NATIONAL QUALITY FORUM

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PULMONARY AND CRITICAL CARE ENDORSEMENT MAINTENANCE STEERING COMMITTEE

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THURSDAY MARCH 22, 2012

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The Steering Committee met at the National Quality Forum, 9th Floor Conference Center, 1030 15th Street, N.W., Washington, D.C., at 8:00 a.m., Stephen R. Grossbart and Kevin Weiss, Co-Chairs, presiding

PRESENT:

STEPHEN R. GROSSBART, PhD, Co-Chair KEVIN WEISS, MD, MPH, Co-Chair PETER ALMENOFF, MD, FCCP, Veterans Health Administration HAYLEY BURGESS, PharmD, BCPP, Hospital Corporation of America MICHAEL E. CANTINE, BSAST, RRT, CPFT, Morristown Medical Center RUBIN COHEN, MD, FCCP, Hofstra University School of Medicine NORMAN H. EDELMAN, MD, American Lung Association WILLIAM BRENDLE GLOMB, MD, FCCP, FAAP, Texas Health and Human Services Commission TRUDE A. HAECKER, MD, FAAP, The Children's Hospital of Philadelphia DIANNE V. JEWELL, PT, DPT, PhD, CCS, The Rehab Intel Network DAVID LANG, MD, Cleveland Clinic

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MEASURE DEVELOPERS:

DAWN ALAYON, National Committee for Quality Assurance

MARK S. ANTMAN, DDS, MBA, American Medical Association

SUSAN ARDAY, Centers for Medicare & Medicaid Services (by teleconference)

KATHERINE AST, MSW, LCSW, American Medical Association

SUSANNAH MAY BERNHEIM, MD, Yale New Haven Health Services Corporation (by teleconference)

JOHN BOTT, MSSW, MBA, Agency for Healthcare Research and Quality (by teleconference)

DALE BRATZLER, DO, MPH, Centers for Medicare & Medicaid Services (by teleconference)

LINDY CHIN, ActiveHealth (by teleconference) KERI CHRISTENSEN, American Medical Association DEBORAH DEITZ, RN, BSN, Centers for Medicare

& Medicaid Services (by teleconference) JEFF DREFFORD, Agency for Healthcare Research and Quality (by teleconference)

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ALSO PRESENT:

MAUREEN DAILEY, American Nurses Association
 (by teleconference)
SHEILA HEITZIG, American Academy of Allergy,
 Asthma & Immunology
MELBA HINOJOSA, Health Services Advisory
 Group, Inc. (by teleconference)
DARRYL ROBERTS, American Nurses Association
 (by teleconference)

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(8:03 a.m.) CO-CHAIR GROSSBART: Well, good morning. I hope everybody had a pleasant evening last night. I made it down to the cherry blossoms. So it was beautiful. Before we get started, I did want to just check in and see how many of you are 8 unable to stay until the scheduled adjournment 10 time at three o'clock? How many of you are going to catch an early flight? 11 Then how early, for those of you who are leaving -- So 12 13 two o'clock? Two-thirty-ish? Okay. I think our goal will be to finish 14 15 early anyway. So we can do that. 16 Let's get started then. Do you want to say any opening welcomes or hand it 17 over to Reva? Do we have updates that we want 18 19 to share with the Committee? We sent the

P-R-O-C-E-E-D-I-N-G-S

DR. WINKLER: Let me just ask, 21 22 does anybody have any issues or questions they

email out yesterday regarding the Minnesota?

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would like to ask of the staff? Otherwise, thank you all for being here again today.

CO-CHAIR GROSSBART: Then let's get started. We are going to have a little juggling around in our agenda. The first change is that Measure 0231 on the top of the last page of the agenda, top of page 4 of the agenda -- We are going to move that up to our first consideration of the candidate measures.

Dr. Patrick Romano is here, and he is going to, as a measure developer, give us the overview. What we are asking is each measure developer to provide us about a two to three-minute overview of the measure before Committee begins to review. So, Dr. Romano.

DR. ROMANO: Hello. Good morning, everyone. I am pleased to represent AHRQ this morning. I am a general internist based at UC Davis School of Medicine in Sacramento.

This measure, Pneumonia Mortality Inpatient Quality Indicator (IQI 20), is part of the inpatient quality indicators module of

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the AHRQ quality indicators. It is intended for application to all payer datasets, hospital, administrative or discharge datasets such as those that are collected by 43 state health data agencies around the country.

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It is intended for application to datasets that may not permit linkage of patient information across episodes of care. 8 obtains the information about risk it 9 So 10 factors and outcomes from within the record of 11 a single hospitalization.

This is a risk adjusted measure, 12 13 and it is risk adjusted using so а hierarchical model that includes the patient's 14 risk factors and hospital's in effect, and in 15 that way it is similar to a number of the 16 other inpatient quality indicators. 17

18 I will stop there and just take 19 questions later.

20 CO-CHAIR GROSSBART: Does the 21 Committee have any questions for the 22 developer? All right. Then moving on -- Are

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1 we still working on video on the screen? Okay. MEMBER ALMENOFF: So this is an administrative risk adjusted model? DR. ROMANO: That is correct. MEMBER ALMENOFF: And it is an inhouse death rate or a 30-day? DR. ROMANO: It is an in-hospital 8 9 mortality death rate -- in-house death rate. 10 Correct. ALMENOFF: 11 MEMBER Because CMS already has a 30-day pneumonia rate. 12 So how is that different than this? 13 Right. That 14 DR. ROMANO: is I think that is the next measure on 15 correct. 16 the agenda. So different users have different Basically, the 17 datasets. AHRQ quality were developed in 18 indicators response to 19 demand from stakeholders and users who don't ability to link post-discharge 20 have the 21 outcomes. offers 22 So this а measure of NEAL R. GROSS COURT REPORTERS AND TRANSCRIBERS

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pneumonia mortality that does not require a linkage to post-discharge outcomes.

MEMBER YEALY: If I could jump in: To have only in-hospital as opposed to 30-day or 60-day, the problem would be, as health 5 care delivery changes, particularly the development of long term acute care facilities, you could actually have 8 а diminishing in-hospital mortality rate with 9 really no change in death, just because people 10 would die in a different location. 11

So you really actually need both of these side by side, an in-hospital and then some other distant. Whether it was 30, 60, or 90, you could have a debate about, but if you truly wanted to measure the outcome, at a minimum both of those are needed.

18 MEMBER RHEW: I would completely 19 agree with Don. I think you need both of 20 them, but at the same time I think there is 21 value in having just the in-hospital focus as 22 well, so you can look specifically. Certain

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measures will directly impact the hospital stay. So I think there is value there, but then the corollary is you have to complement that with the 30-day.

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MEMBER ALMENOFF: That is not my point. In our system, we already do both, and I agree. You need to do both, but if one, the 30-day model, is going to be one type of model 8 then in-house model is 9 and an usinq а different administrative model, then it is not 10 an apples to apples comparison. So I am just 11 kind of wanting to understand, is this going 12 13 to be a CMS measure for everybody?

Is this going to be one model for 14 15 inpatient, one model for outpatient -- excuse 16 me, one model for inpatient, one model for 30day, and they are different models? I don't 17 18 know how to do a comparison if they are 19 completely different models. That is my 20 point.

21 CO-CHAIR GROSSBART: I actually 22 think we are jumping ahead to related and

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1 competing measures.

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MEMBER ALMENOFF: I know. I am sorry. A good conversation, but I do want to turn this over to Don Yealy to walk us through the measure, and we do have the documentation up on the screen now.

MEMBER YEALY: From the impact side, there was little debate about whether or 8 this important thing 9 not was an to be 10 assessing. Obviously, it is a common disease with a nontrivial fatality rate that can be 11 impacted upon by the actions of health care 12 13 providers. So we had no concerns about that.

There appears to be a performance gap -- in other words, that the death rates aren't within a narrow band across sites. There also appears to have been improvement from the date that were available over an extended period of time. So it has changed, but there is still more opportunity.

21 The evidence behind this, there 22 was little or no conversation about whether

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1 there is any concerns.

2	Moving on to the rationale and
3	usability and feasibility, I may as well just
4	take them in one lump. The only question that
5	came I think it, in some ways, overlaps
6	what your concern is is that the
7	administrative risk adjustment is easily done,
8	but may not fully embrace some of the illness
9	burden differences at onset.
10	Having said that, I am not sure
11	how one would be able to do that. Obviously,
12	one of the The use of one of the risk
13	stratifying tools at time zero would be the
	stratifying tools at time zero would be the
14	best way to do it, but it is not easily done
14 15	
	best way to do it, but it is not easily done through an administrative dataset. So you are
15	best way to do it, but it is not easily done through an administrative dataset. So you are
15 16	best way to do it, but it is not easily done through an administrative dataset. So you are left with this. What you are left with is a

21 providers, but it does not appear to be a 22 systematic issue, and it doesn't appear to be

burden rather than the actions of the

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amplified in any particular band of patients. So at the end we were comfortable with this being a measure.

CO-CHAIR GROSSBART: Since we have the developer here, Dr. Romano, do you have any response to the concerns about the risk adjustment?

It is certainly a DR. ROMANO: 8 valid concern. There is ample work in the 9 10 literature regarding physiologic predictors of pneumonia mortality. We do know from some of 11 the work from the Yale team, actually, that 12 13 will follow me, that the administrative data, the comorbidity information, does surprisingly 14 well in risk adjustment and accounts for most 15 16 of the variation in apparent severity across But having said that, there is 17 hospitals. also evidence from Michael Klein's work and 18 19 others laboratory data and physiologic on parameters such as oxygen saturation that add 20 additional value to the risk model. 21

Going forward, I think, into an

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era of electronic health records when more 1 and other users beginning states are to collect additional information that is available form the electronic health record, there will be opportunities to enhance the 5 risk adjustments, and we have already begun 6 exploratory analytic work in that area using the data, pilot data, from several states. 8 CO-CHAIR GROSSBART: I think, at 9 10 this point with this measure we can step through the voting, and then after that we 11 will move on to the CMS measures. So, Don, in 12 terms of --13 MEMBER YEALY: In none of these 14 15 were there any concerns. They were all high 16 or strongly positive. Our risk adjustment concern, while voiced, did not temper or alter 17 the overall. So we could go through each one, 18 19 one, but there was fairly strong one by support across every evaluative part of the 20 21 process. 22 CO-CHAIR GROSSBART: So again, NEAL R. GROSS COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W.

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1 going through our process yesterday, let's vote on the impact -- Well, first of all, other comments from the Work Group? I am sorry. Then let's open it up for the Any questions for the Work Group Committee. 5 Don on the impact assessment? Okay, or Jessica, let's go. Let's vote, a one through four scale again. High is one, moderate is 8 two, low is three, and four is insufficient. 9 It appears that the batteries are 10 well rested. So we have 17 votes for High and 11 one vote for Moderate. 12 13 Let's move on to the next area, which is the performance gap. 14 Don, any 15 comments? 16 MEMBER YEALY; No, unless someone has a specific question. I seem to be pithy 17 18 today. 19 CO-CHAIR GROSSBART: Yes. Work Committee, questions 20 Group, any on the performance gap, again a one to four scale, 21 one being the highest. Seventeen High and two 22 NEAL R. GROSS COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

Moderate. 1

2	Then moving on to the evidence
3	base, this is a simple yes or no or
4	Insufficient. Any questions or comments that
5	you want to add, Don, or the Work Group. Any
6	questions for the Work Group from the
7	Committee? Then let's vote. One yes, two
8	No. It is unanimous, 19 Yes.
9	Now let's move on to reliability
10	and validity. We touched on some of these
11	points already. Don, do you just want to give
12	us an update?
13	MEMBER YEALY: No. Again, I
14	think, while there are some concerns, any of
15	the stratification opportunities don't appear
16	to be systematic or isolated in a particular
17	band and don't really threaten the measure as
18	it is stated.
19	CO-CHAIR GROSSBART: So let's vote
20	on the reliability, again a one to four scale.
21	Fifteen votes for High and four votes for
22	Moderate. No other votes.
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Now validity? All right. Excuse me? MEMBER ALMENOFF: I was asking what the C statistic was. CO-CHAIR GROSSBART: So that was a question. DR. ROMANO: It is reported in the measure submission form. Someone else may 8 find it before I do. 9 MR. BOTT: Yes, this is John Bott 10 with AHRQ; .849. 11 CO-CHAIR GROSSBART: Pretty good 12 13 for government work. Any other questions, comments from either the Work Group or the 14 15 full Committee? Then in terms of the validity 16 vote, a one through four scale. Seventeen votes for High and two 17 votes for Moderate. 18 19 Now we move on to usability and feasibility. So usability? 20 MEMBER YEALY: Again, these seem 21 fairly straightforward and easily described 22 NEAL R. GROSS COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

and communicated.

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that respect.

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CO-CHAIR GROSSBART: just Reva mentioned, well currently publicly as as reported. Any questions for -- Any comments from the Work Group, and any questions from 5 the full Committee? 6 MEMBER YEALY: The only questions this would deal with the risk about 8 stratification, really, which we have already 9 10 essentially assessed on a different metric. All right. 11 CO-CHAIR GROSSBART: yes? 12 13 DR. ROMANO: I do want to stress, and Dr. Drye just asked this also in response 14

to one of the earlier comments, that this is

reporting and Hospital Compare. So it is not

in direct competition with the Yale measure in

CMS

for

by

being used

20 CO-CHAIR GROSSBART: Thank you for 21 that clarification. So we are voting on 22 usability. First of all, are there any

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questions or comments from the Work Group or the full Committee? If not, this is again a one through four vote, and let's vote.

The vote is 16 with a vote of High and four with a vote of Moderate. No other votes.

7 Then feasibility. Any comments, 8 Don?

9 MEMBER YEALY: Dead or alive is 10 not usually a challenge to identify.

11 CO-CHAIR GROSSBART: You would be 12 surprised. Any questions or comments from the 13 Work Group or the full Committee? So let's 14 move to our voting, a one through four scale.

How many votes do we have recorded so far? We have 18 with a rating of High and two with a rating of Moderate.

Now our final question, the overall rating and endorsement of the measure. In favor of endorsement, vote one; opposed, vote two. One more vote. Everyone voted? There we go.

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1	It is unanimous, 20 in favor.
2	Next on the agenda, we are going
3	to ask Elizabeth Drye, Dr. Drye from Yale new
4	Haven Health System, I believe, representing
5	CMS, to discuss the four mortality and
6	readmission measures for pneumonia and COPD.
7	Please take a few minutes to give an overview
8	of the measure, and feel free to address any
9	concerns that you have heard along the way
10	from the Committee.
11	DR. DRYE: Thanks so much. I am
12	Elizabeth Drye. I am from Yale, and I think -
13	- I just want to confirm I have on the phone
14	the rest of our team up in Connecticut. Are
15	you guys there? Wonderful.
16	So I am going to briefly go over
17	the four measures, the mortality and
18	readmission measures for pneumonia and COPD,
19	and I think, after talking to Reva, the most
20	useful thing would be to actually talk about
21	the two all four of them together, but I
22	will start with the two mortality measures and
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then the two readmission measures, because the measures and the readmission mortality measures, are structured very similarly, and just covering different patient they are group.

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know, the pneumonia As you measures have been around for several years, and they are publicly reported on Hospital 8 Compare, and the COPD measures are newly 10 developed.

For mortality, I just wanted to 11 briefly describe our approach to the measures. 12 13 They risk-standardized, all-cause are mortality measures that look at mortality 14 15 within 30 days of admission. We do include 16 transfer patients. We basically evaluate an episode of care, which starts at admission to 17 the hospital. 18

19 So if the patient is transferred after that in another acute care setting, we 20 attribute to the outcome, death or not death, 21 to the first admitting hospital. We exclude 22

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patients who we leave against medical advice, actually, from all four of the measures. The measures are risk adjusted, as you know, using claims data.

For the pneumonia measures, we were able to validate that risk adjustment very extensively against a national dataset of chart abstracted data and, as Patrick mentioned, the performance of the model is really good.

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11 The rates that are produced by 12 chart based and clinic based models were 13 highly correlated at the hospital level.

For readmission, our modeling approach is the same, but our exclusions and our time frame are a bit different. We start the 30-day clock at discharge, and it is the acute care hospital that is discharging the patient to the non-acute setting.

20 So if a patient is transferred 21 between two acute care hospitals, it is the 22 second hospital, the discharging hospital,

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that would be assigned the outcome of readmission or not.

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Our rational for that is that we are really looking at quality, but also at transitions of care and the management of the movement of the patient out of the acute care setting. We also exclude patients who leave against medical advice, as I mentioned before.

9 The readmission measure for 10 pneumonia is publicly reported on Hospital 11 Compare, and COPD, as I mentioned, is new.

I wanted to mention a couple of --12 13 The main changed term to the pneumonia measure -- I just want to mention a couple of things, 14 15 the changes to the pneumonia measure since it 16 endorsed several years ago, and then was respond to a couple of issues raised in the 17 Working Group that reviewed the measures for 18 19 this Committee in February.

The main change to the pneumonia measure is that we respecified the measure for patients 18 and over. As you probably know,

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we developed the measure in the Medicare fee for service population, which is a population in which we have wonderful national data, including inpatient and outpatient history on all patients in that age group.

We were able to obtain data from the state of California, as you know, a very large state, and look at how the measure worked in the population 18 and above, and we were really pleased with what we saw.

11 basically focus We had to our testing on two issues. One was that we didn't 12 have data for non-admissions for either non-13 admitted -- or data from patients who were 14 15 seen at the hospital but not admitted or data 16 for patients in the outpatient setting, in the physician office setting. 17

So we had less data available for risk adjustment. We only had admissions data for risk adjustment, and then of course, we were looking at a different age group, and we really had to ask whether the risk adjustment

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variables we were using were the same -- had the same relationship to the outcome of mortality or readmission in those age groups as in the older age group.

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So we tested both aspects of those differences, and for all four of the models 6 they performed really well in the 18 and over fact, the patient level age group. In 8 a little bit better 9 discrimination was in 10 those age groups, and we also tested the 11 interaction between the risk age and adjustment variables and adding interaction 12 13 terms with the thought that perhaps these behave variables differently 14 in younger 15 It didn't really change patients. the 16 performance of the model at all or the rates estimated by the model. 17

So it was convenient for us, but 18 19 also, think, hopefully, helpful for the Ι and payer and user community 20 provider for these measures that we could respecify these 21 measures as 18 and over measures, and they can 22

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be used then by states to assess COPD or pneumonia mortality or readmission.

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Let me just speak to the couple of issues that came up in prior meetings. One was there was a question about whether use of readmission measure would incentivize а hospitals potentially to increase their use of observation stays lieu of in admitting 8 patients who come back to the hospital within 9 10 the 30-day time frame. That is a great question, and it is one that, actually, CMS is 11 already aware of. 12

Part of our work is to follow what is happening with observation stays. I wanted to respond directly to it. We have a report that we did looking at the rate of observation stays across hospitals, and we looked from 2007 through the end of 2009.

19 The AMI, heart failure, and readmission were 20 pneumonia measures just posted publicly beginning in 2009. So if we 21 looking for an effect of that public 22 are

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reporting, it would be very hard. It would be, really, too early to see.

There is only six months of this data we had that post-dated that public reporting, but if you look up on the slide, the top line is AMI. The middle line is heart failure, the red line, and the bottom line is pneumonia, and the x axis is the year. 8 We looked at six-month intervals across 9 the 10 three-years of data, 2007 through 2009, and hospital 11 the axis is the mean level У observation rate within 30 days of discharge 12 13 for these conditions.

The y axis -- I don't know if you 14 15 can see the numbers, but they are very small. 16 The highest bar is 2.5 percent, and pneumonia tops out just over 1 percent. So the typical 17 hospital is really -- Actually, more than half 18 19 the hospitals really had no use of observation stays, but the median hospital was just over 20 one percent at the very end of our 21 time 22 period, so a very small use of observation

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services.

2	When you look at patients using
3	those services without being readmitted in
4	other words, without otherwise being captured
5	in the measure the numbers are even
6	smaller. That is the next slide.
7	I apologize. We changed the axis
8	on you, but the top bar is now 1.8 percent.
9	So this is something we need to track,
10	particularly if readmission measures are used
11	for payment, and we hope that enough will
12	continue to track it. We are very interested
13	in tracking it.
14	I think that is their plan, but
15	right now there are very low levels of uses of
16	observation stays for these patients.
17	Does anyone have any questions
18	about that before I make one last comment?
19	Okay.
20	Another issue that came up was the
21	potential use of an environmental factor,
22	particulate levels this is in the COPD
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discussion -- to risk adjust for risk of mortality or readmission.

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As I mentioned, I am sympathetic to that, because the biological mechanism potentially is very clear. There are county level data that EPA collects, as mentioned, on particulate levels, but the step of incorporating that or other environmental factors into our measures is a big step.

10 So since the meeting in February, 11 we took a very cursory look at the literature. There is not much yet on relationship. 12 We 13 did see some studies on relationship to admission, but not a lot on relationship to 14 15 readmission or --- you know, these are very 16 specific outcomes, 30-day readmission or mortality following hospitalization for COPD. 17

There was a study recently done by the United Kingdom that looked for the relationship between air pollution, ambient air pollution levels, and they also did some modeling, and the outcome of COPD admission.

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They are focused on that because of the very, very high cost to the UK of caring for those patients, but they really didn't find anything.

The strongest association was with nitric oxide and not particulates. Not to say it isn't there, but the step of linking the actual levels to the clinical exposure and 8 then to our outcome is quite a big step, and 10 we, I think, will continue.

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11 In our group, we are starting to look at environmental factors and how they may 12 13 be affecting the outcomes of interest, but we are the beginning of that work, and really not 14 15 able to incorporate it in this short time 16 frame.

So I will stop there and see if 17 you have any questions. 18

19 CO-CHAIR GROSSBART: Norm? For the 30 days, 20 MEMBER STEMPLE: if someone goes to a LTAC Smith rehab, does 21 days start at discharge from that 22 the 30

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alternative level or from the acute inpatient? 1 DR. DRYE: For our measure we just looked at the 30 days post-discharge from the acute care hospital setting, and we are indifferent of where you go, but I would just note that CMS is working on measures that look at readmission in post-acute care facilities. CO-CHAIR GROSSBART: Norm, you had 8 your hand up? 9 10 MEMBER EDELMAN: Yes. Thank you 11 very much for addressing the pollution issue, and I was the one who raised it. I raised it 12 13 primarily with regard to all-cause mortality. So you are not measuring mortality due to 14 15 COPD, which I think you referred to in your 16 discussion. You are measuring all-cause 17 mortality. 18 There is very, very strong data 19 going back 20 years to the famous Six Cities study that air pollution, largely PM2.5 small 20 particles, explains a significant degree of 21 22 unexpected mortality for cardiopulmonary NEAL R. GROSS COURT REPORTERS AND TRANSCRIBERS

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disease, not necessarily for pulmonary disease alone or cardiac disease alone, but for cardiopulmonary disease.

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The level of air pollution is not under control of the hospital. So this is a 5 potential source, in my opinion, of unintended bias which, Ι think, could be quite significant. I understand the difficulty of 8 including such a metric in the standard that 9 is going forward, but I 10 do think it is incumbent upon the developer to do a pilot 11 study, and that wouldn't be hard, simply to 12 13 test this hypothesis; because put in air pollution levels or you can take a sample, if 14 it is too much work to do it for the entire 15 16 cohort, and see -- Those are parametric measures. Your model is designed to deal with 17 18 parametric measures, and see if you 19 significantly reduce the variants.

That can't be a difficult job, and I really think it is incumbent upon you to prove me wrong.

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CO-CHAIR GROSSBART: Any other questions for the developer? Mitchell? MEMBER LEVY: I think these are important metrics to track. You have three or four years of data. Is there any evidence 5 that the mortality rate or readmission rate is changing? Thanks for asking. DR. DRYE: I 8 meant to mention. So far, there is not really 9 10 a trend, except in AMI mortality, which has been dropping steadily, actually. 11 CO-CHAIR GROSSBART: 12 Any other 13 questions from the Committee? I do have one. Oh, go ahead, Trude. 14 15 MEMBER HAECKER: What about 16 hospice care and the patients that have a predisposition? Is an exclusion in here? 17 That is another 18 DR. DRYE: Yes. 19 good question. For the mortality measures, 20 we really -- What we would love to be able to Is the patient coming into the know is: 21 hospital for palliative care only? In other 22 NEAL R. GROSS COURT REPORTERS AND TRANSCRIBERS

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words, their goal is not survival, because we are trying to use mortality as a quality signal.

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We have looked really extensively at the best approach to doing that, given the 5 data that we have. We modified the measures up after they were -- I think it is for all of them -- since they were endorsed to exclude 8 patients who had a history of enrollment in 9 Medicare hospice up to and including the first 10 day of admission. We still would apply --11 That exclusion stands for use in the Medicare 12 13 population. We don't really have a comparable indicator for the 18 and over. 14

15 We have looked at other indicators 16 extensively, the V66.7 code, which is а concept for palliative care, and discharge to 17 hospice. Believe it or not, these patient 18 19 groups that have those different codes really do not overlap, and we are really trying to 20 capture -- V66.7 is increasingly used, which I 21 22 think is thing, just for pain а good

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palliation, not only for end of life toward management.

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So we continue to think about that question, and we welcome any suggestions on how to do a better job, but right now we think the most accurate way to handle it and make sure we are not adjusting -- What we don't want to do is adjust for a patient -- or 8 include patients who transition to a hospice 9 10 status due to poor quality of care.

11 So really looking for we indicators 12 get at or close we can to 13 admission, and we welcome suggestions, but I think we reaffirmed our standing approach for 14 15 now.

16 MEMBER RHEW: I'm sorry. Could you clarify. Did you say that it is only at 17 the time of admission? So if a patient during 18 19 the hospitalization was deemed a candidate for hospice and then they were sent to hospice, 20 they would be included in the measure for 21 22 mortality?

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DR. DRYE: They are included in the mortality measure, if it is not at on or before the day of admission.

MEMBER RHEW: That is only if they die within 30 days, though.

DR. DRYE: Right. I mean, they would be included in the measure. Their outcome is what it is.

CO-CHAIR WEISS: question 9 Α Ι 10 asked yesterday broadly about this dropping of the age group down to age 18 in the COPD 11 environment. At least in my mind, an 18-year-12 old with COPD feels like a different thing in 13 terms of clinical scenario than an individual 14 15 who is older.

16 What have you learned so far as you have dropped the age to 18 in the analysis 17 you have done in terms of how much that 18 19 younger group is contributing to this, and is that contributing enough that it is a real --20 it is important to put those lower age groups 21 22 just political in, or are we this as а

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gesture, so we can say it is 18 and older?

I don't quite understand why we are going down so early in age.

DR. DRYE: That is a good question about COPD, in particular, I think. I am a pediatrician by training. So I confess to not being an expert in any way in COPD.

I can't remember. I am going to 8 colleague, if 9 ask Laura Grosso she my 10 remembers, but I know that at least one COPD measure we looked at -- or it was maybe in the 11 literature -- looked at 40 and over for COPD 12 13 patients.

I think it is good. I just don't 14 15 think you see many patients in that age group 16 with that diagnosis. I guess the question is the ones that you are seeing, if you just went 17 to 18 and over, would including them sort of 18 19 create a bias against hospitals that took care of certain kinds of younger patients who had 20 obstructive disease? 21

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It is a good question. We didn't

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look at that age group specifically, but the measure -- I think that you probably could apply it. You could draw that cutoff wherever you felt was clinically reasonable. It was cleaner for us to specify it at 18 and over.

CO-CHAIR WEISS: Ι just am thinking, if there is centers of excellences in pulmonary medicine who really were tackling 8 these difficult early diagnosed patients. Ι 10 don't know if there are that many of them.

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I can look and 11 DR. DRYE: Yes. get back to you on that on what we are seeing 12 13 in the California data, if you like. I don't know off the to of my head, and we can look at 14 15 the death rates there, too, in that 30-day 16 outcomes in that age group.

MEMBER LEVY: I want to go back to 18 19 my question about tracking rates over time, all 20 because we assume this is а quality measure, but do you look at the hospitals that 21 are outliers and see if the reporting has 22

CO-CHAIR GROSSBART:

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Mitchell?

changed their rates of readmission or mortality; because we all assume it is a quality indicator, but if over four years in the hospitals that are outliers for both readmission rate and mortality there is no change, I just wonder what the effect of the reporting is.

Right. I don't think DR. DRYE: 8 9 know yet. Because they are outcome we 10 measures and it is important to get as many cases as we can to get the reliability of the 11 measure results, CMS uses three years of data 12 13 when they publicly report the pneumonia mortality measure. 14

15 So this year, when they put the 16 results out for 2012, it will be basically on 2009, '20 and '11 data. So there is a lag in 17 the effective quality improvement efforts. 18 We 19 are starting to look at those shifts. In readmission, it has just been really recent. 20 I can tell you, we know that there 21 is a lot -- Let me just shift to readmission. 22

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There is а ton of focus on quality readmission right improvement in that now wasn't there several years ago at our own hospital and nationally.

I think everyone is aware of that, but whether those high outliers, which I think is a really good question, are coming down, we really haven't sorted that out yet. We need to keep following it.

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10 CO-CHAIR GROSSBART: I was going to add to that point. Overseeing quality in a 11 24-hospital system, we can't really use the 12 13 CMS data for process improvement, because it is so old. All we can do -- plus we don't 14 15 have the post-discharge data, although we are 16 working to get it -- excuse me, the Social Security death files. We are working to start 17 18 looking at our own rates, but it is really 19 tough, because the data is so untimely.

20 So we are focusing on in-house 21 mortality, which is something we feel we could 22 control and measure, but we do realize that we

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are discharging patients to hospice too late in their life, and we are working on earlier recognition and moving a patient to hospice. But it is hard to drive change with this measure. Payment might help a little, or payment penalties.

I do have one technical question. As I read the measure specifications for readmissions, an index admission is defined as not being preceded by an admission in the previous 30 days, and a readmission is defined as one or more admissions within 30 days postdischarge.

So is every patient at risk of no 14 15 more than -- being used no more than once in 16 the numerator? So in other words, if I --Does one patient discharged within 30 days and 17 then readmitted once have the same impact on a 18 19 hospital's readmission rate as a different patient that is readmitted three times in a 20 30-day window? 21

DR. DRYE: Right. So that is a

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good question and one we grappled -- You know, we grappled a lot with how to structure this measure, because repeated readmissions or admissions for the same patient are statistically correlated.

6 So if you put them in, you get 7 your results to some degree, but we need the 8 measure to be actionable. That is, if you 9 really get -- You know, a hospital is really 10 effective at bringing down the patients who 11 are readmitted frequently. We want that to 12 show in the measure score.

I don't mean to confuse you with this answer, but I am just going to contrast a bit here. For this measure, you are exactly right. I appreciate your careful reading of the spec.

If a patient is admitted, as you mentioned, January 1st, and they are readmitted twice in January, the outcome is just binary. Were they readmitted once or more, or not? We don't take those next two

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1 admissions, the readmissions, and use them as index, really, basically, for statistical We are being careful statistically. reasons. But that patient could be in the dataset more than once in a year, because they could get 5 admitted again in February, March, April, May, and every time we move out of that 30-day window, we will take the next admission. 8 I will just say that, for another 9 10 measure that is actually before NQF right now, 11 hospitalwide readmission too, we have а We made a different decision to 12 measure. 13 allow every admission to count as an index, even it was a readmission. We did a bunch 14 15 more analyses if that is really to see 16 problematic, and the trail seemed like the right one to make. 17 18 So, yes, you can be in more than 19 once, but not in the same essentially 30 days.

20 CO-CHAIR GROSSBART: Thank you. 21 That was very helpful. We have given the 22 developer a tremendous amount of time, and I

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do think we need to move on. So are there any final critical questions that need to be asked? Charles?

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MEMBER STEMPLE: As a health plan, we focused on the admissions and, not to toot our horn, but last year at CHF, our readmit rate decreased by 18 percent. In commercial population, we took it down 11 percent for readmission rate, because it was our number one clinical focus.

think as hospitals become 11 So Ι accountable and ACOs and medical homes and all 12 13 these things take grist for the dollars, whether there has been an improvement to date 14 that we can see, I would certainly anticipate 15 16 renewed energy from the hospitals that now are risk readmit dollars 17 at. for these qoinq forward. 18

So though today we might not see huge impacts from this, I assume over the next two years that we would see hospitals really focusing on this area, and the data is

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important just from future going, because I would assume the hospitals who are going to lose those readmit dollars are going to be very focused on these.

CO-CHAIR GROSSBART: And I would agree with you. My job is on the line, if we don't move our numbers next year. All right, with that, let's turn to our measure assessment.

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10 Also, as move on to the we 11 pneumonia outcome measures, I know there is going to be, in terms of impact and evidence, 12 13 a lot of redundancy in our data for pneumonia readmissions, pneumonia mortality, and same 14 thing with COPD. 15

So if we could -- I was going to say, if you guys could tag team a little on some of the early discussion, and we will merge them together and then we have to vote on them separately, but just at a high level. So to start off, I think, John, you are up first for 30-day, all-cause risk-standardized

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1 mortality for pneumonia.

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MEMBER PELLICONE: Yes. I think we have heard mostly about the importance. The only other issue here is the importance of taking outcome related to hospital care. That is the obvious message.

MEMBER STEMPLE: And for the rate, I think have readmit heard 8 we 9 I think it is very important everything. 10 data. The one thing that was missing, at least the data I showed in the California 11 model, they did look at disparity, different 12 13 groups, and basically, the data seemed to be the all different 14 same across groups, 15 socioeconomic, race, etcetera. So I think 16 they did a good job of validating that the outcome is agnostic to various differences 17 that you might put in. So I think the data 18 19 element sets were very good and valid.

20 CO-CHAIR GROSSBART: Well, with 21 that, let's go into our more detailed review 22 and voting for the pneumonia mortality

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1 measures. Let's start with the impact So, John or the Work Group, any question. additional comments you want to make about impact? Any questions from the Committee? Well, then let's vote. Again, a one to four scale with one being the highest. 6 How are we doing on the count? Everyone -- Down one? Okay. Get a couple 8 Try voting again. So we have 18 9 more votes. 10 with a rating of High and one with a rating of No other votes. 11 Moderate. Then moving to the -- You would 12 13 think I would have this memorized by now. Moving to our next category, the performance 14 15 gap and opportunity. 16 MEMBER PELLICONE: With regard to the mortality rate in the 2007 to 2009 report, 17 18 there was a significant gap and, importantly, 19 it not linked to the proportion of was minority patients being treated. 20 CO-CHAIR GROSSBART: Any further 21 comments from the work Group or the Committee? 22 NEAL R. GROSS COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

Hayley?

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2	MEMBER BURGESS: I may have
3	trouble articulating this, but I guess my
4	question is: Over time, now that we have had
5	a chance to play with this data, if you will,
6	have you gone back this is to the
7	developers Have you gone back and looked?
8	Is there a way to correlate the all-cause
9	mortality back to the pneumonia?
10	I am from a hospital system. So I
11	worry about this. Right? That, if they get
12	hit by a truck or if bad things happen in
13	other circumstances, we are getting blamed for
14	this. So I am just curious. If the ball
15	hasn't moved that much over four years, have
16	you gone back to look at I mean, is there a
17	way to look at all You know, whatever it is
18	of the cause of death, can you map that back?
19	DR. DRYE: So we chose to go with
20	all-cause mortality rather than I think the
21	alternative would be pneumonia related
22	mortality because when you look at, as you
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are suggesting, the causes of death, it is not just one thing for these patients. So for both mortality and readmission, you don't want to try to sort out what was the aspect of quality of care potentially that would have marginally affected this patient's risk of death.

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We not --These 8 are are not measures where the goal is zero. 9 We know that 10 there are going to be patients who die from 11 mortality, particularly in the Medicare population, and that rate is not -- it is not 12 13 going to go to nothing. What we are trying to encourage hospitals to do is to lower the risk 14 15 of mortality across the board with respect to 16 any of the patient's conditions or any of the risk factors. 17

Random events, we don't think, are going to influence the rates too much year over year. So it is completely bad luck and, you know, your patient gets hit by a car. That is not going to -- It is not something

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that should be sort of -- You know, this report is only affecting one hospital over another, but for both mortality and readmission, the goal is to try to lower risk and look at the patient as a whole.

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When we focused in on related causes, first, it is hard to know what is related, if it was a medication, too much 8 medication, the patient fell and broke her 9 10 hip. Is that related or unrelated? It may not be related to pneumonia, but it is related 11 to the care. 12

So we stay with all-cause, because it is most consistent with our goal of sort of whole patient care and lowering risk across the board, but you have to accept that the rates are not going to go to zero.

18 CO-CHAIR GROSSBART: Kevin? 19 CO-CHAIR WEISS: This is a great 20 question, because as you think about competing 21 risks across the age spectrum, as we all know, 22 they vary dramatically. If one were to do

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just a simple frequency distribution of causes of death for these 18-year-olds versus these 85-year-olds, it is a different list.

For a health system, interventions are going to look dramatically different to try and actually impact. So there is a hazard that was created when you went from a very tight age range, age banding, to a very broad 8 age banding in terms of what it means in terms 9 10 of how you can actually intervene on this 11 process when you deal with all-cause an mortality. 12

I think we will address that. It technically comes into ours as to usability, I guess it would be, in some sort of sense.

16 CO-CHAIR GROSSBART: Any other 17 questions or comments about performance gap 18 then? Go ahead.

19 CO-CHAIR WEISS: So what would be 20 thought -- I mean, since you are here, it 21 would be great, because your group does a lot 22 of thinking, and for the folks on the phone:

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What would you all think is a good rate? We know zero is not the rate, but is there a theoretical good rate that we should be going toward? If one looked at preventable mortality within this bandwidth of 30 days, any idea what we are aiming for, or just a best practice?

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This is a measure of DR. DRYE: 8 9 relative performance. So we are trying to 10 assess hospital performance relative to hospitals with similar patients, patients with 11 similar risk factors. You can look at 12 the 13 distribution, and it centers always on the in the nation, which for 14 average rate 15 pneumonia is -- hold on; I am trying to find 16 the distribution for you.

So what you can do is look at the 17 know, look at the 18 lower end. You 25th 19 percentile, at the 10th percentile, and see where are those hospitals. What is their rate 20 when they are doing really well? It may just 21 22 be one or two percentage points down.

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I would say that is true for mortality where, I think it is fair to assume that hospitals have tried for a long time to try to do as well as they can on mortality.

In readmission, our sense is there we all know, hasn't been a focus, as 6 on reducing readmission risk in hospital care until recently. So there, we think -- We 8 don't know what the target is, because we 9 10 really want to bring that whole curve down. We think it is high, and with some focus we 11 should be able to get the whole distribution 12 13 down.

DR. BURSTIN: Just brief 14 one 15 response. The other thing we have found is 16 really, for almost any adverse event, unless it is classified as something so serious and 17 incredibly unusual, this is very typical. The 18 19 C section rates, episiotomy in the perinatal world -- very similar. It is hard to know 20 what the target is, and I think the response 21 most appropriate, really 22 really just is

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looking across hospitals and starting to see trends.

Whether we are actually moving the curve down is really, I think, the key to those, but it is that question we hear every time one of these rate based measures come up that don't have a clear target.

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MEMBER LEVY: Now Ι am really 8 confounded by so many different factors. 9 That 10 is the thing that makes us all so nervous, beyond risk adjustment. 11 Once we start publicly reporting it and 12 it is pay for 13 performance, it is what everybody complains about our field, that we are leading ourselves 14 15 down a path.

16 CO-CHAIR GROSSBART: Well, that is a much broader philosophical question that I 17 don't think we want to -- and most of us want 18 19 to catch our planes at least by tomorrow. So let's move on with the work at hand, 20 and performance gap. I believe we are ready for 21 22 voting. So again, a one to four scale. This

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1 is the pneumonia mortality measure. The vote is 13 with a rating of High and six with a rating of moderate. Then moving on to the evidence for the measure. Any questions or comments? MEMBER PELLICONE: No evidence per se other than the rationale regarding the need to think comprehensively for the patient's 8 overall care. 9 CO-CHAIR GROSSBART: And it is an 10 11 Any comments from the outcome measure. Committee or the Work Group? Hearing none, 12 13 let's move on to voting, and this is a one to two scale, Yes/No, three for insufficient. 14 15 Fifteen, Yes; and four, 16 Insufficient. Now we move to our reliability and 17 validity questions. So reliability first. 18 19 John, any comments? MEMBER PELLICONE: if I understand 20 it correctly, I believe there is a built-in 21 reliability test here in that they do a random 22 NEAL R. GROSS COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W.

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subset and then retest. So that is where the reliability was, and apparently it was rated as moderate.

CO-CHAIR GROSSBART: Any comments by the Work Group? So the Work Group selected moderate. Any questions by the Committee?

MEMBER LEVY: Has the logistic regression risk adjustment ever been published 8 DR. pneumonia 9 DRYE: For the 10 measures, there are two papers in the 11 literature. I can give you those. I think they are in the -- Hopefully, we put them in 12 13 the application or Ι can qive you the citations. For COPD, we are still working on 14 15 those.

16 CO-CHAIR GROSSBART: Any other 17 questions or comments? Well, then let's move 18 on to the reliability question, again a one to 19 four scale.

Five, High; 13, moderate; one,
low. No insufficient.

And validity of the measure, again

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a one to four scale. Before we vote, John, any comments?

MEMBER PELLICONE: No.

CO-CHAIR GROSSBART: Work Group? Committee, questions? All right, let's move on with our voting, again a one to four scale. Seven, High; nine, Moderate; two, Low; one, Insufficient.

9 Now we move on to the usability 10 and feasibility sections. So in terms of 11 usability.

12 MEMBER PELLICONE: There was a dry 13 run in 2007 before it went completely public 14 to the hospitals. It appeared successful.

15 CO-CHAIR GROSSBART: Any questions 16 or comments from the Work Group or questions 17 from the Committee? With that said, let's 18 move on to our voting, again a one to four 19 scale.

20The vote was 13, High; three,21Moderate; two, Low; one, Insufficient.

And now feasibility.

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MEMBER PELLICONE: I think the point here is that there is access to more data in the CMS group than there is in the general all payer, over 18 group. CO-CHAIR GROSSBART: Any questions from the Work Group, comments from the Work 6 Group, or questions from the Committee? All right, let's move on to our voting, again a 8 one to four scale. 9 10 Fifteen votes for High; one, 11 Moderate; two, Low; one, Insufficient Information. 12 Now the overall vote: Yes or No 13 question. One is Yes; two is No. 14 15 We have 17 in favor of 16 endorsement, and two opposed. All right, let's move on to the 17 pneumonia readmission measure. Charles, you 18 19 up, and have already had the are we 20 introduction. So I think we can go into our So beginning with voting sections. 21 the importance questions. 22 NEAL R. GROSS COURT REPORTERS AND TRANSCRIBERS

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specific

add? Nothing more. MEMBER STEMPLE: Just the Work Group clearly felt this was an 5 important measure as we move forward looking at readmissions. CO-CHAIR GROSSBART: Any questions 8 for the Work Group from the Committee or any 9 10 comments from the Work Group? With that, let's vote, a one to four scale on the 11 importance of the measure or the impact of the 12 13 measure. We have 19 votes High; No other 14 15 votes. 16 Then the performance gap? MEMBER STEMPLE: The readmission 17 rate as we have talked about now, at least 18 19 Medicare reports out 18.2 percent in the 20 Medicare world. Since this is a new measure

So

do

you

comments about the importance that you want to

have

any

above, we really don't have background right

for the commercial population age

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18

and

1	now but, clearly, there is a performance gap.
2	As we talked about the opportunity to improve,
3	I think, is in the future in that we haven't
4	seen improvement over the past two years.
5	There hasn't been dollars at risk in the
6	hospital system. So I think that has been a
7	key driver of lack of improvement.
8	CO-CHAIR GROSSBART: Any questions
9	or comments from the Work Group or questions
10	for Charles? All right, let's move on to
11	voting. One to four scale again.
12	The results are 13 with a score of
13	High; five with Moderate; one with
14	Insufficient Evidence.
15	Now we are moving on to the
16	evidence, and again this is an outcomes
17	measure. Charles?
18	MEMBER STEMPLE: I have, really,
19	nothing more to say. I think the evidence is
20	there, and what I think wasn't brought out,
21	that there is a 12-back look-back, and each
22	member's claims to risk adjust that particular
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hospital and that particular hospital system. 1 So there is an extensive risk adjustment that has been validated. So the evidence is pretty good. CO-CHAIR GROSSBART: This is a Yes/No question: One, Yes; two, No. The results are 19 voting Yes; no negatives. 8 Now we move on to reliability and 9 10 validity section of our voting. So in the area of reliability, Charles, any comments? 11 MEMBER STEMPLE: Nothing, really, 12 13 to add. I think the data has been well validated, and I think the Work Group felt it 14 15 was very validated and reliable. 16 CO-CHAIR GROSSBART: Any questions

17 or comments from the Work Group or the 18 Committee? With that, one to four scale on 19 reliability.

20 We have a vote of 14 High on 21 reliability and five Moderate. No other 22 votes.

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MEMBER STEMPLE: No, not really. CO-CHAIR GROSSBART: Any questions or comments from the Work Group or the full 5 committee? Let's move on to voting then. In terms of validity, we have 11 votes High, seven votes Moderate, 8 one Insufficient. 9 10 Now we move on to our I'm _ _ 11 sorry, that was usability -- or now we move on to usability. Okay. Moving quick there. 12 So, 13 usability and feasibility are coming up. Usability, any additional comments? 14 15 MEMBER STEMPLE: Aqain, the 16 Committee felt that it was very high and rated this very high. Really, as we have talked 17 about, the data, I think, will be 18 more 19 critical as we move forward, and particularly expanding it to all populations over 18 and 20 not just the Medicare population. 21 22 CO-CHAIR GROSSBART: Any questions NEAL R. GROSS COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701

And now validity? Charles,

additional comments?

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any

or comments? Kevin?

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CO-CHAIR WEISS: So this is where my brain is giving me a strange itch, because it is just at 18 _ _ Extending of the population makes -- It just doesn't --For mortality, rates are low. Deaths, in particularly deaths around 18-year-olds, are sentinel events anyway. You really should 8 9 track them down, mobility for a person who has 10 been in with pneumonia probably represents something that may be associated, but the 11 likelihood of the next hospitalization for an 12 13 18-year-old having anything to do with that pneumonia is just, from a probability of 14 15 frequency distributions of hospitalizations 16 from 18-year-olds, is pretty darn low. It is going to be trauma. it is going to 17 be trauma/alcohol related. I mean, it is not 18 19 going to be pneumonia related. same thing for the 22-year-20 The

21 olds, 30-year-olds. You are not going to 22 start until you get to mid-forties and early

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fifties before that a readmission for pneumonia has a real likelihood of having anything to be associated with the care of the pneumonia that took place 30 days earlier.

think that, when So Ι it was developed as a remission -- in my mind, and this is where the itch is, is that for a Medicare population totally makes sense. Ιf 8 you are admitted for pneumonia, you probably 9 have got some sort of a pulmonary thing going 10 on, maybe hip fracture, all those things that 11 we know of in older population's morbidity 12 13 risk is drastically different for а readmission risk in a younger population. 14 Ι 15 don't see -- I think it is compacted for 16 mortality. So I wasn't as jittery in my mind. So I am just a little discomforted 17 usability piece here the for the 18 on 19 readmission for pneumonia. 20 CO-CHAIR GROSSBART: Any other questions, comments? 21 MEMBER BURGESS: Can the developer 22 NEAL R. GROSS COURT REPORTERS AND TRANSCRIBERS

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speak to that?

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DR. DRYE: Yes. Again, we haven't -- We could come back to it. We haven't looked at sort of different age called out specifically. I would say that the risk adjustment variables that predict mortality in readmissions do better in the younger age group, I think, because when there is a 8 9 comorbidity, it means more. Right? There are 10 fewer patients in that 18 to 65 group that have comorbidities. 11

in that group, the model is 12 So 13 discriminating well against who is at risk for mortality and who is at risk for readmission, 14 15 and even better than it is in the older age 16 group. But beyond that, I think if you have specifics about what we are seeing in this 17 18 California data, we could come back with 19 answers to those.

20Does anyone on Yale have anything21to add?

DR. BERNHEIM: No, but I agree

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with Suzanne. I think the one thing that we could do, that we have done for the other populations, is evaluate how much the baseline risk of admission is up in the 30-day period after a pneumonia admission, because this is what we have done in the older populations.

You know, the trauma and accidents has nothing to do with follow-up care. 8 You 9 would expect the rate of -- the sort of 10 baseline rate of admission to qo down 11 immediately, and we haven't done that with different cutoffs, and we certainly could. 12

13 CO-CHAIR WEISS: So I see that a really strong response 14 response as for 15 validity. I think you have done your work 16 There is no question that this is a here. measure with a risk adjustment that 17 seems valid. 18

It is the usability issue that I am thinking about, and that is: So we have an 18-40-year-old readmission for pneumonia allcause readmission, and in an older population

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1	I am thinking, well, that is probably related
2	to comorbidity and probably a higher degree of
3	repeat pneumonia. But what does it mean to
4	have this usability? What I do with that
5	information in younger populations for an all-
6	cause, and how am I going to intervene with
7	it, if I was in Steve's shoes where he is
8	trying to change a whole hospital system
9	around it?
10	So I don't know. It is kind of an
11	interesting question. When you drop the age
12	group, it opened those issues up in mind, at
13	least.
14	CO-CHAIR GROSSBART: But at some
15	level, addressing it from a hospital
16	perspective, these are rare and random, and
17	the rare and randomness isn't driven by the
18	hospital demographic. So it is not making a
19	difference in overall hospital rates, because
20	it is just random noise.
21	It is like being hit by the truck
22	or falling when you are in the parking lot
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walking out. Of course, a social worker might have been able to help on some transportation home.

CO-CHAIR WEISS: But why introduce noise into a measure system when you don't have to?

CO-CHAIR GROSSBART: Well, because you do have to, because you can't -- See, you 8 are saying we'll make the age older, but it is 9 10 not -- Does it truly negatively impact the 11 usefulness of the measure from a provider standpoint? I am not going to focus on my 18-12 13 26-year-old readmissions, you know. Ι am going to focus on those one out of every two 14 15 patients that we don't connect with a doctor 16 in the first 30 days after they go home.

17 MEMBER STEMPLE: And I think, you know, move into ACOs and other 18 as we 19 accountable organizations, they are stratified for their global readmission rate, and it is 20 not broken out to age-specific categories, and 21 22 I think assessing -- there may be a lot of

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noise and interference, but whether it is a contributor of one-half of one percent to the overall, as we are looking at organizational performance globally across the country, we are not age stratifying outside of, quote, "commercial Medicare."

7 So I think every other measure 8 that I am aware of, basically, starts at age 9 18 out of the pediatric age group and goes up 10 to the adult age group. So I think that is 11 just in concert with other ways we are looking 12 at performance measures.

13 Admittedly, the background noise of the trauma should equalize across, as we 14 15 have said for other measures. So even if it 16 is a small contributor, I think to try to take other define 17 measures now and the age population where it may have more a critical 18 19 element is not how we are in this country 20 looking at performance measures. То substratify into age range just complicates 21 22 the whole system.

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1	So I think the standardization of
2	the methodology and using age 18, as we do for
3	the vast majority of things, seems to make
4	sense, just from a methodological effect.
5	Makes sense to me from my world of managed
6	care where I don't stratify my physician risk
7	group by I look at their readmit rate
8	globally. It is not cut out to different
9	categories, different ages, and the
10	complexity, at least of me, to measure that if
11	I was only looking at 40 years and above would
12	be a very difficult thing to do.
13	CO-CHAIR GROSSBART: Brendle, you
14	had a comment?
15	MEMBER GLOMB: Yes, Stephen. You
16	said in safe group, rare and random, except in
17	hospitals that specialize in taking care of
18	cystic fibrosis patients, it is a group that
19	is going to be not only not rare or random but
20	somewhat expected. So both three admissions
21	and mortality will adversely affect those
22	hospitals' numbers who do specialize, and
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there are very few who are willing to take care of these patients.

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CO-CHAIR GROSSBART: And does the risk adjustment model adjust for them?

MEMBER COHEN: CF would be counted as -- Cystic fibrosis would be counted as a cystic fibrosis related exacerbation, not as pneumonia. At least, that is how we call them, because we have a very big CF center.

10 MEMBER BURGESS: I would like to 11 speak to the 18-year and older thing. Because it is tradition, does that make it right, 12 13 because we have talked about COPD an including 18 to 40. You know, NCQA has some data around 14 15 that. Their data was very muddy in that 16 space.

I know we are not talking COPD right now, but I am struggling a little bit with this, that we are saying it is okay, because it is traditionally how we do this. This is the committee's -- This is our responsibility to think about is it the right

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1 direction that we are going in.

So we have an opportunity to speak to that now versus to say, you know, it is I don't know. It does not feel quite okay. right to me in this space. So for the record. CO-CHAIR GROSSBART: Helen, do you have a comment? DR. BURSTIN: I was just going to 8 make the comment that it has actually not been 9 10 the tradition. The tradition has been these measures have only been limited to 65 and up 11 and, in fact, it is through the encouragement 12 13 of private purchasers and plans and others who said they want to be able to have a measure 14 15 that works like this. 16 We have actually encouraged Yale to do the analysis to show the risk models 17 The measure, as it is specified, is 18 work. 19 still -- the data available to run these measures remains 65 and up. 20 The key was saying does the risk model work? Is there 21 something 22 different about the under 65

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population?

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2 MEMBER ALMENOFF: We have actually 3 been running a risk model for anybody over the 4 age of 18 for the last six years within the 5 VA. So we basically look at 7-800,000 6 admissions a year in a risk adjusted outcomes 7 model, put the data out quarterly, and it is 8 anybody 18 and over. Usually, it is 19, 9 because it is hard to get into the military 10 and get out that quickly.

11 One thing we did do is we risk stratified the categories. So we have five 12 13 categories of severity of illness, and patients with less than a 2.5 percent of 14 15 dying. That is a very low risk, and so we 16 actually categorize that out and give it to site. So it usually is misadventure. I think 17 shouldn't happen, because 18 that low risk 19 patients shouldn't die.

20 So address like 18-year-olds that 21 die in a hospital, that would probably pop up 22 in our lowest group. So they actually will

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look and review all the deaths of patients who died who were low risk, who shouldn't have died.

So there are a lot of ways to sort of adjust this, but to just look at an isolated 65 and older population isn't that useful either, because we have that whole major group in the fifties and forties that 8 have very high death rates, and you can't sort 9 10 of say I am going to cut it at 30. So you just -- I mean, 18 is probably arbitrary, but 11 you have to start at some level. 12 13 MEMBER HAECKER: Pediatricians in the room feel that way. It is arbitrary . 14

MEMBER ALMENOFF: Yes, but you guys need to take care of stuff up to 18, for some reason. I don't know.

MEMBER HAECKER: Actually, we
don't. It goes up beyond that.
MEMBER ALMENOFF: No? You go up

21 to -- Cystic fibrosis, you go to the thirties.
22 Right? Yes. It is still your workload.

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CO-CHAIR GROSSBART: I would like to move the conversation on, and move to our usability vote. So unless there is a critical urgent comment that needs to be made, let's -and we didn't make our 15 minute timeline there. So let's move on with the voting, usability, one to four scale. Nine, High; six, Moderate; three, 8 9 Low; two, Insufficient. 10 Then finally validity. Charles? think 11 MEMBER STEMPLE: I we discussed that. Thank you. 12 13 CO-CHAIR GROSSBART: All right. Feasibility. Feasibility, I'm sorry. 14 Any 15 questions, comments? All right, let's move on 16 to voting. One to four scale. Everyone vote one more time, see 17 if we can register. 18 19 On feasibility, we have 17 High; two, Moderate; one, Low; no Insufficient. 20 Finally, our overall endorsement: 21 One, yes; two, no. 22 NEAL R. GROSS COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

We have 18 in favor and two opposed.

We now have two new measures that we are evaluating, the COPD Risk-Standardized Readmission and COPD Risk-Standardized 5 Mortality Rate. As in the case of pneumonia, we are going to try to create some economies of scale by giving a brief overview. Jointly, 8 and I will Norm Edelman discuss 9 these 10 measures, and then we will let Norm -- We are 11 actually going to vote on mortality rate first. 12

Actually, Norm, do you want to kick it off?

15 MEMBER EDELMAN: Yes. We have had 16 a lot of discussion already that is relevant. These models are very carefully done and 17 very, very well described. They are very 18 19 strong models. They look at very, very important variables, and they look at measures 20 and variables that will be used very robustly. 21 That is to say, as Mitch pointed out, they 22

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will be used in a punitive fashion.

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So it is important, I think, that they get rigorous scrutiny. You know, I feel very positively about much of the work that has been put in here. I have reservations about the risk adjustment, and it applies particularly to COPD mortality. It applies somewhat less to readmission, and even less 8 but not zero, to the pneumonia groups that we 9 10 just voted on. So risk adjustments come in two 11 flavors. You risk adjust for the patient, and 12 13 you risk adjust for the hospital. With regard to the patient, I think there is one omission, 14 15 and it is an understandable omission, because 16 it is based on very recently accepted concepts in COPD. That is, there is no risk adjustment 17 for previous frequent exacerbations. 18 19 Now I understand why the developer 20 wouldn't want to do that, because they consider an exacerbation a bad outcome, but in 21 fact, recent data -- the article by Hurst in 22 NEAL R. GROSS COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W.

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the New England Journal about a year ago, but more importantly, a consensus statement by the people who put together the GOLD guidelines in 2011 -- accept the fact that recent exacerbations is a phenotype of COPD.

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That is, there are a certain group of patients, even those that don't have bad pulmonary functions, that get а lot of 8 frequent exacerbations. So if you don't risk 9 10 adjust for that and you have a hospital with a 11 very strong pulmonary group that attracts people with difficult to manage COPD, then you 12 13 are treating the hospital prejudicially.

So that is my problem with riskadjustment for subjects.

I have a significant problem with risk adjustment for hospitals and a less -and a more complicated one. Now with regard to risk adjustment for hospitals, I reiterate the issue of air pollution.

I think the evidence that airpollution is an important cause of excess

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mortality for cardiopulmonary disease -and 1 we are measuring all-cause mortality -is strong. It has been with us for 20 years. Ιt is significant, and I don't understand why it is hard to do. You just have to go to another 5 dataset, and you have to go to another dataset to estimate SES. So I don't understand why this is 8 a difficult thing to do. I feel strongly that 9 10 the concept should be tested. If it proves to be wrong, fine. 11 The other thing that troubles me -12 - it is a little more subtle -- is the fact 13 that in the developer's analysis, SES doesn't 14 15 fall out as a risk factor for hospitals. Now 16 in this meeting, have had lots of we applications referencing lots of papers which 17 show that SES is an important outcome for --18

20 COPD.

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I am a little surprised that in this dataset it is not, and I worry there may

is an important measure for bad outcome in

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be a countervening bias. That is to say, poor people in urban settings get their care in clinic systems and teaching hospitals where they are likely to get a follow-up visit when they are discharged. Poor people in rural areas may not.

So there may be an offsetting issue, right? So a teaching hospital may 8 9 actually do a better job, because they have 10 clinics, but that is offset by the fact that people in low SES are more likely to have bad 11 That is a more subtle issue, but I 12 outcomes. 13 would be happy if the developer could look into it. 14

So my concern is -- My concern is the risk adjustment for patients it is not up to date, and the risk adjustment for institutions may have unintended bias.

19 CO-CHAIR GROSSBART: At this I actually 20 point, ask Helen on the SES question, because it is actually relevant to 21 NQF policy. 22

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DR. BURSTIN: Yes. So to date NQF has encouraged developers not to include race, ethnicity or SES in risk adjustment models, but instead to actually allow to see the effects of those differences so we can see where there are disparities.

So for risk-adjusted outcomes, we actually do not, as part of our evaluation 8 criteria, which you will see, ask developers 9 10 to include those in, but we would prefer 11 actually to see stratified results, as we saw, in fact, with some of the COPD measures that 12 13 we talked about yesterday that were process 14 measures.

There were differences, and they were talked about, the difficulties of trying to get the data, but that they should be stratified rather than adjusting away those differences and not being able to see them.

20 CO-CHAIR GROSSBART: Okay. And 21 then just in my role, kind of high level 22 overview, I think there was in the Work Group

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a recognition that readmissions and mortality were both opportunities for improvement.

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COPD is major а of source readmissions in the Medicare age population. That was based on the article that came in the 5 New England Journal by Stephen Jencks and others. So there was clearly a sense that this was important, but again, as Norm has 8 noted, there was some concern about the risk 9 10 adjustment model for both measures, and the Committee was split on some of these areas. 11

We will go through that in detail 12 13 in the next few minutes. So with that, are there any questions for either me or Norm from 14 15 others on the Work Group, any comments that 16 you would like to add? Any Committee questions? If not, then I will ask the 17 18 developer to respond to the comments that were 19 raised. Elizabeth? 20 I just want to confirm, DR. DRYE:

21 because I stepped out for a minute. The main 22 comments were on the adjustment for

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particulate exposure at the patient level, and then SES. Did I miss anything else? Okay.

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The challenge, again, of right now trying to modify this model -- we will look to environmental factor bring in like an particulate exposure, everything, and the county level data. Is that -- It sounds good. in the model, it wouldn't If we put it 8 9 if it significant, but we surprise me is really need to understand what information 10 that variable would be carrying, and anything 11 we put in our models usually is specifically 12 13 significant almost, because we have so much data. 14

So we really want to think about 15 16 how to use environmental information in a way that is really linked to patient risk factors 17 or to -- I appreciate what you are saying, but 18 19 factors that are beyond the hospital level control, and we need to usually incorporate 20 those, but we are not -- We really haven't 21 been able to start that process, to get very 22

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1 far in that process yet.

I can just say that is something that our group is looking at, but it is not straightforward, because that variable will be correlated with a lot of other factors that So we want to think probably affect risk. about that more before we go down that path and understand the data a lot better. 8 Ι think Helen already spoke 9 to 10 SES. You do see, and we have reported in the NQF application, that we will get race in and 11 SES by medium income and the patient's 12 ZIP 13 Code, but there are slight differences in the distribution. But there is a lot of overlap. 14 15 hospitals with Many higher 16 proportions of low SES patients, as designated that way, do really well on the measure. 17 We really agree with NQF guidelines not to adjust 18 19 those potential differences out of the measure, because we want to be able to see 20 those differences where they exist. But it is 21 a complex issue. It is another area where we 22

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are looking at different variables and ways to separate potentially the hospital and patient level factors, but we are early on in our work there, too.

CO-CHAIR GROSSBART: Rubin, go ahead.

MEMBER COHEN: Just wondering. Looking at the risk adjustment, there is a lot 8 on mechanical ventilation. Is there anything 9 10 on noninvasive ventilation, because actually a lot less COPD patients are being intubated, 11 lot 12 and of them now carried а are on 13 noninvasive ventilation. Is that part of ventilation, because all I see is mechanical? 14

15 DR. DRYE: Yes. That is a good 16 point. So just to speak to the other comment about history of admission, we don't usually 17 adjust for that, but we do adjust here for 18 19 history of mechanical ventilation, and we did capture -- and, Laura, if you are there, I 20 might need to confirm -- CPAP codes, for 21 22 example, in that set of codes that indicate

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mechanical ventilation, for that exact reason. DR. GROSSO: Yes. Yes, we did account for invasive and noninvasive.

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CO-CHAIR GROSSBART: I would like to take the Chair's prerogative. With regard 5 to the risk adjustment, clearly, the Work 6 Group raised questions, and I think it would be much easier for many of us to endorse this 8 measure if we had a firm commitment from the 9 10 measure developer to, one, do a thorough literature review and, two, to test 11 the hypothesis. 12

13 Т realize how many million 14 patients do you have in your database, that 15 you throw anything in there, you are going to 16 get a positive p value. That said, you can test hypothesis and either reject the null 17 18 hypothesis or fail to accept that. I can't 19 keep track -- you know. Just test the hypothesis. 20 DR.

21DR. DRYE:Sorry.Are you22speaking specifically about the use of the

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county level particulate data? I think we can look at that. I don't know how easy it is to get that data, but I think it is probably not too hard.

Let me just -- I don't know if I can confirm that on the spot. I don't know if CMS is on the line, but we just need to confirm that that is doable in a reasonable time frame.

10 CO-CHAIR WEISS: Just as a person 11 who is experienced in working with that kind 12 of data, it is messy, because for anyone who 13 has been in that environment, it depends upon 14 the monitors are; and even though they get 15 county data, it really is an average.

It is just -- It is not a clean data. So you will find significance because of the size. It is really the impact, and if the impact of it is small, you don't know if it is because of the lack of factors, because of the lack of measurement capacity.

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It worked in the Six Cities Study,

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because they had put in monitors and measured. I just don't know that -- I think we don't want to send them too down the primrose path here, but it would be great to see it.

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DR. BERNHEIM: This is Susannah. Can I just add one other thought? This is Susannah from the Yale team.

I think you hinted at this, but I would say that our other concern is not only about the ability to actually get this data, but also how well it might travel with other risk factors that we wouldn't want to risk adjust for.

So Ι think we would have 14 some 15 difficulty disentangling those. So I think we 16 would need to feel pretty confident that it likely to overwhelm the signal of 17 was the preventable or potentially preventable deaths 18 19 due to the illness and the hospital environment and the hospital care before it 20 worth CMS embarking on an expensive study. 21

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I think we do need to take some

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time to think carefully about whether we are going to be able to come up with an answer that is important and meaningful, and really likely to change the results of our measure.

CO-CHAIR GROSSBART: Thank you for the comment. As Dr. Weiss pointed out, it is not whether you find а significant relationship, but it is the magnitude of the 8 relationship that counts. I hope you will 9 commit to doing at least the pilot study to 10 get some sense of whether this is an important 11 issue or not. 12

13 CO-CHAIR WEISS: I think NIEHS 14 would be very interested in taking a look at 15 these sort of things, and this is not around 16 these two measures of pneumonia and COPD. 17 This is all-cause mortality, and it really 18 reflects the whole measurement suite you are 19 building.

20 So there is a real opportunity 21 here. You have got some wonderful 22 environmental scientists at Yale, and probably

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gives them a whole new research trajectory to go onto. So this is a growth industry. We strongly encourage it.

4 CO-CHAIR GROSSBART: With that, I 5 think we should move on. We are in our voting 6 right now. So this is for the mortality 7 measure, impact. Do you have anything else to 8 add, Norm, or should we move on?

9 MEMBER EDELMAN: Well, I just want 10 to say I am delighted to have generated a 11 growth industry. We really need this in our 12 current economic time. The impact is high.

MEMBER BURGESS: Can I ask Norm a question right quick before we start?

Norm, yesterday you raised a
question around appropriate diagnosis of COPD,
less than age 40.

MEMBER EDELMAN: I think Dr. Weiss
raised that, but --

20 MEMBER BURGESS: Weiss? Did you 21 raise that? Anyway, I would asked either of 22 you to speak to that. They have looked at it

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1 in California, the data. I can feel comfortable with that, if you all who have expertise in this feel like that is the right. MEMBER EDELMAN: There is а spectrum of airways disease, starting with 5 asthma, ending up with honest to goodness 6 COPD, with a whole bunch of stuff in between. The British have term, asthmatic 8 а bronchitis, which don't like to 9 we use, 10 because it confuses everything, but it is 11 real. That is a problem we have, and it 12 13 is not just a classification problem. It is a pathophysiologic problem. The only point that 14 15 I made yesterday was I don't think age changes 16 that problem. CO-CHAIR WEISS: Probably the only 17 little bit I could add to that: I spent a few 18 19 years the National Center for Health at Statistics asking why. 20 It was а great opportunity, but they had work done 21 on a comparability study around mortality records. 22

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This is where they actually go in and they look and see. There is a huge amount of confusion in the under 40 when it comes to asthma/COPD, in terms of what was reported as mortality versus what was on the death certificate and how that death certificate rattled up to actually say underlying cause of death. You get into some technical space here.

10 They are using all-cause mortality. So it kind of washes that problem 11 out here, but it does bring in the other 12 13 problem. That is, when you get the underagers, you got this competing interest 14 15 problem in terms of usability, which we talked 16 about, and I don't want to open up again.

17 CO-CHAIR GROSSBART: And Dianne? 18 MEMBER JEWELL: This issue that 19 you have raised is going to come up again when 20 we look at the competing measures or related 21 measures. The National Center for Health 22 Statistics indicates that between the ages of

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18 and 44, about four percent of the adult population has COPD exclusive of asthma, which translates into about a million people.

So the potential for impact, while it might be hard to find them in individual centers and practices, it is not a small number, I would say.

CO-CHAIR WEISS: Now that comes 8 from self-reported information or from -- Is 9 10 it from NAMSI or from NHIS? Do you know? Is it a health interview survey or is it the 11 ambulatory care documented records of doctor's 12 13 diagnosis, because that will make a huge difference? I would guess it is from the 14 15 NHIS.

MEMBER JEWELL: Source is NCHS,
Health Data Interactive and National Health
Interview Service.

19 CO-CHAIR WEISS: So that is self-20 reported. So that is where people think they 21 have got COPD.

MEMBER JEWELL: Okay. Thank you.

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CO-CHAIR WEISS: Four percent, which means it is probably much less. MEMBER JEWELL: Okay. Thank you. That helps. CO-CHAIR WEISS: That is the whole thing of it. CO-CHAIR GROSSBART: Okay. Let's get our first vote done on the impact, one to 8 four scale again. 9 10 We have 18 votes for High and two votes for Moderate. No other votes. 11 The next question for us is the 12 13 performance gap. Any additional comments? MEMBER EDELMAN: 14 Yes. The range 15 of in-hospital mortality is two to five 16 percent. So I think the performance gap is, at best, moderate. 17 CO-CHAIR GROSSBART: That is in-18 19 hospital and 30-day mortality. 20 EDELMAN: MEMBER I'm sorry. Thirty-day mortality. Great. 21 22 CO-CHAIR GROSSBART: Any questions NEAL R. GROSS COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

or comments from the Committee? All right. Then let's move to voting.

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We have three votes for High, 13 for Moderate, four for Low.

the of Then assessment the evidence. Again. this is an outcomes 6 measure. One for Yes and two for No. Any questions or comments, Norm, from the 8 Committee? All right, let's move to 9 the 10 voting.

DR. DRYE: Can I just correct that -- You have already voted, but the range of mortality that we have in the Medicare data -the risk adjusted range even goes from six to 13.5 percent across hospitals.

16 CO-CHAIR GROSSBART: I appreciate 17 that, but at this point I think we just want 18 to move on.

We have 18 Yes; one No; one 20 Insufficient.

21 Moving on to our next set of 22 questions, reliability and validity.

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97 MEMBER EDELMAN: It is easy to measure. CO-CHAIR GROSSBART: Okay. Any questions, comments from the Committee? Is the measure reliable? Reliability: So 5 moving forward, again any questions, comments? Moving forward, let's vote on a scale of one to four. 8 We have 17 High and two Moderate -9 10 - three Moderate, I'm sorry. Then validity questions coming up 11 12 next? 13 MEMBER EDELMAN: For the reasons model discussed, I don't think the 14 as 15 presented is valid. 16 CO-CHAIR GROSSBART: And the overall Work Group, comments? Others from the 17 Work Group, and again as one Work Group 18 19 member, I agreed with the need for further testing, but I thought the validity of the 20 model was much stronger than Norm. Here we 21 22 go. One to four. NEAL R. GROSS COURT REPORTERS AND TRANSCRIBERS

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have two High; 10 Moderate; We five, Low; and three Insufficient. So we get to move on to the next vote, which is usability. Norm? MEMBER EDELMAN: No comment. CO-CHAIR GROSSBART: Any questions? Scale of one to four. Eight, High; nine, Moderate; three 8 Low. 9 10 Finally, feasibility. Any comments? Any questions. Scale of one to 11 four. 12 We have 12 High; seven, Moderate; 13 14 one, Low. final question 15 Our is on 16 endorsement, one for Yes, two for No. 17 in favor 17 We have of endorsement, three opposed. 18 19 The last measure for this part of the agenda is the 30-day, all-cause, risk-20 standardized readmission. I know we have gone 21 through a lot of information and summarized 22 NEAL R. GROSS COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

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this very thoroughly. So I would like to just move into the voting. The first question we have is impact. EDELMAN: This is MEMBER the mortality one. Right? 6 CO-CHAIR GROSSBART: We are doing readmission now. We flipped them. So the 8 9 readmission measure, the importance of the 10 measure and the impact. It is an important

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11 measure. Readmissions are a major source --COPD is a major source of readmissions. 12

13 First of all, any questions or any comments from the Work Group? Any Committee 14 15 questions? Then a scale of one to four, the 16 impact of the measure.

Seventeen rating of High, and one 17 rating of Moderate. 18

19 Moving to the next part, the performance scale, the performance 20 gap is significant, nearly a 23 percent readmission 21 rate for this patient population; represents a 22

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total of four percent of all 30-day readmissions. I am looking for the actual range, high to low, and I don't see it -- Here it is. I don't see it off the top. DR. DRYE: Do you want me to give you the range? CO-CHAIR GROSSBART: Yes, that would be fantastic. 8 Unadjusted, at 9 DR. DRYE: the 10 hospital level the range is -- I am going to give you the fifth and 95th percentile, 11 to 11 That is just the 95th and then adjusted, 12 32. 13 our range is 18.3 to 25.3. that is with a median of about 22. 14 15 CO-CHAIR GROSSBART: So a fairly 16 large performance after risk gap even adjustment. Any questions or comments for me 17 18 any comments from the Work Group? or 19 Committee? Okay, let's move to voting, one to four scale again. 20 The vote was 15 to 3. So that was 21 22 correct. NEAL R. GROSS COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

The next question is evidence. I don't have anything to add to what has already been said in the conversation. So unless there are questions for me or the Work Group, let's move forward with voting. It is a 2. It is a Yes/No question, one for Yes, two for No. The vote was 18 to one on the 8 evidence question. 9 10 Moving on to reliability of the 11 measure, again I think we have gone through The issues are the same or similar for 12 this. 13 this as well as the mortality measure. Looking back at how the committee rated it --14 15 the Work Group rated it, we rated it as 16 highly reliable as a Work Group, and so are there questions or comments from the Work 17 Group? Questions from the Committee? Moving 18 19 on, then it is a one to four vote. Fifteen ratings of High; two of 20 Moderate; no other votes. 21 Validity, we have discussed this 22 NEAL R. GROSS COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

1	extensively already. Clearly, some difference
2	of opinion and some opportunity for the
3	developer to strengthen the model or
4	investigate if the model could be
5	strengthened, I should say. So in terms of
б	validity, are there any questions for or
7	any comments for the Work Group to be shared?
8	Any questions from the full Committee? With
9	that, let's move to voting on validity of the
10	model, one to four scale.
11	We have three votes for High; 10
12	for Moderate; five for Low; and one for
13	Insufficient.
14	So we will now move on to
15	usability. In terms of usability, this has
16	been Similar measures have been out there
17	on public reporting sites for the age 65 and
18	older I should say for the Medicare age
19	population.
20	It is stimulating a good deal of
21	performance improvement work at the hospital
22	level, and similar measures are stimulating a
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good deal of hospital performance work, and the committee, somewhat split, but tending toward high usability, moderate to moderate high -- high to moderate high. There we go, more high than low. Anyway, usability. Any comments from the Work Group? Any questions from the committee? Let's move on to voting. We have seven votes, High; 11, 8 9 Moderate; one, Low. 10 Then feasibility. Again, this is administrative data from Medicare and all-11 payer databases, and it is a very feasible 12 13 measure to collect and report. Any other comments from the Work Group or questions from 14 15 the Committee? All right, let's move to 16 voting, one to four scale again. A score of 14 for High, and five 17 for Moderate; no other Votes. 18 19 Finally, our overall endorsement of the measure: One is Yes, two is No. 20 Seventeen in favor of endorsement; 21 two opposed. 22 NEAL R. GROSS COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

Now we are -- Where are we on the agenda? Now we are to move on to related and competing measures, and we are only 10 minutes behind schedule, which is pretty good, and yet we still were able to have a very robust conversation. So shall we move into that?

DR. WINKLER: We have had a chance talk about related to and competing 8 9 tangentially for the last couple of days, and 10 this is where we have to make some initial decisions on determining what is and is not 11 related or competing. That may seem simple, 12 13 but actually, it is not.

This is a deceptively simple 2×2 14 15 table of trying to understand related versus 16 competing measures. The top row is the measure focus, that which is being measured, 17 18 typically in the numerator. It is the same 19 concept in the first column. Ιt is а different concept in the second column. 20 On the columns on the left, you are talking about 21 the same target population or a different 22

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target population and, of course, you have got the different combinations.

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So when they have the same target population being measured by the same concept, that is a competing measure. If you have a different concept or a different target population, they are related; and if neither of those things are true, it is not an issue. We don't have enough that go in that lower righthand bucket.

What I did is I went through in the next slide, and for each of the topic areas we have discussed heretofore looked to see the decisions you have made, and let's look at the measures that you have deemed to be suitable for endorsement.

Asthma: there are six measures. All of these are process measures. We did not have any outcome measures for asthma. I put the Joint Commission measures for inpatient asthma treatment, which you recommended for reserve status, kind of at the bottom.

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1	So what we have left are four
2	measures of medication management of asthma.
3	In this particular case, we have three
4	measures from NCQA that is 0036, 1799 and
5	1800 which are a suite of measures from the
6	same developer. They have the same
7	denominator. They are inherently harmonized,
8	but they approach the idea of medication
9	management differently. Nonetheless, we are
10	still talking about medication management.
11	So that is one level of potential
12	competing. Are these all trying to measure
13	the same thing or albeit differently? That is
14	a decision point for you.
15	Sort of a sub-question of that is,
16	if you look at measure 0047 and measure 0036,
17	these two measures are measures of sort of the
18	single prescription or dispensing of a
19	medication, as opposed to the newer NCQA
20	measures which were about proportions of days
21	counted and the medication ratios.
22	So, clearly, measures 0047 and
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0036 are really clearly competing measures. They are measuring the same patients and the same thing about the patient. So there are really two issues here for asthma around competing measures.

I just want to lay this out for you, and I want to go through the three topic areas, because each one has a different nuance that is sort of interesting, to sort of set the stage so we can determine what decisions around competing and related we need to make.

The one on asthma are really two questions: Are the four measures to be looked at as competing, and we see which ones among them really are best suited to go forward or do we look at them differently, and the competing measures are really the first two?

18 Going the next slide for to 19 pneumonia: The pneumonia measures are а completely different animal, because as we in 20 detail discovered yesterday, 21 great the 22 measures from PCPI -- or the measures of

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patients with community acquired pneumonia fall into two buckets, those that get admitted to the hospital and everybody else that gets treated in some outpatient facility.

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So the PCPI measures are all about that second group who are treated in the outpatient world and are not admitted to the So the group splits into two. hospital. 8 different 9 Because those are target 10 populations, these measures are related, and 11 we have two that are very specifically related in that their numerators are very similar, and 12 13 that is 96 and 147.

both talking 14 They are about 15 empiric antibiotics therapy initial or 16 antibiotic therapy. So there some are opportunities for harmonization, because they 17 are related, that are relatively -- I don't 18 19 want to put a quality on it, but they are pretty straightforward, as they both adhere to 20 quidelines. So pneumonia 21 the same is а completely different question than asthma. 22

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Then go to COPD, which is we completely muddied, in which we have two measures of the spirometry. talked a We little bit about their differences. Clearly, 91 and 577, same focus of measurement, same target population of patients.

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The next two measures are around medication therapy, although they are asking a 8 slightly different question. So perhaps they 9 10 are more related measures, and that will be a decision. Of course, the conversation we have 11 had all along around harmonization of all the 12 13 measures for COPD, because they are related by virtue of addressing COPD, is the issue around 14 15 age.

So there are a fair number of questions for us to try to determine what the issues are for competing and related that we need to tackle. So it is kind of like an iterative process that we will have to really determine.

We have got about 45 minutes to

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try and perhaps go back to sort of answer the basic questions of which ones do we consider competing, and what decisions will we need to make. Whether we can make them today or we will need to postpone that for later is to be determined.

Can we go back to asthma?

8 MEMBER JEWELL: Does the level of 9 analysis play a role in any of this decision 10 making?

DR. WINKLER: Yes, it does.

MEMBER JEWELL: Relative to who isbeing measured?

DR. WINKLER: Well, yes, it does. 14 15 When you get into the algorithm around 16 decision making of two competing or _ _ competing measures, actually, yes, it leads 17 you through it. In fact, I have got the 18 19 examples for you. But I think the question --We start with the asthma group. 20

21 The first question is: You have 22 got four measures about medication management.

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Are all four, in your mind, competing measures?

MEMBER LANG: Yes. Having reviewed 0036 and 0047 and presented these yesterday to kick off the session, they are competing measures. I was admiring the metrics proposed for COPD and pneumonia from the standpoint of feasibility.

In terms of asthma, we can't -- It is not a dichotomous outcome in terms of if it is for pneumonia or COPD, in terms of alive or dead, fortunately, as mortality is rare. Nonetheless, this is what we have in terms of it is a process outcome.

15 think, for medication, So Ι I 16 would say these are all -- I mean, to try to make what could be a long story short, in the 17 interest of time, because I know we need to 18 19 move on to the other areas, I would say that there are validity concerns that 20 Ι have expressed regarding 0036 and 0047, although 21 these metrics did pass. But I think the more 22

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recent metrics, the 1789 and 1800, are more sophisticated, elegant. It is more, I think, the way we should be going, although there are some issues with these as well, and I don't know where we would go regarding some sort of, I want to say, composite metric that we could put together that would more closely approximate one of the more recently proposed metrics, which has also been approved.

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10 MEMBER GLOMB: Ι want to echo I think that the 0036 and 0047 are 11 David. definitely competing measures, but I did think 12 13 that -- You asked, is it feasible to look at these today and knock them out. I think this 14 15 one we could probably look at and knock out.

I had a question as well. Can we use our composite scores as we have graded the individual measures, because these two, more than probably any of the others, are head to head competing measures. Can we look at our cumulative scores on how we graded the two to help us decide? I love it.

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DR. WINKLER: Yes, you may. I decided to print them, because there is a lot of information that would look messy on a production.

MEMBER GLOMB: Can I kick off the discussion about the two?

DR. WINKLER: Sure. I quess I was also asking, do we want to look at 0047 8 9 against 0036 as the only competing issue or there was some -- David sort of indicated that 10 perhaps the whole question of the single 11 prescription or dispensing that 0047 and 0036 12 13 measure versus the newer 1799, 1800, and perhaps you might think that 0047 and 0036 14 15 have been both been superseded by newer 16 That something, David measures. was as indicated. 17

18 So that is another discussion 19 point and decision point for you all around 20 competing.

21 MEMBER GLOMB: I think it depends 22 how and where it is used. I think

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practicality -- these are fairly simplistic measures, perhaps easier to use than the more complex, and it might be a reason to keep one of the two of these in place, between 0036 and 0047.

DR. BURSTIN: And also they have both been retooled, one example as well to keep in mind for something for meaningful use. 8 That is very good. 9 MEMBER GLOMB: 10 Just to kick off the discussion between them, I like 0047 better than 0036. I know 0036 is 11 part of a suite there, but it was a little bit 12 13 tighter in its definitions. I felt that there was less -- There are fewer opportunities for 14 15 questions of both validity and -- the one that 16 precedes -- reliability, thank you. Brain is tired. 17 Ι was

I thought that this measure was going to be a lot cleaner ultimately of the two, head to head.

21 MEMBER LANG: Just to echo 22 Brendle's comments, I recall that there were

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some issues of validity pertaining to some of the medications that were listed, in terms of alternative to inhaled corticosteroid medications that may not be consistent or aren't consistent, I should say, with optimal care.

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As you may recall, there was -- I think his name is Mark who was over there 8 responding to my comments, who clarified the 9 10 issue of the inhaled lonq acting beta 11 agonists, the inhaled short acting beta agonists from the alternative list. 12 Although 13 the alternative list is kind of apples and oranges in a variety of ways, nonetheless, 14 15 separate metric for inhaled there is а 16 corticosteroid alone, and it is one dispensing event during the measurement period. 17

So it is not an ideal measurement, but I would say, head to head, probably 0047 would have the edge over 0036, because with 0036 there are more validity issues.

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DR. WINKLER: Any other thoughts?

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I just want to kind of go over this table with you just a little bit in terms of the decision making around competing that has been outlined. We presented this to you in several of your briefing memos.

Certainly, the first thing is to compare your evaluations on the different criteria and the suitability for endorsement 8 and how they compare, and no one criteria is 9 10 it. it is kind of the gestalt of all of them, 11 because all measures have strengths and 12 weaknesses.

Certainly, you 13 can make your 14 decision based on that. There are other 15 criteria that have been identified as being 16 important in terms of looking at measures, and so you if you look at the lower down rows on 17 the table, measures specified for the broadest 18 19 application in terms of the target population -- in this case, they are fairly similar --20 but settings of care -- these tend to be 21 22 ambulatory measures -- and level of analysis.

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So, Dianne, to your question, yes, level of analysis should play into it in terms of some usability, assuming the validity issues, David, you raised are acceptable tradeoffs. So none of this is a black and 5 white, easy to sort through. Measures that address disparities in care where appropriate, measures with the 8 9 widest use -- you know, these measures are in 10 use. Both of them are retooled for ERHs and 11 are in the Meaningful Use Program. They have other uses as well. 12 13 Measures that are publicly reported: That is a priority at NQF, or for 14 15 other accountability purposes, and moving 16 toward an EHR type world. So those are some of the other 17 18 criteria we are asking you to consider and 19 factor in when you are looking at the two measure side by side. 20 Helen, did you want 21 to add anything? 22 NEAL R. GROSS COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

DR. BURSTIN: That summarizes it well. I think that the one consideration is that the number 0047 doesn't apply to health plans. Only the 0036 does, and 0036 is also list, is the CHIPRA of the on one considerations. MEMBER ALMENOFF: I am still trying to understand this. So the goal is to 8 approve one and disapprove the second one? 9 Ι 10 am trying to figure out how you are -- what 11 you are trying to get us to do. WINKLER: Yes, because these 12 DR. 13 are competing measures, we would like to select one. If you cannot, we have to have 14 15 very clear and compelling reasons to keep two 16 that are essentially the same measure on the books. 17 MEMBER LEVY: You mean select one 18 19 and combine them? MEMBER ALMENOFF: No. Get rid of 20 one and keep one. 21 22 DR. WINKLER: Pick one. NEAL R. GROSS COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

MEMBER ALMENOFF: Couldn't you even have a scale of -- I mean, it just kind of odd that we are kind of stuck in this situation now when we could have maybe dealt with this earlier on.

6 DR. BURSTIN: One of the 7 considerations that we have had as we have 8 gone through this process is we don't want to 9 make committees go through a discussion of 10 competing measures until they have actually 11 passed the criteria.

So you have now deemed that both 12 in fact, suitable 13 of these for NQF are, 14 They have passed all endorsement. the 15 criteria, and now you need to get into the 16 discussion of is there one that is best in class and, if there is not, why not, and how 17 do we justify having two. 18

MEMBER HAECKER: I have another clarifying question. Is the issue of the public reporting the difference? What implications does that have down the road? If

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we endorse something that is not publicly reported, what does that mean?

Well, again, as I DR. WINKLER: said, public reporting and other accountability uses are really sort of 5 the cornerstone of what NQF is looking to do, and our stakeholders very much are looking for measures that are publicly reported. That is 8 priority for, particularly, 9 high the а 10 consumers and purchasers and other folks that want to use that information. 11

CO-CHAIR GROSSBART: Reva, a point 12 13 of clarification: PORS is not publicly reported, but for how many more months is it 14 15 not publicly reported? It's 2014-2015, we 16 expect it to be up live, and it is a pay for reporting right now. If you don't submit PQRS 17 -- You do get a bonus for submitting that 18 19 data.

Then if we were to vote down 0047, what does that do to CMS? Are they obligated to use NQF endorsed measures for their public

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reporting work?

DR. BURSTIN: In qeneral, NOF endorsed measures are the measures they tend They are certainly allowed to to go to first. use any measures if they are not NQF endorsed with justification in the Federal Register. So that is certainly possible. At times, they will also switch to 8 the endorsed measure when the opportunity 9 arises, but certainly not 100 percent of the 10 time. 11 MR. HAMLIN: 0036 is also in the 12 13 PORS's list. Oh, yes. Thank you. 14 DR. BURSTIN: I was wondering if you were on. 15 Hi, Ben. 16 MEMBER YEALY: I had one question for the folks that were on this --17 DR. BURSTIN: He said that, just 18 19 to keep in mind, 0036 is also on PQRS's list, not just the 0047. 20 The question for 21 MEMBER YEALY: folks that 22 the were on this Work Group, **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

particularly, and it seems to be a preference now for 0047, but what I recall, and if you look at the scoring, looks like 0036 scored slightly higher. It is not dramatically different.

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6 What I remember from the 7 conversation is that, although there was more 8 granularity in the denominator statement for 9 0047, there were some definitional concerns, 10 and 0036 was much more simplistic, harder to 11 change.

Make the case now why -- What I recall is yesterday we actually struggled more with 0047. Do you think that it is going to be transformed and, therefore, perform better? I am having a problem getting to the preference.

18 MEMBER GLOMB: David, correct me 19 if I am wrong. I think that a lot of the 20 discussion that was around 0047 was truly 21 related to what was written here in our 22 submission versus what we were told by Mark

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and AMA yesterday.

he cleared up a lot of that stuff, so that as we went through those concerns, they all literally disappeared, s because they had cleaned up the descriptors here. Yes, having asked for the composite score, now that I have got it, you know, it goes against it seems to go against what my personal gut 8 feeling and what I had thought was the feeling 9 10 of the group overall. Still, I like the -- I think the 11 danger is in the lack of detail of 0036 and 12 13 the preferred therapy statements versus some specifics. I still have a problem with 0047 14 15 not including the ICS/LABA combo with the ICS. 16 I think that is where it belongs, not in the alternative therapies, but other than that --17 I just think there is just a lot 18 19 of wiggle room, so that the actual scoring will be more imprecise ultimately with 0036. 20 I view the scores MEMBER YEALY: 21 22 as essentially the same. That is biologic NEAL R. GROSS COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W.

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variation. There is nothing dramatic in the score differences that I see.

MEMBER STEARNS: Is there is dramatically different something in the My focus is, of course, on outcome? the public reporting, as someone who sits here as a representative of consumers and purchasers, and I went through this process -- this is my 8 second time sitting on the Steering Committee, 10 the Cardiovascular Steering Committee last 11 year.

In the last week I have had the 12 opportunity to see those measures used and 13 sort of picked up in the press and used in 14 15 reports in different contexts. So I do think 16 that we shouldn't gloss over that point, that public reporting is very important. 17

So if it is a close call on the 18 19 two measures, I would just really ask why folks are leaning toward, if folks are, toward 20 0047 versus 0036, since that seems like a very 21 key difference between the two measures. 22

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MEMBER LANG: I think, if you look at the -- Although the scores are kind of mixed, if you look at the validity issue, there were more individuals who voted low for validity on 0036 than 0047, although again you could point to another vote and say something 6 else, but I think validity is a major issue. The reason, Ι think, for the 8 9 prolonged conversation was that there were 10 some errors in how one of the metrics was described in terms of medications being listed 11 there that didn't belong there, and that was 12 13 cleared up. So I think that accounts for some of the scrutiny on 0047 as opposed to 0036. 14 15 Neither is ideal, but I think, of 16 the two, I think 0047 is preferred, because it is closer to an appropriate asthma outcome 17 that would track appropriate asthma 18 care 19 behavior. I think that my 20 MEMBER STEARNS: concern is -- and I don't know if there is any 21 22 way to go back to the -- and I don't want to **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W.

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complicate the process -- to see if -- Is there any opportunity?

DR. BURSTIN: None.

MEMBER STEARNS: Okay, fine. So improve 0036 now.

6 DR. BURSTIN: And that is actually 7 the exact question I was going to raise, 8 because I think a lot of the points raised 9 yesterday about 0036 in some ways related to 10 the lack of stratification of ICS versus the 11 others, which is the hallmark of the PCPI 12 measure.

Ben, I know you are on the phone, 13 above us here from NCQA. I just wonder 14 15 whether that is a possibility. Could we 16 actually potentially see if they could kind of bring these measures closer together so that, 17 in fact, the health plan data that is publicly 18 19 reported is completely aligned with the measure that is used potentially for PQRS. 20 Just a question for you, Ben. 21

MR. HAMLIN: Yes. We would

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certainly be willing to consider it and take it back to our pulmonary panel.

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MEMBER GLOMB: You know, I guess just from a scientific standpoint, I would rather have cleaner data that there is some access to than random public access to data that may not be as ultimately meaningful and may be misused, misinterpreted.

So 9 DR. BURSTIN: Ι guess one 10 question might be to PCPI, and I see them lined up behind me, is whether we may actually 11 want to ask PCPI and NCQA to put their heads 12 13 together perhaps and bring this back to you. At times, we have had developers truly combine 14 15 their measures. It is not an easy or quick 16 process, but it certainly does, we think, the public good. 17

Unfortunately, it could take a while, as we learned when combining the CDC and the American College of Surgeons surgical site infection measures. It took eight months, but I think at the day having one

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national standard is preferable. I don't know, Mark, if you would be willing to entertain talking to NCQA. DR. ANTMAN: We are happy to work together. DR. BURSTIN: Okay, good. So why don't we perhaps -- Are you okay with that, Reva? DR. WINKLER: Oh, yes. DR. BURSTIN: Great. Okay. Are there any other issues, as long as we have your brain power collectively here, that you think would potentially be important ones to consider, if there were some efforts to bring them closer together? MEMBER HAECKER: I would just add that the combo issue is one that has to be addressed, and if you are going to combine the effort, because going we are to more combinations of long acting -- a lot of this with inhaled corticosteroids, and to exclude that would be a mistake; and given that the

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National Heart, Blood, and Lung guidelines are going to be revised shortly, that will take years as well, obviously. I do think that would be an important combination.

I want to echo -- deviate a little bit from my partners here, that public reporting is very, very important for all of us, and the nuance of the combination drugs 8 being missed in PQRS is a big problem for some 9 10 of us. So to be dinged on a measure that 11 would have us not using a drug that is very 12 important, and many severe persistent 13 asthmatics really need that drug, is a problem for me. 14

15 DR. BURSTIN: Is there а 16 preference for where it lives, in the ICS versus the other strata? Sounds like that was 17 an issue discussed yesterday as well. 18 19 MEMBER HAECKER: Ι am а

generalist, not a specialist, obviously.

MEMBER LANG: What was

22 question?

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DR. BURSTIN: My specific question was -- I think both of you raised this issue yesterday, of whether the combo with ICS is more appropriate under the ICS strata?

MEMBER LANG: I think the Yes. issue to track appropriate therapy, and particularly if you are targeting one matter to severe persistent asthmatics. There is no 8 question that the combination of inhaled 9 10 steroid long activated agonists is frequently what is prescribed and is consistent with 11 evidence based therapy. 12

So combining inhaled steroid with the inhaled steroid combination, just tracking whether inhaled steroid is prescribed, I think, is really where to go.

17 Also, as long as you are entertaining suggestions, I would also focus 18 19 on the one dispensing event and work on that, because one dispensing event in a period of 20 time is a little short of optimal therapy. 21 So 22 you might want to focus on that as well in

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terms of more regular exposure to the inhaled steroid or inhaled steroid combination, and eliminating some of the other agents that you are calling alternative medications, which are not -- for which there is not as much evidence supporting their use in patients, particularly with persistent, let alone moderate to persistent, asthma. And thank you for considering the suggestions.

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Any other thoughts 10 DR. WINKLER: on competing/related for asthma? I just want 11 to mention that Mark has already told us that, 12 13 in terms of the age range for measure 0047, that is already sort of in the works to align 14 15 it with the NCQA measures, the five to 65. So 16 I didn't bring it up, because it was already happening. 17

Anything else on asthma?

19 MEMBER LANG: Is there discussion regarding 1799 or 1800? I understand there 20 are other issues coming up here, but I don't 21 want asthma to monopolize the time we have for 22

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harmonization.

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DR. WINKLER: The question is what issues do you think are there, David? I think it would be important to at least put them on the table so we can figure out the best way to address them. 1799 and 1800 are the same developer. So there is sort of inherent harmonization in the description of the 8 denominator

MEMBER LANG: Yes.

11 DR. WINKLER: And the measure focus is just different ways of looking at 12 13 medication adherence. So I quess I would really like to know what your questions are 14 15 about them.

16 MEMBER LANG: Right. Just to remind everyone, both of them had the same age 17 range, five to 64. Both of them focus on 18 19 persistent asthma. 1799 tracks the percentage who are on asthma controller therapy for at 20 least 50 percent of the treatment period, and 21 also 75 percent of the treatment period; and 22

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1800 -- it is a ratio of controllers to total asthma medications of .5 or greater.

There are validity issues with regard to each in terms of the lack of evidence showing that 50 or 75 percent or, for the 1800, that a .5 ratio is associated with desirable or improved outcomes as opposed to a ratio of something less than .5 or, you know, an asthma controller medication for 40 percent of the treatment period.

So we don't have the precise data to support either of these, but again I think it is more consistent with the way we would conceptualize optimal asthma treatment.

15 DR. WINKLER: Other comments? 16 This is Reva. To David's point, does Ben, NCQA actually look at your data such that 17 patients -- you can look at the performance on 18 measure 1799 or 1800 and then correlate with 19 visits and hospitalizations and 20 ED other potential outcomes to try and answer 21 this question? 22

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MR. HAMLIN: We can't through our normal HEDIS reporting process, because we only received aggregate data on an annual basis from the plans. When we generate large field testing databases is when we usually have access to member level data.

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So we will either create another field test to test measure concepts or we will 8 ask individual sites 9 to specific run 10 calculations or analyses for us and then provide us with the results, neither of which 11 So we try and get as is easy to do nor cheap. 12 13 much bang for the buck as we can out of our databases for when 14 created we test and 15 validate these processes.

DR. WINKLER: There seems to be great interest around the room for that sort of data to support the specifications for these new measures.

20 MEMBER GLOMB: These are the ones 21 we really loved the spirit of what was being 22 attempted, but just had to cringe at the fact

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that there just wasn't a background to suggest these numbers would be ideal or optimal numbers.

DR. WINKLER: Let's qo on to As I mentioned, pneumonia -- The pneumonia. measure is split into two discrete buckets. either you were admitted or you weren't. So the PCPI measures are the group that weren't. 8 There are three measures from your assessment 9 10 yesterday on vital signs, mental status, and 11 then the empiric antibiotic therapy.

0147 is Measure the hospital 12 version of the initial antibiotic selection, 13 but again these are only for inpatients, as 14 15 are the mortality measures, whether inpatient 16 or 30 days. Those target populations are those patients who are admitted. 17

So I guess, in terms of competing measures and related measures, the group from PCPI are related to the hospital measures, but they are not competing, because those are two separate target populations.

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You raised this morning the issue around the mortality rates, inpatient versus 30-day. What do you all feel about those as competing measures, related measures, the utility of both? You had started having the conversation earlier. So now is the time to continue with it.

of MEMBER RHEW: IN terms the 8 mortality rate, I would definitely say they 9 10 are related. They are not competing. They are tied to the hip. You have to include the 11 inpatient mortality and at the same time, then 12 13 you have to talk about the 30-day.

Again to Don's point earlier, just talking about one area, you can really miss the boat in terms of what is happening in clinical practice. So clearly related, but I would not in any way say they are competing.

19MEMBER YEALY: I would agree with20Dave. If I had to pick one, I would pick 30-21day, but I don't think we have to pick one.

MEMBER LEVY: Yes, I agree with

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that. I think, if we just pick hospital, it could have unintended consequences of driving people out of the hospital to skilled nursing facilities to die there. So I don't think we are serving the field well by doing that.

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On the other hand, I don't think we should ignore hospital mortality. So I don't see them as competing.

9 MEMBER ALMENOFF: I agree. They 10 are not competing. They are related.

DR. WINKLER: All right. Given that you determined that they are related, Peter, you brought up earlier the issue of different risk models. So the question of harmonization now becomes important.

16 MEMBER ALMENOFF: No, I agree. Ι mean, models are different, and I guess we 17 18 would have to really look at the details of 19 what is in each model to know how different they will be. I mean, they might be much 20 closer than we think. I just don't know the 21 22 answer, because I don't have the models.

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DR. WINKLER: Elizabeth, did you want to comment on that, because I know Patrick -- She and Patrick were talking about that earlier.

DR. DRYE: Yes. I can just highlight a couple of differences. I am looking at my screen at -- I guess I could email, and you could put it up. But the 8 cohorts who we capture are 9 really pretty 10 close. AHRQ's is a bit more expansive, and they include some histoplasmosis and 11 some other really rare and more regional pneumonias 12 13 that we don't include, but they are a very small percentage of the cases. 14

So I think our cohorts are well aligned. They both include a vast majority of viral and bacterial pneumonia, and they have up to date codes.

Our models are different in that we use hierarchical modeling. Our is logistic progression, and I don't know if they are finding new names or not in it.

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Then we looked at an episode of So we include transfers, as I mentioned care. before, and we attribute the outcome mortality to the first admitting acute care hospital. AHRQ, because the users can apply AHRQ however they want, I think our people could include or not include transfers, but I looked quickly at the specs before, and I think they essentially 8 do transfers. 9 10 There are those differences, but at least on the cohort I would say we are 11 really well aligned already. 12 13 MEMBER ALMENOFF: And you used three years of rolling data? 14 DRYE: 15 We DR. Yes. have, in public reporting. 16 MEMBER ALMENOFF: I'm not sure how 17 -- the other model, how much --18 19 DR. DRYE: They work really different. We have to be looking at -- We 20 have a risk-standardized model. So we have to 21 take a whole national dataset, and then we can 22 NEAL R. GROSS COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701

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give you your rate, but what AHRQ does is it uses -- and I hate to speak for Patrick; he left me email, but I think there are an probably other people here that can correct me if I am wrong.

They build a model. They estimate coefficients then in a nationally representative HPEP data, and then you can 8 apply it locally within your hospital. That 10 is an advantage of AHRQ.

11 So you can use -- They don't --You can use whatever data you want to use to 12 13 estimate your rate, and then they don't have the same -- I don't know how their uncertainty 14 15 estimates work and their reliability and 16 whether they have a minimum, but I don't think that they do. 17

18 MEMBER ALMENOFF: Do you both 19 report out RSMRs? Both the rates 20 DR. DRYE: Yes. are with the regression standardized rates. 21

22 Ours is just a two-level model to account for

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clustering. So that the numbers are --1 The models just work a little bit differently. MEMBER ALMENOFF: Right. This is MR. DREFFORD: Jeff Drefford from AHRQ, just to clarify that last point. The AHRQ model also includes the hierarchical. It just does it a little bit differently. 8 9 DR. DRYE: Oh, okay. 10 CO-CHAIR GROSSBART: Norm? I think there is 11 MEMBER EDELMAN: an important point here. 12 As was point out, they related; 13 not only are they are importantly related, and 14 they may move 15 together or reciprocally. So interpreting 16 outcomes would be best if they can be interpreted together. 17 That suggests that, if possible, 18 19 the developers should try to come up with a single model. That would be much more useful 20 for the field. 21 22 CO-CHAIR GROSSBART: I would like NEAL R. GROSS COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

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to echo what Norm just said. It drives us nuts in the hospital world if one model has two or three different diagnosis codes than the other one.

It just -- Usually tangential ICD-9 codes, it just know. So you _ _ standardizing the definition of the denominators, the included populations 8 --9 Obviously, when you are 30-day, you have got 10 different considerations, episode of care versus encounter, things like that; but if 11 there are areas, standardizing the models, 12 13 standardizing the populations would really help hospitals improve, and that is really 14 what the -- At the end of the day, that is 15 16 what this is all about, and just the frustration and the noise because of 17 the inconsistencies is a distraction for us. 18

MEMBER ALMENOFF: We do have about a five-year experience with this, and we originally reported out in-house mortality rates. We found that the in-house mortality

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rate dramatically drops so quick, nobody can drop that fast.

So then we added a 30-day, and what we found was, as many people have just described, people were moved to the palliative care unit or they were transferred -- I mean, lots of things happened. So it is really kind of important to have both numbers.

30-day -- If your 30-day 9 А is 10 high, you don't know if it happened in the hospital or you don't know if it happened on 11 So, to me, it gives you lots of 12 discharge. 13 information and provided lots of information to know where their problems might be. 14

DR. WINKLER: I think I hear very clearly that you feel that they are both important. They are not competing. They actually work well together.

I guess I would ask the developers if there is an opportunity for you to work a little bit more closely together to further harmonization to try and address some of the

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issues that Steve raises. 1

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3	the field, that it makes it very difficult to
4	implement measures, and the reason we push so
5	hard for the harmonization, the competing
6	measures issues, is because the implementation
7	is just very, very difficult when measures are
8	just slightly different.
9	So we would really, really think
10	that that could be extremely helpful, if there
11	are opportunities for further harmonization
12	with these two measures.
13	MEMBER ALMENOFF: Can I just bring
14	up one last point? One of the other problems
15	with the measures are the time limits.
16	Everybody complains about that. So these are
17	very good models. The data goes up, but they
18	are old.
19	So we are talking about data that
20	sometimes is two years old, and based on three
21	years of data retrospective to that. So I
22	think there is an issue about timeliness and
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value. We are reporting this on a public website, but I am not sure how valuable it is to know what the death rate of a hospital was three years ago.

I think we need to know what it is. They are pushing us to transactional --6 you know, yesterday, and I can't do that. But we at least need to do every six months or 8 9 something a little better than what we are 10 doing. So Ι think that is another consideration. 11

DR. DRYE: The challenge we have, as you know, is that outcomes measures are noisy. So we have to accumulate cases, and there are a lot of hospitals with relatively few cases, although pneumonia -- All hospitals face pneumonia.

So I think it is hard for us to --There are tradeoffs between seeing differences among hospitals versus having sort of maybe just the year of data. I think people understand that is why we use three years. I

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wish that tradeoff wasn't there, but I don't think you can get rid of it.

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I would just say that, at least in the area of readmission, -- I think CMS has been on the line but having a hard time getting an open line -- they are looking at ways of getting more frequent information out to hospitals on their way.

MR. DREFFORD: Just from the AHRO 9 10 side on that question, I think there are 11 methodological approaches that you can use to deal with the reliability issue and still get 12 13 more current data. So we would be glad to talk with our CMS colleagues about that. 14

15 Similarly, I know AHRQ has several 16 initiatives with their state partners with whom they work to get this data. I know they 17 18 get quarterly feeds at the moment, and so they 19 are looking to make that data publicly available and available to us as the developer 20 in a similar time frame. So I think that will 21 22 also help with the timeliness issue.

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1	MEMBER STOCKWELL: I have a
2	question for you guys at the NQF, actually.
3	When the recommendations from the Committee
4	comes to you with competing measures actually
5	for somebody like NCQA and then the PCPI group
6	to go back and attempt to create one uniform
7	measure, are there any processes in place to
8	help ensure that that actually happens?
9	Then the same basic question for
10	the harmonization approach that we just talked
11	about.
12	DR. WINKLER: Yes. Again, yes,
13	this is something that we are ramping up very
14	quickly now. Because all these measures are -
15	- we check in with the developers every year
16	on an annual update. We are kind of keeping
17	track of these things so that those questions
18	will be part of the responses expected as part
19	of annual updates.
20	MEMBER STOCKWELL: Are the
21	endorsements somehow made conditional? I
22	mean, is that a consideration that you guys
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have raised?

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WINKLER: Not really. DR. What the endorsement is, is whatever the measure is, and that does not -- This is a rapidly evolving world, and so we are looking to try and push things along. But measure development does not happen overnight or over lunch. 8 So it is important to understand 9 those realities, but gently push. It is the 10 11 best way I would describe it. CO-CHAIR GROSSBART: Reva, but in 12 13 the case of competing measures, we do have --I am assume we are not going to vote today. 14 15 Maybe I am wrong, but if we don't vote today, 16 we will have a conference call. So, for example, the two asthma 17 18 If both developers have made no measures: 19 headway, can de-endorse one by only we selecting one. Correct ? 20 DR. WINKLER: Well, yeah. I think 21 we have to walk ourselves through how exactly 22 NEAL R. GROSS COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

that might work. Let's just say we would like to be optimistic that they could bring those measures together.

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DR. BURSTIN: Although I do think fair to say that they will have it is 5 discussions in this interim period and bring us back at least an initial assessment of what they think they can do. They can't complete 8 the work, obviously, in a short time period, 9 10 but we will at least get assurances, yes, we 11 can walk down that path. If the answer is no, then I think we need to revisit your decision. 12 13 CO-CHAIR GROSSBART: Alternatively, to say we can't even schedule 14 15 lunch. We do have to pick one. 16 DR. WINKLER: Yes. Steve, the opportunity, actually -- The work you have 17 18 done -- you are acting as a proxy for our 19 membership, and you are making decisions on their behalf. 20

21 Once we are done with today's 22 work, we are going to compile a report that is

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going to go out for comment. They are going to respond, critique, and give you feedback on how well you have made decisions on their behalf.

A very important conference call that we will have after that is to look at that and listen to it and evaluate it. This can certainly be a part of that follow-up, to see where we are in terms of the efforts toward bringing these measures together. At that point, you can make a different decision.

All right. So we talked about the 12 13 pneumonia outcome. The other things on pneumonia is I just want to ask if Ben is 14 15 still on -- I'm sorry, Mark. The 0096 and 16 0047 -- One is hospital, one is outpatient, empiric antibiotic therapy 17 and initial antibiotic selection. 18

Mark, what efforts are made to keep those measures harmonized? You are both supposed to be aligned with the IDSA/ATS guidelines, but has there been efforts on your

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behalf to be sure those measures are aligned? 1 DR. ANTMAN: Not having been closely associated with work on the pneumonia measures recently, I am not certain of this, but I believe that we have been in contact with the appropriate folks at CMS about their measure and about what may be aligned or misaligned with ours. We can certainly talk 8 to them further, but I can't say for certain 9 10 what discussions there have been before. 11 DR. WINKLER: There certainly is. They are related, and there is definitely a 12 13 need for harmonization on the measure focus of the numerator aspect of the measures. 14 15 just harmonization Also, а 16 opportunity or request in the title. Your measure is called Empiric Antibiotic, and the 17 CMS measure is called Initial Antibiotic, and 18 19 implementation comments feedback we in our different words 20 get, using those two is misleading or hard for people to understand. 21 So there is just an opportunity to 22

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harmonize just the title and the words you use, so that we know that we are measuring the same thing. So harmonization can sometimes be as little as that, and it has a huge impact in understandability out there in the field.

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MEMBER RHEW: I would even echo that and go beyond the empiric antibiotic to say for all the PCPI's and all the ones 8 beneath that that are ambulatory versus ED and 9 10 inpatient, that there is somewhere in the 11 title that it specified that. I mean, I could look at vital signs and say, oh, this is the 12 13 ICU, or on the ambulatory side.

I would really encourage that we 14 15 in the title make it clear, not only the area 16 but the population, because I have seen others where they don't even mention the disease. 17 So 18 would encourage that universal Ι as а 19 standard.

Another title 20 MEMBER EDELMAN: issue, which is far more than the title issue, 21 is whether or not they are truly talking about 22

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bacterial pneumonia or pneumonia. That is a very substantive issue.

DR. WINKLER: Great. Is there anything else on this list of pneumonia measures that you would highlight as relating and competing? Okay. Then why don't we move on to COPD.

Again, I think it is very clear, because we started talking yesterday, there are the two spirometry evaluation measures. If you were looking at the table I gave you on asthma, turn it over, and COPD is on the back, for the same, side by side.

Also, I think this is an area where we have to look at the whole group of COPD measures, and this is, I think, where the age issue comes into play as the predominant you guys talked about.

So given that, do you want to look at the spirometry head to head initially as a first step?

MEMBER JEWELL: So the principal

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differences between these two measures: 1 Age is the biggest difference. As you can see, the PCPI measure has the broader age range, down to 18. We heard from the NCQA that they 40, because purposely start at of their concern about noise relative to appropriate classification below that age group. It is also clear that the NCOA is 8 looking specifically at initial diagnosis and 9 10 trying to capture whether that diagnosis was verified with spirometry. 11 The PCPI measure -- We got 12 some 13 clarification that there would be some exclusion -- additional exclusion language, 14 15 potentially, that would clarify that, if there 16 was already spirometry on the books, this wasn't a monitoring measure. 17 So that helped considerably, but 18 19 it also -- As I understood the discussion 20 yesterday, the evolution of or the potential that somebody -- many people are walking 21 22 around with who had "COPD" have never NEAL R. GROSS

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spirometry, and that that is really the nuance difference, I would say, between the two.

The other area of difference is the level of analysis. NCQA covers more places. The PCPI measure is at the level of the individual clinician or practice, but still at the clinician level. And the public reporting issue that we discussed earlier -it is broader for the NCQA at the moment.

10 So it is really -- I guess I would 11 say it is really -- First and foremost, the 12 question is how concerned are people that the 13 lower age group clouds the picture?

Since we got the 14 MEMBER EDELMAN: 15 developers to agree, this really isn't a 16 method to find COPD, but much more to find misdiagnosed COPD. I think it is an advantage 17 to start at age 18. So if there are a lot of 18 19 people running around at a younger age who don't have COPD and spirometry will clarify 20 it, that is just fine. 21

MEMBER STEMPLE: I would agree.

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1 You know, it is a confirmatory diagnosis, and it is a big Medicare Stars outcome, and I think taking it down if somebody at 18 has that diagnosis, I would think you would want to confirm it. So I would appreciate having 5 the lower age range, because if we are concerned about the appropriate diagnosis, it would make sense, if they are going to have 8 diagnosis to do the spirometry 9 the for 10 validation. So I think the lower age range is 11 totally appropriate, because this is а 12 confirmatory, not a treatment, etcetera.

DR. BURSTIN: And there are differences in the reliability and validity scores that you gave to each of those measures.

MEMBER LEVY: We are arguing on behalf -- in favor of including the 18-yearolds, but the reliability and validity was rated lower, it looks, than the other measure, because it is cleaner. Yes, I think that is right.

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MEMBER EDELMAN: It kind of depends on what you are trying to do, whether you are trying to find COPD or find misdiagnosed COPD. It is different.

MEMBER LEVY: Yes, I appreciate your point. If someone is carrying a diagnosis of COPD at the age of 30, they should have spirometry. Yes.

Well, I have to MEMBER CANTINE: 9 say, as somebody who is in the lab day in and 10 day out, I see frequently individuals between 11 18 and 40 where I am looking at results with -12 13 - you have air trapping, you have -- you know, see that dramatically, and these 14 you can 15 individuals don't have that diagnosis yet.

I am not a physician. I can't make a diagnosis, but I know it when I see it, and I think it is important to include this group.

20DR. BURSTIN:Ben, are you still21on the line?

MR. HAMLIN: Yes, I am.

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DR. BURSTIN: Any thoughts about this discussion about potentially the need for greater precision of the COPD diagnosis in those younger? Have you considered at all having perhaps another strata that goes 18 to forty?

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MR. HAMLIN: You know, again, we would consider it, but again our concerns are 8 really in the higher false positive rate in 9 10 the 18 to 40 group for spirometry confirmation diagnosis, and the fact that the confounding 11 effects of asthma in the younger population 12 13 make it really hard for us to get to a comfortable level. But we can certainly take 14 15 it back for additional consideration and look 16 at the data again, if possible.

DR. WINKLER: Thoughts from the
Committee?
CO-CHAIR GROSSBART: One question:

Is this another opportunity for NCQA and AMA to get together and to create a single, more robust measure that addresses all these

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concerns? They already got the reservation for lunch.

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DR. BURSTIN: All right. Another lunch engagement for PCPI and NCQA here to see if they can bring these together.

DR. WINKLER: A long lunch.

DR. BURSTIN: A lot of good in both of these, but I don't think it makes 8 9 sense to have competing measures that are not 10 harmonized. This doesn't help the broader 11 universe.

if 12 MEMBER JEWELL: And Ι 13 understood the measure developer's comment a moment ago, if there are data to evaluate the 14 15 false positive rates, that would help. Right? 16 As a place to start, if that was a concern.

Т think 17 DR. BURSTIN: he was saying, and Ι was hoping some of the 18 19 pulmonologists would pipe in -- I think what Ben was actually saying, this is a higher 20 false positive rate on spirometry for the 21 22 younger population. So it is actually about

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1 the test itself. Is there a higher false positive rate, people being misdiagnosed? MEMBER ALMENOFF: But the test is not making a diagnosis of COPD. It is making a diagnosis of obstruction. That is all it is 5 doing, and then with the other pieces you make a diagnosis of COPD. So it is just showing obstructive versus nonobstructive disease. 8 So having a spirometry that shows 9 10 -- We'll just say it is an obstructive lung 11 We are not going to say it is COPD. disease. So having a spirometry that is positive in a 12 13 25-year-old or a 22-year-old can mean he might have asthma or something else, but you are 14 15 picking up disease. So I am not sure about 16 the false positives. Right. 17 MR. HAMLIN: It is the combination, though, of the -- You know, we 18 19 have a couple of articles that had questioned false 20 the positive screening for

21 identification of COPD or the ability of 22 spirometry to predict COPD in the younger

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patient, along with the issue of asthma versus COPD in the younger population.

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So it is the confounding factors altogether are why we decided to go with a higher age range.

MEMBER EDELMAN: But the point, though, is spirometry, simple spirometry especially, that is not done 8 postbronchodilator does not make a diagnosis of 10 COPD.

11 MEMBER COHEN: From a practical point of view, speaking from a clinical point 12 13 down in the trenches, we just see so many patients who have been diagnosed with COPD or 14 15 asthma who have never had a pulmonary function 16 test, and I think that is what is really important here. 17

To me, the age is not such a big 18 19 issue personally. It is just, you know, they They have smoked before or go to the doctor. 20 they are short of breath. 21 They get an inhaler; you have asthma, you have COPD, and 22

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we see them in the office and we see them in the hospital. They have never had a pulmonary function test, never.

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If you had disease, if you had heart disease, you get an EKG. If you have anemia, you have a hemoglobin level. You get a hemoglobin Alc if you have diabetes. But if you have lung disease, you don't get a PFT. From a practical point of view, that is why you need to do spirometry on patients who supposedly have lung disease.

MR. HAMLIN: I think require us to expand the denominator, because we would then be creating prospectively an obstructive airway disease measure as opposed to just a COPD measure.

17 DR. WINKLER: Ben, can you repeat that? 18 19 MR. HAMLIN: I said that Ι actually agree with the comment. 20 mean, Ι However, the denominator is limited to COPD at 21 22 this point. admin data So from an

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perspective, you know, those are the decisions that were made.

We would certainly be willing to take these comments back to our pulmonary panel for consideration, but also the fact that, if you do feel that there is а recommendation that we should look at an obstructive disease, you know, spirometry for 8 obstructive disease, COPD and asthma, in the 9 younger population, we certainly make that one 10 as well, if I understood that comment. 11 There 12 DR. WINKLER: are nods. 13 Some are nodding. You might want to speak up and let Ben know that, yes, you would like 14 15 that. 16 MEMBER HAECKER: I would love it. Thank you. 17 18 DR. WINKLER: Okay. So we have 19 left with the two spirometry measures, NCQA and PCPI having another lunch, but we will 20

21 want to hear what exactly the plan is when we22 do the post-comment call in terms of what your

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intentions are going forward, given the feedback that you have gotten in terms of bringing these two measures together.

In terms of COPD, we have got six measures of COPD. One of the issues that came up a lot was age, and as it turns out, the age range for all the measures except 0091 is 18 and above.

9 So despite the fact there seem to 10 be a big problem as we went through them, as 11 it turns out, we have just kind of discussed 12 it as the one problem on the age. They are 13 aligned at least on that factor.

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discussions on 14 From your the 15 other measures for the bronchodilator or the 16 management of poorly controlled COPD, I know this is a stretch, but I did not hear you all 17 raise particular harmonization kinds of 18 19 questions.

Is the focus of those two measures somewhat different and, therefore, the denominator populations are necessarily

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perhaps different? Norman, I know you did 1825.

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MEMBER EDELMAN: Yes. I mean, one is poorly controlled, and the other is everybody, and is long one acting bronchodilator, and the other is bronchodilator. So they are different in the numerator and the denominator.

9 The one that I think is relevant 10 to care is poorly controlled. The other one, 11 I can't find anything wrong with, but I am not 12 very excited about how it is going to help us 13 take care of patients.

14 DR. WINKLER: Okay. So any other 15 input into that in terms of other 16 harmonization issues the are measures or sufficiently different that we can live with 17 where we are right now, since the ages are 18 19 harmonized with the one exception? just finished 20 Okay. We the

21 discussion of competing and related measures.

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CO-CHAIR GROSSBART: And we picked

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up another five minutes on the agenda. We are only 10 minutes behind. We are 10 minutes behind schedule. We had a break scheduled for So we are going to take a -- We are 10:45. scheduled for a 15-minute break. Do we want to drop that down to 10 so we can stay on track for airports? So 10 minutes. So at 11:05 we will reconvene here. 8 DR. WINKLER: For the folks on the 9 10 phone, we are just taking a 10-minute break, and we will resume at 11:05 to begin the 11 agenda at the eleven o'clock spot. So I know 12 13 we do have measure developers calling in. So we will be with you shortly. 14 15 (Whereupon, the above-entitled 16 matter went off the record at 10:55 a.m. and resumed at 11:07 a.m.) 17 CO-CHAIR GROSSBART: Well, so just 18 19 to tee this up, we have CDC calling. 20 DR. WINKLER: Do we have anybody from CDC on the line? 21 22 MEMBER LEVY: I can do this. Ιf NEAL R. GROSS COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

167 1 Shelley is not on the line, I could talk about it. DR. WINKLER: Okay. DR. MAGILL: And I am on the line. MEMBER LEVY: All right, Shelley. It's Mitchell, but as long as you are on, you should do it. You could take the heat. DR. MAGILL: Oh, I'm sorry. Ηi, 8 Mitchell. 9 10 MEMBER LEVY: Reva had just told me I shouldn't vote. I told her I would make 11 noise, but I wouldn't vote. 12 13 DR. MAGILL: Fair enough. CO-CHAIR GROSSBART: 14 Okay. Ιf 15 someone would grab his counter, just to make 16 sure. Seriously now, we have a representative from CDC, and what about the other measures 17 with CMS and VPS? Do we have those developers 18 19 on? Okay, we will do one at a time. So CDC, we are asking for about a two-minute update/ 20 overview/introduction of the measure, and then 21 we will take it into the Committee. So who do 22 NEAL R. GROSS

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we have from CDC?

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DR. MAGILL: This is Shelley Magill from the Division of Healthcare Quality Promotion.

CO-CHAIR GROSSBART: All right, Shelley. Steve Grossbart here, Co-Chair of the NQF Pulmonary Critical Care Committee. Two minutes. Please introduce the measure.

DR. MAGILL: This is the 9 Sure. 10 Ventilator-Associated Events Outcome Measure, and this measure is the result of work done 11 over the past few months by a working group 12 13 consisting of representatives from several key societies, including a number of critical care 14 15 societies, and is intended to replace the 16 current ventilator-associated pneumonia surveillance definition that exists currently 17 in the National Healthcare Safety Network. 18

The focus for the ventilatorassociated event algorithm has really been on utilizing objective, streamlined criteria, criteria that can be assessed across the

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spectrum of mechanically ventilated patients, because as most of you know, the current definitions are limited by their subjectivity and the possibility for manipulation.

focus So is really our on enhancing reliability while maintaining 6 clinical credibility, and the new algorithms intended to capture а broad range of 8 9 conditions or complications that could occur 10 in patients on mechanical ventilation. It is specifically 11 really ventilatornot а associated pneumonia definition, although it 12 13 will capture some patients with VAP.

The definitions that you will have 14 15 a chance to review really have as a foundation 16 a period of worsening oxygenation following a period of stability or improvement on the 17 ventilator, and following meeting 18 that 19 particular criterion, if patients meet some additional criteria related 20 to siqns of infection inflammation, 21 or these are objectively defined and include changes 22 in

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white count, temperature, and antibiotic starts. Then the patient would be defined as having infection-related ventilator-associated complications.

If there are any further details that anyone would like me to speak to, I can certainly do that as well.

8 CO-CHAIR GROSSBART: I will ask if 9 there are any questions for the developer from 10 the Committee? If not, then Peter, this is 11 your measure. Can you update us on the Work 12 Group's analysis?

13 MEMBER ALMENOFF: Sure. It has а very nice synopsis. This is one of the HAI 14 15 initiatives where sort of you develop an 16 infection while in the hospital, which is probably inappropriate. So the idea is to try 17 to prevent things, things like central line 18 19 infections, MRSA infections, was VAP and now they are sort of redoing VAP, CA-UTI which is 20 urinary tract infections by catheter, I think 21 22 that we should be able to prevent if we do

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1 certain bundles of care.

VAP has been an issue for a couple of years, even though within our system we actually still do it, and reported. There have been a lot of issues about the validity of the diagnosis. If you sort of do sampling for the way people make these diagnoses, it is very variable. So there has been a lot of 8 standardization issues regarding VAP. 9 10 I want to applaud the group for really trying to tackle this, because this is 11 a very difficult area to tackle, and it has 12 13 already a very interesting history; because people are just not doing this measure, for 14 15 the fact that it is very hard to reproduce, 16 and there is too much variability throughout the system. 17 So with that, I will sort of go 18 19 through some of the data points One

20 interesting thing that I don't think they 21 mentioned on the call is that in the past we 22 have been reporting out ventilator-associated

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pneumonia rates in rates per thousand. In this new concept, they are now doing SIRs, which are standardized incident rates.

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So not only is it a new measure, but it is a new way of looking at infection rates, which is very similar to what we do for standardized mortality. So it is something that has been established in other areas, but yet this is kind of a new concept, and we will probably go to that for CLAB and for other things in the future. So just to note there is some other additional changes.

13 Regarding the impact the on system, it is -- At least on the inpatient 14 15 side, it is a very deadly thing to get. Ι 16 think, at least in their write-up, probably more than 50,000 17 cases а year, but the mortality rate can be 50-60 percent. 18

So in an ICU setting this is something that you definitely don't want, and the mortality rate is very high. So there is a lot of issues regarding that piece.

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When you look at the performance gap, one of the issues is this is a brand new metric with new definitions. I know she mentions it has just gone through the societies for a couple of months, but we really don't have any data.

The problem with VAP is that VAP has been a very inconsistent diagnosis. So 8 are trying to do is 9 what they sort of extrapolate some of the VAP information into 10 the new measure. The problem is that the VAP 11 measure was probably not that accurate, to 12 13 start with.

do have issues 14 So we some 15 regarding that. So, to me, the impact on the 16 system is extremely important, especially for the ICU setting, and they also have sort of 17 facilities 18 chronic rehab that have 19 ventilators. So those are all sort of We are not doing this appropriate settings. 20 on the outpatient side, which was my big issue 21 22 yesterday.

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So we do know the designated The impact is high. The performance sites. qap: As I mentioned, they even write in their statement they really don't have any performance gap data, because it is a new measure, but were trying to extrapolate a little from the VAP data. So there is really very little there. 8 Should I stop there until we go 9 10 into the next one? CO-CHAIR GROSSBART: 11 Yes. Let's move to the voting, since you just covered the 12 first set of -- the first voting block. 13 Ι guess the first thing I would like to do is 14 15 ask if the Work Group has comments that they 16 would like to contribute; and if not, the Work Group. Does anyone from the Work Group have a 17 comment, first of all? Mitchell? 18 19 MEMBER LEVY: Shelley, can you clarify what you are going to do. 20 Is CDC going to give this to NHSN to start collecting 21 data on these metrics? 22 NEAL R. GROSS COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W.

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DR. MAGILL: Yes. We are moving ahead with all the various steps that need to happen to implement this for use in NHSN, and our anticipated start date is January 2013.

Т think that is MEMBER LEVY: important for this group to understand that. 6 I think Peter summarized the struggle with VAP that has gone on with as long as people have 8 been using the term, which is it is an invalid 9 10 definition, which is why CMS dropped it as a performance metric, and it was HHS and Don 11 Wright who motivated this consensus group to 12 13 try to get a surveillance definition.

Ι think the point 14 that is 15 important for us to recognize is this is 16 moving forward, whether or not we recommend I am not saying that should make us 17 it. 18 recommend it, but it is going to appear as the 19 surveillance definition for NHSN and, public reporting, 20 therefore, without or without our support. I don't think that 21 should color us, but I don't think we should -22

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- I think we should be clear about that.

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MEMBER ALMENOFF: I think one of my concerns -- I know this is going to go through the network -- is VAP already has a tainted past, and this has not really been validated yet. IF this winds up being like VAP was, we are going to never be able to do a measure on this at all.

So at least part of our discussion 9 10 was this is important. It needs to go 11 forward, but is this really too early yet, because we really don't have any data. 12 So we 13 are sort of wanting to approve things on things that don't have any data yet, which may 14 15 be a little concerning. But I agree with you, 16 it is an important area, and I think the definitions are interesting, but I want to see 17 then some kind of validated process. 18

19 CO-CHAIR GROSSBART: And I would 20 just like to remind the Committee that we did 21 reject some measures for lack of evidence 22 yesterday, and we need to be internally

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1 consistent. Norm?

DR. EDELMAN: To the same point, just so I understand, so there is no retrospective look? thee is no attempt to validate this metric. We don't know how it 5 performs relative to VAP in a retrospective look. We know nothing about this? Shelley, you piloted MEMBER LEVY: 8 9 this in some hospitals. Didn't CDC do that? 10 DR. MAGILL: Yes. What I will say

is that some of this work -- a lot of this work is based on the work that Michael Klompas and the CDC Prevention Epicenters has done.

there are published data on 14 So variations of what this algorithm is that you 15 16 have been presented with. So Mike has papers in clinical infectious diseases and in the 17 18 PLoS ONE Journal on looking at similar 19 definitions.

There are differences. His definitions to date and his investigations to date have not included antimicrobial use

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requirement that we have in the algorithm, but the other components are very, very similar, particularly the period of worsening oxygenation after a period of stability improvement, which is kind of the foundation of the algorithm.

Our published data -- and we have done a small, kind of a pilot study within the 8 9 looking at, last year two again, or а 10 variation of this definition algorithm compared with the current VAP definition, and 11 looking basically how 12 at the event 13 determinations compare between the two.

One is not a subset of the other. If you look at the work that Mike has done, rates of VAEs will be quite a bit higher than rates of VAP, which is perhaps not surprising, and he has also looked at outcomes.

19 So found that patients with we 20 events detected by the new or similar definition algorithm to VAE do tend to have 21 longer length of stay, even higher mortality 22

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than patients who do not meet the definition.

So there is some work out there, albeit not with the identical definition algorithm.

CO-CHAIR GROSSBART: Dianne, a question?

MEMBER JEWELL: I am not familiar with the literature that was just referenced, 8 but I guess the question is: Is what is out 9 10 there relative to the potential performance of this as a quality discriminator, because that 11 is the question of reliability and validity 12 13 that we are after, more precisely? Right? Okay. 14

STOCKWELL: Would it be 15 MEMBER 16 possible to show what the actual numerator and denominator are, because I think 17 it is 18 important for everyone to get a real sense of 19 what we are talking about. This is vastly different than the previous definition of 20 ventilator-associated pneumonia. 21

So I think, even though it

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probably will be voted on at a later time, I think it will color the conversation that we are having, if people are seeing what we are talking about.

DR. MAGILL: This is Shelley. Would you like me to comment on that?

6

CO-CHAIR GROSSBART: Yes, please.

Okay. For VAE, the DR. MAGILL: 8 9 numerator -- you know, if you are talking 10 about rates, the numerator is the ventilator-11 associated event, just currently as the is the 12 numerator VAP event, the and denominator is identical. It is ventilator 13 days, determined in the exact same way as it 14 is determined now. 15

The standardized incidence ratio 16 standardized 17 is the same thing as the infection ratio, which is where NHSN has gone 18 19 in terms of presenting this rate information in publicly available reports. 20

21 So the SIR is no different than 22 what is being used currently for reporting

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HAIs from NHSN. That is an identical measure. 1 CO-CHAIR GROSSBART: Shelley, this may be a stupid question, but how do you calculate an expected rate without a database? Right. DR. MAGILL: So that is where the issue of implementation and experience comes in. So, obviously, thee is going to have to be a baseline period where 8 these data are being reported to the system, 9 10 and we would anticipate -- If we do succeed in 11 implementing this in January 2013, probably we are talking about the first couple of years of 12 13 reporting. So, yes, it is true, we do need to 14 15 have events reported to the system for a 16 period of time in order to have that baseline, would be 17 but that true no matter what.

18 definition we were to move to in the system.

19 CO-CHAIR GROSSBART: I am going to 20 recommend that we go through our voting 21 process. So the first question was impact. 22 Peter has already given us an update. I

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guess, real quickly, any other outstanding questions before we vote?

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DR. BURSTIN: Just quick one comment. I just want us to again separate. Α the discussion had lot of we was about reliability and validity, which is the second criterion. So this is really just about those first three subcriteria, just to keep it 8 clean.

10 CO-CHAIR GROSSBART: Is this an important clinical condition to be looking at? 11 DR. BURSTIN: Yes. 12

13 CO-CHAIR GROSSBART: In terms of impact, 14 voted High; three, Moderate; and 14 15 one, Insufficient.

16 The next question is performance This is, again, a four-number, high to 17 gap. low on a scale of one to four. Any questions? 18 19 We don't have any evidence on the performance 20 gap. MEMBER ALMENOFF: We don't know 21 22 what performance is. The write-up says there

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is no performance gap, but --

2	DR. WINKLER: This is a new
3	measure, and so we don't necessarily require
4	data from that specific measure, that you can
5	use other surveillance data or whatever else
6	might be around to help support the argument
7	that there is a performance issue in this
8	topic area.
9	DR. BURSTIN: Especially, evidence
10	citations of a performance gap are acceptable
11	as well for a new measure.
12	CO-CHAIR GROSSBART: So is there a
13	serious gap in terms of ventilator-associated
14	events, complications? Okay. That is very
15	different. Thank you. Again, on a scale of
16	one to four.
17	We have five Highs; six Moderates;
18	and seven Insufficient Evidence. So it
19	passes.
20	Then the final area in this
21	section of the voting is the evidence, and
22	again clarification. Evidence?
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DR. WINKLER: This is an outcome So as long as there is a rationale measure. for process of care that can impact that rationale, that is the kind of evidence. Ιt is not the same detail and quality/quantity 5 consistency as you see in process measures. CO-CHAIR GROSSBART: So these events are reducible through process changes, 8 is the question. Thank you. This is a Yes or 9 10 No question, one Yes, two No, and three Insufficient. 11 So the evidence vote was 13 Yes; 12 13 one No; four Insufficient Evidence. Now we move on to reliability and 14 15 validity. already We have had some that. 16 conversation around Let's qo to reliability. Peter, any additional comments? 17 18 MEMBER ALMENOFF: Let's see. Some 19 of the people on the group -- I guess in the write-up they felt it was sort of a valid 20 method of data collection, but it did not 21 really discuss the data reviewed or to suggest 22 NEAL R. GROSS

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levels of validity. I think that is about all I was going to say.

DR. WINKLER: And the fundamental question here is has this measure been tested for reliability and validity, and where the results demonstrate reliable and valid measuring?

MEMBER STOCKWELL: At least 8 mv impression of that is the answer is no, that 9 this is a brand new measure, that there are 10 some corollary outcome measures that have been 11 looked at, but as this is defined, there is 12 13 zero experience with it whatsoever.

14CO-CHAIR GROSSBART:Any other15questions, comments by the Committee?16DR. BURSTIN:From CDC, any

17 comments? Anything you can add there? Or the 18 timing of when you might have data?

DR. MAGILL: Sure. Again, I think, we do have these investigations of very, very similar definitions that, I think, are useful and helpful and can be extrapolated

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to what we expect with the definition that has been proposed, but there is a lot of work that is going on right now. I think the hope would be, in the next year or two, we would have additional evidence in these areas.

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CO-CHAIR GROSSBART: Okay. Dianne?

MEMBER JEWELL: Just in reference 8 to the earlier disclosure that this would be 9 moving on, irrespective of our decision, sort 10 11 of balance out the perspective to that whatever we decide, it is clear that that is 12 13 an independent decision, and not that that was in doubt, but I just think it is important to 14 15 be able to say for the purposes of the 16 transcript that, while things might in use out there, the NQF and its member groups 17 are 18 deciding whether or not to put the NQF seal of 19 approval, if you will, on something as а quality measure -- as a quality measure. 20 So I just wanted to be clear that 21

22 that is what we are doing.

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MEMBER ALMENOFF: Yes, but when they say it is going out, it means it is going out to the network. It is not being endorsed by CMS and saying the country is doing it. It is a very select network that runs this kind 5 of data analysis. MEMBER JEWELL: Yes, not everybody is going to know what that is out in the --8 9 who reads the transcript. So that helps. 10 MEMBER ALMENOFF: Right. DR. BURSTIN: And since the issue 11 been brought up 12 has in the past, those measures have occasionally come -- have come 13 forward NQF untested for time limited 14 to 15 endorsement. 16 We don't allow that for what we consider complex measures like composites or 17 18 outcomes. 19 CO-CHAIR GROSSBART: Okay. Any further questions from the Committee? So this 20 is a one through four, one being High, two 21 22 being Moderate, three being Low, and four NEAL R. GROSS COURT REPORTERS AND TRANSCRIBERS

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being Insufficient. Let's vote.

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There is zero votes for High, zero votes for Moderate, two votes and Low, and 16 for Insufficient. I believe we are done, and we are not able to endorse the measure at this time or go through the process to endorse.

I do want to emphasize to the measure developer that there is a lot of enthusiasm about this measure, and we are looking forward to the opportunity to evaluate it with a little bit stronger evidence base.

DR. MAGILL: Thank you very much.We appreciate your consideration of it.

MEMBER ALMENOFF: I think it is 14 15 really important that you get a resubmission, 16 and maybe in the next six months or a year when you have a little data available, because 17 I think this is something very important that 18 19 we really need to put forward. But with our experience with VAP, and we thought that was a 20 good validated measure, and that wound up 21 22 being a disaster, I want this to have some

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good validation to it. Then I think it would be important to push through the system.

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DR. MAGILL: Thank you. I hope we will have some additional information in the relatively near future.

MEMBER ALMENOFF: Thank you.

CO-CHAIR GROSSBART: Next on our is measure 0356, which is blood aqenda 8 9 cultures performed within 24 hours prior to or 10 24 hours after hospital arrival for patients who were transferred or admitted to the ICU 11 within 24 hours of hospital arrival, which 12 13 also, I believe, is the longest measure name in the NQF list. 14 15 DR. BRATZLER: We did it that way, 16 Steve. CO-CHAIR GROSSBART: Dale, welcome 17 back. Can you give us a few minutes update --18 19 introduction to this measure? Yes. This should 20 DR. BRATZLER: relatively short. This particular 21 be 22 performance measure -- In the United States in NEAL R. GROSS COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

the CMS database for pneumonia, we see about -- I am going to say about 800,000 cases per year that come into the clinical warehouse that are collected by hospitals, and somewhere between 10 to 15 percent of those patients go to the intensive care unit.

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7 This particular performance 8 measure simply says, if the patient is 9 admitted to the intensive care unit within 24 10 hours of hospital arrival, is a blood culture 11 performed within 24 hours of arrival?

Also, the denominator is limited 12 13 those patients whose admission to the to intensive care unit is because of pneumonia. 14 15 other words, once а In in while, not uncommonly, we will see patients that come 16 into an emergency room with pneumonia but have 17 some other unrelated reason to be placed in a 18 19 monitored or an ICU bed.

20 So perhaps the patient has an 21 arrhythmia or a GI bleed or something else 22 that is unrelated to the pneumonia. So the

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denominator is restricted to those patients who go to the ICU because of pneumonia, and the numerator is did they have a blood culture performed.

We had done studies in the past, very large studies, that demonstrated that 6 sicker patients -- the yield that the -- the true positive yield of blood cultures is 8 substantially higher in patients who are sick, 9 10 and the IDSA and ATS specifically do recommend that a blood culture be obtained in 11 the patient that is admitted to the intensive care 12 13 unit for pneumonia.

14 CO-CHAIR GROSSBART: Thank you,
15 Dale. Are there questions for the developer
16 from the Committee?

MEMBER RHEW: Hi, Dale. This is Dave Rhew. At the risk of adding extra length to the title -- we talked about this earlier could we just add "pneumonia patients" instead of just "patients" to the title? Thanks.

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DR. BRATZLER: Yes, I don't think that would be a problem.

CO-CHAIR GROSSBART: Any other questions for the developer from the Committee? With that, Mitchell, I believe you are up to walk us through this measure.

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MEMBER LEVY: You just heard the description by CMS. It is reported already on 8 9 Compare and has been reported for a while. It 10 is specific to ICU patients who are admitted from the emergency department within 24 hours 11 with pneumonia, and there is no qualification 12 13 on pneumonia. It is a large percentage of the ICU population, as you also heard. 14

15 The evidence comes from a number 16 of There is one RCT that sources. was published in, I think, 2005. 17 There is a 18 couple of systematic reviews and a number of 19 the guidelines from -- one guideline from IDSA ATS, and another one on the sepsis guidelines, 20 which is about 25 societies. 21

All recommend this -- at least

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recommend blood cultures before antibiotics. So this is a metric that reflects the scientific evidence, and also the opinion in the field.

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CO-CHAIR GROSSBART: Thank you. Any other comments from the Work Group? Any questions to the Work Group from the Committee, full Committee?

9 MEMBER YEALY: I have no question, 10 but as the strongest opponent of the blood 11 culture version yesterday, this is much more 12 targeted in a much more high yield population, 13 and I don't have anywhere near the same 14 concerns.

15 This actually allows ICU any 16 transfer. Doesn't have to be directly from the emergency department. What it excludes is 17 transfers from other institutions, but this 18 19 one makes a lot more sense to me, in that it is targeted, focused, and verbose at the same 20 time. 21 22 CO-CHAIR GROSSBART: With that,

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1 let's move into our voting process. So the first thing is the impact of the measure. Mitchell, any additional comments? MEMBER LEVY: No. CO-CHAIR GROSSBART: Any question comment from the Work Group the or or Committee? With that, let's vote, a one to four scale again. 8 The vote is 16 with a rating of 9 10 High and three with a rating of Moderate. Let's move on to the performance 11 12 gap. 13 MEMBER LEVY: This is, I think, 14 where probably the biggest question is with 15 this metric. The report is 96.4 percent or so 16 on Compare. So there is a question of what the bang for the buck is here in terms of the 17 18 performance gap, although it does in the 19 submission look like there is certain а percentage of hospitals that are under 80 20 percent, but that is just mentioned, and I 21 22 don't see it anywhere else. So that is the

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biggest question about this metric.

CO-CHAIR GROSSBART: Can someone jog my memory? Is this part of the Value Based Purchasing program?

DR. BRATZLER: Yes, it is. It is, I believe. I need to double check, but I am pretty sure it is one of the VBP measures.

CO-CHAIR GROSSBART: I thought so. 8 and I asked that, because CMS has done a 9 10 pretty good job of removing from their program 11 topped measures, and through their out analysis found that the gap was sufficient to 12 13 justify rating hospitals. So I just wanted to that bring tidbit the Committee's 14 to attention. 15

Dianne, a question?

Actually, just on 17 MEMBER JEWELL: page 22 of the application, they reference 18 19 that performance rates of 18 percent of hospitals, nearly one out of five are still 20 below 90 percent. 21

> CO-CHAIR GROSSBART: Thank you.

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Any additional questions or comments?

MEMBER RHEW: Just a question from a historical perspective. I know with beta blockers, they were removed at one point. Is there a certain threshold that we have seen in the past where traditionally we have thought that the threshold -- or the performance gap was too small? Maybe you can just give some 8 historical perspective on that.

10 DR. WINKLER: Yes. You did it yesterday with the asthma measures. 11 That is sort of the whole discussion around reserve 12 13 status. Have they really reached the limit of opportunity for improvement, but it is not as 14 15 if there is a numerical number, because it 16 often has to do with the target population at risk. 17

Then the other issue around it is 18 19 maybe a national average won't show it, but are there disparities questions? So when you 20 look at subpopulation analyses, perhaps that 21 is where you find your disparities. Are you 22

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getting data from a large number of entities? instance, For we have seen measures that come in from a single state, now done very well in one state, but you have no idea what is going on in the other 49 states. So perhaps it is great in one place, but you don't know enough about everybody else. So these are the issues you have 8 to weigh. That is why there is not 9 an 10 absolute cutoff on it. Dale, I know you 11 DR. BURSTIN: have done a fair amount of work looking at 12 13 sort of some formulaic ways of trying to assess measures to be retired. I forget what 14 15 it is -- 75th percentile, X number of years or 16 something? Is that something you could share 17 with the group in terms of whether your assessment would be that this measure meets 18 19 that topped out? I was afraid you 20 DR. BRATZLER: were going to ask that, Helen. 21 22 Sorry. You have DR. BURSTIN: **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W.

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1 taught me this before.

DR. BRATZLER: Yes. So this is a formulaic way that looks these CMS at individual measures to determine if there is statistically significant difference no between the 75th and the 90th or 95th -- I don't have the methodology laid out in front of me, whether there is no statistically 8 significant difference in those performance 9 10 rates. they do periodically look at 11 So the individual measures to see whether or not 12 13 they are topped out, and currently this measure had not been topped out yet, but that 14

17 the rulemaking process. That is the only way 18 they can put in measures or take measures out 19 of the Value Based Purchasing Program. 20 CO-CHAIR GROSSBART: And that is 21 in the Inpatient Perspective Payment System 22 rule, if the staff could quickly print us up a

is -- As Steve was pointing out, they do look

at these measures periodically, and through

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couple of copies.

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DR. BRATZLER: All 1,000 pages. I am sure they would like that.

CO-CHAIR GROSSBART: But seriously -- The CMS methodology for topped out measures might be something the NQF wants to look at in terms of making a decision to move measures to reserve. I didn't think of that yesterday.

9 DR. WINKLER: Actually, in the 10 cardiovascular project we did that, and if CMS 11 determines a measure to be topped out, they 12 don't include it in Value Based Purchasing, 13 because the math doesn't work.

14 CO-CHAIR GROSSBART: Right.15 Mitch.

16 MEMBER LEVY: have small We а database with this Value Based Purchasing 17 30,000 patients, 18 campaign of and that 19 percentage is running about 60 percent blood cultures before antibiotics for patients with 20 sepsis septic shock 21 severe and who are 22 admitted to the intensive care unit, and that

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1 is within six hours. I'm sorry? DR. BURSTIN: Why so much lower than the CMS number? MEMBER LEVY: I don't know. DR. BRATZLER: Well, I am betting -- because we are actually in the process 6 right now to, unrelated to pneumonia, building sepsis performance measures for -- through 8 another contract for CMS, and it just hasn't 9 10 been focused on. It hasn't -- and there are 11 challenges around identifying those some 12 patients. 13 Pneumonia -- hospitals now have been working on improving quality of 14 care 15 around pneumonia for many years. So defining 16 the denominator for hospitals is pretty easy 17 now. All right. 18 CO-CHAIR GROSSBART: 19 Yes? PELLICONE: Point 20 MEMBER of clarification. This measure is looking for 21 the blood cultures performed within 24 hours, 22 NEAL R. GROSS COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

irrespective of the timing regarding antibiotics?

DR. BRATZLER: That is correct, because many of these patients have already received the first dose of antibiotics in the emergency department. So this is irrespective of antibiotic timing.

8 CO-CHAIR GROSSBART: All right. 9 If there are no further questions, let's move 10 to voting on the performance gap.

The scoring was eight votes forHigh, 10 votes for Moderate, one for Low.

Now for the evidence, quality of the evidence. Mitchell?

MEMBER LEVY: I don't have, really, anything to add to what we have already discussed.

CO-CHAIR GROSSBART: Any questions for Mitchell or the Work Group? If not, let's move on to the voting, and this again is a Yes/No, one/two or three for Insufficient.

The results are 18 Yes and one No.

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Now we move into our reliability and validity section. Mitchell, any comments about reliability?

Not really. I think MEMBER LEVY: John just brought it out that the real goal of 5 this is get blood cultures before to antibiotics, which actually made me realize why our number is probably lower, because it 8 is automatically calculated, because people 9 10 enter what time patients get the antibiotics and what time they get the blood culture. 11 So they don't really self-report. 12

So I think, from that point of view, the reliability and validity is not what we would like to see ideally for a metric, but I don't think it challenges the metric that much.

18CO-CHAIR GROSSBART: Any questions19or comments?20DR. BRATZLER: Yes. I would

21 highlight that this measure includes those22 patients who get admitted to the floor and

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then subsequently transferred to the intensive care unit. So that is why we don't look at timing of the antibiotic. It is not limited to those patients that go from the emergency department to the ICU.

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MEMBER YEALY: One quick question. If you had blood cultures drawn 48 hours before going to the intensive care unit, you 8 are admitted to the floor, and you 9 have 10 adequate biologic sampling and then deteriorate, how is that handled for this 11 There really wouldn't be a whole lot 12 metric? 13 of reason to redraw them again only to meet the criteria, but it looks like it says only 14 24 in either direction. 15

DR. BRATZLER: That is correct. It only looks at those patients admitted to the ICU within 24 hours of arrival.

MEMBER YEALY: So if they had been drawn 48 hours earlier, were already positive at the time they entered the ICU, to meet the metric you would have to draw them again. Is

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that correct?

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2	DR. BRATZLER: I guess, but I
3	think that would come up very uncommonly that
4	blood cultures would have been drawn pre-
5	admission, because we are only looking at a
6	window from 24 hours after hospital arrival.
7	MEMBER EDELMAN: It is not
8	hospital arrival. It is intensive care unit
9	arrival. The point is there are patients up
10	on the floors of the hospital who have blood
11	cultures and then get sicker and are
12	transferred, and the sicker may not be that
13	they think there is a new organism. It may be
14	something else.
15	DR. BRATZLER: Right, but gain,
16	the denominator for this patient for this
17	measure only includes those patients that are
18	admitted to the intensive care unit within 24
19	hours of hospital arrival.
20	MEMBER YEALY: Okay. That is the
21	clarification. Thanks. Then the blood
22	culture has to have been within 24 hours
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either side. Okay, thanks.

CO-CHAIR GROSSBART: Any other questions? Well, then let's vote on the reliability question, one to four scale. The reliability came with a vote of 15 High, four Moderate, no other votes. 6 Then the validity. Mitchell, anything? 8 MEMBER LEVY: Really, nothing. 9 10 CO-CHAIR GROSSBART: Any questions 11 from the Committee regarding validity? Hearing none, let's move to a vote, again a 12 one to four scale. 13 The 14 votes are 17 High, one 15 Moderate, and one Insufficient Evidence. 16 Now we move on to the usability and feasibility question. So usability. 17 Really, nothing to 18 MEMBER LEVY: 19 comment. It has commonly collected and reported in Compare. So I think the committee 20 thought it was a high factor for usability, 21 high support. 22 NEAL R. GROSS COURT REPORTERS AND TRANSCRIBERS

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206 CO-CHAIR GROSSBART: Any comments or questions from the Committee? All right, let's move to voting, again a one to four scale. The results are 16 High, and three Moderate. No other votes. Then feasibility. MEMBER LEVY: I don't have 8 anything to add. 9 CO-CHAIR GROSSBART: Are there any 10 11 questions or comments by the Committee? Let's move on to voting then, again a one to four 12 13 scale. feasibility, 16 votes High, 14 On 15 three votes Moderate, no other votes cast. 16 Now come into our overall we suitability for endorsement. This is a Yes/No 17 question, one Yes, two No. 18 19 It was unanimous endorsement, 19 votes in favor. Thank you, Dale. 20 DR. BRATZLER: Thank you. 21 22 CO-CHAIR GROSSBART: And our next NEAL R. GROSS COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

measure is 0334, PICU Severity-adjusted Length of Stay.

DR. WINKLER: Do we have developers from VPS on the line?

DR. SCANLON: Hi, yes. This is Matt Scanlon. I duly identified myself as being with the Medical College of Wisconsin for the purpose of the call, and I have Chris Gall who is my VPS counterpart.

10 CO-CHAIR GROSSBART: Reva, just a point of order. Should we have them introduce 11 all of their measures right now? 12 Matt and 13 Chris, what we are going to ask you to do is invest about three minutes of your time in 14 15 giving overall just an summary and 16 introduction to the -- what is it? -- six measures that you have submitted for -- that 17 you have developed. 18

DR. SCANLON: That would be great. Thank you. So let me start, actually, with the last one, 0343, which is PICU Standardized Mortality Ratio. I suspect the panel is very

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familiar with the concept of SMR, and I would like to thank Dr. Winkler and Katie Streeter for their assistance in helping us navigate the language and terminology of NQF.

This is a complex measure, because it uses a proprietary risk adjustment scheme, the PRISM III algorithm, which is currently the only validated and calibrated severity of 8 illness tool for pediatric use available in 10 the States.

I think, in full disclosure, there 11 is an international tool called PIM2 that has 12 13 not had published validation in the U.S. but has been validated overseas, but for that 14 15 reason PRISM III is used. That is used for 16 SMR and also the complex measure of PICU Severity Adjusted Length of Stay. 17

This is based on work by Murray 18 19 Pollack who actually is the intensivist who created the PRISM algorithm, and was published 20 in a Journal of Pediatrics article back, I 21 believe, in '96, describing the methodology 22

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for risk adjusting length of stay to account for variation attributable by the severity of the patient, independent of the care provided.

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Having said that, Ι think the adjusted length of stay is always subject to potentially gaming in the eyes of reviewers. So when these measures were originally put forth, and we still feel strongly that to look 8 at severity adjusted length of stay in absence 9 10 of an unplanned readmission rate is probably a mistake. 11

anecdotally, there 12 least At are 13 rumors that there are centers that keep their length 14 of stay down by prematurely 15 transferring kids, but the thought was, if you 16 identify those kids who bounce back because they were sent out prematurely, by looking at 17 unplanned readmission within 24 hours, that is 18 19 almost a balancing measure.

20 So that touches on 0334 and 0335. 21 0336 is an attempt to actually add quality 22 learning to the process, which is essentially

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1	are you systematically reviewing your
2	unplanned readmissions in the attempt of
3	trying to reduce those. So that if you
4	identify factors that could be addressed
5	organizationally to reduce the likelihood of
6	that happening in the future, that would be
7	ideal, and we felt just to track a number of
8	unplanned readmissions without learning from
9	them would really be a missed opportunity.
10	Finally, the last two measures
11	0341 and 0342, are measures that at the time
12	were Joint Commission elements. I will say
13	The Joint Commission no longer requires these,
14	which was a surprise to us as we were going
15	back through these, but address the fact that
16	we felt that pain was a very important aspect
17	of care in the ICU.
18	For that reason, creating an
19	expectation that pain be assessed at the time
20	of admission and then in an ongoing fashion
21	during the stay in the ICU was something that
22	was important, and the pediatric critical care
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community felt should be tracked and reported in a public manner.

So I don't know how I did in your three minutes, but I would be happy to clarify any of that.

CO-CHAIR GROSSBART: Are there questions from the Committee for the developer? Mitchell?

MEMBER LEVY: I have a 9 Yes. 0336, 10 question about the numerator and denominator. I read this. I keep reading it 11 over and over again, and I can't tell the 12 13 difference between the numerator and the denominator. 14

15 So I am not sure if it is just it 16 is written -- I understand that the intention, just as you described, is to look at whether 17 18 not there is review of unplanned or 19 admissions, but the way it is written, it like the denominator is are 20 looks there reviews of unplanned admissions, and the 21 is the number of nonreviews of 22 numerator

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unplanned admissions. I can't tell the difference.

3 DR. SCANLON: You know, I don't 4 have my copy in front of me. So I am at a bit 5 of a disadvantage, but you are correct in your 6 confusion, in that it should be that the 7 denominator be all unplanned readmissions 8 within 24 hours to ICU X within time frame Y, 9 and the numerator would be, of those in the 10 denominator, how many were reviewed?

MEMBER LEVY: Yes, it is not quite
written like that, but that is sort of --

DR. SCANLON: I apologize for that and, certainly, that is the intent, spirit, and thought behind the measure.

16 CO-CHAIR GROSSBART: Any other 17 questions for the developer?

18 MEMBER ALMENOFF: Just one. We 19 have been having a lot of discussion about 20 standardized mortality for adult measures, and 21 part of the discussion had to do with not 22 doing an in-house SMR but doing a 30-day SMR.

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I noticed that you guys only do an in-house SMR. Was there any thought of maybe eventually developing a 30-day, because the information is much more useful to have both as opposed to just in-house where lots of gaming occurs?

That also will affect your length of stay risk adjusted model, because you said one of the co-factor is the rebound back into the hospital, but the other point is, if they go home and die, you won't see that piece of it. So it is just a thought of whether you thought of going to a 30-day model.

DR. SCANLON: So it is a very good question, and the answer is I don't think that -- Well, let me answer it a couple of ways.

First, these were the measures that were developed by a national task force, a kind of a self-formed and then nationally organized task force of pediatric critical care providers a number of years ago, before they first were submitted.

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Actually, we put those together with the hope of getting Joint Commission endorsement before it had even struck us that we might be even ready for NQF prime time. So having said that, there has not been a reconvening of that group, and I think 6 that that would be a reasonable charge to us to try and pull together. 8 So were contacted 9 when about 10 resubmitting the measures, a lot of this has fallen to myself and Ms. Gall as I was one of 11 the clinician leads on the measures a long 12 13 time ago. The VPS has essentially assumed 14 15 the reins of stewardship for these, in absence 16 of the prior organizations who had been doing that. 17 30-day is 18 The measure aqain 19 provocative. I think the challenge is how to track those, and it is not that we are not 20 I think we would need to explore willing. 21 what is the feasibility of tracking. 22 NEAL R. GROSS

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One of the reasons is that a lot of admissions tertiary, these are to quaternary referral centers that are regional, and so our patient distribution often crosses I am not offering that as an many states. excuse, but Ι think instead one of the challenges to capturing that information. So you are right. I don't have 8 any doubt that a 30-day SMR would improve our 9 10 understanding of care delivery. Ι always 11 looked at these measures crawling as our before we walked before we run, and not ever 12 13 claiming they were truth in the universe of all quality in pediatric critical care. 14 15 So I don't know that I actually 16 answered your question, but I guess that is how I would respond. 17 18 CO-CHAIR GROSSBART: Aqain, any 19 further questions? Oh, I would add one 20 DR. SCANLON: other thing. It is -- Chris was scribbling a 21 It is really rare that children 22 note to me. NEAL R. GROSS COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701

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die at home, for better or worse. So the part of it is tracking them across hospitals, but at least I think they are seen in mortality figures in a state.

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Whether we could link those back to a given ICU, I think, is the challenge, and some of that is due to HIPAA limitations of tracking patients across institutions currently.

10 CO-CHAIR GROSSBART: Thank you, I want to do a time check. We have got 11 Matt. -- Lunch has arrived, I believe, and maybe 12 13 this would be a logical time to do public comments, and then lunch, rather than trying 14 15 to squeeze the length of stay measure in 16 before lunch. We want to make it a working lunch as well, so we can stay on track. 17

I think we can do public comments 18 19 now. WE have 10 minutes for public comment on our morning work, and then we can adjourn for 20 get lunch, sit down, back 21 here, get reconvened, and start going in a few minutes 22

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1 after we have all settled in.

DR. WINKLER: Matt and Chris, can you live with that? WE have been working all morning. We need to take a break.

5 DR. SCANLON: Oh, no, not at all. 6 That would be perfectly fine. You know, I 7 can just hang on the line here. I think the 8 key is just knowing when would be -- if you 9 can give us a time of when you would like us 10 available again, so we can plan accordingly on 11 this end. So even if it is a 10-minute break 12 or whatever.

13DR. WINKLER: Probably 15 minutes.14CO-CHAIR GROSSBART: Yes, 1515minutes.

16 DR. WINKLER: Thanks. Anthony, the operator, is there anyone on the line, 17 18 audience who may want to make comments? We 19 do? Then could we ask if anybody wants to make a comment or ask a question for public 20 comment? 21 22 If you would like to OPERATOR:

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ask a question or have a statement for public comment, please *1 on your touchtone telephone. Once again, that is *1 if you have a question or comment at this time. We will pause for just a moment to give everyone a chance to signal. It appears we have no questions or

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comments at this time.

9 DR. WINKLER: Great. Does anybody 10 in the room, in the audience? All right, I 11 think we have done public comment.

Lunch is served, and so if we could grab lunch and get ourselves back here so we could get rolling again in about 15 minutes. Thanks, everybody.

16 (Whereupon, the above-entitled 17 matter went off the record at 12:04 p.m. and 18 resumed at 12:19 p.m.)

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A-F-T-E-R-N-O-O-N S-E-S-S-I-O-N (12:19 p.m.) MEMBER STOCKWELL: All right. I guess the first one on the agenda here is the PICU Severity-Adjusted Length of Stay. The introduction by the folks there in Wisconsin, I think, was great, and the length of stay calculations pretty straightforward. Well, I guess I should do this in order. The impact of this metric, I think, is pretty self-explanatory. The performance gap is also noted within the VPS data that is

is also noted within the VPS data that is 12 13 within the application, that there is a fairly wide performance with 14 gap а range from 15 1. something to 4. something average length of 16 stay, and the evidence for using the severityadjusted model that they used, the PRISM III 17 score, is very well validated. It is on its 18 19 third iteration, it sounds like. For the adult folks in the room, it is very similar to 20 the APACHE score, and it has gone through the 21 same kind of evolution as that has. 22 Murray

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would tell you that he very proudly ripped the idea off of the APACHE score, too.

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So I think, at least for the first three parts, I think it is all pretty sound. We will talk about in a little bit, as I would understand what we have been doing, the feasibility piece comes up, because is not a publicly reported measure. This is a private 8 group of pediatric ICUs that participate with 10 VPS. We talked about that a little bit in our 11 Work Group.

So I think one of our overall Work 12 13 Group questions for NQF was: How does that skew our decision making about this metric? 14 15 Does it weigh into it? Does it not? If it 16 does, how so?

17 DR. WINKLER: In terms of just 18 responding to that question, as Matt 19 mentioned, we did clarify this is a complex 20 measure that contains a proprietary risk adjustment methodology, which is allowable. 21 22 However, important one of the very

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considerations is that you look at whatever fees are associated with it under the criterion of feasibility.

So the details of belonging to VPS to have access to the risk model for this measure and the Standardized Mortality measure has been provided to you. Actually, Katie is projecting it.

9 So we want you to be aware of this 10 information so that you can incorporate it 11 into your assessment of feasibility.

CO-CHAIR GROSSBART: Reva, I have 12 13 a question. So how did these measures get into the mix? Ι developers, 14 know that 15 particularly involved with health policy, CMS, 16 Joint Commission and so on, submit measures so for 17 they can use them the accountability/public reporting. 18 Does NOF 19 solicit these measures as part of a larger contract or is this just the developer has 20 just submitted them? 21

DR. WINKLER: The history of this,

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as Matt alluded to, is there was a task force of several national entities around child health and pediatric intensive care units who got together, including NACHRI, several children's hospitals, and VPS, I think, was sort of the data manager.

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7 So that was the group that came 8 together to develop these measures around 9 2005, something like that, with the intention 10 of wanting to put these on the national stage 11 for use, and they did. I think he mentioned 12 perhaps the Joint Mention, and they did bring 13 them to NQF.

DR. SCANLON: Dr. Winkler, this is 14 15 Matt. If I could just clarify, too. At the 16 time this was done, VPS was in existence but really had no direct role in the measure 17 development. I think the important thing to 18 19 appreciate, to the questioner's question is that at the time Dr. Pollack actually was in 20 ownership of the PRISM III algorithm. 21

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So this wasn't an effort to kind

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of drive business to VPS. This was actually -- VPS was licensing the algorithm from him for our use, but he had his own database that was also available at the time, the PICUEs database.

The issue is that Dr. Pollack has -- with updating the PICUEs database, and sold the license for the algorithm to Dr. Randall Wetzel who is affiliated with VPS, but his purchasing of it was a separate endeavor.

So I don't want to suggest that --I think it is important to understand the historical context, so that this wasn't a proprietary company trying to guaranty business for life. This really fell out a different way.

17 MEMBER HAECKER: How many children's hospitals participate in this? 18 19 DR. SCANLON: One hundred hospitals --20 seventeen children's or I'm sorry, 117 PICUs, and there's just over 100 21 22 children's hospitals in the U.S. and Saudi

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Arabia currently. Canada is -- The Canadian PICUs are looking on joining.

MEMBER STOCKWELL: Matt, that sounds -- That is VPS membership, right? How many of those groups submit PRISM data?

> DR. SCANLON: Eighty-five percent. MEMBER STOCKWELL: Okay.

8 MEMBER STEARNS: Could you clarify 9 for me whether the measure is publicly 10 reported in terms of the data or whether it is 11 not either as well -- Okay.

DR. WINKLER: Individual hospitals 12 13 may choose to report different measures, and I have seen public reports of the mortality 14 measure from several children's hospitals that 15 16 they do on their own website. So it is an independent kind of thing, but there isn't a 17 18 single entity that does it for a whole bunch 19 of sites.

20 MEMBER HAECKER: It doesn't go to 21 NACHRI or CHCA at all?

MEMBER STOCKWELL: No. No, not at

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all. In fact, what you get is a standardized report with your hospital's data, and then you go through a fairly extensive process with VPS to select who you feel like your comparator or peer hospitals are within that group of 100 different children's hospitals, and you are blinded to what the results are from those other places, but you get whatever length of list as you are looking for.

DR. SCANLON: I would also add that the California Children's Services, which is the funding body for California pediatric health care, has mandated public reporting through VPS.

15 So, actually, there is one example 16 where these measures are being reported, at least to the state. I don't know that it is 17 18 in the public domain. I can't speak to that. 19 I think that is the direction they are already 20 qoing. But they are mandating reporting of all these measures currently to 21 the state. 22

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DR. BURSTIN: And just one more context setting point, since this is the first proprietary measure you will have talked about over the last couple of days. A few years ago, the NQF Board specifically allowed a corridor for proprietary measures to come forward. We had never had any before.

The idea was that there was a fair 8 9 amount of innovation in that community, and we wanted to make sure we were, in fact, getting 10 a chance to have the full transparency to see 11 under the hood of some of these, for example, 12 13 that are quite proprietary and not very transparent. So that is a requirement, that 14 15 it be fully transparent to the committees 16 reviewing them to, in fact, see what is inside. 17

Secondly, if there are fees associated with the use of the measure, that they needed to be shared with the committee, shared with the public as part of the review of the measure, and that we would incorporate

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the consideration of the fees under feasibility. So as we go through that, we will pop that slide up again with the fees. So back to you, David.

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CO-CHAIR GROSSBART: Can I just make one more comment, because I am still trying to get my head around. So every other measure we have looked at has had a compelling 8 policy reason for evaluation, in PQRS, 9 in 10 Value Based Purchasing, in being used for accreditation of health plans, even being used 11 for accreditation of hospitals, and so on. 12

13 Т just still asking the am I mean, I know NQF may choose to 14 question. 15 endorse these measures, but at the end of the 16 day, I mean with the possible exception of the California public reporting, it is like what 17 difference does it make? 18

DR. BURSTIN: Again, I think it gets to the fact that we really consider measures appropriate for both quality improvement and accountability. So there is

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no question, many of these that are registry type measures or measures along these lines have been incredibly useful and often demonstrated results in terms of improvement.

accountability The functions, again, are quite broad. So they may, in fact, 6 be used for other purposes, benchmarking with improvements, state based issues, health 8 plans, pay for performance. So not everything 9 10 needs to rise to the level, for example, of a 11 Federal program, use in a Federal program, or pay for performance necessarily. 12

13 Public reporting still is the end goal, certainly given the preponderance of 14 15 consumers and purchasers in the leadership of 16 NOF. That is still a very strong goal. I think the hope is, over time, as we have seen, 17 for example, with the STS database probably 18 19 being the best example, the CABG database now being publicly reported as part of consumer 20 reports and on the STS website -- they were 21 22 endorsed through NQF for many years, with

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continued sort of pushing to move in that direction. So I think the hope is that we bring in some of these innovative, important tools, and perhaps -- and measures, over time they can move in that direction.

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6 DR. SCANLON: I would add, again, 7 during the original meetings that led to the 8 development of these measures, one of the 9 criteria we used for measure development was 10 would we be as a group comfortable, based on 11 the state of knowledge, with this used for 12 public reporting; and the answer was yes.

13 So I think -- My personal opinion is it would be a mistake to fault the measure, 14 15 because nobody cares to force us to publicly 16 report it. You know, that is outside of our bailiwick. There are lots of centers that are 17 18 actually volunteering this information 19 readily.

20 Parenthetically, the VPS is a 21 database -- a registry. It is not in the 22 reporting business. So I don't think that

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that is where it would be, plus who really cares what the VPS has to say at a certain level. The absence of the joining to our NQF efforts, somebody -- or the public body saying this has to be reported can't make it happen.

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6 CO-CHAIR GROSSBART: Matt, 7 appreciate the feedback. So we are going to 8 move on with our process here. So let's get 9 back on track. So I think we are ready to 10 start voting on sections of this. So, David, 11 if you want to -- a question of impact for 12 PICU length of stay?

13 MEMBER STOCKWELL: Т think Т already covered it. The impact is pretty 14 15 clear. The performance gap is very reasonable 16 to consider, and the evidence for the use of this metric, albeit with the caveats that we 17 have just mentioned, is fairly sound. 18

19 CO-CHAIR GROSSBART: So it let's 20 take those three in a bundle. So for all 21 three of those, just to keep this moving, 22 impact, performance gap, and evidence, are

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there any questions or comments from the Committee? Norm?

MEMBER EDELMAN: I don't understand exactly what the impact is. Is length of stay in the PICU a measure of the quality of the final outcome or is it only a measure of resource utilization?

8 MEMBER STOCKWELL: I think it is 9 severity-adjusted length of stay.

10 MEMBER EDELMAN: Is severity-11 adjusted length of stay a measure of the 12 quality of the final clinical outcome or is it 13 just a measure of resource utilization?

MEMBER STOCKWELL: Yes, it probably is a combination of both, but it certainly, I think, allows the individual ICUs to be able to assess themselves in terms of what can be done to get back into the norm within comparative groups.

20 MEMBER EDELMAN: I understand 21 norms and comparative groups, but is this in 22 the patient's best interest or is this in the

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interest of the bottom line of the institution?

MEMBER STOCKWELL: I think that Matt Scanlon mentioned that a little bit, that there is a balancing measure that is sort of part of this package where there is the unplanned readmission rate for the ICU, to help to address that. 8

MEMBER EDELMAN: No, this measure. 9 10 Is this going to provide healthier babies? 11 It is not clear to me. It is not clear to me 12 that an extra day makes a worse clinical 13 outcome, and --

DR. SCANLON: Well, I would argue, 14 15 from the standpoint of hospital acquired 16 infections, every moment in the ICU that he doesn't need to be there, increases your risk 17 of mortality. 18

19 MEMBER EDELMAN: And you have data 20 to support that.

Well, and CO-CHAIR GROSSBART: 21 that definition of -- Your definition of 22

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quality is inconsistent with the IOM's, which would use efficiency as a measure of quality and overutilization or waste is --

MEMBER EDELMAN: Okay. So you think resource utilization is a sufficient rationale?

CO-CHAIR GROSSBART: My opinion is irrelevant. The IOM has said so.

9 DR. BURSTIN: It is intended to be 10 a combination of resource use with quality, 11 and that is why it is risk adjusted, and it is 12 has got outcomes associated with it.

CO-CHAIR GROSSBART: Any other comments on the first three items in the voting ritual here? Ritual is not the right word -- process. So let's move on. So then we are going to vote on impact, a one to four scale again.

19The vote is nine votes High, seven20votes Moderate, one vote Low, one vote21Insufficient Evidence.

The next item we will vote on is

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the performance gap, and David has already addressed that. So unless there are any specific questions around performance gap, let's move on with the voting, one to four scale again.

The vote is eight with a score of High, nine with a score of Moderate, and one with Insufficient data.

9 Then the final question is 10 evidence, and again this is an outcomes 11 measure. So one for yes, two for No. We are 12 still not getting everyone to vote, so try 13 voting one more time.

We have 15 Yes and threeInsufficient Evidence.

16 That moves us to the next phase of 17 our voting, which is reliability and validity. 18 David?

19MEMBERSTOCKWELL:The20reliability, I think that the group felt21comfortable with.Again, this is -- The22approach that is used to generate this data

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1 has been shown to be reliable, and has been validated in several different articles. Ι think the recommendations were both high on those items. CO-CHAIR GROSSBART: Any questions or comments from the Committee? Let's move on 6 to the reliability vote, on a one to four scale. 8 voting was 12 High, 9 The six 10 Moderate. question is validity. 11 The next Again, any additional comments, David? 12 Any 13 comments regarding validity? Let's move on with the vote then, one to four scale. 14 15 The validity results are eight 16 with a score of High, nine with a score of Moderate, and one with Insufficient Evidence. 17 18 That moves us to the usability 19 discussion. David, I know you have touched on some of these points. Anything to add? Any 20 questions about usability? Then let's move on 21 with our voting, again a one to four scale. 22 NEAL R. GROSS

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The vote was eight with a score of High, nine with a score of Moderate, and one with a score of Low.

Then feasibility, again a one to four scale.

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MEMBER STOCKWELL: This is the big question, I think, and you can see up on the screen this is a decent chunk of change to 8 participate in this, and then your payment to 9 10 the company is only the first step, obviously. 11 Ι many of you participate am sure in registries like this. The big chunk really 12 13 comes in the manpower that it takes to generate this data and submit it. 14

15 am not sure how to guide the Т 16 conversation in terms of NQF standards for this question any further than that. So if 17 you guys have any other recommendations, I 18 19 would love to hear them.

DR. BURSTIN: We don't really have 20 any standards for this. Actually, to date we 21 have not endorsed any measures with fees. 22 We

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have evaluated some, but they have usually failed for other reasons. Well, I take that back. We have endorsed a couple of new Ingenix measures. So we actually have recently endorsed our first two.

6 MEMBER LEVY: So what percentage -7 - I know you said 85 percent of the hospitals 8 in that system are reporting this, but what 9 percentage of PICUs in the U.S. are already 10 doing this, would you say?

11 CO-CHAIR GROSSBART: Matt, do you 12 know the answer? I don't know the answer to 13 that.

14DR. SCANLON:I'm sorry.Could15you repeat the question?I wasn't sure.

16 MEMBER LEVY: What percentage of 17 PICUs in the country are already reporting 18 this?

DR. SCANLON: You mean through VPS or outside of VPS?

MEMBER LEVY: Either way.

DR. SCANLON: To my knowledge, no

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one is reporting it outside of VPS, because again --

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MEMBER LEVY: Right.

DR. SCANLON: -- they need the PRISM III algorithm, and while Dr. Pollack had previously offered an alternative method for doing that, no longer supporting that project. so to my knowledge, the only groups that are reporting this are through the VPS use.

CO-CHAIR GROSSBART: Yes. So I am asking the question. What percentage of PICUs in the country are reporting it through you? Do you know what percentage of PICUs are --

15 DR. SCANLON: There's two 16 different questions there, as I am hearing it. One, we represent about one-third to one-17 fourth -- One-third of 18 the ICUs in the 19 country, pediatric ICUs, using VPS are 20 currently. There is a very large number of small -- of six-bed community hospital ICUs 21 that aren't represented in this. 22

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Having said that, again, I cannot speak to the reporting aspect, if you mean publicly reporting. Reporting to VPS, we can speak to. Reporting beyond that is up to the individual institution.

CO-CHAIR GROSSBART: Yes, Matt. What percentage of the total PICUs in the U.S. are in your database? We are asking feasibility.

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10 DR. SCANLON: Right now, as we 11 understand it, about a third.

12 CO-CHAIR GROSSBART: About one-13 third are in there. Would it be different if 14 you counted PICU days or PICU admissions? 15 What percentage of PICU admissions? I assume 16 you have the larger facilities.

I would quess that 17 DR. SCANLON: it is a much larger percentage from that 18 19 standpoint. That is an excellent question, because this disproportionately 20 represents large tertiary, quaternary centers. 21 The 22 problem is there is no place to go to get that

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comparative data, that I am aware of.

CO-CHAIR GROSSBART: To get paid a premium on the top of billed charges.

DR. SCANLON: I mean, the KID database or HPEP data, theoretically, we could back into percentage of PICU days, but I think there would be some fuzzy math there. It is a great question. We can try and dig into that, 8 but I don't know that that is even answerable. 9 10 CO-CHAIR GROSSBART: Okay. That was very helpful. Let's move on with the 11 feasibility. 12 13 MEMBER LARSON: I have a question. is this a new measure or a renewal, because 14 15 based on the number, I thought it would be a 16 renewal. Somebody said we never had approved these before. 17 18 DR. WINKLER: It was approved in 19 the past. It wasn't part of a proprietary --It was at a time of transfer of ownership that 20 Matt told you about. 21 CO-CHAIR GROSSBART: So are still 22 NEAL R. GROSS COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701

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looking for some guidance on the feasibility vote?

DR. WINKLER: If this helps you, feasibility is not a must pass criteria. So you are willing to assess -- you know, is this 5 a problem for the feasibility of the measure? You will then vote on whether you would recommend the measure for endorsement, but 8 and unlike scientific unlike importance 9 10 acceptability, a measure does not have to pass feasibility in order to be recommended. 11

12 CO-CHAIR GROSSBART: Okay. With 13 that said, are there any other further 14 questions before we vote? So it is a one to 15 four scale, again. Let's vote.

16 Zero, High; seven, Moderate; 17 eight, Low; and three, Insufficient 18 Information.

Then our final vote will be on endorsement of the measure, one Yes, two No.

21The endorsement carries by a vote22of 11 to seven.

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CO-CHAIR GROSSBART: Our next measure up is going to be -- Actually,. I am going to ask if Mitchell and Peter would be willing to kind of tag team on the 0335 and 0336, just in the introductory parts of this, 5 because these are similar measures: PICU Unplanned Readmission Rate and Review of Unplanned PICU Readmissions. Are 8 you comfortable? Great. 9 10 Mitch, do you want to lead? 11 MEMBER LEVY: This measure got mixed reviews by the committee, and I think in 12 part it is because of the confusion between 13 these two, the two metrics, which we had 14 clarified a little bit. This metric has a 15 16 more clear numerator and denominator, in that is clearly measuring the incidence of 17 it unplanned admissions back into PICUs. 18 19 It is being proposed as а balancing measure with the 20 one that was presented previously, which is length of stay. 21 So it has the potential for high impact. 22 The

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problem is, although it is being presented as a balancing measure, the reason for readmission is confounded by factors on the wards, factors in the hospital, and then factors that led to the discharge in the first place.

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So although it is being presented balancing measure to ensure that 8 as а hospitals aren't driven to discharge kids from 9 10 the ICU more quickly because of the length of 11 stay, the readmission rate may not really So there is a question about 12 reflect that. 13 that.

scientific evidence The 14 is 15 confounded also in that a lot of the evidence 16 that is cited in this, first of all, appears to be from adults and, second, appears to be 17 measuring the impact of rapid response teams 18 19 on wards to reduce readmission rates. So it very confusing 20 is what data are being presented in support of the metric altogether. 21 I will stop there. 22

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CO-CHAIR GROSSBART: Any additional comments?

MEMBER ALMENOFF: I agree with the comments that were made. The only piece to the second portion is of the -- and I think 5 that was clarified today -- of the patients who get readmitted back to the unit within 24 hours. The second portion of this metric was 8 that they would review all those charts. 9 Ι 10 mean, that is basically all it is doing which, to me, almost seems like, why not just make 11 that part of the 0335. 12

Of course, if you are going to bother to do this at all, why are you writing a separate metric to look at what you should be doing. So, to me, it just seems a little different, but basically I agree with most of the comments that Mitchell made.

19 CO-CHAIR GROSSBART: Can the 20 developer briefly comment on the relevance of 21 0336, and why you -- your logic behind 22 including it?

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DR. SCANLON: Well, I think, actually, in a way that was answered by the presenter. What I mean by that is 0335 is subject to confounding factors, such s the capability and resources of the acute care unit that the patient was transferred to. I can speak to our own data. We have seen children who have come back as an 8 unplanned readmission for 9 unpredicted new 10 problems that were not foreseen and could not have been foreseen. 11 So that I think, 0335 in and of 12 13 itself, is necessary but not sufficient. To the second commenter, you could 14 15 argue, and I think the case could be made, 16 that they could be merged, and I at a certain level have no problem with that. I think, 17 again, the time these were developed, they 18 19 were thought of us different pieces different legs of the stool, if you will --20 and whether it would be enhanced by combining 21 these or not is, I think, open to discussion. 22

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But it is to understand exactly where there are areas to improve and what was stuff that was beyond the control of the ICU, but may even point out the hospital system changes that could be addressed.

CO-CHAIR GROSSBART: Thank you for that feedback. At this point, I think it would be appropriate to move to our systematic 8 voting, and the first measure up is 0335, PICU 9 10 Unplanned Readmission Rate. We have touched 11 of the high level -- Hayley, on some а question? 12

MEMBER BURGESS: Yes. How is unplanned readmission defined? I was looking through. I couldn't find exactly what that definition is.

17 CO-CHAIR GROSSBART: Actually,
 18 wouldn't all readmissions be unplanned,
 19 because you wouldn't have --

20 DR. SCANLON: That is actually 21 incorrect. For better or worse, because of 22 resource issues, it is not uncommon in ICUs

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for patients to be transferred out for a time period and then come back after a subsequent procedure. We could debate whether that is ideal care or not, and I would concede that point readily, but it is a known phenomenon that there are predicted readmissions to ICUs, even within a 24-hour time period. All right. CO-CHAIR GROSSBART: 8 My apologies. 9 10 DR. SCANLON: Oh, not at all. 11 CO-CHAIR GROSSBART: Hayley, did your question get answered? How is it 12 13 defined, though? Yes, I didn't talk 14 MEMBER LEVY: 15 about it, because I think that is under 16 reliability, but clearly, that is -- and it is mentioned even in the submission that it is a 17 very subjective definition. 18 19 CO-CHAIR GROSSBART: Okay. So let's step through the voting process. 20 DR. SCANLON: Would it help to 21 clarify? There is a standard definition at 22 NEAL R. GROSS COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

least for this, which is did the ICU know within 12 hours of readmission that the child was coming back?

4 CO-CHAIR GROSSBART: Okay, thank 5 you for that feedback.

Again, the importance of the measure, one to four scale. We are going to have to vote separately on each one. We can't 8 9 combine the two votes together, can we, Reva? 10 DR. WINKLER: No, you can't 11 really. CO-CHAIR GROSSBART: So we just go 12 13 straight through 0335, then straight through 0336 with just briefer comments 14 by the 15 Committee since we have already --16 So the results are six High, nine Moderate, four Low. 17 Then moving on to the next piece, 18

19 the performance gap.

20 MEMBER LEVY: In the submission, 21 there are two aspects. One is referring to 22 the value of an outreach service, which I

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assume is like a rapid response team, 1 in reducing readmissions, and the other was the analysis from VPS of the variation of readmissions between zero and 3.14 percent of discharged patients. So there is some variation across hospitals of about three percent. CO-CHAIR GROSSBART: Any comments 8 or questions? Let's move on to our voting, a 9 10 one to four scale for the performance gap. 11 The results are one score of High, 11 Moderate, seven Low. 12 13 DR. BURSTIN: Katie, could you scroll the screen up? The other way, 14 I'm 15 sorry. Thank you. 16 CO-CHAIR GROSSBART: Then the final question is -- and this is an outcomes -17 - the evidence, and it is a Yes/No question. 18 19 Any comments about the evidence, before we All right, let's move to the voting. 20 move on? The results are 15 Yes, one No, 21 three Insufficient. 22 NEAL R. GROSS COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701

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Now we will move to reliability and validity.

MEMBER LEVY: Well, so it sounds -- If there is a standardized definition of unplanned, it makes it more reliable. The committee was -- I think you can see here -split on the validity. I said this already. So I won't repeat it, but it is presented as a 8 balancing measure, but what this metric 9 10 actually reflects is not clearly balancing 11 length of stay appropriately. MEMBER EDELMAN: Is the plan to 12 readmit easily documented? 13 14 DR. SCANLON: I'm sorry, are you 15 asking --16 MEMBER EDELMAN: The definition is unplanned readmission, and I am asking how 17 hard it is to document that the readmission 18 19 was planned. 20 Actually, that is DR. SCANLON: pretty easily found. Again, children may be 21 transferred out, because, for example, the bed 22 NEAL R. GROSS COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W.

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is needed for another more acutely ill child, but there is clearly reservations made in an organizational system to readmit the child, which makes tracking of this actually pretty clean.

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MEMBER LEVY: Yes, this doesn't happen in adult ICUs.

DR. SCANLON: You've got a lot more ICU beds than we do.

10 CO-CHAIR GROSSBART: So there 11 further questions about reliability?

MEMBER STOCKWELL: I would just 12 13 offer our experience. We track this number. It is something that is essentially in the --14 that the definition is in the absence of any 15 plan to have the kid come back, then it meets 16 the criteria. Our experience, if it helps to 17 clarify who these kids are, many times they 18 19 are seizure kids. They are asthmatics. They are respiratory kids that you anticipate are 20 on the right trajectory, and they are just --21 22 they need more care than was anticipated. I'm

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252 1 not sure if that is helpful, but I thought I would offer it. MEMBER WHETSELL: To me, that kind of sounds unplanned. MEMBER STOCKWELL: That is what I meant. I meant to say that. If I didn't, that was a mistake. MEMBER WHETSELL: Okay. 8 All right. CO-CHAIR GROSSBART: 9 10 Seeing no body language suggesting other 11 questions, let's voting go to our on reliability, a one to four scale again. 12 13 The results are two votes for High, 12 for Moderate, four for Low, and one 14 15 for Insufficient Data. 16 Now validity. Any comments from -- Mitchell, anymore comments? So validity, 17 18 any questions, comments from the Committee? 19 If not, let's vote, a one to four scale again. No votes for High, 12 Moderate, 20 six Low, and one Insufficient. 21 22 So we can now move on to the **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W.

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1 usability and feasibility questions. Mitchell, usability, any additional comments? MEMBER LEVY: No, not really. CO-CHAIR GROSSBART: Any comments or questions from the Committee? Christine. 5 MEMBER STEARNS: Ι know we discussed that at length previously, the highlights that concern that I have . It is 8 9 not with the measures, but with the lack of 10 public reporting. It is hard for me to understand the usefulness of our endorsement 11 of the measure, given the proprietary nature. 12 13 DR. WINKLER: This measure, I believe, does not include the proprietary 14 So all of the specifications are laid 15 aspect. 16 out here and could be picked up and used. In 17 fact, two of these measures, the pain assessment measures, have been retooled for 18 19 EHR use. It is only the two measures that use the PRISM that fall into that proprietary. 20 MEMBER STEARNS: Okay. This one 21 does not include the complication with the 22 NEAL R. GROSS

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1 PRISM proprietary?

DR. WINKLER: No.

MEMBER STEARNS: Okay. But right now the end use of this, the measure results are not publicly reported in any way. So it 5 is -- The measure itself could be picked up and used by someone else, because there is no part of it that is proprietary. However, it 8 is not a publicly reported measure. 9 Okay, 10 thank you. 11 CO-CHAIR GROSSBART: Okay. So usability, a one to four scale. Any other 12 13 questions? Let's move on and vote. The final results are four votes 14 15 High, 12 Moderate, three Low. 16 Then feasibility. Any comments, questions? All right, let's move on to the 17 voting, a one to four scale. 18 19 One vote for High, 15 Moderate, two Low, one Insufficient. 20 Then overall endorsement of the 21 measure, one, Yes, two, No. 22 NEAL R. GROSS COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

Sixteen Yes and three No. The measure is endorsed by the Committee.

Then Peter, if we can step through -- Questions?

DR. RHEW: I just wanted to make a comment. We talked earlier -- this is more about the overall process -- that this is, I guess, the sister measure to the length of 8 stay, and we have identified these that are 9 10 tied to the hip. If it had turned out that we had voted no on this and we kept the length of 11 stay, it might have created 12 some issues 13 whether the length of stay should have been valid. 14

15 I am just wondering from a process 16 standpoint, when you identify those issues, is there a way to somehow address that; because 17 to tell you the truth, that was a factor in 18 19 terms of my decision, whether or not length of stay was actually there. The fact that it was 20 there, I felt that you had to have a sister to 21 22 that.

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So I don't know if we want to address that, but I just thought that was an issue that we at least should be aware of. CO-CHAIR GROSSBART: That is a Is that something staff can great comment. 5 work up for the next meeting. Right? 6 DR. WINKLER: If you all would like to, we do have a concept of pairing the 8 What that does is bind them at the 9 measures. 10 hip, and so they travel together as a dual 11 entity. That is a decision that you as a Committee 12 Steering make that can 13 recommendation that these measures be paired. CO-CHAIR GROSSBART: So moved. 14 So 15 we are going to have a vote that 0335 and 0334 16 be paired measures? It has been moved. Is there a second? Do we use Robert's Rules? 17 18 MEMBER ALMENOFF: Second. 19 CO-CHAIR GROSSBART: Then do we get to use this? Why don't we just do a show 20 of hands? Those in favor of pairing 21 the 22 Those opposed? The vote measures? was NEAL R. GROSS COURT REPORTERS AND TRANSCRIBERS

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unanimous.

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MEMBER RHEW: I would also add for the pneumonia one, we talked about the inhospital mortality and the 30-day. That also would be one that I would consider pairing as well.

CO-CHAIR GROSSBART: Well, let's move on to 0336. So, Peter. We want to move as quickly as we can, right?

MEMBER ALMENOFF: This is just an extension of the one we just saw, but this now requests that all the unplanned admissions actually get reviewed or documented that they are reviewed, and they are looking for 100 percent of the number.

Quite honestly, if you are doing 0335 and you are not doing 0336, then you shouldn't be doing 0335, because I can't see anything more ridiculous than tracking the data and not actually looking and seeing what the issues are.

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So I am glad that Mitchell finally

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got clarification. I think this was probably a Microsoft mistake, but if you look at the numerator, that makes sense. The denominator basically, the back of that, the clinical review is documented with the piece that talks about the same exact thing as the numerator needs to be pulled out.

So with that, if you look at the 8 Committee's report -- I mean, we were kind of 9 10 all wondering why we were looking at this, but 11 we thought the impact -- we were sort of mixed, either high or medium, but if we think 12 13 that 0335 needs to be done, then looking at the data and making some determination would 14 15 probably be sort of important to do as a 16 second piece to that.

17CO-CHAIR GROSSBART: Any questions18about impact?

19MEMBER ALMENOFF:They should be20all three together, actually.

21 CO-CHAIR GROSSBART: Performance 22 gap. Any questions about impact, performance

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1 gap or the evidence?

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MEMBER YEALY: Yes. I guess I am still lost. We don't really have a definition of review, and I am not sure what we are achieving exactly here. This could be as cursory as possible, and I am not sure what outcome we would improve.

MEMBER STOCKWELL: I would agree 8 with that, and we talked about that in our 9 10 Work Group, and by definition, going through and making the determination whether or not 11 something was planned or unplanned, you have 12 13 reviewed the chart. So it is almost like you have satisfied this just by doing 0335. 14

MEMBER YEALY: Yes.

MEMBER STOCKWELL: So I 16 am not sure how much value it 17 is adding to the 18 process.

19 MEMBER YEALY: We have a fake process over a hard outcome, and it is hard 20 figure 21 for me to -- as a numerator and denominator, hard for me to figure out who 22

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1 wins in this.

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MEMBER GLOMB: Yes, I would go along with that. All of the children's hospitals where I have been peds ICU attending, these came up as part of routine M&M for 15-20 years.

MEMBER ALMENOFF: I would think the gap would be zero, that probably 100 percent get reviewed.

MEMBER GLOMB: At least in my experience.

CO-CHAIR GROSSBART: What concerns 12 13 is: So if review, the unplanned me readmission -- there is no clear definition of 14 Since this is only being used by 15 that. 16 hospitals self-motivated for performance improvement, probably not a big thing. But if 17 this were to hypothetically become a publicly 18 19 reported measure that parents were using to 20 make decisions about where they send their find this a reliable children, would we 21 22 measure, or meaningful? Could it be gamed?

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How easily gamed? How easily could you game it?

MEMBERS STOCKWELL: I think the corollary is, if a hospital reports a CLABSI rate, is it meaningful to have some kind of measure that says, oh, and we also review our CLABSIS? I am not sure where the value is there.

9 MEMBER ALMENOFF: Well, because 10 you should be dong it. It should be part of 11 the process. That is why this doesn't --

12 CO-CHAIR GROSSBART: Well, so 13 let's go through our process then. Impact of 14 what this measures?

15 DR. WINKLER: You can make 16 whatever recommendations you want to make, but it seems like some of the questions that were 17 on the table were more fundamental than that. 18 19 CO-CHAIR GROSSBART: So impact. This is 20 DR. SCANLON: Matt Scanlon, if I might weigh in a second. 21 Ι think 22 the Committee's comments are very

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appropriate, and I can tell you this is the dilemma of being a steward for the measure versus how we have tried to handle this in the VPS.

In the VPS system, we have a series of structured questions to drive a systematic review with the goal of identifying system problems. At the time the measure was developed, there wasn't support to embrace a common framework.

I think the 11 So is where, that Committee is dead on correct in that this 12 13 could be incredibly superficial and cursory or it could be very meaningful and discover 14 15 system level problems. That really is in the 16 hands of the reviewer.

17 CO-CHAIR GROSSBART: Thank you for
18 those candid comments. Are we still -- Is our
19 voting still active here on impact? Okay.
20 The vote on impact is five High,

21 six Moderate, seven Low, and one Insufficient.22 So that passes.

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Now the next question is performance gap. High, moderate, Low -- or it is a one to four scale. Any additional questions about performance gap? Do we have evidence of a performance gap? MEMBER ALMENOFF: We don't. CO-CHAIR GROSSBART: What is that? MEMBER ALMENOFF: I don't think we 8 do. 9 10 CO-CHAIR GROSSBART: Okay. Any 11 questions? The Work Group has said they do not see any evidence of a performance gap. 12 13 MEMBER ALMENOFF: Did anybody else I didn't notice one. 14 see one? 15 CO-CHAIR GROSSBART: Does the measure developer want to add a comment before 16 we move forward with this vote? 17 DR. SCANLON: No, I don't have any 18 19 objective evidence. We do have anecdotal reports that a lot of these smaller ICUs that, 20 depending on your perspective, one could argue 21 dabble in critical care do not do this sort of 22 NEAL R. GROSS COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W.

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review systematically, but again we don't have any hard data to put to that.

CO-CHAIR GROSSBART: Thank you. We are voting now, one to four scale again. MEMBER ALMENOFF: Doing the ritual?

CO-CHAIR GROSSBART: Doing the ritual. Going to bring incense next time.

9 So the vote is one Moderate and 18 10 Insufficient Evidence. That means we are done 11 with this measure, and we will not move to an 12 endorsement vote at this point.

13 Stepping through, Janet, I believe14 you are up.

15 MEMBER ALMENOFF: Could we add one 16 piece, that maybe this needs to be 17 incorporated in 0335? I think we need to make 18 that suggestion, even though it is obvious.

19 CO-CHAIR GROSSBART: That is a 20 great suggesting and, Matt, I don't know if 21 you heard that, but the Committee feels that 22 aspects of this could be merged with the

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measure for 0335.

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DR. SCANLON: I think that, knowing the original discussions that led to the development of the measure, we would be -ever speak on behalf if Т dare of the 5 pediatric critical care community, there would be support for that. CO-CHAIR GROSSBART: Thank you. 8 Again, thanks for your very candid and helpful 9 10 feedback as well. 11 Janet, have the you next two measures, and they are very similar. 12 So if we 13 can expedite this somewhat by merging some of the discussions, where appropriate, that would 14 15 be helpful. 16 MEMBER LARSON: Sure. 0341 is the percentage of PICU patients receiving pain 17 18 assessment on admission, and 0342 is the 19 percentage of PICU patients receiving а periodic pain assessment, which is defined as 20 every six hours during their PICU stay. 21 22 application, it In the is NEAL R. GROSS COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

presented that assessment of pain is important, and they cite a few references, some more current, and there is a statement, the American Academy of Pediatrics and the Canadian Pediatric Society both suggest that it is important. So that is basically the evidence.

8 CO-CHAIR GROSSBART: So let's ask 9 the Committee and the Work Group if there are 10 any additional comments about the pain 11 management questions.

CO-CHAIR WEISS: Was there 12 any 13 discussion in the Work Group? It seems tome that this could be brought together 14 very 15 easily saying that the definition of continued 16 being that there was one done initially at a certain time period and continued on, and to 17 have two measures when you can just do one 18 19 makes less sense. Was that discussed at all? 20 MEMBER LARSON: You know, I as sick. So I wasn't on the Work Group. So I am 21 22 not really sure who was there. I don't see it

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written up in the notes, but it does make sense

CO-CHAIR GROSSBART: Members of the Work Group, any comments or feedback? MEMBER STOCKWELL: Yes, it came up that day. It would seem like it would be a reasonable thing to include. One is not necessarily more important than the other.

9 MEMBER YEALY: And the second one, 10 if you did it every six hours, you would 11 probably be covering the initial admission 12 period. So it is hard for me to see why we 13 would need the admission, part one.

Right. The 14 MEMBER LARSON: 15 admission is just defined using hospital 16 policy, whatever they consider on SO admission. So, yes, I think it could easily 17 be combined. 18

19 CO-CHAIR GROSSBART: I think it 20 might be appropriate to ask the measure 21 developer. matt, I don't know if you heard 22 the conversation, but the body language in the

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room is kind of scratching their head over why two separate measures, that the measures should be --

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DR. SCANLON: So you are testing the length of my memory here, but as I recall, part of this goes back to the original structure that we were advised by -- and I don't recall who it was -- on developing the measures originally, that they thought that melding these were problematic.

I think I would be very 11 Again, comfortable, speaking on behalf of the measure 12 13 development team, saying that we would have no problem combining two, perhaps 14 the just 15 changing the language: Should be every six 16 hours, beginning at admission, so that they can't start the clock whenever they want. 17

CO-CHAIR GROSSBART: That said, Reva, what do we do now? DR. WINKLER: I think that what we could do is say that 0341 is -- you are basically saying you don't see any need to

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have it as a stand-alone, and then that 0342 would be the measure you would probably want to go forward with, sort of the understanding that they might -- that they would do whatever wording adjustment to add that factor in, because it seems to be a relatively minor change, as Don said.

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It may already really sort of be 8 there. is just you want to be more 9 It 10 explicit about it. So it looks like it really can wrap under 0342 with them maybe changing 11 the wording to make it crystal clear. 12

13 CO-CHAIR GROSSBART: Then my next question is can we do one vote on a combined 14 15 0342-0341 or can the developer measure 16 withdraw 0341 right at this moment?

17 DR. SCANLON: Whatever makes you guys happy. 18

19 DR. WINKLER: Matt, would you be willing to withdraw 0341 and 20 then make whatever wording adjustments to 0342 to be 21 sure that the periodic assessment starts at 22

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admission? 1 DR. SCANLON: Yes. DR. WINKLER: Then I think you can do one vote. CO-CHAIR GROSSBART: Okay. Well, let's go through the process then. Will we be voting on a combined measure and formally endorsing 0342 with amendments? So impact. 8 Janet, any additional comments? 9 10 MEMBER LARSON: No. 11 MEMBER EDELMAN: Ι have а Are there any exclusions for age? 12 question. MEMBER LARSON: Oh, under 18. 13 MEMBER EDELMAN: No minimum? 14 15 MEMBER LARSON: No, no minimum, 16 and it does cover neonates. I guess this is a 17 MEMBER EDELMAN: little later on for validity, but are you 18 19 comfortable that methodology exists for all age groups? 20 MEMBER LARSON: That, I don't 21 22 know. NEAL R. GROSS COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

271 CO-CHAIR GROSSBART: All right. So impact, one to four scale again. The impact scores were 12 votes for High, six for Moderate, one for Low, zero for Insufficient. Performance gap? MEMBER LARSON: So for performance gap, they have 14 units reporting to the VPS 8 database, and in the last quarter results 9 10 ranged from: For admission, it was 83 percent to 100 percent completion of the assessment; 11 and for the every six hours, it was 77 to 100 12 13 percent. You don't have a sense -- that is just the range. You don't know how many or 14 15 any sense of that, and that is the evidence. 16 When they implemented this in one unit, k there was a 10 percent increase in the 17 18 assessment. 19 CO-CHAIR GROSSBART: Any questions or comments from others in the Work Group or 20 on the Committee? So performance gap, we will 21 22 vote on it. One to four is the range. **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W.

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We've got five votes for High and 14 votes for Moderate on performance gap. Now we move on to the evidence, and this is a Yes/No question, one, two or insufficient. Any comments, Janet? MEMBER LARSON: No. CO-CHAIR GROSSBART: The Committee rated the evidence fairly low -- or 8 no, actually, they rated it -- well, they did 9 10 write it low, but with a definite yes. So let's move on to the voting. 11 The results are 13 Yes, three No, 12 13 three Insufficient. Now we move on to our reliability 14 15 and validity section. So reliability of the 16 measure. know, 17 MEMBER LARSON: You it inherently sounds reliable. It is did they do 18 19 it or didn't they do it, but they presented 20 absolutely no evidence. They said that, because JCAHO endorsed it, they didn't need to 21 present evidence, and they said that was true 22 NEAL R. GROSS COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W.

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1 for validity as well. I mean really nothing. MEMBER BURGESS: Did we hear that JCAHO removed endorsement of this? MEMBER LARSON: Yes, and then we heard that. MEMBER YEALY: Well, and since the actual measure is not specified, it couldn't -- since almost anything would count for it, if 8 that is your definition, not deciding whether 9 10 that is useful or not, is a whole separate 11 conversation. It almost can't be anything other than the reliability. It might not be 12 13 valid, but --CO-CHAIR 14 GROSSBART: Matt, you 15 heard the conversation. Any comment on it? 16 DR. SCANLON: Well, again, at the time these were put forth, this was absolutely 17 consistent with -- and I don't remember the 18 19 Joint Commission standard, but there was a standard that was on pain assessment that it 20 was consistent with, and that was why we felt 21 22 easy to create that as a publicly it was NEAL R. GROSS

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reported measure for hospitals.

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The challenge of specifying methodology is that it is an age and hospital based preference of scale. So the tool you use for a child of one age is different than 5 another. It is confounded by developmental factors. It is confounded by issues of sedation and mechanical ventilation. 8 So there was a great resistance by 9 10 the development committee, and even NOF acknowledged the first time we endorsed this 11 that we would not try and dictate a single 12 13 methodology for capturing this. That is what was done at the time. 14 15 Now, unfortunately, I think it has 16 fallen by the wayside, but we could easily remedy that, is that NQF at the time asked 17 18 that we publicly post on a website what our 19 examples of validated aim assessment tools, and that was done. 20 My concern is I haven't been to 21 that site in a while, and I don't know that 22

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site is still up, but we have created a new site to post the measures, as is expected by NQF, and we could readily post that same

5 I don't know if that helps at all, 6 or not.

information there.

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7 CO-CHAIR GROSSBART: Thank you. 8 David?

I just wanted to 9 MEMBER RHEW: 10 comment, since the question came up whether the Joint Commission has actually endorsed 11 these or not. The Joint Commission published 12 13 in 2012 their pain management standards, and are four standards there that relate to 14 15 assessment and reassessment in patients with 16 pain, and they specify a variety of elements for performance, including when additional 17 specialized, more in depth assessment should 18 19 be performed, а whole thing around comprehensive pain assessment. 20

21 So the quick answer is that there 22 is a very recent document, Joint Commission

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2012. I can get you the actual reference if you want, but it is out there.

CO-CHAIR GROSSBART: While you have that up there, David, is it a performance measure or is it a Joint Commission standard, and there is a difference.

MEMBER RHEW: That is an excellent question, and all I can tell you is that the 8 of the document 9 name says 2012 Hospital 10 Accreditation Standards, Elements of Performance Scoring Accreditation Policies, 11 That is the title. I don't know. 12 2012.

13 CO-CHAIR GROSSBART: Aqain, I am I don't have to be accountable for blessed. 14 15 Joint Commission, although someone on my team 16 does, but I think that is basically the standards that the accrediting individual 17 comes from Joint Commission accredit, and it 18 19 is kind of subjective, isn't it? They assess your policies and your processes in real time. 20 So anyone that can help. Dianne 21 was first. 22

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MEMBER JEWELL: Well, I am not responding to that. So if you are responding to that, go ahead.

4 MEMBER WHETSELL: Yes. Going 5 through Joint Commission, they do retro 6 review. They do look at it in depth to see if 7 there is documentation of a pain assessment, 8 if there is an action performed, and if there 9 is a reassessment completed from that action 10 to see if there was good response or not.

So while it is a standard, let me tell you, when they are doing their tracing method and they are walking through your hospital from stem to stern and looking, they are watching to make sure that that happens in every single environment.

17 CO-CHAIR GROSSBART: But it is not 18 a performance measure in the traditional 19 sense.

20 MEMBER WHETSELL: You can get 21 cited on it.

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CO-CHAIR GROSSBART: Okay.

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MEMBER WHETSELL: You can get cited on it.

CO-CHAIR GROSSBART: Right. Understood. Okay. Dianne?

recognizing MEMBER JEWELL: So what the measurement developer said earlier about pushback related to specifying а methodology, I have a memory that some of the 8 9 other measures NQF has endorsed over the years 10 have at least included the phrase "a standardized tool" or a standardized -- it 11 leaves it up to the discretion of the user to 12 13 pick which tool, but still is a little more directive than just do 14 an assessment. Wouldn't that work here? 15

16 DR. WINKLER: Dianne is absolutely right. There is a preference for having a 17 little bit greater specificity, so that any 18 19 old thing that you may have created, you know, over lunch would not be acceptable, as opposed 20 when you ha a field where there are 21 to 22 tools they are numerous or age based or

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something like this, you can't overly specify. But you might want to specify to the degree that it is a standardized, validated instrument, dah, dah, dah, and then often "such as," and give examples that are not necessarily --

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CO-CHAIR GROSSBART: The question comes to mind: So, you know, you have got a 8 9 I mean, ultimately, you get a rate on rate. 10 this. So what does 75 percent mean or 80 11 percent Then from practical mean? а isn't the real test the HCAHPS 12 standpoint, 13 score on quality of was your pain in control while you were in the hospital. Children's 14 hospitals don't do HCAHPS? 15

16DR.SCANLON:Oh,children's17hospitals, to my knowledge, don't do HCAHPS.

18 CO-CHAIR GROSSBART: There is a 19 project.

20 DR. SCANLON: From a developer's 21 standpoint, I think -- My recollection is I 22 thought we had the language, but clearly, I am

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mistaken on that, about those standard tools. So I think the request for language saying a standard validated tool is actually absolutely consistent with the intention of the developer group, and we would readily be happy to address that.

MEMBER JEWELL: The language "a policy statement and currently reads 8 with 9 compliance Joint Commission 10 expectations." But I think going for the more direct standardized tool would get the message 11 loud and clear to the users. 12

DR. SCANLON: Very good.

CO-CHAIR GROSSBART: Well, with 14 15 that in hand, do need we to vote on 16 reliability. Does staff want to give us anymore guidance or are we on our own? 17

DR. WINKLER: The criterion asks you to evaluate the testing for reliability and validity of this measure in play, and then evaluate the results of that testing, and there isn't any.

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CO-CHAIR GROSSBART: So on a scale of one to four, reliability of the measure.

The results are six Moderate, four Low, and nine Insufficient Evidence. That wraps it up. We will not be able to vote further on this measure.

The last one, David, I believe you up again on PICU Standardized Mortality Ratio. 8 MEMBER STOCKWELL: 9 Yes. I think, 10 basically, everything that was said about the one that I did previously about length of stay 11 can be cut and pasted into this as well. 12 13 Everybody understands what a mortality ratio It uses the PRISMS III method, which we 14 is. 15 have discussed.

So the impact, I think, is reasonable. The performance gap by internal VPS reporting is present, and it is an outcome measure. So the rationale is high.

20 CO-CHAIR GROSSBART: So questions 21 for David or comments from the Work Group? 22 Questions or comments from the full Committee?

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Okay, let's go on to our voting, impact, again a one to four scale.

The vote was 13 High, five Moderate.

All right, let's move on to the next topic, which is the performance gap. David, any additional comments? All right, performance gap, any questions from the Committee?

10 MEMBER LEVY: Is there currently a different -- a similar for peds other than 11 using the proprietary PRISM? 12 Are there any 13 mandated public reporting or any other -- I just want to make sure we are not going 14 15 counter to something that is already in use 16 out there. So PICUs don't routinely report SMR? 17

18 MEMBER STOCKWELL: This is the 19 method. If there is a method that is most 20 utilized, it is this approach, yes.

21 MEMBER LEVY: So that means -- So 22 two-thirds of the -- and I understand the

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difference between the actual ICUs versus the 1 number of beds, but two-thirds of the PICUs in the country don't really report standardized mortality ratio? MEMBER STOCKWELL: That's right. Mat can certainly speak to who is in it, but it is all the major players that are in the VPS dataset. 8 MEMBER LEVY: Okay. 9 10 DR. SCANLON: I think it gets to a very important distinction between what is 11 publicly reportable and what 12 is publicly 13 reported. Again, in the absence of any stick or carrot to mandate it, some of us are doing 14 15 it, and lots aren't. 16 CO-CHAIR GROSSBART: Okay. So back to performance gap, vote scale of one to 17 18 four. 19 The results are 10 with a vote of High, six with a vote of Moderate, one with a 20 vote of Low. 21 Then finally, the evidence. 22 this NEAL R. GROSS COURT REPORTERS AND TRANSCRIBERS

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is an outcome measure. So any other comments, David? So this is a Yes/No question, or a three, Insufficient. Our final vote was 17 Yes, one No.

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now need to move on to the We reliability and validity questions.

I will MEMBER STOCKWELL: join we did last time. those two like The 8 9 reliability of severity illness the of 10 approach is, I think, sound, and the validity of the metric, in and of itself, is -- The 11 recommendation for adoption would be high for 12 13 those two things, from our Work Group.

CO-CHAIR 14 GROSSBART: Any 15 additional comments from the Work Group? Any 16 questions from the full committee? Well, let's move on to voting for reliability, scale of 17 one to four. 18

19 The results are 13 High, five Moderate, no other votes cast. 20

Validity, again a 21 one to four 22 scale. Let's vote.

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The results are 12 High and six Moderate.

Now usability.

MEMBER STOCKWELL: I think we can tell from the general feeling in the room that it is probably not used enough. So I think the usability component evidence is fairly high.

9 CO-CHAIR GROSSBART: Any other 10 comments from the Work Group? Questions or 11 comments from the full Committee? Let's move 12 to voting, again a one to four scale.

13The results are 15 with a vote of14High and three with a vote of Moderate.

15 Then the next question is16 feasibility.

MEMBER STOCKWELL: Yes. Again, this is the key point here, because this is where the only way you can get this number is if you participate with the payment of the fees that we showed before, same data for the entry fee, and then also for paying staff to

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generate the chart review and the data submission. So the barrier to entry is not insignificant to participate with this metric.

CO-CHAIR GROSSBART: Same question we had with the first measure. So are there 5 any additional comments or questions from the Work Group or from the full Committee? We will test our interrater reliability then. 8 9 Let's vote. Not very good interrater 10 reliability. We had much more optimistic --What is that? People are tired. 11

Four High, six Moderate, five Low,and three Insufficient.

Of course, feasibility is not contingent -- does not impact the ability for us to move to endorsement. So it is a Yes/No question. One, Yes; two, No, for endorsing the measure.

Looks like we have 17 up there -oh, here we go. The measure is endorsed by a vote of 16 to two by the Committee.

So we are now 55 minutes ahead of

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DR. WINKLER: Thank you all very much. Matt, Chris, thanks very much for being with us.

Before everybody kind of wants to gather up, take a deep breath. We do have a little bit of discussion left on some other issues that are broader, but not the evaluation of measures.

10 The first thing I want -- Katie, 11 could you put up the spreadsheet of the measures that have been withdrawn. 12 Just as 13 part of the maintenance process, there were measures previously endorsed by NQF who, as we 14 15 went out to request the maintenance review, 16 the measure steward withdrew the measures from further consideration. So they are -- By the 17 end of this process, they will no longer be 18 19 endorsed.

20 So we wanted you to be aware of 21 those measures. Many of the measures have 22 been superseded perhaps, and so you see -- I

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think you go up one more, right? Okay.

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So the management plan for people with asthma, the severity standardized average length of stay, the VAP measure we talked about earlier, the hospital measure for initial antibiotic within six hours -- go ahead and scroll down -- and then a COPD assessment of oxygen saturation measure.

9 So these have been withdrawn by 10 the measure developers. We wanted you to be 11 aware. Do you have any comments on it? It 12 will form the basis of the report, because as 13 we do maintenance review of our measures, we 14 have to account for all the measures.

15 MEMBER LEVY: I have a question 16 about the antibiotics, because it is going to relate to what the critical care societies are 17 recommending. Can someone remind me what the 18 19 unintended consequences of -- I know that CMS withdrew the initial antibiotic, and that was 20 for CAP in the emergency department, wasn't 21 22 it, Don?

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MEMBER YEALY: Yes, and some unintended consequences, antibiotics given out like water for anything that could remotely be considered an acute pneumonia case, and that the data that were being generalized for the 5 metric (a) never defined six hours as а particular break point, and (b) were a very narrow group of plain chest X-ray generated 8 pneumonia. 9 10 So one of the other things that drove this one nuts is that people who would 11 get -- I will tell you a common scenario would 12 13 be you get an abdominal scan, and on the scan see something in the lower lobes that may or 14 15 not really be pneumonia, but once it was 16 entered as an infiltrate, you then invoked this metric. 17 So the way to get around that was 18 19 to give everybody broad coverage right away and just create a whole different set of 20 problems. So it was because the six hours was 21 22 never placed on these data, and you created a NEAL R. GROSS COURT REPORTERS AND TRANSCRIBERS

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-- opening the dam on antibiotic therapy.

MEMBER LEVY: Yes, that is helpful. So it doesn't preclude a future metric looking at timing of antibiotics. It is just the way that one was written. Okay.

Any other comments DR. WINKLER: about these measures? Like I say, it is part of our accounting system to make you aware and 8 to see if there are any issues. Okay. 9 Mark? Thanks, Reva. 10 DR. ANTMAN: I just 11 wanted to mention that measure 00001, the asthma assessment measure -- we didn't submit 12 13 that at this time, simply because we are in the midst of a pretty significant revision of 14 15 that measure.

So it is not -- I just wanted to convey to the group that it is not as if we don't feel that it is important that there be a good measure of asthma assessment, but we didn't have it ready at this point. Once we do, we will plan to resubmit it.

DR. WINKLER: Thank you, Mark. So

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there typically are these rationales behind the withdrawal that are usually evolution of measurement has occurred, and revisions and updates and new measures are typically the reason that measures are withdrawn.

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So that agenda item. one Yesterday when we were having our technical difficulties, we particularly when we were 8 talking about the 9 last asthma measures, 10 particularly the all or none composite measure from Minnesota, there was a real communication 11 challenge, their hearing us, not hearing us. 12

The discussion that ultimately ended in the group voting down the measure was around evidence. That is where you voted it low, so that it did not meet the importance criteria.

That had been a relatively new focus of discussion and concern compared to the Work Group conversation. So Minnesota felt they had not had an opportunity to respond to your concerns.

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Last night we received from them and I forwarded on to you all -some additional information that they provided from the evidence review at the early -- when the first evaluated. it. was So is measure important that we are transparent and fair to all of our players. There is a lot of information in 8 there. So I don't think it is realistic for 9 10 you to be able to quick look at it, you know, right now and make any decisions. Would you 11 be willing to look at it over the next week or 12 13 so, and perhaps we could have a bit of an email exchange to comment on whether you feel 14 15 the new information might change your feeling 16 and your rating how this measure is on suitable for and meets the criteria, or not? 17 18 Ts that а reasonable action? 19 Would you all be willing to do that to give Minnesota their opportunity to inform your 20 decision? 21 22 My only question MEMBER YEALY: NEAL R. GROSS COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W.

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would be -- I think it is a good idea to make sure we know everything, and if there was a communication barrier. The only concern I would ever have is that this would become a torrent for any criteria that wasn't viewed positively, and we would just -- It would be a never ending loop.

So it would be incumbent on you to be able to build a firewall about why it was okay once and won't be okay in a different set of circumstances. But otherwise, I think we ought to be as transparent and open-minded as possible.

MEMBER ALMENOFF: Remember, we did vote twice, because at the end you asked us again, and we did get another vote.

DR. WINKLER: Right.

18 MEMBER ALMENOFF: It is okay with 19 me, as long as you let us take our clickers 20 home. Then we can vote at home.

21 DR. WINKLER: Don, you don't know 22 how much I understand your comment. I would

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say that in this particular case, given it really was our responsibility to establish good communications, and we really did not meet what we consider adequate performance on that score, that we really need to be a little bit flexible for this particular thing.

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CO-CHAIR WEISS: I would agree a I would perhaps give us a little more well. 8 week, though, but 9 than a I am a little 10 concerned that they would put in a whole bunch of new studies at the time of the review. 11 Ιt feels a little different than us not digesting 12 13 what they gave us and clarifying what they gave us, which we did, and then they gave us a 14 15 whole bunch of new stuff, which was beyond 16 just in time. It was after the time.

That does set a precedent that I would be very wary of that has nothing to do with communications at all.

20 CO-CHAIR GROSSBART: You know, 21 Reva, one thing that I would like -- One of 22 the reasons that I voted the way I did was

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because the measures in the composite, in and of themselves, were not endorsed or fully Unlike other measures submitted by vetted. the Minnesota group, these weren't endorsed measures, and I am wondering if a process that, when we go -- composite measures need to be endorsed both for each of the components then as an overall composite, just to --8

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Actually, 9 DR. WINKLER: Steve, 10 that already exists. The issue we are having 11 is it is very easy to put that play when you are talking about a more traditional composite 12 13 where you do combine the individuals, but these all or nones are a different kind of 14 15 thing, and it is causing some challenges in 16 that original one.

So I agree. We have not totally 17 clarified our stance on that and our approach 18 19 to that, and we know we need to. You are 20 raising a very pertinent and timely issue we address, but composites 21 need to are а multitude of things. They are not all one 22

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thing.

MEMBER LEVY: Yes, I was just going to say that, you know, we are calling what was behind my vote is Kevin led us through this, and as you pointed out so clearly, it is a composite measure. There are issues with composite measures with each of the components here. 8 Just looking through some of what 9 10 you sent around, I don't know that this new information totally addresses the reasons that 11 we voted the way we did. 12 13 In fairness, I don't know that they understand the reasons we voted the way 14 15 we did, and I am not sure, without a dialogue 16 with them or their seeing a transcript of what was said, whether this attachment is going to 17 fully address the situation. 18 19 DR. WINKLER: Well, what we plan on doing is we will have the transcript next 20 week, and we will use that. We will use any 21 feedback you have and communication with that, 22

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and we will compile all that as sort of the bundled response.

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JEWELL: Ι MEMBER have а suggestion and a question. The suggestion, which might be a pipedream on my part, but I will suggest it anyway, is that the process is that the measure developer present a synopsis of what the measure is and does, based on 8 whatever highlights they wish to choose. That 10 was the part that we missed because of the communication difficulty. 11

Then we asked clarifying questions 12 13 where they chime in as we go. That feels different to me than we have gone out 14 and 15 gotten a bunch of evidence to defend our 16 position, which is the precedent setting concern that I hear being talked about. 17

I guess my wish of a suggestion 18 19 would be to somehow reorient the exercise to 20 the way the process would actually look. That going to be more burdensome to 21 is them, because that would mean typing a synopsis or 22

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something, and I realize that may not be a reasonable thing.

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For the record, that feels more consistent with the process that was missed, not a -- if by evidence, they mean send us a bunch of citations or a defense.

CO-CHAIR GROSSBART: Just a point of clarification. My recollection is that 8 9 they did provide an overview. They did get 10 their couple of minutes of introductory, and then we went to a clarifying question, and 11 12 there was no --

13 MEMBER JEWELL: For the second vote? 14

15 CO-CHAIR GROSSBART: Ι thought 16 they did kick off and say, you know, their two-minute introduction, but 17 then they couldn't respond to a clarifying question, and 18 19 we kept --

Oh, did you? 20 MEMBER JEWELL: Okay. No, no, you may be right. I don't 21 remember. 22

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DR. WINKLER: I think I just want to bundle all of that information up for all of us so we can be sure that we have had a fair exchange. MEMBER JEWELL: I'm sorry, Reva. My question was: Did you say you already forwarded their response to us? Yes, I did last DR. WINKLER: 8 night. 9 10 MEMBER JEWELL: Well, I didn't get it. 11 DR. WINKLER: Okay. Sorry. 12 13 MEMBER JEWELL: That is why I am asking. 14 15 CO-CHAIR WEISS: Just a question 16 as we think about this an respond to whatever electronic process. There are some elements 17 of their composite that include measures that 18 19 are otherwise similar measures, competing measures, in the NQF measure library. 20 One example would be the ratio of 21 22 short-acting to controller. For us to say yes NEAL R. GROSS COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

to a composite which has an element with a ratio like that as part of it when we have an endorsed measure, how do we want to treat that sort of issue?

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All right. DR. WINKLER: If we are going to be launching into a completely 6 different type of conversation, because we really talk didn't about those things 8 yesterday, and you want to go there, we would 9 10 really have to regroup on a conference call to 11 allow you to have that conversation.

What we are proposing to do is not to go onto new ground, but to just capture everything that was said, to be sure that on both sides it was heard.

16 Let's start with just initial17 dialogue, and see where it ends up.

18 MEMBER GLOMB: If I can just 19 mention a concern that we did voice yesterday, 20 that we did discuss that came up a couple of 21 times with regard to a composite measure and 22 weighting of the various parts of the -- Oh,

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you did that. Okay, I'm sorry. Looking across the spectrum of things that they were requiring at all or none, there was really not an appreciation of what was more important than other things.

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MEMBER LEVY: I'm sorry. And in terms of just supporting the issue of insufficient evidence, as long as I am here 8 9 looking at this, one of the issues that Kevin 10 raised is that the asthma control test is validated in a specialty clinic population 11 and, you know, when you take that out into a 12 13 primary care population, it is not apples and apples, and the three citations they give here 14 15 for the asthma control test by Bob Nathan and 16 Mike Schatz, Andy Liu, are all in allergy immunology. Those are all allergy immunology 17 18 authors, and these are all -- I am familiar 19 with the references.

This doesn't adequately overturn your point regarding validity as one of the metrics -- on the aspects of the three

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2	DR. WINKLER: Yes, we are
3	compounding the problem of discussing things
4	without having everybody at the table. So
5	MEMBER LEVY: All right. No, I
6	mean, I am just making the point while we are
7	altogether and while I am looking at it.
8	DR. WINKLER: The last thing that
9	we like to do when we've got a few minutes
10	before we are racing out the door Do you
11	guys have anything you would want to say
12	before we have an opportunity just to talk
13	about what measures would you have liked to
14	have seen that did not come in? Anything from
15	you guys?
16	It is typical that during the
17	course of a project, during the course of your
18	conversations, there will be, gee, you know,
19	here is this measure; wouldn't it be great if
20	we had a measure that did this or did that or,
21	you know, we don't have anything that
22	measures thus and such.

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So we do like to take the opportunity to ask you how you perceive the -where are we lacking in measurement, so that we can provide information to the measurement development field and 5 try to encourage development of the kinds of measures that you al feel would be particularly helpful or fill gaps or make the portfolio more robust. 8 I would like to point out to you 9 10 that we provided two documents that the American College of Chest Physicians -- I'm 11 trying to find my element of it. 12 They had 13 done an exercise on gaps for both critical care and pulmonary -- I'm trying to find it --14 15 a very nice, kind of elegant assessment of 16 gaps, and they do identify areas in critical care and areas in pulmonary subjects that they 17 would suggest for -- Kenny, can you go down? 18 19 This is the one from critical care. These are the kinds of 20 things, again, measures for sepsis, and I think we 21

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heard Dale say they are working on measures of

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sepsis, which I think would be good.

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Blood transfusions. We have talked about ventilator-associated pneumonia; risk adjusted ICU outcome measure. Actually NQF has endorsed a risk-adjusted outcome measure and a risk-adjusted length of stay measure for ICU from the University of California at San Francisco. So we do have that in the portfolio.

Can you scroll down? So there is therapeutic hypothermia, daily chest radiographs in the ICU patients, and then screening of ARDS.

So this was an NQF member being proactive and making suggestions about this topic area where we are lacking in some measures. I would appreciate your thoughts. We will pull up the one for pneumonia in a minute.

We have given you these documents. They are nicely done, but comments on this, and then your own thoughts about measures we

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should have had.

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MEMBER COHEN: Have we ever had any measures regarding instructing patients on how to use their handheld inhalers prior to discharge from hospitals, let's say, because I 5 am always amazed with the asthma patient who has had asthma for 10 years who doesn't know how to use their Ventolin inhaler. 8 CO-CHAIR WEISS: That counts for 9 10 COPD too. Oh, yes, all lung 11 MEMBER COHEN: Before they leave the hospital, 12 diseases. 13 they are instructed on how to use their handheld inhaler, they demonstrate 14 and 15 appropriate use. 16 CO-CHAIR WEISS: Would you say that is folded into asthma education 17 _ _ comprehensive asthma education at time 18 of 19 discharge kind of concept? I don't know if there is a measure for that, but it would be 20 an asthma education, not isolated just to --21 22 MEMBER COHEN: No, no, Ι NEAL R. GROSS COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

understand. I meant education, discharge instructions, but specifically how to use your handheld --

CO-CHAIR WEISS: Demonstrating, yes. Good. Dave?

I am a firm MEMBER RHEW: Yes. believer in outcomes, and I know a lot of these are process measures. I would suggest 8 any high 9 across the board for mortality 10 conditions that in-hospital mortality 11 severity-adjusted be included or proposed as a quality metric across the board. Combine that 12 13 with 30-day mortality, and also include 30-day readmissions. 14

That may be already in place, but just as a general rule, I think those tend to be ones that we should always consider as sort of the gold standard.

19 The additional thing is a lot of talking 20 what we are about here is underutilization, trying 21 to get higher But I think looking at efficiency 22 numbers.

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metrics or ways that we can identify overutilization, make care more efficient, more streamlined.

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I think right now every organization across the country is faced with rising health care costs. If there are ways that we could be proactive and identify metrics that can help them in those efforts, I think that would be really helpful.

10 CO-CHAIR WEISS: Great. Mitchell? 11 MEMBER LEVY: A couple of things. 12 I strongly support what David just said about 13 the most frequent diagnoses in critical care, 14 mainly sepsis and ARDS, and some risk-adjusted 15 30 and hospital mortality outcome measure, I 16 think is really important.

Two, I would really encourage in particular sepsis measures, because we don't really have good sepsis measures. We have pneumonia, but as we know, sepsis is -overall, sepsis is more common.

Then finally, palliative care

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measures. There are palliative care measures in critical care out there, Judy Nelson and some others, and we don't have anything in palliative care. I know the government, obviously -- HHS wants to stay away from it, but that doesn't mean NQF has to stay away from it.

8 CO-CHAIR WEISS: Great. David and 9 then David.

10 MEMBER YEALY: Mitch would likely 11 quess what I was going to say. So I think early identification of sepsis, including 12 13 compensated sepsis in rooms, a measure around Right now, all that is identified 14 that. 15 commonly is decompensated septic shock and so 16 constructing a measurement there.

17 Then as Ι see you have here, 18 something about the initial resuscitative 19 aspects, and specifically not to be any one. There could be a menu of choices. 20 I am not here to advocate for a river style approach or 21 anything like that, but there are some basic 22

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things that still don't happen with people with sepsis, and it is still the most morbid or actually, the most mortal condition that I admit from the emergency department, bar none. CO-CHAIR WEISS: Great. David,

then Rubin.

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MEMBER STOCKWELL: I would endorse the reading of this document. It is actually really well done, and appreciate you guys including it in there.

One thing that -- It is unclear to me how much of a problem it is in adult medicine, but one thing that is increasingly becoming apparent to me is the impact of unplanned extubations in pediatrics.

16 These are not just tubes that come out and there is no repercussions. 17 There are 18 actually chest compressions and resuscitative 19 measures that have to be undertaken, especially the smaller age of the patient. 20

21 CO-CHAIR WEISS: Thanks. Rubin?
22 MEMBER COHEN: Regarding the point

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palliative care, 1 of New York state now requires as of July of 2011 any patient who is only expected to have six months to live, you must document a palliative care consult in the But that is because the northeast has chart. 5 a very high rate of patients in the last days 6 of life, the highest in the country of getting ICU consults. Most of the patients that die 8 in the hospital die in the ICU. 9 So that was 10 the response of the state. 11 CO-CHAIR GROSSBART: Just a high What we 12 level comment. are not doing is 13 developing measures that really are tackling the realities of the Affordable Care Act. 14 So 15 do we have any measures that would take advantage per capita 16 of costs over per 17 capital, you know, over an episode of a pulmonary or critical care. What is that? 18 19 DR. WINKLER: We getting are NQF just completed a couple of phases 20 there. of a project of resource use, and it is around 21

resource use for specific conditions.

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So it

1 is happening.

2	CO-CHAIR GROSSBART: David touched
3	on it, the overutilization efficiency
4	measures. You know, I am looking at the
5	roster. We are an acute care system group,
6	even though we have a fair number of community
7	based measures in this measure set, and you
8	know, really keeping people out of the
9	hospital. We are all going to go out of
10	business if we don't. So might as well get
11	going on it.
12	CO-CHAIR WEISS: Dianne and
13	Norman.
14	MEMBER JEWELL: There were no
15	rehabilitation measures in this set either.
16	For those of us that served on the
17	cardiovascular panel last year, there were a
18	few that were brought forward that were
19	definitely not ready for prime time,
20	unfortunately, but particularly for the COPD
21	population, measures to really look at
22	outcomes of rehabilitation in the post-acute
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If you recall, DR. WINKLER: Yes. endorsed two measures in rehab have we management of COPD patients. That is quality of life, improvement in quality of life and 5 the improvement in the walking. 6 MEMBER JEWELL: Oh, I did not remember that. Thank you. Okay. 8 DR. WINKLER: But, certainly, there 9 10 may be an opportunity for more in that area. Well, I am glad 11 MEMBER EDELMAN: to hear the last two comments, because I was 12 13 going to say all we are talking about is hospital based medicine, and for the needs of 14 15 patients and for needs of resource 16 utilization, we really have to focus on ambulatory care medicine. It is much harder 17 to do. I admit that, and that is why we need 18 19 measures. We need very simple things. 20 As you pointed out, we don't have an ACT for the 21 22 general population. We don't have, in my NEAL R. GROSS

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opinion, something that really works for COPD that is comparable to the ACT, and on and on and on.

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There are lots of measures of simple quality of life and quality of care that could be applied in the ambulatory setting, and I guess there is no motivation for a sponsor to step up and do it. Is that the problem? We really don't have anybody who has invested in it?

I think there are 11 DR. WINKLER: some methodologic challenges as well, but the 12 13 development community each has their own sort of reason for being in that space, and so 14 15 there are going to be a variety of priorities 16 and competing priorities out there, which is why these kinds of suggestions that kind of go 17 18 along with our measure sets are helpful, and 19 we do try and point to them to really bring that issue and help foster that dialogue. 20 MEMBER ALMENOFF: I had to walk 21

22 out of the room for a couple of minutes. Are

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there any measures on ambulatory sensitive conditions, which are outpatient measures? We certainly have DR. WINKLER: reviewed and endorsed some of the ambulatory care sensitive measures, not all of them, but some of them. Off the top of my head, I couldn't run you the list. MEMBER ALMENOFF: I mean, there is 8 a journal of all conditions, of all 16 of 9 them, there are. You could do 16 individual 10 So that would be -- Are there? 11 measures. DR. WINKLER: She remembers. 12 13 DR. BURSTIN: We have endorsed almost all of the AHRQ prevention quality in 14 15 pairs. Yes. MEMBER ALMENOFF: How about any --16 Are there any measures on functional status? 17 DR. BURSTIN: Few and far between, 18 19 primarily in home health where they use OASIS to get -- Actually, you guys talked about this 20 yesterday, so some of those kinds of measures, 21 but not very much, although we have a new 22 NEAL R. GROSS COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W.

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project just beginning on the methodologic issues in using patient reported outcomes in function.

MEMBER ALMENOFF: Okay.

MEMBER HAECKER: Along that line would be the patient centered medical health from NCQA and any of those measures.

8 DR. BURSTIN: Being evaluated as 9 we speak.

MEMBER HAECKER: Okay, thanks.

11 MEMBER CANTINE: One of the 12 performance gaps that I see pretty routinely 13 in my pulmonary lab and getting pulmonary functions or spirometries from other centers, 14 15 diagnosis of and we base our COPD on 16 spirometry, but I have to tell you, the those spirometries are -- many 17 quality of 18 times they are not meeting ATS standards for 19 reproducibility and time.

I know, in terms of pediatrics, you can't have the same set of standards, but it is something I see quite a bit. So I would

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just put that out there, because we are basing the diagnosis on that, and in many cases even the physicians making the diagnosis don't understand the quality aspect of spirometry or pulmonary function.

MEMBER ALMENOFF: And it is also getting worse, because everyone can get a spirometry.

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MEMBER CANTINE: Exactly.

10 MEMBER ALMENOFF: When we owned 11 the labs and controlled it, it was probably at 12 a better quality.

MEMBER CANTINE: And there is noone looking at it.

MEMBER ALMENOFF: Right.

MEMBER STEARNS: I just wanted to add 16 quickly that we didn't see a measure that was 17 a composite, which is really sort of 18 an 19 outpatient setting for consumers to be able to look at to get a sort of better picture. 20 the Minnesota 21 We saw measure,

22 which I have loud and clear from folks has

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some problems, and I don't know if it can be
retooled so that it becomes something that is
more useful, but I do think that having that
kind of measure would be useful.
DR. WINKLER: Any other thoughts?
Again, this is kind of a way of bringing the
discussion to a conclusion about measures,
what we are measuring, what the good measures

10 MEMBER LEVY: What is the next 11 process? When is the next submission, 12 etcetera?

are, and what we should be measuring.

DR. BURSTIN: Just that there may be additional information flowing to you from a couple of developers who didn't feel like they were able to give you their full information.

This is quite common in our process that, on reflection, people feel like some of the information perhaps they provided was not as clear as it could be.

So coming soon to your email box,

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Yes?

we may ask you to consider whether you want to revote. Again, this is something quite common. It is not always clear for developers up front exactly what you are going to ask. So they often can provide some information post hoc.

So NCQA has indicated a desire to have you take a look at one of the COPD measures you looked at, at the eleventh hour yesterday, with some additional information, and that ought to be coming to you.

12 DR. WINKLER: Anthony, are you 13 there? Do we have anybody in the audience who would like public 14 to make any comment. 15 **Operator**?

16 OPERATOR: This is Yvonne. I have 17 taken over for Anthony.

DR. WINKLER: Hello, Yvonne, is there anybody there who wants to talk to us? OPERATOR: I don't show that we have anyone on the line, actually.

DR. WINKLER: All right. Thanks

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very much. Anybody in the room? Our small but loyal audience, thank you all for being So I think we have done the public here. comment.

Next steps: We have talked about the additional information we are going to send out to you, and to see if there is any additional activity. But for the most part, 8 you have made all the decisions we had hoped 10 you would make in this two days.

9

11 What we are going to be busily doing while you recuperate is compiling a 12 13 summary report of all of the work that you have done and the recommendations you 14 are 15 making for endorsement.

16 We are planning to put that out in the middle of April, sort of four weeks from 17 now, for a 30-day public comment. We will 18 19 collect the comments. It is quite typical to 100-200 from 40-50 20 expect comments organizations. That is not rare. So it will 21 be important for us to carefully consider 22

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those comments.

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It really is a critique of the work you are doing on behalf of all those people out there, and so having that feedback -- they often bring new ideas, new thoughts, different ways of looking at things. They They will disagree with will agree with you. They will do a lot of different -you. 8 provide a lot of different kinds of feedback 9 10 for us to look at. will 11 So be scheduling we а

12 conference call after we have all those 13 comments. We will collate them and organize 14 them into a discussion agenda for a conference 15 call, which we will set up. I think, once we 16 get the date set, we will be setting that up 17 as soon as possible.

If an issue arises that, with discussions with our co-chairs, we think we need to pull you together on a conference call, that is possible. I try to avoid it, because I know you are very busy. You have

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already given us a lot of time, but occasionally it becomes necessary. So that is possible.

We will be keeping you informed of all the steps we are undertaking as we go through by email. We will let you know when we go out for comment.

We will keep you informed of all 8 the different milestones, when it goes out for 9 10 comment, how you can read the comments as they are coming in, which you can, if there 11 are particular measures you particularly want 12 13 to follow, as well as all those comments stay on our public website. All the timing is up 14 15 So we will keep you posted on all of there. 16 that.

As always, at any point along the way we are always available. We would love to hear from you, especially now that we have gotten to know you. We are all friends, and please don't hesitate to get in touch with us with any questions, thoughts, ideas. I mean,

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I get cartoons. I get, you know, articles from the literature. I get all sorts of stuff from people. So it is actually rather fun to have enlarged my group of friends outside the Internet.

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Again, we thank you. Do you have any questions for us in terms of what we are going to be doing going forward? If anybody 8 did email that 9 not get the has the 10 reimbursement form, the expense reimbursement 11 form, or you may have put it in your outbox or whatever, just email one of us, and we will 12 13 make sure you get it.

14 MEMBER LEVY: Reva, when is the 15 next submission period, and how does that 16 work?

17DR. BURSTIN:The next for18pulmonary critical care?

MEMBER LEVY: Yes.

20 DR. BURSTIN: So we are in the 21 process of completely revamping this process, 22 just so you know. I just saw this email. So

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we are now probably moving forward with a pilot over the next couple of months to actually split the endorsement process into two stages.

So that you will do stage one on measure concepts, the importance issues, get that out of the way before developers go off and test them. By the time they come through fully baked at times, they don't want to make any changes, because they are fully baked.

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So we are going to pilot that, but the expectation would be, as we move to that change probably sometime in the early winter, we will then go to the process of having committees like this meet twice a year for review of either concepts or measures.

So we will be moving to having twice a year submissions to all the different topic areas. So 2013 there should definitely be an opportunity for pulmonary critical care again and, hopefully, some of those measures we talked about that maybe weren't quite ready

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like the VAE measure or other ones on that list from ACCP, hopefully, will be developed and brought forward.

CO-CHAIR GROSSBART: So the term of this committee is really just through this project?

DR. BURSTIN: We have been doing a lot of sort of lean process redesign as part -- This CDP -- The consensus process has been in place for a decade. Time to sort of move it forward and get some changes done.

So one of the things we actually 12 13 had the Board approve was moving to standing committees across each of these topical areas. 14 15 So we are -- Probably about half the folks would -- Everybody would get probably a two-16 year term, staggered. You would get to stay 17 on for two years, probably get a chance to be 18 19 two or three of these, build expertise, and also have that continuity over time with about 20 a half-turnover every year or so. 21

So we are just finalizing what

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that will look like. My guess is you will be invited to say whether you would like to stay on to be on the standing committee for pulmonary critical care going forward, but hopefully, you have liked this and found it interesting.

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You have also been -- We have been doing a lot of work on our criteria as well. 8 So this is one of the first groups where we 9 10 have actually been going through the detailed subcriteria on importance and reliability and 11 So we feel like it gives the end 12 validity. 13 lot more information about these user а be able 14 measures to to comment more 15 effectively about the things you had concerns 16 about, but it is definitely still a work in 17 progress.

DR. WINKLER: Any last thoughts or closing? Good. Maybe we don't have to run to catch whatever transportation will take you away from us, and if you are able to stay a few hours, I have seen beautiful pictures of

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the cherry blossoms from those of you who went 1 out last night. They are at peak. It is a gorgeous day. If you have the opportunity to go see them, it is not that far away. They are pretty nifty. Again, my thanks to all of you. We will be in touch, and travel safely. (Whereupon, the above-entitled 8 matter went off the record at 2:13 p.m.) 9 10 11 12 13 14 15 16 17 18 19 20 21 **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W.

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