TO: NOF Members and Public

FR: NQF Staff

RE: Pre-voting review for National Voluntary Consensus Standards: Surgery Endorsement Maintenance 2010, Phase I: A Consensus Report

DA: June 13, 2011

The rate of surgical procedures continues to increase each year, as is the number and type of sites performing surgical procedures. Measuring quality of care across the many and varied locations in which surgical procedures are performed is important to ensure safe, cost-effective care consistent with the current evidentiary base. The recommended measures include measures endorsed prior to June 2008 that have undergone maintenance. The majority of measures considered in this phase focus on cardiac surgery, and they represent the first of two groups of surgery-related measures considered in this endorsement maintenance project.

A 24-member Steering Committee representing a range of stakeholder perspectives was appointed to review a total of 30 candidate and endorsed standards for quality performance in surgical care in this phase. The Steering Committee recommended 18 measures of which one was recommended for placement in "reserve status<sup>1</sup>". All are National Quality Forum (NQF)-endorsed<sup>®</sup> measures that have been updated as part of the maintenance process.

The draft document, *National Voluntary Consensus Standards: Surgery Endorsement Maintenance 2010, Phase I: A Consensus Report* is posted on the NQF website along with the following additional information:

- Measure submission forms; and
- Meeting and call summaries from the Steering Committee's discussions.

Pursuant to section II.A of the Consensus Development Process v. 1.8, this draft document, along with the accompanying material, is being provided to you at this time for purposes of review and comment only and is not intended to be used for voting purposes. You may post your comments and view the comments of others on the NQF website.

Please note that the organization of this report has been modified, similar to the recent End Stage Renal Disease report. The intention is to begin with high-level information (e.g., overarching evaluation issues and lists of measures) followed by more detail about the evaluation ratings and rationale in the measure evaluation summary tables. Hyperlinks are included to navigate to the detailed measure specifications for the recommended measures in Appendix A and to access all submitted measure information posted on the project web page. We are interested in your feedback and any suggestions on this revised report format and organization. You can submit your comments under general comments.

NQF Member comments must be submitted no later than 6:00 pm ET, July 12, 2011. Public comments must be submitted no later than 6:00 pm ET, July 5, 2011.

<sup>&</sup>lt;sup>1</sup> Reserve status is defined as highly credible, reliable and valid measures that have high levels of performance with little opportunity for improvement. These measures meet all of the NQF criteria except for one subcriteria, opportunity for improvement. Performance can be monitored in the future if necessary to ensure that performance does not decline.

Thank you for your interest in NQF's work.	We look forward to your review and comments.

# NATIONAL VOLUNTARY CONSENSUS STANDARDS: SURGERY ENDORSEMENT MAINTENANCE 2010, PHASE I: A CONSENSUS REPORT

DRAFT REPORT FOR COMMENTING
JUNE 13, 2011

# NATIONAL VOLUNTARY CONSENSUS STANDARDS: SURGERY ENDORSEMENT MAINTENANCE 2010, PHASE I: A CONSENSUS REPORT

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# 1 NATIONAL VOLUNTARY CONSENSUS STANDARDS: SURGERY ENDORSEMENT 2 MAINTENANCE 2010, PHASE I: A CONSENSUS REPORT

3	EXECUTIVE SUMMARY	
4 5	The rate of surgical procedures continues to rise each year, as has the number and type of sites performing	
6	surgical procedures. In 2006, 46 million inpatient surgeries were performed in the United States. <sup>1</sup> In	
7	addition, more than 53 million procedures were performed in ambulatory surgery centers. <sup>2</sup> In 2007, there	
8	were 4,964 Medicare-certified ambulatory surgery centers, which represents a 64 percent increase from	
9	2000. <sup>3</sup> Assessing quality of care, using measures that reflect the current evidentiary base, across the many	
10	and varied locations in which surgical procedures are performed is important to ensure safe, cost-effective	
11	care. The National Quality Forum (NQF) has endorsed a number of consensus standards for surgical	
12	procedures and care of surgical patients over the past six years. This evaluation of all NQF-endorsed®	
13	surgery-related measures and consideration of new measures will ensure the currency and relevance of	
14	NQF's portfolio of voluntary consensus standards.	
15		
16	This report presents the results of the evaluation of 23 measures considered under NQF's Consensus	
17	Development Process (CDP). Eighteen measures are recommended for endorsement as voluntary	
18	consensus standards suitable for public reporting and quality improvement. Of the 18, one is	
19	recommended for placement in "reserve status". All are previously endorsed measures that have	
20	undergone maintenance.	
21		
22	• 0114 Risk-adjusted post-operative renal failure (STS)	
23	• 0115 Risk-adjusted surgical re-exploration (STS)	
24	• 0129 Risk-adjusted prolonged intubation (ventilation) (STS)	
25	• 0131 Risk-adjusted stroke/cerebrovascular accident (STS)	
26	• 0119 Risk-adjusted operative mortality for CABG (STS)	
27	• 0120 Risk-adjusted operative mortality for aortic valve replacement (AVR) (STS)	
28	• 0121 Risk-adjusted operative mortality for mitral valve (MV) replacement (STS)	
29	• 0122 Risk-adjusted operative mortality MV replacement + CABG surgery (STS)	

30	•	0123 Risk-adjusted operative mortality for aortic valve replacement (AVR) + CABG surgery
31		(STS)
32	•	1501 Risk-adjusted operative mortality for mitral valve (MV) repair (STS)
33	•	1502 Risk-adjusted operative mortality for MV repair + CABG surgery (STS)
34	•	0360 Esophageal resection mortality rate (IQI 8) (AHRQ)
35	•	0361 Esophageal resection volume (IQI 1) (AHRQ)
36	•	0116 Anti-platelet medication at discharge (STS)
37	•	0118 Anti-lipid treatment discharge (STS)
38	•	0130 Risk-adjusted deep sternal wound infection rate (STS)
39	•	0218 Surgery patients who received appropriate venous thromboembolism (VTE) prophylaxis
40		within 24 hours prior to surgery to 24 hours after surgery end time (CMS)
41	•	0113 Participation in a systematic database for cardiac surgery (STS) (reserve status)
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# 45 NATIONAL VOLUNTARY CONSENSUS STANDARDS: SURGERY ENDORSEMENT 46 MAINTENANCE 2010, PHASE I: A CONSENSUS REPORT

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The rate of surgical procedures continues to rise each year, as has the number and type of sites performing surgical procedures. In 2006, 46 million inpatient surgeries were performed in the United States.<sup>4</sup> In addition, more than 53 million procedures were performed in ambulatory surgery centers.<sup>5</sup> In 2007, there were 4,964 Medicare-certified ambulatory surgery centers, which represents a 64 percent increase from 2000.<sup>6</sup> Assessing quality of care, using measures that reflect the current evidentiary base, across the many and varied locations in which surgical procedures are performed is important to ensure safe, cost-effective care. The National Quality Forum (NQF) has endorsed a number of consensus standards for surgical procedures and care of surgical patients over the past six years. The ongoing evaluation and updating of all NQF-endorsed<sup>®</sup> surgical measures and consideration of new measures will ensure the currency and

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- The recommended measures include measures that have undergone the NQF maintenance. They update
- NQF-endorsed surgery-related measures to facilitate efforts to provide high-quality care to patients
- 62 undergoing surgery.

# STRATEGIC DIRECTIONS FOR NQF

relevance of NQF's portfolio of voluntary consensus standards.

63 64

- NQF's mission includes three parts: 1) setting national priorities and goals for performance improvement;
- 66 2) endorsing national consensus standards for measuring and publicly reporting on performance; and 3)
- promoting the attainment of national goals through education and outreach programs. As greater numbers
- 68 of quality (including safety) measures are developed and brought to NQF for consideration of
- 69 endorsement, it is incumbent on NQF to assist stakeholders to "measure what makes a difference" and
- 70 address what is important to achieve the best outcomes for patients and populations.

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- 72 Several strategic issues have been identified to guide consideration of candidate consensus standards:
- 73 **DRIVE TOWARD HIGH PERFORMANCE.** Over time, the bar of performance expectations should
- 74 be raised to encourage achievement of higher levels of system performance.
- 75 **EMPHASIZE COMPOSITES.** Composite measures provide much-needed summary information

76	pertaining to multiple dimensions of performance and are more comprehensible to patients and
77	consumers.
78	MOVE TOWARD OUTCOME MEASUREMENT. Outcome measures provide information of keen
79	interest to consumers and purchasers, and when coupled with healthcare process measures, they provide
80	useful and actionable information to providers. Outcome measures also focus attention on much-needed
81	system-level improvements, since achieving the best patient outcomes often requires carefully designed
82	care processes, teamwork, and coordinated action on the part of many providers.
83	CONSIDER DISPARITIES IN ALL WE DO. Some of the greatest performance gaps relate to care of
84	minority populations. Particular attention should be focused on identifying disparities-sensitive
85	performance measures and on identifying the most relevant race/ethnicity/language/socioeconomic strata
86	for reporting purposes.
87	NATIONAL PRIORITIES PARTNERSHIP
88 89	NQF seeks to endorse measures that address the National Priorities and Goals of the NQF-convened
90	National Priorities Partnership. The Partnership represents those who receive, pay for, provide, and
91	evaluate healthcare. The National Priorities and Goals focus on these areas:
92	<ul> <li>patient and family engagement,</li> </ul>
93	• safety,
94	• care coordination,
95	• palliative and end-of-life care,
96	• equitable access,
97	• elimination of overuse,
98	• population health, and
99	• infrastructure supports.
100 101	RELATED NQF WORK
102	In 2004, NQF endorsed 21 consensus standards for cardiac surgery under the National Voluntary
103	Consensus Standards for Cardiac Surgery <sup>8</sup> project, the largest number of surgical measures endorsed in a
104	single project. NQF has endorsed consensus standards applicable to surgery in a number of other projects

105	including National Voluntary Consensus Standards for Hospital Care: Specialty Clinician Performance
106	Measures <sup>9</sup> and National Voluntary Consensus Standards for Hospital Care 2007: Performance
107	Measures. <sup>10</sup>
108	NQF'S CONSENSUS DEVELOPMENT PROCESS
109 110	Phase I of NQF's National Voluntary Consensus Standards for Surgery Care project seeks to
111	continueendorsement of 18 measures for quality improvement and public reporting. Of these, all are
112	endorsed measures that have been updated for maintenance; one of which is recommended for placement
113	in "reserve status".
114	Evaluating Potential Consensus Standards
115	Candidate consensus standards were solicited through a Call for Measures on September 29, 2010.
116	Additionally, surgery-related measures endorsed prior to June 2008 were brought into the project as part
117	of NQF's routine maintenance process. Thirty measures were evaluated for suitability as voluntary
118	consensus standards for quality improvement and public reporting using NQF's standard evaluation
119	criteria. <sup>11</sup> Steering Committee subgroups rated each measure's strengths and weaknesses using the criteria
120	and subcriteria to assist the Committee in making recommendations. The 24-member, multi-stakeholder
121	Committee provided final evaluations of the four main criteria—importance to measure and report,
122	scientific acceptability of the measure properties, usability, and feasibility—and made endorsement
123	recommendations. Measure developers were available during Committee discussions to respond to
124	questions and clarify any issues or concerns.
125	Overarching Measure Evaluation Issues
126	The Committee discussed several overarching issues, which, for some measures, factored into the
127	Committee's ratings and recommendations.
128	Clarity of Measure Specifications
129	Committee members requested clarification of a number of measure specifications related to
130	incompleteness of specifications, inconsistencies in language, and construction of algorithms. The
131	Committee considered the documents and appendices that were provided as attachments to the measure
132	submissions to be useful in evaluating the measures; however, it urged measure developers to include all
133	pertinent information within the submission forms to ensure accurate understanding of the measures for
134	notential users and to provide clarity to the public

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### Participation in Proprietary Registries

A number of measures that are advanced for continued endorsement rely on proprietary registry data, although they do not require participation in the identified registry. The Committee took the position that endorsing a measure that requires use of proprietary registry data is unacceptable because by default it requires participation in the registry. Furthermore, the data for a number of measures are not routinely collected outside the registry, which adds to the burden of collection for organizations. Finally, the use of such measures makes it essential that the specifications are fully detailed in a transparent fashion and that required data elements are standardized.

# **Topped Out Measures**

The Committee debated the definition of "topped out." It agreed that some measures are performing at such a high level that continued efforts to improve performance are probably not warranted. With an NQF draft proposal for special designation, later presented and approved by the NQF Board of Directors, as a starting point, the Committee agreed that such measures should be maintained in the NQF portfolio with some specific designation provided they address important aspects of quality that should be sustained and fully meet all endorsement criteria with the exception of "importance" as long as failure to meet this criterion was due to a high level of performance. The Committee wanted to ensure that performance among the subpopulations included in measures was high; in some cases there were disparities that suggested a need to continue specific measures. Also, there was concern that failing to continue endorsement of maintenance measures that meet all evaluation criteria but are not viewed as important for regular continued monitoring because of a high level of performance could result in inattention to the process or outcome and consequently to reduced levels of performance and potentially poor patient outcomes. This latter concern prompted the Committee to support the proposal to place high-performing measures in "Reserve Status," that is, they retain endorsement but do not have to be regularly reported.

#### Failure to Provide Information About Disparities and Public Reporting

The NQF endorsement criteria specify that measures must be used for quality improvement and public reporting. The Committee noted that many measure submission forms lacked information about disparities and public reporting. In each case where information about disparities was not included, reporting was not currently occurring, or plans were not in place to begin reporting, the Committee asked that such information be provided prior to endorsement recommendations.

## Impact on Quality

166	The Committee suggested measure developers provide detail on how their NQF-endorsed measure(s)
167	have impacted quality since initial endorsement. The Committee considered such information as vital to
168	the process of deciding whether a measure should retain endorsement.
169	Current Evidence and Relationship to Outcomes
170	The Committee expressed its preference for measures that provide clear and direct evidence of the
171	measure's proximity to an improved outcome. Ensuring that the evidence provided to support the measure
172	is current was highlighted, particularly for measures undergoing maintenance.
173	Related and Competing Measures
174	A subset of the candidate consensus standards were related or competing with other candidate or NQF-
175	endorsed measures. The Steering Committee first evaluated each candidate standard on its own merits
176	and then compared the measures that met NQF evaluation criteria with the related or competing measures
177	using NQF's harmonization and competing measures guidance.
178	RECOMMENDATIONS FOR ENDORSEMENT
179 180	This report presents the results of the evaluation of 23 measures considered under the NQF CDP.
181	Candidate Consensus Standards Recommended for Endorsement
182	Eighteen measures are recommended for continued endorsement as voluntary consensus standards
183	suitable for public reporting and quality improvement. Of these, one is recommended for placement in
184	"reserve status". Evaluation summary tables follow the list of measures and summarize the results of the
185	Steering Committee's evaluation of and voting on the candidate consensus standards that are
186	recommended for continued endorsement. Hyperlinks are provided:
187	• from each listed measure to the evaluation summary table;
188	<ul> <li>from each summary table to the detailed measure specifications:</li> </ul>
189	• from each summary table to the web page where all materials submitted by the developer or
190	steward are posted; and
191	• from each summary table to the web page where the meeting and call summaries, transcripts, and
192	recordings can be accessed.
193	

The Steering Committee recommended the following candidate consensus standards for continued

195 endorsement.

196	Cardiac—CABG	
197	0114 Risk-adjusted post-operative renal failure	6
198	0115 Risk-adjusted surgical re-exploration	
199	0129 Risk-adjusted prolonged intubation (ventilation)	
200	0131 Risk-adjusted stroke/cerebrovascular accident	
201	0119 Risk-adjusted operative mortality for CABG	
202	•	
203	Cardiac—CABG: Valve Replacement/ Repair	
204	0120 Risk-adjusted operative mortality for aortic valve replacement (AVR)	12
205	0121 Risk-adjusted operative mortality for mitral valve (MV) replacement	13
206	0122 Risk-adjusted operative mortality MV replacement + CABG surgery	14
207	0123 Risk-adjusted operative mortality for aortic valve replacement (AVR) + CABG surgery	15
208	1501 Risk-adjusted operative mortality for mitral valve (MV) repair	
209	1502 Risk-adjusted operative mortality for MV repair + CABG surgery	17
210		
211	Esophageal Resection and Transfusion	
212	0360 Esophageal resection mortality rate (IQI 8)	17
213	0361 Esophageal resection volume (IQI 1)	18
214		
215	Cardiac—CABG and Prophylaxis	
216	0116 Anti-platelet medication at discharge	19
217	0118 Anti-lipid treatment discharge	20
218	0130 Risk-adjusted deep sternal wound infection rate	21
219	0218 Surgery patients who received appropriate venous thromboembolism (VTE) prophylaxis with	hin 24
220	hours prior to surgery to 24 hours after surgery end time	22
221		
222	Evaluation Summary—Candidate Consensus Standards Recommended for Continued	
223	Endorsement	
224		

#### 0114 Risk-adjusted post-operative renal failure

For More Information: <u>Detailed Measure Specifications</u>; <u>Complete Measure Submission</u>; <u>Meeting/Call Proceedings</u>

**Description:** Percent of patients aged 18 years and older undergoing isolated CABG (without pre-existing renal failure) who develop post-operative renal failure or require dialysis.

**Numerator Statement:** Number of patients undergoing isolated CABG (without pre-existing renal failure) who develop post-operative renal failure or require dialysis.

**Denominator Statement:** All patients undergoing isolated CABG.

**Exclusions:** Patients with documented history of renal failure, baseline serum creatinine of 4.0 or higher; prior renal transplants are not considered pre-operative renal failure unless since transplantation their Cr has been or is 4.0 or higher.

Adjustment/Stratification: case-mix adjustment/No stratification is required for this measure.

Level of Analysis: Clinicians: Group; Facility/Agency; Population: National, regional/network, states, counties or cities

Type of Measure: Outcome

Data Source: Registry data-STS Adult Cardiac Surgery Database, Version 2.73

## 0114 Risk-adjusted post-operative renal failure

Measure Steward: Society of Thoracic Surgeons | 633 North Saint Clair Street, Suite 2320 | Chicago | Illinois | 60611

# Steering Committee Recommendation for Endorsement: Y-17; N-1; A-1

**Rationale:** This is an important metric for benchmarking data on patients undergoing isolated CABG who develop post-operative renal failure or require dialysis.

### If applicable, Conditions/Questions for Developer:

- 1. 1b.4 Summary of Data on Disparities by Population Group: Please provide data on disparities.
- 2a.1 Numerator Statement: The statement does not indicate participation in the STS database is required.
- 2a.2 Numerator Time Window: Provide the time period in which cases are eligible for inclusion in the numerator.
- 4. <u>2a.3 Numerator Details</u>: Provide a more detailed definition of renal failure. Consideration should be given to using the RIFLE criteria.
- 5. 2a.8 Denominator Details: Are re-operated patients included?
- 6. 4e.2 Costs to Implement the Measure: The cost of data abstraction needs to be clearer.

#### **Developer Response:**

- 1. Data on disparities are provided in the form.
- 2. Participation in the STS Database is not required
- 3. During the hospitalization for surgery, which includes the entire postoperative period up to discharge, even if over 30 days.
- 4. STS will use the RIFLE criteria in its analyses and report of the renal failure measure. The renal failure section of the STS Adult Cardiac Surgery Database, v2.73 Training Manual will be harmonized with the risk, injury and failure categories of the RIFLE criteria. For cases entered in the STS Database from July 2011 onward, renal failure rates reported quarterly to STS Database Participants will reflect the RIFLE criteria definition. Please note that due to the specification upgrade schedule for the STS Adult Cardiac Surgery Database, the RIFLE categories of loss and ESKD cannot be captured at this time. STS intends to make these changes during the next specification upgrade scheduled to take place in 2013.

#### New numerator details:

Definition of renal failure/dialysis requirement – Patients with acute renal failure or worsening renal function resulting in one or both of the following:

- Increase of serum creatinine to ≥ 4.0 or 3x the most recent preoperative creatinine level
- New requirement for dialysis postoperatively
- 5. Yes, re-operated patients are included
- 6. Approximately one FTE per 500 cases

#### **Steering Committee Follow-up:**

The Steering Committee agreed that the response from the developer was adequate, including that related to the fact that long term data from use of the RIFLE criteria will not be available until sometime after implementation.

#### 1. Importance to Measure and Report: Y-22: N-0

(1a. Impact; 1b. Performance gap; 1c. Outcome or Evidence)

Rationale: Patients with post-operative renal failure are a high-risk group.

# 2. Scientific Acceptability of Measure Properties: C-3; P-18; M-1; N-0

(2a. Precise specifications; 2b. Reliability testing; 2c. Validity testing; 2d. Exclusions justified; 2e. Risk adjustment/stratification; 2f. Meaningful differences; 2g. Comparability; 2h. Disparities)

**Rationale:** Specifications were incomplete. There is no stated numerator time window. Without a specified time period, this becomes open to interpretation by coders. The Committee suggested the developer used the RIFLE criteria when defining renal failure. There was not an exclusion for emergency CABG cases, which are more susceptible to the development of renal failure due to pateints being sicker to begin with and the need for blood transfusions.

# 3. Usability: C-12; P-9; M-0; N-1

(3a. Meaningful/useful for public reporting and quality improvement; 3b. Harmonized; 3c. Distinctive or additive

### 0114 Risk-adjusted post-operative renal failure

value to existing measures)

Rationale: This measure seemed valuable from the quality improvement perspective.

#### **4. Feasibility**: C-14; P-8; M-0; N-0

(4a. Clinical data generated during care process; 4b. Electronic sources; 4c. Exclusions – no additional data source; 4d. Susceptibility to inaccuracies/ unintended consequences identified 4e. Data collection strategy can be implemented)

**Rationale:** The cost of data abstraction was not clearly indicated. The developer did not provide the cost of hiring employees to perform data abstraction.

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## 0115 Risk-adjusted surgical re-exploration

For More Information: <u>Detailed Measure Specifications</u>; <u>Complete Measure Submission</u>; <u>Meeting/Call Proceedings</u>

**Description:** Percent of patients aged 18 years and older undergoing isolated CABG who require a return to the operating room for bleeding with or without tamponade, graft occlusion, valve dysfunction, or other cardiac reason.

**Numerator Statement:** Number of patients undergoing isolated CABG who require return to the operating room for mediastinal bleeding with or without tamponade, graft occlusion, valve dysfunction, or other cardiac reason. **Denominator Statement:** All patients undergoing isolated CABG.

**Exclusions: N/A** 

Adjustment/Stratification: case-mix adjustment/No stratification is required for this measure.

Level of Analysis: Clinicians: Group; Facility/Agency; Population: National, regional/network, states, counties or cities

Type of Measure: Outcome

Data Source: Registry data-STS Adult Cardiac Surgery Database, Version 2.73

Measure Steward: Society of Thoracic Surgeons | 633 North Saint Clair Street, Suite 2320 | Chicago | Illinois |

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#### Steering Committee Recommendation for Endorsement: Y-19; N-0; A-1

**Rationale:** This is an important internal metric for cardiothoracic surgery practices to help focus supportive efforts on surgical and anesthesia providers with a high rate of required re-operation.

#### If applicable, Conditions/Questions for Developer:

- 1. 1b.4 Summary of Data on Disparities by Population Group: Please provide data on disparities.
- 2. <u>2a.2 Numerator Time Window</u>: Provide the time period in which cases are eligible for inclusion in the numerator.

#### **Developer Response:**

- 1. Data on disparities are provided in the form.
- 2. During the hospitalization for surgery, which includes the entire postoperative period up to discharge, even if over 30 days.

#### **Steering Committee Follow-up:**

The Steering Committee agreed that the response from the developer was adequate.

### 1. Importance to Measure and Report: Y-22; N-0

(1a. Impact; 1b. Performance gap; 1c. Outcome or Evidence)

**Rationale:** Though it is unproven as to whether surgical re-exploration has a direct impact on outcomes; from the patient perspective, an additional surgical procedure is itself an important and adverse outcome.

### 2. Scientific Acceptability of Measure Properties: C-19; P-3; M-0; N-0

(2a. Precise specifications; 2b. Reliability testing; 2c. Validity testing; 2d. Exclusions justified; 2e. Risk adjustment/stratification; 2f. Meaningful differences; 2g. Comparability; 2h. Disparities)

Rationale: This is easy to measure accurately. The measure has face validity in that any return to the OR is considered a complication of the surgical procedure. The Committee questioned why the return to the OR was only for cardiac reasons. Evidence indicates that approximately 80 percent of the reasons for an OR return is because of bleeding or graft occulusion. The issue of risk adjustment was discussed. It was indicated that the measure should not be risk adjusted. If the measure is risk-adjusted then it is hard to find out exactly which specific conditions or procedure will lead to an OR return.

### 0115 Risk-adjusted surgical re-exploration

3. Usability: C-20; P-2; M-0; N-0

(3a. Meaningful/useful for public reporting and quality improvement; 3b. Harmonized; 3c. Distinctive or additive

value to existing measures)

**Rationale:** The measure is meaningful for public reporting and quality improvement. Committee members discussed the potential of 'gaming' to fullfil the requirements of the measure. The Committee recognized there isn't a way to prevent gaming and trusts that gaming will not become an issue.

4. Feasibility: C-21; P-1; M-0; N-0

(4a. Clinical data generated during care process; 4b. Electronic sources; 4c. Exclusions – no additional data source; 4d. Susceptibility to inaccuracies/ unintended consequences identified 4e. Data collection strategy can be implemented)

Rationale: All data elements are available electronically.

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#### 0129 Risk-adjusted prolonged intubation (ventilation)

For More Information: <u>Detailed Measure Specifications</u>; <u>Complete Measure Submission</u>; <u>Meeting/Call Proceedings</u>

**Description:** Percent of patients aged 18 years and older undergoing isolated CABG who require intubation for more than 24 hours

Numerator Statement: Number of patients undergoing isolated CABG who require intubation > 24 hours.

**Denominator Statement:** All patients undergoing isolated CABG.

**Exclusions: N/A** 

Adjustment/Stratification: case-mix adjustment/No stratification is required for this measure.

Level of Analysis: Clinicians: Group; Facility/Agency; Population: National, regional/network, states, counties

or cities

Type of Measure: Outcome

Data Source: Registry data-STS Adult Cardiac Surgery Database, Version 2.73

Measure Steward: Society of Thoracic Surgeons | 633 North Saint Clair Street, Suite 2320 | Chicago | Illinois |

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#### Steering Committee Recommendation for Endorsement: Y-15; N-4; A-1

**Rationale:** Intubation is linked to morbidty, and an increase in length-of-stay, cost and resource utilization. The Committee suggested in the future the developer submit a companion measure at the next maintenance review that focuses on the median time to extubation for patients with whom are intubated for less than 24 hours.

#### If applicable, Conditions/Questions for Developer:

- 1. <u>De.2 Measure Description</u>: Please consider change in time limit to a period that is less than 24 hours
- 2. 1b.4 Summary of Data on Disparities by Population Group: Please provide data on disparities.

#### **Developer Response:**

- Considering the increased complexity of current CT patients, a time period significantly less than 24 hrs (e.g. 6 or 12 hours) would not be appropriate as a *routine performance measure*, even though that is achievable in many patients. In some patients, such a measure could result in the adverse unintended consequences of premature extubation, subsequent ventilatory failure, and re-intubation.
- 2. Data on disparities are provided in the form.

# **Steering Committee Follow-up:**

The Steering Committee agreed that the response from the developer was adequate though lacks some discriminatory power and suggested that in the future STS should submit a complementary measure that focuses on appropriate intubation time for patients.

#### 1. Importance to Measure and Report: Y-22; N-0

(1a. Impact: 1b. Performance gap: 1c. Outcome or Evidence)

**Rationale:** Although the measure compliance is above 90 percent, the Committee felt compliance should be closer to 100 percent.

# 2. Scientific Acceptability of Measure Properties: C-17; P-5; M-0; N-0

(2a. Precise specifications; 2b. Reliability testing; 2c. Validity testing; 2d. Exclusions justified; 2e. Risk adjustment/stratification; 2f. Meaningful differences; 2g. Comparability; 2h. Disparities)

Rationale: One potential confounder is the post-CABG patient who is extubatable by clinical criteria but is kept

### 0129 Risk-adjusted prolonged intubation (ventilation)

intubated beyond 24 hours due an unrelated unscheduled second surgery the next day. The Committee questioned the developer as to why 24 hours was selected as the standard as opposed to a shorter time period. The literature identifies a range of times, associated with length of stay in ICU and hospital as well as relationship to anesthesia. One study reported that 39 percent of all patients were extubated within 6 hours, 89 percent within 24 hours and 95 percent within 48 hours. Committee members indicated that in their experience the majority of patients are off ventilators sooner than 24 hours.

### 3. Usability: C-20; P-2; M-0; N-0

(3a. Meaningful/useful for public reporting and quality improvement; 3b. Harmonized; 3c. Distinctive or additive value to existing measures)

Rationale: The measure is meaningful for public reporting and quality improvement.

#### 4. Feasibility: C-20; P-1; M-1; N-0

(4a. Clinical data generated during care process; 4b. Electronic sources; 4c. Exclusions – no additional data source; 4d. Susceptibility to inaccuracies/ unintended consequences identified 4e. Data collection strategy can be implemented)

Rationale: Easily captured and derived from electronic sources.

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#### 0131 Risk-adjusted stroke/cerebrovascular accident

For More Information: Detailed Measure Specifications; Complete Measure Submission; Meeting/Call Proceedings

**Description:** Percent of patients aged 18 years and older undergoing isolated CABG who have a postoperative stroke (i.e., any confirmed neurological deficit of abrupt onset caused by a disturbance in blood supply to the brain) that did not resolve within 24 hours

**Numerator Statement:** Number of patients undergoing isolated CABG who have a postoperative stroke (i.e., any confirmed neurological deficit of abrupt onset caused by a disturbance in blood supply to the brain) that did not resolve within 24 hours.

**Denominator Statement:** All patients undergoing isolated CABG.

**Exclusions: N/A** 

Adjustment/Stratification: case-mix adjustment/No stratification is required for this measure.

Level of Analysis: Clinicians: Group; Facility/Agency; Population: National, regional/network, states, counties or cities

Type of Measure: Outcome

Data Source: Registry data-STS Adult Cardiac Surgery Database, Version 2.73

Measure Steward: Society of Thoracic Surgeons | 633 North Saint Clair Street, Suite 2320 | Chicago | Illinois |

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#### Steering Committee Recommendation for Endorsement: Y-20; N-1; A-0

Rationale: It is an important clinical condition to publicly report.

### If applicable, Conditions/Questions for Developer:

- 1. <u>1b.4 Summary of Data on Disparities by Population Group</u>: Please provide data on disparities.
- 2. <u>2a.2 Numerator Time Window</u>: Provide the time period in which cases are eligible for inclusion in the numerator.
- 3. <u>2a.9 Denominator Exclusions</u>: Please reconsider exclusion of patients with prior CVA; suggest this exclusion be removed or rationale for retaining it be provided in more detail.

#### **Developer Response:**

- 1. Data on disparities are provided in the form.
- 2. During the hospitalization for surgery, which includes the entire postoperative period up to discharge, even if over 30 days.
- STS will remove this exclusion. STS adjusts for prior CVA in the STS risk model.

### **Steering Committee Follow-up:**

The Steering Committee agreed that the response from the developer was adequate.

#### 1. Importance to Measure and Report: Y-22; N-0

(1a. Impact; 1b. Performance gap; 1c. Outcome or Evidence)

Rationale: Measuring the number of patients whose postoperative stroke was not resolved within 24 hours will

#### 0131 Risk-adjusted stroke/cerebrovascular accident

provide the opportunity to improve quality of care. With 1.0 as the median, STS data shows an incidence range from 0.6 - 2.1 with 1.2 and 0.8 at the  $25^{th}$  and  $75^{th}$  quartiles respectively. Up to a 13+ percent incidence of stroke has been reported.

#### 2. Scientific Acceptability of Measure Properties: C-12; P-10; M-0; N-0

(2a. Precise specifications; 2b. Reliability testing; 2c. Validity testing; 2d. Exclusions justified; 2e. Risk adjustment/stratification; 2f. Meaningful differences; 2g. Comparability; 2h. Disparities)

**Rationale:** This measure has significant face validity. Because it is a low-incidence event, large numbers are required for effective interpretation. The reproducibility of reporting centers from year to year is low. A center could have an excellent score one year and a bad score the following year. There was concern as to whether this truly represents the care at individual hospitals. The Committee questioned how the exclusion of a prior CVA is calculated. The Committee recommended that patients with a prior CVA should be included to see if prior CVA had worsened as a result of the CABG operation.

#### **3. Usability:** C-17; P-5; M-0; N-0

(3a. Meaningful/useful for public reporting and quality improvement; 3b. Harmonized; 3c. Distinctive or additive value to existing measures)

**Rationale:** Useful as a measure where the data is aggregated nationally. Due to this being a low frequency event, it will be hard to directly apply the results at the provider level or in an individual practice or hospital though it can prove useful as a trigger tool.

#### 4. Feasibility: C-18: P-4: M-0: N-0

(4a. Clinical data generated during care process; 4b. Electronic sources; 4c. Exclusions – no additional data source; 4d. Susceptibility to inaccuracies/ unintended consequences identified 4e. Data collection strategy can be implemented)

**Rationale:** The Committee was not sure how well automated electronic data (such as ICD-9 codes) can be used to define this measure. Cognitive defects can be subtle, and may require more focused testing that would increase the cost of data collection and complexity of this measure.

### 0119 Risk-adjusted operative mortality for CABG

For More Information: <u>Detailed Measure Specifications</u>; <u>Complete Measure Submission</u>; <u>Meeting/Call Proceedings</u>

**Description:** Percent of patients aged 18 years and older undergoing isolated CABG who die, including both 1) all deaths occurring during the hospitalization in which the CABG was performed, even if after 30 days, and 2) those deaths occurring after discharge from the hospital, but within 30 days of the procedure.

**Numerator Statement:** Number of patients undergoing isolated CABG who die, including both 1) all deaths occurring during the hospitalization in which the operation was performed, even if after 30 days, and 2) those deaths occurring after discharge from the hospital, but within 30 days of the procedure

**Denominator Statement:** All patients undergoing isolated CABG.

Exclusions: N/A

Adjustment/Stratification: case-mix adjustment/No stratification is required for this measure.

Level of Analysis: Clinicians: Group; Facility/Agency; Population: National, regional/network, states, counties

Type of Measure: Outcome

Data Source: Registry data-STS Adult Cardiac Surgery Database, Version 2.73

Measure Steward: Society of Thoracic Surgeons | 633 North Saint Clair Street, Suite 2320 | Chicago | Illinois |

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# Steering Committee Recommendation for Endorsement: Y-19; N-1; A-0

Rationale: Mortality is an important concept to measure and report.

#### If applicable, Conditions/Questions for Developer:

1. <u>1b.4 Summary of Data on Disparities by Population Group:</u> Please provide data on disparities.

## **Developer Response:**

1. Data on disparities are provided in the form.

#### **Steering Committee Follow-up:**

The Steering Committee agreed that the response from the developer was adequate.

## 0119 Risk-adjusted operative mortality for CABG

### 1. Importance to Measure and Report: Y-21; N-1

(1a. Impact; 1b. Performance gap; 1c. Outcome or Evidence)

**Rationale:** Understanding how to prevent mortality will provide better clinical outcomes. Data from the STS database reviewed and published reports a 30 day operative death rate of 3.05% and suggests that such site specific data can be useful to evaluate care quality and focus on areas for improvement. The developer was asked to provide data regarding disparities that will be considered prior to final action by the committee.

# 2. Scientific Acceptability of Measure Properties: C-17; P-5; M-0; N-0

(2a. Precise specifications; 2b. Reliability testing; 2c. Validity testing; 2d. Exclusions justified; 2e. Risk adjustment/stratification; 2f. Meaningful differences; 2g. Comparability; 2h. Disparities)

**Rationale:** The Committee discussed the risk-adjusted mortality rate and if it identified whether patients who should be doing well are actually doing well within institutions. The Committee expressed interest in being able to obtain the volume of surgeries performed in an institution stratified in terms of actual risk for <u>individual</u> patients and whether those patients who, statistically, are expected to survive actually survive. The measure does not consider the volume of the programs.

#### 3. Usability: C-20; P-2; M-0; N-0

(3a. Meaningful/useful for public reporting and quality improvement; 3b. Harmonized; 3c. Distinctive or additive value to existing measures)

Rationale: The measure is meaningful and useful for public reporting and quality improvement.

#### 4. Feasibility: C-20; P-2; M-0; N-0

(4a. Clinical data generated during care process; 4b. Electronic sources; 4c. Exclusions – no additional data source; 4d. Susceptibility to inaccuracies/ unintended consequences identified 4e. Data collection strategy can be implemented)

Rationale: The data can be derived from electronic sources.

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### 0120 Risk-adjusted operative mortality for aortic valve replacement (AVR)

**For More Information:** <u>Detailed Measure Specifications</u>; <u>Complete Measure Submission</u>; <u>Meeting/Call Proceedings</u>

**Description:** Percent of patients undergoing Aortic Valve Replacement (AVR)who die, including both 1) all deaths occurring during the hospitalization in which the procedure was performed, even if after 30 days, and 2) those deaths occurring after discharge from the hospital, but within 30 days of the procedure

**Numerator Statement:** Number of patients undergoing AVR who die, including both 1) all deaths occurring during the hospitalization in which the operation was performed, even if after 30 days, and 2) those deaths occurring after discharge from the hospital, but within 30 days of the procedure

**Denominator Statement:** All patients undergoing isolated AVR surgery.

Exclusions: N/A.

Adjustment/Stratification: case-mix adjustment/No stratification is required for this measure.

Level of Analysis: Clinicians: Group; Facility/Agency; Population: National, regional/network, states, counties

or cities

Type of Measure: Outcome

Data Source: Registry data-STS Adult Cardiac Surgery Database, Version 2.73

Measure Steward: Society of Thoracic Surgeons | 633 North Saint Clair Street, Suite 2320 | Chicago | Illinois |

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### Steering Committee Recommendation for Endorsement: Y-19; N-1; A-0

**Rationale:** Aortic valve replacement is a high risk surgery and factors that can improve outcomes can be studied from this measure.

#### If applicable, Conditions/Questions for Developer:

1. 1b.4 Summary of Data on Disparities by Population Group: Please provide data on disparities.

#### **Developer Response:**

1. Data on disparities are provided in the form.

#### **Steering Committee Follow-up:**

The Steering Committee agreed that the response from the developer was adequate.

1. Importance to Measure and Report: Y-20; N-0

### 0120 Risk-adjusted operative mortality for aortic valve replacement (AVR)

(1a. Impact; 1b. Performance gap; 1c. Outcome or Evidence)

**Rationale:** Important measure for determining the delivery of care in a cardiac program. The summary of evidence of high impact is strong.

#### 2. Scientific Acceptability of Measure Properties: C-20; P-1; M-0; N-0

(2a. Precise specifications; 2b. Reliability testing; 2c. Validity testing; 2d. Exclusions justified; 2e. Risk adjustment/stratification; 2f. Meaningful differences; 2g. Comparability; 2h. Disparities)

**Rationale:** Specifications are well defined and the risk adjustment methodology is appropriate and clearly described.

# 3. Usability: C-20; P-1; M-0; N-0

(3a. Meaningful/useful for public reporting and quality improvement; 3b. Harmonized; 3c. Distinctive or additive value to existing measures)

**Rationale:** The measure is straightforward and easy to understand. It is focused on one, clearly defined procedure, and the outcome (mortality) is determined by multiple contributing factors that when identified can be targets of quality improvement initiatives. This measure is currently not being publicly reported; reporting is expected within 12 months.

# 4. Feasibility: C-21; P-0; M-0; N-0

(4a. Clinical data generated during care process; 4b. Electronic sources; 4c. Exclusions – no additional data source; 4d. Susceptibility to inaccuracies/ unintended consequences identified 4e. Data collection strategy can be implemented)

Rationale: The data capture process for the database is extensive and well constructed.

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# 0121 Risk-adjusted operative mortality for mitral valve (MV) replacement

For More Information: <u>Detailed Measure Specifications</u>; <u>Complete Measure Submission</u>; <u>Meeting/Call Proceedings</u>

**Description:** Percent of patients undergoing MV replacement who die, including both 1) all deaths occurring during the hospitalization in which the procedure was performed, even if after 30 days, and 2) those deaths occurring after discharge from the hospital, but within 30 days of the procedure.

**Numerator Statement:** Number of patients undergoing MV replacement who die, including both 1) all deaths occurring during the hospitalization in which the procedure was performed, even if after 30 days, and 2) those deaths occurring after discharge from the hospital, but within 30 days of the procedure.

**Denominator Statement:** All patients undergoing isolated MV replacement surgery.

**Exclusions:** N/A

Adjustment/Stratification: case-mix adjustment/No stratification is required for this measure.

Level of Analysis: Clinicians: Group; Facility/Agency; Population: National, regional/network, states, counties

or cities

Type of Measure: Outcome

Data Source: Registry data-STS Adult Cardiac Surgery Database, Version 2.73

Measure Steward: Society of Thoracic Surgeons | 633 North Saint Clair Street, Suite 2320 | Chicago | Illinois |

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### Steering Committee Recommendation for Endorsement: Y-19; N-1; A-0

**Rationale:** The measure was well defined and constructed providing ability to drill down for information regarding in hospital and post discharge deaths. Having such data at the levels of analysis can help planning toward strategies to prevent mortality and ultimately provide better clinical outcomes.

### If applicable, Conditions/Questions for Developer:

1. <u>1b.4 Summary of Data on Disparities by Population Group</u>: Please provide data on disparities.

#### **Developer Response:**

1. Data on disparities are provided in the form.

#### **Steering Committee Follow-up:**

The Steering Committee agreed that the response from the developer was adequate.

# 1. Importance to Measure and Report: Y-21; N-0

(1a. Impact; 1b. Performance gap; 1c. Outcome or Evidence)

Rationale: The procedure is important to measure and report. Having the ability to review organizational

# 0121 Risk-adjusted operative mortality for mitral valve (MV) replacement

performance against that of peers and against oneself over time has been shown to facilitate insights that can result in improvement in risk assessment, patient selection and ultimately outcomes.

2. Scientific Acceptability of Measure Properties: C-20; P-1; M-0; N-0

(2a. Precise specifications; 2b. Reliability testing; 2c. Validity testing; 2d. Exclusions justified; 2e. Risk adjustment/stratification; 2f. Meaningful differences; 2g. Comparability; 2h. Disparities)

Rationale: The specifications are well defined.

3. Usability: C-21; P-0; M-0; N-0

(3a. Meaningful/useful for public reporting and quality improvement; 3b. Harmonized; 3c. Distinctive or additive value to existing measures)

**Rationale:** The measure is straightforward and easy to understand. This measure is currently not being publicly reported; reporting is expected within 12 months.

4. Feasibility: C-21; P-0; M-0; N-0

(4a. Clinical data generated during care process; 4b. Electronic sources; 4c. Exclusions – no additional data source; 4d. Susceptibility to inaccuracies/ unintended consequences identified 4e. Data collection strategy can be implemented)

Rationale: The data is derived from electronic sources.

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#### 0122 Risk-adjusted operative mortality MV replacement + CABG surgery

For More Information: Detailed Measure Specifications; Complete Measure Submission; Meeting/Call Proceedings

**Description:** Percent of patients undergoing combined MV replacement and CABG who die, including both 1) all deaths occurring during the hospitalization in which the procedure was performed, even if after 30 days, and 2) those deaths occurring after discharge from the hospital, but within 30 days of the procedure.

**Numerator Statement:** Number of patients undergoing combined MV replacement and CABG who die, including both 1) all deaths occurring during the hospitalization in which the procedure was performed, even if after 30 days, and 2) those deaths occurring after discharge from the hospital, but within 30 days of the procedure.

Denominator Statement: All patients undergoing combined MV replacement + CABG.

**Exclusions: N/A** 

Adjustment/Stratification: case-mix adjustment/No stratification is required for this measure.

Level of Analysis: Clinicians: Group; Facility/Agency; Population: National, regional/network, states, counties or cities

Type of Measure: Outcome

Data Source: Registry data-STS Adult Cardiac Surgery Database, Version 2.73

Measure Steward: Society of Thoracic Surgeons | 633 North Saint Clair Street, Suite 2320 | Chicago | Illinois |

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Steering Committee Recommendation for Endorsement: Y-19; N-1; A-0

Rationale: Signifcant procedure in cardiac surgery.

#### If applicable, Conditions/Questions for Developer:

1. 1b.4 Summary of Data on Disparities by Population Group: Please provide data on disparities.

# **Developer Response:**

1. Data on disparities are provided in the form.

#### **Steering Committee Follow-up:**

The Steering Committee agreed that the response from the developer was adequate.

#### 1. Importance to Measure and Report: Y-19; N-0

(1a. Impact; 1b. Performance gap; 1c. Outcome or Evidence)

**Rationale:** Important measure for the relatively small number of centers that perform this type of surgery given the increasing use in an older population with greater numbers and more severe co-morbid risk factors.

## 2. Scientific Acceptability of Measure Properties: C-16; P-3; M-0; N-0

(2a. Precise specifications; 2b. Reliability testing; 2c. Validity testing; 2d. Exclusions justified; 2e. Risk adjustment/stratification; 2f. Meaningful differences; 2g. Comparability; 2h. Disparities)

Rationale: The measure is precisely specified.

### 0122 Risk-adjusted operative mortality MV replacement + CABG surgery

3. Usability: C-16; P-3; M-0; N-0

(3a. Meaningful/useful for public reporting and quality improvement; 3b. Harmonized; 3c. Distinctive or additive value to existing measures)

**Rationale:** The question of whether the measure is useful due to the small number of centers that perform the surgery was discussed and decided in favor of the measure's use. This measure is currently not being publicly reported; reporting is expected within 12 months.

4. Feasibility: C-18; P-1; M-0; N-0

(4a. Clinical data generated during care process; 4b. Electronic sources; 4c. Exclusions – no additional data source; 4d. Susceptibility to inaccuracies/ unintended consequences identified 4e. Data collection strategy can be implemented)

Rationale: Audit process is well structured.

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#### 0123 Risk-adjusted operative mortality for aortic valve replacement (AVR) + CABG surgery

**For More Information:** <u>Detailed Measure Specifications</u>; <u>Complete Measure Submission</u>; <u>Meeting/Call Proceedings</u>

**Description:** Percent of patients undergoing combined AVR and CABG who die, including both 1) all deaths occurring during the hospitalization in which the procedure was performed, even if after 30 days, and 2) those deaths occurring after discharge from the hospital, but within 30 days of the procedure.

**Numerator Statement:** Number of patients undergoing combined AVR and CABG who die, including both 1) all deaths occurring during the hospitalization in which the procedure was performed, even if after 30 days, and 2) those deaths occurring after discharge from the hospital, but within 30 days of the procedure.

**Denominator Statement:** All patients undergoing combined AVR + CABG.

**Exclusions: N/A** 

Adjustment/Stratification: case-mix adjustment/No stratification is required for this measure.

Level of Analysis: Clinicians: Group; Facility/Agency; Population: National, regional/network, states, counties

or cities

Type of Measure: Outcome

Data Source: Registry data-STS Adult Cardiac Surgery Database, Version 2.73

Measure Steward: Society of Thoracic Surgeons | 633 North Saint Clair Street, Suite 2320 | Chicago | Illinois |

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#### Steering Committee Recommendation for Endorsement: Y-19; N-1; A-0

Rationale: The performance gap varies by facility.

# If applicable, Conditions/Questions for Developer:

1. 1b.4 Summary of Data on Disparities by Population Group: Please provide data on disparities.

#### **Developer Response:**

1. Data on disparities are provided in the form.

#### **Steering Committee Follow-up:**

The Steering Committee agreed that the response from the developer was adequate.

#### 1. Importance to Measure and Report: Y-20; N-0

(1a. Impact; 1b. Performance gap; 1c. Outcome or Evidence)

Rationale: It is a critical outcome that varies in performance.

#### 2. Scientific Acceptability of Measure Properties: C-18; P-2; M-0; N-0

(2a. Precise specifications; 2b. Reliability testing; 2c. Validity testing; 2d. Exclusions justified; 2e. Risk adjustment/stratification; 2f. Meaningful differences; 2g. Comparability; 2h. Disparities)

**Rationale:** A higher risk population is undergoing this surgery; the case mix risk model is appropriate for the population. The reliability and validity testing will allow organizations to provide consistent and credible results

#### **3. Usability:** C19-; P-2; M-0; N-0

(3a. Meaningful/useful for public reporting and quality improvement; 3b. Harmonized; 3c. Distinctive or additive value to existing measures)

**Rationale:** This measure is currently not being publicly reported; strategy for reporting puts CABG procedures out first with other to follow. This and related measures are expected to be publicly reported within 24-36 months.

#### 0123 Risk-adjusted operative mortality for aortic valve replacement (AVR) + CABG surgery

#### 4. Feasibility: C-21; P-0; M-0; N-0

(4a. Clinical data generated during care process; 4b. Electronic sources; 4c. Exclusions – no additional data source; 4d. Susceptibility to inaccuracies/ unintended consequences identified 4e. Data collection strategy can be implemented)

Rationale: The information can be derived from electronic sources.

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#### 1501 Risk-adjusted operative mortality for mitral valve (MV) repair

**For More Information:** <u>Detailed Measure Specifications</u>; <u>Complete Measure Submission</u>; <u>Meeting/Call Proceedings</u>

**Description:** Percent of patients undergoing MV Repair who die, including both 1) all deaths occurring during the hospitalization in which the procedure was performed, even if after 30 days, and 2) those deaths occurring after discharge from the hospital, but within 30 days of the procedure.

(This measure applies to the procedure of MV repair, regardless of approach) *Note: This measure was formerly endorsed as a component of Measure 0121* 

**Numerator Statement:** Number of patients undergoing MV repair who die, including both 1) all deaths occurring during the hospitalization in which the procedure was performed, even if after 30 days, and 2) those deaths occurring after discharge from the hospital, but within 30 days of the procedure.

**Denominator Statement:** All patients undergoing isolated MV Repair surgery (This measure applies to the procedure of MV repair, regardless of approach)

Exclusions: N/A

Adjustment/Stratification: case-mix adjustment/No stratification is required for this measure.

Level of Analysis: Clinicians: Group; Facility/Agency; Population: National, regional/network, states, counties or cities

Type of Measure: Outcome

Data Source: Registry data-STS Adult Cardiac Surgery Database, Version 2.73

Measure Steward: Society of Thoracic Surgeons | 633 North Saint Clair Street, Suite 2320 | Chicago | Illinois | 60611

#### Steering Committee Recommendation for Endorsement: Y-21; N-0; A-0

Rationale: The measure provides an additive value to measures on cardiac surgical care.

#### If applicable, Conditions/Questions for Developer:

- 1. <u>De.2 Measure Description & 2a.4 Denominator Statement</u>: Please clarify that the measure applies to open chest procedures.
- 2. <u>1b.4 Summary of Data on Disparities by Population Group</u>: Please provide data on disparities.

#### **Developer Response:**

- 1. The measure applies to the procedure of MV repair, regardless of approach.
- 2. Data on disparities are provided in the form.

#### **Steering Committee Follow-up:**

The Steering Committee agreed that the response from the developer was adequate.

#### 1. Importance to Measure and Report: Y-21; N-0

(1a. Impact; 1b. Performance gap; 1c. Outcome or Evidence)

Rationale: This procedure is important to measure and report.

#### 2. Scientific Acceptability of Measure Properties:

(2a. Precise specifications; 2b. Reliability testing; 2c. Validity testing; 2d. Exclusions justified; 2e. Risk adjustment/stratification; 2f. Meaningful differences; 2g. Comparability; 2h. Disparities)

Rationale: The measure is precisely specified.

#### 3. Usability:

(3a. Meaningful/useful for public reporting and quality improvement; 3b. Harmonized; 3c. Distinctive or additive value to existing measures)

Rationale: The measure is easy to understand.

#### 4. Feasibility:

(4a. Clinical data generated during care process; 4b. Electronic sources; 4c. Exclusions – no additional data source; 4d. Susceptibility to inaccuracies/ unintended consequences identified 4e. Data collection strategy can

## 1501 Risk-adjusted operative mortality for mitral valve (MV) repair

be implemented)

Rationale: Easily measured and derived from electronic sources.

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#### 1502 Risk-adjusted operative mortality for MV repair + CABG surgery

**For More Information:** <u>Detailed Measure Specifications</u>; <u>Complete Measure Submission</u>; <u>Meeting/Call Proceedings</u>

**Description:** Percent of patients undergoing combined MV repair and CABG who die, including both 1) all deaths occurring during the hospitalization in which the procedure was performed, even if after 30 days, and 2) those deaths occurring after discharge from the hospital, but within 30 days of the procedure. *Note: This measure was formerly endorsed as a component of Measure 0122.* 

**Numerator Statement:** Number of patients undergoing combined MV repair and CABG who die, including both 1) all deaths occurring during the hospitalization in which the procedure was performed, even if after 30 days, and 2) those deaths occurring after discharge from the hospital, but within 30 days of the procedure.

Denominator Statement: All patients undergoing combined MV repair + CABG

**Exclusions: N/A** 

Adjustment/Stratification: case-mix adjustment/No stratification is required for this measure.

Level of Analysis: Clinicians: Group; Facility/Agency; Population: National, regional/network, states, counties

or cities

Type of Measure: Outcome

Data Source: Registry data-STS Adult Cardiac Surgery Database, Version 2.73

Measure Steward: Society of Thoracic Surgeons | 633 North Saint Clair Street, Suite 2320 | Chicago | Illinois |

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# Steering Committee Recommendation for Endorsement: Y-21; N-0; A-0

Rationale: Important measure with variation of performance.

#### If applicable, Conditions/Questions for Developer:

1. <u>1b.4 Summary of Data on Disparities by Population Group</u>: Please provide data on disparities.

#### **Developer Response:**

1. Data on disparities are provided in the form.

### **Steering Committee Follow-up:**

The Steering Committee agreed that the response from the developer was adequate.

#### 1. Importance to Measure and Report: Y-21: N-0

(1a. Impact; 1b. Performance gap; 1c. Outcome or Evidence)

Rationale: Mortality varies for this procedure.

# 2. Scientific Acceptability of Measure Properties: C-16; P-4; M-0; N-0

(2a. Precise specifications; 2b. Reliability testing; 2c. Validity testing; 2d. Exclusions justified; 2e. Risk adjustment/stratification; 2f. Meaningful differences; 2g. Comparability; 2h. Disparities)

Rationale: The measure is precisely specified.

## 3. Usability: C-20; P-1; M-0; N-0

(3a. Meaningful/useful for public reporting and quality improvement; 3b. Harmonized; 3c. Distinctive or additive value to existing measures)

Rationale: The measure is easy to understand.

#### 4. Feasibility: C-21; P-0; M-0; N-0

(4a. Clinical data generated during care process; 4b. Electronic sources; 4c. Exclusions – no additional data source; 4d. Susceptibility to inaccuracies/ unintended consequences identified 4e. Data collection strategy can be implemented)

Rationale: Easily measured and derived from electronic sources.

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# 0360 Esophageal resection mortality rate (IQI 8)

For More Information: <u>Detailed Measure Specifications</u>; <u>Complete Measure Submission</u>; <u>Meeting/Call Proceedings</u>

**Description:** Number of inpatient deaths per 100 discharges with a procedure for esophageal resection **Numerator Statement:** Number of deaths among cases meeting the inclusion and exclusion rules for the

## 0360 Esophageal resection mortality rate (IQI 8)

denominator.

**Denominator Statement:** Discharges, age 18 years and older, with ICD-9-CM esophageal resection procedure code and a diagnosis code of esophageal cancer in any field OR gastrectomy procedure code ONLY if accompanied by selected diagnosis codes.

**Exclusions:** Exclude discharges with pregnancy, discharge to a short term hospital or missing information for discharge disposition, age or sex.

Adjustment/Stratification: case mix adjustment/Observed rates may be stratified by age group, race/ethnicity

categories, payer categories and sex. **Level of Analysis:** Facility/Agency **Type of Measure:** Outcome

Data Source: Electronic administrative data/claims

Measure Steward: Agency for Healthcare Research and Quality | 540 Gaither Road | Rockville | Maryland |

20850

#### Steering Committee Recommendation for Endorsement: Y-20; N-0; A-0

Rationale: Numerous studies have demonstrated a high variability in surgical mortality, largely influenced by hospital volume. The adoption of such a measure would encourage quality improvement at low-volume centers, or patients seeking care at centers with better results. Continued measurement and reporting of this measure is warranted as it will help advance the understanding of variations in outcome for esophageal resection and identify best practices. For reporting, this measure is to be paired with 0361, Esophageal resection volume. In considering potential harmonization with NQF-endorsed™ Measure 0737, Survival predictor for esophagectomy surgery, the Committee determined that the measure differences support maintaining the measures without harmonization work at this time.

#### If applicable, Conditions/Questions for Developer:

Endorsement recommendation is based on developer commitment to ensure that the 0360 and 0361 are harmonized and reported as a pair.

### 1. Importance to Measure and Report: Y-18; N-4

(1a. Impact; 1b. Performance gap; 1c. Outcome or Evidence)

**Rationale:** Esophagectomy for cancer carries a high risk of mortality given the magnitude of the procedure and the high risk population in which it is performed.

## 2. Scientific Acceptability of Measure Properties: C-3; P-16; M-2; N-1

(2a. Precise specifications; 2b. Reliability testing; 2c. Validity testing; 2d. Exclusions justified; 2e. Risk adjustment/stratification; 2f. Meaningful differences; 2g. Comparability; 2h. Disparities)

**Rationale:** While this is an important measure, the relatively low volume of esophagectomies performed on an annual basis will make inter-hospital comparisons statistically difficult, especially for low-volume centers.

#### 3. Usability: C-6; P-13; M-1; N-2

(3a. Meaningful/useful for public reporting and quality improvement; 3b. Harmonized; 3c. Distinctive or additive value to existing measures)

**Rationale:** The Committee discussed the issue of low-volume centers and if their mortality could adequately predict future mortality. Concerns of consumers misinterpreting the data of low-volume centers were expressed.

#### 4. Feasibility: C-17; P-4; M-1; N-0

(4a. Clinical data generated during care process; 4b. Electronic sources; 4c. Exclusions – no additional data source; 4d. Susceptibility to inaccuracies/ unintended consequences identified 4e. Data collection strategy can be implemented)

Rationale: The information is derived from electronic administrative data/claims.

#### 0361 Esophageal resection volume (IQI 1)

**For More Information:** <u>Detailed Measure Specifications</u>; <u>Complete Measure Submission</u>; <u>Meeting/Call Proceedings</u>

**Description:** Number of discharges with a procedure for esophageal resection.

**Numerator Statement:** Discharges, age 18 years and older, with ICD-9-CM code for esophageal resection in any procedure field OR gastrectomy procedure code ONLY if accompanied by selected diagnosis codes.

**Denominator Statement: N/A** 

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### 0361 Esophageal resection volume (IQI 1)

Exclusions: N/A

Adjustment/Stratification: no risk adjustment necessary/No stratification is required for this measure.

**Level of Analysis:** Facility/Agency **Type of Measure:** Structure/management

Data Source: Electronic administrative data/claims

Measure Steward: Agency for Healthcare Research and Quality | 540 Gaither Road | Rockville | Maryland |

20850

# Steering Committee Recommendation for Endorsement: Y-20; N-0; A-0

**Rationale:** Numerous studies have demonstrated high variability in surgical mortality, largely influenced by hospital volume. The adoption of such a measure would encourage quality improvements at low-volume centers, or patients seeking care at centers with better results. Continued measurement and reporting of this measure is warranted as it will help advance our understanding of variations in outcome for esophageal resection and identify best practices. For reporting, this measure is to be paired with 0360, Esophageal resection mortality rate..

#### If applicable, Conditions/Questions for Developer:

Endorsement recommendation is based on developer commitment to ensure that the 0360 and 0361 are harmonized and reported as a pair.

#### 1. Importance to Measure and Report: Y-18; N-4

(1a. Impact; 1b. Performance gap; 1c. Outcome or Evidence)

**Rationale:** Esophagectomy for cancer carries a high risk of mortality given the magnitude of the procedure and the high risk population in which it is performed.

# 2. Scientific Acceptability of Measure Properties: C-8; P-11; M-3; N-0

(2a. Precise specifications; 2b. Reliability testing; 2c. Validity testing; 2d. Exclusions justified; 2e. Risk adjustment/stratification; 2f. Meaningful differences; 2g. Comparability; 2h. Disparities)

**Rationale:** Mortality rates provide more valuable information than volume. The Committee questioned if this measure was necessary since volume is a proxy for mortality and decided the measure is appropriately used and reported but should remain paired with 0360 and not reported as a stand-alone.

#### **3. Usability:** C-7; P-14; M-1; N-0

(3a. Meaningful/useful for public reporting and quality improvement; 3b. Harmonized; 3c. Distinctive or additive value to existing measures)

Rationale: Concerns of consumers misinterpreting the data of low-volume centers were expressed.

# 4. Feasibility: C-17; P-5; M-0; N-0

(4a. Clinical data generated during care process; 4b. Electronic sources; 4c. Exclusions – no additional data source; 4d. Susceptibility to inaccuracies/ unintended consequences identified 4e. Data collection strategy can be implemented)

Rationale: The information is derived from electronic administrative data/claims.

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#### 0116 Anti-platelet medication at discharge

For More Information: <u>Detailed Measure Specifications</u>; <u>Complete Measure Submission</u>; <u>Meeting/Call Proceedings</u>

**Description:** Percent of patients aged 18 years and older undergoing isolated CABG who were discharged on anti-platelet medication.

**Numerator Statement:** Number of patients undergoing isolated CABG who were discharged on anti-platelet medication.

**Denominator Statement:** All patients undergoing isolated CABG.

**Exclusions:** Cases are removed from the denominator if there was an in-hospital mortality or if discharge aspirin was contraindicated. In other words, if discharge aspirin is marked contraindicated or there is an inhospital mortality, the patient is excluded from the denominator, and therefore, the measure is calculated without those patients.

**Adjustment/Stratification:** no risk adjustment necessary/No stratification is required for this measure. **Level of Analysis:** Clinicians: Group; Facility/Agency; Population: National, regional/network, states, counties or cities

#### 0116 Anti-platelet medication at discharge

Type of Measure: Process

Data Source: Registry data-STS Adult Cardiac Surgery Database, Version 2.73

Measure Steward: Society of Thoracic Surgeons | 633 North Saint Clair Street, Suite 2320 | Chicago | Illinois |

60611

Steering Committee Recommendation for Endorsement: Y-20; N-0; A-0

**Rationale:** Though the measure has been in use for multiple years, there is still a performance gap; provider organizations ranges from 85-100 percent.

#### If applicable, Conditions/Questions for Developer:

- 1. <u>1b.4 Summary of Data on Disparities by Population Group</u>: Please provide data on disparities.
- 2a Measure Specifications: When are denominator exclusions with respect to calculating the numerator?
- 2a.2 Numerator Time Window: Provide the time period in which cases are eligible for inclusion in the numerator.
- 4. Indicate acceptability of Plavix/clopidogrel, where applicable, throughout. The numerator statement includes anti-platelet medications; however, the denominator excludes those with an aspirin contraindication. Is a patient who is on Plavix because of an aspirin contraindication counted in the numerator or excluded from the denominator?

#### **Developer Response:**

- 1. Data on disparities are provided in the form.
- 2. If discharge aspirin is marked contraindicated or there is an in-hospital mortality, the patient is excluded from the denominator, and therefore, the measure is calculated without those patients.
- 3. Indicated in the measure
- 4. Existing numerator details state that either discharge aspirin or ADP inhibitors are acceptable. If a patient is on Plavix due to an aspirin contraindication, s/he is counted in the numerator because STS accepts either ASA or ADP inhibitors for the numerator (i.e., Number of isolated CABG procedures in which discharge aspirin [DCASA] or discharge ADP inhibitors [DCADP] is marked "yes").

### **Steering Committee Follow-up:**

The Steering Committee agreed that the response from the developer was adequate.

# 1. Importance to Measure and Report: Y-21; N-0

(1a. Impact; 1b. Performance gap; 1c. Outcome or Evidence)

**Rationale:** The use of anti-platelet therapy at discharge is currently an accepted standard of care to improve bypass graft patency and promote secondary prevention of coronary artery disease and performance gap remains.

#### 2. Scientific Acceptability of Measure Properties: C-18; P-3; M-0; N-0

(2a. Precise specifications; 2b. Reliability testing; 2c. Validity testing; 2d. Exclusions justified; 2e. Risk adjustment/stratification; 2f. Meaningful differences; 2g. Comparability; 2h. Disparities)

**Rationale:** The Committee was uncertain as to when exclusions were applied. The Committee questioned if Plavix was an acceptable alternative if aspirin is contraindicated.

#### 3. Usability: C-21; P-0; M-0; N-0

(3a. Meaningful/useful for public reporting and quality improvement; 3b. Harmonized; 3c. Distinctive or additive value to existing measures)

**Rationale:** The measure is currently widely used both as a CMS PQRI measure (measure 169) and at hospitals that are participating in the STS Adult Cardiac Surgery Database providing information that providers can use to analyze and improve anti-platelet use practices.

## 4. Feasibility: C-20; P-1; M-0; N-0

(4a. Clinical data generated during care process; 4b. Electronic sources; 4c. Exclusions – no additional data source; 4d. Susceptibility to inaccuracies/ unintended consequences identified 4e. Data collection strategy can be implemented)

Rationale: The measure can be easily implemented.

## 0118 Anti-lipid treatment discharge

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For More Information: Detailed Measure Specifications; Complete Measure Submission; Meeting/Call

### 0118 Anti-lipid treatment discharge

**Proceedings** 

**Description:** Percent of patients aged 18 years and older undergoing isolated CABG who were discharged on a statin or other lipid-lowering regimen.

**Numerator Statement:** Number of patients undergoing isolated CABG who were discharged on a statin or other lipid-lowering regimen.

**Denominator Statement:** All patients undergoing isolated CABG.

**Exclusions:** Cases are removed from the denominator if there was an in-hospital mortality or if discharge antilipid treatment was contraindicated.

Adjustment/Stratification: no risk adjustment necessary/No stratification is required for this measure.

Level of Analysis: Clinicians: Group; Facility/Agency; Population: National, regional/network, states, counties or cities

Type of Measure: Process

Data Source: Registry data-STS Adult Cardiac Surgery Database, Version 2.73

Measure Steward: Society of Thoracic Surgeons | 633 North Saint Clair Street, Suite 2320 | Chicago | Illinois |

60611

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Steering Committee Recommendation for Endorsement: Y-20; N-0; A-0

**Rationale:** Although the current compliance rate is 98 percent, there is still regional variation where performance is low.

# If applicable, Conditions/Questions for Developer:

1. <u>1b.4 Summary of Data on Disparities by Population Group</u>: Please provide data on disparities.

## **Developer Response:**

1. Data on disparities are provided in the form.

#### **Steering Committee Follow-up:**

The Steering Committee agreed that the response from the developer was adequate.

### 1. Importance to Measure and Report: Y-21; N-0

(1a. Impact; 1b. Performance gap; 1c. Outcome or Evidence)

Rationale: Strong clinical evidence indicates that a lipid-lowering regime is of benefit to patients post-CABG.

#### 2. Scientific Acceptability of Measure Properties: C-20; P-1; M-0; N-0

(2a. Precise specifications; 2b. Reliability testing; 2c. Validity testing; 2d. Exclusions justified; 2e. Risk adjustment/stratification; 2f. Meaningful differences; 2g. Comparability; 2h. Disparities)

**Rationale:** Specifications are well defined. Reliability and validity testing results are reported with rates of p=0.76 and 96.5% agreement respectively.

#### **3. Usability:** C-20; P-0; M-1; N-0

(3a. Meaningful/useful for public reporting and quality improvement; 3b. Harmonized; 3c. Distinctive or additive value to existing measures)

Rationale: The Committee would like to see an increase in utilization of the measure and eventually become a standard practice of care.

#### 4. Feasibility: C-21; P-0; M-0; N-0

(4a. Clinical data generated during care process; 4b. Electronic sources; 4c. Exclusions – no additional data source; 4d. Susceptibility to inaccuracies/ unintended consequences identified 4e. Data collection strategy can be implemented)

Rationale: The measure can be easily implemented.

## 0130 Risk-adjusted deep sternal wound infection rate

For More Information: Detailed Measure Specifications; Complete Measure Submission; Meeting/Call Proceedings

**Description:** Percent of patients aged 18 years and older undergoing isolated CABG who, within 30 days postoperatively, develop deep sternal wound infection involving muscle, bone, and/or mediastinum requiring operative intervention.

**Numerator Statement:** Number of patients who, within 30 days postoperatively, develop deep sternal wound infection involving muscle, bone, and/or mediastinum requiring operative intervention. Must have all of the following conditions:

## 0130 Risk-adjusted deep sternal wound infection rate

- Wound opened with excision of tissue (I&D) or re-exploration of mediastinum
- Positive culture unless patient on antibiotics at time of culture or no culture obtained
- Treatment with antibiotics beyond perioperative prophylaxis

**Denominator Statement:** All patients undergoing isolated CABG

**Exclusions:** N/A

Adjustment/Stratification: case-mix adjustment/No stratification is required for this measure

Level of Analysis: Clinicians: Group; Facility/Agency; Population: National, regional/network, states, counties

or cities

Type of Measure: Outcome

Data Source: Registry data-STS Adult Cardiac Surgery Database, Version 2.73

Measure Steward: Society of Thoracic Surgeons | 633 North Saint Clair Street, Suite 2320 | Chicago | Illinois |

60611

Steering Committee Recommendation for Endorsement: Y-19; N-0; A-1

Rationale: There is an opportunity for improvement due to the presence of variation within the performance

gap.

### If applicable, Conditions/Questions for Developer:

1. 1b.4 Summary of Data on Disparities by Population Group: Please provide data on disparities.

## **Developer Response:**

1. Data on disparities are provided in the form.

#### **Steering Committee Follow-up:**

The Steering Committee agreed that the response from the developer was adequate.

# 1. Importance to Measure and Report: Y-21; N-0

(1a. Impact; 1b. Performance gap; 1c. Outcome or Evidence)

Rationale: There is significant morbidity and mortality associated with this condition.

### 2. Scientific Acceptability of Measure Properties: C-20; P-1; M-0; N-0

(2a. Precise specifications; 2b. Reliability testing; 2c. Validity testing; 2d. Exclusions justified; 2e. Risk adjustment/stratification; 2f. Meaningful differences; 2g. Comparability; 2h. Disparities)

**Rationale:** The measure is important based on surgical wound infection as an important indicator of performance; the specifications are clearly and fully defined. The 30 day time interval for occurrence of sternal wound infection is appropriate.

# 3. Usability: C-19; P-2; M-0; N-0

(3a. Meaningful/useful for public reporting and quality improvement; 3b. Harmonized; 3c. Distinctive or additive value to existing measures)

**Rationale:** STS reports it has worked to harmonize its definition of surgical site infection with CDC's definition and has done so except with respect to the time interval. At present, STS believes the 30 day time interval for the measure vs. the CDC 12 months outer limit is most appropriate.

#### 4. Feasibility: C-19; P-2; M-0; N-0

(4a. Clinical data generated during care process; 4b. Electronic sources; 4c. Exclusions – no additional data source; 4d. Susceptibility to inaccuracies/ unintended consequences identified 4e. Data collection strategy can be implemented)

Rationale: The measure can be easily implemented.

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# 0218 Surgery patients who received appropriate venous thromboembolism (VTE) prophylaxis within 24 hours prior to surgery to 24 hours after surgery end time

For More Information: <u>Detailed Measure Specifications</u>; <u>Complete Measure Submission</u>; <u>Meeting/Call Proceedings</u>

**Description:** Percentage of surgery patients who received appropriate venous thromboembolism (VTE) prophylaxis within 24 hours prior to surgery to 24 hours after surgery end time

**Numerator Statement:** Surgery patients who received appropriate VTE prophylaxis within 24 hours prior to Surgical Incision Time to 24 hours after Surgery End Time

Appropriate prophylaxis according to Surgery Type:

Intracranial Neurosurgery

# 0218 Surgery patients who received appropriate venous thromboembolism (VTE) prophylaxis within 24 hours prior to surgery to 24 hours after surgery end time

Any of the following:

- Intermittent pneumatic compression devices (IPC) with or without graduated compression stockings (GCS)
- Low-dose unfractionated heparin (LDUH)

Low molecular weight heparin (LMWH)2

LDUH or LMWH2 combined with IPC or GCS

**General Surgery** 

Any of the following:

- Low-dose unfractionated heparin (LDUH)
- Low molecular weight heparin (LMWH)
- Factor Xa Inhibitor (Fondaparinux)
- LDUH or LMWH or Factor Xa Inhibitor (fondaparinux) combined with IPC or GCS

General Surgery with a reason for not administering pharmacological prophylaxis

Any of the following:

- Graduated Compression stockings (GCS)
- Intermittent pneumatic compression devices (IPC)

Gynecologic Surgery

Any of the following:

- Low-dose unfractionated heparin (LDUH)
- Low molecular weight heparin (LMWH)
- Factor Xa Inhibitor (fondaparinux)
- Intermittent pneumatic compression devices (IPC)
- LDUH or LMWH or Factor Xa Inhibitor (fondaparinux) combined with IPC or GCS

**Urologic Surgery** 

Any of the following:

- Low-dose unfractionated heparin (LDUH)
- Low molecular weight heparin (LMWH)
- Factor Xa Inhibitor (fondaparinux)
- Intermittent pneumatic compression devices (IPC)
- Graduated compression stockings (GCS)
- LDUH or LMWH or Factor Xa Inhibitor (fondaparinux) combined with IPC or GCS

Elective Total Hip Replacement

Any of the following started within 24 hours of surgery:

- Low molecular weight heparin (LMWH)
- Factor Xa Inhibitor (Fondaparinux)
- Warfarin

**Elective Total Knee Replacement** 

Any of the following:

- Low molecular weight heparin (LMWH)
- Factor Xa Inhibitor (Fondaparinux)
- Warfarin
- Intermittent pneumatic compression devices (IPC)
- Venous foot pump (VFP)

Hip Fracture Surgery

Any of the following:

- Low-dose unfractionated heparin (LDUH)
- Low molecular weight heparin (LMWH)
- Factor Xa Inhibitor (Fondaparinux)
- Warfarin

Elective Total Hip Replacement with a reason for not administering pharmacological prophylaxis Any of the following:

- Intermittent pneumatic compression devices (IPC)
- Venous foot pump (VFP)

# 0218 Surgery patients who received appropriate venous thromboembolism (VTE) prophylaxis within 24 hours prior to surgery to 24 hours after surgery end time

Hip Fracture Surgery with a reason for not administering pharmacological prophylaxis Any of the following:

- Graduated Compression Stockings (GCS)
- Intermittent pneumatic compression devices (IPC)
- Venous foot pump (VFP)

**Denominator Statement:** All selected surgery patients.

**Exclusions:** Data elements: clinical trial, laparoscope, perioperative death, preadmission warfarin, reason for not administering VTE prophylaxis

Adjustment/Stratification: no risk adjustment necessary/No stratification except by surgery type and those

are Intracranial Neurosurgery Appendix A, Table 5.17

General Surgery Appendix A, Table 5.19

Gynecologic Surgery Appendix A, Table 5.20

Urologic Surgery Appendix A, Table 5.21

Elective Total Hip Replacement Appendix A, Table 5.22

Elective Total Knee Replacement Appendix A, Table 5.23

Hip Fracture Surgery Appendix A, Table 5.24

Level of Analysis: Facility/Agency; Program: QIO; can be measured at all levels

Type of Measure: Process

**Data Source:** Electronic clinical data; electronic health/medical record; paper medical record/flow-sheet. Vendor tools or CART. CART is available for download free at

http://www.qualitynet.org/dcs/ContentServer?c=Page&pagename=QnetPublic%2FPage%2FQnetTier2&cid=113 8900279093

**Measure Steward:** Centers for Medicare & Medicaid Services | 7500 Security Boulevard | Baltimore | Maryland | 21244

#### Steering Committee Recommendation for Endorsement: Y-17; N-2; A-1

Rationale: The large number of patients at risk and rate of death demonstrates the importance of continuing to strive for 100 percent compliance since VTE is one of the most common preventable causes of hospital death with about 1/3 of such occurrences being fatal. In discussion of potential harmonization of related measure 0371, the Committee agreed that the differences in populations, and guidelines for prophylaxis for those populations, warrant continuation of both measures as specified at present; however, members requested that the population of patients targeted by the measures be further reviewed for harmonization by the next maintenance review of the measures.

#### If applicable, Conditions/Questions for Developer:

- 1. <u>2a Measure Specifications</u>: The length-of-stay indicated in the form is inconsistent. Length-of-stay is listed as three calendar days in some areas of the form and 24 hours in other areas.
- 2. <u>2a.3 Numerator Details</u>: Provide a more detailed definition of what constitutes 'appropriate VTE prophylaxis' and attempt to reconcile ACCP guidelines with other evidence based guidelines for relevant populations (e.g. AAOS for orthopedic procedures).
- 3. <u>2a.10 Denominator Exclusion Details</u>: Provide a more detailed definition of the laparoscopic exclusion or remove laparoscopic procedures from the denominator exclusions.

#### **Developer Response:**

- 1. The numerator time window (section 2a.2) is 24 hours prior to incision to 24 hours after surgery end time. Included in the measure submission is an exclusion statement "Patients with hospital length of stay less than or equal to 3 calendar days" that was not consistent with the exclusion statements in the paired measure, #217. All of the information about length of stay in #218 is correct. Measure #217 contains an incorrect statement about length of stay, but that measure is not being considered for reendorsement, so it will not be corrected.
- 2. The submission form requests a link to the specifications and specifically recommends against the use of attachments. The Measure Information Form on the QualityNet website provides a very detailed table listing the procedure type and the appropriate VTE prophylaxis. That table is below. The recommendations in the measure are based on Level I evidence, per the ACCP Guidelines. The AAOS has this recommendation for prevention of symptomatic PE in patients undergoing hip/knee

# 0218 Surgery patients who received appropriate venous thromboembolism (VTE) prophylaxis within 24 hours prior to surgery to 24 hours after surgery end time

arthroplasty, with a Level III rating. The use of aspirin as a monotherapy is the only recommendation that does not agree with the ACCP Guidelines. The recommendation from AAOS is listed below:

#### **Recommendation 3.3**

Chemoprophylaxis of patients undergoing hip or knee replacement

#### **Recommendation 3.3.1**

Patients at standard risk of both PE and major bleeding should be considered for one of the chemoprophylactic agents evaluated in this guideline, including—in alphabetical order: <u>Aspirin</u>, low molecular-weight heparin (LMWH), synthetic pentasaccharides, and warfarin. (Level III, Grade B [choice of prophylactic agent], Grade C [dosage and timing])

Note: The grade of recommendation was reduced from B to C for dosage and timing because of the lack of consistent evidence in the literature defining a clearly superior regime.

3. The exclusion for laparoscopic procedures is being removed for discharges beginning 1/1/2012.

#### **Steering Committee Follow-up:**

The Steering Committee agreed that the response from the developer was adequate. The Steering Committee expressed that in the future they would like to see ACCP and AAOS work together to create appropriate and standardized guidelines.

# 1. Importance to Measure and Report: Y-20; N-0

(1a. Impact; 1b. Performance gap; 1c. Outcome or Evidence)

**Rationale:** Performance in qtr 1, 2010 was 92.5%, up from 69.79% in 2005 with significant remaining opportunity for improvement. Studies have indicated that the number one cause of 30-day mortality in cancer patients after surgery is related to venous thromboembolism.

#### 2. Scientific Acceptability of Measure Properties: C-6; P-13; M-1; N-0

(2a. Precise specifications; 2b. Reliability testing; 2c. Validity testing; 2d. Exclusions justified; 2e. Risk adjustment/stratification; 2f. Meaningful differences; 2g. Comparability; 2h. Disparities)

**Rationale:** The numerator is not harmonized with other evidence-based guidelines. Laparoscopic surgery is not well defined and should be removed from the list of exclusions as they are high risk patients.

#### **3. Usability:** C-9; P-11; M-0; N-0

(3a. Meaningful/useful for public reporting and quality improvement; 3b. Harmonized; 3c. Distinctive or additive value to existing measures)

**Rationale:** The data sources include electronic clinical data, the electronic medical record where in use and paper medical record abstraction. It is in use in U.S. hospitals receiving Medicare reimbursement nationally.

### 4. Feasibility: C-13; P-7; M-0; N-0

(4a. Clinical data generated during care process; 4b. Electronic sources; 4c. Exclusions – no additional data source; 4d. Susceptibility to inaccuracies/ unintended consequences identified 4e. Data collection strategy can be implemented)

Rationale: The measure can be easily implemented.

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242

# Candidate Consensus Standards Recommended for Endorsement and Placement

### 243 in Reserve Status

- One measure was recommended for continued endorsement and placement in "reserve status". 12
- 245 The evaluation summary table lists the measure and summarizes the results of the Steering Committee's
- evaluation of and voting on the candidate consensus standard that is recommended for continued
- 247 endorsement and placement in reserve status. Hyperlinks are provided:
- from the listed measure to the evaluation summary table;

- from the summary table to the web page where all materials submitted by the developer or
   steward are posted; and
   from the summary table to the web page where the meeting and call summaries, transcripts, and
- The Steering Committee recommended the following candidate consensus standard for continued endorsement and placement in reserve status.

255256

252

#### Cardiac-CABG

257258259

260

# **Evaluation Summary—Candidate Consensus Standards Recommended for Endorsement and Placement in Reserve Status**

#### 0113 Participation in a systematic database for cardiac surgery

For More Information: <u>Detailed Measure Specifications</u>; <u>Complete Measure Submission</u>; <u>Meeting/Call Proceedings</u>

**Description:** Participation in a clinical database with broad state, regional, or national representation, that provides regular performance reports based on benchmarked data.

**Numerator Statement:** Does the facility participate in a clinical database with broad state, regional, or national representation, that provides regular performance reports based on benchmarked data? (y/n).

**Denominator Statement: N/A** 

Exclusions: N/A

**Adjustment/Stratification:** no risk adjustment necessary/No stratification is required for this measure. **Level of Analysis:** Clinicians: Group; Facility/Agency; Population: National, regional/network, states, counties or cities

Type of Measure: Structure/management

recordings can be accessed.

Data Source: Registry data-STS Adult Cardiac Surgery Database, Version 2.73

Measure Steward: Society of Thoracic Surgeons | 633 North Saint Clair Street, Suite 2320 | Chicago | Illinois |

60611

# Steering Committee Recommendation for Endorsement: Reserve Status Y-20; N-0; A-1

**Rationale:** Participation in a registry allows benchmarking of data and leads to quality improvement. At present, 95 percent of eligible institutions participate in the registry; this number has remained at a high level over time. Additionally, the data drawn from the registry is used to report quality performance of the institutions for a number of process and outcome measures. Consideration of related measures 0456, Participation in a systematic national database for general thoracic surgery and 0493, Participation by a hospital, physican or other clinician in systematic clinical database registry that includes consensus endorsed quality measures was overtaken by the recommendation for reserve status.

## If applicable, Conditions/Questions for Developer:

- 1. <u>De.2 Measure Description</u>: Please provide a more detailed description that addresses requirement for participation in the STS database/registry.
- 2. <u>1b.4 Summary of Data on Disparities by Population Group</u>: Please provide data on disparities.
- 3. <u>2a.1 Numerator Statement</u>: The statement does not indicate participation in the STS database is required.
- 4. <u>2a.3 Numerator Details</u>: Are hospitals required to report 100% of cases? Please define what qualifies as participation in the registry.

#### **Developer Response:**

- 1. Participation in the STS Database is not required. Measure description will read: Participation in a clinical database with broad state, regional, or national representation, that provides regular performance reports based on benchmarked data
- 2. STS is not sure how to provide disparities data on this measure. If NQF is interested, STS can provide

- the number of STS Participants who report data on at least one patient in each subgroup (e.g., male, female, white, etc), but this information would look very similar to the data already provided in the measure form
- 3. Participation in the STS Database is not required. Numerator statement has been modified to read: Whether or not the facility participates in a clinical database with broad state, regional, or national representation, that provides regular performance reports based on benchmarked data.
- 4. Numerator Details: Participation in a clinical database with broad state, regional, or national representation, that provides regular performance reports based on benchmarked data. For example, as described in the measure form, participation in the STS Adult Cardiac Surgery Database is initiated by the surgeons and/or hospital and is defined as quarterly submission of 100% of cases via an approved software system to the Duke Clinical Research Institute. STS's audit cross-checks submitted cases against hospital logs to assure all cases have been captured.

### **Steering Committee Follow-up:**

The Steering Committee agreed that the response from the developer was adequate. The Steering Committee stated the revised description supported the importance of broad database registries, while appropriately avoiding endorsement of a specific vendor. The summary of data disparities was not provided, but it was suggested that the developer could provide additional information regarding characteristics of organizations that participate in the registry and whether the organizations that did not participate had any commonalities.

# 1. Importance to Measure and Report: Y-18; N-4

(1a. Impact; 1b. Performance gap; 1c. Outcome or Evidence)

**Rationale:** Participation in the database for benchmarking and quality improvement has been shown to improve outcomes and enhance patient safety. Although 90 pecent of centers already report, the Committee felt that participation should be closer to 100 percent.

### 2. Scientific Acceptability of Measure Properties: C-4; P-15; M-1; N-2

(2a. Precise specifications; 2b. Reliability testing; 2c. Validity testing; 2d. Exclusions justified; 2e. Risk adjustment/stratification; 2f. Meaningful differences; 2g. Comparability; 2h. Disparities)

**Rationale:** Participation in the registry was not defined. The Committee questioned if submitting one case fullfil the criteria requirement or is an organization required to submitt 100 percent of their cases in order to meet the requirement.

## 3. Usability: C-9; P-13; M-0; N-0

(3a. Meaningful/useful for public reporting and quality improvement; 3b. Harmonized; 3c. Distinctive or additive value to existing measures)

Rationale: The Committee questioned if the measure remains useful with the addition of other indicators that are dependent upon participation.

#### 4. Feasibility: C-17; P-5; M-0; N-0

(4a. Clinical data generated during care process; 4b. Electronic sources; 4c. Exclusions – no additional data source; 4d. Susceptibility to inaccuracies/ unintended consequences identified 4e. Data collection strategy can be implemented)

Rationale: All data elements are available electronically.

#### 261

262

# **Candidate Consensus Standards Pending Final Recommendation for**

# 263 Endorsement

- The Steering Committee strongly favored two other measures for continued endorsement, pending the
- submission of changes that the measure developers need additional time to address. Final action on these
- 266 measures will occur during Phase II of the project.

267

268	Evaluation summary tables follow the list of measures and summarize the results of the Steering		
269	Committee's evaluation of and voting on the candidate consensus standards that are to be considered for		
270	continued endorsement in Phase II. Hyperlinks are provided:		
271	• from each listed measure to the evaluation summary table;		
272	• from each summary table to the web page where all materials submitted by the developer or		
273	steward are posted; and		
274	• from each summary table to the web page where the meeting and call summaries, transcripts, and		
275	recordings can be accessed.		
276			
277	The Steering Committee will further consider the following candidate consensus standards for		
278	endorsement during Phase II.		
279 280 281 282	Cardiac—CABG 0134 Use of internal mammary artery (IMA) in coronary artery bypass graft (CABG)		
283	Cardiac—CABG and Prophylaxis		
284 285 286	0300 Cardiac patients with controlled 6 am postoperative serum glucose		
287	Evaluation Summary—Candidate Consensus Standards Pending Final Recommendation for		

# 0134 Use of internal mammary artery (IMA) in coronary artery bypass graft (CABG)

For More Information: Complete Measure Submission; Meeting/Call Proceedings

**Description:** Percentage of patients aged 18 years and older undergoing isolated coronary artery bypass graft (CABG) who received an internal mammary artery (IMA) graft.

**Numerator Statement:** Number of patients undergoing isolated coronary artery bypass graft (CABG) who received an internal mammary artery (IMA) graft.

**Denominator Statement:** All patients undergoing isolated CABG.

**Exclusions:** Cases are removed from the denominator if the patient had a previous CABG prior to the current admission or if IMA was not used and one of the following reasons was provided:

- Subclavian stenosis
- Previous cardiac or thoracic surgery
- Previous mediastinal radiation
- Emergent or salvage procedure
- No LAD disease

Adjustment/Stratification: no risk adjustment necessary/No stratification is required for this measure.

**Level of Analysis:** Clinicians: Group; Facility/Agency; Population: National, regional/network, states, counties or cities

Type of Measure: Process

Data Source: Registry data-STS Adult Cardiac Surgery Database, Version 2.73

Measure Steward: Society of Thoracic Surgeons | 633 North Saint Clair Street, Suite 2320 | Chicago | Illinois |

60611

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Steering Committee Recommendation for Endorsement: Pending harmonization of 0134 and 0516 Rationale: This measure is tied to improved outcomes due to high patency rates of the IMA. The current

## 0134 Use of internal mammary artery (IMA) in coronary artery bypass graft (CABG)

compliance is 95 percent; however variation among programs exists; i.e., compliance rates as low as 80 percent. Final recommendation will be included in the phase II report.

# If applicable, Conditions/Questions for Developer:

- 1. 1b.4 Summary of Data on Disparities by Population Group: Please provide data on disparities.
- 2. <u>2a.9 Denominator Exclusions</u>: Please remove "the IMA is not a suitable conduit due to size or flow" from the exclusions.

### **Developer Response:**

- 1. Data on disparities are provided in the form.
- 2. STS staff agreed to remove the exclusion related to IMA suitability during the Steering Committee meeting. The form was modified to reflect this.

#### **Steering Committee Follow-up:**

The Steering Committee agreed that the response from the developer was adequate.

# Additional Conditions/Questions for Developer:

Harmonization: As agreed, please harmonize measures 0134 and 0516 by combining into a single measure, which can allow reporting at the provider or institution level.

#### 1. Importance to Measure and Report: Y-20; N-1

(1a. Impact; 1b. Performance gap; 1c. Outcome or Evidence)

**Rationale:** The literature points to disparities amongst women, with IMA used less often in women. The developer did not provide information or data on disparities related to performance on the measure.

#### 2. Scientific Acceptability of Measure Properties: C-14; P-7; M-0; N-0

(2a. Precise specifications; 2b. Reliability testing; 2c. Validity testing; 2d. Exclusions justified; 2e. Risk adjustment/stratification; 2f. Meaningful differences; 2g. Comparability; 2h. Disparities)

**Rationale:** The exclusion 'IMA not suitable,' can lead to the issue of gaming. This causes apprehension as to who determines if the IMA is not suitable. Currently, there is no criteria that classifies the IMA as suitable. The Committee requested this exclusion be removed.

#### 3. Usability: C-20; P-1; M-0; N-0

(3a. Meaningful/useful for public reporting and quality improvement; 3b. Harmonized; 3c. Distinctive or additive value to existing measures)

Rationale: The information obtained is meaningful and useful.

#### 4. Feasibility: C-20; P-1; M-0; N-0

(4a. Clinical data generated during care process; 4b. Electronic sources; 4c. Exclusions – no additional data source; 4d. Susceptibility to inaccuracies/ unintended consequences identified 4e. Data collection strategy can be implemented)

Rationale: The information can be derived from electronic sources.

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## 0300 Cardiac patients with controlled 6 am postoperative serum glucose

For More Information: Complete Measure Submission; Meeting/Call Proceedings

**Description:** Percentage of cardiac surgery patients with controlled 6 am serum glucose (≤200 mg/dl) on postoperative day (POD) 1 and POD 2.

**Numerator Statement:** Surgery patients with controlled 6 am serum glucose (≤200 mg/dl) on postoperative day (POD) 1 and POD 2.

**Denominator Statement:** Cardiac surgery patients with no evidence of prior infection. Include patients with an ICD-9-CM Principle Procedure code or ICD-9-CM Other Procedure codes of selected surgeries AND an ICD-9-CM for ICD-9-CM codes Principle Procedure code or ICD-9-CM Other Procedure codes of selected surgeries.

### **Exclusions:** Excluded Populations:

- Patients less than 18 years of age
- Patients who have a length of Stay greater than 120 days
- Patients who had a principal diagnosis suggestive of preoperative infectious diseases (as defined in Appendix A, Table 5.09 for ICD-9-CM codes)
- Burn and transplant patients (as defined in Appendix A, Tables 5.14 and 5.15 for ICD-9-CM codes)
- Patients whose ICD-9-CM principal procedure was performed entirely by Laparoscope

#### 0300 Cardiac patients with controlled 6 am postoperative serum glucose

- · Patients enrolled in clinical trials
- Patients whose ICD-9-CM principal procedure occurred prior to the date of admission
- Patients with physician/advanced practice nurse/physician assistant (physician/APN/PA) documented infection prior to surgical procedure of interest
- Patients who expired perioperatively

Adjustment/Stratification: no risk adjustment necessary/No stratification is required for this measure.

Level of Analysis: Facility/Agency; Population: national; Program: QIO; can be measured at all levels

Type of Measure: Process

Data Source: Electronic administrative data/claims; paper medical record/flow-sheet. Vendor tools or CART. CART is available for download free at

http://www.qualitynet.org/dcs/ContentServer?c=Page&pagename=QnetPublic%2FPage%2FQnetTier2&cid=113 8900279093

Measure Steward: Centers for Medicare & Medicaid Services | 7500 Security Boulevard | Baltimore | Maryland 121244

Steering Committee Recommendation for Endorsement: Conditional on updated measure submission reflecting change in numerator to patients having cardiac surgery whose highest blood sugar between 18 and 24 hours after surgery is 180mg/dl or less and any other modifications necessitated by that change as well as response to additional question and condition. Final recommendation will be included in the phase II report. **Rationale:** Subsequent to developer changing the timeframe from 6 am due to variation in time of surgery, Committee indicated that a more comprehensive measure would involve monitoring a patient's blood glucose over the 18-24 hour period after surgery and allowing a 4 hour window to reduce high glucose levels to <

## If applicable, Conditions/Questions for Developer:

- 1. 2a.1 Numerator Statement: The timeframe should be within 24 hours after surgery instead of 6 am.
- 2. 2a.10 Denominator Exclusion Details: Provide a more detailed definition of perioperative death.

## **Developer Response:**

- 1. This recommendation was presented to the SCIP Infection TEP on April 6, 2011. The panel accepted changing the measure numerator to patients having cardiac surgery whose highest blood sugar, between 18 and 24 hours after surgery is 180mg/dl or less.
- Patients that expire during the perioperative period are excluded from this measure, as they should not be held accountable for glucose values on POD 1 or 2. The data element has this definition: The patient expired during the timeframe from surgical incision through discharge from the post anesthesia care/recovery area. Additional abstraction instructions include:
  - For patients discharged from surgery and admitted to the PACU: The end of the perioperative period occurs when the patient is discharged from the PACU.
  - For patients discharged from surgery and admitted to locations other than the PACU (e.g., ICU): The perioperative period would end a maximum of six hours after arrival to the recovery area.

#### If applicable, Conditions/Questions for Developer:

- 1. 2a.1 Numerator Statement: Suggested modification-If serum glucose is above 180 mg/dl, was it decreased within a specific amount of time.
- 2b Reliability Testing and 2c Validity Testing: Advise what additional testing will need to be completed in light of the suggested modification.

#### **Steering Committee Follow-up:**

The Steering Committee agreed that the response from the developer regarding POD was adequate.

#### 1. Importance to Measure and Report: Y-16; N-5

(1a. Impact; 1b. Performance gap; 1c. Outcome or Evidence)

Rationale: The goal of the measure, to improve patient's blood sugar, is important. Performance at the aggregate is 93.4 percent; disparity information requested to understand if there are subpopulation disparities.

#### 2. Scientific Acceptability of Measure Properties: C-2; P-12; M-7; N-0

(2a. Precise specifications; 2b. Reliability testing; 2c. Validity testing; 2d. Exclusions justified; 2e. Risk adjustment/stratification; 2f. Meaningful differences; 2g. Comparability; 2h. Disparities)

Rationale: There is a need for more flexibility in the timeframe to allow comparability since variation in patient times of departure from the operating room. Both the committee and developer have heard anecdotal reports

### 0300 Cardiac patients with controlled 6 am postoperative serum glucose

that clinical staff is leaving patients on insulin drips to meet the criteria of the measure. Assuming this to be accurate, the timeframe change will address such an unintended consequence of the measure.

### **3. Usability:** C-5; P-6; M-10; N-0

(3a. Meaningful/useful for public reporting and quality improvement; 3b. Harmonized; 3c. Distinctive or additive value to existing measures)

Rationale: The Committee was unsure if this measure would provide additive value if the timeframe remains at 6 am.

### 4. Feasibility: C-5; P-9; M-7; N-0

(4a. Clinical data generated during care process; 4b. Electronic sources; 4c. Exclusions – no additional data source; 4d. Susceptibility to inaccuracies/ unintended consequences identified 4e. Data collection strategy can be implemented)

Rationale: The measure cannot be easily implemented using the current timeframe.

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### Candidate Consensus Standards Not Recommended for Endorsement

292 The following candidate consensus standards were not recommended for endorsement: two did not meet 293 the importance to measure and report criterion and one had issues other than with the criteria. Seven 294 (transfusion measures) were withdrawn by the measure developer.

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The evaluation summary tables follow the list of measures and summarize the results of the Steering Committee's evaluation of and voting on the candidate consensus standards that were not recommended for endorsement. Hyperlinks are provided:

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from each listed measure to the evaluation summary table:

300 from each summary table to the web page where all materials submitted by the developer or 301 steward are posted; and

> • from each summary table to the web page where the meeting and call summaries, transcripts, and recordings can be assessed.

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### Cardiac—CABG: Valve Replacement/ Repair

0124 Surgical volume-a. isolated coronary artery bypass graft (CABG) surgery, b. valve surgery, c. CABG+valve surgery......32 1479 Patient(s) 18 years of age and older on lipid-lowering medication at admission or within seven days 

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#### Cardiac—CABG and Prophylaxis

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Evaluation Summary—Candidate Consensus Standards Not Recommended for Endorsement

## 0124 Surgical volume-a. isolated coronary artery bypass graft (CABG) surgery, b. valve surgery, c. CABG+valve surgery

For More Information: Complete Measure Submission; Meeting/Call Proceedings

**Description:** Annual procedural volume of three surgeries: isolated CABG surgery, valve surgery, and valve+CABG surgery.

Numerator Statement: a. number of patients undergoing isolated CABG surgery b. number of patients

undergoing heart valve surgery c. number of patients undergoing CABG+valve surgery.

**Denominator Statement: N/A** 

Exclusions: N/A

**Adjustment/Stratification:** no risk adjustment necessary/No stratification is required for this measure. **Level of Analysis:** Clinicians: Group; Facility/Agency; Population: National, regional/network, states,

counties or cities

Type of Measure: Structure/management

Data Source: Registry data-STS Adult Cardiac Surgery Database, Version 2.73

Measure Steward: Society of Thoracic Surgeons | 633 North Saint Clair Street, Suite 2320 | Chicago |

Illinois | 60611

### Steering Committee Recommendation for Endorsement: No

Rationale: Did not pass Importance to Measure and Report

If applicable, Conditions/Questions for Developer:

**Developer Response:** 

If applicable, Questions to the Steering Committee:

### 1. Importance to Measure and Report: Y-4; N-17

(1a. Impact; 1b. Performance gap; 1c. Outcome or Evidence)

**Rationale:** Volume alone is not an adequate quality marker. This measure should be paired with a companion outcome measure or it should be used to stratify volume but it should not be used as a standalone measure.

### 2. Scientific Acceptability of Measure Properties:

(2a. Precise specifications; 2b. Reliability testing; 2c. Validity testing; 2d. Exclusions justified; 2e. Risk adjustment/stratification; 2f. Meaningful differences; 2g. Comparability; 2h. Disparities)

### Rationale:

### 3. Usability:

(3a. Meaningful/useful for public reporting and quality improvement; 3b. Harmonized; 3c. Distinctive or additive value to existing measures)

### Rationale:

### 4. Feasibility:

(4a. Clinical data generated during care process; 4b. Electronic sources; 4c. Exclusions – no additional data source; 4d. Susceptibility to inaccuracies/ unintended consequences identified 4e. Data collection strategy can be implemented)

Rationale:

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1479 Patient(s) 18 years of age and older on lipid-lowering medication at admission or within seven days of discharge of an isolated CABG procedure

For More Information: Complete Measure Submission; Meeting/Call Proceedings

### NQF REVIEW DRAFT—DO NOT CITE OR QUOTE

# 1479 Patient(s) 18 years of age and older on lipid-lowering medication at admission or within seven days of discharge of an isolated CABG procedure

**Description:** Patient(s) 18 years of age and older hospitalized for an isolated CABG procedure taking a lipid-lowering medication at admission or within seven days of discharge.

**Numerator Statement:** Patient(s) who are taking a lipid-lowering medication at CABG admission date or within seven days of discharge.

**Denominator Statement:** People hospitalized for an isolated CABG procedure.

#### **Exclusions:**

- Exclude patients who were readmitted to an acute or non-acute care facility for any diagnosis within seven days after discharge
- 2. Exclude the event if the patient died during the admission
- 3. Exclude the event if the patient did not have pharmacy benefits throughout the CABG event.
- 4. Exclude the event if the patient had a contraindication for anti-lipid therapy.

**Adjustment/Stratification:** no risk adjustment necessary/No stratification is required for this measure.None

**Level of Analysis:** Facility/ Agency, Health Plan, Integrated Delivery System, Multi-site/ corporate chain, Can be measured at all levels, Clinicians: Individual, Group, Population: states, counties or cities, Disease Management, Program: QIO .

Type of Measure: Process

Data Source: Electronic administrative data/claims; pharmacy data

Measure Steward: Ingenix | 12125 Technology Drive | Eden Prairie | Minnesota | 55344

### Steering Committee Recommendation for Endorsement: Y-1; N-19; A-1

**Rationale:** The goal of the measure is laudable as it begins to view the issue of patient compliance and medication reconciliation. However, the measure, as constructed, will not achieve the goal. The actual outcome of the measure is unclear. This measure has the potential for socioeconomic bias because patients without pharmacy benefits are excluded from the measure.

### If applicable, Conditions/Questions for Developer:

#### **Developer Response:**

If applicable, Questions to the Steering Committee:

#### 1. Importance to Measure and Report: Y-12; N-9

(1a. Impact; 1b. Performance gap; 1c. Outcome or Evidence)

Rationale: Strong clinical evidence indicates that a lipid-lowering regime is of benefit to patients post-CABG.

#### 2. Scientific Acceptability of Measure Properties: C-1; P-7; M-12; N-1

(2a. Precise specifications; 2b. Reliability testing; 2c. Validity testing; 2d. Exclusions justified; 2e. Risk adjustment/stratification; 2f. Meaningful differences; 2g. Comparability; 2h. Disparities)

**Rationale:** The Committee inquired about the percentage of patients over the age of 65 years old that were captured in this measure. The issue of attribution and accountability was discussed. It was not clear if the hospital or physicians are being held accountable if patients elect not to fill their prescriptions. This measure does not allow organizations to accurately capture data on disparities because patients without a pharmacy benefit are excluded from the measure.

#### 3. Usability: C-3; P-6; M-9; N-3

(3a. Meaningful/useful for public reporting and quality improvement; 3b. Harmonized; 3c. Distinctive or additive value to existing measures)

**Rationale:** The developer is unsure if the measure is being publicly reported.

#### 4. Feasibility: C-5; P-8; M-7; N-1

(4a. Clinical data generated during care process; 4b. Electronic sources; 4c. Exclusions – no additional data source; 4d. Susceptibility to inaccuracies/ unintended consequences identified 4e. Data collection strategy can be implemented)

**Rationale:** The developer was unable to provide information on costs to implement the measure. Data is abstracted using claims and chart abstraction data.

### 0217 Surgery patients with recommended venous thromboembolism (VTE) prophylaxis ordered

For More Information: Complete Measure Submission; Meeting/Call Proceedings

**Description:** Percentage of surgery patients with recommended venous thromboembolism (VTE) prophylaxis ordered during admission.

**Numerator Statement:** Surgery patients with recommended VTE prophylaxis ordered during admission. **Denominator Statement:** All selected surgery patients.

**Exclusions:** Patients who are less than 18 years of age. Patients with procedures performed entirely by laparoscope. Patients whose total surgery time is less than or equal to 30 minutes. Patients who stayed less than or equal to 24 hours postoperatively. Burn patients (refer to Specifications Manual, National Healthcare Quality Measures, Appendix A, Table 5.14 for ICD-9-CM codes). Patients who are on warfarin prior to admission. Patients with contraindications to both mechanical and pharmacological prophylaxis. Patients whose ICD-9-CM Prinicipal Procedure occurred prior to the date of admission

**Adjustment/Stratification:** no risk adjustment necessary/No stratification except by surgery type and those are: Intracranial neurosurgery, Appendix a, Table 5.17; General surgery, Appendix A, Table 5.19; Gynecologic Surgery, Appendix A, Table 5.20; Urologic Surgery, Appendix A, Table 5.21; Elective total hip, Appendix A, Table 5.22; Elective total knee, Appendix A, Table 5.23; Hip fracture surgery, Appendix A, Table 5.24

**Level of Analysis:** Facility/Agency; Population: national; Program: QIO; can be measured at all levels **Type of Measure:** Process

**Data Source:** Electronic health/medical record; paper medical record/flow-sheet. Vendor tools or CART. CART is available for download free at

http://www.qualitynet.org/dcs/ContentServer?c=Page&pagename=QnetPublic%2FPage%2FQnetTier2&cid=1138900279093

**Measure Steward:** Centers for Medicare & Medicaid Services | 7500 Security Boulevard | Baltimore | Maryland | 21244

### **Steering Committee Recommendation for Endorsement:**

Did not pass Importance to Measure and Report. The Committee determined that the measure is unnecessary in light of Measure 0218 that addresses VTE prophylaxis administration

### If applicable, Conditions/Questions for Developer:

**Developer Response:** 

#### If applicable, Questions to the Steering Committee:

### 1. Importance to Measure and Report: Y-2; N-17

(1a. Impact; 1b. Performance gap; 1c. Outcome or Evidence)

**Rationale:** The Committee determined this measure was not necessary since measure 0218 is more proximal to the outcome.

### 2. Scientific Acceptability of Measure Properties:

(2a. Precise specifications; 2b. Reliability testing; 2c. Validity testing; 2d. Exclusions justified; 2e. Risk adjustment/stratification; 2f. Meaningful differences; 2g. Comparability; 2h. Disparities)

### Rationale:

### 3. Usability:

(3a. Meaningful/useful for public reporting and quality improvement; 3b. Harmonized; 3c. Distinctive or additive value to existing measures)

### Rationale:

#### 4. Feasibility:

(4a. Clinical data generated during care process; 4b. Electronic sources; 4c. Exclusions – no additional data source; 4d. Susceptibility to inaccuracies/ unintended consequences identified 4e. Data collection strategy can be implemented)

### Rationale:

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328 329	NOTE	es e
330	1.	DeFrances CJ, Lucas CA, Buie VC, et al., 2006 national hospital discharge survey, Natl Health
331		Stat Report, 2008;5:1-20. Available at www.cdc.gov/nchs/data/nhsr/nhsr005.pdf. Last accessed
332		June 2011.
333	2.	Cullen KA, Hall MJ, Golosinskiy A. Ambulatory surgery in the United States, 2006. Natl Health
334		Stat Report, 2009;11:1-28. Available at www.cdc.gov/nchs/data/nhsr/nhsr011.pdf. Last accessed
335		June 2011.
336	3.	DeFrances, Lucas, and Buie.
337	4.	DeFrances, Lucas, and Buie.
338	5.	Cullen, Hall, and Golosinskiy.
339	6.	DeFrances, Lucas and Buie.
340	7.	National Quality Forum (NQF), National Priorities Partnership, Washington, DC: National
341		Quality Forum. Available at www.nationalprioritiespartnership.org. Last accessed October 2010.
342	8.	NQF, National Voluntary Consensus Standards for Cardiac Surgery, Washington, DC: National
343		Quality Forum; 2004. Available at http://qualityforum.org/Projects/c-
344		d/Cardiac_Surgery/Cardiac_Surgery.aspx . Last accessed May 2011.
345	9.	NQF, National Voluntary Consensus Standards for Hospital Care: Specialty Clinician
346		Performance Measures, Washington, DC: National Quality Forum; 2007. Available at
347		$http://www.qualityforum.org/Projects/h/Hospital\_Care\_Specialty\_Clinician\_Measures/Hospital\_Care\_Specialty\_Clinician\_Measures/Hospital\_Care\_Specialty\_Clinician\_Measures/Hospital\_Care\_Specialty\_Care\_Sp$
348		CareSpecialty_Clinician_Measures.aspx. Last accessed May 2011.
349	10.	NQF, National Voluntary Consensus Standards for Hospital Care 2007: Performance Measures,
350		Washington, DC: National Quality Forum; 2007. Available at
351		$http://www.qualityforum.org/Projects/h/Hospital\_Care\_2007\_Additional\_Measures/Hospital\_Care\_2007\_Additional\_Add$
352		e_Measures.aspx. Last accessed May 2011.
353	11.	NQF, Measure Evaluation Criteria, Washington, DC: National Quality Forum; 2009. Available
354		at http://www.qualityforum.org/WorkArea/linkit.aspx?LinkIdentifier=id&ItemID=43763. Last
355		accessed May 2011.
356	12.	Reserve status is defined as highly credible, reliable and valid measures that have high levels of
357		performance with little opportunity for improvement. These measures meet all of the NQF criteria
358		except for one subcriteria, opportunity for improvement. Performance can be monitored in the
359		future if necessary to ensure that performance does not decline.

APPENDIX A - SPECIFICATIONS FOR THE NATIONAL VOLUNTARY CONSENSUS
STANDARDS: SURGERY ENDORSEMENT MAINTENANCE 2010, PHASE I

The following tables present the detailed measure specifications for the recommended consensus standards.

All information presented here has been derived directly from the measure developers without modification or

alteration (except where measure developers agreed to such modifications) and is current as of May 24, 2011.

All proposed voluntary consensus standards are open source, meaning they are fully accessible and disclosed.

0114 Risk-adjusted post-operative renal failure	30
0115 Risk-adjusted surgical re-exploration	
0129 Risk-adjusted prolonged intubation (ventilation)	38
0131 Risk-adjusted stroke/cerebrovascular accident	39
0119 Risk-adjusted operative mortality for CABG	40
0120 Risk-adjusted operative mortality for aortic valve replacement (AVR)	41
0121 Risk-adjusted operative mortality for mitral valve (MV) replacement	43
0122 Risk-adjusted operative mortality MV replacement + CABG surgery	44
0123 Risk-adjusted operative mortality for aortic valve replacement (AVR) + CABG surgery	
1501 Risk-adjusted operative mortality for mitral valve (MV) repair	46
1502 Risk-adjusted operative mortality for MV repair + CABG surgery	47
0360 Esophageal resection mortality rate (IQI 8)	48
0361 Esophageal resection volume (IQI 1)	50
0116 Anti-platelet medication at discharge	
0118 Anti-lipid treatment discharge	53
0130 Risk-adjusted deep sternal wound infection rate	54
0218 Surgery patients who received appropriate venous thromboembolism (VTE) prophylaxis wit	thin 24 hours
prior to surgery to 24 hours after surgery end time	55
0113 Participation in a systematic database for cardiac surgery	64

	0114 Risk-adjusted post-operative renal failure
Steward	Society of Thoracic Surgeons   633 North Saint Clair Street, Suite 2320   Chicago   Illinois   60611
Description	Percent of patients aged 18 years and older undergoing isolated CABG (without pre-existing renal failure) who develop post-operative renal failure or require dialysis
Туре	Outcome
Data Source	Registry data URL Data Collection Form (an updated version will be made available on the STS Website in mid-December of 2010) http://www.sts.org/documents/pdf/ndb2010/STSAdultCVDataCollectionForm2_7_Annotated_201 01021.pdf URL http://www.sts.org/documents/pdf/ndb2010/STSAdultCVDataSpecificationsV2_7_20101021.pdf - an updated version will be made available on the STS Website in mid-December of 2010

	0114 Risk-adjusted post-operative renal failure
Level	Clinicians : Group, Facility/Agency, Population : Counties or cities, Population : National, Population : Regional/network, Population : states
Setting	Hospital
Numerator Statement	Number of patients undergoing isolated CABG (without pre-existing renal failure)who develop post-operative renal failure or require dialysis
Numerator Details	<b>Time Window:</b> During the hospitalization for surgery, which includes the entire postoperative period up to discharge, even if over 30 days.
	Definition of renal failure/dialysis requirement – Patients with acute renal failure or worsening renal function resulting in one or both of the following:  - Increase of serum creatinine to 4.0 or higher, or 3x the most recent preoperative creatinine level  - New requirement for dialysis postoperatively Number of isolated CABG procedures in which post-operative renal failure [CRenFail (STS Adult Cardiac Surgery Database Version 2.73] is marked as "yes"
Denominator Statement	All patients undergoing isolated CABG
Denominator Categories	Female; Male 18 and older
Denominator Details	Time Window: 12 months  Number of isolated CABG procedures including re-operations  Isolated CABG is determined as a procedure for which all of the following apply:  - OpCAB is marked "Yes"  - VADProc is marked "No" or "Missing") or (VADProc is marked "Yes, Implanted" and UnplVAD is marked "yes")  - OCarASDTy is marked "PFO" or "missing"  - OCarAFibAProc is marked "primarily epicardial" or "missing" and  - OpValve, VSAV, VSAVPr, ResectSubA, VSMV, VSMVPr, OpTricus, OpPulm, OpONCard, OCarLVA, OCarVSD, OCarSVR, OCarCong, OCarTrma, OCarCrTx, OCAoProcType, EndoProc, OCTumor, OCPulThromDis, OCarOthr are all marked "no" or "missing"
Exclusions	Patients with documented history of renal failure, baseline serum creatinine of 4.0 or higher; prior renal transplants are not considered pre-operative renal failure unless since transplantation their Cr has been or is 4.0 or higher
Exclusion Details	(Dialysis) is marked yes; Last Creatinine Level (CreatLst) is 4.0 or higher
Risk Adjustment	case-mix adjustment Please see attachment Attachment 2a.15 Detailed Risk Model.pdf
Stratification	N/A
Type Score	Rate/proportion better quality = lower score
Algorithm	N/A

	0115 Risk-adjusted surgical re-exploration
Steward	Society of Thoracic Surgeons   633 North Saint Clair Street, Suite 2320   Chicago   Illinois   60611
Description	Percent of patients aged 18 years and older undergoing isolated CABG who require a return to the operating room for bleeding with or without tamponade, graft occlusion, valve dysfunction, or other cardiac reason.

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Type

Outcome

	0115 Risk-adjusted surgical re-exploration
Data Source	Registry data URL Data Collection Form (an updated version will be made available on the STS Website in mid-December of 2010) http://www.sts.org/documents/pdf/ndb2010/STSAdultCVDataCollectionForm2_7_Annotated_201 01021.pdf URL http://www.sts.org/documents/pdf/ndb2010/STSAdultCVDataSpecificationsV2_7_20101021.pdf - an updated version will be made available on the STS Website in mid-December of 2010
Level	Clinicians : Group, Facility/Agency, Population : Counties or cities, Population : National, Population : Regional/network, Population : states
Setting	Hospital
Numerator Statement	Number of patients undergoing isolated CABG who require return to the operating room for mediastinal bleeding with or without tamponade, graft occlusion, valve dysfunction, or other cardiac reason
Numerator Details	<b>Time Window:</b> During the hospitalization for surgery, which includes the entire postoperative period up to discharge, even if over 30 days  Number of isolated CABG procedures in which any of the following are marked "yes":  ReOp for Bleeding [COpReBId (STS Adult Cardiac Surgery Database Version 2.73)],  Reintervention for Graft Occlusion (COpReGft), ReOp for Valve Dysfunction (COpReVIV), ReOp for Other Cardiac Reason (COpReOth)
Denominator Statement	All patients undergoing isolated CABG
Denominator Categories	Female; Male 18 and older
Denominator Details	Time Window: 12 months  Number of isolated CABG procedures  Isolated CABG is determined as a procedure for which all of the following apply:  - OpCAB is marked "Yes"  - (VADProc is marked "No" or "Missing") or (VADProc is marked "Yes, Implanted" and UnpIVAD is marked "yes")  - OCarASDTy is marked "PFO" or "missing"  - OCarAFibAProc is marked "primarily epicardial" or "missing" and  - OpValve, VSAV, VSAVPr, ResectSubA, VSMV, VSMVPr, OpTricus, OpPulm, OpONCard, OCarLVA, OCarVSD, OCarSVR, OCarCong, OCarTrma, OCarCrTx, OCAoProcType, EndoProc, OCTumor, OCPulThromDis, OCarOthr are all marked "no" or "missing"
Exclusions	N/A
Exclusion Details	N/A
Risk Adjustment	case-mix adjustment Please see attachment Attachment 2a.15 Detailed Risk Model-634267294901293682.pdf
Stratification	N/A
Type Score	Rate/proportion better quality = lower score
Algorithm	N/A

	0129 Risk-adjusted prolonged intubation (ventilation)
Steward	Society of Thoracic Surgeons   633 North Saint Clair Street, Suite 2320   Chicago   Illinois   60611
Description	Percent of patients aged 18 years and older undergoing isolated CABG who require intubation

	0129 Risk-adjusted prolonged intubation (ventilation)
	for more than 24 hours
Туре	Outcome
Data Source	Registry data URL Data Collection Form (an updated version will be made available on the STS Website in mid-December of 2010) http://www.sts.org/documents/pdf/ndb2010/STSAdultCVDataCollectionForm2_7_Annotated_201 01021.pdf URL http://www.sts.org/documents/pdf/ndb2010/STSAdultCVDataSpecificationsV2_7_20101021.pdf an updated version will be made available on the STS Website in mid-December of 2010
Level	Clinicians : Group, Facility/Agency, Population : Counties or cities, Population : National, Population : Regional/network, Population : states
Setting	Hospital
Numerator Statement	Number of patients undergoing isolated CABG who require intubation > 24 hours
Numerator Details	Time Window: Number of isolated CABG procedures in which Complications-Pulmonary_Vent Prolonged (CPVntLng) is marked "yes"
Denominator Statement	All patients undergoing isolated CABG
Denominator Categories	Female; Male 18 and older
Denominator Details	Time Window: 12 months Number of isolated CABG procedures Isolated CABG is determined as a procedure for which all of the following apply: -OpCAB is marked "Yes" -(VADProc is marked "No" or "Missing") or (VADProc is marked "Yes, Implanted" and UnplVAD is marked "yes") -OCarASDTy is marked "PFO" or "missing" -OCarAFibAProc is marked "primarily epicardial" or "missing" and -OpValve, VSAV, VSAVPr, ResectSubA, VSMV, VSMVPr, OpTricus, OpPulm, OpONCard, OCarLVA, OCarVSD, OCarSVR, OCarCong, OCarTrma, OCarCrTx, OCAoProcType, EndoProc, OCTumor, OCPulThromDis, OCarOthr are all marked "no" or "missing"
Exclusions	n/a
Exclusion Details	
Risk Adjustment	case-mix adjustment Please see attachment Attachment 2a.15 Detailed Risk Model-634267353926995758.pdf
Stratification	
Type Score	Rate/proportion better quality = lower score
Algorithm	

	0131 Risk-adjusted stroke/cerebrovascular accident
Steward	Society of Thoracic Surgeons   633 North Saint Clair Street, Suite 2320   Chicago   Illinois   60611
Description	Percent of patients aged 18 years and older undergoing isolated CABG who have a postoperative stroke (i.e., any confirmed neurological deficit of abrupt onset caused by a disturbance in blood supply to the brain) that did not resolve within 24 hours
Туре	Outcome

393

Type

	0131 Risk-adjusted stroke/cerebrovascular accident
Data Source	Registry data URL Data Collection Form (an updated version will be made available on the STS Website in mid-December of 2010) http://www.sts.org/documents/pdf/ndb2010/STSAdultCVDataCollectionForm2_7_Annotated_201 01021.pdf URL http://www.sts.org/documents/pdf/ndb2010/STSAdultCVDataSpecificationsV2_7_20101021.pdf - an updated version will be made available on the STS Website in mid-December of 2010
Level	Clinicians : Group, Facility/Agency, Population : Counties or cities, Population : National, Population : Regional/network, Population : states
Setting	Hospital
Numerator Statement	Number of patients undergoing isolated CABG who have a postoperative stroke (i.e., any confirmed neurological deficit of abrupt onset caused by a disturbance in blood supply to the brain) that did not resolve within 24 hours
Numerator Details	<b>Time Window:</b> During the hospitalization for surgery, which includes the entire postoperative period up to discharge, even if over 30 days.  Number of isolated CABG procedures in which postoperative stroke [CNStrokP (STS Adult Cardiac Surgery Database Version 2.73)] is marked "yes"
Denominator Statement	All patients undergoing isolated CABG
Denominator Categories	Female; Male 18 and older
Denominator Details	Time Window: 12 months Number of isolated CABG procedures Isolated CABG is determined as a procedure for which all of the following apply: -OpCAB is marked "Yes" -(VADProc is marked "No" or "Missing") or (VADProc is marked "Yes, Implanted" and UnpIVAD is marked "yes") -OCarASDTy is marked "PFO" or "missing" -OCarAFibAProc is marked "primarily epicardial" or "missing" and -OpValve, VSAV, VSAVPr, ResectSubA, VSMV, VSMVPr, OpTricus, OpPulm, OpONCard, OCarLVA, OCarVSD, OCarSVR, OCarCong, OCarTrma, OCarCrTx, OCAoProcType, EndoProc, OCTumor, OCPulThromDis, OCarOthr are all marked "no" or "missing"
Exclusions	
Exclusion Details	
Risk Adjustment	case-mix adjustment Please see attachment Attachment 2a.15 Detailed Risk Model-634267362265581794.pdf
Stratification	N/A
Type Score	Rate/proportion better quality = lower score
Algorithm	N/A

	0119 Risk-adjusted operative mortality for CABG
Steward	Society of Thoracic Surgeons   633 North Saint Clair Street, Suite 2320   Chicago   Illinois   60611
Description	Percent of patients aged 18 years and older undergoing isolated CABG who die, including b

Percent of patients aged 18 years and older undergoing isolated CABG who die, including both 1) all deaths occurring during the hospitalization in which the CABG was performed, even if after 30 days, and 2) those deaths occurring after discharge from the hospital, but within 30 days of the procedure

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	0119 Risk-adjusted operative mortality for CABG
Туре	Outcome
Data Source	Registry data URL Data Collection Form (an updated version will be made available on the STS Website in mid-December of 2010) http://www.sts.org/documents/pdf/ndb2010/STSAdultCVDataCollectionForm2_7_Annotated_201 01021.pdf URL http://www.sts.org/documents/pdf/ndb2010/STSAdultCVDataSpecificationsV2_7_20101021.pdf - an updated version will be made available on the STS Website in mid-December of 2010
Level	Clinicians : Group, Facility/Agency, Population : Counties or cities, Population : National, Population : Regional/network, Population : states
Setting	Hospital
Numerator Statement	Number of patients undergoing isolated CABG who die, including both 1) all deaths occurring during the hospitalization in which the operation was performed, even if after 30 days, and 2) those deaths occurring after discharge from the hospital, but within 30 days of the procedure
Numerator Details	<b>Time Window:</b> During hospitalization regardless of length of stay or within 30 days of surgery if discharged Number of isolated CABG procedures with an operative mortality; Number of isolated CABG procedures in which Mortality [Mortalty (STS Adult Cardiac Surgery Database Version 2.73)] and Mortality Operative Death (MtOpD) are marked "yes." Operative mortality is further verified by the following variables: Mortality Status at 30 days (Mt30Stat), Mortality Date (MtDate), Mortality Discharge Status (MtDCStat)
Denominator Statement	All patients undergoing isolated CABG
Denominator Categories	Female; Male 18 and older
Denominator Details	Time Window: 12 months  Number of isolated CABG procedures Isolated CABG is determined as a procedure for which all of the following apply: -OpCAB is marked "Yes" -(VADProc is marked "No" or "Missing") or (VADProc is marked "Yes, Implanted" and UnpIVAD is marked "yes") -OCarASDTy is marked "PFO" or "missing" -OCarAFibAProc is marked "primarily epicardial" or "missing" and -OpValve, VSAV, VSAVPr, ResectSubA, VSMV, VSMVPr, OpTricus, OpPulm, OpONCard, OCarLVA, OCarVSD, OCarSVR, OCarCong, OCarTrma, OCarCrTx, OCAoProcType, EndoProc, OCTumor, OCPulThromDis, OCarOthr are all marked "no" or "missing"
Exclusions	N/A
Exclusion Details	N/A
Risk Adjustment	case-mix adjustment Please see attachment Attachment 2a.15 Detailed Risk Model-634267308759980238.pdf
Stratification	N/A
Type Score	Rate/proportion better quality = lower score
Algorithm	N/A

	0120 Risk-adjusted operative mortality for aortic valve replacement (AVR)
Steward	Society of Thoracic Surgeons   633 North Saint Clair Street, Suite 2320   Chicago   Illinois   60611

	0120 Risk-adjusted operative mortality for aortic valve replacement (AVR)
Description	Percent of patients undergoing Aortic Valve Replacement (AVR)who die, including both 1) all deaths occurring during the hospitalization in which the procedure was performed, even if after 30 days, and 2) those deaths occurring after discharge from the hospital, but within 30 days of the procedure
Туре	Outcome
Data Source	Registry data URL Data Collection Form (an updated version will be made available on the STS Website in mid-January 2011) http://www.sts.org/documents/pdf/ndb2010/STSAdultCVDataCollectionForm2_7_Annotated_201 01021.pdf URL http://www.sts.org/documents/pdf/ndb2010/STSAdultCVDataSpecificationsV2_7_20101021.pdf - an updated version will be made available on the STS Website in mid-January 2011
Level	Clinicians : Group, Facility/Agency, Population : Counties or cities, Population : National, Population : Regional/network, Population : states
Setting	Hospital
Numerator Statement	Number of patients undergoing AVR who die, including both 1) all deaths occurring during the hospitalization in which the operation was performed, even if after 30 days, and 2) those deaths occurring after discharge from the hospital, but within 30 days of the procedure
Numerator Details	<b>Time Window:</b> During hospitalization regardless of length of stay or within 30 days of surgery if discharged Number of isolated AVR procedures with an operative mortality; Number of isolated AVR procedures in which Mortality [Mortalty (STS Adult Cardiac Surgery Database Version 2.73)] and Mortality Operative Death (MtOpD) are marked "yes." Operative mortality is further verified by the following variables: Mortality Status at 30 days (Mt30Stat), Mortality Date (MtDate), Mortality Discharge Status (MtDCStat)
Denominator Statement	All patients undergoing isolated AVR surgery
Denominator Categories	Female; Male 18 yrs and older
Denominator Details	Time Window: 60 months  Number of isolated AVR procedures; Isolated AVR is determined as a procedure for which all of the following apply:  -OpValve is marked "Yes"  -VSAV is marked "Replacement"  -(VADProc is marked "No" or "Missing") or (VADProc is marked "Yes, Implanted" and UnpIVAD is marked "yes")  -OCarASDTy is marked "PFO" or "missing"  -OCarAFibAProc is marked "primarily epicardial" or "missing" and  -OpCAB, ResectSubA, VSMV, VSMVPr, OpTricus, OpPulmOpONCard, OCarLVA, OCarVSD, OCarSVR, OCarCong, OCarTrma, OCarCrTx, OCAoProcType, EndoProc, OCTumor, OCPulThromDis, OCarOthr are all marked "no" or "missing"
Exclusions	
Exclusion Details	
Risk Adjustment	case-mix adjustment Please see attachment Attachment 2a.15 Detailed Risk Model-634282025771376018.pdf
Ct==1:f:==1:==	
Stratification	

	0120 Risk-adjusted operative mortality for aortic valve replacement (AVR)
Algorithm	

	0121 Risk-adjusted operative mortality for mitral valve (MV) replacement
Steward	Society of Thoracic Surgeons   633 North Saint Clair Street, Suite 2320   Chicago   Illinois   60611
Description	Percent of patients undergoing MV Replacement who die, including both 1) all deaths occurring during the hospitalization in which the procedure was performed, even if after 30 days, and 2) those deaths occurring after discharge from the hospital, but within 30 days of the procedure
Туре	Outcome
Data Source	Registry data URL Data Collection Form (an updated version will be made available on the STS Website in mid-December of 2010) http://www.sts.org/documents/pdf/ndb2010/STSAdultCVDataCollectionForm2_7_Annotated_201 01021.pdf URL http://www.sts.org/documents/pdf/ndb2010/STSAdultCVDataSpecificationsV2_7_20101021.pdf - an updated version will be made available on the STS Website in mid-December of 2010
Level	Clinicians: Group, Facility/Agency, Population: Counties or cities, Population: National, Population: Regional/network, Population: states
Setting	Hospital
Numerator Statement	Number of patients undergoing MV Replacement who die, including both 1) all deaths occurring during the hospitalization in which the operation was performed, even if after 30 days, and 2) those deaths occurring after discharge from the hospital, but within 30 days of the procedure
Numerator Details	<b>Time Window:</b> During hospitalization regardless of length of stay or within 30 days of surgery if discharged Number of isolated MV Replacement procedures with an operative mortality; Number of isolated MV Replacement procedures in which Mortality [Mortalty (STS Adult Cardiac Surgery Database Version 2.73)] and Mortality Operative Death (MtOpD) are marked "yes." Operative mortality is further verified by the following variables: Mortality Status at 30 days (Mt30Stat), Mortality Date (MtDate), Mortality Discharge Status (MtDCStat)
Denominator Statement	All patients undergoing isolated MV Replacement surgery
Denominator Categories	Female; Male 18 and older
Denominator Details	Time Window: 60 months  Number of isolated MV Replacement procedures; Isolated MV Replacement is determined as a procedure for which all of the following apply: -OpValve is marked "Yes" -VSMV is marked "Replacement" -(VADProc is marked "No" or "Missing") or (VADProc is marked "Yes, Implanted" and UnpIVAD is marked "yes") -OCarASDTy is marked "PFO" or "missing" -OCarAFibAProc is marked "primarily epicardial" or "missing" and -OpCAB, VSAV, VSAVPr, ResectSubA, OpTricus, OpPulm, OpONCard, OCarLVA, OCarVSD, OCarSVR, OCarCong, OCarTrma, OCarCrTx, OCAoProcType, EndoProc, OCTumor, OCPulThromDis, OCarOthr are all marked "no" or "missing"
Exclusions	N/A
Exclusion Details	
Risk	case-mix adjustment

	0121 Risk-adjusted operative mortality for mitral valve (MV) replacement
•	Please see attachment Attachment 2a.15 Detailed Risk Model-634267316854669390.pdf
Stratification	N/A
Type Score	Rate/proportion better quality = lower score
Algorithm	N/A

	0122 Risk-adjusted operative mortality MV replacement + CABG surgery
Steward	Society of Thoracic Surgeons   633 North Saint Clair Street, Suite 2320   Chicago   Illinois   60611
Description	Percent of patients undergoing combined MV Replacement and CABG who die, including both 1) all deaths occurring during the hospitalization in which the procedure was performed, even if after 30 days, and 2) those deaths occurring after discharge from the hospital, but within 30 days of the procedure
Туре	Outcome
Data Source	Registry data URL Data Collection Form (an updated version will be made available on the STS Website in mid-January 2011) http://www.sts.org/documents/pdf/ndb2010/STSAdultCVDataCollectionForm2_7_Annotated_201 01021.pdf URL http://www.sts.org/documents/pdf/ndb2010/STSAdultCVDataSpecificationsV2_7_20101021.pdf -
	- an updated version will be made available on the STS Website in mid-January 2011
Level	Clinicians : Group, Facility/Agency, Population : Counties or cities, Population : National, Population : Regional/network, Population : states
Setting	Hospital
Numerator Statement	Number of patients undergoing combined MV Replacement and CABG who die, including both 1) all deaths occurring during the hospitalization in which the operation was performed, even if after 30 days, and 2) those deaths occurring after discharge from the hospital, but within 30 days of the procedure
Numerator Details	<b>Time Window:</b> During hospitalization regardless of length of stay or within 30 days of surgery if discharged  Number of MV Replacement + CABG procedures with an operative mortality;  Number of MV Replacement + CABG procedures in which Mortality [Mortalty (STS Adult Cardiac Surgery Database Version 2.73)] and Mortality Operative Death (MtOpD) are marked "yes."  Operative mortality is further verified by the following variables: Mortality Status at 30 days (Mt30Stat), Mortality Date (MtDate), Mortality Discharge Status (MtDCStat)
Denominator Statement	All patients undergoing combined MV Replacement + CABG
Denominator Categories	Female; Male 18 and older
Denominator Details	Time Window: 60 months Number of MV Replacement + CABG procedures; MV Replacement + CABG is determined as a procedure for which all of the following apply: -OpCAB is marked as "Yes" -OpValve is marked "Yes" -VSMV is marked "Yes" -VSMVPr is marked "Replacement" -(VADProc is marked "No" or "Missing") or (VADProc is marked "Yes, Implanted" and UnpIVAD is marked "yes") -OCarASDTy is marked "PFO" or "missing" -OCarAFibAProc is marked "primarily epicardial" or "missing" and

	0122 Risk-adjusted operative mortality MV replacement + CABG surgery
	-VSAV, VSAVPr, ResectSubA, OpTricus, OpPulm, OpONCard, OCarLVA, OCarVSD, OCarSVR, OCarCong, OCarTrma, OCarCrTx, OCAoProcType, EndoProc, OCTumor, OCPulThromDis, OCarOthr are all marked "no" or "missing"
Exclusions	
Exclusion Details	
Risk Adjustment	case-mix adjustment Please see attachment Attachment 2a.15 Detailed Risk Model-634281986749363998.pdf
Stratification	
Type Score	Rate/proportion better quality = lower score
Algorithm	

	0123 Risk-adjusted operative mortality for aortic valve replacement (AVR) + CABG surgery
Steward	Society of Thoracic Surgeons   633 North Saint Clair Street, Suite 2320   Chicago   Illinois   60611
Description	Percent of patients undergoing combined AVR and CABG who die, including both 1) all deaths occurring during the hospitalization in which the procedure was performed, even if after 30 days, and 2) those deaths occurring after discharge from the hospital, but within 30 days of the procedure
Туре	Outcome
Data Source	Registry data URL Data Collection Form (an updated version will be made available on the STS Website in mid-January 2011) http://www.sts.org/documents/pdf/ndb2010/STSAdultCVDataCollectionForm2_7_Annotated_201
	01021.pdf URL http://www.sts.org/documents/pdf/ndb2010/STSAdultCVDataSpecificationsV2_7_20101021.pdf - an updated version will be made available on the STS Website in mid-January 2011
Level	Clinicians : Group, Facility/Agency, Population : Counties or cities, Population : National, Population : Regional/network, Population : states
Setting	Hospital
Numerator Statement	Number of patients undergoing combined AVR and CABG who die, including both 1) all deaths occurring during the hospitalization in which the operation was performed, even if after 30 days, and 2) those deaths occurring after discharge from the hospital, but within 30 days of the procedure.
Numerator Details	Time Window: During hospitalization regardless of length of stay or within 30 days of surgery if discharged  Number of AVR + CABG procedures with an operative mortality;  Number of AVR + CABG procedures in which Mortality [Mortalty (STS Adult Cardiac Surgery Database Version 2.73)] and Mortality Operative Death (MtOpD) are marked "yes." Operative mortality is further verified by the following variables: Mortality Status at 30 days (Mt30Stat), Mortality Date (MtDate), Mortality Discharge Status (MtDCStat)
Denominator Statement	All patients undergoing combined AVR + CABG
Denominator Categories	Female; Male 18 yrs and older
Denominator Details	Time Window: 60 months Number of AVR + CABG procedures;

	0422 Diak adjusted energing mortality for cortic valve replacement (AVD) . CABC
	0123 Risk-adjusted operative mortality for aortic valve replacement (AVR) + CABG
	surgery
	AVR + CABG is determined as a procedure for which all of the following apply:
	-OpCAB is marked "Yes"
	-OpValve is marked "Yes"
	-VSAV is marked "Yes"
	-VSAVPr is marked "Replacement"
	-(VADProc is marked "No" or "Missing") or (VADProc is marked "Yes, Implanted" and UnplVAD is marked "yes")
	-OCarASDTy is marked "PFO" or "missing"
	-OCarAFibAProc is marked "primarily epicardial" or "missing" and
	-ResectSubA, VSMV, VSMVPr, OpTricus, OpPulm, OpONCard, OCarLVA, OCarVSD,
	OCarSVR, OCarCong, OCarTrma, OCarCrTx, OCAoProcType, EndoProc, OCTumor,
	OCPulThromDis, OCarOthr are all marked "no" or "missing"
Exclusions	
Exclusion	
Details	
Risk	case-mix adjustment
Adjustment	Please see attachment
	Attachment 2a.15 Detailed Risk Model-634282035059769330.pdf
Stratification	
Type Score	Rate/proportion better quality = lower score
Algorithm	

	1501 Risk-adjusted operative mortality for mitral valve (MV) repair
Steward	Society of Thoracic Surgeons   633 North Saint Clair Street, Suite 2320   Chicago   Illinois   60611
Description	Percent of patients undergoing MV Repair who die, including both 1) all deaths occurring during the hospitalization in which the procedure was performed, even if after 30 days, and 2) those deaths occurring after discharge from the hospital, but within 30 days of the procedure. (This measure applies to the procedure of MV repair, regardless of approach)
Туре	Outcome
Data Source	Registry data URL Data Collection Form (an updated version will be made available on the STS Website in mid-December of 2010) http://www.sts.org/documents/pdf/ndb2010/STSAdultCVDataCollectionForm2_7_Annotated_201 01021.pdf URL http://www.sts.org/documents/pdf/ndb2010/STSAdultCVDataSpecificationsV2_7_20101021.pdf - an updated version will be made available on the STS Website in mid-December of 2010
Level	Clinicians : Group, Facility/Agency, Population : Counties or cities, Population : National, Population : Regional/network, Population : states
Setting	Hospital
Numerator Statement	Number of patients undergoing MV Repair who die, including both 1) all deaths occurring during the hospitalization in which the operation was performed, even if after 30 days, and 2) those deaths occurring after discharge from the hospital, but within 30 days of the procedure
Numerator Details	<b>Time Window:</b> During hospitalization regardless of length of stay or within 30 days of surgery if discharged Number of isolated MV Repair procedures with an operative mortality; Number of isolated MV Repair procedures in which Mortality [Mortalty (STS Adult Cardiac Surgery Database Version 2.73)] and Mortality Operative Death (MtOpD) are marked "yes." Operative mortality is further verified by the following variables: Mortality Status at 30 days

	1501 Risk-adjusted operative mortality for mitral valve (MV) repair
	(Mt30Stat), Mortality Date (MtDate), Mortality Discharge Status (MtDCStat)
Denominator Statement	All patients undergoing isolated MV Repair surgery (This measure applies to the procedure of MV repair, regardless of approach)
Denominator Categories	Female; Male 18 and older
Denominator Details	Time Window: 60 months  Number of isolated MV Repair procedures; Isolated MV Repair is determined as a procedure for which all of the following apply:  -OpValve is marked "Yes"  -VSMV is marked "Repair"  -(VADProc is marked "No" or "Missing") or (VADProc is marked "Yes, Implanted" and UnpIVAD is marked "yes")  -OCarASDTy is marked "PFO" or "missing"  -OCarAFibAProc is marked "primarily epicardial" or "missing" and  -OpCAB, VSAV, VSAVPr, ResectSubA, OpTricus, OpPulm, OpONCard, OCarLVA, OCarVSD, OCarSVR, OCarCong, OCarTrma, OCarCrTx, OCAoProcType, EndoProc, OCTumor, OCPulThromDis, OCarOthr are all marked "no" or "missing"
Exclusions	N/A
Exclusion Details	
Risk Adjustment	case-mix adjustment Please see attachment Attachment 2a.15 Detailed Risk Model-634267381711241302.pdf
Stratification	N/A
Type Score	Rate/proportion better quality = lower score
Algorithm	N/A

	1502 Risk-adjusted operative mortality for MV repair + CABG surgery
Steward	Society of Thoracic Surgeons   633 North Saint Clair Street, Suite 2320   Chicago   Illinois   60611
Description	Percent of patients undergoing combined MV Repair and CABG who die, including both 1) all deaths occurring during the hospitalization in which the procedure was performed, even if after 30 days, and 2) those deaths occurring after discharge from the hospital, but within 30 days of the procedure
Туре	Outcome
Data Source	Registry data URL Data Collection Form (an updated version will be made available on the STS Website in mid-January 2011) http://www.sts.org/documents/pdf/ndb2010/STSAdultCVDataCollectionForm2_7_Annotated_201 01021.pdf URL http://www.sts.org/documents/pdf/ndb2010/STSAdultCVDataSpecificationsV2_7_20101021.pdf - an updated version will be made available on the STS Website in mid-January 2011
Level	Clinicians : Group, Facility/Agency, Population : Counties or cities, Population : National, Population : Regional/network, Population : states
Setting	Hospital
Numerator Statement	Number of patients undergoing combined MV Repair and CABG who die, including both 1) all deaths occurring during the hospitalization in which the operation was performed, even if after 30 days, and 2) those deaths occurring after discharge from the hospital, but within 30 days of the

	1502 Risk-adjusted operative mortality for MV repair + CABG surgery
	procedure
Numerator Details	<b>Time Window:</b> During hospitalization regardless of length of stay or within 30 days of surgery if discharged Number of MV Repair + CABG procedures with an operative mortality; Number of MV Repair + CABG procedures in which Mortality [Mortalty (STS Adult Cardiac Surgery Database Version 2.73)] and Mortality Operative Death (MtOpD) are marked "yes." Operative mortality is further verified by the following variables: Mortality Status at 30 days (Mt30Stat), Mortality Date (MtDate), Mortality Discharge Status (MtDCStat)
Denominator Statement	All patients undergoing combined MV Repair + CABG
Denominator Categories	Female; Male 18 yrs and older
Denominator Details	Time Window: 60 months Number of MV Repair + CABG procedures; MV Repair + CABG is determined as a procedure for which all of the following apply: -OpCAB is marked as "Yes" -OpValve is marked "Yes" -VSMV is marked "Repair" -(VADProc is marked "No" or "Missing") or (VADProc is marked "Yes, Implanted" and UnpIVAD is marked "Yes") -OCarASDTy is marked "PFO" or "missing" -OCarAFibAProc is marked "primarily epicardial" or "missing" and -VSAV, VSAVPr, ResectSubA, OpTricus, OpPulm, OpONCard, OCarLVA, OCarVSD, OCarSVR, OCarCong, OCarTrma, OCarCrTx, OCAoProcType, EndoProc, OCTumor, OCPulThromDis, OCarOthr are all marked "no" or "missing"
Exclusions	
Exclusion Details	
Risk Adjustment	case-mix adjustment Please see attachment Attachment 2a.15 Detailed Risk Model-634282068151467310.pdf
Stratification	
Type Score	Rate/proportion better quality = lower score
Algorithm	

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	0360 Esophageal resection mortality rate (IQI 8)
Steward	Agency for Healthcare Research and Quality   540 Gaither Road   Rockville   Maryland   20850
Description	Number of inpatient deaths per 100 discharges with a procedure for esophageal resection
Туре	Outcome
Data Source	Electronic administrative data/claims URL http://www.qualityindicators.ahrq.gov/software.htm URL http://www.qualityindicators.ahrq.gov/downloads/winqi/AHRQ_QI_Windows_Software_Document ation_V41a.pdf
Level	Facility/Agency
Setting	Hospital
Numerator Statement	Number of deaths among cases meeting the inclusion and exclusion rules for the denominator.
Numerator	Time Window: Inpatient admission

Details Discharge disposition of death (DISP=20)  Denominator Statement Discharges, age 18 years and older, with ICD-9-CM esophageal resection procedure code diagnosis code of esophageal cancer in any field OR gastrectomy procedure code ONLY if accompanied by selected diagnosis codes.  Denominator Categories  Denominator Details Time Window: User defined; usually a calendar year ICD-9-CM esophageal resection procedure codes: 424 ESOPHAGECTOMY 4240 ESOPHAGECTOMY NOS 4241 PARTIAL ESOPHAGECTOMY 4242 TOTAL ESOPHAGECTOMY	0360 Esophageal resection mortality rate (IQI 8)
Statement diagnosis code of esophageal cancer in any field OR gastrectomy procedure code ONLY if accompanied by selected diagnosis codes.  Denominator Categories  Denominator Details  Time Window: User defined; usually a calendar year ICD-9-CM esophageal resection procedure codes: 424 ESOPHAGECTOMY 4240 ESOPHAGECTOMY NOS 4241 PARTIAL ESOPHAGECTOMY 4242 TOTAL ESOPHAGECTOMY	Discharge disposition of death (DISP=20)
Categories  Denominator Details  Time Window: User defined; usually a calendar year ICD-9-CM esophageal resection procedure codes: 424 ESOPHAGECTOMY 4240 ESOPHAGECTOMY NOS 4241 PARTIAL ESOPHAGECTOMY 4242 TOTAL ESOPHAGECTOMY	diagnosis code of esophageal cancer in any field OR gastrectomy procedure code ONLY accompanied by selected diagnosis codes.
Details ICD-9-CM esophageal resection procedure codes: 424 ESOPHAGECTOMY 4240 ESOPHAGECTOMY NOS 4241 PARTIAL ESOPHAGECTOMY 4242 TOTAL ESOPHAGECTOMY	egories
425 THORAC ESOPHAGANAST 4251 THORAC ESOPHAGOGSOPHAGOS 4252 THORAC SM BOWEL INTERPOS 4253 THORAC SM BOWEL INTERPOS 4254 THORAC ESOPHAGOENTER NEC 4255 THORAC ESOPHAGOCOLOS NEC 4255 THORAC ESOPHAGOCOLOS NEC 4258 THORAC ESOPHAGA SANST NEC 4258 THORAC ESOPHAG ANAST NEC 4258 THORAC ESOPHAG ANAST NEC 4268 STERN ESOPHAGOASTOSTOM 4263 STERN ESOPHAGOGASTROSTOM 4263 STERN SESOPHAGOGASTROSTOM 4263 STERN BOWEL INTERPOS 4264 STERN ESOPHAGOENTER NEC 4265 STERN LESOPHAGOCOLOS NEC 4268 STERN LESOPHAGOCOLOS NEC 4268 STERN HOWEL INTERPOS 4266 STERN ESOPHAGOCOLOS NEC 4268 STERN SEOPHAGOCOLOS NEC 4269 STERN ESOPHAGO ANAST NEC ONLY if selected diagnosis codes: esophageal cancer (see below) gastrointestinal-related cancer (see below) OR: ICD-9-CM gastrectomy procedure code: 4399 OTHER TOTAL GASTRECTOMY - ONLY if selected diagnosis codes: esophageal cancer: 1500 MALIGNANT NEOPLASM OF ESOPHAGUS, CERVICAL 1501 MALIGNANT NEOPLASM OF ESOPHAGUS, THORACIC 1502 MALIGNANT NEOPLASM OF ESOPHAGUS, HODACIC 1503 MALIGNANT NEOPLASM OF ESOPHAGUS, UPPER THIRD OF 1504 MALIGNANT NEOPLASM OF ESOPHAGUS, UNSPECIFIED OF 1505 MALIGNANT NEOPLASM OF ESOPHAGUS, UNSPECIFIED OF 1506 MALIGNANT NEOPLASM OF ESOPHAGUS, UNSPECIFIED DART 1509 MALIGNANT NEOPLASM OF ESOPHAGUS, UNSPECIFIED Gastrointestinal cancer 1510 MALIGNANT NEOPLASM OF ESOPHAGUS, UNSPECIFIED GASTOINTESTINAL SANDARD SEESOPHAGUS 355 NEOPLASM OF UNCERTAIN BEHAVIOR OF DIGESTIVE AND RESPIRATORY 2301 CARCINOMA IN SITU OF DIGESTIVE ORGANS, ESOPHAGUS 2335 NEOPLASM OF UNCERTAIN BEHAVIOR OF DIGESTIVE AND RESPIRATORY	ilis  ICD-9-CM esophageal resection procedure codes: 424 ESOPHAGECTOMY 4240 ESOPHAGECTOMY NOS 4241 PARTIAL ESOPHAGECTOMY 4242 TOTAL ESOPHAGECTOMY 425 THORAC ESOPHAG ANAST 4251 THORAC ESOPHAGOSOPHAGOS 4252 THORAC ESOPHAGOSATROST 4253 THORAC ESOPHAGOSATROST 4253 THORAC ESOPHAGOSATROST 4255 THORAC ESOPHAGOSATROST 4256 THORAC ESOPHAGOENTER NEC 4255 THORAC ESOPHAGOENTER NEC 4256 THORAC ESOPHAGOENTER NEC 4259 THORAC ESOPHAGOENTER NEC 4259 THORAC ESOPHAGOSTION NEC 4259 THORAC ESOPHAGOSTION NEC 4259 THORAC ESOPHAGOSTION NEC 4259 THORAC ESOPHAGOSTION NEC 4265 STERN ESOPHAGOSATROSTOM 4262 STERN ESOPHAGOSATROSTOM 4263 STERN SM BOWEL INTERPOS 4264 STERN ESOPHAGOSATROSTOM 4265 STERN LG BOWEL INTERPOS 4266 STERN ESOPHAGOSOPHAGOST 4268 STERN SOPHAGOENTER NEC 4268 STERN SEOPHAGOCOLOS NEC 4268 STERN ESOPHAGOSON NEC 4268 STERN INTERPOSITION NEC 4269 STERN ESOPHAGOSON NEC 4268 STERN INTERPOSITION NEC 4269 STERN ESOPHAGOSON NEC 4268 STERN ESOPHAGOSON NEC 4268 STERN ESOPHAGOSON NEC 4268 STERN ESOPHAGOSON NEC 4268 STERN INTERPOSITION NEC 4269 STERN ESOPHAG ANAST NEC ONLY if selected diagnosis codes: esophageal cancer (see below) gastrointestinal-related cancer (see below)  CNLY if selected diagnosis codes: esophageal cancer (see below) Es

0360 Esophageal resection mortality rate (IQI 8)
SYSTEMS, OTHER AND UNSPECIFIED DIGESTIVE ORGANS
Exclude discharges with pregnancy, discharge to a short term hospital or missing information for discharge disposition, age or sex.
Exclude cases:  • missing discharge disposition (DISP=missing), gender (SEX=missing), age (AGE=missing), quarter (DQTR=missing), year (YEAR=missing) or principal diagnosis (DX1 =missing)  • transferring to another short-term hospital (DISP=2)  • MDC 14 (pregnancy, childbirth, and puerperium)
case-mix adjustment The predicted value for each case is computed using GEE logistic regression and covariates for age (in 5-year age groups), APR-DRG and MDC. The reference population used in the regression is the universe of discharges for states that participate in the HCUP State Inpatient Databases (SID) for the year 2007, a database consisting of approximately 35 million discharges from 43 states. The expected rate is computed as the sum of the predicted value for each case divided by the number of cases for the unit of analysis of interest (i.e., county or state). The risk adjusted rate is computed using indirect standardization as the observed rate divided by the expected rate, multiplied by the reference population rate. The Smoothed Rate is the risk-adjusted rate shrunken to the volume-specific rate and the prior year smoothed rate. age 18-24; age 25-29; age 30-34; age 35-39; age 40-44; age 45-49; age 50-54; age 55-59; age 60-64 (omitted); age 65-69; age 70-74; age 75-79; age 80-84; age 85+each age category*female  APRDRG 2201-MAJOR STOMACH, ESOPHAGEAL & DUODENAL PROCEDURES (MINOR) APRDRG 2202-MAJOR STOMACH, ESOPHAGEAL & DUODENAL PROCEDURES (MODERATE) ADRG 2203-MAJOR STOMACH, ESOPHAGEAL & DUODENAL PROCEDURES (MAJOR)  APRDRG 2204-MAJOR STOMACH, ESOPHAGEAL & DUODENAL PROCEDURES (MAJOR)  APRDRG 2204-MAJOR STOMACH, ESOPHAGEAL & DUODENAL PROCEDURES (EXTREME) ADRG 9999 (OTHER)  URL
http://www.qualityindicators.ahrq.gov/downloads/iqi/IQI%20Risk%20Adjustment%20Tables%20( Version%204%202)%20wo%20APR-DRG.pdf  Observed rates may be stratified by age group, race/ethnicity categories, payer categories and
sex.
Rate/proportion better quality = lower score
Each Inpatient Quality Indicator (IQI) expressed as a rate, is defined as outcome of interest/population at risk or numerator/denominator. The Quality Indicators software performs five steps to produce the IQI rates. 1) Discharge-level data is used to mark inpatient records containing outcomes of interest. 2) Identify populations at risk. For provider IQIs populations at risk are derived from hospital discharge records. 3) Calculate observed rates. Using output data from steps 1 and 2, IQI rates are calculated for user-specified combinations of stratifiers. 4) Risk adjust the IQI rates. Regression coefficients from a reference population database are applied to the observed rates in the risk-adjustment process. The risk-adjusted rates will then reflect the age and APR-DRG distribution of data in the reference population. 5) Create multivariate signal extraction (MSX) smoothed rates. Shrinkage factors are applied to the risk-adjusted rates for each IQI in the MSX process. For each IQI, the shrinkage estimate reflects a reliability adjustment unique to each indicator. Full information on IQI algorithms and specification can be found at http://qualityindicators.ahrq.gov/iqi_download.htm.

	0361 Esophageal resection volume (IQI 1)
Steward	Agency for Healthcare Research and Quality   540 Gaither Road   Rockville   Maryland   20850
Description	Number of discharges with a procedure for esophogeal resection

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	0361 Esophageal resection volume (IQI 1)
Туре	Structure/management
Data Source	Electronic administrative data/claims URL http://www.qualityindicators.ahrq.gov/software.htm URL http://www.qualityindicators.ahrq.gov/downloads/winqi/AHRQ_QI_Windows_Software_Document ation_V41a.pdf
Level	Facility/Agency
Setting	Hospital
Numerator Statement	Discharges, age 18 years and older, with ICD-9-CM code for esophageal resection in any procedure field OR gastrectomy procedure code ONLY if accompanied by selected diagnosis codes.
Numerator Details	Time Window: Time period is user defined. Users of the measure typically use a 12 month time period.  CD-9-CM esophageal resection procedure codes: 424 ESOPHAGECTOMY 4240 ESOPHAGECTOMY NOS 4241 PARTIAL ESOPHAGECTOMY 4242 TOTAL ESOPHAGECTOMY 4251 THORAC ESOPHAGECTOMY 4252 THORAC ESOPHAGOSOPHAGOS 4252 THORAC ESOPHAGOGASTROST 4253 THORAC SM BOWEL INTERPOS 4254 THORAC ESOPHAGOENTER NEC 4255 THORAC ESOPHAGOENTER NEC 4255 THORAC ESOPHAGOCOLOS NEC 4256 THORAC ESOPHAGOCOLOS NEC 4258 THORAC ESOPHAG ANAST 4261 STERN ESOPHAG ANAST 4261 STERN ESOPHAGOSOPHAGOST 4262 STERN ESOPHAGOSOPHAGOST 4262 STERN ESOPHAGOSOPHAGOST 4263 STERN SM BOWEL INTERPOS 4264 STERN ESOPHAGOSOPHAGOST 4264 STERN ESOPHAGOENTER NEC 4265 STERN LG BOWEL INTERPOS 4266 STERN LG BOWEL INTERPOS 4266 STERN LG BOWEL INTERPOS 4266 STERN ESOPHAGOENTER NEC 4265 STERN LG BOWEL INTERPOS 4268 STERN LG BOWEL INTERPOS 4269 STERN ESOPHAG ANAST NEC OR ICD-9-CM gastrectomy procedure code: 4399 OTHER TOTAL GASTRECTOMY ONLY if accompanied by selected diagnosis codes 1500 MALIGNANT NEOPLASM OF ESOPHAGUS, CERVICAL 1501 MALIGNANT NEOPLASM OF ESOPHAGUS, THORACIC 1502 MALIGNANT NEOPLASM OF ESOPHAGUS, ABDOMINAL 1503 MALIGNANT NEOPLASM OF ESOPHAGUS, MIDDLE THIRD OF 1504 MALIGNANT NEOPLASM OF ESOPHAGUS, MIDDLE THIRD OF 1505 MALIGNANT NEOPLASM OF ESOPHAGUS, UPPER THIRD OF 1506 MALIGNANT NEOPLASM OF ESOPHAGUS, UPPER THIRD OF 1508 MALIGNANT NEOPLASM OF ESOPHAGUS, UNSPECIFIED PART 1509 MALIGNANT NEOPLASM OF ESOPHAGUS, UNSPECIFIED
Denominator Statement	Not applicable
Denominator	Female; Male 18 and older

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	0361 Esophageal resection volume (IQI 1)
Categories	
Denominator Details	Time Window: Not applicable Not Applicable
Exclusions	Not Applicable
Exclusion Details	Not Applicable
Risk Adjustment	no risk adjustment necessary Not applicable
Stratification	Not Applicable
Type Score	Count better quality = higher score
Algorithm	The volume is the number of discharges with a procedure for esophageal resection

	0116 Anti-platelet medication at discharge
Steward	Society of Thoracic Surgeons   633 North Saint Clair Street, Suite 2320   Chicago   Illinois   60611
Description	Percent of patients aged 18 years and older undergoing isolated CABG who were discharged on anti-platelet medication
Туре	Process
Data Source	Registry data URL Data Collection Form (an updated version will be made available on the STS Website in mid-December of 2010) http://www.sts.org/documents/pdf/ndb2010/STSAdultCVDataCollectionForm2_7_Annotated_201 01021.pdf URL http://www.sts.org/documents/pdf/ndb2010/STSAdultCVDataSpecificationsV2_7_20101021.pdf - an updated version will be made available on the STS Website in mid-December of 2010
Level	Clinicians : Group, Facility/Agency, Population : Counties or cities, Population : National, Population : Regional/network, Population : states
Setting	Hospital
Numerator Statement	Number of patients undergoing isolated CABG who were discharged on anti-platelet medication
Numerator Details	Time Window: Number of isolated CABG procedures in which discharge aspirin [DCASA (STS Adult Cardiac Surgery Database Version 2.73)] or discharge ADP inhibitors (DCADP) is marked "yes" If a patient is on Plavix due to an aspirin contraindication, s/he is counted in the numerator because STS accepts either ASA or ADP inhibitors for the numerator
Denominator Statement	All patients undergoing isolated CABG
Denominator Categories	Female; Male 18 and older

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	0116 Anti-platelet medication at discharge
Denominator Details	Time Window: 12 months  Number of isolated CABG procedures excluding cases with in-hospital mortality or cases for which discharge aspirin use was contraindicated.  Isolated CABG is determined as a procedure for which all of the following apply:  -OpCAB is marked "Yes"  -(VADProc is marked "No" or "Missing") or (VADProc is marked "Yes, Implanted" and UnpIVAD is marked "yes")  -OCarASDTy is marked "PFO" or "missing"  -OCarAFibAProc is marked "primarily epicardial" or "missing" and  -OpValve, VSAV, VSAVPr, ResectSubA, VSMV, VSMVPr, OpTricus, OpPulm, OpONCard,
	OCarLVA, OCarVSD, OCarSVR, OCarCong, OCarTrma, OCarCrTx, OCAoProcType, EndoProc, OCTumor, OCPulThromDis, OCarOthr are all marked "no" or "missing"
Exclusions	Cases are removed from the denominator if there was an in-hospital mortality or if discharge aspirin was contraindicated. In other words, if discharge aspirin is marked contraindicated or there is an in-hospital mortality, the patient is excluded from the denominator, and therefore, the measure is calculated without those patients.
Exclusion Details	Mortality Discharge Status (MtDCStat), Mortality Date (MtDate), and Discharge Date (DischDt) indicate an in-hospital mortality; discharge aspirin (DCASA) is marked as "Contraindicated"
Risk Adjustment	no risk adjustment necessary
Stratification	N/A
Type Score	Rate/proportion better quality = higher score
Algorithm	N/A

	0118 Anti-lipid treatment discharge
Steward	Society of Thoracic Surgeons   633 North Saint Clair Street, Suite 2320   Chicago   Illinois   60611
Description	Percent of patients aged 18 years and older undergoing isolated CABG who were discharged on a statin or other lipid-lowering regimen
Туре	Process
Data Source	Registry data URL Data Collection Form (an updated version will be made available on the STS Website in mid-January 2011 http://www.sts.org/documents/pdf/ndb2010/STSAdultCVDataCollectionForm2_7_Annotated_201 01021.pdf URL http://www.sts.org/documents/pdf/ndb2010/STSAdultCVDataSpecificationsV2_7_20101021.pdf an updated version will be made available on the STS Website in mid-January 2011
Level	Clinicians : Group, Facility/Agency, Population : Counties or cities, Population : National, Population : Regional/network, Population : states
Setting	Hospital
Numerator Statement	Number of patients undergoing isolated CABG who were discharged on a statin or other lipid-lowering regimen
Numerator Details	Time Window: Number of isolated CABG procedures in which discharge lipid lowering medication [DCLipid (STS Adult Cardiac Surgery Database Version 2.73)] is marked "yes"
Denominator Statement	All patients undergoing isolated CABG
Denominator	Female; Male 18 yrs and older

	0118 Anti-lipid treatment discharge
Categories	
Denominator	Time Window: 12 months
Details	Number of isolated CABG procedures excluding cases with in-hospital mortality or cases for
	which discharge anti-lipid treatment use was contraindicated.
	Isolated CABG is determined as a procedure for which all of the following apply:  -OpCAB is marked "Yes"
	-(VADProc is marked "No" or "Missing") or (VADProc is marked "Yes, Implanted" and UnplVAD is marked "yes")
	-OCarASDTy is marked "PFO" or "missing"
	-OCarAFibAProc is marked "primarily epicardial" or "missing" and
	-OpValve, VSAV, VSAVPr, ResectSubA, VSMV, VSMVPr, OpTricus, OpPulm, OpONCard,
	OCarLVA, OCarVSD, OCarSVR, OCarCong, OCarTrma, OCarCrTx, OCAoProcType, EndoProc, OCTumor, OCPulThromDis, OCarOthr are all marked "no" or "missing"
Exclusions	Cases are removed from the denominator if there was an in-hospital mortality or if discharge anti-lipid treatment was contraindicated.
Exclusion Details	Mortality Discharge Status (MtDCStat), Mortality Date (MtDate), and Discharge Date (DischDt) indicate an in-hospital mortality; DCLipid is marked as "Contraindicated"
Risk	no risk adjustment necessary
Adjustment	
Stratification	
Type Score	Rate/proportion better quality = higher score
Algorithm	

	0130 Risk-adjusted deep sternal wound infection rate
Steward	Society of Thoracic Surgeons   633 North Saint Clair Street, Suite 2320   Chicago   Illinois   60611
Description	Percent of patients aged 18 years and older undergoing isolated CABG who, within 30 days postoperatively, develop deep sternal wound infection involving muscle, bone, and/or mediastinum requiring operative intervention
Туре	Outcome
Data Source	Registry data URL Data Collection Form (an updated version will be made available on the STS Website in mid-January 2011) http://www.sts.org/documents/pdf/ndb2010/STSAdultCVDataCollectionForm2_7_Annotated_201 01021.pdf URL http://www.sts.org/documents/pdf/ndb2010/STSAdultCVDataSpecificationsV2_7_20101021.pdf - an updated version will be made available on the STS Website in mid-January 2011
Level	Clinicians : Group, Facility/Agency, Population : Counties or cities, Population : National, Population : Regional/network, Population : states
Setting	Hospital
Numerator Statement	Number of patients who, within 30 days postoperatively, develop deep sternal wound infection involving muscle, bone, and/or mediastinum requiring operative intervention.  Must have all of the following conditions:  -Wound opened with excision of tissue (I&D) or re-exploration of mediastinum  -Positive culture unless patient on antibiotics at time of culture or no culture obtained  -Treatment with antibiotics beyond perioperative prophylaxis
Numerator Details	<b>Time Window:</b> Within 30 days postoperatively Number of isolated CABG procedures in which postoperative deep sternal wound infection [CIStDeep (STS Adult Cardiac Surgery Database Version 2.73)] is marked "yes"

	0130 Risk-adjusted deep sternal wound infection rate
Denominator Statement	All patients undergoing isolated CABG
Denominator Categories	Female; Male 18 yrs and older
Denominator	Time Window: 12 months
Details	Number of isolated CABG procedures; Isolated CABG is determined as a procedure for which all of the following apply:  -OpCAB is marked "Yes"  -(VADProc is marked "No" or "Missing") or (VADProc is marked "Yes, Implanted" and UnpIVAD is marked "yes")  -OCarASDTy is marked "PFO" or "missing"  -OCarAFibAProc is marked "primarily epicardial" or "missing" and  -OpValve, VSAV, VSAVPr, ResectSubA, VSMV, VSMVPr, OpTricus, OpPulm, OpONCard, OCarLVA, OCarVSD, OCarSVR, OCarCong, OCarTrma, OCarCrTx, OCAoProcType, EndoProc, OCTumor, OCPulThromDis, OCarOthr are all marked "no" or "missing"
Exclusions	
Exclusion Details	
Risk Adjustment	case-mix adjustment Please see attachment Attachment 2a.15 Detailed Risk Model-634282057229855466.pdf
Stratification	
Type Score	Rate/proportion better quality = lower score
Algorithm	

	prophylaxis within 24 hours prior to surgery to 24 hours after surgery end time
Steward	Centers for Medicare & Medicaid Services   7500 Security Boulevard , Mail Stop S3-01-02   Baltimore   Maryland   21244-1850
Description	Percentage of surgery patients who received appropriate Venous Thromboembolism (VTE) Prophylaxis within 24 hours prior to surgery to 24 hours after surgery end time
Туре	Process
Data Source	Electronic Clinical Data, Electronic Health/Medical Record, Paper medical record/flow-sheet URL
	http://www.qualitynet.org/dcs/ContentServer?c=Page&pagename=QnetPublic%2FPage%2FQnetTier2&cid=1138900279093 URL
	http://www.qualitynet.org/dcs/ContentServer?c=Page&pagename=QnetPublic%2FPage%2FQnetTier4&cid=1228754600169
Level	Can be measured at all levels, Facility/Agency, Program : QIO
Setting	Hospital
Numerator Statement	Surgery patients who received appropriate VTE prophylaxis within 24 hours prior to Surgical Incision Time to 24 hours after Surgery End Time Appropriate prophylaxis according to Surgery Type: Intracranial Neurosurgery Any of the following:
	•Intermittent pneumatic compression devices (IPC) with or without graduated compression stockings (GCS) •Low-dose unfractionated heparin (LDUH)

0218 Surgery patients who received appropriate venous thromboembolism (VTE)

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Low molecular weight heparin (LMWH)2 -•LDUH or LMWH2 combined with IPC or GCS

0218 Surgery patients who received appropriate venous thromboembolism (VTE) prophylaxis within 24 hours prior to surgery to 24 hours after surgery end time
General Surgery
Any of the following:
•Low-dose unfractionated heparin (LDUH)
•Low molecular weight heparin (LMWH)
•Factor Xa Inhibitor (Fondaparinux)
•LDUH or LMWH or Factor Xa Inhibitor (fondaparinux) combined with IPC or GCS
General Surgery with a reason for not administering pharmacological prophylaxisAny of the
following:
•Graduated Compression stockings (GCS)
•Intermittent pneumatic compression devices (IPC)
Gynecologic Surgery
Any of the following:
•Low-dose unfractionated heparin (LDUH)
•Low molecular weight heparin (LMWH)
•Factor Xa Inhibitor (fondaparinux)
•Intermittent pneumatic compression devices (IPC)
•LDUH or LMWH or Factor Xa Inhibitor (fondaparinux) combined with IPC or GCS
Urologic Surgery
Any of the following:
•Low-dose unfractionated heparin (LDUH)
•Low molecular weight heparin (LMWH)
•Factor Xa Inhibitor (fondaparinux)
•Intermittent pneumatic compression devices (IPC)
•Graduated compression stockings (GCS)
•LDUH or LMWH or Factor Xa Inhibitor (fondaparinux) combined with IPC or GCS
Elective Total Hip Replacement
Any of the following started within 24 hours of surgery:
•Low molecular weight heparin (LMWH)
•Factor Xa Inhibitor (Fondaparinux)
•Warfarin
Elective Total Knee Replacement
Any of the following:
•Low molecular weight heparin (LMWH)
•Factor Xa Inhibitor (Fondaparinux)
•Warfarin
•Intermittent pneumatic compression devices (IPC)
•Venous foot pump (VFP)
Hip Fracture Surgery
Any of the following:
•Low-dose unfractionated heparin (LDUH)
•Low molecular weight heparin (LMWH)
•Factor Xa Inhibitor (Fondaparinux)
•Warfarin
Elective Total Hip Replacement with a reason for not administering pharmacological prophylaxis
Any of the following:
•Intermittent pneumatic compression devices (IPC)
•Venous foot pump (VFP)
Hip Fracture Surgery with a reason for not administering pharmacological prophylaxis
Any of the following:
•Graduated Compression Stockings (GCS)
•Intermittent pneumatic compression devices (IPC)
•Venous foot pump (VFP)

	0218 Surgery patients who received appropriate venous thromboembolism (VTE) prophylaxis within 24 hours prior to surgery to 24 hours after surgery end time
Numerator Details	Time Window: 24 hours prior to incision to 24 hours after surgery end time Data Elements: Anesthesia Type VTE Prophylaxis VTE Timely
Denominator Statement	All selected surgery patients
Denominator Categories	Female; Male Patients 18 years of age and older
Denominator Details	Time Window: Entire inpatient admission Data Elements: Admission Date Anesthesia End Date Anesthesia End Time Anesthesia Start Date Anesthesia Start Time Birthdate Clinical Trial Discharge Date ICD-9-CM Principal Diagnosis Code ICD-9-CM Principal Procedure Code Laparoscope Perioperative Death Preadmission Warfarin Reason for Not Administering VTE Prophylaxis
Exclusions	Data Elements Clinical Trial Laparoscope Perioperative Death Preadmission Warfarin Reason for Not Administering VTE Prophylaxis
Exclusion Details	Excluded Populations: Patients less than 18 years of age Patients who have a Length of Stay greater than 120 days Burn patients (as defined in Appendix A, Table 5.14 for ICD-9-CM codes) Patients with procedures performed entirely by Laparoscope Patients enrolled in clinical trials Patients who are on warfarin prior to admission Patients whose ICD-9-CM principal procedure occurred prior to the date of admission Patients whose total surgery time is less than or equal to 60 minutes Patients with hospital length of stay less than or equal to 3 calendar days Patients who expire perioperatively Patients with reasons for not administering both mechanical and pharmacological prophylaxis Patients who did not receive VTE Prophylaxis (as defined in the Data Dictionary)
Risk Adjustment	no risk adjustment necessary N/A
Stratification	No stratification except by surgery type and those are Intracranial Neurosurgery Appendix A, Table 5.17 General Surgery Appendix A, Table 5.19 Gynecologic Surgery Appendix A, Table 5.20 Urologic Surgery Appendix A, Table 5.21

	0218 Surgery patients who received appropriate venous thromboembolism (VTE) prophylaxis within 24 hours prior to surgery to 24 hours after surgery end time
	Elective Total Hip Replacement Appendix A,Table 5.22 Elective Total Knee Replacement Appendix A,Table 5.23 Hip Fracture Surgery Appendix A,Table 5.24
Type Score	Rate/proportion better quality = higher score
Algorithm	SCIP- Venous Thromboembolism (VTE)-2: Surgery Patients Who Received Appropriate Venous Thromboembolism Prophylaxis Within 24 Hours Prior to Surgery to 24 Hours After Surgery Numerator: Surgery patients who received Venous Thromboembolism (VTE) prophylaxis 24 hours prior to Anesthesia Start Time to 24 hours after Anesthesia End Time.  Denominator: All selected surgery patients.
	Variable Key: Patient Age, Length of Stay (LOS), Surgery Length, Surgery Days 1.Start processing. Run cases that are included in the Surgical Care Improvement Project (SCIP) Initial Patient Population and pass the edits defined in the Transmission Data Processing Flow: Clinical through this measure.
	2.Calculate Patient Age. The Patient Age, in years, is equal to the Admission Date minus the Birthdate. Use the month and day portion of admission date and birthdate to yield the most accurate age.  3.Check Patient Age.
	3.Check Patient Age a.If Patient Age is less than 18 years, the case will proceed to a Measure Category Assignment of B and will not be in the Measure Population. Stop processing. b.If Patient Age is greater than or equal to 18 years, continue processing and proceed to ICD-9-
	CM Principal Procedure Code. 4.Check ICD-9-CM Principal Procedure Code
	a.If the ICD-9-CM Principal Procedure Code is not on Table 5.17, 5.19, 5.20, 5.21, 5.22, 5.23, or 5.24, the case will proceed to a Measure Category Assignment of B and will not be in the measure population. Stop processing.
	b.If the ICD-9-CM Principal Procedure Code is on Table 5.17, 5.19, 5.20, 5.21, 5.22, 5.23, or 5.24, continue processing and proceed to ICD-9-CM Principal Diagnosis Code. 5.Check ICD-9-CM Principal Diagnosis Code
	a.If the ICD-9-CM Principal Diagnosis Code is on Table 5.14, the case will proceed to a Measure Category Assignment of B and will not be in the Measure Population. Stop processing. b.If the ICD-9-CM Principal Diagnosis Code is not on Table 5.14, continue processing and proceed to the LOS calculation.
	6.Calculate LOS. LOS, in days, is equal to the Discharge Date minus the Admission Date. 7.Check LOS
	a.If the LOS is less than or equal to 3 days, the case will proceed to a Measure Category Assignment of B and will not be in the Measure Calculation. Stop processing. b.If the LOS is greater than 3 days, continue processing and proceed to Laparoscope.
	8.Check Laparoscope a.If Laparoscope is missing, the case will proceed to a Measure Category Assignment of X and
	will be rejected. Stop processing. b.If Laparoscope equals 1 or 3, the case will proceed to a Measure Category Assignment of B and will not be in the Measure Population. Stop processing.
	c.If Laparoscope equals 2, continue processing and proceed to Clinical Trial.  9.Check Clinical Trial  a.If Clinical Trial is missing, the case will proceed to a Measure Category Assignment of X and
	will be rejected. Stop processing. b.If Clinical Trial equals Yes, the case will proceed to a Measure Category Assignment of B and
	will not be in the Measure Population. Stop processing. c.If Clinical Trial equals No, continue processing and proceed to Preadmission Warfarin. 10.Check Preadmission Warfarin
	a.If Preadmission Warfarin is missing, the case will proceed to a Measure Category Assignment of X and will be rejected. Stop processing.

# 0218 Surgery patients who received appropriate venous thromboembolism (VTE) prophylaxis within 24 hours prior to surgery to 24 hours after surgery end time

b.If Preadmission Warfarin equals Yes, the case will proceed to a Measure Category Assignment of B and will not be in the Measure Population. Stop processing.

c.If Preadmission Warfarin equals No, continue processing and proceed to Anesthesia Start Date.

11.Check Anesthesia Start Date

a.If the Anesthesia Start Date is missing, the case will proceed to a Measure Category Assignment of X and will be rejected. Stop processing.

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b.If the Anesthesia Start Date equals Unable To Determine, the case will proceed to a Measure Category Assignment of D and will be in the Measure Population. Stop processing.

c.If Anesthesia Start Date equals a Non Unable To Determine Value, continue processing and proceed to the Surgery Days calculation.

12.Calculate Surgery Days. Surgery Days, in days, is equal to the Anesthesia Start Date minus the Admission Date.

13.Check Surgery Days

a.If the Surgery Days is less than zero, the case will proceed to a Measure Category Assignment of B and will not be in the Measure Population. Stop processing.

b.If the Surgery Days is greater than or equal to zero, continue processing and proceed to Perioperative Death.

14.Check Perioperative Death

a.If Perioperative Death is missing, the case will proceed to a Measure Category Assignment of X and will be rejected. Stop processing.

b.If Perioperative Death equals Yes, the case will proceed to a Measure Category Assignment of B and will not be in the Measure Population. Stop processing.

c.If Perioperative Death equals No, continue processing and proceed to Anesthesia Start Time.

15.Check Anesthesia Start Time

a.If the Anesthesia Start Time is missing, the case will proceed to a Measure Category Assignment of X and will be rejected. Stop processing.

b.If the Anesthesia Start Time equals Unable to Determine, the case will proceed to a Measure Category Assignment of D and will be in the Measure Population. Stop processing.

c.If the Anesthesia Start Time equals a Non Unable to Determine Value, continue processing and proceed to Anesthesia End Date.

16.Check Anesthesia End Date

a.If the Anesthesia End Date is missing, the case will proceed to a Measure Category Assignment of X and will be rejected. Stop processing.

b.If the Anesthesia End Date equals Unable to Determine, the case will proceed to a Measure Category Assignment of D and will be in the Measure Population. Stop processing.

c.If the Anesthesia End Date equals a Non Unable to Determine Value, continue processing and proceed to Anesthesia End Time.

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17.Check Anesthesia End Time

a.If the Anesthesia End Time is missing, the case will proceed to a Measure Category Assignment of X and will be rejected. Stop processing.

b.If the Anesthesia End Time equals Unable to Determine, the case will proceed to a Measure Category Assignment of D and will be in the Measure Population. Stop processing.

c.If the Anesthesia End Time equals a Non Unable to Determine Value, continue processing and proceed to the Surgery Length calculation.

18.Calculate Surgery Length. Surgery Length, in minutes, is equal to the Anesthesia End Date and Anesthesia End Time minus the Anesthesia Start Date and Anesthesia Start Time.

19.Check Surgery Length

## 0218 Surgery patients who received appropriate venous thromboembolism (VTE) prophylaxis within 24 hours prior to surgery to 24 hours after surgery end time

a.If the Surgery Length is less than or equal to 60 minutes, the case will proceed to a Measure Category Assignment of B and will not be in the Measure Population. Stop processing.

b.If the Surgery Length is greater than 60 minutes, continue processing proceed to Reason for Not Administering VTE Prophylaxis.

20. Check Reason for Not Administering VTE Prophylaxis

a.If Reason for Not Administering VTE Prophylaxis is missing, the case will proceed to a Measure Category Assignment of X and will be rejected. Stop processing.

b.If Reason for Not Administering VTE Prophylaxis equals 3, the case will proceed to a Measure Category Assignment of B and will not be in the Measure Population. Stop processing. c.lf Reason for Not Administering VTE Prophylaxis equals 1, 2, or 4, continue processing and

proceed to VTE Prophylaxis. 21.Check VTE Prophylaxis

a.If no values are populated in the VTE grid, the case will proceed to a Measure Category Assignment of X and will be rejected. Stop processing.

b.If VTE Prophylaxis equals A, the case will proceed to a Measure Category Assignment of B and will not be in the Measure Population. Stop processing.

c.If the VTE grid is populated with any of values 1, 2, 3, 4, 5, 6, 7, or 8, continue processing and proceed to recheck the ICD-9-CM Principal Procedure Code. Note: If VTE Prophylaxis field is populated with an allowable value of 1, 2, 3, 4, 5, 6, 7, or 8 and the corresponding VTE Timely field is Missing, the entire case will be rejected by The Joint

Commission and Centers for Medicare and Medicaid Services (CMS) warehouses.

22.Recheck ICD-9-CM Principal Procedure Code

a.If the ICD-9-CM Principal Procedure Code is on Tables 5.17, 5.20, 5.21, 5.22, 5.23, or 5.24, continue processing. Proceed to step 26 and recheck ICD-9-CM Principal Procedure Code for Tables 5.17, 5.20, 5.21, 5.22, 5.23, and 5.24. Do not recheck step 23 and step 25 VTE Prophylaxis or step 24 Reason for Not Administering VTE Prophylaxis for Tables 5.17, 5.20, 5.21, 5.22, 5.23, and 5.24 as steps 23 through 26 check for codes on Table 5.19 only. b.If the ICD-9-CM Principal Procedure Code is on Table 5.19, continue processing and recheck VTE Prophylaxis.

23.Recheck VTE Prophylaxis only if the ICD-9-CM Principal Procedure Code is on Table 5.19 a.lf any VTE Prophylaxis equals 1, 2, or 5, continue processing and check VTE Timely. Note: When evaluating VTE Timely consider only the values corresponding to the recommended VTE Prophylaxis.

1.If VTE Timely equals Yes for VTE Prophylaxis of 1 or 2 or 5, the case will proceed to a Measure Category Assignment of E and will be in the Numerator Population. Stop processing. 2.If VTE Timely equals No for VTE Prophylaxis of 1 and 2 and 5, continue processing and recheck Reason for Not Administering VTE Prophylaxis.

b.If none of the VTE Prophylaxis equals 1, 2, or 5, continue processing and proceed to recheck Reason for Not Administering VTE Prophylaxis.

24.Recheck Reason for Not Administering VTE Prophylaxis

a.If Reason for Not Administering VTE Prophylaxis equals 1 or 4, continue processing and proceed to Anesthesia Type.

1.If Anesthesia Type is missing, the case will proceed to a Measure Category Assignment of X and will be rejected. Stop processing.

2.If Anesthesia Type equals 1 or 4, the case will proceed to a Measure Category Assignment of D and will be in the Measure Population. Stop processing.

3.If Anesthesia Type equals 2 or 3, continue processing and recheck VTE Prophylaxis.

b.If Reason for Not Administering VTE Prophylaxis equals 2, continue processing and recheck VTE Prophylaxis.

25.Recheck VTE Prophylaxis

a.lf any VTE Prophylaxis equals 3 or 4, continue processing and check VTE Timely. Note: When evaluating VTE Timely consider only the values corresponding to the recommended VTE

## 0218 Surgery patients who received appropriate venous thromboembolism (VTE) prophylaxis within 24 hours prior to surgery to 24 hours after surgery end time

Prophylaxis.

1.If VTE Timely equals Yes for VTE Prophylaxis of 3 or 4, the case will proceed to a Measure Category Assignment of E and will be in the Numerator Population. Stop processing.

2.If VTE Timely equals No for VTE Prophylaxis of 3 and 4, the case will proceed to a Measure Category Assignment of D and will be in the Measure Population. Stop processing.

b.If none of the VTE Prophylaxis equals 3 or 4, the case will proceed to a Measure Category Assignment of D and will be in the Measure Population. Stop processing.

26.Recheck ICD-9-CM Principal Procedure Code for Tables 5.17, 5.20, 5.21, 5.22, 5.23, and 5.24 only if the ICD-9-CM Principal Procedure Code was not on Table 5.19 a.If the ICD-9-CM Principal Procedure Code is on Table 5.17, continue processing and recheck VTE Prophylaxis.

1.If any VTE Prophylaxis equals 1, 2, or 3, continue processing and check VTE Timely. Note: When evaluating VTE Timely consider only the values corresponding to the recommended VTE Prophylaxis.

i.If VTE Timely equals Yes for VTE Prophylaxis of 1 or 2 or 3, the case will proceed to a Measure Category Assignment of E and will be in the Numerator Population. Stop processing. ii.If VTE Timely equals No for VTE Prophylaxis of 1 and 2 and 3, the case will proceed to a Measure Category Assignment of D and will be in the Measure Population. Stop processing. 2.If none of the VTE Prophylaxis equals 1, 2, or 3, the case will proceed to a Measure Category Assignment of D and will be in the Measure Population. Stop processing.

b.If the ICD-9-CM Principal Procedure Code is on Tables 5.20, 5.21, 5.22, 5.23, or 5.24, continue processing and recheck ICD-9-CM Principal Procedure Code.

27.Recheck ICD-9-CM Principal Procedure Code for Tables 5.20, 5.21, 5.22, 5.23, and 5.24 only if the ICD-9-CM Principal Procedure Code is not on Tables 5.17 or 5.19

a.lf the ICD-9-CM Principal Procedure Code is on Table 5.20, continue processing and recheck VTE Prophylaxis.

1.If any VTE Prophylaxis equals 1, 2, 3 or 5, continue processing and check VTE Timely. Note: When evaluating VTE Timely consider only the values corresponding to the recommended VTE Prophylaxis.

i.If VTE Timely equals Yes for VTE Prophylaxis of 1 or 2 or 3 or 5, the case will proceed to a Measure Category Assignment of E and will be in the Numerator Population. Stop processing. ii.If VTE Timely equals No for VTE Prophylaxis of 1 and 2 and 3 and 5, the case will proceed to a Measure Category Assignment of D and will be in the Measure Population. Stop processing. 2.If none of the VTE Prophylaxis equals 1, 2, 3, or 5, the case will proceed to a Measure Category Assignment of D and will be in the Measure Population. Stop processing. b.If the ICD-9-CM Principal Procedure Code is on Tables 5.21, 5.22, 5.23, or 5.24, continue processing and recheck ICD-9-CM Principal Procedure Code.

28.Recheck ICD-9-CM Principal Procedure Code for Tables 5.21, 5.22, 5.23, and 5.24 only if the ICD-9-CM Principal Procedure Code is not on Tables 5.17, 5.19, or 5.20

a.If the ICD-9-CM Principal Procedure Code is on Table 5.21, continue processing and recheck VTE Prophylaxis.

1.If any VTE Prophylaxis equals 1, 2, 3, 4, or 5, continue processing and check VTE Timely. Note: When evaluating VTE Timely consider only the values corresponding to the recommended VTE Prophylaxis.

i.If VTE Timely equals Yes for VTE Prophylaxis of 1 or 2 or 3 or 4 or 5, the case will proceed to a Measure Category Assignment of E and will be in the Numerator Population. Stop processing. ii.If VTE Timely equals No for VTE Prophylaxis of 1 and 2 and 3 and 4 and 5, the case will proceed to a Measure Category Assignment of D and will be in the Measure Population. Stop processing.

2.If none of the VTE Prophylaxis equals 1, 2, 3, 4, or 5, the case will proceed to a Measure Category Assignment of D and will be in the Measure Population. Stop processing. b.lf the ICD-9-CM Principal Procedure Code is on Tables 5.22, 5.23, or 5.24, continue

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processing and recheck ICD-9-CM Principal Procedure Code.

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29.Recheck ICD-9-CM Principal Procedure Code for Tables 5.22, 5.23, and 5.24 only if the ICD-9-CM Principal Procedure Code is not on Tables 5.17, 5.19, 5.20, or 5.21

a.If the ICD-9-CM Principal Procedure Code is on Table 5.22, continue processing and recheck VTE Prophylaxis.

b.If the ICD-9-CM Principal Procedure Code is on Tables 5.23 or 5.24, continue processing. Proceed to step 34 and recheck ICD-9-CM Principal Procedure Code for Tables 5.23 and 5.24. Do not recheck steps 30, 31 and 33 VTE Prophylaxis or step 32 Reason for Not Administering VTE Prophylaxis.

30.Recheck VTE Prophylaxis only if the ICD-9-CM Principal Procedure Code is on Table 5.22 a.lf any VTE Prophylaxis equals 2, 5, 6, or 8, continue processing and check VTE Timely. Note: When evaluating VTE Timely consider only the values corresponding to the recommended VTE Prophylaxis.

1.If VTE Timely equals Yes for VTE Prophylaxis of 2 or 5 or 6 or 8, the case will proceed to a Measure Category Assignment of E and will be in the Numerator Population. Stop processing. 2.If VTE Timely equals No for VTE Prophylaxis of 2 and 5 and 6 and 8, continue processing and recheck VTE Prophylaxis.

b.If none of the VTE Prophylaxis equals 2, 5, 6, or 8, continue processing and proceed to recheck VTE Prophylaxis.

31.Recheck VTE Prophylaxis

a.If any VTE Prophylaxis equals 1, continue processing and check VTE Timely. Note: When evaluating VTE Timely consider only the values corresponding to the recommended VTE Prophylaxis.

1.If VTE Timely equals Yes for VTE Prophylaxis of 1, continue processing and check ICD-9-CM Principal or Other Diagnosis Codes.

i.If any of the ICD-9-CM Principal or Other Diagnosis Codes is on Table 5.13, the case will proceed to a Measure Category Assignment of E and will be in the Numerator Population. Stop processing.

ii.lf none of the ICD-9-CM Principal or Other Diagnosis Codes is on Table 5.13, continue processing and recheck Reason for Not Administering VTE Prophylaxis.

2.If VTE Timely equals No for VTE Prophylaxis of 1, continue processing and recheck Reason for Not Administering VTE Prophylaxis.

b.If none of the VTE Prophylaxis equals 1, continue processing and proceed to recheck Reason for Not Administering VTE Prophylaxis.

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32. Recheck Reason for Not Administering VTE Prophylaxis

a.If Reason for Not Administering VTE Prophylaxis equals 1 or 4, continue processing and recheck Anesthesia Type.

1.If Anesthesia Type is missing, the case will proceed to a Measure Category Assignment of X and will be rejected. Stop processing.

2.If Anesthesia Type equals 1 or 4, the case will proceed to a Measure Category Assignment of D and will be in the Measure Population. Stop processing.

3.If Anesthesia Type equals 2 or 3, continue processing and recheck VTE Prophylaxis.

b.If Reason for Not Administering VTE Prophylaxis equals 2, continue processing and proceed to recheck VTE Prophylaxis.

33. Recheck VTE Prophylaxis

a.If any VTE Prophylaxis equals 3 or 7, continue processing and check VTE Timely. Note: When evaluating VTE Timely consider only the values corresponding to the recommended VTE Prophylaxis.

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1.If VTE Timely equals Yes for VTE Prophylaxis of 3 or 7, the case will proceed to a Measure Category Assignment of E and will be in the Numerator Population. Stop processing.

2.If VTE Timely equals No for VTE Prophylaxis of 3 and 7, the case will proceed to a Measure Category Assignment of D and will be in the Measure Population. Stop processing.

b.If none of the VTE Prophylaxis equals 3 or 7, the case will proceed to a Measure Category Assignment of D and will be in the Measure Population. Stop processing.

34.Recheck ICD-9-CM Principal Procedure Code for Tables 5.23 and 5.24 only if the ICD-9-CM Principal Procedure Code is not on Tables 5.17, 5.19, 5.20, 5.21, or 5.22

a.If the ICD-9-CM Principal Procedure Code is on Table 5.23, continue processing and recheck VTE Prophylaxis.

1.If Any VTE Prophylaxis is equal to 2, 3, 5, 6, 7, or 8, continue processing and check VTE Timely. Note: When evaluating VTE Timely consider only the values corresponding to the recommended VTE Prophylaxis.

i.If VTE Timely equals Yes for VTE Prophylaxis of 2 or 3 or 5 or 6 or 7 or 8, the case will proceed to a Measure Category Assignment of E and will be in the Numerator Population. Stop processing.

ii.If VTE Timely equals No for VTE Prophylaxis of 2 and 3 and 5 and 6 and 7 or 8, the case will proceed to a Measure Category Assignment of D and will be in the Measure Population. Stop processing.

2.If none of the VTE Prophylaxis is equal to 2, 3, 5, 6, 7, or 8, the case will proceed to a Measure Category Assignment of D and will be in the Measure Population. Stop processing.

b.If the ICD-9-CM Principal Procedure Code is on Table 5.24, continue processing and recheck VTE Prophylaxis.

35.Recheck VTE Prophylaxis

a.If any VTE Prophylaxis equals 1, 2, 5, 6, or 8, continue processing and check VTE Timely. Note: When evaluating VTE Timely consider only the values corresponding to the recommended VTE Prophylaxis.

1.If VTE Timely equals Yes for VTE Prophylaxis of 1 or 2 or 5 or 6 or 8, the case will proceed to a Measure Category Assignment of E and will be in the Numerator Population. Stop processing.

2.If VTE Timely equals No for VTE Prophylaxis of 1 and 2 and 5 and 6 and 8, continue processing and recheck Reason for Not Administering VTE Prophylaxis.

b.If none of the VTE Prophylaxis equals 1, 2, 5, 6, or 8, continue processing and proceed to recheck Reason for Not Administering VTE Prophylaxis.

36. Recheck Reason for Not Administering VTE Prophylaxis

a.If Reason for Not Administering VTE Prophylaxis equals 1 or 4, continue processing and recheck Anesthesia Type.

1.If Anesthesia Type is missing, the case will proceed to a Measure Category Assignment of X and will be rejected. Stop processing.

2.If Anesthesia Type equals 1 or 4, the case will proceed to a Measure Category Assignment of D and will be in the Measure Population. Stop processing.

3.If Anesthesia Type equals 2 or 3, continue processing and recheck VTE Prophylaxis.

b.If Reason for Not Administering VTE Prophylaxis equals 2, continue processing and proceed to recheck VTE Prophylaxis.

37. Recheck VTE Prophylaxis

a.If none of the VTE Prophylaxis equals 3, 4, or 7, the case will proceed to a Measure Category Assignment of D and will be in the Measure Population. Stop processing.

b.If any VTE Prophylaxis equals 3, 4, or 7, continue processing and check VTE Timely. Note: When evaluating VTE Timely consider only the values corresponding to the recommended VTE Prophylaxis.

1.If VTE Timely equals Yes for VTE Prophylaxis of 3 or 4 or 7, the case will proceed to a Measure Category Assignment of E and will be in the Numerator Population. Stop processing. 2.If VTE Timely equals No for VTE Prophylaxis of 3 or 4 or 7, the case will proceed to a Measure

	0218 Surgery patients who received appropriate venous thromboembolism (VTE) prophylaxis within 24 hours prior to surgery to 24 hours after surgery end time
ſ	Category Assignment of D and will be in the Measure Population. Stop processing.

	0113 Participation in a systematic database for cardiac surgery
Steward	Society of Thoracic Surgeons   633 North Saint Clair Street, Suite 2320   Chicago   Illinois   60611
Description	Participation in a clinical database with broad state, regional, or national representation, that provides regular performance reports based on benchmarked data
Туре	Structure/management
Data Source	Registry data URL Data Collection Form (an updated version will be made available on the STS Website in mid-December of 2010) http://www.sts.org/documents/pdf/ndb2010/STSAdultCVDataCollectionForm2_7_Annotated_201 01021.pdf URL http://www.sts.org/documents/pdf/ndb2010/STSAdultCVDataSpecificationsV2_7_20101021.pdf an updated version will be made available on the STS Website in mid-December of 2010
Level	Clinicians : Group, Facility/Agency, Population : Counties or cities, Population : National, Population : Regional/network, Population : states
Setting	Hospital
Numerator Statement	Does the facility participate in a clinical database with broad state, regional, or national representation, that provides regular performance reports based on benchmarked data? (y/n)
Numerator Details	<b>Time Window:</b> 12 months Participation in a clinical database with broad state, regional, or national representation, that provides regular performance reports based on benchmarked data. Participation in the STS Adult Cardiac Surgery Database, for example, is initiated by the surgeons and/or hospital and is defined as quarterly submission of 100% of cases via an approved software system to the Duke Clinical Research Institute, the data repository for the three STS Databases. STS's audit cross-checks submitted cases against hospital logs to assure all cases have been captured.
Denominator Statement	N/A
Denominator Categories	Female; Male 18 years or older on date of encounter
Denominator Details	Time Window:
Exclusions	
Exclusion Details	
Risk Adjustment	no risk adjustment necessary
Stratification	N/A
Type Score	Categorical passing score defines better quality
Algorithm	N/A

408 409 410	APPENDIX B—NATIONAL VOLUNTARY CONSENSUS STANDARDS: SURGERY ENDORSEMENT MAINTENANCE 2010 STEERING COMMITTEE AND NQF STAFF
411 412 413 414	Arden Morris, MD, MPH, FACS (Co-chair) University of Michigan Ann Arbor, MI
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464	Rush University Medical Center
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467	John Morton, MD, MPH, FACS
468	Stanford University
469	Stanford, CA
470	Stanioru, CA
471	Dennis Rivenburgh, MS, ATC, PA-C
472	St. Anthony's Primary Care
473	Seminole, FL
473 474	Semmole, I'L
474	Tonny Dogona MD
475 476	Terry Rogers, MD The Foundation for Health Core Quality
	The Foundation for Health Care Quality
477	Seattle, WA
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479	Christopher Saigal, MD, MPH, FACS
480	UCLA Medical Center
481	Los Angeles, CA
482	
483	Nicholas Sears, MD
484	MedAssets, Inc.
485	Tampa, FL
486	•
487	Allan Siperstein, MD
488	Cleveland Clinic
489	Cleveland, OH
490	
491	Renae Stafford, MD, MPH, FACS
492	University North Carolina – Chapel Hill
493	Chapel Hill, NC
494	•
495	Connie Steed, MSN, RN, CIC
496	Greenville Hospital System University Medical Center
497	Greenville, SC
498	•
499	Carol Wilhoit, MD, MS
500	Blue Cross Blue Shield of Illinois
501	Chicago, IL

502

NQF REVIEW DRAFT—DO NOT CITE OR QUOTE

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522	Jessica Weber, MPH
523	Research Analyst
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#### APPENDIX C—COMPARISON OF RELATED MEASURES

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	Maintenance Measure #0113: Participation in a systematic database for cardiac surgery	#0456: Participation in a systematic national database for general thoracic surgery	Endorsed Measure #0493: Participation by a hospital, physician or other clinician in systematic clinical database registry that includes consensus endorsed quality measures
Status	Currently undergoing maintenance review	Endorsed 7/2008	Endorsed 9/2010
Steward	Society of Thoracic Surgeons	Society of Thoracic Surgeons	Centers for Medicare & Medicaid Services
Description	Participation in a clinical database with broad state, regional, or national representation, that provides regular performance reports based on benchmarked data	Participation in at least one multi-center, standardized data collection and feedback program that provides benchmarking of the physician's data relative to national and regional programs and uses process and outcome measures.	Participation in a systematic qualified clinical database registry involves: a. Hospital, physician or other clinician submits standardized data elements to registry b. Data elements are applicable to consensus endorsed quality measures c. Registry measures shall include at least two (2) representative NQF consensus endorsed measures for registry's clinical topic(s) and report on all patients eligible for the selected

	Maintenance Measure #0113: Participation in a systematic database for cardiac surgery	Endorsed Measure #0456: Participation in a systematic national database for general thoracic surgery	Endorsed Measure #0493: Participation by a hospital, physician or other clinician in systematic clinical database registry that includes consensus endorsed quality measures
			measures. d. Registry provides calculated measures results, benchmarking, and quality improvement information to individual hospitals, physicians and clinicians. e. Registry must receive data from more than 5 separate practices and may not be located (warehoused) at an individual hospital or an individual group's practice. Participation in a national or state-wide registry is encouraged for this measure. f. Registry may provide feedback directly to the hospital or provider's local registry if one exists.
Type of Measure	Structure/management	Process	Structure/management
Numerator	Does the facility participate in a clinical database with broad state, regional, or national representation, that provides regular performance reports based on benchmarked data? (y/n)  Time window: 12 months	Whether or not the physician participates in at least one multi-center data collection and feedback program.  Time window:	The hospital or clinician participates in a systematic qualified clinical database registry capable of the following:  a. hospital, physician, or other clinician submits standardized data elements to registry  b. data elements are applicable to consensus endorsed quality measures c. registry measures shall include at least two (2) representative NQF consensus endorsed measures for registry's clinical topic(s) and report on all patients eligible for the selected measures d. registry provides

	Maintenance Measure	Endorsed Measure	Endorsed Measure #0493:
	#0113: Participation in a	#0456: Participation in a	
	•	•	Participation by a hospital,
	systematic database for	systematic national	physician or other clinician
	cardiac surgery	database for general	in systematic clinical
		thoracic surgery	database registry that
			includes consensus
			endorsed quality measures
			calculated measures
			results, benchmarking, and
			quality improvement
			information to individual
			hospitals, physicians and
			clinicians
			e. registry must receive
			data from more than 5
			separate hospitals or
			practices and may not be
			located (warehoused) at an
			individual hospital, or an
			individual group's practice.
			Participation in
			a national or state-wide
			registry is encouraged for
			this measure
			f. registry may provide
			feedback directly to the
			hospital or provider's local
			registry if one exists.
Numerator	Participation in a clinical		N/A
Details	database with broad state,		
	regional, or national		
	representation, that		
	provides regular		
	performance reports based		
	on benchmarked data.		
	Participation in the STS		
	Adult Cardiac Surgery		
	Database, for example, is		
	initiated by the surgeons		
	and/or hospital and is		
	defined as quarterly		
	submission of 100% of		
	cases via an approved		
	software system to the		
	Duke Clinical Research		
	Institute, the data repository for the three STS		
	Databases. STS's audit		
	cross-checks submitted		
	cases against hospital logs		
	to assure all cases have		
Danieni i	been captured.	N1/A	4
Denominator	N/A	N/A	1

	Maintenance Measure #0113: Participation in a systematic database for cardiac surgery	Endorsed Measure #0456: Participation in a systematic national database for general thoracic surgery	Endorsed Measure #0493: Participation by a hospital, physician or other clinician in systematic clinical database registry that includes consensus endorsed quality measures
Denominator	Female, Male; 18 years or	Female, Male; 18 years or	
Categories	older on date of encounter	older	
Denominator Details	N/A		
Exclusions	N/A	N/A	N/A
Exclusions Details	N/A		N/A
Risk	No risk adjustment	No risk adjustment	No risk adjustment
Adjustment	necessary	necessary	necessary
Stratification	N/A	N/A	N/A
Type Score	Categorical		
Algorithm	N/A		N/A
Data Source	Registry data	Lab data, paper medical record/flow-sheet	
Level of	Clinicians: Group;	Clinicians: Individual	Clinicians: Individual
Measurement	Facility/agency;		
/Analysis	Population: National,		
	regional/network, states,		
	counties or cities		
Care Settings	Hospital	Ambulatory care: Clinic	

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	Maintenance Measure #0134: Use of internal mammary artery (IMA) in coronary artery bypass graft (CABG)	Endorsed Measure #0516: Use of IMA in isolated CABG (surgeon level)
Status	Currently undergoing maintenance review	Endorsed 5/2007
Steward	Society of Thoracic Surgeons	Society of Thoracic Surgeons
Description	Percentage of patients aged 18 years and older undergoing isolated coronary artery bypass graft (CABG) who received an internal mammary artery (IMA) graft.	Percentage of patients aged 18 years and older undergoing isolated CABG surgery who received an Internal Mammary Artery (IMA) graft
Type of Measure	Process	Process
Numerator	Number of patients undergoing isolated coronary artery bypass graft (CABG) who received an internal mammary artery (IMA) graft.	Number of patients who receive IMA graft in isolated CABG
	Time window:	Time window:
Numerator Details	Number of isolated CABG procedures in which IMA Artery Used [IMAArtUs (STS Adult Cardiac Surgery Database Version 2.73)] is marked "Left IMA," "Right IMA," or "Both IMAs"	Number of isolated CABG procedures in which "internal mammary arteries used as graft" [IMAArtUs (1560)- STS Adult Cardiac Surgery Database, Version 2.61, sequence number 1560] is marked as 'Left IMA', 'Right IMA', or 'Both IMAs'
		Please see STS Adult Cardiac Surgery Database Data Collection Form, Version 2.61: http://www.sts.org/documents/pdf/AdultCV2.6 1DCF_Annotated.pdf
Denominator	All patients undergoing isolated CABG.	All patients undergoing isolated CABG
	Time window: 12 months	Time window: 12 months
Denominator Categories	Female, Male; 18 and older	Female, Male; ≥18 years on date of encounter
Denominator Details	Number of isolated CABG procedures  Isolated CABG is determined as a	Number of isolated CABG procedures excluding repeat CABG.
	procedure for which all of the following apply: - OpCAB is marked "Yes" - (VADProc is marked "No" or "Missing") or (VADProc is marked "Yes, Implanted" and UnplVAD is marked "yes") - OCarASDTy is marked "PFO" or "missing" - OCarAFibAProc is marked "primarily epicardial" or "missing" and	Isolated CABG is determined as a procedure for which OpCab (seq no 1280) is marked 'Yes' and OpValve (1290), VAD (1300), OpAortic (1630), OpMitral (1640), OpTricus (1650), OpPulm (1660), OpONCard (1320), OCarLVA (2360), OCarVSD (2370), OCarASD (2380), OCarBati (2390), OCarSVR (2400), OCarCong (2410), OCarLasr (2420), OCarTrma (2430), OCarCrTx (2440), OCarAfib (2470), ONCAoAn (2510), and OCarOthr (2560) are all marked 'No' or

	0 1/ 1 1/0/1/ 1/0/1/D	(B.A' ' 1
	- OpValve, VSAV, VSAVPr,	'Missing'.
	ResectSubA, VSMV, VSMVPr,	
	OpTricus, OpPulm, OpONCard,	Please see STS Adult Cardiac Surgery
	OCarLVA, OCarVSD, OCarSVR,	Database Data Collection Form, Version 2.61:
	OCarCong, OCarTrma, OCarCrTx,	http://www.sts.org/documents/pdf/AdultCV2.6
	OCAoProcType, EndoProc,	1DCF_Annotated.pdf
	OCTumor, OCPulThromDis,	
	OCarOthr are all marked "no" or	
	"missing"	
Exclusions	Cases are removed from the	Cases are removed from the denominator if
	denominator if the patient had a	there was a prior CABG performed.
	previous CABG prior to the current	more mad a prior or into periorimon.
	admission or if IMA was not used and	
	one of the following reasons was	
	provided:	
	- The IMA is not a suitable conduit due	
	to size or flow	
	- Subclavian stenosis	
	- Previous cardiac or thoracic surgery	
	- Previous mediastinal radiation	
	- Emergent or salvage procedure	
	- No LAD disease	
Exclusions	Cases are removed from the	Repeat CABG is identified where PrCAB
Details	denominator if the patient had a	(600) is marked 'Yes'
	previous CABG prior to the current	
	admission or if IMA was not used and	Please see STS Adult Cardiac Surgery
	one of the following reasons was	Database Data Collection Form, Version 2.61:
	provided:	http://www.sts.org/documents/pdf/AdultCV2.6
	- The IMA is not a suitable conduit due	1DCF_Annotated.pdf
	to size or flow	·
	- Subclavian stenosis	
	- Previous cardiac or thoracic surgery	
	- Previous mediastinal radiation	
	<ul><li>Previous mediastinal radiation</li><li>Emergent or salvage procedure</li></ul>	
Risk	<ul><li>Previous mediastinal radiation</li><li>Emergent or salvage procedure</li><li>No LAD disease</li></ul>	No risk adjustment necessary
Risk Adjustment	<ul><li>Previous mediastinal radiation</li><li>Emergent or salvage procedure</li></ul>	No risk adjustment necessary
Adjustment	Previous mediastinal radiation     Emergent or salvage procedure     No LAD disease  No risk adjustment necessary	
Adjustment Stratification	Previous mediastinal radiation     Emergent or salvage procedure     No LAD disease     No risk adjustment necessary  N/A	N/A
Adjustment Stratification Type Score	Previous mediastinal radiation     Emergent or salvage procedure     No LAD disease     No risk adjustment necessary  N/A  Rate/proportion	N/A Rate/proportion
Adjustment Stratification Type Score Algorithm	Previous mediastinal radiation     Emergent or salvage procedure     No LAD disease     No risk adjustment necessary  N/A  Rate/proportion  N/A	N/A Rate/proportion N/A
Adjustment Stratification Type Score	Previous mediastinal radiation     Emergent or salvage procedure     No LAD disease     No risk adjustment necessary  N/A  Rate/proportion	N/A Rate/proportion N/A Electronic health/medical record, electronic
Adjustment Stratification Type Score Algorithm	Previous mediastinal radiation     Emergent or salvage procedure     No LAD disease     No risk adjustment necessary  N/A  Rate/proportion  N/A	N/A Rate/proportion N/A Electronic health/medical record, electronic clinical data, registry data, paper medical
Adjustment Stratification Type Score Algorithm Data Source	- Previous mediastinal radiation - Emergent or salvage procedure - No LAD disease No risk adjustment necessary  N/A  Rate/proportion  N/A  Registry data	N/A Rate/proportion N/A Electronic health/medical record, electronic clinical data, registry data, paper medical record/flow-sheet
Adjustment Stratification Type Score Algorithm Data Source  Level of	- Previous mediastinal radiation - Emergent or salvage procedure - No LAD disease No risk adjustment necessary  N/A  Rate/proportion  N/A  Registry data  Clinicians: Group; Facility/agency;	N/A Rate/proportion N/A Electronic health/medical record, electronic clinical data, registry data, paper medical
Adjustment Stratification Type Score Algorithm Data Source  Level of Measurement	- Previous mediastinal radiation - Emergent or salvage procedure - No LAD disease No risk adjustment necessary  N/A Rate/proportion N/A Registry data  Clinicians: Group; Facility/agency; Population: National,	N/A Rate/proportion N/A Electronic health/medical record, electronic clinical data, registry data, paper medical record/flow-sheet
Adjustment Stratification Type Score Algorithm Data Source  Level of	- Previous mediastinal radiation - Emergent or salvage procedure - No LAD disease No risk adjustment necessary  N/A Rate/proportion N/A Registry data  Clinicians: Group; Facility/agency; Population: National, regional/network, states, counties or	N/A Rate/proportion N/A Electronic health/medical record, electronic clinical data, registry data, paper medical record/flow-sheet
Adjustment Stratification Type Score Algorithm Data Source  Level of Measurement	- Previous mediastinal radiation - Emergent or salvage procedure - No LAD disease No risk adjustment necessary  N/A Rate/proportion N/A Registry data  Clinicians: Group; Facility/agency; Population: National,	N/A Rate/proportion N/A Electronic health/medical record, electronic clinical data, registry data, paper medical record/flow-sheet

Maintenance Measure	Maintenance Measure	Endorsed Measure
#0360: Esophageal	#0361: Esophageal resection	#0737: Survival predictor
resection mortality rate	volume (IQI 1)	for esophagectomy
(IQI 8)		surgery

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	Maintenance Measure	Maintenance Measure	Endorsed Measure
	#0360: Esophageal	#0361: Esophageal resection	#0737: Survival predictor
	resection mortality rate	volume (IQI 1)	for esophagectomy
	(IQI 8)	voidino (rgi 1)	surgery
Status	Currently undergoing	Currently undergoing	Endorsed 9/2010
	maintenance review	maintenance review	
Steward	Agency for Healthcare Research and Quality	Agency for Healthcare Research and Quality	Leapfrog Group
Description	Number of inpatient deaths per 100 discharges with a procedure for esophageal resection	Number of discharges with a procedure for esophageal resection.	A reliability adjusted measure of Esophagectomy surgical performance that optimally combines two important domains: Esophagectomy hospital volume and Esophagectomy operative mortality, to provide predictions on hospital Esophagectomy survival rates in patients age 18 and over.
Type of Measure	Outcome	Structure/management	Outcome
Numerator	Number of deaths among	Discharges, age 18 years and	Outcome: Survival of
	cases meeting the inclusion and exclusion rules for the denominator	older, with ICD-9-CM code for esophageal resection in any procedure field OR gastrectomy procedure code ONLY if accompanied by selected diagnosis codes.	esophageal cancer patients who undergo an esophagectomy
	Time window: inpatient admission	Time window: Time period is user defined. Users of the measure typically use a 12 month time period.	Time window: during the hospital admission
Numerator Details	Discharge disposition of death (DISP=20)	CD-9-CM esophageal resection procedure codes:  424 ESOPHAGECTOMY 4240 ESOPHAGECTOMY NOS 4241 PARTIAL ESOPHAGECTOMY 4242 TOTAL ESOPHAGECTOMY 425 THORAC ESOPHAG ANAST 4251 THORAC ESOPHAGOESOPHAGOS 4252 THORAC ESOPHAGOGASTROST 4253 THORAC SM BOWEL INTERPOS	For the observed mortality, the hospital submits the observed deaths for esophagectomy cases in patients with esophageal cancer as identified using the population codes

Maintenance Measure	Maintenance Measure	Endorsed Measure
#0360: Esophageal	#0361: Esophageal resection	#0737: Survival predictor
resection mortality rate	volume (IQI 1)	for esophagectomy
(IQI 8)		surgery
(IQI 0)	4254 THORAC ESOPHAGOENTER NEC 4255 THORAC LG BOWEL INTERPOS 4256 THORAC ESOPHAGOCOLOS NEC 4258 THORAC INTERPOSITION NEC 4259 THORAC ESOPHAG ANAST NEC 426 STERN ESOPHAG ANAST 4261 STERN ESOPHAGOESOPHAGOST 4262 STERN ESOPHAGOGASTROSTOM 4263 STERN SM BOWEL INTERPOS 4264 STERN ESOPHAGOENTER NEC 4265 STERN LG BOWEL INTERPOS 4266 STERN ESOPHAGOCOLOS NEC 4268 STERN INTERPOSITION NEC 4269 STERN ESOPHAG ANAST NEC	Surgery
	OR	
	ICD-9-CM gastrectomy procedure code: 4399 OTHER TOTAL GASTRECTOMY	
	ONLY if accompanied by selected diagnosis codes 1500 MALIGNANT NEOPLASM OF ESOPHAGUS, CERVICAL 1501 MALIGNANT NEOPLASM OF ESOPHAGUS, THORACIC 1502 MALIGNANT NEOPLASM OF ESOPHAGUS, ABDOMINAL 1503 MALIGNANT NEOPLASM OF ESOPHAGUS, UPPER	

	THIRD OF 1504 MALIGNANT NEOPLASM OF ESOPHAGUS, MIDDLE THIRD OF 1505 MALIGNANT NEOPLASM OF ESOPHAGUS, LOWER THIRD OF 1508 MALIGNANT NEOPLASM OF ESOPHAGUS, OTHER SPECIFIED PART 1509 MALIGNANT NEOPLASM OF ESOPHAGUS, UNSPECIFIED	surgery
arges, ages 18 and older, with ICD- esophageal tion procedure code diagnosis code of nageal cancer in any DR gastrectomy dure code ONLY if npanied by selected osis codes.	Exclude cases: MDC 14 (pregnancy, childbirth, and puerperium) N/A	Included population: all hospital patients age 18 and older with esophageal cancer who had an esophagectomy.
window: user ed; usually a dar year		Time window: 12 months
le, Male: 18 and	Female, Male: 18 and older	
I-CM esophageal tion procedure :: SOPHAGECTOMY	N/A	For the volume predicted mortality, hospitals count the number of esophagectomy cases using the following codes.  ICD-9-CM Procedure Codes for Esophagectomy 424 Esophagectomy 4240 Esophagectomy NOS
	d; usually a dar year le, Male: 18 and -CM esophageal tion procedure : SOPHAGECTOMY ESOPHAGECTOMY PARTIAL	d; usually a dar year le, Male: 18 and -CM esophageal cion procedure : SOPHAGECTOMY ESOPHAGECTOMY PARTIAL

	Maintananae Masaure	Maintananaa Massura	Endorsed Messure
	Maintenance Measure #0360: Esophageal	Maintenance Measure #0361: Esophageal resection	<b>Endorsed Measure</b> <b>#0737:</b> Survival predictor
	resection mortality rate	volume (IQI 1)	for esophagectomy
	(IQI 8)	volume (IQI I)	surgery
	4251 THORAC		Esophagectomy
	ESOPHAGOESOPHAGO		4242 Total
	S		Esophagectomy
	4252 THORAC		4399 Total gastrectomy
	ESOPHAGOGASTROST		NEC
	4253 THORAC SM		
	BOWEL INTERPOS		For the observed mortality
	4254 THORAC		hospitals count the number
	ESOPHAGOENTER NEC		of esophagectomy cases
	4255 THORAC LG		that also have an
	BOWEL INTERPOS		esophageal cancer
	4256 THORAC		diagnosis using the
	ESOPHAGOCOLOS NEC 4258 THORAC		following codes.
	INTERPOSITION NEC		ICD-9-CM Codes for
	4259 THORAC		Esophageal Cancer
	ESOPHAG ANAST NEC		1500 MAL NEO
	426 STERN ESOPHAG		CERVICAL ESOPHAG
	ANAST		1501 MAL NEO
	4261 STERN		THORACIC ESOPHAG
	ESOPHAGOESOPHAGO		1502 MAL NEO ABDOMIN
	ST		ESOPHAG
	4262 STERN		1503 MAL NEO UPPER
	ESOPHAGOGASTROST		3RD ESOPH
	OM		1504 MAL NEO MIDDLE
	4263 STERN SM BOWEL		3RD ESOPH
	INTERPOS		1505 MAL NEO LOWER
	4264 STERN		3RD ESOPH
	ESOPHAGOENTER NEC 4265 STERN LG BOWEL		1508 MAL NEO ESOPHAGUS NEC
	INTERPOS		1509 MAL NEO
	4266 STERN		ESOPHAGUS NOS
	ESOPHAGOCOLOS NEC		200111/2001100
	4268 STERN		
	INTERPOSITION NEC		
	4269 STERN ESOPHAG		
	ANAST NEC		
	ONLY if selected		
	diagnosis codes:		
	esophageal cancer (see		
	below)		
	gastrointestinal-related		
	cancer (see below)		
	OB:		
	OR:		
	ICD-9-CM gastrectomy		
	procedure code:		
	4399 OTHER TOTAL		
	GASTRECTOMY -		
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Maintenance Measure #0360: Esophageal resection mortality rate (IQI 8)	Maintenance Measure #0361: Esophageal resection volume (IQI 1)	Endorsed Measure #0737: Survival predictor for esophagectomy surgery
ONLY if selected diagnosis codes: esophageal cancer (see below)		
Esophageal cancer: 1500 MALIGNANT NEOPLASM OF ESOPHAGUS, CERVICAL 1501 MALIGNANT NEOPLASM OF ESOPHAGUS, THORACIC 1502 MALIGNANT NEOPLASM OF ESOPHAGUS, ABDOMINAL 1503 MALIGNANT NEOPLASM OF ESOPHAGUS, UPPER THIRD OF 1504 MALIGNANT NEOPLASM OF ESOPHAGUS, MIDDLE THIRD OF 1505 MALIGNANT NEOPLASM OF ESOPHAGUS, MIDDLE THIRD OF 1505 MALIGNANT NEOPLASM OF ESOPHAGUS, LOWER THIRD OF 1508 MALIGNANT NEOPLASM OF ESOPHAGUS, LOWER THIRD OF 1508 MALIGNANT NEOPLASM OF ESOPHAGUS, OTHER SPECIFIED PART 1509 MALIGNANT NEOPLASM OF ESOPHAGUS, UNSPECIFIED		
Gastrointestinal cancer 1510 MALIGNANT NEOPLASM OF STOMACH, CARDIA 1978 SECONDARY MALIGNANT NEOPLASM OF RESPIRATORY AND DIGESTIVE SYSTEMS, OTHER DIGESTIVE ORGANS AND SPLEEN		

	Maintenance Measure	Maintenance Measure	Endorsed Measure
	#0360: Esophageal	<b>#0361:</b> Esophageal resection	<b>#0737:</b> Survival predictor
	resection mortality rate (IQI 8)	volume (IQI 1)	for esophagectomy surgery
	2301 CARCINOMA IN SITU OF DIGESTIVE ORGANS, ESOPHAGUS 2355 NEOPLASM OF UNCERTAIN BEHAVIOR OF DIGESTIVE AND RESPIRATORY SYSTEMS, OTHER AND UNSPECIFIED DIGESTIVE ORGANS		
Exclusions	Missing discharge disposition (DISP=missing), gender (SEX=missing), age (AGE=missing), quarter (DQTR=missing), year (YEAR=missing) or principal diagnosis (DX1=missing) Transferring to another short-term hospital (DISP=20 MDC 14 (pregnancy, childbirth, and puerperium)	N/A	Patients without a diagnosis of esophageal cancer;
Exclusions Details	Exclude cases:  • missing discharge disposition (DISP=missing), gender (SEX=missing), age (AGE=missing), quarter (DQTR=missing), year (YEAR=missing) or principal diagnosis (DX1 =missing)  • transferring to another short-term hospital (DISP=2)  • MDC 14 (pregnancy, childbirth, and puerperium)	N/A	Esophagectomy cases without an esophageal cancer diagnosis code
Risk Adjustment	The predicted value for each case is computed using GEE logistic regression and covariates for age (in 5-year age groups), APR-DRG and MDC. The reference population used in the	No risk adjustment necessary	Method: We used an empirical Bayes approach to combine mortality rates with information on hospital volume at each hospital. In traditional empirical Bayes methods, a point estimate (e.g.,

Maintenance Measure	Maintenance Measure	Endorsed Measure
#0360: Esophageal		
	#0361: Esophageal resection	#0737: Survival predictor
resection mortality rate	volume (IQI 1)	for esophagectomy
(IQI 8)		surgery
regression is the universe		mortality rate observed at
of discharges for states		a hospital) is adjusted for
that participate in the		reliability by shrinking it
HCUP State Inpatient		towards the overall mean
Databases (SID) for the		(e.g., overall mortality rate
year 2007, a database		in the population). We
consisting of		modified this traditional
approximately 35 million		approach by shrinking the
discharges from 43 states.		observed mortality rate
The expected rate is		back toward the mortality
computed as the sum of		rate expected given the
the predicted value for		volume at that hospital—
each case divided by the		we refer to this as the
number of cases for the		"volume-predicted
unit of analysis of interest		mortality". With this
(i.e., county or state). The		approach, the observed
risk adjusted rate is		mortality rate is weighted
computed using indirect		according to how reliably it
standardization as the		is estimated, with the
observed rate divided by		remaining weight placed
the expected rate,		on the information
multiplied by the reference		regarding hospital volume
population rate. The		[volume-predicted
Smoothed Rate is the		mortality].
risk-adjusted rate		Risk adjustment for patient
shrunken to the volume-		characteristics is not used
specific rate and the prior		because in sensitivity
year smoothed rate.		analysis, composite
year smoothed rate.		measures based on an
		unadjusted mortality input
		and a risk-adjusted
		mortality input had a
		correlation of (.95) and
		thus were equally good at predicting future
		performance.
		The formula for calculating
		the survival predictor has
		two components, one is a
		volume predicted mortality
		rate, and the second is an
		observed mortality rate.
		The volume predicted
		mortality rate reflects the
		hospitals experience
		performing
		Esophagectomy surgeries
		(thus, it includes all
		Esophagectomy surgeries)
		and uses mortality for all

Maintenance Measure	Maintenance Measure	Endorsed Measure
#0360: Esophageal	#0361: Esophageal resection	#0737: Survival predictor
resection mortality rate	volume (IQI 1)	for esophagectomy
(IQI 8)	Volume (IQI 1)	
(10(10)		surgery
		hospitals at that specific volume to create the
		volume predicted mortality.
		The input data from the hospitals for this domain is
		a volume count of all
		Esophagectomys
		performed in the hospital.
		The second domain is the
		observed mortality, for this
		domain the population is
		narrowed to a
		homogenous group of
		esophagectomy with a
		diagnosis of cancer, the
		data needed for this
		domain is the number of
		observed deaths occurring
		for esophagectomy cases
		with cancer, within the
		inpatient setting.
		The general composite
		measure calculation is as
		follows:
		Predicted Survival = 1-
		Predicted Mortality
		Predicted Mortality =
		(weight)*(mortality) + (1-
		weight)*(volume predicted
		mortality)
		Volume predicted
		mortality* = intercept -
		coefficient*In(caseload), where the intercepts and
		coefficients are derived
		from regression using the
		NIS data and the caseload
		comes from the Leapfrog
		Hospital Survey (answer to
		question #1 for each high-
		risk procedure).
		*Any negative values are
		reset to "0"
		Weight = mortality
		signal/(mortality signal +
		[mortality
		sigma/caseload]), where
		mortality signal and sigma
		are derived from the NIS
		data and the caseload
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	Maintenance Measure	Maintenance Measure	Endorsed Measure
	#0360: Esophageal	<b>#0361:</b> Esophageal resection	#0737: Survival predictor
	resection mortality rate	volume (IQI 1)	for esophagectomy
	(IQI 8)		surgery
			comes from the Leapfrog
			Hospital Survey (answer to
			question #1 for each high-
			risk procedure).
Stratification	Observed rates may be	N/A	N/A
Stratification		IN/A	IN/A
	stratified by age group,		
	race/ethnicity categories,		
	payer categories and sex.		
Type Score	Rate/proportion	Count	
Algorithm	Each Inpatient Quality	The volume is the number of	
	Indicator (IQI) expressed	discharges with a procedure	
	as a rate, is defined as	for esophageal resection	
	outcome of	Tot occpriagour reconstruit	
	interest/population at risk		
	or		
	numerator/denominator.		
	The Quality Indicators		
	software performs five		
	steps to produce the IQI		
	rates. 1) Discharge-level		
	data is used to mark		
	inpatient records		
	containing outcomes of		
	interest. 2) Identify		
	populations at risk. For		
	provider IQIs populations		
	at risk are derived from		
	hospital discharge		
	records. 3) Calculate		
	observed rates. Using		
	output data from steps 1		
	and 2, IQI rates are		
	calculated for user-		
	specified combinations of		
	stratifiers. 4) Risk adjust		
	the IQI rates. Regression		
	coefficients from a		
	reference population		
	database are applied to		
	the observed rates in the		
	risk-adjustment process.		
	The risk-adjusted rates		
	will then reflect the age		
	and APR-DRG distribution		
	of data in the reference		
	population. 5) Create		
	multivariate signal		
	extraction (MSX)		
	smoothed rates.		
	Jinootiica lates.		

	Maintenance Measure #0360: Esophageal resection mortality rate (IQI 8)	Maintenance Measure #0361: Esophageal resection volume (IQI 1)	#0737: Survival predictor for esophagectomy surgery
	Shrinkage factors are applied to the risk-adjusted rates for each IQI in the MSX process. For each IQI, the shrinkage estimate reflects a reliability adjustment unique to each indicator. Full information on IQI algorithms and specification can be found at http://qualityindicators.ahr q.gov/iqi download.htm.		
Data Source	Electronic administrative data/claims	Electronic administrative data/claims	Electronic administrative data/ claims
Level of Measuremen t /Analysis	Facility/agency	Facility/agency	Facility/agency
Care Settings	Hospital	Hospital	Hospital

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	Maintenance Measure #0218: Surgery patients who received appropriate venous thromboembolism (VTE) prophylaxis within 24 hours prior to surgery to 24 hours after surgery end time	Endorsed Measure #0371: Venous thromboembolism (VTE) prophylaxis
Status	Currently undergoing maintenance review	Endorsed 5/2008
Steward	Centers for Medicare & Medicaid Services	The Joint Commission
Description	Percentage of surgery patients who received appropriate Venous Thromboembolism (VTE) Prophylaxis within 24 hours prior to surgery to 24 hours after surgery end time.	This measure assesses the number of patients who <b>received</b> VTE prophylaxis or have documentation why no VTE prophylaxis was given the day of or the day after hospital admission or surgery end date for surgeries that start the day of or the day after hospital admission.
Type of Measure	Process	Process

#### **Numerator**

Surgery patients who received appropriate VTE prophylaxis within 24 hours prior to Surgical Incision Time to 24 hours after Surgery End Time

Appropriate prophylaxis according to Surgery Type: Intracranial Neurosurgery

- Any of the following:
   Intermittent pneumatic compression devices (IPC) with or without graduated compression stockings (GCS)
- Low-dose unfractionated heparin (LDUH)

Low molecular weight heparin (LMWH)2

LDUH or LMWH2 combined with IPC or GCS

**General Surgery** 

Any of the following:

- Low-dose unfractionated heparin (LDUH)
- Low molecular weight heparin (LMWH)
- Factor Xa Inhibitor (Fondaparinux)
- LDUH or LMWH or Factor Xa Inhibitor (fondaparinux) combined with IPC or GCS

General Surgery with a reason for not administering pharmacological prophylaxisAny of the following:

- Graduated Compression stockings (GCS)
- Intermittent pneumatic compression devices (IPC)

Gynecologic Surgery

Any of the following:

- Low-dose unfractionated heparin (LDUH)
- Low molecular weight heparin (LMWH)
- Factor Xa Inhibitor (fondaparinux)
- Intermittent pneumatic compression devices (IPC)
- LDUH or LMWH or Factor Xa Inhibitor (fondaparinux) combined with IPC or GCS

**Urologic Surgery** 

Any of the following:

- Low-dose unfractionated heparin (LDUH)
- Low molecular weight heparin (LMWH)

Patients who received VTE prophylaxis or have documentation why no VTE prophylaxis was given: the day of or the day after hospital admission, the day of or the day after surgery end date for surgeries that start the day of or the day after hospital admission.

Time window:

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- Factor Xa Inhibitor (fondaparinux)
- Intermittent pneumatic compression devices (IPC)
- Graduated compression stockings (GCS)
- LDUH or LMWH or Factor Xa Inhibitor (fondaparinux) combined with IPC or GCS

Elective Total Hip Replacement Any of the following started within 24 hours of surgery:

- Low molecular weight heparin (LMWH)
- Factor Xa Inhibitor (Fondaparinux)
- Warfarin

Elective Total Knee Replacement Any of the following:

- Low molecular weight heparin (LMWH)
- Factor Xa Inhibitor (Fondaparinux)
- Warfarin
- Intermittent pneumatic compression devices (IPC)
- Venous foot pump (VFP)
   Hip Fracture Surgery
   Any of the following:
- Low-dose unfractionated heparin (LDUH)
- Low molecular weight heparin (LMWH)
- Factor Xa Inhibitor (Fondaparinux)
- Warfarin

Elective Total Hip Replacement with a reason for not administering pharmacological prophylaxis Any of the following:

- Intermittent pneumatic compression devices (IPC)
- Venous foot pump (VFP)

Hip Fracture Surgery with a reason for not administering pharmacological prophylaxis

Any of the following:

- Graduated Compression Stockings (GCS)
- Intermittent pneumatic compression devices (IPC)
- Venous foot pump (VFP)

Time window: 24 hours prior to incision to 24 hours after surgery end time

Numerator Details	Data Elements:	
	Anesthesia Type	
	VTE Prophylaxis	
	VTE Timely	
Denominator	All selected surgery patients	All patients.
		Inclusions: Not applicable
	Time window: Entire inpatient	Time window
	admission	
Denominator	Female, Male; ≥18 years of age	Female, Male; ≥18 years of age
Categories		
<b>Denominator Details</b>	Data Elements:	
	Admission Date	
	Anesthesia End Date	
	Anesthesia End Time	
	Anesthesia Start Date	
	Anesthesia Start Time	
	Birthdate	
	Clinical Trial	
	Discharge Date	
	ICD-9-CM Principal Diagnosis Code	
	ICD-9-CM Principal Procedure Code	
	Laparoscope	
	Perioperative Death	
	Preadmission Warfarin	
	Reason for Not Administering VTE	
	Prophylaxis	

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Exclusions	Data elements	Patients less than 18 years of age
	Clinical trial	Patients who have a length of stay
	Laparoscope	(LOS) < two days and > 120 days
	Perioperative death	Patients with Comfort Measures Only
	Preadmission warfarin	documented
	Reason for not administering VTE	Patients enrolled in clinical trials
	prophylaxis	Patients who are direct admits to
		intensive care unit (ICU), or
		transferred to ICU the
		day of or the day after hospital
		admission with ICU LOS = one day
		Patients with ICD-9-CM Principal
		Diagnosis Code of Mental Disorders
		or Stroke as
		defined in Appendix A, Table 7.01,
		8.1 or 8.2
		Patients with ICD-9-CM Principal or
		Other Diagnosis Codes of Obstetrics
		or VTE as
		defined in Appendix A, Table 7.02,
		7.03 or 7.04
		Patients with ICD-9-CM Principal
		Procedure Code of Surgical Care
		Improvement
		Project (SCIP) VTE selected
		surgeries as defined in Appendix A,
		Tables 5.17, 5.19,
		5.20, 5.21, 5.22, 5.23, 5.24
Exclusions Details	Excluded Populations:	
Exclusions Details	Patients less than 18 years of age	
	Patients who have a Length of Stay	
	greater than 120 days	
	Burn patients (as defined in Appendix	
	A, Table 5.14 for ICD-9-CM codes)	
	Patients with procedures performed	
	entirely by Laparoscope	
	Patients enrolled in clinical trials	
	Patients who are on warfarin prior to	
	admission	
	Patients whose ICD-9-CM principal	
	procedure occurred prior to the date of	
	admission	
	Patients whose total surgery time is	
	less than or equal to 60 minutes	
	Patients with hospital length of stay	
	less than or equal to 3 calendar days	
	Patients who expire perioperatively	
	Patients with reasons for not	
	administering both mechanical and	
	pharmacological prophylaxis Patients who did not receive VTE	
	Drank, dayia (aa dafin a diin tira Data	
	Prophylaxis (as defined in the Data Dictionary)	

Risk Adjustment	No risk adjustment necessary	No risk adjustment necessary
Stratification	No stratification except by surgery type and those are Intracranial Neurosurgery Appendix A,Table 5.17 General Surgery Appendix A, Table 5.19 Gynecologic Surgery Appendix A, Table 5.20 Urologic Surgery Appendix A,Table 5.21 Elective Total Hip Replacement Appendix A,Table 5.22 Elective Total Knee Replacement Appendix A,Table 5.23 Hip Fracture Surgery Appendix A,Table 5.24	
Type Score	Rate/proportion	
Algorithm	SCIP- Venous Thromboembolism (VTE)-2: Surgery Patients Who Received Appropriate Venous Thromboembolism Prophylaxis Within 24 Hours Prior to Surgery to 24 Hours After Surgery Numerator: Surgery patients who received Venous Thromboembolism (VTE) prophylaxis 24 hours prior to Anesthesia Start Time to 24 hours after Anesthesia End Time.  Denominator: All selected surgery patients.  Variable Key: Patient Age, Length of Stay (LOS), Surgery Length, Surgery Days  1. Start processing. Run cases that are included in the Surgical Care Improvement Project (SCIP) Initial Patient Population and pass the edits defined in the Transmission Data Processing Flow: Clinical through this measure.  2. Calculate Patient Age. The Patient Age, in years, is equal to the Admission Date minus the Birthdate. Use the month and day portion of admission date and birthdate to yield the most accurate age.  3. Check Patient Age  a. If Patient Age is less than 18 years, the case will proceed to a Measure Category Assignment of B and will not be in the Measure Population. Stop processing.	

b.If Patient Age is greater than or equal to 18 years, continue processing and proceed to ICD-9-CM Principal Procedure Code. 4.Check ICD-9-CM Principal Procedure Code a.If the ICD-9-CM Principal Procedure Code is not on Table 5.17, 5.19, 5.20, 5.21, 5.22, 5.23, or 5.24, the case will proceed to a Measure Category Assignment of B and will not be in the measure population. Stop processing. b.If the ICD-9-CM Principal Procedure Code is on Table 5.17, 5.19, 5.20, 5.21, 5.22, 5.23, or 5.24, continue processing and proceed to ICD-9-CM Principal Diagnosis Code. 5.Check ICD-9-CM Principal Diagnosis Code a.If the ICD-9-CM Principal Diagnosis Code is on Table 5.14, the case will proceed to a Measure Category Assignment of B and will not be in the Measure Population. Stop processing. b.If the ICD-9-CM Principal Diagnosis Code is not on Table 5.14, continue processing and proceed to the LOS calculation. 6. Calculate LOS. LOS, in days, is equal to the Discharge Date minus the Admission Date. 7.Check LOS a.If the LOS is less than or equal to 3 days, the case will proceed to a Measure Category Assignment of B and will not be in the Measure Calculation. Stop processing. b.If the LOS is greater than 3 days, continue processing and proceed to Laparoscope. 8. Check Laparoscope a.If Laparoscope is missing, the case will proceed to a Measure Category Assignment of X and will be rejected. Stop processing. b.If Laparoscope equals 1 or 3, the case will proceed to a Measure Category Assignment of B and will not be in the Measure Population. Stop processing. c.If Laparoscope equals 2, continue processing and proceed to Clinical Trial.

9. Check Clinical Trial

a.If Clinical Trial is missing, the case will proceed to a Measure Category Assignment of X and will be rejected. Stop processing. b.If Clinical Trial equals Yes, the case will proceed to a Measure Category Assignment of B and will not be in the Measure Population. Stop processing. c.If Clinical Trial equals No, continue processing and proceed to Preadmission Warfarin. 10.Check Preadmission Warfarin a.If Preadmission Warfarin is missing. the case will proceed to a Measure Category Assignment of X and will be rejected. Stop processing. b.If Preadmission Warfarin equals Yes, the case will proceed to a Measure Category Assignment of B and will not be in the Measure Population. Stop processing. c.If Preadmission Warfarin equals No, continue processing and proceed to Anesthesia Start Date. 11. Check Anesthesia Start Date a.If the Anesthesia Start Date is missing, the case will proceed to a Measure Category Assignment of X and will be rejected. Stop processing. Specifications Manual for National **Hospital Inpatient Quality Measures** Discharges 10-01-10 (4Q10) through 03-31-11 (1Q11) SCIP-VTE-2-13 b.If the Anesthesia Start Date equals Unable To Determine, the case will proceed to a Measure Category Assignment of D and will be in the Measure Population. Stop processing. c.If Anesthesia Start Date equals a Non Unable To Determine Value, continue processing and proceed to the Surgery Days calculation. 12. Calculate Surgery Days. Surgery Days, in days, is equal to the Anesthesia Start Date minus the Admission Date. 13. Check Surgery Days a.If the Surgery Days is less than zero, the case will proceed to a Measure Category Assignment of B and will not be in the Measure Population. Stop processing. b.If the Surgery Days is greater than or equal to zero, continue processing

and proceed to Perioperative Death. 14. Check Perioperative Death a.If Perioperative Death is missing, the case will proceed to a Measure Category Assignment of X and will be rejected. Stop processing. b.If Perioperative Death equals Yes, the case will proceed to a Measure Category Assignment of B and will not be in the Measure Population. Stop processing. c.If Perioperative Death equals No. continue processing and proceed to Anesthesia Start Time. 15. Check Anesthesia Start Time a.If the Anesthesia Start Time is missing, the case will proceed to a Measure Category Assignment of X and will be rejected. Stop processing. b.If the Anesthesia Start Time equals Unable to Determine, the case will proceed to a Measure Category Assignment of D and will be in the Measure Population. Stop processing. c.If the Anesthesia Start Time equals a Non Unable to Determine Value, continue processing and proceed to Anesthesia End Date. 16.Check Anesthesia End Date a.If the Anesthesia End Date is missing, the case will proceed to a Measure Category Assignment of X and will be rejected. Stop processing. b.If the Anesthesia End Date equals Unable to Determine, the case will proceed to a Measure Category Assignment of D and will be in the Measure Population. Stop processing. c.If the Anesthesia End Date equals a Non Unable to Determine Value, continue processing and proceed to Anesthesia End Time. Specifications Manual for National **Hospital Inpatient Quality Measures** Discharges 10-01-10 (4Q10) through 03-31-11 (1Q11) SCIP-VTE-2-14 17. Check Anesthesia End Time a.If the Anesthesia End Time is missing, the case will proceed to a Measure Category Assignment of X and will be rejected. Stop processing. b.If the Anesthesia End Time equals Unable to Determine, the case will proceed to a Measure Category

Assignment of D and will be in the Measure Population. Stop processing. c.If the Anesthesia End Time equals a Non Unable to Determine Value. continue processing and proceed to the Surgery Length calculation. 18. Calculate Surgery Length. Surgery Length, in minutes, is equal to the Anesthesia End Date and Anesthesia End Time minus the Anesthesia Start Date and Anesthesia Start Time. 19. Check Surgery Length a.If the Surgery Length is less than or equal to 60 minutes, the case will proceed to a Measure Category Assignment of B and will not be in the Measure Population. Stop processing. b.If the Surgery Length is greater than 60 minutes, continue processing proceed to Reason for Not Administering VTE Prophylaxis. 20. Check Reason for Not Administering VTE Prophylaxis a.If Reason for Not Administering VTE Prophylaxis is missing, the case will proceed to a Measure Category Assignment of X and will be rejected. Stop processing. b.If Reason for Not Administering VTE Prophylaxis equals 3, the case will proceed to a Measure Category Assignment of B and will not be in the Measure Population. Stop processing. c.If Reason for Not Administering VTE Prophylaxis equals 1, 2, or 4, continue processing and proceed to VTE Prophylaxis. 21. Check VTE Prophylaxis a.If no values are populated in the VTE grid, the case will proceed to a Measure Category Assignment of X and will be rejected. Stop processing. b.If VTE Prophylaxis equals A, the case will proceed to a Measure Category Assignment of B and will not be in the Measure Population. Stop processing. c.If the VTE grid is populated with any of values 1, 2, 3, 4, 5, 6, 7, or 8, continue processing and proceed to recheck the ICD-9-CM Principal Procedure Code. Note: If VTE Prophylaxis field is populated with an allowable value of 1, 2, 3, 4, 5, 6, 7, or

8 and the corresponding VTE Timely field is Missing, the entire case will be rejected by The Joint Commission and Centers for Medicare and Medicaid Services (CMS) warehouses. 22. Recheck ICD-9-CM Principal Procedure Code a.If the ICD-9-CM Principal Procedure Code is on Tables 5.17, 5.20, 5.21, 5.22, 5.23, or 5.24, continue processing. Proceed to step 26 and recheck ICD-9-CM Principal Procedure Code for Tables 5.17, 5.20, 5.21, 5.22, 5.23, and 5.24. Do not recheck step 23 and step 25 VTE Prophylaxis or step 24 Reason for Not Administering VTE Prophylaxis for Tables 5.17, 5.20, 5.21, 5.22, 5.23, and 5.24 as steps 23 through 26 check for codes on Table 5.19 only. b.If the ICD-9-CM Principal Procedure Code is on Table 5.19, continue processing and recheck VTE Prophylaxis. 23. Recheck VTE Prophylaxis only if the ICD-9-CM Principal Procedure Code is on Table 5.19 a.If any VTE Prophylaxis equals 1, 2, or 5, continue processing and check VTE Timely. Note: When evaluating VTE Timely consider only the values corresponding to the recommended VTE Prophylaxis. 1.If VTE Timely equals Yes for VTE Prophylaxis of 1 or 2 or 5, the case will proceed to a Measure Category Assignment of E and will be in the Numerator Population. Stop processing. 2.If VTE Timely equals No for VTE Prophylaxis of 1 and 2 and 5, continue processing and recheck Reason for Not Administering VTE Prophylaxis. b.If none of the VTE Prophylaxis equals 1, 2, or 5, continue processing and proceed to recheck Reason for Not Administering VTE Prophylaxis. 24.Recheck Reason for Not Administering VTE Prophylaxis a.If Reason for Not Administering VTE Prophylaxis equals 1 or 4, continue processing and proceed to Anesthesia Type.

1.If Anesthesia Type is missing, the case will proceed to a Measure Category Assignment of X and will be rejected. Stop processing. 2.If Anesthesia Type equals 1 or 4, the case will proceed to a Measure Category Assignment of D and will be in the Measure Population. Stop processing. 3.If Anesthesia Type equals 2 or 3, continue processing and recheck VTE Prophylaxis. b.If Reason for Not Administering VTE Prophylaxis equals 2, continue processing and recheck VTE Prophylaxis. 25. Recheck VTE Prophylaxis a.If any VTE Prophylaxis equals 3 or 4, continue processing and check VTE Timely. Note: When evaluating VTE Timely consider only the values corresponding to the recommended VTE Prophylaxis. 1.If VTE Timely equals Yes for VTE Prophylaxis of 3 or 4, the case will proceed to a Measure Category Assignment of E and will be in the Numerator Population. Stop processing. 2.If VTE Timely equals No for VTE Prophylaxis of 3 and 4, the case will proceed to a Measure Category Assignment of D and will be in the Measure Population. Stop processing. b.If none of the VTE Prophylaxis equals 3 or 4, the case will proceed to a Measure Category Assignment of D and will be in the Measure Population. Stop processing. 26. Recheck ICD-9-CM Principal Procedure Code for Tables 5.17, 5.20, 5.21, 5.22, 5.23, and 5.24 only if the ICD-9-CM Principal Procedure Code was not on Table 5.19 a.If the ICD-9-CM Principal Procedure Code is on Table 5.17, continue processing and recheck VTE Prophylaxis. 1. If any VTE Prophylaxis equals 1, 2, or 3, continue processing and check VTE Timely. Note: When evaluating VTE Timely consider only the values corresponding to the recommended VTE Prophylaxis.

i.If VTE Timely equals Yes for VTE Prophylaxis of 1 or 2 or 3, the case will proceed to a Measure Category Assignment of E and will be in the Numerator Population. Stop processing. ii.If VTE Timely equals No for VTE Prophylaxis of 1 and 2 and 3, the case will proceed to a Measure Category Assignment of D and will be in the Measure Population. Stop processing. 2.If none of the VTE Prophylaxis equals 1, 2, or 3, the case will proceed to a Measure Category Assignment of D and will be in the Measure Population. Stop processing. b.If the ICD-9-CM Principal Procedure Code is on Tables 5.20, 5.21, 5.22, 5.23, or 5.24, continue processing and recheck ICD-9-CM Principal Procedure Code. 27. Recheck ICD-9-CM Principal Procedure Code for Tables 5.20, 5.21, 5.22, 5.23, and 5.24 only if the ICD-9-CM Principal Procedure Code is not on Tables 5.17 or 5.19 a.If the ICD-9-CM Principal Procedure Code is on Table 5.20, continue processing and recheck VTE Prophylaxis. 1.If any VTE Prophylaxis equals 1, 2, 3 or 5, continue processing and check VTE Timely. Note: When evaluating VTE Timely consider only the values corresponding to the recommended VTE Prophylaxis. i.If VTE Timely equals Yes for VTE Prophylaxis of 1 or 2 or 3 or 5, the case will proceed to a Measure Category Assignment of E and will be in the Numerator Population. Stop processing. ii.If VTE Timely equals No for VTE Prophylaxis of 1 and 2 and 3 and 5, the case will proceed to a Measure Category Assignment of D and will be in the Measure Population. Stop processing. 2.If none of the VTE Prophylaxis equals 1, 2, 3, or 5, the case will proceed to a Measure Category Assignment of D and will be in the Measure Population. Stop processing. b.If the ICD-9-CM Principal Procedure

Code is on Tables 5.21, 5.22, 5.23, or 5.24, continue processing and recheck ICD-9-CM Principal Procedure Code. 28. Recheck ICD-9-CM Principal Procedure Code for Tables 5.21, 5.22, 5.23, and 5.24 only if the ICD-9-CM Principal Procedure Code is not on Tables 5.17, 5.19, or 5.20 a.If the ICD-9-CM Principal Procedure Code is on Table 5.21, continue processing and recheck VTE Prophylaxis. 1.If any VTE Prophylaxis equals 1, 2, 3, 4, or 5, continue processing and check VTE Timely. Note: When evaluating VTE Timely consider only the values corresponding to the recommended VTE Prophylaxis. i.If VTE Timely equals Yes for VTE Prophylaxis of 1 or 2 or 3 or 4 or 5, the case will proceed to a Measure Category Assignment of E and will be in the Numerator Population. Stop processing. ii.If VTE Timely equals No for VTE Prophylaxis of 1 and 2 and 3 and 4 and 5, the case will proceed to a Measure Category Assignment of D and will be in the Measure Population. Stop processing. 2.If none of the VTE Prophylaxis equals 1, 2, 3, 4, or 5, the case will proceed to a Measure Category Assignment of D and will be in the Measure Population. Stop processing. b.If the ICD-9-CM Principal Procedure Code is on Tables 5.22, 5.23, or 5.24, continue processing and recheck ICD-9-CM Principal Procedure Code. Specifications Manual for National Hospital Inpatient Quality Measures Discharges 10-01-10 (4Q10) through 03-31-11 (1Q11) SCIP-VTE-2-18 29. Recheck ICD-9-CM Principal Procedure Code for Tables 5.22, 5.23. and 5.24 only if the ICD-9-CM Principal Procedure Code is not on Tables 5.17, 5.19, 5.20, or 5.21 a.If the ICD-9-CM Principal Procedure Code is on Table 5.22, continue processing and recheck VTE Prophylaxis. b.If the ICD-9-CM Principal Procedure

Code is on Tables 5.23 or 5.24, continue processing. Proceed to step 34 and recheck ICD-9-CM Principal Procedure Code for Tables 5.23 and 5.24. Do not recheck steps 30, 31 and 33 VTE Prophylaxis or step 32 Reason for Not Administering VTE Prophylaxis. 30. Recheck VTE Prophylaxis only if the ICD-9-CM Principal Procedure Code is on Table 5.22 a.lf any VTE Prophylaxis equals 2, 5, 6. or 8. continue processing and check VTE Timely. Note: When evaluating VTE Timely consider only the values corresponding to the recommended VTE Prophylaxis. 1.If VTE Timely equals Yes for VTE Prophylaxis of 2 or 5 or 6 or 8, the case will proceed to a Measure Category Assignment of E and will be in the Numerator Population. Stop processing. 2.If VTE Timely equals No for VTE Prophylaxis of 2 and 5 and 6 and 8. continue processing and recheck VTE Prophylaxis. b.If none of the VTE Prophylaxis equals 2, 5, 6, or 8, continue processing and proceed to recheck VTE Prophylaxis. 31. Recheck VTE Prophylaxis a. If any VTE Prophylaxis equals 1, continue processing and check VTE Timely. Note: When evaluating VTE Timely consider only the values corresponding to the recommended VTE Prophylaxis. 1.If VTE Timely equals Yes for VTE Prophylaxis of 1, continue processing and check ICD-9-CM Principal or Other Diagnosis Codes. i.If any of the ICD-9-CM Principal or Other Diagnosis Codes is on Table 5.13, the case will proceed to a Measure Category Assignment of E and will be in the Numerator Population. Stop processing. ii.If none of the ICD-9-CM Principal or Other Diagnosis Codes is on Table 5.13, continue processing and recheck Reason for Not Administering VTE Prophylaxis. 2.If VTE Timely equals No for VTE

Prophylaxis of 1, continue processing and recheck Reason for Not Administering VTE Prophylaxis. b.If none of the VTE Prophylaxis equals 1, continue processing and proceed to recheck Reason for Not Administering VTE Prophylaxis. Specifications Manual for National **Hospital Inpatient Quality Measures** Discharges 10-01-10 (4Q10) through 03-31-11 (1Q11) SCIP-VTE-2-19 32. Recheck Reason for Not Administering VTE Prophylaxis a.If Reason for Not Administering VTE Prophylaxis equals 1 or 4, continue processing and recheck Anesthesia Type. 1.If Anesthesia Type is missing, the case will proceed to a Measure Category Assignment of X and will be rejected. Stop processing. 2.If Anesthesia Type equals 1 or 4, the case will proceed to a Measure Category Assignment of D and will be in the Measure Population. Stop processing. 3.If Anesthesia Type equals 2 or 3, continue processing and recheck VTE Prophylaxis. b.If Reason for Not Administering VTE Prophylaxis equals 2, continue processing and proceed to recheck VTE Prophylaxis. 33. Recheck VTE Prophylaxis a.lf any VTE Prophylaxis equals 3 or 7, continue processing and check VTE Timely. Note: When evaluating VTE Timely consider only the values corresponding to the recommended VTE Prophylaxis. 1.If VTE Timely equals Yes for VTE Prophylaxis of 3 or 7, the case will proceed to a Measure Category Assignment of E and will be in the Numerator Population. Stop processing. 2.If VTE Timely equals No for VTE Prophylaxis of 3 and 7, the case will proceed to a Measure Category Assignment of D and will be in the Measure Population. Stop processing. b.If none of the VTE Prophylaxis equals 3 or 7, the case will proceed to

a Measure Category Assignment of D

and will be in the Measure Population. Stop processing. 34. Recheck ICD-9-CM Principal Procedure Code for Tables 5.23 and 5.24 only if the ICD-9-CM Principal Procedure Code is not on Tables 5.17, 5.19, 5.20, 5.21, or 5.22 a.If the ICD-9-CM Principal Procedure Code is on Table 5.23, continue processing and recheck VTE Prophylaxis. 1.If Any VTE Prophylaxis is equal to 2, 3, 5, 6, 7, or 8, continue processing and check VTE Timely. Note: When evaluating VTE Timely consider only the values corresponding to the recommended VTE Prophylaxis. i.If VTE Timely equals Yes for VTE Prophylaxis of 2 or 3 or 5 or 6 or 7 or 8, the case will proceed to a Measure Category Assignment of E and will be in the Numerator Population. Stop processing. ii.If VTE Timely equals No for VTE Prophylaxis of 2 and 3 and 5 and 6 and 7 or 8, the case will proceed to a Measure Category Assignment of D and will be in the Measure Population. Stop processing. 2.If none of the VTE Prophylaxis is equal to 2, 3, 5, 6, 7, or 8, the case will proceed to a Measure Category Assignment of D and will be in the Measure Population. Stop processing. b.If the ICD-9-CM Principal Procedure Code is on Table 5.24, continue processing and recheck VTE Prophylaxis. 35. Recheck VTE Prophylaxis a.If any VTE Prophylaxis equals 1, 2, 5, 6, or 8, continue processing and check VTE Timely. Note: When evaluating VTE Timely consider only the values corresponding to the recommended VTE Prophylaxis. 1.If VTE Timely equals Yes for VTE Prophylaxis of 1 or 2 or 5 or 6 or 8, the case will proceed to a Measure Category Assignment of E and will be in the Numerator Population. Stop processing. 2.If VTE Timely equals No for VTE Prophylaxis of 1 and 2 and 5 and 6 and 8, continue processing and

recheck Reason for Not Administering VTE Prophylaxis. b.If none of the VTE Prophylaxis equals 1, 2, 5, 6, or 8, continue processing and proceed to recheck Reason for Not Administering VTE Prophylaxis. 36. Recheck Reason for Not Administering VTE Prophylaxis a.If Reason for Not Administering VTE Prophylaxis equals 1 or 4, continue processing and recheck Anesthesia Type. 1.If Anesthesia Type is missing, the case will proceed to a Measure Category Assignment of X and will be rejected. Stop processing. 2.If Anesthesia Type equals 1 or 4, the case will proceed to a Measure Category Assignment of D and will be in the Measure Population. Stop processing. 3.If Anesthesia Type equals 2 or 3, continue processing and recheck VTE Prophylaxis. b.If Reason for Not Administering VTE Prophylaxis equals 2, continue processing and proceed to recheck VTE Prophylaxis. 37. Recheck VTE Prophylaxis a.If none of the VTE Prophylaxis equals 3, 4, or 7, the case will proceed to a Measure Category Assignment of D and will be in the Measure Population. Stop processing. b.If any VTE Prophylaxis equals 3, 4, or 7, continue processing and check VTE Timely. Note: When evaluating VTE Timely consider only the values corresponding to the recommended VTE Prophylaxis. 1.If VTE Timely equals Yes for VTE Prophylaxis of 3 or 4 or 7, the case will proceed to a Measure Category Assignment of E and will be in the Numerator Population. Stop processing. 2.If VTE Timely equals No for VTE Prophylaxis of 3 or 4 or 7, the case will proceed to a Measure Category Assignment of D and will be in the Measure Population. Stop processing

Data Source	Electronic clinical data, electronic health/medical record, paper medical record/flow-sheet	Electronic administrative data/claims, electronic health/medical record, paper medical record/flow-sheet
Level of Measurement /Analysis	Facility/agency; Program: Quality improvement organization (QIO); Can be measured at all levels	Facility/agency
Care Settings	Hospital	Hospital

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