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TO: NQF Members and the Public

FR: NQF Staff

RE: Pre-voting review for *Establishing a Measurement Framework for Regionalized Emergency Care Systems Using an Episodes of Care Approach*

DA: July 25, 2011

This framework report is intended to broaden the knowledge base for the measurement of regionalized emergency care systems. It is structured with domains, subdomains, and guiding principles to highlight past measure development, and offer both opportunities and obstacles for future measure development.

This report supplements and expands upon the National Quality Forum (NQF)-endorsed® guidance on emergency care provided in *National Voluntary Consensus Standards for Emergency Care: [Phase I](#) and [Phase II](#)* reports. It lays the groundwork for a more efficient, higher standard of care across our emergency healthcare system.

NQF was contracted by the Department of Health and Human Services to build upon this previous work in emergency care. This project is comprised of two parts: an environmental scan of measures (at all stages of development) for emergency care at the system level, and a framework for measurement of regionalized emergency care systems at the national, state, and regional levels. The measurement framework is also intended to serve as the basis for future efforts in this area, including any potential NQF measure endorsement projects. During this process, NQF contracted with the University of North Carolina-Chapel Hill to aid in the development of the environmental scan and the measurement framework report.

Within your review of this report, please specifically consider the following questions:

- 1) Does the framework adequately provide for measurement of both individual patient care and the measurement of “regionalized emergency care systems”?
- 2) Does the “Episode of Care” model, as a patient-centered construct for evaluating care, also adequately allow for system-level evaluation? If not, how might the framework be modified to ensure adequate measurement of regionalized emergency care systems?

Pursuant to NQF’s Consensus Development Process, this draft document, along with the accompanying material, is being provided *for purposes of review and comment only – not voting*. You may post your comments and view the comments of others on the NQF website.

NQF Member comments must be submitted no later than 6:00 pm ET, August 23, 2011.
Public comments must be submitted not later than 6:00 pm ET, August 16, 2011.

Thank you for your interest in the NQF’s work. We look forward to your review and comments.

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ESTABLISHING A MEASUREMENT FRAMEWORK FOR REGIONALIZED EMERGENCY CARE SYSTEMS USING AN EPISODES OF CARE APPROACH

DRAFT REPORT FOR COMMENTING

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1 I. EXECUTIVE SUMMARY

2

3 The Institute of Medicine recently highlighted the strain on the nation’s emergency medical care
4 systems and called for analysis and improvement of these systems.^{1,2} The concept of
5 “regionalization” has been identified as a potential method for improving emergency medical
6 care through efficient resource utilization.³ Additionally, performance measurement has been
7 recognized as an important method for evaluating healthcare in general, including emergency
8 services. By using valid and reliable measures of healthcare performance, stakeholders can set
9 benchmarks for evaluating and improving healthcare delivery to patients.

10

11 The healthcare system has a strong current focus on regionalization as a model for improving the
12 efficiency and effectiveness of emergency care systems. As such, NQF has begun this project to
13 develop a framework to guide measurement of regionalized emergency care systems. As part of
14 this effort, NQF worked closely with teams from the U.S. Department of Health and Human
15 Services and the University of North Carolina at Chapel Hill to create this Framework, and
16 convened a Steering Committee to expertly guide and develop this report.

17

18 The objectives of this report are to: provide context and direction to key healthcare system
19 stakeholders regarding the evaluation of regionalized emergency care systems; propose a
20 mechanism for identifying the current measurement landscape within regionalized emergency
21 care systems, as well as gaps in measurement; identify where performance measures are needed
22 in this healthcare area, and serve as a catalyst for future development of measures and
23 measurement concepts; and analyze the effectiveness of current systems and identify gaps in
24 measurement of those systems, in order to establish this roadmap for regionalization of
25 emergency systems at the national, state, and regional levels.

26

27 This regionalized emergency care systems (RECS) Framework contains:

28

- 29 1. Definitions and key terms to establish a common vocabulary with regard to
30 understanding constructs within this RECS project
- 31 2. Purpose of the Framework
- 32 3. Presentation of the Episodes of Care paradigm
- 33 4. Domains and subdomains of RECS measurement
- 34 5. Guiding principles, which are broad themes integral to regionalized emergency care
35 systems as a whole, and are intended to provide scope and direction for service and
36 measure development in this healthcare area
- 37 6. Criteria for evaluating measures within the framework, as per NQF guidelines

37

38

39

40

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41 **Key Elements of the RECS Measurement Framework**

42 ***Terms and Definitions***

43 Regionalization⁷⁻¹⁰ is defined as an established network of resources that delivers specific care
44 (e.g., protocols, definitive procedures, higher care levels or care pathways) to a defined
45 population of patients or within a defined geography. Regionalization requires planning and
46 cooperation to ensure that patients have timely access to the appropriate level of care based on
47 their needs. Regionalized care does not necessarily equal centralized care.
48

49 Regionalized emergency care systems¹¹⁻¹⁵ are deliberate and planned networks of both in- and
50 out-of-hospital resources that deliver clinical services to a population of patients defined by
51 having potentially life threatening acute illnesses or injuries.
52

53 ***Framework Purposes***

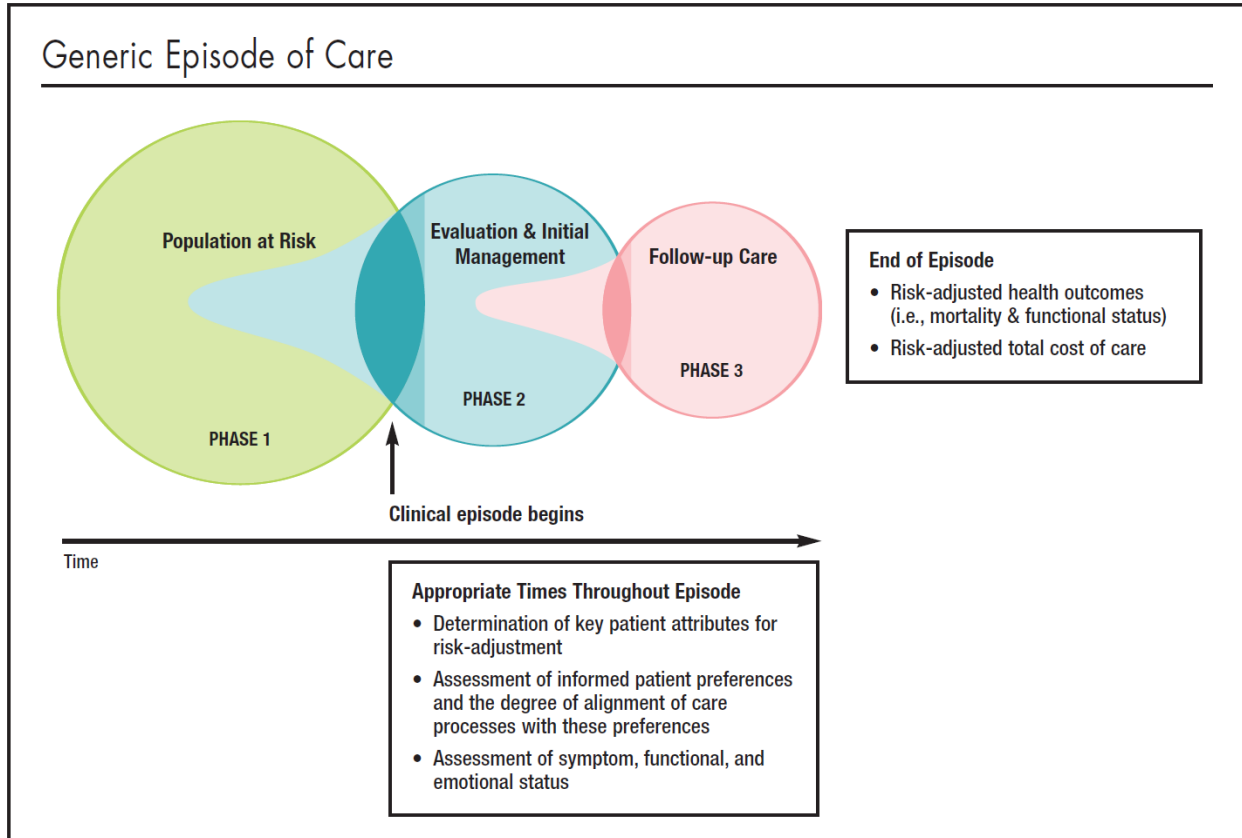
54 The purposes of this Framework for RECS measurement are to guide the identification and
55 subsequent improvement of performance measures and to identify where gaps exist in measures
56 and measure concepts, thereby designating areas for future research and measure development.
57

58 The Framework also provides a conceptual model for evaluating regionalized emergency
59 medical care at the system level. Although prior measurement efforts have focused on discrete
60 parts of a system,¹⁶⁻¹⁸ new models should focus on evaluation of the integration of the discrete
61 service units that make up a system as well as the entire system.¹⁹⁻²⁵ A major goal of this work is
62 to provide the context for evaluating the entire system, rather than just component parts. Thus,
63 the Framework would not only allow for the categorization of individual measures, but also
64 would also identify measurement bundles or composite measures for system-level evaluation.
65

66 ***Episodes of Care Approach***

67 To evaluate healthcare delivery to patients within regionalized emergency medical care systems,
68 a continuous, longitudinal paradigm can provide structure for evaluating care from the moment it
69 begins until definitive treatment is concluded, that is, an “Episode of Care.” Figure 1 illustrates a
70 generic Episode of Care, tracking a patient through multiple phases of care over time, as
71 conceptualized in a prior NQF Framework.²⁶

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72 Figure 1: A generic Episode of Care (taken from *Measurement Framework: Evaluating Efficiency Across*
 73 *Patient-Focused Episodes of Care*).²⁷
 74
 75

76 **Domains**

77 The following domains and subdomains represent the comprehensive and essential components
 78 of RECS measurement:

- 79 1. Capability, Capacity, Access
- 80 1.1 Public Health Initiatives
- 81 1.2 Pre-hospital capabilities
- 82 1.3 Real-time capacity information
- 83 1.4 Categorization of participating agencies, organizations, and facilities
- 84 1.5 Preparedness, monitoring, and data sharing
- 85 1.6 Enabling legal and regulatory framework
- 86
- 87 2. Recognition and Diagnosis
- 88 2.1 Community awareness
- 89 2.2 Training
- 90 2.3 Technology
- 91 2.4 Evidence-based pathways

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- 92 3. Resource Matching and Utilization
 93 3.1 Guidelines and evidenced-based triage and protocols
 94 3.2 Tele-Health
 95 3.3 Efficiency and overuse
 96
 97 4. Medical Care
 98 4.1 Care provided by bystanders
 99 4.2 Pre-hospital and EMS-provider care
 100 4.3 Emergency department care
 101 4.4 Inpatient care
 102 4.5 Care of special populations
 103
 104 5. Coordination of Care
 105 5.1 Governance and shared accountability
 106 5.2 Handoffs and transitions
 107 5.3 Communication
 108
 109 6. Outcomes
 110 6.1 Access to data
 111 6.2 Data linkage across settings of care
 112 6.3 Feedback
 113

Guiding Principles

114 The following principles are overarching themes intended to provide direction to the standard
 115 implementation of the Framework and to the future development of measures and measure
 116 concepts within regionalized emergency medical care systems.
 117
 118

- 119 1. Regionalization of emergency care is a method of matching resources to patient needs in
 120 a timely fashion with the goal of improving patient-oriented care outcomes and
 121 population health. Regionalization does not equal “centralization” of care; it may involve
 122 moving care resources to patients or patients to care facilities, depending on the needs of
 123 the patient and the system’s capabilities.
 124
 125 2. The effective delivery of regionalized emergency medical care requires ongoing
 126 measurement and monitoring of system capabilities and capacity to ensure that the
 127 appropriate resources and workforce (including appropriate specialty care) are available.
 128
 129 3. Identifying and evaluating measures of entire systems of emergency care is difficult, but
 130 essential. Measurement of regionalized emergency care systems should strive to measure
 131 the effectiveness and efficiency of the system as a whole, as well as individual system
 132 components. Measures used to judge the effectiveness of a system should include patient-
 133 oriented outcomes and processes of care and community-centered outcomes. Desired

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- 134 outcomes should consider patient preferences and experiences, and RECS models should
135 assure that the systems are accountable to the patient as well as to the healthcare system.
136
- 137 4. System evaluation should promote transparency and shared accountability for the
138 system's successes and failures across units of service within the system.
139
- 140 5. The development of regionalized emergency medical care systems is an ongoing process
141 with flexible and adaptive structural and process elements. Valid system-level measures
142 should detect and recognize improvement (or lack thereof) due to changes to a system's
143 component parts and the communication and coordination between them.
144
- 145 6. Regionalized emergency care systems should exist for the public good and should fully
146 integrate with each other in a transparent, shared model with a common oversight
147 structure (taking into consideration federal regulations) regardless of geopolitical
148 boundaries in order to provide optimal care for a population. Incentives should be aligned
149 such that a successful system yields positive outcomes and appropriate compensation for
150 each agency, organization, and facility within the system.
151
- 152 7. RECS measurement should be data driven. Data on RECS structures, processes, and
153 outcomes, as well as on the populations that the systems serve, should be collected,
154 shared, and utilized to validate evidence-based RECS measures and measure gaps.
155

Criteria for Evaluating Measures

156 The following are general criteria by which measures can be assessed to ensure that they
157 evaluate important areas of RECS performance, are reliable and valid, can be understood by
158 intended audiences, and are feasible to carry out. These measure evaluation criteria are informed
159 by NQF and other sources, and their descriptions are placed in the context of measuring
160 regionalized emergency care systems.²⁸⁻³⁰ NQF evaluates measures against these criteria when
161 making endorsement recommendations. Although the Framework should identify areas where no
162 measures exist, it is important that measure developers consider these criteria when measures and
163 measure concepts are identified and when the endorsement process begins.
164

- 165
- 166 a. **Importance:** Whether or not the measure evaluates a component of healthcare that is
167 clinically relevant or notably contributes to care within a regionalized emergency care
168 system.
169
- 170 b. **Scientific Acceptability:** How well the measure is defined, supported by evidence, and
171 valid and reliable.
172
- 173 c. **Usability:** Whether the measure is meaningful to the intended audiences and whether the
174 relationship between measure use and intended outcomes is of sufficient magnitude to be
175 important and quantifiable.
176

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177 d. **Feasibility:** Data for the measure calculations are readily available across systems of
178 care, and the implementation of the measure (or subsequent intervention(s) to improve
179 the measure) is cost-effective.
180

181 II. INTRODUCTION

182 Overview

183 Efficient resource utilization is paramount to providing effective, quality healthcare. The
184 Institute of Medicine highlighted the strain on the nation’s emergency medical care systems and
185 called for analysis and improvement of these systems.^{31,32} Recently, the concept of
186 “regionalization” has been identified as a potential method for improving emergency medical
187 care through efficient resource use.³³
188

189 Although new models of regionalized care networks are under development,^{34,35} emergency care
190 services such as trauma, neonatal care, and poison control have been coordinated across
191 geographic areas for many years. More recently, care for patients suffering time-sensitive
192 emergency conditions, such as stroke and acute myocardial infarction (AMI), has been
193 regionalized on a statewide basis. As emergency care systems continue to expand in breadth and
194 scope, the healthcare system must evaluate their evolution to ensure they are optimizing resource
195 use and maximizing patient outcomes.
196

197 An important method of evaluating healthcare, including emergency services, is performance
198 measurement. The role of performance measurement in healthcare is well described by Pines et
199 al.,³⁶ who state: “Performance measurement...attempts to quantify the quality of care that
200 healthcare providers or organizations deliver, with the goal of comparing and improving it. The
201 basic principle is: ‘If you can measure it, you can manage it.’”
202

203 National Quality Forum (NQF), a primary standards-setting organization for performance
204 measurement, uses a formal Consensus Development Process (CDP) to endorse healthcare
205 performance measures, including measures of quality and resource use.³⁷⁻³⁹ Given the healthcare
206 system’s current focus on regionalization, NQF has begun a multiphase project to identify and
207 endorse measures of regionalized emergency care systems (RECS). The first phase of the project
208 has two parts: 1) completion of an environmental scan for projects and measures related to
209 regionalized emergency medical care systems to evaluate the current landscape of performance
210 measurement in this healthcare area, and 2) creation of a measurement framework that can serve
211 as a roadmap to evaluate measures and guide future measure development.
212

213 The environmental scan has been completed and publicly posted,⁴⁰ and it serves as a resource for
214 this report on a measurement framework for regionalized emergency medical care services. The
215 next phase of the project, if initiated, would utilize this Framework and would seek to endorse
216 measures as voluntary consensus standards.

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217 **Strategy and Goals**

218 To assess and improve the quality of regionalized emergency medical care systems, diverse
219 stakeholders must embrace performance measurement as a pathway to healthcare quality
220 improvement. To create a framework that informs measure development in the area of
221 regionalized emergency medical care, NQF convened a Steering Committee who worked closely
222 with staff from the U.S. Department of Health and Human Services, and a team from the
223 University of North Carolina at Chapel Hill to develop a framework to guide measurement of
224 regionalized emergency care systems.

225 The goals of this collaboration are to:

- 226 • Utilize Steering Committee experts, HHS staff, the environmental scan,⁴¹ and other
227 available resources such as the National Institutes of Health Emergency Research
228 Roundtables,⁴²⁻⁴⁴ documentation from the 2010 Society for Academic Emergency
229 Medicine Consensus Conference titled “Beyond Regionalization: Integrated Networks of
230 Emergency Care,”⁴⁵⁻⁶⁶ and the 2010 Institute of Medicine Workshop on Regionalizing
231 Emergency Care⁶⁷ to review the current landscape of regionalized emergency medical
232 care systems to determine where we are and where we need to go for quality
233 improvement in this healthcare area.
234
- 235 • Create a pathway for identification of measures, measure gaps, and measure concepts for
236 regionalized emergency medical care systems to guide future research as well as measure
237 development and endorsement.
238
- 239 • Develop a comprehensive framework for measuring and evaluating regionalized
240 emergency medical care systems, including consensus definitions of key terms and
241 guiding principles for future measure development.
242

243 **III. FRAMEWORK FOR MEASURING REGIONALIZED EMERGENCY CARE** 244 **SYSTEMS**

245 **Key Terms and Definitions**

246 The following terms and associated definitions are essential concepts for clarifying the meaning
247 of “regionalized emergency care systems.” These definitions represent the Steering Committee’s
248 collaboration and establish consensus within the context of this RECS project. Please refer to
249 Appendix B for explanations of related terms and concepts.

250
251 Regionalization⁶⁸⁻⁷¹ refers to an established network of resources that delivers specific care (e.g.,
252 protocols, definitive procedures, higher care levels or care pathways) to a defined population of
253 patients or within a defined geography. Regionalization requires planning and cooperation to
254 ensure that patients have timely access to the appropriate level of care based on their needs.
255 Regionalized care does not necessarily equal centralized care.
256

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257 Regionalized emergency care systems (RECS)⁷²⁻⁷⁶ are deliberate and planned networks of both
258 in- and out-of-hospital resources that deliver clinical services to a population of patients defined
259 by having potentially life threatening acute illnesses or injuries.
260

261 **Framework Purpose and Role of a Performance Measurement System**

262 Performance measurement is a mechanism for assessing healthcare quality, including whether
263 care is safe, effective, patient-centered, timely, efficient, and equitable.⁷⁷ Through the
264 establishment of goals and benchmarks via measurement, healthcare delivery and quality can be
265 improved across these six aims. Key to this concept is establishing appropriate and valid metrics
266 for quality assessment. Regionalized emergency care systems could benefit from increased
267 performance measurement, although appropriate metrics to measure the systems are early in
268 development in many cases.

269
270 Healthcare leaders and organizations have embraced the concepts of performance measurement
271 to varying degrees across individual disease conditions, diagnoses, and “service units”⁷⁸ (911
272 system, emergency medical services [EMS], emergency department [ED]) within the RECS
273 realm.⁷⁹ For example, the Centers for Medicare & Medicaid Services (CMS) follows several
274 “pay for performance” measures relevant to emergency services for cardiac disease,⁸⁰ and NQF
275 has endorsed several performance measures for emergency cardiac care.⁸¹ However, other areas
276 of care within regionalized emergency care systems (e.g., psychiatric care⁸²) have received less
277 attention in terms of performance measure development.
278

279 Also, multiple groups have developed data and information-gathering systems that can inform
280 performance measurement at a regional level. Examples include the National Emergency
281 Medical Services Information System (NEMESIS),⁸³ the Cardiac Arrest Registry to Enhance
282 Survival (CARES),⁸⁴ and the American College of Surgeons’ Committee on Regional Trauma
283 Systems Programs, including the National Trauma Data BankTM.⁸⁵⁻⁸⁷ Although these national-
284 level models and repositories are a rich source of planning and foundational data elements that
285 can and should aid performance measurement, they are not always utilized within regions and
286 across the country for performance measurement of the system as a whole. Furthermore, even
287 within care pathways for a given clinical condition, performance measure development varies
288 depending on the service unit (e.g., NQF-endorsed[®] measures for stroke care essentially evaluate
289 ED-based care; stroke care measures for out-of-hospital EMS providers are less well
290 developed⁸⁸) and may not focus on the performance of the overall system.
291

292 The purpose of this Framework for RECS measurement is to not only guide the identification
293 and subsequent improvement of performance measures, but also to identify where gaps exist in
294 measures and measure concepts, thereby designating areas for future research and measure
295 development.
296

297 In addition, the Framework provides a conceptual model for emphasizing the evaluation of
298 emergency medical care within a population or geographical region, rather than within an

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299 individual facility or single part of the system. Although prior measurement efforts have focused
300 on discrete parts of a system,⁸⁹⁻⁹¹ new models should focus on evaluating the integration of the
301 discrete service units that make up a system, and how the entire system performs.⁹²⁻⁹⁸ Thus, a
302 major goal of this Framework is to provide the context for evaluating the system as a whole,
303 rather than just its component parts.

304
305 Although different perspectives could exist on whether a specific measure or set of measures or a
306 given database is valid for system-level evaluation, having a framework model provides a
307 context for that debate from multiple perspectives. It is conceivable that the proposed Framework
308 will not only allow for the categorization of individual measures, but also identify measurement
309 bundles, or possible composite measures for system-level evaluation in this healthcare area.

310
311 The proposed Framework is intended to be a comprehensive model for evaluating the broad
312 spectrum of structures and services that comprise regionalized emergency medical care. This
313 spectrum ranges from identification of the population at risk and the public health educational
314 and prevention initiatives targeted at that population, to initial out-of-hospital evaluation and
315 treatment, to definitive or ongoing care and system-level feedback. The Framework should create
316 pathways for evaluating both the system components as well as the sum of the system's parts. It
317 should provide structure for both the organization of known measures and measures under
318 development. In addition, the structure should reveal areas of measurement gaps and
319 opportunities for future measurement concepts.

320 321 **Episodes of Care**

322 ***Rationale***

323 Given the complex nature of regionalized emergency medical care systems, it is challenging to
324 develop a model that captures its diverse components and measures the system as a whole. The
325 Framework should evaluate healthcare delivery to patients, recognizing that healthcare delivery
326 occurs across and between multiple diverse settings and is provided by a range of practitioners.
327 Although medical treatments, infrastructure, and care pathway benchmarks should be
328 individually evaluated, the transitions between them, as well as the resultant system-level
329 outcomes, should also be evaluated. To evaluate healthcare delivery to patients, a continuous,
330 longitudinal paradigm can provide structure for evaluating care from the moment it begins to the
331 conclusion of definitive treatment to the provision of feedback, that is, the "Episode of Care."

332 ***Description***

333 Specifically, an "Episode of Care" is defined as "a series of temporally contiguous healthcare
334 services related to the treatment of a given spell of illness or provided in response to a specific
335 request by the patient or other relevant entity."^{99,100} This theoretical construct, well described in
336 other NQF measurement frameworks, including "Measurement Framework: Evaluating
337 Efficiency Across Patient-Focused Episodes of Care,"¹⁰¹ allows for care to be evaluated over
338 time and across service units for a given episode. It takes into consideration the various settings

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339 and care providers within an episode, as well as the transitions between them as the patient
340 moves through the healthcare delivery system. An Episodes of Care approach, given its
341 continuity through the patient's experience, allows for evaluation of where measurement and
342 measurement gaps occur and of patient-centered outcomes. This approach may yield the most
343 complete model for evaluating care within regionalized emergency medical care systems.

344
345 **Focus**

346 Figure 1 above illustrates a generic Episode of Care, tracking a patient through multiple phases
347 of care over time. This conceptual model can be used in many healthcare realms to provide a
348 structure for evaluating healthcare delivery to a patient over time. The three phases of a generic
349 episode (Population at Risk, Evaluation and Initial Management, and Follow-up Care) create a
350 foundation on which to base use of this model regardless of the type of illness or healthcare
351 problem (e.g., acute, chronic, time-sensitive, or long-standing).

352
353 For regional emergency care systems, the traditional focus within this paradigm has been on
354 Phase 2: Evaluation and Initial Management. Measurement in this phase primarily assesses the
355 clinical processes and outcomes (both intermediate and final) of care elements and care
356 pathways. For regional emergency care systems, these elements of care are not only clinical and
357 evaluative of units of service (e.g., did the MI patient get an aspirin in the ED?) but also
358 structural and evaluative of the transitions between units (e.g., did the EMS system appropriately
359 communicate with the hospital?).

360
361 Yet all three phases of this model are relevant and important to consider when discussing newer
362 concepts of regionalized networks of emergency care. Phase 1, the "Population at Risk," may
363 include planning and structural elements in place to evaluate and measure system capabilities
364 and capacities. For example, measurement in this phase may evaluate systems in place to
365 address ED boarding, crowding, surge capacity, and EMS ambulance diversion.

366
367 In Phase 3, "follow-up care" may include maintenance of definitive treatment, or ongoing
368 treatment for time-sensitive illness or injury that occurs at specialty centers (e.g., ongoing
369 intensive care-level medical treatment after the evaluation and initial management of critical
370 illness or injury). Measurement in this phase may also focus on the end-of-episode evaluation of
371 care coordination and communication between medical providers and between service units
372 (although care coordination should occur across and between all of the phases). Phase 3 follow-
373 up also should include mechanisms for the system to evaluate itself.

374
375 Indeed, the Episodes of Care paradigm for regional emergency care systems must incorporate a
376 feedback pathway such that the system can evaluate performance, identify and implement
377 interventions, and demonstrate improvement. All phases, including Phase 3 when an episode
378 "ends," should focus on measurements not only of definitive and ongoing care but also of system
379 performance, and should provide a mechanism for communication of those measurements to
380 Phase 1. The system should have the capability to ensure feedback to the population at risk and
381 the key components of all phases so that system structures and processes (e.g., field EMS

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382 infrastructure, emergency medical dispatch, surge plans, and even patient-level prevention
383 strategies) can be flexible and adaptive over time.

384

385 ***Advantages: Patient Centered Care***

386

387 The Episodes of Care approach has multiple advantages as a paradigm for evaluating
388 regionalized emergency care systems. This patient-centered approach not only focuses on
389 healthcare performance measurement, but also provides a pragmatic approach to determining
390 measure relevance (i.e., does what is being measured directly relate to ultimate patient
391 outcomes). In this manner, an Episodes of Care approach, while acknowledging that different
392 aspects of care are needed at different points in the episode, forces evaluation of the system as a
393 whole, rather than just its component parts.

394

395 This systems approach for an individual patient is also continuous and longitudinal, in contrast to
396 performance measurement within a specific healthcare setting (e.g. the ED), a specific provider
397 (e.g., emergency physician), or a specific disease (e.g., stroke) at a single point in time. The
398 entire set of service units for a given episode, and the transitions between them, can be seen as
399 potential targets for measure identification and development. The seamless nature of this
400 approach also readily allows for the identification of measurement gaps along the continuum of
401 interrelated services and care elements. Lastly, the continuity of this patient centered episode of
402 care model also allows for a more direct assessment of correlations between service units and the
403 overall outcomes of an episode of care.

404

405 It will be important to focus efforts on whether the care was appropriate for a given patient or
406 condition. For example, a patient may receive a timely procedure, facilitated by seamless
407 communication through service units in an episode, but the procedure may not be what was
408 indicated for that particular patient. This limitation can be partially addressed by ensuring that
409 patient-oriented outcomes are measured for a system and by placing special emphasis on system
410 components that are closely related to quality and appropriateness of care (e.g., accurate
411 diagnosis).

412

413 ***Limitations: The Importance of Underlying Systems***

414

415 An individual's health care cannot occur independently of established regionalized systems of
416 emergency care. A limitation of the Episodes of Care approach can be seen as focusing
417 exclusively on an individual patient's care experience, and not on the underlying emergency care
418 and support systems. Important systems and population-level issues in emergency care are not
419 adequately addressed using this approach.

420

421 For example, system load and capacity for multiple patients are not inherent to the Episodes of
422 Care model (e.g., a regionalized system's performance in the event of a disaster or other mass-
423 casualty incident), This limitation can be addressed by conceptualizing that measurement of a
424 system's preparedness, capability, and potentially expandable capacity (Phase 1) in preparation
425 for a clinical episode or across phases of care. Within the RECS Episodes of Care model,

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426 emphasis is also placed on measuring a system’s attempts to mitigate risk for a population (e.g.,
427 Phase 1 preparedness), the communication, coordination, and delivery of clinical care (Phase 2),
428 and how that system performs when system load and capacity are stressed (e.g., Phase 3
429 performance and feedback to modify Phase 1 structures).
430

431 Another limitation is that this approach essentially focuses on Episodes of Care within a given
432 regionalized system or organization and therefore does not inherently create comparisons
433 between various organizations with similar systems. This approach focuses on creating a
434 platform of continuity within a system, to evaluate completely that system’s units and transitions,
435 rather than on comparing similar elements and relationships across systems. For example, an
436 Episode of Care paradigm may provide a thorough and novel approach to measuring a
437 regionalized Segment Elevation Myocardial Infarction (STEMI) system, but may not necessarily
438 identify or highlight subtle differences between one regionalized STEMI system and another.
439 Although many systems may “meet” given performance metrics, important differences and
440 efficiencies may be present across systems that would improve healthcare delivery and quality if
441 applied broadly. Deliberate emphasis should be placed on comparing Episodes of Care across
442 institutions for similar clinical conditions in order to take advantage of evaluating system-
443 specific efficiencies that may translate to other organizations or systems.
444

445 Domains and Subdomains

446
447 The primary purpose of this framework is to define a structure for measuring regionalized
448 emergency care systems. The following domains comprise the necessary components of a
449 structure that includes and evaluates the diverse parts of this broad and unique healthcare area.
450 These domains facilitate the systematic evaluation of the many facets of regionalized emergency
451 medical care services that a patient might encounter, longitudinally, in a time-sensitive fashion,
452 during an Episode of Care.
453

454 Each domain includes an explanation of its subject matter and subdomains to further delineate its
455 components. Although the domains are meant to represent distinct parts of regionalized
456 emergency care systems, the measurement concepts discussed within each domain may also be
457 applicable to other domains. The domains and subdomains of measuring regionalized emergency
458 care systems include:
459

- 460 1. Capability, Capacity, Access
 - 461 1.1 Public Health Initiatives
 - 462 1.2 Pre-hospital capabilities
 - 463 1.3 Real-time capacity information
 - 464 1.4 Categorization of participating agencies, organizations, and facilities
 - 465 1.5 Preparedness, monitoring, and data sharing
 - 466 1.6 Enabling legal and regulatory framework
- 467 2. Recognition and Diagnosis
- 468

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- 469 2.1 Community awareness
- 470 2.2 Training
- 471 2.3 Technology
- 472 2.4 Evidence-based pathways
- 473
- 474 3. Resource Matching and Utilization
- 475 3.1 Guidelines and evidenced-based triage and protocols
- 476 3.2 Tele-Health
- 477 3.3 Efficiency and overuse
- 478
- 479 4. Medical Care
- 480 4.1 Care provided by bystanders
- 481 4.2 Pre-hospital and EMS-provider care
- 482 4.3 Emergency department care
- 483 4.4 Inpatient care
- 484 4.5 Care of special populations
- 485
- 486 5. Coordination of Care
- 487 5.1 Governance and shared accountability
- 488 5.2 Handoffs and transitions
- 489 5.3 Communication
- 490
- 491 6. Outcomes
- 492 6.1 Access to data
- 493 6.2 Data linkage across settings of care
- 494 6.3 Feedback
- 495

496 **Domain 1: Capability, Capacity, Access**

497 An essential prerequisite to evaluating emergency medical care is to understand a regionalized
 498 system's ability to provide for the emergency care needs of its population. This domain focuses
 499 on measuring what a system can do (capability), how much it can do (capacity), and who can
 500 enter the system and how they enter it (access).

501 1.1 Public health initiatives

502 Educational and preventive initiatives undertaken by the regional public health system
 503 can have a great impact on the utilization, hence organization, of regional emergency care
 504 systems. For example, timely and effective public health announcements broadcast via electronic
 505 media outlets could limit the numbers of individuals seeking care during seasonal epidemics of
 506 influenza like illnesses, thereby decreasing the demand upon regionalized emergency care
 507 services. The extent to which such services partner with local public health agencies in
 508 developing accurate and appropriate messages for risk communication is therefore a vitally
 509 important measure of system performance.
 510
 511

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512

513 1.2 Pre-hospital capabilities

514

515 A capable system that can expand and contract as capacity demands is suboptimal unless it can
516 be effectively accessed by the population that it serves. Evaluation of system capability,
517 capacity, and access is a broad and overarching theme of RECS measurement that includes a
518 range of concepts from measurement of emergency medical dispatch (911 center) protocols and
519 processes, to evaluations of numbers of field EMS service units, to monitoring of ED and
520 hospital system status with technology such as regional “dashboards.”¹⁰² For example, whether
521 or not ambulances are being diverted from particular hospitals may be a surrogate indicator of a
522 system’s overall capability, capacity, and access.
523

524 1.3 Real-time capacity information

525

526 Measures of ED boarding and crowding (e.g., are patients being “boarded” in the ED, or is the
527 ED crowded such that care to patients is unacceptably delayed) should be readily available and
528 regularly updated (i.e., hour to hour). Measures should also evaluate the status of infrastructure
529 and processes to support regionalized emergency medical care systems. For example,
530 technology that assesses the current capacity and acuity within a given ED via electronic
531 surveillance has been shown to enhance capacity and patient flow across a regional system of
532 hospitals, while also decreasing ambulance diversion.¹⁰³ Measures that assess whether such
533 systems are in place, and their operational status and effectiveness, are also part of this domain.
534

535 This domain also includes evaluation of the system’s capacity at baseline levels of care demand,
536 when imbalances occur within the system (e.g., one hospital within the system has reached its
537 patient capacity, causing ambulance diversion to other hospitals within the system), during
538 increased demand within a system (e.g., influenza season), and during an acute disaster event
539 (e.g., a weather event covering a large geographic area, mass casualty event, etc.). System
540 capacity needs can change rapidly. Therefore, measurement of the immediate surge capacity of a
541 regional system to provide timely emergency care is critical.
542

543 1.4 Categorization of participating agencies, organizations, and facilities

544

545 There is a need to understand a system’s healthcare workforce and resources within a region in
546 order to provide appropriate care for a population. Thus, this domain includes measures of
547 personnel and facility resources, as well as of the presence and use of system infrastructure from
548 pre-hospital equipment and transportation to end-destination specialty services, hospital beds,
549 and intensive care units. Cataloguing a region’s medical providers (advanced practice medics,
550 specialty physicians) as well as its facilities (e.g., how many ambulances and trauma bays are
551 available in a system) can identify systems gaps as well as help plan for a disaster.
552

553

554 1.5 Preparedness, monitoring, and data sharing

555

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556 This domain includes measurement of a system’s readiness for disaster or a mass-casualty
557 incident. The system should not only be prepared for such events, but also be able to maintain a
558 state of readiness and communicate that readiness to system component agencies and facilities,
559 as well as other systems. Examples of current models that attempt to measure these preparedness
560 constructs include the Hospital Preparedness Program¹⁰⁴ of the HHS Office of the Assistant
561 Secretary for Preparedness and Response and the Centers for Disease Control and Prevention’s
562 Public Health Preparedness Capabilities.¹⁰⁵ These programs evaluate whether hospitals¹⁰⁶ as well
563 as state and local jurisdictions¹⁰⁷ achieve and maintain targeted infrastructure and capability
564 requirements (e.g., interoperable communication systems, pharmaceutical caches, emergency
565 public information and warning, volunteer management) that would fall within the realm of
566 medical surge capacity. Such measurement constructs exemplify Domain 1 and provide a
567 foundation for evaluating critical RECS structures, processes, and outcomes.
568

569 1.6 Enabling a legal and regulatory framework for RECS 570

571 Measurement within this domain encompasses whether or not a system is operating within its
572 relevant statutory limits. For example, various governmental strategies and regulations regarding
573 ambulance diversion¹⁰⁸ exist to decrease this practice and therefore affect system capability and
574 capacity. For more than 20 years, the Emergency Medical Treatment and Active Labor Act
575 (EMTALA)¹⁰⁹ has governed aspects of emergency care system access, communication, and
576 transfer. Measuring RECS compliance with such statutes is within the realm of this domain.
577

578 As the Institute of Medicine’s Committee on the Future of Emergency Care in the United States
579 Health System suggested, there is a need to address the issues surrounding the strain on the
580 capacity of the nation’s emergency care systems. One method of addressing these issues may be
581 via RECS performance measurement. Measuring a system’s ability to enhance its operational
582 efficiency, increase its use of technology, and generally improve its level of preparedness for an
583 acute strain on its capacity or a disaster may provide a regulatory framework for improvement in
584 RECS.
585

586 ***Domain 2: Recognition and Diagnosis*** 587

588 Essential to measuring regionalized emergency care is the evaluation of how an Episode of Care
589 is initially recognized. As discussed, emergency care is defined in part by time-sensitivity.
590 Therefore, the measurement of the rapidity of detection and identification of the nature of an
591 emergency clinical episode is important to assessing an episode of emergency care.
592

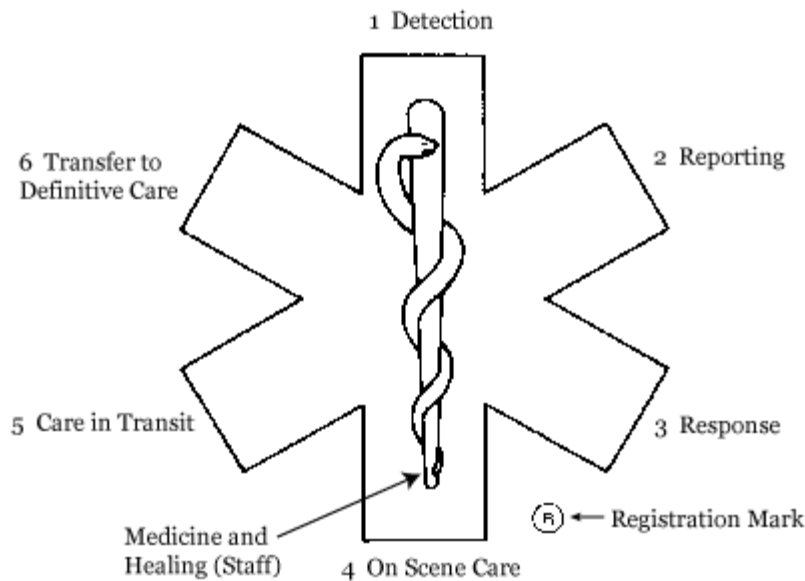
593 2.1 Community awareness 594

595 Early identification of critical illness and injury is a key function of the EMS system.¹¹⁰ Indeed,
596 the first point in the EMS “Star of Life” (Figure 2) represents “Detection” as a primary function
597 of the system.¹¹¹ However, the EMS system must first be activated, which often occurs via
598 bystanders in the community. Indeed, bystanders not only are 911 callers, but also they often
599 provide initial emergency medical care to patients by following the instructions of 911

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600 dispatchers. Measures of a community's involvement and participation in the emergency care
 601 system (e.g., rates of CPR training and Automated External Defibrillator (AED) knowledge) are
 602 within the realm of this domain.

603
 604



605
 606 Figure 2: The EMS "Star of Life" is the commonly known six-pointed cross, originally designed
 607 by National Highway Traffic Safety Administration (NHTSA), which represents the six functions
 608 of the EMS system.¹¹²

609 2.2 Training

610 Also within the realm of this domain are measures of both community and healthcare providers'
 611 training in the recognition and diagnosis of emergency conditions. Continuing education for both
 612 lay and professional healthcare providers is paramount to creating an environment for high-
 613 quality care. Measures in this domain include benchmarks for EMS provider/personnel training
 614 and physician and nursing continuing education.

615 616 2.3 Technology

617
 618 Technological constructs to be measured in this domain include the 911 telecommunications
 619 system, emergency response communication systems and networks, and data systems to identify
 620 and track episodes of care.¹¹³⁻¹¹⁵ Data and technology systems can not only assist in the
 621 recognition of an episode, but also can catalog episodes for comparison, analysis, and
 622 meaningful feedback across the phases of an Episode of Care.

623 2.4 Evidence-based approaches

624

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625 This domain also includes the recognition or initial diagnosis of an emergent clinical condition
626 that previously has been shown to be amenable to regionalized emergency medical care.
627 Measuring the performance of a regionalized care system first depends on the system being
628 activated when an Episode of Care meets the criteria for inclusion into such a system. Examples
629 of this concept include medical practitioner recognition of STEMI, acute stroke, and trauma
630 patients who meet the criteria to be transported to a trauma center.¹¹⁶⁻¹¹⁹
631

632 A particular focus of this domain is the reconciliation of the measurement gap between when an
633 Episode of Care actually begins and when an Episode of Care begins to be measured. The
634 Steering Committee agreed that an Episode of Care begins with the onset of patient symptoms,
635 but currently the first reliable measurement point may not occur until the patient (or bystander)
636 makes contact with the medical system (e.g., by calling 911 or walking into an ED). It is a
637 priority to measure both when the patient makes contact with the system, and the interval
638 between symptom onset and episode recognition, should be priorities in evaluating regional
639 emergency care systems. The establishment of evidence-based practices to measure this interval
640 and the development of strategies to shorten or prevent this interval should be developed.
641

642 ***Domain 3: Resource Matching and Utilization***

643
644 At its most basic level, the regionalization concept is about matching resources to patients. An
645 emerging description of this concept is of a network model of emergency care that serves to “get
646 the right resource to the right patient at the right place at the right time.”¹²⁰⁻¹²² This domain
647 evaluates the structural and process components of regionalized emergency medical care.

648 3.1 Guidelines and evidenced-based triage and protocols

649
650 Matching patients to healthcare resources does not necessarily mean bringing the patient to the
651 resource facility. Whereas a centralized approach to regional care may result in patients being
652 funneled one way to one hospital, a network model of RECS demonstrates multi-directional flow
653 of patients and resources across an interconnected web.^{123,124} This domain evaluates the
654 structures and processes that make up that web. It identifies which patients need to be transferred
655 and which ones can safely remain at decentralized facilities. For example, the standard use of
656 accepted protocols for triage of severity of trauma patients can help systems categorize patients
657 who are appropriate for transfer to a trauma center, and those who may remain at an outside
658 hospital.
659

660 3.2 Tele-Health

661 One gap that this domain should address is the need for infrastructures (e.g., communication
662 resources, availability of on-call specialty care) to allow a system to appropriately allocate
663 resources to a patient. For example, standardized communication and information technology
664 systems should be developed and measured that allow for patient tracking within a system, as
665 well as communication between “nodes” in the system web. One approach is the concept of
666 “tele-health,” whereby a remote resource (e.g., a specialist physician) is able to communicate

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667 electronically with a patient and other healthcare providers to care for the patient. Tele-health has
668 been implemented as a successful method of delivering emergency care, as exemplified in
669 Mississippi's "TelEmergency" system.¹²⁵ Given that on-call specialist physicians may be a
670 scarce resource within some regionalized systems,^{126,127} tele-health may represent an information
671 technology model that can efficiently and cost-effectively bring vital care resources to patients
672 across a wide geography.

673

674 3.3 Efficiency and overuse

675

676 By cataloguing and measuring the availability and use of emergency care physical infrastructure
677 and resources within a regionalized system, both redundancies and gaps can be identified and
678 evaluated in an effort to create a system that is effective as well as efficient. Measuring the
679 inventory of resource distribution within a system can improve coordination of timely medical
680 care.¹²⁸ In addition, measuring whether a system's component facilities and agencies
681 appropriately utilize resources such as trauma triage protocols and tele-health can allow for
682 evaluation of whether a system is efficient or is over- or under-utilizing a particular resource.

683 **Domain 4: Medical Care**

684 This domain evaluates the actual medical care to patients within an Episode of Care. Measures
685 within this domain attempt to answer the question: "Did the patient receive medical care that met
686 accepted standards?" In other words, within the Episode of Care did the patient receive care that
687 was timely and in accordance with broadly accepted standards and protocols for a given
688 emergency medical condition.

689

690 Donabedian asserts that "outcomes... remain the ultimate validators of the effectiveness and
691 quality of medical care."¹²⁹ Thus, measuring the individual process steps in an Episode of Care,
692 as well as the structural elements that support them, can be valuable. This does assume that the
693 processes being measured are essential contributors to the success and effectiveness of the whole
694 system. Measurement should focus on processes that can be validated as necessary components
695 of a high-quality Episode of Care.

696

697 This domain can be divided into five subdomains, based on where and to whom care is provided:

698

699 4.1 Care provided by bystanders

700

701 As noted, bystanders play a critical role in the recognition and initial care of an emergency
702 episode. Measuring the community-wide effectiveness of bystander care can be relevant across a
703 broad range of emergency conditions such as cardiac arrest and trauma.

704

705 4.2 Pre-hospital and EMS-provider Care

706

707 Measurement of care provided by pre-hospital professionals, from emergency medical
708 responders to paramedics, is within the realm of this domain. First responders and paramedics

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709 are traditionally the first “professional” caregivers in an emergency Episode of Care. Measuring
710 their medical care includes not only consideration of traditional markers of appropriate
711 emergency care practice, but also recognition of pre-hospital providers’ ability to provide
712 effective nontraditional care. For example, paramedics may provide effective treatment in the
713 community without transport to the hospital.¹³⁰

714

715 Indeed, the breadth of out-of-hospital care from medical professionals is broad. Aeromedical
716 services provide an example of a broad range of care. Although aircraft (helicopters, fixed wing)
717 are traditionally regulated by the Federal Aviation Administration, air ambulances are key
718 components of regionalized emergency care systems. The Association of Critical Care Transport
719 has recently supported legislation (i.e., the “Air Ambulance Patient Safety, Protection, and
720 Coordination Act”) that aims to “ensure that patient safety, quality patient care and coordination
721 of helicopter medical services are properly overseen by State emergency medical services (EMS)
722 and public health authorities.” This legislation would integrate air ambulances more fully into
723 regionalized emergency care systems by allowing state oversight of the care they provide just as
724 states currently oversee ground ambulances, hospitals, and other healthcare entities.¹³¹

725

726 4.3 Emergency department (ED) care and 4.4 Inpatient care

727

728 Most of the examples of measures within these subdomains are process measures that evaluate
729 whether a standard of care was met. Examples include “Was aspirin given for acute MI?” and
730 “Did the acute STEMI patient receive primary Percutaneous Coronary Intervention (PCI) within
731 90 minutes of hospital arrival?” Indeed, process measures evaluating the clinical elements of ED
732 and hospital care comprise the largest share of the currently well-defined and accepted
733 performance measures of regionalized emergency medical care services.¹³²

734

735 Yet, there is still a need to identify and develop more comprehensive measures of quality
736 medical care. Although many efforts have identified performance measures of ED and hospital-
737 based emergency care, these measures often are not comprehensive in their assessment of
738 “quality.” A recent analysis of existing pediatric emergency care performance measures¹³³
739 indicated that measures most often focused on one aspect of care quality (timeliness) rather than
740 on all six of the IOM’s quality domains (safe, effective, patient-centered, timely, efficient and
741 equitable).¹³⁴ This analysis also highlights the need for measure developers to focus on
742 systematic and comprehensive measurement of the quality of healthcare, rather than just how
743 quickly it is provided.¹³⁵

744

745 4.5 Care of special populations

746

747 Unique performance measures should be developed to evaluate care to unique patient groups.
748 Measuring the processes and outcomes of care for pediatric and geriatric patients^{136,137} or of
749 psychiatric care may be different than evaluating care for a myocardial infarction.¹³⁸
750 Additionally, non-English speaking patients present a challenge to regionalized emergency care

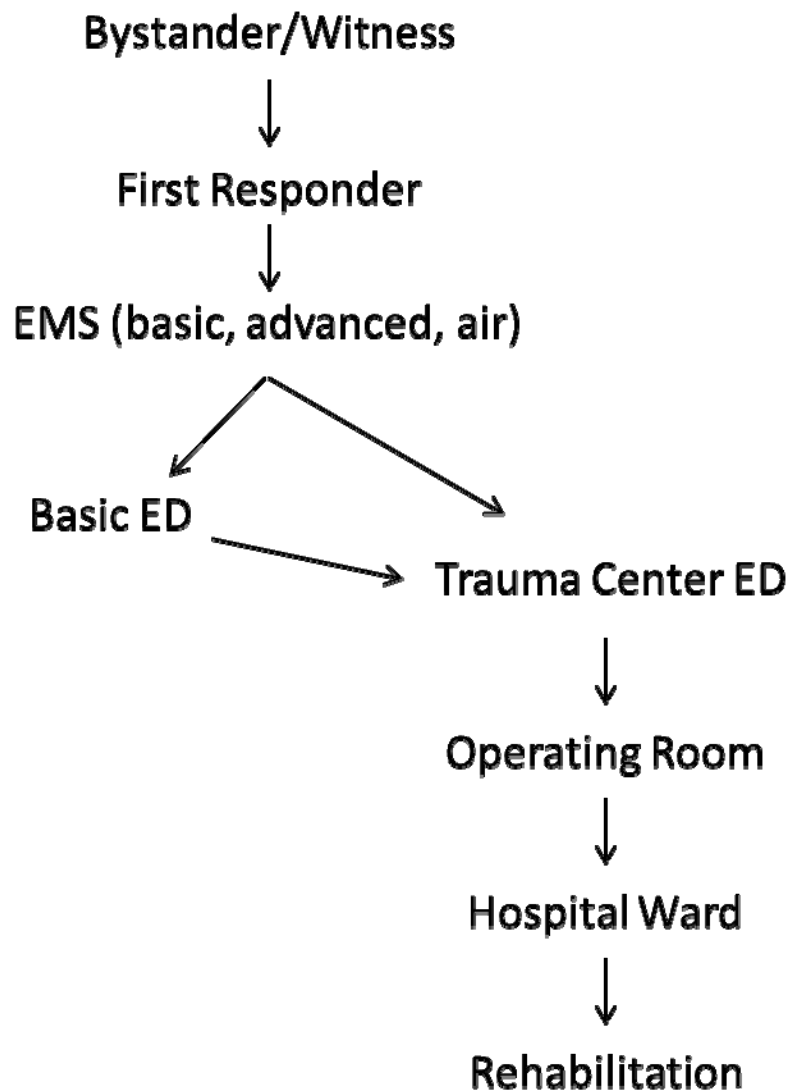
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751 systems: Are interpreters available to each agency within a system? How do ambulance-based
 752 providers communicate with non-English speaking patients?

753

754 **Domain 5: Coordination of Care**

755 This domain evaluates the connections between the various “service units”¹³⁹ within an Episode
 756 of Care. Regionalized emergency medical care systems are comprised of many discrete
 757 components that must interact efficiently and effectively to achieve the maximum outcome for
 758 the patient. This concept is illustrated by the Cairns et al. description of service units for a
 759 severely injured victim of a motor vehicle collision (Figure 3). In this example, the service units
 760 may include the EMS system, trauma center ED, operating room, etc.¹⁴⁰



761
 762

Figure 3. Service units for a severely injured victim of a motor vehicle collision.¹⁴¹

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763 5.1 Governance and shared accountability

764

765 Emergency care coordination will require a common oversight structure and shared
766 accountability for system measurement and outcomes. Individual service units within a
767 regionalized emergency care system are inextricably bound to each other (via communication
768 and patient transfer) in an Episode of Care for a patient. Thus, the structures, actions, and
769 benchmarks of one system component affect other system components. A challenge of this
770 domain will be to identify an appropriate oversight structure and metrics that appropriately align
771 a service unit to system-level outcomes. Implementing common oversight and a shared-
772 accountability model that is fair to individual stakeholders and service units will be key to
773 making a regionalized emergency medical care system work.

774

775 5.2 Handoffs and transitions

776

777 Regionalized emergency care systems are networks of individual parts, with each part taking
778 some responsibility for patient care at some point in the Episode of Care. The transitions between
779 service units and across network nodes are key places for measurement of system functions. The
780 “hand-off” of a patient from one node to another is a critical juncture where communication and
781 collaboration are key to effective and high-quality care. Measuring these handoffs and transitions
782 within an Episode of Care is important to assessing regionalized emergency care systems.

783

784 5.3 Communication

785

786 Measuring communication between the individual units of care, as well as the flow of
787 information and intermediate outcomes for each unit, is critical to evaluating the system as a
788 whole. The optimal outcome for the patient in a given Episode of Care depends on the effective
789 integration of these discrete service units. Specific examples of measures within this domain
790 would include “advance hospital notification for suspected stroke.”

791

792 **Domain 6: Outcomes**

793

794 Measuring patient-oriented outcomes of an Episode of Care is an important method of evaluating
795 the effectiveness of a system. Although measuring structure and process elements is key to
796 evaluating a system’s functioning parts, the end result (i.e., outcome) of an Episode of Care may
797 be the most obvious illustration of whether the system works. For example, if a patient who
798 suffered out-of-hospital cardiac arrest survives neurologically intact to hospital discharge, then
799 the patient’s Episode of Care was most likely marked by timely, high-quality, integrated care that
800 met relevant performance measures.

801

802 However, because of the inherent complexity and multiple components of an Episode of Care , it
803 is possible that an outcome measure might be met despite not meeting one or more structure or
804 process measures along the way (e.g., the cardiac arrest patient may have survived despite not
805 receiving acute coronary intervention within 90 minutes of first medical contact). Also, when an

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806 outcome measure is not met, challenges arise regarding the accountability for not achieving the
807 measure (i.e., which system component was responsible for not meeting the measure?).
808

809 6.1 Access to data

810 The process of measuring medical care, and regional emergency care systems in general, should
811 be data driven. Although many local-, regional-, and national-level databases exist that catalogue
812 medical care within regional emergency care systems, they are varied in their level of use and
813 development, are often not interconnected, and their use is often not mandated. To improve the
814 data foundation on which RECS measurement is built, a common data infrastructure should be
815 utilized, with a mandate for collection, transparency, and shared use.
816

817 6.2 Data linkage across settings of care

818 In addition, regionalized emergency care systems should not exist in individual, top-down,
819 disease-specific silos, and efficiencies realized in one network or via one service unit should be
820 evaluated and shared in order to possibly achieve more cost-effective care across systems. Data
821 linkage across networks and even between systems can not only improve an Episode of Care, but
822 also can allow for system-level feedback and performance improvement via both patient and
823 population-centered research.¹⁴²⁻¹⁴⁴
824

825 Furthermore, evaluation of linked data can provide critical reference and monitoring information
826 for new avenues of regionalized emergency care. For example, limiting field EMS providers to
827 their traditional role of transporting patients to the hospital via ambulance may not be the most
828 efficient or cost-effective outcome of their service to some patients. Treatment in the field, non-
829 emergent referral to other healthcare providers, and triage of certain patients (e.g., psychiatric
830 patients without active medical problems) to facilities other than the ED are within the skill set of
831 advanced field EMS providers. Accountability and reimbursement models should evaluate the
832 effectiveness of these nontraditional outcomes of an Episode of Care and should ensure that
833 regional emergency care systems are set up to reward cost-effective care.
834

835 6.3 Feedback

836 Patient outcomes of Episodes of Care are important measures for systems of emergency care, ,
837 but they should not be the sole determinant of a system's efficiency or effectiveness. Measure
838 development within this domain should also focus on evaluating whether a given measure or
839 measure concept has a strong evidence base that links the overall outcome of an Episode of Care
840 to other prior components of that episode (e.g., intermediate outcomes of individual service units
841 within an Episode of Care should reliably predict the overall outcome of the episode). In
842 addition, systems should incorporate mechanisms to mandate system-level feedback of outcomes
843 to earlier phases in the episode. Feedback should occur across and between all phases in an
844 Episode of Care, but outcome information in particular should be integrated into process
845 improvement. Lastly, before consequences are attached to failure to meet outcomes, measures

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846 should be validated as true system-level measures, and measures should produce reliable results
847 across other similar systems.

848

849 **Guiding Principles**

850 The following principles are overarching themes intended to provide direction to the standard
851 implementation of the RECS framework and future development of measures and measure
852 concepts within regionalized emergency care systems.

- 853 1. Regionalization of emergency care is a method of matching resources to needs in a timely
854 fashion with the goal of improving patient-oriented care outcomes and population health.
855 Regionalization does not equal “centralization” of care.
- 856 2. The effective delivery of regionalized emergency medical care services requires ongoing
857 measurement and monitoring of system capabilities and capacity to ensure that the
858 appropriate workforce (including appropriate specialty care) and infrastructure resources
859 are available when and where they are needed.
- 860 3. Identifying and evaluating measures of whole systems of emergency care is difficult but
861 essential. Future RECS measurement should strive to effectively measure system
862 components as well as the system as a whole.
- 863 4. Measures used to judge the effectiveness of a system should include patient-oriented
864 outcomes and patient-centered processes of care. Desired outcomes should consider
865 patient preferences and experiences, and RECS models should inform patients such that
866 the system is accountable to the patient as well as the healthcare system.
- 867 5. System evaluation should promote transparency as well as shared benefits and
868 accountability for the system’s successes and failures across service units within the
869 system.
- 870 6. The development of regionalized emergency medical care services is an ongoing process
871 with flexible and adaptive structure and process elements. Valid system-level measures
872 should detect and recognize improvement (or lack thereof) due to changes to a system’s
873 component parts and the communication and coordination between them.
- 874 7. Regionalized emergency care systems should exist for the public good and should fully
875 integrate with each other in a transparent, shared model (rather than isolated disease-
876 specific, facility-specific silos) with a common oversight structure (taking into
877 consideration federal regulations) regardless of geopolitical boundaries in order to
878 provide optimal care for a population.
- 879 8. RECS measurement should be data driven. Data on RECS structures, processes, and
880 outcomes, as well as on the populations that the systems serve, should be collected,
881 shared, and utilized to validate evidence-based RECS measures and measure gaps.

882

883 **Measure Evaluation Criteria**

884 The following are general Measure evaluation criteria against which measures can be assessed to
885 ensure that they evaluate important areas of RECS performance, are reliable and valid, can be
886 understood by intended audiences, and are feasible to carry out. These measure evaluation

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887 criteria are informed by NQF and other sources,¹⁴⁵⁻¹⁴⁷ and the descriptions below are placed into
888 the context of measuring regionalized emergency care systems. NQF evaluates measures against
889 these criteria when making endorsement recommendations.

890

891 Although the Framework should identify areas where no measures exist, it is important that
892 measure developers consider these criteria when measures and measure concepts are identified
893 and when the endorsement process begins.

- 894 a. **Importance:** Whether or not the measure evaluates a component of healthcare that is
895 clinically relevant or notably contributes to care within a regionalized emergency care
896 system.
- 897 b. **Scientific Acceptability:** How well the measure is defined, supported by evidence, and
898 valid and reliable.
- 899 c. **Usability:** Whether the measure is meaningful to the intended audiences and whether the
900 relationship between measure use and intended outcomes is of sufficient magnitude to be
901 important and quantifiable.
- 902 d. **Feasibility:** Data for the measure calculations are readily available across systems of
903 care, and the implementation of the measure (or subsequent intervention(s) to improve
904 the measure) is cost-effective.

905

906 IV. FURTHER EFFORTS IN MEASURING REGIONALIZED EMERGENCY 907 MEDICAL CARE SYSTEMS

908 Several groups have previously identified and developed performance measures within various
909 service units that comprise regionalized emergency medical care systems.¹⁴⁸⁻¹⁵⁸ These measures
910 mostly evaluate individual elements of care, are at various stages of development, and vary
911 widely in the manner in which they are used.¹⁵⁹ Rarely have measure development efforts had the
912 primary purpose of identifying or promoting performance measures that systematically approach
913 regionalized emergency care. . This Framework is meant to add to earlier efforts by proposing a
914 standard structure to evaluate regionalized emergency medical care services using Episodes of
915 Care. By evaluating systems using comprehensive domains and a longitudinal approach through
916 an episode of critical illness or injury, healthcare delivery for time-sensitive, life-threatening
917 conditions can be improved.

918

919 In addition, this Framework's approach easily highlights areas within regionalized emergency
920 medical care services where there are measurement gaps. These gaps may be in areas where
921 measures should improve in terms of validity and reliability or in areas where measures do not
922 exist at all. Areas identified for further research include:

923

- 924 a. The need for development of new measures or adaptation of existing measures to ensure
925 patient-oriented measurement of systems, not merely isolated elements of systems.

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- 926 b. A focus on measuring transitions and communication between service units within
927 regionalized systems, because prior efforts have largely focused on the function of the
928 units themselves.
- 929 c. Further evaluation of concepts of system capability, capacity, and access on the
930 utilization and growth of regionalized emergency care systems. Although unscheduled,
931 episodic care at varying levels of acuity is provided in both in- and out-of-hospital RECS
932 settings, this project's focus is on measuring systems of care for time critical, potentially
933 life-threatening clinical conditions. Nonetheless, the effectiveness and capacity of
934 regionalized emergency care systems are inextricably linked to the increasing challenges
935 of such systems to provide unscheduled, episodic care to other patients at the same time
936 in the same systems and locations.
- 937 d. A focus on communication between service units (e.g., the EMS system and EDs),
938 emphasizing electronic technology and industrial engineering concepts to improve
939 system efficiency and preparedness for system strain and surge.
- 940 e. Identification of measures or measure concepts that support effective and efficient
941 continued development of healthcare delivery systems.
- 942 f. Identification of measures or measure concepts to evaluate care in areas where there are
943 current measurement gaps (e.g., critical care medicine, toxicology, psychiatric care).
944 Gaps include areas where measures exist but are not sufficient, areas where measures
945 require development to ensure that they are valid indicators of system performance, and
946 areas where no measures exist at all. New measure concepts should support a RECS
947 delivery system that is improved in both efficiency and quality.
948

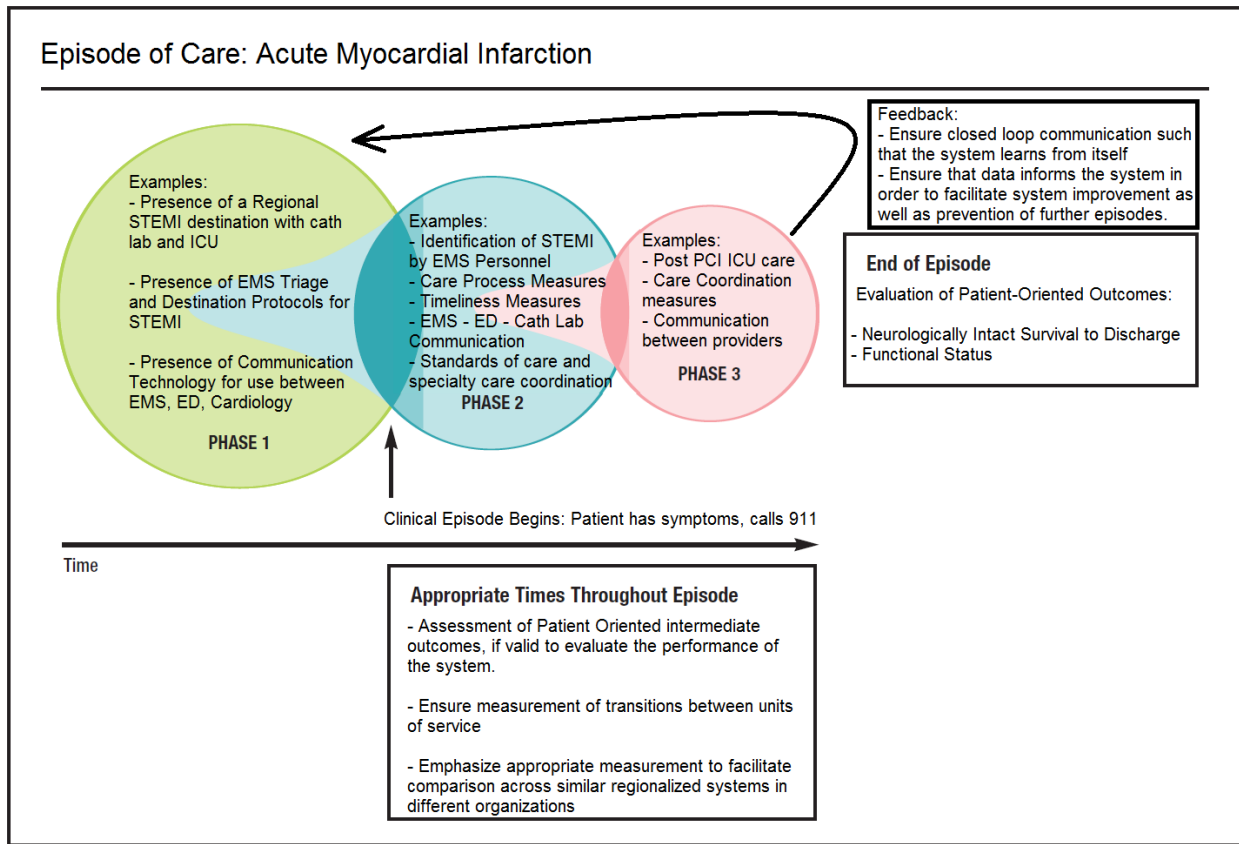
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V. APPENDIX A – EXAMPLE OF AN EPISODE OF CARE EVALUATION WITHIN REGIONALIZED EMERGENCYCARE SYSTEMS: ACUTE MYOCARDIAL INFARCTION

953 To exemplify the Framework’s approach to providing a context for RECS measurement, an
954 evaluation of an acute myocardial infarction (AMI) episode of care is provided below.

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966

Example measures within the Framework domains are categorized by the episode phases. These measures are included here to represent the broad and inclusive measure set that would be highlighted via use of the Framework and domains rather than to indicate their necessity or validity for evaluating AMI care. In some cases, measures are in use and NQF-endorsed[®], while in other cases, measure concepts become obvious when AMI care is considered in this manner (e.g., further development of measures of care coordination).

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967 Phase I

968

969 Measurement of AMI care should begin with an evaluation of the structures in place to provide
970 needed care. Relevant domains include: Capability, Capacity, and Access, Recognition and
971 Diagnosis, Resource Utilization, and Coordination of Care. Examples of measures and measures
972 concepts include, but are not limited to:

973

974 • Do regionalized systems have the capacity to meet AMI care demands (e.g., are there
975 enough percutaneous coronary intervention suites and intensive care unit beds, are staff
976 available 24 hours/day)?

977 • Do EMS systems have policies and protocols in place to maximize efficiency and
978 timeliness when treating AMI (e.g., capability to perform pre-hospital
979 electrocardiograms)?

980 • Do EMS systems have plans to transport to appropriate destinations within regionalized
981 systems of AMI care?

982 • Is technology in place to facilitate the efficient communication and transfer of data
983 between service units (e.g., pre-hospital electrocardiograms to the ED and/or hospital
984 catheterization lab)?

985

986 Phase 2

987

988 As a clinical AMI episode begins, care measurement should continue with an evaluation of the
989 processes and outcomes associated with that care. All six domains are relevant in this phase.
990 Examples of measures and measure concepts include, but are not limited to:

991

992 • Did the 911 telecommunicator recognize the onset of a time-critical illness and initiate
993 appropriate triage and emergency system response?

994 • Did the EMS system respond, confirm the diagnosis of a time-sensitive AMI, and begin
995 treatment and transport in a timely fashion?

996 • Were standards of medical care met (e.g., appropriate medication administration, timely
997 reperfusion therapy)?

998 • Were standards of communication between service units met?

999 • Did the system effect proper treatment and transport to the most appropriate end-
1000 destination care setting for consideration and possible receipt of definitive specialty care
1001 that is not universally available within a regionalized system?

1002

1003 Phase 3

1004

1005 As care for an AMI episode continues, measurement should focus on whether care is of a
1006 consistently high level and coordinated and on whether patient-oriented outcomes representative
1007 of high-quality care are being met. Relevant domains include: Resource Utilization, Medical
1008 Care, Coordination of Care, and Outcomes. Examples of measures and measure concepts
1009 include, but are not limited to:

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- Did the various medical providers across phases adequately communicate vital information to each other and to the patient?
 - Does ongoing time-sensitive care (e.g., medical critical care, post-AMI care) continue to meet accepted and evidence-based standards?
 - Did appropriate patient care records accompany the patient from the beginning to the end of the Episode of Care in a timely fashion?
 - Does the system have adequate data collection and distribution procedures such that the patient is well informed and the components of the system (e.g., emergency medical dispatchers and field EMS providers) can be evaluated and improve performance?

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VI. APPENDIX B: GLOSSARY

1023 The glossary's purpose is to further explain terms used in this report that may be industry-
1024 specific to regional emergency care systems or healthcare in general. The terms are explained
1025 here as they relate to this project's purposes and were derived from multiple sources, including
1026 the expert opinions from the RECS Steering Committee.

1027

1028 **Ambulance Diversion:** The process and practice of preventing field EMS units (ambulances)
1029 from bringing unscheduled patients to an emergency department (ED), usually because of the
1030 ED's or hospital's crowding and lack of capacity. The ambulances are "diverted" from a closer
1031 or intended ED "at capacity" to a farther or unintended hospital ED.

1032

1033 **Boarding ("ED Boarding"):** The practice of retaining patients in the ED for an extended period
1034 of time. Usually, the term refers to patients who are admitted to the hospital but remain in the ED
1035 (perhaps overnight, or even for days) because of a lack of hospital bed availability, or other
1036 hospital-capacity problems.

1037

1038 **Centralization:** In contrast to "regionalization," centralization or "centralized care" refers to the
1039 unplanned referral and transport of patients to one location from another, without primary focus
1040 on patient-oriented processes and outcomes, or system-level feedback.

1041

1042 **Crowding ("ED Crowding"):** A condition in which the number of patients needing ED
1043 evaluation and treatment exceeds the capacity and current resources of the ED. In addition,
1044 crowding may exist when there is a significant delay in treatment of patients needing emergency
1045 care because of the number of patients requiring evaluation and treatment at a given time.

1046

1047 **Emergency Care, or Emergency Medical Care:** The treatment of high-acuity or potentially
1048 life-threatening medical or traumatic conditions in an expedited fashion, recognizing that timely
1049 care of emergent patients may prevent mortality or significant morbidity. Emergency care is a
1050 distinct type of care that is separate from other types of medical care that often occur in the same
1051 setting as emergency care. For example, "Emergency" departments and "emergency" medical
1052 services exist, in part, to provide unscheduled, episodic care for patients. However, much of the
1053 care that is provided in these "Emergency" settings, while unscheduled, is not potentially life
1054 threatening and/or does not require timely evaluation and treatment to prevent the worsening of a
1055 condition or death. For this project's purposes, the term "emergency care" (context: regionalized
1056 emergency care systems) refers to the subset of unscheduled care that is of high acuity or
1057 potentially life threatening.

1058

1059 **Emergency Medical Dispatch (EMD):** Commonly known as "the 911 call center," EMD
1060 encompasses the people and protocols that comprise a planned system of receiving emergency
1061 calls for service, evaluating and triaging those calls, providing medical help and instructions to
1062 callers, and directing and managing responding emergency services vehicles and personnel.

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Field Emergency Medical Services (EMS): Denotes the pre-hospital component of the broad term “emergency medical services” and includes: out-of-hospital EMS practitioners, such as emergency medical technicians and paramedics (i.e., first responders); initial out-of-hospital treatment; and transport, by air or by ground, to a hospital.

Service Unit: A discrete component of a certain type that provides a specific service or type of care to a patient within a regionalized emergency medical care system. Service units interact and transfer patients between each other within a care network. Emergency medical dispatchers, field EMS, the ED, the operating room, and the intensive care unit are all examples of service units.

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1106 VII. APPENDIX C: PROJECT STEERING COMMITTEE

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1110

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