



TO: NQF Members & Public

FR: NQF Staff

RE: Voting draft for *Evaluating Regionalized Emergency Medical Care Systems Using an Episodes of Care Approach*

DA: September 28, 2011

BACKGROUND

This framework provides guidance for the measurement of regionalized emergency medical care systems (REMCS). The guidance is intended for use by stakeholder groups and individual measure developers. It provides a roadmap for introducing and developing REMCS measures, and includes guiding principles, domains, and subdomains to help specify key areas for that development of measures. It does not recommend individual performance measures (or specific clinical areas) for endorsement. This framework offers an approach to help increase the value and usefulness of measures developed for REMCS, and to stimulate action toward the improvement of REMCS.

Comments and Revised Draft Report

The comment period for the draft framework report, *Evaluating Regionalized Emergency Medical Care Systems Using an Episodes of Care Approach*, concluded on August 23, 2011.

NQF received 43 comments from 22 organizations on the draft report. The distribution of comments by Member Council follows:

Consumers: 0	Health Professionals: 7
Purchasers: 5	Public Health/Community: 1
Health Plans: 2	Quality Measurement, Research, and Improvement: 4
Providers: 6	Supplier and Industry: 0
Non-members: 18	

All comments on the framework were referred to the project’s steering committee. A table of complete comments submitted during the comment period, with the responses to each comment and the actions taken by the committee, is posted to the project webpage. Revisions to the draft report are identified below as redlined changes.

COMMENTS RECEIVED

Overall, the comments were positive and supportive of the framework. The majority of concerns raised focused on the need to clarify the goals of the framework report. Commenters felt it was important that this report set a clear path for future measure development, and that it articulates how regionalized emergency medical care systems and individual patient populations can be evaluated with the episodes of care model used for this framework report.

CHANGES TO FRAMEWORK IN RESPONSE TO COMMENTS

The Committee reviewed each of the comments during its September 1st, 2011 conference call. During that discussion, the committee agreed to revisions to the framework including:



EPISODES OF CARE MODEL:

The Episodes of Care (EOC) model, proposed within the framework to evaluate REMCS, was extensively discussed and overwhelmingly supported by the Steering Committee. The Committee felt that it allows for the discussion and conceptualization of all types of measures, while maintaining a patient-centered approach. Concerns about this model, however, were expressed by different commenters. Individuals questioned whether the EOC model allows for the evaluation of multiple patients in individual systems (and comparisons across systems), and that it may be perceived as an outcomes-based approach focused on a single patient, not appropriate for an effort which should also incorporate structural and process-based measurement at the system level. In response, sections were added to the framework (“Patient Oriented Care and Patient Centered Care” and “Additional Importance of Measuring the Performance of Underlying Systems”) to focus on the advantages and limitations of the EOC model, and the Committee reiterated the importance of patient-oriented system-level evaluation. The new sections also note that as the model captures individual points in an episode of care and transitions between those points, it allows for continuous comparison of the system as a whole. While the model does not inherently cause comparisons across systems, it does focus on creating a platform for the continuity of patient care within an individual system. This facilitates comparing episodes of care across other systems and organizations for a range of emergency clinical conditions.

PURPOSE

Sections were added to the executive summary and introduction to better emphasize the purpose of the REMCS framework -- “The purposes of this framework for REMCS measurement are to guide the identification and subsequent improvement of performance measures and to identify where gaps exist in measures and measure concepts, thereby designating areas for future research and measure development.”

“SYSTEMNESS”

To further address concerns about the episodes of care model, this revised version includes an updated section on systemness entitled: “*Additional Importance of Measuring the Performance of Underlying Systems.*” The new language notes how the episodes of care model can be modified for both individual patient populations and system-wide concerns.

NEXT STEPS

Another key change is that this revised framework further articulates how it can be used as a tool for measure development. It reads, “The next steps of this work include a review of this framework’s domains, subdomains, and guiding principles by measure developers and stakeholder groups. The components of the framework should serve as a roadmap to measure developers and stakeholders for introducing and developing of individual performance measures within REMCS.”

NQF MEMBER VOTING

Information for electronic voting has been sent to NQF Member organization primary contacts. Accompanying comments must be submitted via the online voting tool.

Please note that voting concludes on Thursday, October 13, 2011 at 6:00 pm ET – no exceptions.

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NQF MEASUREMENT FRAMEWORK:

**EVALUATING REGIONALIZED EMERGENCY MEDICAL CARE SYSTEMS USING
AN EPISODES OF CARE APPROACH**

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1 I. EXECUTIVE SUMMARY

2 The Institute of Medicine recently highlighted the strain on the nation’s emergency medical care
3 systems and called for analysis and improvement of these systems.^{1,2} The concept of
4 “regionalization” has been identified as a potential method for improving emergency medical
5 care through efficient resource utilization.³ Additionally, performance measurement has been
6 recognized as an important method for evaluating healthcare in general, including emergency
7 services. By using valid and reliable measures of healthcare performance, stakeholders can set
8 benchmarks for evaluating and improving healthcare delivery to patients.
9

10 The National Quality Forum (NQF), a primary standards-setting organization for performance
11 measurement, uses a formal Consensus Development Process (CDP) to endorse healthcare
12 performance measures, including measures of quality and resource use.⁴⁻⁶ Given the healthcare
13 system’s current focus on regionalization as a model for improving the efficiency and
14 effectiveness of emergency care systems, NQF has begun a multiphase project to identify and
15 endorse performance measures of regionalized emergency medical care systems (REMCS). As
16 part of the project, NQF convened a workgroup, including a Steering Committee of national
17 experts, staff from the U.S. Department of Health and Human Services, and a team from the
18 University of North Carolina at Chapel Hill, to develop a framework to guide measurement of
19 regionalized emergency care systems. The completed framework can then be used to guide the
20 broader process of measure development. Measure developers from across this area of
21 healthcare can use the framework to develop and submit measures to NQF for consideration as
22 voluntary consensus standards.
23

24 The purpose of this report is to:

- 25 • provide context and direction to key healthcare system stakeholders regarding the
- 26 evaluation of regionalized emergency care systems;
- 27 • propose a mechanism for identifying the current measurement landscape within
- 28 regionalized emergency care systems, as well as gaps in measurement; and
- 29 • identify where performance measures are needed in this healthcare area, and serve as a
- 30 catalyst for future development of measures and measurement concepts.
31

32
33 By analyzing the effectiveness of current systems and identifying gaps in measurement, NQF
34 aims to establish a roadmap for regionalization of emergency systems at the national, state, and
35 regional levels.
36

37 The REMCS Framework consists of the following:

- 38 1. definitions and key terms to establish a common vocabulary for understanding constructs
- 39 within this REMCS project. A glossary clarifies specific terms and concepts;
- 40 2. delineation of the framework’s purpose;
- 41 3. Episodes of Care paradigm;
- 42 4. domains and subdomains of REMCS measurement;

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- 43 5. guiding principles, which are broad themes integral to regionalized emergency medical
44 care systems as a whole, and are intended to provide scope and direction for service and
45 measure development in this healthcare area; and
- 46 6. criteria for evaluating measures within the framework per NQF guidelines.

47
48 Of note, this framework does not contain a catalog or evaluation of specific measures. It does not
49 introduce, propose, or develop specific performance measures, nor does it endorse specific
50 clinical areas or metrics for care.

51
52 The next steps of this work include a review of this framework’s domains, subdomains, and
53 guiding principles by measure developers and stakeholder groups. The components of the
54 framework should serve as a roadmap to measure developers and stakeholders for introducing
55 and developing of individual performance measures within REMCS. Please see Appendix A of
56 this report for further information about the criteria by which NQF evaluates measures for
57 endorsement.

58 **Key Elements of the REMCS Measurement Framework**

59 ***Terms and Definitions***

60 *Regionalization*⁷⁻¹⁰ is defined as an established network of resources that delivers specific care
61 (e.g., protocols, definitive procedures, higher care levels or care pathways) to a defined
62 population of patients or within a defined geography. These defined populations or geographies
63 can be self-organized groupings, dependent upon the episode of care being considered.
64 Regionalization requires planning and cooperation to ensure patients have timely access to the
65 appropriate level of care based on their needs. Regionalized care does not necessarily equal
66 centralized care.

67
68 Regionalized emergency care systems¹¹⁻¹⁵ are deliberate and planned networks of both in- and
69 out-of-hospital resources that deliver clinical services to a population of patients defined by
70 having potentially life threatening acute illnesses or injuries.

72 ***Framework Purposes***

73 The purposes of this framework for REMCS measurement are to guide the identification and
74 subsequent improvement of performance measures and to identify where gaps exist in measures
75 and measure concepts, thereby designating areas for future research and measure development.

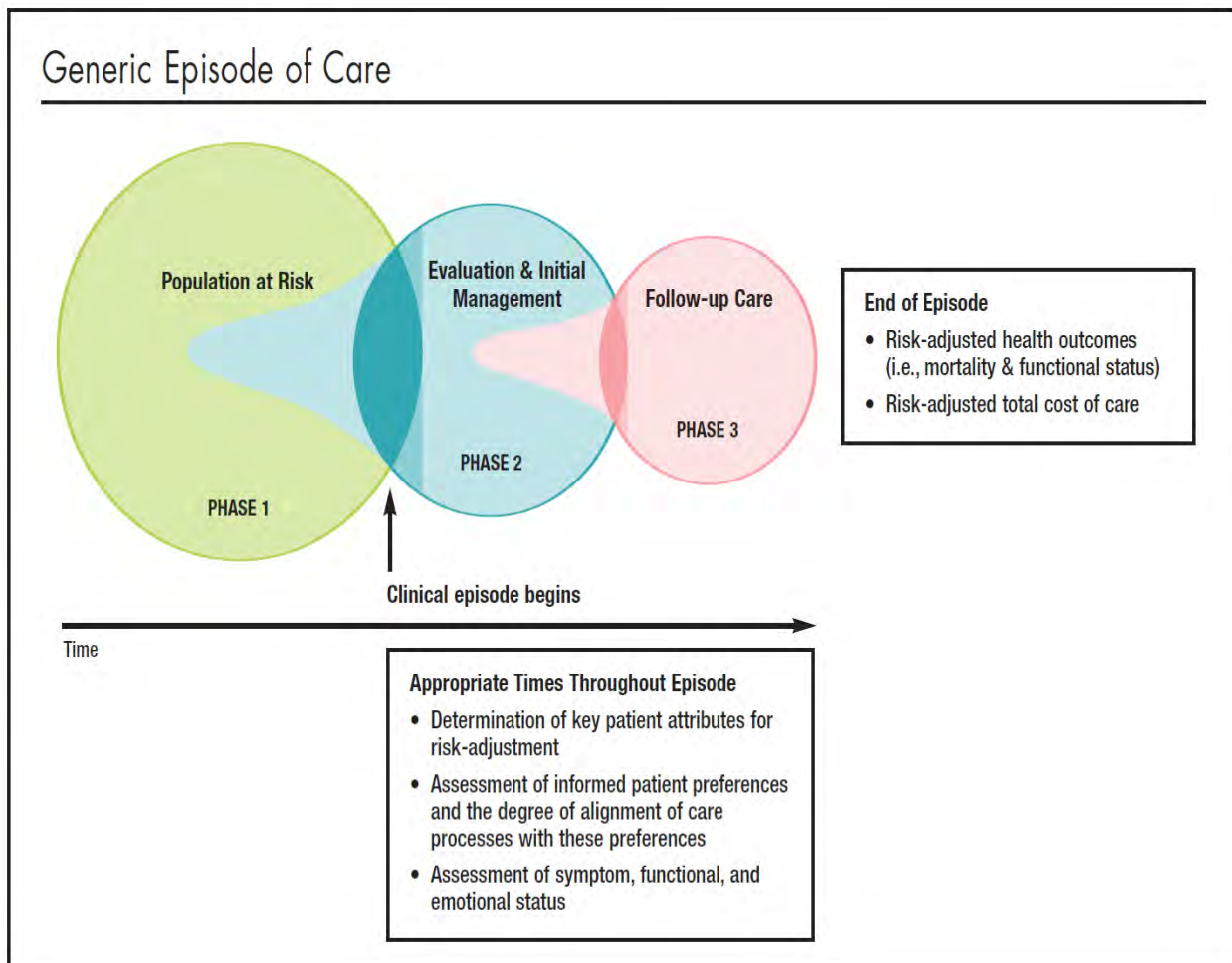
76
77 In addition, the framework provides a conceptual model for evaluating regionalized emergency
78 medical care at the system level. Although earlier measurement efforts focused on discrete parts
79 of a system,¹⁶⁻¹⁸ new models should focus on evaluation of the integration of the discrete service
80 units that make up a system as well as the entire system.¹⁹⁻²⁵ A major goal of the Framework is to
81 provide the context for evaluating the system as a whole, rather than just its component parts.
82 Thus, the Framework would not only allow for the categorization of individual measures, but
83 also would identify measurement bundles or possible composite measures for system-level
84 evaluation in this healthcare area.

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86 **Episodes of Care Approach**

87 | To evaluate healthcare delivery to patients within regionalized emergency medical care systems,
88 | a continuous, longitudinal paradigm can provide structure for evaluating care from the moment it
89 | begins until definitive treatment is concluded, that is, an “episode of care.” While an episode of
90 | care can be a conceptual model for tracking care over time, measurement within this model
91 | should consider the actual clinical effect of care on a patient or population, and should allow
92 | auditors to evaluate specific data associated with clinical care to patients. Figure 1 illustrates a
93 | generic episode of care, tracking a patient through multiple phases of care over time, as
94 | conceptualized in a earlier NQF framework.²⁶

95



96

97 | Figure 1: A generic episode of care (taken from *Measurement Framework: Evaluating Efficiency Across*
98 | *Patient-Focused Episodes of Care*).²⁷

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105 **Domains**

106

107 The following domains and subdomains represent the comprehensive and essential components
108 of REMCS measurement, and should guide future measure development. They are specific areas
109 that measure developers can focus on to identify and create measures:

110

111 1. Capability, Capacity, Access

112 1.1 Public Health Initiatives

113 1.2 Pre-hospital capabilities

114 1.3 Real-time capacity information

115 1.4 Categorization of participating agencies, organizations, and facilities

116 1.5 Preparedness, monitoring, and data sharing

117 1.6 Enabling legal and regulatory framework

118

119 2. Recognition and Diagnosis

120 2.1 Community awareness

121 2.2 Training

122 2.3 Technology

123 2.4 Evidence-based pathways

124

125 3. Resource Matching and Use

126 3.1 Guidelines and evidenced-based triage and protocols

127 3.2 Tele-health

128 3.3 Efficiency and overuse

129

130 4. Medical Care

131 4.1 Care provided by bystanders

132 4.2 Pre-hospital and EMS-provider care

133 4.3 Emergency department care

134 4.4 Inpatient care

135 4.5 Care of special populations

136

137 5. Coordination of Care

138 5.1 Governance and shared accountability

139 5.2 Handoffs and transitions

140 5.3 Communication

141

142 6. Outcomes

143 6.1 Access to data

144 6.2 Data linkage across settings of care

145 6.3 Feedback

146

147

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149 **Guiding Principles**

150 The following principles are overarching themes intended to provide direction to the standard
151 implementation of the framework and to the future development of measures and measure
152 concepts within regionalized emergency medical care ~~services~~systems. They are themes that
153 should be considered when designing performance measures of REMCS.
154

- 155 1. Regionalization of emergency care is a method of matching resources to patient needs in
156 a timely fashion with the goal of improving patient-oriented care outcomes and
157 population health. Regionalization does not equal “centralization” of care; it may involve
158 moving care resources to patients or patients to care facilities, depending on the needs of
159 the patient and the system’s capabilities.
160
- 161 2. The effective delivery of regionalized emergency medical care requires ongoing
162 measuring and monitoring of system capabilities and capacity to ensure that the
163 appropriate resources and workforce (including appropriate specialty care) are available.
164
- 165 3. Identifying and evaluating measures of entire systems of emergency care is difficult, but
166 essential. Measurement of regionalized emergency care systems should strive to measure
167 the effectiveness, cost-effectiveness, and efficiency of the system as a whole, as well as
168 individual system components. Measures used to judge the effectiveness of a system
169 should include patient-oriented outcomes, patient-centered processes of care, and
170 community-centered outcomes. Desired outcomes should consider patient preferences
171 and experiences, and REMCS models should ensure that the systems are accountable to
172 the patient as well as to the healthcare system.
173
- 174 4. System evaluation should promote transparency and shared accountability for the
175 system’s successes and failures across units of service within the system.
176
- 177 5. The development of regionalized emergency medical care systems is an ongoing process
178 with flexible and adaptive structural and process elements. Valid system-level measures
179 should detect and recognize improvement (or lack thereof) due to changes to a system’s
180 component parts and the communication and coordination between them.
181
- 182 6. Regionalized emergency care systems should exist for the public good and should fully
183 integrate with each other in a transparent, shared model with a common oversight
184 structure (taking into consideration federal, state, and local regulations) regardless of
185 geopolitical boundaries in order to provide optimal care for a population. Incentives
186 should be aligned so that a successful system yields positive outcomes and appropriate
187 compensation for each agency, organization, and facility within the system.
188
- 189 7. REMCS measurement should be data driven. Data on REMCS structures, processes, and
190 outcomes, as well as on the populations the systems serve, should be collected, shared,
191 and used to validate evidence-based REMCS measures and measure gaps.
192

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193 **Criteria for Evaluating Measures**

194 The following are general criteria by which measures can be assessed to ensure that they
195 evaluate important areas of REMCS performance, are reliable and valid, can be understood by
196 intended audiences, and are feasible to carry out. These measure evaluation criteria are informed
197 by NQF and other sources,²⁸⁻³⁰ and their descriptions are placed in the context of measuring
198 regionalized emergency care systems. NQF evaluates measures against these criteria when
199 making endorsement recommendations. Although the Framework should identify areas where no
200 measures exist, it is important that measure developers consider these criteria when measures and
201 measure concepts are identified and when the endorsement process begins.
202

- 203 a. **Importance:** Whether or not the measure evaluates a component of healthcare that is
204 clinically relevant or notably contributes to care within a regionalized emergency care
205 system.
- 206 b. **Scientific Acceptability:** How well the measure is defined, supported by evidence, and
207 valid and reliable.
- 208 c. **Usability:** Whether the measure is meaningful to the intended audiences and whether the
209 relationship between measure use and intended outcomes is of sufficient magnitude to be
210 important and quantifiable.
- 211 d. **Feasibility:** Data for the measure calculations are readily available across systems of
212 care, and the implementation of the measure (or subsequent intervention(s) to improve
213 the measure) is cost-effective.
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232 II. INTRODUCTION

233 Overview

234 Efficient resource use is paramount to providing effective, quality healthcare. The Institute of
235 Medicine (IOM) highlighted the strain on the nation’s emergency medical care systems and
236 called for analysis and improvement of these systems.^{31,32} Recently, the concept of
237 “regionalization” has been identified as a potential method for improving emergency medical
238 care through efficient resource use.³³

239
240 Although new models of regionalized care networks are under development,^{34,35} emergency care
241 services such as trauma, neonatal care, and poison control have been coordinated across
242 geographic areas for many years. More recently, care for patients suffering time-sensitive
243 emergency conditions, such as stroke and acute myocardial infarction (AMI), has been
244 regionalized on a statewide basis. As emergency care systems continue to expand in breadth and
245 scope, the healthcare system must evaluate their evolution to ensure they are optimizing resource
246 use and maximizing patient outcomes.

247
248 An important method of evaluating healthcare, including emergency services, is performance
249 measurement. The role of performance measurement in healthcare is well described by Pines et
250 al.,³⁶ who state: “Performance measurement...attempts to quantify the quality of care that
251 healthcare providers or organizations deliver, with the goal of comparing and improving it. The
252 basic principle is: ‘If you can measure it, you can manage it.’”

253
254 The National Quality Forum (NQF), a primary standards-setting organization for performance
255 measurement, uses a formal Consensus Development Process (CDP) to endorse healthcare
256 performance measures, including measures of quality and resource use.³⁷⁻³⁹ Given the healthcare
257 system’s current focus on regionalization, NQF has begun a multiphase project to identify and
258 endorse measures of regionalized emergency medical care systems (REMCS). The first phase of
259 the project has two parts: 1) completion of an environmental scan for projects and measures
260 related to regionalized emergency medical care systems to evaluate the current landscape of
261 performance measurement in this healthcare area, and 2) creation of a measurement framework
262 that can serve as a roadmap to evaluate measures and guide future measure development.

263
264 The environmental scan has been completed and publicly posted,⁴⁰ and it serves as a resource for
265 this report on a measurement framework for regionalized emergency medical care services. The
266 next phase of the project, if initiated, would utilize this framework and seek to endorse measures
267 as voluntary consensus standards.

268
269 Of note, this framework does not contain a catalog or evaluation of specific measures. It does not
270 introduce, propose or develop specific performance measures, nor does it endorse specific
271 clinical areas or metrics for care.

272
273 The next steps of this work would include a review of this framework by measure developers,
274 and the encouraged involvement of various stakeholder groups. The framework should serve as

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275 | [a guide to measure developers and stakeholders for the introduction and development of](#)
276 | [individual performance measures within REMCS.](#)
277

278 | **Strategy and Goals**

279 | To assess and improve the quality of regionalized emergency medical care systems, diverse
280 | stakeholders must embrace performance measurement as a pathway to improving healthcare
281 | quality. To create a framework that informs measure development in the area of regionalized
282 | emergency medical care, NQF convened a Steering Committee composed of national experts on
283 | emergency care and regionalization to collaborate with teams from the University of North
284 | Carolina-Department of Emergency Medicine and the U.S. Department of Health and Human
285 | Services (HHS). The goals of this collaboration are to:

- 286
287 | - utilize Steering Committee experts, HHS staff, the environmental scan,⁴¹ and other
288 | available resources such as the National Institutes of Health Emergency Research
289 | Roundtables,⁴²⁻⁴⁴ documentation from the 2010 Society for Academic Emergency
290 | Medicine Consensus Conference titled “Beyond Regionalization: Integrated Networks of
291 | Emergency Care,”⁴⁵⁻⁶⁶ and the 2010 Institute of Medicine Workshop on Regionalizing
292 | Emergency Care⁶⁷ to review the current landscape of regionalized emergency medical
293 | care [systems](#) to determine where we are and where we need to go for quality
294 | improvement in this healthcare area;
- 295
296 | - create a pathway for identifying measures, measure gaps, and measure concepts for
297 | regionalized emergency medical care [services-systems](#) to guide future research as well as
298 | measure development and endorsement; and
- 299
300 | - develop a comprehensive framework for measuring and evaluating regionalized
301 | emergency medical care [services-systems](#), including consensus definitions of key terms
302 | and guiding principles for future measure development.

303
304 | The ~~regionalized emergency care systems~~ (REMCS) framework contains:

- 305 | • definitions and key terms to establish a common vocabulary for understanding constructs
306 | within this REMCS project;
- 307 | • purpose of the framework;
- 308 | • presentation of the Episodes of Care paradigm;
- 309 | • domains and subdomains of REMCS measurement; and
- 310 | • guiding principles, which are broad themes integral to regionalized emergency care
311 | systems as a whole, and are intended to provide scope and direction for service and
312 | measure development in this healthcare area;
- 313 | • criteria for evaluating measures within the framework, as per NQF guidelines;

314
315

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316 III. FRAMEWORK FOR MEASURING REGIONALIZED EMERGENCY CARE 317 SYSTEMS

318 Key Terms and Definitions

319 The following terms and associated definitions are essential concepts for clarifying the meaning
320 of “regionalized emergency care systems.” These definitions represent the Steering Committee’s
321 collaboration and establish consensus within the context of this REMCS project. Please refer to
322 Appendix B for explanations of related terms and concepts.

323
324 Regionalization⁶⁸⁻⁷¹ refers to an established network of resources that delivers specific care (e.g.,
325 protocols, definitive procedures, higher care levels or care pathways) to a defined population of
326 patients or within a defined geography. Regionalization requires planning and cooperation to
327 ensure that patients have timely access to the appropriate level of care based on their needs.
328 Regionalized care does not necessarily equal centralized care.

329
330 Regionalized emergency medical care systems (REMCS)⁷²⁻⁷⁶ are deliberate and planned
331 networks of both in- and out-of-hospital resources that deliver clinical services to a population of
332 patients defined by having potentially life threatening acute illnesses or injuries.

334 Framework Purpose and Role of a Performance Measurement System

335 Performance measurement is a mechanism for assessing healthcare quality, including whether
336 care is safe, effective, patient-centered, timely, efficient, and equitable.⁷⁷ Through the
337 establishment of goals and benchmarks via measurement, healthcare delivery and quality can be
338 improved across these six aims. Key to this concept is establishing appropriate and valid metrics
339 for quality assessment. Regionalized emergency care systems could benefit from increased
340 performance measurement, although appropriate metrics to measure the systems are early in
341 development in many cases.

342
343 Healthcare leaders and organizations have embraced the concepts of performance measurement
344 to varying degrees across individual disease conditions, diagnoses, and “service units”⁷⁸ (911
345 system, emergency medical services [EMS], emergency department [ED]) within the REMCS
346 realm.⁷⁹ For example, the Centers for Medicare & Medicaid Services (CMS) follows several
347 “pay for performance” measures relevant to emergency services for cardiac disease,⁸⁰ and NQF
348 has endorsed several performance measures for emergency cardiac care.⁸¹ However, other areas
349 of care within regionalized emergency care systems (e.g., psychiatric care⁸²) have received less
350 attention in terms of performance measure development.

351
352 Also, multiple groups have developed data and information-gathering systems that can inform
353 performance measurement at a regional level. Examples include the National Emergency
354 Medical Services Information System (NEMSIS),⁸³ the Cardiac Arrest Registry to Enhance
355 Survival (CARES),⁸⁴ and the American College of Surgeons’ Committee on Regional Trauma
356 Systems Programs, including the National Trauma Data BankTM.⁸⁵⁻⁸⁷ Although these national-
357 level models and repositories are a rich source of planning and foundational data elements that
358 can and should aid performance measurement, and should be a primary source and reference for
359 measure developers in the future; they are currently not always utilized within regions and across

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360 the country for performance measurement of the system as a whole. Furthermore, even within
361 care pathways for a given clinical condition, performance measure development varies
362 depending on the service unit (e.g., NQF-endorsed[®] measures for stroke care essentially evaluate
363 ED-based care; stroke care measures for out-of-hospital EMS providers are less well
364 developed⁸⁸) and may not focus on the performance of the overall system.

365
366 | The purpose of this framework for REMCS measurement is not to only guide the identification
367 and subsequent improvement of performance measures, but also to identify where gaps exist in
368 measures and measure concepts, thereby designating areas for future research and measure
369 development.

370
371 In addition, the framework provides a conceptual model for emphasizing the evaluation of
372 emergency medical care within a population or geographical region, rather than within an
373 individual facility or single part of the system. Although earlier measurement efforts have
374 focused on discrete parts of a system,⁸⁹⁻⁹¹ new models should focus on evaluating the integration
375 of the discrete service units that make up a system, and how the entire system performs.⁹²⁻⁹⁸
376 Thus, a major goal of this framework is to provide the context for evaluating the system as a
377 whole, rather than just its component parts.

378
379 Although different perspectives could exist on whether a specific measure or set of measures or a
380 given database is valid for system-level evaluation, having a framework model provides a
381 context for that debate from multiple perspectives. It is conceivable that the proposed framework
382 will not only allow for the categorization of individual measures, but also will identify
383 measurement bundles, or possible composite measures for system-level evaluation in this
384 healthcare area.

385
386 The proposed framework is intended to be a comprehensive model for evaluating the broad
387 spectrum of structures and services that comprise regionalized emergency medical care. This
388 spectrum ranges from identification of the population at risk and the public health educational
389 and prevention initiatives targeted at that population, to initial out-of-hospital evaluation and
390 treatment, to definitive or ongoing care and system-level feedback. The framework should create
391 pathways for evaluating both the system components as well as the sum of the system's parts. It
392 should provide structure for both the organization of known measures and measures under
393 development. In addition, the structure should reveal areas of measurement gaps and
394 opportunities for future measurement concepts.

395 396 **Episodes of Care**

397 ***Rationale***

398 Given the complex nature of regionalized emergency medical care systems, it is challenging to
399 develop a model that captures its diverse components and measures the system as a whole. The
400 framework should evaluate healthcare delivery to patients, recognizing that healthcare delivery
401 occurs across and between multiple diverse settings and is provided by a range of practitioners.
402 Although medical treatments, infrastructure, and care pathway benchmarks should be

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403 individually evaluated, the transitions between them, as well as the resultant system-level
404 outcomes, also should be evaluated. While the episode of care can be a conceptual model for
405 tracking care over time, measurement within this model should consider the actual clinical
406 impact of care on a patient or population, and should allow auditors to evaluate data associated
407 with clinical care to patients. To evaluate healthcare delivery to patients, a continuous,
408 longitudinal paradigm can provide structure for evaluating care from the moment it begins to the
409 conclusion of definitive treatment to the provision of feedback, that is, the “Episode of Care.”

410 **Description**

411 Specifically, an “episode of care” is defined as “a series of temporally contiguous healthcare
412 services related to the treatment of a given spell of illness or provided in response to a specific
413 request by the patient or other relevant entity.”^{99,100} This theoretical construct, well described in
414 other NQF measurement frameworks, including “*Measurement Framework: Evaluating*
415 *Efficiency Across Patient-Focused Episodes of Care*,”¹⁰¹ allows for care to be evaluated over
416 time and across service units for a given episode. It takes into consideration the various settings
417 and care providers within an episode, as well as the transitions between them as the patient
418 moves through the healthcare delivery system. An episodes of care approach, given its continuity
419 through the patient’s experience, allows for evaluation of where measurement and measurement
420 gaps occur and of patient-centered outcomes. This approach may yield the most complete model
421 for evaluating care within regionalized emergency medical care systems.

422

423 **Focus**

424 Figure 1 above illustrates a generic Episode of Care, tracking a patient through multiple phases
425 of care over time. This conceptual model can be used in many healthcare realms to provide a
426 structure for evaluating healthcare delivery to a patient over time. The three phases of a generic
427 episode (population at risk, evaluation and initial management, and follow-up care) create a
428 foundation on which to base use of this model regardless of the type of illness or healthcare
429 problem (e.g., acute, chronic, time-sensitive, or long-standing).

430

431 For regional emergency care systems, the traditional focus within this paradigm has been on
432 Phase 2: Evaluation and Initial Management. Measurement in this phase primarily assesses the
433 clinical processes and outcomes (both intermediate and final) of care elements and care
434 pathways. For regional emergency care systems, these elements of care are not only clinical and
435 evaluative of units of service (e.g., did the AMI patient get an aspirin in the ED?) but also
436 structural and evaluative of the transitions between units (e.g., did the EMS system appropriately
437 communicate with the hospital?).

438

439 Yet all three phases of this model are relevant and important to consider when discussing newer
440 concepts of regionalized networks of emergency care. Phase 1, dealing with the “population at
441 risk,” may include planning and structural elements in place to evaluate and measure system
442 capabilities and capacities. For example, measurement in this phase may evaluate systems in
443 place to address ED boarding, crowding, surge capacity, and EMS ambulance diversion.

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445 In Phase 3, “follow-up care” may include maintenance of definitive treatment, or ongoing
446 treatment for time-sensitive illness or injury that occurs at specialty centers (e.g., ongoing
447 intensive care-level medical treatment after the evaluation and initial management of critical
448 illness or injury). Measurement in this phase may also focus on the end-of-episode evaluation of
449 care coordination and communication between medical providers and between service units
450 (although care coordination should occur across and between all of the phases). Phase 3 follow-
451 up also should include mechanisms for the system to evaluate itself.
452

453 Indeed, the episodes of care paradigm for regional emergency care systems must incorporate a
454 feedback pathway such that the system can evaluate performance, identify and implement
455 interventions, and demonstrate improvement. All phases, including Phase 3 when an episode
456 “ends,” should focus on measurements not only of definitive and ongoing care but also of system
457 performance, and should provide a mechanism for communication of those measurements to
458 Phase 1. The system should have the capability to ensure feedback to the population at risk and
459 the key components of all phases so that system structures and processes (e.g., field EMS
460 infrastructure, emergency medical dispatch, surge plans, and even patient-level prevention
461 strategies) can be flexible and adaptive over time.
462

Advantages and Limitations Patient Oriented Care and Patient Centered Care

466 The episodes of care approach has multiple advantages as a paradigm for evaluating regionalized
467 emergency care systems. This patient-centered approach not only focuses on healthcare
468 performance measurement, but also provides a pragmatic approach to determining measure
469 relevance (i.e., does what is being measured directly relate to ultimate patient outcomes). In
470 addition, this approach can incorporate important aspects of patient-centered care, including
471 preferences. In this manner, an episodes of care approach, while acknowledging that different
472 aspects of care are needed at different points in the episode, forces evaluation of the system as a
473 whole, rather than just its component parts.
474

475 This systems approach for an individual patient is also continuous and longitudinal, in contrast to
476 performance measurement within a specific healthcare setting (e.g. the ED), a specific provider
477 (e.g., emergency physician), or a specific disease (e.g., stroke) at a single point in time. The
478 entire set of service units for a given episode, and the transitions between them, can be seen as
479 potential targets for measure identification and development. The seamless nature of this
480 approach also readily allows for the identification of measurement gaps along the continuum of
481 interrelated services and care elements. Lastly, the continuity of this patient centered episode of
482 care model also allows for a more direct assessment of correlations between service units and the
483 overall outcomes of an episode of care.
484

485 It will be important to focus efforts on whether the care was appropriate for a given patient or
486 condition. For example, a patient may receive a timely procedure, facilitated by seamless
487 communication through service units in his or her episode of care, but the procedure may not be
488 what was indicated for that particular patient. This limitation can be partially addressed by
489 ensuring that patient-oriented outcomes are measured for a system and by placing special

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490 | emphasis on system components that are closely related to quality and appropriateness of care
491 | (e.g., accurate diagnosis).

492
493

494 | **Additional Importance of Measuring the Performance of Underlying Systems**

495 | Measuring could be seen as focusing exclusively on an individual patient's care experience, and
496 | not on the underlying emergency care and support systems. Important systems and population-
497 | level issues in emergency medical care may not be addressed adequately using this approach. For
498 | example, system load and capacity for multiple patients are not inherent to the episodes of care
499 | model (e.g., a regionalized system's performance in the event of a disaster or other mass-casualty
500 | incident). This limitation can be addressed by conceptualizing a modified episodes of care
501 | model: measurement of a system's preparedness, capability, and potentially expandable capacity
502 | in preparation for a clinical episode or across phases of care as a key component of Phase 1.
503 | Within the REMCS episodes of care model, emphasis is also placed on measuring a system's
504 | attempts to mitigate risk for a population (e.g., Phase 1 preparedness), the communication,
505 | coordination, and delivery of clinical care (Phase 2), and how that system performs when system
506 | load and capacity are stressed (e.g., Phase 3 performance and feedback to modify Phase 1
507 | structures).

508
509

510 | ~~The Episodes of Care approach has limitations that should be considered. First, this model~~
511 | ~~emphasizes measuring care as it occurs, and does not necessarily focus on whether the care was~~
512 | ~~appropriate for a given patient or condition. For example, a patient may receive a timely~~
513 | ~~procedure, facilitated by seamless communication through service units in his Episode of Care,~~
514 | ~~but the procedure may not be what was indicated for that particular patient. This limitation can~~
515 | ~~be partially addressed by ensuring that patient-oriented outcomes are measured for a system and~~
516 | ~~by placing special emphasis on system components that are closely related to quality and~~
517 | ~~appropriateness of care (e.g., accurate diagnosis).~~

518

519 | Another limitation is that this approach essentially focuses on Episodes of Care within a given
520 | regionalized system or organization and therefore does not inherently create comparisons
521 | between various organizations with similar systems. This approach focuses on creating a
522 | platform of continuity within a system, to evaluate completely that system's units and transitions,
523 | rather than on comparing similar elements and relationships across systems. For example, an
524 | episode of care paradigm may provide a thorough and novel approach to measuring a
525 | regionalized Segment Elevation Myocardial Infarction (STEMI) system, but may not necessarily
526 | identify or highlight subtle differences between one regionalized STEMI system and another.
527 | Although many systems may "meet" given performance metrics, important differences and
528 | efficiencies may be present across systems that would improve healthcare delivery and quality if
529 | applied broadly. Deliberate emphasis should be placed on comparing episodes of care across
530 | institutions for similar clinical conditions to take advantage of evaluating system-specific
531 | efficiencies that may translate to other organizations or systems. In addition, there is a need to
532 | compare and evaluate episodes of care for patients, time periods, and discrete events across
533 | REMCS systems.

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534 ~~Another limitation is that the Episodes of Care approach, at least in other areas of healthcare, can~~
535 ~~be seen as focusing on an individual patient's care experience. One could argue that broader~~
536 ~~population level issues, or even concerns regarding system load and capacity for multiple~~
537 ~~patients (e.g., a regionalized system's performance in the event of a disaster or other mass-~~
538 ~~casualty incident), are not adequately addressed using this approach. However, this limitation~~
539 ~~can be addressed by conceptualizing that measurement of a system's preparedness, capability,~~
540 ~~and potentially expandable capacity (Phase 1) before a clinical episode begins or across phases~~
541 ~~of care. Within the RECS Episodes of Care model, emphasis should be placed on measuring a~~
542 ~~system's attempts to mitigate risk for a population (e.g., Phase 1 preparedness), the~~
543 ~~communication, coordination, and delivery of clinical care (Phase 2), and how that system~~
544 ~~performs when system load and capacity are stressed (e.g., Phase 3 performance and feedback to~~
545 ~~modify Phase 1 structures).~~

546

547 **Domains and Subdomains**

548

549 The primary purpose of this framework is to define a structure for measuring regionalized
550 emergency care systems. The following domains comprise the necessary components of a
551 structure that includes and evaluates the diverse parts of this broad and unique healthcare area.
552 These domains facilitate the systematic evaluation of the many facets of regionalized emergency
553 medical care services that a patient might encounter, longitudinally, in a time-sensitive fashion,
554 during an episode of care.

555

556 Each domain includes an explanation of its subject matter and subdomains to further delineate its
557 components. Although the domains are meant to represent distinct parts of regionalized
558 emergency care systems, the measurement concepts discussed within each domain also may be
559 applicable to other domains. The domains and subdomains of measuring regionalized emergency
560 care systems include:

561

- 562 1. Capability, Capacity, Access
 - 563 1.1 Public Health Initiatives
 - 564 1.2 Pre-hospital capabilities
 - 565 1.3 Real-time capacity information
 - 566 1.4 Categorization of participating agencies, organizations, and facilities
 - 567 1.5 Preparedness, monitoring, and data sharing
 - 568 1.6 Enabling legal and regulatory framework
- 569 2. Recognition and Diagnosis
 - 570 2.1 Community awareness
 - 571 2.2 Training
 - 572 2.3 Technology
 - 573 2.4 Evidence-based pathways
- 574 3. Resource Matching and ~~Utilization~~Use
 - 575 3.1 Guidelines and evidenced-based triage and protocols

576

577

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- 578 3.2 Tele-health
- 579 3.3 Efficiency and overuse
- 580
- 581 4. Medical Care
- 582 4.1 Care provided by bystanders
- 583 4.2 Pre-hospital and EMS-provider care
- 584 4.3 Emergency department care
- 585 4.4 Inpatient care
- 586 4.5 Care of special populations
- 587
- 588 5. Coordination of Care
- 589 5.1 Governance and shared accountability
- 590 5.2 Handoffs and transitions
- 591 5.3 Communication
- 592
- 593 6. Outcomes
- 594 6.1 Access to data
- 595 6.2 Data linkage across settings of care
- 596 6.3 Feedback
- 597

Domain 1: Capability, Capacity, Access

599 An essential prerequisite to evaluating emergency medical care is to understand a regionalized
600 system's ability to provide for the emergency care needs of its population. This domain focuses
601 on measuring what a system can do (capability), how much it can do (capacity), and who can
602 enter the system and how they enter it (access).

603

604 1.1 Public health initiatives

605

606 Educational and preventive initiatives undertaken by the regional public health system
607 and local and regional emergency management systems can have a great impact on the use, and
608 hence the organization of regional emergency medical care systems. For example, timely and
609 effective public health announcements broadcast via electronic media outlets could limit the
610 numbers of individuals seeking care during seasonal epidemics of influenza like illnesses,
611 thereby decreasing the demand upon regionalized emergency care services. The extent to which
612 such services partner with local public health agencies in developing accurate and appropriate
613 messages for risk communication is therefore a vitally important measure of system
614 performance. In addition, emergency management coordination systems can be key links
615 between local and state-level emergency response to a broad geography of patients during mass
616 causality a number of events, from natural or man-made disasters to disease outbreaks.

617

618 1.2 Pre-hospital capabilities

619

620 A capable system that can expand and contract as capacity demands is suboptimal unless it can
621 be effectively accessed by the population that it serves. Evaluation of system capability,
622 capacity, and access is a broad and overarching theme of REMCS measurement that includes a

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623 range of concepts from measuring emergency medical dispatch (911 center) protocols and
624 processes, [to measuring the adequacy of emergency management plans and infrastructure](#), to
625 evaluations of numbers of field EMS service units, [to monitoring ED and hospital system status](#)
626 with technology such as regional “dashboards.”¹⁰² For example, whether or not ambulances are
627 being diverted from particular hospitals may be a surrogate indicator of a system’s overall
628 capability, capacity, and access.

629 1.3 Real-time capacity information

631
632 Measures of ED boarding and crowding (e.g., are patients being “boarded” in the ED, or is the
633 ED so crowded that patient care unacceptably delayed) should be readily available and regularly
634 updated (i.e., hour to hour). Measures also should evaluate the status of infrastructure and
635 processes to support regionalized emergency medical care systems. For example, technology
636 that assesses the current capacity and acuity within a given ED via electronic surveillance has
637 been shown to enhance capacity and patient flow across a regional system of hospitals, while
638 also decreasing ambulance diversion.¹⁰³ Measures that assess whether such systems are in place,
639 and their operational status and effectiveness, are within this domain’s realm.

640
641 In addition, this domain includes evaluation of the system’s capacity at baseline levels of care
642 demand, when imbalances occur within the system (e.g., one hospital within the system has
643 reached its patient capacity, causing ambulance diversion to other hospitals within the system),
644 during increased demand within a system (e.g., influenza season), and during an acute disaster
645 event (e.g., a weather event covering a large geographic area, mass casualty event, etc.). System
646 capacity needs can change rapidly. Therefore, measuring the immediate surge capacity of a
647 regional system to provide timely emergency care is critical.

648 1.4 Categorization of participating agencies, organizations, and facilities

649
650
651 There is a need to understand a system’s healthcare workforce and resources within a region in
652 order to provide appropriate care for a population. Thus, this domain includes measures of
653 personnel and facility resources, as well as of the presence and use of system infrastructure from
654 pre-hospital equipment and transportation to end-destination specialty services, hospital beds,
655 and intensive care units. Cataloguing a region’s medical providers (advanced practice medics,
656 specialty physicians) as well as its facilities (e.g., how many ambulances and trauma bays are
657 available in a system) can identify systems gaps as well as help plan for a disaster.

658 1.5 Preparedness, monitoring, and data sharing

659
660
661 This domain includes measurement of a system’s readiness for disaster or a mass-casualty
662 incident. The system should not only be prepared for such events, but also should be able to
663 maintain a state of readiness and communicate that readiness to system component agencies and
664 facilities, as well as other systems. Examples of current models that attempt to measure these
665 preparedness constructs include the Hospital Preparedness Program¹⁰⁴ of the HHS Office of the
666 Assistant Secretary for Preparedness and Response and the Centers for Disease Control and
667 Prevention’s Public Health Preparedness Capabilities.¹⁰⁵ These programs evaluate whether
668 hospitals¹⁰⁶ as well as state and local jurisdictions¹⁰⁷ achieve and maintain targeted infrastructure

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669 and capability requirements (e.g., interoperable communication systems, pharmaceutical caches,
670 emergency public information and warning, volunteer management) that would fall within the
671 realm of medical surge capacity. State and local emergency management officials and groups
672 often play a key role in ensuring that these targets are met, and may provide a context for
673 performance measurement in this area. Where possible, established common data systems such
674 as the National Emergency Medical Services Information System (NEMSIS), as referenced
675 above, should be utilized to facilitate data sharing. Such measurement constructs exemplify
676 Domain 1 and provide a foundation for evaluating critical REMCS structures, processes, and
677 outcomes.
678

679 | 1.6 Enabling a legal and regulatory framework for REMCS

680

681 Measurement within this domain encompasses whether or not a system is operating within its
682 relevant statutory limits. For example, various governmental strategies and regulations regarding
683 ambulance diversion¹⁰⁸ exist to decrease this practice and therefore affect system capability and
684 capacity. For more than 20 years, the Emergency Medical Treatment and Active Labor Act
685 (EMTALA)¹⁰⁹ has governed aspects of emergency care system access, communication, and
686 transfer. Measuring REMCS compliance with such statutes is within the realm of this domain.

687 Multiple federal and state agencies and statutes are involved in the regulation and oversight of
688 emergency care systems. Also, future changes in these legal and regulatory statutes may enable
689 or could impair will impact regionalization of care. Any changes should be reviewed and
690 monitored to ensure

691 As the ~~Institute of Medicine's~~ IOM's Committee on the Future of Emergency Care in the United
692 States Health System suggested, there is a clear need to address the issues surrounding the strain
693 on the capacity of the nation's emergency care systems. In addition, there is a clear need to
694 address the competition among health systems as a barrier to providing efficient, cost-effective
695 regionalized emergency care. One method of addressing these issues may be via REMCS
696 performance measurement. Measuring a system's ability to enhance its operational efficiency,
697 increase its use of technology, and generally improve its level of preparedness for an acute strain
698 on its capacity or a disaster may provide a regulatory framework for improvement in REMCS.
699

700 | **Domain 2: Recognition and Diagnosis**

701

702 Essential to measuring regionalized emergency care is the evaluation of how an episode of care
703 is initially recognized. As discussed, emergency care is defined in part by time-sensitivity.
704 Therefore, the rapid detection and identification of the nature of an emergency clinical episode is
705 important to assessing an episode of emergency care.
706

707 | 2.1 Community awareness

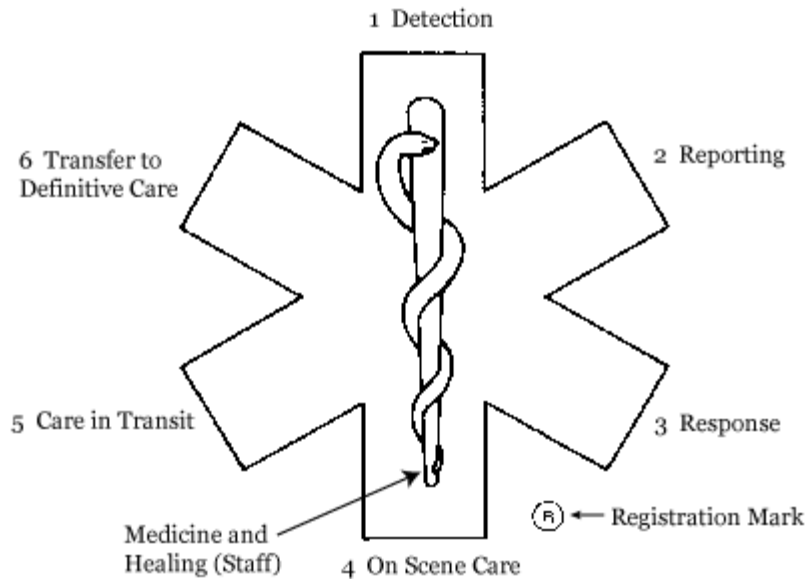
708

709 Early identification of critical illness and injury is a key function of the EMS system.¹¹⁰ Indeed,
710 the first point in the EMS "Star of Life" (Figure 2) represents "detection" as a primary function
711 of the system.¹¹¹ However, the EMS system must first be activated, which often occurs via
712 bystanders in the community. Indeed, bystanders not only are 911 callers, but also they often

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713 provide initial emergency medical care to patients by following the instructions of 911
714 dispatchers. Measures of a community’s involvement and participation in the emergency care
715 system (e.g., rates of CPR training and automated external defibrillator (AED) knowledge) are
716 within the realm of this domain.

717
718
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720



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724

Figure 2: The EMS “Star of Life” is the commonly known six-pointed cross, originally designed by National Highway Traffic Safety Administration (NHTSA), which represents the six functions of the EMS system.¹¹²

725 2.2 Training

726 Also within the realm of this domain are measures of both community and healthcare providers’
727 | training, as well as the training and education of patients and lay caregivers, in the recognition
728 and diagnosis of emergency conditions. Continuing education for both lay and professional
729 | healthcare providers, as well as bystanders and patients, is paramount to creating an environment
730 for high-quality care. Measures in this domain include benchmarks for EMS provider/personnel
731 | training, bystander and patient training, and physician and nursing continuing education.

732

733 2.3 Technology

734

735 Technological constructs to be measured in this domain include the 911 telecommunications
736 system, emergency response communication systems and networks, and data systems to identify
737 | and track episodes of care.¹¹³⁻¹¹⁵ Data and technology systems not only can assist in the
738 recognition of an episode, but also can catalog episodes for comparison, analysis, and
739 meaningful feedback across the phases of an episode of care.

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740 741 2.4 Evidence-based approaches 742

743 This domain also includes the recognition or initial diagnosis of an emergent clinical condition
744 that previously has been shown to be amenable to regionalized emergency medical care.
745 Measuring the performance of a regionalized care system first depends on the system being
746 activated when an episode of care meets the criteria for inclusion into such a system. Examples
747 of this concept include medical practitioner recognition of STEMI, acute stroke, and trauma
748 patients who meet the criteria to be transported to a trauma center.¹¹⁶⁻¹¹⁹
749

750 A particular focus of this domain is the reconciliation of the measurement gap between when an
751 episode of care actually begins and when an episode of care begins to be measured. The Steering
752 Committee agreed that an episode of care begins with the onset of patient symptoms, but
753 currently the first reliable measurement point may not occur until the patient (or bystander)
754 makes contact with the medical system (e.g., by calling 911 or walking into an ED). It is a
755 priority to measure both when the patient makes contact with the system, and the interval
756 between symptom onset and episode recognition. The establishment of evidence-based practices
757 | to measure this interval and the development of strategies to shorten or prevent this interval it
758 | should be developed.

759 760 | **Domain 3: Resource Matching and Utilization-Use** 761

762 At its most basic level, the regionalization concept is about matching resources to patients. An
763 emerging description of this concept is of a network model of emergency care that serves to “get
764 the right resource to the right patient at the right place at the right time.”¹²⁰⁻¹²² This domain
765 evaluates the structural and process components of regionalized emergency medical care.

766 3.1 Guidelines and evidenced-based triage and protocols 767

768 Matching patients to healthcare resources does not necessarily mean bringing the patient to the
769 resource facility. Whereas a centralized approach to regional care may result in patients being
770 | funneled one way to one hospital, a network model of REMCS demonstrates multi-directional
771 | flow of patients and resources across an interconnected web.^{123,124} This domain evaluates the
772 | structures and processes that make up that web. It identifies which patients need to be transferred
773 | and which can safely remain at decentralized facilities. For example, the standard use of accepted
774 | protocols for triage of trauma patients can help systems categorize patients who are appropriate
775 | for transfer to a trauma center, and those who may remain at an outside hospital. In addition,
776 | when inter-facility transfer should occur within a regionalized emergency system, this domain
777 | should evaluate the processes and care provided during the transfer.
778

779 | 3.2 Tele-hHealth

780 One gap that this domain should address is the need for infrastructures (e.g., communication
781 resources, availability of on-call specialty care) to allow a system to appropriately allocate
782 resources to a patient. For example, standardized communication and information technology
783 systems should be developed and measured that allow for patient tracking within a system, as
784 well as communication between “nodes” in the system web. One approach is the concept of

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785 | “tele-health,” whereby a remote resource (e.g., a specialist physician) ~~is able to~~can communicate
786 | electronically with a patient and other healthcare providers to care for the patient. Tele-health has
787 | been implemented as a successful method of delivering emergency care, as exemplified in
788 | Mississippi’s “TelEmergency” system.¹²⁵ Given that on-call specialist physicians may be a
789 | scarce resource within some regionalized systems,^{126,127} tele-health may represent an information
790 | technology model that can efficiently and cost-effectively bring vital care resources to patients
791 | across a wide geography.

792

793 | 3.3 Efficiency and overuse

794

795 | By cataloguing and measuring the availability and use of emergency care physical infrastructure
796 | and resources within a regionalized system, both redundancies and gaps can be identified and
797 | evaluated in an effort to create a system that is effective as well as efficient. Measuring the
798 | inventory of resource distribution within a system can improve coordination of timely medical
799 | care.¹²⁸ In addition, measuring whether a system’s component facilities and agencies
800 | appropriately ~~utilize~~use resources such as trauma triage ~~guidelines, interfacility transfer~~
801 | protocols, and tele-health can allow for evaluation of whether a system is efficiently using ~~or is~~
802 | ~~over- or under-utilizing~~ a particular resource.

803 | **Domain 4: Medical Care**

804 | This domain evaluates the actual medical care to patients within an episode of care. Measures
805 | within this domain attempt to answer the question: “Did the patient receive medical care that met
806 | accepted standards?” In other words, within the episode of care, did the patient receive care that
807 | was timely and in accordance with broadly accepted standards and protocols for a given
808 | emergency medical condition.

809

810 | Donabedian asserts that “outcomes... remain the ultimate validators of the effectiveness and
811 | quality of medical care.”¹²⁹ Thus, measuring the individual process steps in an episode of care, as
812 | well as the structural elements that support those outcomes, ~~-can be valuable, -~~This does
813 | ~~assume~~ing that the processes being measured are essential contributors to the success and
814 | effectiveness of the whole system. Measurement should focus on processes that can be validated
815 | as necessary components of a high-quality episode of care.

816

817 | This domain can be divided into five subdomains, based on where and to whom care is provided:

818

819 | 4.1 Care provided by bystanders

820

821 | As noted, bystanders play a critical role in the recognition and initial care of an emergency
822 | episode. Measuring the community-wide effectiveness of bystander care can be relevant across a
823 | broad range of emergency conditions, such as cardiac arrest and trauma.

824

825 | 4.2 Pre-hospital and EMS-provider Care

826

827 | ~~Measurement of all care provided by pre-hospital professionals, from emergency medical~~
828 | ~~responders to paramedics, is within the realm of this domain.~~Measurement of all care provided

20

NQF VOTING DRAFT – DO NOT CITE OR QUOTE

NQF MEMBER votes are due by October 13, 2011, by 6:00 pm ET

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829 | ~~by pre-hospital professionals, from firefighters to paramedics to helicopter EMS professionals, is~~
830 | ~~within this domain's realm~~ First responders and paramedics are traditionally the first
831 | “professional” caregivers in an emergency episode of care. Measuring their medical care
832 | includes not only considering traditional markers of appropriate emergency care practice, but
833 | recognizing pre-hospital providers’ ability to provide effective nontraditional care. For example,
834 | paramedics may provide effective treatment in the community without transport to the
835 | hospital.¹³⁰

836 |
837 | Indeed, the range of out-of-hospital care from medical professionals is broad. Aeromedical
838 | services provide an example of a broad range of care. Although aircraft (helicopters, fixed wing)
839 | are traditionally regulated by the Federal Aviation Administration, air ambulances are key
840 | components of regionalized emergency care systems. The Association of Critical Care Transport
841 | has recently supported legislation (i.e., the “Air Ambulance Patient Safety, Protection, and
842 | Coordination Act”) that aims to “ensure that patient safety, quality patient care and coordination
843 | of helicopter medical services are properly overseen by State emergency medical services (EMS)
844 | and public health authorities.” This legislation would integrate air ambulances more fully into
845 | regionalized emergency care systems by allowing state oversight of the care they provide just as
846 | states currently oversee ground ambulances, hospitals, and other healthcare entities.¹³¹

847 | 848 | 4.3 Emergency department (ED) care and 4.4 Inpatient care

849 |
850 | Most of the examples of measures within these subdomains are process measures that evaluate
851 | whether a standard of care was met. Examples include “Was aspirin given for acute MI?” and
852 | “Did the acute STEMI patient receive primary percutaneous coronary intervention (PCI) within
853 | 90 minutes of hospital arrival?” Indeed, process measures evaluating the clinical elements of ED
854 | and hospital care comprise the largest share of the currently well-defined and accepted
855 | performance measures of regionalized emergency medical care services.¹³²

856 |
857 | Nevertheless, there remains a need to identify and develop more comprehensive measures of
858 | quality medical care. Although many efforts have identified performance measures of ED and
859 | hospital-based emergency care, these measures often are not comprehensive in their assessment
860 | of “quality.” A recent analysis of existing pediatric emergency care performance measures¹³³
861 | indicated that measures most often focused on one aspect of care quality (timeliness) rather than
862 | on all six of the IOM’s quality domains (safe, effective, patient-centered, timely, efficient and
863 | equitable).¹³⁴ This analysis also highlights the need for measure developers to focus on
864 | systematic and comprehensive measurement of the quality of healthcare, rather than just how
865 | quickly it is provided.¹³⁵

866 | 867 | 4.5 Care of special populations

868 |
869 | Unique performance measures should be developed to evaluate care to unique patient groups,
870 | populations, and geographies. Performance measurement should take into account the logistical,
871 | budgetary, and personnel challenges of these groups, such as the many rural environments in
872 | which regionalized emergency care occurs. Furthermore, the care for caregivers and families
873 | should be considered, as well as the care of patients. For example, measuring the processes and

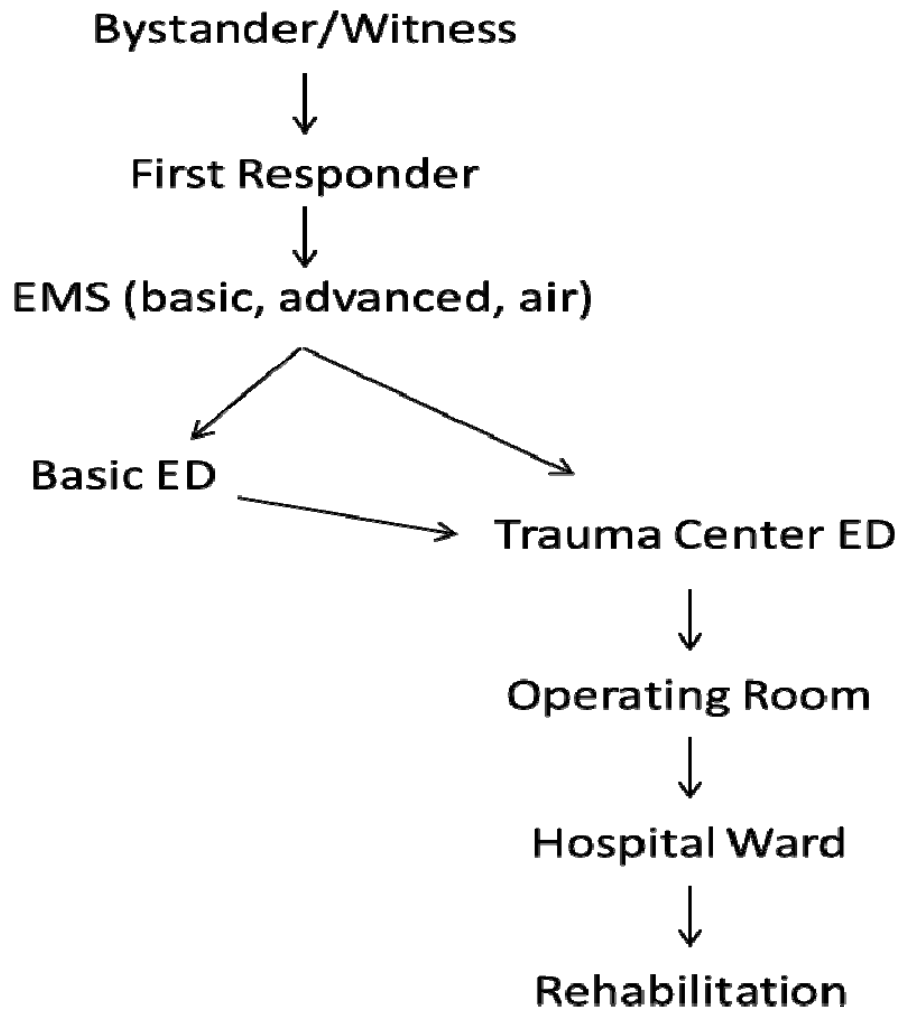
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874 | outcomes of a total system of care for pediatric and geriatric patients^{136,137} and their caregivers,
875 | or of psychiatric care, may be different than evaluating care for a myocardial infarction.¹³⁸
876 | Additionally, non-English speaking patients present a challenge to regionalized emergency care
877 | systems: Are interpreters available to each agency within a system? How do ambulance-based
878 | providers communicate with non-English speaking patients?

879
880 | **Domain 5: Coordination of Care**

881 | This domain evaluates the connections among the various “service units”¹³⁹ within an Episode of
882 | Care. Regionalized emergency medical care systems are comprised of many discrete components
883 | that must interact efficiently and effectively to achieve the maximum outcome for the patient.
884 | This concept is illustrated by Cairns et al.’s description of service units for a severely injured
885 | victim of a motor vehicle collision (Figure 3). In this example, the service units may include the
886 | EMS system, trauma center ED, operating room, etc.¹⁴⁰

887



888
889
890

Figure 3. Service units for a severely injured victim of a motor vehicle collision.¹⁴¹

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891 5.1 Governance and shared accountability

892 |
893 Emergency care coordination will require a common oversight structure and shared
894 accountability for system measurement and outcomes. Individual service units within a
895 regionalized emergency care system are inextricably bound to each other (via communication
896 and patient transfer) in an episode of care for a patient. Thus, the structures, actions, and
897 benchmarks of one system component affect other system components. A challenge of this
898 domain will be to identify an appropriate oversight structure and metrics that appropriately align
899 a service unit to system-level outcomes. Implementing common oversight and a shared-
900 accountability model that is fair to individual stakeholders and service units will be the key to
901 making a regionalized emergency medical care system work.

902 903 5.2 Handoffs and transitions

904
905 Regionalized emergency care systems are networks composed of individual parts, with each part
906 taking some responsibility for patient care at some point in the episode of care. The transitions
907 among service units and across network nodes are key places for measurement of system
908 functions. For example, there is a need for improved quality measurement for inter-facility
909 transfers, in addition to measuring care provided at each specific clinical site. Within a
910 regionalized system, important aspects of patient care are undertaken during transitions and
911 transports, and these steps should be measured. The “hand-off” of a patient from one node to
912 another is a critical juncture where communication and collaboration are vital to effective and
913 high-quality care. Measuring these handoffs and transitions within an episode of care is
914 important to assessing regionalized emergency care systems.

915 916 5.3 Communication

917
918 Measuring communication between the individual units of care, as well as the flow of
919 information and intermediate outcomes for each unit, is critical to evaluating the system as a
920 whole. The optimal outcome for the patient in a given episode of care depends on the effective
921 integration of these discrete service units. Specific examples of measures within this domain
922 would include “advance hospital notification for suspected stroke.”

923 924 **Domain 6: Outcomes**

925
926 Measuring patient-oriented outcomes of an episode of care is an important method of evaluating
927 the effectiveness of a system. Although measuring structure and process elements is critical to
928 evaluating a system’s functioning parts, the end result (i.e., outcome) of an episode of care may
929 be the most obvious illustration of whether the system works. For example, if a patient who
930 suffered out-of-hospital cardiac arrest survives neurologically intact to hospital discharge, then
931 the patient’s episode of care was most likely marked by timely, high-quality, integrated care that
932 met relevant performance measures.

933
934 However, because of the inherent complexity and multiple components of an episode of care
935 | within regionalized emergency medical care services, it is possible that an outcome measure

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936 might be met despite not meeting one or more structure or process measures along the way (e.g.,
937 the cardiac arrest patient may have survived despite not receiving acute coronary intervention
938 within 90 minutes of first medical contact). Also, when an outcome measure is not met,
939 challenges arise regarding the accountability for not achieving the measure (i.e., which system
940 component was responsible for not meeting the measure?).

941 942 6.1 Access to data

943 The process of measuring medical care, and regional emergency care systems in general, should
944 be data driven. Although many local, regional, and national-level databases exist that catalogue
945 medical care within regional emergency care systems, they are varied in their level of use and
946 development, are often not interconnected, and their use is often not mandated. Measure
947 developers are encouraged to use these data systems (e.g. CARES, NEMESIS, and the NTDB)
948 when identifying and developing performance measures. In addition, competition between
949 hospitals and health systems may limit access to key system-level data. This competition may be
950 a barrier to quality REMCS care, and these barriers should be addressed by REMCS
951 performance measurement. To improve the data foundation on which REMCS measurement is
952 built, a common data infrastructure should be used, with a mandate for collection, transparency,
953 and shared use.

954 955 6.2 Data linkage across settings of care

956 In addition, regionalized emergency care systems should not exist in individual, top-down,
957 disease-specific silos, and efficiencies realized in one network or via one service unit should be
958 evaluated and shared to possibly achieve more cost-effective care across systems. Similar to
959 Subdomain 6.1, competition between hospitals may limit data linkage. Nonetheless, these
960 barriers should be addressed by REMCS performance measurement to improve care. Data
961 linkage across networks and even between systems can not only improve an episode of care, but
962 also can allow for system-level feedback and performance improvement via both patient and
963 population-centered research.¹⁴²⁻¹⁴⁴

964
965 Furthermore, evaluating linked data can provide critical reference and monitoring information
966 for new avenues of regionalized emergency care. For example, limiting field EMS providers to
967 their traditional role of transporting patients to the hospital via ambulance may not be the most
968 efficient or cost-effective outcome of their service to some patients. Treatment in the field, non-
969 emergent referral to other healthcare providers, and triage of certain patients (e.g., psychiatric
970 patients without active medical problems) to facilities other than the ED are within the skill set of
971 advanced field EMS providers. Accountability and reimbursement models should evaluate the
972 effectiveness of these nontraditional outcomes of an episode of care and should ensure that
973 regional emergency care systems are set up to reward cost-effective care.

974 6.3 Feedback

975 Patient outcomes of Episodes of Care are important measures for systems of emergency care, ,
976 but they should not be the sole determinant of a system's efficiency or effectiveness. Measure
977 development within this domain also should focus on evaluating whether a given measure or

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978 measure concept has a strong evidence base that links the overall outcome of an episode of care
979 to other earlier components of that episode (e.g., intermediate outcomes of individual service
980 units within an episode of care should reliably predict the overall outcome of the episode). In
981 addition, systems should incorporate mechanisms to mandate system-level feedback of outcomes
982 to earlier phases in the episode. Feedback should occur across and between all phases in an
983 episode of care, but outcome information in particular should be integrated into process
984 improvement. Lastly, before consequences are attached to failure to meet outcomes, measures
985 should be validated as true system-level measures, and measures should produce reliable results
986 across other similar systems.

987

988 **Guiding Principles**

989 The following principles are overarching themes intended to provide direction to the standard
990 implementation of the REMCS framework and future development of structural, process, and
991 outcome measures and measure concepts within regionalized emergency care systems.

992

- 993 • Regionalization of emergency care is a method of matching resources to patient needs in
994 a timely fashion with the goal of improving patient-oriented care outcomes and
995 population health. Regionalization does not equal “centralization” of care; it may involve
996 moving care resources to patients or patients to care facilities, depending on the needs of
997 the patient and the system’s capabilities.
- 998
- 999 • The effective delivery of regionalized emergency medical care requires ongoing
1000 measurement and monitoring of system capabilities and capacity to ensure that the
1001 appropriate resources and workforce (including appropriate specialty care) are available.
- 1002
- 1003 • Identifying and evaluating measures of entire systems of emergency care is difficult, but
1004 essential. Measurement of regionalized emergency care systems should strive to measure
1005 the effectiveness, cost-effectiveness, and efficiency of the system as a whole, as well as
1006 individual system components. Measures used to judge the effectiveness of a system
1007 should include patient-oriented outcomes, patient-centered processes of care, and
1008 community-centered outcomes. Desired outcomes should consider patient preferences
1009 and experiences, and REMCS models should assure the systems are accountable to the
1010 patient as well as to the healthcare system.
- 1011
- 1012 • System evaluation should promote transparency and shared accountability for the
1013 system’s successes and failures both within and across units of service within the system.
- 1014
- 1015 • The development of regionalized emergency medical care systems is an ongoing process
1016 with flexible and adaptive structural and process elements. Valid system-level measures
1017 should detect and recognize improvement (or lack thereof) due to changes to a system’s
1018 component parts and the communication and coordination between them.
- 1019
- 1020 • Regionalized emergency care systems should exist for the public good and should fully
1021 integrate with each other in a transparent, shared model with a common oversight

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1022 structure (taking into consideration federal, state, and local regulations) regardless of
1023 geopolitical boundaries, to provide optimal care for a population. Incentives should be
1024 aligned such that a successful system yields positive outcomes and appropriate
1025 compensation for each agency, organization, and facility within the system.

- 1026
- 1027 • REMCS measurement should be data driven. Data on REMCS structures, processes, and
1028 outcomes, as well as on the populations that the systems serve, should be collected,
1029 shared, and used to validate evidence-based REMCS measures and measure gaps.

1030

1031 **Measure Evaluation Criteria**

1032 ~~The following are general Measure evaluation criteria against which measures can be assessed to~~
1033 ~~ensure that they evaluate important areas of RECS performance, are reliable and valid, can be~~
1034 ~~understood by intended audiences, and are feasible to carry out. These measure evaluation~~
1035 ~~criteria are informed by NQF and other sources,¹⁴⁵⁻¹⁴⁷ and the descriptions below are placed into~~
1036 ~~the context of measuring regionalized emergency care systems. NQF evaluates measures against~~
1037 ~~these criteria when making endorsement recommendations. Although the Framework should~~
1038 ~~identify areas where no measures exist, it is important that measure developers consider these~~
1039 ~~criteria when measures and measure concepts are identified and when the endorsement process~~
1040 ~~begins.~~

- 1041
- 1042 a. ~~**Importance:** Whether or not the measure evaluates a component of healthcare that is~~
1043 ~~clinically relevant or notably contributes to care within a regionalized emergency care~~
1044 ~~system.~~
- 1045 b. ~~**Scientific Acceptability:** How well the measure is defined, supported by evidence, and~~
1046 ~~valid and reliable.~~
- 1047 c. ~~**Usability:** Whether the measure is meaningful to the intended audiences and whether the~~
1048 ~~relationship between measure use and intended outcomes is of sufficient magnitude to be~~
1049 ~~important and quantifiable.~~
- 1050 d. ~~**Feasibility:** Data for the measure calculations are readily available across systems of~~
1051 ~~care, and the implementation of the measure (or subsequent intervention(s) to improve~~
1052 ~~the measure) is cost-effective.~~

1053

1054

1055 **IV. FURTHER EFFORTS IN MEASURING REGIONALIZED EMERGENCY**

1056 **MEDICAL CARE SYSTEMS**

1057 Several groups have previously identified and developed performance measures within various
1058 service units that comprise regionalized emergency medical care systems.¹⁴⁸⁻¹⁵⁸ These measures
1059 mostly evaluate individual elements of care, are at various stages of development, and vary
1060 widely in the manner in which they are used.¹⁵⁹ Rarely have measure development efforts had the
1061 primary purpose of identifying or promoting performance measures that systematically approach
1062 regionalized emergency care. This framework is meant to add to earlier efforts by proposing a
1063 standard structure to evaluate regionalized emergency medical care services using episodes of
1064 care. By evaluating systems using comprehensive domains and a longitudinal approach through

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1065 an episode of critical illness or injury, healthcare delivery for time-sensitive, life-threatening
1066 conditions can be improved.

1067
1068 In addition, this framework's approach easily highlights areas within regionalized emergency
1069 medical care services where there are measurement gaps. These gaps may be in areas where
1070 measures should improve in terms of validity and reliability or in areas where measures do not
1071 exist at all. Areas identified for further research include:

- 1072
- 1073 a. the need for development of new measures or adaptation of existing measures to ensure
1074 patient-oriented measurement of systems, not merely isolated elements of systems;
1075
 - 1076 b. a focus on measuring transitions and communication (face to face, verbal, and long-
1077 distance) between service units within regionalized systems. Earlier efforts have largely
1078 focused on the function of the units themselves;
1079
 - 1080 c. further evaluation of concepts of system capability, capacity, and access on the utilization
1081 and growth of regionalized emergency care systems. Although unscheduled, episodic
1082 care at varying levels of acuity is provided in both in and out-of-hospital REMCS
1083 settings, this project's focus is on measuring systems of care for time critical, potentially
1084 life-threatening clinical conditions. Nonetheless, the effectiveness and capacity of
1085 regionalized emergency care systems are inextricably linked to the increasing challenges
1086 of such systems to provide unscheduled, episodic care to other patients at the same time
1087 in the same systems and locations;
1088
 - 1089 d. a focus on communication between service units (e.g., the EMS system and EDs),
1090 emphasizing electronic technology and industrial engineering concepts to improve
1091 system efficiency and preparedness for system strain and surge;
1092
 - 1093 e. identification of measures or measure concepts that support effective and efficient
1094 continued development of healthcare delivery systems;
1095
 - 1096 f. identification of measures or measure concepts to evaluate care in areas where there are
1097 current measurement gaps (e.g., critical care medicine, toxicology, psychiatric care).
1098 Gaps include areas where measures exist but are not sufficient, areas where measures
1099 require development to ensure they are valid indicators of system performance, and areas
1100 where no measures exist at all. New measure concepts should support an REMCS
1101 delivery system that is improved in both efficiency and quality.
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V. APPENDIX A – CRITERIA FOR EVALUATING MEASURES

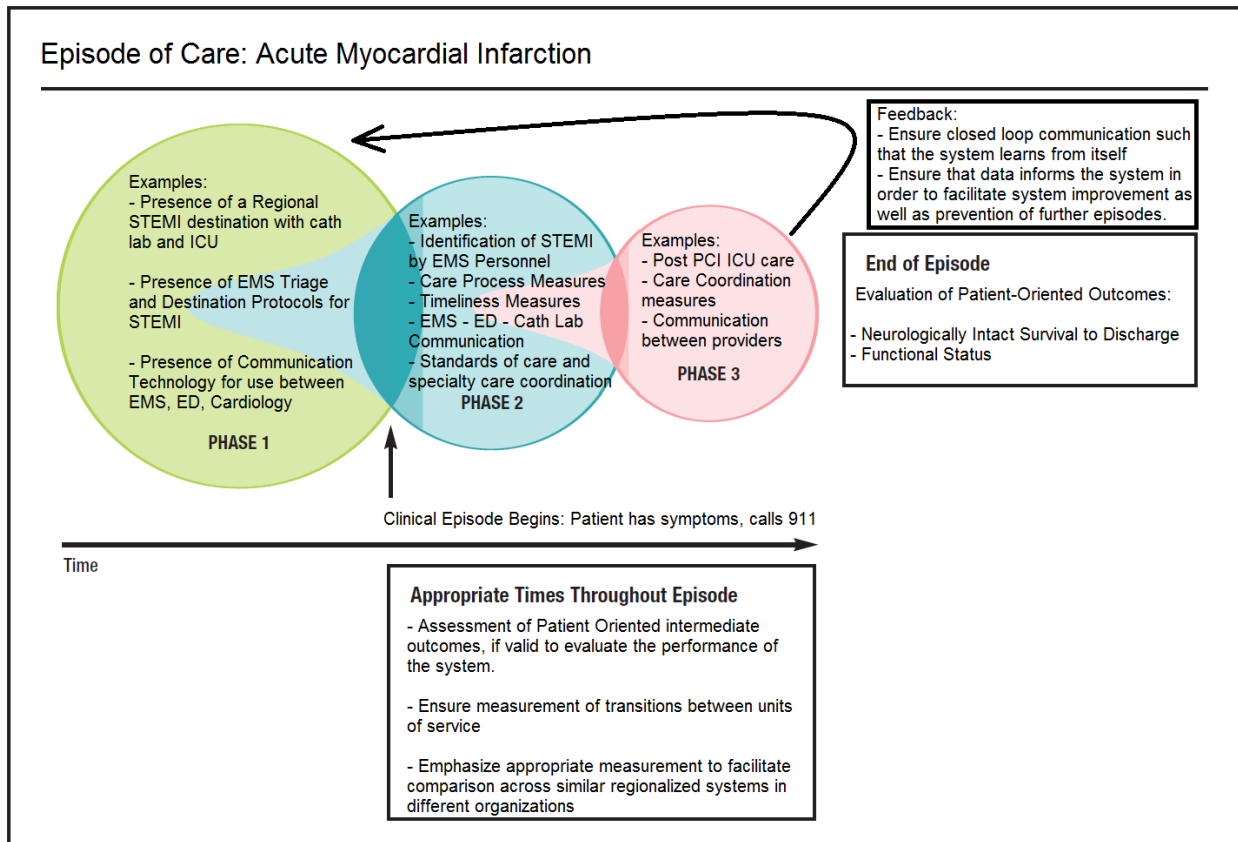
The following are general criteria by which measures can be assessed to ensure that they evaluate important areas of REMCS performance, are reliable and valid, can be understood by intended audiences, and are feasible to carry out. These measure evaluation criteria are informed by NQF and other sources,²⁸⁻³⁰ and their descriptions are placed in the context of measuring regionalized emergency care systems. NQF evaluates measures against these criteria when making endorsement recommendations. Although the framework should identify areas where no measures exist, it is important that measure developers consider these criteria when measures and measure concepts are identified and when the endorsement process begins.

- a. **Importance:** whether or not the measure evaluates a component of healthcare that is clinically relevant or notably contributes to care within a regionalized emergency care system.
- b. **Scientific Acceptability:** how well the measure is defined, supported by evidence, valid and reliable.
- c. **Usability:** whether the measure is meaningful to the intended audiences and whether the relationship between measure use and intended outcomes is of sufficient magnitude to be important and quantifiable.
- d. **Feasibility:** data for the measure calculations are readily available across systems of care, and the implementation of the measure (or subsequent intervention(s) to improve the measure) is cost-effective.

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1144 | **V.VI. APPENDIX B – EXAMPLE OF AN EPISODE OF CARE EVALUATION WITHIN**
 1145 **REGIONALIZED EMERGENCYCARE SYSTEMS: ACUTE MYOCARDIAL**
 1146 **INFARCTION**

1147 | To exemplify the framework’s approach to providing a context for REMCS measurement, an
 1148 evaluation of an acute myocardial infarction (AMI) episode of care is provided below.
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1151
 1152
 1153 Example measures within the framework domains are categorized by the episode phases. These
 1154 measures are included here to represent the broad and inclusive measure set that would be
 1155 highlighted via use of the framework and domains rather than to indicate their necessity or
 1156 validity for evaluating AMI care. In some cases, measures are in use and NQF-endorsed®, while
 1157 in other cases, measure concepts become obvious when AMI care is considered in this manner
 1158 (e.g., further development of measures of care coordination).
 1159

Phase I

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 1161
 1162 Measurement of AMI care should begin with an evaluation of the structures in place to provide
 1163 needed care. Relevant domains include: Capability, Capacity, and Access, Recognition and
 1164 Diagnosis, Resource Utilization, and Coordination of Care. Examples of measures and measures
 1165 concepts include, but are not limited to:

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- Do regionalized systems have the capacity to meet AMI care demands (e.g., are there enough percutaneous coronary intervention suites and intensive care unit beds, are staff available 24 hours/day)?
- Do EMS systems have policies and protocols in place to maximize efficiency and timeliness when treating AMI (e.g., capability to perform pre-hospital electrocardiograms)?
- Do EMS systems have plans to transport to appropriate destinations within regionalized systems of AMI care?
- Is technology in place to facilitate the efficient communication and transfer of data between service units (e.g., pre-hospital electrocardiograms to the ED and/or hospital catheterization lab)?

Phase 2

1179
1180
1181 As a clinical AMI episode begins, care measurement should continue with an evaluation of the
1182 processes and outcomes associated with that care. All six domains are relevant in this phase.
1183 Examples of measures and measure concepts include, but are not limited to:

- Did the 911 telecommunicator recognize the onset of a time-critical illness and initiate appropriate triage and emergency system response?
- Did the EMS system respond, confirm the diagnosis of a time-sensitive AMI, and begin treatment and transport in a timely fashion?
- Were standards of medical care met (e.g., appropriate medication administration, timely reperfusion therapy)?
- Were standards of communication between service units met?
- Did the system effect proper treatment and transport to the most appropriate end-destination care setting for consideration and possible receipt of definitive specialty care that is not universally available within a regionalized system?

Phase 3

1196
1197
1198 As care for an AMI episode continues, measurement should focus on whether care is of a
1199 consistently high level and coordinated and on whether patient-oriented outcomes representative
1200 of high-quality care are being met. Relevant domains include: Resource Utilization, Medical
1201 Care, Coordination of Care, and Outcomes. Examples of measures and measure concepts
1202 include, but are not limited to:

- Did the various medical providers across phases adequately communicate vital information to each other and to the patient?
- Does ongoing time-sensitive care (e.g., medical critical care, post-AMI care) continue to meet accepted and evidence-based standards?
- Did appropriate patient care records accompany the patient from the beginning to the end of the episode of care in a timely fashion?

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- Does the system have adequate data collection and distribution procedures such that the patient is well informed and the components of the system (e.g., emergency medical dispatchers and field EMS providers) can be evaluated and improve performance?

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~~VI~~VII. APPENDIX ~~CB~~: GLOSSARY

The glossary’s purpose is to ~~further~~ explain terms used in this report that may be industry-specific to regional emergency care systems or healthcare in general. The terms are explained here as they relate to this project’s purposes and were derived from multiple sources, including the expert opinions from the REMCS Steering Committee.

Ambulance Diversion: The process and practice of preventing field EMS units (ambulances) from bringing unscheduled patients to an emergency department (ED), usually because of the ED’s or hospital’s crowding and lack of capacity. The ambulances are “diverted” from a closer or intended ED “at capacity” to a farther or unintended hospital ED.

Boarding (“ED Boarding”): The practice of retaining patients in the ED for an extended period of time. Usually, the term refers to patients who are admitted to the hospital but remain in the ED (perhaps overnight, or even for days) because of a lack of hospital bed availability, or other hospital-capacity problems.

Centralization: In contrast to “regionalization,” centralization or “centralized care” refers to the unplanned referral and transport of patients to one location from another, without primary focus on patient-oriented processes and outcomes, or system-level feedback.

Crowding (“ED Crowding”): A condition in which the number of patients needing ED evaluation and treatment exceeds the capacity and current resources of the ED. In addition, crowding may exist when there is a significant delay in treating patients needing emergency care because of the number of patients requiring evaluation and treatment at a given time.

Emergency Care, or Emergency Medical Care: The treatment of high-acuity or potentially life-threatening medical or traumatic conditions in an expedited fashion, recognizing that timely care of emergent patients may prevent mortality or significant morbidity. Emergency care is a distinct type of care that is separate from other types of medical care that often occur in the same setting ~~as emergency care~~. For example, “emergency” departments and “emergency” medical services exist, in part, to provide unscheduled, episodic care for patients. However, much of the care that is provided in these “emergency” settings, while unscheduled, is not potentially life threatening and/or does not require timely evaluation and treatment to prevent the worsening of a condition or death. For this project’s purposes, the term “emergency care” (context: regionalized emergency medical care systems) refers to the subset of unscheduled care that is of high acuity or potentially life threatening.

Emergency Medical Dispatch (EMD): Commonly known as “the 911 call center,” EMD encompasses the people and protocols that comprise a planned system of receiving emergency calls for service, evaluating and triaging those calls, providing medical help and instructions to callers, and directing and managing responding emergency services vehicles and personnel.

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1257 **Field Emergency Medical Services (EMS):** Denotes the pre-hospital component of the broad
1258 term “emergency medical services” and includes: out-of-hospital EMS practitioners, such as
1259 emergency medical technicians and paramedics (i.e., first responders); initial out-of-hospital
1260 treatment; and transport, by air or by ground, to a hospital.

1261
1262 **Service Unit:** A discrete component of a certain type that provides a specific service or type of
1263 care to a patient within a regionalized emergency medical care system. Service units interact and
1264 transfer patients among each other within a care network. Emergency medical dispatchers, field
1265 EMS, the ED, inter-facility transfer, the operating room, and the intensive care unit are all
1266 examples of service units.

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1302 | **VII-VIII. APPENDIX DC: PROJECT STEERING COMMITTEE AND NQF STAFF**

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