

NATIONAL QUALITY FORUM

TO: NQF Members

FR: NQF Staff

RE: Voting for *National Voluntary Consensus Standards for Patient Safety, Phase I: A Consensus Report*

DA: October 13, 2011

Background

NQF has endorsed more than 100 performance measures that are directly related to patient safety. These endorsed measures are relevant in several different environments of care and are applicable to a variety of healthcare professionals. As with the preceding measures, the measures presented in this report address broad issues within patient safety, including capacity, productivity, and improving patient outcomes.

This Patient Safety Measures project includes two reports. This report (Phase I) focuses on healthcare-associated infections (HAI), while the other report (Phase II) focused on medication safety, querying and counseling on side-effects, colonoscopy processing, and radiation dosing.¹ This phase of the project was delayed to accommodate an effort to harmonize two competing surgical site infection measures, an undertaking initiated in response to feedback provided during the initial public comment period for the Phase I report. In addition, two other measures (PSM-001-10 and PSM-003-10) were modified after the initial comment period to include additional settings of care. Because these modifications and the SSI harmonization effort occurred after the initial comment period, a supplemental comment period was initiated to allow for public input on the modified measures. This report includes the harmonized SSI measure as well as three additional HAI measures recommended for endorsement by the Patient Safety Measures Steering Committee. Additions to the report related to the supplemental comment period are redlined.

Ultimately, the standards presented in both reports will provide stakeholders with an improved picture of patient safety within a range of healthcare settings in the United States.

Comments and Revised Draft Report

The supplemental comment period for the draft report, *National Voluntary Consensus Standards for Patient Safety, Phase I: A Consensus Report*, concluded on September 14, 2011. NQF received 50 comments from 22 organizations on the report. The distribution of comments by Member Council follows:

Consumers- 0	Health Professionals- 5
Purchasers- 1	Public Health/Community- 1
Health Plans- 2	QMRI- 0
Providers- 5	Supplier and Industry- 0
Non-members- 8	

Comments were submitted both on individual measures and on the set of measures as a whole. Comments generally fell into several topic areas, and accordingly, the comments are presented by topic area below. All measure-specific comments were forwarded to the measure developers,

¹ Please note that none of the medication safety or querying and counseling measures was recommended for endorsement.

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who were invited to respond. A table of detailed comments submitted during the review period is posted on the NQF voting webpage. Revisions to the draft report and accompanying measure specifications table (Appendix A) have been made using the track changes functionality.

Comments and Their Disposition

Feasibility concerns

A number of commenters voiced concerns about the expanded data collection requirements under the revised measures. Commenters suggested that increased data collection demands may impede the ability of hospitals to focus on infection control surveillance efforts and interventions.

Steering Committee members acknowledged concerns regarding the feasibility of the measures, emphasizing that additional data collection requirements be staged with ongoing assessment of provider burden. Committee members pointed out that incorporation of the measures into electronic health records (EHRs) would help to automate many of the otherwise time-consuming data collection processes.

SSI Harmonization concerns

Some commenters suggested that the SSI measure was not truly harmonized, and that two distinct reporting mechanisms remained, so that providers are still left with two separate measurement systems.

The developers responded that they are taking an incremental approach to harmonization of the measures, and that their initial efforts were focused on harmonization of the measure specifications—the “core ingredients” of the measure—rather than the reporting mechanisms. However, they clarified that the data fields have been harmonized so that providers may use either the NSQIP program or the NHSN program to submit data to meet the measure’s requirements. In addition, the developers stressed that they intend to move toward greater integration of the measures in the future as their harmonization efforts continue. The Steering Committee was satisfied with the developers’ response.

Testing Concerns

Some commenters requested clarification on whether the modified measures (PSM-001-10 and PSM-003-10) had been fully tested in the expanded care settings.

The developers responded that testing in the expanded settings has been limited, but argued that testing results from acute care settings were relevant to the additional settings, and that adjustments to measure definitions or requirements were unnecessary. Moreover, the data elements of the measure remained consistent and have been shown to be reliable and valid. The Steering Committee agreed that the measure testing was adequate.

Applicability to Pediatric populations

One commenter questioned whether PSM-003-10 (CAUTI Outcome Measure) was applicable to pediatric patients, given the limited evidence related to prevention of CAUTI in children. The developer acknowledged the limits of the existing evidence base in this area, but suggested that there is little reason to believe that practices and strategies for prevention of UTIs in the adult

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population would not extend to pediatric populations as well. The Steering Committee concurred with this assessment.

Methodological Concerns

Some commenters expressed concerns about methodological aspects of the proposed measures. One concern regarded the lack of adjustment in PSM-001-10 (CLABSI Outcome) for patient populations who have an inherent risk for bloodstream infection. The developer responded that differences in CLABSI risk are accounted for through stratification by healthcare service locations. Another concern was that the Standardized Infection Ratio (SIR) underpinning the CDC's HAI measures is susceptible to misclassifications and erroneous conclusions about hospital performance. The developer acknowledged that there are potential shortcomings in their method, but said that those shortcomings do not represent fatal flaws. The developers expressed their commitment to continued development of better reporting methods and approaches. The Steering Committee referred to its consideration of the SIR methodology during the consensus standards review portion of this project, and reaffirmed its initial determination that the CDC's use of a Standardized Infection Ratio is a reasonable approach.

Potential Exclusions

Some commenters suggested that certain exclusions be incorporated into the measures. The developers acknowledged the need for balance in inclusionary and exclusionary criteria, and signaled their commitment to refine their measures as needed and to be responsive to concerns from the field. The Steering Committee, while affirming their support of the measures, also recognized the need for adjustment to account for certain high-risk populations, and urged the developers to continue to study these issues as they refine their measures.

NQF Member Voting

Information for electronic voting was sent to NQF member organization primary contacts. Accompanying comments must be submitted by e-mail. The e-mail must identify submitter, organization, and the specific ballot item that the comments accompany.

All votes must be submitted no later than 6:00 pm ET, October 27, 2011.

Thank you for your interest in this consensus development project.

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NATIONAL VOLUNTARY CONSENSUS STANDARDS FOR PATIENT SAFETY, PHASE I: A CONSENSUS REPORT

DRAFT REPORT FOR VOTING

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NATIONAL VOLUNTARY CONSENSUS STANDARDS FOR PATIENT SAFETY, PHASE I: A CONSENSUS REPORT

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NATIONAL VOLUNTARY CONSENSUS STANDARDS FOR PATIENT SAFETY MEASURES, FIRST REPORT: A CONSENSUS REPORT

EXECUTIVE SUMMARY

Healthcare-associated infections (HAIs) remain a significant public health issue in the United States. In hospitals alone, the incidence of HAIs is estimated at 1.7 million infections, with 99,000 associated deaths. Urinary tract infections (UTIs), surgical site infections (SSIs), pneumonia, and bloodstream infections account for 83 percent of all HAIs. The estimated direct cost of these infections to the healthcare system is nearly \$4.5 billion. In 2009, the American Recovery and Reinvestment Act (ARRA) authorized \$50 million in funding for states to engage in HAI planning and other activities in support of the Department of Health and Human Services (HHS) Action Plan to Prevent Healthcare-Associated Infections. Preventing HAIs has become a national priority for public health and patient safety.

The National Quality Forum (NQF) inventory of endorsed measures includes more than 100 measures related to patient safety. Several of these measures focus specifically on HAIs, addressing UTIs, SSIs, pneumonia, and bloodstream infections. Similarly, the measures recommended for endorsement in this first report of patient safety measures include updated versions of previously HAI endorsed measures. Ultimately, the endorsement of these national standards for HAI measurement will provide states and other organizations with valuable resources for implementing comparable standards and will enable consumers to gain access to uniformly reported data that are reliable and useful for decision making.

Under this initial phase of NQF's most recent Patient Safety Measures project, five HAI measures are recommended for endorsement. These measures were submitted by the Centers for Disease Control and Prevention (CDC) and the American College of Surgeons (ACS) and are listed below:

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- 27 • PSM-001-10: National Healthcare Safety Network (NHSN) Central line-associated
28 bloodstream infection (CLABSI) outcome measure (CDC)
- 29 • PSM-002-10: American College of Surgeons – Centers for Disease Control and
30 Prevention (ACS-CDC) Harmonized Procedure Specific Surgical Site Infection (SSI)
31 Outcome Measure
- 32 • PSM-003-10: National Healthcare Safety Network (NHSN) catheter-associated urinary
33 tract infection (CAUTI) Outcome (CDC)
- 34 • PSM-007-10: Risk adjusted urinary tract infection outcome measure (ACS)

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NATIONAL VOLUNTARY CONSENSUS STANDARDS FOR PATIENT SAFETY, PHASE I: A CONSENSUS REPORT

35 **BACKGROUND**

36 Healthcare-associated infections (HAIs) remain a significant public health issue in the United
37 States. In hospitals alone, the incidence of HAI is estimated at 1.7 million infections, with
38 99,000 associated deaths.¹ Urinary tract infections (UTIs), surgical site infections (SSIs),
39 pneumonia, and bloodstream infections account for 83 percent of HAIs¹. In 1992, the estimated
40 direct cost of these infections on the healthcare system was \$4.5 billion in 1992 dollars; adjusting
41 for inflation, this cost rose to \$6.65 billion in 2007.²

42
43 Consumer, provider, purchaser, and regulatory and accreditation organizations are growing
44 increasingly interested in HAIs.³ Many of the stakeholders in healthcare have focused increased
45 attention on both surveillance and public reporting of HAIs. From 1970 to the present, the
46 Centers for Disease Control and Prevention (CDC) collected voluntary data on HAIs, clinical
47 practices known to prevent HAIs, as well as information about multidrug-resistant organisms and
48 other adverse events. Twenty-seven states are now requiring public reporting of certain HAIs.⁴
49 Preventing HAIs has become a public health and patient safety priority issue. In 2009, the
50 American Recovery and Reinvestment Act (ARRA) authorized \$50 million in funding for states
51 to engage in HAI planning and other activities in support of the HHS Action Plan to Prevent
52 Healthcare-Associated Infections.⁵ In October 2008, Medicare reduced reimbursement to
53 facilities not collecting data on particular HAIs including catheter-associated urinary tract
54 infection (CAUTI), central line-associated bloodstream infection (CLABSI), and SSI. The
55 Patient Protection Affordable Care Act (PPAC) will extend these payment reductions to
56 Medicaid providers in 2011. Beginning in 2013, hospitals' annual Medicare payment updates
57 will be tied to submission of infection data, including CLABSIs and SSIs.⁶

58
59 Though HAI data have been collected for many years, use of the data for comparison of infection
60 rates between hospitals and other healthcare facilities requires uniform measurement standards.
61 Because methods for diagnosis and data collection on HAIs vary among institutions, the validity

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62 of data comparisons between facilities or across geographic areas is questionable. Endorsement
63 of national standards for HAI measurement allows states and other organizations to gain a
64 valuable resource for implementing nationally comparable standards rather than going forward
65 with separate, potentially discordant measurement efforts. Ultimately, consumers gain access to
66 standardized data that are reliable and useful for decision making.

67

68 **STRATEGIC DIRECTIONS FOR NQF**

69 NQF's mission includes three parts: 1) setting national priorities and goals for performance
70 improvement, 2) endorsing national consensus standards for measuring and publicly reporting on
71 performance, and 3) promoting the attainment of national goals through education and outreach
72 programs. As greater numbers of quality measures are developed and brought to NQF for
73 consideration of endorsement, it is incumbent on NQF to assist stakeholders to “measure what
74 makes a difference” and address what is important to achieve the best outcomes for patients and
75 populations. For more information see www.qualityforum.org.

76

77 Several strategic issues have been identified to guide consideration of candidate consensus
78 standards:

79 **DRIVE TOWARD HIGH PERFORMANCE.** Over time, the bar of performance expectations
80 should be raised to encourage the achievement of higher levels of system performance.

81 **EMPHASIZE COMPOSITES.** Composite measures provide much needed summary
82 information pertaining to multiple dimensions of performance and are more comprehensible to
83 patients and consumers.

84 **MOVE TOWARD OUTCOME MEASUREMENT.** Outcome measures provide information
85 of keen interest to consumers and purchasers, and when coupled with healthcare process
86 measures, they provide useful and actionable information to providers. Outcome measures also
87 focus attention on much needed system-level improvements, because achieving the best patient
88 outcomes often requires carefully designed care processes, teamwork, and coordinated action on
89 the part of many providers.

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90 **CONSIDER DISPARITIES IN ALL THAT WE DO.** Some of the greatest performance gaps
91 relate to care of minority populations. Particular attention should be focused on the most relevant
92 race/ethnicity/language/socioeconomic strata to identify relevant measures for reporting.

93

94 **NATIONAL PRIORITIES PARTNERSHIP**

95 NQF seeks to endorse measures that address the National Priorities and Goals of the National
96 Priorities Partnership.⁷ The National Priorities Partnership represents those who receive, pay for,
97 provide, and evaluate healthcare. The National Priorities and Goals focus on these areas:

- 98 • patient and family engagement,
- 99 • population health,
- 100 • safety,
- 101 • care coordination,
- 102 • palliative and end-of-life care,
- 103 • overuse,
- 104 • equitable access, and
- 105 • infrastructure support.

106

107 **NQF'S CONSENSUS DEVELOPMENT PROCESS (CDP)**

108 **Patient Safety Measures Project⁸**

109 The National Quality Forum's National Voluntary Consensus Standards for Patient Safety
110 Measures project seeks to endorse patient safety-related measures that address healthcare-
111 associated infections (HAIs), medication safety, and other areas. Potential consensus standards
112 focus on a broad range of areas including but not limited to safety risk assessment and/or risk
113 identification, hospital standardized mortality rates, reporting and follow-up or critical test
114 results, and leadership and culture of safety. Additionally, the project will identify gaps in patient
115 safety measures.

116

117 This report does not represent the entire scope of NQF work relevant to patient safety. NQF has
118 endorsed over 100 measures related to patient safety through the National Voluntary Consensus
119 Standards for Medication Management project⁹, the National Voluntary Consensus Standards for

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120 the Reporting of Healthcare-Associated Infection Data¹⁰ and other projects. In addition the Safe
121 Practices for Better Healthcare: 2010 Update¹¹ provides evidence based strategies to increase
122 patient safety.

123 The full constellation of consensus standards, along with those presented in this report, provide a
124 growing number of NQF-endorsed[®] voluntary consensus standards that directly reflect the
125 importance of measuring and improving the quality of care provided to patients. Organizations
126 that adopt these consensus standards will promote the delivery of safer and higher-quality care
127 for patients.

128

129 **Evaluating Potential Consensus Standards**

130 Candidate standards were solicited through an open “Call for Measures” in January 2010 and
131 were actively sought by NQF staff through literature reviews, a search of the National Quality
132 Measures Clearinghouse, NQF Member websites, and an environmental scan. The measures
133 were evaluated using NQF’s standard evaluation criteria¹². The HAI Technical Advisory Panel
134 (TAP) rated the subcriteria for each candidate consensus standard and identified strengths and
135 weaknesses to assist the project Steering Committee (Committee) in making recommendations.
136 For this first report, the 21-member, multi-stakeholder Committee provided final evaluations of
137 the four main criteria: importance to measure and report, scientific acceptability of the measure
138 properties, usability, and feasibility. Measure developers participated in the TAP and Committee
139 discussions to respond to questions and clarify any issues or concerns.

140

141 **RECOMMENDATIONS FOR ENDORSEMENT**

142 This first report of the Patient Safety Measures project presents the evaluation results of four
143 HAI measures considered under NQF’s Consensus Development Process. All four measures are
144 recommended for endorsement as voluntary consensus standards suitable for public reporting
145 and quality improvement.

146

147 **Candidate Consensus Standards Recommended for Endorsement**

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149 **PSM-001-10: National Healthcare Safety Network (NHSN) Central line-associated**
150 **bloodstream infection (CLABSI) outcome measure (CDC)**

151 *Standardized Infection Ratio (SIR) of healthcare-associated, central line-associated bloodstream*
152 *infections (CLABSI) will be calculated among patients in the following patient care locations:*

- 153 • *Intensive Care Units (ICUs)*
- 154 • *Specialty Care Areas (SCAs) - adult and pediatric: long term acute care, bone marrow*
155 *transplant, acute dialysis, hematology/oncology, and solid organ transplant locations*
- 156 • *Other inpatient locations. (Data from these locations are reported from acute care*
157 *general hospitals (including specialty hospitals), freestanding long term acute care*
158 *hospitals, rehabilitation hospitals, and behavioral health hospitals. Only locations where*
159 *patients reside overnight are included, i.e., inpatient locations.*

160

161 This measure was designed to capitalize on increased reporting to the National Healthcare Safety
162 Network (NHSN), a voluntary, nationwide HAI surveillance system managed by the Centers for
163 Disease Control and Prevention (CDC). Hospitals and other healthcare providers use
164 standardized definitions and protocols to report HAI data to the NHSN regularly, allowing the
165 CDC to estimate the prevalence of HAIs, recognize trends, and assist healthcare facilities in
166 quality improvement activities. The measure uses a standardized infection ratio (SIR) to compare
167 a given healthcare facility's observed CLABSI rate to that facility's expected CLABSI rate. The
168 expected rate is based on standardized rates that account for length of stay, length of central line
169 use, patient care location, and other factors.

170

171 During the course of this project, PSM-001-10 was modified by its developer to extend the
172 measure's scope of coverage beyond intensive care units (ICUs) and acute care hospitals to
173 include non-ICU locations, acute care general hospitals, free standing long-term acute care
174 hospitals, rehabilitation hospitals and behavioral health hospitals where patients reside overnight.
175 CMS has requested measures in these domains for the Inpatient Prospective Payment System

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176 (IPPS) reporting requirements. The measure developer noted that the measures are currently in
177 use in non-ICU locations, acute care hospitals and inpatient and long-term care facilities.

178

179 This measure addresses a high impact area; the CDC estimates that 248,000 bloodstream
180 infections occur in U.S. hospitals each year, and that a large proportion of these are central line-
181 related. CLABSIs are associated with significant increases in mortality and healthcare costs.¹³
182 Moreover, evidence-based interventions have shown significant reductions in CLABSI rates and
183 improved health outcomes. For these reasons, the Committee agreed that this expanded measure
184 strongly meets the criteria of importance to measure and report.

185

186 While the Committee appreciated the detail within the measure specifications, members
187 expressed concern about the absence of a risk adjustment model or specific exclusions that
188 consider the variability of disease severity from unit to unit or within units. Committee members
189 also requested clarification on the measure developer's unit type classifications. The developer
190 explained that as part of the NHSN enrollment process, facilities must map internal location to
191 pre-defined locations in the NHSN Patient Safety Manual. The criteria or unit designation are
192 included in the Manual. Although the measure is based on unit experience and not patient-level
193 data, there are mechanisms to stratify patients by risk. Units with increased risk related to disease
194 severity are identified as "special care areas" separate from critical care units or intensive care
195 units (ICUs). The developer noted that patient-level analysis would add to the data collection and
196 manual calculation burden. The developer added that data could be stratified on several levels
197 including by hospital type (i.e. teaching versus non-teaching hospital). Ultimately, the
198 Committee and the developer acknowledged the inevitable variability from patient to patient that
199 might be missed with this type of unit-based analysis. Following its conference call to review the
200 updated specifications, the Steering Committee agreed that the expanded measure meets the
201 scientific acceptability criterion.

202

203 The Committee raised several questions about how data are reported within the NHSN--
204 specifically, the level of granularity used to report organism types and the specific reporting time
205 period (i.e., whether reporting is cumulative, ongoing, annual, or quarterly). For public reporting,

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206 bloodstream infections are grouped together regardless of pathogen type. The developer stated
207 that pathogen-specific data are captured on CLABSI events when available, and that appropriate
208 exclusionary rules are applied to those events. Although annual data are published in the
209 *American Journal of Infection Control*, the NHSN application also houses aggregate data, which
210 provides facilities an opportunity to compare their performance with the national aggregate over
211 specific time intervals. The developer acknowledged that they have not explored all potential
212 issues associated with quarterly public reporting. The Committee recommended that the
213 developer define a specific reporting timeframe, especially if the metric is adopted by a
214 regulatory agency that requires quarterly reporting. No clarification has been received from the
215 developer yet. The expanded measure retains the same reporting structure. The developer noted
216 that in SCAs, because of differing infection risks, the number of patients with temporary central
217 lines and those with permanent central lines is collected daily, at the same time each day, during
218 the month. If a patient had both a temporary and permanent central line, the day would be
219 counted only as a temporary central line day.

220

221 On the issue of feasibility, the Committee voiced concerns about reporting a SIR rather than a
222 rate, since several states already mandate the reporting of CLABSI rates. The Committee
223 questioned the usability outside NHSN participation and believed that a SIR may also lead to
224 increased manual data collection and entry. The measure developer stated that using the SIR
225 creates significant added value by enabling comparisons of observed HAIs to expected HAIs
226 based on nationally aggregated data.

227

228 Comments on this measure during the initial comment period focused largely on feasibility
229 concerns related to the burden of data collection. The same concerns were raised in the
230 supplemental comment period, as well as concerns about testing in the expanded care settings.
231 The Steering Committee acknowledged concerns regarding the feasibility of the measures, and
232 responded that additional data collection requirements should be staged with ongoing assessment
233 of provider burden. Steering Committee members were satisfied with the testing results, noting
234 that the data elements of the measure have remained consistent under the expansion and have
235 been shown to be both reliable and valid.

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237

238 This outcome measure replaces NQF-endorsed measure #0139 (Central line catheter-associated
239 blood stream infections rate for ICU and high-risk nursery (HRN) patients) and addresses the
240 National Priority area of safety.

241

242 **Harmonization of SSI Measures**

243 The CDC and the ACS submitted two surgical site infection measures – PSM-002-10 (NHSN
244 surgical site infection outcome measure) and PSM-006-10 (Risk adjusted surgical site infection
245 outcome measure), respectively. The Committee compared the two SSI measures to determine if
246 one measure could be considered best-in-class. The Committee noted that both measures capture
247 similar information using different data sources. Steering Committee members acknowledged
248 that each measure may offer benefits for quality improvement because they assess populations
249 differently. Both measures are currently in use in the NSQIP and NHSN surveillance systems;
250 however, it was difficult for the Committee to compare these measures, where the advantages
251 and disadvantages of one measure may be offset by those of a competing measure without
252 additional evidence from the field on their use. Committee members also discussed the
253 possibility of harmonization.¹⁴ In addition, there were a significant number of public comments
254 on the report expressing concern about the recommendation of two potentially competing SSI
255 measures. Ultimately, the Committee recommended both measures for endorsement,
256 independently, with the following suggestions:

- 257 • Harmonization of both measures should be complete by the first maintenance review; and
- 258 • The developers should conduct focus groups with current NSQIP and NSHN
259 participating facilities to assess how both surveillance programs are working, with regard
260 to feasibility and usability.

261 At that time, the CDC and the ACS requested time to harmonize the measures, and it was agreed
262 that this effort should be supported. The following, the newly-submitted SSI measure, represents
263 the results of their harmonization efforts.

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PSM-002-10: American College of Surgeons – Centers for Disease Control and Prevention (ACS-CDC) Harmonized Procedure Specific Surgical Site Infection (SSI) Outcome Measure. *Prototype measure for the facility adjusted Standardized Infection Ratio (SIR) of deep incisional and organ/space Surgical Site Infections (SSI) at the primary incision site among adult patients aged ≥ 18 years as reported through the ACS National Surgical Quality Improvement Program (ACS-NSQIP) or CDC National Health and Safety Network (NHSN). Prototype also includes a systematic, retrospective sampling of operative procedures in healthcare facilities. This prototype measure is intended for time-limited use and is proposed as a first step toward a more comprehensive SSI measure or set of SSI measures that include additional surgical procedure categories and expanded SSI risk-adjustment by procedure type. This single prototype measure is applied to two operative procedures, colon surgeries and abdominal hysterectomies, and the measure yields separate SIRs for each procedure.*

This surgical site infection outcome measure focuses on two procedures: colon surgeries and abdominal hysterectomies. It is specified using ICD-9-CM procedure codes for NHSN operative procedure categories, with additional CPT mappings to those categories for use in NSQIP. The target population is inpatients over 18 years old with deep incisional and organ/space SSIs. The measure will use separate Standardized Infection Ratios (SIRs) for the two operative procedure categories, and risk adjustment will be based on age and the American Society of Anesthesiology (ASA) Physical Status Classification system. For hospitals performing more than 42 colon surgeries per year, SIRs will be calculated using a sample based on the first colon surgery per 8-day cycle for hospitals. For hospitals performing over 200 abdominal hysterectomies per year, SIRs will be calculated using a sample of the first 5 abdominal hysterectomies per 8-day cycle. Data collected and reported to the ACS National Surgical Quality Improvement Program (NSQIP) would be available for data transfer to NHSN. Follow-up will occur within 30 days using admission, readmission, and post-discharge surveillance. This measure is the first in a planned larger set of measures focused on surgical procedure categories with additional risk factors incorporated.

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294 The measure addresses a high impact area. Each year, approximately 11 percent of all deaths in
295 ICUs are associated with SSIs, resulting in up to 20,000 deaths and \$2 billion in additional
296 costs.² Moreover, evidence-based interventions have shown significant reductions in SSI rates
297 and improved health outcomes.

298

299 The Steering Committee discussed the newly-harmonized measure in a supplemental conference
300 call, reviewing the relevant changes, while also receiving clarification from the developers on
301 several issues. Committee members inquired as to why these two particular measures had been
302 chosen, and asked for clarification on the plan for public reporting. The developer explained that
303 the Centers for Medicare & Medicaid Services (CMS) inpatient prospective reporting system
304 (IPPS) requirements released on August 1, 2011, call for abdominal hysterectomies and colon
305 surgeries to be reported by the CDC to CMS. The NHSN will serve as the single reporting
306 system for CMS-required reporting. However, facilities may choose which calculations of
307 performance on the measure can be accomplished using either the NHSN or NSQIP data system.
308 The measure developer acknowledged that for hospitals participating in both systems, there
309 could be duplication.

310

311 The Steering Committee questioned why both organ space and deep incisional infections were
312 included in the measure. The developer described the approach as a long standing precedent and
313 stated that superficial infections are considered trivial events and therefore not included.
314 However, organ space infections that drain through the incisions are classified as deep incisional
315 infections. The combination of organ space and deep incisional infections are considered a
316 clinically coherent grouping.

317

318 The Committee expressed their appreciation for the developers' efforts at harmonization, and
319 agreed that the measure continues to meet the four major evaluation criteria. The Steering
320 Committee recommended this measure for endorsement in a unanimous vote.

321

322 [Comments on this measure during the initial comment period focused mostly on the need for](#)
323 [harmonization or the endorsement of a single SSI measure. Harmonization efforts by ACS and](#)

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324 CDC were undertaken in response to these comments. During the supplemental comment
325 period, comments focused on the data collection burden, whether true harmonization had been
326 achieved, and the measure's use of Standardized Infection Ratios (SIR). The Steering
327 Committee recommended an incremental approach to implementation of the measure, and urged
328 the measure developers to continue their harmonization efforts. The Committee also reaffirmed
329 its decision that the SIR method was an appropriate and reasonable approach.

330

331 This outcome measure replaces NQF-endorsed measure #0299 (Surgical Site Infection Rate) and
332 addresses the National Priority area of safety.

333

334

335 **PSM-003-10: National Healthcare Safety Network (NHSN) Catheter-associated urinary**
336 **tract Infection (CAUTI) outcome measure (CDC).** *Standardized Infection Ratio (SIR) of*
337 *healthcare-associated, catheter-associated urinary tract infections (CAUTI) will be calculated*
338 *among patients in the following patient care locations:*

- 339 • *Intensive Care Units (ICUs) (excluding patients in neonatal ICUs [NICUs: Level II/III*
340 *and Level III nurseries])*
- 341 • *Specialty Care Areas (SCAs) - adult and pediatric: long term acute care, bone marrow*
342 *transplant, acute dialysis, hematology/oncology, and solid organ transplant locations*
- 343 • *Other inpatient locations (excluding Level I and Level II nurseries).*

344 *Data from these locations are reported from acute care general hospitals (including specialty*
345 *hospitals), freestanding long term acute care hospitals, rehabilitation hospitals, and behavioral*
346 *health hospitals. Only locations where patients reside overnight are included, i.e., inpatient*
347 *locations.*

348 Urinary tract infections are estimated to be the most frequently-occurring HAIs, accounting for
349 approximately 36 percent of HAIs in U.S. hospitals.¹⁵ UTIs can cause significant increases in
350 morbidity, mortality, and costs. The Steering Committee agreed that this measure strongly meets
351 the criteria for importance to measure and report.

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353 During the course of this project, PSM-003-10 was modified by its developer to extend the
354 measure's scope of coverage beyond intensive care units (ICUs) and acute care hospitals to
355 include non-ICU locations, acute care general hospitals, free standing long-term acute care
356 hospitals, rehabilitation hospitals and behavioral health hospitals where patients reside overnight.
357 CMS has requested measures in these domains for IPPS reporting requirements. The measure
358 developer noted that the measures are currently in use in non-ICU locations, acute care hospitals
359 and inpatient and long-term care facilities.

360
361 Similar to the CDC's CLABSI and SSI outcome measures, this measure uses a SIR to compare a
362 given healthcare facility's observed CAUTI rate to that facility's expected CAUTI rate. The
363 expected rate is based on standardized rates that account for length of stay, length of urinary
364 catheterization, patient care location, and other factors. As with previous discussions about the
365 CDC's CLABSI and SSI measures, the Committee questioned the usability outside NHSN
366 participation and believed that a SIR may also lead to increased manual data collection and entry.
367 The developer reiterated the benefits of utilizing an indirect standardization of cumulative SSI
368 experiences across several stratified groups of data.

369
370 Measure development in this topic area has generally focused on specific sites and/or settings
371 like nursing homes. The Committee discussed the benefits of developing more cross-cutting
372 measures and suggested broader application beyond the ICU (i.e., to long term care settings
373 across the whole continuum of care) in the future. The CDC's subsequent update to PSM-003-
374 10 expanded application of the measure to Specialty Care Areas and other inpatient locations
375 (excluding Level I and Level II nurseries). Following its conference call to review the updated
376 specifications, the Steering Committee agreed that the expanded measure continues to meet the
377 major evaluation criteria.

378
379 Comments on this measure focused on the increased burden of data collection, as well as
380 concerns about the measure's scope and potentially additional exclusions that are needed.
381 Regarding applicability of the measure to pediatric populations, the developer acknowledged the
382 limits of the existing evidence base in this area but suggested that there is little reason to believe

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383 that practices and strategies for prevention of UTIs in the adult population would not extend to
384 pediatric populations as well. The Steering Committee concurred with this assessment. The
385 developers also acknowledged the need for balance in inclusionary and exclusionary criteria, and
386 signaled their commitment to refine their measures as needed in response to concerns from the
387 field. The Steering Committee determined that no additional exclusions were necessary at this
388 time. While affirming their support of the measures, The Committee also recognized the need
389 for adjustment to account for certain high-risk populations, and urged the developers to continue
390 to study these issues as they refine their measures.

391

392 This outcome measure replaces NQF-endorsed measure #0138 (Urinary catheter-associated
393 urinary tract infection for intensive care unit (ICU) patients) and addresses the National Priority
394 area of safety.

395 **PSM-007-10: Risk Adjusted Urinary Tract Infection Outcome Measure (ACS)** *This is a risk*
396 *adjusted, case mix adjusted urinary tract infection outcome measure of adults 18+ years after*
397 *surgical procedure.*

398 This measure is currently used in the ACS NSQIP surveillance system. The developer reiterated
399 that the measure assesses UTIs within 30 days of surgical procedure and it is not catheter-
400 specific. Nonetheless, urinary catheterizations account for the vast majority of UTIs. In a recent
401 study of 36,000 major surgery patients, 86 percent of the study cohort had perioperative urinary
402 catheters. Patients who had indwelling catheters for longer than two days postoperative, were
403 twice as likely to develop a catheter associated urinary tract infection (CAUTI).¹⁶ In monetary
404 terms, UTIs contribute to approximately \$340-450 million in additional health care costs
405 annually.¹⁷ For these reasons, the Steering Committee agreed that this measure strongly meets
406 the criteria for importance to measure and report.

407 Both TAP and Committee members were concerned that reliability and validity testing have only
408 been conducted through modeling. The developer noted that inter-rater reliability is tested
409 regularly. The Committee observed that, based on the model's estimates, a minimum case load
410 of approximately 300 patients is required to achieve adequate reliability. Some members were
411 concerned that the data collection associated with this requirement could impose a burden on
412 providers

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413 The 30-day patient follow-up, specifically the clinical expertise needed to identify and
414 differentiate infections and all associated financial costs, were cited by TAP and Committee
415 members as a barrier to data collection and implementation.

416 The Committee also discussed the benefits of developing more cross-cutting measures and
417 suggested broader application beyond the surgical population.

418 Comments on this measure related to concerns about the measure's focus and the burden of
419 implementation. Some commenters suggested that the measure should track catheter use (i.e.,
420 days) to adjust for the risk of infection. The developer responded that having urinary tract
421 infections as the outcome of interest creates important incentives that could be diminished by
422 standardizing by catheter use days or other suggested adjustments. The Steering Committee
423 agreed, and affirmed the need for ongoing assessment and consideration of provider burden.

424 This outcome measure addresses the National Priority area of safety.

425

426 **Head-to-Head Comparison of UTI measures (#PSM-003-10 and #PSM-007-10)**

427 The Steering Committee evaluated the benefits of potential harmonization between proposed
428 measures #PSM-003-10 and #PSM-007-10. Although both measures address UTIs, the
429 Committee noted that there are substantial differences between the targeted populations and data
430 sources of the measures. Even with the subsequent expansion of #PSM-003-10, the Committee
431 agreed that there would still be value in having both measures. Therefore, the Committee did not
432 think that it was necessary to make a determination on best-in-class or render a recommendation
433 for harmonization.

434

435

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436 **Additional Recommendations**

437

438 Steering Committee members presented the following recommendations for further research and
439 measure development:

440

441 Increasing opportunities to harmonize would relieve some of the current reporting burden. In
442 some cases, harmonization would be prudent and useful. However, it is important to note that
443 harmonization may not be feasible in all circumstances. Therefore, clustering measures into
444 meaningful topic categories that creates a suite of tools might assist the healthcare industry with
445 evaluating measures at multiple levels. For example, in the case of urinary tract infections, a
446 cluster of measures could be identified that uses any one of the following: lab results, physician
447 diagnosis from empirical symptoms, a transfer diagnosis from hospital to long-term care, patient
448 qualitative report, device usage patterns, or physician antibiotic orders. Defining the numerators
449 and denominators would vary depending on the source and use of the data. The selection of the
450 right measure would depend on the users' intentions.

451

452 As clinical information technologies become fully deployed throughout the healthcare system,
453 antibiotics or lab result data may be useful from a surveillance perspective for public
454 accountability, while clinical judgment and empirical symptoms may be more useful for
455 improved patient care. Further discussion about this issue is needed to more clearly define the
456 usability characteristics of each measure in relationship to other similar measures. Only by
457 clustering the measures into groups can in-depth analysis of the similarities and the differences
458 be obtained. From there, more thoughtful dialogue on the "value" of each measure can be
459 assessed.

460

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496 Reducing Urinary and Central Venous Catheter-related Infections.” *American Journal of*
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499 same topic (e.g., *influenza immunization* of patients in hospitals or nursing homes), or
500 related measures for the same target population (e.g., eye exam and HbA1c for *patients*
501 *with diabetes*), or definitions applicable to many measures (e.g., age designation for
502 children) so that they are uniform or compatible, unless differences are dictated by the
503 evidence. The dimensions of harmonization can include numerator, denominator,
504 exclusions, and data source and collection instructions. The extent of harmonization
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**NATIONAL VOLUNTARY CONSENSUS STANDARDS FOR PATIENT SAFETY MEASURES:
A CONSENSUS REPORT
APPENDIX A: MEASURE SPECIFICATIONS**

The following table presents the detailed specifications for the National Quality Forum (NQF)-endorsed® *National Voluntary Consensus Standards for Patient Safety*. All information presented has been derived directly from measure sources/developers without modifications or alteration (except when the measure developer agreed to such modifications during the NQF Consensus Development Process) and is current as of October 11, 2011. All NQF-endorsed voluntary consensus standards are open source, meaning they are fully accessible and disclosed. Measures were developed by the Centers for Disease Control and Prevention, and the American College of Surgeons.

Measure Numbers	Measure Title	Measure Steward	Measure Description	Numerator	Denominator	Exclusions	Data Source	Level of Analysis
PSM-001-10	National Healthcare Safety Network (NHSN) Central line-associated Bloodstream Infection (CLABSI) Outcome Measure	Centers for Disease Control and Prevention	Standardized Infection Ratio (SIR) of healthcare-associated, central line-associated bloodstream infections (CLABSI). <u>will be calculated among patients in the following patient care locations:</u> <ul style="list-style-type: none"> • Intensive Care Units (ICUs) • Specialty Care Areas (SCAs) - adult and pediatric; long term acute care, bone 	Total number of observed healthcare-associated CLABSI among patients in ICUs, NICUs, <u>SCAs and other acute care hospital locations where patients reside overnight.</u>	Total number of expected CLABSIs, calculated by multiplying the number of central line device days for each location under surveillance for CLABSI during the period by the CLABSI rate for the same types of locations obtained from the standard population. Central line device-day denominator data that are collected differ according to the location of the patients being monitored. See 2a.8.	1. Pacemaker wires and other nonlumened devices inserted into central blood vessels or the heart are excluded as central lines 2. Peripheral intravenous lines are excluded from this measure	Electronic clinical data; Electronic Health/ Medical Record; Lab data; Paper medical record/ flow-sheet; Special or unique data	Population: states; Facility/ Agency; Population: national

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			<u>marrow transplant, acute dialysis, hematology/oncology, and solid organ transplant locations</u> <ul style="list-style-type: none"> • <u>other inpatient locations. (Data from these locations are reported from acute care general hospitals (including specialty hospitals), freestanding long term acute care hospitals, rehabilitation hospitals, and behavioral health hospitals. Only locations where patients reside overnight are included, i.e., inpatient</u> 					
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				<u>locations,</u>					
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Measure Numbers	Measure Title	Measure Steward	Measure Description	Numerator	Denominator	Exclusions	Data Source	Level of Analysis
PSM-002-10	<u>American College of Surgeons – Centers for Disease Control and Prevention (ACS-CDC) Harmonized Procedure Specific Surgical Site Infection (SSI) Outcome Measure</u>	<u>American College of Surgeons- Centers for Disease Control and Prevention (ACS-CDC)</u>	<u>Prototype measure for the facility adjusted Standardized Infection Ratio (SIR) of deep incisional and organ/space Surgical Site Infections (SSI) at the primary incision site among adult patients aged >= 18 years as reported through the ACS National Surgical Quality Improvement Program (ACS-NSQIP) or CDC National Health and Safety Network (NHSN). Prototype also includes a systematic,</u>	<u>Deep incisional primary (DIP) and organ/space SSIs during the 30-day postoperative period among patients = 18 years of age, who undergo inpatient colon surgeries or abdominal hysterectomies. SSIs will be identified before discharge from the hospital, upon readmission to the same hospital, or during outpatient care or admission to another hospital (post-discharge surveillance). Case accrual will be guided by sampling algorithms as described below.</u>	<u>Using multivariable logistic regression models for colon surgeries and abdominal hysterectomies, the expected number of SSIs is obtained. These expected numbers are summed by facility and surgical procedure and used as the denominator of this measure (see also 2a.8).</u>	<u>Persons under the age of 18, those having a procedure performed on an outpatient basis, those with ASA Class VI (6) are excluded. In the NHSN, patients without primary closure of the surgical incision are not considered eligible cases and are excluded- the NSQIP will match this practice for this measure, although this is not standard practice within the NSQIP.</u>	Electronic clinical data; Electronic Health/ Medical Record; Lab data; Paper medical record/ flow-sheet; Special or unique data	Facility/ Agency; Population: national; Population: states

- Deleted:** National Healthcare Safety Network (NHSN) Surgical Site Infection (SSI) Outcome Measure
- Deleted:** Standardized Infection Ratio (SIR) of deep incisional and organ/space Surgical Site Infections (SSI) at the primary incision site among patients undergoing selected inpatient operative procedure categories.
- Deleted:** Total number of observed deep incisional primary (DIP) and organ/space SSIs detected during admission or readmission among patients who have undergone the following inpatient NHSN operative procedure categories:¶
 1. Abdominal Aortic Aneurysm Repair (AAA)¶
 2. Coronary Artery Bypass Graft with both chest and donor site incisions (CBGB); only SSI from the chest (primary site) are included ¶
 3. Coronary Artery Bypass Graft with chest incision only (CBGC)¶
 4. Colon surgery (COLO)¶
 5. Hip Arthroplasty (HPRO)¶
 6. Abdominal Hysterectomy (HYST)¶... [1]
- Deleted:** Using multivariable procedure-specific logistic regression models, the expected number of SSIs is obtained. These expect... [2]
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Measure Numbers	Measure Title	Measure Steward	Measure Description	Numerator	Denominator	Exclusions	Data Source	Level of Analysis
			<u>retrospective sampling of operative procedures in healthcare facilities. This prototype measure is intended for time-limited use and is proposed as a first step toward a more comprehensive SSI measure or set of SSI measures that include additional surgical procedure categories and expanded SSI risk-adjustment by procedure type. This single prototype measure is applied to two</u>					

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Measure Numbers	Measure Title	Measure Steward	Measure Description	Numerator	Denominator	Exclusions	Data Source	Level of Analysis
			<u>operative procedures, colon surgeries and abdominal hysterectomies, and the measure yields separate SIRs for each procedure,</u>					

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- Deleted: PSM-006-10¶
- Deleted: Risk Adjusted Surgical Site Infection Outcome Measure
- Deleted: American College of Surgeons
- Deleted: This is a hospital based, risk adjusted, case mix adjusted surgical site infection measure of adults 18 years of age and over.
- Deleted: The outcome of interest is a hospital-specific risk-adjusted Deep Incisional Surgical Site Infection (SSI) or Organ/Space SSI as defined by American College of Surgeons National Surgical Quality Improvement Program (ACS NSQIP), occurring within 30 days of any of the listed CPT surgical procedures. The list of eligible CPT codes is attached.¶
- Deleted: Patients undergoing any of the specified list of eligible CPT surgical pro... [3]
- Deleted: Major trauma and transplant ... [4]
- Deleted: Documentation of original self... [5]
- Deleted: Facility/ Agency; Population: ... [6]

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Measure Numbers	Measure Title	Measure Steward	Measure Description	Numerator	Denominator	Exclusions	Data Source	Level of Analysis
PSM-003-10	National Healthcare Safety Network (NHSN) Catheter-associated Urinary Tract Infection (CAUTI) Outcome Measure	Centers for Disease Control and Prevention	Standardized Infection Ratio (SIR) of healthcare-associated, catheter-associated urinary tract infections (CAUTI) <u>will be calculated among patients in the following patient care locations:</u> <ul style="list-style-type: none"> • Intensive Care Units (ICUs) (excluding patients in neonatal ICUs [NICUs: Level II/III and Level III nurseries]) • Specialty Care Areas (SCAs) - adult and pediatric: 	Total number of observed healthcare-associated CAUTI among patients in ICUs (excluding patients in NICUs), <u>SCAs, and other inpatient locations (excluding Level I and Level II nurseries).</u>	Total number of expected CAUTIs, which is calculated by multiplying the number of urinary catheter days for each location under surveillance for CAUTI during the period by the CAUTI rate for the same types of locations obtained from the standard population. These expected numbers are summed across locations and used as the denominator of this measure (see also 2a.8).	Non-indwelling catheters by NHSN definitions: <ul style="list-style-type: none"> 1. Suprapubic catheters 2. Condom catheters 3. "In and out" catheterizations 	Electronic clinical data; Electronic Health/Medical Record; Lab data; Paper medical record/ flow-sheet; Special or unique data	Population: states; Population: national; Facility/ Agency

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			<u>long term acute care, bone marrow transplant, acute dialysis, hematology/oncology, and solid organ transplant locations</u> <ul style="list-style-type: none"> • <u>other inpatient locations (excluding Level I and Level II nurseries).</u> <u>Data from these locations are reported from acute care general hospitals (including specialty hospitals), freestanding long term acute care hospitals, rehabilitation hospitals, and behavioral</u>					
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				<u>health hospitals. Only locations where patients reside overnight are included, i.e., inpatient locations.</u>					
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Measure Numbers	Measure Title	Measure Steward	Measure Description	Numerator	Denominator	Exclusions	Data Source	Level of Analysis
PSM-007-10	Risk Adjusted Urinary Tract Infection Outcome Measure After Surgery	American College of Surgeons	Risk adjusted, case mix adjusted urinary tract infection outcome measure of adults 18+ years after surgical procedure.	The outcome of interest is a hospital-specific assessment of risk-adjusted Urinary Tract Infection (UTI: as defined by American College of Surgeons National Surgical Quality Improvement Program (ACS NSQIP) defined below) within 30 days of any listed (CPT) surgical procedure: the list of eligible CPT codes is attached separately.	Patients undergoing any of the listed (CPT) surgical procedures- list is attached separately. Specifically excluded are certain CPTs involving the urinary tract (excluded: 50220, 50545, 50400, 50205, 51040, 54640, 53852, 55866, 52450, 52234). See attached submitted list of eligible CPT codes.	Major trauma and transplant surgeries are excluded as are surgeries not on the supplied CPT list as eligible for selection. Patients who are ASA 6 (brain-death organ donor) are not eligible surgical cases. A patient who has a second surgical procedure performed within 30 days after an index procedure cannot be accrued into the measure as a new (second) index procedure since the measure is based on 30 day outcomes.	Documentation of original self-assessment; Paper medical record/ flow-sheet; Pharmacy data; Electronic clinical data; Electronic Health/ Medical Record; Lab data; Management data	Facility/ Agency; Population: national; Population: regional/ network; Population: states

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NQF-endorsed® Patient Safety Measures

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Measure# 0019: Documentation of medication list in the outpatient record	
Steward	Centers for Medicare & Medicaid Services, National Committee for Quality Assurance
Description	Percentage of patients having a medication list in the medical record.
Numerator	Patients with a medication list in their medical record
Denominator	All patients who were continuously enrolled during the measurement year.
Exclusions	
Risk Adjustment	
Data Source	Paper Medical Record
Level	Individual clinician (physician, nurse)
Setting	Ambulatory Care (office/clinic)
Measure# 0020: Documentation of allergies and adverse reactions in the outpatient record	
Steward	Centers for Medicare & Medicaid Services, National Committee for Quality Assurance
Description	Percentage of patients having documentation of allergies and adverse reactions in the medical record.
Numerator	Patients with allergy and adverse reaction status present in medical record
Denominator	All patients who were continuously enrolled during the measurement year.
Exclusions	
Risk Adjustment	
Data Source	Paper Medical Record
Level	Individual clinician (physician, nurse)
Setting	Ambulatory Care (office/clinic)
Measure# 0021: Therapeutic monitoring: Annual monitoring for patients on persistent medications	
Steward	National Committee for Quality Assurance
Description	<p>Percentage of patients 18 years and older who received at least 180-day supply of medication therapy for the selected therapeutic agent and who received annual monitoring for the therapeutic agent.</p> <p>Percentage of patients on ACE inhibitors or ARBs with at least one serum potassium and either a serum creatinine or a blood urea nitrogen therapeutic monitoring test in the measurement year.</p> <p>Percentage of patients on digoxin with at least one serum potassium and either a serum creatinine or a blood urea nitrogen therapeutic monitoring test in the measurement year.</p> <p>Percentage of patients on a diuretic with at least one serum potassium and either a serum creatinine or a blood urea nitrogen therapeutic monitoring test in the measurement year.</p> <p>Percentage of patients on any anticonvulsant for phenytoin, phenobarbital, valproic acid or carbAMA/zepine with at least one drug serum concentration level monitoring test for the prescribed drug in the measurement year.</p> <p>The sum of the four numerators divided by the sum of the five denominators</p>
Numerator	<p>a: The number of patients with at least one serum potassium and either a serum creatinine or a blood urea nitrogen therapeutic monitoring test in the measurement year.</p> <p>b: The number of patients with at least one serum potassium and either a serum creatinine or a blood urea nitrogen therapeutic monitoring test in the measurement year.</p> <p>c: The number of patients with at least one serum potassium and either a serum creatinine or a blood urea nitrogen therapeutic monitoring test in the measurement year.</p> <p>Note: The two tests do not need to occur on the same service date, only within the measurement year.</p> <p>d: The number of patients with at least one drug serum concentration level monitoring test for the prescribed drug in the measurement year. If a patient received only one type of anticonvulsant, the drug serum concentration level test must be for the specific drug taken as a persistent medication. If a patient persistently received multiple types of anticonvulsants, each anticonvulsant medication and drug monitoring test combination is counted as a unique event (i.e., a patient on both phenytoin and valproic acid with at least a 180-days supply for each drug in the measurement year must separately show evidence of receiving drug serum concentration tests for each drug to be considered numerator-compliant for each drug).</p> <p>e: The number of patients with both an ALT and an AST liver enzyme test in the measurement year. A hepatic</p>

	function panel (which includes both a ALT and AST) also counts as numerator compliant. F: Sum of the five numerators (a-e)
Denominator	a: The number of patients ages 18 years and older who received at least a 180-days supply of ACE inhibitors or ARBs, including any combination products during the measurement year. b: The number of patients ages 18 years and older who received at least a 180-days supply of digoxin, including any combination products, during the measurement year. c: The number of patients ages 18 years and older who received at least a 180-days supply of a diuretic, including any combination products, during the measurement year d: The number of patients in the denominator who received at least a 180-days supply for any anticonvulsant for phenytoin, phenobarbital, valproic acid or carbamazepine during the measurement year. Each patient-drug combination is considered a unique event. e: The number of patients in the denominator who received at least a 180-days supply for any statin (HMG CoA Reductase Inhibitors), including any combination product, during the measurement year. F: Sum of the five denominators (a-e)
Exclusions	a. Exclude patients from each rate denominator with a hospitalization in the measurement year. These patients may have received a monitoring event during the hospitalization which may not be captured Hospitalizations can be identified using either codes for inpatient discharges or non acute care or through the medical record. B. Exclude patients from each rate denominator with a hospitalization in the measurement year. These patients may have received a monitoring event during the hospitalization which may not be captured. Hospitalizations can be identified using either codes for inpatient discharges or non acute care or through medical records. C. Exclude patients from each rate denominator with a hospitalization in the measurement year. These patients may have received a monitoring event during the hospitalization which may not be captured. Hospitalizations can be identified using either codes for inpatient discharges or non acute care or medical records. D. Exclude patients from each rate denominator with a hospitalization in the measurement year. These patients may have received a monitoring event during the hospitalization which may not be captured. Hospitalizations can be identified using either codes for inpatient discharges or non acute care. E. Exclude patients from each rate denominator with a hospitalization in the measurement year. These patients may have received a monitoring event during the hospitalization which may not be captured. Hospitalizations can be identified using either codes for inpatient discharges or non acute care or medical records.
Risk Adjustment	
Data Source	Electronic Claims
Level	Individual clinician (physician, nurse)
Setting	Ambulatory Care (office/clinic)
Measure# 0022: Drugs to be avoided in the elderly: a. Patients who receive at least one drug to be avoided, b. Patients who receive at least two different drugs to be avoided.	
Steward	National Committee for Quality Assurance
Description	Percentage of patients ages 65 years and older who received at least one drug to be avoided in the elderly in the measurement year. Percentage of patients 65 years of age and older who received at least two different drugs to be avoided in the elderly in the measurement year.
Numerator	a: at least one prescription for any drug to be avoided in the elderly in the measurement year. b: At least two different drugs to be avoided in the elderly in the measurement year.
Denominator	All patients ages 65 years and older as of December 31 of the measurement year.
Exclusions	
Risk Adjustment	
Data Source	Electronic Claims
Level	Individual clinician (physician, nurse)
Setting	Ambulatory Care (office/clinic)

Measure# 0035: Fall risk management in older adults: a. Discussing fall risk, b.Managing fall risk	
Steward	National Committee for Quality Assurance
Description	Percentage of patients aged 75 and older who reported that their doctor or other health provider talked with them about falling or problems with balance or walking Percentage of patients aged 75 and older who reported that their doctor or other health provider had done anything to help prevent falls or treat problems with balance or walking
Numerator	a- Discussing Fall Risk: The number of patients in the denominator a who responded “yes” to the question, “A fall is when your body goes to the ground without being pushed. In the past 12 months, did your doctor or other health provider talk with you about falling or problems with balance or walking? b- Managing Fall Risk: The number of patients in the denominatorb who responded “yes” to the question, “Has your doctor or other health provider done these or anything else to help prevent falls or treat problems with balance or walking? “
Denominator	a- Discussing Fall Risk: All patients 75 years and older as of December 31 of the measurement year, AND patients 65 years to 74 years as of December 31 of the measurement year who responded “yes” to either of the questions, “Did you fall in the past 12 months?” - - Q2 OR “yes” to the question, “In the past 12 months, have you had problems with balance or walking?” - - Q3 and who indicated they were seen by a provider during the measurement year. b- Managing Fall Risk: Patients 65 years and older as of December 31 of the measurement year who responded “yes” to either of the questions, “Did you fall in the past 12 months?” - - Q2 OR “yes” to the question, “In the past 12 months, have you had problems with balance or walking?” - - Q3 and who indicated they were seen by a provider during the measurement year.
Exclusions	
Risk Adjustment	
Data Source	Electronic Claims
Level	Individual clinician (physician, nurse)
Setting	Ambulatory Care (office/clinic)
Measure# 0101: Falls: Screening for Fall Risk	
Steward	American Geriatrics Society, American Medical Association, National Committee for Quality Assurance, American Medical Association - Physician Consortium for Performance Improvement
Description	Percentage of patients aged 65 years and older who were screened for fall risk (2 or more falls in the past year or any fall with injury in the past year) at least once within 12 months
Numerator	Patients who were screened for future fall risk (patients are considered at risk for future falls if they have had 2 or more falls in the past year or any fall with injury in the past year) at least once within 12 months Definition: A fall is defined as a sudden, unintentional change in position causing an individual to land at a lower level, on an object, the floor, or the ground, other than as a consequence of sudden onset of paralysis, epileptic seizure, or overwhelming external force (Tinetti).
Denominator	All patients aged 65 years and older
Exclusions	Documentation of medical reason(s) for not screening for future fall risk (e.g., patient is not ambulatory) Exclude patients for whom patient was not an eligible candidate for fall risk screening by reason of medical exclusion.
Risk Adjustment	
Data Source	Electronic Claims
Level	Individual clinician (physician, nurse)
Setting	Ambulatory Care (office/clinic)

Measure# 0138: Urinary catheter-associated urinary tract infection for intensive care unit (ICU) patients	
Steward	Centers for Disease Control and Prevention
Description	Percentage of intensive care unit patients with urinary catheter-associated urinary tract infections
Numerator	Number of indwelling urinary catheter-associated UTIs (defined by CDC case definitions of symptomatic UTI or asymptomatic bacteriuria, excludes other infections of the urinary tract) x 1,000
Denominator	Number of indwelling urinary catheter days for ICU patients ?Reported by type of ICU (coronary, cardiothoracic, medical, medical-surgical (major teaching and all others), neurosurgical, pediatric, surgical, trauma, burn, and respiratory)
Exclusions	
Risk Adjustment	Comparisons are made among ICUs of similar type: Coronary, Cardiothoracic, medical, medical-surgical (major teaching and all others), Neurosurgical, Pediatric, Surgical, Trauma, Burn and Respiratory
Data Source	Electronic Clinical Database
Level	Facility (e.g., hospital, nursing home)
Setting	Hospital
Measure# 0139: Central line catheter-associated blood stream infection rate for ICU and high-risk nursery (HRN) patients	
Steward	Centers for Disease Control and Prevention
Description	Percentage of ICU and high-risk nursery patients, who over a certain amount of days acquired a central line catheter-associated blood stream infections over a specified amount of line-days
Numerator	Number of central line-associated blood stream infections (laboratory-confirmed bloodstream infection or clinical sepsis) x 1,000 Number of umbilical and central line-associated blood stream infections (laboratory-confirmed bloodstream infection or clinical sepsis) x 1,000
Denominator	Number of central line-days for ICU patients. Reported by type of ICU (coronary, cardiothoracic, medical, medical-surgical (major teaching and all others), neurosurgical, pediatric, surgical, trauma, burn, and respiratory) Number of central-line days for HRN patients ?Reported for HRNs by birth weight category (<1,000, 1,001-1,500, 1,501-2,500, and >2,500g)
Exclusions	
Risk Adjustment	The measure is reported stratified by ICU-type, and the denominator as stated per 1,000 line days adjusts for the increased risk over time after a central line is inserted
Data Source	Electronic Clinical Database
Level	Facility (e.g., hospital, nursing home)
Setting	Hospital
Measure# 0140: Ventilator-associated pneumonia for ICU and high-risk nursery (HRN) patients	
Steward	Centers for Disease Control and Prevention
Description	Percentage of ICU and HRN patients who over a certain amount of days have ventilator-associated pneumonia
Numerator	Number of ventilator-associated pneumonias x 1,000
Denominator	Number of ventilator-days for ICU patients: Reported by type of ICU (coronary, cardiothoracic, medical, medical-surgical (major teaching and all others), neurosurgical, pediatric, surgical, trauma, burn, and respiratory) Number of ventilator days for HRN patients: Reported for HRNs by birth weight category (<1,000, 1,001-1,500, 1,501-2,500, and >2,500g)
Exclusions	
Risk Adjustment	Risk Adjustment: This measure of ventilator-associated pneumonias per ventilator days is adjusted for the major risk factor, which is use of catheters, as well as length of stay.
Data Source	Electronic Clinical Database
Level	Facility (e.g., hospital, nursing home)
Setting	Hospital

Measure# 0141: Patient Fall Rate	
Steward	American Nurses Association
Description	All documented falls, with or without injury, experienced by patients on an eligible unit in a calendar quarter.
Numerator	<p>Total number of patient falls (with or without injury to the patient and whether or not assisted by a staff member) by hospital Unit during the month X 1000.</p> <p>Time window: Month</p> <p>Fall Definition: A patient fall is an unplanned descent to the floor (or extension of the floor, e.g., trash can or other equipment) with or without injury to the patient, and occurs on an eligible reporting nursing unit. All types of falls are to be included whether they result from physiological reasons (fainting) or environmental reasons (slippery floor). Include assisted falls – when a staff member attempts to minimize the impact of the fall.</p> <p>Included Populations:</p> <ul style="list-style-type: none"> • Patient falls occurring while on an eligible reporting unit • Assisted falls • Repeat falls <p>Excluded Populations:</p> <p>Falls by:</p> <ul style="list-style-type: none"> • Visitors • Students • Staff members • Falls by patients from eligible reporting unit, however patient was not on unit at time of fall (e.g., patients falls in radiology department) • Falls on other unit types (e.g., pediatric, psychiatric, obstetrical, rehab, etc) <p>Data Elements: Collected at a patient level</p> <ul style="list-style-type: none"> • Month • Year • Age • Gender • Event Type (fall, assisted fall, repeat fall) • Type of Unit • Fall Risk Assessment • Fall Risk • Fall Prevention Protocol
Denominator	<p>Patient days by hospital Unit during the calendar month</p> <p>Time window: Calendar Month</p> <p>Included Populations:</p> <ul style="list-style-type: none"> • Inpatients, short stay patients, observation patients and same day surgery patients who receive care on eligible in-patient units for all or part of a day. • Adult critical care, step-down, medical, surgical, medical-surgical combined units. • Any age patient on an eligible reporting unit is included in the patient day count. <p>Four (4) Patient Days reporting methods are recognized:</p> <ul style="list-style-type: none"> • Method 1-Midnight Census This is adequate for units that have all in-patient admissions. It is the least accurate method for units that have both in-patient and short stay patients. The daily number should be summed for every day in the month. • Method 2-Midnight Census + Patient Days from Actual Hours for Short Stay Patients This is an accurate method for units that have both in-patients and short stay patients. The short stay “days” should be reported separately from midnight census and will be summed to obtain patient days. The total daily hours for short stay patients should be summed for the month and divided by 24. • Method 3-from Average Hours for Short Stay Patients This method has been eliminated from the list of acceptable reporting methods. • Method 4-Patient Days from Actual Hours This is the most accurate method. An increasing number of facilities have accounting systems that track the actual time spent in the facility by each patient. Sum actual hours for all patients, whether in-patient or short stay, and divide by 24. • Method 5-Patient Days from Multiple Census Reports Some facilities collect censuses multiple times per day (e.g., every 4 hours or each shift). This method is more

	<p>accurate than the Midnight Census, but not as accurate as Midnight Census + Actual Short Stay hours, or as Actual Patient Hours. A sum of the daily average censuses can be calculated to determine patient days for the month on the unit.</p> <p>For all patient day reporting methods, it is recommended that hospitals consistently use the same method for a reporting unit over time. However, units with short stay patients should transition either to Method 2 or Method 4 when it becomes feasible.</p> <p>Data Elements:</p> <ul style="list-style-type: none"> • Month • Year • Patient Days Reporting method which includes midnight census and short stay patient days • Type of Unit
Exclusions	Excluded Populations: Other unit types (e.g., pediatric, psychiatric, obstetrical, rehab, etc)
Risk Adjustment	Stratification by facility size and unit; documentation of falls risk assessment on admission; fall protocol implementation; level of patient activity prior to fall
Data Source	Paper Medical Record, Electronic Health/Medical Record, Electronic source – Other, Other
Level	Group of clinicians (facility, dept/unit, group)
Setting	Hospital
Measure# 0184: Residents who have a catheter in the bladder at any time during the 14-day assessment period. (risk adjusted)	
Steward	Centers for Medicare & Medicaid Services
Description	Percentage of residents with a valid target assessment who have a catheter in the bladder at any time during the 14-day assessment period.
Numerator	Indwelling catheter on target assessment (H3d=checked)
Denominator	All residents with a valid target assessment.
Exclusions	<p>Exclusions:</p> <p>Residents satisfying any of the following conditions:</p> <ol style="list-style-type: none"> 1. The target assessment is an admission (AA8a = 01). 2. H3d is missing on the target assessment. 3. The resident is in a facility with a Chronic Care Admission Sample size of 0 (i.e., there are no admission assessments with AA8a = 01 in the facility over the previous 12 months). <p>Covariates:</p> <ol style="list-style-type: none"> 1. Indicator of bowel incontinence on the prior assessment: Covariate =1 if H1a =4. Covariate =0 if H1a = 0,1,2, or 3. 2. Indicator of pressure ulcers on the prior assessment: Covariate =1 if M2a = 3 or 4. Covariate =0 if M2a = 0.
Risk Adjustment	<p>Risk adjustment: For each of the five risk-adjusted QMs, a resident- level logistic regression was estimated. Data came from the chronic or post acute residents in the 20 percent random samples of all facilities for a one-year period, Quarter 4 of 2001 (Q4 2001) through Quarter 3 of 2002 (Q3 2002). The resident- level observed QM score was the dependent variable. The predictor variables were one or more resident- level covariates associated with the QM. More information is available here: http://www.cms.hhs.gov/NursingHomeQualityInits/Downloads/NHQIQMUsersManual.pdf</p>
Data Source	Standardized clinical instrument
Level	Facility (e.g., hospital, nursing home)
Setting	Nursing home/ Skilled Nursing Facility (SNF)

Measure# 0187: Recently hospitalized residents with pressure ulcers (risk adjusted)	
Steward	Centers for Medicare & Medicaid Services
Description	Recently hospitalized residents with pressure ulcers
Numerator	SNF PPS Patients who satisfy either of the following conditions: 1. On the SNF PPS 5-day assessment, the patient had no pressure ulcers (M2a[t-1]=0) AND, on the SNF PPS 14-day assessment, the patient has at least a stage 1 pressure ulcer (M2a[t]=1,2,3, or 4). 2. On the SNF PPS 5-day assessment, the patient had a pressure ulcer (M2a[t-1] = 1,2,3, or 4) AND on the SNF PPS 14-day assessment, pressure ulcers worsened or failed to improve (M2a[t]>=M2a[t-1]).
Denominator	All patients with a valid SNF PPS 14-day assessment (AA8b=7) AND a valid preceding SNF PPS 5-day assessment (AA8b=1).
Exclusions	Exclusions: Patients satisfying the following condition: 1. M2a is missing on the 14-day assessment [t] 2. M2a is missing on the 5-day assessment [t-1] and M2a shows presence of pressure ulcers on the 14-day assessment (M2a=1,2,3, or 4). 3. The Patient is in a facility with a Post Acute Care Admission Sample size of 0 (i.e., there are no SNF PPS 5-day assessments with AA8b =1 in the facility over the previous 12 months) Covariates: 1. Indicator of history of unresolved pressure ulcer on the SNF PPS 5-day assessment. Covariate =1 if M3 =1. Covariate =0 if M3 =0. 2. Indicator of requiring limited or more assistance in bed mobility on the SNF PPS 5-day assessment: Covariate = 1 if G1a(A) = 2,3,4, or 8. Covariate = 0 if G1a(A) =0 or 1. 3. Indicator of bowel incontinence at least one/week on the SNF PPS 5-day assessment: Covariate =1 if H1a 2,3, or 4. Covariate =0 if H1a = 0 or 1. 4. Indicator of diabetes or peripheral vascular disease on the SNF PPS 5-day assessment: Covariate =1 if I1a checked (value 1) or I1j checked (value 1). Covariate =0 if I1a not checked (value 0) and I1j not checked (value 0). 5. Indicator of Low Body Mass Index (BMI) on the SNF PPS 5-day assessment: Covariate = 1 if BMI >=12 and <=19. Covariate = 0 if BMI > 19 and <= 40. Where: BMI = weight(kg)/height ² (m ²) = ((K2b*0.45)/(((K2a)*.0254) ²)) (Note: An implausible BMI value <12 or >40 will be treated as a missing value on this covariate.
Risk Adjustment	Risk adjustment: For each of the five risk-adjusted QMs, a resident- level logistic regression was estimated. Data came from the chronic or post acute residents in the 20 percent random samples of all facilities for a one-year period, Quarter 4 of 2001 (Q4 2001) through Quarter 3 of 2002 (Q3 2002). The resident- level observed QM score was the dependent variable. The predictor variables were one or more resident- level covariates associated with the QM. More information is available here: http://www.cms.hhs.gov/NursingHomeQualityInits/Downloads/NHQIQMUsersManual.pdf
Data Source	Standardized clinical instrument
Level	Facility (e.g., hospital, nursing home)
Setting	Nursing home/ Skilled Nursing Facility (SNF)

Measure# 0193: Residents who were physically restrained daily during the 7-day assessment period	
Steward	Centers for Medicare & Medicaid Services
Description	Percentage of residents on most recent assessments who were physically restrained daily during the 7-day assessment period
Numerator	Residents who were physically restrained daily on most recent assessment.
Denominator	All residents on most recent assessments.
Exclusions	
Risk Adjustment	
Data Source	Standardized clinical instrument
Level	Facility (e.g., hospital, nursing home)
Setting	Nursing home/ Skilled Nursing Facility (SNF)
Measure# 0196: Residents with a urinary tract infection	
Steward	Centers for Medicare & Medicaid Services
Description	Percentage of residents on most recent assessment with a urinary tract infection
Numerator	Residents with urinary tract infection on target assessment. (I2j = checked)
Denominator	All residents with a valid target assessment.
Exclusions	Exclusions: Residents satisfying any of the following conditions: 1. The target assessment is an admission (AA8a = 01) assessment. 2. I2j is missing on the target assessment.
Risk Adjustment	
Data Source	Standardized clinical instrument
Level	Facility (e.g., hospital, nursing home)
Setting	Nursing home/ Skilled Nursing Facility (SNF)

Measure# 0198: High-risk residents with pressure ulcers	
Steward	Centers for Medicare & Medicaid Services
Description	Percentage of residents with a valid target assessment and one of the following inclusion criteria: 1.Impaired in mobility or transfer on the target assessment 2. Comatose on the target assessment 3. Suffer malnutrition on the target assessment who
Numerator	Residents with pressure ulcers (Stage 1-4) on target assessment (M2a >0 OR I3a-3 =707.0)
Denominator	All residents with a valid target assessment and any one of the following inclusion criteria 1.Impaired in mobility or transfer on the target assessment as indicated by G1a(A) = 3, 4, or 8 OR G1b(A) = 3, 4, or 8. 2.Comatose on the target assessment as indicated by B1 = 1. 3.Suffer malnutrition on the target assessment as indicated by I3a through I3e = 260, 261, 262, 263.0, 263.1, 263.2, 263.8, or 263.9.
Exclusions	Exclusions for both measures: Residents satisfying any of the following conditions are excluded from all risk groups (high and low, high, and low) – this is 1, with 1, 2, and 3 below being 1.1, 1.2, and 1.3: 1.The target assessment is an admission (AA8a = 01) assessment. 2.The QM did not trigger (resident is not included in the QM numerator) AND the value of M2a is missing on the target assessment. 3.The resident is in a facility with a Chronic Care Admission Sample size of 0 (i.e., there are no admission assessments with AA8a = 01 in the facility over the previous 12 months. 4.The resident does not qualify as high-risk AND the value of G1a(A) or G1b(A) is missing on the target assessment. 5.The resident does not qualify as high-risk AND the Value of B1 is missing on the target assessment.
Risk Adjustment	None.
Data Source	Standardized clinical instrument
Level	Facility (e.g., hospital, nursing home)
Setting	Nursing home/ Skilled Nursing Facility (SNF)
Measure# 0199: Average-risk residents with pressure ulcers	
Steward	Centers for Medicare & Medicaid Services
Description	Percentage of residents with a valid target assessment and not qualifying as high risk with pressure ulcers
Numerator	Residents with pressure ulcers (Stage 1-4) on target assessment (M2a >0 OR I3a-e =707.0)
Denominator	All residents with a valid target assessment and not qualifying as high risk.
Exclusions	Exclusions for both measures: Residents satisfying any of the following conditions are excluded from all risk groups (high and low, high, and low) – this is 1, with 1, 2, and 3 below being 1.1, 1.2, and 1.3: 1.The target assessment is an admission (AA8a = 01) assessment. 2.The QM did not trigger (resident is not included in the QM numerator) AND the value of M2a is missing on the target assessment. 3.The resident is in a facility with a Chronic Care Admission Sample size of 0 (i.e., there are no admission assessments with AA8a = 01 in the facility over the previous 12 months. 4.The resident does not qualify as high-risk AND the value of G1a(A) or G1b(A) is missing on the target assessment. 5.The resident does not qualify as high-risk AND the Value of B1 is missing on the target assessment.
Risk Adjustment	None.
Data Source	Standardized clinical instrument
Level	Facility (e.g., hospital, nursing home)
Setting	Nursing home/ Skilled Nursing Facility (SNF)

Measure# 0201: Pressure ulcer prevalence	
Steward	The Joint Commission, California Nursing Outcome Coalition
Description	The total number of patients that have hospital-acquired (nosocomial) stage II or greater pressure ulcers on the day of the prevalence study.
Numerator	<p>Patients surveyed on an eligible reporting unit that have at least one stage II or greater [National Pressure Ulcer Advisory Panel (NPUAP)] hospital-acquired pressure ulcer on the day of the prevalence study. Time Window: Quarterly Prevalence Study Day</p> <p>Eligible reporting units are those units meeting the requirements as defined in the Type of Unit data element and listed in the strata definitions provided under section number 10. See study methodology in item #9 below.</p> <p>Included Populations:</p> <ul style="list-style-type: none"> • Hospital-Acquired Pressure Ulcers – Pressure Ulcers of Stage II or greater AND the ulcer is discovered or documented after the first 24 hours from the time of inpatient admission. <p>Data Elements:</p> <ul style="list-style-type: none"> • Observed Pressure Ulcer • Observed Pressure Ulcer – Hospital-Acquired • Observed Pressure Ulcer – Stage
Denominator	<p>All patients on the selected unit at the time of the study who are surveyed for the study by Type of Unit and overall. Time window: Quarterly Prevalence Study Day</p> <p>The current language "selected units" is not suggesting that hospitals "choose" units for survey. Rather, inherent in prevalence study method is that ALL eligible units are surveyed at the same point in time (note labor, delivery, post partum and psychiatry units are excluded). Hospitals do not choose units to be surveyed; units surveyed are standardized across institutions by those eligible reporting units as defined in the Type of Unit data element and listed in the strata definitions provided under section number 10. The word "selected" will be deleted for clarity.</p> <p>Included Populations: Patients 18 years or older who are admitted to critical care, step-down, medical, surgical and medical-surgical combined units that are surveyed for the study.</p> <p>Data Elements:</p> <ul style="list-style-type: none"> • Admission Date • Birthdate • Sex • Type of Unit • Prevalence Study Date
Exclusions	<p>Excluded Populations:</p> <ul style="list-style-type: none"> • Patients less than 18 years of age • Patients who refuse to be assessed • Patients who are off the unit at the time of the prevalence study, i.e., surgery, x-ray, physical therapy, etc. • Patients who are medically unstable at the time of the study for whom assessment would be contraindicated at the time of the study, i.e., unstable blood pressure, uncontrolled pain, or fracture waiting repair. • Patients who are actively dying and pressure ulcer prevention is no longer a treatment goal.
Risk Adjustment	Stratified by hospital size.
Data Source	Paper Medical Record, Electronic Health/Medical Record, Other
Level	Group of clinicians (facility, dept/unit, group), Facility (e.g., hospital, nursing home)
Setting	Hospital

Measure# 0202: Falls with injury	
Steward	American Nurses Association
Description	All documented patient falls with an injury level of minor (2) or greater.
Numerator	<p>Total number of patient falls of injury level minor or great (whether or not assisted by a staff member) by hospital unit during month x 1000.</p> <p>Included Populations:</p> <ul style="list-style-type: none"> • Falls with Fall Injury Level of 2 “minor” or greater, including assisted and repeat falls with an Injury level of 2 or greater • Patient injury falls occurring while on an eligible reporting unit <p>Excluded Populations:</p> <p>Falls by:</p> <ul style="list-style-type: none"> • Visitors • Students • Staff members • Falls by patients from eligible reporting unit, however patient was not on unit at time of fall (e.g., patients falls in radiology department) • Falls on other unit types (e.g., pediatric, obstetrical, rehab, etc) • Falls with Fall Injury Level of 1 “none” <p>Data Elements: Collected at a patient level</p> <ul style="list-style-type: none"> • Month • Year • Age • Gender • Event Type (fall, assisted fall, or repeat fall) • Fall Injury Level • Type of Unit • Fall Risk Assessment • Fall Risk • Fall Prevention Protocol
Denominator	<p>Denominator Statement: Patient days by Type of Unit during the calendar month.</p> <p>Time Window: Calendar Month</p> <p>Included Populations:</p> <ul style="list-style-type: none"> • Inpatients, short stay patients, observation patients and same day surgery patients who receive care on in-patient units for all or part of a day. • Adult critical care, step-down, medical, surgical, medical-surgical combined units <p>Four (4) Patient Days reporting methods are recognized:</p> <p>Method 1-Midnight Census</p> <p>This is adequate for units that have all in-patient admissions. It is the least accurate method for units that have both in-patient and short stay patients. The daily number should be summed for every day in the month.</p> <p>Method 2-Midnight Census + Patient Days from Actual Hours for Short Stay Patients</p> <p>This is an accurate method for units that have both in-patients and short stay patients. The short stay “days” should be reported separately from midnight census and will be summed to obtain patient days. The total daily hours for short stay patients should be summed for the month and divided by 24.</p> <p>Method 3-Midnight Census + Patient Days from Average Hours for Short Stay Patients</p> <p>This method has been eliminated from the list of acceptable reporting methods.</p> <p>Method 4-Patient Days from Actual Hours</p> <p>This is the most accurate method. An increasing number of facilities have accounting systems that track the actual time spent in the facility by each patient. Sum actual hours for all patients, whether in-patient or short stay, and divide by 24.</p> <p>Method 5-Patient Days from Multiple Census Reports</p> <p>Some facilities collect censuses multiple times per day (e.g., every 4 hours or each shift). This method is more accurate than the Midnight Census, but not as accurate as Midnight Census + Actual Short Stay hours, or as Actual Patient Hours. A sum of the daily average censuses can be calculated to determine patient days for the</p>

	<p>month on the unit.</p> <p>It is recommended that data collectors consistently use the same method for reporting patient days. However, units with short stay patients should transition from Midnight Census to Method 2 or Method 4 when it becomes feasible.</p> <p>Data Elements:</p> <ul style="list-style-type: none"> • Month • Year • Patient Days Reporting method which includes midnight census and short stay patient days • Type of Unit
Exclusions	Excluded Populations: Other unit types (e.g., pediatric, psychiatric, obstetrical, rehab, etc.)
Risk Adjustment	Stratification by facility size and unit; documentation of falls risk assessment on admission; fall protocol implementation; level of patient activity prior to fall
Data Source	Paper Medical Record, Electronic Health/Medical Record, Electronic source - Other, Other
Level	Group of clinicians (facility, dept/unit, group)
Setting	Hospital
Measure# 0203: Restraint prevalence (vest and limb only)	
Steward	The Joint Commission, California Nursing Outcome Coalition
Description	Total number of patients that have vest and/or limb restraint (upper or lower body or both) on the day of the prevalence study.
Numerator	<p>Patients surveyed on the eligible reporting unit that have a vest restraint and/or limb restraint (upper or lower or both) on the day of the prevalence study.</p> <p>Time Window: Quarterly Prevalence Study Day</p> <p>Excluded Populations:</p> <ul style="list-style-type: none"> • Restraints that are only associated with medical, dental, diagnostic, or surgical procedures and is based on standard practice for the procedure (sometimes referred to as "treatment restraints") • seclusion • restraint uses that are forensic or correctional restrictions used for security purposes unrelated to clinical care • devices used to meet the assessed needs of a patient who requires adaptive support or a medical protective device <p>Data Elements:</p> <ul style="list-style-type: none"> • Physical Restraint • Type of Restraint
Denominator	<p>All patients on an eligible reporting unit at the time of the study and are surveyed for the study by Type of Unit.</p> <p>Time Window: Quarterly Prevalence Study Day</p> <p>Eligible reporting units are those units meeting the requirements as defined in the Type of Unit data element and listed in the strata definitions provided below section number 10 Stratification Details.</p> <p>Included Populations: Patients 18 years or older who are admitted to critical care, step-down, medical, surgical and medical-surgical combined units that are surveyed for the study.</p> <p>Data Elements:</p> <ul style="list-style-type: none"> • Admission Date • Birthdate • Prevalence Study Date • Sex • Type of Unit
Exclusions	<p>Excluded Populations:</p> <ul style="list-style-type: none"> • Patients less than 18 years of age • Patients who are off the unit at the time of the prevalence study, i.e. surgery, x-ray, physical therapy, etc.
Risk Adjustment	
Data Source	Paper Medical Record, Electronic Health/Medical Record
Level	Group of clinicians (facility, dept/unit, group), Facility (e.g., hospital, nursing home)
Setting	Hospital

Measure# 0239: Venous Thromboembolism (VTE) Prophylaxis	
Steward	American College of Emergency Physicians, American Medical Association, National Committee for Quality Assurance, American Medical Association - Physician Consortium for Performance Improvement
Description	Percentage of patients aged 18 years and older undergoing procedures for which VTE prophylaxis is indicated in all patients, who had an order for Low Molecular Weight Heparin (LMWH), Low-Dose Unfractionated Heparin (LDUH), adjusted-dose warfarin, fondapar
Numerator	Surgical patients, who had an order for VTE prophylaxis (low molecular weight heparin (LMWH), low-dose unfractionated heparin (LDUH), adjusted-dose warfarin, fondaparinux or mechanical prophylaxis) to be given within 24 hours prior to incision time or within 24 hours after surgery end time.
Denominator	All surgical patients aged 18 years and older undergoing procedures for which VTE prophylaxis is indicated in all patients.
Exclusions	Documentation of medical reason(s) for patient not receiving any accepted form of VTE prophylaxis (LMWH, LDUH, adjusted-dose warfarin, fondaparinux or mechanical prophylaxis) within 24 hours prior to incision time or 24 hours after surgery end time Exclude patients for whom VTE prophylaxis was not ordered by reason of appropriate denominator exclusion. If using electronic data, exclude patients using the following code: Append a modifier (1P) to the CPT Category II code to report patients with documented circumstances that meet the denominator exclusion criteria.
Risk Adjustment	
Data Source	Electronic Claims
Level	Individual clinician (physician, nurse)
Setting	Hospital
Measure# 0263: Patient Burn	
Steward	Ambulatory Surgical Centers Quality Collaborative
Description	Percentage of ASC admissions experiencing a burn prior to discharge
Numerator	Ambulatory surgical center (ASC) admissions experiencing a burn prior to discharge.
Denominator	All ASC admissions.
Exclusions	None
Risk Adjustment	None.
Data Source	Paper Medical Record, Electronic Claims, Other
Level	Individual clinician (physician, nurse)
Setting	Hospital, Ambulatory Surgical Centers
Measure# 0265: Hospital Transfer/Admission	
Steward	Ambulatory Surgical Centers Quality Collaborative
Description	Percentage of ASC admissions requiring a hospital transfer or hospital admission prior to being discharged from the ASC.
Numerator	ASC admissions requiring a hospital transfer or hospital admission prior to being discharged from the ASC.
Denominator	All ASC admissions
Exclusions	None.
Risk Adjustment	
Data Source	Other
Level	Individual clinician (physician, nurse)
Setting	Hospital, Ambulatory Surgical Centers

Measure# 0266: Patient Fall	
Steward	Ambulatory Surgical Centers Quality Collaborative
Description	Percentage of ASC admissions experiencing a fall in the ASC.
Numerator	ASC admissions experiencing a fall in the ASC.
Denominator	All ASC admissions.
Exclusions	ASC admissions experiencing a fall outside the ASC.
Risk Adjustment	None
Data Source	Other
Level	Individual clinician (physician, nurse)
Setting	Hospital, Ambulatory Surgical Centers
Measure# 0267: Wrong Site, Wrong Side, Wrong Patient, Wrong Procedure, Wrong Implant	
Steward	Ambulatory Surgical Centers Quality Collaborative
Description	Percentage of ASC admissions experiencing a wrong site, wrong side, wrong patient, wrong procedure, or wrong implant.
Numerator	ASC admissions experiencing a wrong site, wrong side, wrong patient, wrong procedure, or wrong implant.
Denominator	All ASC admissions.
Exclusions	None
Risk Adjustment	None.
Data Source	Other
Level	Individual clinician (physician, nurse)
Setting	Hospital, Ambulatory Surgical Centers
Measure# 0298: Central Line Bundle Compliance	
Steward	Institute for Healthcare Improvement
Description	Percentage of intensive care patients with central lines for whom all elements of the central line bundle are documented and in place. The central line bundle elements include: • Hand hygiene , • Maximal barrier precautions upon insertion • Chlorhex
Numerator	Number of intensive care patients with central lines for whom all elements of the central line bundle are documented and in place. The central line bundle elements include: • Hand hygiene , • Maximal barrier precautions upon insertion • Chlorhexidine skin antisepsis • Optimal catheter site selection, with subclavian vein as the preferred site for non-tunneled catheters in patients 18 years and older • Daily review of line necessity with prompt removal of unnecessary lines
Denominator	Total number of intensive care patients with central lines on day of week of sample.
Exclusions	Exclude patients less than 18 years of age at the date of ICU admission and patients outside the intensive care unit and patients whose lines were not placed in the intensive care unit
Risk Adjustment	
Data Source	Paper Medical Record
Level	Facility (e.g., hospital, nursing home)
Setting	Hospital

Measure# 0299: Surgical Site Infection Rate	
Steward	Centers for Disease Control and Prevention, Centers for Medicare & Medicaid Services
Description	Percentage of surgical site infections occurring within thirty days after the operative procedure if no implant is left in place or with one year if an implant is in place in patients who had an NHSN operative procedure performed during a specified time
Numerator	<p>Number of surgical site infections occurring within thirty days after the operative procedure if no implant is left in place or with one year if an implant is in place in patients who had an NHSN operative procedure performed during a specified time period and the infection appears to be related to the operative procedure. Infections are identified on original admission or upon readmission to the facility of original operative procedure within the relevant time frame (30 days for no implants; within 1 year for implants).</p> <p>Two types of CDC-defined SSIs are included:</p> <p>(1) A deep incisional SSI must meet the following criteria:</p> <ul style="list-style-type: none"> • Infection occurs within 30 days after the operative procedure if no implant is left or within one year if implant is in place and the infection appears to be related to the operative procedure and • involves deep soft tissues (e.g., fascial and muscle layers) of the incision and • patient has at least one of the following: <ul style="list-style-type: none"> a) purulent drainage from the deep incision but not from the organ/space component of the surgical site b) a deep incision spontaneously dehisces or is deliberately opened by a surgeon and is culture-positive or not cultured when the patient has at least one of the following signs or symptoms: fever (>38°C), or localized pain or tenderness. A culture-negative finding does not meet this criterion. c) an abscess or other evidence of infection involving the deep incision is found on direct examination, during reoperation, or by histopathologic or radiologic examination d) diagnosis of a deep incisional SSI by a surgeon or attending physician. <p>Note: There are two specific types of deep incisional SSIs:</p> <p>1) Deep Incisional Primary (DIP) - a deep incisional SSI that is identified in a primary incision in a patient that has had an operation with one or more incisions (e.g., C-section incision or chest incision for CABG)</p> <p>2) Deep Incisional Secondary (DIS) - a deep incisional SSI that is identified in the secondary incision in a patient that has had an operation with more than one incision (e.g., donor site [leg] incision for CBGB)</p> <p>(2) An organ/space SSI must meet the following criteria:</p> <ul style="list-style-type: none"> • Infection occurs within 30 days after the operative procedure if no implant is left or within one year if implant is in place and the infection appears to be related to the operative procedure and • infection involves any part of the body, excluding the skin incision, fascia, or muscle layers, that is opened or manipulated during the operative procedure and • patient has at least one of the following: <ul style="list-style-type: none"> a) purulent drainage from a drain that is placed through a stab wound into the organ/space b) organisms isolated from an aseptically obtained culture of fluid or tissue in the organ/space c) an abscess or other evidence of infection involving the organ/space that is found on direct examination, during reoperation, or by histopathologic or radiologic examination d) diagnosis of an organ/space SSI by a surgeon or attending physician. <p>Specific sites of an organ/space SSI may be identified¹¹</p>
Denominator	<p>Number of NHSN operative procedures performed during a specified time period stratified by:</p> <ul style="list-style-type: none"> • Type of NHSN operative procedure and • NNIS SSI risk index: <p>Every patient having the selected procedure is assigned one (1) risk point for each of the following three factors:</p> <ul style="list-style-type: none"> o Surgical wound classification = clean contaminated or dirty

	<ul style="list-style-type: none"> o American Society of Anesthesiologists (ASA) preoperative severity of illness score = 3, 4, or 5 o Duration of operation >t hours, where t varies by type of NHSN operative procedure and is the approximate 75th percentile of the duration of the procedure rounded to the nearest whole number of hours. <p>Note: For operative procedures performed using lapyroscopes and endoscopes the use of a lapyroscope is an additional factor that modifies the risk index.</p>
Exclusions	Exclude Procedures Not Included Under The Definition Of NHSN Operative Procedure And Excludes Superficial SSI.
Risk Adjustment	
Data Source	Paper Medical Record
Level	Facility (e.g., hospital, nursing home)
Setting	Hospital
Measure# 0301: Surgery patients with appropriate hair removal	
Steward	The Joint Commission
Description	Percentage of surgery patients with surgical hair site removal with clippers or depilatory or no surgical site hair removal
Numerator	Surgery patients with surgical hair site removal with clippers or depilatory or no surgical site hair removal
Denominator	All selected surgery patients Include patients with an ICD-9-CM Principal Procedure code or ICD-9-CM Other Procedure Codes of selected surgeries.
Exclusions	Exclude the following patients: <ul style="list-style-type: none"> • less than 18 years of age; • performed their own hair removal; and • patients whose mode of hair removal could not be determined.
Risk Adjustment	
Data Source	Paper Medical Record, Electronic Claims
Level	Facility (e.g., hospital, nursing home)
Setting	Hospital

Measure# 0302: Ventilator Bundle	
Steward	Institute for Healthcare Improvement
Description	Percentage of intensive care unit patients on mechanical ventilation at time of survey for whom all four elements of the ventilator bundle are documented and in place. The ventilator bundle elements are: <ul style="list-style-type: none"> •Head of bed (HOB) elevation 30 degrees or great
Numerator	Number of intensive care unit patients on mechanical ventilation at time of survey for whom all four elements of the ventilator bundle are documented and in place. The ventilator bundle elements are: <ul style="list-style-type: none"> •Head of bed (HOB) elevation 30 degrees or greater (unless medically contraindicated); noted on 2 different shifts within a 24 hour period •Daily “sedation interruption” and daily assessment of readiness to extubate; process includes interrupting sedation until patient follow commands and patient is assessed for discontinuation of mechanical ventilation; Parameters of discontinuation include: resolution of reason for intubation; inspired oxygen content roughly 40%; assessment of patients ability to defend airway after extubation due to heavy sedation; minute ventilation less than equal to 15 liters/minute; and respiratory rate/tidal volume less than or equal to 105/min/L(RR/TV< 105) •SUD (peptic ulcer disease) prophylaxis •DVT (deep venous thrombosis) prophylaxis
Denominator	Total number of intensive care unit patients on mechanical ventilation.
Exclusions	Patients less than 18 years of age at the date of ICU admission.
Risk Adjustment	
Data Source	Paper Medical Record
Level	Facility (e.g., hospital, nursing home)
Setting	Hospital
Measure# 0337: Decubitus Ulcer (PDI 2)	
Steward	Agency for Healthcare Research and Quality
Description	Percent of surgical and medical discharges under 18 years with ICD-9-CM code for decubitus ulcer in secondary diagnosis field.
Numerator	All discharges, age under 18 years, with International Classification of Diseases, Ninth Revision, Clinical Modification (ICD-9-CM) codes denoting decubitus ulcer in any secondary diagnosis field
Denominator	All surgical and medical discharges age under 18 years defined by specific Surgical and Medical Diagnosis Related Group (DRG), include only patients with a length of stay of 5 or more days
Exclusions	Exclude patients with an ICD-9-CM code of decubitus ulcer in the principal diagnosis field; with an ICD-9-CM procedure code for debridement or pedicle graft before or on the same day as a major operating room procedure (surgical cases only); with an ICD-9-CM procedure code for debridement or pedicle graft as the only major operating room procedure (surgical cases only); Major Diagnostic Category (MDC) 9 (Skin, Subcutaneous Tissue, and Breast) or MDC 14 (Pregnancy, Childbirth and the Puerperium); newborns less than 500 grams; Neonates (age < 28 days) and patients transferring in from long term care facility (ASOURCE =3) or an acute care facility (ASOURCE = 2)
Risk Adjustment	
Data Source	Electronic Claims
Level	Facility (e.g., hospital, nursing home)
Setting	Hospital

Measure# 0345: Accidental Puncture or Laceration (PSI 15)	
Steward	Agency for Healthcare Research and Quality
Description	Percent of medical and surgical discharges, 18 years and older, with ICD-9-CM code denoting accidental cut, puncture, perforation, or laceration in any secondary diagnosis field.
Numerator	Medical and surgical discharges with ICD-9-CM code denoting accidental cut, puncture, perforation, or laceration in any secondary diagnosis field.
Denominator	Discharges, age 18 years and older, defined by specific DRGs
Exclusions	<ul style="list-style-type: none"> • with ICD-9-CM code denoting technical difficulty (e.g., accidental cut, puncture, perforation, or laceration) in the principal diagnosis field or secondary diagnosis present on admission, if known • MDC 14 (pregnancy, childbirth, and puerperium). • with ICD-9-CM code for spine surgery
Risk Adjustment	<p>The predicted value for each case is computed using a hierarchical model (logistic regression with hospital random effect) and covariates for gender, age (in 5-year age groups), modified CMS DRG, and the AHRQ Comorbidity category. The reference population used in the regression is the universe of discharges for states that participate in the HCUP State Inpatient Data (SID) for the years 2002-2004 (combined), a database consisting of 37 states and approximately 90 million discharges. The expected rate is computed as the sum of the predicted value for each case divided by the number of cases for the unit of analysis of interest (i.e., hospital, state, and region). The risk adjusted rate is computed using indirect standardization as the observed rate divided by the expected rate, multiplied by the reference population rate.</p> <p>Required data elements: CMS Diagnosis Related Group (DRG); CMS Major Diagnostic Category (MDC); patient gender; age in years at admission; International Classification of Diseases, Ninth Revision, Clinical Modification (ICD-9-CM) principal and secondary diagnosis codes.</p>
Data Source	Electronic Claims
Level	Facility (e.g., hospital, nursing home)
Setting	Hospital
Measure# 0346: Iatrogenic Pneumothorax (PSI 6) (risk adjusted)	
Steward	Agency for Healthcare Research and Quality
Description	Percent of medical and surgical discharges, 18 years and older, with ICD-9-CM code of iatrogenic pneumothorax in any secondary diagnosis field.
Numerator	Discharges with ICD-9-CM code of iatrogenic pneumothorax in any secondary diagnosis field
Denominator	Discharges, age 18 years and older, defined by specific surgical and medical DRGs
Exclusions	Patients in MDC 14 (pregnancy, childbirth and puerperium); with principal diagnosis (ICD-9-CM) code of iatrogenic pneumothorax (secondary diagnosis field if present on admission); with an ICD-9-CM diagnosis code of chest trauma or pleural effusion; with an ICD-9-CM procedure code of diaphragmatic surgery; and with an ICD-9-CM procedure code indicating thoracic surgery, lung or pleural biopsy, or assigned to cardiac surgery DRGs
Risk Adjustment	<p>The predicted value for each case is computed using a hierarchical model (logistic regression with hospital random effect) and covariates for gender, age (in 5-year age groups), modified CMS DRG, and the AHRQ Comorbidity category. The reference population used in the regression is the universe of discharges for states that participate in the HCUP State Inpatient Data (SID) for the years 2002-2004 (combined), a database consisting of 37 states and approximately 90 million discharges. The expected rate is computed as the sum of the predicted value for each case divided by the number of cases for the unit of analysis of interest (i.e., hospital, state, and region). The risk adjusted rate is computed using indirect standardization as the observed rate divided by the expected rate, multiplied by the reference population rate.</p> <p>Required data elements: CMS Diagnosis Related Group (DRG); CMS Major Diagnostic Category (MDC); patient gender; age in years at admission; International Classification of Diseases, Ninth Revision, Clinical Modification (ICD-9-CM) principal and secondary diagnosis codes.</p>
Data Source	Electronic Claims
Level	Facility (e.g., hospital, nursing home)
Setting	Hospital

Measure# 0347: Death in Low Mortality DRGs (PSI 2)	
Steward	Agency for Healthcare Research and Quality
Description	Percent of in-hospital deaths, age 18 years and older, in DRGs with less than 0.5% mortality rate.
Numerator	Number of in-hospital deaths
Denominator	Discharges, age 18 years and older, in DRGs with less than 0.5% mortality rate. If a DRG is divided into "without/with complications," both DRGs must qualify as low mortality for inclusion
Exclusions	Patients with any ICD-9-CM code for trauma, immunocompromised state or cancer
Risk Adjustment	None.
Data Source	Electronic Claims
Level	Facility (e.g., hospital, nursing home)
Setting	Hospital
Measure# 0348: Iatrogenic Pneumothorax in Non-Neonates (PDI 5) (risk adjusted)	
Steward	Agency for Healthcare Research and Quality
Description	Percent of medical and surgical discharges, age under 18 years, with ICD-9-CM code of iatrogenic pneumothorax in any secondary diagnosis field.
Numerator	Discharges with ICD-9-CM code of iatrogenic pneumothorax in any secondary diagnosis field
Denominator	Discharges, age under 18 years, defined by specific surgical and medical DRGs
Exclusions	Neonates (birth weight less than 2500 grams); patients with an ICD-9-CM code of iatrogenic pneumothorax in neonates in the principal diagnosis field (secondary diagnosis field if present on admission); with an ICD-9-CM code of thoracic surgery, lung or pleural biopsy or diaphragmatic surgery repair or assigned to a cardiac surgery DRG; with a diagnosis code of chest trauma or pleural effusion; MDC of 14 (pregnancy, childbirth, puerperium) normal newborn and newborns less than 500 grams
Risk Adjustment	<p>The predicted value for each case is computed using a hierarchical model (logistic regression with hospital random effect) and covariates for gender, birthweight (500g groups), age in days (29-60, 61-90, 91+), age in years (in 5-year age groups), modified CMS DRG and AHRQ CCS comorbidities. The reference population used in the regression is the universe of discharges for states that participate in the HCUP State Inpatient Data (SID) for the years 2002-2004 (combined), a database consisting of 37 states and approximately 20 million pediatric discharges. The expected rate is computed as the sum of the predicted value for each case divided by the number of cases for the unit of analysis of interest (i.e., hospital, state, and region). The risk adjusted rate is computed using indirect standardization as the observed rate divided by the expected rate, multiplied by the reference population rate.</p> <p>Required data elements: CMS Diagnosis Related Group (DRG); CMS Major Diagnostic Category (MDC); age in days up to 364, then age years at admission; International Classification of Diseases, Ninth Revision, Clinical Modification (ICD-9-CM) principal and secondary diagnosis codes.</p>
Data Source	Electronic Claims
Level	Facility (e.g., hospital, nursing home)
Setting	Hospital

Measure# 0349: Transfusion Reaction (PSI 16)	
Steward	Agency for Healthcare Research and Quality
Description	Percent of medical and surgical discharges, 18 years and older, with ICD-9-CM code for transfusion reaction in any secondary diagnosis field.
Numerator	Discharges with an ICD-9-CM code for transfusion reaction in any secondary diagnosis field
Denominator	Discharges, age 18 years and older, defined by specific surgical and medical DRGs
Exclusions	Patients with an ICD-9-CM code for transfusion reaction in the principal diagnosis field (secondary diagnosis field if present on admission)
Risk Adjustment	None.
Data Source	Electronic Claims
Level	Facility (e.g., hospital, nursing home)
Setting	Hospital
Measure# 0350: Transfusion Reaction (PDI 13)	
Steward	Agency for Healthcare Research and Quality
Description	Percent of medical and surgical discharges, under 18 years of age, with an ICD-9-CM code for transfusion reaction in any secondary diagnosis field.
Numerator	Discharges with an ICD-9-CM code for transfusion reaction in any secondary diagnosis field
Denominator	Discharges, age under 18 years, defined by specific surgical and medical DRGs
Exclusions	Patients with MDC 14 (pregnancy, childbirth, puerperium); with an ICD-9-CM code for transfusion reaction in the principal diagnosis field (secondary diagnosis field if present on admission); and neonates less than 500 grams
Risk Adjustment	
Data Source	Electronic Claims
Level	Facility (e.g., hospital, nursing home)
Setting	Hospital

Measure# 0352: Failure to Rescue In-Hospital Mortality (risk adjusted)	
Steward	Children's Hospital of Philadelphia
Description	Percentage of patients who died with a complications in the hospital.
Numerator	<p>Patients who died with a complication plus patients who died without documented complications. Death is defined as death in the hospital.</p> <p>All patients in an FTR analysis have developed a complication (by definition).</p> <p>Complicated patient has at least one of the complications defined in Appendix B. Complications are defined using the secondary ICD9 diagnosis and procedure codes and the DRG code of the current admission.</p> <p>Comorbidities are defined in Appendix C using secondary ICD9 diagnosis codes of the current admission and primary or secondary ICD9 diagnosis codes of previous admission within 90 days of the admission date of the current admission.</p> <p>*When physician part B is available, the definition of complications and comorbidities are augmented to include CPT codes.</p>
Denominator	<p>General Surgery, Orthopedic and Vascular patients in specific DRGs with complications plus patients who died in the hospital without complications.</p> <p>Inclusions: adult patients admitted for one of the procedures in the General Surgery, Orthopedic or Vascular DRGs (see appendix A)</p>
Exclusions	Patients over age 90, under age 18.
Risk Adjustment	<p>Risk Adjustment: Model was developed using logistic regression analysis.</p> <p>Associated data elements: age in years, sex, race, comorbidities, DRGs (combined with and without complications) and procedure codes within DRGs, transfer status.</p> <p>Failure to rescue is adjusted using a logistic regression model where y is a failure and the total N is composed of patients who develop a complication and patients who died without a complication.</p> <p>According to developer: The model adjustment variables can vary. We have found that FTR results are fairly stable, even with little adjustment, since all patients in an FTR analysis have developed a complication (by definition), they are a more homogenous group of patients than the entire population. Hence severity adjustment plays somewhat less of a role than in other outcome measures.</p>
Data Source	Electronic Claims
Level	Facility (e.g., hospital, nursing home)
Setting	Hospital

Measure# 0353: Failure to Rescue 30-Day Mortality (risk adjusted)	
Steward	Children's Hospital of Philadelphia
Description	Percentage of patients who died with a complication within 30 days from admission.
Numerator	<p>Patients who died with a complication plus patients who died without documented complications. Death is defined as death within 30 days from admission.</p> <p>Complicated patient has at least one of the complications defined in Appendix B. Complications are defined using the secondary ICD9 diagnosis and procedure codes and the DRG code of the current admission.</p> <p>Comorbidities are defined in Appendix C using secondary ICD9 diagnosis codes of the current admission and primary or secondary ICD9 diagnosis codes of previous admission within 90 days of the admission date of the current admission.</p> <p>*When physician part B is available, the definition of complications and comorbidities are augmented to include CPT codes.</p>
Denominator	<p>General Surgery, Orthopedic and Vascular patients in specific DRGs with complications plus patients who died without complications within 30 days of admission.</p> <p>Inclusions: adult patients admitted for one of the procedures in the General Surgery, Orthopedic or Vascular DRGs (see appendix A)</p>
Exclusions	Patients over age 90, under age 18.
Risk Adjustment	<p>Risk Adjustment: Model was developed using logistic regression analysis.</p> <p>Associated data elements: age in years, sex, race, comorbidities, DRGs (combined with and without complications) and procedure codes within DRGs, transfer status.</p> <p>Failure to rescue is adjusted using a logistic regression model where y is a failure and the total N is composed of patients who develop a complication and patients who died without a complication.</p> <p>According to developer: The model adjustment variables can vary. We have found that FTR results are fairly stable, even with little adjustment, since all patients in an FTR analysis have developed a complication (by definition), they are a more homogenous group of patients than the entire population. Hence severity adjustment plays somewhat less of a role than in other outcome measures.</p>
Data Source	Electronic Claims
Level	Facility (e.g., hospital, nursing home)
Setting	Hospital
Measure# 0362: Foreign Body left after procedure (PDI 3)	
Steward	Agency for Healthcare Research and Quality
Description	Discharges with foreign body accidentally left in during procedure per 1,000 discharges
Numerator	All discharges, age under 18 years, with International Classification of Diseases, Ninth Revision, Clinical Modification (ICD-9-CM) codes for foreign body left in during a procedure in any secondary diagnosis field
Denominator	All surgical and medical discharges age under 18 years defined by specific Surgical and Medical Diagnosis Related Group (DRG)
Exclusions	Exclude patients with an ICD-9-CM code of foreign body left in during a procedure in the principal diagnosis field, Major Diagnostic Category (MDC) 14 (Pregnancy, Childbirth and the Puerperium), newborns less than 500 grams and neonates (age < 28 days)
Risk Adjustment	None.
Data Source	Electronic Claims
Level	Facility (e.g., hospital, nursing home)
Setting	Hospital

Measure# 0363: Foreign Body Left in During Procedure (PSI 5)	
Steward	Agency for Healthcare Research and Quality
Description	Discharges with foreign body accidentally left in during procedure per 1,000 discharges
Numerator	Number of discharges, age 18 years and older, with an International Classification of Diseases, Ninth Revision, Clinical Modification (ICD-9-CM) code for foreign body in any secondary diagnosis field
Denominator	All surgical and medical discharges age 18 years and older defined by specific Surgical and Medical Diagnosis Related Group (DRG) Include patients in MDC 14
Exclusions	Exclude patients with principal diagnosis (ICD-9-CM) code of foreign body
Risk Adjustment	None.
Data Source	Electronic Claims
Level	Facility (e.g., hospital, nursing home)
Setting	Hospital
Measure# 0371: Venous Thromboembolism (VTE) Prophylaxis	
Steward	The Joint Commission
Description	This measure assesses the number of patients who received VTE prophylaxis or have documentation why no VTE prophylaxis was given the day of or the day after hospital admission or surgery end date for surgeries that start the day of or the day after hosp
Numerator	Patients who received VTE prophylaxis or have documentation why no VTE prophylaxis was given: ? the day of or the day after hospital admission ? the day of or the day after surgery end date for surgeries that start the day of or the day after hospital admission
Denominator	All patients Inclusions: Not applicable
Exclusions	Patients: ? Patients less than 18 years of age ? Patients who have a length of stay (LOS) < two days and > 120 days ? Patients with Comfort Measures Only documented ? Patients enrolled in clinical trials ? Patients who are direct admits to intensive care unit (ICU), or transferred to ICU the day of or the day after hospital admission with ICU LOS = one day ? Patients with ICD-9-CM Principal Diagnosis Code of Mental Disorders or Stroke as defined in Appendix A, Table 7.01, 8.1 or 8.2 ? Patients with ICD-9-CM Principal or Other Diagnosis Codes of Obstetrics or VTE as defined in Appendix A, Table 7.02, 7.03 or 7.04 ? Patients with ICD-9-CM Principal Procedure Code of Surgical Care Improvement Project (SCIP) VTE selected surgeries as defined in Appendix A, Tables 5.17, 5.19, 5.20, 5.21, 5.22, 5.23, 5.24
Risk Adjustment	
Data Source	Paper Medical Record, Electronic Claims, Electronic Health/Medical Record
Level	Facility (e.g., hospital, nursing home)
Setting	Hospital

Measure# 0589: Rheumatoid Arthritis New DMARD Baseline Serum Creatinine	
Steward	Resolution Health, Inc.
Description	This measure identifies adult patients with a diagnosis of rheumatoid arthritis who received appropriate baseline serum creatinine testing within 90 days before to 14 days after the new start of methotrexate, leflunomide, azathioprine, D-Penicillamine, intramuscular gold, cyclosporine, or cyclophosphamide during the measurement year.
Numerator	Patients in the denominator who received serum creatinine testing within 90 days before to 14 days after the new start of methotrexate, leflunomide, azathioprine, D-Penicillamine, intramuscular gold, cyclosporine, or cyclophosphamide during the measurement year.
Denominator	Patients ≥ 18 years old with a history of rheumatoid arthritis and a new start of methotrexate, leflunomide, azathioprine, D-Penicillamine, intramuscular gold, cyclosporine, or cyclophosphamide anytime from the beginning of the measurement year to 14 days prior to the end of the measurement year. (This list of DMARDs will hereafter be referred to as 'DMARD needing baseline SCR')
Exclusions	The measure excludes patients who have had an inpatient hospitalization during the measurement year because UB04 claims do not document individual lab tests ordered during an inpatient stay.
Risk Adjustment	no
Data Source	Electronic Claims, Electronic Pharmacy Data, Other
Level	Individual clinician (physician, nurse), Community/Population, Health Plan, Group of clinicians (facility, dept/unit, group), Integrated delivery system
Setting	Ambulatory Care (office/clinic), Community Healthcare, Health Plan