

# THE NATIONAL QUALITY FORUM

## COMPOSITE MEASURE SUBMISSION FORM

Version 4.1 January 2010

This form will be used by stewards to submit composite measures and by reviewers to evaluate the measures.

Measure Stewards: Check with NQF staff before using this form. Complete all non-shaded areas of the form. All requested information should be entered directly into this form. The information requested is directly related to NQF's [composite measure evaluation criteria](#) and will be used by reviewers to determine if the evaluation criteria have been met. The specific relevant subcriteria language is provided in a Word comment within the form and will appear if your cursor is over the highlighted area (or in balloons).

The measure steward has the opportunity to identify and present the information that demonstrates the measure meets the criteria. Additional materials will only be considered supplemental. Do not rely solely on materials provided at URLs or in attached documents to provide measure specifications or to demonstrate meeting the criteria. If supplemental materials are provided, be sure to indicate specific page numbers/ web page locations for the relevant information (web page links preferred).

For questions about completing this form, contact the project director at 202-783-1300. Please email this form to the appropriate contact listed in the corresponding call for measures.

TAP/Workgroup (if utilized): Complete all **yellow highlighted** areas of the form. Evaluate the extent to which each subcriterion is met. Based on your evaluation, summarize the strengths and weaknesses in each section.

Note: If there is no TAP or workgroup, the SC also evaluates the subcriteria (**yellow highlighted areas**).

Steering Committee: Complete all **pink** highlighted areas of the form. Review the workgroup/TAP assessment of the subcriteria, noting any areas of disagreement; then evaluate the extent to which each major criterion is met; and finally, indicate your recommendation for the endorsement. Provide the rationale for your ratings.

Evaluation ratings of the extent to which the criteria are met

C = Completely (unquestionably demonstrated to meet the criterion)

P = Partially (demonstrated to partially meet the criterion)

M = Minimally (addressed BUT demonstrated to only minimally meet the criterion)

N = Not at all (NOT addressed; OR incorrectly addressed; OR demonstrated to NOT meet the criterion)

NA = Not applicable (only an option for a few subcriteria as indicated)

<b>(for NQF staff use)</b> NQF Review #:		NQF Project: <b>Patient Safety Measures</b>	
<b>De.1</b> Title of Measure: <b>Perinatal Adverse Outcome Index</b>			
<b>De.2</b> Brief description of measure ( <i>including type of score, measure focus, target population, time, e.g., Percentage of adult patients aged 18-75 years receiving one or more HbA1c tests per year</i> ): <b>The rate and severity of adverse events in the obstetric population during their hospitalization</b>			
<b>De.3</b> Type of Measure:			
<input type="checkbox"/> Composite with component measures combined at patient-level (e.g., all-or-none)			
<input checked="" type="checkbox"/> Composite with component measures combined at aggregate-level			
Select the most relevant priority area(s), quality domain(s), and consumer need(s).			
<b>De.4</b> National Priority Partners Priority Area		<input type="checkbox"/> patient and family engagement	<input type="checkbox"/> population health
safety		<input checked="" type="checkbox"/>	
<input type="checkbox"/> care coordination	<input type="checkbox"/> palliative and end of life care	<input type="checkbox"/> overuse	
<b>De.5</b> IOM Quality Domain		<input type="checkbox"/> effectiveness	<input type="checkbox"/> efficiency
<input type="checkbox"/> timeliness	<input type="checkbox"/> equity	<input type="checkbox"/> patient-centered	<input checked="" type="checkbox"/> safety

De.6 Consumer Care Need  Getting Better  Living With Illness  Staying Healthy

CONDITIONS FOR CONSIDERATION BY NQF	
Four conditions must be met before proposed measures may be considered and evaluated for suitability as voluntary consensus standards:	<b>NQF Staff</b>
<p>A. The measure is in the public domain or an intellectual property agreement (<a href="#">measure steward agreement</a>) is signed. <i>Public domain only applies to governmental organizations. All non-government organizations must sign a measure steward agreement even if measures are made publicly and freely available.</i></p> <p>A.1 Do you attest that the measure steward holds intellectual property rights to the measure <u>and</u> the right to use any aspects of the measure owned by another entity (e.g., component measures, risk model, code set)? <input checked="" type="checkbox"/> Yes</p> <p>A.2 Measure Steward Agreement  <input checked="" type="checkbox"/> Signed and Submitted OR <input type="checkbox"/> Government entity-public domain  <i>(If measure steward agreement not signed for non-government entities, do not submit)</i></p> <p>A.3 Please check if either of the following apply:  <input type="checkbox"/> Proprietary Measure <input type="checkbox"/> Proprietary Complex Measure w/fees</p>	<p>A</p> <p>Y <input type="checkbox"/> N <input type="checkbox"/></p>
<p>B. The measure owner/steward verifies there is an identified responsible entity and process to maintain and update the measure on a schedule that is commensurate with the rate of clinical innovation, but at least every 3 years. B.1 <input checked="" type="checkbox"/> Yes <i>(If no, do not submit)</i></p>	<p>B</p> <p>Y <input type="checkbox"/> N <input type="checkbox"/></p>
<p>C. The intended use of the measure includes <u>both</u> public reporting <u>and</u> quality improvement.</p> <p>C.1 Purpose: <input checked="" type="checkbox"/> Public reporting <input checked="" type="checkbox"/> Internal quality improvement</p> <p>C.2 <input type="checkbox"/> Accountability <input type="checkbox"/> Accreditation <input type="checkbox"/> Payment incentive <input type="checkbox"/> Other, describe:  <i>(If not intended for <u>both</u> public reporting <u>and</u> quality improvement, do not submit)</i></p>	<p>C</p> <p>Y <input type="checkbox"/> N <input type="checkbox"/></p>
<p>D. The requested measure submission information is complete. Composite measures should be fully developed and tested so that all the evaluation criteria have been addressed and information needed to evaluate the measure is provided.</p> <p>D.1 Testing: <input checked="" type="checkbox"/> Fully developed and tested <i>(If composite measure not tested, do not submit)</i></p> <p>D.2 Have NQF-endorsed measures been reviewed to identify if there are similar or related measures?  <input checked="" type="checkbox"/> Yes <i>(If no, do not submit)</i> <i>If there are similar or related measures, be sure to address items 3b and 3c with specific information.</i>  <input checked="" type="checkbox"/> Is all requested information entered into this form? <i>(If no, do not submit)</i></p>	<p>D</p> <p>Y <input type="checkbox"/> N <input type="checkbox"/></p>
<p>De.7 If component measures of the composite are aggregate-level measures, <u>all</u> must be either NQF-endorsed or submitted for consideration for NQF endorsement (<i>check one</i>)</p> <p><input type="checkbox"/> All component measures are <u>NQF-endorsed</u> measures</p> <p><input checked="" type="checkbox"/> <u>Some or all</u> component measures are <u>not NQF-endorsed</u> and have been submitted using the online measure submission tool <i>(If not, do not submit)</i></p>	<p>Y <input type="checkbox"/> N <input type="checkbox"/></p>
<p><i>(for NQF staff use)</i> Have all conditions for consideration been met?                      Staff Notes to Steward (if submission returned):</p>	<p>Met</p> <p>Y <input type="checkbox"/> N <input type="checkbox"/></p>
Staff Notes to Reviewers (issues or questions regarding any criteria):	
Staff Reviewer Name(s):	

TAP/Workgroup Reviewer Name:

Steering Committee Reviewer Name:

1. IMPORTANCE TO MEASURE AND REPORT

Extent to which the specific measure focus is important to making significant gains in health care quality (safety, timeliness, efficiency, equity, patient-centeredness) and improving health outcomes for a specific high impact aspect of healthcare where overall poor performance. *Measures must be judged to be important to measure and report in order to be evaluated against criteria.* ([composite measure evaluation criteria](#))

(for NQF staff use) Specific NPP goal:

**1d. Purpose/objective of the Composite**

**1d.1 Describe the purpose/objective of the composite measure:** The purpose of this measure is to allow for standardized measures of adverse events in obstetrics that are related to care provided on labor and delivery so that the impact of quality improvement efforts can be measured. Currently there are no nationally accepted outcome measures of quality in obstetrics. Cesarean section rates, VBAC rates, perinatal birth trauma have been used. None is adequate by itself. Data using the AOI suggest that about 9% of deliveries are complicated by the adverse events. Many of these events are preventable (see references for each of the measures). Our own internal review of major adverse events are preventable..

1) [http://www.qualitymeasures.ahrq.gov/summary/summary.aspx?doc\\_id=12735](http://www.qualitymeasures.ahrq.gov/summary/summary.aspx?doc_id=12735).

2) <http://www.jointcommission.org/PerformanceMeasurement/PerformanceMeasurement/Pregnancy+and+Related+Conditions>

3) Mann S, Pratt SD et al. Assessing Quality in Obstetrical Care: Development of Standardized Measures. Jt Comm J Qual Pt Saf 2007; 33:505.

4) Nielsen PE, Goldman MB, Mann S, Shapiro DE, Marcus RG, Pratt SD, et al. Effects of teamwork training on adverse outcomes in labor and delivery: a randomized controlled trial. Obstet Gynecol 2007; 109:48-55.

5) Pratt SD, Mann S, Salisbury M, et al. Impact of CRM-based team training on obstetric outcomes and clinicians' patient safety. Commission Journal on Quality and Patient Safety 2007; 33:720-5.

**1d.2 Describe the quality construct used in developing the composite:** clinical quality

**1e. Components and conceptual construct for quality**

**1e.1 Describe how the component measures/items are consistent with and representative of the quality construct:** Each measure represents an adverse outcome of clinical care during the delivery process. Individually, the rates of these adverse events can be low. Getting a reasonable picture of a hospital's obstetric quality would require many observations or may need to be measured over time. Such a requirement does not lend itself to tracking and monitoring quality so that corrective interventions can take place. Grouping the ten measures together provides a more complete picture of the continuum of the care to the mother and her infant. The system adds a robust logic to the fact that some of the ten events are clearly more serious than others.

If the component measures are combined at the patient level, complete 1a, 1b, and 1c.

If the component measures are combined at the aggregate level, skip to criterion 2, *Scientific Acceptability of Measure Properties* (if the component measures are either NQF-endorsed or submitted individually).

**1a. High Impact**

**1a.1 Demonstrated high impact aspect of healthcare** (*Select the most relevant*)

affects large numbers of patients  frequently performed procedure  leading cause of morbidity/mortality  high resource use  illness  patient/societal consequences of poor quality  other, describe: 1a.2

**1a.3 Summary of Evidence of High Impact:** Hospitalization for a delivery is one of the highest volume uses of the healthcare system.

**1a.4 Citations for Evidence of High Impact:**

**1b. Opportunity for Improvement**

**1b.1 Briefly explain benefits (improvements in quality) envisioned by use of this measure:** Most deliveries have very good outcomes however adverse events can and do occur with varying degrees of long term impact on the patient/family. The AOI, WAOS and SI provide three composite rates that can measure a hospital's status for this high volume area taking into account the infrequency of very serious events while not ignoring the impact of less serious, more frequent events.

**1b.2 Summary of data demonstrating performance gap** (*variation or overall poor performance across providers*): The AOI is an assessment of the rate of complications present on a labor and delivery unit, and the impact that changes in practice have on

component measures can easily be reported as well to track rates of the specific outcomes. The Severity Index may act as surrogate for adverse events as the ability to decrease harm during an evolving event would lower the SI. The WAOS may measure the volume of events on the unit.

The AOI has been used to assess the impact of quality improvement efforts in three separate publications. It appears to track well for quality. These results demonstrate that the AOI is both easily measurable and does seem to respond to improvements in OB. NPIC has used this measure with dozens of clients to track outcomes for more than 400,000 deliveries. It has been a valuable tool in assessing the impact of quality initiatives. In addition, NPIC has three years of validated AOI data from eight hospitals. These data have been reconciled with the institutions quarterly to ensure accuracy.

- 1b.3 Citations for data on performance gap:**
- 1) Nielsen PE, Goldman MB, Mann S, Shapiro DE, Marcus RG, Pratt SD, et al. Effect of team training on adverse outcomes and process of care in labor and delivery: a randomized controlled trial. *Obstet Gynecol* 2007; 110:1033-41.
  - 2) Pratt SD, Mann S, Salisbury M, et al. Impact of CRM-based team training on obstetric outcomes and clinicians' patient safety. *Commission Journal on Quality and Patient Safety* 2007; 33:720-5.
  - 3) Nicholson JM, Parry S, Caughey AB, et al. The impact of the active management of risk in pregnancy at term on birth outcomes: a clinical trial. *Am J Obstet Gynecol*. 2008; 198:511.e1-15.

**1b.4 Summary of Data on disparities by population group:** NA

**1b.5 Citations for data on Disparities:**

**1c. Evidence-based**

**1c.1 Relationship to Outcomes** (*For non-outcome measures, briefly describe the relationship to desired outcome. For outcomes, describe the relationship to the target population.*)

**1c.2 Type of Evidence** (*Check all that apply*)

- Cohort study
- Evidence-based guideline
- Expert opinion
- Meta-analysis
- Observational study
- Randomized controlled trial
- Systematic synthesis of research
- Other (*Please describe*): 1c.3

**1c.4 Summary of Evidence** *as described above for type of measure; for outcomes, summarize any evidence that healthcare s influence the outcome*: The expert panel (Question 41) determined the 10 adverse events fit the profile of being serious adverse events measured and most likely to be impacted by efforts to improve quality of care.

**1c.5 Rating of strength/quality of evidence** (*also provide narrative description of the rating and by whom*)

**1c.6 Method for rating evidence:** Study/ control hospitals in the original study conducted by the Department of Defense and I Medical Center. ( See references in Question 32.)

**1c.7 Summary of Controversy/Contradictory Evidence:**

**1c.8 Citations for Evidence** (*other than guidelines*)

**1c.9 Quote the Specific guideline recommendation** (*including guideline number and/or page number*)

**1c.10 Clinical Practice Guideline Citation:**

**1c.11 National Guideline Clearinghouse or other URL:**

**1c.12 Rating of strength of recommendation** (*also provide narrative description of the rating and by whom*)

**1c.13 Method for rating strength of recommendation** (*If different from [USPSTF system](#), also describe rating and how it relates to the USPSTF system*)

**1c.14 Rationale for using this guideline over others:**

**TAP/Workgroup: What are the strengths and weaknesses in relation to the subcriteria for *Importance to Measure and Rep***

Steering Committee: Was the threshold criterion, *Importance to Measure and Report*, met?  
 Rationale:

2. SCIENTIFIC ACCEPTABILITY OF MEASURE PROPERTIES

Extent to which the measure, as specified, produces consistent (reliable) and credible (valid) results about the quality of care ([composite measure evaluation criteria](#))

2a. COMPOSITE MEASURE SPECIFICATIONS

*In the future, NQF will require measure stewards to provide a URL link to a web page where current detailed specifications can be obtained.*

S.1 Do you have a web page where current detailed measure specifications can be obtained? YES

S.2 If yes, provide web page URL: [www.npic.org](http://www.npic.org) (Adverse Outcome Sample Report)

2a. Precisely Specified

2a.0.1 Components of the Composite (*List the components, i.e., domains/sub-composites, individual measures. If component is [endorsed](#), include NQF measure number; if not NQF-endorsed, provide date of submission to NQF*)

[In-hospital maternal death.](#)

[In-hospital neonatal death](#)

[Uterine rupture](#)

[Unplanned maternal admission to the ICU](#)

[Birth Trauma](#)

[Maternal return to labor and delivery or the operating room](#)

[Unplanned admission to neonatal intensive care unit at term](#)

[Five-minute apgar < 7](#)

[Maternal blood transfusion](#)

[Third or fourth degree laceration of the perineum](#)

*If the composite measure cannot be specified with a numerator and denominator, please consult with NQF staff.*

*If the component measures are combined at the aggregate level, do not include the individual measure specifications below.*

2a.1 Composite Numerator Statement: [Any delivery with one or more of the complications.](#)

2a.2 Numerator Time Window: [Varies with the outcome included in the AOI](#)

2a.3 Numerator Details: [See specifics for each measure in the AOI](#)

2a.4 Composite Denominator Statement: [All deliveries](#)

2a.5 Target Population Gender  Female  Male

2a.6 Target Population Age range

2a.7 Denominator Time Window: [Same as numerator](#)

2a.8 Denominator Details: [Same as numerator](#)

2a.9 Composite Denominator Exclusions: [None](#)

2a.10 Denominator Exclusion Details:

2a.11 Stratification Details/Variables (*All information required to stratify the measure including the stratification variables, definitions*):

2a.18 Type of Score: [Rate/proportion](#) 2a.19 If "Other", please describe:

2a.20 Interpretation of Score (*Classifies interpretation of score according to whether better quality is associated with a high score, a score falling within a defined interval, or a passing score*) ([select one](#))

2a.42 Method of Scoring/Aggregation: [opportunity scoring \(overall percentage\)](#) 2a.43 If "other" scoring method, describe: [index is the percent of deliveries complicated by one or more of the 10 events described above. In addition, there is a weight](#)

assess the severity of adverse events.

**2a.44 Missing Component Scores** (*Indicate how missing component scores are handled*): They are assumed to be not present.

**2a.45 Weighting:**  Equal  Differential **2a.46 If differential weighting, describe:** A weighting system has been devised to determine the severity of adverse events. Each of the adverse events in the AOI is assigned a specific weighted score (See below). Overall severity can be determined by measuring the average weighted score per delivery (Weighted Adverse Outcome Score or WAOS) or the average severity index per delivery that has a complication (Severity Index or SI). The WAOS is calculated by summing all the adverse scores for all deliveries and dividing by the number of deliveries, The SI is calculated by summing all the adverse event scores for all deliveries and dividing by the number of deliveries complicated by one or more of the adverse events (the numerator for the AOI). This weighting system was determined by consensus of members of American College of Obstetric and Gynecology QI committee.

In-hospital maternal death.	750
In-hospital neonatal death	400
Uterine rupture	100
Unplanned maternal admission to the ICU	65
Birth Trauma	60
Maternal return to labor and delivery or the operating room	40
Unplanned admission to neonatal intensive care unit at term	35
Five-minute apgar < 7	25
Maternal blood transfusion	20
Third or fourth degree laceration of the perineum	5

**2a.21 Calculation Algorithm** (*Describe the calculation of the measure as a flowchart or series of steps*):

The AOI is a simple rate. It is the percent of deliveries complicated by one or more of the 10 events described above. The WAOS is calculated by summing all the adverse scores for all deliveries and dividing by the number of deliveries, The SI is calculated by summing all the adverse scores for all deliveries complicated by one or more of the adverse events (the numerator for the SI) and dividing by the number of deliveries complicated by one or more of the adverse events (the denominator for the SI). This weighting system was determined by consensus with input from members of American College of Obstetric and Gynecology QI committee.

**2a.22 Describe the method for discriminating performance** (*e.g., significance testing*):

Individual hospital rates can be assessed over time or before and after a QI activity with a calculation of the significance of trends showing stable, upward or downward trends in the rate.

Individual hospital rates can also be compared to a comparison group of hospitals (those in the QI collaborative). The confidence interval for the hospital rate relative to the group average can determine if their rate is comparable or significantly better or worse than the comparison group.

**2a.23 Sampling (Survey) Methodology** *If measure is based on a sample (or survey), provide instructions for obtaining the sample and guidance on minimum sample size (response rate):*

Sampling not required; the measure is based on 100% of deliveries occurring during the timeframe under review.

**2a.24 Data Source** *Check all the source(s) used in the component measures.*

- |   |  |
|---|--|
| <input type="checkbox"/> Documentation of original self-assessment ( <i>e.g., SF-36</i> ) | <input type="checkbox"/> Paper Medical Record/flowsheet        |
| <input checked="" type="checkbox"/> Electronic administrative data/ claims                | <input type="checkbox"/> Pharmacy data                         |
| <input checked="" type="checkbox"/> Electronic Clinical Data ( <i>e.g., MDS</i> )         | <input type="checkbox"/> Public health data/vital statistics   |
| <input checked="" type="checkbox"/> Electronic Health/Medical Record                      | <input type="checkbox"/> Registry data                         |
| <input type="checkbox"/> External audit   | <input type="checkbox"/> Survey-patient ( <i>e.g., CAHPS</i> ) |
| <input type="checkbox"/> Lab data   | <input type="checkbox"/> Survey-provider                       |
| <input type="checkbox"/> Management data  | <input type="checkbox"/> Special or unique data, specify:      |
| <input type="checkbox"/> Organizational policies and procedures                           |  |

**2a.25 Data source or collection instrument** (*Identify the specific data source or data collection instrument, e.g. name of data registry, collection instrument, etc.*):

**2a.26 Data source/data collection instrument attached**  OR **2a.27 at web page URL:**

**2a.29 Data dictionary/code table attached**  OR **2a.30 at web page URL:** [www.npic.org](http://www.npic.org) (AOI Sample report with Glossary)

**2a.32 Level of Measurement/Analysis** (*Check the level for which the measure is specified and tested*)

- |   |   |
|---|---|
| Clinicians: <input type="checkbox"/> Individual <input type="checkbox"/> Group <input type="checkbox"/> Other | <input type="checkbox"/> Prescription drug plan |
| <input type="checkbox"/> Facility/Agency ( <i>e.g., hospital, nursing home</i> )                              |   |

- Health plan
- Integrated delivery system
- Multi-site/corporate chain
- Population:  National  Regional/network
- State  Counties/Cities

- Program:  Disease management  QIO
- Other
- Measured at all levels
- Other (Please describe):

**2a.26 Care Settings** (Check the settings for which the measure is specified and tested; check all that apply)

Ambulatory Care:  Amb Surgery Center  Office  Clinic  Emergency Dept  Hospital Outpatient

- Assisted Living
- Behavioral health/psychiatric unit
- Dialysis Facility
- Emergency medical services/ambulance
- Group Home
- Home
- Hospice

- Hospital
- Long term acute care hospital
- Nursing home/ Skilled Nursing Facility (SNF)
- Rehabilitation Facility
- All settings
- Unspecified or "not applicable"
- Other (Please describe):

**2a.38 Clinical Services** (Healthcare services being measured; all that apply.)

**Behavioral Health:**

- Mental health
- Substance use treatment
- Other

**Clinicians:**

- Audiologist
- Chiropractor
- Dentist/Oral surgeon
- Dietician/Nutritional professional
- Nurses
- Optometrist
- PA/NP/Advanced Practice Nurse
- Pharmacist

- Physicians (MD/DO)
- Podiatrist
- Psychologist/LCSW
- PT/OT/Speech
- Respiratory Therapy
- Other

- Dialysis
- Home health
- Hospice/Palliative care
- Imaging services
- Laboratory
- Other Non-clinical L& D staff

If the component measures are combined at the patient level and include outcomes, complete the following

- 2a.12 Risk Adjustment Type:**  No risk adjustment necessary  analysis by subgroup  case-mix adjustment  paired analysis  
 risk-adjustment devised specifically for this measure/condition  risk adjustment method widely or commercially available  
 Other (specify) **2a.13 Not currently risk adjusted**

**2a.14 Risk Adjustment Methodology/Variables** (List risk adjustment variables and describe conceptual models, statistical models of model or method):

**2a.15 Detailed risk model attached**  OR **2a.16 at web page URL:**

**TESTING/ANALYSIS**

**2i. Component item/measure analysis to justify inclusion in composite**

**2i.1 Data/sample:** Study/ control hospitals in the original study conducted by the Department of Defense and Beth Israel Deaconess Medical Center. See references in Question 32.)

**2i.2 Analytic Method:** Chart abstraction of outcome and process data on all delivery cases in the study.

**2i.3 Results:** The expert panel (Question 41) determined the 10 adverse events fit the profile of being serious adverse events, and most likely to be impacted by efforts to improve quality of care.

**2j. Component item/measure analysis of contribution to variability in composite score**

**2j.1 Data/sample:** Study/ control hospitals in the original study conducted by the Department of Defense and Beth Israel Deaconess Medical Center. See references in Question 32.)

**2j.2 Analytic Method:** Chart abstraction of outcome and process data on all delivery cases in the study.

**2j.3 Results:** The development of three composite indices to make up the AOI allows the reviewer to assess the variability of the AOI from three different perspectives. The AOI is the rate of adverse events for the hospital's delivery population for a determined period (quarter, year etc.) Variations in rates over time and across hospitals can be examined to see trends and improvements follow to improve quality. However, a high rate in one hospital compared to a lower rate in another does not tell the nature of the underlying events. WAOS and SI provide a measure of the seriousness of the underlying adverse events, the WAOS across the entire delivery population and the SI across the patient population that experiences an adverse event. Variation across these rates helps measure differences in the severity of the events and assists providers in focusing their improvement efforts.

#### 2k. Analysis to support differential weighting of component scores

**2k.1 Data/sample:** Study/ control hospitals in the original study conducted by the Department of Defense and Beth Israel Deaconess Medical Center. (See references in Question 32.)

**2k.2 Analytic Method:** Chart abstraction of outcome and process data on all delivery cases in the study.

**2k.3 Results:** Expert panel (Question 41) determined the 10 adverse events clearly have a different impact on the overall mortality rate outcome for the patient and looked to members of the ACOG QI Committee to assist with assigning weights to each measure.

**2k.4 Describe how the method of scoring/aggregation achieves the stated purpose and represents the quality construct:** The AOI provides a rapid assessment of the rate of complications present on a labor and delivery unit, and the impact that changes in practice have on the component measures can easily be reported as well to track rates of the specific outcomes. The Severity Index may act as a response to adverse events as the ability to decrease harm during an evolving event would lower the SI. The WAOS may measure the frequency of adverse events on the unit.

**2k.5 Indicate if any alternative scoring/aggregation methods were tested and why not chosen:**

#### 2l. Analysis of missing component scores

**2l.1 Data/sample:**

**2l.2 Analytic Method:**

**2l.3 Results:**

#### 2b. Reliability testing of composite score

**2b.1 Data/sample (description of data/sample and size):** Beth Israel Deaconess Medical Center has been tracking their Adverse Event administrative data since early 2001 and numerous hospitals have been using the AOI in quality improvement initiatives since 2001.

**2b.2 Analytic Method (type of reliability & rationale, method for testing):** Case by case review and calculation of the AOI, WAOS, and SI for the period analyzed.

**2b.3 Testing Results (reliability statistics, assessment of adequacy in the context of norms for the test conducted):** Count of adverse events, calculation of AOI, WAOS and SI across most of participating hospitals have shown consistency both over time and improvement in quality improvement activity.

#### 2c. Validity testing of composite score

**2c.1 Data/sample (description of data/sample and size):** Beth Israel Deaconess Medical Center has been tracking their Adverse Event administrative data since early 2001 and numerous hospitals have been using the AOI in quality improvement initiatives since 2001.

**2c.2 Analytic Method (type of validity & rationale, method for testing):** Case by case review and calculation of the AOI, WAOS, and SI for the period analyzed.

**2c.3 Testing Results (statistical results, assessment of adequacy in the context of norms for the test conducted):** Improvement in SI within a hospital has been attributable to interventions/efforts to improve clinical quality on the labor and delivery unit. Consistency in variation in rates across hospitals has been raised around whether differences in case mix have been adequately handled.

#### 2f. Identification of Meaningful Differences in Performance Across Entities

**2f.1 Data/sample from Testing or Current Use (description of data/sample and size):** The AOI has been used to assess the impact of the AOI on the delivery population.



improvement efforts in three separate publications. It appears to track well with other measures of quality. These results demonstrate both easily measurable and does seem to respond to improvements in OB care. In addition, NPIC has used this measure with documented outcomes for more than 400,000 deliveries. It has been a valuable tool in determining the impact of quality initiatives. In addition, years of validated AOI data from eight hospitals. These data have been reviewed and reconciled with the institutions quarterly.

1) Nielsen PE, Goldman MB, Mann S, Shapiro DE, Marcus RG, Pratt SD, et al. Effects of teamwork training on adverse outcomes of labor and delivery: a randomized controlled trial. *Obstet Gynecol* 2007; 109:48-55.

2) Pratt SD, Mann S, Salisbury M, et al. Impact of CRM-based team training on obstetric outcomes and clinicians' patient safety. *Commission Journal on Quality and Patient Safety* 2007; 33:720-5.

3) Nicholson JM, Parry S, Caughey AB, et al. The impact of the active management of risk in pregnancy at term on birth outcomes: a clinical trial. *Am J Obstet Gynecol*. 2008; 198:511.e1-15.

**2f.2 Methods to identify statistically significant and practically/meaningfully differences in performance** (*type of analysis & how hospital rates can be assessed over time or before and after a QI activity with a calculation of the significance of trend line over upward or downward trends in the rate.*)

Individual hospital rates can also be compared to a comparison group of hospitals (those in the QI collaborative). The confidence interval rate relative to the group average can determine if their rate is comparable, significantly better or worse than the comparison group.

**2f.3 Provide Measure Scores from Testing or Current Use** (*description of scores, e.g., distribution by quartile, mean, median, and identification of statistically significant and meaningfully differences in performance*): Published data demonstrate that improved care have been associated with improvements in the AOI. In addition, the experience of the eight hospitals cited above demonstrate that training is associated with improvements in the average AOI, WAOS and SI (unpublished data).

1) Pratt SD, Mann S, Salisbury M, et al. Impact of CRM-based team training on obstetric outcomes and clinicians' patient safety. *Commission Journal on Quality and Patient Safety* 2007; 33:720-5.

2) Nicholson JM, Parry S, Caughey AB, et al. The impact of the active management of risk in pregnancy at term on birth outcomes: a clinical trial. *Am J Obstet Gynecol*. 2008; 198:511.e1-15.

## 2h. Disparities in Care

**2h.1 If measure is stratified, provide stratified results** (*scores by stratified categories/cohorts*):

NA

**2h.2 If disparities have been reported/identified, but measure is not specified to detect disparities, provide follow-up plan**

If the component measures are combined at the patient level, complete 2d.

## 2d. Exclusions Justified

**2d.1 Summary of Evidence supporting exclusion(s)**: NA

**2d.2 Citations for Evidence**:

**2d.3 Data/sample** (*description of data/sample and size*):

**2d.4 Analytic Method** (*type analysis & rationale*):

**2d.5 Testing Results** (*e.g., frequency, variability, sensitivity analyses*):

If the component measures are combined at the patient level and include outcomes, complete 2e.

## 2e. Risk Adjustment

**2e.1 Data/sample** (*description of data/sample and size*): NA

**2e.2 Analytic Method** (*type of risk adjustment, analysis, & rationale*):

2e.3 Testing Results (*risk model performance metrics*):

2e.4 If outcome or resource use measure is not risk adjusted, provide rationale:

TAP/Workgroup: What are the strengths and weaknesses in relation to the subcriteria for *Scientific Acceptability of Measure Properties*?

Steering Committee: Overall, to what extent was the criterion, *Scientific Acceptability of Measure Properties*, met?  
Rationale:

### 3. USABILITY

Extent to which intended audiences (e.g., consumers, purchasers, providers, policy makers) can understand the results and are likely to find them useful for decision making. ([composite measure evaluation criteria](#))

3a. Meaningful, Understandable, and Useful Information

3a.1 Current Use:  In use  Not in use

3a.2 Use in a public reporting initiative (disclosure of performance results to the public at large) (*If used in a public reporting initiative, name of initiative(s), locations, Web page URL(s). If not publicly reported, state the plans to achieve public reporting within 3 years*):

3a.3 If used in other programs/initiatives (*If used in quality improvement or other programs/initiatives, name of initiative(s), location(s), Web page URL(s). If not used for QI, state the plans to achieve use for QI within 3 years*):

All of the Team Performance Plus (TPP) contracted hospitals are using the AOI to track improvement. It is also being used by many other hospitals, the Maryland Perinatal Patient Safety Initiative, The Premier Perinatal Patient Safety Initiative, the Department of Health and Human Services, and other collaboratives and individual hospitals tracking their rates independent of any direct relationship with NPI.

Testing of Interpretability (*Testing that demonstrates the results are understood by the potential users for public reporting and quality improvement*)

3a.4 Data/sample (*description of data/sample and size*): 100% of all delivery discharges for the time period under review.

3a.5 Methods (*methods, e.g., focus group, survey, QI project*): QI project

3a.6 Results (*qualitative and/or quantitative results and conclusions*): Hospitals participating in an individual or collaborative reporting initiative are required to submit reports detailing the counts of cases for each adverse event, calculation of their AOI, WAOS and SI over time or relative to the comparison group. The reports include tables, graphs and commentary on interpretation of the reports. Hospitals are given the details on their numerator cases so they can drill down and audit the quality of the documentation and coding of the cases identified in each event.

3b/3c. Relation to other NQF-endorsed measures

Identify similar or related [NQF-endorsed measures](#) to components and/or composite

3b.1 NQF # and Title of similar or related measures: With 10 measures making up the AOI, there is definitely some similarity with other NQF measures. We are not clear on the details of all the measures to be able to specify the extent of the similarity.

(for NQF staff use) Notes on similar/related [endorsed](#) or submitted measures:

3b. Harmonization

3b.2 Are the component measure specifications harmonized, or if not, why?

3c. Distinctive or Additive Value

3c.1 Describe the distinctive, improved, or additive value this measure provides to existing NQF-endorsed measures:  
This is a composite measure with weighted subcomponents versus unweighted individual measures.

**5.1 Competing Measures** If this measure is similar to measure(s) already endorsed by NQF (i.e., on the same topic and the population), describe why it is a more valid or efficient way to measure quality:  
 Grouping adverse events together but weighting them differently removes the problem of large variations in rates for individual adverse events that occur infrequently.

**3d. Decomposition of Composite**

**3d.1** Describe the information that is available from decomposing the composite into its components:  
 See previously responses identifying each component of the AOI, its assigned weight and calculation of the AOI, WAOS and SI.

**3e. Achieved stated purpose**

**3e.1** Describe how the scores from testing or use reported in 2f demonstrate that the composite achieves the stated purpose used to assess the impact of quality improvement efforts in three separate publications. It appears to track well with other measures. Results demonstrate that the AOI is both easily measurable and does seem to respond to improvements in OB care. In addition, measure with dozens of clients to track outcomes for more than 400,000 deliveries. It has been a valuable tool in determining initiatives.

1) Nielsen PE, Goldman MB, Mann S, Shapiro DE, Marcus RG, Pratt SD, et al. Effects of teamwork training on adverse outcomes labor and delivery: a randomized controlled trial. *Obstet Gynecol* 2007; 109:48-55.

2) Pratt SD, Mann S, Salisbury M, et al. Impact of CRM-based team training on obstetric outcomes and clinicians' patient safety. *Commission Journal on Quality and Patient Safety* 2007; 33:720-5.

3) Nicholson JM, Parry S, Caughey AB, et al. The impact of the active management of risk in pregnancy at term on birth outcomes: a clinical trial. *Am J Obstet Gynecol*. 2008; 198:511.e1-15.

**TAP/Workgroup: What are the strengths and weaknesses in relation to the subcriteria for Usability?**

Steering Committee: Overall, to what extent was the criterion, *Usability*, met?  
 Rationale:

**4. FEASIBILITY**

Extent to which the required data are readily available, retrievable without undue burden, and can be implemented for measurement. ([composite measure evaluation criteria](#))

**4a. Data Generated as a Byproduct of Care Processes**

**4a.1** How are all the data elements that are needed to compute measure scores generated? (*Check all that apply*)

Data are generated as a byproduct of care processes during care delivery (*Data are generated and used by healthcare personnel during provision of care, e.g., blood pressure, lab value, medical condition*)

Coding/abstraction performed by someone other than person obtaining original information (*e.g., DRG, ICD-9 codes on claim for quality measure, registry*)

Survey

Other (*e.g., patient experience of care surveys, provider surveys, observation*), Please describe:

**4b. Electronic Sources**

**4b.1** Are all the data elements available electronically? (*elements that are needed to compute measure scores are in defined data fields, e.g., electronic health record, electronic claims*)

Yes  No

**4b.2** If no, specify the near-term path to achieve electronic capture by most providers.

*Note: Measure stewards will be asked to specify the data elements for electronic health records at a later date*

**4d. Susceptibility to Inaccuracies, Errors, or Unintended Consequences**

**4d.1** Identify susceptibility to inaccuracies, errors, or unintended consequences of the measure and describe how these could be audited. If audited, provide results.

There is some susceptibility to incomplete documentation or miscoding of adverse events. Reports and cases lists can be used

to verify the accuracy of the cases identified as having an adverse events and studies are now underway to measure sensitivity positive predictive value of the AOI. (See below)

Walker S, Strandjord TP, Benedetti TJ. In search of perinatal quality outcome measures: 1 hospital's in-depth analysis of the A Outcomes Index. Am J Obstet Gynecol 2010;203:●●●●.

#### 4e. Data Collection Strategy/Implementation

**4e.1** Describe what you have learned/modified as a result of testing and/or operational use of the composite/component data collection, availability of data/missing data, timing/frequency of data collection, patient confidentiality, time/cost of feasibility/ implementation issues:

Using administrative data to calculate the AOI is far more efficient and cost effective than manual chart abstraction allowing hospitals/collaborative groups using the AOI to have longer baseline (prior to a QI initiative) periods and to continue follow-up monitoring as part of their overall on-going QI activities. REviewing the accuracy of the cases identified using administrative data is critical to gaining support and acceptance of the measure. Variation in documentation and coding can undermine comparison across facilities so early analysis and correction of the underlying data is important. There are two specific examples of where correction of underlying administrative data will improve the accuracy and acceptance of the measure. 1) A small number of hospitals do not identify blood transfusions. As a result transfusion data had to be obtained using separately submitted information from either billing information. Once identified, hospitals were very interested in correcting their coding practices since the frequency of blood transfusion impact on the overall acuity profile of their population. 2) Uniform billing codes allow for the identification of routine newborn intermediate nursery charges and intensive level nursery charges. The adverse event of "admission to the NICU of an inborn >= congenital anomalies" only looks at cases with the highest (intensive) level of billing. Hospitals that do not discriminate their billing by the intensive level of billing code would often see an inappropriately higher than expected rate of adverse events in this category. This discrepancy often required a separate identification of those cases that were truly in the "intermediate" level of care and not intensive level of care as well as follow-up with the billing department.

Timing of reports between the baseline period and following a QI initiative has proven important and an issue raised in the interim reporting on the original DoD/Beth Israel study. The recommendation is to have a reporting hiatus during the time of QI /team development beginning follow-up reporting when perinatal leadership feels the team is fully "trained up". Generally this is 2-3 quarters following the initiative.

Using the reports for on-going monitoring of positive performance has proven helpful. When there is an unexpected change in rates, hospitals can explore the cause and "refresh/adjust" their QI activities.

#### 4.2 Costs to implement the measure (*costs of data collection, fees associated with proprietary measures*):

The administrative data is a secondary data set so generally the costs to obtain and analyze the data are very reasonable. There may be the need to supplement the files with data not available in the administrative data set (APGAR 5) but if available in an electronic format it can usually be generated fairly easily and merged into the original file.

**4e.3** Evidence for costs: NPIC has been running the AOI for up to 70 hospitals for the last 6 years.

**4e.4** Business case documentation: QI and measuring QI is an on-going, evolving activity. The AOI provides an opportunity to measure a hospital's improvement overtime, following a QI initiative, in comparison to a group of affiliated hospitals or all hospitals of the above for what is likely a significant volume of its patient population. The use of secondary data with minimal need to supplement with other electronic data makes it attractive from a cost perspective. Knowing a hospital's rate can help direct limited resources to areas that need attention and on-going monitoring of the rate can determine when "refresher" activities are necessary. In addition, correction of documentation and/or coding issues prior to any required public reporting is always a very smart business practice.

If the component measures are combined at the patient level, complete 4c.

#### 4c. Exclusions

**4c.1** Do the specified exclusions require additional data sources beyond what is required for the numerator and denominator?

No  Yes  Yes ► If yes, provide justification

TAP/Workgroup: What are the strengths and weaknesses in relation to the subcriteria for *Feasibility*?

Steering Committee: Overall, to what extent was the criterion, *Feasibility*, met?

Rationale:

## RECOMMENDATION

Steering Committee: Do you recommend for endorsement?  
Comments:

## CONTACT INFORMATION

## Co.1 Measure Steward (Intellectual Property Owner)

Organization: [National Perinatal Information Center](#)

Street Address: [225 Chapman St. Suite 200](#) City: [Providence](#) State: [RI](#) ZIP: [02905](#)

Co.2 Point of Contact: First Name: [Janet](#) Last Name: [Muri](#) Credentials (MD, MPH, etc.): [MBA](#)

Email: [jmuri@npic.org](mailto:jmuri@npic.org) Telephone: [401 274-0650](#) ext: [105](#)

Co.3 Measure Developer **If different from Measure Steward**

Organization: [Beth Israel Deaconess Medical Center](#)

Street Address: [300 Brookline Ave](#) City: [Boston](#) State: [MA](#) ZIP: [02215](#)

Co.4 Point of Contact: First Name: [Stephen](#) Last Name: [Pratt](#) Credentials (MD, MPH, etc.): [MD](#)

Email: [spratt@bidmc.harvard.edu](mailto:spratt@bidmc.harvard.edu) Telephone: [617 667-3353](#) ext:

## Co.5 Submitter

Organization:  Measure Steward  Measure Developer

First Name: Last Name: Credentials (MD, MPH, etc.):

Email: Telephone: ext:

Co.6 List any additional organizations that sponsored/participated in measure development:

## ADDITIONAL INFORMATION

## Ad.1 Workgroup/Expert Panel involved in measure development

*Provide a list of workgroup/panel member names and organizations. Describe the group's role in measure development.*

[Peter E. Nielsen, MD](#); [Marlene B. Goldman, ScD](#); [Susan Mann, MD](#); [David E. Shapiro, PhD](#); [Ronald G. Marcus, MB, BCh](#); [Stephen Greenberg, RN](#); [Patricia McNamee, RN, MS](#); [Mary Salisbury, RN, MSN](#); [David J. Birnbach, MD](#); [Paul A. Gluck, MD](#); [Mark D. Pearlman, MD](#); [N. Tornberg, MD MPH](#); [Benjamin P. Sachs, MB, BS](#); [Lauren Bales, MD, Naval Medical Center Camp Pendleton](#); [Ronald Burkman, MD, Naval Medical Center](#); [Cynthia Brumfield, MD, University of Alabama at Birmingham Hospital](#); [Peter Cherouney, MD, University of Vermont-Fletcher A](#); [MD, National Naval Medical Center](#); [Harold Fox, MD, Johns Hopkins Medical Center](#); [Elizabeth Golladay, MD, Tripler Army Medical Center](#); [MD, Naval Medical Center of San Diego](#); [Robert Lorenz, MD, William Beaumont Hospital](#); [William Lucky, MD, Baptist Hospital of South Shore Hospital](#); [Spike Lipschitz, MD, South Shore Hospital](#); [Chris Stolle, MD, Naval Medical Center of Portsmouth](#); [Cosmas Michigian Medical Center](#); [Frank Witter, MD, Johns Hopkins Medical Center.](#)

In addition, representatives from the American College of Obstetrics and Gynecology, the American Society of Anesthesiologists, Health Obstetric and Neonatal Nurses; the Society for Obstetric Anesthesia and Perinatology; the Armed Forces Institute of Pathology; the Office of the Surgeon General, U.S. Army; and TRICARE Management Activity were present.

Consensus panels were developed for the identification of measure to be included in the composite measure (AOI). This included individual measures. See reference for full description of panel process

1) [Mann S, Pratt SD et al. Assessing Quality in Obstetrical Care: Development of Standardized Measures. Jt Comm J Qual Pt Sa](#)

Ad.2 If adapted, name of original measure:

Ad.3 If adapted, original specifications  attachment or Ad.4 web page URL:

Measure Developer/Steward Updates and Ongoing Maintenance

Ad.6 Year the measure was first released: [2007](#)

Ad.7 Month and Year of most recent revision: [April, 2008](#)

Ad.8 What is the frequency for review/update of this measure? [On-going](#)

Ad.9 When is the next scheduled review/update for this measure? [August, 2010 and as appropriate, driven by code changes and](#)

Ad.10 Copyright statement/disclaimers:

Ad.11 Additional Information  attachment or web page URL:

I have checked that the submission is complete and all the information needed to evaluate the measure is provided in the submission.  indicate that no information is provided.

Date of Submission (MM/DD/YY): 08/03/10