## NATIONAL QUALITY FORUM

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IN-PERSON MEETING PATIENT SAFETY STANDING COMMITTEE

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THURSDAY JUNE 18, 2015

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The Committee met at the National Quality Forum, 9th Floor Conference Room, 1030 15th Street, N.W., Washington, D.C., at 8:30 a.m., Ed Septimus and Iona Thraen, Co-Chairs, presiding.

## **PRESENT:**

ED SEPTIMUS, MD, Co-Chair, Medical Director Infection Prevention and Epidemiology HCA and Professor of Internal Medicine Texas A&M Health Science Center College of Medicine, Hospital Corporation of America IONA THRAEN, PhD, ACSW, Co-Chair, Patient Safety Director, Utah Department of Health JASON ADELMAN, MD, MS, Patient Safety Officer, Montefiore Medical Center CHARLOTTE ALEXANDER, MD, Orthopedic Hand Surgeon, Memorial Hermann Health System KIMBERLY APPLEGATE, MD, MS, FACR, Radiologist/Pediatric Radiologist & Director of Practice Quality Improvement in Radiology, Emory University \* LAURA ARDIZZONE, BSN, MS, DNP, CRNA, Chief Nurse Anesthetist, Memorial Sloan Kettering Cancer Center RICHARD BRILLI, MD, FAAP, FCCM, Chief Medical Officer, Administration, Nationwide

Children's Hospital

CHRISTOPHER COOK, PharmD, PhD, Director, Quality and Performance Measurement Strategy, GlaxoSmithKline MELISSA DANFORTH, BA, Senior Director of Hospital Ratings, The Leapfrog Group THERESA EDELSTEIN, MPH, LNHA, Vice President of Post-Acute Care Policy, New Jersey Hospital Association LILLEE GELINAS, MSN, RN, FAAN, System Vice President & Chief Nursing Officer, CHRISTUS Health STEPHEN LAWLESS, MD, MBA, FAAP, FCCM, Vice President, Quality and Safety, Nemours LISA MCGIFFERT, Project Director, Safe Patient Office, Consumers Union SUSAN MOFFATT-BRUCE, MD, BSc, PhD, MBOE, FACS, FRCP(c), Chief Quality and Patient Safety Officer, The Ohio State University ANN O'BRIEN, RN, MSN, CPHIMS, National Director of Clinical Informatics, Kaiser Permanente PATRICIA QUIGLEY, PhD, MPH, ARNP, CRRN, FAAN, FAANP, Associate Director, VISN 8 Patient Safety Center, Department of Veterans Affairs VICTORIA L. RICH, PhD, RN, FAAN, Hospital of the University of Pennsylvania JOSHUA RISING, MD, MPH, Director, Medical Devices, The Pew Charitable Trusts MICHELLE SCHREIBER, MD, SVP Clinical Transformation and Associate Chief Quality Officer, Henry Ford Health System LESLIE SCHULTZ, PhD, RN, NEA-BC, CPHQ, Clinical Consultant, Premier, Inc. LYNDA SMIRZ, MD, MBA, Chief Medical Officer and Vice President of Quality, Universal Health Systems of Delaware TRACY WANG, MPH, Public Health Program Director, WellPoint, Inc. KENDALL WEBB, MD, FACEP, Associate Chief Medical Information Officer, University of Florida

Health Systems

YANLING YU, PhD, Physical Oceanographer and Patient Safety Advocate, Washington Advocate for Patient Safety NOF STAFF: HELEN BURSTIN, MD, MPH, Chief Scientific Officer ELISA MUNTHALI, MPH, Vice President, Quality Measurement ANDREW ANDERSON, Project Manager JASON GOLDWATER, MA, MPA, Senior Director LAURA IBRAGIMOVA, Project Analyst JESSE PINES, MD, Senior Director SUZANNE THEBERGE, MPH, Senior Project Manager ALSO PRESENT: MARY BARTON, MD, MPP, National Committee for Quality Assurance SVEN BERG, MD, MPH, CPE, West Virginia Medical Institute NATHANIEL BREG, BA, RTI International ALYSSA CRAWFORD, Mathematica Policy Research THOMAS W. CROGHAN, MD, Mathematica Policy Research CYNTHIA CULLEN, MBA, Mathematica Policy Research RICHARD DUTTON, MD, MBA, American Society for Anesthesiologists \* ERIN GIOVANNETTI, PhD, National Committee for Quality Assurance DANIEL GREEN, MD, Centers for Medicare and Medicaid Services \* NICOLE KEANE, MSN, RN, Abt Associates \* QINGHUA LI, PhD, RTI International EUGENE NUCCIO, PhD, University of Colorado Denver DANIEL POLLOCK, MD, Centers for Disease Control and Prevention \* ANGELA RICHARD, PhD, RN, University of Colorado Denver \* LAURA SMITH, PhD, RTI International WILLIAM SOUTHERN, MD, MS, Albert Einstein College of Medicine, Montefiore Medical Center \* \* present by teleconference

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1	P-R-O-C-E-E-D-I-N-G-S
2	8:26 a.m.
3	CO-CHAIR SEPTIMUS: Good morning,
4	everyone. Good morning. Good morning. Who's on
5	the phone first? Who's on the phone?
6	MS. O'BRIEN: Good morning, this is
7	Ann O'Brien from Kaiser Permanente.
8	CO-CHAIR SEPTIMUS: Okay, who else?
9	Is that it? Any Patient Safety Committee members
10	on the phone this morning? I guess not yet.
11	Okay, and we really appreciate those of you who
12	were in there almost all day yesterday on the
13	phone; thank you very much. It's extremely
14	difficult. So I hope everybody had a good
15	dinner; I had to apologize to the group, there
16	was no Septimus wine on the wine menu last night,
17	which I know disappointed many of you. We had
18	really a very productive day yesterday. Just to
19	sort of recap, we tabled two measures on the
20	failure to rescue; we'll take those up on our
21	call post-meeting. We put on reserve status
22	0038, and as you know for PSI 90, we only

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achieved a 58 percent, so short of the 60 percent consensus, so as you know that's in a gray zone. We're going to wait for public comment and we may come back and discuss this after public comments are in.

6 So as you know because of yesterday, 7 we made some slight changes in the schedule. That was emailed out, but just to go over, this 8 9 morning before the break--we hope we can get to a 10 break--we're taking up 2726, which is Prevention 11 of Central Venous Catheter-Related Bloodstream 12 Infections from the American Society of 13 Anesthesia, then 2720 is a new measure, 14 Antimicrobial Use Measure from the CDC, and then 15 2729, which is Timely Evaluation of High-Risk 16 Individuals in the ED, and then 0687, Residents 17 Who Were Physically Restrained in Long-Stay, and 18 then 0689, Residents Who Lose Too Much Weight, 19 also in Long Stay. Both of those, the developers 20 And then we hope we can take a break, are CMS. 21 and we'll tell you about the schedule after that. 22 Also, we're very excited, we're going

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to take up our first eMeasures today, which is--I 1 2 think--is it a first for this committee? For this committee, right, so it's a first for this 3 4 committee, so we're very excited about that. And 5 so to introduce us to the eMeasure evaluation -where's Jason--he's behind us. Jason, get up to 6 the -- we re-named you the measure developer Jason. 7 So Jason will take us through that, and then 8 9 we'll get right into the agenda, starting with 10 2726. 11 MR. GOLDWATER: Thank you very much, 12 and good morning, everyone. I am sure that when 13 you woke up this morning, you were bristling with 14 excitement over learning about eMeasures. Ι 15 can't blame you; I get excited about this every 16 time I talk. It's all over my face, I'm sure. 17 So my name is Jason Goldwater, I'm a Senior 18 Director here at NQF, I've been here since 19 I have a multitude of responsibilities, January. 20 as does everyone that works in this organization, 21 but my primary responsibilities are overseeing 22 our Health Information Technology Group and

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portfolio of work, and also overseeing our 1 2 eMeasure development and approval process. And eMeasures have somewhat really 3 come into the forefront over the last several 4 5 The passage of HITECH in 2009 initially years. was designed to serve as a catalyst to increase 6 7 adoption of electronic health records throughout the United States, particularly in hospitals and 8 9 what was defined as eligible providers, as well 10 as devising a baseline to evaluate how EHRs could 11 be used in the course of care, and that of course 12 was meaningful use. And so this is not a 13 discussion about the ins and outs and merits of 14 meaningful use, but rather the part of meaningful 15 use that dealt with what you will be discussing 16 later on, which is the development and use of 17 electronic clinical quality measures. eCOMs--is 18 the short form for this--have been around for 19 It's not like it's a brand new some time. 20 occurrence, but certainly they have taken on much 21 more life since 2009, and particularly over the 22 last three years as EHR adoption has increased

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substantially.

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2 The reason why eMeasures have taken on so much more attention, have been given so much 3 4 more attention, is because the availability of 5 data, real clinical data to populate and test and use a measure, is much more available than it was 6 7 in the past. Part of my career, which is long and diverse and incredibly strange, which I will 8 9 spare you all of the details, but I did work at 10 CMS for 10 years, when it was called HCFA. You 11 all remember the good old days when it was--refer 12 to the name as it should continue to be referred 13 to as--well, that's my own opinion. So I worked 14 for what was then OCSQ, which apparently has 15 changed to CCSQ, during the Eighth Scope of Work 16 for QIOs in which paper-based, traditional claims 17 measures were still highly prevalent, but at that 18 point in time, which was 2003, CMS was really 19 trying to make a move into developing and 20 utilizing eMeasures, and the first project they 21 undertook, which some of you that are real 22 historians of HCFA might remember was the

Doctor's Office Quality Improvement Technology 1 2 Project, or DOQ-IT for short, in which they wanted to take advantage of the increase in EHR 3 4 adoption to see if there was a way of 5 automatically generating electronic clinical quality measures rather than through what was the 6 7 traditional paper-based claims abstraction process, through the CDACs, at which time when I 8 9 joined OCSQ, there were four. There are now--I 10 think there's one, and I'm not even sure if that 11 one is still around. 12 DOQ-IT did not succeed as well as I 13 think everyone had hoped, for a couple of 14 reasons. One is there was not widespread EHR 15 adoption. There was only maybe a 21 percent 16 adoption rate at that point in time. So really, 17 culturally, the country wasn't ready for this. 18 And secondly, they found out that trying to 19 generate electronic clinical quality measures

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from data other than claims was an incredibly

trying and very difficult process, something that

still is pervasive to this very day. So what I

wanted to talk about in, I guess the next 10 1 2 minutes, is sort of what you need to look for when you're considering eMeasures, and what NOF 3 4 does when an eMeasure arrives, and what we do 5 when we're performing our evaluation, and how that is going to differ from what you're going to 6 7 be asked to do. Under no circumstances would you ever be asked, at least unless something is 8 9 going--somebody is going to pop a surprise in the 10 next two minutes, where you would be asked to look at formats or codes or markups or value 11 12 Those are things that we take care of when sets. 13 we do the evaluation, but really when a committee 14 is evaluating an eMeasure, it's not that much 15 different from the way you look at a traditional 16 measure, there's just a few things that need to 17 be considered.

When an eMeasure arrives to NQF, we do an assessment very similar to the way we would do it with a normal, traditional-based measure. We look at its evidence, we look at the scientific acceptability of the measure as we would with

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anyone, we look at its reliability, we look at 1 2 its feasibility, we look at its validity. There's a feasibility scorecard that every 3 4 eMeasure developer must submit to let us know how 5 the eMeasure, when it was tested -- now remember, when an eMeasure is tested, it's not tested in 6 7 the same way a claims measure is; it does need to 8 be tested on an EHR system. In the past, it used 9 to be three or more EHR systems. 10 That is difficult, not just simply 11 because of trying to find three diverse EHR 12 systems to test, but also actually asking the 13 providers or the hospitals for a specific period 14 of time to generate the data necessary to 15 appropriately test the measure is a time-16 consuming process. 17 Our policy has changed over that time 18 that we've gone from three to just more than one, 19 and allowing measure developers to use at least 20 more than one system to try to test their measures so we can get the results to determine 21 22 whether it can feasibly be done within an EHR in

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the normal course of business.

2 We also look at the formats, and what I mean by formats, without getting into a lot of 3 detail, is when information is transmitted from 4 one system to another, in order for it to 5 maintain its integrity and meaning, it has to be 6 7 formatted in a very specific way. We use something known as the Health Quality Measures 8 9 Format, which, if I really were to get into the 10 higher level of this, is just like a basic 11 webpage, in all honesty. It's marked up in very 12 much the same way, it's marked up in a mark-up 13 language that if--for those of you that can go 14 way back to the days of devising, creating web 15 pages; have any of you ever done that? None of 16 you have done that, all right. So fine. 17 Back in the glory days when the 18 internet was first becoming this ubiquitous term 19 that we all know and love, you actually had to 20 design web pages in what was known as hard-21 coding, which is you actually had to type in the 22 codes of how the page was supposed to look. And

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I often joke with people that when you had to 1 2 create moving graphics--which nowadays is ridiculously easy-back then you actually had to 3 4 program how you wanted the graphic to go from 5 left to right or top to bottom, and you could never get it right, and you would spend an hour 6 7 typing in things such as inches or centimeters just to get it correct. That's very similar to 8 9 what you have to do with an eMeasure, but that's 10 not something you would ever have to look at; 11 that's what we look at to make sure that it is 12 coded and formatted appropriately, and that it 13 can move from one system to another with its 14 meaning and integrity still intact. 15 We also look at the way it would be 16 outputted, so CMS requires it to be reported on 17 what they call a Quality Document Reporting 18 Architecture, which allows reporting on 19 individual patients or population of patients. 20 We check the formats to make sure there will be a 21 seamless transition from one to the next. Aqain, 22 not something you have to worry about. We also

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1 look to make sure that the right measure artifacts are in place, that the right files are there, that we have the electronic information that we need, that it's complete because that document is a complete and comprehensive electronic measure. And then it gets to you. So then what do you have to do?

There's really four things you really 8 9 have to think about when you're looking at an 10 eMeasure. In terms of evaluating the numerator 11 or denominator or an exemption or exclusion, 12 nothing changes from evaluating an eMeasure as it 13 would be over a traditional measure. In 14 examining its reliability, its feasibility, its 15 validity, again nothing truly changes, and we 16 will have written our comments and our concerns 17 about what we think about those topics when we 18 are evaluating the measure for your 19 consideration. Other things you really need to 20 consider are the following: the first is, is the measure good enough? And I think that's kind of 21 22 a basic question, which is yes it's an eMeasure,

and yes it's contained within an EHR, but in your 1 2 opinion is this measure good enough? If you're going to have to go through the work of actually 3 4 getting the data in a structured format and 5 exporting that data out of an EHR, is the measure viable, is the measure necessary, will the 6 7 measure actually make improvements in quality in your mind, and is robust and good enough that it 8 9 should continue?

10 The second is can the data that's 11 needed to populate this measure actually be 12 obtained within the system it's supposed to come 13 from? Do you think the data is there in the--now 14 all of you work in these clinical environments; 15 you're going to have a better assessment and 16 understanding of this than anyone--do you think 17 that that data is available and obtainable and 18 can be taken out of a system so that an eMeasure 19 could be generated and utilized and evaluated? 20 If you really think it's going to be highly 21 problematic, then that's a concern. But you have 22 to look at it not in terms of is it on a claim,

which is somewhat easier, but can it be found within the very system it's supposed to come from.

Thirdly, and I think this is 4 5 important, there's always this talk about EHRs improving the efficiency of care, and that's 6 There's a lot of efficiencies that come 7 true. with it, particularly in the area of patient 8 9 I mean, there are a lot of--there's safety. 10 significant functionality within EHRs to improve 11 patient safety. How successful that is is an 12 entirely different discussion, but in order to 13 ensure that the data is there in the system that 14 can be used for the measure, it does have to be 15 It doesn't magically just somehow inputted. generate itself within the system. 16

17 So in your mind, in the normal course 18 of providing care to patients, is that something 19 that would be entered in the normal course of a 20 workflow, or is it something that would be so 21 overly burdensome for some--a nurse or for a 22 physician to do that it would be almost

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impractical to be considering this as a measure 1 2 because it would be impossible for the data to be entered and even harder for the data to be 3 4 extracted? Those are the things you have to look 5 for, and I think workflow sometimes is understated a bit, that it's not considered in 6 the evaluation of an eMeasure, and I think that's 7 important to consider because, again, in order to 8 9 get the data out, the data has to get in, and if 10 you really think that this is too complex for a 11 data to actually be put into a system by a 12 provider or by a nurse, then it would be 13 virtually impossible to be actually generating 14 any type of eMeasure. 15 And then the last one is do you think

15 And then the fast one is do you think 16 this eMeasure would be sustainable over time, 17 which is in the course, you know the--I remember 18 when the AMI measure, aspirin on arrival, like 19 this is the oldest measure in time. Like I 20 remember when I was significantly younger--in NQF 21 years, I'm like ancient really, I mean I'm like 22 one of the oldest people in this company really.

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It's depressing, very depressing, but 1 Seriously. 2 I remember AMI, aspirin on arrival, being one of the very first measures around, and that came out 3 because then, when it was released, the science 4 5 indicated that if you give aspirin on arrival, somebody's having a cardiac event, it makes a 6 7 significant difference. And of course over time, that has become so widely used and now it's 8 9 common practice. It's been a sustainable measure 10 over time, and even as they transition from 11 traditional claims-based measures into electronic 12 measures, that's still being used. 13 It's very easy to find the data within 14 the EHR that somebody has presented with a 15 cardiac event, indicating AMI, and they were 16 prescribed aspirin on arrival, and here's how 17 much aspirin they were prescribed. And for those 18 of us that are real nerds about this, we can tell 19 you the values and how it was coded and how to 20 evaluate it, but it's very common. That's 21 something to consider as well; do you believe if

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this measure is used, and that if the data is

going to be available within the system, and the 1 2 data could be entered in the system in the normal course of workflow, do you also believe over time 3 4 this measure would be sustainable, it would 5 continue to make improvements in quality over time, or after a year, is this going to be so 6 7 unbelievably burdensome to everyone, even if it has the best of intent, that it would no longer 8 9 be used, in which case it's something to be 10 considered for a possible endorsement, because 11 that's--if you're only going to have a measure 12 for a short period of time, do you really want to 13 continue to engage in moving that measure 14 forward?

15 So those are really the four things to 16 be considering in the context of evaluating an 17 Again, not overly technical; I don't eMeasure. 18 think we've ever asked our committees to be 19 specialties in the technical expertise of coding 20 or formatting, but really it's more policy-level 21 use questions, usability questions on how the 22 data is used and how the data can be extracted to

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create an eMeasure. Yes? Sure.

2 CO-CHAIR THRAEN: Sorry, so in your analysis, in your process, do you--since you're 3 4 only--you changed your rule from more than one, 5 okay, and there are like many three dominant players in the market right now, and each of them 6 are using different standards-based, you know, 7 how do you account for the reliability of the 8 9 measure capturing what it is it's supposed to be 10 capturing? How do you analyze that? 11 MR. GOLDWATER: Right, so that's an 12 excellent question, and that was a big concern 13 when we switched the rule, because there are--so 14 there are 175 EHR vendors at the moment, but 15 there's only about five of them that have roughly 16 90 percent of the market; Epic, Allscripts, and 17 Cerner are the ones that have roughly 80 percent 18 of the market. So if we were going to go to more 19 than one, are we just going to have people 20 testing out of Epic? So there's two--I don't 21 want to say there's answers, but there's two sort 22 of things to consider. The first is that even

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though Epic may have 100 implementations 1 2 nationwide, each implementation of Epic is different; it is not the same. They do have a 3 4 common base system, which is what they sell, but 5 they will change the base system and they will add options based upon what the hospital or the 6 7 ambulatory provider needs. So there are different variations of the same system. 8

9 It is true that they do use some 10 different standards, but really the standards that they use that are varying are the way the 11 12 information is transmitted, and that's a separate 13 discussion because Epic has its own proprietary 14 standards in which information is transmitted, 15 usually within a hospital or between Epic 16 systems. However, the way the information is 17 coded and the way those codes reflect a clinical 18 content are similar whether it is Epic or whether 19 it is Cerner or whether it is Allscripts. That's 20 correct, at the data element level, it's very 21 similar. So problems are SNOWMED codes, 22 diagnoses are ICD-9, soon to be ICD-10 much to

the chagrin of some people, and then eventually, if you're ready for this, potentially ICD-11. Outpatient ambulatory codes are still CPT or 4 HCPCS, laboratory codes are still LOINC, 5 medications are still RxNorm.

So regardless of the diversity of 6 7 systems, the coding at the data element level is still the same, which makes it easier to populate 8 9 and understand the value sets within the EHR 10 system, even though it brings up some issues of, 11 you know, when we do the evaluation of the 12 testing, we're really going to have to look at 13 the -- so if somebody does three tests, and they're 14 all Epic, then our analysis has to be, and what 15 we have to present to you is how the systems 16 differed. Yes?

17 I just have two MS. DANFORTH: 18 questions. When the eMeasures come to you, if 19 there's already been a measure that's not an 20 eMeasure, is there any kind of analysis or 21 comparison? I'm saying when there is, do you do-22 -yes, so when there is. So for example, like in

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2 measures that are going from paper measures to 3 eMeasures. 4 MR. GOLDWATER: Right. 5 MS. DANFORTH: Is there--and the way that CMS worded it, it sort of implied that 6 7 there's actually a difference in the rates 8 produced from the eMeasures versus the paper 9 measures? 10 MR. GOLDWATER: That's correct. 11 MS. DANFORTH: So in evaluating the 12 measure, is that part of the discussion, like 13 what those changes are and what the significance 14 of those changes are? 15 Yes, well not MR. GOLDWATER: 16 completely, but in our process or our policy is, 17 if you're going to move from a traditional 18 claims-based measure to an eMeasure, the eMeasure 19 is considered new, and--because it is a new 20 Now that has brought up some policy measure. 21 questions we're still discussing, as Helen is 22 well aware, and probably those conversations will

the IPPS proposed changes, there's a handful of

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continue on for a while. But we do evaluate it as an eMeasure, and we have to look at the same things we would look at for any eMeasure. Now granted, there's a past precedent to base that on, but it is considered an eMeasure and has to be evaluated that way.

Just building on part of 7 DR. BURSTIN: your question though, I think the other piece of 8 9 this, and we'll see, is we don't actually know 10 whether in fact the rates will be comparable, and 11 it's actually I think an important piece of work 12 we'd like to engage in going forward because I 13 think it may truly change some baselines in ways 14 that change trend lines, et cetera, which is why 15 we feel like very strongly we need to really understand what those differences are. 16 It's a 17 great question.

18 CO-CHAIR SEPTIMUS: Excellent.
19 MS. DANFORTH: Can I ask one more
20 quick question? So yesterday we spent a lot of
21 time talking about sort of the inadequacy and
22 sort of known issues with claims-based measures.

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Is anyone looking at potential issues with 1 2 standardized documentation that are going to create issues in clinical documentation-based 3 4 measures, and is there just any--will that kind 5 of information be provided to us as well? Т mean, I think yesterday there was a lot of 6 7 conversations about well, you know, I think Jason said when we find this problem, we look in the 8 9 claims and we saw that it was a coding problem, 10 So everyone's looking at coders and what right? 11 coders are doing, but the documentation that's 12 going into the EHRs is extremely important; if 13 it's not done in a standard, high-quality way, 14 then these measures aren't going to be any more 15 accurate than the claims-based ones. So is part 16 of the work that you're doing sort of looking at 17 that and developing sort of a list of known 18 issues, then letting the committee members know 19 about that? 20 MR. GOLDWATER: Do you want to explain 21 the measure developer?

DR. BURSTIN: So one of the things

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you'll see from what Jason and the staff will 1 2 present to you on these eMeasures is an assessment of the things we require for 3 eMeasures, one of which is called eMeasure 4 5 feasibility; it's a score where they actually look to see the data elements you would need to 6 do this measure: are they something you can 7 actually find in an electronic record? 8 It's 9 still pretty early, and I think one of the 10 reasons we shifted from saying three or more EHRs 11 to more than one is it's really hard right now to 12 find EHRs to test measures in, and we don't want 13 it to be a rate-limiting step, but we want to be 14 able to get them out there, but we recognize 15 there's got to be a lot of testing. 16 MR. GOLDWATER: And one of the other

problems that we also have to look at, and it's actually interestingly a project we're taking on independent of this, which is the way that it measures--the way the values are developed with an eMeasure. So value sets play a large part in eMeasure development, and there are varying value

sets for all different types of measures, and at 1 times those value sets will overlap, will be 2 redundant, and will not have a lot of meaning to 3 4 the actual measure that they're--they will have 5 very little relevance to the intent of the So we're working now on a project to 6 measure. 7 sort of harmonize and remove that variance, but that's something else that we consider as well in 8 9 the feasibility testing, which is what value sets 10 are you using and how are those measures coded, 11 and is it reflective of the intent of the 12 measure, as well. 13 CO-CHAIR SEPTIMUS: We only have time 14 for one more question, because we're running 15 right up to the next measure, so--16 DR. LAWLESS: Yes, Steve Lawless. My 17 question for you is, are there lessons learned 18 from both the implementation of meaningful use 19 and the level 3 that way that you're using to 20 say, you know, lessons learned from trying to 21 extract even simple stuff from an EMR, turning it 22 into a measure that can be--that you're utilizing

or saying, aha, let's avoid these paths of this, 1 2 and the other thing about EMR is it's very sensitive data and very specific, so how do you 3 code it, you can miss a lot because you've missed 4 5 a decimal place here versus somebody looking at what your intent was. So my more important 6 question is the first one about lessons learned. 7 So it's interesting 8 MR. GOLDWATER: 9 that the final question is of course the easiest 10 one to be answering. I can do it in 60 seconds --11 it's an excellent, excellent question. And 12 there's a lot of lessons to learn from meaningful 13 use, and I think when it comes to eMeasures, the 14 two most dominant ones have been is the 15 information coded appropriately, so there are of 16 course domains of terminology that ONC has really 17 recommended to use, but are those being used 18 correctly? Are those being used to adequately 19 code diagnoses, procedures, medications, et 20 cetera? Are the appropriate value sets being 21 used that reflect the intent of the measure? 22 We have found that that has been

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somewhat problematic, not as problematic as we 1 2 expected, but then again the measures are coming in relatively slowly, so if we get 40 eMeasures, 3 4 which would be great, and we get those in in the 5 next couple of months, we may find those problems to be far more pervasive. And then secondly, you 6 7 know, one of the problems has really been on the vendor side, which is, are they able to develop 8 9 the system to be able to collect and report out 10 the data based on the specifications that have 11 been documented by CMS and that NQF has moved 12 forward with?

13 And that has been a problem since 14 meaningful use came out, and it's not just with 15 eCQMs, it's just with everything. There are 16 issues about whether the EHRs can function in the 17 way that CMS would like, particularly with 18 reporting quality measures, and will they get the 19 information that is needed. That was a problem 20 that existed when DOQ-IT was around; that problem 21 hasn't exactly gone away, particularly when you 22 start talking about measures that go outside the

traditional AMI, pneumonia, stroke, VTE, which 1 2 have been around for a while. When you get into the behavioral health measures, the eye, ear, 3 4 nose and throat measures, things that are newer, 5 that becomes an issue because, do they have the ability to code and reflect that data adequately? 6 7 So those are things we have to really look at, which is why our eMeasure review process 8 9 takes a little bit of time, because we have to 10 really get into the nuances of those elements and 11 the value sets to make sure they're done 12 appropriately. 13 CO-CHAIR SEPTIMUS: I think we'll have 14 opportunities as the eMeasures come forward to 15 have some further discussion on this, and I hate 16 to cut off conversation; this is the first time 17 this committee has considered eMeasures. Before 18 we get started with the first measure, is 19 anybody--any other committee members joined the 20 call? 21 DR. APPLEGATE: Yes, this is Kimberly 22 Applegate; I'm non the call. Thank you.

1	CO-CHAIR SEPTIMUS: Okay, so we have
2	two from yesterday, and did we lose anyone here?
3	So Tracy. So we're down to 23 for voting, that's
4	what I'm22, that's what I'm counting. All
5	right, so we have 22 for voting
6	DR. BURSTIN: Josh just said he'd be
7	in late; he has to drop his kids off
8	CO-CHAIR SEPTIMUS: Okay so for now,
9	it's going to be 22 for voting. Okay, so the
10	first measure is 2726thank you. 2726. So the
11	first one is a measure that we looked at last
12	year, Prevention of Central Venous Related
13	Bloodstream Infections from the American Society
14	of Anesthesiology; they'll present for the first
15	couple of minutes, and then Dr. Alexander will be
16	the discussant. Yes, I said 2726; didn't I say
17	that?
18	CO-CHAIR THRAEN: You may have.
19	CO-CHAIR SEPTIMUS: Okay.
20	DR. DUTTON: Good morning, can you
21	hear me?
22	CO-CHAIR SEPTIMUS: Yes, whois that-

1 2 SPEAKER B: Yes, that's Dr. Dutton who's--he will be speaking with us today. 3 4 CO-CHAIR SEPTIMUS: Okay. Dr. Dutton, 5 would you like to introduce yourself to the committee members, please? 6 7 DR. DUTTON: Sure. This is Dr. Richard Dutton, I am an anesthesiologist and the 8 9 Chief Quality Officer of the American Society of 10 Anesthesiologists; I also participate in some 11 other NOF activities. Thank you for having us 12 back to discuss this measure. As you've just 13 heard from Dr. Septimus, we did present this last 14 year. We had just taken over management of the 15 measure from the AMA, from PCPI, and I have to 16 admit the handoff wasn't good, so there were 17 questions we were unable to answer last year. 18 We've tweaked it a little bit this year, and 19 we've completed the validity reliability testing 20 with new data, which I think will help the 21 presentation this time. 22

So you have the measure in front of

you. You've had the opportunity to review it. 1 2 It's fairly simple. It calls for measurement of the use of preventive measures for preventing 3 central line infection at the time the line is 4 5 As many of you know, depending on your placed. hospital and your system, up to half of all the 6 7 central lines in the hospital will be placed by anesthesiologists in the OR or ICU environment, 8 9 so this is an important measure for our 10 specialty. But very often we are putting lines 11 in, but then not around or not managing the 12 patient later when the complication occurs, and 13 this is why we feel that a process measure is 14 still appropriate for this activity, because the 15 process and the outcomes are separated in time, 16 and in our case, separated by professional 17 service.

Successful compliance with this
measure calls for using maximal sterile barrier
precautions when placing the line, use of a cap,
mask, sterile gown and gloves, full body drape on
the patient, and if ultrasound is used, which is

recommended, sterile gel and sterile ultrasound 1 2 probe cover. It applies to all patients regardless of age who have a central line placed, 3 4 and it's a fairly broad definition of central 5 line placement. Of course, it's hard to tell from the measure, which is full of CPT codes, but 6 that covers both tunneled and untunneled central 7 lines, so both temporary and longer term central 8 9 lines, and it includes peripherally-inserted 10 central lines, PICC lines. Current performance 11 on the measure, looking at the data in our 12 registry and now about four years of performance 13 on this, about 60 to 70 percent of 14 anesthesiologists report the measure when a 15 central line is placed; we can see that in both 16 Medicare data and our own registry data, so 17 there's a significant gap in utilization of the 18 measure and reporting it at all. 19 When it is reported as you might 20 imagine, it is mostly successful, in the low 90s 21 right now, but we also know that there are many

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practices and many physicians who achieve 100

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percent or close to 100 percent performance on 1 2 this measure. The connection with outcome has been very strong; AHRQ has recently published 3 data on the rate of CLABSI central line 4 5 infections in the United States, which have dropped precipitously since this measure has been 6 7 in place, and since the use of sterile techniques when placing lines has been focused by 8 9 anesthesiology and other specialties. So I'll 10 stop there; I'm happy to take any questions or 11 react to any comments from the committee. 12 CO-CHAIR SEPTIMUS: Thank you very 13 much. Charlotte, do you want to take us through 14 the measure, please? 15 DR. ALEXANDER: Certainly. You had an excellent introduction. You want me to go 16 17 directly into evidence? 18 CO-CHAIR SEPTIMUS: Let's go to the 19 evidence and go from there. 20 DR. ALEXANDER: As he stated, this is 21 a process measure. Hospital-acquired infections 22 are a common complication that leads to increased

cost and mortality; 51 percent occur in the ICU 1 2 and central venous catheter is probably the largest risk factor. Catheter-related 3 4 bloodstream infections commonly occur when the 5 catheter becomes contaminated by microbes on the skin during insertion. Maximal barrier technique 6 7 has been shown to be a cost-effective way to reduce these infections. There is a guideline 8 9 which has 12 recommendations for sterile 10 technique for insertion of these lines. There are 11 also 14 studies that are high-grade, half of 12 which are root cause and random control studies 13 with large sample sizes. They're uniform in their 14 evidence that maximal sterile barrier technique 15 can decrease bloodstream infections from five to 16 35 percent consistently, and up to 65 percent in 17 some cases.

18 CO-CHAIR SEPTIMUS: Any questions on 19 the evidence? Just a great, great presentation. 20 There's actually two components to prevention of 21 central lines; one is on insertion, and early 22 central line infections, the predominant

pathogenesis is colonization around the insertion 1 2 Later in the course of central site. lines, it's really related to maintenance and 3 4 contamination of the hubs, which would not be related to insertion. Just wanted to balance 5 that in terms of the pathogenesis. 6 But as is 7 stated, they're looking at the so-called CDC guidelines and the level of evidence for 8 9 insertion of the central line, and they're well 10 outlined in your packet. So are there any other 11 questions before we vote on the evidence? Yes, 12 Steve. 13 DR. LAWLESS: I just--this is--but in 14 the definitions and maybe the evidence, the 15 evidence doesn't include the type of skin prep 16 used? 17 CO-CHAIR SEPTIMUS: What skin prep are you talking about? It should be CHG alcohol. 18 19 DR. LAWLESS: That's what I'm saying. 20 I see from the front sheet, it talks about, you 21 know, the standard stuff, the cap, the mask and 22 everything else and skin prep, but it doesn't

1 mention type of skin prep, or -- that's not part 2 of the evidence? DR. ALEXANDER: That's in the CDC 3 4 quidelines. 5 CO-CHAIR SEPTIMUS: But you're correct, CHG alcohol is --6 7 DR. LAWLESS: But it's included, that is included as part of the numerator here in 8 9 terms of that --- that would be also being 10 followed or looked at? 11 Yes, and one of the small DR. DUTTON: 12 changes in our presentation this year is it--the 13 last time around, they called for just use of 14 chlorhexidine; it now includes alcohol, tinctured 15 iodine or chlorhexidine as acceptable prep 16 solution, based on the newest data. 17 CO-CHAIR SEPTIMUS: Yes, Lisa? 18 MS. MCGIFFERT: It looks--I want to--19 is this just a measure for anesthesiologists, or 20 is this a measure for anyone? And it also looks 21 like --is it always going to be --it's not always 22 going to be in a hospital setting; I'm just

trying to figure out who are we measuring here? 1 2 DR. DUTTON: The denominator codes for eligibility for this measure are CPT codes for 3 4 insertion of central lines. So any providers who 5 place central lines would be eligible to report The cyberstudy includes 6 this measure. 7 anesthesiologists, nurse anesthetists and others working in the OR; it includes surgeons, 8 9 oncologists, intensive care physicians, or even 10 nurse practitioners closing PICC lines. 11 CO-CHAIR SEPTIMUS: Are there any 12 other--I don't see any, so let's go ahead and 13 vote on the evidence. 14 So importance to MS. IBRAGIMOVA: 15 measure and report 1A evidence structure process 16 intermediate outcome, the votes are 1 high only 17 eligible if QQC submitted, 2 moderate, 3 low, 4 18 insufficient evidence. 19 CO-CHAIR SEPTIMUS: Are we getting the 20 ones on the phone through the WebEx? Okay, good. 21 Two more. 22 DR. ALEXANDER: Susan stepped out of

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the room.

2 CO-CHAIR SEPTIMUS: Okay so that's-that's going to be--so that's going to be 21. 3 4 Okay, got it. 5 MS. IBRAGIMOVA: So the results are 67 percent high, 33 percent moderate, zero percent 6 7 low, zero percent insufficient evidence. CO-CHAIR SEPTIMUS: Okay Charlotte, if 8 9 you'll take us through the gap. 10 DR. ALEXANDER: So for priority, only 11 28 percent of ICUs have a written policy, only 28 12 percent of physicians use maximal sterile barrier 13 technique. Catheter-related bloodstream 14 infections increase length of stay an average of 15 20 days with a cost of \$3,000 to \$60,000 per 16 case. This involves a large number of line 17 insertions with a significant morbidity and a 18 high resource use. 19 Question? CO-CHAIR SEPTIMUS: 20 MS. ARDIZZONE: I just--I had a 21 question when you said--you said 28 percent of 22 the ICUs, you know that was a survey from 2002,

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and some of the data is a little dated that was submitted. Do the developers have anything newer? Because I would think maybe in 15 years or so, practice has changed around maximal sterile barriers.

We're certain it has, and 6 DR. DUTTON: 7 in fact we're certain that's the reason why the CLABSI rate has dropped dramatically in the last 8 9 few years, but there is no more recent published 10 data on this. More anecdotally looking at our 11 anesthesia practices that we went with and 12 participate in the registry, there's been a 13 substantial change in practice in this area in 14 the last five to 10 years. 15 CO-CHAIR SEPTIMUS: Missy?

MS. DANFORTH: Yes, in terms of the performance gap, I'm just trying to understand, because we have--and we're going to be talking about it today--an outcome measure related to this, how you really judge--what's the significance I guess of the performance gap and the process measure if all the focus nationally

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## has been on the outcome measure?

2 DR. DUTTON: The importance of having both in this case is that the outcome measure is 3 4 obviously what's most meaningful to patients and 5 facilities and to the team as a whole, but in addressing gaps in the outcome, and obviously 6 7 central line infections still happen, and they're still dangerous and expensive. In addressing a 8 9 gap like that, as you heard Dr. Septimus say, 10 there are multiple causes for central line 11 infections, one of the more significant ones 12 being care with how the line is placed, and that 13 falls on a particular group of providers who are 14 putting the lines in but may not be the ones 15 managing them or using them for the long term. 16 So we think at least that this is a situation 17 where it's very appropriate to measure both the 18 outcome and this component of the process, 19 because it can give you real evidence for where 20 to make improvements. 21 MS. DANFORTH: Is the measure then 22 most appropriate for internal use for hospitals

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and quality improvement, then?

2	DR. DUTTON: Well, this measure is
3	obviously presented as a measure for providers,
4	and we use it at multiple levels. Within the
5	registry, it's reported at the level of
6	anesthesia practices, at the level of facilities,
7	and at the level of individual providers.
8	DR. BURSTIN: Just a brief comment.
9	Sooh, I have so many microphones. So in
10	general, you know, most of the measures we talk
11	about, for example like the CLABSI rate, are at
12	the hospital level. This is a clinician-level
13	measure, so that's one of the disconnects. And
14	so you still need clinician-level measures and we
15	onlyyou know, a process measure in our parlance
16	would really only be appropriate if there's a
17	clear connection to outcomes. And this is one,
18	you just discussed it in terms of the evidence,
19	there's a clear process outcome link, and it's at
20	a different level of analysis. So that's at
21	least the logic of potentially maintaining some
22	process measures that show directionality of how

to improve, even if you have the outcome measure at a different level.

CO-CHAIR SEPTIMUS: 3 Okay, Rich? DR. BRILLI: Rich Brilli. I don't 4 5 mean to belabor it, but the developer continues to use the word CLABSI, and the measure talks 6 7 about catheter-related, and as I said yesterday, they are not the same, and I think there needs to 8 9 be some clear change in the language. Is this 10 catheter-related? The vast majority of the adult 11 hospitals, I was talking to Susan, actually 12 report CLABSI, central line associated, which is 13 the surveillance definition, not catheter-14 related, which is -- I went through it yesterday so 15 I won't repeat it. And in here it says catheter-16 related; he's saying CLABSI; I'm not sure which 17 it is, so that's the first point. 18 Second point is I don't see an age

issue here, and all of this applies to children,
except premature infants where CHG may have
significant skin problems, and they may use
iodine alone and not chlorhexidine. So I think

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that if it doesn't indeed apply to children, and 1 2 I think most NICUs and ICUs, most children's hospitals are doing this already and have been 3 4 doing it for a long time, with the exception of 5 neonatal intensive care premature infants where CHG is not appropriate or may not be appropriate. 6 7 So those are two things that need to be addressed somewhere in here. 8 9 DR. ALEXANDER: They did add the 10 iodine as an appropriate skin prep. 11 DR. BRILLI: He said iodine with 12 something else--13 DR. ALEXANDER: Alcohol. 14 DR. BRILLI: Yes, so that still is not 15 appropriate for a 1200 gram or 500 gram baby who 16 has a PICC line put in; it needs to be just 17 iodine alone. Their skin is very fragile and 18 anything with alcohol or chlorhexidine may be 19 So that's--and I didn't see an age problematic. 20 limitation in here; it just said everybody. So I 21 support it except for these premature infants. 22 CO-CHAIR SEPTIMUS: Okay, so the

question that I'm a little bit confused about --1 2 oh, do you have a question? But it's just the fact is there's another bullet point here that 3 4 says the reported performance scores show limited 5 room for improvement, that the providers with non-zero reporting rates, Medicare patients 6 aggregate by practice 2014 shows a mean 7 performance of 94 percent. So where is that data 8 9 And facility aggregate mean of 92 percent. from? 10 DR. DUTTON: Yes, so we have several 11 different sources of data that we've examined for 12 The Medicare five percent files are rates here. 13 one; the National Anesthesia Clinical Outcomes 14 Registry reporting rates are another. As I said, 15 they're not exactly the same but running, as you 16 point out, in the low 90s. We do have groups and 17 there are facilities where the rate is close to 18 100 percent; we have other groups that are lower 19 than that, but honestly from our perspective, the 20 biggest gap in this is the many practices that 21 still don't report it, and up to 40 percent of 22 the central line placements we see in

administrative code sets like the five percent 1 2 files, those measures are not reported. 3 CO-CHAIR SEPTIMUS: Okay, so we only 4 have five more minutes, so I don't want to 5 prolong, because I want Jason to give his comment, but the question based on the more 6 recent data is, have we topped out in this, and 7 has this becomes hard wired as the standard 8 9 I think that's the question that I practice? 10 think we have to ask ourselves when we vote on 11 the gap. Jason? 12 DR. ADELMAN: Jason Adelman. I'm 13 going to make some statements that I'm not sure 14 are 100 true, so the developer can correct me, 15 but the way I see this measure is that it's a 16 great idea to--I support the idea of capturing 17 the process measure that we follow proper 18 technique; however, the way the developer

19 describes where we are right now is that they've 20 requested new CPT codes made that will capture 21 all sorts of elements. Did you wear a cap? Did 22 you wear a mask? Did you wear a sterile gown?

Did you wash your hands? This CPT code doesn't 1 2 exist, and they say it will be approved --- it's supposed to be approved in August. And then so 3 4 if it's--so it's a measure looking at capturing a 5 CPT code that doesn't currently exist that we're voting on now, and then --- so you can't really 6 7 test it, because it doesn't exist. And I would think that even if it does happen, it's not going 8 9 to, in my opinion, do anything because you know, 10 coders can only code if the doctors write all 11 these things in the chart. And the only way a 12 doctor is going to write, "I wore a cap and a 13 mask and a sterile gown and sterile gloves and 14 washed my hands" is if it's pre-written on some 15 form, and when they sign that they've done it, 16 they're just going to sign off on it, otherwise 17 the coders--you know, it's not practically--and 18 so I may be right, I may be wrong, and we can't 19 even test it because the code doesn't even exist. 20 So we're voting on a measure for something that 21 may or may not work and I'm not sure if that's 22 right or not, but I think that's how I read

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what's going on, so I'll ask the developers. 1 2 DR. DUTTON: Actually, all of the codes--3 4 CO-CHAIR SEPTIMUS: We'll ask the 5 developer, and then we're going to vote. All of the codes have 6 DR. DUTTON: 7 existed for four or five years; they -- we're changing some of them in small ways as I 8 9 mentioned, to incorporate sterile ultrasound and 10 to allow for the use of different prep solutions, 11 include iodine, plain iodine. So the codes have been around; most of this data is captured from 12 13 clinical documentation in the medical record, and 14 when I put a central line in, I do record the use 15 of maximal sterile barriers, appropriate prepping 16 and draping. 17 DR. ADELMAN: Do you--sorry, do you 18 write each element down or does your form sort of 19 have--I mean there's a lot of elements that 20 specify 2A1. 21 DR. DUTTON: Sure, and the question 22 you're asking is obviously a moving target. At

the University of Chicago, I'm documenting this 1 2 in Epic with a series of checkoff that might be completed by either me or by the operating room 3 nurse who's observing the procedure. 4 CO-CHAIR SEPTIMUS: Okay we--5 DR. DUTTON: 6 But--7 CO-CHAIR SEPTIMUS: --we need to vote because the timekeeper tells me we're out of time 8 9 here already. So let's vote on--Lisa, go ahead. 10 Lisa, go ahead, just do it real quick. 11 MS. MCGIFFERT: Okay, I'm looking at 12 the skin prep directions here, and they seem to 13 be saying--it says to use the chlorhexidine prep 14 first, and if there's a contraindication to 15 chlorhexidine, you go to tincture or an iodophor 16 or 70 percent alcohol, and then it clearly says 17 there's no comparison has been made between using 18 chlorhexidine preps and the other preps, so it's 19 an unresolved issue, and that no recommendation 20 can be made for the safety or efficacy of 21 chlorhexidine in infants, to address Rich's 22 concern. So it's hard for me to know, to figure

out: it looks like still the first line is to use 1 2 chlorhexidine or I mean the very first bullet says you can use any of these, and then the 3 second and third bullet -- the second bullet said 4 5 you should use one first, and then if that's not indicated, you should use something else. 6 So I'm 7 just trying to get some clarity on the skin prep issue, and I know this is under evidence, and I'm 8 9 sorry I didn't get that in earlier. 10 CO-CHAIR SEPTIMUS: Yes, we can talk 11 about evidence. It's clear that chlorhexidine 12 and alcohol is the preferred prep scientifically, 13 so--but we'll get to that, but let's vote on the 14 gap, okay? Do we think there's a gap in 15 performance that would merit moving to the other 16 discussions, okay? So, Laura? 17 MS. IBRAGIMOVA: Importance to measure 18 and report 1B performance gap, the votes are 1 19 high, 2 moderate, 3 low, 4 insufficient. 20 CO-CHAIR SEPTIMUS: We got it. 21 MS. IBRAGIMOVA: So the results are 29 22 percent high, 38 percent moderate, 33 percent

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low, zero percent insufficient.

2 CO-CHAIR SEPTIMUS: Okay then, we move 3 forward. Charlotte?

4 DR. ALEXANDER: Specifications. The 5 numerator is the patient for whom the central venous catheter was inserted with maximal sterile 6 7 barrier technique that is CPT code 6030F; 6030F-1P as a documentation of medical reason for not 8 9 using the maximal sterile barrier technique, 10 including an increased risk of harm to the 11 patient; 6030F-8P is the all elements were not 12 followed. The denominator is all patients who 13 undergo central venous catheter; the exclusion is 14 6030F-1P, which is where there was a 15 contraindication. They have a proposed change to 16 6030F to add the ultrasound; it does not 17 currently add ultrasound to it. Do you want to 18 go into reliability yet? 19 CO-CHAIR SEPTIMUS: Yes. 20 DR. ALEXANDER: Reliability, this is 21 captured through administrative claims, the 22 Medicare Limited Data Set Carrier SAF five

percent and NACOR, which is the National 1 2 Anesthesia Clinical Outcomes Registry. The performance level for NACOR, we had kappa of 3 4 0.97, for the SAF, the five percent Medicare was 5 I have a question, and I want to know how 0.95. they're capturing the CPT-2 codes on non-6 7 Medicare, non-registry patients.

We did capture--if 8 DR. DUTTON: 9 they're not participating in either Medicare or 10 the registry, we don't have any data from them, 11 but that would be true of any measure. NACOR 12 currently includes about 25 percent of all of the 13 anesthesia practices and cases in the United 14 States though, so it's a very large sample.

DR. ALEXANDER: So if there's a surgeon who's putting a line in a non-Medicare patient, how does he report it?

DR. DUTTON: The surgeon, sorry, the measure is specified for use by any physician who places a central line. It depends on the purpose that the measurement is being put to how the person would report it, whether at the local

level, the facility level, or to a national 1 2 project. But surgeons could use this measure for PORS, and this is a PORS measure as well. If 3 4 they report the appropriate codes, they would 5 have to work out with their billing company or hospital system how to do the reporting. 6 Many 7 anesthesiologists do report this measure in PQRS and that's why the data is in CMS; many also 8 9 report it to the registry; there's not a perfect 10 overlap between those sets. 11 CO-CHAIR SEPTIMUS: Okay. Let us vote 12 on reliability, please. 13 MS. IBRAGIMOVA: Scientific 14 acceptability of measure properties 2A 15 reliability, the votes are 1 high, 2 moderate, 3 16 low, 4 insufficient. The results are 14 percent 17 high, 62 percent moderate, 24 percent low, zero 18 percent insufficient. 19 CO-CHAIR SEPTIMUS: Okay, so let's go 20 on to validity. 21 DR. ALEXANDER: For validity, this was 22 done at the face value of a group of experts; the

score was 4.16 out of a 5 score. 1 2 CO-CHAIR SEPTIMUS: I'd say let's go ahead and vote. 3 4 DR. ALEXANDER: A meaningful 5 difference--CO-CHAIR SEPTIMUS: 6 I'm sorry. 7 DR. ALEXANDER: --I'm sorry. Meaningful difference. There was a high 8 9 performance rate among people reporting, but I 10 had 60 percent; he stated at least 40 percent 11 were not reporting, so of the people that report, 12 they do a pretty good job, but there are a lot of 13 people that are not reporting. 14 CO-CHAIR SEPTIMUS: Okay, let's vote. 15 MS. IBRAGIMOVA: Scientific acceptability of measure properties 2B validity, 16 17 the results are 1 high, 2 moderate, 3 low, 4 18 insufficient. The results are 14 percent high, 19 67 percent moderate, 19 percent low, zero percent 20 insufficient. 21 CO-CHAIR SEPTIMUS: Feasibility? 22 This is captured DR. ALEXANDER:

through administrative claims and electronic data 1 2 through a clinical registry and uses CPT codes to capture the data. 3 4 CO-CHAIR SEPTIMUS: Seeing no hands, 5 let's vote. Feasibility, the 6 MS. IBRAGIMOVA: 7 votes are 1 high, 2 moderate, 3 low, 4 insufficient. The results are 33 percent high, 8 9 38 percent moderate, 29 percent low, zero percent 10 insufficient. 11 CO-CHAIR SEPTIMUS: Okay, then we go 12 to usability. 13 DR. ALEXANDER: This is currently 14 being used for PORS, for the anesthesia registry, 15 and there's some discussion about Joint 16 Commission using it also in evaluation of 17 hospitals. 18 CO-CHAIR SEPTIMUS: Discussion? Yes. 19 MS. ARDIZZONE: Just one comment. Ι 20 agree this is really important because it 21 identifies something--because there's two 22 components of the line: the insertion, and the

maintenance, and this really gets at the 1 2 insertion. The only thing I'm concerned about is in many places, this is just self-reported, that 3 I've done maximal sterile barrier precautions. 4 5 It might be better if, you know, someone was actually observing that someone was doing maximal 6 7 sterile barrier precautions. I don't know how feasible that is to have somebody observing, an 8 9 independent observer watching everybody. So I'm 10 concerned that it's just self-reported, but--11 Yes, this is Dr. Dutton DR. DUTTON: 12 Us too. We get that. First, in many again. 13 institutions, and depending on the documentation 14 system, it actually is an observer documenting 15 I mentioned the OR nurse, it can also be this. 16 the ICU nurse documenting this for the procedure.

You can argue about how independent they are; it's still a checkbox exercise. And this is why we're working with this measure, as with all of our measures, on e-specifications, and hope to come forward next year with an eMeasure on the same topic. As you heard earlier, it won't

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exactly match this one, but we believe it will be 1 2 able to get the same data or similar data in a 3 much more objective way. 4 CO-CHAIR SEPTIMUS: Okay. Usability. 5 Let's vote. MS. IBRAGIMOVA: Usability and use. 6 7 The votes are one high, two moderate, three low, four insufficient information. 8 9 CO-CHAIR SEPTIMUS: One more. 10 MS. THEBERGE: Ann, I need your vote. 11 CO-CHAIR SEPTIMUS: All right, we've 12 got 21. 13 DR. APPLEGATE: Ed, this is Kimberly, 14 could I make a comment about that last comment? 15 A concern about the observation? 16 CO-CHAIR SEPTIMUS: Of course, 17 Kimberly. Wait one minute, because we're trying 18 to get the one last vote and then, you can 19 comment. 20 DR. APPLEGATE: Well, I'd like to make 21 it before the vote. 22 CO-CHAIR SEPTIMUS: Well, the vote --

1 DR. APPLEGATE: I raised my hand. 2 CO-CHAIR SEPTIMUS: The vote's been 3 cast, Kimberly, I'm sorry. 4 DR. APPLEGATE: That's all right. CO-CHAIR SEPTIMUS: And I know it's 5 difficult for you on the phone, so if you would 6 7 text Suzanne, and we'll make sure that you -- oh, so you missed it? Okay. Well, I'll tell you 8 9 Since we have to vote again, why don't you what. 10 go ahead then and tell us your comment. 11 DR. APPLEGATE: I just wanted to 12 second the author's comment that when the 13 healthcare -- it's an awful lot of line 14 placements and oversight and review of infections 15 CLABSI by interventional radiology, he puts in an 16 enormous number of these central lines and PICCs 17 honestly, when the nursing teams can't put them 18 And so, I review a lot of these cases and in. 19 try to ascertain if it's secondary to placement 20 or dwell. And so, the healthcare system tries 21 very hard to say everyone does it the same, and 22 it's just, at least at Emory, a bundle.

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1	So, you know, yes, we'd love to have
2	observations, and we do do a little bit of
3	observation. But basically, it is a check right
4	now, and we try to audit some of the checks,
5	internally, and in our department, a very large
6	department, but I would say that just by having
7	the bundle and having it across all systems with
8	everybody doing it the same and everyone given
9	the bundle, I think that that's the way to
10	address this concern that was raised by someone.
11	I don't know who it was.
12	CO-CHAIR SEPTIMUS: Thank you,
13	Kimberly. All right, now, let's vote again on
14	usability. That was fast.
15	MS. IBRAGIMOVA: So, the results are
16	23 percent high, 59 percent moderate, 18 percent
17	low, zero percent insufficient information.
18	CO-CHAIR SEPTIMUS: Okay. We're going
19	to go to the last one. I'm going to just make a
20	comment, not as co-chair but as someone who knows
21	a little bit about this area. We've focused a
22	lot of our attention on insertion, but I want to

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tell you that most of the more recent studies in 1 2 terms of prevention emphasize maintenance. This has nothing to do with maintenance and again I'll 3 4 just come back to my original comment: I think 5 we're seeing a higher level of compliance. Ι think compliance should be, by the way, should 6 I think maximum barrier in putting this 7 occur. in carefully is very, very important, but I just 8 9 want to put this in relative --- as to what the 10 most salient points are in 2015 to prevent 11 CLABSIS, just to put that in perspective. 12 Let's vote on whether or not Okay. 13 it's suitable for endorsement. 14 MS. IBRAGIMOVA: Overall suitability 15 for endorsement: does the measure meet NOF criteria for endorsement? One yes, two no. 16 17 CO-CHAIR SEPTIMUS: Sorry, did I miss 18 you again, Richard? 19 Well, I don't think I had DR. BRILLI: 20 my card up in time. I think there's something 21 important on page ten, there's a competing 22 measure which talks about CLABSI as opposed to --

1	so I'm not sure page ten of the document
2	that's submitted, it talks about competing
3	measures. And there's a whole other measure that
4	this group has already approved, which talks
5	about CLABSI, C-L-A-B-S-I. And this is I
6	don't know if it's the exact same measure, this
7	is just C-R-B-S-I. So again, it's the same
8	I'm not quite sure what we're doing here that
9	sounds like it's completely
10	CO-CHAIR SEPTIMUS: Well. Well, I
11	think it actually was explained by Helen very
12	well that this is a process measure, other one's
13	an outcome measure.
14	DR. BRILLI: Is there no process
15	measure in the CLABSI measure?
16	CO-CHAIR SEPTIMUS: No, there's no
17	process measure, and this is at the clinician
18	level and not at the hospital level. So they're
19	different measures.
20	You want to vote again? I think we
21	need to vote again. Sorry, let's vote again.
22	MS. IBRAGIMOVA: Yes, we need four

more votes. Just one more. 1 2 CO-CHAIR SEPTIMUS: Can we tell, Laura, who hasn't voted? Did Missy vote? Okay. 3 4 MS. IBRAGIMOVA: So results are 86 5 percent yes, 14 percent no. CO-CHAIR SEPTIMUS: Well, thank you 6 7 for the developers and thank you for an excellent discussion, Charlotte. And now we're going to 8 9 move to a new measure. Are the CDC developers on 10 the phone? 11 MS. ARDIZZONE: Are we going to talk 12 about retirement, or? 13 CO-CHAIR SEPTIMUS: Retirement? 14 **Reserve status?** 15 MS. ARDIZZONE: Yes, that. Sorry. 16 DR. POLLOCK: Yes, Ed, this is Dan 17 Pollock, I'm on the phone. 18 CO-CHAIR SEPTIMUS: Yes, one second, 19 So --- since it passed gap, it doesn't go Dan. 20 into reserve. Okay. All right, so the next 21 measure -- we may have to cut our break short 22 here, but that's okay -- is a new measure from

NHSN on antimicrobial use measure from the CDC. 1 2 And I think Dan Pollock is going to be discussing it as a developer; is that correct, Dan? 3 DR. POLLOCK: That's correct. 4 The floor 5 CO-CHAIR SEPTIMUS: Okay. 6 is yours. DR. POLLOCK: Okay. 7 Thank you so much. I'm Dan Pollock. I'm a medical 8 9 epidemiologist at CDC working with colleagues on 10 the National Healthcare Safety Network, N-H-S-N. We have a proposed measure of antimicrobial use. 11 12 Antibiotic overuse or inappropriate use in U.S. 13 hospitals is a widely recognized clinical and 14 public health problem that places individual 15 patients at risk for adverse outcomes, increases 16 the incidence and prevalence of antimicrobial 17 resistance, and jeopardizes the effectiveness of 18 a vitally-important healthcare resource for the 19 general population. 20 CDC estimates that at least two 21 million people become infected with bacteria that

are resistant to antibiotics, leading to 23,000

deaths, and recommends strongly improvement of
 antibiotic prescribing as a core action to
 prevent resistance.

Numerous individual studies and
systematic reviews provide strong evidence that
measurement of antimicrobial use and data-driven
interventions by antimicrobial stewardship
programs, or ASPs, lead to more judicious use of
antibiotics, reduced antimicrobial resistance,
and other favorable healthcare outcomes.

11 So the NHSN AU measure proposal is one 12 that really seeks to provide data, benchmarks of 13 antimicrobial use at the national level for 14 stewardship programs to use in their systematic 15 efforts to guide prescribing practices. The AU 16 measure provides summary results that hospital 17 and health system ASPs can use as quantitative 18 aids. The core metric is the standardized 19 antimicrobial administration ratio, or SAAR, and 20 we focus in that measure on the ratio of observed 21 to predicted antimicrobial use.

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The SAAR is focused on high-value

targets for stewardship programs and high-level indicators of antibiotic use for ASPs. The SAARs can be used by ASPs to benchmark antimicrobial use in multiple patient care locations, identify opportunities for improvement, and gauge impact of stewardship efforts.

7 At the outset, the SAARs provide a set of signals that often warrant further analysis, 8 9 such as an evaluation of the extent to which a 10 specific antibiotic or group of antibiotics 11 accounts for a high SAAR value and the extent to 12 which an antibiotic or group of antibiotics were 13 used appropriately. The SAAR, in and of itself, 14 is not a definitive measure of appropriateness. 15 That requires additional information.

Some of the analytic follow-up can be completed with hospital and patient care location-specific data reported to CDC's National Healthcare Safety Network using analytic features built into the application. However, additional analyses to determine the appropriateness of antibiotic use in individual instances are likely

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to require access to detailed patient-level data that is beyond the scope of data collection and analysis using the NHSN antimicrobial use and 4 resistance module, such as clinical indications for specific antibiotics and dose duration decisions. Those are not part of our surveillance.

The measure relies completely on 8 9 electronic data using for the numerator, 10 medication administration data that are ascertained via electronic medication 11 12 administration record systems or barcode 13 medication administration record systems with the 14 denominator data coming from ADP systems. We've 15 worked closely with five vendors and a homegrown 16 system, as well as stewardship programs and three 17 leading healthcare systems nationwide to 18 implement, develop, and preliminarily use data 19 from the system.

20 We're proposing a measure for public 21 health surveillance for quality measurement and 22 improvement. We are decidedly not proposing this

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measure for public reporting or payment purposes 1 2 until we gain greater experience with the measure and provide additional information around the 3 4 predictive modeling, grow that predictive model 5 in ways that would allow appropriate use for public reporting and payment purposes. 6 7 With that, I'll stop. CO-CHAIR SEPTIMUS: 8 Thank you very 9 Charlotte, are you on to discuss this much, Dan. 10 one again? Boy, Charlotte, so --- Charlotte's 11 going to walk us through the data elements, Dan, 12 and then we'll take guestions from all. And 13 please stay on the line because I'm sure we'll 14 have some questions for you. Charlotte? 15 DR. POLLOCK: Sure. 16 DR. ALEXANDER: So, I think he gave a 17 pretty good description of data elements. I'm 18 going to go to the evidence. Clinical practice -19 -- this uses a clinical practice guideline, which 20 recommends prospective audit and feedback, 21 formulate a restriction, computer-based 22 surveillance to target antimicrobial

interventions, resistance patterns in nosocomial 1 2 infections, and adverse drug events. Up to 50 percent of antibiotic use is inappropriate, 3 4 leading to the development of increased drug 5 resistance, such as carbapenem-resistant Enterobacteriaceae and C. diff. 6 7 Several systematic reviews demonstrated that stewardship programs can lower 8 9 the risk of C. diff and other outcomes. They did 10 not specifically test this measure, but the 11 quality, quantity, and consistency is high. 12 CO-CHAIR SEPTIMUS: Lisa? 13 MS. MCGIFFERT: Yes. Hi, Dan, it's 14 Lisa McGiffert. I had some questions about the 15 measure, not about evidence, so much, but the 16 measure. 17 CO-CHAIR SEPTIMUS: I'm sorry. 18 MS. MCGIFFERT: Is this the time to 19 talk about it? 20 CO-CHAIR SEPTIMUS: No, we're only 21 talking about evidence. 22 MS. MCGIFFERT: Well, when will we

1

talk about the measure?

2	CO-CHAIR SEPTIMUS: As we go along.
3	MS. MCGIFFERT: Okay. What I would
4	like to know is what the SAAR, can you talk to me
5	about the SAAR a little bit more? I see that
6	it's a one is the score that people would be
7	going for, and I'm trying to figure out is one an
8	average? How did you determine that is the
9	optimal score? Can you tell us a little bit more
10	about that?
11	CO-CHAIR SEPTIMUS: Lisa, that is
12	going to be that's a reliability question.
13	So, if you can hold that thought, let's go
14	through the evidence and then, we'll get to the
15	relia that's an excellent question, but it's
16	like a SAAR, but it's for antibiotics. But let's
17	wait until we get to the reliability. Let's go
18	through the evidence first. I'm sorry to hold
19	off on that question.
20	Anything else? Yes, Pat?
21	DR. QUIGLEY: Thank you, Dr. Septimus.
22	This is Pat Quigley presenting, Dr. Pollock, and

I hope it would be acceptable in relationship to 1 the evidence to share that I have recently had 2 recent conversations with Dr. Ann Hendrich, nurse 3 4 extraordinaire of Ascension Health, and she had 5 shared that there was a recent meeting at the White House with the three major healthcare 6 7 systems in launching this leadership stewardship with CDC. And while there may be evidence 8 9 related to the work that they're doing already, 10 and these three healthcare systems are not in the 11 public domain in terms of the literature. 12 So, maybe this is part of public 13 reporting, that maybe you could do a little 14 summary of that White House Conference Aging to 15 help inform this body? Or the White House 16 Conference on -- I was at the White House 17 Conference on Aging, I'm sorry. But the White 18 House conference on this measure. 19 CO-CHAIR SEPTIMUS: You mean the White 20 House Summit on Antimicrobial Resistance that was 21 just held? 22 DR. QUIGLEY: Yes. Yes. Just held

like two weeks ago I think. 1 2 CO-CHAIR SEPTIMUS: I was at the 3 conference! 4 DR. QUIGLEY: You were there, huh? CO-CHAIR SEPTIMUS: But I'm just ---5 you said about the measure specifically? 6 7 DR. QUIGLEY: It was a White House It was a meeting at the White House. 8 meeting. 9 CO-CHAIR SEPTIMUS: About this 10 specific measure? 11 DR. QUIGLEY: Yes. Yes. 12 CO-CHAIR SEPTIMUS: I'll let Dan 13 answer that. 14 DR. POLLOCK: Well, actually, Ed, I'm 15 going to toss it back to you, because I was not 16 at the Antimicrobial Stewardship Forum that was 17 at the White House. But I understand that it was 18 a very successful meeting with many national 19 organizations stepping up, articulating their 20 commitments to stewardship efforts to reporting into the Antimicrobial Use and Resistance Module. 21 22 And we're expecting a lot of positive energy to

1 come out of that process. 2 DR. QUIGLEY: Thank you. CO-CHAIR SEPTIMUS: They didn't have 3 4 a detailed discussion about this specific 5 But certainly, in the White House measure. report that was published in March, certainly 6 7 getting facilities to report into the AU Module, was it by 2017, Dan? 8 9 DR. POLLOCK: Correct. 10 CO-CHAIR SEPTIMUS: It's certainly a 11 high priority to increase the number of 12 facilities that are already doing --- just like 13 they are for HAIs. But in terms of specific 14 measures, it's assumed that measures will come 15 forth through NQF. But actually, this particular 16 measure was not discussed at the White House 17 summit. 18 DR QUIGLEY: Okay, I apologize. 19 CO-CHAIR SEPTIMUS: That's fine. 20 DR. BURSTIN: Although, I will say 21 that we've been actually outreaching to CDC and 22 others. And given this huge interest in

antimicrobial stewardship, and how important it 1 2 is, we actually have -- we were delighted CDC was 3 willing to bring forward some of their newer 4 measures in this area, where we, frankly, have 5 none. This is actually 6 MS. MCGIFFERT: 7 included in the Administration's National Action Plan. 8 9 CO-CHAIR SEPTIMUS: Well, measures 10 like this. Yes, that's correct. 11 DR. YU: Yanling Yu. I have a 12 question about denominator and nominator. Is 13 this include -- should it include -- under 14 reliability thing? 15 CO-CHAIR SEPTIMUS: It's reliability, 16 also. 17 DR. YU: Thank you. 18 CO-CHAIR SEPTIMUS: Steve? 19 DR. LAWLESS: Yes, it is just a 20 question of reliability, or not. In the 21 numerator piece, is this antibiotic days captured 22 with a positive culture or, just --

CO-CHAIR SEPTIMUS: Hold that 1 2 question, okay? All right, so, Yanling, did you have another question? No. Okay. So let's 3 4 vote. 5 MS. IBRAGIMOVA: Importance to measure and report 1(a) evidence, structure, process, 6 7 intermediate outcome. The votes are one high, only eligible if QQC submitted; two moderate; 8 9 three low; four insufficient evidence. 10 And the results are 68 percent high, 11 23 percent moderate, 5 percent low, 5 percent 12 insufficient evidence. 13 CO-CHAIR SEPTIMUS: Gap. Charlotte? 14 DR. ALEXANDER: I'm going to make one 15 little statement to help clarify Lisa's question 16 and just as I go into gap. This measure is 17 antibiotic use reported to the CDC for adult and 18 pediatric patients compared to predicted on the 19 basis of nationally-aggregated data. SAARs 20 summarizes the observed to predicted 21 antimicrobial use for one of 16 antibacterial 22 patient-care location combinations.

If the measurement equals the SAARs,
 it's a one. If it does better than SAARs, it's
 negative. If it does more than SAARs, it's
 positive.

5 DR. POLLOCK: This is Dan. I just need to correct that. There are no negatives 6 7 here. The value of one would mean that the observed to predicted is equivalent. A value 8 9 higher than one would mean that the observed to 10 predicted is higher, the observed antimicrobial 11 use is higher than would be predicted. And, 12 similarly, if the observed is below one, it would 13 be indicative of antimicrobial use that was less 14 than predicted.

15 CO-CHAIR SEPTIMUS: So does everybody 16 know what a SAAR is before we -- just to make 17 sure everybody -- okay. And just to let you 18 know, in HAIs, it's a standard infection ratio. 19 Again, it's observed over expected based on 20 certain risk adjustments. But, Lisa, you had a 21 question about SAAR?

MS. MCGIFFERT: Okay. Dan, me again.

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So the SIR, the predicted, we know where 1 Lisa. 2 the predicted came from. Can you tell us where the predicted comes from in the SAAR, please? 3 4 DR. POLLOCK: Sure. So the predicted 5 is the days of therapy that would be predicted from the data that are available to CDC, that are 6 7 aggregated by CDC, that are used in conjunction with a predictive model to produce a summary of 8 9 what would be predicted for patient location or 10 So we have a statistical process facility-wide. 11 that's applied to the actual data that are 12 reported in. 13 To construct the SAARs, we use data 14 from over a hundred healthcare facilities that 15 were reporting from 25 states. And this is an 16 initial group of early adopters whose data were

used to develop the predictive models that

metrics, which, as I said earlier, are a

patient-care locations.

combination of antimicrobial categories with

comprise the denominators for each of the 16 SAAR

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So an example of a particular SAAR

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would be anti-MRSA agents used in adult ICU 1 2 locations: medical, medical/surgical, and That would be a single one of the 16 3 surgical. 4 SAARs. 5 MS. MCGIFFERT: And that would be based on what you would ideally want to see in 6 7 the hospitals. So you took the 100 hospitals and you looked at appropriate use. 8 9 DR. POLLOCK: Let me clarify, Lisa. 10 This is not a statement of ideal. This is not a 11 statement of appropriateness. This is a 12 statement of what would be predicted, what's 13 going on nationally for the particular patient 14 care location. 15 MS. MCGIFFERT: So let me ask you 16 this: what is going on nationally, is that a 17 good thing or a bad thing? 18 DR. POLLOCK: We need more, we need 19 more data with which to address that. And, 20 certainly, that's part of what CDC and partner 21 organizations are pursuing is to, you know, 22 ultimately drive down the overuse of

antimicrobial agents, which leads to 1 2 antimicrobial resistance and other bad outcomes. So this is an integral part of that effort, but 3 4 it is not the singular solution to driving down 5 overuse and improving the prescribing practices. However, it does provide a mechanism for the 6 7 first time to enable national benchmarks to be available to literally thousands of hospitals 8 9 throughout the country that enroll and 10 participate in the module.

11 Well, I'll just MS. MCGIFFERT: Okay. say one more thing because, you know, we've been 12 13 around this road before, about using the terms 14 "benchmarks" because when people see benchmarks 15 they see targets. That's what they want to 16 strive to achieve. And I guess a benchmark is a 17 little bit better than using the word "target." 18 But, you know, just to be clear, it's just going 19 to be an observed where everyone is, rather than 20 a goal.

21 And I know you're not suggesting this 22 for public reporting, but I sure am going to

suggest you use it for public reporting. And I
 think it's, you know, we're just going to have to
 be real clear about what, you know, what the
 measure shows us. So thanks.

5 DR. POLLOCK: Again, I agree with you, Lisa. But I think we're, we have to be clear 6 7 about it. And I think, eventually, you know, we'll be very supportive of a next iteration of 8 9 this measure for public reporting and payment 10 It reflects purposes. But it's a new measure. 11 what we had worked very closely with stewardship 12 programs on over a period of years, and we're 13 confident that this is a very important first 14 step to take. But it is just a first step.

## CO-CHAIR SEPTIMUS: Richard?

16 DR. BRILLI: Hi, Rich Brilli. Just a 17 question for the developer. Since this applies 18 to kids, is there sufficient data, predictive 19 data for children that this should apply there, 20 not that this is going to be used as a benchmark? 21 We certainly don't use it at our place, and I've 22 got a number of other pediatric people I've

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communicated with that don't use it. So do you have sufficient pediatric predictive data to use this here?

DR. POLLOCK: We believe that we do. 4 5 And I think one of the reasons why it's not used, yes, it's because it is a new metric, it is a new 6 7 measure. But we've worked closely with stewardship programs, including pediatric 8 9 stewardship programs, to develop the measure. 10 We've got a separate set of SAARs entirely for 11 pediatric patient care locations. We are 12 confident that what we are developing will be 13 relevant for both the adult and the pediatric 14 patient populations. 15 Neonates are another story. That one 16 is on the horizon, but we have a strategy for 17 bringing the neonatal population in, as well. 18 DR. BRILLI: Okay, thanks. 19 CO-CHAIR SEPTIMUS: Okay. I think 20 it's time to vote. Laura? 21 MS. IBRAGIMOVA: So importance to

measure and report 1B, performance gap, the votes

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are one high, two moderate, three low, four 1 2 insufficient. Just two more votes. Please don't count me in 3 DR. RISING: 4 Since I just arrived, I'm going to sit for now. 5 this vote out. Thank you. CO-CHAIR SEPTIMUS: We would never 6 7 discount you, Josh. MS. IBRAGIMOVA: So the results are 59 8 9 percent high, 32 percent moderate, zero percent 10 low, 9 percent insufficient. 11 CO-CHAIR SEPTIMUS: Okay. Now we get 12 to the some of the questions that some of you 13 were raising with reliability. So Charlotte? 14 DR. ALEXANDER: Under scientific 15 acceptability, the numerator is the days of 16 antimicrobial therapy for antimicrobial agents 17 administered to adult and pediatric patients in 18 medical, med/surg, and surgical wards and 19 medical, med/surg, and surgical ICUs. 20 Specific measurements are, one, broad 21 spectrum antibiotics for hospital onset multi-22 drug-resistant infections; two, broad spectrum

antibiotics for community-acquired infections; 1 2 three, anti-MRSA agents; and, four, surgical site prophylaxis agents; and, five, all antibiotics. 3 4 The denominator is days present for 5 each patient care location defined as any portion of a day in a calendar month. All days are 6 7 summed for each location and month, and the aggregate sums comprise the denominator. 8 9 Exclusions are locations other than 10 The data is stratified by those stated above. 11 hospital and patient location-specific variables, teaching status, hospital bed size, ICU status, 12 13 ICU bed size, patient care location and bed size. 14 It is risk adjusted using a negative binomial 15 regression model to find factors associated with 16 differences in use rates and to predict days of 17 therapy that can be compared to observed days. 18 SAAR is the ratio of observed to predicted 19 antimicrobial use less than, equal, or greater 20 than one. I have a concern that patient days may 21 be double-counted if you have transfers since 22 they're being counted any time a patient is in a

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location.

2	Reliability testing. This is tested
3	at the level of the facility. There were 24
4	hospitals used for the aggregate and 13 for the
5	data. These were hospitals who were reporting to
6	CDC NHSN Antimicrobial Use and Resistance Module
7	over the years 2011 to 2014.
8	On the data elements, there was a 60
9	to 80-percent reliability and greater than 99
10	percent on the process. And my only comment is
11	it is a small sample for data.
12	CO-CHAIR SEPTIMUS: Do you want to,
13	you raised a question about double counting.
14	DR. ALEXANDER: Yes. I think
15	CO-CHAIR SEPTIMUS: Dan, do you want
16	to, how you count days? Is there a
17	DR. POLLOCK: Right. So, yes, a
18	portion of a day in a patient care location where
19	an antimicrobial agent is administered counts as
20	an antimicrobial day for that patient care
21	location. So if a patient is transferred from a
22	ward location to an ICU location, for example, or

vice versa, if they're maintaining that 1 2 antimicrobial agent throughout, that antimicrobial agent administered for a portion of 3 4 the day in each of those locations would be 5 counted as an antimicrobial day in each of those locations. 6 7 CO-CHAIR SEPTIMUS: Okay. Questions? Steve? 8 9 DR. LAWLESS: Yes, this is Steve 10 Lawless. A couple of questions. One is I've 11 looked at the references that you provided, so 12 thank you for that. But there's nothing in the 13 references that would actually show anything about the data. All the references are mostly 14 15 about association with C. diff, and I get that. 16 But in terms of the general distribution of 17 SAARs, where they are, the details and the 18 numbers and the risk adjustments. We're used to 19 seeing a lot more of that data out there, 20 percentile rankings. Where is that? 21 And the other piece is --22 DR. POLLOCK: Well --

1 DR. LAWLESS: Okay, go ahead. 2 DR. POLLOCK: Well, good question. Ι mean, again, this is a new measure. 3 We've 4 provided in table three that accompanies the 5 measure proposal the SAAR distribution and statistical comparisons for each of the 16 SAAR 6 7 metrics. And, admittedly, this is the first time that the SAAR is being reported. 8 9 But, again, it's grounded on concepts 10 that have existed in the stewardship domain for 11 many years, albeit with specifications that we've 12 had to tailor to fit with NHSN and what NHSN can 13 produce so that, yes, it is novel. But you have 14 to start somewhere. 15 Right. And the next DR. LAWLESS: 16 question would be is just consider the idea of 17 positive cultures versus non-positive cultures as 18 a comparative so that overuse of antibiotics a 19 lot of times is, you know, we have this classic 20 7, 14, 21 days of antibiotic courses grounded in 21 no particular fact. But if something as positive 22 as a culture may be appropriate versus no

cultures for just overuse, are we considering
 that as you're developing that?

And the third piece is what about the outpatient? A lot of patients do come into the hospital already started on an antibiotic, and so people tend to continue the antibiotic because you just don't know what to do or not, whether it's working. Is that a consideration or not? DR. POLLOCK: So very good

10 observations and comments. I would say that the 11 presence or absence of a positive culture 12 certainly would be part of a consideration that a 13 stewardship program would ultimately incorporate 14 in evaluating a SAAR value at the patient level. 15 But we're not ascertaining the presence or 16 absence of a positive culture as part of the 17 routine surveillance effort.

We do have a companion piece to the antimicrobial use reporting, which is the antimicrobial resistance part of our AUR module, and that will provide at least some indication of the amount of bacterial culturing that's going on

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and the results that are being ascertained. But, again, that will be not at the patient level, that will be at the location and the facility level.

5 Your other question is a very good This is a starting place. The patient care 6 one. locations that we have selected can be expanded 7 out to include emergency department and other 8 9 locations, but we wanted to begin with some 10 targets that we think are important. Not to say 11 that there aren't other targets, but we want to 12 learn from these initial set of targets and then 13 propose expansion of the coverage, both in terms 14 of patient care locations and other facility 15 These are hospital participants, and the types. 16 measure is really focusing on hospital 17 antimicrobial use.

18 CO-CHAIR SEPTIMUS: Okay. One last 19 comment, and then we're going to vote. Yanling? 20 DR. YU: Yes, I have a couple of 21 questions. One is there's a reliability test, 22 the paper records were used to analyze the model

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and the numerator. But in the measure, it says only electronic records would be used. I'm just wondering if you have any source of explanation why the paper records would not be considered eventually?

DR. POLLOCK: Well, that's a good 6 7 question. I think that we, like others, want to move forward to electronic quality measurement 8 9 and using electronic supply chains of 10 information. We have in the past attempted to capture a true NHSN antimicrobial use with manual 11 12 processes and manual data entry. It's simply 13 proved to be untenable operationally. The good 14 fortune that we have is that there is rapidly-15 increased use of the electronic bedside 16 medication administration record-keeping systems. 17 So really our operational design pivots off of 18 use of those systems, extracting, transforming, 19 and loading data that have been ascertained 20 through those systems into a message that could 21 be sent to CDC. Again, that's electronic supply 22 chain of information.

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The reliability testing that we are 1 2 doing is reliability testing before the messaging begins, and it looks at the data that are put 3 4 into the message compared with the data that are 5 in native systems, be they the medication administration systems in the case of the 6 7 numerator or the admission discharge transfer systems in the case of the denominator. 8 9 Okay. Thank you for your DR. YU: 10 explanation. My second question is, in your 11 regression model, you called standard population. 12 I just wondered if you have any examples about 13 this standard population? Are those theoretical 14 ones, or do you just gather real data and then 15 start to, you know, to characterize those 16 different population? 17 DR. POLLOCK: It's the latter. Ι 18 mean, we're using real data. We're using the 19 nationally-aggregated data, and the initial 20 adoption and use of our AU reporting that we use 21 to develop a measure includes data from over 100

healthcare facilities nationwide from 28 states:

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critical access hospitals, children's hospitals, 1 2 an oncology hospital, in addition to the predominant general acute care hospitals that 3 4 participated. We've used multiple vendor systems 5 and a homegrown system. So we have, I think, quite 6 7 heterogenous participation in the AU reporting That's going to grow. 8 already. It's growing 9 considerably, even since we introduced a bit of a 10 measure proposal in April. And as we further the 11 participation in the module, we can use these 12 additional data in the modeling process. 13 DR. YU: Okay. So help me understand. 14 So SAARs really is a model --15 CO-CHAIR SEPTIMUS: It's SAAR. It's 16 SAAR, not SAARs, please. 17 DR. YU: Yes, SAAR. It's really a 18 theoretical number; is that right? DR. POLLOCK: Well, I'm not sure what 19 20 you mean by --21 DR. YU: It's optimal days compared 22 with what's observed, the ratio.

DR. POLLOCK: Well, it doesn't have to 1 2 do with optimal. It doesn't have to do with 3 It has to do with what's going on ideal. 4 nationally. It doesn't assume what's going on 5 nationally is appropriate. That's why it requires additional analysis at the institution 6 7 level to really get at questions of optimization of the antimicrobial prescribing. 8 9 Now, over time, when we had the 10 opportunity to add to our model, perhaps using 11 data from the antimicrobial resistance reporting, 12 we'll be in a better position to get closer to an 13 understanding of where, on the basis of the SAAR 14 alone, antimicrobials are being overused. 15 DR. YU: Okay. All right, thank you. 16 CO-CHAIR SEPTIMUS: Okay. We're going 17 to vote on this. We have to move fairly quickly 18 here, but I want to allow enough time because 19 this is a new measure. So let's vote on 20 reliability. 21 MS. IBRAGIMOVA: Scientific 22 acceptability of measure properties, 2A,

1	reliability. The votes are one high, two
2	moderate, three low, four insufficient.
3	CO-CHAIR SEPTIMUS: Josh, you're not
4	voting, right? Okay.
5	MS. IBRAGIMOVA: The results are 26
6	percent high, 61 percent moderate, 4 percent low,
7	and 9 percent insufficient.
8	CO-CHAIR SEPTIMUS: Validity. Any
9	major comments on validity, Charlotte?
10	DR. ALEXANDER: I think it's important
11	to point out that the evidence refers to the
12	value of a stewardship program and audit
13	feedback, not directly to reporting. Face
14	validity was done with an expert panel, and this
15	is risk adjusted with a statistical risk model.
16	CO-CHAIR SEPTIMUS: Yanling, is that
17	still up, or are you finished with it? Okay.
18	DR. YU: I apologize.
19	CO-CHAIR SEPTIMUS: No, don't
20	apologize. Okay. Let's vote.
21	MS. IBRAGIMOVA: Scientific
22	acceptability of measure properties, 2B,

1	validity. The votes are one high, two moderate,
2	three low, four insufficient. Just need one more
3	vote.
4	The results are 30 percent high, 57
5	percent moderate, 4 percent low, 9 percent
6	insufficient.
7	CO-CHAIR SEPTIMUS: Okay. We'll move
8	to feasibility.
9	DR. ALEXANDER: This measure is
10	captured through electronic data during the
11	provision of care.
12	CO-CHAIR SEPTIMUS: Yes, Leslie?
13	DR. SCHULTZ: Leslie Schultz. Dan, I
14	have a question. There are probably more
15	hospitals that are not fully e-enabled than there
16	are hospitals that are. Is there a proxy for
17	those who cannot easily get to the administration
18	data?
19	DR. POLLOCK: At this point, we think
20	that there is overwhelming movement to electronic
21	medication administration systems or barcode
22	systems. And we've got survey data that's

indicative of that. We have critical access 1 2 hospitals that are using this type of technology. So it's really ubiquitous. And rather 3 4 than introducing a manual process which we've had 5 experience in the past it leads to frustration and inability to report, we've placed a stake in 6 7 the ground here in saying we want to go electronic. And we think this is a good place to 8 9 This is, indeed, while not meeting the begin. 10 criteria of what is defined as an eMeasure, it's 11 still an electronic measure, and it's time for us 12 to move forward and move from here. 13 CO-CHAIR SEPTIMUS: Lisa? 14 MS. MCGIFFERT: Dan, this is Lisa 15 Did you say that you had a homegrown McGiffert. 16 version of electronic reporting? 17 DR. POLLOCK: Right. 18 MS. MCGIFFERT: And so does that --19 and just to follow up, does that mean that CDC, 20 that's something CDC could make available to 21 smaller hospitals that might not be able to 22 afford a private contract?

DR. POLLOCK: Well, the homegrown 1 2 system is a system that was developed and used to extract the data from an electronic system that 3 4 is commercial. So it's, in essence, analogous to 5 a third-party software system, which is what the vendors are providing. And, yes, we hear, you 6 7 know, it's possible to have a vendor system deployed for purposes of extract, transform, and 8 9 load into a message to send to CDC. But when you 10 look at the dollar amounts that are being 11 discussed, \$25,000 to \$50,000 for these types of 12 implementations, and you compare that dollar 13 amount with what IT budgets are in hospitals or 14 what's been expended societally on the meaningful 15 use program and what the return on investment, 16 the \$25,000 to \$50,000 a year for stewardship 17 efforts, we think that this is, again, a place to 18 put a stake in the ground and say this should be 19 part of the cost of doing business in American 20 healthcare.

21 CO-CHAIR SEPTIMUS: Okay. Let's vote
22 on this then, please. Feasibility.

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1	MS. IBRAGIMOVA: Feasibility. The
2	votes are one high, two moderate, three low, four
3	insufficient. The results are 22 percent high,
4	65 percent moderate, 4 percent low, 9 percent
5	insufficient.
6	CO-CHAIR SEPTIMUS: Okay. Now we get
7	to usability. Charlotte?
8	DR. ALEXANDER: This is not currently
9	a reported measure. It's a new measure, but it
10	is intended to be reported in the National
11	Healthcare Safety Network and used for internal
12	and external quality improvement and
13	benchmarking.
14	CO-CHAIR SEPTIMUS: Missy? Haven't
15	heard from you in a while.
16	MS. DANFORTH: Hi, Dan. This is Missy
17	Danforth from the Leapfrog Group. I just have a
18	quick question. I know that data is going to be
19	reported through the electronic reporting system.
20	I'm wondering, because you've acknowledged this
21	as a new measure, not an ideal measure, you're
22	still learning a lot. Is there an opportunity to

incorporate the CDC's checklist that was 1 2 developed for antimicrobial stewardship into the reporting on this measure so we can get a better 3 sense of what are the elements on that checklist 4 5 that are most tied to lower, more appropriate use, since the checklist is really expansive? 6 7 It's something that the CDC has developed and recommending that all hospitals use. Is there a 8 9 way to incorporate that into the reporting, as 10 well, so that we can get as much information as 11 possible and possibly improve on the measure 12 faster?

13 DR. POLLOCK: That's a good question. 14 I think that the way -- and we certainly have 15 that in our sights, as well, but not so much to 16 incorporate it into the measure but to use the 17 measure results for antimicrobial use reporting, 18 as well as the survey results of what elements of 19 the stewardship program are present and reported 20 by a facility through a NHSN annual survey. We 21 can use those in conjunction with each other in 22 analyzing the relationship, and that certainly is

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part of our strategy going forward.

2	DR. BURSTIN: And just to add this on,
3	we've also had conversations with Arjun at CDC
4	about that. And I think there may be an
5	opportunity as they get back the survey data this
6	year from 4,000 hospitals or something like that
7	to think about how to build that into a measure
8	to follow.
9	DR. POLLOCK: That's right, Helen.
10	That's exactly right.
11	CO-CHAIR SEPTIMUS: There's been some
12	discussion about a process measure built around
13	that. Also, the TATFAR report, Transatlantic
14	Taskforce on Antimicrobial Resistance, will
15	release its structure and process measures any
16	moment, right, Dan?
17	DR. POLLOCK: Let's hope so.
18	CO-CHAIR SEPTIMUS: It's been
19	approved, but that will also be out there. But
20	we have discussed looking at process measures as
21	another potential measure for stewardship.
22	Leslie?

1	DR. SCHULTZ: Leslie Schultz. A
2	comment here. It is a new measure. I think it's
3	going to be a wonderfully-helpful measure. We
4	need something standardized that's endorsed so we
5	can grow that hundred to forty-five hundred and
6	really understand what is going on. What are we
7	using? We can't talk about overutilization until
8	we see what that distribution is. And having
9	done work with CDC on potentially inappropriate
10	overutilization of intravenous antibiotics, I
11	think this is very important. We as a nation
12	need to measure so we can manage.
13	CO-CHAIR SEPTIMUS: Steve? One last
14	question?
15	DR. LAWLESS: Yes, just a question of
16	NQF processing protocol. I think everybody is
17	realizing the importance of this, the value long-
18	term. It's something that really has a lot of
19	development to be done. I mean, the value is
20	there. As we endorse this, it's lots of measures
21	that need to be done, future success of
22	everything is going to be independent. Our

endorsement will do what for this measure, versus 1 2 they would develop it and come back later with a more mature, guys, we have something we proudly 3 4 endorse versus we don't know where it's going. I think that's a great 5 DR. BURSTIN: This is Helen for Dan on the phone. 6 question. Ι 7 think our feeling is that we want to get something out in a space. It's really important, 8 9 it's a national priority, and, frankly, we don't 10 feel like there's enough there. I think putting 11 it out in a space makes it clear this is 12 important in the measurement enterprise. 13 Hopefully, people begin using it. And, again, as 14 you've seen, it's not as if when we endorse 15 something it stops the development train. Τ 16 think there's a lot of momentum here to keep 17 making new measures, make them better. It also 18 potentially makes it something that could be 19 picked up as part of some of the federal 20 infrastructure around payment or public 21 reporting, not immediately but at least puts it 22 on their radar screen perhaps, I think, in a way

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1	that it may not be if it doesn't kind of go
2	through this national process.
3	CO-CHAIR SEPTIMUS: That's a very good
4	question, Steve. Okay. One more question and
5	then we've got to go ahead, Lisa.
6	MS. MCGIFFERT: Okay. I just would
7	say that the history with NHSN and CDC has
8	certainly been that when these measures have
9	first been put out, even when they first started
10	collecting infection information, it has
11	significantly changed over time through input
12	from the users and looking at the data. And I
13	expect we'll see a lot of that in the future,
14	too.
15	CO-CHAIR SEPTIMUS: Okay. Let's vote
16	on usability, please.
17	MS. IBRAGIMOVA: Usability and use.
18	The votes are one high, two moderate, three low,
19	four insufficient information. Just two more
20	votes. The results are 39 percent high, 48
21	percent moderate, 4 percent low, 9 percent
22	insufficient information.

1 CO-CHAIR SEPTIMUS: Okay. So the last 2 vote then is suitability for endorsement. So it's either yes or no. 3 4 MS. IBRAGIMOVA: So overall 5 suitability for endorsement, does the measure meet NQF criteria for endorsement? One yes, two 6 7 no. CO-CHAIR SEPTIMUS: 8 One more. 9 MS. IBRAGIMOVA: So the results are 91 10 percent yes, 9 percent no. 11 CO-CHAIR SEPTIMUS: Thank you, Dan, 12 for being on the phone. This is a historic first 13 step, I think, to addressing antimicrobial 14 resistance, and we certainly expect over time 15 that we'll see other measures and refinement as 16 more institutions report in to the AU Module. So 17 thank you for all the effort it took in bringing 18 this forward and thank the Committee for 19 considering this. So thank you very much, Dan. 20 DR. POLLOCK: Thank you and thanks to 21 the Committee. 22 DR. BURSTIN: Thanks, Dan.

1 CO-CHAIR SEPTIMUS: When I get the 2 TATFAR report, I'm more than willing to share. Ι have it on my computer, but it has not been 3 4 publicly released. I'm sorry. I can't do it. The next on our agenda is 2729, 5 Okay. timely evaluation of high-risk individuals in the 6 7 emergency department. CMS and Mathematica -- I am going to recuse myself from voting on this 8 9 one, so if you see 23 votes, Laura, it means I 10 shouldn't have voted. But I'm not going to vote 11 on this because I was one of the consultants of 12 the development of the measure. Tom, are you 13 going to do this? Cindy, okay. So if you'll 14 introduce yourself to the Committee, and the 15 discussant on this is, oh, Kendall. Thank you. 16 Go for it. 17 MS. CULLEN: Great, thanks. Good 18 morning. My name is Cindy Cullen. I'm the 19 project director for the CMS Hospital Inpatient 20 and Outpatient Process and Structural Measure 21 Development and Maintenance Project at

22 Mathematica Policy Research. With me today is

our project clinical lead and principal
 investigator, Dr. Tom Croghan.

Our project is tasked with developing 3 and maintaining clinical quality measures 4 5 supporting five of CMS's hospital quality reporting programs. As indicated in our project 6 7 title, our focus is limited to development and maintenance of process and structural measures 8 9 that define quality care for inpatient, 10 outpatient, ambulatory surgical center, and 11 cancer hospital patients. We also develop and maintain the electronic clinical quality 12 13 measures, or eCQMs, for the hospital side of the 14 meaningful use program.

15 The two measures we present and will 16 be discussed today were developed by another CMS 17 contractor, FMQAI. FMQAI's contract term ended 18 prior to the initiation of this consensus 19 development project. They had completed all 20 measure development and testing and developed the initial drafts of the submission documents you 21 22 reviewed.

We are here today representing CMS's interests in the development and, hopefully, endorsement of these measures. We want to acknowledge and thank FMQAI for their work and their generosity in helping us to understand this work and prepare for this presentation.

7 We do understand that the Committee 8 may have questions about the measures that we are 9 not able to answer as a result of our not being 10 the original developer. We will note these 11 questions, and we'll work with CMS and FMQAI to 12 obtain answers for you to help inform your 13 decision-making.

14 The measure under consideration now, 15 timely evaluation of high-risk individuals in the 16 emergency department, looks at the median time 17 from ED arrival to qualified provider evaluation 18 for patients triaged at the two highest severity 19 levels on a five-level triage scale and addresses 20 an important patient safety issue. This is an 21 electronic measure data-sourced from the EHR. 22 Recent reports indicate that mean ED

wait times are rising. This is seen most 1 2 critically for patients triaged at the two highest triage levels. Although waiting longer, 3 4 patients triaged at the three lowest levels are, 5 on average, obtaining care within the time frames recommended by the National Center for Health 6 7 Statistics. Those at the highest two levels, 8 though, are not. 9 In 2009, estimated mean wait times for 10 those triaged at the highest or immediate level 11 were 29 minutes, while recommended wait time is 12 less than one minute. At the next highest level, 13 emergent, the estimated mean wait times were 51 14 minutes while recommended wait times were between 15 1 and 14 minutes. Delay puts patients, especially those in most need of immediate 16 attention and care, at risk. 17 18 FMOAI undertook extensive field 19 testing at seven geographically and 20 characteristically diverse hospitals. 21 Reliability tests indicated ability to 22 distinguish that the performance of at least one

hospital was statistically different from that of 1 2 other hospitals. Construct validity tests identified cases where outcomes could have 3 4 improved if care had not been delayed. Feasibility testing demonstrated that 5 all data elements were found to be available in 6 the EHR systems and used by the hospitals, which 7 included Epic, Cerner, and McKesson products. 8 9 Criterion validity tests showed strong agreement 10 between electronic and manual abstraction for two 11 of the three data elements, ED arrival time and 12 triage score, but less so for first provider 13 contact time. These findings were reviewed by 14 FMQAI's TEP and hospitals who acknowledged the 15 challenge to accurately record this in the EHR 16 but also noted an interest in increasing accuracy 17 for their own quality improvement purposes. 18 This measure is not yet in a CMS 19 program but has been reviewed and approved for 20 continued development by the MAP for hospital 21 inpatient quality reporting and the meaningful

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CMS has six other median time

use programs.

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measures in the hospital inpatient and hospital 1 2 outpatient quality reporting programs. OP-20 is the closest similar measure, door-to-diagnostic 3 4 evaluation by qualified medical personnel, but it 5 reports median time to provider contact is chart abstracted and does not look at the severity 6 7 level of the patient. We thank you for your consideration 8 9 and look forward to your review. 10 CO-CHAIR THRAEN: Kendall? 11 DR. WEBB: Okay. So I'm not going to 12 repeat the introduction of the measure. I think 13 it was adequately introduced. I'll go straight 14 into evidence, if everybody is okay with that. 15 This is a process measure. There was 16 a systematic review and QQC presented. I believe 17 when I used the algorithm, actually, I came up 18 with a high value for this. 19 To go over it just a little bit, being 20 an ED doc and also an IT, this is probably

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perfect, actually, for me. Certainly, if you go

over the data, you definitely want to triage your

highest-priority patients first. ESI is a triage 1 2 system used in a lot of places but not everywhere, used mostly in larger places. 3 And, 4 actually, one of the things I'm going to want to 5 talk about later is that it can actually be an onerous construct on some of the smaller EDs. 6 7 The ESI level-1 is basically the patient comes in dying or dead and you need to 8 9 take care of them right away, and ESI 2 is 10 they're not quite to that point but they'll get 11 there if you don't take care of them right away, 12 and that's why the 1-minute and 14-minute wait 13 times are named here. 14 This does affect a large number of 15 patients. It says here 130 million in 2010 was the number. And there are, you know, multiple 16 17 studies to talk about, you know, getting to the 18 patient faster is going to improve outcomes. 19 So this is in alignment with national 20 priorities. This is electronically kept and can 21 be gotten from there. 22 So just from an evidence perspective,

personally, when I looked through the algorithm, 1 2 I thought the evidence was actually pretty high. CO-CHAIR THRAEN: I have one 3 4 clarification question. I thought I heard you 5 say this was an eMeasure but in the documentation it says it is not. Could you clarify? 6 7 MS. CULLEN: It is an eMeasure --CO-CHAIR THRAEN: It is an eMeasure. 8 9 MS. CULLEN: -- and electronic measure 10 specifications were submitted. 11 Okay. CO-CHAIR THRAEN: So the 12 documentation is a bit long. Jason, did you want 13 to make any comments about, as an eMeasure, 14 related to the evidence, is there anything? 15 MR. GOLDWATER: No, there's nothing on 16 evidence. Their form was complete, and it's 17 succinct. 18 CO-CHAIR THRAEN: Okay. Go ahead. 19 Richard? 20 DR. BRILLI: I guess I just have one 21 concern about the use of mean wait times for 22 something like this. So mean wait times, even

median wait times but in particular mean wait 1 2 times are highly subject to outliers. So you could have 99 patients who wait one minute and 3 4 you have one patient who waits four and a half 5 hours, and the meantime is totally skewed. So, to me, the measure ought to be percent of 6 7 patients who achieve the goal. So if the goal is one minute or the goal is 14 minutes or whatever 8 9 it ought to be, you want 99 percent or 90 percent 10 of the patients or even 100 percent of the 11 patients to achieve that goal. 12 I think mean wait times are very, it's 13 a very bad measure to really figure out 14 It's what a lot of people do, but performance. 15 you really want the percent of patients who 16 achieve the goal so that you take the outlier issue out of it in terms of time. 17 18 CO-CHAIR THRAEN: Any response? 19 This measure is a median MS. CULLEN: 20 wait time, not a mean wait time. 21 DR. BRILLI: You have the same, you 22 have the same issue, though. If you don't talk

about the number of patients who achieve the
 goal, you're still going to be affected by those,
 you know, the patients who have an excessive wait
 time.

5 DR. WEBB: So I have to tell you, as 6 an ED doc, you're going to start out with this 7 measure with a zero percent and you're not going 8 to have any way to do any comparisons at a lot of 9 hospitals because we're not meeting this measure 10 right now. The vast majority of us are not 11 meeting this measure right now.

12DR. BRILLI: I get it. All I'm saying13is that it's just going to be highly susceptible.

14DR. WEBB: I understand. Yes, I15understand.

16 CO-CHAIR SEPTIMUS: The percentage of 17 patients who met that goal was about 25 percent, 18 so it's not actually zero. And there is a 19 correlation with the median wait time. 20 DR. ALEXANDER: For ED docs, 25 21 percent feels like zero.

DR. WEBB: And the number of hospitals

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that were done where it looked at were seven hospitals, and none of them had a volume greater than 19,000.

4 DR. BRILLI: And just because it's not 5 being done now or it's not -- it doesn't mean we should continue to perpetuate a measure that I 6 7 think could be better if we used percentage to achieve as opposed to either median or mean wait 8 9 This is an opportunity to change it. times. 10 CO-CHAIR THRAEN: So I think we need 11 to go to Lisa and then Jason and then Josh. 12 MS. MCGIFFERT: Just a guick guestion 13 on if it's an eMeasure, is the data collected 14 automatically when somebody checks in and then 15 when they get assessed, or how does that work? 16 MS. CULLEN: That depends upon the 17 electronic record system. What was done in the 18 testing was to compare what was recorded in the 19 electronic record with someone going in and doing 20 a manual abstraction to see if there were other 21 keys that would identify that there might be 22 potential other locations for that. And there

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seemed to be, in some cases, good agreement, in 1 2 some cases not good agreement. CO-CHAIR THRAEN: Jason? 3 4 DR. ADELMAN: I recently had the 5 opportunity to review a project that was proposing to have an improved system for 6 7 classifying these different stages of emergency room triage, and the premise was that the current 8 9 system was just often inaccurate with lots of 10 variability. And so I'm not an expert in it. 11 I'm just reflecting what I read. 12 But if that were true, then this 13 measure, you know, will reflect the accuracy of 14 triage, as opposed to the time, or it's capturing 15 time of something that's very inaccurate. So I wonder if the developers and those who know more 16 17 about this can comment on that. 18 CO-CHAIR THRAEN: Okay. That's a 19 reliability question. Let's hold on that one. 20 Is there any other questions related to the 21 evidence? Go ahead, Josh and then Lillee. 22 DR. RISING: Hi. Josh Rising. I just want to respond, you know, to the percent versus trying to use the mean versus median. I mean, I think the goal is to see all these patients as quickly as possible, not just necessarily, you know, within a 14-minute kind of window. So I do think kind of having the specific time, there is some value in that.

I guess one question that I did have, 8 9 though, is that, you know, the data shows that, 10 you know, there's a lot of large hospitals and 11 presumably even more smaller hospitals that 12 aren't using the ESI kind of scoring system at 13 all. So I was curious how could the developers 14 and others talk about, you know, the value of 15 this measure if it's not even going to be able to 16 be applied at a large number of facilities in the 17 country?

DR. CROGHAN: The important concept with ESI is that it has five categories, and that's the valid reliable way and appropriate way of triage patients. The comparison is a threelevel measure, and some of the ER docs in the

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room may know this better. But those are not as 1 2 reliable, and so there is room in this measure to use an alternative other than the ESI. 3 4 DR. RISING: Is the alternative system 5 used at all the hospitals that aren't using the five-tier system? 6 7 DR. CROGHAN: I think most of the --8 say again. 9 DR. RISING: So you said that, I said 10 that it looks like there's a lot of hospitals 11 that aren't using the five scoring system, and 12 you said, well, an alternative is there's this 13 other three-level system. But I wanted to know 14 if the other hospitals then are using this 15 alternative system. 16 DR. CROGHAN: I believe most of them I'm going to look at Dr. Pines here. 17 are. 18 DR. PINES: Sure. So if you look 19 across all hospitals, ESI is the most commonly 20 used system. There are -- ESI is a resource-21 based system based on how many, how many 22 resources a patient is going to need to be cared

for in the emergency department, and on the 1 2 higher end it's about severity of illness. So ESI has shown to be more reliable than when you 3 4 look at two nurses looking at the same patient 5 comparing ESI versus some sort of time-based triage, you know, does this person need to be 6 7 seen in 15 minutes, 30 minutes, ESI tends to be much better than that in terms of reliability. 8 9 So it is the most reliable and most used measure 10 but not used everywhere.

DR. CROGHAN: I think the correlation between the three- and the five-category systems, the five-category system is much more predictive of eventual hospitalization and mortality relative to the three-category system, as well. So it is, you know, implicitly, you're sort of driving people to use a five-categoric system.

DR. PINES: Yes, I recognize it sounds like it's the right system to you. It's just a challenge if there's a ton of facilities that aren't using it currently.

CO-CHAIR THRAEN: And I think that

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speaks to usability. Again, we're at the 1 2 evidence state. So if the cards that are up, do you have questions regarding the state of the 3 4 evidence? 5 MS. ARDIZZONE: This is -- I just wanted to support the evidence. 6 I'm saying that 7 the evidence is extremely clear that this is beneficial, helpful. And, remember, they're just 8 9 looking at ES-1s and 2s, so we're looking at the 10 most critical patients, making sure that we're 11 meeting the target for them. 12 CO-CHAIR THRAEN: Steve? 13 DR. LAWLESS: Yes, and the evidence, just a clarification of the evidence. 14 In the 15 justification of where the outcomes improve, the 16 numbers that were used were cited, you know. 17 Going from 77 minutes to 66 minutes were 18 dramatic. The data that shows where we currently 19 are with, you know, the 95th percentile is in the 20 12- to 14- to 16-minute range. So is the 21 evidence matching to what you actually surveyed 22 in the hospitals to what the literature says

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where the improvement can be?

2	DR. CROGHAN: I'm not sure that, I
3	mean, the seven hospitals were chosen
4	conveniently because they had good data. And my
5	guess is that it's not a representative sample
6	and probably wouldn't reflect the national
7	surveys done by CDC.
8	DR. LAWLESS: Right. So I'm asking
9	I got that. I mean, they didn't have the 77
10	minutes waiting for the ES-1. But would you see,
11	does the evidence support that, at the level
12	where these hospitals are, at a lower level where
13	these seven were randomly selected would have the
14	same improvement and outcome?
15	DR. CROGHAN: I'm just going to make
16	a point of order. Is that a validity question?
17	CO-CHAIR THRAEN: Steve, re-state it.
18	DR. LAWLESS: Is the evidence you're
19	showing for justification that this is, does the
20	evidence show that, at the level where you
21	surveyed your hospitals, you would have the same
22	outcome impact versus

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1	DR. CROGHAN: So if you're at 20
2	minutes or 14 minutes or whatever, you know, by
3	implementing this, would you improve care?
4	DR. LAWLESS: Right.
5	CO-CHAIR THRAEN: Yes, that's a
6	generalization question, so, yes, I think that
7	falls into validity. Sorry. You'll have to
8	answer it anyway.
9	DR. CROGHAN: I have to answer it.
10	CO-CHAIR THRAEN: Yes, but we'll give
11	you a minute to think about it. Let's vote on
12	the evidence.
13	MS. GELINAS: I just want quick
14	yes/no. In the evidence, were there studies
15	about the competency of the nurse being able to
16	perform triage?
17	DR. CROGHAN: Yes.
18	MS. GELINAS: Because we found the
19	same thing.
20	CO-CHAIR THRAEN: He answered yes.
21	MS. GELINAS: And it is a huge
22	problem. We just standardized from a number of

ESI levels to a five-level system across multiple 1 2 hospitals, multiple states. It's not easy. We have Cerner and Epic. It is not easy. Did the 3 4 risk or adverse event landscape in your work in 5 the past indicate through the evidence that, by applying the consistent levels and consistently 6 7 measuring the adverse event and sentinel event, 8 processes were improved? 9 DR. CROGHAN: We may have to get back 10 to you on that one. 11 MS. GELINAS: Because I can help provide some of that, but I wanted to know if 12 13 it's in the evidence base. 14 DR. CROGHAN: I'm not 100-percent sure 15 I understand your question but --16 CO-CHAIR THRAEN: Can we vote on the 17 evidence? 18 MS. IBRAGIMOVA: Importance to measure 19 and report, 1A, evidence structure, process, 20 intermediate outcome. The votes are one high, 21 only eligible if QQC submitted; two moderate; 22 three low; four insufficient evidence.

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1 CO-CHAIR THRAEN: Okay. We're missing 2 a couple. We only have 19. We need one more. Victoria stepped out, okay. 3 We're good. MS. IBRAGIMOVA: So the results are 40 4 5 percent high, 55 percent moderate, 5 percent low, zero percent insufficient evidence. 6 7 CO-CHAIR THRAEN: Okay. Kendall, 8 performance gap? 9 DR. WEBB: All right. So opportunity 10 for improvement. I think we all see that there 11 is opportunity for improvement here. As far as 12 there were definitely, in these seven hospitals 13 that they looked at there was definitely a wide 14 variety of average minutes to get to the ES-1 and 15 ES-2 levels. Again, I would note that the sample size was a convenient sample. And 19,000 visits 16 17 per year is a small hospital for most. So 18 whether it's generalizable to all hospitals 19 nationwide is unknown, although I would actually 20 think you would even, personally, I would think 21 you would get a bigger gap if you looked at the 22 large hospitals.

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There was also some age and race 1 2 disparity noted in some of the evidence. So as far as opportunity for improvement, I would say 3 4 absolutely there's opportunity for improvement 5 here. CO-CHAIR THRAEN: Charlotte? 6 7 DR. ALEXANDER: I would like to make a plea to add language to your race disparity 8 9 data. 10 CO-CHAIR THRAEN: Any other discussion 11 or questions? Let's vote. 12 MS. IBRAGIMOVA: Importance to measure 13 and report, 1B, performance gap, the votes are 14 one high, two moderate, three low, four 15 insufficient. 16 MS. THEBERGE: Ann, we need your vote. 17 MS. IBRAGIMOVA: The results are 64 18 percent high, 36 percent moderate, zero percent low, zero percent insufficient. 19 20 CO-CHAIR THRAEN: Reliability? 21 DR. WEBB: Reliability -- all right, 22 so for reliability, this is where we get into a

little bit of issue, or a lot of issue as far as I'm concerned.

It's noted by me and it was noted by the pre-committee members that there was poor agreement for the time to provider evaluated by the patient and what was documented in the chart. So - right, so what is that time point? And I think we're struggling with that nationwide actually.

10 Anecdotally, I can tell you that when 11 they looked at their seven hospitals, they had one hospital that basically met these criteria 12 13 and six that did not, and most likely that one 14 that did had a practitioner out in the triage 15 area would be the most likely cause for that, and 16 the six that didn't did not have that 17 practitioner out there.

18 That's not going to be a feasible 19 model for a lot of hospitals for a lot of reasons 20 to have a practitioner out in triage. But as far 21 as meeting it, meeting a one-minute mark for ESI-22 1, otherwise it's going to be very difficult,

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especially in the age of the electronic medical record.

So unless the doctor happens to be 3 4 standing where the patient comes in the door, 5 you're not going to meet that measure, or the PA or whatever, but you're not going to have a PA 6 standing there to meet a patient who is coding. 7 Anecdotally, this has been a big issue 8 9 in my hospital as well. We have instituted a 10 thing called an MEI which is where we document 11 what time we saw the patient, but it's really an 12 attestation, right? 13 And a lot of -- I work in three 14 hospitals, and all three hospitals have this 15 where you go back and you say, I saw this patient 16 at this time, right? It's an extra step you have 17 to take. It's an extra page you have to fill 18 out, but the medical record creates this 19 artificial time line, right? 20 So if a patient comes in coding, I'm 21 not going to click a button that says, okay, I'm 22 seeing this patient now. I'm going to go see the

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patient and then I'm going to go back and say, I
 saw this patient at this time.

And frankly, my MEIs, because of the way our process works and because I do go stand out in triage, I see the patient before the triage nurse gets done, and so my MEI actually occurs before arrival time.

8 So I see the patient before the 9 patient even arrives in the ED, sometimes by up 10 to 10 minutes. So I have a lot of concern about 11 the data point, frankly --- anecdotally and as 12 it's described in this documentation.

13 CO-CHAIR THRAEN: Questions? DR. ADELMAN: 14 I had asked about just 15 the reliability of the triage system itself, not 16 the time so much. And as I was waiting, I was 17 doing a literature search and found that, you 18 know, some articles that question it, because I 19 read it in a proposal, but I don't have the 20 references readily available.

But I thought maybe some of the
experts would know because if the accuracy of the

measurement might not be as much about the time 1 2 and the time intervals, but if too many, you know, threes are classified as fours and fours 3 4 are classified as threes, that would undermine 5 the reliability of the measure, so maybe you can respond to that. 6 7 CO-CHAIR THRAEN: But I think Laura's point that she made earlier, that it's really 8 9 focused on the ones and the twos. Is that 10 correct? Did I understand that correctly? 11 So then if twos are DR. ADELMAN: 12 confused as threes, and threes are confused as 13 twos. 14 CO-CHAIR THRAEN: Okay, Kendall? 15 DR. WEBB: And frequently twos change 16 to threes or threes change to twos or ones --17 CO-CHAIR THRAEN: Sure. 18 -- as part of the process. DR. WEBB: 19 CO-CHAIR THRAEN: Sure, any other 20 questions before we vote? Let's vote. 21 MS. IBRAGIMOVA: Scientific 22 acceptability of measure properties 2a

reliability, the votes are one high, two 1 2 moderate, three low, four insufficient. CO-CHAIR THRAEN: 3 Does not pass. 4 MS. IBRAGIMOVA: So the results are 5 zero percent high, 23 percent moderate, 59 percent low, 18 percent insufficient. 6 7 CO-CHAIR THRAEN: Is that it? Okay, 8 sorry. So this does not pass the reliability 9 test. 10 CO-CHAIR SEPTIMUS: Thank you very 11 much. Thank you. The next measure is 0687, 12 percent of residents. And I'm sorry we're going 13 to go through the break. If there are those of 14 you who need to take a break, just please do, but 15 we're almost back on schedule here. 16 0687, percent of residents who are 17 physically restrained, long stay, CMS. So we 18 have the developers who I know are here. They 19 were just dying to come back today. We have a 20 new person though. So, do you want to -- or do 21 you want to introduce yourself? 22 DR. SMITH: Good morning, I'm Laura

Smith from RTI. I am the nursing home lead on 1 2 the CMS symptom management contract, and Nate Breg is with me today, and he will be doing the 3 introduction for this measure. 4 Thank you. The physical 5 MR. BREG: restraint of nursing facility residents is a 6 7 safety concern discouraged by clinical experts and its prevention is a CMS priority. 8 9 For years, this MDS-based quality 10 measure has reported to residents and families the rates of residents physically restrained, 11 12 promoting patient safety. 13 The assessment items that determine 14 this measure are valid and reliable, and the 15 measure itself differentiates between facilities, 16 and is stable and valid. 17 The measure shows low prevalence of 18 restraint used, but it is important to maintain 19 this measure to continue to discourage the 20 practice, and to close racial and ethnic 21 disparities. 22 The measure reports the percentage of all long stay residents who are physically
 restrained daily during the seven days prior to
 the target MDS 3.0 assessment during their
 episode of nursing home care ending in the target
 quarter.

6 This measure addresses a CMS quality 7 strategy priority. Use of physical restraints is 8 associated with adverse physical and mental 9 health outcomes.

10 The prevalence of physical restraint 11 use is low and falling. The mean facility levels 12 for this measure were 1.2 percent in quarter two 13 2014 and the median was zero. Two-thirds of 14 facilities have perfect scores of zero.

The mean scores had decreased since quarter one 2011, which indicates continued quality improvement. Overall, studies show the measure and its items are reliable. Prior studies show that the restraints' items have good inter-rater reliability.

21 The quality measure itself is stable 22 and has a noise-to-signal ratio of about 84

Sixty-six percent of facilities have 1 percent. 2 scores that differ from the mean, so high and low quality facilities can be distinguished using 3 4 this measure. There is good reason to believe this 5 measure is valid. Less than 0.1 percent of all 6 7 long stay episodes had missing data on restraint or related items. The quality measure shows no 8 9 seasonality. This measure has strong face 10 validity as it captures use of devices, clinical 11 guidelines, and experts recognize as dangerous to 12 nursing home residents. 13 This measure has been providing 14 patients and their families with valuable 15 information about the safety of nursing 16 facilities through the CMS Nursing Home Compare 17 website and the CMS five star rating system. 18 With quality measures on chemical 19 restraints publicly reported by CMS, both 20 physical and chemical restraints are discouraged. 21 One-third of nursing facilities have some rate of 22 daily restraint use, and there is evidence of

differences in restraint use across races and
 ethnicities of residents.

Furthermore, with the rates of falls 3 4 among residents being publicly reported, it is 5 important to report restraint use in order to discourage this as an anti-fall strategy. 6 This quality measure remains important to keeping 7 nursing facility residents safe. 8 9 CO-CHAIR SEPTIMUS: Okay, Kim, are you 10 on the line? You're still on the line I hope, 11 Kim. 12 DR. APPLEGATE: Yes, I am. 13 CO-CHAIR SEPTIMUS: Okay, Kim is the 14 discussant and we'll go through the evidence, 15 Kim. 16 DR. APPLEGATE: Okay, so this is 67 17 percent of residents who are physically 18 restrained in long stay. Please note that the 19 measure is complementary, so it's related but not 20 competing with a couple of other measures, 21 including an acute stay measure and also a 22 related measure that captures a subset of

restraint measures, which I think is 1 2 complementary but not competing. So this measure reports the percentage 3 4 of all long stay residents, defined as 101 day or 5 longer stays, who were physically restrained daily during the seven days prior to the target 6 of the Minimum Data Set 3.0 assessment. 7 So this is required data captured, and 8 9 these are quarterly measures over a three-month 10 period and at nursing facilities around the U.S. 11 I agree with the developers and thank 12 them for the work they have done to look at trend 13 data, and I think this is a process measure and 14 so it has both the important positives but also 15 some of the limitations of a process measure. 16 But I think that the evidence is 17 strong in what they've shown of the value of 18 continuing this measure. They've done an 19 excellent summary of literature. 20 What I would ask is if the developers 21 would consider a table of the many factors that 22 they have summarized in the document of the

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factors associated with increased and decreased restraint use rather than having summaries of the literature.

Because I found it fascinating, but it was very difficult to capture all of the factors, not consult but associations between increased and decreased restraint use that becomes somewhat circular in understanding whether they were truly associated or confounding.

10 You know, for example, some of the 11 factors may be indirectly related to the 12 increased or decreased restraint use and may be 13 simply socioeconomic factors. So basically, I 14 would summarize saying that this is a very, very 15 important process measure to continue using it.

17 CO-CHAIR SEPTIMUS: No, we're just 18 going to talk about the evidence, Kim, thank you. 19 Yanling?

20 DR. YU: Thank you, Yanling Yu. I 21 just have a question to the developer. Have you 22 compared the evidence of chemical restraint

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Should I stop there or continue?

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versus physical restraint in any of those long 1 2 term care facilities, because my understanding is the chemical restraint is pretty prevalent. 3 The use of chemical 4 MR. BREG: 5 restraints is more prevalent, but as part of the review of this measure, we didn't compare 6 7 literature finding relationships between antipsychotics and health outcomes and comparing 8 9 those relationships to those between physical 10 restraints and health outcomes. We didn't compare the relative health outcomes of those two 11 12 different types of restraints. 13 DR. YU: So do you have a plan in the 14 future that would consider that, to monitor those 15 types of restraints, because those can have a 16 very serious side effect, you know, on the 17 elderly? 18 DR. SMITH: So there is a paper that 19 came out within the last year or two by Tamara

Konetzka which looks at trends over time for
physical restraints and over the same time period
for chemical restraints, and you do see with the

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advent of physical restraints being publicly
 reported that the rates of antipsychotics have
 been going up.

4 I can't remember, has there been a 5 follow-up? So CMS is now publicly reporting two different chemical restraints, antipsychotic 6 7 measures. I'm not sure that someone has done a look at kind of whether now we're seeing the 8 9 trend lines do something different, but it is 10 certainly something that we're aware of.

11 And when Tara McMullen was here 12 yesterday from CMS, we have been talking a little 13 bit about looking at some things like that and 14 the interrelationships between those measures.

## CO-CHAIR SEPTIMUS: Theresa?

MS. EDELSTEIN: Thanks, I just want to comment also on the same question. CMS has had a national initiative going on for the last couple of years to reduce the use of antipsychotic medications in nursing home residents, particularly those who have dementia, and the trends are going down.

The goal was achieved over the first 1 2 few years to achieve an overall national 15 percent reduction in the use of antipsychotic 3 4 medications in nursing home residents, and a new 5 goal was set for an additional 25 percent reduction over, I think it's the next two or 6 7 three years. So there's good recognition that 8 9 lowering physical restraint use had increased 10 antipsychotic medication use and is now being 11 addressed nationally, so there is progress being 12 made. 13 CO-CHAIR SEPTIMUS: That's an 14 excellent point. Kim, was that you on the phone? 15 You were going to comment? 16 DR. APPLEGATE: Yes, I sort of raised 17 my hand on the chat. Yes, I wanted to say that 18 the developers were aware of that and they did 19 talk about the CMS initiative and also the 20 association with chemical restraints, and the 21 increased use of the antipsychotic medication in 22 the elderly associated with decreased use of

restraints, and the potential unintended
 consequences of, you know, the public reporting
 of the use of restraints.

4 So there is some data on that, and 5 some of the references were included in this And I think that it's very important to 6 report. 7 also understand that there is a black box warning by the FDA for all antipsychotic medications 8 9 because of the risk of arrhythmic deaths in the 10 geriatric population, so there is an 11 understanding that there is a downside to the 12 chemical restraints.

CO-CHAIR SEPTIMUS: Pat?

DR. APPLEGATE: And I also -- if that's not something that the developers did discuss about the -- they have no detail about the serious adverse effects of the antipsychotic medications.

CO-CHAIR SEPTIMUS: Pat?

20 DR. QUIGLEY: Thank you, Pat Quigley. 21 And I'd like to speak in support of keeping this 22 a very clear indicator specific to physical

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There is also an NQF measure for 1 restraints. 2 physical restraint reduction in acute care. And the physical restraint issue is 3 really a balancing measure in relationship to 4 5 falls, because when we know there's been a long history or documented evidence that there is a 6 negative correlation, when you saw the fall rates 7 8 going down the restraint rates were going up, and 9 it was physical restraints and restraint 10 mobility. The issue with chemical restraints is 11 an issue of validity, being able to describe are 12 13 we providing some of these medications to be able 14 to indeed manage very difficult behavior versus 15 limit mobility? And this is really focused on 16 limiting mobility, this issue of restraints. 17 So I'd like to thank the presenter and 18 the developer too for that excellent overview of 19 the evidence surrounding this. 20 CO-CHAIR SEPTIMUS: Okay, I think we're ready to vote on the evidence. 21 22 DR. APPLEGATE: Ed, this is Kimberly.

I have one other point to make that the developers stated. The key point there really comes out with the evidence, and I'm sorry I 4 didn't state this up front, is that restraints do not prevent major adverse events.

Although falls will increase when 6 7 restraints and their abuse decreases, serious falls do not increase. So I think that's a 8 9 really key point that is brought out with the 10 report and the evidence.

11 DR. QUIGLEY: Excuse me, Dr. Septimus, 12 if I may clarify, the issue with restraints and 13 with falls is that if a patient falls with a 14 restraint on, the severity of injury is greater, 15 and that's evidence that's been published for 16 years. So it's not the fall, it's the severity of injury. Thank you. 17

18 CO-CHAIR SEPTIMUS: Thanks for the 19 clarification, okay.

20 DR. APPLEGATE: Could you ask the 21 developers to clarify what their evidence is that 22 they bring out because they say the opposite,

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that serious falls do not increase with the 1 2 decreased use of restraints? 3 CO-CHAIR SEPTIMUS: Pat, you'll have to put your mic on. 4 5 DR. QUIGLEY: Excuse me, I had mentioned with restraints. If a patient falls 6 with a restraint on, for example from a bed, the 7 severity of injury is greater. 8 9 DR. APPLEGATE: Okay, good. Okay, I 10 was just making sure that we were agreeing. 11 Thank you. 12 CO-CHAIR SEPTIMUS: Okay, now we can 13 vote on the evidence. 14 Importance to measure MS. IBRAGIMOVA: 15 and report 1a evidence structure of process intermediate outcomes. The votes are one high, 16 17 only eligible if QQC submitted, two moderate, 18 three low, four insufficient evidence. 19 And the results are 59 percent high, 20 41 percent moderate, zero percent low, zero 21 percent insufficient evidence. 22 CO-CHAIR SEPTIMUS: Okay, now we go to 1

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gap. Kimberly?

2 DR. APPLEGATE: I'm sorry about that. Let me just pull this up. They talk about the 3 4 preponderance gaps, that there was a permanent 5 and prevalence of difficult restraint use from the initial time, and they showed trends in the 6 7 appendix. The development listed only 66.9 8 9 percent utility of that perfect score, so I think 10 there is appropriate evidence for potential 11 improvement. 12 And then in terms of disparities, I 13 think also they adequately discussed the gaps 14 with -- basically the significant difference 15 between black, Hispanic, and Medicaid patients in 16 the appendix. 17 CO-CHAIR SEPTIMUS: Kimberly, are you 18 finished, Kimberly? I just want to make sure I 19 don't cut you off. 20 Yes. DR. APPLEGATE: 21 CO-CHAIR SEPTIMUS: Okay, Chris? 22 DR. COOK: Yes, I was looking in

further past the 66 --

2 CO-CHAIR SEPTIMUS: Chris, is your mic 3 on?

4 DR. COOK: Yes, this is Chris Cook. 5 Looking at the perfect scores of 66.9 percent then caused me to look further down into the, you 6 7 know, the percentile ranks. And all the way through the 60th percentile with zero percent, 8 9 70th percentile is 0.9 percent, 80th percent 1.9 10 percent, 90th percentile 3.6, and that's all 11 presented on page 36 and 37.

12 So the question to the developers is 13 within that, can you statistically tell a 14 difference between facilities with such a small 15 difference? And I guess the question is, is the 16 gap still there that allows for this measure to 17 continue forward or has this been topped out?

18 MR. BREG: Sure, thank you for your 19 question. The statistics that we present in the 20 reliability section show that you are able to 21 distinguish between facilities using this 22 measure.

The signal-to-noise ratio is 0.84, 1 2 which is acceptable for a facility level quality And we also present stratified means 3 measure. that show that 66.4 percent of facilities had 4 scores that were statistically significant from 5 the mean at the 95 percent confidence interval. 6 7 Furthermore, a measure, as I understand the NQF guidance documentation, 8 9 wouldn't be considered topped out if there is 10 evidence of disparities. And as we show, there 11 is evidence of racial and ethnic disparities. 12 And in the evidence documentation we 13 submitted, there is one study that used logistic 14 regression to show that there are different odds 15 ratios for white and black residents for being 16 restrained. 17 CO-CHAIR SEPTIMUS: Missy? 18 MS. DANFORTH: Yes, can you talk about 19 why the mean reported on the Nursing Home Compare 20 is so much higher than the mean in your 21 measurement documents? You're showing a mean of 22 They're showing a mean of something 1.2 percent.

like 20 percent, a national mean for the purposes 1 2 of comparison. So according to the data, the national 3 4 mean is like much lower than what's being shown 5 on Nursing Home Compare. Can you say why that is? 6 7 DR. SMITH: And what was the number? Nursing Home Compare is 8 MS. DANFORTH: 9 showing a mean of -- hold on, I just had it in 10 front of me. I'm sorry, give me one second. 11 DR. SMITH: I'm only asking just 12 because that sounded very high. 13 MS. DANFORTH: Yes, so -- oh, I'm 14 sorry. Okay, so if the mean is -- back to the 15 question. They're showing a mean of 1.1, and 16 then you're showing a mean of 1.2. So because 17 the performance gap seems to be within the 18 disparities piece, can you just sort of talk about how you're addressing that? 19 20 So if the performance gap seems to be 21 with the disparities, which seems clear, but that 22 seems to be washed away when you look at the

performance within the individual nursing homes. Can you just talk a little bit about how you're addressing that particular gap?

So I think one of the DR. SMITH: 4 5 other issues to consider is what the rate should be for this measure. And since we're talking 6 about daily restraints and -- one would argue 7 that if you're having restraints every day, that 8 9 means that's sort of the underlying cause of why 10 the individual might be being restrained is not 11 being addressed.

I think that the -- it seems like the ideal should actually be heading toward zero, and so I think we would still argue that the fact that we do have some facilities that have daily restraint use going on that there's still room for improvements.

You're correct that the way that the data is being reported, it's not obvious, but there is that racial disparity, but that there's still value in tracking this measure.

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It's not only a publicly reported

measure but a measure that's used through the --1 2 via the CASPER reporting system that the nursing homes use for their own internal purposes as 3 4 well, and so it may be more of making the nursing 5 homes aware of this being a particular issue than they may have a disparity, sort of a formal 6 7 populations issue. 8 CO-CHAIR SEPTIMUS: Okay, let's vote 9 on gap. 10 CO-CHAIR THRAEN: I just want to 11 clarify. The only way that this goes into 12 reserve status if you believe it's been topped 13 out is if it does not pass the performance gap. 14 Is that correct? 15 (No audible response) 16 CO-CHAIR THRAEN: All right. 17 MS. IBRAGIMOVA: Importance to measure 18 and report 1b performance gap. The votes are one 19 high, two moderate, three low, four insufficient. 20 All right, so the results are 27 percent high, 50 21 percent moderate, 23 percent low, and zero 22 percent insufficient.

1 CO-CHAIR SEPTIMUS: Reliability, Kim? 2 DR. APPLEGATE: Okay, reliability, the -- so just to briefly talk about how the 3 residents are counted, they are defined as 4 5 residents, as I said, who have a stay of 101 days or more. 6 There's a separate measure for acute 7 care. Data and reliability testing was 8 9 pulled from the Nursing Home Minimum Data Set 10 3.0, two serious reviews, the development and 11 validation of MDS 3.0 and the RTI. The testing was done at the facility and agency level. 12 13 They ran reliability analyses to test 14 reliability of the data element levels. The 15 restraint items included in this measure have 16 kappa statistics for gold standard nursing, 17 sorry, nurse to facility nurse agreement ranging 18 from 0.746 to 0.844, so it was very high. Limit 19 restraint to in bed, and limit restraint in chair 20 or out of bed both had perfect agreement. 21 The gold standard nurse to gold 22 standard in nursing ratings have perfect

agreement for all items included in the measure
 except for chair prevents to rising data, which
 had a kappa of 0.887, also high.

And then I wanted to talk about what 4 5 the numerator and denominator exclusions were so people understood that. The numerator is the 6 7 number of long-stay residents with a selected targeted minimum data sets, and they have a 8 9 number of different definitions of different 10 restraints there in the MDS, and it has to be 11 daily for seven days within that quarter.

12 And then the denominator exclusions 13 were resident excluded if the denominator had 14 missing data in any of the responses relevant to 15 the question, so any of the different restraint 16 questions, and if the facility sample had fewer 17 than 30 residents in the facility, so if this was 18 a small nursing home.

19And then as the developer mentioned,20they have a high --- signal-to-noise quality21measure. So I think that from the reliability22standpoint, it had a high rating.

1	CO-CHAIR SEPTIMUS: Okay, thank you.
2	Let's vote on reliability.
3	MS. IBRAGIMOVA: Scientific
4	acceptability of measure properties 2a
5	reliability the votes are one high, two moderate,
6	three low, and four insufficient. The results
7	are 67 percent high, 33 percent moderate, zero
8	percent low, zero percent insufficient.
9	CO-CHAIR SEPTIMUS: Okay, are you
10	ready for validity? Kimberly, any major points
11	on validity?
12	DR. APPLEGATE: Yes, so the validity
13	testing was both at the data element level and at
14	the measure score level, and the RAND validation
15	of the MDS 3.0 tested the criterion validity by
16	comparing how different nurses assess the same
17	residents.
18	Using the MDS 3.0, they compared gold
19	standard research nurses to gold standard nurses,
20	and they compared gold standard nurses to staff
21	nurses trained by the gold standard nurses, and
22	they used kappa statistics.

The restraint items included in this 1 2 measure had kappa statistics for the gold standard nurse to facility nurse agreement 3 ranging from 0.746 to 0.844, so high. The limb 4 5 restraint in bed and limb restraint in chair or out of bed both had perfect agreement. 6 7 The other thing we were asked to discuss is the threats to validity, and I think 8 9 they did two important analyses on the data which 10 addressed this, and I don't see any threats to 11 validity. 12 One was the correlation between this 13 measure and the indirect measure 0674, and they 14 looked at the percent of residents experiencing 15 one or more falls with major injury in guarter 16 three of 2013 and found that there was weak or 17 not significant correlation. They had an R of --18 0.0145. 19 The other thing they did is they found 20 a lack of evidence for a relationship between

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restraints and falls. It's possibly because the

prevalence of physical restraint use and

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incidence of falls with major injury are both 1 2 very low, and they put in percent in parentheses, 1.2 percent and 1.6 percent respectively. 3 4 So they do raise that possibility that 5 they might have a lack of evidence and raise that as a problem, a potential problem with validity 6 7 which was talked about by the developers. CO-CHAIR SEPTIMUS: 8 Comments? Okay, 9 let's vote. 10 Scientific MS. IBRAGIMOVA: 11 acceptability of measure properties 2b validity, 12 the votes are one high, two moderate, three low, 13 four insufficient. And the results are 41 14 percent high, 55 percent moderate, five percent 15 low, zero percent insufficient. 16 CO-CHAIR SEPTIMUS: Okay, feasibility? 17 DR. APPLEGATE: Oh, there was no 18 concern with feasibility I don't think because 19 even though it's not considered an eMeasure, all 20 data elements are defined fields in electronic clinical data with the MDS. Any comments? 21 22 CO-CHAIR SEPTIMUS: No, let's vote.

1 DR. APPLEGATE: Okay. 2 MS. IBRAGIMOVA: So the feasibility the votes are one high, two moderate, three low, 3 4 four insufficient. The results are 90 percent 5 high, 10 percent moderate, zero percent low, zero percent insufficient. 6 7 CO-CHAIR SEPTIMUS: Next is usability. Ed, can you remind me 8 DR. APPLEGATE: 9 what --- if there are -- I mean, I did not see 10 any particular concern about usability. 11 I just wanted to ask the developers a question about whether they had looked into one 12 13 issue that I didn't see which was literature on 14 the association between use of restraints and 15 turnover rate of staff in terms of violent 16 patients and violence against the staff. 17 There was no discussion of that, and 18 I know that this has been an issue that, you 19 know, comes up, you know, with why restraints are 20 used and maybe under reporting of restraints, so 21 I just wanted to hear a comment from the 22 developers.

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1	MR. BREG: We didn't come across any
2	literature on violence against staff members.
3	But I'd just like to repeat that this quality
4	measure is reflects daily restraint use.
5	And as Dr. Smith pointed out earlier,
6	it's the responsibility of the clinicians to
7	identify what underlying factors lead to the
8	behavior that leads to restraint use.
9	So if a facility is not is
10	restraining a resident every day for seven days,
11	there's a high probability that they're not
12	performing the function of identifying what the
13	underlying cause is.
14	And one clinical consultant to this
15	project suggested that such a resident would
16	probably be removed from the post-acute care
17	facility if there was such a disturbing behavior.
18	Does that answer your question?
19	DR. APPLEGATE: Sort of, but these are
20	long-term stay patients.
21	MR. BREG: Yes.
22	DR. APPLEGATE: Well, I'm just asking

the question. Anyway, I think it's a highly 1 2 useful measure, easily used and easily compared to others across facilities. 3 4 CO-CHAIR SEPTIMUS: And it's currently 5 being reported, so --6 DR. APPLEGATE: Right. 7 CO-CHAIR SEPTIMUS: Theresa? Theresa Edelstein, 8 MS. EDELSTEIN: 9 just a quick comment to Kimberly's point. One of 10 the things we see in nursing home populations, 11 long-stay nursing home populations, is a growing 12 number of folks who have had lifelong psychiatric 13 diagnoses, and now they're living longer and 14 making their way to the nursing home and have the 15 physical needs as well as their psychiatric needs 16 to be addressed. 17 So I just wonder whether we will 18 continue to see improvement in this measure 19 because of the changing nature of the population 20 over time, and I think it's something that 21 warrants some deeper exploration, whether that 22 change is real, first of all, and what the impact

is on restraint use.

2 CO-CHAIR SEPTIMUS: Pat? 3 DR. QUIGLEY: Thank you, Pat Quigley. 4 And I also wanted to respond to the question that 5 Kim had presented, and thank you, Kim, for that. And I would suggest that in the patients you are 6 7 describing that this would be the qualitative component of physical restraint use. 8 9 That patient or resident may need to 10 have a restraint, but in policies and in long-11 term care, they would use the least restrictive 12 restraint to still be able to reduce harm. Thank 13 you. 14 CO-CHAIR SEPTIMUS: Okay, let's vote. 15 MS. IBRAGIMOVA: Usability and use the 16 votes are one high, two moderate, three low, four 17 insufficient. The results are 64 percent high, 18 36 percent moderate, zero percent low, zero 19 percent insufficient information. 20 CO-CHAIR SEPTIMUS: Okay, and last one 21 is it suitable for endorsement? So this is a yes 22 or no.

1	MS. IBRAGIMOVA: Overall suitability
2	for endorsement, does the measure meet and have
3	criteria for endorsement? One yes, two no. The
4	results are 100 percent yes, zero percent no.
5	DR. APPLEGATE: Excellent.
6	CO-CHAIR SEPTIMUS: Number five,
7	fantastic. Okay, we'll tell you about some
8	modifications in the schedule after the next one,
9	but we are, as you can imagine, probably going to
10	have to have a working lunch.
11	So the next one, we'll go in order, is
12	0689 Percent of Residents Who Lose Too Much
13	Weight long-stay also from CMS. So, Laura, if
14	you stay, do you have somebody else to work with
15	you on this matter? Who is the discussant on
16	this? Oh, it's Laura. There she is. Okay,
17	Laura, remember efficiencies time, no
18	pressure. Okay, developers? Introduce yourself.
19	DR. SMITH: Yes, so I'm joined by Dr.
20	Qinghua Li also from RTI International. This is
21	for the same contractor, CMS.
22	DR. LI: Okay, briefly, okay, this

measure requires the percentages of -- nursing from a resident to the target MDS assessment that indicates a weight loss of five percent among the last 30 days or 10 percent among the last six months, which is not a result of a physician prescribed weight loss regimen.

7 The data used for this measure is MDS 8 3, which is mandatory for all Medicare or 9 Medicaid certified nursing homes. On this 10 measure are strategies of serious strategy goal 11 in alignment with one priority of the National 12 Quality Strategy senior care.

13 It is important to maintain residents' 14 nutritional status in nursing homes, and we have 15 demonstrated that weight loss is the most 16 objective and reproducible marker of nutritional 17 status for nursing home residents.

Publicly reporting this measure will provide nursing homes incentive to monitor and maintain residents' weight and nutritional status. Since the last endorsement in 2011, two additional denominator exclusion criteria have

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been applied.

2	First, prognosis of life expectancy of
3	less than six months, second, receiving hospice
4	care on the target assessment. Empirical
5	evidence has shown that weight loss is a part of
6	the trajectory for elderly with end stage
7	diseases.
8	Weight maintenance or weight gain is
9	not consistent with the goals of end of life care
10	or patients' typical preferences at the end of
11	life. To test whether these two additional
12	exclusions are appropriate, RTI conducted
13	analysis at the residents' facility and at
14	measure levels.
15	The findings support excluding
16	residents who are receiving hospice care or are
17	having a less than six-month life expectancy. We
18	also received public comments and a subject
19	matter expert's input supporting these addition
20	exclusions.
21	To reevaluate this measure, we used
22	data on all eligible long-stay residents from our

Medicare and Medicaid certified nursing homes
 from 2011 to 2014.

Nationally, this measure is pretty stable with a small variation from 5.7 percent in quarter three 2014 to 6.8 percent in quarter one 2013. The MDS 3 data elements used to calculate the weight loss measure were demonstrated to be reliable.

9 The analysis on quarter measure 10 reliability showed that while the facility scores 11 on this measure were stable between two reporting 12 periods, the facility ranks on this measure 13 changed more frequently. Both the weight loss 14 annually on the MDS 3.0 and the weight loss 15 quarterly measure were demonstrated to have 16 moderate to high validity.

We also examined the proportions of facility scores for this measure that are significantly different from the national facility level mean.

The findings indicated that this
 measure can successfully distinguish facilities

1 in which there are quality concerns related to 2 weight loss from high quality nursing homes where 3 residents' nutritional status is managed very 4 well.

5 One possible unintended consequence is 6 that the increase in use of a feeding tube or 7 other aggressive feeding programs amongst some 8 residents. However, there is no evidence 9 indicating such increase in the feeding tube use 10 or other aggressive feeding programs.

11 A recent review of quarterly data from 12 quarter two 2012 to quarter four 2014 showed a 13 slow, but a very steady decrease in the feeding 14 tube use in nursing homes.

So in conclusion, this measure is very
important, valid, and a reliable quantum measure
for nursing homes. Thank you.

18 CO-CHAIR SEPTIMUS: So, Laura, this is
19 an outcome measure.

21 CO-CHAIR SEPTIMUS: And so anyway, go, 22 Laura.

MS. ARDIZZONE:

20

Yes.

1	MS. ARDIZZONE: So this is re-
2	endorsement 689, percentage of long-term stay
3	nursing home residents with MDS assessment that
4	indicates weight loss of five percent or more of
5	the baseline weight in the last 30 days, or 10
6	percent or more of the baseline weight in the
7	last six months, and this is not a result of a
8	physician prescribed weight loss regimen.
9	I think the evidence is very strong.
10	I think this is an outcome measure, something we
11	keep asking for in this committee. So on
12	evidence, I think the evidence is supportive of
13	the measure focus, so I think the evidence is
14	strong.
15	CO-CHAIR SEPTIMUS: Comments? Yes,
16	Steve?
17	DR. LAWLESS: I know it's a
18	technicality. It shouldn't be increased
19	mortality. It should be decreased survival
20	because everybody dies.
21	DR. SMITH: I'm sorry, are you talking
22	

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DR. LAWLESS: Yes, just in terms of 1 2 the developer. It really is not an increased mortality factor, it's a decreased survival. 3 4 And so from a technical standpoint, 5 it's actually -- it's -- it shows a little bit -it's a little bit of a different slant to it, but 6 7 it also ---- when you publicly -- when you report it, it's not likely to die. It's how long 8 9 less of a survival do you have by that, so for 10 the developers maybe just to consider that. 11 CO-CHAIR SEPTIMUS: Okay, let's vote. 12 MS. IBRAGIMOVA: For importance to 13 measure and report 1a, evidence health outcome or 14 PRO, the votes are one yes, two no. MR. ANDERSON: Kimberly, we don't have 15 16 your vote. 17 MS. IBRAGIMOVA: The results are 90 18 percent yes, 10 percent no. 19 CO-CHAIR SEPTIMUS: Okay, next would 20 be gap. 21 MS. ARDIZZONE: My only comment on 22 gap, and I struggled with this a little bit, is

there's nothing to indicate any disparity data, 1 2 either race or socioeconomic status differences, and there really has been no observed 3 improvements since the original measure in 2011. 4 So while I think it's important, I 5 think it's a marker of something, I think it's an 6 outcome, there just hasn't been showing any ----7 that there's an improvement, or ---- no change, 8 9 so that would be my only comment. 10 CO-CHAIR SEPTIMUS: Developers want to comment on that? I think there is something 11 12 about disparities in here, unless I misread it, 13 but what about the other comment about 14 improvement? 15 Thank you for your comments. DR. LI: 16 We recognize this kind of no improvement indication in this measure, and we didn't find 17 18 the racial or socioeconomic disparity in this 19 measure either. 20 As we have mentioned, while 21 maintaining residents' nutritional status is very 22 important in nursing homes, weight loss has been

found to be the most objective and reproducible marker of nursing home residents' nutritional 3 status.

And also, actually the lack of a 4 5 change in this quality measure may reflect that nursing homes are not improving quality, meaning 6 7 taking care of patients' nutritional status. We think this might further kind of highlight the 8 9 importance of keeping published -- publicly 10 reporting this measure.

11 So with this measure being kind of retired, if we stop publicly reporting this 12 13 measure, nursing homes may lose this incentive to 14 keep monitoring and maintaining residents' 15 nutritional status.

17 MS. EDELSTEIN: Theresa Edelstein. 18 Respectfully, I would like to ask you to not jump 19 to conclusions or make assumptions about whether 20 or not nursing homes are focused enough on 21 improving nutritional status.

CO-CHAIR SEPTIMUS:

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It's very well documented that the age

Theresa?

and acuity of nursing home residents is 1 2 increasing over time, especially as we work very hard to keep people at home and in their 3 4 communities receiving long-term care for much 5 longer periods of time. So the frailty and acuity of the 6 7 nursing home population is increasing significantly, and with that comes difficulty in 8 9 maintaining nutritional status. 10 CO-CHAIR SEPTIMUS: Okay, let's vote 11 on gap. 12 MS. IBRAGIMOVA: Importance to measure 13 and report 1b, performance gap. The votes are 14 one high, two moderate, three low, four 15 insufficient. 16 The results are 29 percent high, 57 17 percent moderate, 10 percent low, five percent 18 insufficient. 19 CO-CHAIR SEPTIMUS: Reliability, 20 Laura? 21 MS. ARDIZZONE: Okay, so they 22 presented two separate tests for reliability. So

1	they ran did some testing on the MDS and they
2	found really high kappa scores on data element.
3	There was a lot of discussion on the
4	RTI analysis on performance that the stability
5	analysis, the signal-to-noise was low, that maybe
6	this measure isn't particularly reliable in
7	separating facility characteristics from the
8	noise of the population.
9	But I think you did a pretty good
10	assessment at saying that the analysis on quality
11	measures was high, but the facility scores were
12	stable between two consecutive reporting periods,
13	but the facility ranks may change frequently, and
14	that might be associated with a relatively narrow
15	range of the measure.
16	So I think you explained it. I don't
17	think I think it's high on the data elements.
18	I think at the facility level it may not be as
19	high, if you wanted to comment on that.
20	CO-CHAIR SEPTIMUS: Jason?
21	DR. ADELMAN: I struggled with the
22	reliability on this one because inherent to me

that both the numerator and the denominator has 1 2 things that are -- would be very hard to measure reliably. 3 4 Like in the numerator, a weight change 5 of five percent, which if you weigh 150 pounds, would be seven, seven-and-a-half pounds. 6 Like in 7 the hospital we see weights, you know, go up and down all the time like that. 8 9 And in the denominator, it has, I 10 guess, a prediction of the life expectancy at six 11 months. That seems very hard to reliably 12 predict. 13 And so with both of these like -- so 14 I understand that the kappa score was such, 15 although the methods by which the reliability 16 testing was done is not clear to me. 17 And inherently since it intuitively 18 doesn't make sense that it would be super 19 reliable, I was wondering if the developers could 20 explain more about really how the reliability 21 testing was done and how they addressed these 22 variables.

DR. SMITH: So with regard to the item level reliability, that work was done using pairs of raters that have both been -- received training on how to complete the MDS data set. There was an agreed upon protocol for how that evaluation would be done for whatever set of items that there were.

8 I think they evaluate quite a few for 9 that MDS data set, and basically were evaluating 10 the person at the same time. So that rating is 11 more about sort of the repeatability between the 12 raters. You are referring more to this issue of 13 the changeability of weight loss over time.

14 I guess I would argue that while we 15 might not be capturing all of the instances where 16 weight loss would have occurred of that degree, 17 that we are sort of cross-sectionally going to be 18 capturing weight loss and that there's not going 19 to be one -- shouldn't be one particular bias 20 being introduced into the measure because of 21 that.

22

And certainly someone who might be

having that much of a change from moment to moment, this is a -- the assessments are done quarterly, but there should be, as a part of care, some monitoring -- a part of regular care, monitoring for weight loss.

And so the item is really asking about have they had this happen? So if they are having that much of a weight loss over this period of time, it should be reporting because that's an indicator of there being an issue. Okay, so that was the item level reliability.

You had asked about measure level reliability ---- oh, prognosis, sorry. And then the prognosis -- so that is -- again, we'll acknowledge that being a potential concern.

I guess, one of the things that we've talked about is sort of the importance of, regardless if it was not -- the reliability is not as high, it's still a very important group of individuals to be identifying in order to make sure that we're not putting them at risk for interventions that would be potentially -- really

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against other objectives in terms of meeting 1 2 preferences at the end of life. Qinghua, do you want to add to that? 3 DR. LI: Actually for the prognosis 4 5 item, which this is based on physicians' This is from residents' medical 6 prognosis. 7 record, which are kept by their physicians. Also, the RAND report found that the 8 9 kappa statistics for this specific item between 10 the gold standard nurses was 0.87, and between 11 the gold standard nurse and the facility nurse 12 was 0.96, which means the reliability is very 13 high. 14 CO-CHAIR SEPTIMUS: Pat? 15 DR. QUIGLEY: Thank you, Pat Quigley. 16 My question in relationship to reliability, very similar to Dr. Adelman's, in that the numerator 17 18 is those who have weight loss over a 30-day 19 period of time versus a six-month period of time, 20 and the RAI is completed quarterly. 21 So would it not be better to just go 22 ahead and have the period of time of any weight

loss -- and I was interested in weight gain, 1 2 because weight gain in older people in wheelchairs is very difficult and impairs 3 4 mobility -- but is to have the measure just be 5 related to the 90-day period in which the RAI is done, rather than the 30 days and then the six-6 7 month period which actually covers two RAI periods? 8 9 The RAI, for everyone, is a resident 10 assessment interview that's done. It's the 11 quarterly completion of the team review of the 12 patient, because you have an "or" component. 13 It's 30 days or six months. 14 DR. SMITH: Right, and I think the 15 idea in having that potential shorter time period 16 is to try to capture a precipitous loss or that 17 variability that we were talking about a moment 18 ago. 19 That perhaps if you compare a person's 20 weight now to three months ago, there might not 21 be that much change, but if you look at that 30-22 day period back, they may have had a weight

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increase and then a weight loss in that time 1 2 period, and what you're capturing with looking at that 30-day window is this variability in the 3 4 weight that might be a concern. 5 DR. QUIGLEY: Yes, thank you, but the question is really that six-month period that's 6 7 added to it because that covers two quarters and 8 two RAIs. 9 DR. SMITH: So you're concerned about 10 having the longer time period? 11 DR. QUIGLEY: Yes, yes. 12 DR. SMITH: Well, again, I think the 13 idea is sort of you're getting a slightly 14 different trajectory there that you may not have 15 a major weight loss within a 30-day period. 16 But looking over the -- sorry, the 17 larger ---- two time periods -- excuse me, for 18 the weight loss may be revealing some sort of slower process of decline related to weight loss 19 20 that you might not see on that shorter time 21 period. 22 Okay, let's vote CO-CHAIR SEPTIMUS:

on reliability.

2	MS. IBRAGIMOVA: Scientific
3	acceptability of measure properties 2a,
4	reliability. The votes are one high, two
5	moderate, three low, four insufficient.
6	And the results are four percent high,
7	70 percent moderate, 22 percent low, four percent
8	insufficient.
9	CO-CHAIR SEPTIMUS: Validity, Laura?
10	MS. ARDIZZONE: For validity they did
11	data element and performance score level testing.
12	Both indicate acceptable validity testing.
13	They also did exclusion testing.
14	There is no risk adjustment and the exclusions
15	seem appropriate. So they exclude data if they
16	don't have the right assessment type, if they
17	have a six-month prognosis, or they're hospice
18	patients, or if they are residents less than 30
19	days. CO-CHAIR SEPTIMUS: I have a question
20	not related to the measure for my own education.
21	Okay?
22	Why is weight loss most common in the

first quarter of the year? 1 2 DR. LI: This is an observation? CO-CHAIR SEPTIMUS: Weight loss is 3 4 most common in the first quarter of the year. 5 I'm just curious, Theresa. I mean, I don't want to put you on the -- I'm asking for -- I actually 6 7 read this stuff. MS. EDELSTEIN: Well, it is right 8 9 after the holiday season. It's also a time 10 period when influenza is significant -- can be 11 significant and other -- and respiratory 12 illnesses and people don't eat when they don't 13 feel well. 14 CO-CHAIR SEPTIMUS: I'm just curious. 15 Okay. Ready to vote on validity. 16 Let's vote. 17 MS. IBRAGIMOVA: Scientific 18 acceptability of measure properties, 2(b), 19 validity. The votes are one, high; two, 20 moderate; three, low; four insufficient. 21 (Voting.) 22 MS. IBRAGIMOVA: This might take a

1 second. 2 (Comments off record.) CO-CHAIR SEPTIMUS: All right. 3 Do you 4 want to vote by hand for this one while she --5 why don't we do that. So, all those who say high, 6 Okay. 7 raise your hand. And vote by via phone for -high. All right. So, zero for high. 8 9 Okay. Moderate. Who wants to count? 10 (Pause.) 11 CO-CHAIR SEPTIMUS: And on the phone? 12 So, one high and the rest are moderate. Okay. 13 No one for low or insufficient. 14 Okay. So, now we go to feasibility. 15 MS. ARDIZZONE: I have no concerns about feasibility. I think it's important to 16 17 continue using this measure. 18 CO-CHAIR SEPTIMUS: Okay. We'll vote 19 by hand again. Is that okay with everybody? 20 Okay. So, feasibility. High. 21 MS. SPEAKER: Somebody needs to count. 22 14. CO-CHAIR SEPTIMUS: Moderate.

1	(Pause.)
2	CO-CHAIR SEPTIMUS: Low. One low.
3	Did the people on the phone vote? So, what did
4	they vote? So, we have a medium and a high.
5	Okay.
6	So, what was the final vote then?
7	MS. THEBERGE: What was the number for
8	moderate?
9	MR. SPEAKER: Six.
10	MS. THEBERGE: Six, plus two on the
11	phone?
12	CO-CHAIR SEPTIMUS: Six plus one is
13	seven, and one additional one for high. Okay.
14	Usability. Laura.
15	MS. ARDIZZONE: This is already
16	publicly reported. I think continued use of this
17	measure can encourage adoption of improved
18	processes, improved quality of care.
19	As we have more and more of an aging
20	population, I think it's really important that we
21	find quantitative markers of quality care for
22	elders.

1 CO-CHAIR SEPTIMUS: Okay. I see no 2 We can once again vote by hand. High. comments. 3 (Voting.) 4 CO-CHAIR SEPTIMUS: Drew, what are you 5 doing? (Comments off record.) 6 7 MS. IBRAGIMOVA: 20. CO-CHAIR SEPTIMUS: All right. 8 9 Moderate. And that's to 22. So, no low and no -10 - okay. Great. 11 Okay. Now, the measure is acceptable 12 for public reporting. It's a yes or no. I'm 13 getting to learn this thing. For endorsement. 14 So, all those in favor of endorsement. 15 (Voting.) 16 CO-CHAIR SEPTIMUS: How about the two 17 on the line? 18 MR. ANDERSON: Ann, could you -- oh, 19 Okay. yes. 20 CO-CHAIR SEPTIMUS: You could put your 21 hands down. We've got 22. So, another 22 unanimous.

180

1Okay. Fantastic. Thank you so much2So, this is what we're going to I'm going to3turn this over to Iona who's going to take us4hopefully most of the rest of the way.5And the next one we're going to go to6falls, 0101. Thank you.7CO-CHAIR THRAEN: So, 0101, it's from	
3 turn this over to Iona who's going to take us 4 hopefully most of the rest of the way. 5 And the next one we're going to go to 6 falls, 0101. Thank you.	
<ul> <li>4 hopefully most of the rest of the way.</li> <li>5 And the next one we're going to go to</li> <li>6 falls, 0101. Thank you.</li> </ul>	
5 And the next one we're going to go to 6 falls, 0101. Thank you.	
6 falls, 0101. Thank you.	
	>
7 CO-CHAIR THRAEN: So, 0101, it's from	
	ı
8 yesterday's list. So, it's at the beginning of	
9 your agenda. And it's the Falls: Screening,	
10 Risk-Assessment and Plan of Care to Prevent	
11 Future Falls developed by the National Committee	
12 for Quality Assurance.	
13 Could you please join us at the table	?
14 (Pause.)	
15 CO-CHAIR SEPTIMUS: And just in case	
16 you're wondering, lunch will be at 12:30. And we	1
17 may have to make it a working lunch.	
18 CO-CHAIR THRAEN: All right. Who's	
19 the speaker on this one? Pat.	
20 MS. GIOVANNETTI: Yes.	
21 CO-CHAIR THRAEN: Better be. So,	
22 developers, would you like to open your	

1

statement?

2 MS. GIOVANNETTI: Yes. CO-CHAIR THRAEN: Introduce yourselves 3 4 as well. 5 DR. GIOVANNETTI: My name is Erin I'm a research scientist with the Giovannetti. 6 7 National Committee for Quality Assurance. And I'm joined by Dr. Mary Barton who is our vice 8 9 president for performance measurement. 10 So, thank you for allowing us to come today to present this measure, which I know is a 11 12 little bit out of sequence. 13 This measure is a maintenance 14 endorsement of a measure that previously came 15 before a similar panel in very late 2012. It 16 looks at falls risk prevention in the older adult 17 population. 18 It is three indicators that are really 19 all meant to be reported together as a group, 20 because they look at a continuum of care for 21 falls. 22 It starts by a measure that looks

first at whether or not you screened for whether 1 2 or not you have a population at risk of falls. And this is really just asking -- assessing 3 whether or not older adults have had a fall in 4 5 the past year, two or more falls or a fall with injury, which then defines the denominator for 6 the next two measures, which get into how do you 7 prevent future falls in this at-risk population. 8 9 So, looking at that population, the 10 people who have two or more falls, or a fall with 11 injury, did you do a multifactorial risk 12 assessment? 13 And we define what -- there are many 14 different factors you could look at. We define a 15 minimum set. 16 And then, did you do a plan of care 17 including consideration of Vitamin D therapy and 18 exercise or physical therapy. 19 This is based off of evidence from the 20 US Preventive Services Task Force and the 21 American Geriatric Society. 22 The USPSTF has guidelines that put in

place that all older adults who have a history of 1 2 falls should have consideration of Vitamin D therapy and exercise or physical therapy. 3 It's also based off of recommendations 4 5 from both the AGS and USPSTF that all older adults should be asked about whether or not they 6 7 have fallen. And then the one area where the 8 9 evidence gets a little bit more difficult to 10 interpret is around risk assessment for falls. 11 This is an area where the USPSTF has 12 given a C recommendation that they basically said 13 that the evidence -- it's really difficult to 14 identify what's the population where a 15 multifactorial risk assessment has a significant 16 benefit. They note that it has a small net 17 benefit for -- across all the studies. 18 The American geriatric society 19 interpreted the evidence slightly differently and 20 they found that there was a significant net 21 benefit for adults who have a history of falls. 22 So, those adults which they define as two or more

1 falls, or a fall with injury.

2 So, that's the definition that we are 3 using as the at-risk population who would benefit 4 from a falls risk assessment.

This measure is specified for medical 5 record review collection. So, unfortunately 6 these are not things that are normally found in 7 billing codes. So, this is required to look at 8 medical records to determine whether or not 9 10 people have been asked about falls, whether or 11 not those people who are at risk of future falls 12 have had a risk assessment and a plan of care has 13 been put in place.

14 It is operationalized in the PQRS 15 program in two different ways. One is in their 16 claims and registry reporting option, which is an 17 optional reporting program for providers. And 18 then also in their GPRO program, which is the 19 reporting for Medicare shared savings plans and 20 group practices.

21 The testing that we did on this was 22 done back in 2009 looking at medical record

1	reviews. So, that's the way that this measure is
2	specified and being presented for endorsement.
3	CO-CHAIR THRAEN: Okay. Pat, do you
4	want to start with the evidence?
5	DR. QUIGLEY: Pat Quigley, and I'd
6	like to thank Erin for that excellent overview.
7	And to also say that she did an excellent
8	overview in terms of the evidence.
9	The evidence in supporting this from
10	the American Geriatric Society is also endorsed
11	by the British Geriatric Society and the American
12	Organization of Orthopedic Surgeons.
13	So, the evidence that is presented to
14	support each aspect of the three elements of this
15	measure have been graded by the United States
16	Preventive Services Task Force.
17	And you have that discussion on Page
18	10 of this report, but I would like to say that
19	there's been extensive effort in trying to get
20	this measure, the AGS guidelines into primary
21	care.
22	And that actually started in 2010

excuse me -- 2001. Reemphasized in 2010 with an
 update of the evidence.

And I'd also like to add to your 3 4 presentation of this measure in that this measure 5 was originally presented and endorsed in 2007. So, I think you did a great job in terms of the 6 evidence and I think we can go forward, but I did 7 want to make sure that everyone does appreciate 8 9 that this is specific to those people who have 10 fallen more than once or had an injurious fall. 11 And that's the more vulnerable side of 12 the algorithm. And that's why the United States 13 Preventive Services Task Force decided not to 14 just go with anyone who had a fall in the last 15 year, but the people who are more vulnerable in 16 the algorithm who have had more than one fall or 17 an injurious fall that they need to be worked up. 18 CO-CHAIR THRAEN: All right. Lisa, 19 then Ed. 20 MS. MCGIFFERT: Two questions. Who is 21 the population of patients? What is the 22 population of patients covered by this?

1 Inpatient? Outpatient? Nursing Home? Assisted 2 living? 3 DR. QUIGLEY: It's ambulatory care. Ambulatory care. 4 MS. MCGIFFERT: So, somebody put in a 5 comment to recommend removing nursing home and 6 7 assisted living patients. Is that valid? Is that a valid comment? 8 9 DR. GIOVANNETTI: Sorry. So, this 10 measure actually -- it is a measure of providers. And so, included in this are eligible providers 11 12 who may make visits and provide care in nursing 13 homes. 14 So, some of the codes that are used to 15 determine the denominator, which is a visit with 16 an eligible provider, include claims codes for 17 visits to rest homes, nursing homes, non-acute 18 inpatient settings. 19 And so, it is possible that, you know, 20 providers choosing to report on this measure 21 through the PQRS program that have those types of 22 visits would be included.

1	It is not a measure that is used to
2	evaluate an institution. So, it's not used to
3	evaluate a nursing home. It's used for
4	providers.
5	DR. QUIGLEY: Provider.
6	MS. MCGIFFERT: Okay. Thank you.
7	And this seems really similar to the
8	one we heard yesterday, but they're very
9	close, but this is assessing whether the provider
10	does it rather than whether it happens in the
11	facility.
12	DR. QUIGLEY: Yes, and this is
13	structure or process. So, that's why we there
14	is a component to ask about fall risk, and then
15	complete a fall risk and get a plan of care.
16	So, it's not linked to an outcome and
17	reduce in fall, the reduction in terms of
18	reducing risk, it's the structure and the
19	process.
20	MS. MCGIFFERT: That was the one
21	what was it? Just trying to remember. It was
22	the one I did. Can't remember the number.

1	Well, anyway, they had three parts.
2	Same thing. Assessment, plan, all of that.
3	DR. QUIGLEY: Pressure ulcers. Wasn't
4	it pressure ulcers?
5	MS. MCGIFFERT: It was pressure
6	ulcers. Thank you.
7	DR. QUIGLEY: Oh, you're welcome.
8	CO-CHAIR SEPTIMUS: All right. Just
9	a quick question. It says here on Page 1 of our
10	worksheet that identify patients at risk and that
11	family physicians have a pivotal role in
12	screening older patients.
13	Is there a particular reason you
14	mentioned family physicians? Shouldn't that be
15	any? It could be internal medicine. It could be
16	any primary care physician caring for these
17	patients. So, why do you mention family
18	physicians only here?
19	DR. GIOVANNETTI: It could you are
20	absolutely correct. It could be any type of
21	physician. And, in fact, the eligible providers
22	that can report on this include the whole range

of providers. 1 2 I think the family physician 3 specifically references -- the reference that that came from was specific to family providers, 4 5 but you are correct. Any other -- oh, CO-CHAIR THRAEN: 6 7 Lisa, are you done? Any other questions about evidence? Let's vote. 8 9 MS. IBRAGIMOVA: It should be 10 working. Importance to measure and report, 1(a), 11 evidence structure process intermediate outcomes. 12 Votes are one, high only eligible if QQC 13 submitted; two, moderate; three, low; four, insufficient evidence. 14 15 (Voting.) 16 (Discussion off the record.) 17 MS. IBRAGIMOVA: The results are 59 18 percent high. 36 percent moderate. Five percent 19 Zero percent insufficient information. low. 20 CO-CHAIR THRAEN: Performance gap, 21 Pat. 22 DR. QUIGLEY: Thank you.

1	In relationship to the performance
2	gap, there's a clear opportunity for improvement
3	and to get this into the hands of the practice
4	of providers.
5	This measure is reported on PQRS, the
6	Physician Quality Reporting System. For the
7	first measure which is Rate A, screening for
8	future risk, the performance measure was 41.5
9	percent. So, there's more opportunity, as you
10	can tell.
11	The rates range from 9.8, the tenth
12	percentile, to 79.8 percent, the 90th percentile,
13	of those that were eligible.
14	For the second component of this for
15	risk assessment of falls for fall rate, this was
16	5.2 percent that chose to actually report this.
17	So, I think that this was an issue in
18	relationship to choosing to report this in the
19	PQRS.
20	For Rate 5, the plan of care is also
21	used in PQRS which was 78.9 percent, which was of
22	those who chose to report it. So, this was much

1	higher and actually having a plan of care in
2	place.
3	And as was mentioned by the developer,
4	this is to address balance, as well as Vitamin D
5	and C.
6	So, with that there is opportunity.
7	There's also discussion in our report on
8	disparities. And the disparities in relationship
9	to this are trying to look at those that are
10	associated with repeat falls that are falling
11	higher that have more than twice and what we
12	need to do in terms of their care planning.
13	And also people over the age of 75 who
14	are four to five times more likely to be falling
15	even greater and having a serious injury.
16	There was disparities in older whites
17	that were 2.7 times more likely to die as a
18	result of a fall than African Americans. So,
19	there is a race disparity here. So, there is
20	great opportunity for improvement.
21	CO-CHAIR THRAEN: Victoria.
22	DR. RICH: (Speaking off mic)

particularly when it deals with disparities. 1 2 When you say that there's limited stratified, is that something in the future to be looking at? 3 I think with the diversity of our 4 5 populations it seems to be such a keen, important measure to consider. 6 7 DR. GIOVANNETTI: I wholeheartedly And I think that CMS is definitely moving 8 agree. 9 in the direction of trying to collect more 10 information stratified by race and ethnicity, as 11 well as things like language barriers, which I think would be very important. 12 13 DR. RICH: Yes. Thank you. 14 CO-CHAIR THRAEN: Well, you cut 15 Charlotte off. 16 (Comments off record.) 17 CO-CHAIR THRAEN: All right. Any 18 other questions for performance gap? 19 (No questions.) 20 CO-CHAIR THRAEN: We'll vote. 21 MS. IBRAGIMOVA: Importance to measure 22 and report, 1(b), performance. The votes are

one, high; two, moderate; three, low; four, 1 2 insufficient. 3 (Voting.) 4 MS. IBRAGIMOVA: The results are 65 5 percent high, 26 percent moderate, nine percent low, zero percent insufficient. 6 7 CO-CHAIR THRAEN: Okay. Reliability. 8 DR. QUIGLEY: Thank you. There's no 9 issues with reliability. They're very clear that 10 -- but I did want to mention that there is an 11 exclusion in terms of the denominator; those who 12 are non-ambulatory, those who are in wheelchairs. 13 CO-CHAIR THRAEN: Any questions? 14 (No questions.) 15 CO-CHAIR THRAEN: Vote. 16 MS. IBRAGIMOVA: Scientific 17 acceptability of measure properties, 2(a), 18 reliability. Votes are one, high; two, moderate; 19 three, low; four, insufficient. 20 (Voting.) 21 CO-CHAIR THRAEN: Could you revote 22 again?

1	(Revoting.)
2	MS. IBRAGIMOVA: The results are 48
3	percent high. 48 percent moderate. Four percent
4	low. Zero percent insufficient.
5	CO-CHAIR THRAEN: All right.
6	Validity.
7	DR. QUIGLEY: For validity, there was
8	face validity that was utilized for this measure,
9	this component of the measure.
10	The American Medical Association
11	convened a physician consortium of physicians
12	from multiple specialty areas.
13	They had a 23-member physician
14	consortium and they rated the validity of each of
15	the components of this measure on a five-point
16	rating scale where one was strongly disagree, to
17	five, strongly agreed. And all three of the
18	components rated over 4.3. So, there was a high
19	level of face validity.
20	CO-CHAIR THRAEN: Any questions?
21	(No questions.)
22	CO-CHAIR THRAEN: All right. Let's

1 vote. 2 MS. IBRAGIMOVA: Scientific 3 acceptability of measure properties, 2(b), 4 validity. The votes are one, high; two, 5 moderate; three, low; four, insufficient. (Voting.) 6 7 MS. IBRAGIMOVA: The results are 48 percent high. 52 percent moderate. 8 Zero percent 9 Zero percent insufficient. low. 10 CO-CHAIR THRAEN: All right. 11 Feasibility is next, I think. 12 DR. QUIGLEY: Yes. With feasibility, 13 this data is collected through the administrative 14 claims data, electronic claims data and then also 15 paper medical record. 16 So, that's an opportunity to deal with 17 the issues surrounding feasibility and then also 18 whether or not the providers are actually 19 reporting it. 20 It's not an eMeasure, but the data 21 collection provided by the developer indicates 22 few concerns related to feasibility.

CO-CHAIR THRAEN: Questions. 1 2 (No questions.) 3 CO-CHAIR THRAEN: Vote. 4 MS. IBRAGIMOVA: Feasibility. The 5 votes are one, high; two, moderate; three, low; four, insufficient. 6 7 (Voting.) MS. IBRAGIMOVA: The results are 35 8 9 percent high. 61 percent moderate. Four percent 10 Zero percent insufficient. low. 11 CO-CHAIR THRAEN: Usability. Pat. 12 DR. QUIGLEY: Thank you. 13 In terms of usability this clearly identifies the opportunity for continuing to 14 15 address the fall risk needs --- fall reduction 16 needs in the elder population. 17 So, recognizing the opportunity in 18 relationship to the gap, there's a lot of 19 opportunity to be able to improve practice. And 20 in addition to usability, it helps us to be able 21 to appreciate that. 22 The reduction of fall risk really

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requires more than balancing, gait, mobility and 1 2 calcium, Vitamin D. The AGS guidelines, BGS guidelines, 3 4 American Orthopedic Surgery, there is a 5 multifactorial approach and there's lots of opportunity to be able to reduce risk. 6 7 And this is to make -- help elder patients be healthier. It's not just about 8 9 whether or not they fell. It's being able to 10 reduce their risk. And that's the focus of these 11 quidelines. 12 So, there's a lot of opportunity for 13 usability of this if we could get this into the 14 hands of providers. 15 CO-CHAIR THRAEN: Ouestions. Go ahead. 16 Yes. 17 DR. YU: I have two questions. One is 18 about the comments about there have been little 19 variation in performance across providers which 20 was to report. 21 This tied to the previous statement 22 that payment program, and said that the measure

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is the currently used payment program. 1 2 So, I would just -- can you describe or explain it why -- how you tie to payment 3 4 program when you have so little variation among 5 the performance. 6 DR. GIOVANNETTI: So, the payment 7 program that this is tied to, there's two different programs. 8 9 One is the PQRS voluntary reporting 10 program in which it is a pay for reporting. So, 11 you are not paid based off of your performance. 12 You are paid because you've reported the measure 13 and providers choose which measures they want to 14 report on. 15 And so, what you end up with is 16 providers that are doing well in a particular 17 area, choose to report on that measure. 18 And so, in the two measures that look 19 at risk assessment and plan of care we see high 20 rates with not a huge amount of variation 21 primarily because the providers choosing to 22 report on them are doing those things and

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documenting them.

2	The other one, the screening, is
3	actually being used in the GPRO program, which is
4	not voluntary. That one is for the Medicare
5	shared savings programs and group practices are
6	required to report on that.
7	And that is actually something where
8	we're starting to see more of the pay for
9	performance. And so, we see more variation in
10	that measure.
11	There is movement of the PQRS program
12	to move from a voluntary reporting program to
13	become more of a mandatory reporting program with
14	payment for performance as opposed to payment for
15	reporting, but we're not there yet.
16	DR. YU: Yeah. Okay. Thanks for
17	clarifying.
18	My other question is definitely you
19	want to see more people or physicians, you know,
20	to encourage them to report.
21	And there are comments that PQRS
22	involved in the reporting, you know, increase is

expected, I just wondering what is the plan or do 1 2 you have any, you know, explanation what is the improvement down the road that you would 3 encourage more reporting on this type of thing 4 So, NCQA does not 5 DR. GIOVANNETTI: That's run by the Centers 6 run the PQRS program. 7 for Medicare and Medicaid. They have put forth several proposals 8 9 for improving that program that actually many of 10 which I believe were laid out in the -- some of 11 them in the MACRA legislation. The SGR. So, unfortunately, that's not a 12 13 program that's within our control, but CMS is 14 working towards more of a value-based purchasing 15 model in which the measures that are in the PORS 16 program will be used in that way. 17 Okay. Thank you. DR. YU: 18 CO-CHAIR THRAEN: Laura. 19 MS. ARDIZZONE: Yes. Would you be 20 able to comment on some of the -- there seems to 21 be a lot of competing measures and there's 22 actually one that really sounds similar, NQF

0035, Fall Risk Management for All Older Adults 1 2 Across All Settings. I'm concerned about the burden of 3 reporting on, you know. 4 DR. GIOVANNETTI: I'd be happy to. 5 So, 0035 is a measure for health plans 6 as opposed to providers. It does look at the 7 similar concepts and we've actually -- we also 8 9 stored that measure in CQA. And so, we harmonize 10 it in terms of concepts, but that measure is 11 actually collected through a survey, not through 12 physician reporting. 13 So, if a physician is doing the things 14 to meet this measure and the patient is 15 understanding what's going on, ideally the patient will report on the survey that those 16 17 things happened. 18 So, it's not adding to physician 19 burden in terms of having to report on two 20 different measures. The reason that we collected for a 21 22 survey for the health plan has to do with some of

these issues around the burden of data 1 2 collection. So, as we noted before, a lot of this 3 4 information has yet to go to the medical record 5 to find it. And so, physician that may do that in 6 7 the PQRS program we felt that for the health plan reporting rather than health plans having to go 8 9 back out and do this again, this could be better 10 captured through a survey. 11 The survey also gets some other elements, which is how much is the patient 12 13 actually understanding that this has happened for 14 them. 15 So, if they don't know that anyone has 16 actually advised them on how to prevent falls, 17 that's a kind of other end of the spectrum. 18 So, they tell you slightly different 19 things about the same underlying measure concepts 20 that are aliqned. Kimberly on the 21 CO-CHAIR THRAEN: 22 line, you had a comment?

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DR. APPLEGATE: Oh, it was actually a 1 2 comment about the PQRS program. My understanding is that it's actually a penalty program now in 3 4 2015 and it will pay out -- I mean, it will 5 assign penalties in 2017 for the 2015 program reporting of those PQRS metrics. It's just a 6 7 comment. CO-CHAIR THRAEN: All right. 8 Any 9 other -- Laura, did you have a -- any other 10 conversation, questions? 11 (No comments.) 12 CO-CHAIT THRAEN: Shall we vote? 13 MS. IBRAGIMOVA: Usability and use. 14 The votes are one, high; two, moderate; three, 15 low; four, insufficient information. 16 (Voting.) 17 MS. IBRAGIMOVA: The results are 17 percent high. 74 percent moderate. Nine percent 18 19 low. Zero percent insufficient information. 20 CO-CHAIR THRAEN: All right. 21 Endorsement. Yes/no. 22 MS. IBRAGIMOVA: Overall suitability

for endorsement. Does the measure meet NQF 1 2 criteria for endorsement? One, yes. Two, no. 3 (Voting.) 4 MS. IBRAGIMOVA: Results are 96 5 percent yes. Four percent no. CO-CHAIR THRAEN: Good. All right. 6 7 Moving on to the next one is -- hold on. MS. KEANE: 8 0567. 9 CO-CHAIR THRAEN: Yeah. Thank you. 10 It's Nicole Keane from Abt MS. KEANE: 11 Associates, one of the measure developers. 12 CO-CHAIR THRAEN: Hold on. Excuse me. 13 Hold on. There's been some reordering. Hold on 14 a minute. 15 (Comments off record.) 16 CO-CHAIR THRAEN: 0097. We have 17 National Committee on Quality. Their second one. 18 I apologize. There it is. Medication -- 0097, Medication Reconciliation Post-Discharge. 19 20 NCQA, yes. 21 DR. GIOVANNETTI: Hello again. 22 So, this measure is a measure that

looks at the reconciliation between a hospital 1 2 discharge medication list and an outpatient medication list occurring within 30 days post-3 4 discharge for adults 18 and older. This is actually we have preemptively 5 taken the move to combine two measures into a 6 7 single measure here. So, we have a provider-level measure 8 9 of medication reconciliation post-discharge 10 that's reported through the PQRS program, and a 11 health plan-level measure of exactly the same 12 that's reported in the HEDIS set for Medicare 13 advantage plans. 14 So, this measure is not necessarily 15 based off of a systematic evidence review, but 16 really that best practice that medication 17 reconciliation post-discharge is a critical 18 component of transitional care. 19 Older adults are discharged -- older 20 adults, and younger adults as well, are 21 discharged from the hospital often with multiple 22 medication lists that can be conflicting with

their outpatient medication list. And it is critical that the outpatient provider understand what medications were they prescribed from the inpatient setting and then reconcile that with the outpatient list to determine what's the appropriate list the patient should be on.

So, the measure uses medical record
review once again to identify whether or not the
two medication lists were reconciled within 30
days of discharge.

11 You'll notice that there are two 12 different testing forms for this because this is 13 tested at both levels. So, the provider level 14 where we have done inter-rater reliability 15 between different abstractors looking at the 16 records and then at the health plan level where 17 we've done construct validity analysis where 18 we've compared the measure with other measures of 19 medication management and found strong 20 correlations, as well as reliability of the 21 overall score of the precision of the estimate 22 and found that the measure is highly precise.

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As I said, it's used in different 1 2 programs depending on if you're using the provider level or the health plan level. 3 4 So, for providers it's used for the 5 PQRS program. For health plans it's used for Medicare advantage reporting. 6 7 The data that you have in here actually for the health plan-level reporting 8 9 comes from special needs plans reporting, because 10 that is up until -- actually, have they approved 11 12 DR. BARTON: Yes. 13 DR. GIOVANNETTI: Okay. Good. I can 14 say this. Up until a few days ago this measure 15 was only approved for special needs plans 16 reporting. But as of a few days ago, it has been 17 approved for reporting by all Medicare advantage 18 plans, not just the special needs plan. 19 And reported for all age groups in 20 Medicare advantage 18 and older so that we are 21 capturing that 18 to 64 dual-eligible population 22 in the Medicare.

1 So, those were two significant 2 improvements to the measure that we just got 3 approved. 4 CO-CHAIR THRAEN: Chris. DR. COOK: Yeah, this is Chris Cook. 5 And going through this from the evidence 6 7 standpoint as you pointed out, there is not any overall systematic review from what's there, but 8 9 all the studies do consistently point towards the 10 benefits of performing medication reconciliation 11 particularly for patients who are at that risk 12 standpoint of transferring between facilities. 13 Studies have all been primarily linked to medication reconciliation to reducing 14 15 medication errors. However, no studies have 16 actually linked medication reconciliation to 17 morbidity or mortality simply because you have a 18 number of steps that are within that. 19 And the developer states that most of 20 those studies are basically just underpowered to 21 get to that level. 22 As a personal note, when I look at

this overall being a pharmacist, when we look at 1 2 what we spend in the national from a healthcare we're looking at 2.7 trillion dollars. 3 4 Drugs have consistently been 5 approximately ten percent of that. So, that's 270 billion dollars that we spend on medications 6 7 each year. Back in 2009 NEHI actually did a 8 9 report around medication non-adherence or 10 medication misadventures. And their estimation 11 at that point was 290 billion dollars. 12 So, in essence, as a society and as a 13 healthcare system, we are spending basically one 14 dollar fixing drug errors for every dollar that 15 we spend on medications. 16 So, if you look at it from a purely 17 economic standpoint or an economist would look at 18 it, the question would be why in the world do we even pay for any drugs whatsoever? 19 20 As we are all practitioners in this 21 room, I think if we took away that tool in our 22 toolkit to improve patient care it would be

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considered ridiculous.

2	So, to me, the next thing that we have
3	to do is we have to put this as a national
4	priority to pay better attention to the
5	medications that we use, minimize the adverse
6	effects that are there and be proactive in
7	pursuing patient care in this area.
8	CO-CHAIR THRAEN: Lisa.
9	MS. MCGIFFERT: Thanks. What we're
10	measuring here is actually that a check was done,
11	but is there any indication that when a check was
12	done there was actually reconciliation?
13	DR. GIOVANNETTI: So, this is
14	something we actually in our past reevaluation of
15	the measure looked into in depth of how can we
16	get at the quality of the medication
17	reconciliation, which includes more than just,
18	you know, were they reconciled. Did you do
19	education with the patient about it? Did you
20	actually identify that each medication was
21	indicated?
22	As we looked through all of our

options for actually getting down into this level 1 2 of detail, it was just not feasible with a quality measure. And this may be one of the 3 areas where the limits of measurement are 4 5 actually you can't get up with this. So, one area that we did actually we 6 7 didn't look at this, but we looked at some research of others where they compared the 8 9 hospital discharge medication list to the list in 10 the outpatient record to then say, okay, well, 11 what was the number of discrepancies? 12 The challenge with that is that you 13 can't tell if the discrepancy was intentional or 14 So, someone might have actually -- a not. 15 provider in their outpatient list might have 16 discontinued something that someone was 17 discharged with because they didn't feel it was 18 appropriate. And that was an intentional 19 discrepancy versus an unintentional discrepancy. 20 And so, getting down into that level 21 of detail what's documented right now in medical 22 records we really can't get to that level of

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detail.

2 And so, where we are right now is with a measure that does just say, did you look at 3 4 both lists and compare them? It, I agree, doesn't get at the level 5 of quality we want to, but I will point out that 6 7 in special needs plans this low level is only being done in 35 percent, on average, of 8 9 discharges. 10 So, we see a need to significantly 11 improve performance on this measure and then look 12 at other things potentially like structural 13 measures and quality improvement efforts that can 14 be used to help bolster this whole concept of 15 medication reconciliation. 16 MS. MCGIFFERT: Thank you. 17 CO-CHAIR THRAEN: Ed, and then Josh, 18 and then Yanling, and then Steve. 19 CO-CHAIR SEPTIMUS: It looks to me as 20 reading this that this could be reconciled by any 21 number of three professionals; is that correct? 22 I'm just going to tell you

philosophically something. It says that any one of three practitioners can do this.

It seems to me by doing it this way, that you've taken the physician off the hook for his or her primary responsibility for patients. So, I have a concern about that.

7 And then secondly, you've said within 8 30 days; is that correct? Is there a particular 9 reason you picked 30 days? That assumes that a 10 patient discharged will see a practitioner in 30 11 days, which of course is not always the case.

DR. GIOVANNETTI: So, I'll first address the three different practitioner types. This can be done by a physician, any type of prescribing practitioner, including a nurse practitioner, a clinical pharmacist or a registered nurse.

18 And this is based off of several
19 interventions that have shown that these
20 different professions can actually do medication
21 reconciliation very effectively as part of team22 based care. And so, actually there's a lot of

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evidence that clinical pharmacists can play a 1 2 very critical role in medication reconciliation. What we look for is that it's 3 4 documented in the outpatient record so that it 5 can't be that a clinical pharmacist does a reconciliation and does it over here and it never 6 7 gets documented back to the central record. And so, that's kind of where we are 8 9 looking for -- we don't want to say this has to 10 be the physician that does this, but it has to be 11 documented in the record. 12 CO-CHAIR SEPTIMUS: I'm not 13 disagreeing with the value of other 14 practitioners, but it seems to me that somewhere 15 in the medical record that the physician has to 16 sign off that medications have been reconciled. 17 That's what I'm saying. 18 I know that pharmacists play a 19 valuable role. I know that nurse practitioners 20 and nurses, I mean, physician assistants can play 21 a valuable role, but that's generally under the 22 supervision of a physician.

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1	And so, my only concern about this is
2	not that they don't play a valuable role, but
3	that I think we have to have someplace in there
4	that that is acknowledged by the physician.
5	That's my only thought.
6	CO-CHAIR THRAEN: So, I want to remind
7	people that we're talking about the evidence
8	right now. So, if your questions are related to
9	the evidence
10	CO-CHAIR SEPTIMUS: Based on the
11	evidence, it sounds like you have the evidence
12	supporting
13	(Comments off record.)
14	CO-CHAIR THRAEN: Josh.
15	DR. RISING: Hi. Thanks. This is
16	Josh Rising. I just wanted to make sure I was
17	understanding the numerator and the denominator
18	correctly on this.
19	So, if you have a patient discharged
20	from the hospital who never follows up with his
21	or her primary care physician, so then so med
22	rec is never done. So, presumably that would

show up for both the health plan and for 1 2 integrated delivery system as no med rec being And then would it also show up for the 3 done. 4 physician who has been assigned to that? So, for the physician it's only when 5 you see a patient who has been discharged from 6 the hospital, did you do med rec at that 7 particular visit? 8 9 DR. GIOVANNETTI: Yes, you're entirely 10 right. There's different accountability models. 11 Now, is there a reason we DR. RISING: 12 wouldn't want to be assigning it to a primary 13 care physician assuming that the patient has a 14 primary care physician as well? 15 DR. GIOVANNETTI: I think you just hit 16 the nail on the head as identifying who is the 17 accountable physician for a patient that never 18 followed up with a physician. 19 And so, that's just a limitation of 20 the PQRS program. 21 CO-CHAIR THRAEN: Yanling. 22 I didn't hear Ed's DR. YU: Yes.

question about 30 days. I do have a concern 1 2 about that, too, because I understand that sometime you document it not right at the time or 3 4 maybe have a little short window, but how do you 5 distinguish between someone delayed their documentation versus, you know, not just the 6 reason that, you know, got 30 days. 7 To me, I don't see any -- in most 8 9 cases you don't need 30 days to -- because, you 10 know, to verify the medical records, adequate 11 patients and, you know, their caretakers. 12 DR. GIOVANNETTI: So, you're correct. 13 The evidence for 30 days versus 15 versus seven, 14 you know, there's not enough evidence to really 15 say this is the one threshold. 16 30 days is the -- a lenient time. And 17 as I said before, we still see performance at 35 18 percent for just even reaching medication 19 reconciliation within 30 days. 20 So, when we've talked about this with 21 our advisory panels and they've suggested 22 shortening the follow-up time, they've actually

said maybe we should see performance improve 1 2 before we make this a more difficult measure. I'll also point out that two of the 3 administrative codes that can be used to meet 4 this measure are transitional care visits that 5 were recently approved by CMS, which can occur 6 7 within 30 days of discharge. And so, part of this is aligned with 8 9 the billing that CMS has said transitional care 10 occurs within the 30 days post-discharge. 11 And so, those visits -- so, we're in 12 some ways trying to align to minimize burden in 13 that way as well, but it's definitely on the 14 future when we see performance get to a certain 15 level that we would want to raise the bar and 16 makes this something that looks at a more 17 stringent time level. 18 DR. YU: Thank you. 19 CO-CHAIR THRAEN: Steve. 20 DR. LAWLESS: The evidence that -- or 21 the numerator/denominator excludes observation 22 patients, or includes observation patients?

1	X percent of patients who are there
2	within or seen out of the hospital within 48
3	hours for observation do not categorize as
4	inpatient. They are not part of the denominator?
5	DR. GIOVANNETTI: Give me one second
6	here as I'm thinking through. So, this is a
7	discharge from an inpatient facility.
8	DR. LAWLESS: Uh-huh.
9	DR. GIOVANNETTI: So, if they are
10	considered if they are observation and
11	considered hospital outpatient, they are, you are
12	correct, not included in this measure.
13	DR. LAWLESS: Okay.
14	DR. GIOVANNETTI: We have been
15	definitely going through the efforts of how do
16	you distinguish hospital outpatient claims that
17	are observation stays that are actual stays
18	versus hospital outpatient that is not a stay.
19	And that's one of the challenges we've been
20	struggling to overcome.
21	DR. LAWLESS: Okay. So, you're
22	looking at it.

And the other question is, is one of 1 the exclusions are patients who are readmitted 2 before the reconciliation is done. 3 I would consider was the evidence --4 5 that the reconciliation was not done caused the admission -- the readmission. 6 DR. GIOVANNETTI: I think that's a 7 very good point. So, I will say that if they're 8 9 readmitted, they get picked up in the measure the 10 next time they're discharged. So, they don't 11 eliminate from the measure whatsoever. 12 You are correct the readmission could 13 be the result of the medication reconciliation, 14 but we can't necessarily determine if they did 15 have an appointment scheduled where this was 16 going to happen and they came back to the 17 hospital before that appointment could happen if 18 it was a readmission within 24 hours, within 48 19 hours. 20 And so, we do exclude those 21 readmissions assuming they'll be captured the 22 next time they're discharged from the hospital.

1	CO-CHAIR THRAEN: All right. Any
2	other should we vote on the evidence?
3	MS. IBRAGIMOVA: Importance to measure
4	and report, 1(a), evidence structure process
5	intermediate outcome. The votes are one, high,
6	only eligible if QQC submitted; two, moderate;
7	three, low; four, insufficient evidence.
8	(Voting.)
9	CO-CHAIR SEPTIMUS: Lunch is here.
10	So, some of you have already so, I think if
11	you want to peel off and just grab your lunch and
12	come back to eat, that would be great.
13	MS. IBRAGIMOVA: The results are 36
14	percent high. 55 percent moderate. Nine percent
15	low. And zero percent insufficient evidence.
16	CO-CHAIR THRAEN: All right.
17	Performance gap. Chris.
18	DR. COOK: Yes. As already mentioned,
19	there is definitely a performance gap especially
20	with the special needs plan beneficiaries.
21	It is interesting to see that they're
22	going to be expanding that to Medicare advantage

plans and having it all the way across the board. 1 2 And of course Medicare advantage plans do take into the totality of the patient care 3 both from the medical and the pharmacy side. 4 So, 5 I think I see that as a good thing. The special need plan beneficiaries 6 were an average of 36.6 percent. 7 The tenth percentile reported 9.4 percent. And the 90th 8 9 percentile reported only 62 percent showing clear 10 room for improvement both from the mean, as well 11 as the variance across what's going on. 12 Within the PQRS system, interesting to 13 me here is that only 1.6 percent of eligible 14 providers chose to report on this measure. And, 15 again, this is one of those to where physicians 16 are able to choose which measures. It's not 17 mandatory for them to choose on it. 18 And so, what you see there is that 19 those who did report obviously have systems in 20 place that allow them to do this in a very 21 structured fashion. 22 And so, you saw a mean performance

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1	there of 96.3 percent. So, I think it clearly
2	shows this can be done. It's just not being done
3	by most. And so, we have a lot of room for
4	improvement.
5	CO-CHAIR THRAEN: Go ahead, Yanling.
6	DR. YU: Thank you. That's just one
7	of my question. The statements that only 1.6
8	percent of eligible provider choose to report.
9	So, I guess this performance gap is really how to
10	make them to report.
11	So, I wonder if you have any thoughts
12	on that how to make people to do things that you
13	make measures on.
14	DR. BARTON: I'm glad to say that from
15	what I understand of the MACRA legislation, there
16	will be CMS is looking to hold physicians
17	accountable either through what they've called
18	APMs, alternative payment mechanisms, which means
19	like an ACO or some sort of actual risk
20	arrangement, or if not that, then through what
21	they call MIPs, the merit-based incentive
22	program, which is going to ratchet up over time

1the requirements for clinicians in practice,2wherever they're in practice, to organize3themselves for reporting on quality and for4quality improvement.5So, I think that there's a lot of6reasons to be very hopeful. Of course, the7distance between legislation that's passed and8the regulations that get written can sometimes be9as we're suffering in the Supreme Court even10today so that it's not a slam dunk, but I think11that there's reasons to be hopeful.12And also reasons if you're so13inclined, to be active with professionals14organizations to try and influence how this gets15written in regulation.16DR. YU: Thank you.17CO-CHAIR THRAEN: Jason, then Susan,18then Charlotte.19DR. ADELMAN: I'm not sure that I20believe the performance gap. And that is to say21that I'm not sure that I believe in the validity		
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21 that I'm not sure that I believe in the validity	19	DR. ADELMAN: I'm not sure that I
-	20	believe the performance gap. And that is to say
22 of the measure	21	that I'm not sure that I believe in the validity
	22	of the measure.

1	And so, they're intertwined, my
2	comments, but I do believe that I think it was
3	somewhere like around 35 percent of the time
4	people are checking the box.
5	I just don't believe that 30 percent
6	of the time when people are discharged from the
7	hospitals, doctors consider the medicines that
8	they're on.
9	I think that what's more likely is
10	that they just don't care about checking the box.
11	There's not enough meaning and, you know, it's
12	egregiously bad care to, like, not consider the
13	medicines that a patient that was just in the
14	hospital was on. And I don't think that 60
15	percent of our providers are egregiously that
16	bad. I just think they don't care about checking
17	the box.
18	And so, you can call that a validity
19	comment, which I can circle back around and make
20	it again for a performance gap, but I just don't
21	I don't believe it.
22	CO-CHAIR THRAEN: Susan.

1 DR. MOFFATT-BRUCE: I think just from 2 the perspective of physicians -- so, as a CQO for a large academic medical center, PORS is not very 3 4 -- not been in our wheelhouse at all. PORS 5 measures have been chosen by administrators that find them easy to report on the behalf of the 6 7 physicians. As MIPs is rolled out, I am encouraged 8 9 because I think the docs are going to have to pay 10 attention and they are going to be made 11 accountable, because money talks. And I think 12 that's the first incentive for physicians, 13 unfortunately, to get kind of on board. 14 I think the more PQRS measures that 15 are meaningful, that are put in place and 16 endorsed will be helpful, because we can choose 17 the ones that will impact patient care and 18 ultimately improve, you know, the systems 19 approach to care. 20 So, I think that is encouraging. Ι think that is important. I think the MIPs 21 22 program with the right PQRS measures are going to

be very influential in providing the care we want 1 2 for our patients. 3 MS. MCGIFFERT: Can you tell me what that acronym stands for? 4 5 I'm just trying to DR. MOFFATT-BRUCE: What is it? Merit-based incentive 6 remember. 7 program. And so, I think it's encouraging 8 9 because they took away this idea that they were 10 going to reduce all the Medicare reimbursement, 11 but rather put in pay for performance and 12 accountability metrics at the physician level. 13 Right now it's very much at the 14 institutional level so they think it's my job, 15 whereas now it's going to be down to the 16 provider. It's going to be their job, which is 17 great. 18 CO-CHAIR THRAEN: Charlotte, and then 19 we have someone on the line that has a comment. 20 DR. ALEXANDER: So, I have a concern 21 that this is not meaningful, because it's too 22 easy to check a box and not do the activity, do

1

not really reconcile.

2 And even more important is to find out whether the patient filled the prescription, is 3 taking the medicine, is taking it appropriately. 4 5 And that needs to be looked at for disparities. I don't know that this will be 6 7 applicable for disparities, but I think it misses what we need to do. 8 9 CO-CHAIR THRAEN: And online is it 10 Kimberly or Ann? It's Kimberly. Kimberly, you 11 have your comment about PQRS? 12 DR. APPLEGATE: I already made it. 13 CO-CHAIR THRAEN: Sorry. Thank you. 14 Any other conversation about 15 performance gap? 16 (No comments.) 17 CO-CHAIR THRAEN: Okay. Should we 18 vote? 19 MS. IBRAGIMOVA: Importance to measure 20 and report, 1(b), performance gap. The votes are 21 one, high; two, moderate; three, low; four, 22 insufficient.

1	(Voting.)
2	MS. IBRAGIMOVA: The results are 36
3	percent high. 36 percent moderate. 23 percent
4	low. Five percent insufficient.
5	CO-CHAIR THRAEN: All right.
6	Reliability.
7	DR. COOK: The measure developer has
8	done testing at the level of the measure score.
9	It was performed both, as I said, at the
10	physician level. So, that was done through
11	charts. And then also from electronic medical
12	level testing for the plan level. So, two
13	samples were done for data element reliability.
14	In one sample, it was from four
15	practices using 62 patients. And then in the
16	PQRS system, 38,000 plus patients were used to
17	examine.
18	The nominator rate of agreement was
19	96.8 percent, which indicates that the
20	abstractors almost came to the same conclusion.
21	And then the numerator had a kappa
22	score of 0.97. Obviously very high.

		23
1	CO-CHAIR THRAEN: All right. Any	
2	questions?	
3	Yes, Yanling.	
4	DR. YU: Yes. Thank you.	
5	I have concerns about how the	
6	documentation would consider as the fact that	
7	medication reconciliation had been done.	
8	I think on Page 21 it says any five	
9	what it said that the data on which you perform	
10	any of the following evidence need criteria.	
11	So, you document a list of five	
12	things, but my concern is that things missing is	
13	very important for medication reconciliation is	
14	to document any there's contraindication, have	
15	had a drug reaction, have had a, you know,	
16	communication with the patients and caretakers,	
17	you know, about, you know, it's a process to me	
18	rather than just a single document said I done,	
19	check the box.	
20	So, the whole thing is how this	
21	process went through that makes sure that	
22	everyone on the team, including patient	

1	caretaker, is on the team and understand this
2	thing.
3	So, I'm concerned about that just
4	single check box.
5	DR. GIOVANNETTI: I think that this
6	panel has raised one of the central challenges
7	with measuring medication reconciliation, which
8	is this balance between we want to see that many
9	things were done.
10	Like you mentioned, all of this
11	process was done. As Charlotte mentioned, you
12	know, all of the things happened to help people
13	actually understand their medications.
14	What's actually documented, though, as
15	sorry, I don't see your name down there, but
16	what's happening Jason. What's happening
17	versus what's document is there's a disconnect
18	there.
19	And so, we try to strike a middle
20	ground where we look for these types of
21	documentation that is reasonable with what we
22	expect a provider to actually write in the note

section of I looked at the, you know, here's the 1 2 discharge list, here's the things that were changed, or here's a copy of the discharge list 3 4 or, you know, or, as you say, checking the box or 5 just a notation of reviewed and reconciled. Those types of things are what we look 6 7 for as kind of the bear minimum that we would expect of providers to document, but they may be 8 9 doing much more that they're not documenting. 10 And we want to strike that balance 11 between not asking providers to spend all of 12 their time documenting 15 steps of I educated the 13 patient, I, you know, I looked for contraindications, I did this, I did this, I did 14 15 this, because that turns into 15 boxes we have to 16 check versus also trying to have some type of 17 minimum documentation. 18 DR YU: I just think that, you know, 19 to ask for providers to check whether they have 20 been, you know, indicated in the medical records 21 in term of a cancellation that, I mean, a

contraindication, a drug potential interaction of

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and adequate patient, I think, is a huge 1 2 investment actually in the time spent, because that can happen. 3 That can have a medical error and 4 5 have, you know, that can cause more actually to fix the medical error. So, I think that that 6 7 shouldn't be a, you know, a burden, look at it as a burden. 8 9 You can just make boxes, make 10 prioritize what needs to be done and check the 11 box. Thank you. 12 CO-CHAIR THRAEN: Chris, then Missy. 13 DR. COOK: Yeah, I think, Yanling, 14 what you're bringing up is absolutely true. And 15 the pharmacy profession, I know, is definitely 16 working on this. 17 I'm involved with the Pharmacy Quality 18 Alliance, where we are trying to answer and to 19 create measures and to build more robust 20 measures. 21 This current measure right now is sort 22 of that basic first step that is where we are

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currently.

2	There are a number of CMMI grants that
3	are going on around the nation, which definitely
4	deal with medication use and trying to get from
5	where we currently are to medication
6	optimization.
7	And so that work is currently being
8	done, but we don't have the solutions yet to
9	bear. And a lot of it comes into what are the
10	data limitations, both from EHR as well as claims
11	data, a number of different things that are
12	happening that are the barriers.
13	And I think overall our healthcare
14	system is trying to build that infrastructure to
15	get past that bridge, but as of right now, this
16	is the best we can do.
17	CO-CHAIR THRAEN: Go ahead, Missy.
18	MS. DANFORTH: Given, I think, the
19	Committee has really warranted concerns about
20	doctors just checking the box and the fact that
21	the measure has been endorsed since 2007, have
22	there been any efforts to just do some random

observations in some of these practices that are 1 2 voluntarily reporting on this measure to actually see if the physicians or the providers, I guess, 3 4 are just checking the box or actually going 5 through the steps, and is that a consideration? 6 DR. GIOVANNETTI: So, we do not actually run the PQRS program, so we don't know 7 which providers are reporting on this measure. 8 9 That's a CMS effort. 10 Where we do know that there is effort 11 is around the special needs plans. And 12 particularly, because this is a HEDIS measure 13 reported by special needs plans, and we've worked 14 with CMS to actually better understand their 15 quality improvement efforts around medication 16 reconciliation and understanding what are some of 17 the things that they are doing to try to improve 18 this process overall, particularly as it leads to 19 better outcomes for patients in the end. 20 So, I have not personally done any 21 observations. It's not a study that we have 22 done, but it is something that CMS is actively

interested in and is understanding what are the 1 2 efforts going on in special needs plans to do medication reconciliation. 3 MS. DANFORTH: Wait, but I think my 4 5 question is slightly different in terms of the reliability of the measure. 6 And so, this is giving physicians 7 and/or health plans credit every time a box is 8 9 checked. 10 And so, like, to Jason's earlier 11 point, if they're just checking the box and not doing anything, that does speak to the 12 13 reliability of the measure. 14 DR. GIOVANNETTI: So, just to be 15 clear, this is not --- there's no box. This is 16 actually looking for notation, as we said here, 17 of they signed off saying, I reconciled this. 18 And we have not actually done an 19 observational study of the reliability. I don't 20 know, Mary, if you want to build on that. 21 DR. BARTON: Well, I'll just say the 22 health plan measure requires chart review. And

the special needs plans are called upon, if 1 2 audited, to document what words notated in a chart are supporting their supposition that this 3 4 is a numerator hit. 5 So, I am less likely to be concerned about this use in a health plan setting than I am 6 7 in a physician setting. And I can appreciate the wide frustration with the PQRS program, but I 8 9 guess I would just echo what Susan said earlier 10 that there's hope that things are going to 11 change, and that this is a good time to see 12 change. 13 CO-CHAIR THRAEN: Charlotte, did you 14 have something, or is that left over? Okay. 15 Let's vote. Reliability. 16 MS. IBRAGIMOVA: Scientific 17 acceptability of measure properties, 2(a), 18 reliability. The votes are one, high; two, 19 moderate; three, low; four, insufficient. 20 (Voting.) 21 MS. IBRAGIMOVA: The results are: zero 22 percent high; 68 percent moderate; 27 percent

low; 5 percent insufficient. 1 2 CO-CHAIR THRAEN: All right. Validity. 3 4 DR. COOK: Within validity, there was 5 testing done as well. A systematic assessment of face validity was done very similar to some of 6 7 our other measures in this area, where there was an AMA convened PCPI standardized process using 8 9 33 members. 10 The mean rating for face validity was 11 4.0, with 73.91 percent of respondents either 12 agreeing or strongly agreeing that the measure 13 can accurately distinguish good and poor quality. 14 When you look at the threats to the 15 validity, there are no exclusions to this 16 measure, and it is not risk adjusted. 17 When you do look, there is a 33 18 percent gap in the performance between the 25th 19 and the 75th percentile. So, you do see a 20 distinguishable difference. 21 And there was definitely a gap among 22 the low and the higher performing Medicare

special needs plans when looking at it from that 1 2 standpoint.

CO-CHAIR THRAEN: 3 Jason. 4 DR. ADELMAN: So, they're primarily 5 relying on face validity that had --- and please correct me if I have this wrong --- that had some 6 7 30 experts that were asked the question, do they think the measure would be valid? And the 8 9 majority said yes. 10 However, I think, you know, depending on how you couch the question now that that has 11 12 happened, they have some data. And as I said 13 before, 35 percent are compliant. 14 I bet if you went back to the same 15 group and said, do you believe that 35 percent of 16 our doctors are not doing med rec, that they 17 would all now find it not valid. And so, I think that, in this case, 18 19 face validity is not acceptable and perhaps 20 investing in doing a more thorough validation is 21 worthwhile. 22

And also to the point --- I'm sorry.

You didn't know my name, and now I don't know 1 2 your name. 3 DR. GIOVANNETTI: Erin. 4 DR. ADELMAN: Erin. To Erin's point, 5 you know, it would be one thing if the measure was about documenting of med rec. 6 7 And, in that case, if the numerator didn't claim it's actually measuring med rec, but 8 9 it's measuring the documentation of med rec, then 10 we can say, okay, we're at 30 percent and people have to, you know, improve their documentation. 11 12 But since it's claiming it's an actual 13 measure of med rec, I just don't believe it's 14 valid, and I don't believe the face validity test 15 of 30 experts at that time without this 16 information is good enough. 17 CO-CHAIR THRAEN: All right. Other 18 thoughts? 19 CO-CHAIR SEPTIMUS: Erin, I want you 20 to meet Jason. 21 DR. GIOVANNETTI: I just want to put 22 out one thing, which is that it's not based

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solely on face validity.

2	We did do a test of empiric validity
3	where we looked at construct validity and its
4	correlation with another measure of medication
5	management, medication review, and we see strong
6	correlations there. So, we actually are seeing
7	this behave the way we would expect it to behave.
8	I would also say that this measure
9	just this was the original panel that did the
10	face validity assessment, but we just went
11	through this whole process with our panel again
12	because this went through reevaluation, and they
13	continue to support the face validity of it.
14	So, I agree with your assessment, and
15	they echoed the same concerns that there is a
16	problem with documentation of medication
17	reconciliation; however, I don't necessarily
18	think that is unique to this measure.
19	I think that is a problem with all
20	quality measures that are based off of chart
21	review when you are getting at something that is
22	not routinely documented, similar to what we had

around falls.

2	You may be asking about falls, but not
3	documenting asked about falls, no falls
4	documented.
5	I think it is something that is a
6	challenge with all quality measures; they are
7	really no measures of what's documented.
8	And we want documentation to improve,
9	because that in and of itself is an important
10	component of patient safety.
11	CO-CHAIR THRAEN: Steve.
12	DR. LAWLESS: Real quick. In your
13	validation studies, did everybody agree to what
14	medication reconciliation actually was?
15	DR. GIOVANNETTI: They agreed to what
16	we could measure, which is what is documented
17	here.
18	CO-CHAIR THRAEN: Should we vote?
19	MS. ARDIZZONE: I'm sorry. There was
	one comment on here that we got pre-reading this
20	
20 21	from the what is it? I'm so sorry oh,

Consortium, saying that they should be removed 1 2 from the denominator because it -- I guess it wasn't generalizable to their kind of group. 3 4 Could you comment on that, or have you 5 seen that comment? So, this is the same 6 DR. GIOVANNETTI: comment that was made on the falls measure; it's 7 8 the same organization. 9 When providers are providing care in 10 the -- a non-acute inpatient setting like a 11 custodial nursing home, they may be eligible to 12 report this measure if it is selected for them to 13 be reported on. 14 And I think that they recognize that 15 it is a challenge, it's a burden, but I don't 16 think they provided very good clinical evidence 17 that because someone is discharged to a nursing 18 home they are not --- they shouldn't have 19 medication reconciliation done. 20 CO-CHAIR THRAEN: All right. Let's 21 vote for validity. 22 MS. IBRAGIMOVA: Scientific

acceptability of measure properties, 2(b), 1 2 validity. The votes are one, high; two, moderate; three, low; four, insufficient. 3 4 (Voting.) 5 MS. IBRAGIMOVA: The results are: 0 percent high; 59 percent moderate; 41 percent 6 7 low; 0 percent insufficient. CO-CHAIR THRAEN: All right. We're in 8 9 Moving forward. Feasibility. the gray. 10 DR. COOK: There are no concerns 11 within feasibility. This is not an eMeasure, but 12 the data is captured from electronic clinical 13 data that is being used to report for CMS 14 meaningful use program. 15 Let's see. At the health plan and 16 physician level, it's obtained through the 17 administrative claims, electronic clinical claims for patient --- or paper medical records. 18 So, no 19 concerns overall. 20 CO-CHAIR THRAEN: All right. Any 21 questions? 22 (No questions.)

1	CO-CHAIR THRAEN: Shall we vote?
2	MS. IBRAGIMOVA: Feasibility. The
3	votes are one, high; two, moderate; three, low;
4	four, insufficient.
5	(Voting.)
6	MS. IBRAGIMOVA: And the results are:
7	32 percent high; 59 percent moderate; 9 percent
8	low; 0 percent insufficient.
9	CO-CHAIR THRAEN: All right.
10	Usability. Chris, do you have any comments?
11	DR. COOK: Just what's already been
12	stated in the fact of its NCMS Medical Part C
13	special needs plans. And apparently we've just
14	been told it's been extended to all of Part C
15	Medicare advantage plans.
16	Also, it's within the NCQA ACO
17	accreditation program, and that may also be
18	expanded to be used in the State of the
19	Healthcare report, as well as the Quality Compass
20	reports for public reporting.
21	CO-CHAIR THRAEN: Yanling.
22	DR. YU: Yeah, I just have one

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comment, a question. The measure was first endorsed in 2007 and is then recent endorsement is 2012.

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And since 2007 and, you know, with the 4 5 time progress, have you seen any efforts being taken or any progress in transparency 6 accountability once you started to see 7 endorsement? 8

9 DR. GIOVANNETTI: So, in the special 10 needs plans, we've definitely been seeing an 11 improvement in performance, particularly when the 12 payment has been attached to this as part of the 13 CMS Stars program. That has definitely led to an 14 improvement in performance on this measure, which 15 I think we demonstrated.

16 I think it's been about a 10 percent 17 -- just over the last year, it was a 10 percent 18 improvement in performance.

19 In the PQRS program, I think we've 20 talked at length about the challenges there where 21 we don't see the variation to see the 22 improvement, but we hope to see it as the program

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itself improves.

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2 DR. YU: Thank you. 3 CO-CHAIR THRAEN: All right. Shall we 4 vote? 5 MS. IBRAGIMOVA: Usability and use, the votes are: one, high; two, moderate; three, 6 7 low; four, insufficient information. 8 (Voting.) 9 CO-CHAIR THRAEN: We're missing two. 10 Try again. 11 MR. ANDERSON: Kimberly, we need your 12 vote. 13 DR. APPLEGATE: Hi. I'd like to vote 14 high. 15 (Pause.) 16 MS. IBRAGIMOVA: The results are: 33 17 percent high; 48 percent moderate; 19 percent 18 low; 0 percent insufficient information. 19 CO-CHAIR THRAEN: And the last one is 20 suitability for endorsement. Yes or no. 21 MS. IBRAGIMOVA: Overall suitability 22 for endorsement. Does the measure meet NQF

criteria for endorsement? One, yes; two, no. 1 2 (Voting.) MS. IBRAGIMOVA: The results are: 60 3 4 percent yes; 40 percent no. 5 CO-CHAIR SEPTIMUS: Okay. Well, that one was a close one, wasn't it? 6 7 (Comments off record.) 8 CO-CHAIR SEPTIMUS: Okay. Skipping 9 around based on people's needs and flights, we're 10 going to go to 0537. 11 MS. KEANE: Hi. This is Nicole Keane 12 with Abt Associates. We are the measure 13 developer; CMS is the measure steward. And I 14 have on the phone as well my colleague from 15 Colorado, Dr. Gene Nuccio, and also Dr. Angela 16 Richards. 17 Dr. Richards will start us off with an 18 introduction. 19 DR. RICHARDS: Thank you. 20 CO-CHAIR SEPTIMUS: If you can make 21 your introduction very brief, we'd appreciate it. 22 DR. RICHARDS: Yes, I can make it very 1

brief. Thank you.

2	Older people receiving home healthcare
3	have relatively higher rates of falls. And those
4	are, in turn, associated with injuries,
5	healthcare resource use including ED use and
6	hospitalization and increased mortality
7	rates.
8	We talked in review of a previous
9	measure about the American Geriatrics Society and
10	the British Geriatrics Society clinical practice
11	guidelines which make recommend use of a
12	multifactorial fall risk assessment.
13	We also have evidence based on a
13	We also have evidence based on a
14	Cochrane Review that found that risk assessments
14	Cochrane Review that found that risk assessments
14 15	Cochrane Review that found that risk assessments associated with reduced rate of falls from health
14 15 16	Cochrane Review that found that risk assessments associated with reduced rate of falls from health providers are in a unique position to assess the
14 15 16 17	Cochrane Review that found that risk assessments associated with reduced rate of falls from health providers are in a unique position to assess the environmental and other circumstances within the
14 15 16 17 18	Cochrane Review that found that risk assessments associated with reduced rate of falls from health providers are in a unique position to assess the environmental and other circumstances within the patient homes that may increase falls risk, and
14 15 16 17 18 19	Cochrane Review that found that risk assessments associated with reduced rate of falls from health providers are in a unique position to assess the environmental and other circumstances within the patient homes that may increase falls risk, and then to provide interventions and
14 15 16 17 18 19 20	Cochrane Review that found that risk assessments associated with reduced rate of falls from health providers are in a unique position to assess the environmental and other circumstances within the patient homes that may increase falls risk, and then to provide interventions and recommendations to mitigate those risk factors.

risk and provides home health agencies and 1 2 consumers with information that will enable them to monitor the extent to which fall risk 3 4 assessment is conducted for ambulatory patients. It is not limited to older adults; 5 however, we should short of note that 82 percent 6 7 of home health agency users are over 65. So, it does really hit on that population pretty well. 8 9 The measure is calculated based on 10 data from the mandated OASIS-C data set that the 11 home health agencies collect as part of their 12 comprehensive patient assessments. 13 And then the definition of the measure 14 is the percentage of home health episodes in 15 which patients who can ambulate had a multifactorial fall risk assessment at start or 16 17 resumption of care. 18 So, I think I'll just kind of conclude 19 that there since we've already heard a lot of the 20 evidence as it supports other falls risk 21 measures. 22 CO-CHAIR SEPTIMUS: Thank you. So,

who's going to do this one? 1 2 CO-CHAIR THRAEN: Pat Quigley gets to 3 do this. CO-CHAIR SEPTIMUS: Pat does it? All 4 5 So, let's go through the evidence, Pat. right. DR. QUIGLEY: 6 Sure. Thank you. And I'd also like to thank the developers so much for 7 that brief overview. 8 9 And what I would like to say, in 10 relationship to the evidence, is that this is a 11 home healthcare indicator. 12 And the evidence that is presented is 13 essentially for those who are community-dwelling 14 adults not in home care. 15 So, putting that aside, I will say, 16 though, that I did go ahead and add to the 17 literature review to support this. Because --18 because this is a home health indicator, that 19 there is evidence to address falls beyond those 20 who are ambulatory especially, you know, in 21 looking at those who are not ambulatory, but there's different risk assessment that has 22

1	advanced since 2012 when this was endorsed that
2	looks at intrinsic and extrinsic risk factors
3	inside the home and outside the home.
4	So, even though the evidence that's
5	here is good in relationship to why it's
6	important to identify fall risk in older adults,
7	I think, you know, the evidence in home care is
8	really emerging.
9	So, that being said, lack of evidence
10	is not evidence that something is lacking. So,
11	it's still an important indicator because there's
12	a lot of opportunity there, but this is a very
13	important measure for home care.
14	And I hope my CMS colleagues, if you
15	want to comment on my comments, that's okay.
16	CO-CHAIR SEPTIMUS: This is an
17	endorsed measure, correct?
18	DR. QUIGLEY: Yes.
19	MS. KEANE: Yes, it is.
20	CO-CHAIR SEPTIMUS: So, are you trying
21	to tell me we have an endorsed measure on no
22	evidence?

DR. QUIGLEY: No, it is, but it's
based on AGS guidelines, which is for ambulatory
care people in the community, but this is a home
care measure.
CO-CHAIR SEPTIMUS: Right.
DR. QUIGLEY: Right which is an
extension into the home setting.
CO-CHAIR SEPTIMUS: Any comments on
the evidence?
(No comments.)
CO-CHAIR SEPTIMUS: Okay. Well, I
guess well, we got to wait for Laura to come
back. Take your time, Laura.
MS. IBRAGIMOVA: So, importance to
measure and report, 1(a), evidence structure
process and intermediate outcomes. The votes are
one, high, only eligible QQC submitted; two,
<pre>moderate; three, low; four, insufficient</pre>
evidence.
(Voting.)
MS. IBRAGIMOVA: The results are: 10
percent high; 70 percent moderate; 10 percent

low; 10 percent insufficient evidence.

2 CO-CHAIR SEPTIMUS: Okay. We will 3 move on. Gaps in care.

DR. QUIGLEY: Yes. In terms of the opportunity for improvement, the data that was submitted on this did not indicate much opportunity for improvement.

8 The data for the year ending in June 9 of 2014 for the agencies indicated they had 20 10 valid episodes in which there was 98.4 percent 11 performance, but recognizing that this measure is 12 that for those who can ambulate in home care that 13 they have a multifactorial assessment done. So, 14 it's really yes or no.

DR. NUCCIO: This is Gene Nuccio from the University of Colorado. Just to clarify, we have close to six million episodes of care annually from 2011 through 2013 calendar years. So, we have more than 20 episodes on which these data are based.

21 And the --- for the --- it's a three-22 part --- it's --- we have --- while the measure

specifically looks at the assessment piece, we 1 2 also have data elements that look at whether or not that information was used in the plan of 3 4 care, and whether or not it was used --- if it 5 was implemented -- that is, that actions were taken. 6 And those data show that all across 7 those three years approximately between 96 and 98 8 9 percent of agencies are doing the assessment, the 10 plan and the doing of this. 11 DR. QUIGLEY: Thank you. 12 MS. ARDIZZONE: So, 98 percent of the 13 people are already doing this? 14 DR. NUCCIO: --- of agencies are, in 15 fact, assessing the fall risk. 16 CO-CHAIR SEPTIMUS: Yes, go ahead. 17 DR. NUCCIO: Just to give you some 18 context, we have two historical --- one 19 historical piece of data for the patients. 20 Approximately between 28 and 30 percent of 21 patients come to home health with a history of 22 falls, defined as two or more falls, or a serious

1	fall in the last 12-month period.
2	After the fall risk assessment,
3	approximately 88 percent of patients in home
4	health are judged to be at risk for falls.
5	So, the assessment process is
6	identifying patients who indeed are at risk using
7	that multifactorial falls risk assessment. And
8	agencies are taking action, again, at a rate of
9	about 98 percent to put it in the plan of care
10	and to do something about it.
11	As a result of this, the actual
12	percentage of patients who go to the hospital for
13	emergency care due to a fall, a serious
14	obviously a serious fall is only about 7
15	percent of those patients who go to emergency
16	departments for any reason.
17	So, the entire assess, plan and do
18	process that we have in place seems to be very
19	effective in reducing that potential risk
20	population that is 88 percent, down to about 7
21	percent who actually experience a fall that
22	requires an emergency department help.

1	CO-CHAIR SEPTIMUS: Lisa.
2	MS. MCGIFFERT: So, is this a measure
3	that's been topped out and it sure sounds
4	like it. And what do we do with that?
5	CO-CHAIR SEPTIMUS: Well, I think the
6	first thing we ought to do is vote on the gap.
7	And then if people think it's topped out, then
8	we'll discuss next steps. Does that make sense?
9	So, I don't see any other hands up, so
10	let us now vote on the gap.
11	MS. IBRAGIMOVA: Importance to measure
12	and report, 1(b), performance gap. The votes are
13	one, high; two, moderate; three, low; four,
14	insufficient.
15	(Voting.)
16	MS. IBRAGIMOVA: And the results are:
17	5 percent high; 25 percent moderate; 60 percent
18	low; 10 percent insufficient.
19	CO-CHAIR SEPTIMUS: It didn't pass.
20	Okay.
21	DR. PINES: Right. So, at this point
22	we would decide whether or not to put it on

1 reserve status or, I guess, do we go through all 2 the other measures? Okay. So, we go through all the other 3 ones first, and then we can decide at the end. 4 5 (Pause.) CO-CHAIR SEPTIMUS: If it didn't meet 6 7 1(a) and 1(b), then that was an automatic stop. So, okay. Let's keep going then. 8 9 DR. QUIGLEY: For reliability, because 10 this is electronic clinical data is the source of So, for reliability, as mentioned by 11 this data. 12 the additional comments, it was electronic 13 clinical data that was used for this testing, 14 from July 3rd, 2013 to June of 2014, at the 15 facility agency level. And this is where there 16 was the 9,443 agencies that tested 3.8 million 17 patients. 18 And they had the critical elements, 19 and they did the reliability testing interclass 20 correlation of 0.91. So, there's good 21 reliability. 22 CO-CHAIR SEPTIMUS: Comment. Lisa,

1 it's your --- okay. 2 (No comments.) CO-CHAIR SEPTIMUS: Seeing none, let's 3 4 vote on reliability. 5 MS. IBRAGIMOVA: Scientific acceptability of measure properties, 2(a), 6 7 reliability. The votes are one, high; two, moderate; three, low; four, insufficient. 8 9 (Voting.) 10 MS. IBRAGIMOVA: The results are: 41 11 percent high; 50 percent moderate; 9 percent low; 12 0 percent insufficient. 13 CO-CHAIR SEPTIMUS: Okay. Now, we go 14 to validity. 15 DR. QUIGLEY: Thank you. There's no 16 issues with validity. It's a yes or no indicator 17 of whether or not assessment was done. So, 18 there's no issues with validity. 19 CO-CHAIR SEPTIMUS: Comments. 20 (No comments.) 21 CO-CHAIR SEPTIMUS: Seeing none, we will vote. 22

1	MS. IBRAGIMOVA: Scientific
2	acceptability of measure properties, 2(b),
3	validity. The votes are one, high; two,
4	moderate; three, low; four, insufficient.
5	(Voting.)
6	MS. IBRAGIMOVA: The results are: 27
7	percent high; 64 percent moderate; 9 percent low;
8	0 percent insufficient.
9	CO-CHAIR SEPTIMUS: Feasibility.
10	DR. QUIGLEY: The data is easily
11	collected. It's collected in the clinical
12	electronic data elements, as I had mentioned.
13	And it's collected through the clinical registry,
14	the nursing home MDS, the home health OASIS
15	program. So, it's feasible to get this, and it's
16	not an eMeasure.
17	CO-CHAIR SEPTIMUS: Comments?
18	MS. ARDIZZONE: Sorry. I had a
19	question for the developer. I had brought this
20	up at another measure. So, again, there are some
21	competing measures. There's 0035, 0101. I know
22	each of the developer's responses has been,

Well, I'm looking at a very specific or a very
different aspect, or literally a different
piece."

4 A patient goes through all pieces as 5 they're going through their healthcare. How do we -- again, I'm concerned about the burden on 6 7 people reporting, and we're measuring all different aspects of one fall, or one person. 8 9 So, is there any talk, especially as we need to 10 make sure that measures follow a patient through 11 all transitions of care?

DR. RICHARD: Yes, it's a very good point. We have been using this particular one now since 2009, and it has been in the chart to remind home care staff particularly to do their risk factor assessment, and just focus on that.

17 This is not -- this is a process 18 measure. This isn't an impact measure. There is 19 help and work on developing a measure that 20 crosses care settings for an outcome measure. 21 And so, that may be where some of the 22 harmonization comes in.

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So, it is a very good point. At this 1 2 point, this is a measure that the home care agency population is very comfortable with, very 3 familiar with, and is -- you know, whatever 4 5 question you're answering from a provider perspective, the burden doesn't really fall on 6 7 the patient so much as it does the provider, and this is the item that the providers have been 8 9 collecting for many years now. 10 DR. NUCCIO: Also, the fact that this 11 is the community, if you will, measure. That is 12 that the assessment is done in the patient's 13 home, which is far different than the other 14 settings that the patient comes from, like a 15 hospital or nursing home. And so, there are many 16 other factors that need to be assessed in a 17 unique way for -- by our nurses when they do in 18 and do this assessment as part of the 19 comprehensive assessment in home care. 20 The data has mentioned part of the 21 OASIS instrument, and have been on the instrument 22 since 2010. So, we're very comfortable with

assessing it, but looking forward to
 harmonization across post-acute care settings
 with the impact measures.

CO-CHAIR SEPTIMUS: Iona?

5 CO-CHAIR THRAEN: So, we have to So, these have a three-year cycle. 6 enforce that. 7 I would anticipate in three years that the impact work should be close to being done, and that all 8 9 of these various measures that are either all 10 related to falls or all related to nutrition, or 11 all related to whatever came across the sectors, 12 the impact measures should be compared, and we 13 should see some retirement going on as we go to a 14 common measure that might have multiple 15 attributes.

So, part of that problem is because of the information systems that are different across each sectors. Also, the information systems are going to have to change in order to be able to yield that new type of measure. So, I think in three years, we will be at that place. At least starting.

CO-CHAIR SEPTIMUS: Okay, I don't see 1 2 any other hands. So, we'll vote on feasibility. MS. IBRAGIMOVA: Feasibility: 3 The 4 votes are one high, two moderate, three low, four 5 insufficient. The results are 48 percent high, 52 percent moderate; 0 percent low, 0 percent 6 7 insufficient. 8 CO-CHAIR SEPTIMUS: Okay, now, 9 usability? 10 DR. QUIGLEY: Thank you. I think that 11 everybody has discussed -- described adequately 12 that this is being done. 13 So, how it is being used to now move 14 into quality of practice I think is the next 15 step, and that was also my comments in getting to 16 present this is that there is emerging evidence 17 in the home health arena that the falls that 18 happen inside the home are different than outside 19 the home, and maybe they'll use this as an 20 opportunity to do something different and build 21 upon a yes/no measure, and also look at the 22 emerging fall risk assessment tools for the home

population.

2	The Missouri Alliance of Home Care has
3	done extensive work in this area. So, I think
4	there's an opportunity to really build upon this
5	clinical setting where patients are vulnerable,
6	and not just those who can ambulate.
7	CO-CHAIR SEPTIMUS: Thank you, Pat.
8	DR. YU: Thank you. I have a
9	question. Currently the measure is used for
10	healthcare public reporting. What if this
11	measure was not approved; would the data continue
12	to be collected? Would there continue to be
13	public reporting?
14	DR. QUIGLEY: Well, it's in OASIS.
15	So, it is something that's being done anyway. I
16	don't know about public reporting, but it's an
17	OASIS element, because OASIS has been requiring
18	this, as mentioned, since 2010. This measure was
19	originally adopted in 2009 before the OASIS.
20	DR. YU: So, my question is it has
21	been I've been thinking about the re-
22	endorsement. What is new? When you look at the

time change and what has been changed, do you 1 2 compare the improvement now days and compare it with data from back in 2008 when you first 3 4 endorsed? 5 So, I just -- I guess my question is what is new in this endorsement that when you 6 7 continue public reporting? Will the public say there's something different or improved since 8 9 2007? 10 DR. QUIGLEY: I'm not the developer, 11 but I'm say what's new is that they are doing it. 12 People are being assessed for falls; those who 13 are ambulatory. Back in 2007, it wasn't. Now 14 they are being assessed, and maybe the developers 15 would want to comment as well. 16 DR. NUCCIO: Yes, yes. We are. 17 There's always been a very strong level of 18 assessment, but that has actually improved 19 slightly across the three years of data. Three 20 complete calendar years of data that we have. 21 Also, I would like to point out that 22 -- you raised the question about whether or not

the outcome measure would be -- well, in this
 case it's a process measure. Would be posted on
 Home Health Compare.

4 CMS has the option, as I understand 5 it, to post measures on Home Health Compare that 6 are not NQF endorsed, and one of those was the 7 dyspnea measure that was not endorsed by NQF, but 8 continues to be reported on Home Health Compare 9 because we believe in the value of that 10 particular outcome measure.

But I'm a contractor. I can't speakdirectly for CMS.

CO-CHAIR SEPTIMUS: Go ahead.

14 DR. RICHARD: This is Angela Richard, 15 one of the measure developers. I'd like to point 16 out that one of the big differences in this 17 measure is that it requires a multi factorial, 18 and standardized validated assessment instrument. 19 So, I think since 2007, not only are 20 they doing it, but they're doing it in a more 21 systematic way and using evidence-based tools 22 actually and recommendations.

13

1	So, it really has we've seen not
2	only an improvement in what people are doing, but
3	in how they're doing it we believe.
4	DR. NUCCIO: Including the Missouri
5	Tool that you referenced.
6	DR. RICHARD: Right.
7	DR. NUCCIO: We know that there was a
8	major push by another private commercial
9	contractor to create or find a multi factorial
10	instrument that was validated and standardized,
11	and they settled on and have highly touted the
12	Missouri document.
13	We know that many agencies make use of
14	that. CMS does not require a specific tool.
15	Only that it is standardized and elevated.
16	DR. QUIGLEY: Thank you. I did add
17	that. This is Pat Quigley's voice. I did add
18	that to the comments in the review.
19	CO-CHAIR SEPTIMUS: I think this
20	committee knows that I think people prefer NQF
21	endorsement, but it is not a requirement. So, I
22	think it's going to be up to the decision of CMS

if we reserve this because it may have topped out 1 2 whether or not they want to continue to have 3 reporting. So, let's go to usability. 4 MS. IBRAGIMOVA: Usability: The votes 5 are one high, two moderate, three low, four insufficient information. So, the results are 14 6 7 percent high; 67 percent moderate, 19 percent low, zero percent insufficient information. 8 9 CO-CHAIR SEPTIMUS: Okay, now we'll go 10 to the last one, which is should we overall 11 suitability for endorsement? 12 MS. IBRAGIMOVA: Overall suitability 13 for endorsement: Does the measure meet NQF 14 criteria for endorsement? One yes, two no. 15 CO-CHAIR SEPTIMUS: Just vote yes or 16 no, and then go to the next step. We have to go 17 through the whole process. If you decide to 18 endorse it, we'll go back and say whether we want 19 to put it on reserve status, okay? Just to let 20 you know, okay? 21 DR. RISING: My understanding is that 22 it can't be endorsed because it didn't qualify

for that -- it didn't meet --1 2 CO-CHAIR SEPTIMUS: That's -- that --DR. RISING: We still have to go 3 4 through the other steps though because it's still 5 eligible. CO-CHAIR SEPTIMUS: That's how you 6 7 should go to the rest. It didn't pass the gap. Why don't you introduce yourself since you've 8 9 joined. 10 Hi. MS. MUNTHALI: My name is Elisa 11 Munthali. I'm Vice President for Quality 12 Measurement at NQF. I'm stepping in for Helen. 13 We do need to apologize about the 14 process you went through for reserve status 15 yesterday. It was a misstep. What you should 16 do, the friction you're sensing and the vote 17 reflected that there wasn't an opportunity for 18 improvement there. 19 It sounds like from how the votes are 20 falling that you still think it is a good 21 measure. So, what we're going to do is vote on 22 the overall suitability, which you have just

1 done, and it has passed. Now, we're going to go 2 to a yes or no on reserve status. CO-CHAIR SEPTIMUS: That's just what 3 4 I said. Of course, it's not what I thought 5 yesterday. So, the results are 6 MS. IBRAGIMOVA: 7 67 percent yes; 33 percent no. CO-CHAIR SEPTIMUS: Passes the 8 9 So, now we have to go to whether or consensus. 10 not we want to retire this to reserve status. Do 11 you have -- oh, you've got it. Look at this. 12 Not yet. Wait a minute. Okay, so now we get to 13 say do you want to endorse the maintenance 14 potential to reserve status. 15 The votes are one yes; two no. The 16 results are 95 percent yes; 5 percent no. 17 CO-CHAIR SEPTIMUS: All right, it's on 18 reserve. 19 CO-CHAIR THRAEN: So, because of 20 scheduling issues, we're not going to go back and 21 re-visit the old one yet. We might end up doing 22 that on the phone after the fact.

1	We're going to go to 419 because we
2	have the developers on the phone, who have to
3	leave at what time? Developers have to leave at
4	1:30, and it is now 1:30. So, are they leaving?
5	Okay, so which one is it? It is 419.
6	They have to leave at 2:00. 419, please step up.
7	This is Quality Insights of Pennsylvania. Good
8	afternoon. Please introduce yourselves.
9	DR. BERG: Is the microphone working?
10	Well, I was prepared to say good morning, but
11	good afternoon to the group here. I'm Sven Berg.
12	I'm the Chief Medical Officer at the West
13	Virginia Medical Institute, which is the parent
14	organization for Quality Insights.
15	I am joined by Alyssa Crawford from
16	Mathematica, with whom we work now. On behalf of
17	CMS, and as the measures developer for this
18	measure, Quality Insights of Pennsylvania is
19	pleased to introduce NQF 0419: Documentation of
20	Current Medications in the Medical Record for
21	Consideration of NQF re-endorsement.
22	This measure was developed to promote

medication safety by requiring physicians to 1 2 review patients' most current medications list at 3 every encounter. This allows for more effective 4 5 monitoring for medication errors, and is a critical activity to prevent adverse events. 6 7 The measure received initial endorsement from NQF in 2008. It was implemented 8 9 into the Physician Quality Reporting System, 10 beginning in 2010, and into the Meaningful Use 11 Program, beginning in 2013. 12 In 2013, over 100,000 eligible 13 providers who participated in the PQRS program 14 reported to this outpatient measure using either 15 claims or registry data. The intent of this process measure is 16 17 for all eligible medical professionals to 18 document a list of current medications, using all 19 immediate resources available at every encounter 20 or patient visit. This list must include all known 21 22 prescriptions, over-the-counters, herbals and

vitamin/mineral dietary nutritional supplements, 1 2 and must contain the medication's dosage, frequency and route of administration. 3 This measure focuses on the adult 4 5 population, those 18 years and older, and the denominator includes all visits occurring during 6 the 12 months reporting period. 7 Patients in an urgent or emergent 8 9 medical situation, in which time is of the 10 essence and the delay in treatment would 11 jeopardize their health are excluded or exempt 12 from this measure. 13 Evidence suggests that frequently 14 identifying document DNA, maintaining a list of 15 patients' medications requires -- reflects high 16 quality care. The process this measure assesses 17 is foundational to multi component approaches to 18 decrease ADE's, which in turn reduces unnecessary 19 medical treatment, and minimizes the financial 20 burden on patients and payers. 21 Eligible professionals see this as an 22 important measure and many have opted to report

it in the PQRS and Meaningful Use programs. 1 2 Furthermore, recent testing has shown that the measure is feasible, valid and reliable. 3 4 Unfortunately, our colleagues at CMS 5 may not have been able to join us for this discussion of this measure due to the change in 6 time, which was considered. However, based on 7 our recent conversations with them, I believe 8 9 they would want us to reiterate that this measure 10 has an important part of a comprehensive approach 11 to quality improvement and a key component of the 12 GPRO, PQRS, and Meaningful Use BP programs. 13 Thank you for this opportunity to 14 discuss the measure today. 15 CO-CHAIR THRAEN: Kendall? 16 DR. WEBB: So, I had one general 17 question. I didn't find -- in this, you talk 18 about eligible professional as part of this. Who 19 do you consider an eligible professional? 20 DR. BERG: Sure. The EP's are those 21 defined by CMS under the Physician Quality 22 Reporting Program. I can give you an entire

list, if you like. I can read it, but it's a 1 2 quite extensive list and it is defined by CMS. I would just add that 3 MS. CRAWFORD: 4 the list includes physicians, as well as a number 5 of other types of practitioners, including PA's, nurse practitioners, social workers, dietitians, 6 7 audiologists, and also therapists including physical, occupational and speech therapists. 8 9 DR. WEBB: How about medical 10 assistants? 11 This is Dan Green from DR. GREEN: 12 CMS. Medical assistants would not be included in 13 this. 14 DR. WEBB: So, licensed practitioners, 15 anybody who carries a license it sounds like? 16 DR. BERG: That would be correct. 17 DR. WEBB: I just wanted to make sure 18 we knew what we were talking about and who this 19 applies to. So, 419 --20 CO-CHAIR THRAEN: Steve? 21 DR. LAWLESS: Certified medical 22 assistants cannot do this, correct?

1	DR. GREEN: We're looking for people
2	who are submitting billing claims, if you will,
3	to CMS.
4	CO-CHAIR THRAEN: Go ahead, Kendall.
5	DR. WEBB: I had one more quick
6	question. Is this an e-measure?
7	DR. BERG: Yes.
8	DR. WEBB: All right, so evidence.
9	They provided a great introduction. I don't
10	think we need any more introduction. So, for
11	evidence, this is a process measure. There was a
12	systematic review, and NQZ presented.
13	There is good evidence that adverse
14	drug events are a problem, especially in the
15	outpatient setting. Of note, this is an
16	attestation process, where the practitioner
17	attests that they have reviewed or provided this
18	documentation.
19	It does not tie back, actually. They
20	have seen an improvement in performance just from
21	an evidence perspective, but it has not tied back
22	to a decrease in adverse drug events, as they

have seen an increase in attestations. I just 1 2 want to make that note as we go through the evidence. 3 I think otherwise, the evidence is 4 5 excellent. It does tie med rec to ADE's, but I -- what they're trying to do is decrease the 6 ADE's, and they don't have that tie back in 7 So, as we're voting, I want that to be 8 there. 9 noted. 10 CO-CHAIR THRAEN: Steve, did you still 11 have a question? Questions? Josh? 12 DR. RISING: Hi there. This is Josh 13 Rising. Certainly, there is a lot of evidence 14 about the frequency of adverse drug events that 15 is documented. 16 I guess what I didn't see, and I was 17 hoping you could talk me through a little bit, 18 was a sense of whether this frequency of med rec 19 will help address the ADE challenge. Because 20 that was something that I didn't see in the 21 evidence, and presumably is an essential part of 22 why to have the measure.

1	DR. BERG: Let me begin by making a
2	slight modification to your statement, but this
3	is not a medication reconciliation measure. This
4	is a documentation of medications.
5	So, it is this is not a med rec
6	measure in and of itself. One of the things that
7	is difficult about any analysis of this type of
8	measure is that this is a quality best practice,
9	and to design a study that would compare two
10	groups: one doing this and one not doing this, we
11	really feel would be an unethical proposition.
12	So, obtaining the type of evidence
13	that you're looking for is difficult for us to
14	do, and could be unethical.
15	However, at the same time, we believe
16	that a that it is logical to draw a conclusion
17	that it is necessary to have the complete list of
18	medications if you're really going to assess
19	whether there could be adverse drug reactions,
20	and then to be able to avoid those reactions.
21	MS. CRAWFORD: A number of the studies
22	cited in our evidence back up the statement that

1	a lot of current documentation of medications is
2	inaccurate. I think that's pretty clearly noted.
3	Sorry about that. I was saying in
4	connection with the point that Dr. Berg just
5	made, there's some pretty clear evidence that
6	current medication lists are inaccurate, and that
7	there's often incomplete or inaccurate
8	information, not only about which medications a
9	patient is taking, but the dose of the medication
10	and administration, and other very important
11	details.
12	I think Dr. Berg said it well in terms
13	of the inability to devise a randomized
14	controlled trial that would actually determine
15	the effectiveness of this particular practice. I
16	wanted to add that in addition, it is difficult,
17	if not impossible, to design an analysis that
18	could control for all of the other variables that
19	might be inherent in the process, even if you
20	wanted to look at whether there was a correlation
20 21	wanted to look at whether there was a correlation with decreased and adverse events, it is very

other factors that influence that kind of an
 outcome.

So, it's the reason why our evidence 3 4 and testing approach did not consider looking at 5 that kind of a predictability assessment because of the fact that it would be very difficult to 6 7 devise one that would accomplish that effectively. 8 MS. MCGIFFERT: 9 So, just to follow up 10 on that, is there evidence presented that doing 11 this action leads to accurate lists as opposed to 12 inaccurate lists that we have lots of evidence 13 there is inaccurate listing? 14 DR. BERG: I'm not aware that we have 15 anything to that effect. I would add that I 16 MS. CRAWFORD: 17 think part of the reason why we don't have 18 anything to that effect is because nobody is 19 looking for that evidence because it is a sort of 20 understood part of the process. 21 I was saying I think part of the 22 reason why we weren't able to find evidence that

clearly made that statement is because of the unethical issue that Dr. Berg pointed out, which is that if this is such an established part of care that should be occurring in all visits, but which our test results show is not, that it would be very difficult to devise.

Nobody is going to do a study to show
that connection because you would have to stop
doing it for a certain number of patients.

10 MS. MCGIFFERT: You could have a third 11 party verify the accuracy of the list versus 12 inaccuracy of the list. There wouldn't be 13 anything unethical about that.

14 MS. CRAWFORD: True. There are a 15 number of studies that have looked at adverse 16 medication reconciliation. Again, this is a 17 medication documentation measure, but after 18 medication reconciliation, there are studies that 19 show that doing that process improves care, and 20 that looking at the medication and discussing 21 medication lists with patients does identify a 22 number of discrepancies.

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1	CO-CHAIR THRAEN: Lisa?
2	MS. DANFORTH: I just want to make one
3	more comment, and I don't know if the other
4	committee members who were here last year would
5	agree with me, but I remember some radiology
6	measures where the measure was basically, "Do you
7	document this thing, or do this thing?"
8	What we agreed was that exposure to
9	radiology is extremely important. It is
10	something that should be measured and we need to
11	measure. But this particular one was really too
12	distant from the outcome.
13	This measure kind of reminds me of
14	that, where medication errors are extremely
15	prevalent, and we need good measures of
16	medication errors. We don't have a good outcome
17	measure. So, we're seeing these processes'
18	structural measures.
19	But to me, the distance from the
20	outcome is too far. In the case of those
21	radiology measures, we said, "We're going to say
22	no today, and we hope that encourages you to come

back with something better."

2	I'm just thinking that in my opinion
3	that is what I'd like to see the committee do
4	today. If think if we endorse this as a measure
5	but we don't push this any further, three years
6	from now this is going to be up for reendorsement
7	and we're not going to be any further along.
8	We know medication errors are frequent
9	and dangerous, and is this really the best way to
10	improve on it? I just wanted to mention that.
11	To be consistent also with the way we've treated
12	some of our measures, I think.
13	DR. GREEN: This is Dan Green from
14	CMS. Completely respect what you just said, but
15	I would suggest that in the absence of a perfect
16	or better measure, not to necessarily discount
17	this measure.
18	I mean as a physician, it kind of
19	shocked me as we were developing this measure
20	that we even needed a measure to tell providers
21	that they should be assessing what medication,
22	herbals and botanicals that their patients are on

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when they're caring for them.

2	As an OB/GYN, you'd be surprised how
3	many folks, for example, are taking botanicals
4	that could interfere with their clotting, but
5	their docs have absolutely no idea about, or even
6	the primary care doctor thinks, "Oh, you are on
7	the same June 26, 2015 medications."
8	But in the mean time they see someone
9	different, and they put them on something
10	different. We talked about different types of
11	practitioners. I mean even taking it, for
12	example, to a dietitian who is trying to help
13	somebody, perhaps, with weight loss.
14	It would be helpful for them to know
15	if the patient is on an SSRI medication. They
16	may be fighting a little bit of an uphill battle.
17	So, again, it surprises me that we need the
18	measure, but we do need the measure. And until
19	something better is created, I would suggest this
20	is important.
21	CO-CHAIR THRAEN: This is Iona. So,
22	I guess the argument we just made talks about

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individual practitioners, who are using
 supposedly CPOE kinds of tools and digital
 prescribing, and a variety of data sets that are
 out there.

On behalf of that, their individual 5 expertise, they are documenting that in their 6 individual EHR's. So, the argument that you made 7 is that that should be available to the next 8 9 provider, which is an interoperability question, 10 and a transfer of information question, and not a 11 documentation question, in my mind, of the 12 provider that is doing the service at that point 13 in time.

So, does this really get at that argument that you just made about the dietitian being able to see that you're on an SSRI, when it's really an interoperability question as opposed to a documentation question?

19DR. GREEN: Again, you make an20excellent point, and certainly at CMS and HHS in21general as I'm sure you do, we all strongly22support the use of electronic health records.

And equally or more important, the 1 2 interoperability of the records. Unfortunately, as you painfully know 3 probably, we're not there with interoperability. 4 5 I mean, things are improving. Pharmacies are starting to be able to communicate to electronic 6 health records. Not just the pharmacy where the 7 patient fills medication A, but if they go to a 8 9 different pharmacy to fill another medication. 10 With the payers and what have you 11 becoming, we'll eventually get to the point where 12 that information will be fed back to the 13 rendering docs system. But unfortunately, 14 between that and the interoperability, we are 15 just not there yet. 16 DR. BERG: I think to tag onto that, I would argue that the reason we're asking all 17 18 eligible providers to do this is for that very 19 reason: that the interoperability isn't there. 20 So, we're asking all of them to ask

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these questions, and to document that, and that

makes that connection where interoperability

isn't there.

2	DR. GREEN: One last quick thing. As
3	I'm sure you all know, the level of update of EHR
4	use is different among different types of
5	providers. Psychiatrists, for example, are some
6	of the later adopters, the numbers aren't as high
7	for them, for example.
8	Dietitians, if they're working in a
9	hospital: Obviously, they're likely to be on EHR.
10	But if they're in independent consultant? Not
11	necessarily because many per say doesn't apply to
12	them.
13	MS. CRAWFORD: This is Alyssa Crawford
14	again from Mathematica. I just wanted to address
15	a point that was raised about developing measures
16	that get farther than this current measure. I
17	wanted to just state that I think it is a measure
18	that has been very clearly heard, and something
19	that perhaps I should note that this measure
20	is being maintained under a contract from CMS to
21	develop and maintain electronic quality clinical
22	measures for eligible professionals.

So, we have currently in the pipeline a number of measures that are under development. Some of which are very highly related to patient safety and go beyond the documentation of medications.

I would add that in order for those 6 7 measures to be able to work, we need to make sure that the data that's going into those measures is 8 9 Right now, the fact that a number of accurate. 10 those providers are still not updating the 11 medication lists at every visit suggests that 12 those measures may be based on bad data, which 13 calls into question not only their validity but 14 their feasibility moving forward.

15 So, I think in many ways, this measure 16 is foundational to that measure and what we're 17 currently seeing in the performance doesn't 18 suggest that we're at a point where this measure is ready to go away. We still need to make sure 19 20 that this data is going to be accurate so we can 21 measure the important things that you've brought 22 up. They're really what we should be focusing on

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moving forward.

2 CO-CHAIR THRAEN: Chris then Charlotte 3 then Michelle.

DR. COOK: I think all the points that have been brought up, and this comes back to the previous measure when we were talking about medications is that we don't have great measures currently.

9 I think it hits on that face validity 10 that there's no way to accurately find out what 11 the patients are if you're not asking. Much like 12 Dr. Dan Green was saying, it is shocking that 13 people aren't doing this as an automatic part of 14 their process.

15 It is not that you're seeing one 16 physician. It is that most of our patients are 17 seeing a multitude of physicians. So, whether 18 you're the primary care who is looking at it and 19 not getting the information back from their 20 endocrinologist or their cardiologist or the 21 podiatrist, if you're one of the specialists who 22 is not on the primary care, then you still have

to be able to catch all the different points that
 are ongoing.

3	So, to me, it is almost as hard to
4	believe that somebody would put in a central line
5	without using aseptic technique, and I cannot
6	believe that we have to put that as a measure,
7	but it is something that is absolutely necessary
8	now to help us avert further adverse drug events
9	that we know are occurring and we have great
10	documentation that is out there and is prevalent.
11	DR. LAWLESS: I'm going to give you
12	the other side. This is just as much of a
13	patient as a provider issue. So, before we just
14	get on physicians on saying, "How dare you not
15	enter the data." Evidence is out there.
16	Articles published. Half the patients, more than
17	half the patients, don't even know what
18	medications they are on.
19	If you ask a family member, "What are
20	you taking?" They are getting it wrong over half
21	the time. Unless you ask them to bring the
22	medicines in with them. You still get to about a

90 percent rate of accuracy.

2 So, I think what you're asking is you're trying to get a central repository of 3 4 medications that we know you are taking from 5 pharmacy data and from other stuff. So, I think it is a great goal. 6 But 7 I also think quite honestly sometimes with medication listing the less data you have is 8 9 better because it helps people question at the 10 time of validity. "What medications are you 11 actually taking? I have very minimal here." 12 So, the reality is the surgeries and 13 that kind of stuff. That's why they ask at the 14 last minute. It's because you can't trust the 15 list. Even if you're asking, half the time the 16 family doesn't even know what they're all taking. 17 Is it better to prompt the question at 18 the time of delivery? Is it better to have a 19 central repository that people can go to do this? 20 Before we get on physicians only, it is just as 21 much a patient and provider issue. 22 DR. GREEN: Well, there's no doubt

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I don't think that should let 1 that that's true. 2 the provider off from making the effort to try to find out. We've even added language in the 3 4 measure last time we went before NOF. I think it 5 was something to the providers' best efforts or -- I mean if somebody forgets to tell the doc that 6 they're on a particular medicine, they are not 7 going to go revoke their Medicare or billing 8 9 privileges or something like that. 10 I mean, everything in a medical 11 record, as you will know, is based on the history 12 that you're given. So, you can only do what you 13 can do. But you're right; it definitely works 14 both ways, but at least we can adjust the doctor 15 part. CO-CHAIR THRAEN: Charlotte and then 16 17 Michelle. 18 DR. ALEXANDER: So, I'm hearing what 19 everyone is saying, and I agree to a great 20 What I find is that none of us are extent. 21 perfect historians, and patients are not perfect 22 historians.

They will come in one day and tell me 1 2 one thing, and then on another day another. Ι get great benefit when I go to the operating room 3 4 and my anesthesiologist has asked what drugs 5 they're on and what allergies they have and I can compare it to what I have, and it's not always 6 7 the same. So, I think every time we ask is a 8 9 It gives us a chance to catch things benefit. 10 that may fall through the loops. It may not be 11 perfect in an ideal world. But we've got 12 transparency, so we can look. 13 My heparin office calls the pharmacy 14 if the patient can't tell what they're on. We're 15 really trying hard to capture this data. I know 16 I would say every time we can it's a benefit. 17 DR. SCHREIBER: Thank you. I fully 18 agree with you that we need a medication list and 19 it should be in there every time. I'm trying to 20 clarify about the documentation piece, and what 21 you're actually measuring. Because according to 22 what you've written, it's the licensed

professional who has to document the med list. 1 2 Well, in our clinic the MA documents the med list, or a nurse, or a pharmacy technician. 3 4 Does that count when they do that and 5 the physician reviews it? Do they actually have to do the documentation? 6 7 DR. GREEN: No. That's a great It doesn't really matter who does the 8 question. 9 documentation. Your medical assistant can do it, 10 as you said. We do expect for the doctor to 11 report this, but they would review it. "Okay, so 12 I see you're on, you know, whatever." But they 13 don't have to literally do the writing or the 14 typing in the health record. 15 CO-CHAIR THRAEN: All right, I think 16 it is time to vote. 17 MS. IBRAGIMOVA: Importance to measure 18 and report 1A evidence, structured intermediate 19 outcome. Votes are 1 high, only eligible if 2 20 QQC's submitted; 2 moderate, 3 low, 4 insufficient evidence. 21 22 Results are 19 percent high; 57

percent moderate; 14 percent low; 10 percent 1 2 insufficient evidence. CO-CHAIR THRAEN: All right, 3 performance gap? 4 5 Performance gap: They DR. WEATHERS: show interesting evidence that actually using 6 7 this attestation or they got better performance, they increased from 75 percent using PQRS and 8 9 other measures but PQRS being the main one, from 10 75 percent attestation rate in 2010 to 88 percent 11 in 2013. Eighty-eight percent is not 100 12 So, I would say that there is a percent. 13 performance gap. 14 CO-CHAIR THRAEN: Any questions? 15 Let's vote. 16 MS. IBRAGIMOVA: The importance to 17 measure and report 1B performance gap: The votes 18 are 1 high; 2 moderate; 3 low, 4 insufficient. 19 The results are 43 percent high, 33 20 percent moderate, 19 percent low, 5 percent insufficient. 21 22 CO-CHAIR THRAEN: The reliability?

DR. WEBB: So, for reliability, they 1 2 actually have -- using data from Part B Medicare claims, PORS, administering data from registries 3 and EHR reports: Used 3 outpatient physician 4 5 practices from 255 patients in the report there for their testing. 6 7 MS. CRAWFORD: The 255 patients was the number that was manually abstracted for the 8 9 validity comparison and recorded later for the 10 It was based on the full sample, reliability. 11 which included 40 providers with an average of 12 407 patients and 770 encounters per provider. 13 So, it's a fairly substantial size --14 DR. WEBB: Okay, so, given that size 15 when they calculated out the reliability, they 16 had a reliability between 0.97 and 1, which is 17 good reliability. 18 CO-CHAIR THRAEN: Lisa? 19 MS. MCGIFFERT: Just quickly. The 20 data elements that we're looking at here are that 21 somebody said they checked it? Right? Okay. 22 DR. YU: I have a comment. One of the

criteria for physical -- oh, this is reliability. 1 2 I'm sorry. Never mind. CO-CHAIR THRAEN: All right, we'll 3 4 vote. 5 MS. IBRAGIMOVA: Scientific measurability of properties to reliability: The 6 7 votes are 1 high; 2 moderate; 3 low; 4 insufficient. 8 9 The results are 50 percent high; 52 10 percent moderate; 14 percent low; 0 percent insufficient. 11 12 CO-CHAIR THRAEN: Trying to Sorry. 13 multi-task. Didn't work. 14 DR. WEBB: So, validity testing. The 15 specifications do align with the evidence. The 16 validity testing was done both on the element 17 level and the score level. 18 Again, we talked about it. Three 19 outpatient offices and 255 patients were manually 20 It was noted in the discussion with abstracted. 21 the previous committee meeting that as far as the 22 validity was concerned, face validity results at

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the performance level were not reported and there 1 2 was no risk adjustment. There was no power analysis for the 3 4 reported sample size either. 5 CO-CHAIR THRAEN: Any questions? Comments? 6 7 MS. CRAWFORD: I just wanted to point your attention to Question 2B 2.2, which 8 9 indicates that we determined via simulation that 10 our sample of 255 cases had a greater than 80 11 percent power to detect at least substantial 12 kappa scores between EHR extract and --13 CO-CHAIR THRAEN: Any questions? All 14 right, vote. 15 MS. IBRAGIMOVA: Scientific acceptability of measure properties 2B validity: 16 17 The votes are 1 high; 2 moderate; 3 low; 4 18 insufficient. 19 Results are 10 percent high; 71 20 percent moderate; 19 percent low; 0 percent insufficient. 21 22 CO-CHAIR THRAEN: All right, next?

1	DR. WEBB: Feasibility: the have four
2	years of PQRS reporting. I don't see any issues
3	with feasibility.
4	CO-CHAIR THRAEN: Go ahead.
5	MS. ARDIZZONE: I just had a question
6	since this is four first e-performance measure.
7	When do we or e measure. Do we just look at
8	the technical review that you provided with the
9	comments, and that's it? Okay, thanks.
10	DR. YU: Under feasibility, the
11	criteria is "Can be implemented for performance
12	measure?" My concern is that we have this
13	discussion about how to really make this list as
14	accurate. I mean documenting is an excellent
15	idea, and you have to be accurate in order for
16	the list to be useful.
17	I can see either way. My personal
18	experience is I went to my mom's doctor, and the
19	medication she was not taking anymore was still
20	on there. So, it takes me going through with her
21	with the doctor and hasn't been there for some
22	time.

1	So, I'm just concerned about when you
2	try to do performance measurements, you don't
3	have a way or maybe you have a way and could
4	explain it. How do you really make sure that
5	list is accurate, updated and you know, so
6	it's useful?
7	DR. BERG: You make an excellent
8	point. Before coming to my current position, I
9	worked in the DoD system, and we dealt
10	significantly with medication reconciliation and
11	the electronic medical record that we had, and it
12	was very difficult. Medication lists were often
13	wrong.
14	So, it really took repeated encounters
15	with the patients, going through the list of
16	everything that we have, striking those things
17	that were on the list and adding new drugs,
18	etcetera.
19	So, in this specific measure, there is
20	no mechanism built into it to ensure that
21	everything is correct. What I would argue is
22	that if we don't ask at each encounter, we're

less likely to actually find those items that we
 need to find as we go along.

MS. CRAWFORD: I would just like to add to that that there's a lot of promising practices for how to improve the accuracy of medication lists, and we've talked a little about some of them: encouraging patients to bring their medications with them to their visit.

9 I think the reality is there's a lot 10 of different ways of doing that, and rather than 11 being prescriptive in this measure and directing 12 providers to one type of workflow for how to 13 accomplish it, we want to make sure that they're 14 documenting it at every visit.

I think there's a lot of other work
going on to ensure that they're using the right
processes, which may not be at the level yet
where you can call them best practices, but that
help to encourage the collection of the right
types of data at that point of care.
I think I agree with the points Dr.

Berg raised. It is a very valid question, and I

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think this measure won't fully accomplish the 1 2 point that you're trying to make but I think it gets it into the workflow in a way that 3 encourages providers to be thinking about how to 4 5 maximize that interaction with their patient. 6 CO-CHAIR THRAEN: Jason, you had 7 something? 8 MR. GOLDWATER: Sure. So, since this 9 is an e measure, and I know one of the things I 10 said this morning was to look at how feasible it 11 is to do in the daily workflow of care; what I 12 would say is these are a lot of excellent points 13 that have been raised here, but if you have 14 really looked at electronic health records over 15 the past several years, the functionality that is 16 probably the most robust at the moment is 17 electronic subscribing. 18 It has been for some time, largely 19 because it relies on a singular code set and a 20 singular method of transport: getting information 21 from one system to another. 22 It is remarkable that we're doing that

with medications, and we can't seem to do that 1 2 with anything else. CO-CHAIR SEPTIMUS: Jason, it is also 3 4 required. 5 Right, right. MR. GOLDWATER: CO-CHAIR SEPTIMUS: That's one of the 6 7 three elements in it: Accountability and how it drives change. 8 9 MR. GOLDWATER: That is correct. I'm 10 talking from a purely technical standpoint 11 because I'm essentially referred to at NQF as a 12 Take that for however you'd like, as gearhead. 13 have I, usually by Helen. 14 So, I think the functionality is 15 robust enough that even though we're not at the 16 point where there's 100 percent accuracy on the 17 list because we're still waiting for full bi-18 directionality between a patient and multiple 19 prescribers based on where care is delivered, and 20 that needs transmitted back to the primary care 21 physician. 22 We are, as it was stated earlier by my

colleague at CMS, moving closer to that idea. 1 2 And we will be there probably before we will be there with anything else at the moment. 3 So, even though there is the question 4 5 of is there a way to guarantee 100 percent accuracy, which I think is probably an 6 unreasonable goal in any element of this, I think 7 we're much closer to that electronically than we 8 9 will be on anything else, and it also goes back 10 to even though this measure almost seems as if, 11 "Why do we need something that almost seems so 12 obvious?" At this moment, we definitely need a 13 measure like that, and the functionality will 14 catch up to deliver exactly what you want, 15 probably sooner than it will on any other level. 16 CO-CHAIR THRAEN: All right, any other 17 comments? Lisa is thinking about it. 18 MS. MCGIFFERT: Well, I do want to --19 I mean I think I've heard about these kinds of 20 measures for at least five years. Maybe eight 21 I hear what you're saying about, "It's years. 22 coming around the bend."

But I kind of feel like as long as we
keep endorsing these measures that really don't
tell us what we need to know, we're not going to
be developing the measures that we really need to
have that tell us what we want to know.
So, I see it as sort of a delay
tactic. I understand what you're saying, but I
just feel like this doesn't move us forward. It
just is telling us that it's telling us a
conversation took place maybe but it doesn't give
us any sense of whether or not it helps a
patient, improves care, avoids adverse events, is
accurate. All of those things I'm not seeing
here. So, that's my biggest concern.
CO-CHAIR THRAEN: Anymore comments?
Shall we vote?
MS. IBRAGIMOVA: Feasibility: The
votes are 1 high; 2 moderate; 3 low; 4
insufficient. Results are 15 percent high; 75
percent moderate; 10 percent low; 0 percent
insufficient.
CO-CHAIR THRAEN: Usability?

1 DR. WEBB: Use and usability: Again, 2 we've covered most of this. It is currently in Has been for four years. We've seen 3 use. 4 improvement. It is also a meaningful use 5 So, it's used in meaningful use. A criterion. little bit different in meaningful use, but used 6 7 just the same.

It is publically reported. 8 There is 9 information on improvement over time that we've 10 already discussed, and so as far as unintended 11 consequences, the only unintended consequence I 12 can see from the way that this is worded is that 13 anybody in the office can document these meds, 14 and nowhere is it stated that the physician 15 actually sees it before they write the 16 prescription, and they write at least one 17 prescription per visit based on the evidence.

So, you know, are we documenting but more having the most important person review it? It's not covered in here. That would be by only concern.

CO-CHAIR THRAEN: Any questions?

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Let's vote.

2 MS. IBRAGIMOVA: Usability and use: The votes are 1 high; 2 moderate; 3 low; 4 3 insufficient information. 4 5 Results are 15 percent high; 55 percent moderate; 30 percent low; 0 percent 6 7 insufficient information. CO-CHAIR THRAEN: All right, and 8 9 suitability for endorsement. 10 Suitability for MS. IBRAGIMOVA: 11 endorsement: Does the measure meet NQF criteria 12 for NQF endorsement? 1 yes; 2 no. Just missing 13 one. 14 CO-CHAIR THRAEN: Missing one. 15 Results are 70 MS. IBRAGIMOVA: 16 percent yes; 30 percent no. 17 CO-CHAIR SEPTIMUS: Okay, I'm going to 18 turn this over to Jessie is just a second. Ι 19 think I hear a theme about measures like this 20 that cannot be directly tied to an action, but 21 directly impacts patient care. Is that what 22 other people are hearing?

I said there seems to be a theme 1 2 emerging with some of these measures that it doesn't necessarily link to an action. 3 I'm 4 talking about an action by the physician -- I'm 5 sorry. The theme I'm hearing is that we're 6 7 checking off a box, or we're doing something for the measure. We can't really guarantee it links 8 9 to an action by the physician, which in turns 10 links to better outcomes in patients. That seems 11 to be a theme. 12 I don't want to take this as a 13 criticism, but I think we're getting, and I think 14 Jason has articulated this. We are getting to 15 the point now where maybe we can start making 16 some of those links. 17 Right now, we're left with measures 18 that leave us a little bit unsatisfied for what 19 really matters, and that's what's actionable and 20 affects patient care. Other people think 21 differently. It's whether we send that message. 22 I don't know how we do that, but I think unless

other people -- it is one of the things that I hear coming out of this meeting.

DR. LAWLESS: This is also to Lisa's 3 point, which emphasized the same thing. 4 In some 5 of these, you can't say no to them, because you feel like you're going against motherhood or 6 7 something. At the same time, we're not really raising the bar, and saying, "Let's be leaders 8 9 rather than laggers in this."

10 The technology can do this fairly 11 easily, but is this really leading healthcare to 12 a better place versus, "Okay, we're going to 13 gradually get to this?" I think it's saying what 14 you said. Lisa's point was well taken on that 15 too.

DR. BURSTIN: And again, anything you guys state that is sort of conceptual, we will include in the report. I think the developers pretty clearly heard it is highly unlikely measures like this that point through the next time they come up for maintenance unless we've moved significantly forward, and also just to

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plead all of you: If you know of innovative 1 2 measures that are actually pushing the needle and kind of getting us closer to that place we want 3 4 to be, please encourage people to bring them in. Talk to us. We can work with those 5 Again, we just want to keep making sure 6 folks. 7 there are better and better measures coming in. 8 Some of these are great measures. They are kind 9 of legacy measures in some ways, and I think 10 there's a place for them. 11 We don't want to throw the baby out 12 with the bath water, but we do want to continue 13 to bring in the innovative ones that I think push 14 the envelope more. 15 CO-CHAIR SEPTIMUS: Everybody agrees 16 we will make that comment in our report, and 17 maybe Helen or others can comment. NQF really 18 has moved the bar into innovation to help 19 innovate new measures, and you may want to 20 comment on that relatively recent effort by NQF 21 to do that. 22 This is Dan Green DR. GREEN: Sorry.

I'm going to drop off but I just 1 from CMS. 2 wanted to thank you all for your consideration of this measure and certainly suggestions to improve 3 it we would be all ears. 4 Thank you again. Good 5 luck with the rest of your meeting. 6 DR. BURSTIN: Thanks, Dan. CO-CHAIR THRAEN: 7 So there's lots of We are trying to both be involved in 8 efforts. 9 the measurement side. Like, for example, the 10 issue this morning we talked about antimicrobial 11 stewardship. 12 We've got an action team that has 13 launched with help from Ed and lots of support to 14 really begin thinking about not just what the 15 measures are but what are the evidence-based 16 strategies, how do we pull together the 17 remarkable NQF membership to be much more focused 18 on action. 19 How do you take a measure and run with 20 it. How do we really drive meaningful 21 improvement. It's been pretty unsatisfying at 22 times. To feel like, okay, here's the measure and

it's kind of thrown over the transom and we
 should wait to see things happen. I think there
 is definitely a sense we would like to be more
 engaged.

5 The standing committee can be engaged in all those efforts really helping us think not 6 7 just about the measures but how they get used. Even the CDC measure this morning we actively 8 9 reached out for, not sort of passively waiting. 10 It's really important. It's a national priority 11 where do we get those measures working with Ed 12 and others to really go after it.

13 DR. BURSTIN: I want to make a comment 14 about that, Helen. So for the maintenance 15 measures that are scheduled that we know and can 16 anticipate, I'm wondering if there has to be 17 another set of criteria that makes an evaluation 18 of these issues that we are identifying sort of 19 up front to say, "Okay, this measure has been in 20 play for six or eight years and it's still too 21 far away from the goal," and whatever, whatever. 22 But there is at least some sort of assessment of

that
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2 CO-CHAIR THRAEN: Yeah and actually, I 3 believe we're going to launch it with the new 4 project? Elisa is shaking her head. When our 5 new projects begin in the fall, we hope, all of 6 our CDP work will actually -- we'll actually have 7 a different process for measure maintenance. We 8 shall see.

9 We have found this sort of painful as
10 you have to go laboriously through some of these
11 older measures in great detail. In the future
12 maintenance first of all will move from every
13 three years to four years just to lighten that
14 load a bit in terms of these maintenance
15 measures.

The emphasis on it will be overwhelmingly around gap in care and usability and use. We will only re-evaluate evidence if it's changed and we will only really look at the testing and scientific reliability, validity, etc. We keep either change level of analysis, change data source, made it an e-measure.

We are really trying to streamline 1 2 that because we feel like we have to, first of all, give us a break. This is all intense at 3 4 times. But also really emphasize that point; 5 measures coming back to us should really be about are you helping to move the needle on quality. 6 7 If you're not, why not, and it'll be trying to sort of move it to more feasible data 8 9 sources and get much more emphasis from the 10 field. Is this measure helping? Is it hurting? 11 Just part of usability as well as evidence of 12 unintended consequences and hopefully give a 13 little more breathing room to try to bring in 14 more newer innovative measures. 15 MS. DANFORTH: I had a quick request 16 for that. So in doing that, there are some 17 measures we looked at today where when the 18 measures were first endorsed disparities were

identified. When the measure was brought back to us for maintenance, the disparities are still

21 noted so it didn't seem like there had been any 22

work on the disparities in between.

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1 Can that be part of the performance 2 gap piece, that like it's expected that if the disparities are identified that within the three 3 4 or four years something is done to address that. 5 I think that is really important. I think it looks strange if the committee keeps re-endorsing 6 7 measures for these noted disparities, like 12 years later they're still there. 8 9 CO-CHAIR SEPTIMUS: Well I think it 10 gets to the concept is that when we see that we 11 want to know that the measure is actually 12 changing or moving the needle and that is 13 something that we did not necessarily see. So I 14 don't want to labor this but I just thought 15 conceptually that what I'm hearing and it sounds 16 like everybody seems to be really invested in out 17 of this meeting and going forward. 18 Now, we have -- it depends upon when 19 people are leaving and how long we have a quorum, 20 how many measures. I'm going to let Jesse sort 21 of walk you through some things. 22 Oh, I'm sorry. Yanling, did you have

--- I'm sorry.

	-
2	DR. YU: I just want to make a quick
3	comment. Since I'm new, when I'm looking at all
4	the measures, there's a endorsed since 2007, for
5	example, and then re-endorsed 2012. Then I start
6	looking at what has been done, what is new, what
7	accomplishments.
8	I really like to have your ideas where
9	you have them talk about in the spreadsheets,
10	really list what has been done, what is new, what
11	accomplishments, and that would be the gap
12	actually, what re-endorsement is needed.
13	DR. PINES: Thanks. So we have two
14	more measures that are on the agenda. We have 45
15	minutes. We want to see if we are going to lose
16	quorum before 3:00 so can you please raise your
17	hand if you are going to have to walk out before
18	3:00 to get on a flight. How about 3:30? We are
19	going to lose
20	And for Ann and Kimberly?
21	DR. APPLEGATE: I'm here. I'm okay.
22	It's still early here in California.
-	

1	DR. PINES: Okay, great. So we will
2	try to get through the last two measures if
3	possible.
4	CO-CHAIR SEPTIMUS: Okay, Iona.
5	CO-CHAIR THRAEN: 2732. Is that
6	correct? INR Monitoring for Individuals on
7	Warfarin after Hospital Discharge. CMS and
8	Mathematica are the developers. Who is the
9	representative?
10	DR. RISING: I am for that one.
11	CO-CHAIR THRAEN: Josh is. Okay.
12	Thank you. You want to introduce, please?
13	MS. CULLEN: Yes. Thank you again.
14	This is the second measure developed by FMQAI
15	under previous contract with CMS. As the current
16	contractors Mathmatica is representing CMS'
17	interest. We'll note any questions we are unable
18	to answer at this time we work with CMS and FMQAI
19	to get answers for you.
20	Measure under consideration, INR
21	Monitoring for Individuals on Warfarin after
22	Hospital Discharge. That's the percentage of

adult in-patient hospital discharges to home for
 which the patient was on warfarin and discharged
 with a non-therapeutic INR who had an INR test
 within 14 days of hospital discharge.

5 This process measure is a hybrid with 6 data source from both an electronic health record 7 and Medicare administrative claims. Use of the 8 hybrid meets two goals; the use of novel 9 techniques for measure reporting, as has been 10 discussed here, and they reduce burden on 11 providers.

Warfarin continues to be widely
prescribed. It has a narrow therapeutic range
and needs to be monitored closely to lower the
risk of complications such as thromboembolism or
bleeding. Current guidelines recommend follow-up
for out-of-range INRs, particularly those less
than two and greater than three.

19 This measure is focused on these at-20 risk patients out of the therapeutic range as 21 they transition from the in-patient setting to 22 the home from a controlled to a less-controlled

environment.

2	Public comment raised a question of
3	attribution of post-discharge care to hospitals.
4	This was discussed with this committee yesterday.
5	Dr. Ahlen mentioned had talked about this so
6	hospitals should be concerned and held
7	responsible for this type of follow-up.
8	FMQAI undertook extensive field
9	testing at seven geographically and
10	characteristically diverse hospitals. The
11	liability scores calculated at the hospital level
12	provided an indication of the ability to
13	distinguish between signal and lies and
14	Mathematica augmented FMQAI's work in this area
15	like calculating a measure level reliability
16	score that indicated the ability to distinguish
17	performance among hospitals.
18	Criteria and validity tests showed
19	strong agreement between electronic and manual
20	abstraction for all of the data elements required
21	to be captured by the EHR. Although discharge
22	status showed slightly lowered strength of

agreement.

2	Field testing identified issues
3	related to the accuracy in identifying discharge
4	medications in the EHR. As a result of this, the
5	measure was modified to include a proxy to look
6	for the administration of warfarin in the
7	hospital on the day of or day prior to discharge.
8	Construct validity tests confirm the
9	specification captured patients discharged from
10	warfarin that nearly all patients should have had
11	a follow-up INR. Feasibility tests demonstrated
12	that all data elements were found to be available
13	in the EHR systems and used by the hospitals
14	which included Epic, Cerner, and McKesson
15	products.
16	This measure is not yet in CMS
17	programs, nor has it been reviewed by the MAP.
18	Planned use is for CMS' hospital and patient
19	quality reporting program. There are four
20	measures that address warfarin and INR monitoring
21	and this measure uniquely addresses the care
22	transition and need for appropriate post-

discharge follow-up. Thank you for your 1 2 consideration. CO-CHAIR THRAEN: 3 Josh. 4 DR. RISING: Hi. I think that is a 5 very helpful summary. Should we just start right in on discussing the evidence? Sounds great. 6 7 All right. We'll keep things moving forward. I think the big question for me that 8 9 I would like to hear the developers talk about a 10 little bit is the selection of the INR range that 11 is used here in particular. I think we all know 12 that your target is two to three for most 13 patients and the evidence presented kind of looks 14 at -- well, there is evidence that looks at a 15 number of different ranges at which adverse 16 events occur. 17 A lot of studies kind of at five and 18 above. Some look at anything outside the two to

three range. So I would like to hear a little bit kind of on the selection of the 1.5 to four as

21 the area to target the follow-up because I didn't 22 see a lot of studies that did target that range

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in particular as where to be focusing priorities. 1 2 DR. CROGHAN: Sure. So the short 3 story is that's a synthesis of a lot of different 4 studies that you mentioned. One cut of it is 5 that for people with heart valves the therapeutic range is 3.5, so a little bit higher. 6 You will 7 recognize that this is now .5 above and below that broader range two to three and a half. 8 9 Having said that, a lot of the 10 evidence is built upon sort of standards of your 11 three to five, five and above, particularly for This was selected by the expert 12 bleeding events. 13 panel as sort of a conservative estimate of where 14 that line ought to be but there is no clear 15 standard. 16 But the lower end I think the standard

17 is pretty clear. That's where the cuff links are 18 for a real jump in the adverse outcome. The 19 robotic events at the lower range. It's a little 20 bit less clear at the upper range where there's a 21 jump in the number of bleeding points.

22

DR. RISING: I'm curious to hear

anything you can share about why the panel didn't 1 2 choose five because it does seem that there are a number of studies that really kind of use five 3 4 and above as the -- where they look at the 5 adverse events. That may be a nuance I 6 DR. CROGHAN: 7 can't provide you since we weren't in the room. 8 CO-CHAIR THRAEN: Lynda. 9 I have a question for the DR. SMIRZ: 10 developer when I was reading through this. 11 of those is I don't see a correlation with 12 picking 14 days. Some of the evidence that you 13 cited said that there was an increased rate in 14 mortality at one year if it was 45 days between 15 the time that the INR was drawn, not 14 days. So 16 is there something that I missed where there was 17 a correlation between 14 days and extra adverse 18 events or is that when the adverse events 19 occurred? 20 DR. CROGHAN: The 14-day standard was 21 chosen based upon the American College of Chest

Physician Guidelines where if you had a slightly

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out-of-range INR that you should be tested --1 2 retested within seven to 15 days. That was felt like it was giving providers a benefit of the 3 doubt using the best available evidence. 4 5 DR. SMIRZ: In this measure here there was no evidence that was cited that showed that. 6 7 Was that --- you're just basing that on --8 DR. CROGHAN: No. That's right. It's 9 based upon the guidelines. 10 DR. SMIRZ: Okay. Thank you. 11 CO-CHAIR THRAEN: Other questions 12 about the evidence? All right, we'll vote. 13 MS. IBRAGIMOVA: Importance to measure 14 and report on an evidence-structure process and 15 to media outcome. The votes are one high, only 16 eligible if QQC submitted; two moderate, three 17 low, four insufficient evidence. 18 CO-CHAIR THRAEN: Vote again. Did we 19 get 21? 20 MS. IBRAGIMOVA: Twenty-one. 21 CO-CHAIR THRAEN: Twenty-one. We're 22 there.

MS. IBRAGIMOVA: The results are 33 1 2 percent high, 52 moderate, 14 percent low, zero percent insufficient evidence. 3 4 CO-CHAIR THRAEN: Performance gap. Okay. So the evidence 5 DR. RISING: that's presented by the measure developer show 6 7 that when they tested this in seven hospitals, they found -- I'm sorry, a mean rate of around 50 8 9 percent for meeting the measure. It certainly 10 shows that there is room for improvement in this 11 particular measure. 12 CO-CHAIR THRAEN: Any questions? 13 Lynda, you still have your card up. 14 Do you have a question? Okay. 15 Anybody else? All right. Let's vote. 16 MS. IBRAGIMOVA: Importance to 17 measuring and report 1(b) performance gap, the 18 votes are one high, two moderate, three low, four 19 insufficient. The results are 33 percent high, 20 57 percent moderate, ten percent low, zero 21 percent insufficient. 22 CO-CHAIR THRAEN: Feasibility.

1 Reliability. Sorry. It takes two. 2 DR. RISING: Great. So on the 3 reliability testings, again, there were seven hospitals that were assessed and five of them 4 5 have scores that were above the acceptable threshold when it came to reliability. 6 Two of 7 the seven that did have smaller sample sizes were below the specified threshold for reliability. 8 9 CO-CHAIR THRAEN: Any questions? 10 Missy. 11 So it looks like the MS. DANFORTH: reliability testing was dated from 2011 to 2012 12 13 used CMS data. I know that measure is not being 14 like actively used in any programs, but is it 15 actually being used by a health plan or state? 16 DR. CROGHAN: Not that I'm aware of. 17 MS. DANFORTH: So you just -- so 18 because you had access to the CMS data, is that the reason we did seven hospitals? 19 20 DR. CROGHAN: Remember this is a 21 hybrid measure so we had to know who was 22 discharged on warfarin using EHR data. The CMS

data is to determine the numerator. 1 2 MS. DANFORTH: Okay. CO-CHAIR THRAEN: Any other questions? 3 4 Pat. 5 DR. QUIGLEY: Thank you. I would just make a quick correction. On page nine for the 6 7 numerator is says the patients are the denominator so if this goes anywhere, that would 8 9 be the patients in the numerator. 10 CO-CHAIR THRAEN: Note to staff, or 11 developer. 12 All right. Any other questions? 13 Let's vote. 14 MS. IBRAGIMOVA: Scientific 15 acceptability of measures not released to a 16 reliability. The votes are one high, two 17 moderate, three low, four insufficient. The 18 results are 14 percent high, 71 percent moderate, 19 14 percent low, zero percent insufficient. 20 CO-CHAIR THRAEN: All right. 21 Validity. 22 Great. DR. RISING: There were a

couple of interesting questions here. 1 I would be 2 curious to get the developers' thoughts here. The first had to do with discharge status so they 3 went back to look to see because there were some 4 5 important exclusion criteria including if you were going to a skilled nursing facility so they 6 7 wanted to only look at individuals who were being discharged to go home or a few other places and 8 9 it varied by hospital.

10 One hospital in particular there was 11 only 70 percent accuracy between what was in the 12 EHR and kind of where the patient was ultimately 13 found to be going if I was reading correctly. I 14 did have one other question but wanted to get the 15 developers' thoughts on how that may challenge 16 the measure.

DR. CROGHAN: My recollection is that where there was disagreement it was largely based upon chart reviews and that had to do with several where there was disagreement within the chart where the patient was actually discharged. For example, some patients were discharged to

home healthcare where other people were discharged home.

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That was often based upon different 3 For example, the social worker may 4 recorders. 5 discharge one person so it was sort of within Overall I don't think that 6 that category. 7 changed the overall assessment or the validity of 8 the measure. 9 CO-CHAIR THRAEN: Lynda. 10 DR. SMIRZ: I had some concern for the 11 developer that you might be able to answer. One 12 of those goes back to exclusions as far as the 13 threat to validity. A patient that was re-14 admitted within 14 days they may have been re-15 admitted because of a problem with not having 16 their INR checked and they were on too much or 17 too little Coumadin. Also patients that died 18 within 14 days. 19 I can deal with the DR. CROGHAN: 20 second one first. Very few people died probably 21 does not have a significant impact, a measurable 22 impact on the measure. We don't know the reasons

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why people were re-admitted. That does make up 1 2 about 25 percent of the exclusions. 3 CO-CHAIR THRAEN: Any other questions? 4 Josh. One other, I think, 5 DR. RISING: exclusion criteria to note is the readmission to 6 7 the hospital. You look at how many patients were excluded because of that particular criteria, it 8 9 was between 40 and 50 percent of patients were 10 excluded because they were coming back from the 11 hospital. 12 That may or may not be related to the 13 fact their INR was so out of whack when they were 14 discharged but it does seem like it's a lot of 15 the population that we are trying to target with 16 this measure who are being excluded. 17 By the time you add up, you know, 18 people who are going to skilled nursing 19 facilities or who have died or who have gone back 20 to the hospital I think it's over half the 21 patients who are being discharged with out-of-22 range INRs who are then excluded from the

That did kind of raise a couple of 1 measure. 2 flags for me. MS. CULLEN: One of the reasons that 3 this exclusion was included was because it was 4 5 assumed that once the patients were readmitted they were going to get the INR so the onus of the 6 7 follow-up was no longer on the hospital because of the readmission. 8 9 CO-CHAIR THRAEN: All right. Any 10 other questions? Vote, please. 11 MS. IBRAGIMOVA: Scientific 12 acceptability of measure that relates to 13 validity. The votes are one high, two moderate, 14 three low, four insufficient. 15 CO-CHAIR THRAEN: I need one more it 16 looks like. Someone's got a lazy remote. Oh, 17 she's gone. We've got one who stepped out. 18 That's fine. Okay. Thank you. 19 MS. IBRAGIMOVA: The votes are 15 20 percent low, 60 percent moderate, 25 percent low, zero percent insufficient. 21 22 CO-CHAIR THRAEN: It takes a team.

1	All right, feasibility.
2	DR. RISING: The only things to speak
3	to on feasibility are that, again, this is a
4	measure that is drawn both from claims data and
5	the EMR data but it seemed to be done
6	successfully by the measure developers in this
7	case not tried other questions on feasibility.
8	CO-CHAIR THRAEN: Questions? Vote.
9	MS. IBRAGIMOVA: Feasibility, the
10	votes are one high, two moderate, three low, four
11	insufficient. The results are 40 percent high,
12	55 percent moderate, five percent low, zero
13	percent insufficient.
14	CO-CHAIR THRAEN: Usability.
15	DR. RISING: I do think the main
16	question in my mind on usability has to do with
17	because of the very small sample sizes that
18	you're going to have from a lot of hospitals in
19	part due to those exclusion criteria kind of how
20	much how useful kind of it's going to be.
21	I know we discussed this a bit in the
22	reliability section. We did have, you know, about

a quarter of the hospitals that had too few 1 2 patients to feel like reliability was particularly good. So I do think there is going 3 4 to be a challenge just on the sample size of this 5 measure. CO-CHAIR THRAEN: Ed and then 6 7 Victoria. CO-CHAIR SEPTIMUS: Just quickly I 8 9 think we need to measure this. One of the 10 unintended consequences of this measure is 11 driving people to use other oral anticoagulants 12 that do not require monitoring and whether or not 13 that we have anything in place to look at. 14 DR. RISING: Ed, can you say that one 15 more time and speak up a little bit? 16 CO-CHAIR SEPTIMUS: I'm sorry. I said 17 one of my concerns is that even before this 18 measure is that since you don't have to measure 19 INRs that some of the new oral anticoagulants, 20 are we going to drive increased use of oral 21 anticoagulants and do we know what the relative 22 comparisons are with those through the safety

methods.

2 DR. CROGHAN: I'm sure, Ed, that's a 3 question you will be asking us for years and 4 years.

CO-CHAIR THRAEN: Victoria.

I have a question. I think 6 DR. RICH: 7 it's related to usability. It might be the whole In my 30 years of practice, primarily 8 thing. 9 inner-city academic medical centers and a 10 struggle with minorities, with INR clinics, and 11 on and on and on. And so I thought it was 12 interesting that you didn't find any difference 13 there perhaps not looking at that.

But I think moving forward with the minority populations I think would be so key to see if we could stratify that or do something with it. I don't know if you have any comments. I think many people have seen that within the INR maintenance and trying to keep this going, even in the first 14 days.

21 DR. CROGHAN: Are you referring to the 22 notion that there are some hospitals, and there

1	are some hints in the data that didn't point to
2	statistical significance in regards to hints that
3	there were some hospitals that had more troubles
4	that had larger minority populations?
5	DR. RICH: I'm just looking at the
6	usability to expand how we're using it.
7	DR. CROGHAN: Right. Sure. So, yeah,
8	I think it does tell you that if you're not
9	getting follow-up.
10	DR. RICH: Right. I just thought it
11	might be an extra kind of add-on as time goes
12	forward.
13	DR. CROGHAN: Hopefully that's a
14	useful thing. Great.
15	CO-CHAIR THRAEN: Lynda.
16	DR. SMIRZ: Can I ask the developer
17	just one final comment? I guess it falls under
18	usability. I released a patient from the
19	hospital and want them to have an INR. They were
20	in town and they moved to a different place.
21	What are your suggestions on how that what
22	kind of a mechanism is the hospital going to put

1 in place to ensure that that happens? Any
2 thoughts?

3 DR. CROGHAN: So as in any 4 transitioning care what this measure tends to do 5 is to have the hospital take proactive steps to improve outcomes before discharge. I think the 6 term that we heard yesterday was the hospital 7 should own that transition period as best they 8 9 can. 10 If you have a patient who you know is

11 moving and is not going to be able to get good medical follow-up, then the recommendation is 12 13 that they stay as an in-patient until they do. 14 You don't have to be a real -- they could go to 15 the hotel across the street for that matter. But 16 there are ways that you can help people get the 17 appropriate follow-up so they are not out of 18 Does that answer it? range.

DR. SMIRZ: Well, that is an answer. I don't know how satisfying an answer it is. I have the number of a company that has a number of hospitals along the border in Texas and they go

back to Mexico.

2 Then they come back when they have a problem because the care is better there. 3 They 4 come as our readmissions, etc., etc. But once 5 they are across the river, we don't have that much control over them. 6 7 CO-CHAIR THRAEN: Kendall. I agree with Lynda. 8 DR. WEBB: I work 9 in an inner-city -- as you guys know, an inner-10 city population. I think owning your own 11 healthcare to some extent is what needs to be 12 done here. 13 I have a lot of patients who I work in 14 several of the hospitals in town and I'll see 15 them at each hospital because they didn't get 16 what they wanted at the last hospital and I'll be 17 like, "Mr. Jones, I just saw you yesterday at 18 this other hospital for this same problem." 19 And they are not following up. At 20 what point do we not put necessarily all the onus 21 on the hospitals but figure out a way to give the patients control back of their own healthcare in 22

1 some way, shape, or form. 2 CO-CHAIR THRAEN: Michelle. DR. SCHREIBER: Thank you. I do 3 I work in an inner-city hospital as well 4 agree. 5 and our patients travel but I have to admit I think that we do have the burden of 6 7 responsibility if we discharge a patient with an INR out of range to find them. Maybe that's 8 9 harder in some populations but I think that it is 10 our responsibility. 11 CO-CHAIR THRAEN: Victoria, did you 12 have another comment? Victoria, did you have 13 another comment? Okay. 14 Anybody else? Vote, please. 15 MS. IBRAGIMOVA: Usability and use, 16 the votes are one high, two moderate, three low, 17 four insufficient information. 18 CO-CHAIR THRAEN: And finally, 19 suitability for endorsement. Overall suitability 20 for endorsement, does the measure criteria for 21 endorsement, one yes, two no. The results are 90 22 percent yes, 10 percent no.

1 CO-CHAIR THRAEN: So, we're at the end 2 of the hour, and we also have to have a public comment period so I don't think --3 4 DR. PINES: So, I think we do want to 5 do --- if our measure developer is here, we do want to see if you can tell us one more measure. 6 7 MS. DAVIES: This is Sheryl Davies. We're here with AHRQ. Can you verify for us that 8 9 you will not be doing the ad hoc measures today? 10 CO-CHAIR SEPTIMUS: That's correct. 11 MS. DAVIES: Thank you. 12 CO-CHAIR SEPTIMUS: Appreciate your 13 patience. 14 Now, Josh is going to present this so 15 I don't want you to influence -- Jason. Jason is 16 going to do this so I don't want you to influence 17 your results. 18 MS. ARDIZZONE: I just want to let you 19 know I'm going to leave at 3:00 so I don't know 20 what quorum, what it needs to look like or --21 CO-CHAIR SEPTIMUS: There's 20 minutes. 22 MS. ARDIZZONE: Okay. And I do want

Jason to get his full, not hurried.

2	DR. BURSTIN: If nothing else, it might
3	be useful to at least allow Jason to present so
4	people can hear it even if we don't run through
5	the evaluation. I think it's easier if you go
6	with the asking questions in person. It's always
7	more awkward on the phone. So, even if you're
8	not finished with the evaluation you can do that,
9	I think. And then make sure to save a few
10	moments for public comment for sure.
11	DR. ADELMAN: Okay, sure. I'm going to
12	start.
13	All right, thank you everybody. On
14	the phone with me are two folks from the
15	Montefiore team, Dr. Will Southern who is the
16	Chief of the Division of Hospital Medicine for
17	Einstein and Montefiore who collaborated with me
18	on the development of the measures as well as the
19	research we've done with it. And Dr. Brandon
20	Young who is a statistician and the Senior
21	Epidemiologist from Montefiore.
22	So, I have some slides. So, if you

look forward, I'm going to explain the measures 1 2 very clearly and quickly. So, first, some of these slides have 3 4 some animation, so I'll just tell you next and if 5 you could advance. I'll tell you when. Okay. All right. 6 7 The measure very simply, it's looking for an automated method for identifying wrong 8 9 It looks for when a doctor patient errors. 10 places an order as you can see on the slide in 11 front of you and then cancels the order. Next. 12 Within 10 minutes and then immediately 13 after, same doctor orders the exact same -- or 14 not the exact, places the same order on a 15 different patient. Next. 16 So, the programmer who helped develop 17 it used to call it the oops career, like oops I'm 18 on the wrong patient, let me catch it and fix it. 19 We call it the retract and reorder measure. Next 20 slide. 21 So, prior to our work, the most wrong 22 patient errors anybody ever identified was around

nine or ten by voluntary reporting. 1 You could 2 see when we developed this, we found 6,885 in one And even though I showed you we set a 3 year. 4 limit for 10 minutes for retraction, on average 5 it was retracted within one minute and eighteen So, if you track yourself it's usually 6 seconds. 7 pretty quick. Next.

8 To validate this, we got IRB approval, 9 got a precision analysis and we called 243 people 10 in near real time, shortly after they made the 11 error, and we asked them was it in fact a wrong 12 patient error. And 170 or 76.2 percent confirmed 13 it was. Next.

So, if you correct for that it was
still over 5,000 in one year. Fourteen a day.
One out of every six providers. Next.

17 So, validity. First of all, I just 18 want to point out that this is a unique measure. 19 We talked earlier about creative new measures. 20 It's very different than almost everything I 21 think we've seen in the last two days and that is 22 measuring actual actions of providers. It's not

what somebody charted and then a chart reviewer extracted or abstracted. It's not the doctor's documentation. It's, in fact, the doctor's action. Like you must place an order, and then it has to see that that order was actually canceled. And that allows for some special things.

8 So, first of all, we hardly heard 9 today before the ability to call people an hour 10 after they made an error and said, did you just 11 make an error? So, when we validated we really 12 validated. Next.

And it uses very basic data. I mean, there's nothing more fundamental than who placed an order, what time that order was placed, and what was the order and then was it DC'd. That's all the data that it uses. Next.

And I guess I made this point.
There's no human interpretation. It's just
actually what happened. There's no ICD-9 codes.
No chart retraction. No voluntary reporting.
Next.

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1	So, I cut and pasted from, you know,
2	I guess I have the manual that we get, too, so I
3	cut and pasted some of the rules for validation.
4	It says another authoritative source for the same
5	information. So, as I said, we got to call
6	people in real time and positive prediction of
7	76.2 percent with a very narrow competence
8	interval plus or minus five percent.
9	I didn't put this in the packet, but
10	I saw some of the comments about reliability and
11	validation and the VA in New York, I gave them
12	the exact instructions of the measure. I gave
13	them my IRB protocol. I gave them my script for
14	making phone calls. And they made not as many as
15	us. They made 35 and 26 out of 35 so their
16	positive predictive value was very similar to
17	ours. It was 74.3 percent.
18	And then another hospital used chart
19	review. Now chart review is different because in
20	that case, they look for if somebody orders
21	insulin on a patient and cancels it and then
22	orders it on another patient, if the first

patient has no diabetes, no insulin and then they 1 2 cancel it and then the second has diabetes and insulin, they decide it's a good chance it was a 3 4 wrong patient error. But if by chance both had 5 diabetes, then it was hard to determine. So, you'll see by chart review, they looked at 200 6 charts, 61 percent were valid, but another 38 7 percent were indeterminate. So, it's probably 8 9 more than 61 percent and in the range of what saw 10 in the other slides. And each one of these was 11 done at a different hospital with a different 12 EMR. Next.

13 So, face validity, a lot of experts 14 have endorsed this. So, yesterday you heard the 15 chair of the NQF Health IT Committee. He and 16 David Bates also wrote a letter that's in the 17 folder there. Actually there's a JAMIA article 18 that suggests that we submit these measures to 19 ONC on a quarterly basis. Actually, ONC has the 20 SAFER Guides which you could all see on line, and 21 they recommend using the measure now. And then, 22 of course, the Health IT Committee as I

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mentioned. That's why I decided to submit it.
 I'm also on that, and NQF Health IT Safety
 Division, and they kept using this as an example
 of a good health IT safety measure, so we
 decided to submit. Next.

Also it says, performance scores 6 7 resulting from the measure as specified can be used to distinguish good from poor quality. Well, 8 9 we've been using this measure a lot and 10 publishing a lot to test intervention. It was 11 not possible to do any of the work that we would 12 do beforehand because hospitals would have like 13 seven errors. You couldn't test because there 14 wasn't enough, and they were voluntary reported.

15 When you have 5,000, you can do 16 randomized controlled trials, which we did, and 17 we showed that alerts decreased errors. We 18 showed that if you type in initials, age and 19 vendor that decreased errors. We just got a 20 paper accepted in pediatrics that shows that when 21 you name children, baby boy, baby girl 22 temporarily, that that increases errors, and if

you use the mother's first name like Wendy that 1 2 decreased errors. And we're funded by AHRQ to study how many records are in our state. 3 So, we've been using it successfully a lot to show 4 5 that we can decrease these errors. Next. So, I said this already. 6 As far as reliability, you know, some of the rules are, 7 they have to be well-defined and precisely 8 9 specified, and it uses very basic data, and I 10 showed you with the graphic at the beginning. It 11 just looks at when orders are placed, canceled. 12 Next. 13 And it asks if it can be consistently 14 implemented, and we think it can be for the 15 reasons we said, and I'll show you, we've been 16 sharing it. But also our system that we tested 17 with GE. We're going to Epic, and we built it in 18 Epic, but we haven't yet, but when we're ready 19 we're just going to share it with the entire Epic 20 community which, you know, Epic says, well 50 21 percent of the patients in the United States are 22 going to have it, and we can just share it.

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Next.

2 So, this is the exact quote, if you look under 2a. Reliable testing demonstrates the 3 measure data elements are repeatable, producing 4 5 the same results a high proportion of the time when assessed in the same population. 6 So, 7 essentially, if you re-run it, will you get the same results? So, if it's chart extraction of 8 9 doctor's notes, and doctors document differently 10 and the chart inspectors read it differently. 11 our infection control preventionists are trying 12 to figure out if there's a CAUTI. You know, the 13 inter-rater reliability may vary. Here, it's 14 computers pulling direct actions of doctors. So, 15 it's just very -- and it was hard to describe how 16 to do the reliability, so I consulted with Andrew 17 and others at NQF, and initially we just said, 18 it's reliable for these reasons. Next. 19 And these are some of the options that 20 NOF offers. You can to inter-rater reliability, 21 but there's not people extracting, so how do you 22 You could do test, re-test. do it? Next.

What we did in the end is we just ran it. We did test, re-test. We ran it a couple of times to show that because it's using this data, it always, you know, repeats itself almost identically, and if you compare, the comparisons are identical. Next slide.

7 We shared it with many hospitals, all on different EMRs and, you know, we give them the 8 9 It's anywhere from a couple of requirements. 10 hours to a couple of days to write the brief 11 because it's relatively simple, and the results 12 are remarkably similar. They're not exactly the 13 same because the EMRs are different. Some allow 14 for a couple of records open. Some, you know, 15 have different fonts, patient photos. So, that's 16 the whole point of all this is that we need to 17 see the safety of EMRs and then push them to put 18 in photos and limits of one and different things 19 to have protection. Next slide.

20 So, this was, and I mentioned this 21 before, but before our research, the most errors 22 that I could find that were quantified was in the

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MedMARx database, 120 facilities. Checked off 1 2 that CPOE was the cause, and they found a mean of 9 wrong patient errors, and then next slide. 3 I found one study that did a large 4 5 chart review, and they found many errors, but only two were wrong patient errors. 6 So, with 7 voluntary reporting and chart review, you just can't ascertain what's going on. Everybody knew 8 9 it was a problem, but it wasn't until we came up 10 with the automated measures that we could do all 11 the research that we did that led to all the 12 national recommendations. Next slide. 13 So, I'm almost done. So, all of this 14 started in 2011, the Institute of Medicine came 15 out with a report about Health IT and Patient 16 Safety. That led to AHRQ funding more grants, 17 which I got funded by NQF to make other HIT 18 Safety Committee. Next. 19 So, ONC made a HIT Patient Safety 20 Action & Surveillance Plan. As I said, AHRQ, ONC, 21 and they're all recommending we need Health IT 22 Safety Measures, and then they're, you know,

highlighting this one as the first, really, as an 1 2 example. And as I mentioned the SAFER guides 3 4 are already recommending on line that people do 5 this. Next. And then there's all these letters of 6 7 support. Next. So, I kind of went over scientific 8 9 acceptability and importance, but I think in that 10 that I discussed feasibility like it's basic 11 I talked about usability. I think it will data. 12 be used for more research and for surveillance 13 like David Hunt from ONC was going to call in 14 yesterday but because of the time change -- but 15 in this JAMIA article that several of our Health 16 IT national experts, they said we should send 17 this data quarterly so that people will be 18 compelled to put in photos and have them type in 19 initials and do all these things to keep driving 20 down the rate. Next. 21 So, I'm pretty much over. It's unique 22 for the reasons I told. We've already done

current use research, and research was not 1 2 possible for it. And it can be used for surveillance. I think it's valid, reliable. 3 Ι 4 think it's important, and I think it's feasible. 5 I think it's usable, and there's no competing measures or anything like it. 6 7 Thank you. CO-CHAIR SEPTIMUS: Well, that was an 8 9 actual incredible job. Outstanding job. 10 DR. ADELMAN: Thank you. 11 CO-CHAIR SEPTIMUS: That doesn't mean 12 when we have our phone call, we're going to 13 endorse it, but it was a great presentation, 14 It really was. I don't know whether or Jason. 15 not you'd share that presentation with the 16 committee. 17 DR. ADELMAN: Oh, yes, sure, we can 18 share it. 19 CO-CHAIR SEPTIMUS: Yes, that would be 20 Put it on SharePoint, yes. great. 21 So, we have about five minutes for 22 people to ask Jason questions. We'll go through

1	the data elements when we have our follow-up
2	phone call, and then we'll take about five plus
3	minutes, and then we have to have public
4	comments.
5	So, Laura.
6	MS. ARDIZZONE: Just two quick
7	questions.
8	So, you presented some data that most
9	people correct their near misses within a minute
10	and 18 seconds. How many people are like what
11	is the harm? How many patients are we giving
12	wrong dosage and wrong medications? Because
13	inherent in this is a check and balance system.
14	So, there's a planned intervention to pick up
15	these near misses. There's a nurse check for
16	right patient, right medication, that sort of
17	thing. I think where we're really vulnerable is
18	where there is no check for that near miss.
19	DR. ADELMAN: Yes, so not as much is
20	known about the actual errors that reach the
21	patient and cause harm. It's, you know, I gave
22	you my very, very quick presentation. I have my

much longer one where I share some data on that 1 2 question like, for example, ECRI did a study and showed that 15 percent of the adverse events that 3 4 were reported to their PSO were related to wrong 5 patient errors. And we started this whole thing because we had many real wrong patient errors, 6 7 and most of the people that have used my measure and shared it have had the same experiences. 8 But 9 they haven't done as good of a job quantifying 10 the errors because it relies on voluntary 11 reporting, and people just don't like to report. 12 That's what makes this measure so powerful. So, 13 it is after near misses which allows for the 14 research to study it, but we still have to use 15 like voluntary reporting to find out the really 16 bad things, and so we have enough to know that 17 they're out there and when they happen they're 18 bad. But we don't have enough information to 19 quantify it.

20 CO-CHAIR SEPTIMUS: Okay, Josh, then 21 Louie, and then Charlotte and then we'll go to 22 public comment.

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1	DR. APPLEGATE: And then Kimberly, too.
2	I'm on the line. I have a
3	CO-CHAIR SEPTIMUS: Well, I'll tell you
4	what. Kimberly, since you've been so patient on
5	the line, Josh, if you don't mind. Kimberly, I
6	didn't know. I apologize. Kimberly, go ahead.
7	DR. APPLEGATE: Thank you so much.
8	And, Jason, I would love to talk to you offline
9	further about this because I really support the
10	measure without having all the details of it, but
11	I will say that I want to support this by saying
12	that I'm doing my own research from an imaging
13	perspective trying to get photo IDs into the
14	CAHPS record. I know about the Denver Children's
15	Hospital work, and I also know about Hardeep
16	Singh's work at the VA in Texas. And I support
17	what you're trying to do. I think it is somewhat
18	of a connectivity issue and interoperability
19	issue. In that I've done some research at Emory
20	trying to get photos of patients into the record
21	with some publications in the imaging side and at
22	SIIM, the Society for Imaging Informatics in

Medicine, which we presented this year and last 1 2 year. And I will say that you're right. There's very little wrong patient error research, and we 3 4 have done some on the imaging side to show that 5 even though there are low rates of it, the consequences can be quite negative for patient 6 7 safety, and we continue to look at it in our institution. And when the technologists have the 8 9 drop-down list where they can send patient 10 imaging to the wrong folder, nobody can find it. 11 And so we have no idea how often it occurs, and 12 nobody wants to talk about it. And I would just 13 strongly support this work, and I'm trying to get 14 support for more research on the imaging side. 15 And as we do millions and millions and millions 16 of imaging tests, and we continue to ask our 17 workers to do more and more, you can imagine that 18 even one of these cases can lead to very bad 19 consequences. And we are finding that we may 20 have a joint commission, two-patient identifier 21 requirement. But when we have many demands on 22 our technologists to hurry up and do the test,

they do not follow that requirement, particularly 1 2 on our portable studies, and that's our most 3 common event that we have where they're not 4 following it. And you can imagine that they are 5 low on the totem pole, and when people are demanding they take the image without double-6 7 checking, this happens more than you think. So, I commend Jason for doing this 8 9 research. 10 Thank you. 11 CO-CHAIR SEPTIMUS: Thank you very 12 So, we will be quick so we can everybody's much. 13 answers in before we have to go to public 14 So, Josh is next. comment. 15 DR. RISING: Great. Very quick 16 question. 17 So, when you called the quarter of the 18 people, right, you know, you determined it was 19 not kind of an error. So, what so those 25 20 percent of people say that, you know, convinced 21 you that, yes, this was not a kind of erroneous 22 order in the first place?

DR. ADELMAN: Sometimes people are 1 2 placing a couple of orders in bulk like we just talked about Coumadin. At the end of the day 3 before they go home they check the INR, and they 4 5 order Coumadin. So, they order it for the first They order it for the second, and then 6 patient. 7 they realize, oh, the attending said, don't order Coumadin because the patient is going to the 8 9 operating room. So, they order it, and they 10 cancel it, and then they order on the third. So, 11 it was a type of error. It just wasn't a wrong 12 patient error. But it looks like a retract and 13 reorder event. A false positive. 14 CO-CHAIR SEPTIMUS: Lillee, do you 15 still want to make a comment? Yes. 16 MS. GELINAS: First of all, 17 congratulations, really. I look forward to when 18 we have the phone call to vote. But my question has to do when you 19 20 talk about spread and interoperability. We're a 21 large system including internationals. So, we 22 have to report measures, not only domestically

but internationally. Our two largest systems are Cerner and MEDITECH. I find when we try to introduce new measures, our new reporting mechanisms, Cerner is much more amenable than MEDITECH.

Have you quantified the financial 6 burden to systems who have to pay for the -- I 7 would say the updates. I forgot how MEDITECH 8 9 puts it, upgrades, but I just find that that is a 10 piece when we move to really important components 11 like this, we just run into some buzz saws with 12 the vendors that haven't been quantified so it's 13 really hard to sell to the CMIO and CIO.

14That said, we do have Midas. And so15we have a good event reporting system, but I know16we don't have anything like this. Have you also17thought about anyway we can be collecting this18through some of our normal mechanisms?

19 CO-CHAIR SEPTIMUS: Josh hold that. We 20 have to get public comment before 3:00, and then 21 we'll come back. I hate to do this but, no. 22 So, public comment. Operator?

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1	OPERATOR: If you would like to make a
2	comment please press star, then the number 1.
3	There are no further comments from the
4	phone line.
5	CO-CHAIR SEPTIMUS: Thank you,
6	Operator.
7	I'm sorry about that.
8	DR. ADELMAN: That's okay.
9	One of the questions was, you said
10	there were two. One of them was about the cost.
11	So, one of the slides I had there showed multiple
12	systems that we implemented. We started it in
13	GE, moved it to Epic. There's a Cerner.
14	Allscripts is there. The VA system is there.
15	The Brigham's home-grown system is there. And
16	what I can tell you is, it uses in none of
17	those systems did we actually work with the
18	vendor meaning like all of them allow you to get
19	the very simple reports of like medications and
20	orders. And so the data comes out, and then a
21	programmer writes a query just to see was an
22	order placed, retracted, and so it's really a

report against the data as opposed to like some 1 2 code implemented within the vendor. And so I can 3 tell you, you know, we shared it with Dr. Schreiber before coming here because she was 4 reviewing it, and she said, hey, how hard is 5 this? And we gave her the requirements and I 6 7 think it was like two hours of writing it, and so it was not difficult at all. 8 9 CO-CHAIR SEPTIMUS: I want you to know 10 that we feel your pain since we're MEDITECH shop 11 also. 12 Yanling. 13 DR. YU: I just have quick question. 14 I really applaud your efforts to have this 15 It's really cool. measure. 16 DR. ADELMAN: Thanks. 17 DR. YU: Very creative. You have a diagram that basically says yes or no, if 18 19 adequate human recover, it will go to a near 20 miss. If not, it goes to an adverse event. 21 DR. ADELMAN: Yes. 22 DR. YU: So, we all know that adverse

events sometime are under-reported or hard to 1 2 define, so they're not reported as an adverse 3 event. 4 Do you have any estimate how that 5 would have inflated your estimate or near miss? DR. ADELMAN: I mean --6 7 DR. YU: Is the error bar, you know -or affect your error bar for near miss? 8 9 DR. ADELMAN: I think I understand your 10 question. 11 That diagram I took from the Institute 12 of Medicine report. I think it was just called 13 "Patient Safety." And, you know, that's a seven-14 year old diagram about near misses. And 15 everybody believes in near misses. NOF has 16 another committee where they do common formats, 17 and we report adverse events and near misses. 18 Even though the evidence connecting 19 adverse events and near misses is not as strong 20 A lot of it comes from the as we'd like. 21 transportation industry. There's some in health 22 care. And there are these rules of thumb like

for every actual adverse event there's 100 near 1 2 misses, so you can make some estimates. I don't know how well they hold up. So like everyone that 3 4 deals with patient safety believes in the value 5 of near misses because there certainly are many more of them, so you can study things that will 6 7 then ultimately prevent the actual event. I'm not exactly sure of the proportion of these self-8 9 caught near misses and the actual errors. I just 10 know, you know, we started the whole thing 11 because we were having too many people getting 12 hurt from wrong patient errors, you know. It's 13 sort of like, you place the order on the wrong 14 patient, and then by the grace of God you 15 realize, oh, crap, I'm on the wrong patient, and 16 you cancel it. And if that happens which 17 obviously happens a lot, then it doesn't, you 18 know, reach the patient. And if you don't have 19 that thought it's not like a pharmacist checking 20 it or a nurse checking it. It's you in the back 21 of your mind realizing, oh, crap, I'm on the 22 wrong patient. It's not a very reliable safety

mechanism. And we saw that too often they were 1 2 reaching the patient. CO-CHAIR SEPTIMUS: Charlotte. 3 4 DR. ALEXANDER: Well, first, I want to 5 This is really needed. It's outside commend you. It's really great. 6 of the box. 7 My angst is that when, from a patient safety point of view, we look at near misses as 8 9 really great opportunities to learn. And it's 10 super, super, super important that there's no 11 punitive attachment to it. My concern is if this 12 rolls out as a measure, and hospitals are then 13 being measured by that, and then there's a pay-14 for-performance problem with it, we're just doing 15 all the wrong stuff with a near miss. 16 DR. ADELMAN: Yes. 17 DR. ALEXANDER: And so this is one that 18 is perfect to use, to learn, to develop new 19 things, to --- if we were to counter and catch, 20 but I really hate to see near misses go down that 21 track of being punitive. 22 DR. ADELMAN: So, I taught Just Culture

1	at the National Patient Safety Foundation Annual
2	Congress the last two years in a row, so I'm a
3	big, you now, proponent of Just Culture and
4	differentiating human failure from system
5	failures. And this is all about system failures.
6	All the studies that have come from it taught us
7	how to make the systems safer. And to your point,
8	you know, one of the worst errors that we had
9	where, I think we're in a public comment, right,
10	so I won't say what happened at Montefiore, but
11	it was bad and
12	CO-CHAIR SEPTIMUS: No, we're past
13	public comment.
14	DR. ADELMAN: We're past, but I just
15	don't know if they're
16	CO-CHAIR SEPTIMUS: Right.
17	DR. ADELMAN: We had a bad wrong
18	patient that would really scare you, and at the
19	time we had a root cause analysis, and the theme
20	was, the intern must know what patient you're
21	placing orders on. And then we did a study, and
22	we showed everybody places orders on the wrong

patient and it's not the intern. 1 It's the 2 system. And then we did all this research to show if you have a pop-up that will decrease errors. 3 4 If you have them re-verify that they're on the 5 right patient with the initials, that will decrease errors, and now even if you change the 6 7 name of children, so you don't call every kid in the NICU, baby boy, baby girl, that decreases 8 9 So, I think, you know, the point is errors. 10 about making the system safer. And I guess what 11 we're trying to do is hold like vendors, not 12 individuals but vendors accountable and health 13 systems. It's time to invest in patient 14 photographs. You know, it's time to -- and I 15 think that will be the level of --16 CO-CHAIR SEPTIMUS: And, Michelle. 17 DR. SCHREIBER: Thanks. As you know, 18 you and I have worked together in reviewing this, 19 and we actually did try it at the Henry Ford 20 Health System, so just a couple of comments. 21 I know Lillee is gone, but this is 22 entirely scalable. It took us only a couple of

hours to do it, and there's actually virtually no
 cost to organizations in doing it. And there are
 great lessons to be learned.

Wrong medication errors are almost becoming endemic now with CPOE, and so I think this is a really creative measure, and not only that: you thought of how to do it from the very beginning, so I just want to congratulate you.

Just one comment and a little bit of
a question. In some of how you looked at this,
it was all orders, and some of how you looked at
this and verified it was medications only. So,
if you can just clarify, is this always going to
be all orders or medication orders only?

15 DR. ADELMAN: Right. We did all orders 16 and then parsed it by all types of orders in our 17 JAMIA paper, which I think is attached to the application. We showed how wrong patient errors 18 19 by medication orders, radiology orders, nursing 20 orders, and then there was a table where I showed 21 many different hospitals that implement it. Some 22 like the Brigham, for example, was funded by the

FDA to do a medication safety project so they ran 1 2 it with a particular interest on medications. And it wasn't that they couldn't do it on all, 3 4 and I actually didn't go back and ask them. Ι 5 suspect that they did it for all and then only reported the medications as part of that project. 6 7 And there was another hospital that similar 8 story. 9 I think it's intended and meant and 10 should be used for all orders, but you can parse 11 it any way you want. 12 CO-CHAIR SEPTIMUS: Yes, Jason and I 13 talked last night as we walked back in the rain. 14 Another thing to look at. I mean I 15 have been doing CPOE for a long time, and either 16 I have not recognized it but I've not had that 17 opportunity to put it on the wrong patient. I'm 18 sure that it can happen, that's for sure. You 19 proved that to me. But what I see more often is 20 not that the order is placed on the wrong 21 patient, but that the order is wrong. So, you 22 asked for a specimen from one site, but you

really meant another site. And I wonder whether
 or not you might want to look at wrong order on
 the right patient.

4 DR. ADELMAN: Yes, so interesting that 5 you say that because, you know, all this work, I mean, for us it stems from actual wrong patient 6 7 But the fact that NQF has a Health IT errors. Safety Committee and AHRQ, Congress gave AHRQ an 8 9 extra \$4 million to study Health IT Safety. And 10 I just submitted two weeks ago a new grant to 11 AHRQ saying that we want to expand this measure. 12 The concept of retract and reorder to find other 13 types of errors.

14 So, just very quickly, an example of 15 a wrong order would be a wrong medication would 16 be instead of looking for orders that are placed 17 on a patient, retracted and placed on another 18 patient, we took the IMCP list of similar 19 sounding medications and looked for when somebody 20 orders Clonidine on a patient, cancels it very 21 quickly and then on the same patient order 22 Klonopin, which often gets confused. So, it's

wrong drug and, you know, because I sit on this 1 2 committee, and I sit on the Health IT Safety Committee, I sort of made the case in the funding 3 4 opportunity in the proposal that we want to 5 develop the measures, test them, and I'm intentionally testing in such a way that it will 6 be -- we can demonstrate validity and reliability 7 to the NQF so it will be ready for endorsement. 8 9 So, if I get funded I will be back in 10 a few years with, you know, more Health IT Safety 11 Measures to address just what you asked. 12 DR. BURSTIN: To add in, you know, as 13 we are finishing up this work on Health IT and 14 Patient Safety, we'll also make sure we send that 15 draft report to all of you because part of what 16 you -- you remember Andrew from the last project. 17 Andrew has been leading this work and so we've 18 -- they came up with a list of about 100 qot 19 concepts that potentially could be measures that 20 reflect Health IT and Safety. So, we'd love to 21 get your input on that. There's a long list of 22 them, Michelle, beyond this one. This was the

first one that at least we knew there was
 something in development and at least get a
 chance to look at it.

DR. ADELMAN: Oh, can I please thank Andrew in the back for helping me and guiding me and the NQF staff, they were super helpful.

7 CO-CHAIR SEPTIMUS: And so, yes, we should end the meeting by, first of all, thanking 8 9 the NQF staff for the support they provide this 10 committee. I mean, we know how much work it 11 takes to even get to this point. I know we're 12 not finished with their work, but they deserve a 13 really big round of applause.

14 There will be a follow-up phone call 15 as you know. I think there's going to be lots of work done between now and whenever that phone 16 17 call is going to take place. And I can just say 18 I'm just amazed at the talent that's in this 19 You all make me feel awfully humble and room. 20 awfully inadequate because of all the talent 21 that's in this room. And I think that Iona may 22 have had to leave early, but I want to thank Iona

for -- we sort of support each other and made sure we don't make too many mistakes. So, we thank you from our perspective for being such a great committee. And I know our work is not done so safe travels to everybody. DR. BURSTIN: Absolutely. Thanks from us, too. (Whereupon, the above-entitled matter went off the record at 3:12 p.m.) 

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## CERTIFICATE

This is to certify that the foregoing transcript

In the matter of: Patient Safety Standing Committee

Before: NQF

Date: 06-18-15

Place: Washington, DC

was duly recorded and accurately transcribed under my direction; further, that said transcript is a true and accurate record of the proceedings.

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Court Reporter

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