NATIONAL QUALITY FORUM

Measure Submission and Evaluation Worksheet 5.0

This form contains the information submitted by measure developers/stewards, organized according to NQF's measure evaluation criteria and process. The evaluation criteria, evaluation guidance documents, and a blank online submission form are available on the <u>submitting standards web page</u>.

NQF #: 1730 NQF Project: Patient Safety Measures-Complications Project

(for Endorsement Maintenance Review)

Original Endorsement Date: Most Recent Endorsement Date: Last Updated Date: Apr 09, 2012

BRIEF MEASURE INFORMATION

De.1 Measure Title: Falls: Risk Assessment for Falls

Co.1.1 Measure Steward: National Committee for Quality Assurance

De.2 Brief Description of Measure: Percentage of patients aged 65 years and older with a history of falls who had a risk assessment for falls completed within 12 months

2a1.1 Numerator Statement: Patients at risk* of future fall** who had a multi-factorial risk assessment*** for falls completed within 12 months.

*Risk of future falls is defined as having had had 2 or more falls in the past year or any fall with injury in the past year.

**A fall is defined as a sudden, unintentional change in position causing an individual to land at a lower level, on an object, the floor, or the ground, other than as a consequence of a sudden onset of paralysis, epileptic seizure, or overwhelming external force.

***Risk assessment is comprised of balance/gait AND one or more of the following: postural blood pressure, vision, home fall hazards, and documentation on whether medications are a contributing factor or not to falls within the past 12 months.

2a1.4 Denominator Statement: All patients aged 65 years and older with a history of falls (history of falls is defined as 2 or more falls in the past year or any fall with injury in the past year)

2a1.8 Denominator Exclusions: Patients who have documentation of medical reason(s) for not completing a risk assessment for falls (e.g., patient is not ambulatory) are considered exclusions to this measure.

1.1 Measure Type: Process

2a1. 25-26 Data Source: Administrative claims

2a1.33 Level of Analysis: Clinician : Group/Practice, Clinician : Individual, Clinician : Team

1.2-1.4 Is this measure paired with another measure? No

De.3 If included in a composite, please identify the composite measure (*title and NQF number if endorsed*): N/A

Comments on Conditions for Consideration:

Is the measure untested?	Yes No	If untested, explain how it meets criteria for consideration for time-limited
endorsement:		

1a. Specific national health goal/priority identified by DHHS or NPP addressed by the measure (check De.5):
5. Similar/related endorsed or submitted measures (check 5.1):
Other Criteria:

Staff Reviewer Name(s):

1. IMPACT, OPPORTUITY, EVIDENCE - IMPORTANCE TO MEASURE AND REPORT

Importance to Measure and Report is a threshold criterion that must be met in order to recommend a measure for endorsement. All three subcriteria must be met to pass this criterion. See guidance on evidence. Measures must be judged to be important to measure and report in order to be evaluated against the remaining criteria. (evaluation criteria) 1a. High Impact: (The measure directly addresses a specific national health goal/priority identified by DHHS or NPP, or some other high impact aspect of healthcare.) De.4 Subject/Topic Areas (Check all the areas that apply): Musculoskeletal, Musculoskeletal : Functional Status, Musculoskeletal : Hip/Pelvic Fracture, Musculoskeletal : Osteoarthritis, Musculoskeletal : Osteoporosis, Prevention, Prevention : Screening De.5 Cross Cutting Areas (Check all the areas that apply): Functional Status, Safety 1a.1 Demonstrated High Impact Aspect of Healthcare: Affects large numbers, A leading cause of morbidity/mortality, Patient/societal consequences of poor quality 1a.2 If "Other," please describe: 1a.3 Summary of Evidence of High Impact (Provide epidemiologic or resource use data): Falls are one of the most common and significant health issues facing people aged 65 years or older (Schneider, Shubert & Harmon, 2010). Older adults are five times more likely to be hospitalization for fall-related injuries than any other cause-related injury. It is estimated that one in every three adults over 65 will fall each year (CDC, 2010). In those over age 80, the rate of falls increases to fifty percent (Doherty et al., 2009). Falls are also associated with substantial cost and resource use, approaching \$30,000 per fall hospitalization (Woolcott, et al., 2011). Falls among elderly persons are a serious concern not simply due to the

high incidence of fails but because of the susceptibility of injury and even death. Falls are the leading cause of death due to injury for the 65 and older population as well as the most common cause of nonfatal injuries and trauma related hospital admissions. In 2007, 18,000+ adults above the age of 64 died due to unintentional fall injuries. In 2008, over two million older adults required emergency care as a result of a fall, 559,000 of which needed hospitalization (CDC, 2010). A recent study calculated the number needed to treat (NNT) to prevent one fall as 32 for a single intervention compared with seven for a multidisciplinary intervention (Hanley, Silke & Murphy, 2010). With such a low NNT, this measure has the opportunity to have high impact.

Between 20% and 30% of people who fall experience an injury (CDC, 2010). Roughly 10% of all falls cause major injuries such as fractures, serious soft tissue damage and traumatic brain injury (Tinetti, 2010). Lacerations are another common, and sometimes severe, injury incurred by falling. The majority of fractures among older adults are caused by falling, fracturing the spine, hip, forearm, leg, pelvis, upper arm, and/or hand (CDC, 2010). Of fall-related fractures, hip fractures are one of the more serious, often resulting in long-term functional limitation, nursing home admission and increased mortality. Over 90% percent of hip fractures result from falls (CDC, 2010). Hip fractures have a significant impact on older adults' independence and quality of life. Only half of older adults hospitalized for a hip fracture are able to return home or live independently after the injury (Wolinsky et al., 2009).

Falls can also have serious psychological and social consequences. Developing a fear of falling is another common outcome even if no injury was sustained in the first fall. Living in fear of a fall can limit an older adult's quality of life because it causes them to limit their activities, leading to reduced mobility and loss of physical fitness, which ultimately increases their risk of falling (CDC, 2010). Recurrent falls are a common reason for long-term care admissions (Soriano et al., 2007). According a statistical brief released by the Agency for Healthcare Research and Quality, falls were a significant factor in 40.9 percent of admissions to long-term care facilities (Owens et al., 2009). Adults 75 and older are about 5 times more likely to be admitted to a long-term care facility for a year or longer than those between 65 and 74 (CDC, 2010).

Falls have a significant economic cost. In 2005, total direct cost of fall injuries for adults age 65 and older was over \$34 billion (NCOA, 2010). The direct costs for fall-related care include fees for hospital and nursing home care, doctors and other professional

services, rehabilitation, community-based services, use of medical equipment, prescription drugs, changes made to the home, and insurance processing. It is estimated that by 2020, the annual direct and indirect cost of fall injuries is expected to reach \$54.9 billion (CDC, 2010).

1a.4 Citations for Evidence of High Impact cited in 1a.3: al-Aama T. (2011). Falls in the Elderly: Spectrum and Prevention. Can Fam Physician; 57(7):771-6.

Centers for Disease Control and Prevention. Injury Prevention & Control: Home and Recreational Safety. Falls Among Older Adults: An Overview. September 13, 2010.

Clyburn TA & Heydemann JA. (2011). Fall Prevention in the Elderly: Analysis and Comprehensive Review of Methods Used in the Hospital and the Home. J Am Acad Orthop Surg;19(7):402-9.

Doherty M, Crossen-Sills J. Bonus Content Geriatric Care Fall Risk: Keep your patients in balance. The Nurse Practitioner: The American Journal of Primary Health Care. December 2009. Vol.34(12):46 – 51.

Dykes PC, Carroll DL, Hurley A, Lipsitz S, Benoit A, Chang F, Meltzer S, Tsurikova R, Zuyov L, Middleton B. Fall Prevention in Acute Care Hospitals. JAMA. 2010;304(17):1912-1918.

Hanley A, Silke C & Murphy J. (2010). Community-based Health Efforts for the Prevention of Falls in the Elderly. Clinical Inverventions in Aging;6:19-25.

Schneider EC, Shubert TE & Harmon KJ. (2010). Addressing the Escalating Public Health Issue of Falls Among Older Adults. North Carolina Medical Journal;71(6):547-52.

Weinberg J, PRoske D, Szerszen A, Lefkovic K, Cline C, El-Sayegh S, Jarrett M, & Weiserbs KF. (2011). An Inpatient Fall Prevention Initiative in a Tertiary Care Hospital. Jt Comm J Qual Patient Saf;37(7):317-25.

Woolcott JC, Khan KM, Mitrovic S, Anis AH, & Marra CA. (2011). The Cost of Fall Related Presentations to the ED: A Prospective, In-Person, Patient-Tracking Analysis of Health Resource Utilization. Osteporos Int;[Epub ahead of print].

Centers for Disease Control and Prevention. Injury Prevention & Control: Home and Recreational Safety. Costs of Falls Among Older Adults. September 13, 2010.

Centers for Disease Control and Prevention. Injury Prevention & Control: Home and Recreational Safety. Hip Fractures Among Older Adults. September 10, 2010.

Centers for Disease Control and Prevention. Injury Prevention & Control: Home and Recreational Safety. Falls Among Older Adults: An Overview. September 13, 2010.

Doherty M, Crossen-Sills J. Bonus Content Geriatric Care Fall Risk: Keep your patients in balance. The Nurse Practitioner: The American Journal of Primary Health Care. December 2009. Vol.34(12):46 – 51.

Dykes PC, Carroll DL, Hurley A, Lipsitz S, Benoit A, Chang F, Meltzer S, Tsurikova R, Zuyov L, Middleton B. Fall Prevention in Acute Care Hospitals. JAMA. 2010;304(17):1912-1918.

National Council on Aging (NCOA). Improving the Lives of Older Americans. May 13, 2010. Found at: http://www.ncoa.org/press-room/press-release/ncoa-and-phi-launch-falls.html

Owens PL, Russo CA, Spector W, Mutter R. Agency for Healthcare Research and Quality. H-CUP Statisitical Brief #80: Emergency Department Visits for Injurious Falls among the Elderly, 2006. October 2009.

Soriano TA, DeCherrie LV, Thomas DC. Falls in the community-dwelling older adult: A review for primary-care providers. Clin Interv Aging. 2007 December; 2(4): 545–553.

Tinetti, ME. The Patient Who Falls: "It's Always a Trade-off". JAMA. 2010;303(3):258-266.

Wolinsky FD, Bentler SE, Liu L, Obrizan M, Cook EA, Wright KB, Geweke JF, Chrischilles EA, Pavlik CE, Ohsfeldt RL, Jones MP, Richardson KK, Rosenthal GE, Wallace RB. Recent Hospitalization and the Risk of Hip Fracture Among Older Americans. J Gerontol A Biol Sci Med Sci. 2009 February; 64A(2): 249–255.

1b. Opportunity for Improvement: H M L I (There is a demonstrated performance gap - variability or overall less than optimal performance)

1b.1 Briefly explain the benefits (improvements in quality) envisioned by use of this measure: Conducting a comprehensive risk-assessment and prescribing the appropriate intervention is the most important part of falls prevention. Family physicians have a pivotal role in assessing older patient's risk of falls, and applying preventive strategies for patients at risk (al-Aama, 2011).

al-Aama T. (2011). Falls in the Elderly: Spectrum and Prevention. Can Fam Physician; 57(7):771-6.

1b.2 Summary of Data Demonstrating Performance Gap (Variation or overall less than optimal performance across providers): [For <u>Maintenance</u> – Descriptive statistics for performance results <u>for this measure</u> - distribution of scores for measured entities by quartile/decile, mean, median, SD, min, max, etc.] CMS PQRI

This measure is used in the CMS Physician Quality Reporting System 2009 and 2010 claims and Registry options. There is a gap in care as shown by this 2009 data:

Mean Performance Rate: 88.23% Number of Eligible Professionals Submitting: 8,178

1b.3 Citations for Data on Performance Gap: [For <u>Maintenance</u> – Description of the data or sample for measure results reported in 1b.2 including number of measured entities; number of patients; dates of data; if a sample, characteristics of the entities included] 2009 Physician Quality Reporting System and eRx Experience Report. Appendix B: 2009 Physician Quality Reporting System Detailed Tables

1b.4 Summary of Data on Disparities by Population Group: [*For <u>Maintenance</u> – Descriptive statistics for performance results for this measure by population group*]

The measure is not stratified by patient groups or cohorts that could potentially be affected by disparities in care, NCQA has participated with IOM and others in attempting to include information on disparities in measure data collection. However, at the present time, this data, at all levels (claims data, paper chart review, and electronic records), is not coded in a standard manner, and is incompletely captured. There are no consistent standards for what entity (physician, group, plan, and employer) should capture and report this data. While "requiring" reporting of the data could push the field forward, it has been our position that doing so would create substantial burden without generating meaningful results. We believe that the measure specifications should NOT require this unless absolutely necessary since the data needed to determine disparities cannot be ascertained from the currently available sources.

1b.5 Citations for Data on Disparities Cited in 1b.4: [For <u>Maintenance</u> – Description of the data or sample for measure results reported in 1b.4 including number of measured entities; number of patients; dates of data; if a sample, characteristics of the entities included] N/A

1c. Evidence (*Measure focus is a health outcome OR meets the criteria for quantity, quality, consistency of the body of evidence.*) Is the measure focus a health outcome? Yes No <u>If not a health outcome</u>, rate the body of evidence.

Quantity:	H M		Quality: H M L I Consistency: H M L I
Quantity	Quality	Consistency	Does the measure pass subcriterion1c?
M-H	M-H	M-H	Yes

NQF #1730 Falls: Risk Assessment for Falls, Last Updated Date: Apr 09, 2012

L	M-H	М	Yes IF additional research unlikely to change conclusion that benefits to patients outweigh harms: otherwise No				
M-H	L	M-H	Yes IF potential benefits to patients clearly outweigh potential harms: otherwise No				
L-M-H	L-M-H	L	No 🗌				
Health ou one health	Health outcome – rationale supports relationship to at least one healthcare structure, process, intervention, or service Does the measure pass subcriterion1c? Yes IF rationale supports relationship						
1c.1 Structure-Process-Outcome Relationship (Briefly state the measure focus, e.g., health outcome, intermediate clinical outcome, process, structure; then identify the appropriate links, e.g., structure-process-health outcome; process- health outcome; intermediate clinical outcome-health outcome): Fall risk screening (Identify population at risk; #0101) >> risk assessment for falls (multi-component assessment for fall risk-factors; #1730) >> plan of care for falls (appropriate multi-component intervention to reduce falls risk; #1733) >> reduced number of falls							
The American Geriatrics Society along with the British Geriatrics Society recommends fall risk assessment based on epidemiological studies demonstrating an association between certain risk factors and falls and from experimental studies in which assessment followed by intervention demonstrated benefit. Assuming that the interventions are carried out, multifactorial falls risk assessment and management programs could be one of the most effective intervention for reducing both the risk for falling and the monthly rate of falling (Chang, 2004; Gillespie, 2010).							
Individuals of falling, t	Individuals who have experienced two or more falls in the last year or who have gait or balance issues have an increased likelihood of falling, therefore would benefit from multifactorial falls risk assessment (AGS, 2010).						
1c.2-3 Ty Clinical Pr	1c.2-3 Type of Evidence (<i>Check all that apply</i>): Clinical Practice Guideline, Systematic review of body of evidence (other than within guideline development)						
1c.4 Directness of Evidence to the Specified Measure (State the central topic, population, and outcomes addressed in the body of evidence and identify any differences from the measure focus and measure target population): This measure assesses falls risk in the elderly population. The evidence cited here is directly associated with the intent and focus of this measure.							
1c.5 Quar	ntity of St	udies in the B	ody of Evidence (Total n	umber of studies, not articles): 111			
1c.6 Quality of Body of Evidence (Summarize the certainty or confidence in the estimates of benefits and harms to patients across studies in the body of evidence resulting from study factors. Please address: a) study design/flaws; b) directness/indirectness of the evidence to this measure (e.g., interventions, comparisons, outcomes assessed, population included in the evidence); and c) imprecision/wide confidence intervals due to few patients or events): Good							
1c.7 Cons studies ar evaluating	sistency o e all in agr i the body	f Results acro eement that ris of evidence for	oss Studies (Summarize t k assessement can signifi fall risk prevention	the consistency of the magnitude and direction of the effect): The cantly affect the rate of fall prevention. The USPSTF is currently			
1c.8 Net I - <i>benefit o</i> The evide reducing t	Benefit (Pa ver harms nce cited h he inciden	rovide estimate): here all discuss ce of falls amo	es of effect for benefit/outc the effectiveness of multi ng community-dwelling old	ome; identify harms addressed and estimates of effect; and net benefit -factorial risk assessment paired with the appropriate intervention for der adults.			
1c.9 Grading of Strength/Quality of the Body of Evidence. Has the body of evidence been graded? No							
1c.10 If body of evidence graded, identify the entity that graded the evidence including balance of representation and any disclosures regarding bias: N/A							
1c.11 Sys	tem Used	l for Grading t	he Body of Evidence: O	ther			

1c.12 If other, identify and describe the grading scale with definitions: N/A

1c.13 Grade Assigned to the Body of Evidence: N/A

1c.14 Summary of Controversy/Contradictory Evidence: No controversy or contradiction.

1c.15 Citations for Evidence other than Guidelines (Guidelines addressed below):

Chang JT, Morton SC, Rubenstein LZ, et al. Interventions for the prevention of falls in older adults: systematic review and metaanalysis of randomized clinical trials. BMJ 2004; 328:680-3.

Gillespie LD, Robertson MC, Gillespie WJ, Lam WE, Gates S, Cumming RG, Rowe BH. Interventions for preventing falls in older people living in the community. Cochrane Database of Systematic Reviews 2009, Issue 2. Art. No.: CD007146. DOI: 10.1002/14651858.CD007146.pub2.

The American Geriatrics Society. AGS Clinical Practice Guideline: Prevention of Falls in Older Persons (2010). Accessed at: http://www.americangeriatrics.org/health_care_professionals/clinical_practice/clinical_guidelines_recommendations/prevention_of_f alls_summary_of_recommendations

1c.16 Quote verbatim, <u>the specific guideline recommendation</u> (Including guideline # and/or page #): Three sets of guidelines/recommendations are listed below: American Geriatric Society/British Geriatric Society (AGS/BGS), National Institute for Clinical Excellence (NICE), and Assessing Care of Vulnerable Adults (ACOVE).

AGS/BGS Clinical Practice Guideline: Prevention of Falls in Older Persons summary of recommendations

SCREENING AND ASSESSMENT

1.All older individuals should be asked whether they have fallen (in the past year).

2. An older person who reports a fall should be asked about the frequency and circumstances of the fall(s).

3.Older individuals should be asked if they experience difficulties with walking or balance.

4.Older persons who present for medical attention because of a fall, report recurrent falls in the past year, or report difficulties in walking or balance (with or without activity curtailment) should have a multifactorial fall risk assessment.

5. Older persons presenting with a single fall should be evaluated for gait and balance.

6.Older persons who have fallen should have an assessment of gait and balance using one of the available evaluations.

7.Older persons who cannot perform or perform poorly on a standardized gait and balance test should be given a multifactorial fall risk assessment.

8.Older persons who have difficulty or demonstrate unsteadiness during the evaluation of gait and balance require a multifactorial fall risk assessment.

9.Older persons reporting only a single fall and reporting or demonstrating no difficulty or unsteadiness during the evaluation of gait and balance do not require a fall risk assessment.

10. The multifactorial fall risk assessment should be performed by a clinician (or clinicians) with appropriate skills and training.

11. The multifactorial fall risk assessment should include the following:

Focused History

History of falls: Detailed description of the circumstances of the fall(s), frequency, symptoms at time of fall, injuries, other consequences

Medication review: All prescribed and over-the-counter medications with dosages

History of relevant risk factors: Acute or chronic medical problems, (e.g., osteoporosis, urinary incontinence, cardiovascular disease)

Physical Examinations

Detailed assessment of gait, balance, and mobility levels and lower extremity joint function

Neurological function: Cognitive evaluation, lower extremity peripheral nerves, proprioception, reflexes, tests of cortical, extrapyramidal and cerebellar function

Muscle strength (lower extremities)

Cardiovascular status: Heart rate and rhythm, postural pulse, blood pressure, and, if appropriate, heart rate and blood pressure responses to carotid sinus stimulation Assessment of visual acuity Examination of the feet and footwear
Functional Assessment Assessment of activities of daily living (ADL) skills including use of adaptive equipment and mobility aids, as appropriate Assessment of the individual's perceived functional ability and fear related to falling (Assessment of current activity levels with attention to the extent to which concerns about falling are protective [i.e., appropriate given abilities] or contributing to deconditioning and/or compromised quality of life [i.e., individual is curtailing involvement in activities he or she is safely able to perform due to fear of falling])
Environmental Assessment Environmental assessment including home safety
INTERVENTIONS: OLDER PERSONS LIVING IN THE COMMUNITY 11. The multifactorial fall risk assessment should be followed by direct interventions tailored to the identified risk factors, coupled with an appropriate exercise program.[A] 12. A strategy to reduce the risk of falls should include multifactorial assessment of known fall risk factors and management of the risk factors identified.[A]
INTERVENTIONS: OLDER PERSONS IN LONG-TERM CARE FACILITES 13. Multifactorial/multicomponent interventions should be considered in long-term care to reduce falls. [C]
INTERVENTIONS: OLDER PERSONS WITH COGNITIVE IMPAIRMENT 14. There is insufficient evidence to recommend for or against multifactorial or single interventions to prevent falls in older persons with known dementia living in the community or in long-term care facilities. [I]
NICE Clinical Practice Guideline 1.2.1 Older people who present for medical attention because of a fall, or report recurrent falls in the past year, or demonstrate abnormalities of gait and/or balance should be offered a multifactorial falls risk assessment. This assessment should be performed by a health care professional with appropriate skills and experience, normally in the setting of a specialist falls service. This assessment should be part of an individualised, multifactorial intervention. [C]
 1.2.2 Multifactorial assessment may include the following: [C] * identification of falls history * assessment of gait, balance and mobility, and muscle weakness * assessment of osteoporosis risk * assessment of the older person's perceived functional ability and fear relating to falling * assessment of visual impairment * assessment of cognitive impairment and neurological examination * assessment of urinary incontinence * assessment of home hazards * cardiovascular examination and medication review.
 ACOVE Quality Indicators Detecting Balance and Gait Disturbances. ALL vulnerable elders should have documentation that they were asked about or examined for the presence of balance or gait disturbances at least once. Basic Fall Evaluation. IF a vulnerable elder reported two or more falls in the past year, or a single fall with injury requiring treatment, THEN there should be documentation that a basic fall evaluation was performed that resulted in specific diagnostic and therapeutic recommendations. Gait-Mobility and Balance Evaluation. IF a vulnerable elder reports or is found to have new or worsening difficulty with ambulation, balance, or mobility, THEN there should be documentation that a basic gait, mobility, and balance evaluation was performed within 6 months that resulted in specific diagnostic and therapeutic recommendations.

1c.17 Clinical Practice Guideline Citation: National Institute for Clinical Excellence (NICE). (2004). Clinical practice guideline for the assessment and prevention of falls in older people. Royal College of Nursing: London.

The American Geriatrics Society. AGS Clinical Practice Guideline: Prevention of Falls in Older Persons (2010).

National Institute for Clinical Excellence (NICE). (2004). Clinical practice guideline for the assessment and prevention of falls in older people. Royal College of Nursing: London.

Rubenstein LZ, Powers CM, MacLean CH. Quality Indicators for the Management and Prevention of Falls and Mobility Problems in Vulnerable Elders. RAND Health. ACOVE. Ann Intern Med. 2001; 135:686-693.

1c.18 National Guideline Clearinghouse or other URL:

http://www.guideline.gov/syntheses/synthesis.aspx?id=25624&search=falls+prevention; http://www.americangeriatrics.org/health_care_professionals/clinical_practice/clinical_guidelines_recommendations/prevention_of_f alls_summary_of_recommendations; http://guidance.nice.org.uk/nicemedia/live/10956/29585/29585.pdf ;http://www.rand.org/pubs/reprints/RP1132.html

1c.19 Grading of Strength of Guideline Recommendation. Has the recommendation been graded? Yes

1c.20 If guideline recommendation graded, identify the entity that graded the evidence including balance of representation and any disclosures regarding bias: American Geriatric Society and British Geriatric Society, expert consensus with evidence review. National Institute for Clinical Excellence, expert consensus with evidence review.

1c.21 System Used for Grading the Strength of Guideline Recommendation: Other

1c.22 If other, identify and describe the grading scale with definitions: AGS/BGS: Strength of Recommendation Rating System

[A] A strong recommendation tha the clinicians provide the intervention to eligible patients.

Good evidence was found that the intervention improves health outcomes and the conclusion is that benefits substantially outweigh harm.

[B] A recommendation that clinicians provide this intervention to elgible patients.

At least fair evidence was found that the intervention improves health outcomes and the conclusion is that benefits outweigh harm.

1c.23 Grade Assigned to the Recommendation: AGS/BGS Grade A-B; NICE Grade C

1c.24 Rationale for Using this Guideline Over Others: An expert panel of diverse stakeholders reviewed the guidelines and evidence for this measure. The panel determined the measure was scientifically sound using the full body of evidence and guidelines for this measure concept.

NICE-

Grade C: Directly based on category III evidence or extrapolated recommendation from category I or II evidence.

Based on the NQF descriptions for rating the evidence, what was the <u>developer's assessment</u> of the quantity, quality, and consistency of the body of evidence?

1c.25 Quantity: High 1c.26 Quality: High1c.27 Consistency: High

1c.28 Attach evidence submission form:

1c.29 Attach appendix for supplemental materials:

Was the threshold criterion, *Importance to Measure and Report*, met? (*1a & 1b must be rated moderate or high and 1c yes*) Yes No Provide rationale based on specific subcriteria:

For a new measure if the Committee votes NO, then STOP. For a measure undergoing endorsement maintenance, if the Committee votes NO because of 1b. (no opportunity for improvement), it may be considered for continued endorsement and all criteria need to be evaluated.

2. RELIABILITY & VALIDITY - SCIENTIFIC ACCEPTABILITY OF MEASURE PROPERTIES

Extent to which the measure, <u>as specified</u>, produces consistent (reliable) and credible (valid) results about the quality of care when implemented. (evaluation criteria)

Measure testing must demonstrate adequate reliability and validity in order to be recommended for endorsement. Testing may be conducted for data elements and/or the computed measure score. Testing information and results should be entered in the appropriate field. Supplemental materials may be referenced or attached in item 2.1. See <u>guidance on measure testing</u>.

S.1 Measure Web Page (In the future, NQF will require measure stewards to provide a URL link to a web page where current detailed specifications can be obtained). Do you have a web page where current detailed specifications for <u>this</u> measure can be obtained? Yes

S.2 If yes, provide web page URL: http://www.ama-assn.org/apps/listserv/x-check/qmeasure.cgi?submit=PCPI

2a. RELIABILITY. Precise Specifications and Reliability Testing: H M L I

2a1. Precise Measure Specifications. (The measure specifications precise and unambiguous.)

2a1.1 Numerator Statement (Brief, narrative description of the measure focus or what is being measured about the target population, e.g., cases from the target population with the target process, condition, event, or outcome): Patients at risk* of future fall** who had a multi-factorial risk assessment*** for falls completed within 12 months.

*Risk of future falls is defined as having had had 2 or more falls in the past year or any fall with injury in the past year.

**A fall is defined as a sudden, unintentional change in position causing an individual to land at a lower level, on an object, the floor, or the ground, other than as a consequence of a sudden onset of paralysis, epileptic seizure, or overwhelming external force.

***Risk assessment is comprised of balance/gait AND one or more of the following: postural blood pressure, vision, home fall hazards, and documentation on whether medications are a contributing factor or not to falls within the past 12 months.

2a1.2 Numerator Time Window (The time period in which the target process, condition, event, or outcome is eligible for inclusion): A twelve month measurement period

2a1.3 Numerator Details (All information required to identify and calculate the cases from the target population with the target process, condition, event, or outcome such as definitions, codes with descriptors, and/or specific data collection items/responses: All patients who have a risk assessment for falls completed in the 12 month measurement period comprised of balance/gait AND one or more of the following: postural blood pressure, vision, home fall hazards, and documentation on whether medications are a contributing factor or not to falls within the past 12 months.

Balance/gait: (1) Documentation of observed transfer and walking, or (2) Use of a standardized scale (eg, Get Up & Go, Berg, Tinetti), or (3) Documentation of referral for assessment of balance/gait

Postural blood pressure: Documentation of blood pressure values in standing and supine positions

Vision: (1) Documentation that patient is functioning well with vision or not functioning well with vision based on discussion with the patient, or (2) Use of a standardized scale or assessment tool (eg, Snellen), or (3) Documentation of referral for assessment of vision

Home fall hazards: (1) Documentation of counseling on home falls hazards, or (2) Documentation of inquiry of home fall hazards, or (3) referral for evaluation of home fall hazards.

Medications: Documentation of whether the patient's current medications may or may not contribute to falls.

All components do not need to be completed during a single patient visit, but should be documented in the medical record as

See Guidance for Definitions of Rating Scale: H=High; M=Moderate; L=Low; I=Insufficient; NA=Not Applicable

having been performed within the past 12 months.

CPT II 3288F: Falls risk assessment documented

2a1.4 **Denominator Statement** (Brief, narrative description of the target population being measured): All patients aged 65 years and older with a history of falls (history of falls is defined as 2 or more falls in the past year or any fall with injury in the past year)

2a1.5 Target Population Category (Check all the populations for which the measure is specified and tested if any): Adult/Elderly Care, Populations at Risk

2a1.6 Denominator Time Window (*The time period in which cases are eligible for inclusion*): A twelve-month measurement period

2a1.7 **Denominator Details** (All information required to identify and calculate the target population/denominator such as definitions, codes with descriptors, and/or specific data collection items/responses):

Patients are included in the denominator if they have been seen by a healthcare practitioner during the measurement period. Use the following CPT codes to identify encounters that meet inclusion criteria.

CPT Code:

97001, 97002, 97003, 97004, 99201, 99202, 99203, 99204, 99205, 99212, 99213, 99214, 99215, 99304, 99305, 99306, 99307, 99308, 99309, 99310, 99324, 99325, 99326, 99327, 99328, 99334, 99335, 99336, 99337, 99341, 99342, 99343, 99344, 99345, 99347, 99348, 99348, 99349, 99350

AND

Report the following CPT Category II code to confirm a history of falls:

1100F: Patient screened for future fall risk; documentation of two or more falls in the past year.

2a1.8 Denominator Exclusions (Brief narrative description of exclusions from the target population): Patients who have documentation of medical reason(s) for not completing a risk assessment for falls (e.g., patient is not ambulatory) are considered exclusions to this measure.

2a1.9 Denominator Exclusion Details (All information required to identify and calculate exclusions from the denominator such as definitions, codes with descriptors, and/or specific data collection items/responses): Patients are considered to be excepted from measurement if any of the following codes are present in the patient record:

Risk Assessment for Falls not Completed for Medical Reasons

3288F with 1P: Documentation of medical reason(s) for not completing a risk assessment for falls

AND

CPT II 1100F: Patient screened for future fall risk; documentation of two or more falls in the past year or any fall with injury in the past year

2a1.10 Stratification Details/Variables (All information required to stratify the measure results including the stratification variables, codes with descriptors, definitions, and/or specific data collection items/responses): N/A

2a1.11 **Risk Adjustment Type** (Select type. Provide specifications for risk stratification in 2a1.10 and for statistical model in 2a1.13): No risk adjustment or risk stratification 2a1.12 **If "Other," please describe**:

2a1.13 Statistical Risk Model and Variables (Name the statistical method - e.g., logistic regression and list all the risk factor

See Guidance for Definitions of Rating Scale: H=High; M=Moderate; L=Low; I=Insufficient; NA=Not Applicable

variables. Note - risk model development should be addressed in 2b4.): N/A

2a1.14-16 Detailed Risk Model Available at Web page URL (or attachment). Include coefficients, equations, codes with descriptors, definitions, and/or specific data collection items/responses. Attach documents only if they are not available on a webpage and keep attached file to 5 MB or less. NQF strongly prefers you make documents available at a Web page URL. Please supply login/password if needed:

2a1.17-18. Type of Score: Rate/proportion

2a1.19 Interpretation of Score (*Classifies interpretation of score according to whether better quality is associated with a higher score, a lower score, a score falling within a defined interval, or a passing score*): Better quality = Higher score

2a1.20 Calculation Algorithm/Measure Logic (Describe the calculation of the measure score as an ordered sequence of steps including identifying the target population; exclusions; cases meeting the target process, condition, event, or outcome; aggregating data; risk adjustment; etc.):

Measure Calculation

For performance purposes, this measure is calculated by creating a fraction with the following components: Numerator, Denominator, and Exceptions.

Step 1: Determine the eligible population. The eligible population is all the patients aged 65 years and older.

Step 2: Determine number of patients meeting the denominator criteria as specified in Section 2a1.7 above. The denominator includes all patients 65 and up seen by a health care provider in the measurement year with documentation of two or more falls in the previous year.

Step 3: Determine the number of patients who meet the numerator criteria as specified in section 2a1.3 above. The numerator includes all patients who received a risk assessment.

Step 4: Identify patients with valid exclusions. Patients with documented medical reason(s) for not conducting risk assessment (e.g., patient is not ambulatory) are excluded from to the denominator.

Step 5: Calculate the rate by dividing the total from Step 3 by the total from Step 2 minus the total from Step 4. (e.g. Step 3/(Step 2 – Step 4))

2a1.21-23 Calculation Algorithm/Measure Logic Diagram URL or attachment:

2a1.24 **Sampling (Survey) Methodology**. If measure is based on a sample (or survey), provide instructions for obtaining the sample, conducting the survey and guidance on minimum sample size (response rate): N/A

2a1.25 Data Source (Check all the sources for which the measure is specified and tested). If other, please describe: Administrative claims

2a1.26 Data Source/Data Collection Instrument (Identify the specific data source/data collection instrument, e.g. name of database, clinical registry, collection instrument, etc.): N/A

2a1.27-29 Data Source/data Collection Instrument Reference Web Page URL or Attachment:

2a1.30-32 Data Dictionary/Code Table Web Page URL or Attachment:

2a1.33 Level of Analysis (*Check the levels of analysis for which the measure is specified and tested*): Clinician : Group/Practice, Clinician : Individual, Clinician : Team

2a1.34-35 Care Setting (Check all the settings for which the measure is specified and tested): Ambulatory Care : Ambulatory Surgery Center (ASC), Ambulatory Care : Clinician Office/Clinic, Ambulatory Care : Urgent Care, Home Health, Hospice, Post Acute/Long Term Care Facility : Nursing Home/Skilled Nursing Facility

2a2. **Reliability Testing**. (*Reliability testing was conducted with appropriate method, scope, and adequate demonstration of reliability.*)

2a2.1 Data/Sample (Description of the data or sample including number of measured entities; number of patients; dates of data; if a sample, characteristics of the entities included):

PCPI Testing Project

Four practice sites representing various practice types, geographic locations and patient volumes were recruited to participate in testing the measure concept

- The number of geriatricians per site ranged from 1-16

- The sites were located in four different regions of the United States

- Patient visit volume per site ranged from 500 – 1,000 geriatric patients per month

Total patient population per practice varied (as indicated below). A random sample of 70 geriatric patient charts were selected from each site for abstraction. The sample included any Medicare patient who had an office visit between January 1 and December 31, 2009. One testing site extracted the information manually from paper medical records while the other three sites extracted testing data from electronic medical records. A total of 220 patient records were able to be successfully abstracted from all sites for the purposes of this study.

- Site 1 (Paper): 2,500 patients

- Site 2 (EHR): 1,800 patients

- Site 3 (EHR): 3,700 outpatients/2,000 LTC patients

- Site 4 (EHR): 2,500 patients

2a2.2 Analytic Method (Describe method of reliability testing & rationale):

Data was abstracted from a series of randomly selected patient records and used to calculate inter-rater reliability by comparing it to measure results derived from claims.

Data analysis included:

Percent agreement

Kappa statistic of reliability

2a2.3 Testing Results (*Reliability statistics, assessment of adequacy in the context of norms for the test conducted*): Overall, this measure is highly reliable.

(N, % Agreement, Kappa (95% Confidence Interval)) Denominator (34, 100%, Kappa statistic is not calculable) Exceptions (8, 100%, Kappa statistic is not calculable) Numerator (34, 100.00%, 1.00) Overall (43, 100.00%, 1.00)

2b. VALIDITY. Validity, Testing, including all Threats to Validity: H M L

2b1.1 Describe how the measure specifications (measure focus, target population, and exclusions) are consistent with the evidence cited in support of the measure focus (*criterion 1c*) and identify any differences from the evidence:

The measure focuses on screening for specific medical conditions may direct the therapy for falls in the elderly population. The evidence is consistent with the focus and scope of this measure.

2b2. Validity Testing. (Validity testing was conducted with appropriate method, scope, and adequate demonstration of validity.)

2b2.1 Data/Sample (Description of the data or sample including number of measured entities; number of patients; dates of data; if a sample, characteristics of the entities included):

An expert panel used to assess this measure consisted of 33 members representing the following areas: internal medicine, geriatrics, anesthesia, orthopedic surgery, physical medicine & rehabilitation, neurology, palliative medicine, urology, geriatric psychiatry, emergency medicine, nephrology, radiation oncology, ophthalmology, medical epidemiology, methodology, hospital medicine, family medicine, and bioethics. The list of experts is provided in section Ad.1. Workgroup/Expert Panel Involved in Measure Development.

2b2.2 Analytic Method (Describe method of validity testing and rationale; if face validity, describe systematic assessment): All NCQA-AMA-PCPI performance measures are assessed for content validity by expert work group members during the development process. Additional input on the content validity of draft measures is obtained through a public comment period as well as direct solicitation of comments from a panel of consumer, purchaser, and patient representatives convened specifically for this purpose. All comments received are reviewed by the experts and the measure specifications are adjusted as needed. Other external review groups (i.e. focus groups) may be convened if there are any remaining concerns related to the content validity of the measures.

2b2.3 Testing Results (Statistical results, assessment of adequacy in the context of norms for the test conducted; if face validity, describe results of systematic assessment):

The aforementioned panel was asked to rate their agreement with the following statement:

The scores obtained from the measure as specified will accurately differentiate quality across providers.

Scale 1-5, where 1=Strongly Disagree; 3=Neither Disagree nor Agree; 5=Strongly Agree

The results of the expert panel rating of the validity statement were as follows: N = 23; Mean rating = 4.39

Frequency Distribution of Ratings

1 - 0 (Strongly Disagree)

- 2 0
- 3 4 (Neither Disagree nor Agree)
- 4 6

5 - 13 (Strongly Agree)

POTENTIAL THREATS TO VALIDITY. (All potential threats to validity were appropriately tested with adequate results.)

2b3. Measure Exclusions. (Exclusions were supported by the clinical evidence in 1c or appropriately tested with results demonstrating the need to specify them.)

2b3.1 Data/Sample for analysis of exclusions (Description of the data or sample including number of measured entities; number of patients; dates of data; if a sample, characteristics of the entities included): The effect of measure exclusions was conducted on the entire sample of patients included in the field test.

2b3.2 Analytic Method (Describe type of analysis and rationale for examining exclusions, including exclusion related to patient preference):

Exclusions tested included medical and patient reasons. Exclusions were analyzed for frequency and variability across providers.

2b3.3 Results (*Provide statistical results for analysis of exclusions, e.g., frequency, variability, sensitivity analyses):* Overall, the use of exclusions was moderately reliable. Exclusions were tested by looking at the number of times for exclusions were noted. The exclusion rate for this measure was 20.00% (18 out of 90). The primary reason found for applying an exclusion in this population was due to a previous patient fall assessment performed by a provider. **2b4. Risk Adjustment Strategy.** (For outcome measures, adjustment for differences in case mix (severity) across measured entities was appropriately tested with adequate results.)

2b4.1 Data/Sample (Description of the data or sample including number of measured entities; number of patients; dates of data; if a sample, characteristics of the entities included): N/A

2b4.2 Analytic Method (Describe methods and rationale for development and testing of risk model or risk stratification including selection of factors/variables):

N/A

2b4.3 Testing Results (*Statistical risk model*: Provide quantitative assessment of relative contribution of model risk factors; risk model performance metrics including cross-validation discrimination and calibration statistics, calibration curve and risk decile plot, and assessment of adequacy in the context of norms for risk models. <u>Risk stratification</u>: Provide quantitative assessment of relationship of risk factors to the outcome and differences in outcomes among the strata): N/A

2b4.4 If outcome or resource use measure is not risk adjusted, provide rationale and analyses to justify lack of adjustment: N/A

2b5. Identification of Meaningful Differences in Performance. (*The performance measure scores were appropriately analyzed and discriminated meaningful differences in quality.*)

2b5.1 Data/Sample (Describe the data or sample including number of measured entities; number of patients; dates of data; if a sample, characteristics of the entities included):

This measure is also used in the CMS Physician Quality Reporting Initiative (PQRI): 427,022 cases were reported on from 2009 data.

This measure was used in the CMS Physician Quality Reporting System (PQRS) 2009 and 2010 claims and Registry options. The following information is for the 2009 program, the only year for which such data is available.

2b5.2 Analytic Method (Describe methods and rationale to identify statistically significant and practically/meaningfully differences in performance):

For the CMS PQRI, the mean performance rate was calculated from the number of eligible professionals submitting reports for the 2009 measurement year.

2b5.3 Results (*Provide measure performance results/scores, e.g., distribution by quartile, mean, median, SD, etc.; identification of statistically significant and meaningfully differences in performance)*:

CMS Physician Quality Reporting Initiative Clinical Condition and Measure: PQRS #154 Falls: Risk Assessment Mean Performance Rate: 88.23%

Eligible Professionals: 605, 832

Professionals Reporting >1 Valid QDC: 7,951

% Professionals Reporting >1 Valid QDC: 1.31%

Professionals Reporting Satisfactorily Reporting: 2,278

% Professionals Reporting Satisfactorily Reporting: 28.65%

2b6. Comparability of Multiple Data Sources/Methods. (If specified for more than one data source, the various approaches result in comparable scores.)

2b6.1 Data/Sample (Describe the data or sample including number of measured entities; number of patients; dates of data; if a sample, characteristics of the entities included): N/A

2b6.2 Analytic Method (Describe methods and rationale for testing comparability of scores produced by the different data sources specified in the measure): N/A

NQF #1730 Falls: Risk Assessment for Falls, Last Updated Date: Apr 09, 2012

2b6.3 Testing Results (*Provide statistical results, e.g., correlation statistics, comparison of rankings; assessment of adequacy in the context of norms for the test conducted*):

N/A

2c. Disparities in Care: H M L I NA (If applicable, the measure specifications allow identification of disparities.)

2c.1 If measure is stratified for disparities, provide stratified results (Scores by stratified categories/cohorts): The measure is not stratified.

2c.2 If disparities have been reported/identified (e.g., in 1b), but measure is not specified to detect disparities, please explain:

N/A

2.1-2.3 Supplemental Testing Methodology Information:

Steering Committee: Overall, was the criterion, *Scientific Acceptability of Measure Properties*, met? (*Reliability and Validity must be rated moderate or high*) Yes No Provide rationale based on specific subcriteria:

If the Committee votes No, STOP

3. USABILITY

Extent to which intended audiences (e.g., consumers, purchasers, providers, policy makers) can understand the results of the measure and are likely to find them useful for decision making. (evaluation criteria)

C.1 Intended Actual/Planned Use (Check all the planned uses for which the measure is intended): Professional Certification or Recognition Program, Public Reporting, Quality Improvement (Internal to the specific organization), Quality Improvement with Benchmarking (external benchmarking to multiple organizations)

3.1 Current Use (Check all that apply; for any that are checked, provide the specific program information in the following *questions*): Public Reporting, Professional Certification or Recognition Program, Quality Improvement with Benchmarking (external benchmarking to multiple organizations), Quality Improvement (Internal to the specific organization)

3a. Usefulness for Public Reporting: H M L I I (*The measure is meaningful, understandable and useful for public reporting.*)

3a.1. Use in Public Reporting - disclosure of performance results to the public at large (*If used in a public reporting program, provide name of program(s), locations, Web page URL(s)*). If not publicly reported in a national or community program, state the reason AND plans to achieve public reporting, potential reporting programs or commitments, and timeline, e.g., within 3 years of endorsement: [*For <u>Maintenance</u> – If not publicly reported, describe progress made toward achieving disclosure of performance results to the public at large and expected date for public reporting; provide rationale why continued endorsement should be considered.*]

This measure is currently being used in the CMS Physician Quality Reporting System for 2011, and will provide information about clinician participation to the public. This measure was also used in the 2009 and 2010 CMS PQRI programs. http://www.cms.gov/PQRS/01_Overview.asp#TopOfPage

3a.2. Provide a rationale for why the measure performance results are meaningful, understandable, and useful for public reporting. If usefulness was demonstrated (e.g., focus group, cognitive testing), describe the data, method, and results: The successful use in PQRI supports the feasibility and usability of the measure specification on a national scale and the results indicate that there is still room for improvement in this critical patient safety area.

3.2 Use for other Accountability Functions (payment, certification, accreditation). If used in a public accountability program,

provide name of program(s), locations, Web page URL(s): This measure has been used in the CMS Physician Quality Reporting Initiative (https://www.cms.gov/PQRS/)

3b. Usefulness for Quality Improvement: H M L I

3b.1. Use in QI. If used in quality improvement program, provide name of program(s), locations, Web page URL(s): [*For <u>Maintenance</u> – If not used for QI, indicate the reasons and describe progress toward using performance results for improvement*].

The measure specifications are made freely available on the PCPI website and through the implementation efforts of medical specialty societies.

3b.2. Provide rationale for why the measure performance results are meaningful, understandable, and useful for quality improvement. If usefulness was demonstrated (*e.g.*, *Ql initiative*), describe the data, method and results: The successful use in PQRI supports the feasibility and usability of the measure specification on a national scale.

Overall, to what extent was the criterion, *Usability*, met? H M L I Provide rationale based on specific subcriteria:

4. FEASIBILITY

Extent to which the required data are readily available, retrievable without undue burden, and can be implemented for performance measurement. (evaluation criteria)

4a. Data Generated as a Byproduct of Care Processes: H M L I

4a.1-2 How are the data elements needed to compute measure scores generated? (*Check all that apply*). Data used in the measure are:

generated by and used by healthcare personnel during the provision of care, e.g., blood pressure, lab value, medical condition

4b. Electronic Sources: H M L I

4b.1 Are the data elements needed for the measure as specified available electronically (*Elements that are needed to compute measure scores are in defined, computer-readable fields*): ALL data elements are in a combination of electronic sources

4b.2 If ALL data elements are not from electronic sources, specify a credible, near-term path to electronic capture, OR provide a rationale for using other than electronic sources:

4c. Susceptibility to Inaccuracies, Errors, or Unintended Consequences: H M L

4c.1 Identify susceptibility to inaccuracies, errors, or unintended consequences of the measurement identified during testing and/or operational use and strategies to prevent, minimize, or detect. If audited, provide results: We are not aware of any unintended consequences related to the use of this measure.

4d. Data Collection Strategy/Implementation: H M L I

A.2 Please check if either of the following apply (*regarding proprietary measures*): Proprietary measure 4d.1 Describe what you have learned/modified as a result of testing and/or operational use of the measure regarding data collection, availability of data, missing data, timing and frequency of data collection, sampling, patient confidentiality, time and cost of data collection, other feasibility/implementation issues (*e.g., fees for use of proprietary measures*): The specific costs for implementing or using this measure have not been measured; however the successful use in a national reporting program (PQRS) support the feasibility and utility of the measue concept.

Overall, to what extent was the criterion, *Feasibility*, met? H M L I Provide rationale based on specific subcriteria:

OVERALL SUITABILITY FOR ENDORSEMENT

Does the measure meet all the NQF criteria for endorsement? Yes No Rationale:

If the Committee votes No, STOP.

If the Committee votes Yes, the final recommendation is contingent on comparison to related and competing measures.

5. COMPARISON TO RELATED AND COMPETING MEASURES

If a measure meets the above criteria and there are endorsed or new related measures (either the same measure focus or the same target population) or competing measures (both the same measure focus and the same target population), the measures are compared to address harmonization and/or selection of the best measure before a final recommendation is made.

5.1 If there are related measures *(either same measure focus or target population)* or competing measures *(both the same measure focus and same target population)*, list the NQF # and title of all related and/or competing measures: 0035 : Fall Risk Management

0101 : Falls: Screening for Future Fall Risk

0141 : Patient Fall Rate

0202 : Falls with injury

0537 : Multifactor Fall Risk Assessment Conducted in Patients 65 and Older

5a. Harmonization

5a.1 If this measure has EITHER the same measure focus OR the same target population as <u>NQF-endorsed measure(s)</u>: Are the measure specifications completely harmonized? No

5a.2 If the measure specifications are not completely harmonized, identify the differences, rationale, and impact on interpretability and data collection burden: SEE 5B1 FOR MORE INFORMATION

5b. Competing Measure(s)

5b.1 If this measure has both the same measure focus and the same target population as NQF-endorsed measure(s): Describe why this measure is superior to competing measures (*e.g.*, *a more valid or efficient way to measure quality*); OR provide a rationale for the additive value of endorsing an additional measure. (*Provide analyses when possible*): RELATED MEASURES:

NQF# 0141 measures patient fall rate in the hospital setting during one month. This measure is related but not competing. The target population is different (#1730- adult in ambulatory care or home health or nursing home; #0141 – adults in the hospital setting) and the measure concept is different (#1730 – Multi-factorial falls risk assessment; #0141 rate of falls outcome measure).

NQF #0202 measures patient fall with injury rate in the hospital setting. This measure is related by not competing. The target population is different (#1730- adult in ambulatory care or home health or nursing home; #0202 – adults in the hospital setting) and the measure concept is different (#1730 – Multi-factorial falls risk assessment; #0202 – rate of falls with injury outcome measure).

NQF #0101 and #1733 are related by not competing. The target population is the same, however the measure concept is different (#0101 – screening for falls risk to determine if multi-factorial risk assessment is appropriate; #1730 – multi-factorial falls risk assessment; #1733 – plan of care for falls prevention).

NQF #0035 measures falls risk management for all individuals across settings. This measure is related but not competing. The target population is the same; however the measure concept is different (#1730 – multi-factorial risk assessment; #0035 patient report of discussing balance, walking or falls problem and receiving an intervention). NQF #0035 is a health plan level measure and uses a different data source (patient reported) from #1730 (administrative claims).

COMPETING MEASURES:

NQF #0537 measures risk assessment for falls in the home health setting. This measure is competing. The target populations overlap but are slightly different (#1730-adult in ambulatory care or home health or nursing home; #0537 – adults in the home health setting), and the measure concept is the same.) NCQA is willing to work with CMS to harmonize the measures, however

given the different uses of these measure (#1730 PQRS; #0537 Medicare Home Health Quality) and different data sources (#1730 administrative claims; #0537 OASIS data set) it will not be possible to combine the measures.

CONTACT INFORMATION

Co.1 Measure Steward (Intellectual Property Owner): National Committee for Quality Assurance, 1100 13th Street NW, Suite 1000, Washington, District Of Columbia, 20005

Co.2 Point of Contact: Bob, Rehm, Assistant Vice President, Performance Measurement, Rehm@ncqa.org, 202-955-1728-

Co.3 Measure Developer if different from Measure Steward: National Committee for Quality Assurance, 1100 13th Street NW, Washington, District Of Columbia, 20005

Co.4 Point of Contact: Dawn, Alayon, MPH, CPH, alayon@ncqa.org, 202-955-3533-

Co.5 Submitter: Bob, Rehm, Assistant Vice President, Performance Measurement, Rehm@ncqa.org, 202-955-1728-, National Committee for Quality Assurance

Co.6 Additional organizations that sponsored/participated in measure development: This measure was developed with the cooperation of the American Geriatrics Society, the National Committee for Quality Assurance and the American Medical Association.

Co.7 Public Contact: Bob, Rehm, Assistant Vice President, Performance Measurement, Rehm@ncqa.org, 202-955-1728-, National Committee for Quality Assurance

ADDITIONAL INFORMATION

Workgroup/Expert Panel involved in measure development

Ad.1 Provide a list of sponsoring organizations and workgroup/panel members' names and organizations. Describe the members' role in measure development.

An expert panel was used to assess face validity of the measure. This panel consists of 33 members, whose specialties include internal medicine, geriatrics, anesthesia, orthopedic surgery, physical medicine & rehabilitation, neurology, palliative medicine, urology, geriatric psychiatry, emergency medicine, nephrology, radiation oncology, ophthalmology, medical epidemiology, methodology, hospital medicine, family medicine, and bioethics.

Caroline Blaum, MD (Work Group Co-Chair) (Geriatrics/Internal Medicine) Associate Professor of Internal Medicine, University of Michigan, Ann Arbor, MI

Carol M. Mangione, MD (Work Group Co-Chair) (Internal Medicine) Professor of Medicine, David Geffen School of Medicine at UCLA, Los Angeles, CA

Chris Alexander, III, MD, FACP (Methodology) Social Security Administration, Office of Hearings and Appeals, Earlysville, VA Patricia P. Barry, MD, MPH (Internal Medicine) American College of Physicians, Gloucester Point, VA

Frederick W. Burgess, MD, PhD (Anesthesia) Rhode Island Hospital, Department of Anesthesia, Providence, RI

Gary S. Clark, MD, MMM, CPE (Physical Medicine & Rehabilitation) Professor and Chair, MetroHealth Medical Center, Dept. of PM&R, Cleveland, OH

Eric Coleman, MD, MPH (Geriatrics) Associate Professor, Division of Health Care Policy and Research, University of Colorado Health Services Center, Aurora, CO

Stephen R. Connor, PhD Vice President, Research and International Development, National Hospice and Palliative Care Organization, Alexandria, VA

Gail A. Cooney, MD (Neurology, Palliative Medicine) Hospice of Palm Beach County, West Palm Beach, FL

Roger Dmochowski, MD (Urology) Department of Urologic Surgery, Vanderbilt University, Nashville, TN

Catherine DuBeau, MD (Geriatrics) Associate Professor of Medicine, University of Chicago, Chicago, IL

Joyce Dubow Associate Director, AARP Policy Institute, Washington, DC

Mary Fermazin, MD, MPA (Internal Medicine) Vice President, Health Policy & Quality Measurement, Health Services Advisory Group, Inc., Phoenix, AZ

Sanford I. Finkel, MD (Geriatric Psychiatry) Professor of Clinical Psychiatry, University of Chicago Medical School, Wilmette, IL

Terry Fulmer, PhD Dean, NYU College of Nursing, New York, NY Peter Hollmann, MD (Internal Medicine/Geriatrics) Blue Cross Blue Shield, Cranston, RI David P. John, MD (Emergency Medicine) Chair Geriatric Section, ACEP, North Haven, CT Peter Johnstone, MD, FACR (Radiation Oncology) Professor and Chair of Radiation Oncology, Indiana University School of Medicine, Department of Radiation Oncology, Indianapolis, IN Flora Lum, MD American Academy of Ophthalmology, Director, Quality of Care & Knowledge Base Development, San Francisco, CA Diane E. Meier, MD Professor, Director: Hertzberg Palliative Care Institute, Director: Center to Advance Palliative Care, Mount Sinai School of Medicine, Department of Geriatrics, New York, NY Alvin "Woody" H. Moss, MD (Nephrology and Palliative Care) Professor of Medicine & Director, Center for Health Ethics & Law, Section of Nephrology, West Virginia University, Morgantown, WV Jaya Rao, MD, MHS Associate Professor, Pharmaceutical Outcomes and Policy, UNC Eshelman School of Pharmacy, Chapel Hill NC Sam J. W. Romeo, MD, MBA General Partner, Tower Health & Wellness Center, LP, Turlock, CA David J. Satin, MD (Family Medicine/Bioethics) Assistant Professor, University of Minnesota, Minneapolis, MN Gregory B. Seymann, MD (Internal Medicine/Hospital Medicine) Associate Professor, Division of Hospital Medicine, UCSD School of Medicine, San Diego, CA Knight Steel, MD (Internal Medicine/Geriatrics) Chief, Geriatrics, Internist, Professor of Medicine Emeritus, Hackensack University Medical Center, Hackensack, NJ Eric Tangalos, MD (Internal Medicine/Geriatrics) Co-Director, Program on Aging, Mayo Clinic, Rochester, MN Joan M. Teno, MD, MS (Geriatrics/Palliative Care) Professor of Community Health and Medicine, Brown Medical School, Providence, RI David J. Thurman, MD, MPH CDC, Atlanta, GA Mary Tinetti, MD (Internal Medicine/Geriatrics) Gladys Phillips Crofoot Professor of Medicine, Epidemiology and Public Health, Yale University School of Medicine, Section of Geriatrics, New Haven, CT Laura Tosi, MD (Orthopaedic Surgery) American Academy of Orthopaedic Surgery, Director, Bone Health Program, Washington, DC Gregg Warshaw, MD Director, Office of Geriatric Medicine, University of Cincinnati College of Medicine, Cincinnati, OH Neil S. Wenger, MD (Internal Medicine/Geriatrics) Professor of Medicine, UCLA, Los Angeles, CA Ad.2 If adapted, provide title of original measure, NQF # if endorsed, and measure steward. Briefly describe the reasons for adapting the original measure and any work with the original measure steward: Measure Developer/Steward Updates and Ongoing Maintenance Ad.3 Year the measure was first released: Ad.4 Month and Year of most recent revision: Ad.5 What is your frequency for review/update of this measure? Ad.6 When is the next scheduled review/update for this measure? Ad.7 Copyright statement: Physician Performance Measures (Measures) and related data specifications, developed by the American Medical Association (AMA) in collaboration with the Physician Consortium for Performance Improvement (the Consortium) and the National Committee for Quality Assurance (NCQA) pursuant to government sponsorship under subcontract 6205-05-054 with Mathematica Policy Research, Inc. under contract 500-00-0033 with Centers for Medicare & Medicaid Services. These performance Measures are not clinical guidelines and do not establish a standard of medical care, and have not been tested for all potential applications. The Measures, while copyrighted, can be reproduced and distributed, without modification, for noncommercial purposes, e.g., use by health care providers in connection with their practices. Commercial use is defined as the sale, license, or distribution of the Measures for commercial gain, or incorporation of the Measures into a product or service that is sold, licensed or distributed for commercial gain. Commercial uses of the Measures require a license agreement between the user and the AMA, (on behalf of the

THE MEASURES AND SPECIFICATIONS ARE PROVIDED "AS IS" WITHOUT WARRANTY OF ANY KIND.

Consortium) or NCQA. Neither the AMA, NCQA, Consortium nor its members shall be responsible for any use of the Measures.

© 2004-6 American Medical Association and National Committee for Quality Assurance. All Rights Reserved. Limited proprietary coding is contained in the Measure specifications for convenience. Users of the proprietary code sets should obtain all necessary licenses from the owners of these code sets. The AMA, NCQA, the Consortium and its members disclaim all liability for use or accuracy of any Current Procedural Terminology (CPT®) or other coding contained in the specifications.

CPT[®] contained in the Measures specifications is copyright 2005 American Medical Association G codes and associated descriptions included in these Measure specifications are in the public domain.

Ad.8 Disclaimers:

Ad.9 Additional Information/Comments:

Date of Submission (MM/DD/YY): 09/14/2011