the		
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10 problem should be taking a statin unless they 11 can't. That should be There should be zero 12 noncompliance there. 13 Here, I am being expected to 14 understand that it won't reach zero. So then 15 I am going to say, okay, well, if it shouldn't 16 reach zero, what should it reach, because the 17 whole thing about variation might just go 18 right over my head. 19 Also, I would want to know that 20 these were adjusted these took into account 21 characteristics that people had before they	8	know, for example, it really isn't expected to
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I am going to say, okay, well, if it shouldn't reach zero, what should it reach, because the whole thing about variation might just go right over my head. Also, I would want to know that these were adjusted these took into account characteristics that people had before they	13	Here, I am being expected to
16 reach zero, what should it reach, because the 17 whole thing about variation might just go 18 right over my head. 19 Also, I would want to know that 20 these were adjusted these took into account 21 characteristics that people had before they	14	understand that it won't reach zero. So then
17 whole thing about variation might just go 18 right over my head. 19 Also, I would want to know that 20 these were adjusted these took into account 21 characteristics that people had before they	15	I am going to say, okay, well, if it shouldn't
<pre>18 right over my head. 19 Also, I would want to know that 20 these were adjusted these took into account 21 characteristics that people had before they</pre>	16	reach zero, what should it reach, because the
19 Also, I would want to know that 20 these were adjusted these took into account 21 characteristics that people had before they	17	whole thing about variation might just go
20 these were adjusted these took into account 21 characteristics that people had before they	18	right over my head.
21 characteristics that people had before they	19	Also, I would want to know that
	20	these were adjusted these took into account
22 were measured that they came into the	21	characteristics that people had before they
	22	were measured that they came into the

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measurement period with, all sorts of things, 1 2 three or four little sound bites that might be 3 digestible. Then for the more curious reader, 4 5 I guess there would be more, but these considerations would be true for any public 6 7 testing, I think. 8 MEMBER MCNULTY: Sure. I thought it was completely useful. I was really 9 comfortable with it, and to sort of make that 10 point even more clear, I put it in front of my 11 12 86-year-old mother with chronic heart failure 13 and asked her if she understood it, and she

14 immediately understood what that measure 15 meant, and it was very easy for a consumer.

I happen to recognize that first comment as being my comment. So I can speak to the other side of it, which is, when I look within the provider community, the ease with which it would be to move that number to drive toward perfection.

22

I happen to come from not a

system, a hospital system that employs relatively few physicians, and in this world that we are measuring here that really does take a group of people. It takes a system to manage these patients. So when I look at the usability with my provider hat on, I realize that when I am going to get in my world is a constant push-pull between physicians and independent practice, emergency departments, specialists who are not aligned, and hospitals, all saying not my fault, I did my part. That doesn't mean that it is not a good usable measure from a patient's point of view, a consumer point of view. It is very understandable. It is a very different

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17 measure and takes us to a level of
18 accountability that we have not been pushed to
19 before.

20 MEMBER YAWN: From a group of 21 providers' perspective -- and I will not claim 22 this as mine, but when I asked a little bit

	Page 223
1	about this concept, they said, as soon you say
2	potentially avoidable complications, you have
3	just employed all the attorneys in town.
4	That was their take on it, is
5	until we have malpractice reform, doing this
б	kind of thing is of concern to them. Now I am
7	not saying that makes it right. I am just
8	telling you that that was what and when we
9	put it out for public comment, I expect to
10	hear those comments of, if it was potentially
11	avoidable, somebody should have avoided it
12	and, by golly, I am going to sue, because it
13	happened to me. So just another perspective.
14	CO-CHAIR DUBOW: Any other
15	comments?
16	MEMBER DELLINGER: You could
17	rephrase it as possibly avoidable. Seriously,
18	I mean, you know, I do a lot of work in
19	surgical infection, and we have a lot of very
20	well proven process measures that we do
21	infection risk, but never take it to zero.
22	So we say a surgical site

	Page 224
1	infection is potentially preventable if the
2	right antibiotic wasn't given at the right
3	time, if the patient wasn't kept warm, if
4	blood sugar wasn't controlled perioperatively.
5	But we have things we can really measure, and
6	with administrative data you can't possibly do
7	that.
8	This would feel better to me if it
9	said possibly preventable complications.
10	CO-CHAIR FLEISHER: Have you seen
11	some New England Journal papers' titles.
12	MEMBER YAWN: No, it is a legal
13	word.
14	CO-CHAIR DUBOW: Okay. Well, we
15	have Francois, I don't know if you want to
16	entertain that.
17	MR. DeBRANTES: Well, just to
18	mention that our Board Chair, Alice Gosfield,
19	is a relatively well known health care lawyer
20	who has represented physicians and hospital
21	systems for a long, long time, and is robustly
22	published.

Page 225 I think it is an issue that we 1 2 have actually debated extensively, and she 3 feels very strongly that the use of 4 potentially actually is a very good protection 5 for physicians against aggressive attorneys. 6 CO-CHAIR DUBOW: Okay. I think we 7 need to bring this to a close, because we need 8 to move on. So, Reva, would you help us 9 navigate the vote, please? 10 DR. WINKLER: Yes. As before, we need to vote on the criteria, the four main 11 criteria as well as your final recommendation. 12 13 CO-CHAIR DUBOW: Excuse me. Is 14 there anybody in the public who wants to make 15 a comment? On the phone? Is there somebody? 16 DR. HALL: Bruce Hall from 17 American College of Surgeons. 18 CO-CHAIR DUBOW: Okay. 19 I am just looking DR. HALL: 20 again for the reliability and distinction 21 between providers. I have asked those 22 questions several times this morning, but I

1		
		Page
1	just don't see that information presented.	
2	MR. DeBRANTES: So is the question	
3	about	
4	CO-CHAIR DUBOW: Reliability among	
5	providers.	
6	MR. DeBRANTES: Well, we can	
7	certainly tell where the avoidable	
8	complication came from, and this is a measure,	
9	as we say in the submission, that is designed	
10	not at the individual physician level. So I	
11	want to be clear about that and reiterate it.	
12	This is not an individual physician	
13	performance measurement.	
14	MEMBER HOPKINS: Could you verify	
15	what is the unit of measurement?	
16	MR. DeBRANTES: We think practice,	
17	certainly a medical group, hospital, a health	
18	system, health plan. But if you are talking	
19	about physicians, I think the lowest unit of	
20	accountability would be the practice.	
21	CO-CHAIR DUBOW: This is a comment	
22	from George Isham who is the Medical Director	
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	Page 227
1	and Chief Health Officer of HealthPartners in
2	Minneapolis. I am not going to read the whole
3	thing, but he says:
4	"The comprehensive complications
5	of care measure developed by Prometheus
6	Payment as part of their payment reform model
7	holds a promise to change the locus of quality
8	accountability and stimulate the type of
9	patient centeredness expressed in the IOM
10	reports. Use of these measures does not
11	necessarily need to be tied to payment, but
12	they can be used as a performance measure on
13	their own to ascertain effectiveness of
14	transitions and coordination of care.
15	"Prometheus potentially avoidable
16	complications encourage providers to look
17	beyond what they do and engage them in the
18	accountability of what happens to the patient.
19	For example, cardiologists managing a patient
20	with congestive heart failure are held
21	accountable not only for the PACs related to
22	the patient's CHF, but for PACs related to

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comorbidities. 1 2 "HealthPartners believes that, by 3 endorsing measures like Prometheus PACs, the 4 National Quality Forum will help move the 5 current provider focused health system into 6 one that is patient centered, and that is why 7 we support the endorsement of PACs as 8 comprehensive complications of care as a 9 performance measure." Now, Reva, would you please walk 10 11 us through the measure? 12 Okay. As before, we DR. WINKLER: will go through the four criteria, and then 13 the recommendation. 14 So the first one is for this 15 16 measure, the importance to measure and report. 17 This is a yes/no vote. 18 So how many vote that this 19 important to measure and report? Everybody, 20 and how many everybodies are there? It is 21 Sean who is missing. 22 MEMBER NEWCOMER: You missed one.

Page 229 DR. WINKLER: Okay. So scientific 1 2 acceptability of the measure properties. This is where we will vote completely, partially, 3 4 minimally, or not at all, your assessment of 5 how well this measure conforms to the criteria 6 under scientific acceptability of this 7 measure's properties. 8 All for completely? One, two, 9 three, four, five. Partially? Thirteen. 10 11 Minimally? Four. 12 Not at all? No. Do we add up 13 right. Okay, that's 22. Great. 14 Now on the usability criteria, 15 completely? Fifteen. 16 Partially? This is usability now, 17 partially. Four. Minimally? One. 18 19 Not at all? 20 You didn't vote. Okay, add one, 21 all right. I am still missing one. 22 MEMBER NEWCOMER: I am a partial.

Page 230 1 DR. WINKLER: Okay. So you are 2 the partial. Okay. So I got 16 complete, five partial, one minimal. Okay. 3 4 The last one is feasibility. 5 Completely? Fourteen. 6 Partially? Eight. That's it. 7 CO-CHAIR DUBOW: Now we have to 8 vote up or down. 9 DR. WINKLER: Right. 10 CO-CHAIR DUBOW: So I guess it is just all those in favor of this measure? 11 12 DR. WINKLER: Correct, going 13 forward. 14 CO-CHAIR DUBOW: Going forward for public comment and for endorsement. 15 DR. WINKLER: All in favor? 16 Nineteen, I think. 17 18 No? Four? 19 CO-CHAIR DUBOW: Wait a minute. 20 It doesn't add. 21 DR. WINKLER: No, it doesn't. 22 Barbara changed her vote. Right? Okay. So

Page 231 it is 18 yes, and four no. 1 2 CO-CHAIR DUBOW: Okay. So this 3 measure is recommended by the Committee. 4 Okay, now we have two NCQA 5 measures, and the measure -- Is Sue Miller --6 I'm sorry -- Milner on the phone? 7 MS. MILNER: Hi. This is Sue. Ι 8 am here. 9 CO-CHAIR DUBOW: Okay. Great. So let's start with the HOS measure. 10 11 MEMBER NEWCOMER: What number is 12 that, please? 13 MS. MILNER: I'm sorry. Which one 14 are you starting with? The health outcomes 15 survey measure? 16 CO-CHAIR DUBOW: Yes, please. 17 Lee, that is measure number --18 MS. MILNER: Judy Ng? Judy, are 19 you on the phone? 20 DR.NG: Yes, I am on the line. 21 CO-CHAIR DUBOW: What number is 22 this? Number 6? Okay, sorry.

	Page 232
1	Do we want to Reva, do you want
2	to tell us anything about this measure, or the
3	measure developer?
4	DR. WINKLER: Well, I was going to
5	say, the summary pretty much describes what is
6	going on with this measure. This is a survey
7	measure. It uses essentially the reference
8	Rand Health Survey, the VR-12 as sort of an
9	underlying instrument behind it.
10	This is a measure that has been
11	used in the HEDIS program, I believe just in
12	the Medicare patient population. There are
13	scales that provide essentially two summary
14	measures. One is the physical component
15	summary score, and then the mental summary
16	score. So there are sort of two results from
17	the implementation of this survey measure.
18	CO-CHAIR DUBOW: I have a question
19	about this measure. I have a recollection
20	that this was once fielded in the fee-for-
21	service population. I see that it is
22	restricted to the Medicare Advantage

Page 233 population. 1 2 Is there a reason? Is CMS here? I don't know. I know -- Hasn't this been used 3 in fee-for-service Medicare before? 4 5 DR. NG: This is Judy from NCQA. It has been piloted in fee-for-service. 6 I 7 think it was about 10 years ago, and I think 8 essentially what happened was it was found to 9 be a bit too expensive to perform in fee-forservice, because --10 11 CO-CHAIR DUBOW: We are having 12 trouble hearing you. You are fading in and 13 out. 14 Okay, hold on. Let me DR. NG: 15 take off my speaker. Is this better? Okay. 16 Yes, it has been piloted in the fee-for-service study before. For a number of 17 18 reasons, I believe mainly related to cost, CMS 19 decided not to go ahead and leave it in the 20 fee-for-service population and restrict it 21 just to Medicare. 22 CO-CHAIR DUBOW: But there is

	Page 234
1	nothing, in and of itself, that would be
2	peculiar to the Medicare Advantage population.
3	Is that correct?
4	DR. NG: That is correct.
5	MEMBER HOPKINS: To further your
6	point, it actually applies to chronic
7	populations, and why would you restrict it
8	anymore than that? This one doesn't have to
9	tie to age. I don't understand why
10	CO-CHAIR DUBOW: Well, it actually
11	used to be called a health of seniors measure,
12	but you know, I just If it is a cost issue
13	for the implementer, that doesn't speak to the
14	measure properties, and I just wondered about
15	that. Is there discussion?
16	Do the folks from NCQA want to say
17	anything about this measure? Would you like
18	to add anything to what Reva mentioned?
19	David?
20	MEMBER JOHNSON: I just had a
21	question. As with any survey, it is subject
22	to who fills it out, and what is the

Page 235 anticipation of how this is going to be used? 1 2 You give it to a patient, and the people that 3 are happy are going to fill it out, and the 4 people that are very angry are going to fill 5 it out, but the vast majority of people are 6 going to say I don't need to do this. 7 CO-CHAIR DUBOW: There is some 8 experience with this measure already. Can you 9 tell us a little bit about that? This measure is used in Medicare, in the Medicare Advantage 10 11 program already. 12 Again, I am just MEMBER JOHNSON: 13 subject to a lot of surveys, and it is the 14 people who fill them out have either one extreme or the other. It is the in-betweens 15 16 that are the majority that typically say I don't need to do this. 17 CO-CHAIR DUBOW: 18 Do we have data 19 on the response? 20 DR. NG: Yes. The response has 21 gone from 8 over 60 to 80 percent. 22 CO-CHAIR DUBOW: You know, CMS --

	Page 236
1	Well, I guess CMS. NCQA drives other measures
2	from this survey. So this, in addition to
3	being a functional status measure, also has
4	embedded in the survey instrument I don't
5	know flu and a couple of other measures
6	that come out of this. It is in here
7	somewhere.
8	DR. NG: The measure covers
9	osteoporosis and there are a number of
10	items on comorbidities.
11	CO-CHAIR DUBOW: And that is
12	probably an aside, because what we are really
13	considering here is the functional status
14	measure.
15	DR. NG: There's other measures, I
16	believe, are endorsed separately.
17	CO-CHAIR DUBOW: Yes. Right.
18	MEMBER JEWELL: Right. So this
19	may just be my mental fatigue from having read
20	so much over the last several days of this
21	material, but how What are the score cut
22	points for deciding better, worse?

Page 237 I am not familiar with the FS-36 1 2 in its original form. So I know what the 3 population norms are. I know different 4 population important differences that 5 distinguish between better, no different, 6 etcetera. So is that in here, and I just 7 missed it or can you provide some 8 clarification about how do you decide who is 9 better and who is not? DR. NG: The better or worse 10 11 things actually are based on national norms. 12 I think at this moment they are the norms for the 1998 U.S. general population for that 13 14 particular age group. 15 MEMBER JEWELL: Were the instruments in this version or with the 16 17 original SF-36? Maybe that is why I am 18 confused? 19 DR. NG: I think it was the basic 20 norm as well as -- They have been working here 21 with a 12-item instrument using this version, 22 and I believe it would be 1991.

		Page	228
1	MEMBER DELLINGER: My only comment	ruge	250
2	on this is, if you look on page 9 of the		
3	document that we were given here, it says that		
4	out of 187 MAOs, two had mental health better		
5	than expected that is one percent; 10 worse		
6	than expected that is five percent; zero		
7	had physical health either better or worse		
8	than expected.		
9	So this distinguishes nothing.		
10	This is a useless instrument.		
11	DR. NG: I think part of what is		
12	happening with that is the way the risk		
13	adjustment is being done. They might actually		
14	be risk adjusting for a lot of the factors,		
15	and that is what we are actually looking into		
16	right now.		
17	DR. TURNER: I think it is		
18	excellent information to have, with the onus		
19	of understanding the case mix of your		
20	population, but I guess I have been always		
21	wondering about the research that shows a lot		
22	of these measures are meetable by the care		

Page 239 that you give. 1 2 I mean just that it is a small 3 portion of how your mental health is. What is 4 going on with your health care? There are so 5 many other factors in terms of where you live 6 and your socioeconomic status. 7 So I am just curious what you 8 would use this for or is it just to inform 9 your study and your sites about the case mix that they take care of? 10 11 CO-CHAIR DUBOW: Sue, do you want 12 to respond to that, or maybe CMS would respond 13 to it. Come to the table, please. 14 DR. HALIM: This is Shaheen Halim The mental health score and the 15 from CMS. 16 physical health scores are actually used in 17 some of our health plan performance metrics 18 that are shown on the Medicare options compare 19 site. So I just wanted to point out that use. 20 That is -- It is being publicly reported in 21 that website. 22 CO-CHAIR DUBOW: I thought the

Page 240 question was what could be done by the plan to 1 2 improve the scores. DR. HALIM: 3 Oh, I see. 4 MEMBER TURNER: Do you have any 5 data that getting those data allow the plan to 6 actually change the scores of their patient 7 populations, because that is -- because they 8 are usually so multi-factorial -- what makes 9 your mental function, etcetera -- that it is 10 a little piece of the pie. 11 DR. HALIM: Right. I don't have 12 that information. Perhaps NCQA can comment on 13 how it is being used in quality improvement 14 activities. 15 CO-CHAIR FLEISHER: So can I just 16 Does CMS use it as a -- just reporting ask. 17 it or as a performance measure, because if NOF 18 chooses to endorse it, then it can go for a 19 performance measure. If NQF doesn't choose to 20 endorse something, given the current -- my 21 understanding of what is in the bill and the 22 language, then what happens?

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1	DR. HALIM: I can't speak to that,
2	but I do know that it is used as part of a
3	composite a set of composite measures that
4	are shown on Medicare
5	CO-CHAIR FLEISHER: Are those
б	composite measures endorsed?
7	CO-CHAIR DUBOW: That is what CMS
8	does. But I think that nothing compels CMS to
9	use NQF endorsed measures, although the
10	legislation does Well
11	DR. BURSTIN: The current status
12	of it is that NQF is they will have to look
13	toward NQF for standards when they are
14	available. I think the issue here has not
15	endorsed any functional status measures to
16	date. So they have not been available. So
17	they will have to use others.
18	This has been viewed as a
19	Actually, as part of the work that the Measure
20	Prioritization Committee of doing, functional
21	status rose to the top of the list as being a
22	pretty significant measurement gap.

	Page 242
1	CO-CHAIR DUBOW: Right. The new
2	legislation does say that the Secretary should
3	give preference to NQF endorsed measures.
4	MEMBER HOPKINS: And says
5	functional status is important.
6	CO-CHAIR DUBOW: Yes, is a key
7	issue. I would point out that in the long
8	history of this measure, and it has been
9	around for a really, really long time, that
10	the HOS group at CMS has tried to respond to
11	that question that you have raised by
12	publishing guidance on what plans could do to
13	improve the functional status of their
14	members.
15	So there is some literature on
16	this by way of guidance, but obviously, we see
17	not a whole lot of variation in the reporting
18	performance, perhaps because of the way the
19	measure is risk adjusted.
20	MEMBER HOPKINS: Is somebody
21	addressing that issue?
22	CO-CHAIR DUBOW: I heard Sue say

Page 243 that they are looking at the risk adjustment. 1 2 Is that right, Sue? 3 DR. NG: This is Judy speaking. 4 CO-CHAIR DUBOW: I'm sorry, Judy. 5 DR. NG: That is correct. We are looking at it right now, for the same reasons 6 7 that the outlier is showing up. 8 CO-CHAIR FLEISHER: But we have to 9 vote on the current risk adjustment. 10 CO-CHAIR DUBOW: I was just going 11 to ask, what is the timing on your 12 reevaluation? DR. NG: Until, I believe it is, 13 14 the end of the summer, but it is an issue that 15 we could revisit even at that point. 16 MEMBER HOPKINS: Can this 17 committee carry something over that long? Ι 18 am curious. 19 DR. WINKLER: It is not going to 20 match the timeline of this project. The 21 question is, is there another avenue within 22 NQF to potentially look at the measure and,

	Page 244
1	you know unclear at this point, but
2	probably will be.
3	DR. BURSTIN: We would also have
4	to go back to NCQA and really find out. Even
5	if the risk adjustment, the work, is done by
6	July, when will we actually have results.
7	These they will bring to us. We always have
8	the option of doing an ad hoc review if
9	something changes significantly, if it is
10	endorsed.
11	CO-CHAIR FLEISHER: What if it is
12	not endorsed?
13	DR. BURSTIN Then they would have
14	to wait for another opportunity to resubmit
15	it.
16	DR. NG: It is possible that it
17	could be done earlier than that, considerably
18	less time.
19	CO-CHAIR DUBOW: Dianne.
20	DR. JEWELL: I am still struggling
21	with trying to figure out what this measure is
22	for. I hear where everybody is interested in

	Page 245
1	having functional status measures, but I don't
2	know what this measure is supposed to tell me.
3	DR. HERMAN: I would second that.
4	If it is an outcomes measure, there should be
5	something that we can do to change it, and I
6	haven't heard anything that shows that you can
7	do anything to change it. So if it is
8	supposed to be an outcomes measure, there has
9	to be kind of the before and the after.
10	DR. JEWELL: Right. And again,
11	maybe I just am not seeing all the detail that
12	is in front of me, but as it is described
13	right now, it sounds to me more just like a
14	status check, like how is the health of the
15	population that we happen to be looking in on.
16	CO-CHAIR DUBOW: No. This is a
17	two-year measure. This follows a cohort over
18	two years, and it is to change from expected.
19	It is a two-year
20	DR. JEWELL: Okay. So then if
21	that is what I understood the first time, I am
22	wondering how valid it is to talk about the

Page 246 change in expected in a score like this, when 1 2 you are talking about norms that are validated with a different instrument. 3 DR. HERMAN: Or if there is no 4 5 change over the two-year period. 6 DR. JEWELL: What does it mean? 7 Yes. Judy? Sean, do 8 CO-CHAIR DUBOW: 9 you have any insight? DR. O'BRIEN: I think the outcome 10 11 of the measure is reporting patients that 12 don't deteriorate, that maintain their status 13 or improve. So, basically, they are using the 14 same measure baseline and two years later 15 comparing them. 16 MEMBER NEWCOMER: And it is that a function of health care or a function of --17 18 CO-CHAIR DUBOW: Barbara? 19 Well, but there MEMBER TURNER: 20 are things that you can do to improve the 21 mental health of the community, like perhaps 22 recognizing depression and beginning to treat

	Page 247
1	it, which we do very badly as a health care
2	sort of a system I guess we are.
3	So there are some things like that
4	that can be done, but is this the measure to
5	do it when they have such a small change and
6	so few outliers. It bothers me that this is
7	not a good measure for assessing how we are
8	currently doing in giving us room to improve.
9	So that is my biggest concern.
10	CO-CHAIR DUBOW: So I heard some
11	interest in deferring is that the right
12	word? to hear how NCQA proposes to modify
13	the risk adjustment, to see whether we could
14	see more discrimination?
15	DR. TURNER: I think that is a
16	great idea, and I also suggest, if they have
17	any data that helps us understand that health
18	care delivery has something to do with that or
19	whether we can parse out our contributions
20	that we should be responsible for and if we
21	make a difference; because I think it is a
22	great thing.

Page 248 CO-CHAIR DUBOW: Wait a minute. 1 2 We need some guidance from Reva and Helen about whether we can -- what we can do to move 3 4 that sentiment into reality. 5 DR. BURSTIN: It sounds like the 6 first thing we should do is just ask for 7 additional clarification from NCQA, the 8 additional analysis they can provide. When is 9 the schedule for testing? And I think we will 10 have to -- I think we are asking people on the phone to make sort of off the cuff assessments 11 12 of when things will be ready. I really want to go back to the NCQA leadership and be able 13 14 to do that. 15 I think it would be helpful to get 16 a full set of what the issues are. The issue 17 Barbara just raised is a complicated one. 18 Oftentimes we don't always know exactly what 19 health care interventions affect outcomes, and 20 yet if we think they are worthy and important 21 outcomes, we still go ahead and put them 22 through.

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1	So I think that may be a harder
2	lift for NCQA to really respond to.
3	CO-CHAIR FLEISHER: So, Helen, if
4	we vote no, will we get a chance to relook at
5	this. If we vote yes conditionally, and they
6	don't We can defer?
7	CO-CHAIR DUBOW: We are not asking
8	What we are exploring here is the
9	possibility of not taking a vote, so that we
10	can go back and ask NCQA a series of questions
11	to give us some better clarity. Based on
12	those answers, we could vote by email. Okay?
13	So let's just take a couple of
14	minutes to flesh out what we want to know.
15	One of the things is that we want to know
16	about their plans to reexamine the current
17	risk adjustment method to see whether they are
18	going to come up with something that will be
19	more discriminating with respect to the
20	results. That is the first item I hear. Is
21	that right? Okay.
22	The second is some data around

Page 250 MEMBER TURNER: Any influence that 1 2 say depression interventions or something on 3 a large population, anything that we can do. 4 CO-CHAIR DUBOW: Yes. This is not 5 a new measure. This has been used. I mean, we have those data, by the way. You know they 6 7 went back -- I can't remember. 8 It goes all the way back to the 9 early 2000s or the late '90s, I think. So we saw some stuff here about change in 10 11 performance, and some of the literature that 12 they provided us also discussed that, if you click on some of those links. They have a 13 14 whole website. So that is one thing. Dianne, 15 you want to add to the list? I just need 16 MEMBER JEWELL: Yes. some direction about what constitutes 17 18 meaningful change, so that you can fall into 19 one of these categories or not, and how that 20 has been determined and validated. That is 21 really my point about validation. I didn't 22 articulate very well the last time. Even

Page 251 standard error change. 1 2 They have extensive DR. O'BRIEN: documentation on the web on this, and it was 3 4 all provided with the submission. 5 MEMBER JEWELL: Okay. So if I need to, I will do that. 6 7 CO-CHAIR DUBOW: All right. So we 8 have Dianne's point. 9 DR. O'BRIEN: Can I add a couple to the list? Things I noticed is that one of 10 the questions that I was asked to address to 11 12 my view is whether the risk model adjusts for 13 factors that reflect disparities in care. 14 This is a measure that does adjust for socioeconomic status and race and goes 15 16 back. So it definitely does. So whether that 17 is right or wrong or if it is not exactly consistent with the current NOF criteria for 18 19 evaluating risk adjustment measures, it is a 20 relatively limited set of risk factor 21 adjustments. 22 So for adjusting, there is a

		Page	252
1	mortality model. There is an MCS model and		
2	PCS model. That's the mental and the physical		
3	component scores. The two component score		
4	models basically only adjust for socioeconomic		
5	variables. They do not adjust for baseline		
6	measurements you know, they don't adjust		
7	for your baseline MCS score or any other		
8	factors and may be associated with the		
9	likelihood you will be able to maintain your		
10	current health status.		
11	You know, the methodology was		
12	extensively and rigorously tested from all		
13	kinds of perspectives. I mean, it is clear		
14	that this is a long history, lots of		
15	publications, and really a lot of work went		
16	into it.		
17	Looking at it, I couldn't tell		
18	whether fit of the models they are proposing		
19	were assessed in terms of calibration and		
20	looking at calibration within the subgroups.		
21	The last point was that, in terms		
22	of this discriminating performance, I saw the		
		Page 253	
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1	same results that you raised. I thought the		
2	PCS was less discriminating. I mean, there		
3	were outliers for the actually, for the		
4	physical. One appeared to more underpowered		
5	than the other.		
6	CO-CHAIR DUBOW: More		
7	discrimination, but not a lot. That has been		
8	pretty consistent over the years as well.		
9	MEMBER JUSTER: Since we are		
10	measuring compared to themselves, is the role		
11	of risk adjustment when the outcome is a		
12	difference between time one and time two may		
13	not		
14	CO-CHAIR DUBOW: That is the		
15	point.		
16	MEMBER JUSTER: And left to		
17	myself, I have a chronic disease, I am simply		
18	going to deteriorate.		
19	CO-CHAIR DUBOW: Do you want to		
20	add something to that, Judy?		
21	DR. NG: It is		
22	CO-CHAIR DUBOW: We cannot hear		

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1	you. Okay. I think it adjusts itself,
2	because it is the same person.
3	MEMBER AMARASINGHAM: I am not
4	sure of that, though. Let me just make sure
5	I understand that. I mean, you can still risk
6	adjust for the likelihood of something
7	happening to a patient. So for example, you
8	can risk adjust for the likelihood of a
9	readmission in the future for a patient. It's
10	still the same patient.
11	MEMBER JUSTER: I am not saying
12	don't risk adjust. I am just saying
13	MEMBER AMARASINGHAM: But I think
14	risk adjustment is still important. Don't
15	take risk adjustment out of the table.
16	CO-CHAIR DUBOW: Amy?
17	MEMBER ROSEN: I just want to
18	raise maybe what Sean was thinking about, too,
19	is kind of the clumping of using the summary
20	components, summary scores for MCS and PCS and
21	whether that is important in thinking about
22	the lack of variation in the outcomes, whether

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1	looking more specifically at some of the
2	domains of the summary scores might be more
3	effective in picking up more variation. Just
4	as a thought statistically in terms of moving
5	us more forward.
6	CO-CHAIR DUBOW: I would like to
-	

7 just add -- I mean, this is almost a
8 rhetorical question about the issue of
9 restricting this to the Medicare Advantage
10 population and whether there is something
11 intrinsic about this particular measure that
12 justifies that, excluding the fee-for-service
13 population.

14 I expect the answer to be no, but I would just like it on the record. So are 15 16 there any other questions? It sounds as 17 though there is consensus around deferring a 18 decision on this measure, on the HOS measure, 19 until we get some feedback from NCQA, the 20 measure developer. Okay. 21 DR. PAGE: Joyce, this is Karen 22 Page, NQF.

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1	CO-CHAIR DUBOW: Hi, Karen.
2	DR. PAGE: I just want to address
3	a question that came up about whether you need
4	to risk adjust if you are using or
5	comparing difference to the patient's own
6	baseline.
7	The question that comes up is
8	that, depending on what your baseline is,
9	there may be different opportunities or
10	probability of improvement. So if that is the
11	case, so say someone whether you are the
12	higher end, to begin with, and you have
13	greater chance of improving or if you are the
14	lower end and you have greater chance of
15	improving, the idea is that there is a
16	different mix of patients that is starting at
17	the different levels. There is a variable
18	probability of changing, but in risk
19	adjustment it is something to at least
20	consider.
21	CO-CHAIR DUBOW: Thank you, Karen.
22	MEMBER HOPKINS: My process
	Neal P. Gross & Co. Inc.

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1	question was is that going to happen in the
2	time frame of this committee?
3	CO-CHAIR DUBOW: Is what going to
4	happen?
5	MEMBER HOPKINS: Whatever is
6	taking place. We are deferring decision, but
7	I would like
8	DR. WINKLER: We can ask NCQA and
9	get a response within the time frame. What
10	that response will then set you up to do will
11	be the next step. You may not be able to act
12	or do anything within the time frame remaining
13	of the project, depending on what the response
14	is.
15	MEMBER HOPKINS: So I am trying
16	to figure out how this gibes with the decision
17	we made on the Minnesota measure where it was
18	conditionally approved. Seems like we are not
19	treating things the same way.
20	DR. BURSTIN: It sounds like
21	people don't feel like we have enough even to
22	make that decision or even say what the

		Page 25	8
1	conditions are. There is enough outstanding		
2	questions that I have the sense people aren't		
3	ready to make that choice. If people feel		
4	ready to make that choice, that's another		
5	option, but I think the bigger issue is when		
6	is this testing going to be done? Would you		
7	want to see the updated tested measure before		
8	you make that decision?		
9	CO-CHAIR DUBOW: Dianne.		
10	MEMBER JEWELL: Can I just		
11	clarify? This measure I infer references		
12	I have seen in the reference list that this		
13	measure has been around for a while. Has it		
14	been around for a while in the Medicare		
15	Advantage?		
16	CO-CHAIR DUBOW: Yes.		
17	MEMBER JEWELL: But the		
18	reliability testing that is reported is only		
19	with the veteran's group? Did I understand		
20	that properly?		
21	CO-CHAIR DUBOW: Is that correct,		
22	NCQA?		

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Page 259 MEMBER JEWELL: What is in the 1 2 reliability section, at least in the measure 3 application form, refers to extensive testing in the Veterans Affairs study with that 4 5 population, and I just am not clear the extent 6 of the testing in other groups. 7 DR. PAGE: I believe this has been 8 tested in both veterans and elderly groups. 9 CO-CHAIR DUBOW: Thank you. 10 MEMBER HOPKINS: Joyce, what I am 11 struggling with is the core of this survey is 12 SF-36, probably the most widely tested survey instrument in the world. So if we get through 13 14 this process and don't even have NQF endorsement of SF-36, something is wrong. 15 16 MEMBER JEWELL: This isn't the SF-36. 17 18 MEMBER HOPKINS: It is embedded in 19 this thing. 20 MEMBER JEWELL: But it is not the 21 SF-36. 22 CO-CHAIR DUBOW: Okay. You know

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1	what. Let's take a vote to defer, because we
2	need to move on, everybody. We are late. We
3	are way behind. So I think, as Lee suggests,
4	that we take a vote.
5	All those in favor of deferring
6	consideration of this measure until we have
7	answers to the questions we just identified,
8	please raise your hand.
9	Okay. So we all agree, and we
10	will defer consideration. We will be hearing
11	from NQF staff. Keep an eye out for the
12	email.
13	We now have the last cross-cutting
14	measure for our consideration, and that is
15	care for older adults, advance care planning,
16	functional status assessment, pain screen.
17	Again, it is an NCQA measure.
18	Reva, do you want to introduce us
19	to the measure?
20	DR. WINKLER: Sure. This is
21	measure 007. It is care for older adults. So
22	percentage of adults 65 years and older who

	Page 261
1	receive the following during a measurement
2	year: advance care planning, functional
3	status assessment, and pain screening. Each
4	of these are reported individually, though
5	they are part of this measure.
6	MEMBER HOPKINS: Page reference is
7	25.
8	CO-CHAIR FLEISHER: Thank you.
9	CO-CHAIR DUBOW: Yes, and it is
10	0T2-007-09.
11	DR. WINKLER: This is not a
12	composite measure. It has multiple parts
13	embedded in it or it is not submitted as a
14	composite measure. Let me put it that way,
15	and we have endorsed similar measures that are
16	sort of multi-part, if you will, like this.
17	CO-CHAIR FLEISHER: So there would
18	be one person who voted? Is that
19	DR. WINKLER: Yes. Not a lot of
20	participation for the group that looked at
21	this one. So whoever was the one person,
22	thank you very much for stepping up.

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1	MEMBER HOPKINS: Reva, this one
2	raises a fundamental question. How did it get
3	through the screen for outcomes measures? It
4	is not an outcome measure in any sense of the
5	word.
6	DR. WINKLER: What screening are
7	you referring to exactly?
8	MEMBER HOPKINS: I thought this
9	was the Patient Outcomes Steering Committee.
10	Wasn't that the first slide that you showed?
11	Where does it fit on that slide? This is a
12	total process measure.
13	DR. WINKLER: And the Steering
14	Committee is welcome to make that
15	determination and act that way.
16	MEMBER NEWCOMER: So, Mr.
17	Chairman, let's emulate the lawyers and ask
18	for a summary judgment to dismiss, because it
19	really isn't an outcomes measure.
20	CO-CHAIR DUBOW: Is there anybody
21	who wants to entertain this measure?
22	MEMBER TURNER: I had one

Page 263 question. I mean, I think we still have to 1 2 consider process measures, because that moves 3 the bar in the right direction, but -- am I 4 wrong? Advance care planning? I am reading 5 the wrong thing. Am I? 6 CO-CHAIR DUBOW: No, you are not. 7 You are not. I think they are 8 MEMBER TURNER: 9 processes. I think process measures can be 10 outcome measures. 11 CO-CHAIR DUBOW: Excuse me. This is an important discussion. 12 13 MEMBER HOPKINS: Instead of saying 14 I assess the functional status, report what it is. 15 16 MEMBER TURNER: Meaning what was 17 the pain, not pain screening. What was the 18 pain? 19 MEMBER HAUGEN: From a patient 20 standpoint, the fact you do something isn't 21 meaningful. It is what you do with that 22 information, and then do I improve it. So

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1	just the fact I do things I just don't
2	think that is even comes close to
3	accountability.
4	MEMBER PINDOLIA: You know, there
5	is NQF measure number 0553 for medication
б	review. That is why they didn't include it in
7	the three.
8	I did vote, but I think I wrote it
9	after the due date. So I did vote, but I
10	guess it didn't get counted, because I was a
11	little late. Sorry about that. But it says
12	that the 0553 has already been NQF endorsed,
13	and that is why they didn't include that in
14	here, and they included the other three senior
15	outcome measures.
16	Is there any data? Is 0553 any
17	different than these or what they are looking
18	for? It is on page It is under 3(c),
19	distinctive or additive values in other NQF
20	endorsed measures.
21	CO-CHAIR FLEISHER: So, Helen,
22	within the context of this discussion, so if

		Page	265
1	the committee Somebody has proposed a		
2	potential measure. So the question is, if we		
3	say no, do we say no, because we don't believe		
4	it should be endorsed or, no do we also		
5	have a right to say, no, it is not an outcome		
6	measure and, therefore, it should not be		
7	brought forward? You are winding your eye.		
8	So that means		
9	DR. BURSTIN: It is very late in		
10	the process, and I think our understanding was		
11	all these measures were looked upon against		
12	this list of what we decided up front were		
13	broad topical areas that could Some of		
14	these aren't the classic outcomes. You had a		
15	fairly broad list up front of what you		
16	considered outcome measures.		
17	So we made that initial		
18	assessment, I thought, with you guys,		
19	actually, to specifically include these kinds		
20	of that this measure was included, because		
21	it actually fit patient experience.		
22	MEMBER HOPKINS: But back to that		

	Page 266
1	discussion, we weren't talking about somebody
2	assessed those things. We were talking about
3	the measure was measuring those things. That
4	is the distinction I would make.
5	MEMBER JEWELL: The comparable
6	conversation that we had in the fall related
7	to another measure, was the gate velocity
8	measure that I had raised a question about.
9	The response I got was that in its current
10	specification, because it was the question,
11	did the physical therapist assess gate speed,
12	yes or no, that that was by definition a
13	process measure, and that that wasn't relevant
14	to this conversation, and that in order to
15	make it relevant, it would need to be
16	respecified to reflect some set of values
17	against which you would hope the patient would
18	match, like the Alc measures.
19	So using that logic, I concur that
20	this
21	MEMBER TURNER: So with that
22	analogy, what would be the advance care

	Page 267	
1	planning outcome? They stayed alive or they	
2	didn't stay alive or they I am just saying	
3	that you do want to have You do want to	
4	have the process evaluated.	
5	MEMBER JEWELL: And I don't	
6	disagree with you philosophically. I think it	
7	is a matter of where does it best belong. I	
8	guess I do have a little bit of a concern	
9	about integrity of process by virtue of other	
10	measure submitters.	
11	I think we need to be as clear as	
12	we can be that the rules are applied the same	
13	way all the time. So if other groups thought	
14	they had measures and I speak to this more	
15	from the bone and joint TAP. We got no	
16	measures. I can imagine there was a whole	
17	wealth of process measures that would have	
18	been relevant in the same logic that you are	
19	arguing.	
20	So for me, it is both a	
21	consistency of approach issue as well as just	
22	a definition issue.	

Page 268 CO-CHAIR DUBOW: David raised that 1 2 point earlier this morning in a sidebar 3 conversation, and I think that argument has But I do think we need to be, to 4 merit. 5 Barbara's point, very clear that it doesn't 6 fit into our definition, because that is the 7 standard that we will be judged by, and any 8 action we take needs to be justified on the 9 basis of the fact that it doesn't meet our definition. 10 This is the definition that we 11 advertised, and I suppose if we decide that it 12 13 doesn't, then the measure is not considered 14 but without prejudice. 15 MEMBER BECKER: So, Joyce, just a 16 question. So I think these are important 17 things, whether they are process or outcome. 18 So if we decide not to go forward, is there a 19 place where these get posited so they can get 20 accepted -- reviewed, accepted, not accepted, 21 because I think advance care planning is an 22 important thing to do. You know, if we just

	Page 269
1	pocket veto these things and they fall into an
2	abyss, then I don't think we are doing
3	CO-CHAIR DUBOW: Is there a shared
4	decision making Nothing? Is there any
5	other place to give this
6	CO-CHAIR FLEISHER: So actually,
7	getting back to Barbara's comment, if advance
8	care planning is considered an outcome, if
9	they have a plan, then it doesn't matter if
10	the others are process measures, from the
11	previous discussion, as long as one of the
12	components is an outcome.
13	So the question is and I think
14	it is a great question that Barbara asked
15	Is there anything that If you create a
16	plan, is that an outcome versus a process.
17	CO-CHAIR DUBOW: Having a plan.
18	CO-CHAIR FLEISHER: Having a plan.
19	MEMBER AMARASINGHAM: But I think
20	the reason to have a plan for the outcome is
21	that your end of life is better. Now we don't
22	know how that is defined is very murky, but

	Page 270
1	that is the whole reason for advance care
2	planning. I still think it is a process
3	measure.
4	CO-CHAIR DUBOW: No, it is having
5	a plan that reflects your preferences.
6	MEMBER AMARASINGHAM: Right. So
7	that decisions can be better made at the end
8	of life.
9	CO-CHAIR DUBOW: No. That reflects
10	your preferences.
11	MEMBER AMARASINGHAM: For the end
12	of life.
13	MEMBER JEWELL: Well, by saying it
14	reflects your preferences, to me that sounds
15	like an intermediate outcome. That is not how
16	this measure is specified. So then we are
17	respecifying the measure on behalf of the
18	developer. So that is a whole 'nother issue.
19	MEMBER ROSEN: Only because it is
20	the advance care planning is specified with
21	CPT codes. So we are not really asking the
22	patient what happened. We are looking at the

		Page	271
1	data.		
2	MEMBER JOHNSON: Can we get back		
3	to the slide? Just put the slide back up, the		
4	one we just had, what we are charged to do.		
5	There are a couple of		
б	circumstances here that we need to consider.		
7	One, this was accepted for this task force to		
8	review. So it is It got into the queue		
9	where other people would have not had these		
10	process measures maybe go forward. Is that		
11	right?		
12	CO-CHAIR FLEISHER: Reva, did		
13	anything get rejected by the staff to say it		
14	was not it would not be reviewed? So if		
15	somebody submitted because if not, then		
16	that is a dangerous statement to make. So we		
17	should just have clarification. Did staff		
18	perform triage?		
19	DR. WINKLER: Well, the problem		
20	is, staff had the same discussion you are		
21	having, with a variety of opinions, actually,		
22	and applying that as criteria is harder than		

	Page 272
1	you think. So the default was to keep it
2	rather than let it and let the Steering
3	Committee make that decision.
4	MEMBER JOHNSON: So nothing was
5	turned down? My point is just that
6	DR. WINKLER: It was just one or -
7	- you know
8	MEMBER JOHNSON: The second point
9	is: I am 100 percent, this is a process
10	measure, but if you look up and read what we
11	said in bullet 1, patient function, symptoms,
12	health related quality, I think we are really
13	trying to talk about changes in, rather than
14	measurement of.
15	If you just measure something, and
16	in the first bullet I think that is still a
17	process measure. It is not an outcome. You
18	haven't defined a change, which is what an
19	outcome is.
20	So I think, if I were submitting
21	this measure and I read your first bullet
22	point, I would say, well, we fit right into

1that.2CO-CHAIR DUBOW: I want to ask the3measure developer. NCQA, can you tell us why4you submitted this measure as an outcomes5measure, please? It goes to the question that6you raised, David.7DR. PAGE: Yes. I believe that we8had discussions with staff and felt that, you9know, in terms of measure call and where this10measure might be most appropriate, you know,11this was where we ended up.12CO-CHAIR DUBOW: Okay, thank you.13If there is no further discussion,14I think we need to call the vote, and it seems
 measure developer. NCQA, can you tell us why you submitted this measure as an outcomes measure, please? It goes to the question that you raised, David. DR. PAGE: Yes. I believe that we had discussions with staff and felt that, you know, in terms of measure call and where this measure might be most appropriate, you know, this was where we ended up. CO-CHAIR DUBOW: Okay, thank you. If there is no further discussion,
 you submitted this measure as an outcomes measure, please? It goes to the question that you raised, David. DR. PAGE: Yes. I believe that we had discussions with staff and felt that, you know, in terms of measure call and where this measure might be most appropriate, you know, this was where we ended up. CO-CHAIR DUBOW: Okay, thank you. If there is no further discussion,
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 6 you raised, David. 7 DR. PAGE: Yes. I believe that we 8 had discussions with staff and felt that, you 9 know, in terms of measure call and where this 10 measure might be most appropriate, you know, 11 this was where we ended up. 12 CO-CHAIR DUBOW: Okay, thank you. 13 If there is no further discussion,
7 DR. PAGE: Yes. I believe that we 8 had discussions with staff and felt that, you 9 know, in terms of measure call and where this 10 measure might be most appropriate, you know, 11 this was where we ended up. 12 CO-CHAIR DUBOW: Okay, thank you. 13 If there is no further discussion,
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9 know, in terms of measure call and where this 10 measure might be most appropriate, you know, 11 this was where we ended up. 12 CO-CHAIR DUBOW: Okay, thank you. 13 If there is no further discussion,
10 measure might be most appropriate, you know, 11 this was where we ended up. 12 CO-CHAIR DUBOW: Okay, thank you. 13 If there is no further discussion,
<pre>11 If I I I I I I I I I I I I I I I I I</pre>
12 CO-CHAIR DUBOW: Okay, thank you. 13 If there is no further discussion,
13 If there is no further discussion,
14 I think we need to call the vote, and it seems
15 to me that we ought to have the vote on the
16 basis of whether we consider this before we
17 vote on Well, we could do it up or down,
18 but I think we should vote on whether we
19 consider this a process measure, because it is
20 out of scope. Right.
21 MEMBER PINDOLIA: Hold on. Before
22 we vote, I think my question still hasn't been

	Page 274
1	answered. There's four components for senior
2	care of what they are trying to do. They only
3	included three, because one of them was
4	already NQF endorsed, and that was the
5	medication review, number 0553 that they put
6	in there.
7	Is that considered an outcome
8	measure, because if that was, then you can's
9	say these three aren't. But if that wasn't,
10	then maybe that is what those should go to,
11	whatever those are called.
12	DR. PAGE: I believe that was
13	endorsed under a separate measure development
14	call.
15	CO-CHAIR DUBOW: It was.
16	DR. PAGE: And perhaps Helen knows
17	exactly what.
18	CO-CHAIR DUBOW: But that doesn't
19	matter, because the scope of those projects
20	was different. The criterion in the other
21	project wasn't was it outcome or process.
22	MEMBER PINDOLIA: That is what I

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1	wanted to know. Was it or not?	
2	CO-CHAIR DUBOW: No, it wasn't.	
3	So now we are going to vote. All	
4	those who believe this measure is in scope for	
5	this committee, please raise your hands.	
6	Okay. Then out of scope?	
7	CO-CHAIR FLEISHER: In or out of	
8	scope. Out of scope is now. So it is 21.	
9	CO-CHAIR DUBOW: So I think this	
10	does not indicate anybody's preference or	
11	opinion about the measure itself.	
12	DR. BURSTIN: Let's try to find a	
13	home for it, so it doesn't fall into an abyss.	
14	CO-CHAIR DUBOW: Right.	
15	MEMBER AMARASINGHAM: I guess one	
16	question is: Can our committee make a	
17	recommendation to NQF, because I think, even	
18	when we were thinking about this last fall and	
19	we were going out and talking to other	
20	methodologists, one of the things I mentioned	
21	to them is we are not looking for process	
22	measures. But that is equally vitally	

	Page 276
1	important, and there should be some forum or,
2	hopefully, there will be a forum at NQF where
3	that is considered.
4	Now, of course, advance care
5	planning is critical.
6	CO-CHAIR DUBOW: Right. This
7	actually fits into one of the six NPP
8	priorities, as a matter of fact.
9	DR. BURSTIN: We are planning to
10	have a palliative care project beginning in
11	November. So at the latest, it is six months
12	away.
13	DR. O'BRIEN: I was wondering
14	about the Brandeis CMS evaluation of
15	management for heart failure, MI, and
16	pneumonia. Those are arguably processes of
17	care.
18	CO-CHAIR DUBOW: Okay. So we have
19	now completed our consideration of the cross-
20	cutting measures, and we are now going to
21	infectious disease.
22	CO-CHAIR FLEISHER: Okay. So we

Page 277 have ordered joe for the entire panel, could 1 2 do jumping jacks to get us back on track. Do people want to take two minutes to stretch? 3 4 Why don't we do that. So take two minutes to 5 stretch while we get the next set of measures 6 up, and we will start. 7 (Whereupon, the foregoing matter 8 went off the record at 2:52 p.m. and went back 9 on the record at 2:57 p.m.) 10 CO-CHAIR FLEISHER: Okay. We 11 actually will get Francois back to the table. 12 This is not you? Okay. No, no, no. We are 13 going to start with your measure. 14 CO-CHAIR DUBOW: Under ID, 15 pneumonia. 16 CO-CHAIR FLEISHER: So, you know, 17 I am used to surgeons asking docs, you have a 18 7:45 heart start time, and I am used to 19 calling them at 7:15 and asking where they 20 are. 21 So we are going to start. So, 22 B.J., we would like to start. So we have

Page 278 already actually had a lot of these 1 2 discussions. I want to keep this going. 3 DR. WINKLER: Just by way of 4 introduction to this set of measures, 5 hopefully, we can be a little bit efficient, 6 because one measure is very similar to the 7 measure we talked about earlier today about 8 the PACs, and Francois is back to talk about 9 that. 10 The next three measures for ID are 11 very similar to a heart failure, AMI measures 12 from Brandeis that we already talked about in So the methods are the same. 13 March. The 14 issues should be very much the same. So, 15 hopefully, we can perhaps be a little more 16 efficient in our conversation, without redoing 17 things we have already gone over and over 18 again. 19 So the first measure we are going 20 to talk about -- and we need to go down to 22, 21 Helen -- is the proportion of pneumonia 22 patients that have potentially avoidable

Page 279 complications during the index day or the 30-1 2 day post-discharge measure. So this is brought to you from 3 4 Francois and company, who created a whole 5 suite of measures. This is a measure focusing 6 in on patients who are hospitalized with 7 pneumonia who then have -- again, same 8 methodology, identifying the PACs -- either 9 during their hospitalization or within the 30day time frame immediately after 10 hospitalization. 11 It is measure 22. 12 CO-CHAIR FLEISHER: But the difference is there was one --13 14 CO-CHAIR DUBOW: It is measure 13. DR. WINKLER: 15 Oh, you are right. 16 CO-CHAIR FLEISHER: So we have 17 moved down from the one year to a 30-day, an 18 important point. 19 Right, and it is DR. WINKLER: 20 focusing in on patients whose primary 21 discharge diagnosis was pneumonia. Correct, 22 Francois?

	Page 280
1	MR. DeBRANTES: That is right. So
2	primary discharge diagnosis is pneumonia and
3	potentially avoidable complications, just like
4	in the definitions for chronic illness,
5	include readmissions or ED visits, encounters
6	related to the pneumonia. That would be Type
7	1; Type 2 related to comorbid conditions; type
8	3 related to patient safety issues.
9	When you look at the distribution
10	of the potentially avoidable complications for
11	this type of measure, it is more concentrated
12	around complications that occur related to the
13	index condition and patient safety issues,
14	mostly stuff that happens during the hospital
15	stay.
16	There was a fair amount of
17	discussion during the TAP for pneumonia, and
18	I have to admit, not being a physician and
19	Amita not being around, I was not able to
20	answer a lot of their clinical questions, and
21	I also clearly was not very articulate in my
22	answers; because it didn't seem as if anyone

	Page
1	on the TAP actually understood what I was
2	talking about.
3	CO-CHAIR FLEISHER: Barbara, were
4	you the Chair?
5	MEMBER YAWN: No. I was on
6	pulmonary, but this TAP is infectious disease.
7	CO-CHAIR FLEISHER: Yes, this was
8	under infectious disease.
9	MEMBER YAWN: I don't know why,
10	but it was.
11	CO-CHAIR FLEISHER: Right.
12	MR. DeBRANTES: So, for example,
13	you know, we do specify I tried to explain
14	a couple of times that if a patient comes
15	in and has something present on admission
16	we had that conversation earlier then,
17	obviously, it is not going to be counted as a
18	potentially avoidable complication.
19	We got into circular discussions
20	around the severity adjustment, not dissimilar
21	to what we had earlier.
22	CO-CHAIR FLEISHER: Francois, why

	Page 282
1	don't we get the TAP comments, ask for more
2	comment form the TAP's perspective, the
3	concerns about this measure or what they
4	thought about this measure.
5	MEMBER DELLINGER: I think we had
б	trouble understanding a lot of it, and the
7	issues Francois, it helps me reflecting
8	reasonably well the conversation and some
9	of them are put up in the material here on
10	page 71.
11	There was concern over For
12	instance, thoracentesis was considered a PAC,
13	and yet it is indicated if a patient has
14	pleural fluid and the question of empyema.
15	There are a lot of things included as PACs
16	that seemed to us like necessary components of
17	care.
18	CO-CHAIR FLEISHER: Amita, do you
19	want to address?
20	DR. RASTOGI: So being a thoracic
21	surgeon, I agree with you that thoracentesis
22	is an important part of care, but empyema

	Page 283
1	itself should not happen, and sometimes the
2	codes are picked up by empyema, and sometimes
3	it is picked up by the procedure code.
4	The idea is that we can't avoid
5	all empyema after pneumonia, but these are all
6	potentially avoidable complications that we
7	are talking about, and we want to restrict the
8	number of empyemas that happen, just like we
9	want to restrict the number of dates that
10	happens in patients who are hospitalized.
11	So all these systems are
12	potentially avoidable, and you are not
13	expecting a zero percent rate. So that was
14	the premise by which we were going with most
15	of the definitions.
16	MR. DeBRANTES: So similar to your
17	other measures.
18	MEMBER DELLINGER: Personally,
19	empyema is one of the understood complications
20	of pneumonia. It is the way in which some
21	pneumonias even present. It is really and
22	you know, we could go down to reach another

	Page 284
1	one. I am not even sure we had I guess we
2	had similar complete lists of the PACs, but
3	there was concern about that.
4	DR. RASTOGI: And the fact that
5	you said it is a complication, that itself
б	you know, you said it.
7	MR. DeBRANTES: So here is and
8	I thought one that occurs naturally in the
9	course of the disease. And I think this is
10	the debate that we are having in the field,
11	obviously, because we are instrumenting our
12	program in quite a number of communities
13	around the country, and it is something that
14	we are having in the field and, I think, very
15	useful and instructive to this committee;
16	because instead of In fact, we don't
17	There is no finger pointing or accusations or
18	malfeasance or anything else in any of our
19	implementations.
20	Instead, there is robust
21	discussion within the physician and the
22	hospital community around what truly can be

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1	done to try to avoid some of these PACs, and
2	I have difficulty and this is a difficulty
3	inherent in any measure that relies solely on
4	administrative claims data, is that sometimes
5	you cannot parse the ones that are truly
6	avoidable from the ones that aren't.
7	So our position has been, if the
8	answer to the question is they can never be
9	avoided, and I mean never so not one
10	percent, two; they can never be avoided
11	then we will remove those definitions from
12	PACs.
13	If even one percent can be
14	avoided, then we will include them, because if
15	you don't, then you are sending a signal that
16	says it is not important to count. We think
17	that it is important to count. So that is the
18	only point we are trying to make, and I
19	understand the emotional and philosophical and
20	other issues associated to it.
21	Our position has been let's count,
22	and let's figure out collectively the extent

1 to which we can impact these numbers. 2 CO-CHAIR FLEISHER: Dianne. 3 MEMBER JEWELL: So perhaps this is 4 just I need some clarification about the way 5 things are indicated. I would agree that an 6 empyema, by way of example, that occurs 7 because a patient is out in the community with 8 unattended pneumonia is a different thing than 9 an empyema that occurs because of 10 MEMBER TURNER: They didn't have 11 the right antibiotic. 12 MEMBER JEWELL: Right. But what I 13 also can appreciate is perhaps there is not, 14 to use your word, parse. There is not a way 15 to easily identify what presents on admission 16 versus not. 17 So I am asking the question: Is 18 that the problem or is it that, really in your 19 mind, those things aren't different? 20 MR. DeBRANTES: I don't believe 21 At least in our definition, that should not be		
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21 At least in our definition, that should not be	19	mind, those things aren't different?
	20	MR. DeBRANTES: I don't believe
22 the problem begauge we are were alcor that	21	At least in our definition, that should not be
22 the proprem, because we are very creat that	22	the problem, because we are very clear that

Page 287 elements that are present on admission would 1 2 be excluded from counting as PACs. So that 3 should not be a problem. 4 MEMBER PINDOLIA: Except it will, 5 of course, because not all of us can tell you 6 that was present on admission. But I am going 7 to take another tack, and that is the public 8 health perspective. 9 I would like to believe that we 10 might be able to get people to come in so they 11 aren't out in the community with an unattended pneumonia, and that I as a physician should 12 take responsibility for that also. 13 14 Perhaps that is different being a 15 primary care physician than some people who 16 are, you know, a thoracic surgeon, but I think 17 that that is a potentially avoidable 18 complication, because that patient should have 19 known to come in earlier. We should have made 20 access available to them. 21 Now I can't change all of it, but 22 there might be something I can do about it.

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1	So I think we have to be very careful and not
2	think too narrowly about health systems the
3	way they currently exist, because they are
4	really not very good. We all know that.
5	CO-CHAIR FLEISHER: Okay. Other
б	comments? So, Patch, I am just curious. Is
7	there any present on I mean, what I am
8	hearing in Francois' response and your
9	question, are there inclusion or exclusion
10	criteria that could be applied, or certain CPT
11	codes that maybe should not be in this measure
12	that would satisfy some of your comments or
13	concerns?
14	MEMBER DELLINGER: Well, I am not
15	a CPT or ICD-9 expert. I couldn't possibly
16	The numbers mean nothing to me. I need the
17	labels or the descriptions. I understand what
18	Francois is saying. I think that is
19	reasonable. That was the biggest concern, I
20	think, that the group had with this.
21	You know how to use this. It
22	seems everyone will have PACs. All systems
	Page 289
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1	will have PACs. I guess the issue becomes
2	what is the range of PACs, and is there a way
3	to change that.
4	CO-CHAIR FLEISHER: Lee?
5	MEMBER NEWCOMER: I think this
6	measure I am going to compare to a coffee
7	table book. It is designed to start a
8	conversation rather than to actually weight or
9	rank anything, because of all the issues that
10	are described, you could get into that level
11	of detail with every single PAC.
12	So if we think about it in that
13	term, that this is simply a conversation
14	starter and an internal measure for how we
15	could get better or we could look at other
16	systems and find that they have better
17	measures, find out what they do to get ours
18	better. But you can't make it perfect. It
19	will not happen.
20	CO-CHAIR DUBOW: But I think I
21	don't know if you are trying to narrow the use
22	of this measure. I hope I am not hearing you

	Page 290
1	say that this is for QI and not for public
2	reporting.
3	MEMBER NEWCOMER: Actually, that
4	is probably exactly what I am saying.
5	CO-CHAIR DUBOW: Ah. Well, then I
б	would disagree, because I think that this
7	measure has, again as I observed about the
8	other measure, I think this has salience for
9	a patient.
10	I think that it may very well be -
11	I think that the value, actually, is that
12	it is good for QI, and it does simulate
13	improvement, because it will stimulate that
14	conversation. But, by golly, this is very
15	useful for a patient to be looking at
16	performance, because it is absolutely
17	understandable.
18	MEMBER HOPKINS: And to ask why?
19	CO-CHAIR DUBOW: And to ask why
20	Well, to ask why or you say never mind.
21	MEMBER NEWCOMER: And to follow
22	Can we follow that empyema example as maybe

	Page 291
1	why we are thinking differently about this?
2	I might be at Harbor General in
3	L.A. where a lot of people simply don't have
4	primary care and can't access and are not
5	going to be on antibiotics, and get empyemas,
6	or I could be at your general hospital in
7	Nebraska where the doctors are using the wrong
8	antibiotics, and empyemas are showing up.
9	Those are quite different
10	scenarios, and I would not want to, as a
11	consumer, assume that those two hospitals are
12	exactly the same. They aren't. Same bag, but
13	for much different reasons.
14	So it is a good conversation
15	starter, but for us to say to a consumer
16	hospital X is better than hospital Y based on
17	these measures, I think, is a big stretch.
18	CO-CHAIR FLEISHER: That actually
19	gets to how these measures are being utilized.
20	Although I realize we all have that in the
21	back of our mind and the doc well has
22	emphasized that on several occasions, it still

	Page 292
1	Public reporting is different than pay for
2	performance.
3	I know that may change. So the
4	question is
5	MEMBER NEWCOMER: But we are not
б	talking about either one of those. We are
7	talking about a consumer using the
8	information.
9	CO-CHAIR DUBOW: But it is I
10	think the difference is that what, obviously,
11	I inferred correctly then, that but,
12	unfortunately that Lee was suggesting that
13	these are good quality improvement measures
14	that should be used internally and not
15	publicly reported.
16	Obviously, a criterion that we
17	have at NQF is that these measures be used for
18	both quality improvement and public reporting,
19	and we have a disagreement. I believe that
20	this meets that test.
21	MEMBER NEWCOMER: Maybe not. I
22	don't mind them being publicly reported at

	Page 293
1	all, but I don't think they should be touted
2	as a consumer measure that absolutely
3	distinguishes a difference between one system
4	and another. Whether they are public or not
5	doesn't bother me one bit. It is a coffee
б	table conversation started.
7	CO-CHAIR FLEISHER: So do you have
8	any comment Well, go ahead, Larry.
9	MEMBER BECKER: So I absolutely
10	think they ought to be out there in the public
11	domain. They ought to be out there. I mean,
12	there's any number of measures from cardiac
13	measures to hospital mortality that make
14	differences in systems, and people make real
15	decisions about those.
16	So if there are potentially
17	avoidable complications that one hospital more
18	of those than another, then consumers ought to
19	know that, and they ought to be making their
20	decisions about that.
21	It may be with the specificity
22	anybody would like, because it is not about

	Page 294
1	their specific decision, but we know it is
2	about systems care. it is not necessarily
3	about individual things.
4	So putting this information and
5	having systems react to that and get
6	themselves better because they are motivated,
7	because the data is public, is hugely
8	important.
9	CO-CHAIR FLEISHER: Helen, do you
10	want to comment at all on this discussion? I
11	am going to put you on the spot, Helen.
12	DR. BURSTIN: What do you want me
13	to say? There is nothing else to say. I
14	mean, I think the issue is just that NQF
15	endorsed measures are intended for both,
16	potentially QI as well as public reporting.
17	It just is what it is.
18	CO-CHAIR FLEISHER: Barbara?
19	MEMBER YAWN: I just have a
20	question about You said this was for people
21	whose primary diagnosis was pneumonia.
22	MR. DeBRANTES: Discharge.

Page 295 I know. 1 MEMBER YAWN: Yes, the 2 primary discharge. That is what I am going to 3 get to. We all know that DRG, you rearrange 4 the diagnoses, so you get paid the most. Does 5 this remove some very important pneumonias 6 from this, and is there any way -- and I don't 7 know that there is an easy way to say, well, 8 we don't want pneumonias acquired in the 9 hospital; we want one that they had when they 10 got in. Have you thought that through? You 11 probably have. 12 MR. DeBRANTES: Yes. It is people 13 who -- This excludes patients who got 14 pneumonia while in the hospital. It is for 15 people who -- if you get pneumonia during the 16 hospital, you actually use a PAC. 17 Right. No, that is MEMBER YAWN: 18 a hospital acquired, but there are people who 19 come in primarily for pneumonia, but because 20 you get a higher DRG, they are coded as 21 something else as the primary diagnosis. 22 DR. RASTOGI: We don't want to use

		Page
1	the DRG codes in identifying our triggers.	
2	They are using the principal diagnosis code.	
3	So we deduct the DRGs from our trigger	
4	definition.	
5	MR. DeBRANTES: Partially for that	
6	reason.	
7	DR. RASTOGI: And we have given	
8	the triggers which are, but we then specified	
9	the AHRQ defined community acquired	
10	pneumonias, and if the principal diagnosis was	
11	that, that is what	
12	MEMBER YAWN: Okay. No, I wasn't	
13	worried about the in and out of the hospital	
14	so much as just rearranging an order.	
15	CO-CHAIR FLEISHER: Other	
16	comments, new comments on new topics, because	
17	I would like to actually move to start voting	
18	on the criteria. No comments? Reva, take it	
19	away with a vote.	
20	DR. WINKLER: So first going	
21	through the criteria for this measure,	
22	importance. It is a yes/no vote. So	

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 1
       important to measure and report. Everybody
 2
       who say yes. I don't see any No votes. Okay,
 3
       zero.
                   Scientific acceptability of this
 4
 5
      measure: Completely? Partially? Ten.
 6
                   Minimally? There's got to be one.
 7
       There you go. All right.
 8
                   Usability: Completely?
 9
       Seventeen?
10
                   CO-CHAIR FLEISHER: Hands up
11
      again.
12
                   DR. WINKLER: Okay, 16 that time.
13
                   Partially? This is usability,
14
      partially.
                  Five.
                  Minimally? I'm still missing one.
15
16
                  Not at all? Okay. Seventeen for
17
       complete. Okay.
                  Feasibility: Completely?
18
19
      Eighteen.
20
                   Partially? Four. Okay, that
       should be it. All right.
21
22
                   CO-CHAIR FLEISHER: Okay. Next is
```

Page 298 1 a vote --2 DR. WINKLER: Recommend the 3 measure. 4 CO-CHAIR FLEISHER: --5 recommendation. Is there any conditions, just 6 before? Okay. So either recommend Yes or No. 7 So, Yes? I get 20. 8 CO-CHAIR FLEISHER: No? Two. 9 Abstain? There should be none. 10 Very good. Okay. 11 Next we have three from Brandeis, 12 and this should be very similar to our 13 discussions the last time while I was walking 14 around San Juan. So I may have missed part. 15 They didn't know that? I was walking around 16 old San Juan. So, Reva? 17 MEMBER YAWN: Did you go in any of the breweries there? 18 19 CO-CHAIR FLEISHER: No. I was 20 actually in Starbucks. 21 MEMBER YAWN: Okay. Too bad. 22 DR. WINKLER: Okay. Is Dr.

	Page 299
1	Tompkins with us? Oh, there you are. Sorry.
2	Missed you. So we do have the developers. We
3	have Chris on the phone with us.
4	Essentially, this is the same
5	group of three measures that we have discussed
6	for heart failure and we have discussed for
7	AMI.
8	So measure 003 is the 30-day post-
9	hospital pneumonia discharge ED visit measure.
10	So that is the first one. The second one is
11	measure 004, which is the 30-day post-
12	pneumonia discharge E&M service visit measure.
13	Then the last one, 005, is the 30-day
14	pneumonia discharge care transition composite
15	measure.
16	The methodology for these measures
17	is the same as we have seen with the AMI and
18	the heart failure. These are just applied to
19	patients with correct me if I am wrong
20	primary diagnosis of pneumonia at discharge.
21	Correct?
22	DR. TOMPKINS: Correct.

		Page	300
1	CO-CHAIR FLEISHER: Well, once		
2	again we will get PACs here.		
3	DR. TOMPKINS: Once again, just		
4	like with the VA, MI and the heart failure,		
5	this began with the same many of the same		
6	parameters as the existing CMS readmission		
7	measures.		
8	So it has the same definition of		
9	what the cohort is, as currently seen in the		
10	mortality and the readmission measures. It		
11	has the same 30-day window, uses actually the		
12	CMS readmission rate measure as one of its		
13	components, and then asks the two additional		
14	questions: Were there an emergency department		
15	visit before any readmission, and was there an		
16	evaluation and management visit that occurred		
17	before either a readmission or an emergency		
18	department visit, if any occurred?		
19	CO-CHAIR FLEISHER: Patch, any		
20	comments from the TAP?		
21	MEMBER DELLINGER: I think the ID		
22	TAP was basically content. They had lots of		
I			

		Page	301
1	questions, and the only issue that really		
2	stood out was the rating on the composite		
3	measure, which is arbitrary, and is that the		
4	right weighting. There, of course, is not an		
5	answer to that, I guess.		
6	CO-CHAIR FLEISHER: Any other		
7	comments? David?		
8	MEMBER JOHNSON: The question is		
9	what are you comparing it to? Do you have a		
10	standardized index of people with the same		
11	disease that haven't been hospitalized? Is		
12	that your baseline comparison or Reporting		
13	a number could sound fairly onerous, but if		
14	you knew the likelihood of someone going to		
15	the ER with disease acts or comorbidities,		
16	what would be the Would that be the		
17	baseline comparison?		
18	DR. TOMPKINS: Well, the general		
19	framework is to look at people who just came		
20	home out of the hospital. So the issue of		
21	people in the community who haven't been in		
22	the hospital is a separate reference		

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1 population.

2	The way these measures are
3	constructed, it is using the nomenclature that
4	is referred to as predicted over-expected. So
5	there is a risk adjustment model that predicts
6	the number of these events, of likelihood of
7	these events, and then for the reporting
8	purpose, for any given hospital, it is the
9	extent to which it is predicted or, you might
10	say, loosely speaking, observed rates differ
11	from what is expected based on the risk
12	adjusted value.
13	CO-CHAIR FLEISHER: David?
14	CO-CHAIR DUBOW: I just said we
15	had been through all of that.
16	MEMBER HOPKINS: But since we are
17	all together, I would like to put two pictures
18	on the screen, page 67 Okay? This is the
19	unadjusted data for pneumonia readmission
20	rates: Very wide distribution, huge
21	variability. Right?
22	Now please go to page 68 and see

	Page 303
1	what the risk adjustment algorithm has done to
2	that distribution, and in so doing it has
3	eliminated at least 50 percent of the
4	outliers.
5	Now, you know, some will argue
6	that, well, they should never have been
7	identified as outliers in the first place,
8	because it didn't take into account the size
9	of the hospital and so on and so forth. But,
10	really, how is the public being served by this
11	kind of scrubbing of the original data so that
12	in the end little or no variation remains?
13	That is my question.
14	CO-CHAIR FLEISHER: Chris, do you
15	want to comment?
16	DR. TOMPKINS: Well, I think that
17	a few weeks ago it was when we were talking
18	about this, right? I think that, to some
19	degree, part of your business was you said
20	that you were going to formulate either the
21	Steering Committee, NQF or somebody who was
22	going to communicate back to CMS about the

	Page 304
1	advisability of using this particular
2	technique for outcome measures, and that is
3	separate from whatever I did. Right? Our
4	measure borrowed the existing thing.
5	Philosophically, there The problem is that
6	outcome measures have a lot of noise, and
7	systematically, in general, there are three
8	ways in which you can try to deal with that.
9	One is to try to increase the amount of time,
10	the number of events. You can increase the
11	number of measures, which is my preferred
12	philosophy.
13	This one, unfortunately, blends
14	hospital-specific information with the growing
15	mean, which is what a lot of people don't
16	like. It results in discounting or granting
17	a lot of or giving a lot of deference to
18	the outlier status that is assumed to be
19	noise.
20	MEMBER HOPKINS: And it is not the
21	only part of such risk adjustment. That is
22	the point.

Page 305 DR. TOMPKINS: Right, and just for 1 2 people who are following it, the risk 3 adjustment, as it was occurring earlier and 4 today, is typically thought of as the ability 5 to use information such as the patient's 6 comorbidities and so forth, to set an 7 expectation. 8 This is rolled into what they 9 refer to as a risk standardized method, which is hierarchical modeling that combines the 10 11 individual and the group averages together. 12 CO-CHAIR FLEISHER: So this --13 Would you like to comment from CMS? 14 DR. HAN: Is this the readmission, not the one that --15 16 DR. BURSTIN: Yes. Just the 17 CO-CHAIR FLEISHER: readmission rates. 18 19 CMS raised did raise DR. HAN: 20 this issue when the measure was developed. So 21 this is what we understand, the narrow 22 distribution after you risk adjusted.

	Page 306
1	First, we understand from the
2	developer, the readmission rate is very high.
3	It is like one out five. I am talking about
4	a general AMI, heart failure, pneumonia. So
5	it is like It is a bad thing across the
6	board. It is really bad to have one out of
7	five. So then we thought, that's fine.
8	The other thing is that we
9	understand also from the developer, risk
10	adjustment for comparing hospitals or
11	profiling hospitals, there are certain factors
12	we don't risk adjust them away. So this risk
13	adjustment model, we have case mix in the risk
14	adjustment model.
15	So what we were told is that
16	readmission is very particular. Maybe the
17	system factors play bigger role in the
18	variation of the hospital performance on
19	readmissions.
20	So that is the reason why that we
21	got everybody is bad. So that is quite
22	narrow, very close to each other, and system

1 factors play a bigger role. So that is why we 2 were told that you can see the R-square is 3 very low, because, you know, we purposely not 4 to risk adjust system factors. That may tell 5 you the variation. 6 I am not sure that I explained it 7 well, because this is what we understand. 8 MEMBER HOPKINS: I think, 9 actually, she probably right, but the last 10 measure we just approved was not giving people 11 a bye for system factors. 12 CO-CHAIR FLEISHER: So, Lein, will 13 you comment, because we could spend probably 14 the next two days debating this issue. So one 15 option is to continue debating it. The other 16 option is to continue evaluating the 18 appropriateness of hierarchical models, but we 19 defer to NQF to bring this up in other panels, 20 and then 21 MEMBER NEWCOMER: So my only new 22 comment is that, going to the second		Page 307
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21 MEMBER NEWCOMER: So my only new	19	defer to NQF to bring this up in other panels,
	20	and then
22 comment is that, going to the second	21	MEMBER NEWCOMER: So my only new
	22	comment is that, going to the second

Page 308 methodology makes the nation assume that one 1 2 out of six is a normal, because that is what you have done. You have tightened that around 3 and said one out of six is normal, but if you 4 5 look at the preceding draft best practice, 6 seven percent of hospitals are able to get to 7 zero. 8 My point is that we should not 9 accept the .15 as normal. We should be driving to zero. 10 11 CO-CHAIR FLEISHER: And to be 12 honest, if you look at all the data on central line infections, we no longer accept what was 13 14 the average. 15 MEMBER NEWCOMER: But we are 16 encouraging that in this. 17 CO-CHAIR FLEISHER: So the 18 question is, are you saying we shouldn't 19 endorse this measure? This measure is 20 actually already endorsed. So can I ask --21 Amy? 22 MEMBER ROSEN: I want to get back

	Page 309
1	to the ER measure.
2	CO-CHAIR FLEISHER: Well, I just
3	want to Does anybody have any other
4	comments with regard to risk adjustment in the
5	model?
6	MEMBER AMARASINGHAM: Well, I
7	think the comment that is probably important
8	is we have accepted this risk adjustment
9	methodology for the other measure, the other
10	composite measure.
11	So I think it is important for all
12	the decisions we make that we are consistent,
13	and if we have already set a precedent, I
14	think I would be hard pressed to kind of go
15	against that on almost an equivalent measure.
16	But I do think that we should bear in mind how
17	we voted on the prior measure, which is we
18	accepted the composite but not the standalone
19	measures.
20	CO-CHAIR FLEISHER: Okay. So I am
21	just asking. Is there general consensus that
22	Do you want to ask NQF to reevaluate the

1	Page 310 hierarchical model in light of this
2	discussion?
3	MEMBER HOPKINS: Yes.
4	CO-CHAIR FLEISHER: How many
5	people think that NQF just a statement?
6	CO-CHAIR DUBOW: Well, it is not
7	exactly NQF. It is CMS.
8	CO-CHAIR FLEISHER: It is CMS.
9	CO-CHAIR DUBOW: Isn't it?
10	DR. BURSTIN: Well, I think this
11	is a bigger issue than we are going to be able
12	to sort of swallow today. I think we have
13	heard it. The committee has clearly
14	indicated. I think those tables are
15	incredibly compelling, in a way, but I have
16	not seen it before, David. So I do think this
17	is something we need to think about.
18	For now, though, that is the
19	current endorsed measure that is not up for
20	decision making today. It is part of a
21	composite, just like it was on the first two.
22	CO-CHAIR FLEISHER: Thank you. It

	Page 311
1	sounds like in the report there will be a
2	statement with regard to our concerns about
3	risk adjustment. So new topics?
4	MEMBER AMARASINGHAM: Well,
5	actually I think Amy was first. Go ahead.
6	CO-CHAIR FLEISHER: Sorry, Amy.
7	New topic.
8	MEMBER ROSEN: Conceptually, I
9	have some concerns about readmission as an
10	outcome measure, and I think it is an outcome
11	measure, and I think that being admitted to an
12	ER, you know, within 30 days after
13	hospitalization is as much dependent on the
14	outpatient care one gets as the hospital care,
15	and I don't see that taken into account here.
16	I think, conceptually, an ED
17	measure is very different than a readmission
18	measure. I think oftentimes it has to do with
19	an availability of primary care and
20	accessibility.
21	So I don't know how that is taken
22	into account in this particular measure. So

		Page	312
1	I raise that concern.	_	
2	CO-CHAIR FLEISHER: So, Patch, I		
3	will just take chair prerogative. When we		
4	vote, we will actually vote similar to our		
5	previous time where it could be endorsed only		
6	part of the composite, just to		
7	MEMBER DELLINGER: because		
8	there is a composite measure which measures		
9	E&M and gives you credit for E&M and deficit		
10	for an ED visit.		
11	CO-CHAIR FLEISHER: Right. So it		
12	is identical. Chris, do you want to comment?		
13	DR. TOMPKINS: Well, I think that		
14	the last comment about the composite is		
15	correct. This is a care transitions measure.		
16	It is saying when people leave the hospital.		
17	I don't think anyone is going to say that,		
18	when somebody left the hospital, that the		
19	hospital is solely responsible for everything		
20	that happens. The idea is to say that the		
21	hospital is part of the system.		
22	CO-CHAIR DUBOW: This is a care		

Page 313

1	coordination measure.	

2	CO-CHAIR FLEISHER: Right. So we
3	will have the option to endorse each measure
4	separately or endorse it only in the context
5	of care or not endorse it in concert with
6	a composite excuse me or not endorse it.
7	MEMBER AMARASINGHAM: I think what
8	I would like to do for the committee is just
9	restate our case for why we thought it needed
10	to be considered together, and that is because
11	there may be cases where a hospital does quite
12	well on the readmissions, but maybe the ED
13	measure or the post-acute care measure doesn't
14	do so well.
15	I mean, you need to consider all
16	of that, because there's very innovative
17	models out there that I am familiar with, and
18	that was the rationale for the composite, is
19	for us to stem the measures previously, and I
20	am not sure we would want to have different
21	sets of criteria for the different measures.
22	CO-CHAIR FLEISHER: Thank you.

Page 314 Any new comments? Okay, time to vote on the 1 2 four criteria. Reva? 3 DR. WINKLER: Okay. We are going 4 to start with the ED visit measure, which is 5 003, and so, as before, importance to measure 6 and report. It is a yes/no vote. So all 7 agree it is yes, ED visit measure --8 CO-CHAIR FLEISHER: Just a clarification. So if we vote no, can we put 9 it in the composite? 10 11 MEMBER NEWCOMER: Can you do two 12 yeses? Can you vote for it and put it in the 13 composite? 14 DR. BURSTIN: Yes. 15 DR. WINKLER: Right. But right 16 now we are just doing the criteria and how 17 this particular measure individually addresses 18 the --19 DR. BURSTIN: This is a standalone 20 vote. 21 DR. WINKLER: Yes -- addresses the 22 criteria. then the recommendation will have

Page 315

1those multiple components.2MEMBER JUSTER: Not just as a3standalone vote. We do Should we just be4voting no right now?5DR. WINKLER: The integrity of the6measure itself, not how well you think it is7going to work as a standalone measure. Right.8CO-CHAIR FLEISHER: So if you9believe it should be in the composite, you10should vote yes for importance. Is that11correct?12DR. BURSTIN: You should vote13whatever you think. I think the reality is14just rate this measure as it stands by15criteria. You will have the opportunity to16make the decision of whether overall you want17it as a standalone or you will get the18chance to talk about it in the composite19shortly.20CO-CHAIR DUBOW: We are voting on21DR. WINKLER: Right. So the		I
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8 CO-CHAIR FLEISHER: So if you 9 believe it should be in the composite, you 10 should vote yes for importance. Is that 11 correct? 12 DR. BURSTIN: You should vote 13 whatever you think. I think the reality is 14 just rate this measure as it stands by 15 criteria. You will have the opportunity to 16 make the decision of whether overall you want 17 it as a standalone or you will get the 18 chance to talk about it in the composite 19 shortly. 20 CO-CHAIR DUBOW: We are voting on 21 this measure.	6	measure itself, not how well you think it is
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<pre>10 should vote yes for importance. Is that 11 correct? 12 DR. BURSTIN: You should vote 13 whatever you think. I think the reality is 14 just rate this measure as it stands by 15 criteria. You will have the opportunity to 16 make the decision of whether overall you want 17 it as a standalone or you will get the 18 chance to talk about it in the composite 19 shortly. 20 CO-CHAIR DUBOW: We are voting on 21 this measure.</pre>	8	CO-CHAIR FLEISHER: So if you
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<pre>19 shortly. 20 CO-CHAIR DUBOW: We are voting on 21 this measure.</pre>	17	it as a standalone or you will get the
20 CO-CHAIR DUBOW: We are voting on 21 this measure.	18	chance to talk about it in the composite
21 this measure.	19	shortly.
	20	CO-CHAIR DUBOW: We are voting on
22 DR. WINKLER: Right. So the	21	this measure.
	22	DR. WINKLER: Right. So the

Page 316 importance to measure and report for a measure 1 2 of ED visits within 30 days after hospital 3 discharge for pneumonia. Important to measure 4 and report, Yes? I get 19. 5 No? Three. Oh, I can't add. I'm 6 sorry. 7 Okay, scientific acceptability of 8 this measure as specified. Does it meet the criteria completely? I see zero. 9 10 Partially? I don't see any 11 others. So, okay, all 22 for partially. 12 Usability: Does it meet the criteria completely, how many? Five? Okay. 13 14 Partially meet the usability criteria? 15 Fourteen. 16 And minimally meet the criteria? 17 Is that right? No, I've only got 20. One. 18 There is a No. Did everybody vote? You voted 19 Okay, so you are a No. Does it still add no? 20 up? 21 That is right. MS. BOSSLEY: 22 All right, the last DR. WINKLER:

	Page 317
1	one is feasibility. Completely? I get 20.
2	MS. BOSSLEY: And 21.
3	DR. WINKLER: Okay, 21.
4	MEMBER HOPKINS: Is this measure
5	for fee for service, Medicare only? Is it one
6	of those?
7	CO-CHAIR FLEISHER: Chris?
8	DR. TOMPKINS: The empirical
9	estimations that we gave you are for fee for
10	service only. There is no reason conceptually
11	why it couldn't be used by anybody who is a
12	payer or even a cafeteria delivery system. If
13	you had information on all the covered
14	services, you could implement it.
15	DR. WINKLER: Okay.
16	CO-CHAIR DUBOW: We only had 21.
17	DR. WINKLER: I was just going to
18	say, feasibility: Did somebody have a
19	partial? Okay, there is one.
20	CO-CHAIR FLEISHER: We are missing
21	one usability.
22	DR. BURSTIN: In case everybody is

Page 318 wondering, we have finally, in fact, ordered 1 2 those little handheld voting devices. They 3 are on their way, finally. We will see if 4 that is better or worse. 5 CO-CHAIR FLEISHER: So now vote on 6 the measure. Do you want to standalone for 7 each of them? 8 DR. WINKLER: We have only just 9 done the ED visit measure. Right. 10 CO-CHAIR FLEISHER: So go through 11 the other two. 12 DR. WINKLER: So do you want to do 13 the recommendations for this particular 14 measure? 15 CO-CHAIR FLEISHER: Yes. So 16 recommendations. The options are: Yes as a 17 standalone; yes, but only as part of a 18 composite measure; or no. 19 DR. WINKLER: Only as a 20 standalone. 21 CO-CHAIR FLEISHER: Okay, just up 22 or down. Yes as a standalone? Who votes yes?

Page 319 DR. WINKLER: Eight. No for a 1 2 standalone measure? Thirteen is what I get. I didn't vote. 3 MEMBER HOPKINS: Ι 4 didn't understand what measure. The one on 5 the screen is --6 DR. WINKLER: This is the ED visit 7 measure. You do? Okay, so that is nine. 8 Nine yes, 13 no as a standalone measure. 9 CO-CHAIR FLEISHER: Okay, next. 10 DR. WINKLER: So next we will go 11 to the pneumonia, which is the E&M visit 12 measure. Okay? You know the discussion. Is there any discussion about the 13 14 E&M measure before voting on the criteria? 15 Okay. So I take it you want to do -- all 16 right. 17 So importance to measure and report on a measure of follow-up care 18 19 afterward, yes or no. Yes? Twenty-one, and 20 Barbara is not here. So 21, okay. That makes 21 the No zero. Scientific acceptability of 22 Okay.

Page 320 the measure properties: Completely meets the 1 2 criteria, how many? Zero. Partially meets the criteria? 3 4 Twenty-one, okay. 5 Usability: completely meets the 6 criteria? One. 7 Partially meet the criteria? 8 Eighteen. 9 Minimally meets the criteria? There is one. 10 And not at all meet the criteria? 11 12 Is that you? 13 MEMBER HOPKINS: I have looked in 14 this section under this measure. It is old 409, right? 15 CO-CHAIR FLEISHER: So you are 16 17 abstaining or not? 18 MEMBER HOPKINS: I am happy to 19 abstain. 20 DR. WINKLER: Okay. Abstain. So 21 feasibility: Completely meets the criteria? 22 I get 14.

1	Partially meet the criteria?	Page	
2	Seven.		
3	Minimally meet the criteria?		
4	Barbara is out. Okay. Great. That's it.		
5	All right. So the last one is the		
6	recommendation as a standalone measure. Yes?		
7	DR. TOMPKINS: Just to connect a		
8	couple of dots. The mediocre scoring on		
9	The partial on scientific acceptability and		
10	the sort of mediocre on usability is that		
11	wound up in this question about the		
12	hierarchical modeling? That is what I		
13	thought. I just didn't want go unspoken about		
14	that, that it was something else that was		
15	major going on.		
16	DR. WINKLER: Okay. So we are		
17	back. We are voting on recommendation of this		
18	measure as a standalone measure. We will get		
19	to the composite.		
20	All in favor of it as a standalone		
21	measure? This is the E&M visit after		
22	pneumonia discharge. Four. I am getting four		

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Page 322 1 yeses. 2 CO-CHAIR FLEISHER: And No? 3 DR. WINKLER: And No: Seventeen. 4 CO-CHAIR FLEISHER: Barbara is not 5 here. 6 CO-CHAIR DUBOW: So that is 7 correct. 8 DR. WINKLER: Fine, so that is 9 everybody. All right. So the next discussion 10 is around the composite measure, which brings 11 12 together the currently endorsed readmission 13 measure with the ED visit measure and the E&M 14 service measure, with the same weightings as we saw previously in the other two measures. 15 It is a -4 for readmission, -2 for ED, and a 16 +1 for the E&M service. So it is all the 17 18 same, no changes. 19 CO-CHAIR FLEISHER: Did you want 20 to have a separate discussion? I had thought 21 we -- Any new points? Okay. 22 So importance: Yes?

Page 323 DR. WINKLER: It is unanimous. 1 So 2 that is 21. Barbara is still out. Right? Okay. So scientific 3 4 acceptability: Completely meets the criteria? 5 Two. 6 Partially meets the criteria? 7 Nineteen. Okay. 8 All right. Usability: Completely 9 meets the criteria? I am seeing none. Partially meets the criteria? 10 Is that everybody? Okay. So it is 21. 11 12 Feasibility: Completely? 13 Thirteen. 14 Partially? Eight. That's it. 15 Okay, so the next is the 16 recommendation on the composite measure going forward. Are there any conditions? 17 18 CO-CHAIR FLEISHER: None. 19 DR. WINKLER: Bless you. 20 MEMBER HERMAN: We did talk about 21 a condition when these went through the TAP, 22 is that should this always be tied to an E&M?

	Page 324
1	We talked about the carriers, and there is a
2	lot of places that are doing this through home
3	visits and things that are not tied to an E&M.
4	DR. WINKLER: And for those of you
5	who put that in your comments, you got a No on
6	the measure and the composite.
7	MEMBER HERMAN: Right, but
8	DR. WINKLER: But it still passed.
9	MEMBER HERMAN: Yes.
10	DR. BURSTIN: Although I was
11	actually going to raise the same thing, not so
12	much for the composite, but I do think it is
13	important, particularly for Brandeis and CMS,
14	to consider the fact that I think this measure
15	would have done better as a standalone, if in
16	fact that issue had been addressed.
17	I think that is partially the
18	reason why it had difficulty, because it
19	excluded all the innovation of people, in
20	fact, using a nurse telephone or something
21	like that, when you wouldn't capture it as a
22	physician E&M visit.
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		Pag
1	MEMBER JOHNSON: Is there any way	
2	that you co-adjust for utilization of ERs in	
3	a given area, because if certain areas and	
4	this came up before in the discussion of	
5	coverage it is a standard. Somebody calls	
б	after the office is closed, go to the ER, as	
7	opposed to management interventions that may	
8	preclude going to the ER, and whether or not	
9	that ER actually led to a hospitalization.	
10	The utilization of the ER is	
11	that variance part of this? How do you	
12	balance that as far as what standards are for	
13	people that call after hours?	
14	DR. TOMPKINS: Well, I mean, we	
15	would lose a lot of points on feasibility if	
16	we tried to capture things like telephone	
17	calls and information about whether the	
18	primary office, doctor's office, was closed	
19	when the ER visit occurred.	
20	So there is always a trade-off	
21	here. This as I said before, it is a	
22	profound system, and where the system breaks	

Page 326 down is going to ding you somehow, and if the 1 2 primary care doctor's offices tend to be 3 closed and the ED is the only thing that is 4 open, then that system would tend to look 5 worse because of that. 6 If the system wants to improve in 7 some way, it ought to do its own engineering 8 to figure out why it is that it is either 9 below average or less than it thinks it ought So issues like access to alternatives, 10 to be. 11 I think, is part of the game here, and gain. That is the opportunity for gaming. 12 13 MEMBER JOHNSON: But I quess, if 14 it is a system that is unified as opposed to 15 a hospital when you've got independent 16 providers. Then it is not really a system. Ιt 17 is just a -- It is an organization of 18 dysfunctional participants. 19 DR. TOMPKINS: Well, you know, 20 we've turned a corner here, and ACO is the 21 great acronym of the day, and people are 22 asking how is it that we can have a fragmented

	Page 327
1	system look more like a system.
2	Measures like this are intended to
3	profile the system performance, and leave it
4	to the professionals to figure out where the
5	deficiencies are that could best make their
6	score improve.
7	CO-CHAIR FLEISHER: So calling for
8	a vote. All those in favor of the measure
9	The composite?
10	DR. WINKLER: It is unanimous.
11	CO-CHAIR FLEISHER: Okay. I guess
12	we are now going to call for any public
13	comment on the measures that we have discussed
14	today, since this morning.
15	DR. GALLAGHER: This is Rita
16	Gallagher from the American Nurses
17	Association.
18	There is no specific comment on
19	the measure as discussed, but really a
20	reminder that consideration of patient
21	outcomes in the absence of consideration of
22	the processes and/or structures by which those

Page 328 outcomes arose is problematic. 1 2 CO-CHAIR DUBOW: Thank you, Rita. 3 Anybody else? We are due for a 15-minute break. 4 5 That means we have this afternoon before we eat dinner, we need to finish the 6 7 cardiovascular and the surgery measures. 8 So you are getting 10 minutes. We are going to start again at four, and we are 9 10 going to start with the BET measures to take 11 advantage of the fact that we have the developer with us today. 12 13 (Whereupon, the foregoing matter 14 went off the record at 3:50 p.m. and went back 15 on the record at 4:05 p.m.) 16 CO-CHAIR DUBOW: We are eating at 17 six o'clock, because nobody wanted hang time 18 in the Marriott, and Heidi has, obviously, 19 worked that for us. Then the bus will come 20 and bring you back at -- We are leaving at 21 7:30, but maybe we will make it earlier. 22 Tomorrow morning we are starting

	Page 329
1	promptly at 8:30, and food will be here. So
2	the buses will get you. You will be picked up
3	at 8:10, and good luck to you in coming
4	downtown at rush hour to be here. I said good
5	luck.
6	This is the David Johnson dinner
7	at six o'clock. Okay. Let's get started,
8	guys.
9	CO-CHAIR FLEISHER: So we are
10	going to start the cardiovascular measures,
11	and we are going to start Amita, do you
12	want to join us at the table. Why don't you
13	start with the Bridges to Excellence measures,
14	and then we will go to the STS and then the
15	SVS measure.
16	Do we have the TAP Chair?
17	CO-CHAIR DUBOW: Dr. Gibbons.
18	CO-CHAIR FLEISHER: Dr. Gibbons,
19	are you still on the line?
20	MEMBER GIBBONS: This is Ted
21	Gibbons.
22	CO-CHAIR DUBOW: Are you there?

Page 330 CO-CHAIR FLEISHER: Great. 1 2 MEMBER GIBBONS: I have been here 3 all day. 4 CO-CHAIR FLEISHER: Fantastic. 5 Dr. Gibbons, if you would like to vote on any 6 of the measures, I guess you can send an email 7 to Reva. 8 MEMBER GIBBONS: I will send an 9 email. Fantastic. 10 CO-CHAIR DUBOW: Thank you. 11 CO-CHAIR FLEISHER: Greatly 12 appreciated. So I guess, Reva, do you want to start with a brief introduction of the two 13 14 measures, and then --15 DR. WINKLER: All right. The first two measures we are going to talk about 16 are very similar to ones we have already done. 17 18 These are more of the Bridges to Excellence 19 measures with PACs. 20 The first one is the proportion of 21 patients hospitalized with AMI that have a 22 potentially avoidable complication during the

1index stay or in the 30-day post-discharge2period.3So the methodology is the same.4The approach is the same. The number is OTI-5030-09.6CO-CHAIR FLEISHER: Ted, do you7want to Any comments from the TAP?8MEMBER GIBBONS: Well, the TAP had9differing approaches or at least different10takes on the AMI or stroke avoidable11complications.12The AMI had very few controversies13 There was some feedback that weren't14controversial, but really reflects some of the15questions that were posed earlier, primarily16CO-CHAIR FLEISHER: We are losing17about every other word.18MEMBER GIBBONS: I'm sorry. Can19you hear me now?		Page
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5 030-09. 6 CO-CHAIR FLEISHER: Ted, do you 7 want to Any comments from the TAP? 8 MEMBER GIBBONS: Well, the TAP had 9 differing approaches or at least different 10 takes on the AMI or stroke avoidable 11 complications. 12 The AMI had very few controversies 13 There was some feedback that weren't 14 controversial, but really reflects some of the 15 questions that were posed earlier, primarily 16 CO-CHAIR FLEISHER: We are losing 17 about every other word. 18 MEMBER GIBEONS: I'm sorry. Can	3	So the methodology is the same.
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	17	about every other word.
19 you hear me now?	18	MEMBER GIBBONS: I'm sorry. Can
	19	you hear me now?
20 CO-CHAIR FLEISHER: Yes.	20	CO-CHAIR FLEISHER: Yes.
21 MEMBER GIBBONS: I'm sorry. I am	21	MEMBER GIBBONS: I'm sorry. I am
22 on a land line. It is actually connected with	22	on a land line. It is actually connected with

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wires and walls. 1 2 The stroke issues related to the understanding that some of the adverse 3 4 outcomes of stroke may be occurring -- I think 5 those group of issues have kind of been 6 discussed earlier. I don't know that there 7 were -- what are seen as complications of care 8 are actually part of the -- process --9 CO-CHAIR FLEISHER: Thank you. 10 Amita, do you have any comments or responses to the concerns of the TAP? 11 12 DR. RASTOGI: No. Dr. Gibbons commented very well. Francois is not here 13 14 right now, but what he mentioned to me was the 15 same thing, comorbid considered as part of the 16 care process of stroke and cancer, and I kind 17 of basically thought that it is important to 18 count it, even if it happens, because in the 19 end we want to reduce the number of PACs. So 20 it is a complication that could be part of 21 more discussion. 22 Yes, but I think MEMBER GIBBONS:

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1	that the discussion really homed on the global
2	access to care and the early recognition of
3	stroke, and trying to reduce the morbidity
4	associated with stroke y focusing on the
5	global process.
6	CO-CHAIR FLEISHER: So were you on
7	the line or were you available to the TAP so
8	that the comments after I was just
9	wondering if the TAP got the responses from
10	Bridges to Excellence and what the TAP thought
11	of the responses.
12	MEMBER GIBBONS: Yes, the
13	discussion that took place on the responses
14	really focused on really some of the same
15	issues, such that they weren't meant to
16	penalize individuals institutions that took
17	care of high risk stroke, but really to
18	reflect the process of care and reduction of
19	potentially avoidable complications.
20	CO-CHAIR FLEISHER: Comments from
21	the Steering Committee?
22	MEMBER AMARASINGHAM: I just had a

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1	question. I assume the risk adjustment
2	methods are essentially the same as before,
3	and I guess my same question that I had
4	before, which is: These risk adjustment
5	methods which appear to me novel have not been
6	tested in head to head comparisons with other
7	risk adjustment methods yet. Right? That is
8	being done by Rand?
9	DR. RASTOGI: That is right, and
10	as Francois mentioned, you know, the PAC rates
11	are developed, and then the severity index is
12	there. If folks feel strongly about it, they
13	can adjust it for the severity index, but that
14	is basically what it is.
15	Rand currently has taken our
16	models and are giving it a complete make-over,
17	so to say, testing the models and doing all
18	kinds of analyses and subgroup analyses. So
19	that is a different project completely.
20	MEMBER AMARASINGHAM: I guess the
21	question would be, because it does appear to
22	be sort of novel approach to do this, and I

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1	recognize the challenges with data collection		
2	which requires this approach, what will we do		
3	if Rand concludes that it is inferior to other		
4	risk adjustment approaches?		
5	DR. RASTOGI: At this point, I		
6	don't think it is a matter of whether it is		
7	inferior or superior. It is just finding a		
8	risk adjustment approach. Right? So at this		
9	point, the PAC percentages that we are		
10	calculating with the patients, that		
11	calculation is there. Whether they are		
12	severity adjusted depends on the users,		
13	whether they want to use it or not.		
14	So the emphasis is more than		
15	counting the PAC rate. That is the idea		
16	behind it.		
17	MEMBER AMARASINGHAM: The only		
18	thing that concerns me I love the approach,		
19	and I think what Prometheus is great, but the		
20	reason I had to vote no on the other cases is		
21	because there is an element of a leap of faith		
22	here about this risk adjustment method. It is		

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1	not a typical approach, and it hasn't been
2	validated against other approaches.
3	MEMBER PINDOLIA: I wonder if the
4	other approaches have been validated in this
5	type of a measure, though. Yes, they may have
б	been done for a long time, and most of us who
7	use Charleson now, but it has been done for a
8	long time, but it is really not a very great
9	measure, and some of the others that have been
10	used maybe don't work terribly well for this
11	particular situation. So
12	MEMBER AMARASINGHAM: Well, I
13	agree. You know, with any measure you want
14	some sort of reproducibility and triangular
15	validation. So if you had multiple measures
16	that are pointing to the same result, even if
17	they are inferior but there are somewhat all
18	pointing to the same result, then you feel
19	like you are on stronger ground.
20	MEMBER PINDOLIA: I agree. Yes.
21	CO-CHAIR FLEISHER: Okay.
22	MEMBER TURNER: So one of the

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axioms of the panels when we were reviewing
 the statistics in papers is how they developed
 the models.

I understand the p value driven 4 5 models, and that is exactly what it looks like 6 it is. You only include things that are in 7 there with a p value that is less than .25, 8 and then you use stepwise modeling, which is 9 also a no-no, from our point of view, the reason being that you should have clinical 10 input to try to decide which variable is 11 important, not p values, and not let the 12 program decide what variables to be in there. 13 14 So it makes me feel much more uncomfortable about the risk model if that is 15 16 the way it was developed, because it doesn't really have a clinical mind behind it. 17 18 MEMBER HOPKINS: Do just a 19 question of philosophy. Because clinicians 20 think that the factor is important, is that 21 sufficient, even though you put it to a 22 statistical test and find that it isn't?

		Page	338
1	DR. TURNER: Yes, because there		
2	are a lot of factors that affect each other,		
3	even though it is not obvious by itself, that		
4	a p value is like, let's say, age may not be		
5	significant in the model, but it has an		
6	important affect modification on other things		
7	that you are concerned about, like the		
8	diseases.		
9	So it in itself isn't		
10	independently predicted, but the way other		
11	important variables that are in the model are		
12	interpreted has to have age in the model to		
13	correctly understand them.		
14	The other thing is that the power		
15	of this is that you have really big databases.		
16	I mean, you've got lots of ability to include		
17	a whole host of variables, and I think that is		
18	where you have the opportunity to really		
19	include a lot of the key predictors and not		
20	let the machine decide what is in there.		
21	MEMBER HOPKINS: Can I ask Sean to		
22	comment on this, as the expert on risk		

	Page 339
1	adjustment. I thought it was right to use
2	statistics to demonstrate what is significant
3	or not in a risk model.
4	DR. O'BRIEN: Yes, and I think you
5	can find that clinicians do not always know
6	exactly what is going to predict. There are
7	all kinds of surprises, and the surprises
8	aren't always just spurious associations.
9	They are real things that are uncovered by the
10	data that are not uncovered by clinicians.
11	On the other hand, depending on
12	the size of the data, what outcome you are
13	studying, the variable, selection procedures
14	can be highly unreliable in the sense that if
15	you did the exercise in choosing variables and
16	you repeat it again in a different dataset
17	that based on the same population, you may get
18	entirely different predictors in the model.
19	I think it is acceptable, although
20	based on a little bit of error. You are not
21	going to choose the variables perfectly, but
22	one consideration. As these models become

Page 340 published and codified and accepted as the 1 2 model. Other people will come along and want 3 to do a different approach, and we say, no, we have endorsed this model, but there's 4 5 variables in the model that are partly haphazard. 6 7 So you might think you don't know 8 exactly what the important predictors are, 9 because there is some uncertainty in the 10 approach that basically takes the potential 11 variables that you think are important and just leaves them in the model and then adjusts 12 13 for them is another possible approach to doing 14 it. So not relying so much on variable selection is an option that I think some 15 16 statisticians would support. 17 CO-CHAIR FLEISHER: Amita, did you 18 want to comment? 19 DR. RASTOGI: I would like to give 20 a little bit of feedback on that, because as 21 a -- you know, I am not a biostatistician, but 22 as a physician, I was concerned about the

		Pag
1	reliability of each variable that went in.	
2	And as you will see, each model that was	
3	developed we've got 21 UCLs now. Each	
4	model that was developed for each part of that	
5	portion or part of that episode was very	
6	carefully calculated for input variables that	
7	are specific to that particular episode.	
8	So AMI variables are not the same	
9	as pneumonia variables, and they are not the	
10	same as stroke variables. There are certain -	
11	- and you will see that in the expanded	
12	trigger in the all-codes workbook. Each one	
13	of them was specifically tailored for that	
14	particular episode, from input from the	
15	physicians who helped us develop it.	
16	MEMBER TURNER: No. I think that	
17	is great. What I have trouble with is when	
18	you take your risk factor variables that your	
19	experts give you and then you enter it into	
20	the model using stepwise regression, and drop	
21	them out based on a p value.	
22	I thought also another thing about	

		Page
1	what you do is you enter classes of variables	
2	into the model and see how they affect it, and	
3	I like that, too. I just feel like this is a	
4	very It is very important to not allow the	
5	modeling to be too specific to the data that	
6	you have, for the reasons we just heard.	
7	CO-CHAIR FLEISHER: Barbara, and	
8	then I have a comment.	
9	MEMBER YAWN: I would have been a	
10	lot more concerned if the p value that had	
11	made them remove it had been .05. When you	
12	start getting to .25, then I think that I am	
13	much less concerned.	
14	I think then you may have the best	
15	of both worlds, which is trying to look at	
16	some ability to do more than use our opinions,	
17	which, of course, are wonderful, but we are	
18	somewhat narrow minded occasionally about, you	
19	know, I see family medicine, so cardiology	
20	must look just like family medicine or things	
21	like that.	
22	So I was pleased that there was,	

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	Page 343
1	in my opinion, this combination of the
2	clinical significance and then some very broad
3	statistical significance. I mean .25 is
4	really you know.
5	MEMBER TURNER: So to make all of
б	us happy, did you do this in multiple datasets
7	and find that the same variables were, in
8	fact, selected?
9	DR. RASTOGI: There was a
10	bootstrap validation process that was
11	followed, and it was run 200 times, and then
12	the variables that they selected 80 percent of
13	the time were the ones that were finally
14	chosen.
15	MEMBER TURNER: This is the same
16	data, but that is good.
17	DR. RASTOGI: Yes. And then to
18	your point, what we have now These programs
19	are now available as an automated function,
20	and each health plan can develop it from
21	scratch on their own data.
22	So they don't have to use the

Page 344 coefficients and the variables that we select, 1 2 just the EEGs and all. When I was with 3 Ingenix and I developed the EEG regression 4 models, you publish the coefficients every two 5 years, every three years. Right? Then 6 everybody has to adopt it, or at 3M. You 7 know, the publish the coefficients every so 8 often, and everybody has to use that as the 9 industry norm. 10 Here it gives the capability for 11 each health plan to choose the variables that 12 are selected for that population. So it runs 13 from scratch, but they have to have a minimum 14 sample size for that to go. So we give them 15 scoring one, scoring two, scoring three 16 logics, which is all part of the automated 17 programs. 18 So from usability point of view, 19 the needed criteria are friendly, and the 20 HealthPartners has run it, and they have 21 really -- You know, I was talking to Chad 22 Hines just the other day, and they have really

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1 enjoyed working with it.

2	CO-CHAIR FLEISHER: So, Amita,
3	just so that we understand. So we are
4	endorsing a measure using PACs over a time
5	period. The risk adjustment seems to evolve
6	over time, and that is or it can be In
7	other words, getting back to your question,
8	Ruben, if you go with Rand and find that the
9	risk model should be tweaked, would you come
10	back and actually say the risk model should be
11	different, although the basic measure stays
12	the same?
13	DR. RASTOGI: Reva, I will throw
14	that question back to you, whatever you
15	recommend.
16	DR. WINKLER: Essentially,
17	whenever there are significant changes in a
18	measure specifications, measure developers are
19	expected it is too strong to say obligated,
20	but to tell us that that is what they have
21	done and that may, depending on what those
22	changes are, prompt an ad hoc review. Is that

		Page	346
1	right, Helen?		
2	DR. BURSTIN: That is correct.		
3	CO-CHAIR FLEISHER: So it sounds		
4	like, if we endorse this measure, that if the		
5	risk model changes, then you would actually be		
6	obligated to come back.		
7	MEMBER AMARASINGHAM: I think I		
8	would amend my word on the previous one.		
9	CO-CHAIR FLEISHER: You can do		
10	that. Amy, comment?		
11	MEMBER ROSEN: I want to make a		
12	comment on the risk adjustment methodology, in		
13	that typically we don't include treatments or		
14	I think it is listed up there as various		
15	types of services, in the risk adjustment		
16	model, because those are very subject to		
17	physician practices.		
18	So that is just a thought I want		
19	to throw out there in terms of, if you are		
20	thinking about doing it, perhaps now including		
21	that in the risk adjustment model.		
22	CO-CHAIR FLEISHER: Are those		

Page 347 measured during the episode of care, like 1 2 before? DR. RASTOGI: 3 That is right, and 4 some of the services that you mentioned like 5 durable medical equipment and all were 6 deliberately put in partly because they were 7 used as surrogate measures for the patient's 8 condition. 9 It is very hard to put in a 10 diagnosis code to say that they are wheelchair 11 bound, you know. So the DME was kind of a 12 surrogate to suggest that the patient was a 13 little more debilitated than another person. 14 So these were the type of 15 procedure or CPT codes that were put in. 16 CO-CHAIR FLEISHER: You almost 17 have an expert group who wants to help you 18 with your risk model, or at least see the Rand 19 paper when it is ready. 20 MEMBER ROSEN: One thing I want to 21 comment on is we are focusing a lot on the 22 risk adjustment, but I am concerned about the

	Page 348
1	PACs. Do you have What I am confused about
2	is exactly how they get defined for each
3	disease or each chronic condition and each
4	different type of disease.
5	Are there different PACs for
6	stroke, different PACs for AMI, and how does
7	that get determined? Who determines that? Is
8	there a clinical expert panel that meets? Is
9	there some kind of Delphi process that happens
10	or how does that work?
11	DR. RASTOGI: Yes. Some of it is
12	detailed in the document which Francois kind
13	of circulated called History of PAC
14	Development. So there were working groups
15	that were appointed for different ECIs which
16	gave their input, and then in some of the ECIs
17	where I got more input, I worked with the
18	physicians one to one, back and forth,
19	sometimes with a panel, sometimes with
20	individual physicians, to get the right
21	coding, circulated the documents to work with
22	them to get the input and feedback. So that

Page 349 is how these came to be. 1 2 MEMBER ROSEN: I am sorry that I missed that. 3 4 CO-CHAIR FLEISHER: Not a problem. 5 MEMBER ROSEN: It still makes me a 6 bit worried thinking about it, because you can 7 get a group of -- As you all know probably, 8 you can get a group of physicians in a room, and you know, the next day another group of 9 10 physicians will come out with exactly the 11 opposite. 12 So to some extent, it is much better that we are able to see both clinical 13 14 and empirical testing of outcomes that one develops. So that is in the ideal world. 15 16 CO-CHAIR FLEISHER: Thank you. 17 Sean? 18 DR. O'BRIEN: At this time, I have 19 got one comment for the PAC measures and one 20 that is specific to AMI. 21 The one that applies to AMI is 22 that looks like patients undergoing CABG are

	Page 350
1	excluded, and it seems that is a factor that
2	is definitely under the control of the
3	provider and may be why certain types of units
4	or hospitals who promote CABG may have
5	different outcomes. You may adjust that away.
6	I guess I will stop there.
7	DR. RASTOGI: Yes. You may have
8	episode, but it is a very short episode. It
9	only has 30 days-plus and discharge period,
10	and patients who had to have CABG we
11	thought of them as slightly different than the
12	rest of the population with AMI, something to
13	the effect that mortalities are excluded, you
14	know.
15	We have to know that up front
16	going in, but we are looking at these PACs
17	only at patients with AMI who didn't have a
18	surgical intervention.
19	CO-CHAIR FLEISHER: Any other
20	comment?
21	DR. O'BRIEN: The second comment
22	is that there is a component endpoint which is

		Page	351
1	useful, but if you look at that, the later		
2	statement in the submission basically says it		
3	is a percent of patients. You capture		
4	patients that have a PAC.		
5	Then if you really want to un-PAC		
б	it and know what is considered a PAC, you have		
7	to go to the Excel file and look through all		
8	these codes. There is not really any English		
9	language, concise statement of what are all		
10	the PACs that go into the PAC, and I think for		
11	interpretation and reporting and transparency,		
12	it is important to have kind of a more		
13	understandable statement of what you are		
14	measuring.		
15	DR. RASTOGI: That is exactly		
16	right, and I think Francois and Joyce already		
17	talked, and they are going to write a one-		
18	pager for each of these measures, so that for		
19	public comment it becomes a little bit easier		
20	to understand.		
21	CO-CHAIR DUBOW: A crib sheet		
22	version, I would guess.		

Page 352 CO-CHAIR FLEISHER: Yes. 1 Any 2 other comments? Ted, do you have any other comments, having heard this discussion, from 3 4 the perspective of the Steering Committee --5 MEMBER GIBBONS: I just want to comment that I think it points up the fact 6 7 that we need to keep reexamining how the risk 8 adjustment might change over time, but I think 9 that many of the --10 CO-CHAIR FLEISHER: We are losing 11 you again. 12 MEMBER GIBBONS: I'm sorry. Many 13 of these comments really reflect the fact that 14 we have to keep track of how it evolves over 15 time. I haven't heard any comments that 16 depart significantly from the TAP discussion. Yes, thank 17 CO-CHAIR FLEISHER: So are we ready to vote? Any other 18 you. comments? Any public comment? Hearing none--19 20 DR. WINKLER: Okay, we will vote 21 the measures independently, so separately the 22 AMI, and then we will follow with the strokes.

Page 353 1 So the first one we are going to 2 talk about is the AMI measure. So everybody got that clear? So the first criterion is the 3 4 importance to measure and report. It is a 5 yes/no. How many say Yes? It is unanimous. 6 Okay. So that is 22. 7 All right, scientific 8 acceptability of the measure properties: 9 Completely meet criteria, how many? All those 10 for completely? Ten. 11 Partial? Twelve. Okay. That is 12 everybody. All right, usability: Completely? 13 14 Completely for usability: Ten. Partially? So everybody else? 15 16 Yes, it is everybody else. 17 All right, feasibility: 18 Completely? Fifteen. 19 Partially? Seven. that's it. 20 MEMBER JOHNSON: Never let it be 21 said that you don't get your exercise. 22 DR. WINKLER: It's lovely. Thank

	Page 354
1	you so much. So recommendation: Are there
2	any conditions?
3	CO-CHAIR FLEISHER: First, anybody
4	want to propose any conditions on the
5	recommendation? No. Okay, so it is a simple
6	yes or no vote. Those voting Aye?
7	DR. WINKLER: Twenty-one.
8	CO-CHAIR FLEISHER: No?
9	DR. WINKLER: Okay. One No. That
10	means no abstentions. Okay.
11	So now we move on to the stroke
12	measure, the same measure with the PACs for
13	stroke.
14	Importance to measure and report:
15	Yes? I am getting 19. So it is 19.
16	No? You are abstaining. Barbara
17	is gone. Okay, so you are going to 20, and
18	there is one abstention, and Barbara is out of
19	the room. Okay.
20	CO-CHAIR FLEISHER: Can you turn
21	the microphone off for Barbara?
22	DR. WINKLER: Okay. So for

Page 355 scientific acceptability of the measure 1 2 properties, how many think it completely meets the criteria? This is the stroke PAC measure, 3 completely meets criteria. Four. 4 5 Partially? Seventeen, and that is everybody. 6 7 Usability: Completely? Four. 8 Partially: Seventeen. Yes, it is 9 everybody else. Feasibility: Completely meets? 10 11 Fourteen. 12 Partially? Seven. That is 13 everybody. 14 So now the recommendation. 15 CO-CHAIR FLEISHER: Any 16 conditions? No. Okay. Those voting Yes? 17 DR. WINKLER: Yes for the measure? 18 Okay, so it is 20 Yes. Amy, your vote is a 19 No? Okay. 20 CO-CHAIR FLEISHER: So next could 21 we have the STS measure? I know we have Bruce 22 on the line.

Page 356 DR. WINKLER: Bruce isn't from 1 2 SVS. CO-CHAIR FLEISHER: No, Bruce --3 4 He is next, ACS. 5 DR. WINKLER: Were we expecting 6 anybody? 7 CO-CHAIR FLEISHER: But Sean 8 should be able to -- Are you the methodologist 9 on the STS measures? 10 MEMBER GIBBONS: Yes. 11 CO-CHAIR FLEISHER: Okay. 12 CO-CHAIR DUBOW: Do we have anyone 13 from STS on the phone? 14 MS. HAN: This is Jane Han. 15 DR. WINKLER: Do we have the measure up? This is a composite score of 16 17 endorsed measures for coronary artery bypass from STS. This measure is a combination of 18 19 outcome and process measures that have been 20 endorsed by NQF for a while, several years, 21 actually. 22 So this measure presents a

	Page 357
1	composite methodology for combining these
2	measures into a single score. And that is
3	kind of what it is.
4	CO-CHAIR FLEISHER: That is it.
5	Do we have any comments from the TAP?
6	DR. WINKLER: They liked it.
7	CO-CHAIR FLEISHER: They liked it.
8	Okay. Sean, any comments? Any questions?
9	DR. WINKLER: One thing, I asked
10	Amy to take a look at this measure as a
11	methodologist to see if she had any comments
12	about the composite methodology.
13	CO-CHAIR FLEISHER: Just tell us
14	if you do vote no.
15	MEMBER ROSEN: So this It is
16	kind of interesting after sitting here
17	listening to some of the comments. But this
18	measure The composite includes both process
19	and outcome measures. So I want people to be
20	aware of that.
21	So we have So that is okay, but
22	just so that you know, we have the mortality

	Page 358
1	and morbidity measures as well as the
2	perioperative process of care measures and the
3	operative care measure. So those are all
4	included in the composite. The composite is -
5	- It is fine with me, but I just wanted to
6	point that out.
7	The composite is not weighted,
8	which is different from the other composites
9	we have seen, and again I kind of like that
10	myself, because I think that the weighting
11	schemes that we have seen here are really just
12	clinically based and isn't evidence of
13	empirical testing.
14	So as far as I am concerned,
15	weighting them equally is good, and I think it
16	is important to in thinking about a
17	composite measure, to think multi-
18	dimensionally, and I think looking both at
19	processes of care in relation to CABG surgery
20	is quite relevant.
21	What that does, however, on the
22	other side of it is that we lose focus on what

	Page 359
1	the specific outcome measures might be,
2	because we are looking multi-dimensionally.
3	So overall, I thought that there
4	was really adequate empirical testing as well
5	as clinical oversight, and that the developers
6	did a very nice job in putting the composite
7	measure together.
8	So maybe I should go to my room
9	now.
10	DR. SHAHIAN: This is Dave Shahian
11	from STS. Could I respond to the comments?
12	CO-CHAIR FLEISHER: Sure.
13	DR. SHAHIAN: Just wanted to point
14	out that, although we do roll up those process
15	and outcome measures to a single score, that
16	when we present the scores, they are presented
17	individually as well, and the providers are
18	also given a granular view of where they
19	failed specifically in the all or none process
20	measures to help them to inform their
21	performance improvement activities.
22	So we provide both the overall

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	Page 360
1	score, but also a very detailed drill-down to
2	the component level.
3	MEMBER ROSEN: That is absolutely
4	correct, and I guess the thing that is
5	somewhat puzzling is this is used at the
6	provider level as well as the practice group
7	level and at the hospital level, and I don't
8	know to what extent comparing providers has
9	been tested statistically in terms of what
10	panel size providers would need.
11	It is very different to compare
12	providers versus hospital level measures.
13	DR. SHAHIAN: Well, let me just
14	comment on that. We base these scores on what
15	we call an STS participant. Now in the vast
16	majority of cases, an STS participant is a
17	hospital with a cardiac surgery program.
18	There are, however, instances in
19	which there may be, for example, two large
20	cardiovascular surgery groups within a
21	hospital that may decide to independently
22	contract with STS to have their results
Page 361 measured and computed using the STS 1 2 methodology. But the unit of analysis is an 3 STS participant. 4 CO-CHAIR FLEISHER: Okay. Joyce 5 had a comment? 6 CO-CHAIR DUBOW: Well, I have a 7 question. That is interesting that it is an 8 STS participant. It means that that person 9 has to participate in the registry. That is correct. 10 DR. SHAHIAN: There is a fee? 11 CO-CHAIR DUBOW: 12 DR. SHAHIAN: That is correct. 13 CO-CHAIR DUBOW: Isn't that 14 correct? 15 DR. SHAHIAN: That is correct. 16 CO-CHAIR DUBOW: But that wasn't 17 my point. CO-CHAIR FLEISHER: The percent of 18 19 people who participate in the registry is --20 DR. BURSTIN: Over 90 percent. 21 CO-CHAIR FLEISHER: So 90 percent 22 of all CABGs, I think, are in the registry.

Page 362 Correct? 1 Sean? 2 CO-CHAIR DUBOW: Okay. But my 3 question has to do with my understanding that 4 the reporting of this measure is wrapped up in 5 the measure that we would be endorsing or 6 recommending for endorsement, because -- and 7 this is a question. I am just trying to get 8 it out. 9 That is unusual. We don't 10 normally -- This has the star. If you read the presentation, this has the three stars 11 12 with 77 percent of physicians or STS 13 participants falling into the two-star 14 category, as I recall. 15 So that essentially this measure, 16 as I understand it, encompasses the way this stuff is reported, the results are reported, 17 as well. 18 Is that correct? 19 DR. SHAHIAN: Well, do you want me 20 to respond to that? 21 CO-CHAIR DUBOW: Please. 22 DR. SHAHIAN: That is the way we

	1	Pag
1	submitted it, although each provider is also	
2	given a numerical score, both overall and for	
3	each of the four domains of the composite. So	
4	one could use that numerical score.	
5	Most of our providers have found	
6	it useful to also have this star rating, which	
7	we have developed and which has shown itself	
8	to be fairly consistent among providers over	
9	time, and also to correlate fairly well with	
10	the performance in each of the individual	
11	domains.	
12	So, yes. I guess the answer to	
13	your question is, yes, we did submit this	
14	along with the star rating, because that is	
15	the way it has been operationalized.	
16	CO-CHAIR DUBOW: Okay. So as I	
17	recall, the reporting is at the 99 confidence	
18	interval, and I think that is what I remember	
19	reading. But my question is whether the	
20	ratings themselves are publicly available or	
21	is it just the stars?	
22	In other words, if a reporter	

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	Page
1	wanted to take the numeric values, would those
2	be available?
3	DR. SHAHIAN: I don't know whether
4	that has been in our discussions or if it was
5	Consumer Union and for our own internal
б	reporting.
7	One of the concerns we have, and I
8	have seen this, is that external entities
9	sometimes take numerical scores and do funny
10	things with them that they were never intended
11	to do. They may take, for example, the
12	patients that we categorize into three groups
13	and they may try to change them into some
14	other weighting system that may not be
15	appropriate.
16	So I would have a little bit of
17	concern about that. You know, we've spent an
18	awful lot of time doing pilot studies using
19	this three-star system. We have been using it
20	in practice now for over three years. We know
21	how it works, and we think it is sound, both
22	theoretically and in practice.

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1	I guess my concern would be to put
2	numbers out there which somebody could
3	irresponsibly and incorrectly use.
4	CO-CHAIR DUBOW: Not to beat a
5	dead horse, I think we ought to consider
6	You know, I think this is I would ask the
7	staff if this is not unusual, that we buy into
8	a reporting approach in addition to the
9	measure itself. I don't remember another
10	measure like that. So I wonder whether we
11	ought to separate them or whether we can
12	separate them. I don't know.
13	MEMBER AMARASINGHAM: Well, I am
14	just curious whether it is like some of the
15	Medicare measures that we have debated, that
16	they were validated deriving data on the
17	Medicare population. We are saying that this
18	was sort of derived and validated on STS.
19	CO-CHAIR DUBOW: We don't tell
20	Medicare how many stars to attach to a
21	hospital.
22	CO-CHAIR FLEISHER: So I would ask

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1	David, so if this committee based One of
2	the potential votes is to endorse this on the
3	condition that the star rating not be part of
4	it. If that condition is required, would the
5	measure developer accept that?
6	DR. SHAHIAN: Well, I would think
7	we would want to seriously think about that,
8	and I would not want to make that decision
9	right now, because as I said previously, we
10	would be concerned about misuse of the raw
11	numerical data. So I think that is something
12	we would want to take under consideration.
13	CO-CHAIR FLEISHER: Okay. Well,
14	thank you. We will take that into
15	consideration as we go.
16	DR. SHAHIAN: Sean O'Brien who
17	worked with us and was very instrumental in
18	helping to develop it I realize that he is
19	an awkward position there today, but perhaps
20	for informational purposes you might ask his
21	opinion about the question we have been
22	discussing.

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1	CO-CHAIR FLEISHER: So as your are
2	squirming in your seat, Sean, do you have a
3	comment?
4	DR. O'BRIEN: Personally, I think
5	NQF should go in the direction of endorsing
б	All the aspects of reliability and validity
7	and usefulness can only be assessed in a
8	particular context. A measure has to be used
9	for a particular purpose. It needs to be
10	particular.
11	I think that, even if you want to
12	basically have a more broad description of
13	what you are endorsing, demonstrating that it
14	is useful in at least one particular
15	application is helpful.
16	So I think you can either take
17	this as an example of the usefulness of the
18	measure or you could decide to actually make
19	that example part of what is being endorsed,
20	either way. But I think it is a demonstration
21	of how it has been used in practice, and it
22	has actually been well accepted and used by a

Page 368 lot of groups. 1 2 CO-CHAIR FLEISHER: Anne? I just want to 3 MEMBER DEUTSCH: 4 add that I actually like that they put effort 5 into making it more understandable potentially 6 to consumers. It helps us interpret the 7 information perhaps a little bit more, and so 8 what is an important difference. 9 One of the projects I do, we show 10 some people in senior centers some quality 11 measures that Medicare has put out, and we ask 12 them to interpret it, and some people say, oh, 13 only five percent difference, that is nothing. 14 Other people say, oh, five percent difference, 15 that is important. 16 So this, I think, actually helps 17 potentially people to interpret the data and 18 what is an important difference. 19 CO-CHAIR FLEISHER: Okay. New 20 David? comments? 21 MEMBER HOPKINS: I have some 22 questions and some comments.

	Page 369
1	So first question is for NQF: All
2	four of these elements, the individual
3	measures within the composite, have been
4	endorsed. Is that correct?
5	DR. BURSTIN: Correct.
6	MEMBER HOPKINS: Okay. Second
7	question for the developer: Did I understand
8	that the weighting of these four elements is
9	equal, is 25 percentage?
10	DR. SHAHIAN: Well, we did not
11	weight them, but by virtue of the variation in
12	each one of the individual domains, they were
13	standardized, and by virtue of that the
14	mortality measure does end up carrying more
15	weight within the composite. But we did not
16	start out by saying we want more mortality to
17	be weighted more heavily. It is purely a
18	function of the standard deviations.
19	MEMBER HOPKINS: Okay. So what
20	are the weights for these?
21	DR. SHAHIAN: Sean, do you want to
22	comment on that?

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		Pa
1	DR. O'BRIEN: We first	
2	standardized each domain's score at a common	
3	standard deviation, and then we applied equal	
4	weights to those standardized scores. So in	
5	that respect, the weights are one, one, one,	
б	one, actually one-fourth, one-fourth, one-	
7	fourth, and one-fourth.	
8	MEMBER HOPKINS: Okay.	
9	DR. O'BRIEN: Now it is also true	
10	that there is no such thing as no weight. Any	
11	weighting system, even if it is equal	
12	weighting, has implications, and I think we	
13	strived to make the implications by weights	
14	to understand them internally, and to publish	
15	them and basically make them apparent.	
16	Part of some of the implications,	
17	as basically he is explaining, is that on the	
18	original raw scale of mortality you have equal	
19	weighting on the standardized scale, but that	
20	implied unequal weighting on some other scale.	
21	It is not possible to have equal weighting on	
22	every single scale. If you have equal	

	Page 371
1	weighting on one scale, you have unequal
2	weighting once you standardize them. So it is
3	a situation that has no solution.
4	So what we did is we basically
5	described the implications, and one percentage
б	point difference improvement on the mortality
7	scale for the mortality domain would
8	essentially increase a provider's score on the
9	composite about the same amount as an eight
10	percentage point increase in the morbidity
11	domain.
12	MEMBER HOPKINS: And how about the
13	use of IMA?
14	DR. O'BRIEN: Did you have the
15	numbers in front of you? I think it is
16	somewhere around 15 percent.
17	MEMBER DELLINGER: So what you are
18	saying is a difference of one is one standard
19	deviation for each of the elements?
20	DR. O'BRIEN: Well, I was saying a
21	one percentage point the difference between
22	a two percent mortality rate and a three

	Page 372
1	percent morality rate is going to have a
2	certain impact on your measure performance.
3	MEMBER DELLINGER: But you rate
4	one standard deviation away from the median as
5	the same in each of the four areas. Is that
6	what you are saying?
7	DR. O'BRIEN: Right. Well, we
8	took Each member has You calculate a
9	score, and we rescaled each score at a common
10	standard deviation. The way you do that is
11	you divide each score by its standard
12	deviation.
13	MEMBER BECKER: Could I ask Is
14	that methodology you just described
15	transparent and available to everybody?
16	DR. O'BRIEN: Yes.
17	DR. SHAHIAN: This was published
18	in detail three years ago. I think you have
19	the PDF of that.
20	CO-CHAIR FLEISHER: Sure. We want
21	to keep moving. Go ahead.
22	MEMBER HOPKINS: So, I'm sorry. I

	Page 373
1	have a printed version. I don't know where it
2	is in this package. Page 14 of something
3	shows a really interesting analysis of and
4	this is related to star ratings, actually
5	of the stability of the star ratings.
6	I look at that, and I say only
7	half remained where they were. I appreciate
8	the fact that they didn't go down two levels
9	or up two levels. Page 79 in this one? Thank
10	you. But they changed by one, half of them.
11	Considering the fact that this is
12	built on 99 percent or 98 percent confidence,
13	to me, that suggests that there is something
14	screwy about weighting these particular
15	measures altogether.
16	I don't know how else to interpret
17	that. Sean, maybe you have a better way to
18	interpret it, but I thought that was really
19	unstable, and I question the scientific
20	acceptability of the composite.
21	DR. O'BRIEN: Well, I think if you
22	take this in context to other measures that

	Page 374
1	are out there and being used, it is actually
2	a measure that has a fair amount of precision.
3	We compared the outlier status when we are
4	classifying hospitals based on the same
5	rigorous Beysian probability, just using
6	mortality, and there is basically no
7	discrimination.
8	Mortality is a measure that is
9	well accepted, widely used, and it basically
10	did not discriminate nearly to the extent that
11	the composite does. Now part of the reason
12	why the second year, when you are comparing
13	what you see in one year and the second year,
14	is that in the second year you also based
15	on this 99 percent probability.
16	Now a few of us said the second
17	year, do we believe that hospital was above
18	average or not. You know, based on a 50
19	percent criterion, you are really going to see
20	a situation where hospitals where you are 99
21	percent certain in the first year that they
22	are above average, and now we think they are

1 below average. 2 Basically, our best bet, you know, is that these hospitals remained above 3 4 average. We weren't 99 percent certain. 5 went from being 99 percent certain to slightly 6 below 99 percent certain, but we still made it 7 then 95 percent certain, 90 percent certain. 8 So in that respect, I think it was still in 9 okay shape. 10 CO-CHAIR FLEISHER: We need to 11 move on. Is there any last new comment? 12 MEMBER HOPKINS: I just want to make a final comment on the issue that -- I 13 14 think it was Joyce brought up. If you are 15 going to tie the star rating system as 16 proposed and it is built on 99 percent 17 confidence, I can't in good conscious vote for that as --18

19 CO-CHAIR FLEISHER: You will have 20 a chance. 21 MEMBER HOPKINS: Okay. I'm just

22

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making a statement for others to think about.

Page 375

We

Page 3761I think the consumer should decide2on what level of certainty they want, and3certainly not that level. It is out of step4with every other measure I can think of.5DR. O'BRIEN: We have Dave6Shahian can speak to this, but the Society of7Thoracic Surgeons got a lot of input from8users of the measure and addressed the topic9of using basically custom developed10probabilities other than 99 percent for some11applications, and you don't need to be 9912percent certain.13There's discussions of multiple14payers about whether this particular system15was working for them, and the feedback was16universally positive. So that level of17probability was working for the users to the18extent that they were able to tell.19The users are participants who are20receiving these as internal feedback report21and they are third party payers who are22gathering, basically, their data, voluntarily		
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19 The users are participants who are 20 receiving these as internal feedback report 21 and they are third party payers who are	17	probability was working for the users to the
20 receiving these as internal feedback report 21 and they are third party payers who are	18	extent that they were able to tell.
21 and they are third party payers who are	19	The users are participants who are
	20	receiving these as internal feedback report
22 gathering, basically, their data, voluntarily	21	and they are third party payers who are
	22	gathering, basically, their data, voluntarily

		Page
1	reported them to the Society for Thoracic	
2	Surgeons.	
3	CO-CHAIR FLEISHER: Other issues	
4	unrelated to the star rating? B.J.?	
5	MEMBER TURNER: Just an	
6	observation, that we are giving these guys a	
7	pass, which I think is fine on process	
8	measures, like prescribing a certain drug.	
9	I'm just saying that, if that is the way we	
10	get them in, them I am all for it. So that is	
11	one point.	
12	I would appreciate when we are	
13	going to this more discrimination in telling	
14	us about the exclusions, because it just says	
15	whatever, contraindications to XY, and I am	
16	trying to make sure that this is really	
17	covering the bases. So in the future I would	
18	like to know more about what you call an	
19	exclusion.	
20	CO-CHAIR FLEISHER: Dianne.	
21	MEMBER JEWELL: So, actually, I	
22	was going to point to this measure as a nice	
	L	

	Page 378
1	example of how measure developers can specify
2	a composite that is outcome and process based,
3	and that is part of the issue, is we really
4	need the measure developers to be able to well
5	specify what their intent is, and then we also
6	have to be consistent in our scope.
7	I think those are That is what
8	we have done here. We are not passing
9	anybody. We have been clear about our scope,
10	and we have been clear about integrity of
11	process, and we are clear that the measure
12	developers have given us something that
13	reflects both of those things appropriately.
14	CO-CHAIR FLEISHER: Thank you. So
15	time to vote.
16	DR. WINKLER: All right. Four
17	criteria and then the recommendation. So for
18	composite measure for CABG, procedure.
19	CO-CHAIR FLEISHER: This is
20	criteria voting.
21	DR. WINKLER: So, importance to
22	measure and report: Yes?

Page 379 CO-CHAIR FLEISHER: Uniform. 1 2 DR. WINKLER: Okay. So it is 22. All right. Scientific 3 4 Acceptability of the measure properties: 5 Completely meets criteria for scientific 6 acceptability? 7 CO-CHAIR FLEISHER: Well, I think 8 you need to vote -- I think you could place it 9 in either. If you do not believe it is scientifically valid, then it should be voted 10 11 partial or none. 12 Currently, it is DR. O'BRIEN: 13 reported as the actual number along with the 14 confidence interval. In addition to that, there is a star. 15 16 MEMBER HOPKINS: That is important 17 CO-CHAIR FLEISHER: Do you want to 18 clarify that? 19 MEMBER HOPKINS: That is the 20 number in the confidence interval and the 21 star. 22 MEMBER AMARASINGHAM: But it is an

Page 380 important point, in that I think it addresses 1 2 the original concern. CO-CHAIR FLEISHER: So we will --3 4 according to the group up here, we will say that the star rating is part of usability, and 5 6 therefore, we do not include that in the 7 scientific acceptability. Is that okay, to 8 make a comment as part of the voting? 9 MEMBER HOPKINS: What we are 10 voting on has only the star rating? 11 CO-CHAIR FLEISHER: No, no, no. 12 No, what I am saying is that we will, as part of the definition of our voting, say that we 13 14 consider the star rating part of usability and not scientific acceptability. We will put 15 16 that condition on our -- So if you vote, you 17 will know that it has this comment that goes 18 forward up the chain. 19 It is specifically DR. BURSTIN: 20 under the usability section for this 21 discrimination. 22 CO-CHAIR FLEISHER: Go ahead.

Page 381 DR. WINKLER: Okay. For 1 2 scientific acceptability, completely meets the 3 criteria? I get 15. 4 Partially meets criteria? Five. 5 Minimally? -- Oh, you have six? Okay, I missed one. All right. 6 7 Usability: All right, completely 8 meets? I get a zero. Partially? Fifteen, okay. 9 10 Minimally? Three. Not at all? One -- I am still 11 12 missing one. It was 17 on the partial? Okay. 13 Now feasibility: Completely meets 14 criteria? Eight. CO-CHAIR DUBOW: Withdraw one? 15 16 DR. WINKLER: Whose? 17 CO-CHAIR DUBOW: Mine. 18 DR. WINKLER: I didn't count you yet. Okay, Partially? Twelve. 19 20 Minimally? That should be one. 21 Okay. 22 CO-CHAIR FLEISHER: And you must -

	Page 382
1	- You must be part of the registry to get this
2	data as opposed to other measures.
3	DR. O'BRIEN: Dave, feel free to
4	answer the registry conclusive we think 90
5	percent of the hospitals in the U.S. have a
6	marginal cost, separate from the fact we
7	already are collecting this data, getting all
8	kinds of reports. So the additional cost of
9	doing this composite is you know, there is
10	no additional cost.
11	MEMBER HOPKINS: That is fine if
12	you want to report at the hospital level. If
13	you want to report at the surgeon level, what
14	then?
15	DR. SHAHIAN: We don't calculate
16	this at the surgeon level.
17	CO-CHAIR FLEISHER: But this could
18	not be calculated independently of joining the
19	registry?
20	DR. SHAHIAN: That is correct.
21	MEMBER AMARASINGHAM: When you use
22	the 90 percent, though, you know, a lot of

	Page 383
1	hospitals don't perform bypass surgery. So
2	does that 90 percent include 90 percent of
3	those that perform bypass surgery or 90
4	percent of U.S. hospitals?
5	DR. SHAHIAN: Ninety percent of
б	the hospitals that Yes, about 90 percent of
7	the hospitals that perform cardiac surgery are
8	in the registry.
9	MEMBER YAWN: Yes. It is nowhere
10	near 90 percent of hospitals that perform
11	cardiac surgery.
12	MEMBER GERBIG: Will it continue
13	to be correct that surgeons can join.
14	Surgeons and hospitals can join, but hospitals
15	on their own cannot submit?
16	DR. SHAHIAN: No. A hospital can
17	be an STS participant. A surgical group can
18	be an STS participant, and in rare cases an
19	individual surgeon, and that is very rare.
20	Most commonly, it is a hospital
21	submitting its entire cardiac surgery results,
22	but occasionally it is a large surgical group.

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1	CO-CHAIR FLEISHER: Okay. Now for
2	the vote. Any conditions that anyone wants to
3	put on the vote? Do you want to propose your
4	condition? Yes or no?
5	MEMBER KEALEY: I am still
6	confused by the star system. I don't quite
7	get it, why it has to be there.
8	CO-CHAIR FLEISHER: Well, that
9	So you can propose that it is approved without
10	the star system? Are you proposing that?
11	MEMBER KEALEY: I am hoping that
12	maybe they can explain it one more time so I
13	can understand what exactly this is. I
14	thought it was just the stars, but then it
15	sounded like the numbers are being reported,
16	and so it is an either/or thing.
17	DR. SHAHIAN: The problem is that
18	the numbers for many people would be very
19	difficult to interpret, and as a way of making
20	the measure more usable for the general
21	public, we calculated what we believe to be a
22	very responsible system for differentiating

		Page
1	truly superior programs, programs that are	
2	clearly having an issue, and the large	
3	percentage of programs, about 75 percent, that	
4	are statistically indistinguishable.	
5	They may vary in certain	
6	characteristics in certain domains, but it is	
7	very hard to distinguish them statistically.	
8	Frankly, it is our belief, and we have had	
9	many external observers comment as well, that	
10	this is pretty consistent with how we view	
11	things clinically, that there are a few truly	
12	superior places, a few programs, 10-15	
13	percent, that are operating suboptimally, and	
14	then the vast majority operating at a very	
15	high level and not able to be distinguished	
16	from one another statistically.	
17	We think that works. It helps	
18	CO-CHAIR FLEISHER: I think we	
19	have the point.	
20	MS. HAUGEN: Just a comment from a	
21	consumer standpoint. You know, one star, two	
22	stars, three stars, I think, would be	

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interpreted as good, better, best, not as ---1 2 I mean, one star here is below the mean, which 3 means it is poorer than what -- at least the minimum we should be able to expect. That is 4 5 how I interpret this. 6 I don't know how this -- whether 7 this would be translated by the public on its 8 own in accordance with what the data is really 9 telling us, what the statistics are telling 10 us. So that is, I guess, a concern I have. As you look at this -- I mean, the 11 12 layperson thinks, if they got one star, that 13 is pretty good, maybe not as good as the 14 third, but where I am maybe that is pretty 15 good, and that is not what this is telling 16 you. 17 DR. SHAHIAN: We never --There 18 is no STS publication of any kind that has 19 ever said good, better, best or we would very 20 definitely state that, that one star is an 21 underperforming program. 22 I am just MEMBER HAUGEN: Yes.

	Page	387
1	saying I am taking it from saying the	
2	layperson that looks at this I am not	
3	saying that you have done that. But if you	
4	look at this in isolation, that is the way	
5	this type of mechanism and maybe there is	
6	a different way of visualization, because	
7	people will visualize this and interpret this	
8	in that way. That is my perspective.	
9	CO-CHAIR FLEISHER: Okay, thank	
10	you. Any public comment before we vote?	
11	Okay. I am going to take Chair prerogative	
12	that we vote the measure as is, vote the	
13	measure with the condition that the star	
14	system not be part of the measure, the	
15	endorsed measure, and vote against the	
16	measure.	
17	CO-CHAIR DUBOW: I just wonder	
18	whether we should ask the developer whether	
19	CO-CHAIR FLEISHER: We did, and he	
20	said maybe. He said he would have to go back.	
21	MEMBER AMARASINGHAM: I think, if	
22	you vote for the condition, you should vote	

	Page 388
1	recognizing that it could get rejected.
2	CO-CHAIR FLEISHER: If we vote for
3	the
4	MEMBER AMARASINGHAM: It means
5	that progress on this measure might be
б	CO-CHAIR FLEISHER: Do we want to
7	vote Are you proposing we vote that they
8	respond to this and we re-vote, if they say
9	no?
10	CO-CHAIR DUBOW: No. I think what
11	we could do is I think we could express
12	I think we could have an up or down vote on
13	the measure as it is currently presented, and
14	express some concern about the fact that this
15	reporting mechanism is tethered to the measure
16	itself.
17	My guess is that there is some
18	sentiment that the reporting mechanism is less
19	than ideal, doesn't sound as though it has
20	been tested among all users, just professional
21	users, but that the measure itself clinically
22	has a great deal of validity and importance.

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1	So I would prefer to express some
2	concern rather than to see the measure go
3	down.
4	CO-CHAIR FLEISHER: Okay. So does
5	anybody Sean, you want to make a comment?
б	DR. O'BRIEN: I think a can of
7	worms was opened by accident that didn't need
8	to be opened, and worms could be put back in.
9	In the submission form that I
10	wrote, it says the STS CABG composite score.
11	Now the STS CABG composite score is the
12	backbone for the star rating system.
13	It is used by the Society of
14	Thoracic Surgeons, but I think everyone would
15	be very happy if there was a vote made on the
16	STS CABG composite score as a submitted
17	measure, and then no one needs to discuss the
18	star. You are happy to have implementation
19	issues be a separate implementation issue.
20	Let it be a separate implementation issue, and
21	you don't need to specify we don't like the
22	star rating system, we do like it, and it is

	Page 390
1	a separate issue.
2	CO-CHAIR FLEISHER: Yes. Okay.
3	Yes, David?
4	DR. SHAHIAN: I would just want to
5	know what the implications of that were,
6	because we have worked very hard to create the
7	star system, to have it in operation for
8	several years, and if this vote means that the
9	star system has no NQF endorsement, then I
10	think we would want to come back and talk to
11	you about that.
12	DR. O'BRIEN: I don't think anyone
13	is going to be It is going to be that the
14	STS CABG composite score is endorsed, and that
15	is going to be what is desirable.
16	CO-CHAIR FLEISHER: Is that
17	DR. O'BRIEN: I suspect that you
18	wouldn't be in a law suit against STS if six
19	months from now that the score itself was not
20	publicly reported. This score
21	DR. SHAHIAN: You know, it makes
22	it really awkward for the STS, which reports

	Page 391
1	this and is going to report it publicly using
2	the star rating It makes it very awkward
3	for us to try to explain, well, the STS
4	composite score is endorsed, but the star
5	rating system, which is what you are seeing,
6	isn't endorsed. That is very awkward.
7	CO-CHAIR FLEISHER: Okay. So what
8	I am hearing is a proposal to have two
9	separate votes. One is to endorse the measure
10	as written and, two, to separately vote on the
11	star system; and the measure developer can
12	deal with There is still public comment.
13	there is still responses, and there is still
14	CSAC. Is that what you are proposing, Joyce?
15	CO-CHAIR DUBOW: I think what Sean
16	is proposing makes sense. If we endorse a
17	measure with the STS score, that seems to be
18	the guts of the methodology. The reporting is
19	we have not taken We don't So I think
20	that whatever STS decides to do on reporting -
21	- So just severing the two seems to be
22	acceptable to Sean. I think he proposed it.

Page 392 CO-CHAIR FLEISHER: Then do we 1 2 vote on --CO-CHAIR DUBOW: 3 No, no. 4 CO-CHAIR FLEISHER: Well, the 5 question is would we then not vote or vote, 6 vote on the reporting mechanism, since we have 7 suggested that we don't endorse reporting mechanisms? 8 9 MEMBER YAWN: Can't we just make a 10 comment? I mean, we vote it up or down as -not the reporting, just the measure, and then 11 12 re have every right to make a comment saying 13 we don't like the star system, we don't 14 believe it is as transparent as they think it 15 is. End of story. 16 CO-CHAIR FLEISHER: And we will 17 take a simple vote on that to give the 18 strength of that. 19 MEMBER HOPKINS: As a separate 20 question we haven't dealt with. 21 CO-CHAIR FLEISHER: Yes. 22 MEMBER HOPKINS: So, Reva, the

	Page 393
1	measure we re voting on is just a simple
2	measure. Right? The STS composite? Are we
3	voting on it exclusively as a measure of
4	hospital performance or more generally that
5	could be applied to physicians, if they were
б	willing? That is my question.
7	DR. WINKLER: This is submitted at
8	the practice of either the group practice
9	or hospital level. It was not submitted as an
10	individual surgeon measure, and that is what
11	we are evaluating it for.
12	MEMBER HOPKINS: I'm just trying
13	to understand the implications. No one could
14	take it Even STS couldn't take it and apply
15	it to an individual surgeon, if they wanted to
16	and say it was an endorsed measure.
17	CO-CHAIR FLEISHER: The latter
18	half is the important condition. They could
19	do it, but it wouldn't be endorsed.
20	MEMBER HOPKINS: I understand the
21	restriction. We haven't heard it. I
22	understand sample size issues, but

	Page 394
1	CO-CHAIR DUBOW: The measure
2	developer didn't propose it.
3	MEMBER HERMAN: And most places,
4	when they look at it, do do it by the
5	individual surgeon level, because if you have
6	an issue with your measure, you have to have
7	a place where you can go.
8	CO-CHAIR FLEISHER: And actually,
9	cardiac surgery is a group sport, because it
10	is a team, the ICU, the ward care, the nursing
11	care, the perfusionist. So let's vote.
12	So we are going to vote on
13	endorsing the measure at the practice level or
14	hospital, yes or no, simple vote. Then you
15	are separately going to vote as just a simple
16	recommendation, and the strength of that
17	recommendation with regard to the star rating.
18	So how many vote yes for this
19	measure?
20	DR. WINKLER: It is everybody.
21	CO-CHAIR FLEISHER: Okay.
22	Secondly, how many people vote that the star -

		Page 395	
1	- the implementation using the star system		
2	how many people vote that they should		
3	reconsider that? I don't know if you want to		
4	propose		
5	MEMBER AMARASINGHAM: A		
б	clarification. It is going to be reporting		
7	with the star system, the point estimate and		
8	the confidence interval? That what my		
9	understanding was. Right, Sean?		
10	CO-CHAIR FLEISHER: The reporting		
11	actually is the point estimate		
12	CO-CHAIR FLEISHER: It would be		
13	everything in the form right now.		
14	DR. O'BRIEN: Dave Shahian should		
15	speak up, but I think that the idea It		
16	sounds like STS is interested in receiving		
17	endorsement for the whole package sorry,		
18	for the star rating system. So it sounds like		
19	there is can the endorsement apply to the		
20	star rating system as well?		
21	DR. SHAHIAN: Well, we would		
22	report the point estimate and confidence		

	Page 396
1	intervals. We will continue to use the star
2	rating, and you know, what you decide to do in
3	terms of a recommendation is fine, and we will
4	certainly take a look at it, but we have so
5	much experience with this now that I think we
6	will likely continue it.
7	CO-CHAIR FLEISHER: So I am not
8	even going to take a vote. I am going to
9	propose, unless anybody disagrees, that there
10	will be a comment that significant concern was
11	expressed by the committee of the utilization
12	of the star system for implementation, and
13	just leave it at that.
14	That doesn't say significant
15	concern was expressed. Anybody disagree with
16	that statement? That doesn't mean some people
17	endorsed it. Okay.
18	Let's go to the final measure
19	What?
20	DR. WINKLER: Well, actually, we
21	have three more.
22	CO-CHAIR FLEISHER: No, no, no,
	Page 397
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1	but I mean the final cardiovascular measure on
2	post-operative stroke or death after carotids.
3	DR. WINKLER: Is somebody from the
4	Society of Vascular Surgeons with us?
5	SVS REPRESENTATIVE: Yes, Josh
6	DR. WINKLER: Thank you. Okay.
7	So this measure, which is 0T1-011-09 This
8	is the percentage of patients without carotid,
9	neurological, retinal symptoms as a baseline,
10	within 12 months immediately preceding their
11	carotid endarterectomy who then experience
12	stroke or death after undergoing the
13	procedure.
14	CO-CHAIR FLEISHER: The year is
15	the pre-op period.
16	DR. WINKLER: Right. That
17	establishes the baseline.
18	CO-CHAIR FLEISHER: I thought it
19	was 30 days.
20	DR. WINKLER: I wanted to say it
21	is, too. I have to go look.
22	CO-CHAIR FLEISHER: Can the

Page 398 developer comment? What is the post-operative 1 2 surveillance for stroke? 3 SVS REPRESENTATIVE: It was 4 intended to be in hospital. 5 CO-CHAIR FLEISHER: In hospital? 6 Thank you. 7 DR. WINKLER: During 8 hospitalization. 9 CO-CHAIR FLEISHER: In fact, that was the comments from the TAP. 10 MEMBER YAWN: Could we ask how 11 12 long is an average stay in the hospital. 13 CO-CHAIR FLEISHER: Two days. Two 14 days, I'm sure. So, Barbara, we need the 15 microphone, but the question was how long do 16 they stay in the hospital, and is that an 17 appropriate measure? 18 If I remember the comments from 19 the TAP, there were some comments. So, Ted, 20 are you still there? 21 MEMBER GIBBONS: yes, I am. 22 CO-CHAIR FLEISHER: Can you

Page 399 1 comment? 2 MEMBER GIBBONS: Yes. I think we 3 were thinking that the likely time of observation should be longer than the in-4 5 hospital stay, because the time may need to be 6 extended. The recognition of the stroke is of 7 paramount importance, because these are 8 individuals who enter surgery asymptomatic. 9 CO-CHAIR FLEISHER: Okay. 10 Comments? Barbara? MEMBER YAWN: Do we have data on 11 12 what is the rate of stroke within 24 or 48 hours and within 30 and 60 days? 13 14 Yes, we do. DR. HERMAN: 15 MEMBER YAWN: Okay. 16 CO-CHAIR FLEISHER: Was there 17 concern from the TAP that this was acceptable with this short a time frame? 18 19 MEMBER GIBBONS: I think, in terms 20 of the ability to define it based on stent 21 data, that that would be --22 If I could comment, one of the

	Page 400
1	major concerns in the TAP was the differences
2	in the evolution of practice patterns for
3	carotid disease being treated with stenting
4	versus surgical endarterectomy, and that the
5	decision to do so was increasingly made, and
6	justifiably so, by the surgeon, who may not
7	only be involved with direct surgical
8	endarterectomy but with the placement of the
9	stent in collaboration with interventional
10	radiology or interventional cardiology, and
11	that the measure itself may need to be
12	revisited as the practice pattern changes
13	fairly quickly over the next few years.
14	CO-CHAIR FLEISHER: Other
15	comments?
16	MEMBER PINDOLIA: I'm sorry. I
17	don't see that figure in there. I still don't
18	see 24 hours post, 48 hour or 30 days post.
19	It just has in the hospital is 1.3 percent,
20	and then 1.7 percent, but it doesn't have the
21	extrapolation of how far out DC.
22	CO-CHAIR FLEISHER: Any other

comments, because we have a public comment. No? Please.

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3 DR. JEWELL: -- and that one of 4 the issues is who is making the assessment and 5 the determination that there has been a 6 stroke, that sometimes the reliability of the 7 surgeon making the diagnosis is not the same, 8 and the studies have shown that you get 9 different rates, depending on who you have making the diagnosis and whether they are 10 using a standardized scale or whether it is a 11 12 neurologist or an internist making the 13 diagnosis, and that this as an outcome measure 14 from a consumer perspective makes a difference 15 who is making the diagnosis to report the 16 outcome. 17 CO-CHAIR DUBOW: Do we have a 18 reaction from the developer? Could the developer respond to that, please? 19 20 SVS REPRESENTATIVE: Yes, thank 21 First, let me just respond to the you. 22 question about the percentage of strokes that

Page 402 occur within 30 days, that occur in the 1 2 hospital. 3 In our registry it is about 94 4 percent. So that it does -- In-hospital data 5 really captures quite well the stroke rate, 6 post-operative stroke rate, and our concern 7 was that the data would be unreliable at the 8 30-day time point. 9 In terms of the question about who 10 is making the assessment, there have been studies that show that, if a patient is in a 11 12 randomized trial or a neurologist sees the patients that the rate of detection of small 13 14 strokes is higher. However, hospitals are 15 incented to record post-operative stroke, 16 because it increases the complexity of the 17 patient and, therefore, the billing. 18 Other measures that currently are 19 in existence at CMS, such as their measure 166 20 which is stroke after cardiac surgery or after 21 coronary bypass -- there is no specification 22 made in that measure at all about how the

	Page 403
1	stroke is measured. In fact, it isn't even
2	well defined. So at least by current
3	measures, we think we are meeting the same
4	standard.
5	MEMBER AMARASINGHAM: Let me just
б	make sure I understand it. Are you suggesting
7	that there is an incentive to up-code?
8	SVS REPRESENTATIVE: Yes.
9	MEMBER AMARASINGHAM: So that is a
10	legal
11	CO-CHAIR DUBOW: You are
12	accurately coding.
13	SVS REPRESENTATIVE: Accurately is
14	what I mean.
15	MEMBER NEWCOMER: His point was
16	that hospitals had a legitimate reason to
17	search for legitimate strokes because they
18	would be up-codes. So there should be a
19	higher capture rate, is all, not that they are
20	defrauding.
21	CO-CHAIR DUBOW: No, I think it
22	responds to the question, that there is an
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Page 404 incentive to capture them properly. MEMBER FILLIPO: But the hospital can't code it until the physicians document it, that it is a stroke. I mean, the coder can't go through and say it is a stroke. Ι mean, the physician has to document that it is a stroke. MEMBER DELLINGER: But it is a Medicare rule, but the hospital can certainly prompt the physician to make sure the diagnosis is made. They can query the physician. That is certainly done in my hospital. MEMBER FILLIPO: Absolutely. DR. JEWELL: Except now you have the opposite incentive. If it is going to be reported as an outcome and be a negative, then it goes against how much difference are you going to get for the DRG. CO-CHAIR DUBOW: Well, look, I thought your question was whether these strokes were going to be properly reported.

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1	The response was that indeed there is every	
2	incentive for them to be reported properly,	
3	because there is an incentive to do it. So	
4	that seems to answer the question that you	
5	posed.	
б	DR. JEWELL: The TAP brought it	
7	up, that there would be the counter-incentive	
8	as well.	
9	CO-CHAIR DUBOW: Okay.	
10	MEMBER KEALEY: I was just going	
11	to say, this creates the other side of the	
12	coin, and as frequently we see, there is	
13	competing interests with payment and accurate	
14	diagnosis. I see this in health grades all	
15	the time with how thorough these document	
16	post-op issues, because they will be seen as	
17	complications and make you look bad, but they	
18	might improve your payment. What do you do?	
19	MEMBER NEWCOMER: Your hospital	
20	administrator has an easy answer for that.	
21	I want to comment about the	
22	denominator. It simply says carotid	

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	Page 406
1	endarterectomy, but there is very high
2	interoperative variation in the indication for
3	this test or for this procedure, and we
4	don't adjust for that anywhere that I can see.
5	I am a little worried about that
б	denominator being so vague that we won't get
7	a decent result. So I would argue against the
8	scientific validity of that measure.
9	MEMBER DELLINGER: Well, it is not
10	every carotid endarterectomy. It is carotid
11	endarterectomy in a patient who has had no
12	logic symptoms for 12 months before the
13	operation.
14	MEMBER NEWCOMER: You can't tell
15	that from the coding. Well, I guess you can
16	with the G code. Okay.
17	CO-CHAIR FLEISHER: Barbara?
18	MEMBER YAWN: I'm fascinated by
19	the fact that people believe, with apparent
20	great accuracy about the ability to say this
21	patient has been totally asymptomatic, but
22	they don't think that they can reliably

Page 407 identify strokes in 30 days. 1 2 I'm sorry. That doesn't wash with 3 me at all, and I would be very interested in 4 60 and 90 days out and what those data are, 5 too, because if these were truly asymptomatic patients, you do something and now they have 6 7 a stroke in the next year, they should be at 8 quite low risk for that. 9 CO-CHAIR DUBOW: But the measure we have before us is short frame measure --10 11 MEMBER YAWN: I understand. 12 CO-CHAIR DUBOW: -- and we just 13 heard that that accounts for 90 percent of the 14 strokes under these circumstances. So --15 MEMBER YAWN: Up to 30 days, yes, 16 we heard that. 17 CO-CHAIR DUBOW: Right. So that 18 is the measure we have before us. 19 MEMBER YAWN: I understand. I am 20 just suggesting there might be reasons not to 21 vote in favor of it. 22 MEMBER KEALEY: Is that a

	Page 408
1	different The 94 percent is kind of a
2	national average. At a place where they don't
3	do them so well, suddenly that becomes 80
4	percent. So is this a differentiated that we
5	are automatically getting rid of?
6	CO-CHAIR FLEISHER: Okay. Lee,
7	last comment.
8	MEMBER NEWCOMER: Sorry, but could
9	the developer tell me on how they would choose
10	these carotid endarterectomies? What is the
11	criteria for this patient being an operative
12	candidate? It is not there.
13	SVS REPRESENTATIVE: Really, that
14	underscores why this measure is so important,
15	because neurologists and surgeons together
16	make decisions to recommend this surgery or
17	perhaps in the future stenting when that is
18	approved for asymptomatic patients, based on
19	the severity of this analysis and all the
20	other factors that would influence morbidity
21	and, therefore, the outcome has to be really
22	good.

Page 409 That is why we think it is so 1 2 important to keep track of this, even at the in-hospital level, which would provide the 3 consumer, I think, with great data that is 4 just simply not available now. 5 6 MEMBER NEWCOMER: I am going to 7 argue this surgery is a morbidity, and you 8 could definitely have your post-op morbidity 9 decline by operating nothing but healthy carotids -- I mean, to be extreme. I just 10 11 can't find validity. 12 CO-CHAIR FLEISHER: It is time to vote on the criteria. 13 14 DR. HALL: Are you ready for 15 public comment? 16 CO-CHAIR FLEISHER: We opened it 17 up. Is there other public comments? DR. HALL: This is Bruce Hall. 18 Ι 19 just had a question on the measure 20 specification. Again, it is a question I have 21 asked repeatedly during the day. 22 I do not see data on reliability.

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1	The measure developers talk about information
2	like this. We do see rates from about 4.1
3	percent, a 3.8 percent and so on. I know
4	those numbers may differ in different
5	situations.
б	A great proportion of the
7	hospitals across the country are going to do
8	a significant number of these cases, to the
9	degree that they can be reliably assessed on
10	this outcome that is probably going to be
11	under 3 percent.
12	Furthermore, the measure is
13	supposed to be eligible for provider physician
14	level as well. How do we know what the
15	reliability distinctions between physicians
16	and how many physicians do enough of these
17	cases to be reliably judged?
18	CO-CHAIR FLEISHER: Okay, thank
19	you, Bruce. Reva, do you want to go over
20	criteria?
21	DR. WINKLER: All right. For the
22	criteria, for this measure of stroke after

Page 411 carotid endarterectomy: Importance to measure 1 2 and report: Yes? All those that say yes? All but Barbara and Lee. Okay. 3 4 So how many No? There they are. 5 So that's 20. All right. 6 MEMBER YAWN: That is as 7 specified. 8 DR. WINKLER: Scientific 9 acceptability of this measure as specified: Completely meet the criteria? Two, okay. 10 Partially meet the criteria? 11 12 Nine. 13 Minimally meet the criteria? 14 Nine. 15 CO-CHAIR FLEISHER: Doesn't meet 16 the criteria, two. 17 DR. WINKLER: Definitely on the low end. 18 19 Usability: Completely meet the 20 criteria? I see zero. I'm sorry, David. So 21 one. Partially meet the criteria of 22

Page 412 usability? Thirteen. 1 2 Minimally meet the criteria of Six. That's 20. 3 usability? 4 Not at all? Two, okay. 5 Feasibility: completely meets the criteria? 6 Three. 7 Partially meets the criteria? 8 Twelve. 9 Minimally? Five. 10 Not at all? Okay. All right. CO-CHAIR FLEISHER: Call for a 11 12 vote. Any conditions? No. Those in favor of 13 the measure? 14 DR. WINKLER: Five. 15 CO-CHAIR FLEISHER: Those opposed 16 to endorsing the measure? 17 DR. WINKLER: Seventeen. That's 18 everybody. 19 CO-CHAIR FLEISHER: Thank you. 20 Okay, last two measures as the day continues. 21 Bruce, you are still there, obviously. We are 22 on to the two ACS measures.

Page 413 The risk adjusted colorectal 1 2 surgery outcomes measure. Was that one of the other times? 3 4 DR. WINKLER: Yes, it actually 5 was. 6 CO-CHAIR FLEISHER: It was done in 7 the GI TAP? 8 DR. WINKLER: Yes. CO-CHAIR FLEISHER: David, did you 9 -- Was David the Chair of that? 10 11 DR. WINKLER: Yes. 12 CO-CHAIR FLEISHER: David, do you 13 have any comments on that measure from the 14 TAP's perspective? 15 MEMBER JOHNSON: The measure was 16 viewed favorably. 17 CO-CHAIR FLEISHER: Not on mike. 18 MEMBER JOHNSON: The measure was 19 overall viewed favorably. It was defined 20 need, and the only question was the 21 participation in the Ethnoscript database, and 22 that there was a mechanism to account for

Page 414 participants that maybe weren't participating 1 2 in the Medscript database. So that the 3 developer addressed that and provided a 4 pathway for that. So overall, the impression 5 was favorable. 6 CO-CHAIR FLEISHER: So just to 7 recognize that, unlike the STS measure, the 8 ACS measures -- the hospital does not have to 9 be a participant in the registry. 10 Any questions? Patch? 11 MEMBER DELLINGER: Yes. The STS 12 criteria are very rigorous and not that easy 13 to do. So I am quite -- sorry, NSQIP. NSOIP 14 criteria are very strict. I am quite curious 15 as to how a non-NSQIP hospital could get 16 measured by this NSQIP criteria. 17 CO-CHAIR FLEISHER: Bruce, I know 18 you have answered this before. Would you --19 but not for the whole Steering Committee. Do 20 you want to address that? 21 DR. HALL: Sure. Thank you. Ι 22 have been on the call all day, and I have

appreciated hearing all of the discussion up 1 2 to this point. 3 The measure before you is a very 4 parsimonious measure. It is specified with a 5 small number of data points, and it is 6 specified with a subset of colorectal -- and 7 also a small number of outcome endpoints. So 8 any implementation of the measure would be 9 accompanied by education about how each of those risk factors or outcomes is defined and 10 11 applied, but the measure before you is a very 12 parsimonious model, and we have given very specific estimates of what we think the 13 14 reporting value would be. 15 MEMBER JOHNSON: The other point 16 that was recognized is that, although the 17 NSOIP participants weren't necessarily uniform 18 100 percent, the people that were doing the 19 colorectal surgery represented 85 percent of 20 the eligible surgeries already. So the 21 majority of surgeons were already 22 participating.

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Page 416 CO-CHAIR FLEISHER: Other 1 2 comments? David? 3 MEMBER HOPKINS: The measure as stated is for what level of measurement? 4 5 Hospital, group, physician? 6 DR. HALL: Institutional. 7 MEMBER HOPKINS: Hospital only. 8 CO-CHAIR DUBOW: Page one, the 9 summary provides the level of analysis, thanks to Heidi. 10 11 CO-CHAIR FLEISHER: Other 12 comments? Public comment? Reva? 13 DR. WINKLER: Okay. So importance 14 to measure and report on a measure of 15 colorectal surgery; so importance to measure 16 and report, Yes? Is that everybody? Is there anybody voting no? Okay, good. All right. 17 18 Scientific acceptability of the 19 measure properties for this measure as 20 specified: Completely meets criteria? 21 Eleven. 22 Partially meets criteria? Eleven.

Page 417 That is everybody. 1 2 Usability: Completely meets criteria? Criteria for usability. Eleven, 3 4 completely. 5 Partially? Eleven. So that is everybody. 6 Okay. 7 The last one is feasibility: 8 Completely meets feasibility criteria? Seven. 9 Partially? Thirteen. Two. Okay. 10 Minimally? CO-CHAIR FLEISHER: Conditions 11 12 before we vote? None. Hearing none, all those in favor of endorsing this measure? 13 Ιt is unanimous. 14 DR. WINKLER: Okay, great. 15 16 CO-CHAIR FLEISHER: Thank you. We have one measure left. This is a similar 17 18 mini-NSQIP measure. It is risk adjusted care 19 mix adjusted elderly outcomes measure 20 developed by the American College of Surgery. 21 It was discussed extensively at the TAP, and 22 essentially, this is a mixed group of surgical

	Page 418
1	procedures similar to the previous measure.
2	It was actually Bruce had explained the way
3	that non-NSQIP hospitals could actually
4	calculate it, because I believe there is only
5	two criteria for risk adjustment. So it is
6	relatively simple. Did I get that right,
7	Bruce?
8	DR. HALL: It is a very small
9	set. I think there are In total, if you
10	include demographics and what-not, you are
11	talking about half a dozen factors.
12	CO-CHAIR FLEISHER: Right.
13	CO-CHAIR DUBOW: Could you tell
14	me if this includes hip fractures?
15	DR. HALL: Yes, that was a
16	particular question during the TAP. So at
17	first NSQIP approaches, multi-trauma and
18	severe trauma patients are not eligible to
19	take part in NSQIP, but isolated trauma such
20	as fall from standing or slip from standing
21	that might be associated with a hip fracture,
22	which is relative to this population, would be

included. 1 2 So the way to think about it in the shorthand, it is just that, if you fall 3 4 from standing and fracture your hip, you would meet it. If you fall off the roof of your 5 house and fracture a hip, then you are out. 6 7 CO-CHAIR DUBOW: Okay. 8 CO-CHAIR FLEISHER: The TAP 9 actually felt, as far as gap in measures or future research, that a hip fracture measure 10 independent of this measure should be 11 12 developed, and they actually felt that quite 13 strongly. So that -- Based on Joyce's 14 question, if the Steering Committee also 15 agrees with that, we will -- no, not as a 16 condition -- a recommendation. Yes, Dianne? 17 MEMBER JEWELL: Actually, no. Ι 18 would ask, given that the Bone and Joint TAP 19 received no measures for consideration, and 20 hip fracture is one of the specific conditions 21 that is identified, I think it would be a 22 helpful message to send that we really want a

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		Page
1	specific hip fracture measure.	
2	CO-CHAIR FLEISHER: So the	
3	question to Bruce I don't know if in NSQIP	
4	or if NSQIP could work with the orthopods to	
5	develop such a measure.	
6	DR. HALL: Well, I will just	
7	reemphasize that this measure before you is	
8	based on the standard approach. So it does	
9	include, you know, at least the portion of the	
10	population that I described to you.	
11	NSQIP does not approach severe	
12	trauma patients. There is a quality registry	
13	within the American College of Surgery, the	
14	trauma QIP, the TQIP which does more severe	
15	injury patients.	
16	I don't know if I am speaking out	
17	of turn I don't think so, but certainly,	
18	the College would be happy to use the TQIP	
19	resources and to work with orthopedics in the	
20	future to that end, but I don't think that is	
21	feasible as a condition for the measure that	
22	is in front of you.	

Page 421 CO-CHAIR FLEISHER: No, no, no. 1 Ι 2 think what is being asked, Bruce, is that hip fracture, similar to colorectal -- we may want 3 4 to pull out, and we are asking that you consider developing a hip fracture specific 5 6 measure very similar to colorectal in the 7 general measure, given its public health 8 importance and Medicare issues. Barbara? 9 MEMBER YAWN: And I think that the 10 idea of hip fractures only from standing or 11 sitting is really a subset of the non-major 12 trauma hip fractures. I mean, some of us were 13 walking and went down one step and fractured 14 something, not --15 DR. HALL: Again, that would be 16 included. If it is not a fall from height, that would an included case. 17 18 MEMBER YAWN: Okay. So your measure is broader than you suggested. 19 20 DR. HALL: I was trying to give a 21 shorthand. So the fall from standing or 22 walking, that is in; fall from height, off a

Page 422 roof, that would be out. 1 2 CO-CHAIR FLEISHER: So the other 3 Barbara. I am interested in 4 MEMBER TURNER: 5 the breadth of surgical conditions that are 6 being covered, and the fact that some 7 institutions may have -- I don't know --8 mostly neurological procedures, and other 9 institutions might have thoracic CNS things. 10 CO-CHAIR FLEISHER: Right. So 11 that actually was one of the major concerns of 12 the TAP. 13 MEMBER TURNER: And? 14 CO-CHAIR FLEISHER: Bruce, comment 15 on how you judge the severity of surgery, so 16 to speak. 17 DR. HALL: Certainly. So first of all, there is a full CPT list of 18 19 specifications for the measure, and that is 20 submitted. I'm sure you are not looking at it 21 at the moment. But the concern about 22 standardizing across surgical procedures is a

very insightful one. 1 2 The approach we have taken to that is that we have dropped all of the CPT codes 3 that are eligible for this measure into 4 5 clinically related buckets based on their CPT coding. So in other words, two colorectal 6 7 surgeries that are different versions or, for 8 instance, would be in the same clinical 9 bucket. So we have identified roughly 135, 10 136 if you include the category of "other," 11 clinical buckets that all the CPT codes fall 12 13 into, and then each of those buckets is run as 14 an initial regression against the outcome. We take the results of that 15 16 initial regression and generate a scale of risk score. That scale of risk score 17 18 effectively gives you a measure of the 19 endogenous risk of that procedure. 20 So a urologic procedure all by 21 itself, all other things equal, has a very 22 different risk than a hip fracture or a

		Page	424
1	colorectal procedure. But each procedure		
2	bucket is given its own scale of risk score,		
3	and those scores are then used in re-		
4	regression in conjunction with the other risk		
5	adjustment variables and in conjunction with		
6	the relative value units of scientific code.		
7	So what that gives us is an		
8	ability to standardize across procedures. So		
9	if one institution did a procedure that had		
10	endogenous the lowest and another institution		
11	has high risk procedures by definition, then		
12	we control for that mix.		
13	That is why the measure is		
14	referred to not just as patient risk adjusted		
15	but also case mix adjusted for the		
16	institution.		
17	CO-CHAIR FLEISHER: Sean Well,		
18	you reviewed this, but let B.J. finish.		
19	MEMBER TURNER: Well, just to		
20	respond. The same issues come up with how		
21	many populations you have looked at, your case		
22	mix stratification approach. Is it just one -		

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1	- same idea as we went through with the
2	severity of illness measure issues.
3	DR. HALL: So the measure in front
4	of you has been developed using historical
5	NSQIP data, even though participation in NSQIP
6	is not required to fulfill the measure. So
7	all of the coefficients and the reliability
8	assessments and so on are all developed from
9	historical NSQIP data.
10	CO-CHAIR FLEISHER: Okay, Sean, do
11	you have any comments? You did review this?
12	DR. O'BRIEN: Yes, I did review
13	it. In terms of my comments, I didn't see
14	much in the way of assessing calibration of
15	the model. They assessed calibration with a
16	Hosmer-Lemeshow statistic, but they also
17	commented that with such a large sample size,
18	a significant Hosmer-Lemeshow test p value,
19	which would ordinarily indicate lack of fit,
20	is not meaningful, because no model is
21	actually literally a perfect fit. So often
22	Hosmer-Lemeshow is just a measure of how large

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1	your sample is. But even when you have
2	when the Hosmer-Lemeshow is not meaningful,
3	there's other ways to assess calibration
4	graphically.
5	Typically, very often you will
6	report comparisons of the observed to expected
7	outcomes within subgroups or by deciles of
8	predicted risk, and I was curious to know if
9	the developers had done those types of
10	analyses.
11	Because the populations for these
12	two measures are relatively broad, assessing
13	fit, not just globally, that also important
14	subgroups would be warranted, and also this
15	will be in the DRG group, since the DRG
16	basically entered into the model is a two-step
17	estimation procedure where they estimate
18	you know, they basically get an estimate for
19	each DRG, but that goes into the model in the
20	second stages, kind of a linear term where you
21	are basically assuming that, if you have a
22	You have a continuous variable, and basically

Page 427 you are making linearity assumptions. 1 So it 2 would be worth assessing that. 3 CO-CHAIR FLEISHER: Bruce? 4 DR. HALL: thank you. Thank you, 5 Your remarks are always very well Sean. 6 thought out. So in fact, we have given up --7 Internally, we have given up using the HL fit 8 statistics for exactly the reasons that we saw 9 and the reasons that you reiterated. So in fact, we do for all of our 10 11 models perform fit curves where we describe --12 we actually evaluate fit within that 13 graphically, and that helps us to know where 14 we are on the fit. 15 We provided the HL fit, the 50-50 16 codes it seems that many times people look 17 We have publications that have already for. 18 been published, as well as an additional 19 publication under review that specifically 20 evaluates the additional value of using scale 21 of risk from CPT codes, and I appreciate you 22 said DRG codes, but we are referring to CPT

codes. 1 2 We find that in all cases in 3 applications like this, the application of scale of risk scores derived from CPT codes 4 5 dramatically improves the fit. 6 So we did not submit any graphical 7 pictures of the fit codes for this model. We 8 would be happy to do that. We did not know 9 that would be the question, but we do do that. that is our normal approach nowadays. 10 11 CO-CHAIR FLEISHER: Any comment? 12 DR. O'BRIEN: No. An unrelated 13 comment, and I may have missed some of the 14 discussion. But the models are highly parsimonious, and I understand the need to 15 16 reduce the reporting burden. 17 This measure is going to be implemented beyond NSQIP, but the criterion 18 19 for assessing the performance of the model has 20 to do with reducing or eliminating or reducing 21 to the extent possible bias due to 22 differential case mix across the sites.

Page 429 So you might think about treating 1 2 a larger model as a gold standard and using that model to assess the extent to which case 3 4 mix does vary, and the extent to which 5 different case mix would produce bias, and 6 then to what extent does a three-variable 7 model or a six-variable model succeed at 8 removing the bias that you think may be there 9 based on what you have estimated from your 10 larger model, just any way to validate the use of a three-variable or six-variable model. 11 12 Thank you, Sean. DR. HALL: 13 Actually, in this month's American College of 14 Surgeons we have a publication that evaluates the five-variable models with a specific 15 16 procedural grouping, and as you have said, 17 this is a topic that we continue to 18 investigate. So we certainly agree with your 19 remarks that these are important aspects to 20 continue to investigate. 21 CO-CHAIR FLEISHER: Can you send 22 that to Reva, if you get a chance, a copy of

	I	Page	430
1	that?		
2	DR. HALL: Sure thing.		
3	CO-CHAIR FLEISHER: David?		
4	MEMBER HOPKINS: Just a question.		
5	So this measure is for over 65. Does NSQIP		
6	have in its armamentarium an under 65 measure		
7	that parallels this?		
8	DR. HALL: We have not submitted		
9	an under 65 measure that is otherwise		
10	specified the same. We developed this measure		
11	in conjunction with consultation with CMS		
12	leadership, because they were particularly		
13	interested in the unique burdens and risks		
14	that the over 65 population has. So that is		
15	why we have taken this approach.		
16	I don't know that there is any		
17	reason to preclude making a similar model for		
18	an under 65 population, but we have made the		
19	argument that the over 65 population carries		
20	uniquely increased risk and uniquely increased		
21	risk of morbidity after these surgeries.		
22	CO-CHAIR FLEISHER: So, David, I		

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1	assume we One potential request is that
2	this be looked at in the future.
3	MEMBER HOPKINS: Sure.
4	CO-CHAIR FLEISHER: Patch?
5	MEMBER DELLINGER: This is I
6	think this is a great model, but I really fail
7	to see how this could be applied to a non-
8	NSQIP hospital.
9	DR. HALL: Dr. Dellinger, the CPT
10	codes that would be eligible for data
11	collection are specified. The number of cases
12	that would need to be evaluated over the
13	course of the year, I believe, is
14	approximately 180 cases, and so a hospital
15	could sample 15 cases a month.
16	The risk adjustment model gave a
17	very parsimonious, fewer than half a dozen,
18	risk adjustment variables. So any
19	implementation would again be accompanied by
20	education about how those fields are defined,
21	and then whether the hospital was
22	participating in NSQIP or not, the data for

		Page ·
1	their cases would just be submitted to	
2	whatever organization was doing the	
3	implementation.	
4	The models would be applied, and	
5	the evaluations would be returned to those	
6	institutions.	
7	CO-CHAIR FLEISHER: Yes, B.J. We	
8	have seven minutes to dinner.	
9	MEMBER TURNER: So I think I am	
10	curious. Do you report your results in age	
11	strata, because although you say the over 65,	
12	66 is like a kid compared to 98. So I am	
13	wondering whether or how you report or	
14	address the issue of age in your model.	
15	DR. HALL: We don't report it in a	
16	stratified manner, but it is included as a	
17	variable that we can use for risk adjustment.	
18	So even within Even given the fact that the	
19	entire population is over 65. So we don't	
20	report out 65 to 70, 75 to 80 and so on.	
21	MEMBER TURNER: But you do adjust	
22	for how old they are?	

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1DR. HALL: Within the group.2CO-CHAIR FLEISHER: Other3comments. Amy?4MEMBER ROSEN: I have a comment on5functional status. If a hospital doesn't have6NSQIP, then they have to go in and look at the7medical records for that? I just want to be8clear on that in terms of usability or9feasibility.10DR. HALL: This question also came11up during the TAP, and actually, again because12we do have 250 or 270 hospitals around the13country that use that same variable, our	133
 3 comments. Amy? 4 MEMBER ROSEN: I have a comment on 5 functional status. If a hospital doesn't have 6 NSQIP, then they have to go in and look at the 7 medical records for that? I just want to be 8 clear on that in terms of usability or 9 feasibility. 10 DR. HALL: This question also came 11 up during the TAP, and actually, again because 12 we do have 250 or 270 hospitals around the 13 country that use that same variable, our 	
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12 we do have 250 or 270 hospitals around the 13 country that use that same variable, our	
13 country that use that same variable, our	
14 experience within the program is variable is	
15 actually pretty easy found within nursing	
16 assessments of the patient, that this is an	
17 access that the nursing that the nursing	
18 assessment does usually cover. So that might	
19 not be immediately obvious, but that is our	
20 experience.	
21 CO-CHAIR FLEISHER: Okay, public	
22 comment? Hearing none Yes, from CMS.	

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1	DR. HAN: I think I have more just
2	a question about the implication of NQF
3	endorsement here. Am I correct to assume that
4	NQF endorsed measures, sort of like public
5	available, is transparent, because from CMS
6	point of view that we interested We are
7	going to We are interested in using
8	measures the NQF endorsed.
9	So for example, the STS measures
10	that I have this question. What is the
11	implication of you endorse the composite
12	methodology, but you put it aside, the
13	question of the star system?
14	So does that mean that CMS has
15	there is a transparency of the methodology.
16	We can use the measure, but CMS can decide how
17	we are going to do the star system, how we are
18	going to report? I would like to know what
19	STS's concern is. That is a separate thing.
20	Right?
21	CO-CHAIR FLEISHER: Yes. But ay
22	issues with this measure, we can try to

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1	address that separately, or do you want to
2	wait for Helen?
3	DR. WINKLER: The question on that
4	is, again I think what this committee was
5	saying was the actual implementation where you
6	determine stars and whatever is separate from
7	the measure. Even though STS has wrapped them
8	together, the recommendation of this committee
9	is that they really should be looked at
10	separately.
11	DR. HAN: Okay. So the same thing
12	applies to the ACS measures, that I think it
13	was David asking, you know, how he he
14	wonders how this measure can be used outside
15	of NSQIP.
16	So CMS is interested in the
17	measure, but when we implement it, CMS will
18	have some authority to require hospital to do
19	that. So it is the methodology that we are
20	interested in. It is not the implementation.
21	So just want to remind you, when you vote on
22	this measure.

Page 436 CO-CHAIR FLEISHER: I am sure, 1 2 though, during the comment period, the 3 American Hospital Association, in particular 4 -- I am sure we will hear from Nancy Foster or 5 others about the burden. 6 DR. HAN: That is the -- Okay. 7 CO-CHAIR FLEISHER: So I think the 8 TAP, from what I am hearing, the TAP -- Excuse 9 me, the Steering Committee can comment that we are concerned about burden, and that public 10 comment regarding potential burden of this 11 12 measure would be important before endorsement at the next level. Is that a fair comment to 13 14 add, unless anybody disagrees with that 15 comment? 16 MEMBER YAWN: That goes to 17 feasibility and usability. CO-CHAIR FLEISHER: Separate from 18 19 endorsement, because we can endorse a measure 20 but say --21 MEMBER YAWN: But say we think 22 there is a feasibility issue.

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1	CO-CHAIR FLEISHER: So let's vote
2	on those components of feasibility. So if you
3	are concerned about that, you should vote
4	lower on feasibility and usability.
5	MEMBER AMARASINGHAM: One question
6	for my own edification as an internist. What
7	is the number of hospitals that are
8	participating in this group?
9	CO-CHAIR FLEISHER: Small. You
10	hear it. Two hundred thirty-eight, Bruce?
11	DR. HALL: Two hundred seventy.
12	CO-CHAIR FLEISHER: Two hundred
13	seventy, and that actually is the out of
14	5,000. It is actually the major concern of
15	why, at least I have heard, the College is
16	looking at other ways to do this, because
17	We are a NSQIP hospital, and the burden is
18	large, and the costs are large, although
19	interestingly, the STS costs are probably
20	very similar.
21	So let's vote on It is not low.
22	The cost is low, but the burden to collect.

Page 438 So can we vote on the importance? 1 2 DR. WINKLER: All right. Let's 3 vote on importance for this measure, which is a measure of outcomes of mixed surgeries for 4 5 patients over 65. So importance, yes or no. 6 Is anybody voting No? Okay, so that's Yes? 7 good. 8 Scientific acceptability: 9 Completely meets the criteria? No. 10 Partially? That's everybody. 11 CO-CHAIR FLEISHER: No. 12 DR. WINKLER: No? Anne? Amy? 13 You are a minimum. Okay. 14 All right, usability: Completely? That is zero. 15 16 Partially? Twelve, I think. 17 Minimally? Ten. Okay. 18 Feasibility: Completely? Zero. 19 Partially? 10. 20 Minimally? 11. Okay. 21 So recommendation on the measure? 22 CO-CHAIR FLEISHER: Okay.

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1	Recommendation of the measure. Now recognize
2	we will have a strong comment that they should
3	get public comment regarding the usability of
4	this measure.
5	DR. WINKLER: It is going to
6	happen, no matter what.
7	CO-CHAIR FLEISHER: But that we
8	have concerns about implementation.
9	MEMBER TURNER: And a lot of
10	statistical issues that we were discussing.
11	CO-CHAIR FLEISHER: Well, that is
12	separate. So are there any conditions that
13	anybody wants on the measure? Okay.
14	All those in favor of the measure?
15	Did anybody vote No? Is there any No? No.
16	Okay. So 22 to zero.
17	So, Bruce, thank you. The one
18	comment, Bruce, is Dianne is going to be
19	talking with you, because the TAP and the
20	Steering Committee both feel there should be
21	a separate hip fracture.
22	Any comments?

Page 440 No, but we are 1 CO-CHAIR DUBOW: 2 going to reconvene tomorrow at 8:30. Your 3 shuttle will pick you up at 8:10, and we are 4 eating here, for those of you who decided to 5 do that, at six. 6 MS. BOSSLEY: Right. And we do 7 have at least one person going back to the 8 hotel now and taking a cab. So if anyone 9 wants to do that, we will get enough. I guess 10 it is just Amy right now. 11 CO-CHAIR FLEISHER: So one quick 12 question. Because many of us assume that it 13 was a four o'clock end time, but we are 14 further -- those of us who took the train. Do other people have like strict deadlines? 15 So 16 is the goal to -- if we finish at three, will 17 people need to leave early? If we finish at 18 2:30, will they need to leave early? 19 (Whereupon, at 6:03 p.m. the 20 steering committee was adjourned.) 21 22

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