

NATIONAL QUALITY FORUM

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PERSON- AND FAMILY-CENTERED CARE
STANDING COMMITTEE

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TUESDAY
JULY 29, 2014

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The Committee met at the National Quality Forum, 9th Floor Conference Room, 1030 15th Street, N.W., Washington, D.C., at 8:30 a.m., James Merlino and Lee Partridge, Co-Chairs, presiding.

PRESENT:

JAMES MERLINO, MD, Co-Chair

LEE PARTRIDGE, Co-Chair

KATHERINE BEVANS, PhD, University of
Pennsylvania School of Medicine,
Children's Hospital of Philadelphia

SAMUEL BIERNER, MD, UT Southwestern Medical
Center

REBECCA BRADLEY, LCSW, HealthSouth Corporation
SHARON CROSS, LISW, The Ohio State University
Wexner Medical Center

DAWN DOWDING, PhD, RN, Visiting Nurse Service
of New York

CAROL LEVINE, MA, United Hospital Fund

BRIAN LINDBERG, BSW, MMHS, Consumer Coalition
for Quality Health Care

SHERRI LOEB, RN, BSN, EMMI Solutions

LISA MORRISSE, MA, National Partnership for
Patients

ESTEE NEUWIRTH, PhD, Kaiser Permanente Care
Management Institute

LENARD PARISI, RN, MA, CPHQ, FNAHQ,
Metropolitan
Jewish Health System

DEBRA SALIBA, MD, MPH, UCLA/JH Borun Center
for Gerontological Research, VA Greater
Los Angeles GRECC, RAND Health

CHRISTOPHER STILLE, MD, MPH, FAAP, University
of Colorado School of Medicine,
Children's Hospital Colorado

PETER THOMAS, JD, Powers Pyles Sutter &
Verville P.C.

CARIN van ZYL, MD, FACEP, City of Hope
National Medical Center

NQF STAFF:

HELEN BURSTIN, MD, MPH, Chief Scientific
Officer

NADINE ALLEN, Project Analyst, Strategic
Partnerships

LAURALEI DORIAN, Project Manager, Performance
Measurement

KAREN JOHNSON, Senior Director, Performance
Measurement

KAREN BECKMAN PACE, PhD, RN, Senior Director,
Performance Measurement

SARAH SAMPSEL

ALSO PRESENT:

JULIE BROWN, RAND Corporation*

CHRISTINE CROFTON, PhD, Agency for Healthcare
Research Quality (AHRQ)*

RON HAYS, PhD, RAND Corporation*

GLORIMAR ORTIZ, MS, NRI, Inc.*

LUCILLE SCHACHT, PhD, NRI, Inc.

MARK SCHUSTER, MD, PhD, Boston Children's
Hospital

DALE SHALLER, MPAFF, Agency for Healthcare
Research Quality (AHRQ) Consultant*

SARA TOOMEY, MD, MPhil, MPH, MSc, Center of
Excellence for Pediatric Quality
Measurement, Boston Children's Hospital

ALAN ZASLAVSKY, PhD, Harvard Medical School

CARLA ZEMA, PhD, Agency for Healthcare
Research Quality (AHRQ) Consultant*

SONJA ZINIEL, PhD, Boston Children's Hospital

* present by teleconference

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1 P-R-O-C-E-E-D-I-N-G-S

2 8:31 a.m.

3 MS. DORIAN: Good morning,
4 everyone, and welcome back for day two of the
5 Person and Family-Centered Care meeting. We
6 hope you had a good evening. And we thought
7 the discussion yesterday was very robust, and
8 we're looking forward to another day.

9 Before we get started, this was
10 actually on the agenda for later in the day,
11 but, just in case people start to trickle out,
12 we're going to do the committee selection of
13 terms. As I mentioned yesterday, we're doing
14 two- or three-year terms, and these are
15 selected at random, unless anybody wants to
16 let me know now that they definitely do not
17 want to do a three-year term. Then we'll put
18 you on for a two-year term. And Nadine will
19 walk around for those in the room, and you can
20 just select your term out of the bowl at
21 random, and I can note it.

22 MEMBER DOWDING: Dawn Dowding,

1 two.

2 MEMBER BEVANS: Katherine Bevans,
3 three.

4 MEMBER STILLE: Chris Stille, two.

5 MEMBER LEVINE: Carol Levine, two.

6 MEMBER PARISI: Len Parisi, three.

7 MEMBER MORRISSE: Lisa Morrise,
8 three.

9 MEMBER LOEB: Sherri Loeb, three.

10 MEMBER SALIBA: Deb Saliba, three.

11 MEMBER BRADLEY: Becky Bradley,
12 two.

13 MEMBER BIERNER: Sam Bierner,
14 three.

15 MEMBER VAN ZYL: Carin van Zyl,
16 three.

17 MEMBER NEUWIRTH: Estee Neuwirth,
18 two.

19 CO-CHAIR PARTRIDGE: Lee
20 Partridge, three.

21 CO-CHAIR MERLINO: Jim Merlino,
22 two.

1 MS. DORIAN: And we can select for
2 those who are on the phone. Sharon Cross,
3 two. Brian Lindberg, three. Liz Mort, two.
4 Sherrie Kaplan, three. Peter is two. And
5 two.

6 Okay. Thank you, everyone.
7 Thanks, Nadine. And now I'll just ask if Lee
8 or Jim wanted to say any words about yesterday
9 before we get started. We also have some
10 slides Nadine can pull up just to remind you
11 of the results of the voting.

12 CO-CHAIR MERLINO: The only thing
13 I would say is that, just to remind everyone
14 at yesterday's wrap-up we talked about having
15 Karen review the validity and reliability
16 before we open it up to conversation. And so
17 for the members of the public and the
18 developers who are here, Karen from the staff
19 is going to review the methodology in the NQF
20 staff review first, and then we'll open it up
21 for discussion. Yes, just on reliability and
22 validity.

1 MS. DORIAN: And are there any
2 Committee members on the phone? I know Liz
3 was calling in, but I don't know.

4 CO-CHAIR PARTRIDGE: Excuse me. I
5 want to echo Jim's good morning and welcome to
6 everybody. And I just wanted to raise one
7 question with Lauralei briefly for all of us
8 to think about, and that is, as she's drafting
9 the report that comes out of this meeting and
10 goes out for public comment, if there are
11 particular points that you think should be
12 emphasized or something that you wanted to use
13 as an illustration share it with her. We will
14 get a chance to look at it before it goes out
15 for public comment. But sometimes you only
16 have a short period of time if you're on
17 vacation or something, and so it's a good idea
18 just to share those thoughts as you went
19 along.

20 And I had one myself. As I was
21 looking over the material that CMS, Liz
22 Goldstein handed me about the HCAHPS box

1 scores, the care transition mean for the
2 latest quarter of all 4,000 hospitals was
3 51.27. The top score, the 95 percentile was
4 only 63. So if you thought that a measure was
5 important, that new care transition measure is
6 really important.

7 And, Esther, the discharge, in
8 contrast, the discharge information measure
9 had a mean of 85.73. So, clearly, we need
10 that 11th component to HCAHPS.

11 MEMBER NEUWIRTH: Yes. I mean, I
12 guess I just wonder, that's really great
13 information and I wonder if anybody has really
14 done any comparison between the discharge on
15 the CTM-3 to see if they really are distinct.
16 Maybe we don't need the discharge questions.
17 Maybe there's some redundancy. And that might
18 even further streamline the CTM-3 into the
19 HCAHPS. So something for consideration in the
20 future.

21 DR. PACE: Well, they did present
22 information yesterday about the correlation

1 among those two scales, and it was fairly low.
2 But, certainly, it's something that they can
3 continue to take a look at.

4 I was just going to put up here,
5 and feel free to add to it throughout the day,
6 but it seemed like yesterday the main issue
7 that came up perhaps is not being addressed in
8 the experience with care measures is something
9 about being informed about what is covered,
10 you know. I know we had this discussion
11 about, you know, there's other efforts about
12 affordability measures, but I think, Lisa, you
13 specifically wanted at least to note that this
14 would be an area of interest to the people
15 that you know and represent that they would
16 like, you know, some aspect of at least they
17 were informed about what's covered or what
18 their responsibility is going to be.

19 So I'll note that. That's
20 something we can at least note in the report
21 for future consideration.

22 MS. DORIAN: Yes, and I do echo

1 Lee's sentiments. Please feel free at any
2 time to let me know what we should be
3 including in the report. And it doesn't
4 necessarily even have to be about these
5 measures specifically. We do also have a gaps
6 section, so, if you have strong feelings about
7 an area where future measure development
8 really needs to focus, you can let me know
9 either through email or you can write, we'll
10 leave markers around maybe on the table over
11 there if you wanted to put something up during
12 the breaks.

13 So you can see on the screen in
14 front of you, this is just a recap from
15 yesterday, that that first family evaluation
16 of hospice care was recommended and we'll move
17 forward. The next two measures, the bereaved
18 family survey from the PROMISE Center and the
19 CARE from Brown University, were both not
20 recommended on reliability. The CAHPS In-
21 Center Hemodialysis Survey, those three
22 measures were deferred until they have future

1 testing that they'll submit to us. And the
2 three multi-item scale measures fall in that
3 green zone, or the gray zone, so they will
4 move forward and we'll see what public
5 comments say about those measures.

6 The CAHPS Home Healthcare Survey
7 was recommended. And the HCAHPS and the CTM-3
8 were both recommended, as well.

9 So were there any comments or
10 questions about the measures yesterday or
11 anything that was raised yesterday that we
12 wanted to consider today? Yes, Len?

13 MEMBER PARISI: I have a question.
14 In preparing for today and thinking about
15 yesterday and all the CAHPS surveys, does CMS
16 -- is anybody here from CMS today? No. I'm
17 wondering if they had a resource to really
18 define the variations among the different
19 surveys because there seem to be some
20 redundancies now, and it would help from this
21 perspective but it would also help as a
22 consumer because, as they continue to develop

1 more of these tools, there's definitely going
2 to be some overlap. Like today's tool that
3 we're going to be reviewing, to me, is
4 reminiscent of the CAHPS survey itself.

5 So I was just wondering if that
6 resource exists. It would be helpful to have
7 access to that.

8 DR. PACE: We do have some folks
9 here from the CAHPS Consortium.

10 DR. TOOMEY: I'm Sara Toomey.
11 I'll be one of the presenters for Child
12 HCAHPS. What I can say is that all of the
13 materials are actually available online.
14 There are two main sites that they're
15 available at. One is the AHRQ CAHPS website,
16 and that site will actually link you to
17 absolutely every one of the CAHPS surveys.

18 The CMS has direct control over
19 the adult HCAHPS because of its reporting
20 requirements, but there are links from the
21 AHRQ website to the CMS site and there are
22 extensive documentation about how to use all

1 the instruments, how to access the databases
2 that are involved when they're a part of the
3 databases, who to contact, basically all of
4 the information that was there.

5 DR. PACE: Okay. I think what
6 you're referring to is some crosswalk where
7 you can actually see what questions, and I
8 know that that's something, for the Person and
9 Family-Centered Care project, our staff tried
10 to do is to -- pardon me? Not this one but
11 the measure gap project where we tried to
12 identify all of the questions that are used
13 across the CAHPS items. And there isn't
14 really one place that that's done, and I think
15 that's kind of what you're getting at is --

16 DR. ZEMA: Karen, this is Carla
17 Zema. I work with the instrument team and am
18 part of the CAHPS Consortium, and I just
19 wanted to -- I think this is what the
20 gentleman was speaking about. But we actually
21 went through a massive, what we call
22 reconciliation process across all of the CAHPS

1 surveys because there are so many different
2 CAHPS surveys for so many different centers
3 now. And what we wanted to make sure was we
4 wanted to look at that overlap, we wanted to
5 see where overlap made sense, and we wanted to
6 make sure that we were consistently measuring
7 those areas and making sure that our
8 measurement represented what we call the best
9 survey science. And if we learned about
10 measurement of, for example, communication in
11 one area, we would make sure that then that
12 got translated back to other settings, as
13 well.

14 DR. PACE: Okay. Thank you.

15 DR. ZEMA: We are still in that
16 process, and all of those crosswalk things are
17 not public information.

18 DR. PACE: Okay.

19 CO-CHAIR MERLINO: We can also get
20 that offline for you, but let's move forward,
21 I think. Okay. So the first measure is 2548.

22 DR. PACE: Okay. So could we have

1 the measure developers for the child version
2 of HCAHPS?

3 DR. ZEMA: Is Chris Crofton in the
4 room yet?

5 DR. PACE: I don't know, but we've
6 got people here in person who are going to be
7 speaking for these measures.

8 DR. CROFTON: Yes, I'm here. This
9 is Chris Crofton. And I'd like to give a
10 little introduction before we start going
11 through the measures.

12 CO-CHAIR MERLINO: Hold on one
13 second.

14 DR. CROFTON: Sure.

15 DR. PACE: So who is speaking for
16 the child version of HCAHPS? Okay. And,
17 Chris, can you be brief, please?

18 DR. CROFTON: I can be very brief.

19 DR. PACE: Okay, thanks.

20 DR. CROFTON: I'm Chris Crofton.
21 I direct the CAHPS project at AHRQ, and I just
22 wanted to say that, since the time that we

1 worked with CMS on developing HCAHPS, I think
2 we immediately began getting a request for a
3 version for pediatric settings. And I know
4 that came up at different points in the
5 conversation yesterday. We always have more
6 requests for surveys than we have funds to
7 develop them, so we're really glad when Mark
8 Schuster and his team from Boston Children's
9 approached us about developing a child HCAHPS
10 survey in coordination.

11 So what that means is that we
12 followed the guidelines for developing CAHPS
13 surveys in terms of cognitive testing, field
14 testing, selecting questions for which
15 patients are the best or only source of
16 information. It also means that this survey
17 includes the CAHPS' core items that are across
18 all CAHPS surveys with some variations for
19 different settings. And, of course, my team
20 has developed a number of new items pertaining
21 specifically hospital care for children, such
22 as helping the child feel comfortable,

1 involving teens in their care, and assessment
2 of how well caregivers communicate with both
3 the patient and the parents.

4 The reason AHRQ began developing
5 CAHPS surveys in the first place is because we
6 received many requests for surveys that could
7 be administered across a number of different
8 organizations and a lot of comparisons. That
9 standardized approach is the reason that CAHPS
10 is here, basically.

11 So I think that's all I need to
12 say about the background. We're very pleased
13 with this version of the survey that we've
14 developed with Boston Children's. And from
15 that, I will turn it over to Sara Toomey.

16 DR. TOOMEY: Hi. I'm Sara Toomey.
17 I'm the managing director of our center at
18 Boston Children's also assistant professor at
19 Harvard Medical School.

20 DR. ZASLAVSKY: I'm Alan
21 Zaslavsky. I'm a professor of healthcare
22 policy at Harvard Medical School.

1 DR. SCHUSTER: And I'm Mark
2 Schuster. I'm direct of our Center of
3 Excellence for Pediatric Quality Measurement
4 and a professor at Harvard Medical School and
5 vice chair for health policy in our department
6 of medicine.

7 DR. TOOMEY: Thank you. We, at
8 the Center of Excellence for Pediatric Quality
9 Measurement at Boston Children's Hospital, are
10 funded by the Pediatric Quality Measures
11 Program sponsored by CMS and AHRQ. They have
12 assigned us to develop an inpatient family
13 experience of care measure, as you just heard
14 from Chris.

15 Just to be clear, both our
16 measures and the Children's Hospital of Boston
17 inpatient experience survey that will be
18 discussed next were developed by teams in
19 different parts of Boston Children's. Our
20 instrument, the Consumer Assessment of
21 Healthcare Providers and Systems Hospital
22 Survey - Child Version, fondly known of as

1 child HCAHPS, is a standardized survey
2 instrument that asks parents and guardians of
3 children under 18 years of age to report on
4 their and their child's experiences with
5 inpatient hospital care. We have followed
6 CAHPS design principles, as was mentioned, and
7 partnered with the CAHPS Consortium throughout
8 the process of developing this survey.

9 We began by reviewing over 1300
10 abstracts, articles, and measures related to
11 inpatient experience of care and by talking
12 with experts in the field. AHRQ published a
13 Federal Register Notice on our behalf to
14 solicit public comments on potential items and
15 domains for the survey.

16 Based on what learned through this
17 background work, we conducted focus groups in
18 Boston, Los Angeles, and St. Louis, in English
19 and in Spanish, with parents of recently-
20 hospitalized children and with recently-
21 hospitalized adolescents. From this formative
22 work, we drafted an initial survey. We

1 conducted over 100 cognitive interviews in
2 Boston, Los Angeles, Miami, and St. Louis, in
3 English and in Spanish, at various stages of
4 survey development. Our aim was to test
5 whether our survey items were consistently
6 understood and to identify confusing or
7 problematic wording.

8 Based on cognitive interviews, we
9 revised the survey and then conducted a pilot
10 test of the draft survey by mail in English
11 and in Spanish in eight hospitals across the
12 country. We received over 2,000 surveys and
13 examined item non-response, inter-item
14 correlation, and response variation. As part
15 of this pilot, we also conducted behavioral
16 coding by administering 60 surveys by phone
17 and analyzing the audio recordings to identify
18 problematic items.

19 After further survey revisions and
20 additional cognitive interviews, we conducted
21 a national field test of our survey in 70
22 hospitals in 34 states across the United

1 States. We fielded our survey in both English
2 and in Spanish and either by mail or phone and
3 received over 17,000 returned surveys. We
4 used the national field test for psychometric
5 testing, composite development, and
6 development of our case mix adjustment model.

7 We found our measures to be,
8 generally, both reliable and valid at the
9 hospital level. And we conducted end-user
10 testing of our composites with parents to
11 ensure understandability of composite
12 groupings and labels.

13 The Child HCAHPS Survey consists
14 of 18 composite and single-item measures.
15 These measures have been packaged into five
16 overarching groups. The groups are
17 communication with parents, communication with
18 child, attention to safety and comfort,
19 hospital environment, and global rating.

20 Our measures have been submitted
21 to AHRQ and CMS, and our instrument will be on
22 the AHRQ CAHPS website. And we're currently

1 finalizing the materials for the website
2 itself.

3 Thank you very much for having us
4 here. And we're very happy to answer any
5 questions you might have about our measures.

6 CO-CHAIR MERLINO: Thank you,
7 Sara. Any comments from the Committee members
8 who are on the phone call?

9 DR. PACE: So we could start with
10 the evidence then. And this was something
11 that was submitted later, you know, for the
12 PROs who are looking at are there healthcare
13 services, interventions, actions that can
14 influence the experience being measured. And
15 this document was sent, I believe, last week
16 and is on your SharePoint site.

17 But we'll leave it open now to
18 those that were reviewing this measure. If
19 you have any questions or comments about this
20 part of the submission, in terms of are there
21 --

22 CO-CHAIR MERLINO: Anybody think

1 there's not enough evidence? Should we vote
2 on this and move forward? Okay. Let's vote.

3 MS. ALLEN: We're voting on
4 evidence rationale supports the relationship
5 of the health outcome, or PRO, to at least one
6 healthcare structure, process, intervention,
7 or service. One yes, two no. Voting starts
8 now. We're still missing a vote.

9 CO-CHAIR MERLINO: Everybody check
10 your green lights.

11 MS. ALLEN: Please vote again.

12 CO-CHAIR MERLINO: Green light.

13 MS. ALLEN: Please make sure your
14 green light is flashing. All votes are in.
15 Sixteen yes, zero no.

16 CO-CHAIR MERLINO: Carol?

17 MEMBER LEVINE: I just want to say
18 that this sort of form would have been useful
19 in some of the ones we reviewed yesterday
20 because it left us to our imagination to
21 figure out what those things that could be
22 done. So having something like this is very

1 useful.

2 CO-CHAIR MERLINO: Okay. Moving
3 to -- so we have 17, right? So moving to
4 performance gap. Comments from the members
5 who took the call? Any general comments or
6 questions?

7 MS. DORIAN: We can bring up what
8 they submitted.

9 CO-CHAIR MERLINO: I think they
10 were pretty much in agreement that this is a
11 huge opportunity, so we can probably vote on
12 performance gap. Anybody disagree? No.
13 Let's vote on performance gap.

14 MS. ALLEN: We're voting on
15 performance gap, data demonstrated
16 considerable variation or overall less than
17 optimal performance across providers and our
18 population groups. One high, two moderate,
19 three low, four insufficient. Voting starts
20 now.

21 CO-CHAIR MERLINO: Still 16.
22 Somebody is not registering. We have 17.

1 Somebody is on the phone.

2 MS. ALLEN: Okay. Can everyone
3 please vote again?

4 CO-CHAIR MERLINO: No, no green
5 light? No, we still have 17. Okay, 16. All
6 right. We're good.

7 DR. PACE: So now we're counting
8 up to, we can make it up to 16 we now have,
9 right?

10 MS. ALLEN: All votes are in.
11 Thirteen high, three moderate, zero low, zero
12 insufficient.

13 CO-CHAIR MERLINO: Moving on to
14 high priority. Comments from the people on
15 the call? Anybody?

16 DR. PACE: Do any of our pediatric
17 members want to speak to priority? We've
18 already heard about how they involved parents
19 in developing the survey.

20 MEMBER BEVANS: This is not so
21 much a comment about this version of the
22 instrument but a more general question. First

1 of all, I want to say that I really think that
2 the instrument, the process through which, the
3 rigorous process that you used to develop this
4 instrument is really commendable. It's a
5 really nice model, I think. And I appreciate
6 all of the work that you did with parents and
7 youth and adolescents to sort of make sure
8 that the child-specific and youth-specific
9 items were integrated.

10 And also I think, just to point
11 out to the Committee, that this group did some
12 really interesting and neat work around the
13 understandability, making sure that the labels
14 for the reporting side of the outcomes were
15 understandable. I think that was -- I
16 personally hadn't seen that before. I think
17 that's really very neat and important.

18 My question is a bit general, and,
19 again, I bring it up here because I think it
20 is sort of a priority for the HCAHPS sort of
21 program in general, and that is the inclusion
22 of youth report items. I don't want to push

1 this too much because I feel like it's a huge
2 step forward to involve, you know, to develop
3 a child HCAHPS period. But I'm curious about
4 the parent report versus asking children their
5 impressions when we know that parents and
6 children often differ, you know, in their
7 experiences of healthcare and certainly other
8 types of outcomes.

9 So could you comment on why you
10 decided to ask parents some of the questions,
11 especially around perceptions of communication
12 with the child, instead of asking the child
13 directly?

14 DR. TOOMEY: Thank you for the
15 question. So, yes, I'll talk to you about it
16 in a couple of different ways. So first of
17 all, from our perspective, we wanted to
18 include as many people as possible in this
19 first go at developing a national survey. So
20 from our perspective, teens represent
21 somewhere between a fifth and a quarter,
22 somewhere in that range, of admissions to the

1 hospital. So were we to make it a solely
2 child-reported survey, we would be only able
3 to reach a small portion of the pediatric
4 population that's hospitalized.

5 So as our first decision point, we
6 decided that, for that purpose, for this first
7 go-around at a nationally-developed survey for
8 children's inpatient care, that we should
9 start by having it be a parent proxy survey
10 that would -- actually, a parent-reported
11 survey of their experience that would apply to
12 all children, rather than just a subset.

13 What I will also say is that,
14 within our survey, we do have a couple of key
15 sections for which we have asked the parents
16 to not report on their own experiences of care
17 but to actually report on their child's
18 experience, in particular around the
19 communication items. And what we were able to
20 find in cognitive testing is that parents were
21 able to differentiate from how nurses and
22 doctors in particular were talking with their

1 child versus talking to them and then also, on
2 the teen side, how much the care team was
3 involving the child in their care. And as a
4 result of that, and we actually can see some
5 differences in performance on those measures,
6 so we do have reason from the cognitive
7 interviewing and then also from the measure
8 testing to be able to feel comfortable that
9 we're at least getting at some of the
10 differences that you're recognizing. We would
11 love to develop a self-reported survey in the
12 future.

13 DR. SCHUSTER: Could I just add
14 one little thing to what Sara said? We
15 discussed with AHRQ and CMS the idea of
16 possibly two measures, one that would be an
17 adolescent self report, because we would
18 enthusiastically develop that, as well. At
19 that time, they wanted the parent report
20 first. And we hope that in the future there
21 will be interest in having an adolescent self-
22 report developed and that maybe we'll be lucky

1 enough to develop it.

2 MEMBER STILLE: For this point, I
3 basically just wanted to echo most of what
4 Katherine said. I think, you know, it's
5 really good that you used a racially and
6 ethnically-diverse sample among a lot of
7 hospitals, as well, because that's not always
8 done. So great work.

9 MEMBER BIERNER: I just wanted to
10 ask all the cities you chose were large urban
11 centers. Is this because you feel this is
12 where most of the pediatric hospitals are
13 going to be located? Because you omitted any
14 city under a million. And how did you choose
15 to sample the way you did? And you have to
16 have 300 surveys per hospital to be valid, so
17 is that part of your decision?

18 DR. TOOMEY: So I think what
19 you're referring to is the qualitative work
20 that we did during the formative period. In
21 terms of the national field test itself, it
22 covers 34 states. It's actually very diverse

1 in terms of the size of the cities that are
2 represented for the field test itself.

3 In terms of the formative work, we
4 really were striking, were trying to strike
5 two different factors, one of which was
6 geographic diversity, so LA, Boston, St.
7 Louis, and then Miami, and also looking at
8 some differences in regards to some,
9 particular with the Spanish population with
10 the cognitive interviews, doing some different
11 groups, so LA and Miami being two very
12 different sort of cultural groups for Latinos.

13 To be frank, a lot of it has to do
14 with the realities of wanting to be able to
15 get enough participants for something like a
16 focus group at the same time. And so from
17 that perspective, some of the larger cities,
18 like St. Louis for instance, had the capacity,
19 had the people that were able to help us
20 recruit patients, or parents in this case, to
21 be able to participate in the field and the
22 focus groups and had the facilities to allow

1 us to do the focus group in a reasonably
2 efficient manner.

3 CO-CHAIR MERLINO: Lisa?

4 MEMBER MORRISE: I want to echo
5 that it would be great to eventually develop
6 measures or testing of adolescents. As a
7 parent who spent more nights in the hospital
8 than the Marriott, I think that these are
9 excellent.

10 As a patient and family center
11 care leader in a hospital, we had a youth
12 advisory council. And our youth were very
13 vocal that too often providers ignored them
14 and did not speak to them at all and only
15 spoke to their parents. And so we taught to
16 that, especially with our house staff, and the
17 feedback from the rest of the staff, the
18 nursing staff, and the feedback from the
19 parents of the children was that it made such
20 a difference when we actually taught them how
21 to talk to the child.

22 So I am so glad to see that as a

1 measure. And I think it will go a long way
2 toward making the hospital experience less
3 traumatic for children.

4 CO-CHAIR PARTRIDGE: Sara, one of
5 our long-term concerns, for those of us who
6 have been deeply committed to improving
7 maternity care, is the fact that a number of
8 the teens are in the hospital because they're
9 giving birth. And because HCAHPS applies,
10 adult CAHPS applies only to 18 and over, we
11 don't capture the hospital experience of the
12 16 and 17-year-old woman who gives birth. I
13 assume they're in your universe.

14 DR. TOOMEY: So, actually,
15 obstetric care is excluded from our survey.
16 I think there were two main rationales for
17 that, one of which is that, almost by
18 definition, obstetric care is not occurring
19 within the pediatric realm of the hospital.
20 And then I think the second point is, although
21 I hear what you're saying, that there might be
22 special considerations when it comes to teen

1 pregnancy, when you look at how they're
2 distributed over the course of the country,
3 etcetera, they're a very small portion of any
4 obstetric unit and that we were hopeful that
5 the overall experience of the women who
6 deliver at this institutions would have a
7 positive effect on the overall care that's
8 being received for all of the women, including
9 those that were under 18.

10 CO-CHAIR PARTRIDGE: I wish I
11 thought you were right on the latter point.
12 I think the experience of the 16 and 17-year-
13 old woman probably can be quite different.
14 But I understand why you did what you did, and
15 thank you.

16 CO-CHAIR MERLINO: Any other
17 questions or concerns for high priority? You
18 sure? Okay. Let's vote on high priority. We
19 only need 16 this time.

20 MS. ALLEN: We're voting on high
21 priority, addressed in a specific national
22 health goal or priority or data demonstrated

1 a high-impact aspect of healthcare for PRO
2 target population values and finds meaningful.
3 One high, two moderate, three low, four
4 insufficient. Voting starts now. Results are
5 in. Sixteen high, zero moderate, zero low,
6 zero insufficient.

7 CO-CHAIR MERLINO: Okay. Moving
8 to reliability. Karen?

9 DR. PACE: Okay. Jim asked me to
10 at least introduce some things for your
11 discussion. And remember, under reliability
12 and validity, we also would get measure
13 specifications. So in the submission, they
14 did list the measures, as we asked, and then
15 also the items that comprise those measures
16 was not in the form itself but was in the data
17 dictionary Excel file.

18 So there's a statistical model for
19 case mix adjustment and the variables include
20 child age and respondent report of the
21 following: child health status, relationship
22 to child, age, education level, and language.

1 And the coefficients for that, for the case
2 mix adjustment were provided in the data
3 dictionary.

4 There are sampling instructions
5 and survey instructions. And as someone
6 already noted, they recommend 300 completes.

7 So getting under reliability
8 testing, would you pull that up? So they did
9 provide information on testing of the patient
10 level scales. They did internal consistency
11 reliability testing. And if we go down to 2A
12 2.3 there's a table with the box alpha for the
13 scales. And we may ask the developers to
14 comment on -- three of them had internal
15 consistency reliability below 0.7.
16 Communication about medicines, there you see
17 is 0.43. And go down a little bit lower, the
18 stakes and concerns 0.26 and child comfort
19 0.63. So we'll just ask --

20 DR. TOOMEY: I'll speak first, and
21 then if Alan wants to add something he
22 certainly can in this case. So internal

1 consistency and reliability is trying to look
2 at sort of how well, as you say, that elements
3 of this scale come together.

4 With that said, it is possible
5 that the items themselves are conceptually
6 related but might not be as empirically
7 related as you might think because they're
8 different processes of care. So a great
9 example is the mistakes in helping you report
10 concerns. So for those two items,
11 conceptually speaking, we felt as though they
12 were very strongly related. They're looking
13 at whether or not somebody is checking a
14 wristband to confirm their identity before
15 giving medicines and also whether or not a
16 hospital staff tell you how to report any
17 concerns you might have about mistakes you
18 have in your child's healthcare.

19 And so, conceptually speaking,
20 they're very, very related in our minds.
21 However, you could imagine that the processes
22 that go into whether or not those two things

1 occur are quite different.

2 Alan, did you have anything to
3 add?

4 DR. ZASLAVSKY: I think I have a
5 view or we have a view that maybe a little
6 heterodox from a psychometric perspective that
7 I think is more relevant here, which is that
8 what we're really most concerned about in
9 reliability is that the results you're seeing
10 are results of systematic differences among
11 the units, in this case hospitals, rather than
12 due to random variation in the individuals we
13 have as a sample.

14 So the internal consistency and
15 reliability is essentially about whether the
16 different items that are being combined into
17 a composite are giving you the same
18 information, so that it's just some random
19 variation, and that this is a very appropriate
20 thing for a situation where the items are
21 random and the individuals are fixed. But
22 here it's actually the individuals who are

1 random because we're sampling people out of
2 the stream of patients coming through the
3 hospital. And the items are fixed in that
4 we've elicited in the preliminary phases of
5 developments the things that are important to
6 people and that cover the different aspects of
7 care. And so we definitely think that the
8 inter-unit reliability, which is a measure,
9 essentially, of the reproducibility of the
10 results if you had a new sample of patients,
11 is the primary criterion.

12 Now, the combination of items like
13 the two measures that Sara just referred to is
14 really based on a conceptual relationship of
15 the items from the point of view of
16 summarizing the information. Those two do go
17 together. The usability testing shows that
18 people do understand those as being part of a
19 common characteristic, even though they are
20 not empirically that strongly related.

21 And so that's really the key
22 criterion there. We do find that for most of

1 the measures the items which are really more
2 representing the same process with slightly
3 different questions do have higher alphas.

4 DR. PACE: Okay, thank you. And
5 just to remind everyone, for NQF, we asked for
6 the reliability at both levels. And let's
7 just do a quick look at the unit reliability
8 that Alan referred to, and then we'll come
9 back to Chris.

10 So these on the computed
11 performance scores, the next table, four,
12 these are the inter-unit reliabilities. And
13 you can see all of these. There's only one
14 that's below 0.7, and that's involving teens
15 and care, but it's at 0.62. So this is about
16 being able to distinguish, you know among the
17 units or hospitals from this data, and this is
18 with sample sizes of 300, at least 300.

19 So, Chris, did you have a -- okay.

20 CO-CHAIR MERLINO: Lisa?

21 MEMBER MORRISSE: Tell me if this
22 is the wrong place to bring this up, but I

1 noticed that in one place it says that
2 respondents will be selected based on if there
3 had been an overnight stay. And in another
4 place, it indicated that outpatients would be
5 excluded. However, in today's world, you can
6 be an outpatient and have an overnight stay.

7 So, in fact, many patients who
8 used to be considered inpatient now are put in
9 for a supposed less than 24 hours but it
10 involves pretty much all 24 hours. So I'm
11 wondering about that, how that is actually
12 screened for.

13 Also, in my experience, the
14 patients and families that I worked with did
15 not understand the difference between the
16 terms outpatient and inpatient. If you were
17 in a bed in the hospital, to them, you were
18 inpatient, even if to your insurance company
19 you were a short stay.

20 DR. TOOMEY: Thank you for the
21 question. So in regards to the second point
22 you made, let me answer that first. The

1 decision about consent to survey is made at
2 the hospital level, so parents aren't having
3 to differentiate between whether or not their
4 child did or didn't have an inpatient stay.

5 We're using the same basic HCAHPS
6 principles in regards to sort of inclusion
7 criteria and having it be an overnight stay.
8 I would agree with you that, as time goes
9 forward and as there are more outpatient
10 surgeries, for instance, that are happening,
11 that we might need to revisit sort of what is
12 considered to be an inpatient stay. But right
13 now we're using the CAHPS guidelines of it
14 having to be at least an overnight stay.

15 So in that regard, we also allowed
16 for our field test -- this was a voluntary
17 participation in regards to the 70 hospitals
18 that participated. We're really fortunate
19 that we had as many as we did. We gave them
20 guidelines in regards to what we were looking
21 for, and then they worked through their
22 vendors that they usually used for patient

1 experience surveys.

2 So, unfortunately, we don't have
3 good quality assurance. It's just sort of how
4 some of these definitions were actually
5 operationalized, to be quite frank. With that
6 said, we had over 17,000 sort of completed
7 surveys that go into sort of this testing.

8 MEMBER MORRISE: So just as a
9 follow up, could they then operationalize that
10 question differently from facility to facility
11 based on how they view it? Because we've also
12 had the benefit of being in different
13 facilities and find that they define things
14 differently.

15 DR. TOOMEY: No observation stay
16 was supposed to be within the survey. So I
17 would hope that that did not occur, that it
18 was pretty clear, and, as I said, is a
19 guideline that gets followed in the context of
20 other patient experience and patient surveys.
21 But as I said, I have to acknowledge that we
22 were unable to do quality assurance at the

1 actual hospital site to see who they actually
2 included or not.

3 DR. PACE: I think that may be
4 something to add to our list because I know,
5 I don't know if it was you or someone else
6 brought it up yesterday with HCAHPS about the
7 observation stays and, because those are
8 increasing so much and probably have some
9 implications for experience with care in terms
10 of what patients experience as an observation
11 stay versus an inpatient, I don't know how
12 that could be addressed but I think maybe it's
13 something to add to your list.

14 CO-CHAIR MERLINO: Carol?

15 MEMBER LEVINE: It's really
16 important for the adults, as well as the
17 children, because -- and I will say that,
18 based on our experience in New York, not even
19 people at the hospital know what status this
20 is because the nurse on the floor doesn't know
21 and the doctor may have recommended something
22 but it's, you know, the people who pay that

1 make the decision. So it does affect the
2 experience because if you know you're going to
3 be admitted and you're a real patient, you
4 have a different experience from sort of
5 they're being observed, what does that mean,
6 who pays? It's a very different experience.

7 CO-CHAIR MERLINO: Further
8 observation is a gap for both adult and now
9 peds that will have to be addressed at some
10 point. Chris?

11 MEMBER STILLE: Yes. I think it's
12 sort of an open question, the experience of
13 kids and families with observation status.
14 I'm not aware of any real differences, but
15 maybe that's a state-specific thing,
16 especially with Medicaid. So maybe it's
17 something that needs to be looked at, but my
18 impression is that it's not as much of a
19 problem. I don't know if your hospitalists
20 have perceived much. So maybe not as bad in
21 kids as adults, but worth looking at.

22 CO-CHAIR MERLINO: Katherine?

1 MEMBER BEVANS: Without the
2 reliability for the -- I can't tell if it's a
3 composite or a single item, the involving
4 teams in care looking a little bit different
5 than the other measures. It appears that
6 there's a response proportion of only 20
7 percent --

8 DR. TOOMEY: But it's because --
9 so, actually, thank you for bringing that up.
10 We're actually pleased, to some extent. So
11 this is hospital-level unit reliability at 300
12 completes at any hospital. So of those 300,
13 only, on average, about 20 percent of those
14 would actually be teens, and so they would be
15 the only people who would be eligible to
16 answer those items. And even with, on
17 average, their only being 20 percent of the
18 300, we still have a reliability that's very
19 close to 0.7. So there actually is quite a
20 bit of signal to noise within those items.

21 MEMBER BEVANS: Okay. And so I'm
22 trying to understand how that -- is it the

1 reduction in sample size that compromises or
2 the portion of --

3 DR. TOOMEY: No, it's basically --
4 so it's the fact that what this is looking at
5 is it's looking at a hospital having 300
6 completed surveys for which those 300
7 completed surveys have a distribution of age,
8 right? So in any -- like at Children's
9 Hospital, if you were to pick 300 random
10 surveys, on average, only 20 percent of them
11 would be teens who would be eligible for those
12 items.

13 This is looking at the reliability
14 of taking a 300 knowing that there's only 20
15 percent who would be eligible for those items.
16 So I actually think it's actually quite good,
17 given that that's the case.

18 CO-CHAIR MERLINO: Any other
19 comments about reliability? Concerns? We're
20 ready to vote? Let's vote on reliability.

21 MS. ALLEN: We now have 17 votes
22 that would be tallied. Now we're voting on

1 reliability, and this includes precise
2 specifications and testing. One high, two
3 moderate, three low, four insufficient.
4 Voting starts now. All votes are in.
5 Results: 14 high, 3 moderate, zero low, zero
6 insufficient.

7 DR. PACE: Okay. We'll move on to
8 validity. And this is where I'll need a
9 little bit of clarification from the
10 developers on the things that they submitted.
11 Typically, I generally see item-to-composite
12 correlations under reliability, and also you
13 repeated, I think, some of the internal
14 consistency reliability. But from this table
15 six -- would you go to table six, the
16 composite-to-composite correlations? Is that
17 the patient-level scale? Because it looks
18 like table seven is probably the performance
19 score.

20 So, again, we asked to see
21 validity information at the scale level and
22 then at the performance score. So I just want

1 to make sure we understand what you provided.

2 DR. ZASLAVSKY: Everything in that
3 section is at the level of the hospital.

4 DR. PACE: Hospital level. So did
5 you do any validity at the scale level, the
6 patient level, scale level?

7 DR. ZASLAVSKY: We do have some.

8 DR. TOOMEY: This is the
9 correlation with the overall reading,
10 individual level items composite.

11 DR. PACE: Everybody should have
12 three. And I think for -- okay. You want to
13 just quickly explain which each of these
14 tables is talking about? So the ones that you
15 have in front of you, the handout, is at the
16 patient-level scale.

17 DR. ZASLAVSKY: Right. And
18 because of the fact that they're also
19 structured skips, which are the main source of
20 individual level missing in these items. We
21 had to impute the missing items in order to
22 get a complete covariance matrix, which this

1 is based on. So the ones on the separate
2 pages are all individual level, and everything
3 in the materials is performance scale level.

4 DR. PACE: So let's just quickly,
5 I think probably -- okay. So let's look at
6 this one first: individual level composite and
7 single-item correlation with the overall
8 rating. So, basically, this is the composite
9 that you see in the first column, so I'm
10 looking at -- you all have it? The first
11 column are the 18, in this case, patient-level
12 scales and it's looking at a correlation with
13 the overall rating of the hospital.

14 And so, again, this is similar to
15 what we saw yesterday. The idea is that these
16 are all some aspect of the overall experience
17 with care and rating of the hospital, and so
18 you would expect to see some positive
19 correlation with these. And it probably makes
20 sense that recommending the hospital is the
21 one that has the highest correlation with the
22 overall rating, but these are, I assume, in

1 the direction you expected. I don't know if
2 you want to make any other comments about it.

3 DR. ZASLAVSKY: No, I think that's
4 the main point. The reason we didn't include
5 this in the main submission is that we really
6 think these are less informative than the
7 performance scale --

8 DR. PACE: I understand, and I'm
9 just --

10 DR. ZASLAVSKY: But they do seem
11 reasonable, at least, so we should go in that
12 direction.

13 DR. PACE: Right, right, right,
14 right. Okay. And then I don't think we'll
15 necessarily need to look at the item-to-
16 composite correlation that really gets at, you
17 know, getting down to the question level which
18 is not, is certainly important for
19 constructing the scale but not something we
20 need to look at. And then the composite-to-
21 composite correlations that look like this.

22 So the idea here again would be,

1 again, because they're part of the overall
2 experience, that you would expect to see these
3 correlated positively but that they're not
4 redundant, meaning if they were very high
5 correlation then you would question do you
6 need both of the scales. So these look
7 reasonable. I don't know if you want to make
8 any other comment?

9 DR. ZASLAVSKY: Just that.

10 DR. PACE: Okay, all right. Okay.
11 So now what is in the submission form, table
12 six and seven are along the same lines. And
13 let's mainly look at table seven. So this is
14 if they actually computed the hospital scores
15 on these 18 scores and then correlated it with
16 the overall rating, again with the idea that
17 they expect that these should be correlated.
18 And so I guess there's quite a bit of
19 variation here, and I guess the ones that I
20 would ask you to maybe comment on are the ones
21 that are fairly low or even, like, cleanliness
22 that's negative 0.07, quietness that's 0.02,

1 if you have some comments to make about that.

2 DR. TOOMEY: Well, I think this
3 got brought up yesterday by Liz, you know, the
4 point of looking at the correlation between
5 these composite and single items and overall
6 rating is to get a sense of how much, in this
7 case, parents are evaluating those aspects of
8 care. And I think that some of the lower
9 values represent more that they're just not
10 being prioritized in the same way to sort of
11 influence their overall care.

12 And we heard a little bit about
13 that, frankly, on the end user testing where
14 if they were to rank what was most important
15 to least important, you know, quietness and
16 cleanliness, they weren't the most important
17 things that were going into their overall
18 experience. So for us, I think that's the
19 explanation for why it is. I think they're
20 still important, and there's a lot of reason
21 for why we chose to keep them in, even though
22 they weren't as highly correlated with overall

1 experience. But I think that's the main
2 reason.

3 In regards to being informed with
4 the emergency room, we actually asked --
5 that's actually a little bit of an internal
6 check to some extent. We asked parents early
7 on in the survey whether or not they were
8 informed in the emergency room, and then we
9 very explicitly asked them not to consider
10 their emergency room care in the rest of the
11 survey itself. So, in theory, they really
12 should be thinking about their inpatient stay,
13 rather than the emergency room, so it might be
14 the case that it isn't as well correlated with
15 their overall stay because, frankly, as we
16 know, there are differences in experience with
17 the emergency room, versus with their
18 inpatient stay itself.

19 DR. ZASLAVSKY: Also, remember
20 that the emergency room is not a very large
21 share of the total admissions. So the quality
22 of the experience in the emergency room, even

1 if for individuals it had an impact on the
2 overall experience, a lot of those individuals
3 are not going through the emergency room and
4 their experience doesn't really have anything
5 to do with what's going on there.

6 MEMBER BRADLEY: I guess my
7 question is kind of a general question. When
8 you find elements like this in a survey that
9 don't seem to be a high priority, I know those
10 two items are prevalent in the other CAHPS
11 surveys, but at what point do you decide that
12 they're not important for this survey, and
13 take them out? Because with a 57-item survey,
14 I guess at some point you have to question,
15 you know, the length of the survey.

16 DR. TOOMEY: I think it's a valid
17 point. I think, generally speaking, from a
18 hospital perspective, which is another user of
19 this survey, these items have been core items,
20 in thinking about the care that they're
21 providing for patients. There have been some
22 studies that have linked cleanliness, for

1 instance, to safety. And so from that
2 perspective also, there seems to be value from
3 those types of work that's been done to say
4 that there probably is a relationship. And
5 that relationship, frankly, parents wouldn't
6 be aware of, necessarily, that there would be
7 that link.

8 So I think we felt as though --
9 and also for the perspective of harmonizing
10 with the adult HCAHPS measure. For all those
11 reasons, it seemed to be important to keep in
12 at this point in time.

13 MEMBER LOEB: I was going to
14 actually echo what you said. Cleanliness is
15 very important, related to safety and quality.
16 I mean, if you have a dirty hospital, your
17 infection rate is going to skyrocket. And
18 while you're not going into the hospital to
19 have a quiet, you know, hotel stay, it does
20 affect your healing, your getting better. And
21 so there are ways to cut down.

22 And what's interesting is what we

1 have found, and this is not published and not
2 specific, but the patients who have been
3 educated as to what to expect in, you know,
4 appropriate cleanliness, like they should come
5 in and clean your room everyday, and there
6 should be some reasonable amount of quietness,
7 they're scoring the hospitals lower who aren't
8 meeting those specifications, than the parents
9 who come in with no education at all as far
10 as, you know, well, do they even come in our
11 room everyday and clean?

12 They don't expect it, so they're
13 rating it higher because they don't know what
14 to expect. So I think these absolutely need
15 to stay in the survey. There's no way you can
16 take out cleanliness and quietness from a
17 survey like this.

18 CO-CHAIR MERLINO: Any other
19 comments on the validity or questions?

20 MEMBER STILLE: Just real quick.
21 I'm hoping this is related, because I waited
22 before. One of the exclusions is psychiatric

1 primary diagnoses. Any rationale for that,
2 given that mental health is so important?

3 DR. TOOMEY: Thanks for the
4 question. I think there were two reasons why
5 it was left out, two main reasons, one of
6 which was that we thought that the experience
7 of inpatient pediatric psychiatry stays,
8 particularly from a parent perspective, is
9 extraordinarily different than on a medical
10 floor, as they're often inpatient, locked
11 units, etcetera.

12 It's not to undervalue. If
13 anything, I think our goal would be, once
14 again, in addition to having a child-reported
15 survey at some point, would be to focus a
16 patient experience survey on, in particular,
17 psych admissions, which is actually, in the
18 adult world, what's been done.

19 So for CAHPS, the adult HCAHPS,
20 sort of the second reason it's focused on non-
21 psychiatric admissions, and they do have a
22 psychiatric sort of CAHPS echo survey for

1 which, once again, would have a lot of value
2 in developing, and probably would be different
3 in regards to at least some of the aspects of
4 care. I'm not saying that there aren't, I'm
5 not saying that communication isn't important
6 across the board, but one of the key
7 principles in developing a survey like this is
8 that there have to be things for which the
9 person who is responding to the survey is
10 observing.

11 And, you know, at least speaking
12 from my own anecdotal experience of inpatient
13 psychiatric care at our own hospital, parents
14 aren't observing a lot of what actually is
15 occurring.

16 MEMBER STILLE: Okay. I think
17 we're discussing one of those later on, as a
18 matter of fact.

19 CO-CHAIR MERLINO: Becky, did you
20 have a question? No?

21 DR. PACE: So the other thing that
22 we look at under validity, of course, are the

1 threats to validity and the case mix
2 adjustment, and you brought up the exclusions,
3 which is good. We didn't really receive any
4 other exclusion analysis. I guess there were
5 no other exclusions that were significant.

6 DR. TOOMEY: So, once again, I
7 think, as with when you look at the
8 exclusions, it's very hard to do an analysis,
9 because we're not privy to who gets excluded
10 at the hospital level.

11 DR. PACE: So could we go to their
12 case mix adjuster table, table eight? And
13 maybe you could just run us through the items
14 across the top, or the things that are
15 included in the case mix adjuster, is that
16 correct?

17 DR. TOOMEY: All except for the
18 last two. We gave two examples of case mix
19 adjusters that we considered but didn't end up
20 including. Once again, as you all know, one
21 of the key tenets to having a good case mix
22 adjuster is that it's associated with the

1 outcome. We obviously have 18 outcomes in the
2 context of this measure, or even more if you
3 look at the individual items. And so given
4 that that's the case, what we tried to do is
5 to summarize how we approached choosing our
6 case mix adjusters.

7 So the first, which is probably
8 the most important for the purposes, is to
9 look to see how important each of these
10 different characteristics were, in regard to
11 their strength of association, with the number
12 of outcomes that we have. So as an example,
13 patient health. So this is the respondent
14 report of child's patient health. All 39 of
15 the sort of key items that go into sort of the
16 composite and single-item measures had a very
17 strong association with patient health, and
18 that is very different.

19 If you go over to the right side
20 of the table to patient gender, 36 of the 39
21 were not statistically significantly
22 associated with the outcome. So this helps

1 guide us in thinking about what
2 characteristics are important.

3 And then the other two analyses
4 that we did was to look to see what the effect
5 was or how much difference there was based on
6 those across hospitals. And here, although
7 that is a traditional way of thinking about,
8 sort of, the importance of those for case mix,
9 what you have to understand also is that, if
10 there isn't a lot of variation among those
11 characteristics in different hospitals, we're
12 not going to see a lot of response.

13 So the fact that, statistically
14 speaking, there wasn't a huge effect is not
15 necessarily a bad thing about the case mix.
16 It's just saying that the patient mix is
17 actually pretty similar across the hospitals.

18 DR. PACE: So just one question.
19 Why did you focus on the individual items
20 versus the scale, in terms of looking at the
21 effect of the case mix factor?

22 DR. ZASLAVSKY: This goes to the

1 way that we calculate the summaries. We
2 actually analyze each item separately and then
3 put them together at the hospital level,
4 rather than creating composites at the
5 individual level. And we're forced to do that
6 because, within the same composite, there
7 might be items that are answered by different
8 subsets.

9 So maybe there's one item that's
10 answered by 95 percent, and another one is
11 answered by only 70 percent because it doesn't
12 apply to them. And so you wouldn't go to
13 create the individual composite to do the
14 regression, so we actually calculate case mix
15 adjustments in each item separately and then
16 put them together. That's standard procedure
17 for all the CAHPS surveys.

18 CO-CHAIR MERLINO: Any other
19 comments on validity or questions? Are we
20 ready to vote? Okay, let's vote.

21 MS. ALLEN: We are voting on
22 validity. One high, two moderate, three low,

1 four insufficient. Voting starts now. All
2 votes are in. Results: fifteen high, two
3 moderate, zero low, zero insufficient.

4 CO-CHAIR MERLINO: Moving on to
5 feasibility. Anybody want to comment about
6 feasibility?

7 CO-CHAIR PARTRIDGE: If those of
8 you who looked at this in-depth said you're
9 satisfied, just for the record, it would be
10 better to say that before we vote, so that
11 there's something that says we looked at it
12 and it was fine.

13 MEMBER STILLE: It seems fine.
14 It's not unduly long. You know, there's good
15 understandability.

16 CO-CHAIR MERLINO: Anybody else
17 from the peds world? Katherine? Any
18 comments? Lisa?

19 MEMBER MORRIS: I have had
20 several parents, knowing that I was involved
21 in this work, suggest that the length of the
22 survey is actually very long. And we've also

1 had parents suggest that they only answered if
2 they're mad about something. They only answer
3 it if they're mad about something.

4 CO-CHAIR MERLINO: Do you guys
5 want to comment?

6 DR. TOOMEY: Sure. I guess I can
7 comment. One is there have been studies done
8 that have shown that response rates don't vary
9 based on the length of the survey itself and
10 that we are definitely in the realm of the
11 length of many other patient experience
12 surveys that are out there.

13 In addition, we actually, the last
14 item, which you might or might not have seen,
15 is getting to both free text to write stuff
16 in, and we had extremely positive responses
17 for many, many hospitals in regards to their
18 care. And if you look, I mean, there
19 certainly are a lot of people that are
20 reporting, overall, a very positive experience
21 with their care. So it would seem that our
22 testing would suggest that there are people

1 who have had positive experiences that are
2 also responding.

3 MEMBER MORRISE: Just as a follow
4 up, the parents that I work with have chronic
5 complex children and are frequent fliers. And
6 both for the adult survey and I believe this
7 one, the more often you have care the more
8 likely you are to rate them lower. And so I
9 think that plays into that.

10 Also, they don't have as much time as other
11 normal parents because of the complexity of
12 their children's care.

13 CO-CHAIR MERLINO: We were also
14 one of the pilot sites, and I think that we
15 were using the standardized vendor survey
16 before we did the pilot. And it's pretty
17 long, as well, and I didn't think it was much
18 different. And I think if you look at
19 pediatric hospitals across the country, I'm
20 certainly not an expert, but I think most of
21 them deploy some type of survey.

22 MEMBER STILLE: Yes. You actually

1 brought up a thought. We were discussing
2 yesterday whether eventual case mix adjustment
3 for complexity might be an interesting idea,
4 and I don't think too many of the existing
5 surveys have that. But as complexity measures
6 get better, we might want to think about that
7 for round two.

8 DR. TOOMEY: Definitely, thank
9 you. CO-CHAIR MERLINO: Any
10 other comments, questions on feasibility?
11 Let's vote.

12 MS. ALLEN: We're voting on
13 feasibility. One high, two moderate, three
14 low, four insufficient. Voting starts now.
15 All votes are in. Fourteen high, three
16 moderate, zero low, zero insufficient.

17 CO-CHAIR MERLINO: Moving on to
18 usability and use, again, I think the same
19 comment applies. I think most pediatric
20 hospitals are using some type of survey, so
21 it's just a matter of plugging this in where
22 they're already using something.

1 DR. PACE: So this is a question,
2 because there was nothing in the submission
3 form that indicated the plan for this being
4 deployed or required. And so, you know, as
5 has already been noted, a lot of hospitals
6 have their own, so what's the plan that this
7 will be used, or is there a plan yet in terms
8 of --

9 DR. TOOMEY: So I think there's
10 several pieces to that. I can speak to our
11 own hospital, and they are using it now in one
12 of their contracting with one of the major
13 insurance companies at our hospital. When you
14 go beyond that, as I was saying, all of our
15 materials are being prepared for the AHRQ
16 CAHPS website, and they'll be available and up
17 and running. And I know that several of the
18 vendors are waiting for those materials to be
19 formalized so that they can start to develop
20 sort of support materials around that.

21 In regards to the actual
22 submission, so we submitted to AHRQ and CMS.

1 They are coming up with a process for which
2 they will be evaluating it in the context of
3 whether it gets adopted for the core measure
4 set, which, you know, for our population,
5 obviously CMS or Medicare isn't as important
6 as Medicaid. And so what the core measure
7 said is it's a set of measures for which
8 the CMS strongly recommends that states use in
9 their state Medicaid programs.

10 As was mentioned, nearly every
11 hospital around the country that services kids
12 in any volume are doing some type of patient
13 experience survey. And from our perspective,
14 you know, many of the hospitals that have
15 participated in our field test are continuing
16 with that, and there's certainly many steps
17 that are moving forward, in regards to
18 adopting and uptake.

19 CO-CHAIR PARTRIDGE: I just want
20 to add a postscript. The partnership, the
21 National Partnership, and I personally was
22 involved in the development of the legislation

1 that much of the funding for this project,
2 which is part of the CHIPRA re-authorization
3 of 2010. And I believe that legislation,
4 going forward this year perhaps, we hope, will
5 continue that effort and the updating of the
6 core set of Medicaid-recommended measures will
7 incorporate this product. We're very excited
8 about it.

9 CO-CHAIR MERLINO: Any other
10 comments, questions? Let's vote on usability
11 and use.

12 MS. ALLEN: Voting on usability
13 and use. One high, two moderate, three low,
14 four insufficient information. Voting starts
15 now. All votes are in. Twelve high, five
16 moderate, zero low, zero insufficient
17 information.

18 CO-CHAIR MERLINO: Okay. Moving
19 on to overall. Any comments overall? Let's
20 vote on overall suitability for endorsement,
21 yes or no.

22 MS. ALLEN: We're voting on

1 overall suitability for endorsement for
2 measure number 2548, Child Version CAHPS. One
3 yes, two no. Voting starts now. All votes
4 are in. Seventeen yes, zero no.

5 CO-CHAIR MERLINO: Thank you.

6 MS. DORIAN: Okay. Now we are
7 moving on to 0725, the Validated Family-
8 Centered Questionnaire for Parents' and
9 Patients' Experiences during Inpatient
10 Pediatric Hospital Stay from the Children's
11 Hospital of Boston. And so could the
12 developers come up to the table? Great. So
13 we have Sonja Ziniel. Do you want to just
14 introduce yourself and the measure briefly,
15 please?

16 DR. ZINIEL: Good morning,
17 everyone. My name is Sonja Ziniel. I'm a
18 senior survey methodologist in the program for
19 Patient Safety and Quality at Boston
20 Children's Hospital and I'm also an instructor
21 at the Harvard Medical School.

22 We started to develop this survey

1 in 2008 at Boston Children's Hospital out of
2 a situation where we used a previous survey
3 that was also developed at Boston Children's
4 Hospital by Charles Homer, called the Picker
5 survey. And if you're in the realm, most of
6 you will know that. And we weren't able to
7 really show improvement with regard to quality
8 initiatives anymore. We literally had ceiling
9 effects on most of our measures with 80
10 percent or more that strongly agreed to said
11 always to certain questions.

12 So we decided to basically try and
13 develop a survey that was more sensitive to be
14 able to measure change. So we did -- very
15 similar to the Child HCAHPS, we had focus
16 groups at Boston Children's Hospital only. We
17 did cognitive interviews. We developed the
18 English survey. We have a Spanish
19 translation, that is not validated, though.
20 The survey was validated first at Boston
21 Children's Hospital as mail and phone survey,
22 so we randomly assigned the data collection

1 mode to whoever got the survey to be able to
2 estimate the effects.

3 Once we had validated the survey
4 and shortened it from 90 items, like 90
5 content items to about 38 items, we validated
6 it at 22 hospitals, only in the mail version
7 due to funding across the U.S. Thirteen
8 hospitals of those were freestanding
9 children's hospitals, and the other were
10 pediatric units in adult hospitals.

11 The survey includes eight
12 composite measures and five individual overall
13 rating questions. In the application, I split
14 exactly out how they fall in this survey, as
15 well as a number, of course, of demographic
16 items.

17 The survey was also designed to be
18 used in the modular approach with this survey
19 to be a core. It will be continuously
20 administered and then, having modules such as
21 the ICU, the emergency department, surgery,
22 depending on the applicability for each

1 person, to rotate these modules to provide
2 more detailed information for each of the
3 services while still have an overall measure
4 of patient experience in this shorter main
5 module.

6 So I mentioned a few of these
7 modules that we have started to develop. One
8 module that we had planned, and I recently
9 received internal funding for is actually an
10 adolescent module, so I'm very pleased to hear
11 that you and me both think that that's really
12 important to get, really, the feedback of the
13 adolescents themselves.

14 We are using it right now at
15 Boston Children's Hospital for our internal
16 measures. We also report it out to the
17 Children's Hospital Association.

18 CO-CHAIR MERLINO: Comments?
19 Evidence.

20 DR. PACE: So I think there wasn't
21 a lot put in the evidence submission form,
22 especially correlated to each measure. Some

1 general information but, again, kind of the
2 situation we've been in on several of the
3 submissions, you know, for you to think about
4 whether these are measures that the hospital
5 can influence. And based on the categories
6 that we've seen, that probably seems
7 reasonable. But any comments or thoughts
8 about that?

9 DR. ZINIEL: I'm happy to provide
10 more specifics during the public comment
11 period, if that's desired.

12 CO-CHAIR PARTRIDGE: I wonder if
13 it would be helpful, before we go through it
14 step by step, just to talk for a minute about
15 to what extent is this survey distinct from
16 the pediatric Child HCAHPS version that we've
17 just been through.

18 DR. PACE: Yes, if you would just
19 maybe make a brief comment now because,
20 basically, just for everyone to kind of --
21 because we didn't end up doing this yesterday,
22 but, in terms of our competing measures and

1 harmonization, we first look at each set of
2 measures individually, and then definitely
3 we'll need to, if they're both recommended,
4 then come back and look at if they're
5 competing, if one has superiority for one
6 reason or another, but maybe make a brief
7 comment now and then we'll come back to that.

8 DR. ZINIEL: Yes. I mean, it's
9 obvious that they measure the same construct
10 with regard to patient experience. There are
11 slight differences in terms of, for example,
12 our survey doesn't have any questions that ask
13 parents about what their teens or children,
14 basically, were thinking. We have some
15 questions in there that we call emotional
16 satisfaction that are derived off the Six
17 Sigma concept.

18 So there are, like, slight
19 changes. But, in general, it's obvious it
20 measures the parent experience from the parent
21 point of view from a pediatric inpatient stay.

22 The main differences, I think,

1 beyond that is that it has a modular approach
2 which allows really to or provides the
3 opportunity for hospital to rotate modules in
4 and out, which gives services the opportunity
5 to add questions on a fairly quick basis, and
6 basically provide more information and still
7 have, at the same time, information about the
8 overall stay.

9 The other thing is the response
10 scales in the HCAHPS are generally four point,
11 staying with the HCAHPS survey concept. Our
12 goal was really to break out of that because
13 we could not measure our hospital's
14 improvement with that type of scale. So our
15 goal was really to try and break that, to have
16 sensitive measures that actually allow to
17 measure improvement.

18 CO-CHAIR MERLINO: Chris?

19 MEMBER STILLE: Yes, I spent a lot
20 of time sort of taking a look at both of
21 these, and I think they're just different.
22 There's more detail in some of these, the

1 areas on this survey, for example partnership
2 with nurses and partnership with doctors. So
3 I think it could be more useful, in some ways,
4 potentially.

5 MS. DORIAN: Just to note, we do
6 have an agenda item at 10:45 to discuss these
7 --

8 MEMBER STILLE: Okay. So we will
9 spend more time on that. I just wanted to do
10 open that up to people's thinking. Okay.

11 CO-CHAIR MERLINO: Any other
12 comments on evidence? Okay. Let's vote on
13 evidence, and then we can get more granular on
14 some of the other topics. Lisa?

15 MEMBER MORRISSE: I just want to
16 say that I think that the rationale exists for
17 implementation of both because they would be
18 used differently within the context of how the
19 facility operates.

20 DR. PACE: Right. And those are
21 the things we'll discuss when we talk about
22 them together, but we really need to focus on

1 this one --

2 MEMBER MORRISE: I guess what I'm
3 saying is I think there's value in this one
4 specifically because it addresses two very key
5 areas, nurses and doctors and their
6 communication and relationships with their
7 patients.

8 CO-CHAIR MERLINO: Okay. Let's
9 vote, and then we can --

10 CO-CHAIR PARTRIDGE: I was just
11 going to tie that into this particular vote.
12 I think Lisa's comment, in a way, addresses
13 the evidence issue. Okay.

14 MS. ALLEN: So we're voting on
15 evidence. One yes, two no. Voting starts
16 now. Sorry. Please vote again. Perfect.
17 All votes are in. Sixteen yes, one no.

18 CO-CHAIR MERLINO: Okay. Let's
19 move to performance gap. Comments about
20 performance gap?

21 DR. PACE: So let's go to the
22 submission form. I don't know that we were

1 provided any information about the performance
2 on this measure.

3 DR. ZINIEL: Can I comment on
4 this? So we provided a table with regard to
5 the hospital level that's called performance
6 measure score validity testing, and it's in
7 the testing supplement and it shows for each
8 of the domains the scores of the different
9 hospital facilities that had at least 30
10 surveys and the range across the facilities.
11 We also provided, in an Excel sheet, data from
12 nine quarters from Boston Children's Hospital
13 only because we have only the data across nine
14 quarters from our own hospitals, including
15 improvement initiatives we had and the impact
16 we saw on the scores.

17 DR. PACE: Nadine, can you open up
18 the measure testing -- okay. It's under the
19 facility level. Okay, I see it.

20 DR. ZINIEL: 2B 2.3.

21 DR. PACE: Okay. It's in the
22 measure testing attachment. Those of you who

1 are looking at this online -- yes. So good,
2 okay. So here you see the facility coded by
3 different letters, and these are the scores
4 for those facilities on the eight of the
5 thirteen measures.

6 DR. ZINIEL: Basically, the
7 composite is correct.

8 DR. PACE: Right, okay.

9 CO-CHAIR PARTRIDGE: The emotional
10 satisfaction lines got a lot of variation.

11 CO-CHAIR MERLINO: Any comments
12 about performance gap? Dawn?

13 MEMBER DOWDING: Could I just ask
14 for you to talk through the sample on which
15 this was carried out? Because I went through
16 some of the detail and it seems like -- is
17 there any ethnic disparity? Because your
18 sample is predominantly white, non-Hispanic,
19 so are there any disparities that you've
20 identified in this small group of patients who
21 aren't white?

22 DR. ZINIEL: With regard to the

1 overall scores?

2 MEMBER DOWDING: Yes.

3 DR. ZINIEL: So there are measures
4 and we, again, submitted an Excel spreadsheet
5 that provides all of the details by domain.
6 There are measures that show ethnic disparity.
7 There are measures that don't.

8 So across time in Boston
9 Children's Hospital, there is also not a
10 consistent trend in terms of if, for example,
11 Caucasians compared to non-Caucasians rated
12 better or worse. It can actually even change
13 across the quarters.

14 MEMBER LINDBERG: I'm sorry.
15 Could you just clarify that? Are you talking
16 about it could vary between the different
17 elements of the questions that we just had up
18 there, the eight examples? Are you saying
19 some non-Caucasians might score higher in one
20 area and lower than Caucasians in another?

21 DR. ZINIEL: Yes. So there is not
22 consistency across the different domains, and

1 there is also not consistency across time. So
2 for example, I'm just giving an example made
3 up, like one quarter it could be that
4 Caucasians scored higher in partnership with
5 nurses than non-Caucasians, whereas in the
6 next quarter it could be, you know, the
7 difference could be less or even slightly
8 reversed.

9 CO-CHAIR MERLINO: Any other
10 comments on performance gap? Should we vote?
11 Let's vote.

12 MS. ALLEN: Voting on performance
13 gap. One high, two moderate, three low, four
14 insufficient. Voting starts now. All votes
15 are in. Results show nine high, six moderate,
16 two low, zero insufficient.

17 CO-CHAIR MERLINO: High priority?
18 Comments about high priority?

19 MEMBER STILLE: This seemed to be
20 developed, sort of, from the ground up through
21 parent focus groups, rather than a priori with
22 any other stuff, so I thought that was a good

1 thing about it. Any emphasis in the number of
2 questions seems to be along those lines, as
3 well, so --

4 CO-CHAIR MERLINO: Any other
5 comments?

6 MEMBER BEVANS: I generally agree
7 with that, but I may be missing some
8 information. But it seems like there were
9 only ten families involved in the focus
10 groups, is that right? In the initial
11 development?

12 DR. ZINIEL: That's correct. In
13 the initial development, we had a committee
14 formed at the same time that included parents,
15 physicians, and other stakeholders at the
16 hospital to ensure that, from a parent point
17 of view, it was questions they could observe
18 but, from a stakeholder point of view, the
19 questions were such that there actually could
20 be action taken to improve the performance and
21 make the measurement more sensitive.

22 MEMBER BEVANS: Okay. And prior

1 to asking about or debriefing on the specific
2 questions, is it true then that you asked
3 folks to, sort of, help to conceptualize the
4 key elements of high-quality patient
5 experience?

6 DR. ZINIEL: Yes.

7 MEMBER BEVANS: And all of this
8 was done at Boston?

9 DR. ZINIEL: Yes.

10 MEMBER BEVANS: Thank you.

11 CO-CHAIR MERLINO: So you're
12 currently, this is currently in place at
13 Boston? You use this for all discharges?

14 DR. ZINIEL: Yes. So far, we were
15 validating HCAHPS at the same time, so,
16 basically, our discharges were split between
17 going to HCAHPS and going to the survey, but
18 we kept the survey throughout, because we had
19 implemented it as our new survey after the
20 Picker survey.

21 CO-CHAIR MERLINO: And you used
22 this for improvement? You actually saw

1 process improvement as a result of it?

2 DR. ZINIEL: Yes, we did. So I
3 outlined some improvement initiatives we had
4 with regard to, for example, identifying the
5 attending physician, which was pointed out by
6 parents, a big problem in teaching hospitals
7 or, basically, in our hospital. So we had
8 some improvement initiatives, and we saw
9 increase of scores.

10 CO-CHAIR MERLINO: Any other
11 comments about high priority? Dawn?

12 MEMBER DOWDING: Just following on
13 from my previous comment, if you only included
14 ten families, how can you be certain that the
15 issues that they raised as important are
16 relevant for families across the board? So
17 for instance, in those ten families, did you
18 include anyone who was a non-native English
19 speaker, for instance?

20 DR. ZINIEL: So we had two non-
21 native English speaker among those that spoke,
22 though, enough English to participate in the

1 focus group. Again, we have a Spanish
2 translation, but we have not validated that.
3 And the main reason for that was, basically,
4 funding because we funded it internally. So,
5 basically, we had to sort of focus the number
6 off of patients we could recruit to provide
7 estimates for the English version.

8 CO-CHAIR MERLINO: Lisa?

9 MEMBER MORRISE: It almost seems
10 to me as if it's a patient and family advisory
11 council that was like your focus group and
12 that some of the measures that you introduced
13 were the kinds of things that may come out of
14 that, versus across-the-board quality
15 measures. Things like the parents in an
16 advisory council may say they don't know who
17 their physician is that is coming into the
18 room. They also don't know what a pharmacist
19 is. I mean, there's a lot of things that can
20 come out of talking to them and then measures
21 that can be implemented. I'm not sure it's
22 the same thing as quality measures across the

1 board.

2 I do see it, as we suggested
3 earlier, as something that helps in terms of
4 the physician relationship and the nurse
5 relationship. But I'm feeling like somehow
6 it's not exactly getting at the quality areas
7 that are important in a hospital setting.
8 It's more a communication type of piece.

9 DR. ZINIEL: So to clarify, the
10 families were recruited from the floors. They
11 were not part of the family advisory board
12 because the family advisory board was part of
13 the kind of stakeholder group, together with
14 physicians and nurses that were also on there
15 to then kind of select the questions and get
16 feedback.

17 What we heard in the focus groups
18 with regard to the attending physician was we
19 didn't call it, necessarily, attending
20 physician but we asked them if they knew,
21 like, who the physician in charge was. And
22 especially people who had children with

1 complex chronic diseases complained that they
2 had problems knowing who the go-to person was.

3 MEMBER MORRISE: I'm not sure,
4 honestly, if the physicians who are working on
5 a child in a complex chronic case know who the
6 go-to person is either.

7 CO-CHAIR MERLINO: Becky?

8 MEMBER BRADLEY: Yes. I guess I'm
9 just a little curious as to why you limited
10 your research to Boston if the goal is to
11 address a national health issue. Why were you
12 so limited in the population that you chose to
13 --

14 DR. ZINIEL: So when we developed
15 it, we used only Boston Children's Hospital
16 due to the funding situation. Again, it was
17 internal funding. We then validated it on a
18 national level, recruiting hospitals through
19 the Children's Hospital Association.

20 MEMBER BRADLEY: Okay. And then
21 also some of those hospitals that you
22 recruited, though, didn't stay in the study,

1 they didn't actively participate. Do we know
2 why they dropped out or why they --

3 DR. ZINIEL: Yes. NRC Picker
4 decided to force their clients to a four-point
5 scale in anticipation of the implementation of
6 HCAHPS, and that's why clients who would have
7 liked to continue on PIES ended up not using
8 PIES anymore.

9 MEMBER BRADLEY: Because they were
10 already using another tool or --

11 DR. ZINIEL: No, because they were
12 forced to use the four-point scale in
13 anticipation of the implementation of HCAHPS.

14 MEMBER BRADLEY: Thank you.

15 CO-CHAIR MERLINO: Any other
16 comments on high priority? Let's vote.

17 MS. ALLEN: Voting on high
18 priority. One high, two moderate, three low,
19 four insufficient. Voting starts now. Please
20 vote again. Voting starts over. Thank you.
21 All votes are in. Results show nine high,
22 three moderate, four low, one insufficient.

1 DR. PACE: Okay. In terms of
2 reliability, you know, we also look at
3 specifications, are they precise? And in this
4 case, just to also keep on the table a
5 difference is there's no case mix adjustment
6 on this particular set of measures. One
7 question I had maybe you can clarify. Your
8 sampling instructions say random sampling or
9 census. So what do you mean by census?

10 DR. ZINIEL: So, basically, if you
11 have a small hospital that doesn't have a lot
12 of children, in order to get a number of
13 surveys back that is sufficient to actually
14 provide some data, you might need to send the
15 survey --

16 DR. PACE: To total all --

17 DR. ZINIEL: Yes.

18 DR. PACE: Okay, all right.
19 Thanks. Okay. So now we'll get to the
20 testing, and let's go to the testing form. So
21 testing was done at the parent level
22 instrument, at least submitted, and we have,

1 if you look under 2A 2.3, there's a table that
2 gives the Cronbach's alpha. And we'll just
3 have to clarify, because you also have an ICC
4 reported, but that's a test-retest. Is that
5 also parent level?

6 DR. ZINIEL: Yes, that test-retest
7 was only done at Boston Children's Hospital.
8 We did not administer the survey twice on the
9 national validation level.

10 DR. PACE: So here we have --
11 Nadine will bring this up in a minute. If we
12 look at the -- so this is a good example here,
13 just for people to understand, is that when
14 you have a single-item measure you can't do a
15 Cronbach's alpha internal consistency because
16 there's only one question. And we saw some
17 measures in the CAHPS group where they just
18 didn't report any patient level reliability.
19 So here test-retest is a way that you can get
20 at reliability of a single-item measure, and
21 we see the scales.

22 So we can look at the -- can we

1 get to the 2A 2.3? There we go. Okay. So if
2 we scroll down, I think these are all
3 reasonable Cronbach alphas. So the question
4 would be about the communication about
5 medications. That internal consistency is
6 0.55. Do you have any thoughts about that?

7 DR. ZINIEL: So the value of
8 Cronbach's alpha is also dependent on the
9 number of items that are within a domain. So
10 the more items in a domain, the higher
11 Cronbach's alpha, automatically. The
12 communication about medication domain has only
13 two items, and the two questions are about if
14 they were explained what the medications were
15 for and the side effects. So while they are
16 conceptually related, they might not
17 necessarily get at the same exact thought. So
18 that's why I think the Cronbach's alpha is
19 0.55.

20 DR. PACE: So we didn't see any
21 report of reliability at the computed
22 performance score level, so do you have that?

1 DR. ZINIEL: So we basically did,
2 on the national validation, a confirmatory
3 factor analysis that basically showed that
4 exactly these domains --

5 DR. PACE: Right. So the factor
6 analysis just kind of, again, like you said,
7 shows these domains. What we're looking for
8 is some type of signal-to-noise analysis,
9 inter-unit reliability, so that when you look
10 at those computed hospital scores that you can
11 distinguish signal from noise or differences
12 versus within hospital --

13 DR. ZINIEL: Yes, I'm happy to
14 provide that. I can definitely provide that.

15 DR. PACE: Okay. All right.
16 Dawn, do you have a question?

17 MEMBER DOWDING: Yes. I just have
18 a question about response rates because, it
19 seems to me, apart from the exception of one
20 facility, your response rate for the
21 questionnaires is way below 40 percent. You
22 gave out 2,500 surveys in one hospital and got

1 221 back, which is a response rate of 8.7
2 percent. So I wonder if you could just
3 comment on why you think the response rates
4 are so low, and then what effect that would
5 have on the reliability of calculating
6 differences between hospitals or units.

7 DR. ZINIEL: So most of the
8 hospital, like the problem we ran into, which
9 HCAHPS ran into to a certain extent, as well
10 is that the pediatric population is limited.
11 In terms of -- we have, for example, at Boston
12 Children's a rule that if you send out a
13 survey to someone, that family cannot get
14 another survey for six months. So at one
15 point, the population really shrinks down and
16 people are over-surveyed because they
17 continuously get these surveys, especially, of
18 course, the one with children with complex
19 chronic diseases that are in the hospital at
20 the same time.

21 So this was one of the problems,
22 basically, that we faced where hospitals said

1 they still were administering their old survey
2 and put leftover sample into our validation.
3 So I'm not very surprised about these response
4 rates. If you look at surveys in general, for
5 mail surveys it's a very normal response rate,
6 unfortunately.

7 CO-CHAIR MERLINO: Any other
8 comments? Is that --

9 DR. PACE: So where we're at right
10 now is similar to some things we encountered
11 yesterday is that we just have the testing at
12 the one level, though Sonja says they can
13 provide that. So according to our scale,
14 actually we don't know what the reliability
15 says. So to be consistent with where we were
16 yesterday, that would be insufficient
17 information. Is that -- any analysis -- you
18 don't have it handy. It's something you would
19 have to do?

20 DR. ZINIEL: Yes.

21 DR. PACE: Okay. So it is
22 something that you could do within our comment

1 period?

2 DR. ZINIEL: Yes.

3 DR. PACE: Okay. So what's -- we
4 should have the --

5 CO-CHAIR PARTRIDGE: I think
6 probably follow the procedure we did yesterday
7 and -- well, first we should probably vote.
8 And if we conclude that four or three and four
9 seem to have the majority of support of the
10 Committee, then it would be deferred.

11 DR. PACE: All right. Any other
12 thoughts about that? And, Sonja, I don't know
13 if you listened yesterday, and I know we've
14 mentioned this on some of our calls that the
15 guidance that came out of our PRO project
16 several years ago, and that the reason we
17 asked for reliability and validity at both
18 levels is that for patient-reported outcomes,
19 experience with care being one of them, that
20 we ask for reliability and validity of the
21 patient-level or parent-level instrument, as
22 well as the computed performance score. And

1 so that's --

2 DR. ZINIEL: Per hospital then?

3 DR. PACE: Yes.

4 DR. ZINIEL: Yes. So, I mean, I
5 can tell you that we were able to distinguish
6 between hospitals on a number of domains. I'm
7 happy to provide all of that.

8 DR. PACE: Okay. So what the
9 Committee will do now is to vote on this just
10 so that we have it for the record. And then
11 you will have an opportunity during the
12 comment period to submit that for the
13 Committee to take a look at to proceed. And
14 why don't we vote on this then?

15 MS. ALLEN: Voting on reliability.
16 One high, two moderate, three low, four
17 insufficient. Voting starts now. All votes
18 are in. One high, three moderate, one low,
19 twelve insufficient.

20 DR. PACE: Okay. And before you
21 go, I know that this would normally end where
22 we're discussing, but I think it might be

1 worth to just look at what they submitted for
2 validity of the patient level just so we know
3 that she can submit the performance score
4 level. But let's just take a look at this
5 just to see if there are any questions.

6 So there's a couple of things that
7 they did. Let's see. They did content
8 validity of the parent-level instrument. And
9 then, in terms of testing, the table -- I
10 think you had it up a minute ago -- of the
11 construct validity where you did the
12 correlations of the composite level parent-
13 level scales to the overall. And I don't know
14 if you want to mention any of these. It
15 looked like this identification of attending
16 physician one, if you look at that row, fairly
17 low correlations to the overall, if you want
18 to make any comment about that. And then I
19 guess the admission one, there was some low
20 ones.

21 DR. ZINIEL: Yes. I mean, I think
22 what, for us, was really satisfying was to see

1 the high correlation with regard to the
2 emotional satisfaction, which looks at, if you
3 looked at the item, kind of the attitude of
4 stuff and people feel the hospital delivers on
5 its promise, which is sort of kind of this
6 emotional level, and basically the high
7 correlation with that and the various overall
8 outcomes.

9 DR. PACE: Right. And what about
10 the, do you have any thoughts about the
11 identification of attending physician in terms
12 of its low correlation with the global items?
13 So 0.26, 0.23. Okay.

14 DR. ZINIEL: I'm not . . .

15 DR. PACE: Okay.

16 DR. ZINIEL: I believe that's what
17 it is.

18 DR. PACE: Okay. And are there
19 any questions for Sonja about this or any
20 concerns so that, when she does bring back the
21 performance measure level, that she can --

22 MEMBER LEVINE: I just have one

1 question, a general question. In pediatric
2 hospitals or pediatric units, are hospitalists
3 as prevalent as they are in adult centers? Is
4 that part of the problem of identifying who
5 the attending is?

6 DR. ZINIEL: I'm not 100-percent
7 sure. Based on the parent feedback that we
8 got, it's really kind of the attending versus
9 resident problem. Or fellow.

10 MEMBER STILLE: There are two
11 issues. One is, like you said, attending
12 versus resident or fellow, and sometimes how
13 old they look. But in addition to that, many
14 of the hospitals, I'm guessing, tertiary care
15 hospitals where there's a number of different
16 doctors, all of whom may appear to parents to
17 be running the show at one point or another.

18 CO-CHAIR MERLINO: Any other
19 comments or questions? All right. Thank you,
20 Sonja.

21 CO-CHAIR PARTRIDGE: Well, I was
22 just going to say, Chris, since you did a

1 careful look through of the two surveys, are
2 there any other questions? While Sonja is
3 here, are there any other questions or issues
4 that you want to raise?

5 MEMBER STILLE: I think they've
6 pretty much been raised. You know, the two
7 little problematic content areas have been
8 addressed. I think it's just a matter of
9 getting the complete psychometric data that
10 are needed for this, really, and then we can
11 talk about harmonization a little bit later
12 on.

13 DR. PACE: I think we'll probably
14 still, after our break, have a brief
15 conversation about competing measures. And
16 before you go, I think one of the key things,
17 in terms of NQF endorsement, is under
18 usability and use. It's being used primarily
19 in the Harvard Pilgrim payment program, and
20 it's not publicly reported.

21 DR. ZINIEL: So we reported to
22 Children's Hospital Association. They have a

1 whole system measure where the member
2 hospitals report out their scores, and that's
3 not publicly available but it is available to
4 all members that submit these scores.

5 DR. PACE: So, I know I went to the
6 website, and there's no way you can --

7 DR. ZINIEL: Yes, you have to be a
8 member hospital because there is the agreement
9 that, basically, there is benchmarking among
10 the members but that it's not officially or
11 publicly reported out.

12 DR. PACE: All right. Okay. Any
13 other questions? And then we'll, I guess time
14 for a break and then we'll come back and we'll
15 have at least -- oh, go ahead, Brian.

16 MEMBER LINDBERG: Yes, just to
17 clarify that, if I would. I mean, are you
18 saying that won't change, that this won't be
19 publicly reported? You have no intention of
20 making this a publicly-reported set of data?

21 DR. ZINIEL: So we have
22 discussions at our hospital level to publicly

1 report the data on our hospital website, so
2 that is actually ongoing.

3 MEMBER LINDBERG: Thank you.

4 MEMBER STILLE: So with the
5 benchmarking within the Children's Hospital
6 Association, is that reported on their
7 website? Because that's a pretty big group of
8 hospitals.

9 DR. ZINIEL: So it's only reported
10 for the members. So, basically, the agreement
11 that they have is that we share data among the
12 members --

13 MEMBER STILLE: Oh, so it's only
14 within CHA? Okay.

15 DR. ZINIEL: Yes, exactly. So,
16 basically, all the members within CHA can see
17 the data but not any one outside CHA.

18 MEMBER STILLE: And then with
19 Cincinnati Children's, do you know if they
20 report that publicly? Because they tend to be
21 a pretty transparent bunch.

22 DR. ZINIEL: No, I'm sorry, I

1 don't.

2 MEMBER STILLE: Okay.

3 CO-CHAIR PARTRIDGE: I'm not quite
4 clear on the answer to the previous question.
5 Does the Children's Hospital Association
6 publicly report the national benchmark?

7 DR. ZINIEL: They calculate a
8 benchmark --

9 CO-CHAIR PARTRIDGE: They
10 calculate a benchmark --

11 DR. ZINIEL: -- data but --

12 CO-CHAIR PARTRIDGE: That's right.
13 And they don't identify what each individual
14 hospital's scores were, but I wonder if they
15 make public the benchmark?

16 DR. ZINIEL: I don't think so.

17 CO-CHAIR PARTRIDGE: Okay.
18 Because sometimes associations do. They'll
19 make the benchmark public but not the
20 underlying data.

21 DR. PACE: So, basically, I mean,
22 and we'll have this discussion, at least a

1 brief discussion, even though we don't know
2 exactly where this will end up while we're
3 here and have these both fresh in your minds
4 to have some discussion about the differences
5 and things to consider. I mean, basically,
6 neither one is publicly reported at this
7 point.

8 But why don't we take a break?

9 MS. DORIAN: Why don't we take a
10 break until 10:50 and meet back here?

11 (Whereupon, the above-entitled
12 matter went off the record at
13 10:36 and went back on the record
14 at 10:52 a.m.)

15 MS. DORIAN: Okay. We're going to
16 go ahead and get started again so if you could
17 take your seats, please.

18 So although the last measure --
19 oh, if the developers could come back to the
20 table, that would be good. You can be part of
21 the conversation.

22 DR. PACE: Yeah, from both --

1 MS. DORIAN: 2548 and 0725.

2 Although the last measure isn't officially
3 recommended, we're still waiting on
4 information. We thought it would be good
5 since you're all here to have this
6 conversation anyway.

7 Just some background on related
8 and competing measures. This is a difficult
9 and complex area for NQF. We've been trying
10 to update and improve our processes.

11 One of the big changes that has
12 occurred within the last year and a half, I
13 think, is that NQF initially reviews the
14 measures portfolio and identifies measures
15 inside the related or competing.

16 And related measures are measures
17 that have the same measure focus or the same
18 target population, but not both. And
19 competing measures have both the same measure
20 focus and the same target population. So we
21 asked for related measures for the sake of end
22 users so results are as comparable as possible

1 for the specifications to be harmonized.

2 In other words, if two measures
3 are measuring a similar area and one is 18 and
4 up and one is 16 and up or something like
5 that, we would want the measure developers to
6 harmonize those specifications or explain why
7 that wasn't possible.

8 Competing measures, which come to
9 us less frequently, but these measures we have
10 identified as competing because we consider --
11 but we would like to hear from you whether you
12 agree. We consider that they have both the
13 same measure of focus and the same target
14 population.

15 Usually in our process if both
16 measures are reviewed individually and both
17 are recommended, at that point we would ask
18 that you compare the specifications and the
19 differences and choose a superior measure or
20 that the measure developers really explain why
21 again both are needed and that you agreed to
22 that.

1 I don't know if you want to add
2 anything.

3 DR. PACE: I'll just say it's not
4 just a matter -- I mean, we start with looking
5 at the specifications in terms of the
6 differences but there are some similarities.
7 But it's really looking then through the
8 criteria.

9 Generally they are not going to be
10 different on the importance criterion because
11 if they are really targeting the same basic
12 concepts, that will be similar. But are there
13 differences in reliability and validity? Are
14 there differences in feasibility? Are there
15 differences in use and usability?

16 So to the extent possible we ask
17 the steering committee to identify which
18 competing measures should move forward as a
19 recommendation for endorsement. It is
20 something that there's a couple ifs.

21 First of all, if on this last
22 measure they submit the testing of the

1 performance measure level and if you end up
2 recommending -- you know, saying that measure
3 meets the criteria, then we would have another
4 conversation with you about whether you could
5 selected one over the other, thinking about
6 the various criterion and how they met the
7 criteria and, again, thinking that we're
8 asking you to make recommendations for
9 national consensus standards and how to
10 measure performance in a particular area.

11 We thought with both of the
12 developers here, if there were any particular
13 questions that you had that you wanted to
14 bring up, we'll take a few minutes to do that
15 but kind of we'll have to -- we'll take a few
16 notes but just thought this would be an
17 opportunity if you have any questions for the
18 future if we get to that.

19 MS. DORIAN: And just to note in
20 terms of process, another change, because this
21 used to be done quite haphazardly, we do now
22 reach out to developers prior to the in-person

1 meeting and request an initial sort of
2 response, and so the developers have sent
3 those to us. They are comprehensive. They
4 are on your SharePoint page. But maybe before
5 we open it up to questions, if you could both
6 briefly describe your response to these being
7 identified as competing.

8 CO-CHAIR PARTRIDGE: We'll start
9 with HCAHPS because you presented first.

10 DR. TOOMEY: Great. Thanks. So I
11 think that one of the key things just to
12 mention about Child HCAHPS is that we started
13 from the beginning to the end with the focus
14 of developing a measure for national use.

15 All of our testing from the very
16 beginning of doing a Federal Register Notice
17 and redoing surveys, et cetera, focus groups,
18 cognitive interviews, has been done throughout
19 the country both in English and in Spanish.

20 If you look at the statement that
21 we provided, I think we tried to highlight
22 some of what we think are the key distinctions

1 between the two surveys. The first one, which
2 it sounds like Sonja will be providing, is
3 looking at hospital level reliability.

4 From our perspective when thinking
5 about a performance measure, it is really
6 important, and perhaps the most important, to
7 be able to identify that the signal that
8 you're hearing in terms of differences that
9 you're seeing across hospitals, is related to
10 the qualities of the signal rather than the
11 noise, or within the hospital sort of
12 differences that you're going to find so we
13 were able to provide that information.

14 A second point of difference for
15 us is the case-mix adjustment. From our
16 perspective, once again when you're trying to
17 compare hospitals case-mix adjustment is
18 critical and ours has a case-mix adjustment
19 model that we have thoughtfully tried to
20 develop. The other measure does not include
21 a case-mix adjustment model.

22 In terms of performance

1 measurement itself, and from what we could
2 find, we were able to demonstrate that
3 hospitals do statistically better or worse on
4 the measures and provided that information,
5 referred to it in the measure testing form,
6 and then provided additional information in
7 our appendix.

8 In terms of some other issues --
9 then I guess one other thing in regards to the
10 development itself that was actually brought
11 up during our talk is the end-user testing
12 component of our measure development process,
13 which we found extraordinarily beneficial in
14 being able to get the input of parents on both
15 our composites and on our labels and our
16 groupings.

17 We also have highlighted in our
18 document some of the differences in regards to
19 the surveys themselves when you look at the
20 survey development. First of all, in regards
21 to screener items, there's been some extensive
22 literature looking at whether or not it makes

1 sense to have screeners or have a question for
2 which you then enter into answering other
3 questions.

4 An example would be we have in our
5 survey, did you push the call button? Before
6 you answered did people respond to the call
7 button in a manner that was -- I'm not getting
8 the question exactly right, but the notion
9 being that there is an item for which you
10 enter into the item and only at that point are
11 only the people for whom that experience was
12 had are the ones that are answering the item.

13 There are other ways of doing it
14 which are called sort of non-applicable skips
15 and/or through embedded skips which are
16 options within the item itself and CAHPS
17 Consortium among others have done extensive
18 work looking at whether or not those are as
19 good of a way of having people answer so it
20 does cut down on the number of items.

21 But what they find is there's
22 actually more people that don't answer

1 appropriately the question because they either
2 don't see the embedded skip or they see the
3 embedded skip and are misreading it and think
4 it might apply to them.

5 I guess I'll just say in regards
6 to response scales, Sonja did point out that
7 we are harmonized with the adult HCAHPS and
8 use a four-point response scale almost
9 throughout most of the survey. We have one
10 additional response here that we often use
11 which is yes, definitely yes, somewhat, and
12 no.

13 And we feel from a survey
14 development perspective it's better to have
15 fewer response scales, rather than more,
16 because of the cognitive burden to the
17 respondent. Our survey in comparison to the
18 other has many fewer response scales that are
19 used uniformly throughout the survey.

20 I guess the last point I'll make
21 is that when you compare sort of the domains
22 at a domain level what domains we include in

1 our survey versus what's included in the other
2 survey, they are very similar. There are
3 three particular domains that the patient
4 experience survey has that we do not.

5 In response to those we have
6 written, but we did attempt in regards to the
7 issue around having a main doctor to have an
8 item regarding that in our survey. After
9 extensive cognitive interviewing, we were
10 never able to ask the question in a way that
11 we felt confident that parents weren't
12 misattributing, knowing who was in charge.

13 A great example is that parents
14 would say they knew who was in charge of their
15 care and when we were able in cognitive
16 interviewing to ask questions around that, it
17 was very clear to us that they were talking
18 about the resident rather than the attending.

19 Because one of the tenets of
20 creating sort of a survey development tool
21 like this is that you want to make sure that
22 the questions are uniformly understood and

1 that they are questions for which people are
2 responding in similar manners. We never felt
3 comfortable that we could assure ourselves
4 that people would not misattribute who they
5 thought the main doctor was.

6 In regards to admissions, we agree
7 that the admissions process is something that
8 is very important in the context of the
9 processes of care. However, once again, the
10 start and end of admissions is very difficult
11 for parents to understand, and we did not find
12 that was a concept that parents uniformly
13 understood in the same way.

14 DR. ZINIEL: Thanks so much for
15 providing the opportunity to give a statement.
16 Sara has pointed out, elaborately, the various
17 differences. I would like to focus on two
18 that I think differentiate the PIES from the
19 Child HCAHPS.

20 The first one is the reason why it
21 was developed. We developed PIES because we
22 could not show any improvement anymore for

1 quality improvement initiatives. Our scales,
2 amongst which are yes, definitely, yes,
3 somewhat, no, a three-point scale.

4 We had ceilings effect of like 80
5 percent that checked yes definitely. It is
6 really hard to improve on 80 percent and that
7 is not necessarily a reflection of the people
8 implementing -- designing or implementing the
9 quality improvement, but an issue of
10 sensitivity of the response scale. So that's
11 my second point.

12 The response scales that we
13 implemented were matched to the questions.
14 That's why we have a number of different
15 response scales because from a survey
16 development perspective, it is more important
17 that the response scale actually matches the
18 question, compared to keeping a consistent
19 response scale throughout the survey.
20 If the questions are yes/no questions, it's
21 really bad to provide a five-point answer or
22 vice versa.

1 So, with that in mind, I would
2 like to tell you a little anecdote when we
3 developed our survey. We tested the questions
4 Courteous and Kindness with Nurses. In
5 cognitive interviews we asked them to think
6 out loud how they would get to the answer
7 which is a normal process due to cognitive
8 interviews.

9 We provided them with a scale that
10 was never, rarely, sometimes, usually, always.
11 We asked them to think out loud and we
12 frequently got the answer, well, you know,
13 generally the nurses here are great but there
14 was this one time the nurse must have had a
15 really bad day.

16 On and on you get the whole story
17 and then you say, what response option would
18 we choose? The person would choose always,
19 which doesn't reflect what they just told us.
20 Right? They just told us that there was this
21 one nurse.

22 From a quality improvement

1 perspective and we talked to these parents,
2 why did you give always? Well, you know,
3 you've been at Boston Children's for three
4 times and, you know, in general, so I give you
5 the benefit of the doubt.

6 Quality improvement initiatives do
7 not respond to benefit of the doubt so what we
8 added was an almost always. And when we did
9 cognitive interviews with this new scale, we
10 actually saw up to a 20 percent difference in
11 people who checked always. We can improve on
12 20 percent. That's something where we really
13 can show if a quality improvement initiative
14 works or not.

15 So when we did that during our
16 validation in Boston Children's Hospital, we
17 implemented an experiment in the national
18 validation and we used the HCAHPS scale for
19 half of the people in our scale for the other
20 people and we randomly assigned these scales.

21 Table 1 shows that the main scores
22 of the different questionnaire versions, if

1 you scroll down the tables are down at the
2 end, and you can see that there are some
3 differences. Since then, we have also done
4 more theoretical research.

5 Dr. Ozonoff from Boston Children's
6 and myself on how response scales with more
7 response options theoretically improve the
8 sensitivity of the scale. We have, right now,
9 implemented several different experiments in
10 terms of the number of response scales, the
11 number of response options, and the labeling
12 of response scales.

13 If you go to Table 2, which is
14 after Table 1, you can see that we had an
15 experiment of the response scales to the
16 question, how would you rate the overall
17 quality of care your child received? We have
18 a five-point response scale and a six-point
19 response scale from poor to excellent on the
20 five and poor to exceptional on the six-point.

21 If you'll look at the percentage
22 of respondents randomly assigned to check the

1 top box, you can see that for the five-point
2 response scale, 69 percent checked the top box
3 for the six-point response scale 45.2, which
4 is a difference of 23.8 percent.

5 For quality improvement measures,
6 we feel that this will provide sensitive
7 scales that allow people to actually measure
8 if a quality improvement makes a difference.

9 That's why we think given that
10 HCAHPS right now doesn't have any data
11 available to show that their scales are as
12 sensitive with regard to quality improvement
13 and it basically has not been implemented.
14 It's right now premature to consider them as
15 competing. Thank you.

16 CO-CHAIR MERLINO: Comments?

17 MEMBER PARISI: I have a few
18 comments. I have a preliminary comment,
19 Karen, if you can clarify. What is the
20 position as it relates to the regulatory
21 requirement to implement a performance
22 measure, because that does enter into the

1 feasibility and the usability of the
2 instrument.

3 DR. PACE: It's actually one thing
4 to consider in the mix. It's really to look
5 at these measures across the criteria, but as
6 far as we know right now, there's no definite
7 plan to require the CAHPS and there's no
8 definite plan of using the other measure in an
9 accountability application, though it's
10 currently being used in Harvard Pilgrim.

11 DR. TOOMEY: And ours is being
12 used in the Blue Cross/Blue Shield contract.

13 DR. PACE: In what way?

14 DR. TOOMEY: In Boston Children's
15 similarly in the development process and now
16 sort of ongoing as we are moving into sort of
17 more of an accountability phase.

18 MEMBER PARISI: Great. So I have
19 two more questions and I'll be brief. The
20 second one is in response to the various CAHPS
21 surveys.

22 One of the things that continually

1 surfaces, in my experience, is that the person
2 completing the survey frequently confuses the
3 provider, be it the nurse, be it the CNA, be
4 it the home health aid, the LPN. There's a
5 lot of confusion. They see someone in the
6 uniform and they respond to the nurse question
7 or the physician question.

8 Is there any thought as to how
9 that is going to be addressed? Particularly
10 as we --- at some point I'm hopeful that
11 we'll be evaluating patient experience across
12 the continuum rather than in the silo, as that
13 is the way we're moving in terms of care
14 delivery. Any thought to that, or any way
15 that's being addressed currently?

16 DR. SCHUSTER: For adult CAHPS
17 attribution has been a continuing criticism of
18 the process, especially with multiple adult
19 physicians treating the same patient in the
20 hospital. As far as I know there's nothing in
21 place right now that they are looking at to
22 modify the program but it is a problem.

1 DR. TOOMEY: The only thing I'd
2 add for us is although we have the core
3 questions for communication that do mirror the
4 adult HCAHPS that are focused on nurse and
5 doctor communication, interestingly we do get
6 different responses, so they are giving two
7 different groups of people that they are
8 responding to.

9 The rest of our questions are
10 actually about the provider more generally, in
11 part for that very reason, that it was very
12 hard for us to think that in a busy hospital
13 setting that people were going to be able to
14 distinguish necessarily between the nurse
15 practitioner or the nurse or the doctor in
16 coming in, so we do have a lot of questions
17 that are based on providers more generally for
18 that reason.

19 CO-CHAIR PARTRIDGE: Chris?

20 MEMBER PARISI: One last one. I
21 need some statistical guidance on this.

22 Sonja, if I may call you Sonja,

1 what you had referred to is the ability to
2 initiate a performance improvement initiative
3 related to your data. Is it because there is
4 more differentiation between the scales? Is
5 that the major difference? Can you help me
6 with that?

7 DR. ZINIEL: That's correct. So
8 basically if you think about the distribution
9 if you have a three-point scale, the
10 distribution is much more skewed than if you
11 have a five-point scale and the labels, the
12 response labels which seem to play a
13 significant influence, as we found out in our
14 research, basically widens that distribution.

15 MEMBER PARISI: So it's more
16 sensitive?

17 DR. ZINIEL: Correct.

18 MEMBER PARISI: Okay. Thank you.

19 DR. ZASLAVSKY: I would add that
20 really what we're talking about here is
21 liability. It's way after you see the
22 consequences of liability. We don't know

1 whether statistically it's helping to
2 distinguish among units to have those broader
3 scales.

4 MEMBER STILLE: Yes, I had a
5 couple questions for Sonja about the use and
6 the testing of the PIES. You mentioned that
7 -- I just need to get closer. Okay. A couple
8 questions for Sonja about the use and the
9 testing of the PIES.

10 You had mentioned that you see one
11 of the unique uses of it as being able to be
12 done modularly, with one module at a time.
13 Can you talk a little bit more specifically
14 about sort of how that's been implemented and
15 how that would differ from it being used as a
16 whole instrument?

17 DR. ZINIEL: We have the modules
18 -- most of the modules developed. Some are
19 still in development. At the same time,
20 validating HCAHPS at the hospital the
21 leadership decided to not field the modules at
22 that point in time. What we have is we have

1 data on the core module but the way it was
2 designed to be implemented was to basically --
3 - depending on characteristics in the medical
4 records.

5 For example, if the person had an
6 ED stay, that throughout the year a subsample
7 of people would get this ED module, so that
8 you still would have, throughout the year,
9 answers for the ED module.

10 You could correlate with the
11 overall survey but, at the same time, if each
12 person, for example, only would get two
13 modules, you would be able to hone in on
14 specific questions that are related to the ED
15 without overall burdening the respondent.

16 MEMBER STILLE: Okay.

17 DR. ZINIEL: Instead of having the
18 respondent answer about the ED, the ICU stay,
19 the surgery they had, the cleanliness of the
20 hospital, they would randomly be assigned
21 based on their hospital experience to one or
22 two of these modules to keep the survey short

1 overall.

2 MEMBER STILLE: Okay. Great. And
3 then, during the break we had talked a little
4 -- a couple of us had talked about some of the
5 validity testing in the other hospitals and
6 the remarkably low response rate.

7 I realize that resources probably
8 dictate a lot of what you are able to do in
9 terms of getting a higher response rate, but
10 are there any plans for more complete validity
11 testing in some of these other hospitals
12 and/or trying to figure out if there is a
13 difference between respondents and non-
14 respondents, that kind of thing, just to --

15 DR. ZINIEL: So I've started doing
16 some non-response bias analyses for our
17 hospital. I don't have access to data from
18 any other hospitals. I would love to do end-
19 user testing or additional focus groups as was
20 recommended during the previous call at other
21 hospitals. At this point in time, it's
22 basically a question of funding.

1 MEMBER STILLE: Okay thanks.

2 CO-CHAIR MERLINO: Sherri.

3 DR. TOOMEY: Can I just add just
4 two quick points about some of these topics,
5 one of which there is actually a recent
6 publication that came out from members of the
7 CAHPS consortium that sort of compared head to
8 head a four versus six-point response scale.

9 In that analysis they concluded
10 that the four-point scale was -- that there
11 was no significant difference in regards to
12 the responses that they were receiving in
13 those two, and that as a result, they are
14 sticking with the four-point scale in terms of
15 what their recommendations are.

16 It's certainly an area for which
17 there has been active conversations and for
18 which there is ongoing work in this area from
19 that perspective. The only other thing I'll
20 add in terms of the modular issue is that
21 child HCAHPS, just like other CAHPS measures,
22 has the ability to add in extra items.

1 It's done so in a uniform way so
2 they are done always after the mean set of
3 core items in the survey so that there isn't
4 any issue in regards to when you change up the
5 order of the survey, et cetera. Sometimes
6 that can change a little bit how people
7 respond.

8 There is no sort of problem at all
9 including additional items in the survey and
10 we actually do have some additional items that
11 we will be eventually sort of hoping to get
12 out there for supplemental items.

13 MEMBER LOEB: I was just going to
14 echo as far as being able to say are you aware
15 of who your main provider is, although there
16 are tons of women physicians these days, they
17 are still not accepted as much as men.

18 My daughter goes into the room
19 numerous times, introduces herself as the
20 resident, and the phone will ring and the
21 patient will answer it. I can't talk now. My
22 nurse is in the room with me. So, you know,

1 I'm not sure how accurate that question is,
2 who is your main physician. Unfortunately
3 that's going to take years and years to
4 change.

5 CO-CHAIR MERLINO: Katherine.

6 MEMBER VAN ZYL: I know that the
7 PIES survey doesn't excluded -- doesn't have
8 as many exclusion criteria as the child
9 HCAHPS, so I'm wondering if you got any data
10 on child psychiatric admissions that the
11 HCAHPS survey would not have and whether that
12 was something that you stratified out.

13 DR. ZINIEL: I would have to go
14 back to the data and link an identifier from
15 if they were discharged or if they had a
16 psychiatric stay, but I think I might be able
17 for Boston Children's because that's only the
18 access -- that's the data that I have access
19 to to see if I can differentiate between the
20 two.

21 MEMBER VAN ZYL: I'm just curious
22 because right now nobody covers that, and so

1 one of the strengths of your survey might be
2 that you are the one survey that captures
3 psychiatric admissions in children where the
4 HCAHPS does not. It may eventually, but right
5 now it does not.

6 CO-CHAIR MERLINO: Katherine.

7 MEMBER BEVANS: It occurs to me,
8 as you were presenting, that it seems to me,
9 correct me if I'm wrong, that the intended
10 purposes of these two instruments and the way
11 you're thinking about using them in the
12 future, are really quite different where the
13 HCAHPS is, you know, designed for quality
14 monitoring on a national level.

15 It seems to me, Sonja, that you've
16 talked a bit about quality improvement and the
17 initial development of your instrument really
18 took place within the context of Boston. Are
19 you intending -- are you thinking that the
20 purpose of the instrument is more to be able
21 to gauge change within a specific segment of
22 your -- like within the hospital over time?

1 This purpose is different. It's
2 kind of a quality improvement purpose as
3 opposed to a quality monitoring on a national
4 level. If so, I just want to comment about a
5 couple of implications of that if that's
6 right.

7 DR. ZINIEL: Absolutely. So we
8 developed this survey prior to the funding
9 call coming out from HCAHPS. Due to the way
10 it was structured, we actually -- PIES was
11 actually included in the application to
12 HCAHPS. Correct me if I'm wrong, Mark.

13 So basically at that point for us
14 --- the most important thing while we had the
15 initial attention for PIES to be the child
16 HCAHPS, based on our hospital experience and
17 other experiences, for us the key was really
18 to be able to measure change.

19 One comment I want to make with
20 regard to the response scales. A six-point
21 compared to a four-point response scale is not
22 the same. It really depends on the labels.

1 That's like one thing we really clearly found
2 out in our research. If you don't stretch
3 where the people cluster with response labels,
4 you won't see a difference. That's really
5 from a cognitive response answering process
6 the key to this. We know by whom child HCAHPS
7 was funded so for us as a measure developer,
8 we really want to provide people who want to
9 measure patient experience and want to measure
10 if their initiatives make a difference. Give
11 a measure that they actually can use and show
12 differences.

13 MEMBER BEVANS: Right. And -- I'm
14 sorry. Go ahead.

15 DR. ZINIEL: Go ahead.

16 MEMBER BEVANS: It seems that,
17 aside --- the issue of a four-point versus
18 six-point response scale is one thing but then
19 also the use of the top box scoring approach
20 may reduce sensitivity to change.

21 I want to make a point that we are
22 entering this era of big data and wanting to

1 multi-purpose data. Right? To use data for
2 multi-purposes for, if anything, to reduce the
3 burden that we are placing on people as we are
4 over-serving them. That comment has been made
5 a few times.

6 I guess I'm wondering what NQF's
7 role is in this, because we are charged with
8 making a recommendation for potential
9 endorsement of the PM, including the
10 specification of use of the top box approach
11 for scoring for performance measures.

12 At the same time, that doesn't
13 mean that the data are there. Right? The use
14 of a multiple response category coded four
15 times are there. Right? So could the
16 instrument be double-dipped here? Like used
17 for purposes of quality improvement using the
18 four-part response scale.

19 I know that is not quite desirable
20 to you, but certainly better than the top box
21 approach, to be able to be more sensitive to
22 change. Also the top box approach being used

1 for the kind of performance measure side.

2 I guess the question is really
3 more to the committee is what do we see our
4 role here in making recommendations about
5 varying uses of the same data that has to do
6 with the specification of how the performance
7 measure is actually defined. Does that make
8 sense?

9 CO-CHAIR MERLINO: Can I comment
10 about HCAHPS and then you can comment about
11 the role of the committee. I think we can go
12 to the adult HCAHPS survey to learn a lot.
13 This debate happened when that instrument came
14 out as well. How can you possibly use a four-
15 point scale for performance improvement?

16 I think there's a lot of people
17 across the country that are involved in
18 hospital operations that wish they could go
19 back and change one thing about HCAHPS. They
20 would have made it a five-point scale.

21 Having said that, we now have five
22 years of experience using the HCAHPS survey to

1 actually drive performance improvement.
2 That's reflected in Medicare's benchmarking
3 database.

4 I can tell you on an anecdotal
5 level what is reflected in my organization.
6 I think you can use it to drive quality
7 improvement and you don't have to get into a
8 lot of extraneous metrics or questions. That
9 history, I think, is important for this.

10 The second thing is, and this is
11 my opinion as a member, and not as the co-
12 chair, is that we probably have an obligation
13 to really pick the instruments and metrics
14 that we think are going to have the greatest
15 impact to drive change.

16 I applaud the work that all of you
17 have done because you are clearly setting the
18 standard for measuring pediatric experience of
19 care, which needs to be done.

20 I think we need to really ask the
21 question where should we put the effort, what
22 should we focus on this that would have the

1 greatest impact. To me, personally, it's the
2 instrument that really mimics what the
3 national agenda is.

4 DR. SCHUSTER: Can I jump in on
5 this topic a little bit? So we explored
6 different response scales, in terms of the
7 literature, which is known, and the CAHPS
8 consortium has a long history of studying
9 number response scales and the actual items in
10 those response scales.

11 The very strong consensus, among
12 that consortium, is that the larger number
13 going up to five and six is too cognitively
14 challenging. We have a wide range of parents
15 and educational levels and switching out ---
16 I mean, I think there are just philosophical
17 differences here.

18 The experience to be gained from
19 the CAHPS group which is going from poor to
20 excellent, from poor to exceptional, to very
21 poorly, to very well, is confusing to people.
22 They stop paying attention and they start just

1 getting confused by it.

2 We had a lot of discussions on
3 this and aspirations and there's a lot of
4 pressure on us to limit ourselves to a primary
5 scale of four and then for certain items,
6 because we do have to tailor it somewhat to
7 the items, a three-point scale for some. But
8 this wasn't in any way a haphazard decision.

9 In terms of quality improvement,
10 our measure --- we designed for national use
11 in many ways. One is public reporting and
12 paper performance, if it gets used for that,
13 but it's designed knowing that is a possible
14 use. Another use is very much quality
15 improvement. Again, I think we just disagree.

16 I get all the quality reports for
17 the hospice list and out-patient, all of the
18 out-patient experience reports, and I have to
19 manage in our hospital trying to improve. We
20 do not find that a four-point scale or hitting
21 80 percent in the top box means you can't
22 improve to 85, to 90, to 95.

1 Our response is we do have room
2 for improvement. I think there are just
3 different experiences here. I just want to
4 make it clear it's not that we're just doing
5 public reporting. We are designed for quality
6 improvement and that is very much a part of it
7 so that this survey can be used for both.

8 CO-CHAIR PARTRIDGE: I want to
9 thank all our guests from Boston for working
10 on this issue with us. I don't think at the
11 moment we can devote any more time to it. We
12 have 45 minutes between us and lunch to tackle
13 yet another CAHPS measure which is Clinician
14 and Group. We will excuse you and thank you
15 again. We'll be talking to you more.

16 MS. DORIAN: Thanks. Great. As
17 Lee just said, our next measure is the CAHPS
18 Clinician and Group Survey from AHRQ. Let's
19 just check to see who we have on the phone.

20 Carla, are you there or any of
21 your team?

22 DR. ZEMA: I am. Chris Crofton is

1 going to kick us off from AHRQ, and then we
2 also have a number of consortium members with
3 us as well.

4 MS. DORIAN: Okay. Great. Go
5 ahead then. Thanks.

6 DR. CROFTON: Well, earlier this
7 morning Carla mentioned reconciliation that
8 we're doing across all the surveys and we
9 received some changes both on the
10 Clinician/Group and the Health Plan Survey
11 resulting from that.

12 There are also some changes in
13 items that we've known that we've needed over
14 the course of the past several years in
15 administering the surveys and you will see
16 those changes, too.

17 Other than that, it's the same set
18 of core items and additional items, so the
19 structure of it will look the same as the
20 versions that you've seen in the past. To
21 talk about it, Julie Brown from the RAND CAHPS
22 team is on the phone, I believe.

1 MS. BROWN: I am. Thank you,
2 Chris.

3 DR. CROFTON: And there other
4 members of the CAHPS team. I don't have the
5 whole list in front of me but will probably be
6 speaking up to voice their opinion on
7 particular topics. Julie may refer to them as
8 she speaks.

9 MS. BROWN: Good morning everyone.
10 I realize that it's almost noon there and
11 afternoon there, but it's certainly morning
12 here. I apologize that I didn't get to sit in
13 on the earlier session today, so I'm not sure
14 what's most helpful for going forward.

15 I can certainly give you an
16 overview of the revisions that we've made
17 since CG-CAHPS was last reviewed. If there
18 are particular questions the committee has, we
19 are happy to answer them. Ron Hays is also on
20 the phone. Ron and I participated in the
21 quality group meeting, so it would be helpful
22 to hear from Karen or Lauralei or Sara as to

1 what would be most helpful in going forward.

2 MS. DORIAN: Sure. Thanks. If
3 you could give -- many of the people on this
4 committee weren't here when this measure was
5 last reviewed so if you could give a brief
6 synopsis of the measure and you can include
7 any changes.

8 You might want to mention that
9 article that was sent around as well, just to
10 reference it, and we can bring it up on the
11 screen later. If you could keep that brief to
12 about two minutes, that would be great.

13 MS. BROWN: Okay. That's a lot of
14 information to put in a few minutes.

15 MS. DORIAN: Put the measure
16 information at the top of the forum.

17 MS. BROWN: No problem. So the
18 Clinician and Group Survey is designed to
19 provide information on patient experience of
20 care to inform decision making and quality
21 improvement with regard to care delivered by
22 individual clinicians, care delivered in a

1 range of settings, from individual practices
2 to group practices to larger entities.

3 The qual measures are used by CMS
4 to assess experience with ACOs. They can be
5 used to assess medical home. While the
6 instrument references a specific provider,
7 often referred to as a focal provider, it's
8 really measuring experience on multiple levels
9 depending upon the sample design.

10 Across the CAHPS instrument there
11 are a set of common core measures that you'll
12 see in this survey. We measure access to
13 care, communication with a provider,
14 experience with office staff. Always the
15 hallmark of any CAHPS survey is the zero to 10
16 reading of provider.

17 There has been evidence that
18 patient reports and ratings of care are
19 correlated with clinical qualities so we
20 really view these patient experience measures
21 as companion measures, the clinical quality
22 measures that may exist out there.

1 There are the core measures and
2 there are a host of supplemental questions
3 that aren't included in this submission so
4 I'll just focus what I'm saying on the core.

5 Chris mentioned refinements and
6 improvements. Due to changes over time in the
7 care delivery system, feedback from users, and
8 kind of the best survey science within CAHPS,
9 we made some small refinements to how we frame
10 questions for patients, and that's reflected
11 in the revisions. It's a minor wordsmithing
12 here and there to improve the clarity, but
13 also promote the translation of instruments.

14 AHRQ provides instruments in
15 English and Spanish but many users are
16 translating them into multiple languages, so
17 testing in other languages has created a
18 feedback loop that helps us understand how
19 best to frame questions in English so they are
20 easily translated into other languages.

21 One aspect of that report is the
22 response scale. Earlier there was some

1 discussion about a four versus six-point
2 response scale.

3 I think a key change between CAHPS
4 prior submission and the current submission
5 under review is that we are proposing our
6 four-point lever to always response scale.
7 Previously the committee reviewed a six-point
8 response scale that went from always, or
9 almost always, to never.

10 We found that the four-point
11 response scale is easier for people to keep in
12 their minds, introduces less difference in
13 patterns of response across different modes
14 that are interviewer administered versus self-
15 administered, and results in sufficient
16 variation of response to capture meaningful
17 and measurable difference in patient
18 experience whether it's at the clinician
19 level, the group level, or some larger
20 practice system level.

21 I think the other thing that's
22 important if committee members are new to

1 CAHPS is CAHPS avoids abject title scales.
2 That is, scales that use excellent to poor.
3 We do that for several reasons.

4 One is that when the data is
5 reported to other consumers, they do those
6 kinds of ratings as subjective and it's not
7 very clear to them what they mean, whereas
8 it's very clear to people what it means when
9 they understand that someone sometimes has to
10 wait more than 15 minutes for an appointment
11 to begin.

12 Additionally, those kinds of
13 scales present some challenges in translation.
14 Not all languages can be translated into a
15 scale that kind of represents the ordinal
16 ranking of that kind of scale so CAHPS goes
17 with reports and ratings because they are more
18 objective and we are able to ask about
19 discreet experiences that are able for people
20 to understand cognitively across a range of
21 education levels, experience levels, and
22 across multiple languages.

1 I suspect I took more than two
2 minutes there and hope that I've touched on
3 the things that you'll find helpful.

4 CO-CHAIR PARTRIDGE: Before we
5 begin, I just wanted to clarify one thing
6 about the way this is labeled. It says adult
7 primary care, pediatric care, and specialist
8 care surveys. Are they three separate
9 surveys?

10 MS. BROWN: I want to be very
11 clear. The adult measures, the adult core
12 measures, work in primary care and specialty
13 care settings.

14 CO-CHAIR PARTRIDGE: That should
15 be clear to everybody.

16 MS. BROWN: Thank you.

17 CO-CHAIR PARTRIDGE: You've really
18 got two surveys but --

19 DR. PACE: So this really, the
20 child is not part of the adult so you really
21 need to probably think about getting that
22 separated out. It's confusing this way.

1 DR. ZEMA: So that is a change
2 also from the last time we submitted it. We
3 used to package the clinician group survey as
4 a primary care survey and specialty survey
5 when in reality they were the same core
6 survey. They differed in some supplemental
7 items.

8 It caused a lot of confusion and
9 because that concept of a core survey is so
10 important, we clarified and repackaged so that
11 the CAHPS Clinician/Group 12-Month Survey is
12 the core survey that is in front of you that
13 is applicable to both primary care and
14 specialty care.

15 DR. PACE: Right. But you've also
16 included the child.

17 DR. ZEMA: Correct. So there is
18 an adult 12-month survey and a child 12-month
19 survey.

20 DR. PACE: Right. We'll need to
21 get those separated but we'll talk about that
22 off line so thanks. As you go through you'll

1 see that they do provide different data.

2 DR. ZEMA: Just a quick follow-up
3 from this morning's conversation. One of the
4 fundamental differences in CAHPS surveys,
5 CAHPS surveys are divided into facilities
6 surveys which is like the hospital surveys
7 that you've talked about already.

8 This is part of our ambulatory
9 surveys. One of the key differences that you
10 talked about this morning is we never ask
11 people to really focus in on a particular
12 provider on our facilities surveys because of
13 all the difficulties that Sara and everyone
14 described to you.

15 But on the ambulatory side we do
16 ask respondents to zero in on what we call a
17 focal provider so that is one of the key
18 differences between our ambulatory surveys and
19 our facilities survey.

20 CO-CHAIR MERLINO: Okay.

21 Discussion about the evidence?

22 MEMBER PARISI: I have a question

1 about the changing wording to provider
2 actually. I'm doing a 180 in my own head as
3 you're speaking and trying to sort it out for
4 myself.

5 My disclaimer -- I should say my
6 conflict of interest is I'm a registered
7 nurse. Clearly I'm interested in
8 understanding the differences between the
9 scope of practice of a physician and a nurse
10 practitioner.

11 I'm wonder in this particular
12 instance are you able to ascertain what will
13 be needed to improve performance,
14 communication, etc. just by putting in as a
15 provider rather than differentiating that. I
16 don't know if I have a preference. I want
17 there to be actionable initiatives as a
18 result. I'm struggling with that content.
19 Maybe you can help clarify that.

20 MS. BROWN: Hi. This is Julie
21 Brown. I'll make a response and then welcome
22 input from Karla or Ron or Chris. One of the

1 reasons we changed to this provider language
2 from this doctor language was to recognize the
3 changes in care delivery. Especially in
4 primary care settings, but also in specialty
5 care settings can be delivered or managed by
6 a physician, a nurse practitioner, a physician
7 assistant, a clinical nurse specialist.

8 We wanted to be responsive to the
9 realities of healthcare. By using this
10 provider language it allows the user to survey
11 experience referencing a provider that is
12 important in selling it to the patient, but
13 not limiting that provider to being a
14 physician. I think that is very important.
15 That data is meaningful and useful and does
16 inform quality improvement.

17 I'll pause there.

18 CO-CHAIR MERLINO: Any other
19 comments about evidence or questions?

20 DR. PACE: So I think we're in the
21 same position as we were with a lot of the
22 submissions. There is some general

1 information versus specific for each of the
2 measures submitted in terms of things.

3 I think the survey questions kind
4 of point to the things that the measured
5 entities can do to affect the experience with
6 care but something again that you'll all need
7 to think about in your own mind in terms of
8 are these things that healthcare clinicians
9 can affect.

10 CO-CHAIR PARTRIDGE: One of my
11 comrades on the work group want to say
12 anything about evidence before we vote?

13 Excuse me. Carol, go ahead.

14 MEMBER LEVINE: It doesn't really
15 -- I share the concern about this kind of
16 lumping everybody together as a provider. Not
17 a doctor but a provider, but doctors are
18 providers too, right?

19 I think from what I'm feeling, and
20 we heard it this morning, is people are very
21 confused about what happens in healthcare.
22 Sort of putting all of these people together

1 in one category doesn't help to sort out who
2 is able and responsible and accountable for
3 doing what.

4 I don't think if affects this but
5 I think it is something that really needs to
6 be addressed as we go forward in healthcare.
7 It doesn't help people to make everybody the
8 same. Thank you.

9 DR. ZEMA: This is Carla. I
10 wanted to add to Julie's explanation of, in
11 case the reaction is that we are lumping
12 providers. We actually don't.

13 This is an ambulatory survey so
14 the very first question confirms the very
15 specific provider you saw, whether it's Dr.
16 Jones or Mary Smith, and then orients the
17 respondent to say, "Okay, that person is this
18 provider." We use the terminology "this
19 provider" through the rest of the survey to
20 mean a very specific person.

21 That is the difference with our
22 ambulatory survey versus our facilities

1 surveys is we are talking about, we are
2 orienting them to one specific provider.
3 While you and I as professionals might think
4 of the term provider differently, because of
5 that question one, that does very specifically
6 define what we mean by "this provider."

7 MR. SHALLER: Carla, this is Dale
8 Shaller, also a member of the CAHPS team. I
9 wanted to just make a further point that most
10 of the health systems have used the CG-CAHPS
11 survey for collecting information for internal
12 improvement do sample at the individual
13 provider level, and can know specifically what
14 individual practitioner, be they the
15 physician, be they a nurse practitioner, or
16 other mid-level, is actually accountable for
17 the results that are being collected.

18 That actually does inform and
19 drive very specific targeted improvement
20 strategies that many health systems are
21 actually using.

22 CO-CHAIR MERLINO: Okay. Any

1 other comments on evidence or questions from
2 the committee?

3 Carol, are you still -- okay.

4 Why don't we vote on evidence and
5 then we can move forward.

6 MS. ALLEN: You're voting on
7 evidence, one yes, two no. Voting starts now.
8 All votes are in; 17 yes, zero no.

9 DR. PACE: So we're going to go on
10 to performance gap and I just want to mention
11 that there is no information provided in the
12 form requested but there is some in the data
13 dictionary. I think this meeting just brought
14 up the Excel file and you all have access to
15 this. There is a tab for both the adult and
16 the clinician.

17 CO-CHAIR MERLINO: Any comments on
18 performance gap?

19 Let's see. This is where we talk
20 about disparities.

21 MEMBER THOMAS: So I understand
22 that 90 percent of the respondents were white

1 and disparities weren't really addressed. I
2 have a note here that I made to myself. The
3 questioner says that the lack of variability
4 makes this, put in my own words, non-critical.

5 Is that -- I note that there was a
6 heavy concentration in the adult survey, at
7 least in Maine and Washington and I just
8 wondered if you could talk a little bit about
9 that.

10 MS. BROWN: Sure.

11 Ron, do you want to respond to
12 that or --

13 DR. HAYS: Well, I think Dale
14 might be best but it's whatever we get our
15 data from. I mean, these are voluntarily
16 submitted. I don't think it's the widest
17 distribution that we could possibly get for
18 sure. It's a large sample size but definitely
19 we would like more diversity.

20 We know there is more diversity
21 out there. Historically we've been able to
22 look at race, ethnic differences shown in

1 several published articles that they exist but
2 this data set has it's limitations.

3 MEMBER THOMAS: It's about 90,000
4 adults patients. Is that right? I think I
5 read that in the packet.

6 DR. HAYS: I was just going to ask
7 a clarifying question. Is this a question
8 directed to the actual results that were
9 obtained in the State of Maine?

10 MEMBER THOMAS: No. I thought you
11 said it was a large sample. I think in Maine
12 it was 50,000 and I think it's a total of
13 about 90,000 for the adult survey if I'm not
14 mistaken. I'm reading that in the submission,
15 page 41.

16 DR. ZEMA: Right. This is based
17 on the 2012 CAHPS database and there was a
18 large presence by Maine in that year of the
19 database.

20 MEMBER THOMAS: Thanks.

21 CO-CHAIR MERLINO: Any other
22 comments on performance gap? Questions?

1 CO-CHAIR PARTRIDGE: I don't have
2 it in front of me but, as I recall, we got
3 different results. We got different tables
4 for the adult and pediatric.

5 MS. BROWN: I think you might be
6 referring to Table 1.6, the descriptive
7 characteristics for processing patients. I'm
8 not sure.

9 DR. PACE: We're looking at what
10 you put in the Excel file for 1(b)(2), the
11 performance gap information.

12 DR. HAYS: Well, there is adult
13 and child data separated. The question
14 related to variation in scores or --

15 (Simultaneous speaking)

16 DR. HAYS: It depends on the
17 particular data set and we know in different
18 collections in different parts of the country
19 and different states that there is actually a
20 quite wide variation in performance along the
21 different domains measured in this CG-CAHPS
22 instrument.

1 You see different levels of
2 variation depending on the community. For
3 example, in Minnesota there are two state-lead
4 implementations of CG-CAHPS now, quite a large
5 variation from their top two lowest scores and
6 then the distribution between those.

7 Are there gaps in performance at
8 the clinic level, at the group level, and the
9 individual clinician level? The answer is
10 yes. All of that data that supports that
11 assertion I don't think were submitted as part
12 of the submission but they can certainly be
13 obtained and forwarded to the committee.

14 MR. SHALLER: Yeah, the Excel
15 spreadsheets do show you scores, means, and
16 median at different percentiles. If you look
17 at that relative to the standard deviation,
18 you can see that there's substantial
19 differences in terms of affect sizes across
20 sites.

21 CO-CHAIR PARTRIDGE: We have it,
22 Dale.

1 MR. SHALLER: Okay.

2 CO-CHAIR MERLINO: I think we're
3 good.

4 Any other comments about
5 performance gap? Let's vote on performance
6 gap.

7 MS. ALLEN: We're voting on
8 performance gap; 1 high, 2 moderate, 3 low, 4
9 insufficient. Voting starts now. All votes
10 are in. Results, eight high, nine moderate,
11 zero low, zero insufficient.

12 CO-CHAIR MERLINO: Moving on to
13 high priority. Any comments about high
14 priority?

15 People on the call?

16 Any questions?

17 CO-CHAIR PARTRIDGE: This
18 measurement is fundamental to the model of the
19 patient-centered medical home and to core aim.

20 CO-CHAIR MERLINO: Let's vote on
21 high priority.

22 MS. ALLEN: Voting on high

1 priority; 1 high, 2 moderate, 3 low, 4
2 insufficient. Voting starts now. All votes
3 are in. Sixteen high, one moderate, zero low,
4 zero insufficient.

5 DR. PACE: Okay. We're going to
6 move on to reliability. This includes precise
7 specifications if there are any questions
8 about that.

9 Basically the measures the
10 questions that comprise them were provided in
11 the Excel file data dictionary so you can
12 access that. Then I'll just make a few notes
13 about testing. We'll need to bring up
14 something here. Just a second.

15 So in the submission there is a
16 question I have for the developers. The
17 testing appears to be done for practice sites
18 but the specifications say individual
19 clinicians that it could be used at either
20 level.

21 Have you tested reliability in
22 terms of being able to get reliable at the

1 clinician level? Let me just clarify. Is the
2 testing that you provided for practice sites
3 versus individual clinicians?

4 DR. ZEMA: The testing that was
5 specified in the submission were practice site
6 level. And then the article that I forwarded
7 to you does have physician level results as
8 part of that published article.

9 DR. PACE: All right. So we'll
10 come to that when we get to performance score
11 levels. The first thing at the patient level
12 instrument that was individually submitted was
13 sent as an article that we'll bring up that
14 has the composite and level Cronbach's alpha.
15 Let me see where that's reported.

16 DR. ZEMA: You did hear from Allen
17 this morning that we typically don't calculate
18 that at the CAHPS level and perhaps Ron can
19 comment more. I sent an article where we
20 actually did do that level of calculation
21 because of the data set that we had.

22 DR. HAYS: Yeah. I would just say

1 that we will do both. The most important
2 indicator of reliability is at the site level
3 or, if you're interested at doctors, at the
4 doctor level, whatever the unit is you're
5 trying to compare.

6 DR. PACE: Right. We understand
7 that and NQF agrees but our current criteria
8 are to submit both for the evaluation to have
9 a reliable and valid instrument, as well the
10 computed performance score. Thanks for
11 submitting this.

12 I think -- can you just orient us
13 where this -- is this on page S16, the
14 Cronbach's alpha data?

15 DR. ZEMA: Right. So the table
16 that you're looking at, this is actually an
17 article where we were discussing the
18 development of the HIT supplemental item set.
19 But because of the concept of the core survey,
20 results for the core survey are also included.

21 Items that are not marked with HIT
22 are actually our core survey items and those

1 are items that are most relevant for you in
2 your discussion today.

3 DR. PACE: Right. What I'm
4 looking for is if you could point us to in
5 this article, since we're just looking at it,
6 the Cronbach's alpha for the scales versus the
7 item level information.

8 MEMBER BIERNER: If you look at
9 Table 4, physician level reliability, they
10 have an intraclass correlation co-efficient.

11 DR. PACE: That's the physician
12 level score.

13 MR. SHALLER: I think you just
14 jumped over -- there was some text that said
15 co-efficient alpha. If it says that, that
16 would be the individual patient level.

17 MS. BROWN: I think it said on
18 page 16 the co-efficient alpha was for various
19 composites.

20 DR. PACE: I think it's not in the
21 table. It's just the text here, reliability.

22 MS. BROWN: Right.

1 (Simultaneous speaking)

2 MR. SHALLER: There it is.

3 DR. PACE: All right.

4 MEMBER THOMAS: So then most of
5 them are over .70?

6 DR. PACE: Yes, it looks like
7 that.

8 MS. BROWN: And this is the rest
9 of that group just pointing out that in the
10 measure testing form that we submitted we did,
11 in fact, provide the patient level Cronbach's
12 alpha right above the site reliability table.
13 You've got both the individual level and site
14 level in this submission on pages 7 and 8 of
15 the measure testing form.

16 DR. PACE: Okay. Thank you.

17 MS. BROWN: That's it.

18 DR. PACE: Okay. So I think we're
19 okay on that. Let's go to the measure testing
20 form then for the practice site level,
21 2a2.3(c). Here we have the -- those were the
22 Cronbach's alpha. This table has the site

1 level reliability. You see the first two
2 columns are adult and you see the reliability
3 statistics there.

4 Then for the child you see the --
5 and this is for the computed performance score
6 at the site level. You said that you also did
7 reliability testing at the physician level
8 which is in the article that you submitted,
9 Table 4.

10 DR. ZEMA: Correct. That's Table
11 4 in the article.

12 DR. PACE: Okay. That seems fine.
13 Any questions?

14 CO-CHAIR MERLINO: Any comments
15 about reliability? The staff rated it
16 moderate to high overall.

17 MEMBER DOWDING: I was just
18 looking at the table in the paper. I wonder
19 if you could just comment on the reliability
20 for the shared decision making scale which
21 seems to be rather low.

22 MS. BROWN: Shared decision making

1 is not part of the core survey so it's not one
2 of the measures that's being considered for
3 you today. It's what we consider a
4 supplemental item.

5 MEMBER DOWDING: Okay. That
6 helps.

7 CO-CHAIR MERLINO: Any other
8 comments?

9 MEMBER THOMAS: Since this is the
10 patient committee, I'm going to bring just my
11 own perspective to this. This isn't about
12 evidence. This is a question for the
13 developers that may be pretty basic.

14 I've been to plenty of doctor's
15 offices and waited plenty of time and have
16 been exposed to some pretty rude people in
17 health care, yet I'm not sure I can say that
18 impacted the quality of my outcome in terms of
19 what is really important: my health status.
20 I'm just trying to correlate that. Is that
21 even a relevant observation or not so much?

22 DR. HAYS: No, it's relevant but I

1 think we would like to have both and we want
2 good outcomes and good technical quality of
3 care, but we also don't want our providers to
4 be rude. It's still something that is
5 important to patients.

6 MS. BROWN: And there have been
7 studies that look at communication and then
8 things like adherence and whether or not you
9 comply with your treatment plan and things
10 like that. There definitely are associations
11 there.

12 (Simultaneous speaking)

13 MEMBER LOEB: I have a question
14 and a comment. So are you referring to the
15 office staff being rude or the doctor being
16 rude?

17 MEMBER THOMAS: I was referring to
18 the office staff in my personal experience.
19 Again, I appreciate there's a connection
20 there. I'm just trying to get to the tie
21 between health status outcome and these
22 factors. I think it would be great if people

1 could treat you better and well and courteous,
2 but I'm just trying to make sure --

3 DR. HAYS: It's related to
4 patience adherence, for example.

5 MEMBER THOMAS: Okay. That's fair
6 enough.

7 MEMBER LOEB: I'm coming from the
8 opposite side in that I have refused to see
9 doctors at times because going to the office
10 was so painful because of the staff and
11 because of their lack of competency that I
12 will go to another physician.

13 MEMBER THOMAS: Just because of
14 that?

15 MEMBER LOEB: Because of that.

16 MEMBER THOMAS: Okay. That's
17 fair.

18 MEMBER LOEB: Yeah.

19 MEMBER THOMAS: Thank you. I
20 appreciate that.

21 MEMBER LEVINE: Or not go at all
22 for treatment there because it's so awful.

1 MEMBER CROSS: I would second that
2 and add a little bit to that conversation that
3 a lot of times it's the office staff or others
4 who are giving test results back to patients
5 occasionally, or answering the phone when
6 patients are calling to ask for that. Office
7 staff can certainly impact the willingness of
8 patients to continue to see the providers in
9 the office, so I do think it's important.

10 I want to just kind of add a
11 little bit. I know part of this falls under
12 usability in the future. I'm from a large
13 healthcare organization. We do use this
14 survey often, constantly, and we have
15 implemented multiple quality and process
16 improvement initiatives based on feedback that
17 we've gotten from these surveys.

18 It's really invaluable for us and
19 our organization. I would ask the developers
20 if they could just make one comment about
21 under the endorsement maintenance section.

22 I think someone mentioned this

1 earlier as well that there is kind of a new
2 part that's been added regarding overall
3 mental or emotional health. If you could
4 comment on that, I would like to hear your
5 rationale behind that.

6 DR. HAYS: That's primarily for
7 case-mix adjustment because we know that is
8 related to what patients say about their care
9 in a way that is not necessarily reflecting
10 the quality of care. I mean, it could be used
11 in other ways but the CAHPS team uses it to
12 adjust scores to make more fair comparisons
13 between plans.

14 DR. ZEMA: Just to add to provide
15 some history, we have always kind of known
16 that it's been a very strong case-mix adjuster
17 but we were actually asked by stakeholders to
18 take it out the Health Plan Survey because of
19 concerns with the way to improve and mental
20 health status so we took it out of the survey.

21 Recently as we talked to different
22 stakeholders, I think that stigma and that

1 fear has kind of gone away so we thought this
2 was the perfect opportunity with this version
3 update to add it back in.

4 MEMBER MORRISE: I just want to
5 make a couple points. One is I speak to
6 clinician groups regularly about patients and
7 families in case. We talk about touch points
8 relative to marketing as identified in the
9 marketing concept that the total experience is
10 impactful upon how one rates the overall
11 situation.

12 One aspect of the touch points of
13 that total experience can make it impact one's
14 ability to like the entire situation. They
15 may rate, for example, the physician five but
16 the poor treatment in the office may make the
17 overall situation be rated lower.

18 Indeed, physicians in a group
19 local to me are being paid based on their
20 satisfaction and they're frustrated because
21 they realize their satisfaction is impacted by
22 the office staff over which they have no

1 control.

2 Still, all of that's important in
3 the mix. Indeed, if a patient gets into a
4 room for the actual encounter, which is the
5 important part of the visit, that they are so
6 wound up by all of the factors that led up to
7 that, their ability to hear and interact with
8 the provider will be significantly impacted.

9 DR. PACE: Okay. So basically we
10 do have the reliability information for the
11 measures that are based on multi-item scales.
12 We have the inter-unit reliability for the
13 computed performance scores. Both of those
14 were provided in the form. The article for
15 validity was reasonable.

16 CO-CHAIR MERLINO: Why don't we
17 vote on reliability.

18 MS. ALLEN: Voting on reliability;
19 1 high, 2 moderate, 3 low, 4 insufficient.
20 Voting starts now. All votes are in. Sixteen
21 high, one moderate, zero low, zero
22 insufficient.

1 DR. PACE: Okay. So we'll move on
2 to validity. This is actually the one where
3 they had the practice site level in the form.
4 Then if we go to their article -- you can
5 bring that up -- I believe Table 5 is your
6 validity of the patient level measures. Is
7 that correct?

8 DR. ZEMA: Yes.

9 DR. PACE: So here they did a
10 correlation with those composite measures to
11 the overall rating of the doctor.

12 Karla, or one of your team, want
13 to run through any of these results?

14 MR. SHALLER: Well, the one thing
15 that stands out when we look at the global
16 rating is always that the doctor communication
17 is the main driver of that. But you can see
18 that there's significant associations, at
19 least bivariately, for all the composites that
20 are shown here including office staff so that
21 they are all somewhat important.

22 When you do the multivariate

1 results, then because of correlation among the
2 different measures, you do get some things
3 that stand out. Again, it's doctor
4 communication that is always the main driver.

5 DR. PACE: And these HIT ones are
6 not part of what is being submitted. Correct?

7 DR. ZEMA: Correct.

8 DR. PACE: Okay. And then in the
9 submission form --

10 Nadine, if you want to go to 2b2.3
11 for the performance level validity testing.

12 They did the same type of
13 correlation. Here you see the adult global
14 with the three measures. Then the child.
15 Wait, no. I think we'll just look at the
16 child global with the child measures.

17 Maybe you could comment on the two low ones,
18 the office staff and the child prevention.

19 MR. SHALLER: I guess in this case
20 it doesn't seem to make a difference in these
21 global ratings because they are so dominated
22 by communication anyway for children's care.

1 I'm not exactly sure why it's different, why
2 the office staff is less important in that
3 case but someone else might have an idea.

4 CO-CHAIR PARTRIDGE: I think
5 they're -- Nadine, can you move this up a
6 little bit? In the child there is a
7 prevention one, too, I think.

8 DR. PACE: At the end. Right
9 there.

10 CO-CHAIR PARTRIDGE: Yes. As I
11 read this admission, some of the rationale
12 behind those measures came from the
13 development team -- excuse me, came from the
14 provider community as you were working on
15 refining the child version. I wonder if you
16 could talk a little bit about the extent that
17 you had any parent involvement in those, the
18 development of those particular questions.

19 DR. ZEMA: So, again, I can give
20 you a little bit of history. The actual
21 impetus for the development or the extension
22 of the child composites actually came out of

1 the first NQF review where some of the
2 pediatric representatives said it is not
3 sufficient if you're talking about what should
4 be in a course survey and you're talking about
5 a pediatric survey to not have things that are
6 on development and prevention.

7 We had one item at that time that
8 kind of broadly was intended to capture it.
9 We went into development of these particular
10 topics in partnership with the American
11 Academy of Pediatrics, as well as a number of
12 other stakeholders. But the development of
13 these went through the typical CAHPS process
14 which involved extensive cognitive testing
15 with input from parents. The process for
16 development is very similar.

17 CO-CHAIR PARTRIDGE: Thank you.

18 MEMBER STILLE: I have a comment.
19 This is as much speculation as anything else
20 but discussion of preventive care and
21 development tends to be a subset of visits.
22 I mean, it's an important subset of visits but

1 it's all health maintenance visits as opposed
2 to sick visits and other things so that may
3 have some impact on it.

4 Also being a parent when I look at
5 the global rating of how I feel about my kid's
6 care, you know, development and prevention is
7 fine if they are not sick, but what I'm really
8 worried about is if they do get sick how good
9 is everything and how well does it work. That
10 may be why they load it a little bit less.

11 CO-CHAIR PARTRIDGE: Actually, in
12 terms of looking at whether or not you would
13 choose a pediatrician, you would look as a
14 parent at prevention and child development.

15 MEMBER STILLE: Right. You have
16 to.

17 CO-CHAIR PARTRIDGE: Because you
18 want to be sure they are paying attention to
19 it.

20 MEMBER STILLE: Right. So it's
21 important but it may not load as much on the
22 retrospective grade point.

1 CO-CHAIR MERLINO: Lisa.

2 Any other comments on validity?

3 DR. PACE: Before we move on we
4 should at least mention as part of validity,
5 remember we also look at case-mix adjustment.

6 Lee, I think you may have had a
7 question here.

8 CO-CHAIR PARTRIDGE: I think I'm a
9 little confused. Are we doing case-mixed
10 adjustment on these or not?

11 DR. ZEMA: In general we always
12 recommend that in the CAHPS database load
13 case-mixed adjustment on age, self-reported
14 health status, and education.

15 CO-CHAIR PARTRIDGE: But for
16 purposes of the measure, are we incorporating
17 the protocol that includes those
18 recommendation?

19 DR. ZEMA: Yes.

20 CO-CHAIR PARTRIDGE: Okay.

21 DR. PACE: Right. So we
22 definitely need to have a standard of what's

1 endorsed. It sounds like you are submitting
2 for endorsement a measure that would be case-
3 mixed adjusted. Correct?

4 DR. ZEMA: Yes.

5 DR. PACE: Okay.

6 CO-CHAIR MERLINO: Any other -- I
7 think we're good on validity then. Any other
8 questions or comments? Let's vote on
9 validity.

10 MS. ALLEN: Voting on validity; 1
11 high, 2 moderate, 3 low, 4 insufficient.
12 Voting starts now. All votes are in. Eleven
13 high, six moderate, zero low, zero
14 insufficient.

15 CO-CHAIR MERLINO: Feasibility.
16 Comments about feasibility.

17 MEMBER BIERNER: Can the
18 developers comment on the length of time it's
19 average to take this study? Have you
20 collected any data on that?

21 MS. BROWN: Sure. This is Julie
22 Brown. The adult core survey takes on average

1 less than 15 minutes to completes and the
2 child's version is slightly longer, closer to
3 17 or 18 but that's because there are more
4 items with those domains Chris was referencing
5 earlier.

6 MEMBER BRADLEY: In reading this,
7 this is a voluntarily reported instrument or
8 survey. I think there were some really good
9 comments in the information about how
10 sometimes you can't pick your providers
11 anymore and, you know, there's a lot of
12 insurance companies and payers are kind of
13 directing.

14 I'm just curious as to what is the
15 -- maybe the HCAHPS people can tell us -- what
16 do you foresee with this survey and how it's
17 to be used given some of the concerns that
18 were raised in the feasibility section? What
19 is really the intent on long-term use of this
20 survey?

21 MS. BROWN: This is Julie Brown.
22 I think Chris and others have commented that

1 the mission was to put this forward. As Dan
2 and others have mentioned, the database is
3 voluntary and there is a whole host of
4 different types of users that Dale can speak
5 to that this tool has been picked up for
6 statewide initiatives in multiple states,
7 among them Minnesota, Maine, and others.

8 CMS uses the tool for several
9 initiatives. There are individual health
10 systems, whether they are health plans or
11 multi-site specialty groups, or other types of
12 arrangements who use the tool for measuring
13 patient experience and quality.

14 I think while the uptake varies
15 with different groups, I think the key message
16 is the tool is out there, that the tool is
17 used, and that based on differing initiatives
18 and differing entities, it has different
19 levels of uptake.

20 MEMBER BIERNER: I can tell you
21 that in our large public hospital we've been
22 looking at this closely for over a million

1 ambulatory visits a year and throughout our
2 system looking at this.

3 DR. CROFTON: I think this might
4 be a good time to also clarify the different
5 roles that AHRQ has as a federal agency versus
6 CMS. AHRQ is really kind of the developer.
7 We do not implement the survey. We do not
8 have that role that CMS has as a payer.

9 As Julie mentioned, while we make
10 the instrument available, our real mission and
11 goal is to put a very rigorously developed
12 standardized survey out there that can be used
13 by various users. As Julie mentioned, many of
14 the users that have picked this up to
15 implement it.

16 MEMBER BRADLEY: I guess it kind
17 of goes back to the first question of the
18 national priority or the national goal. Is
19 there any indication CMS is looking to make
20 this available or mandatory for Medicare or
21 Medicaid?

22 CO-CHAIR MERLINO: Oh, clearly.

1 It's current mandatory for ACL participation.

2 I think it's --

3 DR. ZEMA: Correct. And it's also
4 the tool used for the physician quality of
5 reporting system initiative within CMS so
6 these data will appear in physician compare
7 and be publicly available.

8 CO-CHAIR MERLINO: Yeah, it's
9 currently on the march.

10 Any other questions about
11 feasibility? Let's vote.

12 MS. ALLEN: Voting on feasibility;
13 1 high, 2 moderate, 3 low, 4 insufficient.
14 Voting starts now. All votes are in.
15 Results; 15 high, two moderate, zero low, zero
16 insufficient.

17 CO-CHAIR MERLINO: Usability and
18 use.

19 CO-CHAIR PARTRIDGE: I think we
20 just answered that in the previous discussion
21 so we're ready to vote.

22 MS. ALLEN: Voting on usability

1 and use; 1 high, 2 moderate, 3 low, 4
2 insufficient information. Voting starts now.
3 All the votes are in. Results show 15 high,
4 two moderate, zero low, zero insufficient
5 information.

6 CO-CHAIR MERLINO: Finally,
7 overall suitability. Any comments? Should we
8 vote? Let's vote.

9 MS. ALLEN: Overall suitability
10 for endorsement for measure 0005 CAHPS
11 Clinical/Group Survey; 1 yes, 2 no. Voting
12 starts now. All votes are in. Results show
13 17 yes, zero no.

14 CO-CHAIR PARTRIDGE: Thank you,
15 CAHPS team. We'll move onto public comment.

16 MS. DONIAN: Yes, indeed.

17 Operator, please open up the lines
18 for public comment.

19 OPERATOR: At this time if you
20 would like to make a comment, please press *
21 then the number 1 on your telephone keypad.
22 There are no public comments at this time.

1 MS. DONIAN: Okay. Is there
2 anybody in the room who would like to make a
3 comment?

4 All right. Well then it is time
5 for lunch. We are about five minutes late so
6 let's reconvene at 12:35. Oh, then we'll take
7 until -- all right, sorry. We'll take until
8 1:00.

9 (Whereupon, the above-entitled
10 matter went off the record at 12:20 p.m. for
11 lunch and resumed at 12:59 p.m.)

12 MS. DORIAN: Okay. Operator, if
13 you could open the lines back up, please.

14 OPERATOR: All lines are open.

15 MS. DORIAN: Thank you. Okay.
16 Welcome back from lunch, everybody. I hope
17 you enjoyed it. We are about to consider
18 Measure 0006, CAHPS Health Plan Survey,
19 Version 5, Medicaid and Commercial. And I'll
20 just check to see if we have the developers on
21 the phone or in the room.

22 DR. CROFTON: Yes. This is

1 Chris Crofton. Julie Brown will lead this
2 discussion and there are a number of people:
3 Carla, Ron Hays, Dale Schaller and Joan Germay
4 (phonetic) from Westat to fill in and respond
5 to questions.

6 MS. DORIAN: Great. Welcome back.
7 And, Julie, if you wanted to go ahead and get
8 us started by introducing the measure?

9 MS. BROWN: Sure. Well, hello,
10 again, everyone. As with the measure we just
11 reviewed a short time ago, the CAHPS Clinician
12 and Group Measure, this measure is comprised
13 of some core domains that are common to all
14 CAHPS instruments at measures of access,
15 measures of doctor communication.

16 Because this is a health plan
17 instrument, this measure has some additional
18 measures about health plan customer service,
19 some more detailed information about ratings
20 of personal doctor, ratings of specialty care,
21 rating of healthcare in general, and rating of
22 the health plan.

1 This is part of the ambulatory
2 CAHPS instrument suite that Carla referenced
3 earlier this morning, and is actually the
4 first CAHPS ambulatory care instrument.

5 In part of our packet for
6 consideration and approval, we detailed some
7 updates and revisions to the measure. Again,
8 it's refinements of wording for improving the
9 measure, some movement of items from one
10 domain to the other, that is, you know,
11 focusing from all providers to a focal
12 provider that we call a personal doctor or
13 nurse.

14 Again, this instrument is designed
15 for use with commercially-insured and
16 publicly-insured patients. And I'll pause
17 there and see if Carla or anyone would like to
18 add anything or if there are questions from
19 the group.

20 MS. DORIAN: Okay. Great. Well,
21 we'll start with evidence then.

22 CO-CHAIR MERLINO: Any questions?

1 Comments? Becky? Now you have to ask a
2 question. Any thoughts?

3 MEMBER BIERNER: I just have a
4 quick question, on the question that talks
5 about specialists. I realize this is being
6 administered at a higher level like a health
7 plan. How did they identify or are they
8 trying to identify who that doctor refers to,
9 I mean if the question about specialists or
10 their personal doctor?

11 MS. BROWN: Great question.
12 Because, as you said, this is administered at
13 a higher level such as a health plan, in this
14 instrument, we've referenced the name of the
15 health plan to orient the patient to reporting
16 on the right plan and their experiences with
17 that plan, the personal doctor, which is a
18 provider asked about in the instrument, and
19 any experience with specialty care reference
20 providers identified by the patient.

21 That is, the patient is given a
22 definition of specialist care and then asked

1 if they've visited that provider or physician
2 who provides specialist care.

3 CO-CHAIR MERLINO: Chris?

4 MEMBER STILLE: Hey, Julie.

5 Chris Stille. Good to talk to you over the
6 phone. I'll see you in October. Kind of
7 naive-ish kind of question but I just want to
8 orient myself. I was wondering why, in health
9 plan level surveys, there are more detailed
10 things about how well your doctor communicates
11 with you. And I also saw that that doesn't
12 correlate quite as well to the local-level
13 measure. But what sort of a rationale for
14 putting those specific-level questions in
15 there?

16 MS. BROWN: The same questions are
17 in both the health plan instrument and the
18 clinician and group instrument. And,
19 actually, I think clinician and group has two
20 more items in their communication composite.
21 And that was driven by the early development
22 of CAHPS, what we saw in the literature.

1 But, in some respects, more
2 importantly, the feedback we got from patients
3 as to what made for positive and negative
4 experiences with their health plan. And
5 really the interpersonal communication with a
6 provider was a really important aspect of the
7 whole health plan experience for patients.

8 MEMBER STILLE: Okay.

9 DR. PACE: So, I think --

10 MS. BROWN: And I, too, look
11 forward to seeing you in October.

12 MEMBER STILLE: All right.

13 DR. PACE: So, I think, Chris,
14 that probably gets at, you know, the central
15 question here that we asked is --- because the
16 health plan is the measured entity. What can
17 the health plan do about the provider
18 communication?

19 MEMBER STILLE: Yes.

20 DR. PACE: I think that's what
21 you're getting at.

22 MEMBER STILLE: They can not have

1 doctors on their panel. But, yes, other than
2 that, I don't know.

3 DR. PACE: No, no, no.

4 CO-CHAIR PARTRIDGE: A health plan
5 can and they often do encourage some kind of
6 in-house training or workshop.

7 MEMBER STILLE: Okay.

8 CO-CHAIR PARTRIDGE: No.

9 CO-CHAIR MERLINO: Well, and
10 they're also starting to tie reimbursement to
11 performance. So, clearly, they have tools to
12 be able to enforce improvement.

13 MEMBER STILLE: Yes. I guess it's
14 sort of what level of detail.

15 CO-CHAIR MERLINO: Yes.

16 MEMBER STILLE: Okay.

17 CO-CHAIR MERLINO: Any other
18 comments about evidence? All right. Let's
19 vote on evidence and then we can move on. Go
20 ahead.

21 MS. ALLEN: We're voting on
22 evidence: 1) yes, 2) no. Voting starts now.

1 All votes are in: 15 yes, zero no.

2 CO-CHAIR MERLINO: Performance
3 gap?

4 DR. PACE: And I think, like the
5 last measure, this information wasn't in the
6 form but it's in that Excel file, the data
7 dictionary. So, you can look at it there, if
8 there were any questions? You need to enlarge
9 that some and is there a tab that says 1b2?
10 Yes.

11 So, I think the mean performance
12 generally was in the 50 to 70 percent range.
13 No, 1b2 is what we're on. And the inter-
14 quartile range for most was ten points or
15 less. That's between the 25th and 75th
16 percentile. So, any questions for the
17 developer regarding this?

18 CO-CHAIR MERLINO: Shall we vote?
19 No.

20 DR. PACE: Yes. They provided the
21 adult and the child. If you scroll down,
22 there's the child ones. Okay.

1 CO-CHAIR MERLINO: Any comments?

2 Let's vote on Performance CAHPS.

3 MS. ALLEN: Voting on Performance
4 CAHPS: 1) high, 2) moderate, 3) low, 4)
5 insufficient. Voting starts now. All votes
6 are in. Results: eight high, seven moderate,
7 zero low, zero insufficient.

8 CO-CHAIR MERLINO: High priority.
9 Any comments?

10 CO-CHAIR PARTRIDGE: In the world
11 of the ACA, when more and more people have to
12 make choices among health plans on their own,
13 as opposed to obtaining through your employer,
14 I think this measure is very important.

15 CO-CHAIR MERLINO: To the
16 developers, what was the most significant
17 finding out of the focus groups you did that
18 patients or families were concerned about?

19 MS. BROWN: There were so many. I
20 think wait time was something that still
21 resonates with me from the early focus groups.
22 I welcome Lee or Dale or anyone else's

1 reactions. Kind of the importance of having
2 someone that the patients defined, the survey
3 reference was personal doctor. That's a term
4 that came from some of the focus group work.

5 And, so, it was really the
6 importance for the patient of having this
7 provider with whom they could really establish
8 a relationship and have continuity of care.
9 And kind of the importance of that person in
10 how they experienced the health plan and their
11 ability to navigate the plan.

12 MR. SHALLER: This is Dale. I
13 would support everything Julie just said. And
14 we've done numerous focus groups over the
15 years for CAHPS survey development and
16 communication consistently rises to the top of
17 the aspects or domains that patients and
18 consumers seem to be most interested in and
19 how that relates not only to the personal
20 provider like Julie was just describing but in
21 the context of the health plan survey, getting
22 information and communication support with the

1 health plan itself, which, you know, kind of
2 distinguishes this from the CG-CAHPS
3 instruments.

4 CO-CHAIR MERLINO: Any comments
5 about high priority thoughts? I think we can
6 vote.

7 MS. ALLEN: Voting on high
8 priority: 1) high, 2) moderate, 3) low, 4)
9 insufficient. Voting starts now. All votes
10 are in. Results: 14 high, one moderate, zero
11 low, zero insufficient.

12 DR. PACE: All right. So, this
13 includes reliability. We talked about measure
14 specifications. Is there any questions or
15 comments on that and, then, we'll look at the
16 reliability testing. So, I think, just in
17 terms of the specifications, this has come up
18 before. But in a couple places the
19 specifications say recommend and, so, we need
20 to be clear on what you are asking to be
21 endorsed.

22 So, you indicated recommend top

1 box scoring. But is that the way you're
2 suggesting this be specified in your testing
3 results? I'll ask the others, too, because
4 then there's an area where you say some users
5 exclude proxy responses. So, the question is,
6 are proxy responses allowed or not? And,
7 then, again, recommending case mix adjustment.

8 So, in terms of a standard that
9 you're asking NQF, that you're wanting NQF
10 endorsement, what are you suggesting as the
11 standard?

12 DR. ZEMA: So, this is Carla. We
13 are recommending top box for scoring. Proxy
14 responses are not --- will be excluded and
15 case mix adjustment will be, you know, for our
16 submission for this measure.

17 As you talked about what's the
18 trial in each CAHPS, one of the things that's
19 unique about CAHPS is we always do reports-
20 label testing or, you know, they called it
21 end-user testing.

22 And, so, a lot of that, in

1 thinking about public reporting, drives a lot
2 of what we do. So, there certainly is a
3 rationale for wanting, you know, there are
4 advantages for using mean scoring and things
5 that you see throughout that as well.

6 But given that CAHPS measures are
7 so frequently public reported and we know that
8 top box reporting is more meaningful to
9 consumers and patients for which these
10 audiences are, we are recommending top box
11 scoring at this time.

12 CO-CHAIR PARTRIDGE: Okay. So,
13 the answer to all three is yes. And the case
14 mix adjustment?

15 MEMBER THOMAS: I don't quite
16 understand the concept?

17 CO-CHAIR PARTRIDGE: Okay.

18 MEMBER THOMAS: We recommend top
19 box scoring. I just don't understand that.
20 Was that your question? I'm sorry.

21 CO-CHAIR PARTRIDGE: As I
22 understood the response, what they are asking

1 is that we endorse that measure with the
2 specification of top box scoring, no proxies
3 and incorporating case mix adjustment. Am I
4 right?

5 DR. ZEMA: Correct.

6 MEMBER THOMAS: Okay. Thank you.

7 DR. PACE: That's their
8 terminology for basing the performance measure
9 on the highest rating. Like, if it's a scale
10 of four possible responses, the performance
11 measure is based on the top response or the
12 most positive.

13 MEMBER BIERNER: I wanted to ask
14 about the response for global on the rating of
15 specialist. It's substantially lower. It
16 looks like it's low for child as well as
17 adult. Is that due to just a smaller
18 response, the numbers of respondents was
19 smaller or why?

20 MR. SHALLER: You're talking about
21 reliability I am assuming, right?

22 MEMBER BIERNER: Yes.

1 MR. SHALLER: Yes. Fewer
2 respondents, because the reliability is driven
3 by the number of responses you get, at the
4 health plan level.

5 DR. PACE: So, let's continue on
6 talking about the reliability and validity at
7 the patient level instrument. The Cronbach's
8 alphas were provided for those measures that
9 are based on multi-item scales, in two tables.
10 And for the adult, there's three of them below
11 .7: getting needed care composite, getting
12 care quickly, and health plan info and
13 customer service composite.

14 And, then, for the child, three of
15 them, also. So, if you want to make a
16 statement about that, in terms of the patient-
17 level instruments?

18 MS. BROWN: I believe Carla sent a
19 couple of follow-up articles to get at patient
20 level of reliability and validity.

21 DR. PACE: Well, you provided the
22 patient level in the submission form. That's

1 what I'm referring to. If you're on the
2 webinar --

3 MS. BROWN: Yes. I see it now.

4 DR. PACE: Okay.

5 MS. BROWN: Lee or Dale, anything?

6 DR. HAYS: Do you want us just to
7 comment on the size of those?

8 DR. PACE: Yes, just I mean, you
9 know, a rule of thumb a lot of people use is
10 .7 or higher. And, so --

11 DR. HAYS: A rule of thumb is
12 really, if you wanted to know the individual
13 score, again --

14 DR. PACE: Right.

15 DR. HAYS: -- CAHPS is not an
16 individual measure. It's a plan-level measure
17 in this case. So, this is useful but it's
18 only preliminary and the most important thing
19 is you have enough responses to get
20 reliability at the health plan level.

21 DR. PACE: Okay.

22 DR. HAYS: So this -- you know, we

1 typically will find some composites, because
2 we have so few items, are going to have
3 reliability that's not .70. But we're not
4 using them for that purpose. So, that rule of
5 thumb is really not something you should
6 follow strictly.

7 DR. PACE: Okay. So, then, we can
8 move on to the table, where they provided the
9 performance score reliability at the plan
10 level. And you see the adult and the child.
11 And I think the one that came up on the work
12 group calls before was the rating of the
13 specialist for the adult and the child.

14 Wait. Did you move? Yes, there
15 it is. So, you reported a plan-level
16 reliability of .45 and .33 for the rating of
17 specialists?

18 DR. HAYS: Yes. You would
19 definitely want a larger sample to get
20 reliability that's adequate there than we have
21 in these data. So, we can Spearman-Brown up
22 what the sample size needs to be. But, you

1 know, with 173, you're not getting reliability
2 that you'd really like.

3 DR. PACE: Okay.

4 CO-CHAIR MERLINO: Any other
5 questions on reliability? Becky? Sorry.

6 MEMBER BRADLEY: I was curious as
7 to, in one of your exclusions, you mentioned
8 if another member of the household has already
9 been sampled then that would be an exclusion.
10 And it just seems like they could have a very
11 different experience and even see different
12 providers. So, I was curious as to why you
13 made that decision to exclude members of the
14 same household?

15 MS. BROWN: Yes. Some of our
16 developmental work, we found that there was a
17 high correlation between the information
18 provided by multiple members of the same
19 household. In particular, if you were asking
20 a parent to report on their own experience or
21 an adult to report on their own experience
22 and, then, also asking the household to

1 complete a survey about the experience of a
2 child, that's one example but similar examples
3 were found when we asked for information from
4 two adults within a household.

5 CO-CHAIR MERLINO: Any other
6 questions or comments?

7 DR. PACE: I think that, on the
8 rating of the specialist, when you recommend
9 the 300 sample, correct, for the survey is
10 that --

11 MR. SHALLER: As a minimum, yes.

12 DR. PACE: Right. So, when you
13 have a situation where, you know, one of the
14 measures is less frequent, do you break that
15 out in terms of how you report or what the
16 recommendation is? Is it measure by measure
17 or it is for the total?

18 MR. SHALLER: You would report it
19 separately. In this case, you would typically
20 have a note about the reliability. If it
21 turned out you have this sample size and this
22 level of reliability, there would be a

1 cautionary note about that.

2 CO-CHAIR MERLINO: Okay.

3 DR. PACE: Thank you.

4 DR. HAYS: And this all goes back
5 to, you know, the choices that individual
6 sponsors of public reports would make on their
7 own. You know, I think it's noted in earlier
8 discussions, the CAHPS team does make
9 recommendations related to guidance on
10 reporting.

11 But what's actually posted in any
12 given website or report, you know, on the
13 performance of comparative health plans, is
14 really the decision of the reporter. And, so,
15 we would hope that they would abide by this
16 10.70 we are referring just to, you know,
17 assure the accuracy or the comparability of
18 the information. But those are decisions that
19 are made outside of the CAHPS consortium.

20 CO-CHAIR MERLINO: Okay. Any
21 other questions?

22 MEMBER BIERNER: I just want to

1 ask, since you're explanation about this
2 specialist issue was number size, if you look
3 at the top of the box there for health plans,
4 on customer service, you had fewer in but
5 still had substantially higher numbers. So,
6 it's not just the number of respondents.

7 MR. SHALLER: No. That's one
8 factor. The other factor is how much patients
9 are agreeing and what they say about the thing
10 they're rating. So, they're agreeing more
11 about the customer service than they are about
12 specialty care, on average.

13 DR. ZEMA: There's greater
14 variation and experience with specialists than
15 with customer service.

16 MR. SHALLER: Yes, within a plan
17 though.

18 DR. ZEMA: Yes.

19 MEMBER LINDBERG: Yes. I wanted to
20 get at that same point and just ask you,
21 Karen, if you could just say a little bit more
22 about the other reasons that that number may

1 be low for reliability and for that particular
2 question and, if you have any particular
3 thought about why it is, aside from the sheer
4 number.

5 DR. PACE: Right. Definitely
6 sample size and variability between and within
7 plans affects the reliability number. And I
8 think, you know, they're right that, you know,
9 greater numbers would make that more reliable
10 and that's something that they recommend in
11 terms of using it, using the measure.

12 So, you know, this is the kind of
13 information we would want reported for your
14 evaluation. And, unfortunately, with NQF
15 endorsement, we also have limitations of
16 implementation.

17 So, NQF endorses the performance
18 measures but we don't say in what way they can
19 be used. Though, obviously, all these
20 specifications would go with this endorsed
21 measure, which indicates, you know, the sample
22 sizes to get adequate reliability in those

1 kinds of distinction.

2 Just one question though. I'm
3 curious of why the health plan info and
4 customer service, there would be fewer
5 respondents. It seems like that would be one
6 that people would be interested in responding
7 to.

8 MS. BROWN: I think one of the
9 things you may have heard during the
10 discussion of HCAHPS or something that's
11 important to remember is that CAHPS uses
12 screening questions that ask about specific
13 experiences.

14 So, within the prior 12 months, if
15 the patient had no reached out to customer
16 service to request information or to ask for
17 help or had an interaction with customer
18 service, then they wouldn't have experience to
19 report on interacting with customer service.

20 CO-CHAIR MERLINO: Any other
21 questions about reliability?

22 MEMBER BEVANS: Just a note about

1 the process or a question about the process.
2 Given that it seems that the sample size may
3 be contributing to this lower indicator
4 reliability for the rating of the specialists,
5 I mean is this an instance of insufficient
6 information here?

7 Because, you know, I guess it also
8 gets at these are separate measures. We are
9 being asked to endorse the measure, at-large,
10 but there is one that, you know, for which
11 there is not potentially sufficient
12 information. So, I'm wondering how you
13 recommend we move forth with that?

14 DR. PACE: As we talked about
15 yesterday, you know, if the Committee wants to
16 pull the individual measures out for separate
17 consideration, you can. I think the
18 explanation that was given, that there are
19 ways to estimate what the reliability would be
20 if the sample size were up to the 300
21 recommended.

22 And I don't know if the developers

1 have done that or could do that. But that
2 makes sense that I think it would be adequate
3 reliability. But I'll ask the developers if
4 they want to make any additional comments on
5 that.

6 MR. SHALLER: I was just going to
7 say that's right. We often will have that
8 information, number of responses needed to
9 obtain adequate reliability. So, if people
10 want to report these measures like
11 incorporating specialists, they need to make
12 sure they get enough sample to do that.

13 DR. ZEMA: And I think also
14 because CAHPS surveys are so dependent in the
15 development process on things that here
16 enrollees have told us and frequenters have
17 told us is really important, sometimes we make
18 tradeoffs on what we see on the psychometrics
19 because this is just such a critical area that
20 we've been told absolutely needs to be in the
21 survey.

22 So, we put it in the survey. We

1 put the caveats around. You know, if you
2 really want to report this measure, you may
3 need to increase your sample sizes.

4 DR. PACE: But given that, it
5 really is totally up to the Committee, if you
6 want to pull any of these out.

7 CO-CHAIR MERLINO: Anybody else?

8 MEMBER BIERNER: I feel, if you
9 look at your table 2b2.3, your global rating
10 of specialists numbers are insignificant. So,
11 I mean my worry is this is going to be used by
12 health plans and it has potential penalties or
13 potential adverse effects to healthcare
14 providers in their system.

15 So, it seems like the specialists
16 global rating or any of these specialist
17 ratings don't hold up as well as a lot of your
18 others. So, in my opinion, I don't see why it
19 should be included in that survey. Because I
20 don't think the health plans are going to go
21 to all the statistical trouble to outline that
22 they could do. I don't know that they will.

1 CO-CHAIR PARTRIDGE: If I were the
2 CEO of a health plan, I would certainly be
3 concerned about the extent to which my members
4 were happy with access to or with the
5 specialist to whom their primary caregiver
6 referred them. So, I'm not sure, Sam, that
7 they would disregard this one. I think what
8 you're concerned about is that they might get
9 bad information.

10 MEMBER BIERNER: Right. Exactly.
11 I'm afraid that they will use this and that it
12 will have an adverse impact on specialists.
13 But it's not really that reliable or useful
14 for that purpose, and they're not going to go
15 to a lot of statistical trouble to tease it
16 out for us.

17 MR. SHALLER: Well, the end
18 result, if they use it, is that they probably
19 will not see differences, because the standard
20 error is so large, compared to the desired
21 level. So, the problem is more that it just
22 won't have any discrimination between plans.

1 Like, for example, Medicare, when
2 they do it, you know, you do get a statistical
3 test of whether a plan is different from other
4 plans and you'll just have a lot of plans that
5 are not different if you have low reliability.

6 CO-CHAIR MERLINO: Chris, is there
7 any insight from cognitive testing or any sort
8 of other qualitative work about why the
9 specialist questions didn't go so well with
10 that?

11 MS. BROWN: Well, I think, and Ron
12 can correct me if I'm wrong, this is Julie.
13 This is a function of the sample we had
14 available to us at the time that we did the
15 submission. So, I think something to keep in
16 mind is that, depending upon the part of the
17 population, you're going to see differences in
18 the proportion of the respondents who have
19 specialist experience to speak to.

20 So, one example is, if one is
21 dealing with a population of individuals with
22 chronic conditions. They tend to have more

1 specialist experience to report to. Or older
2 populations, such as Medicare, they tend to
3 have more interaction with specialists to
4 report on.

5 In terms of the formative work,
6 you know, access to specialists was something
7 that was important for patients when we were
8 doing the developmental work for the health
9 plan and the extent to which the health plan
10 promotes or inhibits someone's access to
11 specialists was an important aspect of
12 experience.

13 In terms of consumer testing, we
14 have a good sense that the patients are
15 accurately identifying the types of providers
16 that one perceives as specialist care. And,
17 again, you know, not related to positive
18 testing I think as Ron touched on, the overall
19 reliability may not be high depending upon the
20 plan and the sample that's drawn.

21 So, one may not see differences
22 between plans but the top box scores are still

1 there and are always there and I think those
2 provide some very useful information to inform
3 improvement and outreach.

4 CO-CHAIR MERLINO: Sarah?

5 MS. SAMPSEL: So, I'll just make a
6 couple of comments because I was with
7 WellPoint for a number of years and, then, was
8 also with NCQA. So, understand how plans are
9 using this. And this is more globally about
10 the CAHPS overall. But, then, when you get
11 into the specific questions and, you know, I
12 think the data that's being provided certainly
13 is from a smaller sample than you're typically
14 seeing across the nation, when NCQA does their
15 public reporting of these metrics.

16 And, in that case, what happened
17 with the health plan was they would contract
18 with a vendor to do their CAHPS survey. In
19 order to report publicly on any of these
20 results down to the measure level, they have
21 to have a certain sample size.

22 So, if they had a 138 or a 173 or

1 those low numbers, a plan would not report
2 that publicly. But, to the same degree,
3 another plan would. So, you would have Blue
4 Cross the Blue Shields, the others that would.

5 So, there might be some
6 variability on the number of plans who then
7 report it. But I would say the plans are
8 using this information and they do do
9 statistical testing as well as NCQA does
10 before releasing any results publicly for the
11 health plans.

12 CO-CHAIR MERLINO: Any other
13 thoughts on reliability? I think we should
14 vote.

15 CO-CHAIR PARTRIDGE: Sam, are you
16 comfortable with not pulling this out for a
17 separate vote?

18 MEMBER BIERNER: Yes.

19 CO-CHAIR PARTRIDGE: You are?
20 You're sure? Okay.

21 MEMBER BIERNER: I don't like it,
22 but, you know, I'm willing to leave it in.

1 CO-CHAIR MERLINO: Okay. Let's
2 vote.

3 MS. ALLEN: Voting on reliability:
4 1) high, 2) moderate, 3) low, 4) insufficient.
5 Voting starts now. Please vote again. All
6 votes are in: three high, 11 moderate, zero
7 low, one insufficient.

8 CO-CHAIR MERLINO: Validity.

9 DR. PACE: Okay. So, on validity
10 testing, we'll go to the 2b2.3. These are
11 plan-level correlations of the composites in
12 global readings for the health plan. And
13 you'll see that there's adult and child within
14 here.

15 So, this is the plan level, and I
16 wasn't sure if this composite inter-
17 correlations was your patient level composite
18 validity testing or if that was somewhere
19 else. So, let's look at the plan level first
20 and, then we'll come back to the question of
21 whether they had anything on the patient
22 level.

1 So, I think, if you look at this,
2 as Sam pointed out, the global rating of
3 specialists is lower. On the adult, it's just
4 in the one area correlated to health plan
5 service, .2. It's in the child one that it's
6 low and non-significant. But that's probably
7 even smaller numbers. Sam, did you want to
8 say anything else about that?

9 MEMBER BIERNER: No. I think I
10 already stated why I was concerned about it
11 earlier.

12 DR. PACE: So, CAHPS people, do
13 you want to point out anything about any of
14 these other low numbers? The global rating of
15 health plan seems to be low correlation with
16 getting care quickly or doctor communication,
17 mainly in the child I guess. Do you think
18 that's mostly a function of the numbers?

19 MS. BROWN: Ron or Dale?

20 DR. HAYS: I wouldn't think it's
21 necessarily related to the numbers.

22 DR. PACE: Okay.

1 DR. HAYS: The global rating of
2 specialty care, again, in a child, those are
3 non-significant correlations. Those are the
4 only ones I'd really think that I'd be
5 thinking about, you know, why that's the case.
6 And it could reflect some of the unreliability
7 of the measure.

8 It also could reflect differences
9 in child care. I'm not the one to say but
10 whether specialty care is more important to
11 adults and that's why we seem to consistently
12 see global rating of specialty care as more
13 strongly related to the CAHPS composites in
14 adults than children. But this unreliability
15 could be part of it.

16 DR. PACE: Right. And just in
17 terms of some of the discussion you had
18 earlier about the areas of interest, this
19 getting care quickly and doctor communication
20 are low correlations to the rating of the
21 health plan at least in the child. Any
22 thoughts about that?

1 DR. HAYS: Well, we always see
2 doctor communication most highly related to
3 the global rating of the doctor.

4 DR. PACE: Okay.

5 DR. HAYS: And that, actually, is
6 something that we would hypothesize that
7 provides kind of support for construct
8 validity. I don't know which correlation
9 you're on right now, in terms of saying it's
10 low because I think we're somewhere else. I
11 can't see the heading here.

12 DR. PACE: Would you go now to the
13 child, global health.

14 DR. HAYS: Which one's the child,
15 which number are you looking at?

16 DR. PACE: The last row of the
17 2b2.3, global rating of health plan. And, if
18 you look at the correlation with getting care
19 quickly, it's 0.17. Correlation with doctor
20 communication is 0.17.

21 DR. HAYS: Well, I mean in a way
22 it's discriminate validity because it's

1 significantly related to access and to health
2 plan customer service, which are the two that
3 you would hope you'd have strong relationships
4 with.

5 DR. PACE: Okay.

6 DR. HAYS: So, the fact that it's
7 not significantly related to the other two,
8 that may be a little surprising. But, at
9 least, you know, there's the differential
10 association you'd expect. I mean getting care
11 quickly could be more of an office function.
12 So, global rating of the plan itself should be
13 most related to plan-related functions.

14 DR. PACE: Okay. And did you
15 provide anything on the patient level
16 instrument on these validities, Table 2d1.2,
17 those intercorrelations. Is that at the
18 health plan level or was that at the
19 instrument level?

20 PARTICIPANT: This is the Westat
21 crew. That is at the plan level. Table 2d1.2
22 is at the plan level.

1 DR. PACE: Okay.

2 MEMBER STILLE: Yes. I think I
3 can maybe provide a little insight as to the
4 differences with the child plan, especially
5 with specialists. I was looking at this.
6 It's a Medicaid sample.

7 Most pediatric subspecialists are
8 located within academic medical centers. So,
9 I think the difference in access to care for
10 kids between primary care and specialty care
11 is probably not as great because most academic
12 medical centers accept Medicaid.

13 So, I'm just thinking that that
14 might have something to do with it, that the
15 relationship between the specialist and the
16 plan isn't quite as strong. It's mostly
17 speculative, but I'd be surprised if there
18 wasn't some truth in it. It doesn't make the
19 measure any less valid.

20 DR. PACE: So, CAHPS team, do you
21 have anything to say about the instrument
22 level validity?

1 MR. SHALLER: Patient level?

2 DR. PACE: Yes, patient level.
3 Yes. Sorry.

4 MR. SHALLER: I also submitted
5 that I know we do have some other documents
6 that were sent by email that do have patient-
7 level analyses.

8 MS. BROWN: Yes. This is
9 Julie Brown. I mentioned this earlier but at
10 the wrong point in the discussion. We started
11 the session or shortly after we started I
12 believe Carla Zema or, perhaps, the Westat
13 team circulated two articles.

14 DR. PACE: I thought we only got
15 one article.

16 CO-CHAIR PARTRIDGE: Just one.

17 MS. BROWN: That was for the last
18 one. But I just recently emailed to Lauralei
19 the two articles or the health plan
20 submission.

21 CO-CHAIR PARTRIDGE: I do see --

22 MS. BROWN: One is by Zhang and

1 one is by Hargreaves.

2 CO-CHAIR PARTRIDGE: Okay.

3 DR. PACE: Okay. So, can one of
4 you kind of summarize for these for us, so
5 that we can actually have the Committee vote?

6 DR. HAYS: Well, is Lee on the
7 call?

8 MS. BROWN: I don't believe he is,
9 Ron.

10 DR. HAYS: Well, in his article,
11 there's a Table 5 that's a regression of the
12 CAHPS global rating items on the composites at
13 the individual patient level. This is from
14 the National CAHPS Benchmarking Database,
15 which is what it was called when this paper
16 was written.

17 And, so, you see standardized
18 regression coefficients. And the largest
19 predictor of the global rating of the doctor
20 or nurse, you know, the provider is
21 communication, just like we see consistently,
22 whether we do it at the patient level or the

1 plan level.

2 And that communication scale is
3 also the largest predictor of the global
4 rating of specialty care and of all
5 healthcare. And, when you look at the health
6 plan, what you see as the biggest predictor is
7 customer service and second is getting needed
8 care.

9 So, I think, overall, they kind of
10 are consistent with these results here at the
11 plan level, in terms of associations. And the
12 other document I think is also consistent. It
13 reports correlations among the composites and
14 the global ratings and, again, doctor
15 communication is most highly correlated with
16 things about healthcare and the provider's
17 care, global assessments.

18 DR. PACE: Okay. Does anyone want
19 to delve into this further? Should we try to
20 bring this --- Table 5 you said?

21 DR. HAYS: Yes.

22 DR. PACE: We'll bring it up.

1 MEMBER BEVANS: This is for adults
2 only.

3 DR. PACE: This is the --

4 MEMBER BEVANS: Adults.

5 DR. PACE: CAHPS group, is this
6 adults only?

7 DR. HAYS: Yes.

8 DR. PACE: Okay. So, could you
9 just orient us to this table again? In the
10 first column we have the --

11 DR. HAYS: Yes. The composite
12 there, in the first column, the global rating
13 items are along the top, starting with the
14 personal doctor, then specialty, then all
15 healthcare, then plan. Those are the four
16 global ratings that were in this analysis.

17 So, the bigger the number in
18 there, in those four columns that are
19 referring to the global rating, those are the
20 standardized regression coefficients. So, the
21 bigger the coefficient, the higher the
22 association uniquely with that global rating.

1 So, it has .29 for doctors'
2 communication with a global rating of the
3 doctor or nurse. And, then, if you go over
4 across, .17 is the highest coefficient in the
5 column for specialty care. Yes. And, then,
6 if you go over one more, .38 is the highest
7 standardized regression coefficient.

8 So, communication is very
9 important to anything that has to do with
10 care. And, then, when you switch to the plan,
11 the biggest coefficient is .42 for customer
12 service and, then, .28 for getting needed care
13 or access to care.

14 So, that's pretty consistent with
15 what we're seeing at the plan level and
16 everything else that we've ever looked at.

17 DR. PACE: Okay. Yes. So, under
18 validity, we also talked about threats to
19 validity, whether there's issues with
20 exclusions, case mix adjustment, et cetera.

21 CO-CHAIR PARTRIDGE: I just wanted
22 to go over, so that we're sure we know what

1 we're endorsing here, that the risk adjusters
2 include your health status, your age, your
3 educational level and, also, mental health
4 status. Am I correct?

5 MS. BROWN: Correct.

6 CO-CHAIR PARTRIDGE: Okay.
7 Katherine?

8 MEMBER BEVANS: I'm sorry if I
9 misunderstood. But I don't think we've seen
10 the individual level validity indicators for
11 children.

12 CO-CHAIR PARTRIDGE: That's
13 correct.

14 DR. PACE: That's right. Are the
15 child measures in that same article or in the
16 other ---

17 MS. BROWN: The information that
18 we sent you was based on the adult surveys.

19 DR. PACE: Okay. So --

20 CO-CHAIR PARTRIDGE: Julie, do you
21 have the child?

22 MS. BROWN: I apologize and,

1 Westat, correct me if I'm wrong. I think we
2 can probably identify something after the
3 call. I think it kind of speaks to our not
4 fully understanding when we submitted this
5 application that those were needed and how
6 CAHPS is used in general.

7 MEMBER BEVANS: I think we have
8 some accruing evidence here that there are
9 some real differences here between --- at
10 least with respect to validity between the
11 adult and the child measure.

12 Currently, we're being asked to
13 review this as both, right? Is there a way to
14 break them out and, you know, suggest that we,
15 you know, perhaps vote separately on the child
16 and adult measure?

17 DR. PACE: Yes, that's possible
18 and we can have a discussion about that, in
19 terms of if people want to do that. In terms
20 of the validity information, you know, I think
21 it's not as critical that we don't have the
22 scale level, if we have the performance

1 measure level.

2 It's when we had the reverse
3 situation of only having the patient level and
4 not having the performance measure. But, when
5 we look at the table of the performance
6 measure level, that's where you've had some
7 concerns. So, do you want to propose that to
8 the group and see what others think about
9 that?

10 DR. HAYS: Chris?

11 MEMBER STILLE: I think it would
12 be good to try and take a look at them
13 separately. I don't see it as a huge threat,
14 but it wouldn't hurt to have a little bit of
15 separate information.

16 CO-CHAIR MERLINO: Anybody else?

17 DR. PACE: Why don't we maybe just
18 do a hand vote to see if people want to vote
19 on them separately? And, then, we can
20 proceed. Would that be okay?

21 CO-CHAIR PARTRIDGE: I suggest we
22 split the measure into two parts, adult --

1 DR. PACE: Right. In terms of
2 your voting, right.

3 CO-CHAIR PARTRIDGE: Yes.

4 DR. PACE: If we do it on this
5 one, then we will carry it through.

6 CO-CHAIR PARTRIDGE: Okay.

7 MEMBER STILLE: Are there any
8 concerns other than reliability of validity?

9 CO-CHAIR MERLINO: Not that
10 anybody raised, no.

11 DR. PACE: So, I guess, without
12 validity, you're right, it's probably not an
13 issue for feasibility and usability. We've
14 already done reliability. So, the question is
15 whether you want to break them out on the
16 validity question?

17 MEMBER BEVANS: Yes. I mean my
18 concern I guess is with respect to the global
19 rating of the specialists, in particular, and
20 the global rating of the health plan. I feel
21 like no one, so far, has been able to provide
22 a compelling reason as to why those

1 correlations would be so different in the
2 child sample as opposed to the adult sample.

3 If there is a reason and it seems
4 justified, then I think that would be a fine
5 indicator of validity. It's just that I'm not
6 quite sure if anyone has a proposal as to why
7 they expect a hypothesis about why they might
8 expect that to happen, then, I think we
9 should discuss that.

10 MEMBER STILLE: Other than my
11 speculations, which are based on no evidence
12 I know of other than personal experience.

13 CO-CHAIR MERLINO: Okay. Let's
14 break it out.

15 DR. PACE: So, yes, why don't we
16 just see, those who would like to vote on
17 validity separately for the adult measures
18 versus the child measures, indicate by raising
19 your hand.

20 We'll proceed with voting on
21 validity separately for the adult and the
22 child measures. So, why don't we use this for

1 the adult? We just have to figure out the
2 logistics here, and then we'll just reset it.
3 We'll just have the hand report. So, let's go
4 ahead. This would be the validity for the
5 adult measure set.

6 MS. ALLEN: We're voting on
7 validity for the adult measure set: 1) high,
8 2) moderate, 3) low, 4) insufficient. Voting
9 starts now. All votes are in: seven high,
10 eight moderate, zero low, zero insufficient.

11 DR. PACE: So, now, Nadine, we'll
12 reset this. We're going to reset this and we
13 will now vote on the child measure set under
14 CAHPS survey.

15 MS. ALLEN: We are voting on
16 validity for the child measure set: 1) high,
17 2) moderate, 3) low, 4) insufficient. Voting
18 starts now. All votes are in: one high, two
19 moderate, six low, six insufficient.

20 DR. PACE: Okay. So, those do not
21 cast validity and we can work with the CAHPS
22 team to see if there's anything additional

1 they can submit during the comment period that
2 you may want to consider this.

3 But we'll move on then to
4 discussion feasibility and usability and we'll
5 figure this out when we have a talk with the
6 CAHPS folks.

7 CO-CHAIR MERLINO: So, CAHPS team,
8 I don't understand how this gets implemented
9 exactly.

10 DR. PACE: Well, you know, this is
11 the first phase of recommendations. So, this
12 will go out for public comment. During the
13 comment period, the CAHPS team can come back
14 to us, if they have some additional data for
15 us to consider that we can take a look at.

16 Oh feasibility, you mean, how to
17 go forward, why don't you --- I think that
18 people said they didn't think there would be
19 that much difference between the child and
20 adult on feasibility. I don't think we need
21 to separate them out. Okay?

22 PARTICIPANT: What is the

1 question?

2 CO-CHAIR MERLINO: How does the
3 survey get used?

4 MS. BROWN: Sure. The NCQA uses
5 the core measures as part of their
6 accreditation of health plans. CMS requires
7 all Medicare Advantage and Medicare Advantage
8 prescription drug plans to implement the
9 survey nationally once a year.

10 In addition, CMS implements the
11 survey for all original Medicare beneficiaries
12 to measure experience with Medicare fees for
13 service. And the data generated by the survey
14 is publicly reported on Medicare Compare, and
15 also NCQA uses it to generate reports. Those
16 are some uses I can speak to and Dale or
17 others feel free to remind me if I overlooked
18 something.

19 DR. HAYS: Yes. I think of quite
20 an important one. Those are exactly true and
21 I think CMS Medicaid is responding to
22 legislative requirements in the CHIPRA law

1 that now, many state Medicaid agencies, about
2 half of them, do use the child health plan
3 survey to survey the managed care plans to
4 serve their enrollees.

5 It's been in use for a long time
6 and the stakes in using it are growing. So,
7 I think that it's an important application
8 that is driven by the individual states, but
9 we're also aware that CMS is launching a
10 national survey of all states to look at
11 performance. And I believe that's adult
12 Medicaid though.

13 DR. ZEMA: And this is Carla. One
14 last use is that this also is the foundation
15 for the Qualified Health Plan Survey that is
16 part of the health insurance exchanges that
17 CMS is implementing.

18 CO-CHAIR MERLINO: Thank you.
19 Becky?

20 MEMBER BRADLEY: Just as an
21 observation, it's interesting that there is
22 some concern about the information on the

1 hospital survey related to physicians, like
2 who's the attending physician versus who is
3 the specialist and primary care physician.
4 And it's kind of showing up in these surveys,
5 too.

6 And I'm just curious as to whether
7 the developers think that the general public
8 or the people filling out these surveys may
9 not be able to distinguish between who is
10 their primary personal doctor versus a
11 specialist.

12 For instance, if you're taking
13 your child in and you're seeing a
14 pediatrician, would that be a primary doctor,
15 a personal doctor or a specialist? I'm
16 thinking that there is something about the
17 consumer being able to distinguish between
18 these levels of physicians that's showing up
19 in several of these surveys. And it might
20 just be worth looking into again.

21 MS. BROWN: I had a little
22 difficulty hearing you because you broke up in

1 some respects. But I think what you were
2 saying, communicating is the concern that the
3 consumer, when they are answering on the
4 survey, the child version fo the survey, the
5 parent or guardian may not be able to
6 distinguish between the personal doctor and a
7 specialist doctor, which they're reporting on
8 different experiences with care.

9 So, let me try and touch on that
10 by first addressing the definition of the
11 personal doctor. While one tends to nominate
12 one's primary care provider as personal
13 doctor, a parent can nominate a specialist.
14 It's really the person who knows most about
15 the child's care.

16 It's the person that the parent
17 reports that they would take the child to
18 visit if the child were sick or ill, needed a
19 check up, or whom they would contact if they
20 had a question about the child's care. That
21 does tend to be a pediatrician when dealing
22 with children.

1 In terms of the specialist
2 section, again, there's a definition of
3 specialist and parents are asked to report on
4 their experience with specialists. And
5 there's also a question about the adult and
6 the child versions that capture whether or not
7 the same provider is being reported on in both
8 sections. We do recognize that someone could
9 nominate a specialist as their personal doctor
10 and capture that so it can be used
11 analytically, if appropriate.

12 CO-CHAIR MERLINO: Sherri?

13 MEMBER LOEB: I think it sometimes
14 can't be answered. I believe it's also in-
15 patient, too, because I mean, if you're
16 admitted, your primary care doc doesn't see
17 patients in the hospital, you're seen by one
18 person on Sunday, as a hospitalist, who then
19 is off on Monday. And you're seen by another
20 person who's off on Tuesday. So, you don't
21 have a primary care doc to rate for your
22 hospitalization.

1 MS. BROWN: In this instance,
2 we're just talking about the health plan
3 survey, which is an ambulatory care instrument
4 and that's what I used --

5 MEMBER LOEB: Right. I understand
6 that but I can see it can be confusing for any
7 of these surveys.

8 MEMBER BRADLEY: And I think that
9 was my point. Maybe through some more
10 research or focus groups, they could --

11 DR. PACE: We'll put it on the
12 list.

13 MEMBER BRADLEY: Yes. Thank you.

14 CO-CHAIR MERLINO: Any other
15 thoughts on feasibility?

16 DR. ZEMA: Yes. I just want to
17 clarify that respondents are given specific
18 direction to not include hospital stays when
19 they're assessing care here.

20 MS. BROWN: Right. And I want to
21 clarify that our qualitative work, you know,
22 hundreds of cognitive interviews have shown us

1 that people are able to parse out their
2 ambulatory care experience when responding to
3 the survey, from any in-patient experience
4 they may have had.

5 CO-CHAIR MERLINO: Okay. I think
6 we're ready to vote. Any other comments?
7 Feasability.

8 MS. ALLEN: Voting on feasibility:
9 1) high, 2) moderate, 3) low, 4) insufficient.
10 Voting starts now. All votes are in.
11 Results: 11 high, four moderate, zero low,
12 zero insufficient.

13 CO-CHAIR MERLINO: Use and
14 usability or usability and use.

15 CO-CHAIR PARTRIDGE: Again, I
16 think in our discussion we've identified a
17 number of current and proposed uses.

18 CO-CHAIR MERLINO: Any other
19 comments? Okay to vote? Use and usability.

20 MS. ALLEN: Voting on usability
21 and use: 1) high, 2) moderate, 3) low, 4)
22 insufficient information. Voting starts now.

1 All votes are in: 14 high, one moderate, zero
2 low, zero insufficient information.

3 DR. PACE: So, just a reminder for
4 overall suitability for endorsement, this is
5 where this will be specific for the adult
6 measures now, because we'll need to come back
7 and look at the child measures on validity.

8 CO-CHAIR MERLINO: Any final
9 comments? We'll vote on overall suitability.

10 MS. ALLEN: Voting on overall
11 suitability for endorsement of Measure 006
12 CAHPS. And we're only voting on the adult
13 version: 1) yes, 2) no. Voting starts now.
14 All votes are in: 15 yeses, zero no.

15 CO-CHAIR PARTRIDGE: Moving on to
16 our last measure.

17 MS. BROWN: Just to clarify, we
18 can accurately run that information on the
19 child survey but, if you could just confirm
20 the process for us. So, we submit that during
21 the public comment period?

22 CO-CHAIR PARTRIDGE: At any time.

1 DR. PACE: Yes.

2 MS. BROWN: Or at any time. Okay.

3 DR. PACE: Right. We'll follow up
4 with you on that. But, yes, you will have
5 through the public comment period, so that the
6 Committee will have it when they review all
7 comments. And thank you for helping us out
8 and joining us.

9 MS. DORIAN: Yes. Thank you.

10 MS. BROWN: All right. Thank you.

11 MS. DORIAN: So, yes. Now we are
12 moving onto our final measure of the day, last
13 but not least, 0726, The Patient Experience of
14 Psychiatric Care as measured by the in-patient
15 consumer survey. I believe you have Lucille.
16 Welcome. If you like to, just introduce
17 yourself and introduce the measure. Just push
18 the speak button and just speak into the
19 microphone.

20 DR. SCHACHT: Yes. I'm
21 Lucille Schacht. I'm the developer with the
22 NRI. So, I'll be here today. We also have

1 Glorimar Ortiz on the line. She's the one who
2 ran a lot of the analysis that we'll speak
3 about, if you have questions.

4 So, the in-patient consumer survey
5 was developed initially back in 2000. And, at
6 that time, we used a couple different task
7 groups involving consumers, researchers,
8 hospital staff.

9 And we went through a development
10 phase of looking at specific items and getting
11 feedback as to what are the important items to
12 the consumers for the in-patient experience.
13 We really wanted to target to the in-patient
14 experience.

15 And we ran our analysis back in
16 2000/2001. In 2002, is when we released our
17 final version of that survey and we were
18 dropped down to 28 items. And, during that
19 process, we went back to those groups and, as
20 you heard from other developers, there are
21 items that we kept in our survey because the
22 consumers felt them to be important, even if

1 they didn't load to a particular domain or
2 scoring group. So, they stayed in the survey
3 but we dropped it down to 28 items.

4 And also, we talked with those
5 groups. We had a couple different groups that
6 we used, about the concept name and whether
7 these items that were in that cluster were
8 appropriate, if it covered enough of the
9 dimension of like dignity, rights, outcome,
10 participation, environment and, now,
11 empowerment.

12 So, we also redid our analysis
13 twice since our initial study in 2002. We did
14 a study in 2008 and, then, we did another
15 study in 2011. And a lot of the material that
16 we presented for this submission are based on
17 the most recent 2011 analysis.

18 And, so, I think, when we
19 submitted our materials, we left out some
20 things that had been in our earlier materials
21 that are what you were looking for. So, I do
22 have some of that to fill in for you from a

1 lot of the analysis that we did actually in
2 2008.

3 CO-CHAIR MERLINO: Any comments?

4 MS. DORIAN: Let's start with
5 evidence.

6 CO-CHAIR MERLINO: Comments
7 about evidence?

8 DR. PACE: So, again, the question
9 of what actions of the facility? Are there
10 actions of the facility that will influence
11 these particular experience with care
12 measures?

13 That wasn't exactly what was
14 provided but I think, from the items that go
15 into these, you can get a fairly good idea.
16 But, if anyone has any questions, you can
17 bring it up.

18 CO-CHAIR MERLINO: Comments? Sam?

19 MEMBER BIERNER: I just want to
20 ask so I understand the target audience.
21 These are both adolescent and adult patients
22 that have been in-patients in some type of

1 psychiatric unit, whether it's free-standing
2 or part of a larger hospital?

3 DR. SCHACHT: Yes. That's
4 correct. It's designed for a in-patient
5 setting, so, both a psychiatric unit or a free
6 standing psychiatric hospital. It has been
7 tested with both adolescents and adults and
8 it's not appropriate for children. Their
9 surveys are designed very differently. So, we
10 don't recommend being used with children.

11 MEMBER LEVINE: Excuse me. What
12 age is adolescent?

13 DR. SCHACHT: 13 to 17.

14 CO-CHAIR MERLINO: Lisa?

15 MEMBER MORRISE: Sadly, we have
16 also had in-patient psychiatric experience,
17 and I will attest to the fact that this is
18 desperately needed because too often, the
19 feedback from these patients is ignored, both
20 immediately and, then, through feedback-
21 related channels.

22 And I have mental health medical

1 power of attorney and it just was so
2 frustrating to get people to talk to me and
3 share information around my child's needs, who
4 was an adult. So, I see this as many other
5 measures, as something that will develop
6 standards that are not currently being met in
7 many, many, many situations. So, I absolutely
8 see that this is something that is needed.

9 CO-CHAIR MERLINO: Any other
10 comments about evidence? Peter?

11 MEMBER THOMAS: I just want to
12 suggest that this is a big issue in the
13 disability community, in particular the mental
14 health community, because the very decision as
15 to what treatment plan to pursue is perceived
16 often to be thrust upon patients, rather than
17 having them participate in those decisions,
18 sometimes by virtue of the fact that the
19 provider's questioning their own ability to
20 make those very decisions.

21 It just makes this that much more
22 important. Do you have anything to say

1 related to that? It's not a question but I
2 mean it is an important issue that I've heard
3 a lot about in the circles that I travel.

4 DR. SCHACHT: Yes. We hear a lot
5 about the difference in the perception of what
6 was helpful, what was useful, what's
7 important, when you ask the patient
8 perspective versus the clinician's
9 perspective.

10 And this really does ask, from the
11 patient's perspective, what was helpful, what
12 was important, how did you feel about that
13 quality of that interaction, because that is
14 probably one of the strongest things in terms
15 of recovery is the quality of interaction.
16 And it really asks for that from the patient's
17 perspective.

18 MEMBER THOMAS: If the patient
19 actually is not suitable to answer these
20 questions, what happens? You understand what
21 I mean? If they aren't of appropriate
22 capacity, at that point, to answer these

1 questions, what happens?

2 DR. SCHACHT: It's a voluntary
3 survey. It can be read to a patient, for
4 patients who can't read. They can be assisted
5 and the patient can be provided some key
6 definitions, if they need something explained
7 to them. It is voluntary.

8 Usually, when it's administered at
9 the time of discharge, people are at a
10 competency level where they can respond to
11 this kind of a set of questions.

12 MEMBER THOMAS: But there's no
13 situation where you'd have a caretaker or a
14 family member or someone along those lines
15 answer instead of the individual?

16 DR. SCHACHT: No. It's not set up
17 as a proxy. There are other tools that you
18 can use for proxy. It was not tested as a
19 proxy. It would have to be retested that way.

20 MEMBER THOMAS: Okay. Thanks.

21 CO-CHAIR MERLINO: Lisa, do you
22 have another comment? Sherri?

1 MEMBER LOEB: I think this just
2 defines the whole name of our Committee. This
3 defines person-centered care.

4 DR. PACE: And so, the question
5 here with evidence, it sounds like everyone
6 agrees that this is something the psych
7 facility can influence. So, maybe we can go
8 ahead and vote on this.

9 CO-CHAIR MERLINO: Yes.

10 MS. ALLEN: Voting on evidence: 1)
11 yes, 2) no. Voting starts now. All votes are
12 in. Results: 15 yeses, zero no.

13 CO-CHAIR MERLINO: Okay.
14 Performance gap. Any comments from anybody on
15 the call?

16 CO-CHAIR PARTRIDGE: Yes. I
17 thought this data was very interesting and I
18 was particularly struck by the tremendous gap
19 where I suspect many of us expected it to be,
20 which is on the three questions that relate to
21 empowerment. I don't know where this is but
22 --

1 DR. PACE: It's in 1b2, Measure
2 Number 6, Empowerment. There we go.

3 CO-CHAIR PARTRIDGE: You've got a
4 spread. Whoops. We lost it again.

5 DR. PACE: Measure 6. There.
6 Stop.

7 CO-CHAIR PARTRIDGE: Right. It
8 narrows, 71 to 82, between your 25th and your
9 82.

10 CO-CHAIR MERLINO: Any other
11 comments on performance gap? Questions?
12 Let's vote.

13 DR. PACE: Did you identify any
14 disparities on anything?

15 DR. SCHACHT: Yes. We went back
16 and relooked at the data and, basically,
17 tested it in the other direction. Are there
18 differences by racial groups for each of the
19 domains? So, we've run these tests more times
20 than we count. So, we actually have this in
21 probably the earlier submission. But we have
22 age, gender, race, length of stay and

1 commitment level.

2 Gender was an element that was
3 different for all domains except for rights.
4 And length of stay and commitment level were
5 the other two that had showed differences.
6 Age only showed difference on one and that was
7 on environment. So, there were no gender
8 differences but there were race differences.

9 And it was not consistent where
10 one racial group always rated all domains
11 lower than the others. They actually flopped
12 back and forth but they can be identified
13 through the survey.

14 And we actually had to take a
15 subsample of the survey to determine whether
16 or not the findings that we have are valid
17 because, statistically, it was significant but
18 there was no difference in the numbers. So,
19 we had to do a subsample to ensure that
20 differences are real, and not just
21 statistically because of a large sample.

22 CO-CHAIR MERLINO: Any other

1 comments?

2 MEMBER STILLE: Just one thing I
3 particularly like about this measure. It
4 probably has more variability than almost all
5 the other measures we've been considering the
6 last two days. So, I look forward to seeing
7 it used for improvement.

8 CO-CHAIR MERLINO: Okay. Let's
9 vote on performance gap.

10 MS. ALLEN: Voting on performance
11 gap. Voting starts now. All results are in:
12 14 high, one moderate, zero low, zero
13 insufficient.

14 CO-CHAIR MERLINO: So, next is
15 high priority. I think we covered a little
16 bit of this in evidence but do you want to
17 comment? Did you do focus groups with
18 patients?

19 DR. SCHACHT: In the initial
20 development, there were patients involved in
21 the design of the questions and, basically,
22 generating the list of 43 items. And then

1 when we did the pilot, because we're a
2 developer we don't run the environments where
3 the tests are distributed, those pilot sites
4 did do discussions with their consumers about
5 what questions they found useful or not or
6 wording, and those kinds of responses. And,
7 then, we did have a consumer and others
8 involved in the final renaming of our domains,
9 when we did our analysis.

10 CO-CHAIR MERLINO: Any comments on
11 high priority? Questions?

12 MEMBER BEVANS: Could you tell us
13 a little more about the focus groups? How
14 many people were involved? Was it
15 representative of the population?

16 DR. SCHACHT: The groups that a
17 facility might run, I just have anecdotal
18 information that they do run them. So, I
19 don't know a lot about the size, the scope, or
20 any of that.

21 In terms of our development work
22 group, there were a number of consumers. I'm

1 going to estimate three or four in a ten-
2 member work group that were involved in the
3 initial design of the questionnaire. It was
4 actually before I started, so I'm going on the
5 paperwork that I got at that time.

6 CO-CHAIR MERLINO: Shall we vote,
7 high priority?

8 MS. ALLEN: Voting on high
9 priority. Voting starts now. All votes are
10 in: 14 high, one moderate, zero low, zero
11 insufficient.

12 DR. PACE: Okay. I'm on. So,
13 we're at reliability and this includes precise
14 measure specifications. And, just to note,
15 this measure has no case mix adjustment, no
16 sampling. All patients are surveyed that meet
17 the eligibility requirements.

18 And the survey instructions are
19 that it's given to patients prior to discharge
20 and, then, they mail it back. Is that
21 correct?

22 DR. SCHACHT: They generally leave

1 it at the hospital before they leave, at
2 discharge. And they can mail it back, if they
3 take it with them.

4 DR. PACE: Okay. So, then, we go
5 to testing. Tested in 68 state psychiatric
6 hospitals in 23 states. Each measure was
7 tested for reliability of the patient-level
8 instrument, and with internal consistency and
9 reliability of the scales, which range from
10 0.81, the rights scale, to 0.88, the outcome
11 scale.

12 And, then, each measure was tested
13 for performance for reliability using this
14 signal-to-noise analysis with good
15 reliability, ranging from 0.91 on the rights
16 scale to 0.94 to empowerment. And then all the
17 others --- Let me look at that. I think I
18 wrote my notes wrong. Anyway, 0.91 to I think
19 0.94 or 0.95 on the performance score
20 reliability.

21 CO-CHAIR MERLINO: So, it's really
22 a feasibility question but you brought it up.

1 Is there a concern, since this is a vulnerable
2 population, that, if people take the survey
3 before they leave, there could be some
4 influence from staff?

5 DR. SCHACHT: That is one of the
6 areas that you always have a concern about,
7 when you're asking them their opinion before
8 they leave. It's part of the instructions on
9 the survey. It's part of our instructions to
10 a facility, when they're going to implement
11 the survey, that they assure the patient that
12 this is not going to influence the decision
13 about their discharge or their continuing care
14 plans.

15 You get a much higher return rate
16 when it's returned at the hospital, prior to
17 the person leaving. People do not mail them
18 back. The mail back return rates are really
19 low. A lot of these patients do not have
20 phones. You cannot call them up and ask them
21 these questions and they would also find it
22 very intrusive to be called after they've been

1 discharged to ask those kind of questions.

2 So, we actually have a pretty high
3 response rate now. Many facilities are up
4 above 50 percent on their voluntary response.

5 DR. PACE: So, just to follow up
6 on that. They're handed it by a particular
7 person. Then where do they go to complete it,
8 and where do they turn it in? Is the person
9 standing over them watching them complete it?

10 DR. SCHACHT: No. Most of the
11 times it's done either as part of the
12 discharge process, when other paperwork is
13 being done, materials that they need to
14 review. They can go fill it out, bring it
15 back. There's a drop box that's sealed, so
16 that information is kept confidential and that
17 their rights are protected in that process of
18 doing the survey.

19 DR. PACE: We can stick on
20 reliability and, if anyone wants to, come back
21 to this at another point. So, these are the
22 performance score reliabilities. It's .91,

1 .94 and most of them at .95.

2 CO-CHAIR MERLINO: Any questions?
3 We'll vote on reliability.

4 MS. ALLEN: Voting on reliability.
5 Voting starts now. All votes are in.
6 Results: 13 high, two moderate, zero low, zero
7 insufficient.

8 DR. PACE: Okay. Then on
9 validity, what was submitted was validity of
10 the performance score. So, we can ask if
11 there was data element validity. Could you
12 tell us what you see as data element validity,
13 did you submit it? --- the patient-level
14 instrument?

15 DR. SCHACHT: Right. Well, we've
16 done a number of things and I think we
17 misinterpreted what was requested in the form.
18 We actually provided it in one of our annual
19 reviews. We did a confirmatory factor
20 analysis, which is the patient-level response
21 validity testing.

22 And, so, we did that back for 2008

1 and we published on that part of the
2 psychometric properties. And our fit indices,
3 there are like 11 different fit indices that
4 you can use for the confirmatory factor
5 analysis and all of our scores were well above
6 the expected.

7 DR. PACE: Okay. So, just for the
8 group because we haven't really had any of the
9 other measures that have done confirmatory
10 factor analysis and that is something that
11 would be appropriate for the instrument level.
12 There's a little bit of difference if you're
13 doing exploratory factor analysis. And we
14 won't get into that.

15 The item-to-total correlations
16 relates more to reliability. But I think the
17 confirmatory factor analysis, at the patient
18 level, would be appropriate. So, what you're
19 saying is that the domains that you have your
20 measures were confirmed in your analysis?

21 DR. SCHACHT: Right. And we did
22 that for the 2008 and 2011 data. I know she

1 did it for 2008. We just recently published
2 on it. We've published actually three times
3 in a row now. So, I'm not sure which one it
4 is that she told me.

5 DR. PACE: So --

6 DR. SCHACHT: It's the 2008 for
7 the confirmatory factor analysis.

8 DR. PACE: Okay. So, let's --

9 MS. ORTIZ: Excuse me. This is
10 Glorimar. I don't know if you can hear me.

11 DR. SCHACHT: Yes, we can.

12 MS. ORTIZ: Yes. We did
13 confirmatory factor analysis for the --- using
14 the 2008 data and, then, at the beginning,
15 when we developed those things for the first
16 time, in 2000.

17 DR. PACE: Okay. All right. So,
18 let's look at the performance level, which is
19 --- again was provided. And, if we look at
20 the performance measures score, Nadine's got
21 it up there for us. So, basically, this is
22 looking at a coefficient of these different

1 measures at the hospital level to the overall
2 reading, correct?

3 DR. SCHACHT: Correct.

4 DR. PACE: Okay. Well, why don't
5 you explain this table for us.

6 DR. SCHACHT: Sure. So, what
7 we're looking at here is the correlation and
8 looking at the domain with a general item on
9 overall satisfaction of care. And what's
10 important to note here is things like rights
11 domain and the empowerment domain have very
12 high correlations.

13 So, from a patient's perspective
14 on their overall satisfaction with care, if
15 they have a higher rating on rights or
16 empowerment, they felt more satisfied with
17 their service, in general. Even if their
18 outcomes might have been lower, they had a
19 higher dignity level, so higher satisfaction.

20 DR. PACE: So, I think we would,
21 just to kind of complete the circle, like the
22 information on the instrument level

1 confirmatory factor analysis. But I think we
2 can proceed with the voting, unless anyone
3 wants to have some questions about that.

4 MEMBER THOMAS: Just looking at
5 that data, I don't know. It strikes me as
6 very interesting that the outcome of care
7 numbers are so much lower than the rights and
8 empowerment. So, does that say that patients
9 that feel empowered and feel that their rights
10 are being respected, they value that more than
11 the actual outcome of the treatment?

12 DR. SCHACT: Yes. That's what
13 it's suggesting. It's a personal experience
14 of the interaction that has a higher
15 relationship to overall satisfaction than the
16 outcome. The outcome questions are being able
17 to deal with stress and being better at social
18 situations.

19 And they may feel like they're
20 doing slightly better at that, but if they
21 have a higher rating on their dignity, they
22 may have generalized better satisfaction with

1 their hospital experience.

2 MEMBER THOMAS: Thanks. Very
3 interesting.

4 CO-CHAIR MERLINO: Any other
5 questions on validity? Comments?

6 DR. PACE: Just one. You know,
7 this is the area where we would talk about
8 case mix adjustment. So, in this case, the
9 question would be is there any concern about
10 no case mix adjustment or any questions or
11 issues.

12 MEMBER BRADLEY: All right. Well,
13 I guess there's always a concern about lack of
14 case mix adjustment in this kind of survey.
15 But could you explain again why you all did
16 not feel that was significant.

17 DR. SCHACHT: As a vendor or
18 measure developer, we developed a survey and
19 we suggest lots of ways for hospitals to do
20 their domain scores and, then, to break them
21 apart by the populations of interest to them.

22 So, when you do risk adjustment,

1 you sort of bury those things in the score and
2 you don't know that you have an issue with a
3 particular population, because it becomes
4 buried in the way the score is calculated.
5 So, we suggest that folks actually break it
6 apart and do stratifications.

7 DR. PACE: So the question is,
8 again, if this is being used in any kind of
9 comparative performance assessment. And you
10 had mentioned earlier about I think gender
11 differences. So, if there is a systematic
12 difference, then you have a variation in the
13 population.

14 So, is this being used? I know
15 we'll get to that in accountability. But,
16 just in terms of your discussion about case
17 mix adjustment, is it being used for
18 accountability applications where facilities
19 are being compared for public reporting or
20 payment?

21 DR. SCHACHT: Based on your
22 feedback as to the interpretation of public

1 reporting is, we're a measure developer. We
2 cannot display a facility-specific
3 information. We display aggregate, so that
4 others who are using the survey have that
5 aggregate benchmark.

6 Facilities can display their own
7 rates and many publicly-operated facilities
8 actually provide those rates in public reports
9 available through their website, or to their
10 legislature or to other governing bodies.
11 It's not a core measure, so it's not reported
12 by joint commission on its public website,
13 although it was a noncore measure.

14 So, it's used in accountability in
15 that way and there are many facilities who
16 actually do post their rates publicly, and
17 they will post it as an aggregate.

18 CO-CHAIR MERLINO: Any other
19 comments? We'll vote on validity.

20 MS. ALLEN: Voting on validity.
21 Voting starts now. All votes are in.
22 Results: 11 high, four moderate.

1 CO-CHAIR MERLINO: Feasability. I
2 still don't get the operational component. I
3 mean, I get the fact that you have a high
4 return because people are filling it out
5 before they leave. I would expect that. But
6 I don't quite understand how you protect
7 patients from honest opinion.

8 I mean one of the things that the
9 CAHPS team has always really pushed is that
10 providers are not allowed to talk about the
11 survey. They're not allowed to reference it
12 and it has to be done in the house, to a
13 certain numbers of days after they are
14 discharged, to protect patient's autonomy.
15 So, could you just address that a little bit
16 more?

17 DR. SCHACHT: When patients are
18 asked, when we do the development of the
19 survey, how would you prefer it be distributed
20 to you, do you want it distributed by someone
21 who's not on your treatment team, that's
22 actually their preference, so that they know

1 that it doesn't feel obvious to them that
2 they're responding right back to their
3 treatment team in a way that's identifiable.

4 And a lot of the patients in the
5 public hospitals, which is where this was
6 initially tested, do not have the same
7 capabilities once they leave the facility to
8 have someone show up at their door and say,
9 can we fill out a mental health survey versus,
10 you know, being in the hospital or getting a
11 phone call saying, I know you were in a psych
12 hospital. Let's do a survey.

13 They are very intrusive kinds of
14 questions and, you know, there are a number of
15 places who have experience in doing that. I,
16 myself, when I worked in the state of Vermont,
17 worked on a survey that was sent out to
18 patients after they had been in mental
19 healthcare.

20 And it was actually just mailed to
21 them and we had a huge number of responses
22 coming back, how do you know I had this care?

1 It's a very intrusive kind of feeling once a
2 person leaves the hospital.

3 So, while that's still really a
4 stigma issue, it's easier on the patient
5 population that they're administered while
6 they're still in the hospital.

7 CO-CHAIR MERLINO: Any other
8 comments or questions?

9 DR. PACE: So, in the survey
10 instructions, you have one statement.
11 Anonymous or not, if the numbering system for
12 the survey includes some mechanism for linking
13 the survey back to a specific patient, then
14 the survey is not anonymous.

15 What are the instructions? Is it
16 supposed to be anonymous, or is that a user
17 decision?

18 DR. SCHACHT: That's a facility
19 decision about whether or not it's anonymous.
20 They have to indicate on the survey whether or
21 not it is anonymous. And, if it's not
22 anonymous, they're also obligated to tell the

1 patient this is not an anonymous survey. We
2 know you are ID Number whatever.

3 And that is something the facility
4 has to work through, if they're doing an
5 identified survey.

6 MEMBER BIERNER: I just want to
7 ask you on the table, it talks about overall
8 missing data by domain. Participation and
9 treatment was the highest. Do you think that
10 ties into this issue of the way its
11 administered or what is the feeling about why
12 that was so much higher? Participation and
13 treatment was missing data and it stood out.

14 DR. SCHACHT: Well, that
15 particular domain actually has more to do with
16 transition of care from in-patient to out-
17 patient. So, there are a number of surveys
18 that are completed by people during annual
19 review, or a hospital may be just doing an
20 overall reassessment of their environment.

21 And, so, there are people who are
22 not getting discharged. And, so, the question

1 is not applicable. And that attributes to the
2 higher level of missing data there.

3 CO-CHAIR MERLINO: Anybody else?
4 Can we vote on feasibility? Let's vote on
5 feasibility.

6 MS. ALLEN: Voting on feasibility.
7 Voting starts now. All votes are in.
8 Results: five high, nine moderate, one low,
9 zero insufficient.

10 CO-CHAIR MERLINO: Usability and
11 use. Comments? We're almost done. Two more
12 questions. Do you want to comment on
13 usability?

14 DR. SCHACHT: Yes. As the measure
15 developer, we do get information from
16 facilities who are using the survey and want
17 to benchmark with another defined group. And
18 we can post, through the nature of the
19 contracts, an aggregate across all of those
20 providers, so that anyone using the survey has
21 a benchmark available to them without actually
22 having to participate in the benchmarking

1 database.

2 Facilities can choose to self-
3 disclose their numbers. It used to be easier
4 to find stuff on the web. But I can still
5 find Texas Departmental Health posting their
6 materials, Colorado Department of Human
7 Services is posting their materials. And
8 Acadia Hospital, which is a privately-run
9 psych hospital in Bangor, Maine is posting
10 their materials.

11 Those are the first three that I
12 could find. So, people can self-post that
13 material and they can use it as noncore
14 measures and accountability reporting with
15 Joint Commission. But Joint Commission only
16 posts core measures, so it wouldn't be posted
17 through them.

18 People use it a lot for internal
19 quality and improvement, and they do a lot of
20 networking on how to break down these
21 questions and work with their local consumers
22 on how to really improve the care and be

1 consumer driven.

2 DR. PACE: You said Texas
3 Department of Health?

4 DR. SCHACHT: Texas Health and
5 Human Services Department of State Health
6 Services is the department that actually
7 posted.

8 DR. PACE: So, is that more than
9 one facility or is that --

10 DR. SCHACHT: They have ten
11 facilities.

12 DR. PACE: And those would be
13 publicly reported?

14 DR. SCHACHT: Yes.

15 DR. PACE: So, that's --

16 DR. SCHACHT: That's ten
17 identified facilities with their rates, with
18 the national number that we post that they
19 have then put on their report.

20 DR. PACE: Okay.

21 DR. SCHACHT: Colorado actually
22 has two hospitals that they report.

1 DR. PACE: Okay. Thank you.

2 CO-CHAIR MERLINO: Any other
3 comments on usability or use? Let's vote.

4 MS. ALLEN: Voting on usability
5 and use. Voting starts now. I'm missing a
6 vote. Okay. All votes are in: 11 high, three
7 moderate, zero low, zero insufficient
8 information.

9 CO-CHAIR MERLINO: All right.
10 Overall suitability for endorsement. Any
11 comments or questions? Carol has her clicker
12 up already. She's ready to vote. All right.
13 Let's vote.

14 MS. ALLEN: Voting on overall
15 suitability for endorsement for Measure 0726,
16 Patient Experience of Psychiatric Care.
17 Voting starts now. All votes are in: 14
18 yeses, zero no.

19 CO-CHAIR MERLINO:
20 Congratulations. You made it.

21 MEMBER LEVINE: Could I just make
22 a comment now that we've voted? I'm really

1 intrigued by the importance of the rights
2 element, not that it's surprising but how do
3 these patients know their rights and what are
4 the rights that they think are most important?

5 It would be really helpful, at
6 some point, to know a little bit more about
7 what's going on.

8 MEMBER BIERNER: They're posted.
9 In the in-patient psych facilities, they're
10 posted, you know, on the wall, so you can see
11 them. And they're distributed or made
12 available to the patients.

13 MEMBER LEVINE: Posted is one
14 thing. Really understanding what these can be
15 --- some of these are adolescents. And the
16 other point is it's not at all surprising to
17 me that outcomes are less important because
18 these are people who have a mental illness
19 that's not going to get cured in whatever the
20 short time they are in in-patient.

21 So, if they see any kind of
22 improvement, any kind of, you know, stability,

1 I would think that would be important. But
2 the say they are treated and they are feeling
3 that they've gained something in this
4 experience I think is obviously more important
5 to them.

6 DR. PACE: Do you want to make a
7 comment on how people are notified of their
8 rights and how that relates to the question?

9 DR. SCHACHT: No problem. The
10 rights are things that are communicated
11 through postings on the walls. Those are like
12 requirements that they're posted. But, also,
13 through the therapeutic interaction. What are
14 your rights? Is it okay or how do you refuse
15 medications? How do you decide which
16 treatment group to go to? Those are rights
17 about choice.

18 And, so, that's all part of the
19 therapeutic interaction. And I think that's
20 why it rates higher in terms of its
21 relationship to overall satisfaction than
22 outcomes might because of the dignity and that

1 personal empowerment that goes along with all
2 of that.

3 MEMBER THOMAS: So, are we about
4 to break up, because I have one thing that I'd
5 like to suggest?

6 MS. DORIAN: All right. So, on to
7 public comment. Operator, if you could,
8 please open the lines.

9 OPERATOR: If you want to ask a
10 public comment, please press *1 on your
11 telephone keypad. There are no public
12 comments at this time.

13 MS. DORIAN: Okay. Thank you.
14 So, did we want to break and then come back?

15 DR. PACE: No.

16 MS. DORIAN: So, we touched upon
17 some of this yesterday, but we did want to
18 touch base again after the second day, just to
19 see if you had any recommendations.

20 So, we were struggling with issues
21 about submitting multiple performance measures
22 in one submission form, the requirement for

1 testing at both levels and the requirement for
2 information on how the target population
3 identified what was valued and meaningful.

4 So, if you have any thoughts or
5 feedback on any of those three things or
6 anything else that could help us improve our
7 process that we didn't discuss yesterday,
8 we're open. Yes?

9 MEMBER THOMAS: I have a couple.

10 MS. DORIAN: Yes.

11 MEMBER THOMAS: I think the first
12 one that I would suggest that NQF consider is
13 having each one of these submissions undergo
14 kind of a staff review to ensure that it's
15 complete, and to ensure that the questions are
16 answered and that the answers are in the right
17 place and that it's organized in such a manner
18 that you can intuitively go through a packet
19 and see what the issue is that you're supposed
20 to be looking at, and what the data is that
21 correlates to that issue.

22 So, I can't tell you how much time

1 I spend just trying to find things that might
2 be relevant to what I was trying to figure out
3 because I didn't know enough to know what I
4 didn't know.

5 And it just struck me that, if
6 they were organized in such a manner that
7 these would not even be before us until they
8 were certified as being complete, that would
9 save a lot of time and probably make the whole
10 process a bit easier.

11 We've been getting things in
12 spreadsheets and things sent in, you know,
13 last minute. And I understand this is a work
14 in progress. But that was one point. Could
15 I continue?

16 The second thing is that I'd love
17 to see the actual measures that we're looking
18 at and examining right up front. Just these
19 are the measures that we're looking at,
20 because it oftentimes is buried, and sometimes
21 they're not even included, which is really
22 bizarre that you would be assessing a set of

1 measures that aren't even included in the
2 packet that we receive. So, it would strike
3 me that that should be up front and, you know,
4 in bold letters.

5 The third is that I noted that a
6 number of the developers didn't understand
7 some of the questions and what they were
8 actually being asked. And, so, they didn't
9 provide the data to support that. So, I'm
10 wondering whether some of the questions are
11 either unclear or whether they were struggling
12 with some of the things that I was struggling
13 with, just understanding exactly what they
14 were being asked.

15 So, I guess I'm recommending that
16 you go back and kind of figure out are these
17 questions as clear as they need to be for
18 developers to understand what they're being
19 asked?

20 MEMBER BIERNER: I think, as we
21 kind of mentioned yesterday, instead of being
22 prescriptive about it, maybe get some

1 illustrations. You know, some developers have
2 used the following methods to establish
3 reliability or validity, so that you're not
4 saying you have to do it this one certain way
5 but here are some examples that have worked,
6 you know, for a developer coming to the
7 Committee.

8 MEMBER THOMAS: I agree. I also
9 wanted to compliment you, Karen, on what I
10 presume was your drafting of the staff review
11 of these, because I don't mean to be pushing
12 this onto NQF staff to do our work, but that
13 was really, really helpful to me. That
14 organized me. That kind of gave me a sense of
15 what I needed to focus on.

16 Again, I sometimes didn't know
17 what I didn't know, and that's the worst
18 position to be in. So, having that kind of
19 laid out by someone who sees a lot of these
20 and understands this stuff cold was really
21 useful to me. So, I would very strongly
22 encourage the additional development of those

1 prior to group discussion.

2 MEMBER DOWDING: Yes. This is in
3 reference to the multiple performance
4 measures. I'm not entirely sure that I want
5 to see every single performance measure for
6 every single CAHPS survey submitted
7 separately. I think that would probably be
8 overkill.

9 But I do think that it would be
10 very helpful if, for instance, this afternoon
11 we looked at the adult plan survey and the
12 child plan survey together. And, actually,
13 they should have been separated. The validity
14 data was different. The reliability data was
15 different. And there's a case for separating
16 out those types of surveys.

17 And I think we had two where we
18 were looking at adult and child together. And
19 I think that we probably need to say they need
20 to be separated out. But not the individual
21 performance measures within the surveys
22 because then we would be here all week. But

1 that would be my preference.

2 MEMBER STILLE: I was going to
3 say, basically, what was said but I was trying
4 to think of a way to do that. I don't know if
5 there's any way to sort of say, okay, these
6 two measures are the same for this, this,
7 this, and this and, then, they diverge here.
8 So, we talk about them separately. And, then,
9 they come back together for the feasibility
10 and the other stuff, if there's a way to do
11 that.

12 That would help save time and,
13 also, orient people that they don't have to
14 think about the same thing a million different
15 times.

16 MEMBER BRADLEY: I actually see
17 the survey tool as part of it. Because of the
18 way the questions are worded, from my
19 standpoint, since I'm not so statistically
20 oriented --

21 DR. PACE: --- can I just. What we
22 asked the developers to do is to identify the

1 measure and to write out the questions that
2 went with each measure because, even when you
3 see the survey tool, you can't tell
4 necessarily which questions go with which
5 measures.

6 There were a few that did that
7 and, for me, that was much easier to follow.
8 But we'll continue to work with that. But we
9 also asked them to provide, either at a URL or
10 in the appendix, the actual data collection
11 instrument.

12 So, I think, you know, we'll have
13 to continue working with the developers and
14 certainly I think both are useful to you and
15 to have them immediately available.

16 MEMBER BRADLEY: And some of them
17 they did provide kind of a synopsis of the
18 question. But it wasn't the way the question
19 was worded on the survey.

20 DR. PACE: Right. Exactly. We
21 asked for the detailed specifications to
22 identify each measure and to write out the

1 questions that went with it.

2 MEMBER STILLE: You're also being
3 a little charitable, right? From what you
4 told me offline before, a couple of calls with
5 some of the developers were very specific --

6 DR. PACE: Right.

7 MEMBER STILLE: -- about what to
8 submit and they didn't listen?

9 DR. PACE: Right. We just
10 continue to try to have discussions with
11 developers and respond to their questions.
12 And, so, we will continue to work with the
13 developers to work on the submissions as you
14 all have suggested. And we know that, you
15 know, sometimes the questions are not that
16 understandable.

17 In general, NQF, for our general
18 measures, have put together what's called What
19 Good Looks Like. So, especially for the
20 evidence and measure testing, we've given
21 specific examples, just as you were talking
22 about, Sam, on illustrations.

1 We did a little bit of that for
2 the experience with care measures but we
3 didn't really get to every item. But we did
4 try to provide some notes on the kinds of
5 things. But we'll continue to work on our end
6 and get some feedback from the developers on
7 things that were unclear and that we need to
8 clarify with them.

9 CO-CHAIR PARTRIDGE: At this
10 point, I think a couple of times over these
11 two days we have talked about making
12 statements that say, this is what we will be
13 looking for. And it isn't just the same
14 person on the Committee saying that. It's
15 coming from multiple Committee members.

16 So that I hope that helps you in
17 the future, so you can go back and say to a
18 developer, this submission, as it stands, will
19 probably not get through this Committee
20 because you haven't addressed this or haven't
21 addressed this fully.

22 They are looking for that and I

1 think it's one of the strengths of having a
2 standing committee. You establish a culture
3 here, which then helps the developer community
4 understand what they need to do.

5 DR. PACE: One other thing in
6 terms of, you know, we came across a couple
7 where they didn't submit the testing at both
8 levels. And I think, from an NQF standpoint
9 and how these measures are intended to be
10 used, that the performance score, reliability
11 and validity, in terms of what they're being
12 used for, is essential.

13 So, I guess my questions to all of
14 you is, you know, our feedback from the PRO
15 Committee a couple years ago was they would
16 still like to see that the, you know,
17 instrument or scale that's being used had some
18 reliability and validity testing. So, if you
19 have any thoughts about that, whether we
20 should dispense with the instrument level or
21 what your thoughts are about that.

22 MEMBER BEVANS: I think it's

1 essential. I mean I really think that it
2 gives you a very important view of how the
3 instrument operates overall, what the factor
4 structure is, what the concepts actually mean,
5 you know? Because, to sort of just work at
6 the scale level, where we would likely be for
7 a lot of the performance measures, I think
8 that, you know, results in some really
9 critical missing information about the
10 meaningfulness of those concepts.

11 So, my opinion is to retain the
12 individual level reliability and validity
13 information.

14 MEMBER DOWDING: I agree. I think
15 that you can't have a reliable performance
16 measure unless you've got a reliable
17 individual scale. So, if we're endorsing them
18 for national use, we have to be convinced that
19 the data on which they're based is reliable
20 and valid. So, it's essential.

21 And, as we said yesterday, there
22 are ways in which we can be much more explicit

1 and directive about actually saying, unless
2 you provide both levels of information, it
3 goes no further. I mean I have no problem
4 about sending it back to them and saying we
5 won't look at it unless it's there.

6 MEMBER BIERNER: One other thing
7 that's slightly oblique to that. I think that
8 it's really useful that they explained the
9 purported use and it was expected, how it will
10 be used for some of these instruments.

11 For example, the one that had to
12 do with healthcare plans, they have
13 potentially far reaching implications. So,
14 I'd like to know more, maybe somewhere in the
15 worksheet that the developer fills out, the
16 intended use, you know, the audience, so to
17 speak.

18 Not only who the subject or
19 patients are going to be but the audience for
20 the results. Is it for, you know, federal
21 government? Is it for health plans or
22 hospitals, et cetera?

1 MEMBER LEVINE: I have one
2 suggestion about the orientation or whatever
3 we went through the first few times there were
4 discussions. It was only today that I really
5 understood what this was all about.

6 Honestly, I spent a lot of time.
7 It was all too abstract. In my world,
8 Cronbach's alpha and top box scoring are just
9 not, you know, state of the art stuff. So, in
10 the training or the orientation, if you took
11 an actual proposal and then applied the
12 concepts to it, rather than going through the
13 concepts which don't mean anything to people
14 like me, it would be a lot more meaningful.

15 But, I mean, I must say thank you.
16 Today was a learning experience. I really
17 felt like, oh, that's what they're talking
18 about. Thank you.

19 DR. PACE: You know, I think this
20 obviously will get easier as the Committee
21 works together and you go through this again.
22 But I'll just throw out something for you to

1 think about. And we're willing to kind of
2 think about this. But to think back to the
3 work groups and also this meeting, so, what if
4 we flipped everything around where we met
5 together first for the initial review and,
6 then, you know, the voting may be online
7 afterwards?

8 I'm just curious, in terms of what
9 is the best use of our time together versus
10 online or on conference calls, if you have any
11 thoughts about that? I mean this is the usual
12 process we've used. But, certainly, if you
13 have any thoughts about that.

14 MEMBER BRADLEY: I think it would
15 be hard for me to concentrate online and get
16 the sense of the conversation with the
17 developers. I mean there is just the tendency
18 to want to do three things while you're on an
19 all day conference call.

20 DR. PACE: Right.

21 MEMBER BRADLEY: So, I would
22 recommend that we do the voting in person.

1 MEMBER BIERNER: I think being in
2 person gives you a feel of the room, of how,
3 you know, some people are feeling about one
4 measure or another that you don't get on the
5 phone.

6 If you listen into one of the
7 previous calls that we did preliminary to
8 this, you don't get a good sense of what other
9 people are thinking or how they're reacting to
10 things.

11 MEMBER LEVINE: I agree with that.
12 I think that, if we're better prepared, if
13 what we get ahead of time has all the elements
14 that we've talked about, then, the coming
15 together and feeling the sense of the room and
16 the group is really critical, as a final step.
17 I would prefer to keep it that way.

18 CO-CHAIR MERLINO: Yes. I think
19 getting the information in an easier to use
20 form: the abstract, the measures up front,
21 summary of the key points, holding the
22 developers to really the standard that we're

1 expecting and if they don't have it they don't
2 present. Using that.

3 MEMBER BEVANS: I think the phone
4 calls, the work group phone calls, at least
5 for me, were really helpful to really begin to
6 understand the instrument on a different
7 level, because of the feedback that other
8 members of the Committee were giving and
9 things that I didn't think about, different
10 perspectives I could use to evaluate those
11 measures but also other measures that we were
12 evaluating later.

13 I would say though that, at least,
14 our work group had I think one measure that we
15 reviewed for which the developer was not on
16 the call. And I don't think that was
17 especially helpful. So, I think that should
18 be a requirement.

19 I was also wondering what was the
20 process? After the call, in several
21 instances, we gave, you know, some feedback
22 that could have been addressed by the

1 developer. Did they do that or did they have
2 an opportunity then to make revisions? I
3 think that's another benefit of the call.

4 DR. PACE: Yes. We didn't give
5 them specific directives but we did send a
6 follow up, you know, with some of the general
7 issues that we identified. And, also, some of
8 them, you know, very much realized that there
9 were --- something that was brought up in the
10 call and submitted.

11 But one of the things that we've
12 been trying to work out, our time lines, as
13 you probably have identified, are very
14 compressed. And, so, we really hear and
15 appreciate your thoughts about the
16 submissions.

17 It's always been a bit of a
18 struggle of reopening these submissions and
19 letting them add new stuff because generally
20 it's a lot of stuff to look at. And, if you
21 we tell you, oh, now there's a new one to look
22 at, we have to try to balance that as well.

1 So, we tried to, you know, ask
2 them specific questions and then we'll have a
3 chance for them to really update the
4 information. But it is a struggle that we
5 have in terms of --

6 MEMBER BEVANS: Even if they don't
7 do that in writing and they begin their
8 presentation here in the in-person meeting --

9 DR. PACE: Right.

10 MEMBER BEVANS: -- here are the
11 things the work group brought up, here is my
12 response, that wouldn't --

13 DR. PACE: Okay. Yes. Right.

14 MEMBER BEVANS: -- add any extra
15 time really.

16 DR. PACE: Right.

17 MEMBER BEVANS: I think that might
18 be a good approach.

19 MEMBER BRADLEY: Is there a
20 difference between a steward and a developer
21 of a measure?

22 DR. PACE: Well, a lot of times

1 they're one and the same.

2 CO-CHAIR PARTRIDGE: Sometimes.

3 DR. PACE: Sometimes, especially
4 with the federal agencies, the steward, for
5 example, might be CMS but they contract with
6 another group to actually develop the measures
7 and do the testing.

8 So, we have both models where the
9 steward and the developer are one and the same
10 and, then, you know, especially with CMS and
11 AHRQ, CMS and AHRQ would be the steward but
12 they generally contract with other
13 organizations to develop the measures.

14 CO-CHAIR PARTRIDGE: And the
15 steward means, essentially, I'm going to keep
16 track of this measure. The developer may have
17 gone out of business. That sometimes happens,
18 too.

19 And, so, someone else takes it
20 over and is responsible for the maintenance,
21 which means that, over the course of the
22 period of time between the time it comes up

1 for initial review and the maintenance review,
2 if something dramatic has changed, the
3 evidence has changed, we shouldn't be treating
4 people this way or there's a new drug or
5 something like that.

6 MEMBER BRADLEY: Who would be the
7 steward if that measure stays in the public
8 domain and people start using it?

9 DR. PACE: Right. And, actually,
10 they were the steward and the developer. What
11 she was making and distinction of is then the
12 implementer. So, they may develop the measure
13 and they'll steward the measure but, then,
14 what she's saying is, well, you know, it's up
15 to the states to mandate that their facilities
16 actually use this and collect the data and
17 report it.

18 So, that's another player in this
19 whole quality measurement space. You know,
20 it's the reporting and use of those measures,
21 whether in a public reporting forum or in a
22 pay per performance program. And that's where

1 there's --- NQF can endorse measures but the
2 people, CMS, state programs, health plans,
3 they're the ones who actually use the measures
4 in terms of saying, you know, we want data on
5 this. We're going to compile it. We're going
6 to compute these measures and report it.

7 MEMBER MORRIS: First of all, I
8 want to say that I am so impressed by the
9 people in this room, everybody really. Having
10 been recently to some meetings where people
11 treated patients with disdain, I am so
12 appreciative of people who really are
13 interested in patients and what they think and
14 their feedback, so that that information can
15 be used to improve the quality and safety of
16 healthcare.

17 And I do think the work group
18 calls ahead of time were helpful. If nothing
19 else, I felt so much better after the call
20 when my colleagues that were on the call said
21 that they, too, were swimming upstream. And
22 I didn't feel like I was just this little

1 patient who didn't know anything, that maybe,
2 you know, I would be able to make some valid
3 contributions to the discussion.

4 And I have learned a lot, just
5 being here. So, I think that the orientation
6 for the next round will be easier, just
7 because those of us who have been in the room
8 already understand some of what we're talking
9 about, although I may understand those terms
10 either, Carol.

11 I thought, oh, my gosh. I think
12 I'm going to go dig out my statistics
13 textbooks and see if I can brush up a little
14 bit. But I really appreciate the opportunity
15 and I appreciate the staff at NQF for trying
16 to make it as smooth as possible for us and
17 help us get through everything and provide the
18 information that we needed to make some good
19 decisions.

20 DR. PACE: Another thing which you
21 probably noticed, because in the work group
22 calls and some of our discussions we talked

1 about we were going to define lead and second
2 discussants. And then we switched and
3 assigned some of you to look at some data and
4 items and others to look and reliability and
5 validity.

6 And I know you didn't have
7 anything to compare it to. Lee has but just
8 any thoughts whether you think that was better
9 or worse? This was a little bit of a test
10 whether that was appropriate. Lee, I'll let
11 you start to see if you thought that was any
12 better.

13 CO-CHAIR PARTRIDGE: My sense was
14 that, as we went through the two days, the
15 assignment didn't really make much of a
16 difference. Am I right? I mean people spoke
17 up regardless. Some of the people who led off
18 weren't even on the work group. So, what I do
19 think makes a difference is having clusters.

20 The work group essentially comes
21 in. They have the benefit of the discussion
22 beforehand. They know that they'll be the

1 leads. And whether you split it as to which
2 art I think matters less.

3 MEMBER MORRISE: I would feel
4 actually more comfortable continuing to not be
5 in, you know, the strictly statistical
6 scientific realm. And I will go back and have
7 a relationship with my statistical textbook.
8 But I don't feel comfortable that I would be
9 the best representative to evaluate those.

10 Although, I've very rarely been
11 stopped in my life from commenting on things
12 that are important to me. So, I may still
13 comment but it's definitely not my area of
14 expertise. If you want patient experience,
15 I'm there for you.

16 MS. DORIAN: We'll go quickly over
17 some next steps. So, it's on the next slide.
18 I won't belabor this because I've mentioned it
19 a bunch of times already. So, we'll be busily
20 working on compiling the draft report over the
21 next two weeks or so. We'll send it to you
22 for your feedback.

1 But, then, it is published on our
2 website for public and member comment for 30
3 days. We'll then have another call with you.
4 You do have that date and it should be in your
5 calendars to reflect upon the comments,
6 because we do respond to everyone.

7 And we might alter or edit the
8 report based on the nature of the comments.
9 So, we'll talk that through with you. And,
10 then, the report is once again posted online
11 for member vote for 15 days.

12 And, then, as mentioned before,
13 the subsequent steps just follow our process.
14 So, it goes to C staff, the Board review.
15 And, once the Board reviews it, those measures
16 are officially considered endorsed, if they
17 approve your recommendations. And, then,
18 there is an appeals period for anyone who
19 wants to submit appeals.

20 In Phase 2, the call for measures
21 closes I've been saying November 25th but I
22 was wrong, November 7th. So, your next phase

1 of work will really start up again in early to
2 mid January.

3 MEMBER BIERNER: So, the
4 developers that we asked to come back with
5 more information, they'll have until that
6 October 3rd date to provide that?

7 MS. DORIAN: Exactly. Yes.

8 MEMBER LEVINE: We have no
9 conference call on August 5th.

10 DR. PACE: Actually, don't we have
11 a conference call on the books for a week from
12 now?

13 MS. DORIAN: We do, actually.

14 DR. PACE: I think we need to
15 leave that, just in case.

16 MS. DORIAN: It was an actional
17 call, in case there was leftover work.

18 MEMBER LEVINE: Okay. Because I
19 think I have another NQF action team call at
20 the same time. So, I was confused as to what
21 the purpose of that one was.

22 DR. PACE: Right. We decided to

1 schedule something after this meeting, in case
2 we didn't get to something or needed to review
3 something. If you would just leave that on
4 your calendars for just a little while longer,
5 we'll make sure that we don't need it and then
6 we'll send you a cancellation. But that's the
7 reason we do that.

8 MEMBER BRADLEY: On the appeal
9 process, are we involved in that at all if
10 they appeal?

11 MS. DORIAN: Oh, if somebody
12 submits an appeal?

13 DR. PACE: If the appeal is after
14 the Board ratification, the Board and C staff
15 deal with it. So, I'll say that's the general
16 rule. But, if it would be on a real technical
17 issue like clinical evidence, you know, they
18 may ask the Steering Committee to weigh in on
19 something like that. But, generally, it's
20 handled at the C staff and Board level.

21 That's why we've kind of built
22 that in. If there's something missing or that

1 we need to do, to do it during that comment
2 period as well, so it's not happening all the
3 time.

4 MS. DORIAN: Any other last minute
5 questions/comments? We would just like to
6 thank you so much. It's been a wonderful two
7 days. We've really appreciated your candor
8 and I think it's been just a great evaluation
9 of these measures. Thank you to our wonderful
10 co-chairs. You've led the group very well.

11 And I hope you will all also join
12 me in thanking Karen for her last meeting
13 here. I will miss her. She'd led us so
14 wonderfully these last two days. Thank you.
15 And thank you, everyone. Have a safe trip
16 back. And, Operator, we can end the call now.

17 (Whereupon, the above-entitled
18 matter went off the record at 3:14 p.m.)
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C E R T I F I C A T E

This is to certify that the foregoing transcript

In the matter of: Person- and Family-Centered Care
Standing Committee Meeting

Before: NQF

Date: 07-29-14

Place: Washington, DC

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