NATIONAL QUALITY FORUM

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PATIENT SAFETY 2015-2017 STEERING COMMITTEE

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THURSDAY JULY 28, 2016

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The Steering Committee met at the National Quality Forum, 9th Floor Conference Room, 1030 15th Street, N.W., Washington, D.C., at 9:00 a.m., Ed Septimus and Iona Thraen, Co-Chairs, presiding.

PRESENT:

ED SEPTIMUS, MD, Texas A&M University Health Science Center; Hospital Corporation of America; Co-Chair IONA THRAEN, PhD, ACSW, Utah Department of Health; Co-Chair JASON ADELMAN, MD, MS, Montefiore Medical Center CHARLOTTE ALEXANDER, MD, Memorial Hermann Medical System KIMBERLY APPLEGATE, MD, MS, FACR, Emory University LAURA ARDIZZONE, BSN, MS, DNP, CRNA, Memorial Sloan Kettering Cancer Center CHRISTOPHER COOK, PharmD, PhD, bioMerieux MELISSA DANFORTH, The Leapfrog Group MARTHA DEED, PhD, Patient Safety Advocate THERESA EDELSTEIN, MPH, LNHA, New Jersey Hospital Association LILLEE GELINAS, MSN, RN, FAAN, CHRISTUS Health STEPHEN LAWLESS, MD, MBA, FAAP, FCCM, Nemours LISA McGIFFERT, Consumers Union

SUSAN MOFFATT-BRUCE, MD, PhD, The Ohio State University PATRICIA QUIGLEY, PhD, MPH, ARNP, CRRN, FAAN, FAANP, Nurse Consultant MICHELLE SCHREIBER, MD, Henry Ford Health System* LESLIE SCHULTZ, PhD, RN, NEA-BC, CPHQ, Premier, Inc. TRACY WANG, MPH, Anthem KENDALL WEBB, MD, FACEP, University of Florida Health Systems ALBERT WU, MD, MPH, FACP, Johns Hopkins University YANLING YU, PhD, Patient Safety Advocate

NQF STAFF:

HELEN BURSTIN, MD, Chief Scientific Officer ANDREW ANDERSON, MHA, Senior Project Manager JASON GOLDWATER, MPA, Senior Director ANDREW LYZENGA, MPP, Senior Director ELISA MUNTHALI, MPH, Vice President, Quality Measurement JESSE PINES, MD, Senior Director DESMIRRA QUINNONEZ, Project Analyst ALSO PRESENT:

JAMIE FOX, APNP, Children's Hospital of Wisconsin THERESA MIKHAILOV, MD, PhD, Children's Hospital of Wisconsin PAM OWENS, PhD, Agency for Healthcare Research & Quality (AHRQ) DANIEL POLLOCK, MD, Centers for Disease Control & Prevention (CDC) TOM RICE, MD, Children's Hospital of Wisconsin PATRICK ROMANO, MD, MPH, University of California, Davis RAMESH SACHDEVA, MD, PhD, JD, AHRQ-CMS CHIPRA Pediatric Measurement Center of Excellence (PMCoE) DONNA WOODS, MD, Pediatric Consultants, LLC

* present by teleconference

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C-O-N-T-E-N-T-S

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NQF Member and Public Comment
Discuss Gaps in Measurement

	5
1	P-R-O-C-E-E-D-I-N-G-S
2	9:04 a.m.
3	CO-CHAIR SEPTIMUS: Okay, so, welcome
4	back, everybody. We had a full day yesterday. We
5	got through all the measures on the agenda. How
6	about that? So we only went over 30 minutes which
7	I think was pretty good.
8	And so we really had, just to kind of
9	recap things, and Iona can fill in what I don't say,
10	we had breakfast. Come on guys, lighten up. It's
11	day two.
12	We went through some new measures. In
13	fact, most of the measures we considered yesterday
14	as you remember were new. A number of them were
15	process measures. Some were outcome measures.
16	We looked at potentially harmful drug
17	interactions, med reconciliation and dialysis,
18	which we felt was a really important issue. And
19	the developers were kind enough to follow up with
20	someone that knew a little bit more about the
21	statistics and the proposal so we could actually
22	act on it. And that was extremely useful.

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1	In the afternoon we had some PACE
2	measures that we went through. The first one on
3	pressure ulcer prevalence we declined to endorse
4	for good reasons.
5	I did talk to the developers after that.
6	I think they got some feedback as to how to adjust
7	that.
8	We did pass the next two on fall rates
9	and fall rates with injury. But I think the
10	developers learned a lot about the process and what
11	they needed.
12	We had two of our outstanding nurses who
13	had to recuse themselves and they're here this
14	morning. Not to talk about the measure.
15	But I would like, and I don't want to
16	put anyone on the spot. I really think that PACE
17	has phenomenal value to healthcare delivery.
18	So if one of you would just like to talk,
19	not about the measure. You can't talk about the
20	measure. But just talk about your viewpoint of the
21	PACE program so when some of these things come back
22	we have a better understanding about what it's
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7 1 actually doing. Because I think we got the sense that 2 it's really doing 3 а lot, but the measure, especially the first measure, didn't match up to 4 5 what they needed to do. 6 MEMBER EDELSTEIN: Good morning, thank 7 you. I am from the New Jersey Hospital Association 8 as you all know. 9 And in New Jersey we represent the PACE 10 organizations in our state. So I'm very familiar 11 with the model. 12 It is, as you heard yesterday it is a 13 fully capitated model of care that serves the frail 14 elderly 55 and older who qualify for nursing home 15 placement. 16 Most of the participants live in the 17 community. They participate in the PACE center 18 usually two to three days a week. 19 In addition many, not all, but many 20 receive home care services through the PACE 21 organization. So PACE is both provider and 22 insurer which makes it unique in the provider **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W.

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1 community for sure.

2	Most of the participants as I said come
3	to the center two to three days a week which means
4	that the interdisciplinary team, at least some
5	members of it if not all, lay eyes and sometimes
6	hands on those participants more than once a week.
7	And they see them in their home, they
8	see them in the center. The drivers of the
9	transportation vehicles who bring the participants
10	to and from PACE are a vital part of identifying
11	changes, of understanding what's going on in that
12	person's life beyond their healthcare.
13	And it really stands out as CMS's first
14	really fully integrated dual eligible arrangement
15	for this population.
16	It is being held out actually as a model
17	for all of the fully integrated dual eligible
18	models that CMS has put forward in recent years.
19	So, beyond the IDT team itself which is
20	so critical to planning appropriately for the care
21	in every aspect of a PACE participant's life there
22	is a lot of care delivery going on every day.
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	9
1	PACE participants have to I'll use
2	the word relinquish. It's a little strong word,
3	but they have to relinquish their relationship with
4	any prior physicians and have the PACE physicians
5	as their primary care providers.
6	They get all of their primary care
7	through the clinics in the PACE center. Specialty
8	care, same way.
9	And whenever they need home care, or
10	hospital care, or nursing home care, the PACE
11	organization must contract for those services.
12	They can provide home care directly and
13	most do. But they can also contract for home
14	health.
15	As was mentioned yesterday most of the
16	home care provided to PACE participants is personal
17	care assistance in their own homes.
18	But when a PACE participant does need
19	a higher level of care temporarily the PACE
20	organization pays for it, contracts for it,
21	oversees the quality of it and remains integrally
22	involved in the care planning process throughout
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	10
1	an acute care stay or a long-term care stay.
2	So hopefully that gives you a little bit
3	more of a feel for sort of the all-encompassing
4	nature of PACE.
5	And beyond care delivery there's also
6	all of the intangibles like pest control, and air
7	conditioning units, and deep cleaning of an
8	apartment, and removing bed bug infestations, and
9	all of those things that are social determinants
10	of what can happen to a frail older person living
11	in the community if they're not attended to.
12	CO-CHAIR SEPTIMUS: Thank you for that
13	explanation. Lillee, I don't know if you wanted
14	to?
15	MEMBER GELINAS: Thank you, Theresa,
16	that was terrific. And thank you, Ed. Helen,
17	welcome. We're sorry you missed this.
18	But first of all I was emailing Andrew
19	and Jesse yesterday because I knew I'd have to
20	recuse myself, and couldn't vote, and couldn't even
21	talk in open comment.
22	I have a great respect for NQF for
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11 holding our feet to the fire for that. As a matter 1 of fact, if not I think the opportunity that we have 2 3 before us would be suspect. So I wanted to make sure all of you were 4 5 I was aware of that before I arrived. quite aware. 6 From the standpoint of the measures we 7 were considering I want to commend this committee. 8 I thought you were incredibly professional to go through almost three hours of what I thought was 9 10 a very disappointing presentation. 11 Last year when we had to present the 12 nursing measures on falls and pressure ulcers, the 13 ANA measures, Pat and Victoria Rich and I actually 14 came into Washington ahead of time, two days ahead 15 of time. We prepped. We were ready. 16 We did everything we could to make sure 17 we were anticipating your questions. 18 And we were just sitting here yesterday 19 saying my, my, for those of you that may not 20 understand the world of nursing measurement this 21 was a real disappointment. 22 And so I want to thank you for your **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

professionalism. I think you did the right thing 1 by sending the pressure ulcer measure back to the 2 3 measure developer. But I am hopeful that it will come back 4 5 and will close the gap. Because at the end of the 6 day no measures are perfect, and at the end of the 7 day if we're not measuring then we can't hold 8 providers and consumers accountable for care. So,

I'm hopeful that the homework will be done.

But from a social standpoint I have to tell you what an honor it is to serve on this committee. The chemistry on this committee is absolutely amazing.

We all serve on a whole lot of committees, every one of us, and this one I see an awful lot of heart and soul, and a lot of work behind the scenes.

So, I just want to publicly thank you
for your professionalism yesterday. I thought you
were spectacular and I'm very proud to call you
committee member. Thank you, Ed.

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CO-CHAIR SEPTIMUS: Thank you. Lisa?

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1	MEMBER MCGIFFERT: Before I worked on
2	patient safety I worked a lot on disability issues.
3	And this program was really I think emerged from
4	the disability community. And yet it's where the
5	elder community, elder activists came together.
6	It really does represent the kind of
7	thing that I think a lot of us would like to see
8	in the future as an alternative to nursing home
9	care.
10	And when you think about all the money
11	that we put into nursing home care, and then you
12	think of what it takes to keep somebody at home it
13	does involve all those different things,
14	healthcare as well as social services.
15	And this program and others like it I
16	think are really critical for the future of our
17	system.
18	And so I was glad to see that it was
19	being brought forward, and hope to see some more
20	measures for that later.
21	CO-CHAIR SEPTIMUS: Fantastic. Okay.
22	Appreciate those comments.
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	14
1	So in the afternoon we went through what
2	I think everybody agrees was a public health, I
3	don't want to say crisis, but public health concern
4	about opiate overuse. And we approved all three
5	of those measures.
6	So that was I think very important.
7	These were all process measures and these were all
8	first-time. So we hope that when they come back
9	to us in three years that they will have data to
10	show its effectiveness in terms of monitoring high
11	use of opiates in the community.
12	Then we had public comment. We had an
13	excellent comment from someone who was actually
14	here at the end of the meeting.
15	So for today since I know some of you
16	have to leave by 2 we're going to try to get through
17	the measures that we can.
18	We've been trying to reach out to the
19	developers to see if we could move up some of the
20	discussion before discussing the gaps in
21	measurement.
22	And so we may be adjusting the schedule
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	15
1	so that we can accommodate everyone. We don't want
2	people to leave and then not have a quorum.
3	We'd certainly like to be able to finish
4	all our work. This would be the first for the
5	patient safety committee to finish all our work
6	before we adjourn at 3 o'clock today without having
7	to get a follow-up call. So I think that's our
8	goal.
9	I think the work that you all do, and
10	I'll second what people have said. This is an
11	incredible committee with incredible experience.
12	One of the things I said yesterday and
13	it came out again last night at dinner is I think
14	we like each other, and I think we've really bonded
15	as a committee. And I think that's a real credit
16	to all of you.
17	I can't say enough about Iona as
18	co-chair who keeps me in line.
19	And of course the heavy lifting behind
20	the scenes is done by the NQF staff. We could not
21	do our work without them.
22	(Applause)
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	16
1	CO-CHAIR SEPTIMUS: Andrew's still
2	awake. He said his child slept well last night.
3	Okay, so is any of the developers here?
4	Okay, so if it's okay with you to kind
5	of adjust the agenda let's go to 3005 and 3006,
6	initial risk assessment for immobility-related
7	pressure ulcers within 24 hours of PICU admission.
8	And then the next one, we'll go to the next one.
9	So why don't we start with 3005.
10	And I think, Pat, you were going to lead
11	that discussion after the developers, correct? Is
12	that right, Pat?
13	CO-CHAIR THRAEN: It's the initial
14	risk assessment for immobility-related
15	CO-CHAIR SEPTIMUS: 3005. So, if the
16	developer could come up that would be great.
17	CO-CHAIR THRAEN: And Steve, you're
18	back up and Martha.
19	CO-CHAIR SEPTIMUS: And so, just to let
20	everybody know this is an eMeasure. I think last
21	year was the first time we had an eMeasure.
22	(Simultaneous speaking)
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	17
1	CO-CHAIR SEPTIMUS: It's a process
2	eMeasure and it is a new measure. So are the
3	developers here? Come up front. We're a friendly
4	group.
5	CO-CHAIR THRAEN: So while they're
6	working on that Ed didn't give me a chance to talk.
7	CO-CHAIR SEPTIMUS: No, I was going to
8	say. You can see how well we work together.
9	CO-CHAIR THRAEN: I just want to make
10	a comment that I've had several people come up and
11	comment to me about the level of comfort and
12	camaraderie and respect that people are feeling in
13	this process.
14	And I just wanted to feed that back to
15	you, that I think that we have gotten to a place
16	where we honor each other's expertise. It doesn't
17	mean we always agree, but we can honor it and also
18	articulate the disagreements and support each
19	other in that process.
20	So, we feel like we have a good
21	complementary group of people. It's not
22	competitive and at the same time we can be
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18 supportive of each other while disagreeing and 1 listening to each other's point of view. 2 3 So I just want to honor you all for that. That's what you bring to the table as well. Thank 4 5 Now I've said my piece. vou. The chairs are always 6 MEMBER WU: 7 responsible for that, setting the tone. 8 CO-CHAIR THRAEN: Thanks, Wu. Thanks, Albert. Dr. Wu. 9 10 MR. LYZENGA: So I think the developer 11 is still trying to kind of assemble their team, so 12 maybe we could have Jason Goldwater who works on 13 our eMeasure team here come up and say a few words. 14 Hi, Jason. CO-CHAIR SEPTIMUS: Jason 15 gave us a great discussion last time introducing 16 us to eMeasures so we appreciate you coming back. 17 (Simultaneous speaking) 18 MR. LYZENGA: And there's also one 19 measure that we're going to be considering that is 20 eligible for trial use approval. So Jason will say 21 a little bit about that as well. 22 Right. MR. GOLDWATER: So qood **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

1 morning, everyone. Always a pleasure to be here even though I'm a little fatigued due to 2 the 3 long-winded nature of the Democratic Party. Oy And there's still one more to go tonight. 4 vev. 5 I sort of have to. T am somewhat 6 compelled to do so because I have a wife that's 7 going to do so, and it's that or America's Got 8 Talent and that's not on tonight. So. So, what I want to do is just talk about 9 10 a couple of things. One is the way eMeasures are 11 brought into NQF and how they are generally 12 examined before they get to you, and things for you 13 to consider. And then to talk about the trial use 14 15 program which is going to be something that will 16 be considered today. 17 EMeasures certainly evolved have 18 significantly over time. I know there are a number 19 of you that can think back to the good old days when CMS used to be called HCFA and we were doing manual 20 21 chart abstraction for quality measurement which is 22 not to say that still doesn't go on from time to **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS

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time.

2	And there was a real push in the early
3	two thousands to move out of chart abstraction into
4	using electronic health records to populate
5	quality measures automatically and get out of the
6	abstraction in order to reduce the amount of human
7	error that could occur during the abstraction
8	process, and also to ensure that standardized
9	codified data could be used in the measurement
10	itself.
11	In 2003 CMS ambitiously started a
12	project known as the Doctors Office Quality
13	Improvement Technology bracket or DOQ-IT for
14	short. Some of you may remember that.
15	I had the how can I put this
16	delicately the honor of being the project
17	director for that initiative which failed
18	miserably.
19	And not because the intentions were
20	bad, but because EHR adoption across hospitals and
21	physician offices in 2003 was less than 20 percent.
22	And then HITECH passed and suddenly
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there was a large influx of money. And suddenly 1 now as we enter -- or we're halfway through 2016 2 3 hospital EHR adoption is well over 80 percent and physician office EHR adoption is almost at the same 4 5 level. 6 And so now we have started to revisit 7 the idea far more aggressively in the utilization 8 of eMeasures as opposed to those that are chart abstracted. 9 10 In the good old days back in the 11 beginning of quality measurement most of the 12 eMeasures that would come in were de novo, brand 13 new that were created using specifications that 14 they could find in EHRs. 15 That is not the case anymore. Because 16 again, when they first started there were not a lot 17 of EHRs so the project was for most intents and 18 purposes not done very successfully. 19 EMeasures now come into NQF in one of The first is a de novo measure which 20 four ways. 21 everybody knows. So it's a brand new measure that 22 is being created for patient safety using data that **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701

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is from an electronic health record, preferably 1 structured data. It's a measure that is not 2 existing at the moment, and it is a measure that 3 look for consideration need to because 4 we 5 preferably it is filling a current gap in patient 6 safety. 7 The second way it can come in is what 8 we call a re-specified measure which is a measure that is currently a chart abstracted measure. 9 10 And the desire by CMS is to move away 11 from that and make it into an electronic measure. 12 So they take the specifications of the 13 chart abstracted measure, map it to the same data 14 elements found within the EHR, re-specify it and 15 send it to us. 16 The third way which is Elisa's favorite 17 way of a measure coming into NQF is what we call a legacy measure. 18 19 That is a chart abstracted measure that 20 is currently used in a federal program such as PQRS, 21 or the Meaningful Use program, or IQR. 22 And it is chart abstracted and the **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701

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desire is to make it an eMeasure. So it's already 1 being used in a federal program and has probably 2 been done so successfully, but now they don't want 3 it to be chart abstracted, they want it to be 4 5 e-specified. 6 And so they do the same thing. Thev 7 will then map the chart abstracted elements to the 8 elements within the EHR and submit it to NQF. And then the final way it can come into 9 10 us is through trial use which I'll explain in a 11 moment. 12 Any type of eMeasure that comes into NQF 13 has to be tested in at least more than one EHR, or 14 essentially two. It's all in the wording. It 15 never gets new. We always say more than one and 16 people are like oh, two. Yes, two. 17 So, it needs to be in at least two EHR 18 systems. 19 And it has to be different systems. 20 Now, some of the questions that we get is, well, 21 I'm testing it at Cleveland Clinic, and then I'm 22 testing it at Memorial Hermann. They both have **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

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1	Epic. Is that construed as two separate EHR
2	systems?
3	And the reality is it is. If you look
4	at the way the implementation has been done at
5	Cleveland and the way it has and mind you I'm
6	just throwing these hospitals out has been done
7	at Memorial the implementations may be different.
8	And so subsequently that would be construed as two
9	different electronic health record systems.
10	And given that Epic roughly has almost
10	35 to 40 percent of the marketplace it's not
11	unreasonable to look at two Epic systems as being
12	two very separate and distinguishable electronic
14	health record systems.
15	It has to be in the appropriate format
16	in that it has to be in the health quality measures
17	format which as measure developers know if you're
18	developing an eMeasure most of them use the measure
19	offering tool which was originally created by NQF
20	which has now been taken over by the MITRE
21	Corporation.
22	And once that is developed the
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1 appropriate format is created.

2	It has to map to what we call the quality
3	data model which is in other words high-level
4	elements that specify what each component of the
5	measure is. Race, ethnicity characteristics.
6	This is an encounter. This is a diagnosis. This
7	is a procedure.
8	And then that way at least you're
9	standardizing how the measure is laid out so that
10	when you're implementing it into your EHR system
11	you know exactly what codes you have to map to
12	where.
13	The other part is in addition to it
14	being formatted correctly it also has to contain
15	value sets. And those value sets are at this time
16	maintained by the National Library of Medicine and
17	their value set authority center.
18	A value set is really a building block
19	of a measure. It's a coded element that represents
20	a condition or a diagnosis. And it maps to a
21	nationally recognized terminology like ICD-9 or
22	ICD-10 or CPT or RxNorm, or codes that are used

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1	pretty commonly throughout most systems.
2	The value sets have to be published.
2	
	Believe it or not there are developers, and I'm not
4	saying that's you, that create their own value sets
5	and then don't publish them in the value set
6	authority center. Which means nobody can use them
7	other than the developer themselves.
8	So, we recommend well, actually we
9	don't recommend. Now we just demand that you have
10	to be able to publish them so everybody can see
11	them.
12	Once that's all done then the measure
13	comes to us. And we also have to look at
14	feasibility. Just like you would look at
15	feasibility on any type of measure you also look
16	at feasibility for the eMeasure.
17	And the things that you look at and to
18	consider when examining an eMeasure are is the data
19	available which means is the data in an EHR system.
20	And is the data structured. In other
21	words, is it a coded element that can easily be
22	retrieved from an EHR.
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1	So, for example, most physicians or
2	nurses will input information in the EHR and there
3	are structured fields that they input that
4	information into.
5	But occasionally, and actually I should
6	a little bit more than occasionally, when they have
7	to talk about follow-up plans, or specific
8	instructions for a patient they don't code those
9	elements. They type them in the free text fields.
10	And if that's part of the measure that
11	becomes pretty difficult to get out because every
12	EHR is different in where that information is
13	actually stored. So, it's something to keep in
14	mind.
15	The second is is the data using a
16	national standard, or a national vocabulary.
17	Because if it's using something that you don't know
18	or haven't heard about, and that's very, very rare,
19	then the idea that it could be implemented across
20	many EHR systems is incredibly restrictive.
21	If it uses a national code like a SNOMED
22	code, or an ICD code, or a CPT code that's what
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1	everybody generally uses. That makes
2	implementation a little bit easier.
3	It's also worth noting that is the use
4	of the eMeasure when it's implemented really
5	interrupting work flow. Do you have to take 20
6	minutes to input the information into an EHR for
7	this measure because that's 20 minutes you're not
8	spending with your patient?
9	And there's no way that NQF or anybody
10	would really want an eMeasure that requires so much
11	time away from the patient that it actually becomes
12	more burdensome to do than actually chart
13	abstracting the measure.
14	The idea of eMeasurement is to make it
15	easier to get the information into a measure rather
16	than through the chart abstraction process.
17	Now, there's one small caveat. Of
18	course there is. Which is these legacy measures
19	which I just talked to you about. Right. I roll
20	my eyes too when I get those. It's like really?
21	We're doing this again?
22	But legacy measures are already in
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federal programs, they're already being chart abstracted and now they want to make them eMeasures.

A lot of times it's really hard to get data from two EHR systems to test that because these have been chart abstracted measures. They don't have EHRs where these have been implemented unless they've just done this on their own which rarely happens.

10 So what do we do when that occurs? One 11 of the solutions we've come up with which I will 12 come out and say is not a permanent solution, but it is one that we are currently using, is that 13 14 developers can simulate a test data set of patients 15 to evaluate the logic of a measure to make sure it 16 calculates correctly, it's producing the right 17 metric, without actually using an EHR system.

There is a tool that MITRE created called Bonnie. I always get asked this question what does Bonnie stand for. I have absolutely no idea. I don't think it stands for anything. In all honesty it's probably the daughter of the

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1	developer or the pet. Developers are very fond of
2	naming things after their children or pets.
3	Speaking as a former developer. It's just a lot
4	easier to do. You don't have to be creative.
5	So they use Bonnie to create a simulated
6	patient synthetic patient test deck of 50 to 60
7	patients that would meet the criteria of the
8	measure.
9	And it's important if you see this that
10	if they've created a synthetic patient test deck
11	in Bonnie that it actually represents a population
12	of patients you would actually see.
13	Like you don't want to see everybody
14	meets the measure. You would want to see people
15	that are excluded. You want to see people that are
16	included. You want to see people with different
17	conditions to make sure the logic of the measure
18	calculates correctly.
19	And if so, while it is not a complete,
20	absolute pass on feasibility or reliability or
21	validity, it is safe to say to some extent that if
22	the logic is calculated and the metric is accurate
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1 that in implementation there is а strong probability that the measure would actually work. 2 3 The last thing --CO-CHAIR THRAEN: Before you go on, I 4 5 want to ask a clarification question on Bonnie. 6 MR. GOLDWATER: Sure. 7 CO-CHAIR THRAEN: So, when we were 8 looking at binomial Bonnie testing we were seeing really high 97s, 0.9397. Is that addressing the 9 10 issue of the ones that were -- I want to make sure 11 I understand. 12 So you were saying that the simulation 13 patient set should include some that don't belong. 14 MR. GOLDWATER: That's correct. 15 CO-CHAIR THRAEN: that And your 16 measure shouldn't be 0.999. 17 MR. GOLDWATER: No, no. THRAEN: 18 CO-CHAIR So you can 19 discriminate. 20 MR. GOLDWATER: That's correct. 21 Right. 22 CO-CHAIR THRAEN: Okay. **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

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1	MR. GOLDWATER: If they come back with
2	results that are 100 percent there's a problem
3	because that's not accurately resembling well,
4	because you have to be able to show that there is
5	at least some ability of the measure to calculate
6	correctly for those that don't meet the criteria
7	for inclusion.
8	Because if you were to test it in the
9	EHR that's what you would be testing.
10	CO-CHAIR THRAEN: I think Andrew's got
11	a clarification.
12	MR. LYZENGA: That's different from
13	the binomial model of doing the signal to noise
14	analysis.
15	MR. GOLDWATER: Right. All right, so
16	the last is trial use which you're going to be
17	hearing today from my very dear friend Michael
18	Thelon at some point.
19	And trial use was a program that was
20	brought into existence at the beginning of last
21	year.
22	And the reason it was developed was
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because we still have significant gaps in measurement. I don't think I'm saying anything that all of you don't know.

And there is the need to facilitate the 4 5 development of measures that can fill those gaps. 6 But testing of these eMeasures at times can prove to be extremely burdensome and very difficult to 7 8 do, particularly when you're looking for specific data elements, making sure those elements are 9 10 structured, or finding ways of mapping free text 11 elements into a structured format.

So there was two things we could do. We could completely ignore the development of those measures because they were not going to be able to meet the criteria, or we could alter the criteria for those measures specifically which in a way is a slippery slope because then you've got to start altering the criteria for others.

So, the trial use program was created.
When a measure comes before you that is
being considered for trial use the measure is to
be evaluated the same way any measure would be. Is

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1	it reliable. Is it valid. Look at the
2	feasibility.
3	The feasibility will use Bonnie as I've
4	just described, and in some cases they may be able
5	to actually use real data also to test the measure
6	in conjunction with Bonnie. Not everyone, but
7	some do.
8	If you agree that this measure meets the
9	criteria of NQF the measure does not get endorsed.
10	The measure gets put into the trial use program.
11	And what happens at that point is the
12	measure is then put into the field and is
13	implemented in some sites and data is collected
14	while it's in the field. So essentially it's being
15	tested while being used.
16	And after a period of time that the
17	developer has collected enough data, while that
18	measure has been used, they then take the measure
19	out of the program. They evaluate the measure as
20	they would if they had actually conducted testing.
21	They bring it back before you and you
22	reconsider the measure again with those testing
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35 results to see if it can be considered for possible 1 endorsement. 2 3 CO-CHAIR SEPTIMUS: So, our usual process, and NQF staff, correct me, is usually it 4 5 gets endorsed just generically and then it comes 6 back in three years for re-endorsement. 7 So, would that be the same with these 8 trial use? 9 MR. GOLDWATER: No. 10 CO-CHAIR SEPTIMUS: Or can they come 11 back the next year? They could come back 12 MR. GOLDWATER: 13 It doesn't have to be a the next year, yes. 14 three-year period, no. It can be -- they have to 15 up to three years to do it. That's correct. 16 CO-CHAIR SEPTIMUS: So they're not 17 really being endorsed, they're being given --18 MR. GOLDWATER: Entry into the 19 program. 20 CO-CHAIR SEPTIMUS: The opportunity to 21 test it. But then they still have to come back 22 within three years. **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W.

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1	MR. GOLDWATER: Yes.
2	CO-CHAIR SEPTIMUS: And then they can
3	get full NQF endorsement.
4	MR. GOLDWATER: That's correct.
5	CO-CHAIR SEPTIMUS: Okay.
6	DR. BURSTIN: Just part of the logic
7	behind it was we didn't want to hold up innovative
8	measures because the EHR systems weren't quite
9	there yet to be able to test them.
10	So get them to market. Label them in
11	a way people know they're not completely ready for
12	prime time but please try these, explore these so
13	that they can potentially get ready for prime time.
14	MR. GOLDWATER: Right.
15	CO-CHAIR SEPTIMUS: So, if we decide
16	through going through our usual process of evidence
17	gap, et cetera, we don't think it's quite there yet
18	even now then they don't move forward?
19	MR. GOLDWATER: That's correct.
20	CO-CHAIR SEPTIMUS: Okay.
21	MR. GOLDWATER: But what I want to
22	emphasize is it's not being considered for
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37 endorsement. It's being considered for entry into 1 a program so it can be tested into the field. 2 3 (Simultaneous speaking) CO-CHAIR SEPTIMUS: And we'll make 4 5 that clear with each of these eMeasures that we're 6 going to be discussing this morning. 7 MR. GOLDWATER: Correct. 8 CO-CHAIR SEPTIMUS: Steve, you had a And then Charlotte. 9 question? 10 Yes, MEMBER LAWLESS: Ι want to 11 compliment you. You actually made it so very, very clear. 12 13 MR. GOLDWATER: Oh, thanks. 14 MEMBER LAWLESS: And so I'm waiting for 15 the next convention to hear you. 16 It's so clear. Is this conversation 17 you have in a document or something that people get 18 so they actually can say this is the process you 19 have to go through? I mean is it ahead of time? 20 MR. GOLDWATER: We do. Yes. 21 MEMBER LAWLESS: I really recommend it 22 actually because I get asked a lot how to develop **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

38 1 What you described is what on the e side a measure. we go through it all the time. 2 3 I would really ask NQF either to put it as a webinar, or a course, or a book. 4 5 MR. GOLDWATER: So we've done the webinar. I'm happy to do it again. And we do have 6 7 a document that pretty much describes everything 8 I've just talked about. And we're actually revising that at the 9 10 Reva and I are revising that at the moment. 11 moment. And I think it will probably be out in 12 mid-August. 13 MEMBER LAWLESS: So Ι think it's 14 applicable actually for internal development. 15 MR. GOLDWATER: That's correct. 16 MEMBER LAWLESS: So thank you. 17 MR. GOLDWATER: Absolutely. 18 CO-CHAIR SEPTIMUS: Jason, could that 19 information -- I mean, some of us have been involved 20 in NQF for awhile and may or may not continue our 21 roles at some level. 22 Would it be something that you could **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

1 share when it's available --

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2	MR. GOLDWATER: Absolutely. We'll
3	probably let everybody know once it's done, it's
4	released because it will be sort of a list of the
5	scenarios of the way eMeasures come in, how
6	eMeasures are evaluated, the things to consider.
7	A new feasibility scorecard. A variety of things.
8	So yes, we will release all of those.
9	CO-CHAIR SEPTIMUS: Charlotte and then
10	Lillee.
11	MEMBER ALEXANDER: So is your trial
12	measure program only for eMeasures?
13	MR. GOLDWATER: Yes.
14	MEMBER ALEXANDER: Because we've had
15	some measures come through that didn't have the
16	data, needed to be out there to get the data. I'm
17	thinking of some of the radiology measures that
18	came through a year or so ago.
19	And it seems like there might be an
20	opportunity for NQF to provide that type of support
21	for other measures as well.
22	MR. GOLDWATER: Well, I think that's
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something to potentially consider. For now it was 1 really about eMeasures and that was also largely 2 3 driven by the market itself with the desire, as Helen put it, to create a lot of innovative measures 4 5 that were filling needed significant gaps in care. 6 And rather than holding the process we 7 came up with the trial use process as a way of at 8 least moving those out to see if they work. Before we go to 9 CO-CHAIR SEPTIMUS: 10 Lillee is there anybody on the phone that's part 11 of the committee that has not announced themselves? 12 I should have done that earlier and I apologize. 13 MEMBER SCHREIBER: Hi, Ed. It's 14 Michelle Schreiber. I'm on the phone. 15 CO-CHAIR SEPTIMUS: Hey, Michelle. 16 You get a gold star. No, I think I've risen that. 17 You're a platinum now. Two days in a row. MEMBER SCHREIBER: I appreciate being 18 19 allowed to participate by phone. I couldn't be 20 there in person. 21 CO-CHAIR SEPTIMUS: Okay, thank you. 22 Lillee, go ahead. **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W.

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1	MEMBER GELINAS: Thank you. And I
2	agree, incredibly articulate.
3	The pain on the provider side around the
4	workplace impact of EHR implementation. And some
5	of the data that we track about the nursing work
6	environment.
7	Nursing turnover is extremely high in
8	this country right now. And unfortunately when
9	you see exit interview after exit interview of why
10	nurses are leaving they're so constrained at
11	nursing the computer they're not nursing the
12	patient.
13	And we've done some studies showing
14	that 80 percent of time is spent on documentation
15	burden, not nursing the patient type thing.
16	Conversations with vendors don't go
17	well. We have MEDITECH, Cerner and Epic and they
18	don't talk to each other. And we have Midas for
19	quality data extraction. And I'll go on and on.
20	The number of FTEs that we still have
21	for manual chart abstraction is phenomenal.
22	So, give me your hope trajectory on how
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1 quickly that all of these stars are going to align. Because I'm very hopeful that they will. I think 2 3 that a lot of the energy and synergy is well underway, and the practical and tactical is now 4 5 beginning to take hold whereas before it could have 6 been pie in the sky. 7 So our crystal ball is still pretty 8 foggy, but we're moving fog to concrete now. But do you have any hope whatsoever that 9 10 we're going to really move to vendor-to-vendor 11 interoperability at a level I think it was 12 originally conceived by the American health 13 information community, and Secretary Leavitt, and 14 everybody else? Is there anything that we can do and NQF 15 16 really push the market to can do to make 17 interoperability real? 18 Because we can have all the best 19 measures in the world, but if we don't have good 20 interoperability it's still going to be painful to 21 get the data. 22 MR. GOLDWATER: So, it's great that you **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

43 asked a very simple question that will take two 1 minutes to answer. I'm kidding. We could have a 2 conversation about this literally for the rest of 3 the afternoon. 4 5 CO-CHAIR SEPTIMUS: I can give you 6 three minutes. Thank you, Ed. 7 MR. GOLDWATER: I'll 8 answer this as succinctly as I can. I think that interoperability is the 9 10 major barrier here. It always has been. It's 11 been a barrier for 25 years. 12 There's been slow, incremental 13 There's been a lot of discussion about progress. 14 removing the information blocking to allow for 15 better sharing of information and data. 16 There certainly does seem to be a degree 17 of willingness at least publicly by vendors to do this. 18 19 I'm somewhat cynical as some of you are 20 that I've been down this path a lot. I've often 21 joked ONC has created roadmap for а 22 interoperability and this is the fourth roadmap **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

44 1 that they've created in the last 15 years. So I think that for now we can sort of 2 3 get to a point where we can use these more effectively because you can look at things such as 4 5 you're using structured elements thev're if 6 obtainable in the EHR. It does not force you to 7 have to do some degree of text mining or in-depth 8 examination about where those fields are. And when I review eMeasures that's the 9 10 first thing I look at which is is the data readily 11 available. Like I know having looked at a gazillion 12 13 systems over the years that you know the elements 14 that are there. You know the elements that are 15 not. 16 And if the elements are there, and 17 they're structured, and they're available it's 18 hard to assess the actual impact on workflow 19 because I've been to hospitals that have an Epic 20 system. 21 They've got terminals every 10 feet and 22 I'm still watching nurses write down on paper **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

45 what's going on and then double input into the EHR 1 which is incredibly ineffective. 2 3 So I think that there is a way that we can at least help the problem. 4 5 full qoinq to Are we to qet 6 interoperability? NQF is certainly helping in this regard because we're working with ONC on how 7 8 to effectively measure interoperability in order to understand what the problems are and how those 9 10 problems can be solved. 11 A lot of this is really going to take 12 shape in the next couple of years about what's going 13 to happen. You know, is MACRA really going to be 14 sort of the driver that opens up these systems. 15 Are there really going to be significant penalties 16 for those who continue to block information. 17 Are we going to get to a national 18 standard across all terminologies and vocabularies 19 that everybody will use? 20 And most importantly, are we going to 21 get to a way where we can uniquely identify a 22 Because all the interoperability talk in patient? **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W.

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the world is great, but if we can't specifically 1 attach that to a patient that poses a problem for 2 3 quality, and safety in particular. When I hear discussions of, well, 4 we 5 have probabilistic algorithms. We can get a 97 6 percent chance of getting it right. You know, that 7 sort of gives me a lot of pause because there's 3 8 percent of the patients are going to be wrong. And 9 this is healthcare. You don't get this wrong. 10 So, I think you pose an incredibly 11 great, philosophical, in-depth question that I 12 would love to spend eight hours talking to you about 13 over several mojitos to be honest with you, but I 14 just, I think we have a way of sort of helping the 15 process now. 16 And I think we're moving with ONC on how we can facilitate this further. 17 18 CO-CHAIR SEPTIMUS: So, see, you 19 missed the rooftop last night, Lillee. You should 20 have come up to the rooftop. We would have had this 21 conversation. 22 I had a date night with MR. GOLDWATER: **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701

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47 I'm sorry. The kids are gone for a week 1 my wife. so I'm taking advantage of this. 2 CO-CHAIR SEPTIMUS: Shouldn't he be in 3 radio? 4 5 MR. GOLDWATER: I was. I was in 6 college. Can't you tell by my voice. 7 CO-CHAIR SEPTIMUS: Listen to that 8 voice, that terrific voice. MR. GOLDWATER: Helen loves that. 9 10 CO-CHAIR SEPTIMUS: We want to move 11 forward because I want to make sure we get done. 12 One more comment and then we're going to go forward. 13 Yanling, go ahead. One more comment. 14 Thank you. Maybe somewhat MEMBER YU: 15 related. Could you give me or help me understand 16 what is the overall percentage of the facility or nationwide that adopted the EHR? 17 18 MR. GOLDWATER: So, the most recent 19 data is that from Chilmark Research which is sort 20 of this independent -- it's not affiliated with 21 HiMMS, it's not affiliated with a lot of the vendor 22 organizations. **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS

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I think that they said as of this year 1 about 84 percent of all hospitals have EHRs and 2 roughly 79 percent of all physician networks, 3 physician centers have EHRs. 4 5 And what was even more interesting is 6 that community health centers are almost over 90 7 percent adoption right now because of the funding 8 that has been made available through organizations like HRSA that they've really become much more 9 10 adept to incorporating EHRs, even than some large 11 hospitals or physician networks. But it's substantially higher than it 12 13 was 15 years ago. 14 That is hospitals, CO-CHAIR THRAEN: 15 not nursing homes? 16 MR. GOLDWATER: Yes, correct. 17 CO-CHAIR THRAEN: The whole continuum 18 of care is not at the table yet. 19 MR. GOLDWATER: Health facilities are 20 way behind. Long-term care, post-acute care is 21 significantly behind. Ambulatory surgical 22 centers. Long-term acute care hospitals. **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

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49 Inpatient rehab facilities. They're much further 1 behind. 2 MEMBER YU: Just one quick one. 3 We have reviewed some records and we're trying to 4 5 develop a policy, EHR policy for the medical board. 6 And it's not lukewarm I can say for the physicians, 7 for Washington State. 8 I just wondered down the road if will be created some type of incentive or something to 9 10 help adapt this EHR for professional. 11 CO-CHAIR SEPTIMUS: is There 12 Meaningful Use. There was incentive for 13 physicians to adopt EHRs. So those incentives are 14 actually there. 15 So I'm going to be forced to move 16 forward. 17 MR. GOLDWATER: That's fine, Ed. 18 Thank you very much. 19 CO-CHAIR SEPTIMUS: So if you'll 20 introduce it, we're going to go to measure 3005, 21 Initial Risk Assessment of Immobility-Related 22 Pressure Ulcers within 24 Hours of PICU Admission. **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

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1	This is from the Pediatric Consultants.
2	And this is an eMeasure. And it's a
3	first time. So does this fit into the trial use?
4	I don't think so.
5	DR. WOODS: No. We were able to test
6	it in both Epic and Cerner which are the first and
7	third in market.
8	CO-CHAIR SEPTIMUS: That's what I
9	read, I just wanted to make sure. So this is a
10	regular evaluation. So if you'll just give us a
11	brief presentation then one of our group will lead
12	the discussion.
13	DR. WOODS: Can I announce who's on the
14	phone?
15	CO-CHAIR SEPTIMUS: Oh, please do. So
16	who's on the phone for measure 3005?
17	DR. SACHDEVA: Hi, good morning. This
18	is Dr. Ramesh Sachdeva and I served as the PI for
19	the pediatric measurement center of excellence
20	which was involved in the development of this
21	measure.
22	CO-CHAIR SEPTIMUS: Is that it? Is
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51 1 that all you're expecting? Is there someone else? DR. WOODS: There should be a few 2 3 others. DR. MIKHAILOV: Hello? 4 5 CO-CHAIR SEPTIMUS: Yes, please tell 6 us your name. 7 This is Theresa DR. MIKHAILOV: 8 Mikhailov. I'm one of the pediatric specialists at Children's Hospital of Wisconsin and I was a 9 10 member of the team that developed this measure. 11 MS. FOX: And I'm Jamie Fox, one of the 12 critical nurse practitioners from Children's 13 Hospital of Wisconsin. 14 CO-CHAIR SEPTIMUS: Thank you. So, is I'm kidding. Go for it. 15 that CHOW versus CHOP? 16 I'm Tom Rice. MR. RICE: I'm the pediatric intensivist and I was the chair for the 17 18 pediatric expert work group working on the PICU 19 measure development. 20 Okay, so both of these DR. WOODS: 21 measures were specified as eMeasures. All of our 22 measures, actually, were specified by eMeasures, **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

52 1 ones that you're not seeing today. experience is that pediatric 2 Our 3 hospital EHR systems are much more evolved than the ambulatory care context. 4 5 So we were able to test these in two 6 different EHR systems, both Epic and Cerner. And I'll start by introducing the pressure ulcer 7 8 measure. Pressure ulcers develop when 9 soft 10 tissue is compressed between a bony prominence and 11 an external surface for a prolonged period. 12 This results in tissue hypoxia causing 13 cellular death, injury to the surrounding area and 14 ultimately a pressure ulcer. 15 A pressure ulcer is a localized injury 16 to the skin. Pressure ulcers have been steadily 17 increasing with reported rates of 4.14 pressure 18 ulcers per 1,000 pediatric discharges in 1999. 19 And it's up to 4.33 pressure ulcers in 20 1,000 pediatric discharges by 2002, and has 21 increased 34.5 percent from 2000-2007. 22 patients experience Pediatric who **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W.

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pressure ulcers have 6.15 percent mortality, and pressure ulcers can lead to infection, pain management challenges, disfigurement, increased length of stay and readmission, altered body image and psychological distress as well as considerable cost to the healthcare system.

Early intervention can be an effective prevention against pressure ulcer measure development.

10 Pressure ulcer prevention means an accurate assessment to identify at-risk patients. only The Braden 0 is the validated immobility-related pressure ulcer risk assessment tool available for critically ill or injured children.

Identifying 16 patients risk for at 17 pressure ulcer and then intervening accordingly 18 can reduce the incidence of these pressure ulcers 19 which ultimately infection, reduces pain, 20 disfigurement, length of readmission, stay, 21 psychological distress and mortality in PICU 22 patients.

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1	The numerator for this measure is the
2	number of PICU patients for whom an assessment of
3	immobility-related pressure ulcer risk using a
4	standardized pressure ulcer risk assessment tool
5	was documented within 24 hours of admission.
6	The denominator is all patients
7	admitted to the PICU for at least 24 hours during
8	a monthly or quarterly reporting period.
9	The data source for this measure is the
10	EHR as an eMeasure.
11	Performance scores. Children of all
12	ages at risk for sorry, that's a different thing.
13	The performance scores, we were able to
14	actually calculate the measure in both the Epic and
15	Cerner systems, but we actually only had by the time
16	of the testing the performance scores for the Epic
17	calculation.
18	We had 100 percent reliability when the
19	same set of charts was reviewed through manual
20	chart abstraction.
21	And electronic output was provided for
22	a reporting period of January 1 through March 31,
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55 2015, included 106 unique patients representing 1 109 events. 2 We were able to output performance 3 across different patient factors, age zero to 6 92 4 5 percent, 6 to 13 years 94 percent, 13 to 19 was 95 6 percent. 7 For race/ethnicity white patients had 8 this assessment done within the 24 hours 97 percent of the time. For African-American patients 82 9 10 percent of the time. Hispanic 94 percent, and 11 other 92. 12 We actually tested the eMeasure It was found to be 13 feasibility in five sites. 14 technically feasible in all sites. However, two 15 of the sites dropped out for workflow issues. 16 So the structured field existed, but 17 people didn't use it. 18 I think that gives you a good sense of 19 what we've done. 20 CO-CHAIR SEPTIMUS: Are you ready, 21 Pat, to take us through the evidence? 22 MEMBER QUIGLEY: Thank you, Mr. **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

1 Chairman, and thank you for the opportunity to present a second measure. 2 So with that my opening remarks, and 3 thank you for the introduction to the measure, is 4 5 to pose the question in terms of quality as this 6 measure came to us. 7 This is a measure, a yes/no measure, of 8 whether or not an assessment is done in the 9 pediatric intensive care population. 10 And when I first read this, and I know 11 others have commented in their reviews. When I first read this measure as it came forward to us 12 13 it took me back to the days of the patient safety complication 14 steering committee that was 15 co-chaired by the current president of the American 16 Nurses Association Dr. Pamela Cipriano. 17 And we discussed at that point in time 18 what is the measures of quality. And we had very 19 lengthy dialogue that a measure, yes or no, is 20 something done or not, is not a measure of quality, 21 unless it is really aligned into a composite

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measure.

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1	And I take you back to a measure that
2	came to us in relationship to fall risk assessment
3	in the home care setting.
4	And when that came to us one of my
5	comments then, and I know it would be on record,
6	is that this measure here is nursing practice.
7	It's nursing practice to assess patients across all
8	settings of care, whether or not they're assessed
9	for pressure ulcer risk.
10	So, just having an assessment done yes
11	or no is not necessarily a measure of quality.
12	So, to me I really questioned how this
13	came forward to the patient safety committee as a
14	measure of quality.
15	But that being said, and I think it is
16	a topic of discussion for this whole committee, is
17	if there's one piece, if the intent is for this to
18	become eventually a composite measure, then that's
19	another discussion.
20	But to just measure nursing practice,
21	is it being done or not, in a very at-risk patient
22	population, pediatric, yes or no, isn't the
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58 responsibility of the nursing profession. 1 So, this measure, when you look at the 2 evidence for this, I was really surprised to not 3 see a systematic literature review, and to have it 4 5 be narrowed down to the pediatric population. 6 There's not a systematic literature 7 There's not a grading of the evidence. review. 8 The evidence that's presented to us is quite dated. You could go through it and it's also 9 10 cited in the preliminary analysis. 11 But as we look at the evidence to 12 support yes of course risk assessment is essential 13 before you do care planning because you have to have 14 pressure ulcer risk to identify who's at risk for 15 pressure ulcer. 16 And I would think in the pediatric ICU 17 everybody's at risk for pressure ulcer. 18 But the literature that's presented to 19 2001 survey, a 2006 guidelines for us is а 20 of prevention in pediatric assessment the 21 population, а 1996 identification of skin 22 integrity in the pediatric population, and 2003 **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W.

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1 review of pediatric care.

essentially those are clinical 2 So 3 quidelines. And the measure evidence was graded as moderate, but we all know that to prevent any 4 5 outcome you always start with screening and get to 6 assessment. 7 So that is why I really expected more. 8 And I was really quite surprised to not see any comments by the Pediatric Nurses Association that 9 10 were submitted. There were no public comments 11 Pediatric Nurses Association. So, I don't know if this went out to 12 I know it's an electronic measure. 13 them. 14 So, those are really my questions. My 15 question, number one, is is it really a quality 16 measure. 17 And then my other question truly is the 18 amount of evidence to support it. 19 In the discussion of the evidence it 20 indicates that there's really currently no 21 clinical guidelines for this patient population. 22 But colleagues, two days ago the Agency **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS

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for Healthcare Research on Quality released its 1 quality indicator toolkit. And that quality 2 3 indicator toolkit had pressure ulcer prevention for the pediatric population. 4 5 It's the entire pediatric population. 6 And all children should be assessed for pressure 7 ulcer risk in 24 hours. 8 So, again, to be able to have that quality indicator come forward there has to be a 9 10 body of evidence to support it. So those are my 11 opening comments. 12 CO-CHAIR SEPTIMUS: Thank you. I want 13 to just clarify one thing. So, is there anything 14 that you reviewed, and the developer can also 15 answer this, that by doing the assessment in the 16 first 24 hours, that that is linked to a better 17 outcome? 18 Because I think that's actually, and 19 you guys correct me, that's really the standard for 20 a process measure. And is there literature to 21 connect those two? 22 MEMBER QUIGLEY: Yes, but what I'm **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

saying, what they presented is the data. But I know that there's more current. But we all know that that should be done. So that's why I was looking for.

5 So, just to put this in DR. WOODS: 6 context. The measure developers, PMCoE, all the 7 folks on the phone and me, are a center of 8 excellence funded through AHRQ as part of the 9 pediatric quality measures program that was 10 hardline written into the CHIPRA law. Because 11 there's a real paucity of pediatric measures.

12 There aren't PICU measures. These are 13 the first PICU quality measures coming forward I'm 14 pretty sure for PICU. No? Okay. Potentially 15 the first eMeasures then.

So the focus on pediatrics is part of the program. And as you said -- so, I haven't seen the thing that you saw, but I know that AHRQ required us to present all of the information that you guys also have, and asked us to build fact sheets based on that, and have those fact sheets up on their website.

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1	So I'm not sure if that's the material
2	you're pointing to. It would be our stuff
2	probably.
4	
	CO-CHAIR SEPTIMUS: Kendall.
5	MEMBER WEBB: So, as somebody who's
6	pediatric trained I'm just going to provide the
7	other side of this.
8	CO-CHAIR SEPTIMUS: Get a little
9	closer to the microphone.
10	MEMBER WEBB: As somebody who's
11	pediatric trained I just want to give an alternate
12	thought about the evidence in this case.
13	It is notoriously hard to do studies on
14	pediatric patients, almost impossible. Because
15	if you create a situation where you show harm on
16	one side almost everybody shuts the study down
17	immediately because there are children involved.
18	So you're going to have trouble finding high-grade
19	evidence for almost any pediatric process,
20	anything you try to put up here.
21	The one thing I would say is, you know,
22	I'm not sure about the Braden Q although a quick
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1	Google search of it, it shows up pretty heavily as
2	the pediatric pressure ulcer risk assessment tool.
3	It is, as I can see, British, but it
4	looks like a lot of people are using it.
5	While I agree that everybody should be
6	getting pressure ulcer checks within the first 24
7	hours of arriving to an ICU setting it's clear even
8	from what they did, they had two sites drop out
9	because they felt like it was too much flow
10	change. So it's clear that not everybody's doing
11	it.
12	So, if we're really looking at patient
12	safety, I'm not saying the evidence is there, I'm
13	not saying it's high, anything like that, but if
15	we're really looking at patient safety to me this
15	
	does seem like a good place to start, especially
17	with an eMeasure because it's a pretty easy
18	eMeasure to get going.
19	CO-CHAIR SEPTIMUS: Missy.
20	MEMBER DANFORTH: Thank you. So,
21	actually thanks to Dr. Steve Lawless I've had the
22	opportunity over the past 12 months to have some
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1	very significant conversations with Solutions for
2	Patient Safety which is a pediatric collaborative
3	out of Ohio.
4	They've put into operation an outcomes
5	measure for pediatric patients throughout the
6	hospital including ICU looking at incidences of
7	pressure ulcers stage II and worse.
8	So can you just talk a little bit about
9	the need for a process measure when it seems like
10	there's a lot of pediatric hospitals that are
11	looking at outcomes measures, and why you chose not
12	to bring an outcomes measure forward?
13	DR. WOODS: This measure is to be a part
14	of a set that would look at process and outcome.
15	It's kind of the first in that process.
16	MEMBER DANFORTH: What's the rest of
17	the set?
18	DR. WOODS: It would be outcome
19	measures.
20	We tested in our measure champion
21	hospitals a measure around I believe it was
22	pressure ulcers grade III or higher.
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1	And we assessed that as part of a
2	composite measure of preventable harm. It
3	includes other things like CAUTI and CLABSI. But
4	we were able to assess the outcomes as well.
5	MEMBER MCGIFFERT: I'll just be very
6	brief. I appreciate the focus on this population.
7	It's a real problem.
8	But I think we need to see outcome
9	measures. I'd like to see if you're developing
10	some kind of composite I'd rather see that come
11	forward than a check the box kind of measure.
12	DR. WOODS: Possibly some of my
13	critical care colleagues could speak up here on the
14	phone.
15	DR. SACHDEVA: Absolutely. If I may
16	start here, this is Dr. Sachdeva. And I request
17	my other colleagues to weigh in too.
18	So, I just want to make a couple of quick
19	points. Besides the PI on this particular center
20	where this work was performed I've also been a
21	practicing pediatric critical care physician for
22	several years.
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1	And as you all know this is a clinically
2	huge challenge for us in the PICU across the
3	country.
4	And clinically I think going to an
5	intensive care unit, most intensivists, physicians
6	and nurses and other staff would agree that this
7	is a clinical challenge which can put children at
8	risk.
9	This is the first step of a longer
10	journey. And as correctly pointed out previously
11	by one of our colleagues in the room there is a
12	paucity of pediatric evidence in general.
13	And I think the fundamental question
14	which needs to be asked is how long do we wait
15	clinically to obtain that necessary evidence
16	before getting started.
17	This is not the end of the journey.
18	This process measure is the first step of much more
19	to come. But this is the beginning.
20	And my own feeling clinically is that
21	not doing this, the potential risk posed to
22	children is high.
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And conversely I would also request the 1 committee to consider that by doing this, if this 2 measure were to be supported by the NQF are there 3 any potential risks to children. 4 5 And I think most would argue that there I mean, doing this doesn't solve the 6 are none. 7 problem but is the first step toward solving the 8 problem with relatively minimal burden if you may. So, our measure already attempted to 9 10 make sure that this is a process measure. This 11 could be tested in EHR systems. 12 This would be an eMeasure which is another first. 13 14 So again, I think we need to look at it 15 in light of the first step towards others. 16 But maybe Theresa with Jamie on the call 17 can weigh in. You are content experts in this 18 particular area. 19 DR. MIKHAILOV: This is Theresa. Ι 20 was going to point out that, yes, was meant to be 21 the first of a series of measures designed to 22 address the problem of pressure ulcer prevention **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

1	in our critically ill children.
2	This is the first mea
3	the beginning of the process, lo
4	at the time the patient arrives
5	The second measure wa
6	ongoing Braden Q in centers t
7	working to prevent pressure ulce
8	done at admission but it's also do
9	24 hours thereafter. So looki
10	process measure was our second me
11	The third measure,
12	problems identified with the
13	intervene with preventive me
14	patient. So that is also a

the first measure because it's e process, looking at the skin ient arrives.

nd measure was meant to be an n centers that are actively pressure ulcers as Braden Q is t it's also done at least every So looking at that as a r. our second measure in the set.

if rd measure, there are d with the Braden Q was to eventive for measures the is also a process measure measuring whether the appropriate interventions or any interventions in fact are made to prevent development of pressure ulcers.

18 The fourth was the outcome measure 19 looking at the rate of pressure ulcer incidence but 20 from immobility-related. But there is now a surge 21 in device-related pressure ulcers as well.

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And the Braden Q is not as well designed

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69 1 to address that. So, we were intending that to be the fifth measure. 2 3 But we feel that the process measure has to precede the outcome measure. If we only look 4 5 at the outcome, the outcome will be worse. If we 6 look at the process measure we think we will have 7 a positive impact on the outcome by intervening 8 before the outcome occurs. DR. WOODS: And that was Dr. Theresa 9 10 Mikhailov pediatric who's intensive а care 11 clinician. 12 CO-CHAIR SEPTIMUS: So let's get these 13 last few comments. I'd like for us to get to the meat of the issue and find out whether the committee 14 15 feels that the evidence is there to move forward. 16 DR. WOODS: Could I say one more thing? CO-CHAIR SEPTIMUS: Of course. 17 18 DR. WOODS: There -- it's been very 19 difficult to get measures implemented into the 20 Medicare/Medicaid program which was part of the 21 intent. 22 This pediatric quality measure program **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

was built into the CHIPRA law so that CMS could have 1 better data and the states could have better data. 2 3 Many states are not implementing these measures. But California is very interested in 4 5 evaluating both of the eMeasures that are here. 6 The head of California as a part of another 7 proposal, sort of the second round of the PQMP 8 program has already signed onto these measures. CO-CHAIR SEPTIMUS: 9 So three more 10 comments and then I'd like to go to the vote. So 11 Steve, Iona and Yanling. This 12 MEMBER LAWLESS: is Steve 13 I'm a pediatric intensivist, 30 years. Lawless. 14 To Pat's point this is basic nursing 15 assessment. I mean, this is really what it is. 16 I think if you look at validity, 17 reliability, intent, no argument. I mean, this is 18 what you have to do. 19 Braden 28 However, the score is 20 elements. It's a lot. And so, it's a lot. And 21 I like what the developer said in terms of this is 22 a stepped approach. **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701

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And I think on the time of admission 1 within 24 hours, and we heard yesterday how long 2 3 it takes for a pressure ulcer to form, you're getting a baseline versus progression. 4 5 So, I think the idea is all the work is 6 going to be involved. When is this ready for prime 7 time in development. 8 I would argue that you may want to consider having a lot more maturity to even --9 10 because you're putting all this stuff in. 11 And I think feasibility and usability 12 is going to be a big issue here. The Braden Q, it's 13 a good scoring system, it is a lot of data. And 14 you have to balance that if I'm moving patients around and everything else, and what I'm doing with 15 16 each of these 28 elements. So it's a lot of stuff 17 there. 18 Not to argue against the importance of 19 it, but this is bigger of a workload than what's 20 coming across here. 21 And you are diverting nurses from 22 bedside care to filling out a scoring system of 28 **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701

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72 data elements. 1 So that piece, you have to see what's that impact. 2 Jamie, do you want to speak 3 DR. WOODS: Our pediatric intensive nurse. up here? 4 5 I think it depends on what the MS. FOX: 6 hospitals are doing now. At least here at our 7 institution the nurses are pretty familiar with So it isn't as labor-intensive as it 8 doing this. 9 would be to implement it as a brand new tool. 10 It's built into our Epic system so it's 11 part of their standard questions that they ask and 12 fill out as their assessment. 13 CO-CHAIR SEPTIMUS: Iona. 14 CO-CHAIR this THRAEN: So, is а question for NQF staff. 15 16 Is it -- could the committee recommend 17 that this be not endorsed but put into the trial 18 option? If the committee feels that it is not 19 quite ready, or they want it to be bundled with the 20 outcome measures in the future but there needs to 21 be some testing that takes place, et cetera, et 22 Is that an option? cetera. **NEAL R. GROSS**

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CO-CHAIR SEPTIMUS: Before I let them 1 answer, in the back of my mind I thought we had 2 3 considered an outcome measure for pediatrics around pressure ulcers. 4 5 And our great support folks here pulled up actually something we approved that was an 6 7 outcome measure in pediatric for pressure ulcer. 8 So I should have gone back and looked myself, but 9 you may want to comment on that. 10 So let me just first MR. LYZENGA: 11 address the trial use. I think -- I'm not as familiar with the 12 13 policy, but my understanding is it's usually we 14 want a measure to sort of pass the other criteria, evidence, importance, before -- it has to -- before 15 16 we can put it into trial use. That is really where 17 the -- for where the testing hasn't been done, but 18 it has met all the other criteria. 19 So I think if we're hesitant on evidence 20 here we would want to pass it on evidence before 21 we could consider the trial approval. 22 But we did --**NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

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1	CO-CHAIR THRAEN: Then for follow-up
2	to the measure developers one of the observations
3	that were made earlier was that the evidence that
4	was provided is pretty dated.
5	And if you're a center of excellence and
6	you're involved with this process can you tell us
7	why that evidence is so dated?
8	DR. WOODS: Dr. Mikhailov, can you
9	respond to that?
10	DR. MIKHAILOV: I think that there is
11	some that is more recent, and there is something
12	that I was just informed of yesterday in this area
13	that was just accepted for publication yesterday
14	by one of my colleagues.
15	So, I couldn't add that obviously.
16	It's in press probably today, so I can't share that
17	with you, but I can tell you that it supports that
18	this is a critical issue.
19	These are patients that were followed
20	with in our institution with Braden Q at
21	admission, Braden Q every day, and a two-year
22	cohort of patients of whom 19 developed severe
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1 pressure ulcer unstageable types or deep tissue which are the worst type. 2 3 And 42 percent of the 19 patients passed away with these severe. And these were all 4

patients who were having all of these interventions

that we've outlined in our series of measures.

These were all immobility-related pressure ulcers

So I know that there is literature 9 10 coming out as Dr. Sachdeva told you. These are difficult studies to do. So that was а 12 retrospective review of existing patients.

13 There isn't a good prospective study. 14 CO-CHAIR SEPTIMUS: Thank you very 15 Yanling, one more comment and then we're much. 16 going to vote.

17 Okay. My understanding is MEMBER YU: 18 that for this type of a check on yes I documented, 19 no I didn't, basically it doesn't really relate it 20 outcome until you check whether to the you 21 documented and not documented shows any 22 differences in the outcome down the road.

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1	So, I'm assuming the idea is to collect
2	the data down the road and to better understand the
3	outcome as you said, the plan.
4	So now my question is with the current
5	medical record system do you have any idea when you
6	look at others that whether the what I'm
7	trying to say how much improvement would be after
8	you use as an eMeasure to really get a better
9	outcome, or a better documentation of whether the
10	children's pressure ulcer have been prevented
11	after you do this type of documentation. Do you
12	know my question?
13	DR. WOODS: I'm not entirely sure I
14	understand your question so I'll say it back to you
15	and see if this is what you're asking me.
16	If this is really only about
17	documentation versus about doing the assessment.
18	So, in one of our sites so, all of
19	our sites were doing some of the Braden Q because
20	we also did chart reviews, and we thought that
21	presenting an eMeasure was a less burdensome
22	activity.

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1	What some of the systems, the way the
2	workflow happened they would do it on a paper form
3	that was then scanned in.
4	And as you heard the person who was
5	sitting next to me, you can't get that information.
6	It's not a structured field.
7	So, when they document it in electronic
8	records they had the fields but their process was
9	to when they did the Braden Q their process was
10	to scan a document in as opposed to note each of
11	the elements in the electronic record.
12	So, all of the elements when they did
13	it were in the electronic record, but just in a
14	scanned document.
15	So it's an easier measure if you're
16	going to do the right thing which is to do a pressure
17	ulcer assessment it can be done on paper or it can
18	be done in electronic fields.
19	And if the EHR in both Cerner and Epic
20	had electronic fields for those elements of the
21	assessment and then those were used and then were
22	able to be used for construction. Is that
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78 answering your question? 1 CO-CHAIR SEPTIMUS: I think so. 2 Ι 3 think I know where you both are going. But Laura has a quick question and then we really do need to 4 5 vote. 6 Let's vote. 7 DR. MIKHAILOV: Can I make one quick 8 This is Theresa Mikhailov again. comment? The Braden Q has a maximum of 28 points, 9 10 but it's in 7 fields. So it's not 28 separate 11 fields that are entered, it's 7 fields with scores in each field. So I think it's not as burdensome 12 13 as it might seem. 14 It's 28 decision points. MR. LYZENGA: 15 CO-CHAIR SEPTIMUS: let's qo Okay, 16 ahead and vote. 17 MS. QUINNONEZ: We are now voting on 18 3005 initial risk assessment for measure 19 immobility-related pressure ulcer within 24 hours 20 of PICU admission. Voting is now open for 21 evidence. 22 Your options are option 2 moderate, **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

79 option 3 low, option 4 insufficient. Those voting 1 options are option 2 moderate, option 3 low, option 2 4 insufficient. 3 Michelle, if you could submit your vote 4 5 in the chat box, please? MEMBER SCHREIBER: I did. Did it not 6 7 go through? 8 MS. QUINNONEZ: Okay. All votes are in. Voting is now closed. 9 The votes for evidence of measure 3005 10 11 are 32 percent voted moderate, 47 percent voted 12 low, and 21 percent voted insufficient. 13 CO-CHAIR SEPTIMUS: Okay, well that is 14 a no so I think we stop. MR. LYZENGA: Evidence is a must-pass 15 16 criteria. 17 CO-CHAIR SEPTIMUS: Evidence is a 18 must-pass. 19 CO-CHAIR THRAEN: I have to get a 20 clarification question. I'm still struggling 21 here a bit. 22 So, if the evidence -- so in the **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

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1	instance of eMeasures which are the new kids on the
2	block, if there is no evidence because you haven't
3	used an eMeasure to determine whether in fact it
4	
5	(Simultaneous speaking)
6	CO-CHAIR THRAEN: So you're just
7	asking about the content of the measure.
8	DR. BURSTIN: It's evidence for the
9	measure focus, not as applied.
10	CO-CHAIR THRAEN: Okay. All right.
11	CO-CHAIR SEPTIMUS: But really, thank
12	you very much. I know it seems a little painful.
13	We're going to go ahead and go onto the
14	next measure. And I think you're going to also be
15	3006?
16	DR. WOODS: Yes.
17	CO-CHAIR SEPTIMUS: Let me introduce
18	the measure first. Initial Baseline Screen of
19	Nutritional Status for Every Patient within 24
20	Hours of PICU Admission.
21	And our measure developers will make a
22	few comments. This is also an eMeasure and a
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1 process measure.

2 Right. Developed by the DR. WOODS: 3 same group. And it is a process measure. So I'm hearing that process measures are less interesting 4 5 to this group. 6 DR. BURSTIN: NOF has stated а 7 preference for outcome measures. It's in 8 everything we do. So it's not this group, it's actually NQF-wide. 9 10 DR. WOODS: Okay. We prefer outcomes. 11 DR. BURSTIN: Ιf 12 they're process measures, they have to have a clear evidence link to outcomes. 13 14 In critically ill DR. WOODS: Okay. 15 children malnutrition is associated with an 16 increased PICU length of stay and an increased 17 risk-adjusted mortality rate. 18 Identifying nutritionally at-risk 19 patients as early as possible in their illness 20 allows providers to prescribe nutrition therapy 21 that is appropriate for patients' nutritional 22 status and clinical condition that will most

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effectively facilitate the healing process.

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initial baseline 2 In an screen nutritional status for every patient increased 3 awareness of the patient's nutritional state, 4 5 identified the specifically subset of PICU 6 patients who are at risk of malnutrition, and 7 allows providers to adjust the timing, content, 8 quantity of nutrition therapy to meet the individual patient's needs. 9

10 While there is no single validated 11 screening tool, institution-derived nutrition 12 screening tools can be used, typically take about 13 five minutes to administer, can be performed at the 14 bedside and do not generally involve a dietitian. Screening of nutrition status is fairly 15 16 quick yet vitally important as the benefits of 17 nutrition support in the critically ill patient 18 include improved wound healing, decreased 19 catabolic response to injury, improved 20 gastrointestinal structure function, and 21 decreased PICU length of stay and decreased 22 mortality.

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It is based on a clinical guideline of 1 2009 where it is stated that children admitted with 2 3 critical illnesses should undergo nutrition screening identify those with existing 4 to 5 malnutrition, or those who are nutritionally at 6 risk. 7 The specifications of the measure. 8 The numerator is the number of PICU patients for 9 whom а screening of nutritional status was 10 documented with use of a standardized nutrition 11 screening tool within 24 hours of admission to the 12 PICU. 13 The denominator statement is all 14 patients admitted to the PICU for at least 24 hours 15 during a monthly or quarterly reporting period. 16 And there's a denominator exclusion of already had 17 patients who have documented а 18 nutritional screening or in the assessment 19 previous 48 hours. 20 The data source is the electronic 21 medical record which constructs the measure as an 22 eMeasure. **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701

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1	The measure was tested in four
2	different hospital systems, two EHR systems,
3	Cerner and Epic. Electronic output was provided
4	for 110 unique patients representing 121 events.
5	Clinical performance represented by
6	the results of the eMeasure was 90 percent of
7	patients and 92 percent of screens meeting the
8	measure.
9	It was feasible in three of four
10	institutions when feasibility was assessed.
11	Again, this notion of a scanned-in document was
12	what the workflow issue in one of the institutions.
13	One hundred percent when reliability
14	was assessed on a set of the same patients' medical
15	records through manual chart abstraction
16	reliability was 100 percent.
17	CO-CHAIR SEPTIMUS: Can you perhaps
18	just so some of the questions we had last time, can
19	you give us the relationship of this measure to
20	outcomes? How strong is that relationship? So
21	just to get that out of the way now.
22	DR. WOODS: It's part of a guideline so
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85 1 that's a bit more. Maybe Dr. Mikhailov or Dr. Sachdeva, would you like to comment on that? 2 DR. SACHDEVA: 3 Yes, this is Rames. Maybe I'll refer to Theresa given that this is, 4 5 again, an area for clinical and epidemiological 6 expertise. Theresa, please. DR. MIKHAILOV: So, again, this is a 7 8 measure that was intended to be part of a series of measures. And these were all intended to 9 10 improve nutritional status of patients in the 11 pediatric ICU. This was to be the first measure with 12 13 nutritional screening at the time of admission. 14 For those malnourished patients or at-risk the next 15 measure would have been assessment. 16 And assessment is very different from 17 A screen as you heard is something that a screen. should be able to be done in five minutes at the 18 19 bedside by the bedside provider. 20 An assessment requires someone with a 21 different skill set, usually a dietitian or a specialist in nutrition. That would be something 22 **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701

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86 that should be done for those at-risk patients to 1 intervene earlier. 2 And the third measure that was intended 3 to be included with this was identification of 4 5 caloric goals for the patient within 48 hours of admission. 6 7 All of these are measures that were 8 meant to be done in sequence. The first one was 9 the only one that came through in our wave one of 10 measures. 11 CO-CHAIR SEPTIMUS: Okay. 12 CO-CHAIR THRAEN: So, I'm sorry. 13 Thank you for the context, but what we're asking 14 is what's the evidence that supports the assessment 15 linking to nutritional outcome and patient harm in 16 the pediatric population. 17 Well, I think there's DR. MIKHAILOV: 18 an abundance of literature which I think we have 19 included in here that malnutrition is very common 20 in pediatric ICU patients. 21 It also is something that has been found 22 to develop in a large proportion of critically ill **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

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1	children. And we also know that children who are
2	malnourished have a higher mortality.
3	There isn't a specific link between the
4	screen because as opposed to the pressure ulcer
5	scenario screening is much more diverse. There is
6	no single validated tool that is used broadly.
7	Many institutions use their own individually
8	designed screen.
9	There are a handful of screens that have
10	been validated in certain populations within
11	children.
12	There is no one tool that has been
13	validated in a general critically ill pediatric
14	population.
15	CO-CHAIR SEPTIMUS: Okay, thank you.
16	I guess we have two quick comments. Oh, excuse me,
17	three, before we get to the evidence. Albert?
18	MEMBER WU: Yes. So, I can certainly
19	see the importance of this issue.
20	I think that in proposing a process
21	measure you are suggesting that perhaps we should
22	be changing the way that we practice pediatric ICU.
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1	And you are making a specific
2	suggestion about how the nutritional screening
3	should be done. And that it be done by someone with
4	that specific background.
5	So as I look at this I'm thinking about
6	our ICU and I'm wondering is this the way we should
7	change practice. Is this the way we should all
8	change practice.
9	Is there someone else who could do this
10	is there a person of a different job description,
11	is there a different method that could be used as
12	opposed to this one, and should we absent evidence
13	that this is either the best way or a way that is
14	linked to those nutritional outcomes, should we be
15	prescribing that at this committee?
16	DR. WOODS: Just to be clear, we're not
17	recommending any particular tool. There are a lot
18	of different tools out there. There are a lot of
19	institutionally developed tools.
20	What we are recommending which is
21	considered appropriate practice is that there be
22	a screen done.
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1 MR. RICE: The other point is that the screen can be done by any bedside caregiver, 2 3 whether it be nurse, physician, or whatever. The screen is very quick and simple. 4 5 The assessment, however, for those that fall out and are determined to be malnourished 6 7 would then move up to the -- usually it's a 8 nutritionist or dietitian. So that is not this measure. That was 9 10 the other series of measures that Dr. Mikhailov 11 referred to. 12 CO-CHAIR SEPTIMUS: Steve. 13 MEMBER LAWLESS: Yes, Steve Lawless. How does this differ from the Joint Commission 14 15 requirement that everybody within 24 hours gets a 16 nutritional screen or assessment? 17 DR. MIKHAILOV: This is actually based 18 on that concept, but there is a somewhat nebulous 19 is required by the Joint definition of what 20 Commission and not all institutions meet that 21 standard in the same way. 22 That is, however, why there are these **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

many institutionally derived screening 1 tools without one or even several validated tools for 2 3 pediatrics or anybody else. Right and I get that. MEMBER LAWLESS: 4 5 So the idea is you're not recommending a tool, 6 you're recommending a screen. 7 DR. MIKHAILOV: We can't. 8 MEMBER LAWLESS: Right. But I'm just 9 asking what's the difference then -- what is people 10 are supposed to be doing anyway. 11 So, we don't have the data DR. WOODS: We also assessed this as a chart review 12 here. 13 measure and had performance scores for the chart 14 review. 15 And in one of the children's hospitals 16 in Chicago only 23 percent met the measure. So, 17 we were pretty surprised by that. Only 23 percent 18 met the measure in a children's hospital in 19 Chicago. But we were asked to take all of our 20 21 chart reviewed data out of this for those that 22 didn't meet the eMeasure. But I know the answer. **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701

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1	CO-CHAIR SEPTIMUS: Laura, then Pat.
2	MEMBER ARDIZZONE: Just one comment.
3	It is very concerning to me that there's no
4	validated tool. What are we measuring then? It
5	sounds to me a little garbage in, garbage out.
6	If you're just making people do a
7	measurement which may not be measuring anything
8	reliable or valuable what is the point of making
9	people measure?
10	Until you have a valid, reliable tool
11	that can be implemented across the United States
12	and mean something. Right now you're just having
13	them measure nothing, really.
14	DR. WOODS: Dr. Mikhailov, might you
15	respond to that?
16	DR. MIKHAILOV: Well, but the Joint
17	Commission already requires that each institution
18	has a means for screening. It did not require that
19	there is a validated screening tool and so none
20	currently exist to our knowledge.
21	There is an assessment tool that has
22	been validated in critically children under the age
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1	of 5, that's the SGNA, Subjective Global Nutrition
2	Assessment. That was validated in our
3	institution.
4	But it is an assessment tool and it can
5	take as much as 30 minutes for an individual
6	patient. And it takes a skilled provider which in
7	our institution is a registered dietitian.
8	That's not really a feasible mechanism
9	across the board for all children. And so
10	institutions use their own individually derived
11	screens.
12	We are here working on developing a
13	validated screening tool, but it takes some time.
14	We've been working on it for over a year. But it
15	doesn't exist yet.
16	But the fact that screening has to occur
17	is what we're trying to make sure happens. We know
18	that institutions don't meet that. The 23 percent
19	was not a surprise to us.
20	Our institution was cited in the recent
21	past for not meeting the screening criteria as
22	well. That is part of what prompted us to pursue
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this.

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2	We've also done research here looking
3	into the effects of enteral nutritional and
4	parenteral nutrition. And so we understand the
5	relationship between nutrition and outcome is
6	important. We don't have prospective data for
7	that at this point.
8	But we think that this is a sequence
9	that matters, identifying nutritionally at-risk
10	patients, assessing the appropriate patients,
11	intervening appropriately and then hopefully
12	altering outcomes.
13	MEMBER QUIGLEY: Thank you. And again
14	as a committee member this is Pat Quigley's voice
15	I'd like to say that a screen measure is not an
16	indicator of quality.
17	And in the AHRQ toolkit again that just
18	came out two days ago the measure that what they
19	are advocating for is actually daily rounds
20	assessment of nutrition. It's much more than
21	screens. So they have been much more articulate
22	in what needs to be done in terms of hydration and
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assessing nutrition in the pediatric population.
Thank you.
CO-CHAIR SEPTIMUS: Yanling.
MEMBER YU: Yes. The guestion is

MEMBER YU: Yes. The question is regarding the recommendation for that particular -- apologize, I forgot the name, the evaluation tool to assess the risk of a pressure ulcer.

8 My question is different hospitals may 9 adapt different tools. And some of them may find 10 others may be more useful.

11 Do you have a plan, any thoughts on how 12 do you -- to look at the difference, how you 13 reconcile differences the when people or 14 facilities different tools the use to do 15 evaluation? Or is that an issue at all?

DR. WOODS: So, I think the idea is that a screen be done so that at-risk children can be identified, and then a standardized validated tool would be used to assess their nutritional status. But if they're not being flagged they don't get assessed and therefore there's poor care and severe risk of mortality to these children

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95 1 because they're not assessed. They're not screened first to then 2 3 apply the assessment. MEMBER YU: Okay, but my question is 4 5 not whether it's assessed or not. It's assessed 6 using which tools. Because if I understand 7 correctly you said that there are other tools may 8 be available for them to do the assessment. Is that correct? 9 10 DR. WOODS: There are currently no 11 validated -- oh, for assessment? 12 MEMBER YU: Yes. 13 Dr. Mikhailov, can you DR. WOODS: address that? 14 15 DR. MIKHAILOV: So, I'm only familiar 16 with the SGNA assessment. I also know that it is 17 not widely used because it is time-consuming. 18 Dietitians have other mechanisms of 19 assessing a patient which are not necessarily a structured assessment tool. 20 So I think there is variation both in 21 22 the screening and the assessment process. That **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

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doesn't mean that those are wrong.

I think that screening is probably 2 fairly similar even though the tools have different 3 components. They are really designed to identify 4 5 patients who are nutritionally at risk or who are malnourished versus patients who are neither. 6 7 And the assessment is only for the 8 patients who at-risk or malnourished, are 9 generally. 10 So, just to follow on that. MEMBER WU: 11 So, you said, well, if the children are not flagged 12 then they will not get appropriate treatment. 13 So, my question is how are they being 14 flagged? Are they being flagged in a way that is 15 valid? 16 If you flag someone who does not need 17 something then obviously there will be no ill 18 consequences of not following up. I need some 19 evidence that some or any of these screening tools 20 are in fact predictive of being nutritionally 21 deficient. 22 DR. MIKHAILOV: I don't think that **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W.

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1 exists because, again, there are only a handful of screens that have been validated in limited 2 3 pediatric populations and they have not been used in that manner that you refer to. 4 5 MEMBER WU: So we are recommending that 6 we use something which may or may not be valid and 7 recommend that this be done nationally. 8 CO-CHAIR SEPTIMUS: Thank you, Albert. Missy? 9 10 DR. MIKHAILOV: It's already а 11 requirement that they be done. 12 MEMBER DANFORTH: Yes, so just a couple of things to point out. 13 14 So one is that this measure, the 15 evidence for this measure is a little different 16 than the previous measure. For this measure they 17 did submit a systematic review and the NQF staff 18 actually graded it as moderate. 19 Yesterday we reviewed a med rec measure 20 for dialysis center where the measure developers 21 said there is no evidence that med rec alone will 22 have an impact on reducing medication-related **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701

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98 1 And that measure passed evidence when we errors. voted the second time. 2 3 This is a measure where it's a high-risk population. There's no outcome measure in place. 4 5 So it's not like the pressure ulcer where there are pressure ulcer outcome measures in place. 6 7 There's no outcome measures associated 8 with this particular measure. They're saying it's 9 a first step. There is a guideline that supports 10 it. 11 They submitted evidence that NQF staff 12 graded as moderate. I'm just bringing that up 13 because I think it's important that we grade these 14 evidence things consistently and we look 15 consistently at what's been submitted. And there's a lot of parallels I think 16 17 between this measure and the measure we looked at 18 yesterday where the developer stated in this room 19 there was no evidence that med rec alone had any 20 impact on outcome, that it was a first step. 21 This measure developers is saying 22 there's a guideline in place. There's a Joint **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS

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1 Commission core measure in place to do a screen, and it's measuring whether or not the screen is 2 3 happening, and that they're going to be bringing additional measures forward related to assessment. 4 5 So I just want to bring that up. CO-CHAIR SEPTIMUS: Thank you, Missy. 6 7 I think we've actually talked a lot about the 8 evidence so I think we can go to a vote on the evidence. 9 10 Although we haven't gotten to the gap 11 yet there does clearly seem to be a gap. And I 12 think the question for the committee is given 13 there's some variability in screening, and how 14 that's assessed, and whether or not there's an 15 action taken on that screen that affects outcomes 16 I think you're going to have to weigh the evidence 17 that they presented and decide whether the evidence is strong enough to go onto the CAC. So, that's 18 19 how I sum it up. 20 So, why don't we go ahead and go to the 21 vote on evidence. 22 MS. QUINNONEZ: We are now voting on **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

100 3006 Initial Baseline Screen 1 of measure Nutritional Status for Every Patient within 24 2 Hours of PICU Admission. 3 is now open for Voting evidence. 4 5 Option number 2 moderate, option number 3 low, option number 4, insufficient. 6 7 They submitted a MEMBER DANFORTH: 8 systematic review. We should be able to vote 1. 9 MS. QUINNONEZ: Sorry, here we go. 10 We'll revote again. Sorry. 11 Option number 1, high. Option number 12 2, moderate. Option number 3, low. Option number 4, insufficient. 13 Option number 1, high. Option number 14 15 2, moderate. Option number 3, low. Option number 16 4, insufficient. 17 All votes are in and voting is now 18 closed. Evidence on measure 3006 reads 10 percent 19 high, 40 percent moderate, 35 percent low, and 15 20 percent insufficient. 21 CO-CHAIR SEPTIMUS: Okay. 22 MS. QUINNONEZ: Consensus not reached. **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

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1	CO-CHAIR SEPTIMUS: But it's not that
2	we can move forward with the other. So we'll move
3	forward.
4	And go next to gap. I think we've
5	already if you want to have more discussion we
6	can, but it sounds like the developer has already
7	presented evidence that there is a huge gap in
8	screening.
9	Yanling, I don't know if you want to say
10	anything else about the measure or anybody else.
11	I think this one. Yes, Lisa.
12	MEMBER MCGIFFERT: So, am I reading
13	this right that there isn't much of a gap between
14	the eMeasures? But you indicated that there was
15	a gap in the others which we are not in like chart
16	reviews that we're not considering.
17	DR. WOODS: Right. In the three
18	hospitals that had the capability both technical
19	and through workflow to present zero to 6, 92
20	percent, 6 to 13 years old, 94 percent, and 13 to
21	19 was 95.
22	But we see a gap just for those eMeasure
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102 1 sites. White had a 97.5 percent meeting the Black had 82 percent meeting 2 measure. the 3 measure. For English-speaking 95 percent met the 4 5 Spanish, 88 percent met the measure. measure. So there are disparities demonstrated 6 7 here, but also in our chart reviews we found more 8 variability. 9 MEMBER MCGIFFERT: Okay, so there were 10 disparities based on race, but not statistically 11 significant, right? Is that what that says? 12 DR. WOODS: Ι believe they were 13 statistically significant. 14 MEMBER MCGIFFERT: Ιt says these 15 differences were not statistically significant. 16 DR. WOODS: Okay. I have a thing that 17 says that they were. 18 MEMBER MCGIFFERT: And I quess my other 19 concern is --20 DR. WOODS: Lindsay, if you could weigh 21 in here. I thought they were statistically 22 significant. **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

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1	MEMBER MCGIFFERT: My other concern is
2	that it looks like although we're hearing about
3	the chart reviews this is an eMeasure and there are
4	not going to be chart reviews.
5	It looks like we're pretty close to
6	being topped out on these measures. Am I reading
7	that wrong?
8	DR. WOODS: No, because we're looking
9	at pediatric ICU care and it was technically
10	feasible in the two other institutions, it just
11	their workflow was to have a scanned document.
12	So we didn't have time in our testing
13	institutions to make those workflow changes
14	because of the mechanism of funding for this
15	research. But they are willing to make that
16	change.
17	So it is not just about I mean it was
18	technically and workflow feasible in both Cerner
19	and Epic, and it also is technically feasible and
20	requires just a workflow change, clinical
21	documentation change in the other institution.
22	MR. LYZENGA: Were you saying in those
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104 institutions that couldn't do the eMeasure but did 1 the chart review there was a larger performance gap 2 3 is what you're saying? MEMBER MCGIFFERT: And we don't really 4 5 know if that performance gap was because of the way 6 the measure was implemented with chart review 7 rather than an eMeasure. 8 Same specifications. DR. WOODS: 9 MEMBER MCGIFFERT: And I just want to 10 reiterate the close to topping out issue with a 11 process measure. 12 CO-CHAIR SEPTIMUS: So, if Т 13 understand the eMeasure performed much better than 14 the paper. 15 DR. WOODS: The sites who could 16 implement an eMeasure performed better. 17 But you found a gap CO-CHAIR SEPTIMUS: 18 in a non-eMeasure site. 19 DR. WOODS: Found a gap in disparities 20 in all sites. And we found a greater performance 21 gap in sites that could not implement the eMeasure 22 because of workflow issues, not because of the **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

105 missing elements. 1 CO-CHAIR SEPTIMUS: Okay, Pat? 2 3 MEMBER QUIGLEY: Thank you. My question goes back to the evidence. Did we pass 4 5 the grade for 60 percent and higher to continue? 6 MR. LYZENGA: We hit 50 percent. So it 7 was consensus not reached. 8 MEMBER QUIGLEY: Oh. Thank you. MR. LYZENGA: So we do move on. We'll 9 10 have to revisit that. 11 MEMBER QUIGLEY: Thank you so much. 12 CO-CHAIR SEPTIMUS: Albert? Any other comments before we vote on gap? Okay, seeing 13 none we'll vote. 14 15 MS. QUINNONEZ: Voting is now open for 16 performance gap of measure 3006. Option Option number 2, moderate. 17 number 1, high. 18 Option number 3, low. Option number 4, 19 insufficient. 20 All votes are in and voting is now 21 closed. For the performance gap of measure 3006 22 zero percent voted high, 50 percent voted moderate, **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

106 1 45 percent voted low, and 5 percent voted insufficient. 2 MR. LYZENGA: So again we're in the 3 gray zone there, consensus not reached, but we'll 4 5 move onto the next criterion. 6 CO-CHAIR SEPTIMUS: Reliability. 7 CO-CHAIR THRAEN: Just to clarify 8 So, if it's between 50 and 60 percent we're again. considered in the gray? Forty and sixty percent. 9 10 If we have 60 percent we have consensus. 11 So I get confused when we stop versus 12 moving forward even though we haven't reached consensus. What's the difference? 13 14 So, there was a measure that we stopped 15 on. 16 MR. LYZENGA: That was because we had 17 greater than 60 percent voting against. 18 CO-CHAIR THRAEN: Against it. That's 19 what it is. All right, thank you. 20 CO-CHAIR SEPTIMUS: Yanling, can you 21 talk about reliability? Because Linda's not here 22 and I asked Yanling at the last minute to do this. **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

1 So we thank her for that.

The reliability test. 2 MEMBER YU: The 3 eMeasure test was conducted in four Chicago area It was only conducted in one of those hospitals. 4 5 hospitals because implementation issue at other 6 three. 7 То reliability demonstrate the 8 developer did element testing at one hospital site with 288 pediatric beds including 40 PICU beds and 9 10 approximately about 11,291 pediatric admissions 11 annually. 12 The testing period is between January 1 till March 31, 2015, at the one children's 13 14 hospital. analysis 15 And the had 105 unique 16 121 patients representing events. That's 17 something I don't understand, 105 unique patients 18 but have more event numbers than that. 19 The testing involved eMeasure, also a 20 score automatically, a manual chart computer 21 review. The results for testing shows that the 22 **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W.

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108 1 inter-rater reliability was conducted on five patient charts. Agreement is 100 percent for all 2 3 critical data elements and 100 percent for overall clinic performance. 4 5 Because the agreement is 100 percent a cover score could not be computed. 6 7 So, that left the preliminary reading 8 for reliability is moderate. So the question for the committee is is 9 10 this best sample adequate to generalize for 11 widespread implementation. 12 And the second question is do the 13 results demonstrate sufficient reliability so that 14 different performance can be identified. So those 15 are the questions suggested to the committee. 16 CO-CHAIR SEPTIMUS: Any comments from 17 the developer or from the committee on reliability? 18 Okay, we'll vote. 19 MS. QUINNONEZ: Voting is now open for 20 the reliability of measure 3006. 21 Option number 1, moderate. Option 22 number 2, low. Option number 3, insufficient. **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701
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1	Option number 1, moderate. Option
2	number 2, low. Option number 3, insufficient.
3	MR. LYZENGA: Just to clarify moderate
4	is the highest potential rating because it was
5	testing was only done at the data element level,
6	not at the measure score.
7	DR. WOODS: No, we also had the
8	performance score. I can show you. I just read
9	off of it and it is the patients that were 19
10	plus years old, there was a significant difference
11	between those and others. I can show you. I mean,
12	this is from the eMeasures, not from the other data.
13	MR. LYZENGA: What kind of analysis did
14	you do on the measure score?
15	DR. WOODS: We calculated the measure.
16	We calculated the measure and we also conducted
17	reliability testing with a manual chart
18	abstraction gold standard.
19	MR. LYZENGA: We consider that data
20	element reliability, not reliability of the
21	measure score.
22	DR. WOODS: And we also demonstrate the
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110 1 difference in performance across different patient populations, age, race, ethnicity, language, and 2 3 insurance status. So MR. LYZENGA: that's 4 more 5 performance gap. 6 For reliability of the measure score 7 we're looking for something like a signal to noise 8 analysis of the measure's ability to differentiate between scores of different facilities. 9 10 You are only accepting DR. WOODS: 11 signal to noise these days? 12 MR. LYZENGA: Well, we accept data 13 element reliability as well, but for the measure 14 score testing we want to see that the measure --15 we want to see that you've -- that the measure score 16 reliably distinguish different can across 17 facilities. 18 So we wouldn't be able to do it just at 19 one single --20 DR. WOODS: So I can answer that. We 21 have more than one facility. But the funding 22 mechanism wouldn't allow us to have them give it **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

111 1 to us during that period. We'll have another 2 round. 3 If you want that data in a few months, or like even a month. 4 5 CO-CHAIR SEPTIMUS: No, no, that's not 6 your fault, but based on what Andrew just said 7 because it's only done in one facility we can't look 8 at multiple facility reliability. That's the signal to noise that we're talking about. 9 So 10 therefore we can't consider it as a high level. 11 Okay, so why don't we start the voting 12 process all over again. 13 MS. QUINNONEZ: We're good. We 14 haven't totaled yet. All votes are in. 15 CO-CHAIR SEPTIMUS: Oh, okay, never 16 mind. 17 MS. QUINNONEZ: All votes are in. 18 Voting is now closed. 19 CO-CHAIR SEPTIMUS: Let me ask you, was 20 there confusion about this before you voted? 21 Because we can easily vote again. 22 MS. QUINNONEZ: We'll revote. **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

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1	CO-CHAIR SEPTIMUS: Let's revote just
2	to make sure, okay? This is on the reliability.
3	MS. QUINNONEZ: This is for the
4	reliability of measure 3006. Voting is now open
5	for the reliability of measure 3006.
6	We're looking for one more vote. Can
7	you resubmit your votes, please.
8	Voting is now closed. For the
9	reliability of measure 3006 37 percent voted
10	moderate, 42 percent voted low, and 21 percent
11	voted insufficient.
12	MR. LYZENGA: So that
13	CO-CHAIR SEPTIMUS: must-pass
14	measure.
15	MR. LYZENGA: So that means that the
16	measure does not pass on reliability because it's
17	the two low and insufficient together gets us over
18	60 percent.
19	DR. WOODS: As I mentioned the funding
20	mechanism for this, we were not allowed to do
21	anything after the date of its end. But it was
22	already done, they just couldn't pass the data over
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1	to us. It's kind of an odd thing.
2	If I can submit that data that shows
3	across three different institutions?
4	CO-CHAIR SEPTIMUS: That would
5	certainly help. Believe me, I think we all feel
6	the same empathy. I think you've really done a
7	great job with what you had.
8	This is a rather rigorous process and
9	I think that when you get those other data elements
10	and other things in place I think you'll get a
11	different reading from the committee.
12	But I don't want you to walk away
13	feeling unwanted. We do want you to come back.
14	And I think now that you see I really feel bad
15	when we turn down measures, but I want the
16	developers to realize that we know you did your best
17	job, and there's just certain things.
18	I think now from the discussion, I think
19	you now know that we need to pass a measure. I have
20	no doubt once you get that additional stuff in place
21	you can bring that measure back to the next round
22	and you'll get I think a different read.
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1 In another set of NQF meetings around measures there was a similar kind of problem, that 2 3 some of the data just wasn't able to be passed And there was a phone call or something. 4 forward. 5 We were allowed to --6 MS. MUNTHALI: Yes. So you can bring 7 it back during the post comment call. So, during 8 this commenting period you said you'd have -- you could do it within a month? So you'd have about 9 10 two months to do that. 11 DR. WOODS: Okay, great. Thank you 12 very much. 13 CO-CHAIR SEPTIMUS: Thank you so much. 14 You really did a very nice job. Hopefully we can 15 -- of course you can say so. 16 MEMBER MCGIFFERT: Thank you for And I think -- I couldn't catch 17 bringing this. 18 everything that Ed said, but I think it's really 19 -- I mean you've heard some of our questions. 20 And I would just say I know I would look 21 more favorably on it if there were some outcome 22 components with it. So I hope that you guys will **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W.

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115 work on that. And it sounds like you are working 1 on it and will bring it back to us with a little 2 3 bit further measure. Thank you. CO-CHAIR SEPTIMUS: Thank you very 4 5 So we're going back to 2983 Potassium much. 6 Sampling Hemolysis in EDs. The Cleveland Clinic 7 is the developer so are they on the phone or are 8 they in person? They're on the phone? 9 DR. PHELAN: Yes, sorry, I missed the 10 I was getting off mute. last part. This is 11 Michael Phelan speaking. 12 CO-CHAIR SEPTIMUS: Fine. Can you 13 tell us who you are? Are you in Cleveland? DR. PHELAN: Yes. Believe-land as we 14 15 say now. 16 CO-CHAIR SEPTIMUS: Well let me tell 17 you, Cleveland ended up very much better in terms 18 of outside protests and stuff than Philadelphia 19 has. 20 DR. PHELAN: This is true. And the 21 Cavs won the national championship in basketball 22 so we're in Believe-land now. So yes, I think it **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701

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made us look very positively. I thought it went 1 very well, the convention and the national 2 3 championship in basketball. CO-CHAIR SEPTIMUS: Let's hope your 4 5 measure does as well as the Cleveland Cavaliers. 6 DR. PHELAN: You set me up, man, this 7 is too much. 8 CO-CHAIR SEPTIMUS: Anyway, if you could give us a brief overview of your measure and 9 10 then we'll go through our usual discussion of the 11 evidence, the gap, the reliability, et cetera. 12 So, please. 13 PHELAN: Sure. DR. Ι am an ΕD 14 physician and I always like to say I'm the 15 accidental measure developers because Ι keep 16 coming up with measures that I wasn't really 17 expecting to come up with. 18 This measure came about through one of 19 my colleagues in lab medicine was offered an 20 ability to look at this from the CDC. 21 And he wanted nothing to do with it 22 because he knew some of the challenges and the **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701

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struggles associated with this measure.

But hospital EDs have for years been 2 identified as the major source of hemolyzed lab 3 samples for hospital labs. 4 5 It's the leading cause of unsuitability of specimens. 6 They rate significantly elevated compared to other departments within the hospital. 7 8 The closest we have is maybe the ICU which is about half at least at our institution hemolysis rates. 9 10 In the literature ED hemolysis rates 11 range anywhere from 6.8 to 30 percent. I actually 12 found one paper now, a newer one that rated one at 13 67 percent. 14 American Society of Clinical The 15 Pathology 2 percent lower hemolysis has а 16 benchmark, but I can tell you from the quality 17 people in lab medicine here at the clinic, their 18 expectation from the people who work for them which 19 are the phlebotomists, their expectation is less 20 than 1 percent. 21 When blood samples hemolyzed are 22 there's interference in over 39 different lab **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701

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tests.

1

2	The unreliable lab tests, especially
3	potassium, and that's the one that we measured, but
4	also they're rejected for coagulation studies and
5	type and screens.
6	And falsely elevated potassium may
7	indicate life-threatening abnormality, and an
8	apparently normal potassium due to hemolysis may
9	be hiding a significantly low potassium.
10	There are two groups that have
11	identified this as a significant issue. First of
12	all, the CDC, and I get back to how I got involved
13	in this.
14	The CDC through a laboratory medicine
15	best practices and systemic review meta analysis
16	that's authored by Heyer and cited throughout here
17	identified ED hemolysis as a significant problem
18	in lab medicine.
19	And they funded a cooperative agreement
20	to study this, and that's where we studied it
21	cooperatively with lab medicine and nursing at our
22	institution.
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1	Like I said, it's such a significant
2	problem that the CDC did this laboratory medicine
3	best practices. They did a comprehensive search
4	from 1990 to 2011. They found some 600
5	publications and abstracts, and 22 EDs and some
6	non-published data.
7	The experts saw practice impact on
8	hemolysis and identified seven practices within
9	that.
10	Of the seven practices they could
11	really only find two of them which they could
12	recommend best practice at the time, and that was
13	straight stick needle and antecubital location if
14	you're drawing it through an IV.
15	They also identified four other things
16	that may contribute but didn't have sufficient
17	evidence which was syringe versus vacuum for the
18	location, large gauge versus smaller gauge,
19	partial vacuum tubes and tourniquet time.
20	We at the Cleveland Clinic started
21	looking at this and we even did an analysis from
22	the National Hospital Ambulatory Medical Care
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1 Survey from 2011 and tried to look at what the impact of 2 potential the percentage rate of 3 hemolyzed specimens. The target rate versus the 2 percent 4 5 versus the Cleveland Clinic rate which is about 12 6 percent and versus that mean incidence of what's 7 reported in the literature, anywhere from 6 to 18. 8 And based on those studies each percentage of hemolysis probably accounts for 300 9 10 redraw of labs, 300,000 of labs. 11 There's wide practice variation. Who 12 draws the blood, how they draw the blood, the 13 equipment utilized, and really currently no 14 standardized approach or roadmap. 15 Because of this issue the Emergency 16 Association also developed a clinical Nurses 17 practice quideline on the topic called Prevention 18 of Blood Specimen Hemolysis in Peripherally 19 Collected Venous Specimens. And that's also 20 included in our packet that you have there. 21 So, based on our studies. Like when we 22 first studied this the percent of moderately **NEAL R. GROSS**

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121 1 hemolyzed in our department was 18 percent. through а number 2 And of process 3 improvement projects we were able to finally reduce that to below the 2 percent. 4 5 The poor quality specimens is the whole 6 cause. There's a lot of argument about -- and this 7 is what this always comes down to is the ED will 8 complain that it's the lab's problem, and the lab says no, it's the ED's problem. 9 10 And on this count the lab is probably 11 correct because the poor quality specimens are 12 mostly due to pre-analytical errors, meaning how 13 the lab is drawn. 14 And when you have a lab that's drawn 15 poorly it results in delays in initiation of care. 16 And after it results in more delays, in prolonged 17 ED stays and wait times. 18 There's the potential for incorrect and 19 missed diagnoses, and of course there's an increased healthcare cost. 20 21 CO-CHAIR SEPTIMUS: That's an 22 excellent review. Thank you very much. **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701

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1	Just to remind the committee this is a
2	
	new measure and it's for trial use approval just
3	so we go there.
4	Lisa, did you have a comment before we
5	start with the evidence? Yes, Lisa.
6	CO-CHAIR THRAEN: And it's eMeasure.
7	CO-CHAIR SEPTIMUS: Yes. Wait a
8	minute, is it an eMeasure? Okay, I stand
9	corrected.
10	MEMBER MCGIFFERT: Can you I'm sorry
11	if I'm asking you to repeat yourself.
12	DR. PHELAN: That's okay.
13	MEMBER MCGIFFERT: Can you talk to me
14	about the harm to patients when this occurs? I
15	mean, I understand that they have to have a retest.
16	But what are the other harms? And maybe missed
17	diagnosis?
18	DR. PHELAN: The possibility that you
19	could be misdiagnosed with a hemolyzed specimen
20	that's reported out.
21	The potential at least from my
22	perspective from the ED when I see someone who comes
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1 into the emergency department and the initial lab reports to me as a potassium elevated 6 or 6.5 I 2 3 immediately have to get an EKG. I immediately start the process until I get the repeat draw to 4 5 come back because I'm still worried that is it 6 hemolyzed and causing just a little bit of bump in it hemolyzed and causing 7 potassium, or is а 8 significant bump in potassium. And I start an initiation of care for 9 10 elevated potassium. Patient gets put on а 11 monitor. I start giving insulin and glucose which 12 can have repercussions. The insulin could drop 13 the blood sugar. 14 I start giving other medications like 15 Kayexalate which can some significant cause 16 diarrhea. That's the whole purpose of giving it. 17 And if I get the repeat test back and 18 it's normal I've done a whole bunch of stuff that 19 I probably didn't need to do. 20 But, because it's such a potentially 21 life-threatening problem, hyperkalemia, we jump on 22 that right away. **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W.

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1 The main thing that I see as the potential harm to patients is the delay in care. 2 3 And with our data set we were able to identify that there's about a 50-minute delay in care 4 for 5 discharged patients, and about a 23 or 24-minute 6 delay in disposition for admitted patients. 7 Based on the fact that you have to do 8 And when you do a redraw that means that a redraw. 9 the nurse that was actually taking care of someone 10 else is pulled off that patient to come and do the 11 redraw on this other patient. 12 And we did a time survey about how much 13 It's anywhere from 10 to 12 minutes that costs. 14 of a nurse's or a medic's time pulled off of other 15 duties they could be doing to redraw a specimen. 16 This MEMBER LAWLESS: is Steve 17 Lawless. Isn't this an American College of 18 Pathology Q-probe? 19 DR. PHELAN: Yes. 20 MEMBER LAWLESS: And they are 21 involved? I mean, in terms of the development. 22 Did you solicit opinions from them in terms of **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701

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1 making sure this reconciles?

2	DR. PHELAN: We definitely have
3	opinions from them. Based on some of our
4	preliminary discussions, Dr. Howanitz, and I may
5	have cited him in here, published two reports based
6	on hemolysis. And if I didn't cite those I can send
7	those to you.
8	But based on some of our preliminary
9	discussions early on when we were looking at this
10	topic Dr. Howanitz went back and sent a Q-probe just
11	to identify the prevalence of the problem in
12	laboratory medicine.
13	And those were published pretty
14	recently, like in 2015. I'm not sure if I cited
15	them in the citations, but I'm almost certain I did
16	because there were two publications based on that.
17	
18	But we have been in communication with
19	them about this topic right through because of our
20	cooperation with our lab medicine.
21	Because American College of Pathology
22	runs the Q-probe and the CAP program. So they're
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126 1 fully aware of this. And they support it on the phone calls 2 and things like that. 3 Unfortunately lab medicine and the CDC 4 5 were a little hesitant to jump onboard to being part 6 of this measure process because I don't think they 7 were really informed enough about what it entails 8 and what it involves. I tried to pull them in as co-authors. 9 10 They were kind of more in the standoff mode and 11 wanted to kind of see where it went. 12 But they understand the significance of 13 the problem, particularly lab medicine. They see 14 it as one of their highest priorities. 15 CO-CHAIR THRAEN: Ι have quick а 16 question for clarification purposes. 17 So, the measure that you're bringing 18 forward is specific to the potassium samples. 19 DR. PHELAN: Correct. 20 CO-CHAIR THRAEN: When you quoted your 21 18 percent rate of hemolysis, was that specific 22 only to potassium, or was that in general? **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

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1	DR. PHELAN: That was specific to
2	potassium. And that was what the data that we used
3	to obtain a CDC-funded project grant or cooperative
4	agreement.
5	So, when just to give you a little
6	bit of the story, Gary Procop, one of our leaders
7	in lab medicine, was approached by CDC for this,
8	but he knew the issues and he knew the issues were
9	mostly in the ED.
10	He provided that data for me and I was
11	kind of shocked because I kind of thought it was
12	a problem, but I didn't realize for at least our
13	ED it was that significant.
14	MEMBER WEBB: Hi, this is Kendall Webb.
15	So, I just want to clarify for the committee here.
16	So, on every patient that you get a hemolyzed K back
17	on you start all of that treatment? Or is that
18	another test that you can do to not have to start
19	that treatment until you get the final K back?
20	DR. PHELAN: So, if the lab sample is
21	hemolyzed, and at our institution it's a little bit
22	different. Many of the labs report this out
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128 1 differently. Our lab, and I wish my colleague Ed were 2 here, but he wasn't available to attend, our lab 3 gives us two results based on the hemolysis index. 4 5 If the hemolysis index is I believe greater than 300, and that's just a reading of their 6 7 thing, they will not report the result. They say 8 you have to redraw it because the variability in results is so off that they can't guarantee a 1 on 9 10 the result. 11 So, between 80 and 300 they report it 12 as the potassium sample is hemolyzed. Please use 13 the result with caution because we can't quarantee 14 where this result actually is. But they will 15 report that out. 16 So, if a sample comes to me and it's 17 hemolyzed and the result is normal I don't worry 18 so much although the potential is there that that 19 result could be significantly lower and the patient 20 could have hypokalemia that I'm unaware of. 21 If the result comes back to me greater 22 than 5.5 which is I think in our lab 5.5 is **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS

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129 considered hyperkalemic and it's a dangerous lab 1 result I immediately begin treatment. 2 3 That's not every single hemolyzed specimen, it's just the ones that let's say the 4 5 patient's true potassium was 4.5 and I get a 6 hemolyzed specimen and that's going to kick it up 7 another point. And so now it's reading it as 5.5. 8 hyperkalemia is Because such а life-threatening problem I would begin treatment 9 10 on that even before I got a result back. 11 Now, in our ED we have the potential to 12 get something called the point of care potassium. 13 And my inclination is the reason we got the point 14 of care lab was because of our high potassium 15 result. 16 The point of care lab does not report 17 out whether there is hemolysis or not. It is done 18 on whole blood and there's no way on our machine 19 to give us a thing that says hemolysis or not. 20 So I could redo that test immediately 21 within five minutes and get a guick result back, but I have no idea and I did not know this until 22 **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS

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1	I got involved in the project. I have no idea if
2	the accuracy of that number is correct due to the
3	fact that it doesn't report out if the sample was
4	still hemolyzed or not.
5	So, there is still a potential danger
6	that I could be either under-treating a patient or
7	over-treating a patient based on whatever that
8	result I get from the point of care lab.
9	Most times if the potassium result
10	comes back still high, 5.5 again even on the point
11	of care lab, the process that I used, I would send
12	off another lab sample to get a more accurate result
13	from the lab to be sure that I need to be treating
14	hyperkalemia.
15	But I don't delay the care, I just begin
16	treating hyperkalemia because it is such a
17	dangerous, potentially life-threatening illness.
18	MEMBER WEBB: So is there a non-lab
19	test that you could use to help you understand
20	whether the hyperkalemia was causing danger in a
21	patient?
22	DR. PHELAN: Not really, not that I'm
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1 aware of. We get EKGs to initially see if there's EKG changes. Because there's subtle EKG changes 2 3 that start with Q waves and end up with sinus wave which is a death wave basically because they have 4 5 no cardiac function once the potassium reaches a 6 certain level. 7 So, the non-laboratory test that we get 8 to kind of confirm, but hyperkalemia does not 9 correlate 1 to 1 with elevated T waves, or hyperelevated T waves. So it's a poor man's test 10 11 to see if your hyperkalemia is really hyperkalemia 12 and causing cardiac malfunction. CO-CHAIR SEPTIMUS: 13 Anything else, 14 Kendall? Kendall's our Gator here, so. 15 Anyway, I think we've heard a lot of the 16 evidence and so I think we're ready to vote. And 17 they have provided enough information and review 18 that we could rate this as high, moderate, low, or 19 insufficient. 20 So, I'll turn it over to the voting 21 wizard. 22 MS. QUINNONEZ: Yes. We are now **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

132 1 voting on measure 2983 Potassium Sample Hemolysis in the Emergency Department. Voting is now open 2 for evidence. 3 Option number 1, high. Option number 4 5 2, moderate. Option number 3, low. And option number 4, insufficient. 6 7 All votes are in and voting is now 8 closed. For the evidence of measure 2983 -- 6 voted high, 11 voted moderate, 1 low, and 2 9 10 insufficient. 11 CO-CHAIR SEPTIMUS: We'll move onto 12 gap, but Kendall I think has her flag up. You have your hand up. 13 MEMBER WEBB: So, I was just a little 14 15 bit confused, and this is really for the committee, 16 not for the measure itself. 17 When they say it's a trial measure? 18 MR. LYZENGA: So this is something that 19 Jason talked a little bit about earlier. So he's 20 presented some evidence here, but he was not able 21 to get the sites to do the like reliability and 22 validity testing, that kind of thing. And not a **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W.

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1	let of information T think on you of well
1	lot of information I think on gap as well.
2	So what we would do is if we want to
3	approve this for trial approval it would not have
4	endorsement status, but would have trial approval
5	status.
6	He would then have to bring it back
7	within three years with the testing results.
8	CO-CHAIR SEPTIMUS: Yes, he'd have to
9	go through the full endorsement process. That's
10	correct.
11	So, the next thing is
12	MEMBER MCGIFFERT: So, I'm a little
13	confused. So, if we felt that this was a measure
14	that we wanted to go through the trial use then we
15	would vote against it being endorsed. Or we would
16	vote it low to be endorsed. No.
17	CO-CHAIR SEPTIMUS: We wouldn't vote
18	for endorsement.
19	MEMBER MCGIFFERT: We wouldn't vote
20	for endorsement, but if we voted low let's say on
21	reliability and validity and gap and all that what
22	does that do?
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1	MR. LYZENGA: Well, we wouldn't
2	actually vote on reliability and validity.
3	MEMBER MCGIFFERT: We won't vote on it.
4	MR. LYZENGA: Right.
5	MEMBER MCGIFFERT: Got it.
6	CO-CHAIR SEPTIMUS: Thanks, Lisa.
7	Okay, gap. Is there anything else, Iona, that you
8	wanted to say on gap that hasn't already come out
9	in the conversation?
10	CO-CHAIR THRAEN: Just that the data
11	that they submitted for gap is specific to their
12	institution, Cleveland Clinic. And the graph
13	before you is their analysis of where they started
14	and then how they improved over the course of time
15	indicating a gap.
16	Then also they talked about the
17	literature in terms of the differences of
18	hemolysis. And it varies across institutions.
19	So I thought that they actually did support
20	documenting a gap in performance.
21	CO-CHAIR SEPTIMUS: Comments. Yes,
22	Yanling.
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I'm confused with this 1 MEMBER YU: In 2013 the hemolysis rate is about 13 2 figure. 3 percent and it went down to 2 percent rate in 2015. That's an improvement, right? 4 5 DR. PHELAN: Correct. 6 MEMBER YU: So how did that happen? 7 First question. And then does it still show a gap 8 at all? When we don't have this measure it shows 9 improvement. 10 CO-CHAIR THRAEN: So the developer, do 11 you want to respond? You used the measure, 12 correct? It was my understanding that you used the 13 measure in your institution to see where you stood, 14 and then you worked on an improvement process that 15 you said there were multiple improvement efforts 16 to achieve the goal of 2 percent which is the 17 pathology's national standard recommendation. 18 DR. PHELAN: Correct. And this is in 19 our institution. I'll give you a little bit of a 20 briefer on what our ED is. 21 We have a combined residency with Metro 22 Health Medical Center, the level 1 trauma center **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

136 across town, and the Cleveland Clinic main campus. 1 So we share our residents. 2 I brought one of the residents onboard 3 because he said, "I cannot stand working at Metro 4 5 because one-third of my lab samples are hemolyzed 6 and I have to wait for another sample to be done." 7 So we're in the process of fixing their hospital 8 across town. Same issue with UH. A colleague of 9 10 mine was like hey, this is killing us. And I'm like 11 well, I have the answer for you if you'd like to 12 try it. 13 So, at our institution, just the main 14 campus, we started off at that high 15-16 percent 15 We collected data over the course. We did rate. 16 a couple of process improvement projects one of 17 which was, as you can see I think it was in February, 18 significantly dropped hemolysis. 19 We had to change some things at our lab 20 and perform a couple of more process improvement 21 projects to see if they had an impact. They had 22 very little impact, but the replacement that we did **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701

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137 with our equipment significantly reduced 1 our hemolysis down to 2 percent. 2 3 That is not to say that there's not a significant problem amongst our other hospital 4 5 institutions. Within our own health system there 6 is a significant gap there because I know that the 7 rates are anywhere from 8 to 4 percent across our 8 health system. Four percent in our freestanding EDs. 9 10 The average is about 8 percent in our standard 11 hospital attached EDs. That's just in our health 12 system alone. 13 I suspect across the nation there's 14 great variability in this. And from presenting 15 this at different scientific meetings people have 16 come up to me and said we had a terrible problem 17 and we did this. And oh, we fixed it doing this. 18 And we did the same thing you did. 19 So there are hospital systems out there 20 that have recognized this as a problem and tried 21 to address it, but there's no uniform standard 22 across the country about measuring it, and **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS

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collecting it, and being held accountable for it. 1 MEMBER YU: Okay, so I'm assuming the 2 3 rates go up temporarily during the April and June period, it's because you were doing some other 4 5 tests to try to refine your algorithm or whatever, 6 your techniques, right? 7 DR. PHELAN: Correct. I'll tell you 8 what happened. We looked at -- based on our nursing recommendation we looked at replacing our 9 10 large volume 600ml collection tubes with 2ml 11 collection tubes. We did that for one week. 12 We had some problems in the lab with 13 quantity, the lab labels were covering the tube 14 completely so the lab couldn't see inside. 15 So after we did it for a week we had to 16 actually pull the small tubes back and replace them 17 with our large tubes which you can see from that 18 data it immediately bumped back up into the, I don't 19 know what range, 14 for the combined hemolysis 20 rate. 21 And come August when the lab was ready 22 to switch back over we switched back to the small **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701

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139 tubes and it dropped the rate significantly back 1 down to 2 percent. 2 And it's continued since. 3 I mean, I continue to collect data on it which is ending soon 4 5 though. But it's still at about 2 percent. 6 MEMBER LAWLESS: To the developer, I 7 may have missed this in your literature. Is there 8 literature in terms of the gap that supports or shows interventions that were done -- potassium 9 10 comes back hemolyzed. Intervention was done, 11 however, and then repeat was done, and they said 12 oops, we shouldn't have done that. 13 anything Is there in terms of 14 timeliness of delaying care as a result of this, 15 or interventions done inappropriately because of 16 this? 17 No, not that I could find. DR. PHELAN: 18 But if you ask any ED physician who's done this 19 they've had it come back where they're like oh, 20 great, it's 4. It wasn't high, it was hemolyzed. 21 But I don't think anyone's done a survey 22 analysis of it. And it becomes very or an **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS

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1	difficult to do sometimes because it would be hand
2	done.
3	MEMBER WEBB: So, are you guys straight
4	sticking every patient that comes in the ED now
5	since that's the number one thing that supposedly
6	helps?
7	DR. PHELAN: No, but there are EDs that
8	have done that. And there are publications that
9	have done it and it drastically reduces it.
10	I know about a hospital in Sarasota,
11	Florida, and let me give you a little background
12	on this.
13	ED nurses and medics are allowed to put
14	an IV in. Phlebotomists are not. Some hospitals
15	have gone to we're going to hire phlebotomists.
16	It's very costly.
17	So, this hospital I know of in Florida
18	started that way, but then they said we can't keep
19	doing this even though their hemolysis rates
20	dropped to zero because all a phlebotomist can do
21	is straight stick a patient. They're not allowed
22	to draw blood from an IV.
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1	That hospital trained their nurses that
2	they want all labs done via straight stick. Our
3	nurses and a lot of people in the emergency nursing
4	world have grave concerns with that because that
5	requires, and if you've ever had your blood drawn
6	and then had to get poked again for it, you'll know
7	nobody likes that. From the nursing perspective
8	they hate it.
9	So, our nurses, when we approached this
10	project my plan was to just switch everybody over
11	to straight stick and do that.
12	There was great consternation from our
13	nurses. We have a large tertiary care population
14	that is very difficult to stick let alone poke once
15	to draw labs, two to get an IV. So there was
16	pushback from my nurses.
17	And I initially was very much, like I
18	tried to work the best I could with leadership to
19	say can we do this. There was great pushback.
20	There was a paper published by a person
21	named Dietrich out in Wyoming and I think I included
22	it in the publications.
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1 And there's arguments in this literature all the time, one poke or two pokes. 2 lab medicine people want 3 The a perfect lab specimen. They want two pokes. The nurses that 4 5 have to sit there with a crying, hurt patient have 6 to poke them twice do not want to poke these 7 patients twice. 8 So, this Dietrich publication said one poke or two. One poke works just fine. 9 I'm not 10 sure I know what the issue is. When I contacted him because I could not 11 12 figure out how he had such a low potassium rate for 13 blood draws through an IV because it's typically 14 much higher. 15 He didn't know, and then we started 16 exploring the small tube. And I re-contacted him and he goes yes, we've been using 2ml tubes for 17 18 about three years. And I said that's probably 19 where you're getting your low hemolysis from. 20 And we published a letter to the editor 21 in Journal of American Nursing that I can forward 22 to the committee if they want to see it describing **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W.

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1 that exact issue.

But there is a big dichotomy between the 2 lab people and the ED nursing and ED clinicians 3 And it continues to be kind about one poke or two. 4 5 of fought out in the literature and sometimes kind 6 of with sparks flying. 7 CO-CHAIR SEPTIMUS: that's So an 8 interesting topic. And just to fill in some of the 9 gaps. 10 HCAHPS which So, have scores we 11 everybody's concerned about because it fits into 12 value-based purchasing. 13 We also have the issue, by the way, of blood culture contamination rates 14 which is 15 directly related to what you stated. So this is 16 a very interesting topic. But the topic in here, we're talking about hemolysis. 17 So I think we're ready to vote on the 18 19 qap. So let's do the gap. 20 MS. QUINNONEZ: Voting is now open for 21 performance gap of measure 2983. 22 Option 1, high. Option 2, moderate. **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701

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1	Option 3, low. And option 4, insufficient.
2	All votes are in. Voting is now
3	closed. For the performance gap of measure 2983
4	30 percent voted high, 60 percent voted moderate,
5	10 percent voted low and zero percent insufficient.
6	CO-CHAIR SEPTIMUS: Okay, so we're
7	going to go to reliability. There's no testing
8	here so what we're going to be discussing is their
9	numerators and denominators as a measure only.
10	Does that make sense to everybody?
11	MR. LYZENGA: I was a little wrong when
12	I said that to you, Lisa, earlier. We will vote
13	on reliability, but only on the specifications part
14	of it.
15	CO-CHAIR SEPTIMUS: The specs.
16	MR. LYZENGA: The precision.
17	CO-CHAIR SEPTIMUS: There's no testing
18	so we can't okay.
19	CO-CHAIR THRAEN: So, I may be
20	confusing two items. So is this the measure in
21	which trying to capture the information in an
22	electronic health record wasn't working very well
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145 and you needed to go to the lab information system 1 to capture the data? Can you clarify that for me 2 3 from the developer? DR. PHELAN: Sure. No, we were able to 4 5 capture it from Epic and Sunguest. Both are ONC certified at our institution. 6 7 We did not buy the Epic lab information 8 So we had to have a dual ONC certified. system. And we got it both from Epic and from Sunguest which 9 10 is our lab information system. 11 CO-CHAIR THRAEN: Okay, thank you. 12 The question is did they correlate. Did the two 13 sources correlate with one another. 14 Not exactly. DR. PHELAN: And our 15 plan was when we do the reliability testing to 16 further identify why the Epic pull didn't quite 17 match the Sunguest pull. And when we were in the midst of the 18 19 research project we were doing it, but when the 20 research project ended, you know, when funding 21 dries up there's no more anyone willing to do any 22 more work on it. **NEAL R. GROSS**

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1	But we were identifying, we were going
2	through month by month to try to see why Epic
3	included some cases and Sunquest didn't. And I
4	actually have a meeting this afternoon to talk to
5	our business intelligence people and Sunquest, I
6	finally got them in the room together, to look at
7	why we're potentially not having an exact
8	correlation.
9	But if I remember correctly the numbers
10	were off by 1 or 2 percent. So the gross hemolysis
11	for 2014 was 4 percent in Epic and 3 percent in
12	Sunquest.
13	And I don't know if it was the data asks
14	were a little bit different. So we're trying to
15	ask if they can get the same data ask. You know,
16	we want just main campus ED, just the month of
17	January, all potassiums minus point of care
18	potassiums. Because point of care potassiums
19	don't show up on the main lab data system, on the
20	Sunquest data system.
21	CO-CHAIR SEPTIMUS: Okay, just to keep
22	everybody the denominator here is all patients
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1	who come to the ED and who the potassium is ordered.
2	And the numerator includes patients in whom a lab
3	potassium sample is reported as hemolyzed,
4	correct?
5	DR. PHELAN: Correct.
6	CO-CHAIR SEPTIMUS: Okay, I just
7	wanted to make sure everyone understood what the
8	eMeasure is. Okay, Yanling and then Steve.
9	MEMBER YU: Yes, thank you. I guess
10	I'm confused about the denominator and how it
11	defines uses.
12	I'm looking at the article that you
13	cited about in a previous section of the
14	proposal.
15	This article cited the effectiveness of
16	practice to reduce blood sample hemolysis in EDs,
17	a laboratory medicine best practice systematic
18	review and meta analysis that's recorded in the
19	developer's documentation.
20	But the conclusion is to use a new
21	straight needle venipuncture instead of IV to start
22	is an effective way to reduce hemolysis rates in
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1 the ED.

2	That seems like this documentation
3	shows nothing about whether it's a potassium sample
4	or not. So, maybe I'm just confused. It seems
5	like it's how you draw the blood rather than whether
6	it involved just potassium sample. Am I wrong on
7	that?
8	DR. PHELAN: No, no, you're right on.
9	It's the technique of the blood draw that
10	contributes to the hemolysis.
11	And the laboratory medicine's best
12	practices looked at hemolysis in general. There
13	are 39 different lab tests that are affected by
14	hemolysis, many of which I don't care so much about.
15	The one I care most about is the potassium.
16	It's a frequently used test and we often
17	get the report out that it's hemolyzed some way.
18	And I have to alter my practice or delay the care
19	to my patient based on that result.
20	So it does affect other lab tests like
21	bilirubin, type and screen, coagulation studies.
22	I specifically focused on ED hemolysis because I
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1 wanted a pretty simple measure to capture and results can be captured easily. 2 3 CO-CHAIR SEPTIMUS: So another analogy here, and Steve is next. One of the things that 4 5 organizations blood culture measure is most contamination rates. 6 7 And one of the highest areas of blood 8 culture contamination rate happens to be the ED. And it directly relates to how blood is drawn. 9 10 And this is just an analogy. So people 11 track that. And when they see a high blood culture 12 contamination rate they have an intervention. And I think that's sort of what we're 13 14 hearing here. We have a high hemolysis rate. 15 There are best practices for how blood is drawn, 16 and maybe what size tube it's drawn in. And that 17 hospitals can track that. And it does have an 18 impact on the validity of a number of laboratory 19 tests. 20 I'm just trying to give you some 21 parallels here. So Steve and then Albert. 22 Going back to your MEMBER LAWLESS: **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

150 1 specifications about your numerator, two questions. 2 One, are you talking about the Epic lab 3 system, LIS system versus the Sunquest LIS system? 4 5 Or Epic pulling from Sunquest? Because it's just 6 a query pull. 7 And the second question is about the 8 There's hemolysis and then there's numerator. 9 hemolvsis. Both the laboratory person who's 10 looking at the specimen versus the machine in the 11 lab may say hemolysis. How do you specify or 12 quantify the degree of hemolysis to be clear on 13 this? 14 So, your first question DR. PHELAN: 15 was again? 16 MEMBER LAWLESS: There's Epic an 17 laboratory information system and there's also the 18 Sunguest lab information system. 19 Is your differences between that, that 20 you're testing one LIS system versus another? Or 21 are you saying Epic's pull from Sunguest is showing a difference? 22 **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701

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1	DR. PHELAN: I think it's the latter.
2	Our institution did not acquire Oliver or whatever
2	the lab information system from Epic was.
4	So, data is pulled or pushed into Epic
5	from Sunquest, and it populates a field. I can go
6	to my business intelligence which I have to admit
7	is quite an oxymoron at least where I'm at, and say
8	can you pull me data on these patients. And it's
9	a struggle to get that data. That was one of my
10	largest things.
11	Lab medicine, the week I got the grant,
12	through their software system and their through
13	their Alto software, I just specified every ED
14	patient that gets a lab draw test from this period
15	to this period, and I want it on a monthly basis.
16	They gave me that almost immediately.
17	And it correlated the first time we
18	got it we hand went through it. We're like yes,
19	there's about that many. We were off by one
20	patient maybe and we never could find out why we
21	didn't have that one patient.
22	But when you were looking at twenty or
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thirty thousand lab specimens it didn't matter. 1 Ι was happy that the Sunquest lab information system 2 3 gave me data right off the bat. Epic is data that Sunguest pulls in 4 5 through some middleware, and I'm not sure exactly 6 how, it populates in Epic and then I have to get 7 a SQL programmer to say, well, what do you want. 8 Okay, I'll pull all the lab tests for you. And then 9 they pulled some point of care lab testing that I didn't want. 10 And so we went with multiple 11 iterations. 12 It was not the lab information system 13 that you can purchase with Epic. So it was just 14 standalone Epic versus Sunquest lab information 15 system. 16 Our Epic as a medical record is ONC 17 certified and our lab information system Sunquest 18 is ONC certified. So we can pull from either one. 19 I would start with our lab information system and 20 use that as the gold standard. 21 CO-CHAIR THRAEN: So I just want to 22 refocus you on this question about how you decide **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS

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1 that something has been hemolyzed. There's like three different ways to say this term. 2 Because 3 it's qualitative in nature. At our lab they provide 4 DR. PHELAN: 5 you with a hemolysis index. And based on that 6 hemolysis index if it's between 30 and 80 they just 7 give out a report, there's no hemolysis. 8 Between 80 and 300 they call it 9 moderately hemolyzed. They give you a result, but 10 they also put a comment in the comment field that 11 says hey, be cautious in interpreting this. It's 12 not 100 percent that it's right on the money, but 13 it's there. 14 If the lab sample has a hemolysis index 15 of greater or equal to 300 there will be no result 16 in the result box and it will say grossly hemolyzed. 17 Please resend the sample. 18 MEMBER LAWLESS: So which one do you 19 use for this numerator? 20 DR. PHELAN: What's that? 21 MEMBER LAWLESS: Which of those three 22 grades do you use in the numerator? **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701

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DR. PHELAN: I use a combined HK which 1 is moderately hemolyzed and GK which is grossly 2 3 hemolyzed. I use the total hemolysis as the don't separate out 4 numerator. Ι qross and 5 moderate. 6 Because anytime you're having 7 hemolysis it potentially could affect your result. 8 CO-CHAIR SEPTIMUS: Okay, so here's 9 where we want to say are the data elements clearly 10 defined. 11 And I think one of the questions is not 12 the denominator. The question is are all ED 13 laboratories able to give us percent -- well, based 14 on your definition of moderate to severe, is that 15 something that's commonly reported and commonly 16 done. 17 DR. PHELAN: No, hence we did a total 18 hemolysis. So all they would have to do is provide 19 you with whatever hemolysis rate they're getting. 20 CO-CHAIR SEPTIMUS: So, I guess during 21 this trial period as you test this more we might 22 get a better sense as to how good that numerator **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS

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155 is and the definition of the numerator? Because 1 I think that's the soft point here. 2 3 It's not the concept and it's not the denominator, it is whether or not this measure is 4 5 reliable across other multiple systems to give us reliable data that's actionable so that this can 6 7 be tracked and action can be taken to reduce 8 hemolysis. Am I expressing that okay? DR. PHELAN: Perfect. 9 10 CO-CHAIR SEPTIMUS: So why don't we go 11 ahead and let's vote on the specifications in terms 12 of reliability. 13 MS. QUINNONEZ: Voting is now open for 14 measure 2983 for the measure specifications for 15 eMeasure approval for trial use. 16 Option number 1 is high. Option number 17 2 is moderate. Option number 3 is low. And option 18 number 4, insufficient. 19 DR. PHELAN: And can I say one thing 20 before you continue? ED hemolysis and hemolysis 21 in general is monitored by every single lab system 22 across the country. They all know about it. They **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

1 all know it's a problem.

The exact definitions may 2 be off 3 because everyone has a different machine, or different things, but they all measure hemolysis 4 5 and they all know ED is their number one source of 6 hemolyzed lab specimens in their system. 7 CO-CHAIR SEPTIMUS: Appreciate that 8 clarification. Obviously we'd like to have the measurement be consistent across most EDs. 9 10 thanks for that clarification But. 11 because you're right. It's like blood culture 12 contamination, they all monitor it. Blood culture 13 contamination is a little more straightforward I 14 think than how they monitor hemolysis. 15 DR. PHELAN: I agree. 16 CO-CHAIR SEPTIMUS: But I understand 17 what you're saying. So let's go ahead and let's 18 start over again. 19 MS. QUINNONEZ: Okay, voting is now 20 open for measure 2983 on the measure specifications 21 for eMeasure approval for trial use. 22 Option 1, high. Option 2, moderate. **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701

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1	Option 3, low. And option 4, insufficient.
2	All votes are in. Voting is now
3	closed.
4	For the measure specifications of 2983
5	16 percent voted high, 84 percent voted moderate,
6	zero percent for low and zero percent insufficient.
7	CO-CHAIR SEPTIMUS: Okay, so we're
8	obviously not going to do validity, but we are going
9	to talk about reliability and usability
10	feasibility. Whatever, you know what I'm talking
11	about. Feasibility and usability. So, Iona.
12	CO-CHAIR THRAEN: So, feasibility, as
13	you've already heard most or all lab systems have
14	the capacity.
15	This is an eMeasure that can be easily
16	pulled. Not without it sounds like some cleaning
17	and some clarification that needs to happen
18	depending on what system you're talking about.
19	But if you're dealing directly with
20	your lab systems it sounds like that's an easier
21	path than your EHR. So I think it's highly
22	feasible.
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158 1 CO-CHAIR SEPTIMUS: Seeing no comments let's go vote. 2 3 MS. QUINNONEZ: Voting is now open for the feasibility of measure 2983. 4 5 Option 1, high. Option 2, moderate. Option 3, low. And option 4, insufficient. 6 7 All votes are in. Voting is now 8 closed. For the feasibility of measure 2983 61 9 10 percent voted high, 39 percent voted moderate, zero 11 percent for low and zero percent insufficient. 12 CO-CHAIR SEPTIMUS: Michelle, are you 13 still on the line? 14 MEMBER SCHREIBER: Yes, sir. 15 CO-CHAIR SEPTIMUS: Okay, we don't 16 want to forget you. So if you need to raise your 17 hand can you email Drew so we can make sure that 18 you're recognized, okay? 19 MEMBER SCHREIBER: Yes, thank you. 20 CO-CHAIR SEPTIMUS: Ι should have 21 asked you that before, but thanks. 22 So the next one is going to be **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

usability. 1

2	CO-CHAIR THRAEN: So it's not
3	currently being used publicly or for
4	accountability, but they do plan to recommend this
5	for accountability in the future.
6	They also indicated that their planned
7	use includes public reporting, public health
8	disease surveillance, quality improvement with
9	benchmarking and quality improvement in internal
10	benchmarking across organizations. So they have
11	very strong hopes to use this in the future for many
12	uses.
13	MEMBER ARDIZZONE: Can I ask a
14	question? Just a practical question to the
15	developer. I see unintended consequences. Is
16	there any price difference for a 2cc vial versus
17	a 6cc vial? Or any sort of those things that maybe
18	small EDs can't, you know, manage, or workflow
19	changes?
20	DR. PHELAN: Not that I'm aware of. I
21	think they're equivalent. If you'd like I can
22	actually reach out to our nurse director to see if
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1	there is a sect difference between a fml tube and
	there's a cost difference between a 6ml tube and
2	a 2ml tube.
3	My impression is because it's smaller
4	it should probably cost less. Less glass, less
5	plastic.
6	MEMBER ARDIZZONE: Not necessarily.
7	DR. PHELAN: I know, I know.
8	MEMBER ARDIZZONE: Does it change the
9	measure? I was really just interested if there's
10	some sort of performance change out of this that
11	should be adopted nationwide can every institution
12	conceivably apply it.
13	DR. PHELAN: I have a feeling after
14	going through what I went through that most EDs are
15	going to opt for the least amount of resistance
16	which is replacing their 6ml tubes with 2ml tubes
17	because it works great.
18	And there's very little up front
19	communication that has to be done other than with
20	labeling process and things like that.
21	If you're changing to a straight stick
22	process there may be an increase in cost there.
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1	And I'm not sure how, but because you're doing a
2	two-stick process. You're doing one stick with a
3	straight stick needle and drawing the labs out.
4	Then you're adding more equipment by putting an IV
5	in.
6	So the less equipment used by doing IV,
7	there may be less cost associated with it, even if
8	there's a potential increase in the cost of a
9	smaller tube.
10	But if the committee would like I can
11	reach out to our nurse director and our purchase
12	person and find out if there's a cost differential
13	between the large and the small tubes.
14	CO-CHAIR SEPTIMUS: So, these comments
15	about usability. So we're supposed to look at
16	MEMBER ARDIZZONE: I thought that was
17	considered a potential harm or unintended
18	consequences.
19	CO-CHAIR SEPTIMUS: Well, that was the
20	other thing, potential harm or unintended
21	consequences.
22	MEMBER ARDIZZONE: Yes.
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162 1 CO-CHAIR SEPTIMUS: So, Charlotte and then Kendall and then Yanling. Charlotte. 2 3 MEMBER ALEXANDER: Just for my information because I don't know oftentimes when 4 5 you're drawing lab works you're getting more than 6 just the potassium. 7 So is the 2cc tube a sufficient sample 8 that you can get the other tests you need to get? 9 Or do you have to get another tube to get enough 10 blood to get the other tests? 11 CO-CHAIR SEPTIMUS: Developer, did you 12 hear that question? 13 DR. PHELAN: Yes. We draw three 2ml tubes where we used to draw three 6ml tubes. 14 And 15 we've had no problems with the sufficiency of the 16 material. 17 Now, I can't speak to other lab systems 18 and other machines, but from our perspective I 19 haven't had a problem. 20 It was an argument whether we needed one 21 more tube because of a request for more tests. 22 Like there's an add-on that happens in the **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

163 1 emergency department. You're working someone up and you want to add something on. 2 We looked at it for about a month and 3 there was not an increased request for add-ons 4 5 during that time period at our lab. 6 We went from considering four 2ml tubes back down to three, and we've just kept at three 7 8 since, and I haven't heard a problem since. 9 MEMBER WEBB: So, Ι just want to 10 actually answer from my knowledge base where the 11 extra cost would be is I can tell you if we went 12 to a 2ml system we would need to change all of our 13 lab label printers, and all of the interfaces that 14 qo to those lab label printers because our lab 15 labels are for a 6ml tube. So it's not just the 16 cost of the tube, it's the cost of the EMR at this 17 point, and the equipment that goes with the EMR 18 potentially. So that would just be something sort 19 of outside the box. 20 CO-CHAIR SEPTIMUS: Yanling. 21 MEMBER YU: Thank you. The question, 22 two short questions for the developer. **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

First of all, the planned use includes 1 public reporting. So my first question is what do 2 you have in mind about the public reporting. 3 Any future plans? Just share your ideas. 4 5 And a second question is for quality 6 improvement as you explained that this could cause 7 harm and could have bad patient outcome. So do you 8 have anything in your mind that could -- down the 9 road to tie to some outcome measure, you know, go 10 from this eMeasure. Thank you. DR. PHELAN: 11 The first thing on the 12 public reporting. Because it's such a ubiquitous 13 problem I could actually see it as being a nursing, 14 an ED, or a hospital measure. 15 And because it significantly affects 16 patient throughput and I think ED throughput, it's 17 still one of the core measures that they're looking 18 at in either IPPS or OPPS. I think it's the 19 Outpatient Perspective Payment System. 20 I definitely see it as impacting things 21 like patient throughput. Because when you start 22 duplicating work and redoing it, and from an **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701

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1 efficiency perspective with more and more focus on the cost of what it does, having the cost and the 2 labor cost distributed and needed to move around, 3 significant this having a impact 4 Ι see on 5 throughput and efficiency all around. Okay, I think 6 CO-CHAIR SEPTIMUS: 7 we're ready to vote on usability. 8 MS. QUINNONEZ: Voting is now open for the usability and use of measure 2983. 9 10 Option 1, high. Option 2, moderate. 11 low. And option 4, insufficient Option 3, information. 12 13 All votes are in and voting is now 14 For usability and use of measure 2983 24 closed. 15 percent voted high, 76 percent voted moderate, zero 16 percent for low and zero percent for insufficient 17 information. 18 CO-CHAIR SEPTIMUS: Okay, so I think 19 that's the last question for this because we're not 20 voting on endorsement. So we want to thank the 21 developer for a -- oh. Was there one more 22 question? Oh, I'm sorry. **NEAL R. GROSS**

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1	Okay, approved for testing. Trial
2	
	use.
3	MS. QUINNONEZ: Voting is now open for
4	overall suitability for eMeasure approval for
5	trial use of measure 2983.
6	Option number 1 is yes. Option number
7	2 is no.
8	All votes are in. Voting is now
9	closed. For the overall suitability for eMeasure
10	approval for trial use for measure 2983 100 percent
11	voted yes.
12	CO-CHAIR SEPTIMUS: Okay, so Pat has a
13	comment, then we're going to go to public comments,
14	and then we're going to go to lunch early. And I'll
15	tell you when we're going to restart. Everybody
16	with it? Pat.
17	MEMBER QUIGLEY: Thank you, and I will
18	be brief. This is Pat Quigley's voice for the
19	developer on the call.
20	I just want to applaud you and thank you
21	for all that you did to teach us as you went through
22	this.
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I just hear your joy of improvement and 1 your joy of interdisciplinary involvement and 2 working with the nursing staff there as well as the 3 lab staff. And I just want to thank you so much. 4 5 DR. PHELAN: Oh, you're welcome, that 6 was nice of you to say. And it was really a team 7 effort. I give most of the credit to Emory Kavach 8 because she was our nurse director in the ED at the time. 9 10 And she just bought hook, line and 11 sinker into this without a whole lot of effort on 12 my part. I mean, I was really shocked because I 13 was expecting a lot of pushback. But they also 14 pushed back to doing the straight stick so I was 15 a little upset at that, but it turned out great 16 regardless. And it was a great team effort with 17 lab, ED and nursing. It really was. 18 MEMBER QUIGLEY: Thank you. 19 CO-CHAIR SEPTIMUS: Lillee? Okay, 20 public comment. Operator? 21 OPERATOR: Okay, at this time if you 22 would like to make a comment please press * then **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701

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1	the number 1. At this time there are no public
2	comments.
3	CO-CHAIR SEPTIMUS: Okay. So, we'll
4	finish with Lillee and then we're going to go to
5	lunch. And then let's try to come back at 12:30
6	and we'll try to make sure that we finish on time
7	and get all of our business in. Lillee.
8	MEMBER GELINAS: We were mentioning at
9	dinner last night, and thank you for that very much,
10	that there are several changes to the biographies
11	for this committee that are needed.
12	Organizations, titles, phone numbers, the whole
13	nine yards.
14	And I know we're going to start losing
15	people soon. So I don't know what the protocol and
16	process is for NQF, but I just wanted to make sure
17	maybe over lunch we could get that done.
18	MS. QUINNONEZ: That would be awesome.
19	Actually, if you could send your new biographies
20	and titles to the patient safety email box we'll
21	go ahead and update that for you. Thank you.
22	CO-CHAIR SEPTIMUS: Thanks for
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1	bringing that to our attention. Okay, lunch is now
2	served.
2	(Whereupon, the above-entitled matter
4	
	went off the record at 11:59 p.m. and resumed at
5	12:30 p.m.)
6	CO-CHAIR SEPTIMUS: Our next measure
7	is going to be 3025 Ambulatory Breast Procedure
8	Surgical Site Infection Outcome Measure. And the
9	CDC is the developer and they are on the line. And
10	Dr. Alexander will be the discussant. And I'll
11	turn this over to Iona for the first part to
12	moderate.
13	So, the CDC developer, can you announce
14	yourself, and tell us your name, and then go over
15	your measure?
16	DR. POLLOCK: Yes, this is Daniel
17	Pollock at CDC in Atlanta. We're very pleased to
18	work with you today on the measure proposal we
19	submitted.
20	It's a proposed measure that we
21	co-developed with the Ambulatory Surgery Center
22	Quality Collaboration and also the Colorado
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1	Department of Public Health and Environment.
2	The measure itself provides a summary
3	statistic. In accordance with other CDC stewarded
4	measures we're using the standardized infection
5	ratio to summarize the observed to predicted
6	surgical site infections following breast
7	surgeries in ambulatory surgery centers.
8	This is a risk-adjusted measure. It's
9	an outcome measure. And this is a procedure with
10	SSIs that was selected because it is breast
11	procedures are the highest volume surgical
12	procedure reported to CDC's National Healthcare
13	Safety Network from ambulatory surgery centers.
14	And in the data that we have on the
15	procedures that have been reported in from
16	ambulatory surgery centers breast surgeries pose
17	the highest risk of infection.
18	So it's a high-value target with
19	prevention opportunities that has been developed
20	in concert with the two organizations I've
21	mentioned.
22	We currently are in the process of
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1 building into NHSN a new outpatient procedure And the idea will be to have this 2 component. 3 particular measure serve as an initial measure of SSIs to be followed by other SSI measures that would 4 5 be pertinent in the ambulatory surgery center 6 I'll stop there. arena. 7 Hi, Dan. CO-CHAIR SEPTIMUS: This is 8 I just want to say hi. Ed. 9 DR. POLLOCK: Hey Ed. 10 CO-CHAIR SEPTIMUS: Is this the first 11 ambulatory measure that you've come up with? is the first 12 DR. POLLOCK: This 13 ambulatory surgery center SSI measure that we have 14 come up with, yes. 15 CO-CHAIR SEPTIMUS: That's what Т 16 thought. Thank you. 17 DR. POLLOCK: Yes. 18 CO-CHAIR THRAEN: Okay. We're 19 switching. So Charlotte, you are the lead on this. 20 MEMBER ALEXANDER: So, the description 21 was beautifully done by Mr. Pollock. And the level 22 of analysis is at the facility. **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W.

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The evidence is not overwhelming 1 in volume but fairly consistent and is consistent with 2 3 guidelines that we have for inpatient facilities as well, that the surveillance and reporting back 4 does show a decrease in the instance of infections. 5 There is a CDC draft guideline for the 6 7 prevention of surgical site infections which they 8 have cited. And there are some articles also that they've cited. 9 The risk that's been identified has 10 11 been listed as being as high as 30 percent which 12 is a significant risk. 13 And as we know infections anywhere can 14 significantly burden the system both financially 15 as well as impact on the patients. 16 There was a five-year study of surgical 17 site infections in the ambulatory surgical arena 18 which showed a rate of about 2.8 per 100. 19 There's not been consistency in the 20 rates that have been reported, but certainly they 21 have been high enough to be of concern. 22 So I think that the data is there to **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701

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173 1 support the measure. CO-CHAIR THRAEN: Any questions, 2 3 comments? Let's vote. CO-CHAIR SEPTIMUS: So we're voting on 4 5 the evidence. MS. QUINNONEZ: Voting is now open for 6 7 the evidence of measure 3025. 8 Option number 1, yes. Option number 2, 9 no. 10 CO-CHAIR SEPTIMUS: Just to remind you 11 this is an outcome measure. That's why you're 12 seeing this different. 13 MS. QUINNONEZ: Just to repeat, this is 14 the vote for measure number 3025 Ambulatory Breast 15 Procedure Surgical Site Infection, the SSI 16 measure. 17 And option number 1 is yes and option number 2 for evidence is no. 18 19 Okay. All votes are in and voting is 20 now closed. The result for the evidence of measure 21 22 3025 is 100 percent voted yes. **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

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1	CO-CHAIR THRAEN: All right,
2	performance gaps.
3	MEMBER ALEXANDER: So, breast
4	procedures compose almost 50 or 46 percent of the
5	procedures done in ambulatory surgical centers.
6	And they comprise almost 55 percent of
7	the reported infections.
8	The SSI risk is about 0.25 percent. So
9	it's the highest volume procedure being performed
10	and it has the highest risk of a procedure in an
11	ambulatory surgical center.
12	This is particularly disturbing
13	because as we look at the trend in healthcare we're
14	moving more and more toward ambulatory procedures
15	and away from inpatient procedures. So, I think
16	this is a growing population that we really need
17	to address.
18	They did stratify by age and gender, and
19	showed disparities there. So I think there is an
20	opportunity for improvement that's well
21	demonstrated.
22	CO-CHAIR THRAEN: Questions.
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175 MEMBER WU: Could you just clarify, is 1 that 0.25 percent or 25 percent? 2 0.25. 3 MEMBER ALEXANDER: MEMBER WU: One in four hundred cases 4 5 there's a surgical site infection? 6 MEMBER ALEXANDER: Correct. So, 7 ambulatory surgical infection rates are about half 8 of the inpatient surgical infection rates. So inpatient is about 4 percent and ambulatory is 9 10 about 2 percent. 11 And if you look at then the risk of a 12 breast patient getting an infection that's what the 0.25 is. 13 14 Up here it says -- it's 2.5 MEMBER WU: 15 or 0.25? 16 MEMBER ALEXANDER: It says 0.25. 17 MEMBER WU: Do we know what the actual 18 numbers are? I was just confused further. Is it 19 4 percent and 2 percent, or is it 0.2 percent and 20 0.4 percent? 21 MEMBER YU: No, 78 divided by 30,787 22 you get exactly 0.25 percent. **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

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1	CO-CHAIR SEPTIMUS: Dan, do you hear
2	the question?
3	MEMBER WU: What's the general rate of
4	infection for breast procedures, for example, in
5	maybe inpatient, and then is that much higher in
6	ambulatory, and is that higher than for other
7	procedures?
8	DR. POLLOCK: I don't have in front of
9	me the data on breast procedures among inpatients,
10	but in terms of the relative risk compared to other
11	types of procedures in ambulatory surgery centers
12	among the procedures that have been reported into
13	NHSN breast procedures have the highest risk of
14	surgical site infection.
15	So they're a high-volume and relatively
16	speaking high-risk procedure for an SSI in the
17	ambulatory surgery center data that we have in
18	NHSN.
19	And we have over 30,000 breast
20	procedures reported in for the study period with
21	78 infections detected, reported in. So it's
22	about 0.25 percent is the risk.
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1	CO-CHAIR SEPTIMUS: Dan, this is Ed.
2	So, I think if I remember the specs on this you're
3	not just confined to deep organ space. This is
4	also superficial, is that correct?
5	DR. POLLOCK: No, this is deep organ
6	space. I'm sorry, it is superficial and deep organ
7	space.
8	CO-CHAIR SEPTIMUS: So that's a little
9	different. And the reason I ask you is that could
10	the rate of 0.25 if you consider that we have a hard
11	time capturing the superficial could the rate
12	actually be higher?
13	DR. POLLOCK: Well, there are many
14	reasons why it could be higher. These are the
15	infections that are reported in.
16	We all know that some of the most
17	challenging parts of surgical site infection
18	surveillance are capturing the infections in the
19	outpatient phase of care.
20	And by definition ambulatory surgery is
21	done same-day surgery. So there is always going
22	to be an outpatient surveillance challenge in
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1 ambulatory surgery centers.

So, our conjecture is that the 0.25 2 3 percent is probably a low estimate of the true extent of the infection burdens being placed. 4 5 CO-CHAIR THRAEN: Steve and then 6 Yanling. 7 MEMBER LAWLESS: Two questions. In 8 your stuff you sent to us that rate was 0.25 but it went up to almost 28 per 1,000. So it's up to 9 10 2.8. 11 Is there a reference to that, or that 12 variability? You're saying 0.25, but you also 13 have said up to 2.8. Well, there's literature 14 DR. POLLOCK: as well as data that have been reported to NHSN. 15 16 So we've done our best to summarize the literature 17 as well as provide the actual surveillance data 18 that we've received during the study time period. 19 MEMBER LAWLESS: Okay, thank you. 20 MEMBER YU: Maybe just a comment. Ι 21 know in our state, in Washington the medical board 22 is trying to draft up a policy that requires **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS

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179 ambulatory surgical infection be reported 1 by physician. 2 3 Some of the cases came to us are exactly woman getting infected because of breast surgery 4 5 in ambulatory centers. 6 The question we are wrestling at the 7 time I remember was about what time window that 8 physicians are required to report. So I wonder do you have any thoughts on 9 10 how you can define this superficial or deep 11 infection based on the time window. 12 DR. POLLOCK: Yes, good question. So, 13 we have specified that a 30-day time window for the superficial surgical site infections and a 90-day 14 15 time window for the deep in organ space surgical 16 site infections. 17 So, I just want to CO-CHAIR THRAEN: 18 point out for those of you that may or may not know 19 ambulatory surgical centers are the freestanding 20 surgical centers. And they have not historically 21 reported in national terms what their rates are. 22 They do report, often many of them do **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS

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180 1 report to their association. And they do have an accreditation body that they report their findings 2 3 to. And it varies by state. In Utah they 4 5 are required to report sentinel events to us, but 6 not quality measures. 7 And so this is sort of another wild, 8 wild West world experience stepping into that environment and asking them to share their and 9 10 become transparent on their outcomes as well as 11 what we've done historically with hospitals and 12 skilled nursing facilities. 13 Charlotte. 14 So I have a question MEMBER ALEXANDER: 15 for the developer. I know that most of the time 16 the reporting is done voluntarily by the physician. 17 So a survey or a questionnaire is sent to the 18 physician asking if he has infections. 19 It's that not super common the 20 infections come back into the facility. 21 In the inpatient world if I have a 22 surgery and it gets infected and they go to another **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701

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181 hospital there's a very good collaboration between 1 hospitals as far as reporting a complication that's 2 3 come in at a second hospital. way that there is 4 Is there а а 5 communication between the inpatient world and the 6 ambulatory surgery world where they can report infections that come in that are secondary to an 7 8 ambulatory surgery procedure? 9 DR. POLLOCK: That's a great question. 10 Certainly there are ways for that to happen and it 11 does happen now. 12 There are ways to incentivize that type 13 of communication. We're keenly interested in 14 helping to incentivize that type of communication. 15 And much depends right now on the local 16 practice and the network of infection prevention 17 personnel in hospitals and their connections with 18 the ambulatory surgery centers in their community. 19 So it varies. 20 But over the long haul we definitely see 21 opportunities invigorate to those types of communications 22 and would value any type of **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701

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1 opportunity to ramp that up as soon as we can. think this is 2 We а step in that 3 direction, that when we move into the quality measure arena on top of the six or seven states that 4 5 require already SSIs to be reported from ASCs to 6 NHSN. 7 trajectory We're on а where the 8 visibility of surgical site infection in the 9 ambulatory surgery center area is increasing and 10 it's a problem as one of the previous comments 11 alluded to that we know less about than we do and 12 particularly with respect to SSIs in the inpatient 13 arena. 14 And with the increasing volume of 15 procedures done in the outpatient setting it's very 16 important for us to bring under surveillance the 17 high-volume high-risk procedures to begin with and 18 move from there. 19 CO-CHAIR THRAEN: Shall we vote? 20 MS. QUINNONEZ: Voting is now open on 21 performance gap for measure 3025. 22 Option number 1, high. Option number **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS

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183 2, moderate. Option number 3, low. And option 1 number 4, insufficient. 2 3 All votes are in. Voting is now closed. 4 5 For the performance gap of measure 3025 6 37 percent voted high, 63 percent voted moderate, 7 zero percent for low and zero percent for 8 insufficient. 9 CO-CHAIR THRAEN: Charlotte, 10 reliability. MEMBER ALEXANDER: 11 There was not 12 reliability testing done on the measure or on the 13 data. 14 There was reliability testing that was 15 done on the risk stratification. 16 The model that they used was one where 17 they focused on procedures from selected surgery 18 centers in Colorado for the period of January to 19 December. 20 They chose the surgery centers that had 21 a minimum of 100 patients volume in breast 22 procedures during that year's time. **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

184 1 Thev then looked for overand under-reporting discrepancies and omissions. 2 3 There was no under-reporting that they found. There was one over-reporting that was a 4 5 definition issue. They had five facilities that entered 6 7 total procedure duration for bilaterals rather 8 separating them than out separate as two 9 procedures. 10 They had a high percentage of the 11 facilities where the procedure duration was 12 incorrect. It was actually 95 percent where it was 13 incorrect. 14 using protocol They had been а 15 definition that had been in place prior to 2014. 16 The measure year was 2014 and so it had just been 17 changed over and the facilities had not done that 18 change. 19 They felt it was highly reliable in 20 identifying the SSIs because there was no 21 under-reporting. But there was no testing. 22 MR. LYZENGA: We considered that to be **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701

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1	data element testing, reliability testing, or
2	validity testing, the analysis that they did.
2	MEMBER ALEXANDER: Okay, good.
4	CO-CHAIR THRAEN: FYI, Colorado's ASCs
5	are very proactive in this area so I'm not surprised
6	that it was done in Colorado. They're much more
7	organized in that state than any of the other states
8	that I've seen over the years.
9	Any questions? Go ahead, Pat and then
10	Laura.
11	MEMBER QUIGLEY: Thank you. This is
12	Pat Quigley's voice for the developer. Thank you
13	for those comments.
14	And I'm asking a question on behalf of
15	Dr. Kimberly Applegate who was not able to be with
16	us today. And she asked that we ask.
17	She's a radiologist, a pediatric
18	radiologist and director of practice quality
19	improvement in radiology at Emery University.
20	And her question in relationship to
21	reliability is if in testing the reliability of
22	the procedures is if the procedures were
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186 1 image-quided procedures that were done. DR. POLLOCK: Unfortunately we don't 2 3 have that information. We do know simply that these were breast procedures in ambulatory surgery 4 5 centers that met procedure code criteria. And we have ICD and CPT procedure codes. 6 7 And the time period that this was done 8 the procedure codes did not -- were not captured at a level where even if they did provide the 9 10 information about imaging we don't collect that. 11 MEMBER QUIGLEY: Thank you. 12 MEMBER ARDIZZONE: Can I just clarify 13 because I overheard some of that conversation? 14 She also wanted to make sure that the CPT codes and 15 the ICD-10 codes that you captured would have MRI, 16 like needle localizations, all those other breast 17 procedures that are radiology assisted. 18 Because she read through your CPT and 19 ICD-10 list and didn't see that those were 20 captured. 21 DR. POLLOCK: My colleague, Kathy 22 Bridson, just pointed out that needle aspirations **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS

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if this is the issue that we're talking about, if 1 they don't have an incision they don't qualify for 2 inclusion in the code list that we provide for 3 facilities to use and that Colorado uses. 4 5 They don't meet our MS. BRIDSON: 6 definition of an operative procedure if they don't 7 have an incision. 8 CO-CHAIR THRAEN: Repeat that. MS. BRIDSON: Our NHSN definition of an 9 10 operative procedure is one that involves an 11 incision, not a percutaneous or a needle --12 MEMBER ARDIZZONE: Right. I think if 13 I can clarify again -- again, I'm speaking for 14 somebody else -- that it wasn't just -- that 15 sometimes there's a combined procedure. So an MRI 16 needle localization, and then you do a lumpectomy, 17 or a sentinel lymph node biopsy afterwards. 18 So I guess what you're saying is if 19 there's a procedure associated with the radiology component of it it would be captured in the CPT 20 21 coding. 22 DR. POLLOCK: Yes. If there's an **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

188 operative procedure it would be captured in the CPT 1 coding, but not the imaging per se. 2 Got it. 3 MEMBER ARDIZZONE: But it would be captured if there an incision 4 was 5 afterwards. 6 DR. POLLOCK: Correct. 7 CO-CHAIR THRAEN: Other questions? 8 All right, let's vote. Reliability. 9 MS. QUINNONEZ: Voting is now open for 10 the reliability of measure 3025. 11 Option number 1, moderate. Option 12 number 2, low. Option number 3, insufficient. Option number 1, moderate. Option 13 14 number 2, low. Option number 3, insufficient. 15 If you need to change your vote the 16 clicker will capture the last number that you 17 choose. 18 All votes are in. Voting is now 19 closed. 20 For the reliability of measure 3025 60 21 percent voted moderate, 25 percent voted low, 15 22 percent voted insufficient. **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

189 1 CO-CHAIR SEPTIMUS: I have Dan, а question for you. It says you exclude very elderly 2 3 patients. DR. POLLOCK: Yes. 4 5 CO-CHAIR SEPTIMUS: And it says there 6 at the top ages 18 to 108. 7 DR. POLLOCK: Correct. 8 CO-CHAIR SEPTIMUS: So, what's very elderly? We're having this discussion about the 9 10 definition of elderly. 11 DR. POLLOCK: Elderly looks to be a 12 higher and higher number every year for some of us. 13 And so we're saying 109 is truly elderly. 14 I'm safe for a CO-CHAIR SEPTIMUS: 15 couple of years. 16 CO-CHAIR THRAEN: All right, SO 17 validity. 18 MEMBER ALEXANDER: So this was a face 19 validity assessment. There was a consensus There were 11 individuals with about 80 20 process. 21 percent concurrence that the measure measures what 22 it's intended to do. **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

190 And the majority agreed as well that it 1 accurately reflects quality. 2 3 Risk factors were assessed and there univariate analysis backward 4 was а and 5 elimination. It ended up using ASA classification 6 7 and age as valid to adjust for the statistics. 8 This was risk-adjusted using a statistical model. They used the Hosmer-Lemeshow with a P 9 10 equal to 0.66. 11 with that And zero shows no 12 correlation. And the range is from zero to 1, so 13 it's more than 50 percent. 14 The SEER was not calculated if the 15 predicted value was less than 0.2. So of 138 16 facilities the SEER was able to be calculated for 17 70. 18 They looked at missing data on the ASA 19 It was about 18 percent. class. 20 They looked at that population compared 21 to the other population and felt that the crude risk 22 in the missing procedures was not significantly **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

191 different from the risk of the others. It was 0.36 1 2 compared to 0.25. And so I think this represents moderate 3 validity. 4 5 CO-CHAIR THRAEN: All right. Pat, did 6 you have a question? 7 MEMBER QUIGLEY: No, I'm so sorry. 8 Thank you. 9 Any questions? CO-CHAIR THRAEN: 10 Let's vote. 11 MS. QUINNONEZ: Voting is now open for 12 the validity of measure 3025. 13 Option 1, moderate. Option 2, low. Option 3, insufficient. 14 15 Option 1, moderate. Option 2, low. 16 Option 3, insufficient. 17 All votes are in and voting is now For the validity of measure 3025 89 18 closed. 19 percent voted moderate, 5 percent voted low and 5 20 percent insufficient. 21 CO-CHAIR THRAEN: Feasibility. 22 MEMBER ALEXANDER: This is generated **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

or collected during provision of care, some of it
 electronically.

The NHSN surveillance protocols, definitions and data collection methods have been used across multiple settings. The collection methods may vary between facilities.

7 There are no fees but you have to be 8 enrolled in NHSN to participate.

9 CO-CHAIR THRAEN: Comments or 10 questions. Yanling.

11 MEMBER YU: Thank you. I know that in 12 Washington State there are sometimes they have to 13 advisory our state committee on 14 hospital-acquired infection, they have to do 15 inspection at a hospital, try to determine whether 16 under-reporting, over-reporting there's and 17 reporting error basically.

18 I'm just wondering whether there's any 19 thought given into it about how those measurements 20 reporting error or under-reporting problem.

21 And also, our state doesn't like SR.
22 We have our own index. So that means there might

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193 be something, differences interpretation of the 1 infection documentation. 2 Do you have any thoughts on that? 3 DR. POLLOCK: 4 Yes, very qood 5 questions. I think clearly with respect to your 6 first comment and question there's plenty of room 7 to improve the comprehensiveness, thoroughness of 8 the post-discharge surveillance. We recognize that this is one of the 9 10 most important and challenging areas in all of healthcare-associated infection surveillance. 11 12 We're keenly interested and we're 13 working very closely both with the clinical community of practice and the ambulatory surgery 14 15 center environment as well as with innovator initiated strategies for connecting with patients 16 17 in SSI surveillance, greater of use 18 telecommunications and follow-up. 19 Speaking of Washington there's a group 20 at the University of Washington in Seattle that has 21 innovated a web-based application called M-Power 22 -- I encourage you to look into it -- that enables **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS

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194 patients in the outpatient phase to report data to 1 the practicing surgeon. 2 of think 3 So Т the future patient-generated health data in post-discharge 4 5 SSI surveillance is very promising. We have a lot of work to do on that 6 7 front, but we're looking forward to working in that 8 area very much. In terms of our use of the standardized 9 10 infection ratio we have found it to be an important 11 way to summarize HAI data across the board. 12 It really is a now very widely used 13 summary metric not only by CDC in its own reports, 14 but also by state health departments in their 15 reports. 16 Thirty-four states require use of NHSN. 17 Almost all of those states have some element of SSI 18 reporting requirement and are making extensive use 19 of the standardized infection ratio when they 20 report their data publicly. 21 We also report on behalf of facilities 22 to CMS both surgical site infection data as well **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701

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as other HAI data summarized using the SIR. 1 So, we think it has important 2 an 3 versatility that enables us to provide risk adjustment in a single summary measure that is of 4 5 value for prevention purposes as well as for 6 quality measurement purposes. 7 We by no means claim that this is the 8 sole way that data can be summarized usefully. We just have found it to be an effective way of 9 10 conveying the data and providing guidance both for 11 prevention and quality measurement purposes. and then 12 CO-CHAIR THRAEN: Tracy 13 Lillee. 14 MEMBER WANG: I'm just curious. Is 15 the ASCs mandated to use the NHSN? And if not what 16 percent of them are using this database, and are 17 they submitting data consistently and regularly? 18 DR. POLLOCK: Could you repeat the 19 question again? 20 MEMBER WANG: Is it mandatory Yes. 21 for the ASCs to send that data to NHSN? 22 Okay. So, there are six DR. POLLOCK: **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701

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1 or seven states that do require ASCs in their jurisdiction to report SSI procedure and SSI 2 3 outcome statistics to NHSN. That's why we have a relatively rich database already of ASC SSI data. 4 5 Colorado is one of the states that has a mandate. 6 So there are states, but most at this 7 juncture do not require ASCs in their jurisdiction 8 to report to NHSN. CO-CHAIR THRAEN: Just in terms of the 9 10 state-based movement health-associated in 11 infections, what Utah has chosen to do is to wait 12 until CMS mandates it and then they mandate it. That's their strategy. I should say our strategy. 13 14 questions Any other or comments? 15 Let's vote. 16 MS. QUINNONEZ: Voting is now open for the feasibility of measure 3025. 17 18 Option 1, high. Option 2, moderate. 19 Option 3, low. Option 4, insufficient. 20 All votes are in and voting is now 21 closed. For the feasibility of measure 3025 15 22 **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

197 percent voted high, 80 percent voted moderate, 5 1 percent voted low and zero percent insufficient. 2 right. 3 CO-CHAIR THRAEN: All Usability. 4 5 MEMBER ALEXANDER: This is currently 6 being reported to NHSN by those states which he 7 mentioned earlier. 8 Also, the Colorado Department of Public Health Patient Safety Program is using this for a 9 10 reporting quality measure. 11 CO-CHAIR THRAEN: I think that also in 12 the report here the plan is to use it for 13 accountability in the future as well. 14 So I think right now unless CMS dictates 15 it CDC actually often doesn't have that kind of 16 authority to dictate that this should be used, but CMS does because of the Medicare reimbursement 17 18 piece and Medicaid. 19 So now it's still state by state, but 20 the more pressure that's put on to say here's a 21 national measure and we want to be able to evaluate 22 like you were indicating earlier that a lot of the **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701

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1 surgery and a lot of the procedures are going to the outpatient side it actually gives some strength 2 3 to states to say we want to move in that direction. Shall we vote? All right, Lisa. 4 5 MEMBER would MCGIFFERT: Ι just 6 reinforce that, that there's -- we work on these 7 issues in a number of states and it is the Wild West. 8 The ASCs will not get all or maybe even most of the 9 surgical centers that are operating out there. 10 So I don't know how many we'll capture, 11 but I definitely am hearing things from CMS, 12 interest in this. 13 And from the consumer perspective more 14 and more people are using these facilities and 15 there really isn't any information out there to 16 compare how these do versus a hospitalization. 17 And I think it's really good to see this kind of 18 measure come forward. 19 CO-CHAIR THRAEN: Ed. 20 CO-CHAIR SEPTIMUS: Dan, you can 21 correct me on this, but those of us who worked on 22 the HHS Action Plan part 2, in fact ASCs were **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701

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1 included.

2	And one of the recommendations that
3	came out of our meeting was reporting into NHSN.
4	As you know, we got somewhat of a pushback from ASCs
5	in terms of the timeline, legitimately so because
6	none of them are really equipped to do this.
7	But I think those of us who worked on
8	that plan, the vision was that eventually they
9	would come online. Is that what your
10	understanding is also?
11	DR. POLLOCK: Yes. I think that
12	you've summarized it very well, Ed.
13	It is a priority. There's a lot of work
14	to do to enable ASCs across the board to report.
15	But we've got I think a reasonable
16	amount of field experience already with the states
17	that have mandated.
18	And I think part of that experience is
19	we want to make sure in the SSIs that we bring under
20	surveillance and use for quality measurement that
21	we're picking the right procedures.
22	And the tradeoff between the burden of
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200 reporting and the yield in terms of data for quality 1 measurement and improvement makes it a worthwhile 2 3 endeavor. And just to speak CO-CHAIR SEPTIMUS: 4 5 for it I think we all as has been stated that the 6 oversight in ASCs are not the same as in hospitals. 7 And by making them more accountable, 8 unfortunately the only way you're going to move the needle is to have a mechanism in place like this 9 10 to hold them accountable for surgical site 11 procedures that make sense will move us closer to 12 that goal. 13 DR. POLLOCK: Agree completely. CO-CHAIR THRAEN: Vote. 14 15 MS. QUINNONEZ: Voting is now open for 16 the usability and use of measure 3025. 17 Option 1, high. Option 2, moderate. 18 Option 3, low. And option 4, insufficient 19 information. 20 All votes are in and voting is now 21 closed. 22 For the usability and use of measure **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

3025 63 percent voted high, 67 percent voted 1 moderate, zero percent for low and zero percent for 2 insufficient information. 3 CO-CHAIR THRAEN: And then finally 4 5 suitability for endorsement. 6 MS. QUINNONEZ: Voting is now open for 7 the overall suitability for endorsement of measure 8 3025. Option 1, yes. Option 2, no. All votes are in and voting is now 9 10 closed. overall suitability For the for 11 endorsement of measure 3025 100 percent voted yes. 12 CO-CHAIR SEPTIMUS: We're far too easy 13 on you, Dan. 14 POLLOCK: Well, we appreciate DR. 15 that. 16 CO-CHAIR THRAEN: All right. We're 17 moving forward into 0450 PSI number 12 from AHRQ. 18 Would the measure developers who are here please 19 join us? MR. LYZENGA: I should note that this 20 21 is the second of our two maintenance measures we're 22 considering during this cycle. **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

202 So this one is eligible to have 1 us 2 forego the discussion and vote on evidence and 3 reliability if you so choose. CO-CHAIR SEPTIMUS: Michelle, you're 4 back on, right? 5 6 MEMBER SCHREIBER: I am back on, thank 7 you. 8 CO-CHAIR SEPTIMUS: Okay, great. Thanks. 9 10 CO-CHAIR THRAEN: And then, Jason, 11 you're the lead on this one. So we'll turn it over 12 to the developers and then Jason will take over from 13 there. 14 CO-CHAIR SEPTIMUS: We made Jason the 15 PSI king. Or czar. 16 DR. PETERSEN: Thank you very much. 17 This is Pam Owens. I am the lead of the AHRQ 18 quality indicators and I apologize that I cannot 19 be there in person. 20 much appreciates AHRQ very the 21 opportunity to have two of the AHRQ quality 22 indicators reviewed in today's meeting. **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

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1	PSI 12, Perioperative Pulmonary
2	Embolism or Deep Vein Thrombosis Rate, and PSI 9,
3	Perioperative Hemorrhage or Hematoma Rate.
4	Since I'm not there in person I do
5	actually believe that Dr. Patrick Romano from UC
6	Davis who is there will do an exceptional job at
7	representing the indicators.
8	Patrick is the clinical lead of the AHRQ
9	QI contractor team that's led by Stanford
10	University. And both Patrick and I are available
11	to answer any questions although I'm sure you all
12	know Dr. Romano and he is superb.
13	Before I turn it over to Patrick I do
14	want to take a few seconds to tell you about a few
15	of the core principles of the AHRQ quality
16	indicator program as I believe these are critical
17	aspects to keep in mind during the review today.
18	The hallmark of the AHRQ quality
19	indicator development process is the continuous
20	enhancement and refinement of all indicators based
21	on user feedback, review of clinical practice
22	changes, validation studies, empirical testing for
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1	validity and reliability, and input from expert
2	panels such as yourselves at the patient safety
3	committee, and experts on our AHRQ QI standing work
4	group.
5	For instance, we know that the coding
6	of conditions as present on admission has improved
7	over time. And this is a key element in PSI 9 and
8	PSI 12 specifications.
9	We continuously conduct validity
10	studies and use these results of the studies to
11	continuously improve the indicators.
12	And I emphasize these last two points
13	in particular because AHRQ is aware of recent
14	publications such as the one by Winters and
15	colleagues in Medical Care that point to validity
16	concerns with PSI 9 and PSI 12.
17	We also understand that this article
18	was circulated to you as reviewers prior to the
19	meeting. And I wanted to assure you that we
20	address validity in our submission but we don't
21	specifically address the Winters article.
22	Unfortunately due to the time lag in
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publications meta analyses such as this one must 1 rely on older studies, and authors at times do not 2 3 realize or integrate in their discussions all of the improvements that have already taken place in 4 5 the indicator specifications and the ones that are 6 being presented to you today. In fact, the studies highlighted in 7 8 that article are the foundational rationale for the 9 improvements that we are showing you. 10 Now, we're happy to address your specific concerns regarding the article as we move 11 12

12 through the review, but I just want to leave the 13 discussion there. 14 Moreover, I wanted to highlight another 15 key component of the AHRQ quality indicator program

and that is the transparency and usability of the indicators.

Not only does AHRQ QI program publicly
post all of the technical specifications, but we
also provide users with SAS and Windows-based
software to calculate their own numerators,
denominators observed in risk-adjusted rates using

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17

1 their own administrative data.

1	
2	Users are a critical component of the
3	QI program at AHRQ. For example, this month we
4	released an updated AHRQ QI toolkit that can be used
5	by hospitals as a general guide to apply
6	improvement methods in a hospital setting as well
7	as guidance on how to improve specifically the PSIs
8	such as PSI 9 and PSI 12.
9	So, thank you. I will turn it over to
10	Dr. Romano to provide an overview of each of the
11	indicators and as we go through both he and I are
12	available for questions. Thank you.
13	DR. ROMANO: Okay, thank you. I think
14	I've met most of you before. I'm Patrick Romano.
15	I'm a general internist, general pediatrician and
16	health services researcher based at UC Davis School
17	of Medicine in Sacramento, California.
18	And I've worked with AHRQ on the
19	enhancement of the AHRQ patient safety indicators.
20	And my team has actually done a number of the
21	validation studies, published a number of the
22	papers that are cited in the submission.
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1	So, I think that I just wanted to make
2	one comment again related to the Winters paper.
3	And this is just a brief statement that's been
4	approved by the editors and publishers of the
5	journal Medical Care which is, and I quote, "Most
6	of the numerical estimates provided I this paper,
7	the Winters paper, are incorrect due to
8	methodologic errors in their meta analyses. The
9	authors are now in the process of re-analyzing
10	their data and submitting corrected results for
11	publication in Medical Care which they have agreed
12	to do. Until these corrected estimates are
13	publicly available readers cannot rely on the
14	published estimates."
15	And we can get into more details during
16	the discussion review process.
17	Obviously we start with PSI 12 which is
18	an outcome measure focused on in-hospital venous
19	thromboembolism among surgical patients.
20	And it's part of a spectrum of quality
21	measures that focus on surgical complications.
22	CO-CHAIR THRAEN: Jason.
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1	CO-CHAIR SEPTIMUS: Jason, speak into
2	
	the mike, please.
3	MEMBER ADELMAN: I just want to start
4	by saying I have incredible appreciation for Dr.
5	Romano and AHRQ and the PSI measures.
6	I have some issues with them, but I
7	think overall those issues can be worked out, and
8	they're very important for patient safety.
9	Some of my concerns are big picture
10	concerns. Like for example, we heard that this
11	Winters paper that has methodologic issues, but it
12	also I'm sorry, I forgot the name of the woman
13	on the phone from AHRQ. What's her name?
14	DR. ROMANO: Pam Owens.
15	MEMBER ADELMAN: Pam Owens. Pam,
16	right, that's right. So Pam mentioned a different
17	point, besides that there's methodological issues
18	Pam mentioned that it looked at data that predates
19	some change in present on admission.
20	And what I don't understand is that I
21	believe both of the PSIs we're looking at today,
22	they talk about ICD-9 and ICD-10.
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1	And people can correct me if I'm wrong,
2	but I think we now live in an ICD-10 world. Like
3	almost all of the validation in both papers are
4	ICD-9 data.
5	And the measure developers spend a lot
6	of effort to show that any validation stuff pre the
7	POA stuff is shouldn't really be counted because
8	we fixed it. And we should look at the new ICD-9
9	stuff. But now it's all ICD-10.
10	And then an intellectual argument can
11	be made that ICD-10 is just better, there's many
12	more measures and it's more narrow.
13	But even AHRQ's language in the
14	application sort of says there are some confusing
15	things about ICD-10 and we still have to work it
16	out.
17	And I sent around just before an article
18	that said ICD-10 may have some issues.
19	So, in fact, the measure as it will be
20	applied will be applied to a world of ICD-10. All
21	of this validation stuff that we're going to look
22	at, and please correct me if I'm wrong, will have
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been done with ICD-9 data, at least the data that 1 will be presented on the screen, and I'm not even 2 3 sure that that's like appropriate to judge. Ι could be wrong and so forgive me. 4 5 It's also, it's incredibly difficult. 6 There's hundreds of pages if you look at the 7 applications and the supplements, and there's no 8 like -- an exclusion criteria could have been removed from beforehand till now 9 and it's 10 impossible for us to even know or even scrutinize. 11 It would be incredibly helpful if from 12 this point on going forward for all PSI measures 13 it uses actually ICD-10 data. And anytime 14 anything is changed have a section that says by the way, we removed these five exclusion criteria and 15 16 added these six. And we can talk about it and 17 scrutinize it. But it's just this overwhelming amount 18 19 of information and data that makes it almost 20 paralyzing. But I am concerned about the ICD-10. 21 I have another issue which I want to 22 bring up which is that, you know, the last time we **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS

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1	talked about PSIs I had mentioned that UHC had this
2	information out about how to adjudicate PSIs.
3	And I mentioned it and Dr. Romano
4	suggests we know about it. In fact AHRQ has a
5	toolkit that shows how to do it. And I didn't know
6	that.
7	So I went back and looked, and in fact
8	they do, of course. It tells you exactly how to
9	craft an email to somebody to say we found this PSI,
10	and it may or may not be accurate, could you please
11	clarify.
12	People are reporting people, our
13	colleagues around the table are reporting that
14	they've done it and they've flipped 20 to 30 to 40
15	percent PSIs.
16	So even like this Winters paper where
17	we're questioning the methodology, for this
18	particular PSI, the one we're talking about now,
19	the positive predictive value is a little less than
20	80 percent.
21	In the toolkit that was mentioned that
22	was just released and I sent there's something from
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Harborview. And they've used the AHRQ approved
 adjudication method.

And they proudly say that they've flipped 20 percent of the DVTs that were false positive. They went and corrected them. Which is about what the Winters paper said.

7 And what's most troubling to me is that 8 I believe we now live in a world of hospitals that can afford or have the knowledge to adjudicate and 9 10 review and reduce the PSIs and those that don't. 11 If you're wealthier and you care about 12 U.S. News and World Report where they use PSIs, or 13 you care about the HAC penalty then you'll have 14 somebody who will do that process that AHRQ laid 15 out.

But if you don't have the money or the sophistication then you won't. And we sort of need Bernie Sanders to come here and defend the little hospitals, the ones that can't do it. Because we live in two worlds now.

And it makes me wonder if, you know, Dr.
Romano said there is this thing, why isn't that part

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1	of the measure?
2	We just had NHSN. They do have people
3	adjudicate. You had a positive culture and a fever
4	and then you review and you get it.
5	If that was part of the measure then all
6	this positive predictive value that we talked
7	about, everything would all go away.
8	And of course the big problem is that
9	it's resources and manpower. And you know, most
10	of our hospitals have NHSN people. But now only
11	some of us have PSI people. And so now we live in
12	a two standard world.
13	So I had a couple of issues there.
14	We're not really using ICD-10 issues. We live in
15	a world with those that adjudicate and those that
16	don't.
17	So, we should go through each and every
18	step. But even some of the data we're looking at
19	seems maybe not even the right data.
20	CO-CHAIR THRAEN: So, because it's
21	maintenance we wouldn't have to go through the
22	reliability and validity process. But because the
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214 source data structure mechanism and definitions 1 have changed, ICD-10, you're recommending what? 2 MEMBER ADELMAN: Well, I'm -- I don't 3 I reviewed the application that describes know. 4 5 validity, reliability, and references articles, and provides data for stuff that's not in the world 6 7 that we live in. 8 And so Т said an intellectual as argument can be made that ICD-10 is just better, 9 10 but I'll give you an example. 11 CO-CHAIR THRAEN: Well, before you do 12 that I want to ask the developers. So, in 13 preparation for this presentation have you used the PSI using ICD-10 data, source data, and if so what 14 15 were your findings, and can you reconcile this 16 concern? 17 Well, I think as everybody DR. ROMANO: 18 knows we only have nine months now of experience 19 with ICD-10 data in the United States. So I don't 20 think we're alone. 21 I think all the measure developers that 22 developed and implemented measures using ICD-10 **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

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1	coded data are all in this position of really just
2	getting started on the process of re-validating our
3	measures using ICD-10 data.
4	There is perhaps one relevant study
5	which was cited from Canada because of course
6	Canada implemented ICD-10 a number of years before
7	us.
8	And so if you refer to and I realize
9	that the submission is quite long and detailed
10	but anyway page 22, second paragraph, near the
11	bottom of the second paragraph.
12	So, Quan et al., sampled patients with
13	PSI events from three Calgary hospitals, reported
14	a PPV for PSI 12 of 90 percent. And that's again
15	just from Canada. We don't know whether that
16	experience will translate to the U.S. or not, but
17	obviously it's an important issue that I think AHRQ
18	will be prioritizing in the coming year.
19	CO-CHAIR THRAEN: Other questions or
20	concerns about the evidence? Go ahead Yanling and
21	then Leslie.
22	MR. LYZENGA: I should note that we're
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kind of talking about validity right now. 1 So maybe we should start out with the question of evidence 2 3 and whether there is a rationale supporting the relationship of this health outcome to at least one 4 5 healthcare structure, process, or service. 6 And I would remind you that as а 7 maintenance measure we may forego this vote if we 8 so choose. 9 CO-CHAIR THRAEN: Okay, Leslie, qo 10 ahead. 11 MEMBER SCHULTZ: Just а quick Is this version 5.X or is it version 6? 12 question. 13 What we're bringing to NQF DR. ROMANO: 14 now is version 6 which is the version that was just 15 released. 16 And to Dr. Adelman's point, the only real difference between version 6 and version 5 17 18 aside from version 6 has a more sophisticated risk 19 adjustment model if you will that accounts for more 20 patient characteristics.

21 But the other difference is that the 22 specification of the measure now is limited to

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217 patients with so-called proximal deep 1 vein thromboses or pulmonary emboli. So we've removed 2 isolated calf vein thrombi from the definition. 3 There's a concern about variation in 4 5 surveillance practices across hospitals that might 6 be influencing that. 7 Yanling and then CO-CHAIR THRAEN: 8 Albert and then Ed. 9 MEMBER YU: This may be а dumb 10 question. From my world when I do the study if we 11 do two different types of measurements we look at 12 the error to estimate what the error power is and 13 so you know you have. 14 Then when you move to ICD-10 you know 15 what the biases or the uncertainty would introduce 16 with new codes. 17 just by educating So, anyone has 18 myself, has anyone done a study, same set of 19 observations, but analyzed using ICD code 9. And 20 then with the same set of records to look at ICD-10. 21 And then you look at what's the difference. What 22 the uncertainty, what the error power would be. **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701

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1	DR. ROMANO: Yes. The Quan study from
2	Canada that I cited was an example of that. So they
3	did simultaneous ICD-9 and ICD-10 coding.
4	There's individual hospitals and
5	hospital systems have done a bit of this work. And
6	perhaps some of you may have experience with it in
7	your own systems.
8	Because of course there was a period
9	during which the implementation of ICD-10 was
10	postponed for a year and then another year.
11	And so during that period a lot of our
12	hospitals were doing training and sort of making
13	sure that the coding was consistent that our coding
14	teams were doing between I9 and I10.
15	But virtually none of that work has come
16	into the peer reviewed literature because it's
17	almost all been for quality improvement within our
18	hospital systems. I don't know, others may have
19	experience on that.
20	MEMBER YU: We have no really the data
21	to really say what its uncertainty is.
22	DR. ROMANO: Well, all I can say is that
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219 the basic structure of the codes, of the diagnosis 1 codes, is very similar. 2 So, it's not a fundamentally different 3 There are some slight differences in 4 structure. 5 indexing which we're actually pursuing with the ICD-10-CM Coordination and Maintenance Committee 6 7 to clarify, for example, for peroneal vein thrombi. 8 So, there are some slight differences, but in general the basic structure of the codes is 9 10 very similar. And I think that's the feedback we've 11 12 heard from the field as well, that people haven't 13 -- they're not finding anything that's very different. 14 15 If you look again at early tracking from 16 some of the systems that we're a part of where there's been early data that's available from 17 18 ICD-10 we're not seeing dramatic changes in the 19 rates of the indicators. 20 There's been a general downward trend 21 over time, but we're not seeing a sudden change as 22 of October 1, 2015. **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W.

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1	CO-CHAIR THRAEN: Albert, then Susan,
2	then Lisa.
3	CO-CHAIR SEPTIMUS: I just want to say
4	if it's okay with the committee I don't think we're
5	having problems with evidence and gap. And we're
6	all talking about reliability and validity.
7	Can we just right now just vote to pass
8	that and then go into a discussion of reliability
9	and validity? Because that's what we're all
10	talking about. So let's move past those two
11	elements.
12	MEMBER WU: I was going to talk about
13	evidence gap.
14	CO-CHAIR SEPTIMUS: Oh okay, then I'm
15	sorry.
16	MEMBER WU: But you short-circuited
17	me. I was actually just going to say let's move
18	along.
19	I think that we do not need to spend time
20	on this. Since the last time we reviewed this
21	there have been even more studies that show that
22	we can do a lot to reduce thromboembolism. So I
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221 1 would like to get to a vote. CO-CHAIR THRAEN: Let's vote. 2 Εd 3 spoke. 4 MS. QUINNONEZ: We are now voting on 5 measure 0450 Perioperative Pulmonary Embolism or Deep Vein Thrombosis. Since this is a maintenance 6 7 measure we won't vote on evidence. We don't need 8 to vote on evidence. 9 CO-CHAIR THRAEN: Okay, so then we'll 10 move forward. 11 Is there anybody who MR. LYZENGA: would like to take a vote on evidence? Or are we 12 13 all comfortable? 14 CO-CHAIR THRAEN: No. Move on. 15 Okay, so gap. Albert, did you want to speak to gap? 16 MEMBER WU: I'd like to vote on this 17 too. 18 CO-CHAIR THRAEN: Okay. So what did 19 you say, Albert? 20 CO-CHAIR SEPTIMUS: He says he wants to 21 vote on it too. 22 CO-CHAIR THRAEN: What about Lisa? **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

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1	MR. LYZENGA: We do have to vote on this
2	one.
2	
	CO-CHAIR THRAEN: Okay, so we're going
4	to vote on performance gap. We do have to vote on
5	performance gap. So let's call for the vote.
6	MS. QUINNONEZ: We are now voting on
7	performance gaps for measure 0450.
8	Option number 1, high. Option number
9	2, moderate. Option number 3, low. And option
10	number 4, insufficient.
11	All votes are in and voting is now
12	closed. For performance gap of measure 0450 39
13	percent voted high, 61 percent voted moderate, zero
14	percent for low and zero percent for insufficient.
15	CO-CHAIR THRAEN: All right. Let's go
16	to reliability. Who wants to speak on
17	reliability? We've done some discussion about
18	ICD-9 versus ICD-10. Go ahead, Lisa.
19	MEMBER MCGIFFERT: Well, I did want to
20	address that specifically.
21	I mean, the reality is that everybody's
22	using ICD-10 finally. And we know that there are
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1 problems with it. But I think it's really important for us not to make any decisions to take 2 these measures off the table because this is the 3 world we live in. 4 5 And I believe that ICD-10 is enhancing. 6 I'm sure that there's enhancing information that 7 we have with risk adjustment and everything. And 8 I'm sure there are lots of issues with implementing it in hospitals. 9 10 But I think we've seen several other 11 measures that relied on ICD-10 codes in the last 12 day or so, I may be wrong, and this issue didn't 13 come up. 14 So I think it's just going to be a 15 regular issue as hospitals get more used to using 16 ICD-10. 17 CO-CHAIR THRAEN: Jason. 18 MEMBER ADELMAN: I agree with that. 19 Even though I brought it up I didn't mean to say, 20 you know, we just switched to ICD-10, and we don't 21 have the data, and so don't move forward with the 22 measure. **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS

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1	There's a lot of good to all these PSIs.
2	The part that I don't understand is why
3	not just add AHRQ has the measures. They have
4	an official method for reviewing and improving
5	them. They just published abstracts like this
6	very measure from Harborview which I sent to
7	everybody. They showed if you review you can
8	decrease your errors by 21 percent.
9	And we, like I'm at New York
10	Presbyterian. It's a very big hospital. We have
11	many, many, many people who do the HAIs and only
12	one FTE that does all the PSIs so that we get the
13	benefit of this.
14	So even though it is a resource it is
15	not a major resource. If AHRQ is formally
16	recommending to review this very measure and give
17	us an example why not just add one little step.
18	And by the way, that will deal with the
19	ICD-9 ICD-10 issue too because any mistakes or
20	issues a human will review and catch and correct.
21	And then it'll be perfectly accurate.
22	No more positive predictive values of 60, 70, 80
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225 percent. And then we sit and argue 80 percent is 1 good enough and should be measured but 70 is, well, 2 3 or 60. You know, we can use all these measures. Ι just don't understand why 4 not 5 formalize what you already officially recommend. CO-CHAIR THRAEN: 6 Susan? 7 MEMBER MOFFATT-BRUCE: I'm going to 8 help Patrick out here a little bit. 9 So first of all, Calgary is а 10 university. I may be the only Canadian in the 11 room, but that's an academic medical center. So 12 those patients would be very similar to what we see 13 here. 14 shadowed using the We also AHRO 15 software because we have a PSI process that's been 16 in place for two and a half years using ICD-9 and ICD-10. Not much difference. 17 18 And in fact, maybe even a little easier 19 to use ICD-10 with the software. 20 I do have two questions though around 21 the validity and the reliability of the exclusion 22 criteria that maybe I can get some -- I mean I **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

probably spend two to three hours per week on these validating the cases to Jason's point on top of everything else I have to do, and on top of everything else my team does. So these things are time-consuming because there's a lot of noise and it's hard to interpret them. In particular, the site of the Patrick, you said you're now excluding thrombus. the superficial ones that we don't treat anyway?

Because that was a big problem. And so thank you for that.

Secondly, your exclusion says where a procedure for interruption of vena cava occurs before or on the same day as the first operating room procedure.

16 So I assume that's an IVC filter? 17 Okay. Can that occur in a different admission as 18 compared to this admission when they might develop 19 a DVT?

20 So for instance, patients that have big 21 cancer operations. They come in. They get an IVC 22 filter. They get all their preoperative workup

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1	ambulatory. Then they get admitted to have their
2	hemipelvectomy or whatever other. So they're
3	doing the right thing. They're getting them all
4	teed up and then they admit them.
5	So does this mean, this interruption,
6	this procedure, does that have to occur during the
7	admission?
8	DR. ROMANO: Okay, so to address a
9	couple of these points. Yes. So the indicator
10	software structure is such that it can only use
11	information during the same hospital stay. So
12	yes. So in order to trigger the exclusion the IVC
13	filter would have to be placed during the same
14	hospital stay.
15	As you know part of the issue here is
16	that some of the filters can be removed, some can't
17	be removed. So it would be very complicated, not
18	necessarily impossibly, but it would be very
19	complicated to design the logic so that we would
20	know if the filter was actually in place at the time
21	of a surgical procedure.
22	MEMBER MOFFATT-BRUCE: Well, that's a
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1 huge problem. We're trying to look at resources and getting patients optimized preoperatively, 2 3 prior to coming for the day of surgery, especially in cancer centers. 4 5 I think So those are my comments. 6 you've made it a little bit better, but I will --7 I mean to Jason's point these things are incredibly 8 complex and we spend a lot of time managing the data 9 rather than improving the outcomes. 10 I just wanted to speak to DR. ROMANO: 11 this issue about managing the data and so forth. 12 And I think to me it's not any different 13 from the healthcare associated infections, the 14 NHSN measures where again hospitals need to have 15 systems in place to monitor and manage the accuracy 16 of the data that are reported. 17 It happens that this is a different data This is a data stream that goes through 18 stream. 19 Medicare or through state health data agencies. 20 But fundamentally it's just another data stream and 21 hospitals are responsible for ensuring the 22 accuracy of that data stream.

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1	Now, there is some opportunity for many
2	hospitals to drop their rates 10, 15, 20 percent
3	by identifying and avoiding false positive
4	
	reports.
5	But I would point out that just recently
6	AHRQ reported from the Medicare Patient Safety
7	Monitoring System data from a national sample of
8	hospitalizations that was subjected to a detailed
9	chart review and a review of all the imaging
10	reports. So completely independent of the codes
11	that were submitted.
12	And from 2010 to 2014 they reported a
13	decrease from 28,000 to 16,000 post-operative VTE
14	events. So a 43 percent reduction.
15	So, this is independent of codes this
16	is a 43 percent actual reduction that presumably
17	reflects the impact of the process improvements
18	that hospitals are making in response to this focus
19	on the problem of venous thromboembolism.
20	MEMBER MOFFATT-BRUCE: I don't
21	disagree. It has improved patient outcomes for
22	sure.
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1	It is just with some of the noise. And
2	
	some of the things you have clarified today will
3	help. It's just a lot of inclusion and exclusion,
4	particularly in this one. And there's another
5	favorite of mine but it's not on the docket today.
6	CO-CHAIR THRAEN: So, before we go on
7	staff need to clarify something.
8	MS. MUNTHALI: We just want to make
9	sure that we're holding this measure up to the same
10	standards as other measures as it relates to the
11	ICD coding.
12	And as you know as of October of last
13	year HHS required implementation of ICD-10. So
14	did we. We required that as part of our
15	submissions.
16	But we also recognized that it would be
17	difficult for developers to test because the test
18	beds wouldn't be there. So we are allowing a few
19	years of lag time, but we are requiring in
20	submissions that developers provide a statement of
21	their intent for the selection of ICD-10 codes.
22	And I haven't looked at your submission carefully,
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231 but that is something we would have to have for the 1 committee to assess your measure. 2 Also that you include a full listing of 3 the ICD-9 and ICD-10 codes with the definitions and 4 5 a conversion table if it's applicable. And also 6 that you describe the process used for identifying 7 the ICD-10 codes. And so, Patrick, if you can assure that 8 we could have this perhaps by the post comment call 9 10 would that be? 11 DR. ROMANO: I think that the ICD-10 as 12 well as ICD-9 specifications are in the packet, in 13 the appendix materials to the packet. 14 And so there is a table showing those 15 codes side by side. 16 MS. MUNTHALI: they meet So the requirements for the ICD-10 conversion. 17 Thanks. 18 CO-CHAIR THRAEN: Thank you. Steve 19 and then I think, who is it, Patricia or Lillee? 20 Lillee. 21 MEMBER LAWLESS: So, real quick about 22 the IVC filter and the prior hospitalization. Is **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

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1	it in the preexisting conditions coding on that
2	hospitalization or wouldn't it be?
3	DR. ROMANO: There currently is no,
4	that would require what is called a status code in
5	the ICD-10 lingo and there is no status code.
6	MEMBER MOFFATT-BRUCE: There is none.
7	And actually we're applying for one because it's
8	a huge problem. We cannot so that's the issue.
9	You can't detect that the IVC filter is in place.
10	I've learned a lot about coding here in the last
11	couple of days. This is a big issue I think. But
12	we're going to apply for one.
13	DR. ROMANO: And I think again AHRQ
14	does work closely with the ICD-10-CM Coordination
15	and Maintenance Committee to support or endorse
16	proposals that are helpful for the quality
17	indicators program.
18	MEMBER QUIGLEY: Thank you. Dr.
19	Romano and Dr. Owen this is Pat Quigley's voice that
20	you're hearing. I know Dr. Romano that you can see
21	me, but Dr. Owen.
22	My comments are on behalf of Dr.
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Kimberly Applegate who was not able to be with us
today. She's a pediatric radiologist and director
of practice quality improvement in radiology at
Emory University.

And she wanted to express concerns in relationship to the measure since this is a maintenance measure that was brought back to us about limiting the data being collected to only discharges, and that it should have extended 30-day post discharge for readmissions related to DVT and PE.

But the other issue that she had is in relationship to the rationale for excluding those patients who come in with spinal cord injury and head injury, that that really could contribute to under-reporting of these adverse events. And wanted to have some rationale for that exclusion. Thank you.

19DR. ROMANO: Yes. So, I'll tackle the20second question first and then I'll ask Pam to join21me on the first question.

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So with respect to the exclusion of

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traumatic head injuries, spinal cord injuries, and 1 intracranial hemorrhages this was specifically in 2 3 response to user feedback as well as feedback from this committee in the past. 4 5 it reflects the fact And that 6 clinicians are concerned that their options are 7 really very limited in terms of venous 8 thromboembolism prophylaxis in patients who have acute head trauma, acute spinal cord trauma. 9 10 patients These may have clinical 11 contraindications to antithrombotic therapy. And 12 in some cases therefore clinicians have to accept 13 a higher risk of VTE because the risk of hemorrhage 14 would be so catastrophic, or worsening a hemorrhage 15 in this kind of a closed location, in intracranial 16 or in the spinal canal. So that was the rationale. 17 So it was 18 basically specifically in response to user 19 feedback as well as feedback from NQF stakeholders. 20 With readmission respect to the 21 question we do acknowledge that some events occur 22 after discharge from the hospital and that many of **NEAL R. GROSS**

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1	these post discharge events may be preventable.
2	It obviously then becomes a mixture of
3	an ambulatory measure and a hospital measure
4	because the key prevention opportunity then is
5	related to the continuation and the management of
6	thromboembolism prophylaxis after discharge from
7	the hospital.
8	So as we look forward to the future and
9	really trying to encourage better coordination of
10	care, better handoffs, better integration of care
11	between inpatient and outpatient settings I think
12	we'd agree that these kinds of measures that cut
13	across settings of care would be valuable.
14	Pam, do you want to address AHRQ's
15	perspectives on this question with respect to the
16	QI program?
17	DR. PETERSEN: Well, in terms of from
18	a QI perspective as you know from our submissions
19	at the moment it relies on all payer data from the
20	Healthcare Cost and Utilization Project. That is
21	a discharge database.
22	It does not have we include treatment
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1	EC visits in that database as well as ambulatory
2	surgery visits and hospital affiliated settings.
3	That being said we have discussed
4	internally the need to think about expanding in
5	terms of looking at the entire episode and looking
6	in the outpatient arena.
7	It would limit. We would not be able
8	to do it all payer, but it is definitely worth some
9	explorations and actually some growth areas that
10	we've already talked about internally.
11	So thank you very much for putting that
12	as a suggestion.
13	MEMBER QUIGLEY: Thank you.
14	MEMBER ALEXANDER: My ask is similar.
15	It would be so interesting to look at readmissions
16	and be able to tie that back into a prior surgical
17	procedure. And that would be a metric that I think
18	would be greatly of value if you could develop that.
19	DR. PETERSEN: To that end we do do
20	quite a bit of readmissions work. There are a
21	subset of the states, there's 46 states that I
22	believe participate in the Healthcare Cost and
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1 Utilization Project.

subset of them unique 2 А have 3 identifiers that allow us to link hospitalization. Certainly it is an area ripe for continued study 4 5 to look at it from a readmission standpoint. We don't get the outpatient visits for 6 7 instance and the physician visit arena, but we 8 could link some hospitalizations for a subset. So we'll look at it. 9 10 MEMBER ALEXANDER: And even if you 11 could pull, and this might be too hard to gather, 12 but if you can get emergency room admissions that 13 would be helpful. 14 DR. PETERSEN: Yes, and that's 15 definitely -- we could include that as well. 16 CO-CHAIR THRAEN: All right. Are 17 there any other questions reliability? about 18 Shall we vote? 19 MS. QUINNONEZ: Voting is now open for 20 the reliability of measure 0450. 21 CO-CHAIR SEPTIMUS: Just keep in mind 22 what Lillee said about the issues between ICD-9 and **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

ICD-10.
DR. ROMANO: I'd also like to call
people's attention to in the technical submission
materials actually we do specifically address the
historical trending of the evidence over time.
And so there is a paragraph in there
specifically about the studies that followed the
advent of POA coding. And specifically one study
showing a PPV of 99 percent, others showing a PPV
of 81 percent following POA coding.
And additional single center studies
with PPVs in the range of 88 percent and 93 percent.
So I think that it's just important to
note that as you focus on the more recent studies
that reflect the advent of POA coding as well as

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11 gle center studies 12 cent and 93 percent. 13 s just important to 14 more recent studies 15 A coding as well as 16 changes with more specific ICD-9-CM codes that the 17 positive predictive values have increased as you 18 would expect. 19 Though, Patrick, we're MEMBER WU: 20 talking about reliability now.

21 DR. ROMANO: Understood. Nobody's 22 really talked about reliability here.

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239 1 MEMBER WU: We've been talking about validity. 2 3 DR. ROMANO: There is reliability data in the submission. 4 5 CO-CHAIR SEPTIMUS: We always stay on 6 point, Patrick. Let's vote. 7 MS. QUINNONEZ: We are now voting on 8 the reliability of measure 0450. Option 1, high. Option 2, moderate. 9 10 Option 3, low. And option 4, insufficient. 11 All votes are in and voting is now closed. 12 For the reliability of measure 0450 11 13 14 percent voted high, 78 percent voted moderate, 11 15 percent voted low and zero for insufficient. 16 CO-CHAIR SEPTIMUS: Okay. So just a 17 small break here. Iona unfortunately has to catch 18 a flight so she's going to leave in the next few 19 minutes, but I wanted to take personal pride in 20 thanking her for working with me and of course 21 co-leading this committee. But I think she 22 deserves a round of applause. **NEAL R. GROSS**

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1	(Applause)
2	CO-CHAIR SEPTIMUS: Okay, now we're
3	going to validity which I think we have sort of
4	talked about extensively. But there's always one
5	in the crowd, Missy. No, no, I'm kidding.
6	MEMBER DANFORTH: I was waiting for the
7	appropriate time.
8	I have a question. No, it's not a
9	question, it's a clarification.
10	I noticed that pregnancy is an
11	exclusion. Does that mean women undergoing
12	C-sections are excluded?
13	DR. ROMANO: Yes, that's correct.
14	CO-CHAIR SEPTIMUS: Let's vote since
15	we've talked about validity.
16	MS. QUINNONEZ: Voting is now open for
17	the validity of measure 0450.
18	Option 1, high. Option 2, moderate.
19	Option 3, low. And option 4, insufficient.
20	All votes are in and voting is now
21	closed. For the validity of measure 0450 18
22	percent voted high, 76 percent voted moderate, 6
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241 1 voted low and for percent zero percent insufficient. 2 3 CO-CHAIR SEPTIMUS: Okay, so now we're usability. 4 up to Jason, any comments on 5 usability? I'm sorry, feasibility. Any comments 6 on feasibility? 7 MEMBER ADELMAN: I think the measure is 8 both feasible and usable. CO-CHAIR SEPTIMUS: So if there's no 9 10 comment let's take two votes in a row. Is that 11 okay? All right, so let's do it. This may be a first. 12 13 MS. QUINNONEZ: Voting is now open for 14 the feasibility of measure 0450. Option 1, high. Option 2, moderate. 15 16 Option 3, low. And option 4, insufficient. 17 All votes are in and voting is now For the feasibility of measure 0450 76 18 closed. 19 percent voted high, 24 percent voted moderate, zero 20 percent for low and zero percent for insufficient. 21 CO-CHAIR SEPTIMUS: Okay, now we'll go 22 to usability. **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W.

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1	MS. QUINNONEZ: Voting is now open for
2	the usability and use of measure 0450.
3	Option number 1, high. Option number
4	2, moderate. Option number 3, low. And option
5	number 4, insufficient information.
6	Can we have you resubmit your votes one
7	more time please to make sure capture all the votes?
8	All votes are in and voting is now
9	closed. For the usability and use of measure 0450
10	71 percent voted high, 29 percent voted moderate,
11	zero percent for low and zero percent for
12	insufficient information.
13	CO-CHAIR SEPTIMUS: So we're up to the
14	last question.
15	MS. QUINNONEZ: Voting is now open for
16	the overall suitability for endorsement of measure
17	0450.
18	Option 1, yes. Option 2, no.
19	CO-CHAIR SEPTIMUS: And this is
20	re-endorsement actually, right?
21	MS. QUINNONEZ: Re-endorsement, thank
22	you.
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1	All votes are in and voting is now
2	closed. For the overall suitability for
3	endorsement of measure 0450 100 percent voted yes.
4	
5	CO-CHAIR SEPTIMUS: Okay. Now we're
6	up to the last measure 2909 which is Perioperative
7	Hemorrhage or Hematoma Rates. It's PSI 9 also from
8	AHRQ. So, Patrick, I guess I'll turn it over to
9	you.
10	DR. ROMANO: Yes, so very briefly this
11	is just another one of our post-operative
12	complication measures.
13	This measure focuses on post-operative
14	hemorrhage or hematoma and it requires a diagnosis
15	of the same along with a return visit to the
16	operating room, or a follow-up procedure.
17	And the effort with this is to identify
18	a subset of hemorrhages or hematomas that are
19	associated with some kind of intervention or some
20	need for follow-up care.
21	This measure again has been used and
22	it's really quite appropriate that it's considered
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together with PSI 12 because we've heard from users some concerns that as they try to aggressively prevent thromboses they may be causing more hemorrhages, or conversely if they try to prevent hemorrhages they may be causing more thromboses. And it's appropriate that the SO committee consider these two measures together because they are to some extent designed to assess

two sides of the coin if you will related to two different outcomes.

And obviously our aim in the hospital 12 business and healthcare business is to try to 13 minimize both. But in some cases there may be some tradeoff. 14

15 CO-CHAIR SEPTIMUS: And Jason has 16 graciously consented since Linda's not here his 17 tremendous knowledge in this space. So Jason, 18 let's go through. Start with the evidence and gap 19 if you feel that we need to re-discuss this. 20 Right. As far as MEMBER ADELMAN: 21

evidence and gap I think that there is evidence that this is an important issue and there is certainly

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1 room for improvement.

I have very brief comments about the 2 3 other aspects, but we could just vote on that if you like and then move on. 4 5 CO-CHAIR SEPTIMUS: Well, does it get 6 to reliability and validity? 7 MEMBER ADELMAN: Just that all of the 8 same things that we already discussed we don't have to discuss again. 9 10 The only thing I would say is this 11 measure more so than the one we just discussed I've 12 -- despite that there are studies that show varying 13 PPVs and that in setting up the process for 14 adjudicating PSIs at New York Presbyterian I spoke 15 to some of my colleagues around the table and many 16 people around the country, at the Brigham, and 17 Mount Sinai. 18 And this one much more so than the 19 others people are flipping 30-40 percent. So the 20 reported positive predictive value could be 80-90 21 percent, but people are flipping a lot more. And it has to do with exclusion criteria 22 **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS

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1 of platelet deficiencies that are caused by Plavix. So somebody goes for a cardiac cath. 2 3 They're having a cardiac incident. They're on something that causes platelet deficiencies by 4 5 choice which is an exclusion criteria. And then there's clarification later on that the coders 6 7 couldn't really pick up because they can't make 8 diagnoses. 9 So I suspect that the true positive 10 predictive value is worse here, and the need for 11 reviewing is more important here. And those of us 12 at hospitals that are doing it are benefitting. 13 Those that aren't, aren't benefitting. That's the only thing I wanted to add 14 above what we already discussed. 15 16 CO-CHAIR SEPTIMUS: Thank you very 17 much, Jason. 18 So, do we want to vote? Steve. 19 Actually, just real MEMBER LAWLESS: 20 quickly. Are you seeing hospitals that actually 21 have high bleed rates and are doing the prophylaxis 22 well? Т mean, Ι always worry the **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

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1	counter-balancing. If you put it into quadrants
2	what is the data showing?
2 3	DR. ROMANO: I would need a couple of
4	minutes to pull up details, but I will say that in
5	general when we so, you may recall we discussed
6	the PSI 90 which is the composite PSI I think last
7	year.
8	And so in the construction of that PSI
9	we included both PSI 9 and PSI 12. And we found
10	in that process actually that there was a positive
11	overall correlation. So the hospitals that had
12	higher PSI 12 rates in general also tended to have
13	a little bit higher PSI 9 rates.
14	So in fact the hypothesis was not
15	supported in that analytic work. But of course it
16	may be that if you focused on particular subsets
17	of surgical patients that you might find that
18	negative correlation. But overall we found a
19	positive correlation consistent with their both
20	being quality metrics.
21	CO-CHAIR SEPTIMUS: But positive
22	correlation meaning they're opposite. So you want
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1 to have a negative correlation. Sorry, put it this In the process of those hospitals that are 2 wav. 3 successful at lowering thromboembolism, are they having a higher bleed rate? 4 5 DR. Well, ROMANO: one would be 6 concerned that they might be over-treating for 7 thromboembolism and we did not find evidence of 8 that. 9 MEMBER ALEXANDER: I just have to say 10 I think this is a much improved measure now that 11 you've added in the re-operation or intervention. 12 Anyone can bleed and I think today, Jason's point, we've got people on so much blood 13 14 thinner right now and there are all sorts of interventions we're doing before surgery to try and 15 16 decrease bleeding. Every patient in my hospital 17 is on something when they come in. And so people 18 can bleed. 19 What this to me does as a surgeon which 20 I think is so far superior is anyone can bleed. 21 It's my job in the operating room to control it as 22 Whether that is pharmacologically, or best I can. **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS

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249 whether that's electro with a Bovie or something 1 like that. 2 This picks up the people I don't 3 control, that I don't identify that it's an issue 4 5 and can't control. And I think it's a far superior 6 measure. 7 Okay, so do we want CO-CHAIR SEPTIMUS: 8 to vote on the evidence? DR. ROMANO: Could I also address Dr. 9 10 Adelman's other point? 11 CO-CHAIR SEPTIMUS: Please. 12 DR. ROMANO: So, I think Dr. Adelman 13 raised a very important point which is about the exclusions and the possibility that different 14 15 hospitals may sort of game the exclusions or 16 manipulate them. 17 And this is a real concern. And we're 18 -- certainly we're always monitoring feedback from 19 the user community and attempting to respond to it. 20 I will say that the exclusion here is 21 designed specifically to capture and therefore 22 exclude patients congenital with factor **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS

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250 deficiencies, patients with specific syndromes, 1 thrombocytopenic syndromes, platelet defect 2 3 syndromes. But it does not exclude patients who 4 5 have medication-induced clotting disorders. So 6 that's really important. 7 Now, it may be that some people are 8 lying about the codes and they're using these But the guidance from the Coordination & 9 codes. 10 Maintenance Committee, from coding clinics has 11 been very clear that these codes that are used for 12 exclusions are not the right codes to use for 13 medication-induced clotting problems. 14 CO-CHAIR SEPTIMUS: Okav, the SO 15 question is do we want to vote on this? Yes, Jason. 16 MEMBER ADELMAN: My point was not that 17 were manipulating because honestly people Ι 18 actually didn't know. I guess there's a level of 19 sophistication to understand how to do a proper 20 adjudication. 21 And Dr. Romano, you made a point earlier 22 about the similarities of this with the HAIs. **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

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1	But with the HAIs NHSN described every
2	step of the process, like exactly how to define
3	catheter days, and temperatures within catheter
4	days.
5	And here we have recommendations to
6	review. I mean, if you look at the requirements
7	it's written under exclusion. It's just written
8	platelet defects I think, or qualitative platelet
9	defects, that's what it says. Qualitative
10	platelet defects.
11	And so it doesn't say hereditary
12	qualitative and it doesn't say drug-induced. It
13	just says qualitative. And how is anyone supposed
14	to like I was honestly looking and trying to
15	understand.
16	And I don't go to code clinics. I don't
17	know that those guidelines are only for and so
18	I still see the value and would ask that you just
19	consider to formalizing the review process and then
20	give better and better guidelines to how to do that.
21	Because I think many people are
22	well-intended and think that giving Plavix causes
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a true qualitative platelet defect. If it said 1 non-drug induced then I would think that they're 2 3 being egregious. But anyway, I think we should formalize and put part of the measure all of the 4 5 PSI measures in the review. And it would be much better. 6 7 CO-CHAIR SEPTIMUS: Thank you. Okay, 8 so are we ready -- do we want to vote on evidence? I hear yes so let's vote on the evidence. 9 10 MS. QUINNONEZ: We are now voting on 11 measure 2909 Perioperative Hemorrhage or Hematoma 12 Rate PSI 09. Voting is now open for evidence. 13 Option number 1, yes. Option number 2, 14 no. 15 All votes are in and voting is now 16 For the evidence of measure 2909 100 closed. 17 percent voted yes. 18 CO-CHAIR SEPTIMUS: Okay. Lillee. 19 MEMBER GELINAS: Just a point of order 20 and maybe for the NQF staff as we lose people what 21 is the finite quorum that we fall below? I just 22 need clarification from a true numbers standpoint **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701

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1	for the voting numbers. What is a full quorum?
2	MS. QUINNONEZ: So, we need 13
3	committee members to proceed. So we're tracking
4	that. Right now I think we're at 16.
5	MEMBER GELINAS: Thank you.
6	CO-CHAIR SEPTIMUS: Michelle, you're
7	still on the line, right? Listen, this is the last
8	measure. If we stay focused I think we can
9	certainly finish before any other people have to
10	leave. So we're well above a quorum.
11	So let's stay focused and talk about
12	gap. Do we need any discussion on the gap? Then
13	let's vote.
14	MS. QUINNONEZ: We are now voting on
15	performance gap of measure 2909.
16	Option 1, high. Option 2, moderate.
17	Option 3, low. And option 4, insufficient.
18	We should still have 16. Would you
19	please resubmit your votes? They're coming in
20	now.
21	All votes are in, thank you, and voting
22	is now closed.
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254 For performance gaps of measure 2909 40 1 percent voted high, 60 percent voted moderate, zero 2 percent for low and zero percent for insufficient. 3 CO-CHAIR SEPTIMUS: Okay. The next 4 5 one is reliability. Any other discussions? I see 6 no. Then let's vote on reliability. MS. QUINNONEZ: Voting is now open for 7 8 the reliability of measure 2909. Option 1, high. Option 2, moderate. 9 10 Option 3, low. And option 4, insufficient. 11 All votes are in and voting is now 12 closed. For the reliability of measure 2909 40 13 percent voted high, 60 percent voted moderate, zero 14 percent for low and zero percent for insufficient. 15 CO-CHAIR SEPTIMUS: Okay, the next one 16 validity. Any more discussion is then on 17 validity? Seeing none we'll vote. 18 MS. QUINNONEZ: Voting is now open for 19 the validity of measure 2909. 20 Option 1, high. Option 2, moderate. 21 Option 3, low. And option 4, insufficient. 22 If you could resubmit your votes one **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

255 1 more time, please. Thank you. All votes are in and voting is now 2 For the validity of measure 2909 33 3 closed. percent voted high, 67 percent voted moderate, zero 4 5 percent for low and zero percent for insufficient. CO-CHAIR SEPTIMUS: I think we're now 6 7 moving to feasibility. Any discussion on 8 feasibility? Seeing none we'll vote. MS. QUINNONEZ: Voting is now open for 9 10 the feasibility of measure 2909. 11 Option 1, high. Option 2, moderate. 12 Option 3, low. And option 4, insufficient. 13 Thank you. All votes are in and voting is now closed. 14 15 For the feasibility of measure 2909 80 16 percent voted high, 20 percent voted moderate, zero percent for low and zero percent for insufficient. 17 18 CO-CHAIR SEPTIMUS: I think the next 19 one is usability. Any discussion on usability? 20 Jason's ready so we're all ready. Okay, go for it. 21 MS. QUINNONEZ: Voting is now open for 22 usability and use of measure 2909.

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1	Option 1, high. Option 2, moderate.
2	Option 3, low. And option 4, insufficient
3	information.
4	All votes are in and voting is now
5	closed. For the usability and use of measure 2909
6	87 percent voted high, 13 percent voted moderate,
7	zero percent for low and zero percent for
8	insufficient information.
9	CO-CHAIR SEPTIMUS: And now the
10	drumroll. Is this measure suitable for
11	re-endorsement?
12	MS. QUINNONEZ: New measure.
13	CO-CHAIR SEPTIMUS: I almost got
14	through without making a mistake. I apologize.
15	MS. QUINNONEZ: Voting is now open for
16	the overall suitability for endorsement of measure
17	2909. Option 1 is yes and option 2 is no.
18	All votes are in and voting is now
19	closed. For the overall suitability for
20	endorsement of measure 2909 100 percent voted yes.
21	CO-CHAIR SEPTIMUS: I must say we've
22	been very nice to you, Patrick, this time. But I
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1 think you've heard some really good suggestions from the committee. I think we're all struggling 2 with the issue of ICD-9 and ICD-10. And I think 3 that that's something you should continue to 4 5 validate over time and update the measure when you 6 think it's appropriate. 7 I think as Jason well said before the 8 work your team and AHRQ has done in providing these measures and being responsive to this committee. 9 10 We all know the history of PSI 90. We certainly 11 appreciate your time. And actually coming here in 12 person again. 13 And also thank Pam Owen. Are you still 14 on the phone, Pam? 15 DR. PETERSEN: Thank you very, I am. 16 very much for all of your comments. 17 CO-CHAIR SEPTIMUS: So thank you and I 18 hope that you'll take those comments back and keep 19 them in the queue or the parking lot for future 20 development. So thank you very much. 21 DR. ROMANO: Thank you. 22 CO-CHAIR SEPTIMUS: Okay. So, I quess **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

258 1 we can go through next steps pretty guickly that you see on the screen. 2 3 We have some business we're going to go We are actually I think doing really well 4 back to. 5 on time and I thank everyone for their focus and their comments. 6 7 So, here's the next steps. I think 8 post in-person meeting call I do not believe will So I'm sure you're all very hurt that 9 be needed. 10 you can write that off your calendar and get a 11 couple of hours back. 12 We'll be drafting the report to NQF 13 members and the public early this fall. And as you 14 know we will have a review and a standing committee 15 call to review those public comments. Then we'll 16 draft the report to the NQF member vote. It then 17 goes to CSAC for review and approval. And then 18 eventually goes to the board for endorsement just 19 before Christmas. 20 And if you remember there is also 21 another period of appeal. And for those of you who 22 went through the original sepsis measures back four **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701

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1 ago in fact there was some additional vears comments even after the endorsement from the board. 2 3 I don't think any of our measures are going to go through that kind of problem, but that's the 4 5 timeline. 6 MR. ANDERSON: So we're actually going 7 to -- remember we had tabled that measure 3000. 8 MR. LYZENGA: We tabled a decision on 9 that one. So we will hold the post meeting call. 10 There's also one earlier today that we offered the 11 opportunity. 12 CO-CHAIR SEPTIMUS: Do you think they 13 can get the information back? Okay, I see what 14 you're saying. 15 MR. LYZENGA: So we will need that 16 call. 17 CO-CHAIR SEPTIMUS: Well, actually the 18 call is in --19 MR. ANDERSON: It's in a week and a 20 half. 21 CO-CHAIR SEPTIMUS: Do you think -- I 22 mean I'm asking, do you think they're going to come **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

260 1 back that quickly? MR. LYZENGA: So, post comment then? 2 3 So we'll have to wait until the post comment call. CO-CHAIR SEPTIMUS: So I don't think --4 5 they're not going to be ready. At least that was 6 my understanding. 7 MR. ANDERSON: Fair enough. 8 MR. LYZENGA: Right. 9 CO-CHAIR SEPTIMUS: Okay, maybe you 10 ought to hold it, but I doubt we're going to have 11 a call on the eighth. That's probably the best 12 way, to hold it, okay? 13 So, let's -- before we get into other 14 stuff I think just to make sure we cover this is 15 there any public comment, Operator? Anybody in 16 the room? Public comments on the phone, Operator? 17 Operator? Are you on mute? 18 OPERATOR: My apologies. Once again 19 to make a public comment please press * then the 20 And there are no public comments at this number 1. 21 time. 22 CO-CHAIR SEPTIMUS: Thank you very **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

1 much, Operator.

So, I think we'll go back to what we 2 3 skipped over this morning to make sure we got through the measures and talk about -- discuss gaps 4 5 Andrew, do you want to lead that in measurement. discussion? 6 7 MR. LYZENGA: Sure. So thanks, 8 everybody, for staying in. We can maybe revisit this as well on one of the post meeting or post 9 10 comment calls just to get some input from the rest 11 of the folks who have scattered at this point. But we did want to talk a little bit 12 13 about gaps in the portfolio and gaps in measurement 14 around patient safety in general. 15 NQF, we like to do this in each cycle 16 just to give feedback to developers. 17 NOF is increasingly looking to get 18 involved as part of our strategic direction in the 19 identification and prioritization of gaps in 20 measurement in general. So this is а qood 21 opportunity to get some input and for us to get some 22 feedback from you on that as well. **NEAL R. GROSS**

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1	We also have as we mentioned yesterday
2	I think Helen was going to talk a little bit more
3	about it but she's gone at this point.
4	But the measure incubator is an
5	opportunity to sort of advance new measures in gap
6	areas and particularly innovative measures that
7	are taking approaches that we haven't necessarily
8	seen before.
9	So, in any case we would like to get some
10	thoughts from you if you don't mind on gaps in our
11	portfolio of measures in terms of topic areas,
12	types of measures, and also just thoughts on new
13	or promising approaches to measurement. Things
14	that maybe we can think out of the box on. Just
15	your thoughts about where the future of measurement
16	should go in patient safety.
17	So I'll just open up the floor with
18	that. It looks like we've got a couple of folks
19	already.
20	MEMBER LAWLESS: Actually, you heard
21	it in a couple of comment themes, interoperability
22	and safety around the lack of interoperability, and
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1 then transitions of care.

2	We've nailed every process you can find
3	in an area, but if there are processes involving
4	multiple areas that would be pretty it sounds
5	novel, but it would be very, very beneficial.
6	CO-CHAIR SEPTIMUS: Can I ask the NQF
7	staff, I mean interoperability has been a thorn in
8	many of our sides for quite some time. And I think
9	you've had some discussions about this. Is there
10	anything that we can do to sort of get folks
11	together to try to make this actually finally
12	happen? It's been a decade or more since we've
13	been talking about.
14	I'm not talking about NQF
15	responsibility. I'm talking about how NQF as a
16	non-biased group can pull these folks together.
17	MR. LYZENGA: I can tell you it's
18	definitely an area of strong interest for us. And
19	we're looking to do more work around
20	interoperability.
21	I was involved as was Jason Adelman in
22	our HIT safety work. There's a project around
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identifying and prioritizing measure concepts 1 related to HIT safety and interoperability came out 2 as one of the highest priorities for trying to 3 ensure the safety and safe use of HIT systems. 4 5 And so there is definitely some push to 6 develop some measures and approaches to increasing 7 interoperability that came out of that work. 8 I would anticipate that we'll be doing more work in that area in the future without 9 10 question. 11 CO-CHAIR SEPTIMUS: Steve, did you 12 have any thoughts about -- I mean, Ι agree 13 transitions of care are probably one of the most 14 dangerous times for patients. 15 Did you have any -- I mean, you don't have to state it but if you have any thoughts. 16 17 MEMBER LAWLESS: Two I'll give you 18 right off is if you look at the Joint Commission data on sentinel events communication handoff is 19 20 80 percent of them. And so that's one. 21 And the other is if you talk about 22 readmissions you can discharge somebody and then **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701

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265 1 you can accept somebody. You can discharge and then you can accept. 2 And so the discharging of a patient from 3 the hospital to what happens immediately after 4 5 discharge, that's a transition. 6 And we've had that sometimes here 7 around thromboembolic agents and stuff. But those 8 are the two transitions I would say people 9 designing measures around would be great. 10 CO-CHAIR SEPTIMUS: Thank you very 11 Charlotte and then Lisa. much. lot. 12 MEMBER ALEXANDER: T have a 13 Wrong site surgery in ASCs. We see a high Sorrv. instance of that. 14 15 I'd like to pair 2951 with referral for 16 treatment. 17 I'd like to get some stuff focused 18 around episodes of care. Where we're moving is 19 trying to be able to manage people outside of the That's where most of healthcare is 20 hospital. 21 determined. 22 An indirect way might be to pull things **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

like readmissions for diabetes or end stage renal 1 disease because our diabetes readmissions 2 are 3 usually because we're not doing an adequate job with education, or follow-up, or getting them into 4 5 their doctor, or seeing if they can pay for their 6 meds, or seeing if they've got a home to live in, 7 or food security. 8 I mean, there's all this stuff we're not fixing and the only way I know we can measure it 9 10 is when they bounce back into our facilities. 11 So if we can start getting beyond just 12 what we're doing in the facilities and into what's 13 a reflection of where we're falling down in the rest 14 of healthcare. To me that will start driving 15 people to do a better job in that arena. 16 CO-CHAIR SEPTIMUS: Great points. I should note that we 17 MR. LYZENGA: have reviewed a number of readmissions measures for 18 19 different settings including dialysis facilities, 20 I think some other post-acute care type settings 21 recently and most of those I think have passed 22 So we've got some stuff going through the through.

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1 pipeline.

2	CO-CHAIR SEPTIMUS: Yes, and obviously
3	one of the things that we're not always aware of
4	is what other committees are reviewing.
5	But nonetheless it may or may not be
6	covered by another committee. So, Lisa.
7	MEMBER MCGIFFERT: I just feel like we
8	have a pretty large gap of medical error measures.
9	And we usually parse them up into little
10	pieces, or we have like PSI 90 that brings some of
11	them together. But we really don't have a sense
12	of what's happening out there and we know there's
13	a lot going on. So I'd like to see some work on
14	that.
15	And I would like to see a measure that
16	would measure the accuracy of administration and
17	billing data.
18	CO-CHAIR SEPTIMUS: You know, for some
19	diagnoses there is. And sometimes it correlates
20	and sometimes it doesn't.
21	Obviously administrative data is very
22	heavily dependent upon physician
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1	MEMBER MCGIFFERT: Notes?
2	CO-CHAIR SEPTIMUS: Yes, progress
3	notes. But that's right.
4	MEMBER MCGIFFERT: So, I have a
5	philosophy that this is the hospital's
6	responsibility as well as the physician's
7	responsibility to be accurate in these records.
8	And so there may be ways to look at this
9	electronically, but there also may be ways that you
10	would validate it with checking the charts.
11	I've been doing this work or about 30
12	years now and for 30 years I've been hearing people
13	say oh, you can't use that data because it's not
14	accurate, and it's only for billing.
15	And we know that's not true. And I
16	think that the public might benefit from knowing
17	which hospitals are unable to accurately document
18	for billing purposes as well as quality purposes.
19	CO-CHAIR SEPTIMUS: Lisa started when
20	she was 15. Yanling.
21	MEMBER YU: I would like to say more
22	measures be encouraged towards ambulatory surgical
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269 center safety and infection. 1 This one today we reviewed is very 2 3 refreshing. I hope to see more of those types of measure be encouraged. 4 5 Another thing is I would like to see 6 more measurements to incorporate more about public 7 reporting. 8 Sometimes in those measures when the developers submit it they say usability, they just 9 10 say we have a plan for public reporting in the 11 future. But you don't know really what their plans 12 are. 13 I would like to see them actually have 14 more text in there, more meat in there, exactly what 15 they have in mind so that when we look at it. 16 Because we all know public reporting is an 17 important factor when it comes to the measure. So 18 it would be nice to include that. 19 CO-CHAIR SEPTIMUS: Is that -- let me 20 see if I can. Would you like to see does public 21 reporting impact safety? Is that what you're 22 getting at? **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS

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270 1 Yes. How public reporting MEMBER YU: would improve the outcome, the quality of the care 2 in terms of that. 3 So, the bottom line is we want to 4 5 improve the quality of care and the safety. That's 6 our goal. 7 MR. LYZENGA: I should also note that 8 the use and usability is -- we'd like to put increasing emphasis on that for maintenance 9 10 measures particularly. 11 So when you see a measure come back as 12 a standing committee and they've said something 13 like that, we plan to put this into public 14 reporting, you should certainly hold their feet to 15 the fire and hold them accountable for doing what 16 they have said they were going to do. 17 This might be my own MEMBER ARDIZZONE: 18 naivete. I don't know what your full portfolio 19 looks like. 20 But especially at my institution we 21 provide just as much outpatient care as we do 22 inpatient now. **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701

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1 Sixty percent of all our oncology care is outside the hospital. People get chemotherapy 2 3 outside the hospital. We do bone marrow transplants in patient's homes. 4 5 I would love to see reliable, valid 6 outpatient indicators. We do really well in the 7 hospital now, we've got that down to a science. We 8 just struggle to find good, reliable outpatient measures that indicate quality. 9 10 CO-CHAIR SEPTIMUS: So I think I hear 11 a little bit of a theme here about outpatient. So 12 we might want to take that one back. 13 MEMBER GELINAS: When it comes to the 14 world of safety the bucket of workforce measures. 15 I haven't heard that a lot in our work so far. 16 Individually, at the state level, at 17 the regional level we are showing correlations 18 between nursing turnover and harm, nursing 19 competency and harm. Fatigue and harm. 20 And we tend to go to the easy stuff. 21 Believe it or not it's easier to measure the 22 financial stuff than the workforce stuff. **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701

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1	But I commend NQF because that whole
2	nursing bucket of work was one of the very first
3	ones that NQF ever did.
4	I think as we move into value-based
5	purchasing and thinking of care overall, not just
6	care in silos, one of those crosscutting measures
7	has to do with workforce.
8	Not just nursing, but since nursing is
9	half of the workforce it's a decent place to start
10	for impact.
11	The whole concept of a balanced
12	scorecard, trying to get to safety is something
13	that I think NQF could do a white paper on, convene
14	an expert panel.
15	We keep talking about gaps in
16	measurement, but I'd like to perhaps put the
17	concept gaps in wisdom. Gaps in wisdom.
18	Which is why groups like this work
19	because this is wisdom sourcing. You know, we've
20	heard of crowdsourcing for projects and other types
21	of things, but truly what these panels are are
22	wisdom sourcing.
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1 So, it doesn't necessarily have to be a measure, but it would inform the field around 2 3 important topics related to safety, or related to quality that I think NQF could have a tremendous 4 contribution towards. 5 So workforce measures, financial 6 7 measures tied to workforce measures so we begin to 8 see the balance there. Causation. It will never be cause and 9 10 effect, but we can show correlations, I do believe 11 that. 12 And then that whole world of ambulatory that I think we've talked about. 13 14 The Joint Commission just had a panel 15 on ambulatory nursing. And we learned a lot about 16 why certain outpatient centers, ambulatory care 17 centers, physician practices, primary care clinics 18 don't hire registered nurses because then they 19 don't have to meet the Nurse Practice Act 20 requirements. 21 And so if you don't hire the nurse, or 22 the pharmacist, or the licensed clinician you don't **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

1 have to meet the state mandates. That's а workaround that's gaming the system and that's not 2 3 getting the public what they need in terms of safety. So, thank you. 4 5 CO-CHAIR SEPTIMUS: Those are So I think the workforce issues and 6 excellent. 7 nurse turnover rates for those of us who primarily 8 work in the inpatient setting is so very, very 9 important. 10 And we not only need to sort of figure 11 out how to measure that because as Lillee just said 12 turnover rate is directly related to some outcomes. 13 And it's really difficult to sustain quality 14 programs with a 15-20 plus percent turnover rate. 15 I think it's personally a very, very 16 high priority on the inpatient side. And there's 17 some opportunities certainly on the outpatient 18 side as well. 19 So we've had a couple of measures come 20 through looking at level of nursing, RNs versus 21 associate degrees, et cetera, looking at outcomes 22 and I think that's a good first step. **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W.

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1	The fatigue issue, I wonder if you'd
2	comment a little about this. Because there's a
3	lifestyle issue that I'm not sure will ever go back.
4	But the shifts are commonly 12 hours for nursing.
5	And we know that as they get towards the
6	tail end, and tell me if I'm wrong about this, at
7	the tail end of that that, that's when a lot of
8	mistakes can be made.
9	And I can't remember what hospital in
10	what country this was, I think it was in Europe.
11	They actually went to shorter shifts and they
12	actually got much better employee satisfaction
13	actually.
14	But then there's that balancing act
15	between lifestyles and getting an extra day off per
16	week versus working a really long shift.
17	I mean, to me there's no such thing
18	I've never come in at change of shift and seen the
19	nurse go home at 7. So I don't know whether you
20	have a comment about the fatigue factor.
21	And the burnout factor. You know, talk
22	about nurses being in front of the computer and not
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276 being able to interact with patients. All this is 1 a dissatisfier for nurses. 2 3 MEMBER GELINAS: There's a growing body of evidence around the direct link between 4 5 fatigue and error. And it's not well known I believe, but 6 7 because of the high nursing turnover today, 8 particularly with new graduate nurses, it can be as high as 40 percent in the United States. New 9 10 graduate nurses don't stay in their job any longer 11 than two years. 12 And if it costs between sixty and eighty 13 thousand dollars per nurse to replace them and reorient them we're talking real bucks in the 14 15 United States with the amount of turnover. 16 Some of that is related to fatigue, Ed, 17 but I will tell you there's been debate about 18 12-hour shifts for a long time. 19 And if you were just to work what you're 20 supposed to, the three 12-hour shifts and then have 21 two off. But to your point it's never just three 22 12-hour shifts. **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS

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1	It's also a financial factor because
2	nurses are picking up those extra shifts to make
3	money because of the economics of what the average
4	nurse makes.
5	We talked last night at dinner about
6	traveling nurses and they're going to other
7	countries, experienced nurses, because they make
8	so much more money.
9	So there is the body of evidence around
10	fatigue and error, and then there is the knowledge
11	about it. So there's the research and the body of
12	evidence, but who knows it and who's applying it?
13	Why should we?
14	So, the more this whole patient safety
15	arena becomes a public cry, when the public begins
16	to realize how important it is. Could you imagine
17	a patient saying to their nurse how long have you
18	been awake before you try to start that IV?
19	Or some of those types of consumer
20	issues. It'll be a very interesting day.
21	But I think at the very least awareness
22	building is where we need to be right now because
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1	the body of evidence around fatigue and error, not
2	just in healthcare but in other industries is
3	pretty robust.
4	CO-CHAIR SEPTIMUS: And the other
5	thing is that alignment of healthcare
6	professionals at the local level, how we
7	communicate with each other, and is it really,
8	truly a teamwork environment, or is it hierarchical
9	are big dissatisfiers for folks.
10	So, I think those are great comments.
11	Missy.
12	MEMBER DANFORTH: Just two comments on
13	gaps and then one request from NQF staff.
14	So first, we've been doing some work for
15	the past 18 months at Leapfrog around diagnostic
16	error. We assembled a national expert panel about
17	two years ago in person actually at Armstrong
18	Institute and asked them to help us identify the
19	biggest gaps in measurement related to patient
20	safety and they unanimously said diagnostic error.
21	So we've been doing work with folks like
22	David Newman-Toker, and Mark Graber, and Paul
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Epner, but I think that's the big opportunity
 related to patient safety.

The second one is patient-reported outcomes. We're part of lots of conversations, and meetings, and collaboratives, and groups. There's a lot of interest in patient-reported outcomes, particularly related to patient harm and preventable harm. I mean, this group has talked about it.

10 Then the request is that I think it 11 would be helpful to get to Laura's comment about 12 the portfolio to have like a summary based on care 13 setting. So hospital, outpatient, ambulatory, 14 dialysis center, physician office, the number of 15 process/outcome/structural measures.

And then just like I don't know where to find that. I mean, I'm on that QPS website probably as much as anyone, but it's really hard to just get summary level.

20 MR. LYZENGA: Yes, it's tough. We can 21 do that. I was actually doing a little work to do 22 that in the runup to this meeting and didn't have

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1	all of it together. But I can pull it together by
2	a bunch of dimensions, measure type, care setting,
3	level of analysis. And we'll circulate something
4	like that.
5	MS. MUNTHALI: We're in the process of
6	revamping QPS where we're going to do that for all
7	of the topic areas and subtopic areas in QPS.
8	It's part of our new strategic plan, to
9	make sure that we can slice and dice the data for
10	all of our measures by all of those levels that
11	folks would want to see.
12	And so hopefully by the end of fourth
13	quarter, first quarter of next year.
14	MR. LYZENGA: I should also say we have
15	every intention of pursuing work around diagnostic
16	error too.
17	And patient-reported outcomes is a
18	major focus of the work in the incubator right now.
19	CO-CHAIR SEPTIMUS: I want to second
20	diagnostic errors was one of the ones on my list.
21	Oh, yes. Well, you're the great mind, I just
22	follow your lead. I learn from you.
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1	MEMBER MCGIFFERT: One thing about
2	patient-reported outcomes that we've talked about
3	is adding questions on the HCAHPS so that it would
4	be standardized and incorporated in a practice.
5	CO-CHAIR SEPTIMUS: Good point.
6	Jason? And then Albert. Jason, then Theresa, I'm
7	sorry. Then Albert.
8	MEMBER ADELMAN: I would love, I don't
9	know if this is possible, but I would love it if
10	NQF could themselves have a composite measure that
11	was simply a harm measure.
12	And what I mean by that is take the
13	multiple NQF-endorsed measures that come from many
14	different developers, NHSN, AHRQ where the point
15	is really just to communicate the measurable amount
16	of harm that we have.
17	So for example, there can be at any
18	given hospital, average sized hospital 40 people
19	a year that when they fall they get hurt, and 20
20	CLABSIs, and 30 CAUTIs, and this number of
21	hemorrhages.
22	And so out of every 1,000 patients
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1	there's a rate of 50 people being harmed. So it's
2	just a harm scale. So that we can then drive to
3	reduce it.
4	Now, all the measures I'm talking about
5	are the exact same measures that are in value-based
6	purchasing and HAC penalties, they're all there and
7	they're used as individuals.
8	But I think it would be easy for the
9	patients and the world to translate. It's a lot
10	of harm per 1,000 patients and we want to see that
11	number go down.
12	And the reason why I say NQF to build
13	it is because it's really just adding up the harm
14	from all these other developers.
15	But like let's say I wanted to do it as
16	a researcher and patient safety officer from
17	Columbia. I just can't keep track of where AHRQ
18	is with their measures, and NHSN is.
19	You're sort of well situated. It's
20	really just adding them all up and they're already
21	endorsed. So it maybe doesn't even need to be
22	reviewed. It's just a different way of doing what
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1	value-based purchasing is doing, but translating
2	it to something that the world can understand.
3	CO-CHAIR SEPTIMUS: I was really
4	thinking along the way that I think a really great
5	measure developer in this area is someone named
6	Jason. Maybe you could even work with Patrick and
7	do that.
8	I mean, it's a very good thought.
9	We're all searching for either that measure or that
10	composite that really is the most predictive of
11	harm. And I think that those are good points.
12	Theresa.
13	MEMBER EDELSTEIN: So, I would like to
14	see this won't come as a surprise more
15	measures in the post-acute care space,
16	particularly in support of Steve Lawless on
17	transitions of care.
18	As more acuity gets pushed into skilled
19	nursing facility environments in particular what
20	happens to patients as they transition from that
21	skilled nursing facility perhaps to home health,
22	perhaps to outpatient, perhaps home with no other
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service. What happens to them in that handoff is
 important.

And then I know the MAP and NQF have been doing work on home- and community-based service measures. I really would love to see more in that area, especially on home health.

7 Because again, under the bundling 8 environment that CMS is putting forward under Medicare, comprehensive joints, now cardiac was 9 10 just introduced, more and more patients are being 11 not forced, but it's being highly recommended that 12 patients be placed in lower level settings and home 13 health is absorbing a lot of that acuity.

14Soreallyunderstandingwhat's15happening as a result of that.While we're16concentrated very much on the Medicare spending per17beneficiary what are we really doing in terms of18outcome.

19CO-CHAIR SEPTIMUS:Thank you very20much. Albert and then Pat.

21 MEMBER WU: This is a little potpourri
22 of different things.

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1 In the patient-reported outcome measures, if we were to supplement some of our 2 3 surveys one question might be how incidence or errors are handled from the patient's point of 4 5 view. did people 6 question could be One 7 disclose what happened to Did they you. 8 apologize. they honest. Were Were they 9 empathetic. 10 I think -- maybe there's a gap perhaps 11 that you're identifying. A second sort of completely different 12 dimension is we had some sort of sidebar discussion 13 14 earlier about what NQF's standards are for what is 15 acceptable reliability, what is sufficient а 16 number of studies to provide evidence to support 17 validity. 18 If you're doing a literature synthesis 19 what's an adequate positive predictive value. So 20 there are a number of things that I think that we've 21 sort of got jotted down here and there. 22 But I'm not sure that we have thought **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701

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them through as clearly for performance measurement and performance improvement as opposed to sort of what is done in the meta analysis world. So that's -- codifying that across panels might be an interesting thing to do.

MR. LYZENGA: It's definitely 6 7 something that's been considered before. We have 8 had some work done by a testing task force, for 9 example, and they I think put that question to them 10 and they really resisted actually putting any 11 thresholds, numbers for things like reliability 12 and validity. But maybe it's something that we can 13 revisit.

Something that there was discomfort with among that group at least and they really wanted to leave some space for committees to wrestle with these things in different scenarios, different measures, and different circumstances and come to a judgment.

20 But it is something that we've heard 21 from our committee still that that's a really 22 difficult thing. You know, committees want to

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1	know what does this score mean, that 0.6, or 0.7,
2	or 0.8 and what does that mean. Is that good, or
3	is it bad, or is it somewhere in between and what's
4	the cutoff.
5	We haven't really provided too
6	definitive guidance around that yet. But again,
7	maybe that's something that we could revisit in the
8	future.
9	CO-CHAIR SEPTIMUS: Is someone by the
10	way keeping track of these suggestions so that when
11	we send out things? Okay. Very good. Pat.
12	MEMBER QUIGLEY: Thank you. For
13	opportunities for measurement improvement I would
14	like to suggest that there still be more efforts
15	towards harmonization of these measures across the
16	different organizations that are submitting them.
17	But also at some point in time to have
18	a real critical analysis of how many of these are
19	really value-added.
20	Because wherever I go I just hear the
21	burden, all the burden that's associated with all
22	these measures, and the data extraction, and the
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1 reporting.

2	For opportunities for performance
3	measures to have greatest impact on improving
4	outcomes I would like to see more composite
5	measures. And those who have composite measures
6	to see if they've moved them to outcomes.
7	You know, we had one of the composite
8	measures I think was NCQA, the group for ambulatory
9	care. They had fall risk, screening, assessment
10	and care planning, but have they moved to outcomes.
11	Have they extended that composite measure to
12	outcomes.
13	And still as people come forward for
14	those that really could have the composite measure
15	then if we had the structure and the process, if
16	the outcomes weren't met then they could go back
17	and look at structure and process.
18	And here might be an example of that.
19	As you know, I was one of the dissenting votes for
20	the PSI 90. And the measure that I had the most
21	concern about of course was the one related to post
22	surgical hip fractures.

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289 And the AHRQ toolkit just came out two 1 And I went and I looked into the toolkit 2 days ago. 3 because I would, a patient safety person. And don't you know there's composite 4 5 measures in the AHRQ toolkit. 6 Well, then Ι looked at the 7 post-surgical fracture risk. And what AHRQ put 8 out there best practice as а approach for prevention is standard fall prevention. For post 9 10 surgical hip fractures. 11 It had nothing to do with assessing 12 injury risk for the surgical population. It had 13 nothing to do with identifying those at risk 14 because of osteoporosis or prior hip fracture. 15 So, this has implications. So I think 16 could really look at having composite if we 17 measures. Because whatever comes out of here at 18 some point is going to go towards implementation. 19 So I would like to ask for that. 20 So I give that example as one. So I 21 think harmonization. 22 But I also think at some point as NQF **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701

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290 goes forward there should be some expectations to 1 stratify based on risk. 2 Some of these measures that come in have 3 such a large age range. And they aren't 4 5 stratifying based on risk, or age. So I think that 6 there's some opportunity to still have more 7 precision. 8 And that's what I ask for, is more precision. Because there's a lot of work that's 9 10 being done by experts and sometimes we just don't 11 get to have that. So I know I get a little long-winded, 12 but the last one for areas for investment for 13 14 further measures to be submitted, I'd like to 15 suggest that maybe early remobilization. 16 There's a lot of work being done in 17 hospitals now for eliminating bedrest and getting 18 people up and moving. And there is emerging 19 evidence surrounding that. So I think early 20 remobilization, decreasing bedrest, those kinds of 21 things. And then in terms of workforce safe 22 **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W.

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1 patient handling and movement. Preventing back injuries in the workforce I think would have a lot 2 3 of strength. And there's enough evidence in that for that to come forward whether by the American 4 5 Nurses Association, the organizations surrounding 6 safe patient handling and mobility. 7 So those would be my comments and thanks 8 for this opportunity. 9 CO-CHAIR SEPTIMUS: Thank you very 10 Charlotte, did you have another one? much. 11 MEMBER ALEXANDER: I have one more. 12 I'd like to see some more work around disparities. 13 It's certainly something that I'm trying to get my 14 hospital system more engaged with. I'm learning 15 how poorly we identify patients and their languages 16 and how can you communicate if you don't even know 17 what language they're speaking. 18 And I think it's a huge patient safety 19 So if there's a way that we can come up with issue. 20 a measure that can help us identify even if it's 21 just language, much less all the other stuff that 22 we need to be looking at, I'd like to see that.

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1 MR. LYZENGA: I know we do have а committee on disparities and that's a major focus 2 3 of NQF, trying to figure those things out. I also know there are a lot of problems 4 5 trying to get the data to identify disparities 6 comprehensively and accurately and kind of nail 7 So it's something that there's that stuff down. 8 a lot of people thinking about and it's very 9 important. 10 MEMBER MCGIFFERT: Since we're talking 11 about patient safety are you specifically thinking 12 of disparities with patient safety, or just in 13 Because that's kind of -- in those general? 14 discussions there's been a pretty consistent 15 philosophy that we don't include patient safety 16 issues. 17 MEMBER ALEXANDER: I think we have to. 18 I mean, whether it is dialysis rates with black men, 19 whether it is a language issue that we're not 20 communicating with the patient in their own 21 language, whether it's pain medicine that black people are getting in the emergency room. 22 There's **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS

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1	so much in the way of safety and quality disparities
2	that exist.
3	MEMBER MCGIFFERT: Like medication.
4	(Simultaneous speaking)
5	MEMBER MCGIFFERT: But it wouldn't be
6	like a CLABSI
7	MEMBER ALEXANDER: Access to heart
8	disease, access to cancer care.
9	MEMBER MCGIFFERT: A CLABSI and a
10	surgical error you think, maybe?
11	MEMBER ALEXANDER: I think that when
12	you look at people that, for instance, are not
13	English speaking or have limited English
14	proficiency their complication rate increases in
15	the hospital. Their length of stay increases a
16	little bit. So, there are definite quality
17	aspects that are tied to it.
18	We're stratifying and that's great, but
19	we're really not getting to the root of going the
20	next step to try and force people to fix it. So
21	I'd like to see a little more work in that area.
22	CO-CHAIR SEPTIMUS: Well, we're just
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at about the top of the hour and we've got some great suggestions.

Unless staff has anything else to say 3 I want to say this is a phenomenal meeting as 4 5 Obviously our portfolio this time in alwavs. 6 terms of time and numbers were much more manageable 7 which is why we still have a quorum and we're 8 finishing seven minutes before the time of ending. But really, if it wasn't for the great 9 10 work that everybody does beforehand in preparing 11 to present these measures, and thank for the 12 developers of course for all the time that they do, and of course as I mentioned before the incredible 13 14 heavy lifting that the NQF staff does which we 15 couldn't even begin to start if it wasn't for the 16 work that they do before we get here. So obviously 17 a big thanks to them. 18 And with that I wish you all a great rest 19 of the summer. And from Iona and I thank you so 20 much. 21 (Whereupon, the above-entitled matter 22 went off the record at 2:52 p.m.)

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