## NATIONAL QUALITY FORUM

## Measure Evaluation 4.1 December 2009

This form contains the measure information submitted by stewards. Blank fields indicate no information was provided. Attachments also may have been submitted and are provided to reviewers. The subcriteria and most of the footnotes from the evaluation criteria are provided in Word comments within the form and will appear if your cursor is over the highlighted area. Hyperlinks to the evaluation criteria and ratings are provided in each section.

**TAP/Workgroup** (if utilized): Complete all yellow highlighted areas of the form. Evaluate the extent to which each subcriterion is met. Based on your evaluation, summarize the strengths and weaknesses in each section.

Note: If there is no TAP or workgroup, the SC also evaluates the subcriteria (yellow highlighted areas).

Steering Committee: Complete all pink highlighted areas of the form. Review the workgroup/TAP assessment of the subcriteria, noting any areas of disagreement; then evaluate the extent to which each major criterion is met; and finally, indicate your recommendation for the endorsement. Provide the rationale for your ratings.

## Evaluation ratings of the extent to which the criteria are met

C = Completely (unquestionably demonstrated to meet the criterion)

P = Partially (demonstrated to partially meet the criterion)

M = Minimally (addressed BUT demonstrated to only minimally meet the criterion)

N = Not at all (NOT addressed; OR incorrectly addressed; OR demonstrated to NOT meet the criterion)

NA = Not applicable (only an option for a few subcriteria as indicated)

(for NQF staff use) NQF Review #: PSM-034-10 NQF Project: Patient Safety Measures
MEASURE DESCRIPTIVE INFORMATION
De.1 Measure Title: Birth Trauma
De.2 Brief description of measure: All inborn babies who suffer one of a specific set of injuries during delivery.
1.1-2 Type of Measure: Outcome  De.3 If included in a composite or paired with another measure, please identify composite or paired measure  Adverse Outcome Index, Weighted Adverse Outcome Score and Severity Index
De.4 National Priority Partners Priority Area: Safety De.5 IOM Quality Domain: Safety De.6 Consumer Care Need: Staying healthy

CONDITIONS FOR CONSIDERATION BY NQF	
Four conditions must be met before proposed measures may be considered and evaluated for suitability as voluntary consensus standards:	NQF Staff
A. The measure is in the public domain or an intellectual property (measure steward agreement) is signed.  Public domain only applies to governmental organizations. All non-government organizations must sign a measure steward agreement even if measures are made publicly and freely available.  A.1 Do you attest that the measure steward holds intellectual property rights to the measure and the right to use aspects of the measure owned by another entity (e.g., risk model, code set)? Yes  A.2 Indicate if Proprietary Measure (as defined in measure steward agreement):  A.3 Measure Steward Agreement: Agreement will be signed and submitted prior to or at the time of measure submission  A.4 Measure Steward Agreement attached:	A Y□ N□
B. The measure owner/steward verifies there is an identified responsible entity and process to maintain and update the measure on a schedule that is commensurate with the rate of clinical innovation, but at least	B Y□

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every 3 years. Yes, information provided in contact section	N
C. The intended use of the measure includes <u>both</u> public reporting <u>and</u> quality improvement.  Purpose: Public reporting, Internal quality improvement	C Y N
D. The requested measure submission information is complete. Generally, measures should be fully developed and tested so that all the evaluation criteria have been addressed and information needed to evaluate the measure is provided. Measures that have not been tested are only potentially eligible for a time-limited endorsement and in that case, measure owners must verify that testing will be completed within 12 months of endorsement.  D.1Testing: Yes, fully developed and tested  D.2 Have NQF-endorsed measures been reviewed to identify if there are similar or related measures? Yes	D Y   N
(for NQF staff use) Have all conditions for consideration been met? Staff Notes to Steward (if submission returned):	Met Y□ N□
Staff Notes to Reviewers (issues or questions regarding any criteria):	
Staff Reviewer Name(s):	
TAP/Workgroup Reviewer Name:	

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TAP/Workgroup Reviewer Name:	
Steering Committee Reviewer Name:	
1. IMPORTANCE TO MEASURE AND REPORT	
Extent to which the specific measure focus is important to making significant gains in health care quality (safety, timeliness, effectiveness, efficiency, equity, patient-centeredness) and improving health outcomes for a specific high impact aspect of healthcare where there is variation in or overall poor performance.  Measures must be judged to be important to measure and report in order to be evaluated against the remaining criteria. (evaluation criteria)  1a. High Impact	Eval Rating
(for NQF staff use) Specific NPP goal:	
<ul> <li>1a.1 Demonstrated High Impact Aspect of Healthcare: Affects large numbers, Leading cause of morbidity/mortality, Other</li> <li>1a.2 Addresses NPP Goal of Safety</li> <li>1a.3 Summary of Evidence of High Impact: Delivery hospitalization is one of the most frequent reasons for a hospital admission. Birth trauma occurs in 5-10/1000 births and can have significant impact on the child and family.</li> <li>1a.4 Citations for Evidence of High Impact: 1) Awari BH, Al-Habdan I, Sadat-Ali M, Al-Mulhim A. Birth associated trauma. Saudi Med J. 2003; 24:672-4.</li> <li>2) Puza S, Roth N, Macones GA, et al. Does cesarean section decrease the incidence of major birth trauma? J Perinatol. 1998; 18:9-12.</li> </ul>	1a C□ P□ M□ N□
1b. Opportunity for Improvement  1b.1 Benefits (improvements in quality) envisioned by use of this measure: It is well established that obstetric management influences birth trauma rates and that improvement in obstetric care have lead to decreases in newborn trauma. A similar measure is used by AHRQ  1b.2 Summary of data demonstrating performance gap (variation or overall poor performance) across	1b C P M N

providers:	
1b.3 Citations for data on performance gap:	
1b.4 Summary of Data on disparities by population group: Not well established	
1b.5 Citations for data on Disparities:	
1c. Outcome or Evidence to Support Measure Focus	
<b>1c.1</b> Relationship to Outcomes (For non-outcome measures, briefly describe the relationship to desired outcome. For outcomes, describe why it is relevant to the target population): The relatively high rate (nearly 1%) and potential for significant harm make decreasing birth trauma a significant priority in improving the quality and safety of obstetrics.	
1c.2-3. Type of Evidence:	
<b>1c.4 Summary of Evidence</b> (as described in the criteria; for outcomes, summarize any evidence that healthcare services/care processes influence the outcome):	
<b>1c.5 Rating of strength/quality of evidence</b> (also provide narrative description of the rating and by whom):	
1c.6 Method for rating evidence:	
1c.7 Summary of Controversy/Contradictory Evidence:	
1c.8 Citations for Evidence (other than guidelines):	
1c.9 Quote the Specific guideline recommendation (including guideline number and/or page number):	
1c.10 Clinical Practice Guideline Citation: 1c.11 National Guideline Clearinghouse or other URL:	
<b>1c.12</b> Rating of strength of recommendation (also provide narrative description of the rating and by whom):	
<b>1c.13 Method for rating strength of recommendation</b> ( <i>If different from</i> USPSTF system, <i>also describe rating and how it relates to USPSTF</i> ):	1c C□
1c.14 Rationale for using this guideline over others:	P M N
TAP/Workgroup: What are the strengths and weaknesses in relation to the subcriteria for <i>Importance</i> to Measure and Report?	1
Steering Committee: Was the threshold criterion, <i>Importance to Measure and Report</i> , met? Rationale:	1 Y□ N□
2. SCIENTIFIC ACCEPTABILITY OF MEASURE PROPERTIES	

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Extent to which the measure, <u>as specified</u> , produces consistent (reliable) and credible (valid) results about the quality of care when implemented. (evaluation criteria)	Eval Rating
2a. MEASURE SPECIFICATIONS	
S.1 Do you have a web page where current detailed measure specifications can be obtained? S.2 If yes, provide web page URL:	
2a. Precisely Specified	
<b>2a.1</b> Numerator Statement ( <i>Brief, text description of the numerator - what is being measured about the target population, e.g. target condition, event, or outcome</i> ):  All newborns meeting diagonostic criteria	
2a.2 Numerator Time Window (The time period in which cases are eligible for inclusion in the numerator): Injuries occurring during labor only	
2a.3 Numerator Details (All information required to collect/calculate the numerator, including all codes, logic, and definitions):	
Dx codes 767.0, (subdural and cerebral hemorrhage), 767.11 (epicranial subaponeurotic hemorrhage - massive), 767.3 (other injuries to skeleton), 767.4 (injury to spine and spinal cord), 767.5 (facial nerve injury), 767.6 (injury to brachial plexus), or 767.7 (other cranial and peripheral nerve injuries)	
2a.4 Denominator Statement (Brief, text description of the denominator - target population being measured): All live born inborns during period of evaluation	
2a.5 Target population gender: Female, Male 2a.6 Target population age range: Newborns	
<b>2a.7</b> Denominator Time Window ( <i>The time period in which cases are eligible for inclusion in the denominator</i> ): Same as numerator	
<b>2a.8</b> Denominator Details (All information required to collect/calculate the denominator - the target population being measured - including all codes, logic, and definitions): DRG 370-375 or MS DRG 765-768 and 774-775	
2a.9 Denominator Exclusions (Brief text description of exclusions from the target population): None	-
<b>2a.10</b> Denominator Exclusion Details (All information required to collect exclusions to the denominator, including all codes, logic, and definitions):	
<b>2a.11 Stratification Details/Variables (</b> <i>All information required to stratify the measure including the stratification variables, all codes, logic, and definitions</i> <b>)</b> :	-
2a.12-13 Risk Adjustment Type: No risk adjustment necessary	-
<b>2a.14 Risk Adjustment Methodology/Variables</b> ( <i>List risk adjustment variables and describe conceptual models, statistical models, or other aspects of model or method</i> ):	
2a.15-17 Detailed risk model available Web page URL or attachment:	2a- specs
2a.18-19 Type of Score: Rate/proportion 2a.20 Interpretation of Score: Better quality = Lower score 2a.21 Calculation Algorithm (Describe the calculation of the measure as a flowchart or series of steps): Birth Trauma Inborns only; dx codes 767.0, 767.11, 767.3, 767.4, 767.5, 767.6, or 767.7	C   P   M   N

<b>2a.22</b> Describe the method for discriminating performance (e.g., significance testing): For individual hospital over time: significant downward trend of trendline In comparison to group of hospitals: Significantly above or below the comparison group average at the 90 or 95% confidence level	
<b>2a.23</b> Sampling (Survey) Methodology <i>If measure is based on a sample (or survey), provide instructions for obtaining the sample, conducting the survey and guidance on minimum sample size (response rate):</i> No sampling	
<b>2a.24 Data Source</b> (Check the source(s) for which the measure is specified and tested) Electronic administrative data/claims, Electronic clinical data, Electronic Health/Medical Record	
<b>2a.25</b> Data source/data collection instrument ( <i>Identify the specific data source/data collection instrument, e.g. name of database, clinical registry, collection instrument, etc.</i> ): Administrative data set, UB04,	
2a.26-28 Data source/data collection instrument reference web page URL or attachment:	
2a.29-31 Data dictionary/code table web page URL or attachment: URL www.npic.org Sample AOI report	
2a.32-35 Level of Measurement/Analysis (Check the level(s) for which the measure is specified and tested) Can be measured at all levels	
2a.36-37 Care Settings (Check the setting(s) for which the measure is specified and tested) Hospital	
2a.38-41 Clinical Services (Healthcare services being measured, check all that apply) Clinicians: Nurses, Clinicians: PA/NP/Advanced Practice Nurse, Clinicians: Physicians (MD/DO), Clinicians: Other non-clinical L & D staff	
TESTING/ANALYSIS	
2b. Reliability testing	
<b>2b.1 Data/sample</b> <i>(description of data/sample and size)</i> : Administrative data for Beth Israel Deaconess Medical Cenrter for the period Q 3, 2005- Q2 2006 was reconciled with abstracted data for the same period.	
<b>2b.2</b> Analytic Method (type of reliability & rationale, method for testing): Case by case review.	26
<b>2b.3</b> Testing Results (reliability statistics, assessment of adequacy in the context of norms for the test conducted): All birth trauma cases identified through manual abstraction of data were present on the administrative data set.	2b C P M N
2c. Validity testing	
<b>2c.1 Data/sample</b> <i>(description of data/sample and size)</i> : Beth Israel Deconess Medical Center has been tracking this adverse event as part of their Adverse Outcome Index since early 2001.	
<b>2c.2</b> Analytic Method (type of validity & rationale, method for testing): Chart review and analysis of the administrative data set for all deliveries during the period.	2c C□
<b>2c.3</b> Testing Results (statistical results, assessment of adequacy in the context of norms for the test conducted):  Decreasing birth trauma rates have improved neonatal outcomes during this period.	P
2d Exclusions Justified	2d

2d.1 Summary of Evidence supporting exclusion(s):	C P M N
2d.2 Citations for Evidence:	NA 🗆
2d.3 Data/sample (description of data/sample and size):	
2d.4 Analytic Method (type analysis & rationale):	
<b>2d.5</b> Testing Results (e.g., frequency, variability, sensitivity analyses):	
2e. Risk Adjustment for Outcomes/ Resource Use Measures	
<b>2e.1</b> Data/sample (description of data/sample and size):	
<b>2e.2</b> Analytic Method (type of risk adjustment, analysis, & rationale):	<b>2</b> e
2e.3 Testing Results (risk model performance metrics):	C   P   M   N
2e.4 If outcome or resource use measure is not risk adjusted, provide rationale:	NA
2f. Identification of Meaningful Differences in Performance	
<b>2f.1 Data/sample from Testing or Current Use</b> <i>(description of data/sample and size)</i> : This measure has been individually tracked as part of a composite measure. It has been used in three published reports (see references), totalling more than 50,000 deliveries. In addition, the National Perinatal Information center has tracked this across a wide range of clinical settings, totally nearly 500,000 deliveries.	
1) Nielsen PE, Goldman MB, Mann S, Shapiro DE, Marcus RG, Pratt SD, et al. Effects of teamwork training on adverse outcomes and process of care in labor and delivery: a randomized controlled trial. Obstet Gynecol 2007; 109:48-55.	
2) Pratt SD, Mann S, Salisbury M, et al. Impact of CRM-based team training on obstetric outcomes and clinicians' patient safety attitude. Joint Commission Journal on Quality and Patient Safety 2007; 33:720-5.	
3) Nicholson JM, Parry S, Caughey AB, et al. The impact of the active management of risk in pregnancy at term on birth outcomes: a randomized clinical trial. Am J Obstet Gynecol. 2008; 198:511.e1-15.	
<b>2f.2</b> Methods to identify statistically significant and practically/meaningfully differences in performance (type of analysis & rationale): See AOI Composite submission.	2.5
<b>2f.3</b> Provide Measure Scores from Testing or Current Use (description of scores, e.g., distribution by quartile, mean, median, SD, etc.; identification of statistically significant and meaningfully differences in performance):  See AOI Composite submission.	2f C   P   M   N   N
2g. Comparability of Multiple Data Sources/Methods	2g
2g.1 Data/sample (description of data/sample and size): 2g.2 Analytic Method (type of analysis & rationale):	C □ P □ M □
	N_ NA_

2g.3 Testing Results (e.g., correlation statistics, comparison of rankings):	
2h. Disparities in Care  2h.1 If measure is stratified, provide stratified results (scores by stratified categories/cohorts):	2h C∏
2h.2 If disparities have been reported/identified, but measure is not specified to detect disparities, provide follow-up plans:	P   M   NA   NA
TAP/Workgroup: What are the strengths and weaknesses in relation to the subcriteria for <i>Scientific Acceptability of Measure Properties?</i>	2
Steering Committee: Overall, to what extent was the criterion, <i>Scientific Acceptability of Measure Properties</i> , met? Rationale:	2 C P M N
3. USABILITY	
Extent to which intended audiences (e.g., consumers, purchasers, providers, policy makers) can understand the results of the measure and are likely to find them useful for decision making. (evaluation criteria)	Eval Rating
3a. Meaningful, Understandable, and Useful Information	
3a.1 Current Use: In use	
<b>3a.2</b> Use in a public reporting initiative (disclosure of performance results to the public at large) (If used in a public reporting initiative, provide name of initiative(s), locations, Web page URL(s). If not publicly reported, state the plans to achieve public reporting within 3 years):	
<b>3a.3</b> If used in other programs/initiatives ( <i>If used in quality improvement or other programs/initiatives, name of initiative(s), locations, Web page URL(s). <u>If not used for QI</u>, state the plans to achieve use for QI within 3 years):  As part of the AOI composite score, this measure has a weight of 60. The AOI is being used by all Team Performance Plus contracted hospitals, a subset on NPIC hospitals, the hospitals in the Maryland Perinatal Patient Safety Initiative, the Premier Perinatal Patient Safety Initiative and the Navy Military Treatment Facilities.</i>	
Testing of Interpretability (Testing that demonstrates the results are understood by the potential users for public reporting and quality improvement)  3a.4 Data/sample (description of data/sample and size): See AOI Composite submission.	
3a.5 Methods (e.g., focus group, survey, QI project): See AOI Composite submission.	3a C□ P□
3a.6 Results (qualitative and/or quantitative results and conclusions): See AOI Composite submission.	M N
3b/3c. Relation to other NQF-endorsed measures	
3b.1 NQF # and Title of similar or related measures: PSI 17-Injury to Neonate	
(for NQF staff use) Notes on similar/related endorsed or submitted measures:	
3b. Harmonization If this measure is related to measure(s) already endorsed by NQF (e.g., same topic, but different target population/setting/data source or different topic but same target population): 3b.2 Are the measure specifications harmonized? If not, why?	3b C P M

Not harmonized. The list of included and excluded birth trauma codes differs for this mesaure and PSI 17. The AHRQ measure excludes a critical type of trauma Brachial plexius/Erb´s palsy and includes codes that tend to result in a fair number of miscodes- potentially overstating the birth trauma rate.	N_ NA_
3c. Distinctive or Additive Value 3c.1 Describe the distinctive, improved, or additive value this measure provides to existing NQF- endorsed measures: It more accurately reflects serious birth trauma that can be mitigated through improved obstetric management.	3c
5.1 If this measure is similar to measure(s) already endorsed by NQF (i.e., on the same topic and the same target population), Describe why it is a more valid or efficient way to measure quality: It includes one of the most serious birth injuries and excludes codes that are prone to overcoding and coding error.	C   P   M   NA   NA
TAP/Workgroup: What are the strengths and weaknesses in relation to the subcriteria for <i>Usability?</i>	3
Steering Committee: Overall, to what extent was the criterion, <i>Usability</i> , met? Rationale:	3 C P M N
4. FEASIBILITY	
Extent to which the required data are readily available, retrievable without undue burden, and can be implemented for performance measurement. (evaluation criteria)	Eval Rating
4a. Data Generated as a Byproduct of Care Processes	
<b>4a.1-2</b> How are the data elements that are needed to compute measure scores generated?  Data generated as byproduct of care processes during care delivery (Data are generated and used by healthcare personnel during the provision of care, e.g., blood pressure, lab value, medical condition), Coding/abstraction performed by someone other than person obtaining original information (E.g., DRG, ICD-9 codes on claims, chart abstraction for quality measure or registry)	4a C P M N
4b. Electronic Sources	
4b.1 Are all the data elements available electronically? (elements that are needed to compute measure scores are in defined, computer-readable fields, e.g., electronic health record, electronic claims) Yes 4b.2 If not, specify the near-term path to achieve electronic capture by most providers.	4b C P M
	N_
4c. Exclusions  4c.1 Do the specified exclusions require additional data sources beyond what is required for the numerator and denominator specifications?  No	4c C P M N
4c.2 If yes, provide justification.	NA.
4d. Susceptibility to Inaccuracies, Errors, or Unintended Consequences  4d.1 Identify susceptibility to inaccuracies, errors, or unintended consequences of the measure and describe how these potential problems could be audited. If audited, provide results.  Poor documentation or coding of birth trauma may result in overestating true birth truama rate. The code list for this measure is focused on those codes where there is generally a low error rate.  Identification of case counts for the analytic periond allows the hospital to confirm the validity of the	4d C P M N

docmentation and coding through chart review.	
These potential problems were audited for during testing; the results were that inappropriately coded cases were rare and easily corrected	
4e. Data Collection Strategy/Implementation	
4e.1 Describe what you have learned/modified as a result of testing and/or operational use of the measure regarding data collection, availability of data/missing data, timing/frequency of data collection, patient confidentiality, time/cost of data collection, other feasibility/ implementation issues:	
Administrative data has improved greatly over the years such that it is a very liable source for calculating quality measure/indicator rates. It is a standard data set across all health care facilities, maintains patient confidentiality, is cost effective to collect and analyze, and can be collected at reasonable intervals (monthly, quarterly etc) so as to identify improvements or deterioration in patient safety. As with any measuring system, facilities being measured should have the opprortunity to review and validate their data prior to their final rate calculations. Numerator case lists can be generated using medical record numbers present on most administrative data sets. Chart review to confirm or correct numerator cases has proven very effective in validating measure rates.	
<b>4e.2</b> Costs to implement the measure (costs of data collection, fees associated with proprietary measures):	
See AOI Composite submission.	4e
4e.3 Evidence for costs: See AOI Composite submission.	C∐ P∐
4e.4 Business case documentation: See AOI Composite submission.	M N
TAP/Workgroup: What are the strengths and weaknesses in relation to the subcriteria for <i>Feasibility?</i>	4
Steering Committee: Overall, to what extent was the criterion, <i>Feasibility</i> , met? Rationale:	4 C□ P□ M□
DECOMMENDATION.	N_
RECOMMENDATION	
(for NQF staff use) Check if measure is untested and only eligible for time-limited endorsement.	Time- limited
Steering Committee: Do you recommend for endorsement? Comments:	Y
CONTACT INFORMATION	
Co.1 Measure Steward (Intellectual Property Owner)	
Co.1 <u>Organization</u> Department of OB/Gyn. Beth Israel Deaconess Medical Center, 330 Brookline Ave, Boston, Massachusetts, 02	215
Co.2 Point of Contact Janet, Muri, MBA, jmuri@npci.org, 401-274-0652-105	
Measure Developer If different from Measure Steward  Co.3 Organization  Department of OB/Gyn. Beth Israel Deaconess Medical Center, 330 Brookline Ave, Boston, Massachusetts, 02	215
Co.4 Point of Contact	

Janet, Muri, MBA, jmuri@npci.org, 401-274-0652-105

Co.5 Submitter If different from Measure Steward POC

Stephen, Pratt, MD, spratt@bidmc.harvard.edu, 617-667-3353-, Dept of Anesthesia, Beth Israel Deaconess Medical Center

Co.6 Additional organizations that sponsored/participated in measure development

## ADDITIONAL INFORMATION

Workgroup/Expert Panel involved in measure development

Ad.1 Provide a list of sponsoring organizations and workgroup/panel members' names and organizations. Describe the members' role in measure development.

Consensus panels were developed for the indentification of measure to be included in the composite measure (AOI). This included vetting each of the individual measures. See reference for full description of panel process

1) Mann S, Pratt SD et al. Assessing Quality in Obstetrical Care: Development of Standardized Measures. Jt Comm J Qual Pt Safety. 2006; 32 (9):497-505.

Ad.2 If adapted, provide name of original measure:

Ad.3-5 If adapted, provide original specifications URL or attachment

Measure Developer/Steward Updates and Ongoing Maintenance

Ad.6 Year the measure was first released: 2007

Ad.7 Month and Year of most recent revision: 04, 2008

Ad.8 What is your frequency for review/update of this measure? On-going

Ad.9 When is the next scheduled review/update for this measure? 08, 2010

Ad.10 Copyright statement/disclaimers:

Ad.11 -13 Additional Information web page URL or attachment:

Date of Submission (MM/DD/YY): 08/02/2010