NATIONAL QUALITY FORUM

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SURGERY STANDING COMMITTEE

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FRIDAY MARCH 20, 2015

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The Committee met at the National Quality Forum, 9th Floor Conference Room, 1030 15th Street, N.W., Washington, D.C., at 8:30 a.m., Lee Fleisher and William Gunnar, Co-Chairs, presiding.

COMMITTEE MEMBERS:

LEE FLEISHER, MD, Committee Co-Chair; Professor and Chair of Anesthesiology, University of Pennsylvania; American Society of Anesthesiologists WILLIAM GUNNAR, MD, MPH, Committee Co-Chair; Director, National Surgery Program Office, Veterans Health Administration ANTHONY ASHER, MD, FAANS, FACS, Carolina Neurosurgery & Spine Associates* ROBERT CIMA, MD, MA, Professor of Surgery, Mayo Clinic RICHARD DUTTON, MD, MBA, Executive Director, Anesthesia Quality Institute ELISABETH EREKSON, MD, MPH, Dartmouth Hitchcock Medical Center JOHN HANDY, MD, Thoracic Surgeon, American College of Chest Physicians FREDERICK GROVER, MD, Professor of Cardiothoracic Surgery, University of Colorado School of Medicine

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Surgery, Cleveland Clinic LARISSA TEMPLE, MD, Colorectal Service, Department of Surgery, Memorial Sloan-Kettering Cancer Center

MELISSA THOMASON, MS, PMP, Patient/Family Advisor, Vidant Health

A.J. YATES, MD, Associate Professor, University of Pittsburgh Medical Center NQF STAFF:

HELEN BURSTIN, MD, MPH, Chief Scientific Officer MARCIA WILSON, Senior Vice President, Quality Measurement JULIET FELDMAN, Project Manager, Stakeholder Collaboration KAREN JOHNSON, Senior Director ANDREW LYZENGA, Senior Project Manager MELINDA MURPHY, Senior Director YETUNDE ALEXANDRA OGUNGBEMI, Project Analyst

ALSO PRESENT:

MAX HE, The Society of Thoracic Surgeons

JEFFREY P. JACOBS, M.D., The Society of Thoracic

Surgeons

JANE HAN, The Society of Thoracic Surgeons,

Senior Manager of Quality Metrics and

Initiatives

JAMIE YAP, The Society of Thoracic Surgeons, STS

National Database Assistant

* present by teleconference

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1	P-R-O-C-E-E-D-I-N-G-S
2	(8:30 a.m.)
3	DR. GUNNAR: So, I think the first
4	time we went through this we didn't finish the
5	first day's agenda in the first day. So
6	congratulations to us and our ability to, you
7	know, iron-chair our way through to almost a
8	little after 6 o'clock. So I think the first day
9	is always the toughest because you sort of
10	reintroduce yourself to the process but we are
11	now full into the agenda. And today's is really
12	centered on all of the STS measures that you can
13	see. And for that reason we've, and I think
14	wisely, the NQF staff has brought the developers
15	from STS here for just a full day event and walk
16	through it rather than split it up.
17	So I know there are a couple of topics
18	we wanted to get to before we have the developers
19	join us, but introduce Helen Burstin, so our
20	leader in this effort. So, Helen, do you want to
21	say a few words?
22	DR. BURSTIN: Good morning, everybody.

Nice to see everybody again. I am Helen Burstin. 1 2 I am the Chief Scientific Officer here at NQF. Apologies for missing yesterday; I was actually 3 4 in an all-day meeting at the American College of 5 How appropriate. It's hard to be in Surgeons. two places at once. Actually Dave Shahian from 6 7 STS was there as well. So, delighted to be here with you and I know you are in great hands, so I 8 9 am glad to be here today. 10 DR. GUNNAR: So, Lee, how do you want 11 to -- we wanted to talk about a couple of 12 concepts before we open this? 13 DR. FLEISHER: So first, good morning. 14 I just wanted to say thank you. One of the 15 things we talked about as we start today is the 16 idea that Patrick Romano mentioned and actually 17 the major reason through the Kaizan that Helen 18 and the NQF team developed these standing 19 committees is to create memory so that as we 20 actually -- and have a consistency so that Andrew 21 really will be talking with all of you and 22 thinking through as you see these measures coming

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through the final report, calling out, particularly those who reviewed them, anything you would like to see the developer do over the years in which the measures are being utilized or if they came back.

So, for example, the last measure 6 7 yesterday, there were a lot of thoughts about what kind of testing might have to happen with 8 9 that new measure for admission after outpatient 10 surgery or other sort of process measures, what 11 would have to be demonstrated for the committee 12 to continue endorsement at the next stage. It 13 will be important to sort of identify those 14 specifically so that even if we were not on this 15 committee then the process would have memory. So 16 I think that's going to be -- Andrew working with 17 Juliet is going to do that.

18 The other thing, just because I am 19 leaving early, is I didn't want to forget to say 20 thank you to the staff as always, because they 21 have been tremendous and really helped guide us. 22 So I wanted to say thank you. Yes.

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1	(Applause.)
2	DR. FLEISHER: Do we
3	DR. GUNNAR: I have a couple of things
4	before we go.
5	DR. FLEISHER: Okay. Do you want to
6	say those?
7	DR. GUNNAR: So today I appreciate
8	that Fred Grover has recused himself. He will be
9	in attendance but not voting for any of the
10	measures today because of his past deep history
11	with the STS. There are other cardiac surgeons,
12	including myself, who are and just a show of
13	hands, who is a cardiac surgeon, just so you
14	know?
15	DR. GUNNAR: John. Dr. Roth.
16	But I think it's important to just
17	kind of foundationally know that you carry bias
18	into these. And it's important to try to
19	there is a fine line between bias and conflict
20	sometimes, and I appreciate that Dr. Grover has
21	presented his conflict. But we will just keep
22	that in mind as we carry forward in the

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discussions.

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2 Yes, Helen? DR. BURSTIN: And just to follow up on 3 4 that, again bias is a funny word; it's pretty 5 subjective. I mean don't, I don't want us to confuse expertise and bias. Some of you here are 6 7 cardiac surgeons at the table, we want you to That's why you are around this table. 8 engage. 9 So, you know, bias is subjective but expertise is 10 important. And, again, you know we really want 11 you to speak and participate in the 12 conversations. 13 DR. FLEISHER: Yes, I guess in the 14 same way yesterday when the beta blocker 15 discussion occurred and I had to be a content 16 expert, I felt appropriate to make a content 17 comment, not about the measure but about the 18 So if you have content expertise in content. 19 particular, we would like that to be articulated. 20 DR. GUNNAR: So for those on the phone, Dr. Fleisher looked at Dr. Grover and 21 22 said, you are a content expert. So don't, don't

hesitate in providing content expertise.

2 Correct, Helen? Okay.

3	DR. FLEISHER: So, Melinda, would it
4	be best, one of the things that we wanted to have
5	a discussion of is the potential unintended
6	consequences of 30-day mortality, should we do
7	that in the context of STS presenting that
8	measure or just have a general discussion? The
9	New York Times I know, right. So should we do
10	that first or just within the content; what do
11	you think would be the best approach?
12	MS. MURPHY: I would say in STS so
13	that you don't need
14	DR. GUNNAR: Okay. So to frame this,
15	I think anybody who has read the paper recently
16	regarding this topic is there is a there are
17	experts in palliative care and end of life care
18	who are presenting an opinion that would
19	discourage any metric from measuring to a single-
20	day outcome, and in this case 30-day outcome,
21	mortality outcome. And there have been papers
22	written in peer review journals regarding the

risk of any one patient being adversely impacted 1 2 by that for failure to provide comfort care when an inevitable outcome is clear but you are trying 3 to reach a 30-day metric such that if it was 4 5 clear that my loved one was not -- had a terrible complication following a procedure -- was not 6 7 going to clearly make it to 30 days and the systems prevented that death, that naturally 8 9 expected death, to just meet a 30-day measure. 10 And so I think I framed it correctly. 11 Helen, that was what you were faced with, and 12 Lee, when you were confronted by the media, 13 correct, to respond? 14 DR. FLEISHER: I think that was part 15 of the question was would there be individuals 16 who are kept alive and denied palliative care on 17 day 22 in order to make the 30-day mortality. 18 And we were just talking with the STS folks and 19 whether or not there's ways to mitigate that by 20 looking at operative mortality and what defines 21 operative mortality. 22 The second question, which is an

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interesting one, is that they argued people would 1 2 not operate on patients because 30-day mortality la some of the discussions 3 was too high. 4 yesterday about shared decision making in 5 patient-reported outcomes, I'd like to hear people's opinions on whether or not that is a 6 good or bad thing once people think about 7 operative mortality, whether there is unintended 8 9 consequences that they would not have a shared 10 decision discussion but really present risk 11 benefits and think about it. 12 So I guess we can start with John. We 13 wanted to have a robust discussion so by the time 14 STS comes we can sort of have a shorter 15 discussion. I guess, Rick, you are the first? 16 DR. DUTTON: The second point, I 17 agree, it's going to be difficult. There's 18 gamesmanship possible in any publicly reported 19 So, for instance, if we changed the measure. 20 measures to say that if the patient agrees to a 21 risky operation then the mortality doesn't count, you can imagine how that might be. That might 22

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adversely affect practice as well.

2 But on the first point, I notice this time, and maybe it's been true all along and I 3 4 just caught on, that a lot of the STS 30-day 5 mortality measures are now written so that it's any mortality occurring in a hospital, even up to 6 a million days still counts. And then the 30 day 7 is only if the patient gets discharged and it's 8 9 within 30 days. I thought that was a really 10 significant improvement in how these were 11 written. And I think that's something NQF should 12 encourage all of the mortality measure developers 13 to look at. That can still be gamed, obviously; 14 you can send the person home, you can transfer 15 them to the hospice, and there are still going to 16 be issues. But I think this clears up one 17 important point. 18 DR. FLEISHER: Interesting we can hear

10 DR. FIEISNER: Interesting we can hear
19 how --- whether transfers to hospice counts or
20 doesn't count in operative mortality and ask that
21 question of STS.

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DR. GUNNAR: The other question there

1	is what is the percentage of deaths that occur
2	out of hospital versus in the primary index
3	hospitalization? So those are the how many
4	are in those two buckets?
5	DR. HANDY: So I was going to make
6	exactly Dr. Dutton's point, except for the fact
7	that, a point of clarification, that is not a new
8	definition by the STS. This has been a
9	longstanding definition, either 30-day deaths
10	well, it's actually taking more responsibility
11	because if you walk out three days after your
12	discharge and you get hit by a bus you're still
13	considered an operative mortality as an
14	outpatient.
15	So this has been the consistent
16	definition from the STS.
17	DR. YATES: As to your second point it
18	extends, in addition to mortality it extends to
19	the 30-day re-admission and some of the HAC,
20	hospital acquired condition risks, in that
21	especially in something as elective as total knee
22	and total hip replacement, the collective

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response of people honing back on their risk, 1 2 risky patients, and not offering surgery to patients with ill-defined ranges of risk because 3 4 that ill-defined range of risk may not be as 5 adequately risk adjusted or fair to the hospital, and that the leverage that's being used in some 6 7 of the payments and value-based payments are so high for that one basket of admissions, you are 8 9 seeing patients being denied surgery for ill-10 defined classes of risk such as morbid obesity. 11 And I think it's a slippery slope until things 12 like lupus and rheumatoid arthritis get told that 13 they are not quite ready for surgery or they are 14 not really appropriate for surgery. And they try 15 to reduce their risk at the door.

16 I have heard, I have heard executives 17 from large centers flat-out say that they are 18 going to restrict their surgeons in terms of who 19 they can operate on to reduce that risk. And so 20 I think it's only natural that the mortality risk 21 would also be that much more likely to be 22 If it's being invoked at elective invoked.

surgeries for just re-admissions, I think it's
 very likely that that type of gamesmanship will
 happen at the mortality level.

DR. FLEISHER: So one of the things we can do here is if people have thought about ways that we can ask the developers of these measures how to look at those consequences, that's one of the things that this committee can help define. So if you have any thoughts on that.

Allan?

11 DR. SIPERSTEIN: Yes. In preparation for this meeting I polled some of my cardiac 12 13 surgeons at the Cleveland Clinic about some of 14 these measures and unintended consequences, and 15 they echoed exactly that, that the very highest 16 risk patients, they are getting push-back in 17 terms of operating on them. Obviously they 18 understand that they are overall mortality 19 statistics, they understand that things are risk 20 adjusted, but there is a concern that at the 21 extremes, the risk adjustment methods may not 22 work.

1	And what they were really asking for
2	was some carve-out or exclusion for the sickest
3	several percent, you know, to be excluded from
4	these metrics.
5	DR. FLEISHER: I think we will ask
6	Helen in the end if she will comment. But Chris?
7	DR. SAIGAL: I just wanted to say I
8	think all whatever we do is going to be gamed
9	in one direction or the other. I think that we
10	have to ask for, you know, I guess that this
11	do we want to have audits to make sure we're
12	measuring would actually be happening. I mean my
13	system games things all the time. So it's the
14	natural function of measurement. And we have to
15	marry measurement with auditing to make it
16	effective I think.
17	DR. FLEISHER: Kelsey?
18	MS. MCCARTY: Yesterday there was a
19	metrical reviewing in which DNR came up. And I
20	think we had given a suggestion to that reviewer
21	to consider excluding patients in which a DNR box
22	might be checked from the mortality metric. I'm

sure there's a lot more to that that would need to be discussed and fleshed out. But perhaps something around that as a starting point for any exclusion criteria might help with this.

DR. LEVY: So another thing we talked 5 about yesterday was a patient-reported outcome 6 measure and finding some way to get information 7 from the patient side about what their value 8 9 system is and being able to marry that to our 10 measure in some way. And a composite might be 11 the best way to prevent a lot of the gaming. 12 Because we are looking at it all from our side, 13 and how to optimize a metric. And, clearly, 14 having a mortality rate that's zero if you've got 15 patients in there that are very high risk is not 16 going to happen.

17 So it might be a U curve where if 18 they're doing the right kind of care for patients 19 that, you know, you've got patients at both ends, 20 patients with very low mortality but you have 21 some patients that you would expect a certain 22 mortality rate and that your quality rating goes

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down if that's too low. I mean, that's one way
 to look at it.

But another way to look at it is to 3 4 really recognize that patient-reported outcomes 5 and the experience of the patient and shared decision making are critical points. 6 And it's 7 really that shared decision making piece that we can't report on; the patient has to report on 8 9 And finding a metric, finding a way to those. 10 incorporate that into our mortality measure so 11 that we are capturing what our job really is, 12 which is to fully inform the patient about those 13 risks might be the best way for us to get off 14 this dime.

15 DR. GUNNAR: So I want to add to 16 Barbara's point because I think it's an important 17 one about what role does 30-day mortality with 18 regard to cardiac surgery play, as opposed to 19 composite. As an isolated endorsed measure over 20 the past decade, whether it's related or not, the 21 mortality in cardiac surgery has gone down 22 dramatically. And you will see today when we

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look at the distinction between low performers
 and high performers that the difference is - here is relatively small.

4 I'm not trying to tee up performance, 5 I'm just trying to say -- performance gap -- I'm just trying to say that there have been dramatic 6 7 decreases in these operations, mortality 30-day in-house and 30-day out-of-house mortality. 8 The 9 question is where does this fit into this? And I 10 think the composite discussion is going to be a 11 big one for us, whether you have to keep every 12 component of that composite alive and well or 13 whether the composite can drive, can do the same 14 And actually in that sense of --thing. 15 potentially even avoid this ethical conundrum.

And then the last thing I want to say, just because I've got the mike open is, you know, I have personally lived with, you know, having been participating in cardiac surgery -- an early adopter of STS back in the '90s and as a participant, 30-day mortality was, sure, yes, should be measured on 30-day mortality. And

1	never would have thought that I or anyone on my
2	team of providers would have ever allowed some
3	sort of, you know, delayed care or anything.
4	That's beyond me conceptually.
5	But I understand the argument. That
6	being said, I do believe isn't that a local
7	issue? Does that isn't that really an
8	ethical issue at the local level and shouldn't
9	somebody be raising their hand? And so I pose
10	that as the as maybe an argument for
11	discussion.
12	So Dr. Yates?
13	DR. YATES: It is not just the
14	developers, it's how it's used. And the problem
15	is is that certainly I don't want to come back to
16	my example of joint replacement, but the way it's
17	used in our re-admissions package is with CMS in
18	
	terms of value-based payments, it's a moving
19	terms of value-based payments, it's a moving benchmark. And we all experienced the moving
19	benchmark. And we all experienced the moving

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patient made a difference.

2	Well, we are at a point now where it
3	may be one surgeon's practice or one set of
4	patients that makes a difference in a moving
5	benchmark as that quality squeezes to less and
6	less variability, like you just said, and greater
7	and greater improvement as that quality moves to
8	the left. So to keep it in the cardiac mortality
9	ranks, if somebody has a 1 percent risk, a normal
10	risk of cardiac mortality, of post-CABG
11	mortality, yet there is another patient that has
12	a 2 percent risk because of co-morbidities, be it
13	morbid obesity or diabetes or the like, there
14	will be, there will be pressure, not just
15	regionally or locally, to delimit that, because
16	it's going to put you at risk for significant
17	payment penalties that will cause pressure from
18	those people that run hospitals to not want you
19	to operate on them.
20	And I think that is something that has
21	to be resisted but it's hard to do if you're

everyone else is doing the same thing. And I

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think you are going to see those mortality curves 1 2 moving to the left and squeezing up against actuarial risk. And that's what I fear. 3 4 Value in medicine was defined by 5 Michael Porter as the outcome divided by the If you take care of less risky patients, 6 cost. 7 you are more likely to have a great outcome and But if everyone is measuring you for 8 less cost. 9 your value, you may create pockets of patients 10 that are treated as a class and not as 11 individuals, and that's the definition of 12 discrimination. And when that happens then we 13 have to protect those patients from becoming 14 value refugees. 15 And what I would like to see is some 16 way of creating the exclusions. That would be 17 one way of protecting certain classes of patients 18 that have hard-to-define risk; it may be the 19 patients with diabetes, it may be some other way 20 of doing it. And if we can get to patient 21 populations, we would define quality as the 22 outcome over the cost times the people that could

1	have been helped divided by the or the people
2	that could have been helped divided by the people
3	that weren't.
4	I'm sorry to go long but I think
5	that's
6	DR. GUNNAR: Are you arguing against
7	a composite measure which actually extends a
8	wider net? As we look at the composite measures
9	it will actually the failure-to-meet criteria
10	will be met by a wider number of
11	DR. YATES: I think the composite
12	measures should measure the population being
13	treated by that hospital. And that should be one
14	part of the composite measure is that the overall
15	and that's hard to know because you never know
16	what the denominator could have been. But if
17	there was a way to capture what the denominator
18	could have been then you could say that they did
19	their best to take care of everybody.
20	DR. FLEISHER: Great. Larissa?
21	DR. TEMPLE: I just had a couple of
22	comments. I mean I think that ultimately we are

going to have to come up with some sort of 1 2 composite score that incorporates the patient's values and preferences and the time to getting 3 4 geriatrics or the hospice involved. I mean we 5 grapple with this in oncology. And I believe that in oncology we sort of started to think 6 about developing a metric where we got hospice 7 three days before patients passed away. 8 So I 9 think we have a long way to go before we can 10 start putting these patient preferences into our 11 models.

12 But I wondered about when we think 13 about maintenance of our measures, and we talked 14 of this a bit last night, that when people come 15 back for maintenance, it's an opportunity for us 16 to ask them to give us some data about potential 17 unintended consequences to see if there's 18 variations in the patterns of care. And when we 19 look at these measures for maintenance we are not 20 asking them to give -- we need to push them to 21 the next level: show us how these measures are 22 helping. Kind of give us some data to suggest

that there is or isn't gaming, and what kind of 1 2 gaming is going on. Because it's an opportunity for us -- tell us what happens when a measure is 3 for institutional quality improvement versus when 4 5 it becomes for public reporting. I mean I think we are starting to see 6 7 more gaming when we go to public reporting. And so I sort of think we should think a little bit 8 9 more about what we do with measure maintenance. 10 And I think that -- it won't get us to the 11 composite score we want but it will get us a 12 little further than we are now. 13 DR. FLEISHER: Thanks. And it's going 14 to be important that we put those in the report 15 so that the measure developers --16 Amy? 17 MS. MOYER: A couple of things. Ι 18 think one of the things I was struck by was, both 19 in, you know, in the comments and in the article, 20 there was a lack of understanding about what was 21 actually being measured. And part of that is, 22 you know, we need to have this fits-on-one-line

name for the measure that doesn't capture 1 2 everything. And so I think when we are talking 3 4 about, well, we could tweak the measure this way, 5 tweak the measure that way, do this, I think people are reacting to what they think the 6 7 measure is instead of what's actually involved in it. 8 9 And I think I forgot my second point. 10 Oh, you know, we set a bar of a certain amount of evidence to have a measure. 11 12 And I would also question then, I guess, are we 13 reacting to anecdotes and stories or are we 14 reacting to actual evidence that these unintended 15 consequences are occurring? 16 DR. FLEISHER: Rick, did you have 17 another? 18 DR. DUTTON: A question for the NQF. 19 I am a little surprised we haven't seen any 20 patient-reported outcomes show up here yet 21 because certainly lots of people are working on 22 these for things like total joint replacement

and, you know, at six months are you happy, does 1 2 your leg work, that kind of thing. Are those coming to this committee, or will they when 3 4 they're in the surgical area? 5 DR. BURSTIN: So the question --- to date they have been part of the Parsons Center 6 7 Care Committee which is looking at all those sort of functional status measures together. 8 But one 9 thought might be to bring things that are more 10 surgical perhaps for a second opinion to this 11 group to kind of weigh in on the more surgical 12 risk oriented perspectives as well. 13 DR. GUNNAR: And I think that would be 14 helpful just because where this topic came up. 15 So the -- and I can't remember what the actual 16 measures were that we endorsed as a standing 17 committee to go forward as CSAC. They were then 18 approved by the committee. It then went for 19 public comment and the 30-day mortality metrics 20 were appealed by this consortium of interested 21 parties.

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Lee and I met with NQF staff because

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it was literally we had a 2 or 3-day window to be 1 2 able to present our opinion to the CSAC along with -- and the developers presented as well, Dr. 3 4 Jacobs I believe was on the phone, and in essence 5 we supported their -- what you will see is their response today in that moment in time. 6 What we are doing now, just to be 7 clear, is we are bringing this back to the 8 9 committee for essentially discussion and 10 potentially a position. And so I want to be clear I think as to where this discussion is 11 12 headed. And if it needs more discussion or more 13 context or a -- potentially a debate, I don't know where we would -- I would encourage that. 14 15 So just wanted to put that in context. 16 Dr. Erekson? 17 DR. EREKSON: So I think one thing 18 that's really important to remember when we look 19 at surgical rates is surgical rates vary widely 20 across the country. They vary widely at hospitals 21 across all procedures. And they do not 22 correspond to the underlying health in the

community at large. You can have a surgical rate
 in the county next door that's completely
 different than in your county.

So when we are thinking about offering 4 5 surgery, not offering surgery, gaming the system, I think this plays off of a lot of other comments 6 7 that the committee has already given today which is we really have to get into this patient 8 9 preference and the shared decision-making because 10 currently, the underlying health of the 11 population doesn't explain all the variation that 12 we see in the surgical rates.

13 DR. MOSS: So this is a little bit 14 philosophical but I just wanted to talk about the 15 tone of the discussion. A) this is a, you know, 16 this is a visible group that speaks fairly loudly 17 for surgical quality in this country. And I 18 think we need to be careful not to be drawn into 19 a discussion where we are put on the opposite 20 side of the patient. And I kind of see that 21 potential here. And I think that, you know, in 22 25 years of doing this it's exceedingly,

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exceedingly rare that I have seen somebody in medicine make a decision for any other reason than his or her conscience.

4 You know, there's always isolated anecdotes of everything, but I think we need to 5 be careful to argue really vigorously for the 6 7 integrity of the practitioners and say we will work with you, no measure is perfect, any measure 8 9 can be gamed, but let's not get on the wrong side 10 of the table or be pushed to the wrong side of 11 the table in the discussion.

12 DR. SAIGAL: I wanted to comment that 13 I do think we've been talking a lot about patient 14 preferences and shared decision-making and it may 15 be time to really look at that quite seriously to 16 let us address some of the concerns you just 17 raised in terms of our concern for the patient. 18 I'm not sure that they can be measured in claims 19 but I think e-measures are a way in which you can 20 report what's happening.

I think some employers are using it,
sort of just making it a requirement now in their

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I know Boeing is doing that and a few 1 ACOs. 2 others groups are -- Madison are doing that. So there's probably ways for us to capture that that 3 4 happened as a way to safeguard against some 5 gaming. We should take it quite seriously I think. 6 7 I don't know if there is a plan to do that, Helen, or is there a -- are we just sort of 8 9 talking about that right now or is there a --10 DR. FLEISHER: Melissa? 11 MS. THOMASON: And really it's been 12 pointed out, just like Dr. Moss was saying, so 13 from a patient's perspective -- and I'm new to 14 the measures conversation, I mean obviously --15 but from a patient's perspective I think it's 16 just about when I go see my surgeon, when I come 17 out of all of the procedures, what I was 18 expecting. So I want my idea of success to align 19 with his idea of success. So I want the outcome 20 that he's being measured by to be what I was 21 looking for, otherwise we are working towards different ends. And it seems like a slippery 22

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slope.

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2 DR. FLEISHER: Kelsey is next. MS. MCCARTY: So this idea of 3 4 including patient choice more has come up a lot 5 recently with the New York Times article. There was another New York Times article about 6 7 suffering. Atul Gawande has a new book about this and is making the rounds. And I feel like 8 9 this Committee gets it and wants to include 10 patient choice but we were also talking about we 11 didn't really have a chance to have the full discussion yesterday, but gap measures or 12 13 measures that we need to close the gap, and I 14 feel like the pinch that we are feeling is like 15 we get it, we want to make sure that what the 16 patient wanted is what was executed on and that 17 we are not penalizing people for making really 18 good choices about patient care, but I don't 19 think that we know how to do that. 20 And so if we are thinking about 21 pushing people to bring forward to us measures 22 that will help the work of this committee then

maybe we should put a really strong RFP out there 1 2 on how do we do this? How do we capture patient choice in the medical record or in some form of 3 4 documentation so we can incorporate that into measures going forward. I'm not sure that we are 5 going to resolve this conversation unless we see 6 7 some kind of methodology which I don't know if 8 that exists today. 9 DR. FLEISHER: Great, Fred, did you 10 have a --11 DR. GROVER: I just wanted to --12 mention we actually sent a letter in to the New 13 York Times that they haven't seen fit to publish 14 yet. But, you know, obviously we are very 15 concerned, I think, if any of our measures in the 16 STS are being used for gaming purposes. And like 17 Bill, I would hope we are hearing about some 18 isolated incidences of it. 19 And a lot of which I think --- their 20 point is that when people aren't doing well post-21 operatively that sometimes their care is

prolonged because of this. It could also be

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surgeon's pride. I mean, there are a lot of factors that go into sometimes not being totally realistic. I'm sure I've been in that boat too, and most surgeons in this room probably have where you keep hoping the patient can turn around.

But I think to go away from data 7 that's well-adjusted becomes a little anti-8 9 intellectual. We use that when we counsel our 10 And they sign and they agree to this. patients. 11 But I always have told my patients with what I 12 think their estimated -- I don't put it in that 13 words -- but what's your likelihood of survival 14 or not survival, what your likelihood of 15 complications are, and what the potential 16 benefits are so that you have shared decision-17 making. And it's very valuable to use that. 18 We can't control how everybody in the

19 world interprets that. And what you're talking 20 about at Pittsburgh, I mean they don't even need 21 a database if they are telling you that they 22 don't want you to operate on --

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	, second s
1	DR. YATES: No, no, let me correct
2	that. No one has told me to do anything in
3	Pittsburgh.
4	DR. GROVER: Yes. But anyway
5	DR. YATES: No.
6	DR. GROVER: or whatever your
7	colleagues are seeing. But, you know, so that
8	could just be people make up their idea of what a
9	high risk patient is.
10	But the other point is that there are
11	some patients who are best served by not
12	operating. And this helps you make that judgment
13	with them where the risks outweigh the benefits.
14	And the other thing that was just
15	brought up here a few minutes ago is there is a
16	difference in performance, different across
17	hospitals and across regions, and it may be that
18	if your hospital is having difficulty with some
19	of these patients they ought to be referred to
20	another center. So I think we have to keep all
21	of these things in mind. And but the idea
22	perhaps of trying to develop some metrics further

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down where a patient isn't doing well, to measure 1 2 whether life is being prolonged, there's a decision -- decision-making in the post-operative 3 4 period is probably an important one I think. 5 I think we are going to DR. GUNNAR: -- just to put a time -- 9:15. 6 If we are not 7 done we are going to cut it off at 9:15 --- off And I don't want to, I don't want to 8 this. 9 present a leading question but I appreciate what 10 The STS does audits, I mean. you said. The 11 question is is that is this an answerable --- is 12 this a -- in the audit process is it possible to 13 gather this kind of reflection on cases that are 14 actually, are, you know, die in the house? Ι 15 That is -- I'm sorry -don't know. I think 16 there is a potential way to investigate this and 17 from a developer point of view, or any study 18 point of view. But I don't -- I just, I say that 19 rhetorically I guess, so anyway --20 DR. FLEISHER: Well, I think Collette 21 has yet to make a comment. 22 DR. GUNNAR: Yes.

DR. FLEISHER: And given the time, I'd 1 2 like people to send more comments. Well, yes, you and Marcia too. So if you have more comments 3 4 I think we can add them to the report or on the 5 phone call. But Collette has yet to speak so we will just leave it. 6 Thanks. Just a couple of 7 MS. PITZEN: Maybe we need to have recognition that 8 thoughts. 9 mortality measures in and of themselves are not 10 the end-all for a measuring our surgical 11 populations. And really encourage and start 12 looking at some functional status measures that 13 would be important to patients. How well can I 14 expect to be doing six months or one year out 15 from having this procedure? What is my 16 anticipated quality of life? 17 I know we've been working with a lot 18 of patient-reported outcome tools to put these 19 kind of measures in place to understanding our 20 surgical populations. DR. FLEISHER: Thanks. Really, really 21 22 short.

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DR. YATES: Well, that's got to be really important because absolutely, in a public forum, my medical center has told me take care of the patient. And that's from top down, we take care of the patient. And we have not drawn any lines in the sand.

7 I am very fully aware of other people 8 who have spoken in public forums in front of 9 large audiences saying they were drawing lines in 10 the sand at a BMI of 40, and practices in the 11 surrounding areas that have drawn lines in the 12 sand and very openly saying they are doing it 13 because of concerns over payments.

14 Directly to the mortality question, 15 since it is a registry, it is entirely possible 16 for the Society for Thoracic Surgeons to add 17 another checkbox in their registry saying the 18 patient was made DNR and allowed to pass away. 19 And that would be a way of capturing perhaps the 20 compassion of cardiac surgeons that may be out 21 there as much as -- it may alleviate the fears of 22 other societies.

1	DR. FLEISHER: That is a great idea
2	that we can ask them to address when they talk
3	about the measures.
4	Helen?
5	DR. BURSTIN: Thanks. That's a great
6	discussion and it makes me feel like we need to
7	do more of this. And one thought might be to
8	actually just go ahead and schedule a separate
9	call on this to really just have a couple-hour
10	discussion, potentially even inviting some of the
11	folks from our Palliative Care Committee to join
12	this discussion. I think there is a real
13	opportunity here to have both, you know,
14	especially more patients as well to bring that
15	point. But I thought you just captured that
16	beautifully in terms of making sure your goals
17	align.
18	I think there are some things we could
19	do. And I want to just make a couple points. I
20	think there are first of all, the CSAC did
21	weigh this appeal. It has already been completed
22	and done and approved by the board. So

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ultimately the measures were upheld because there was a sense that the benefits still exceed the risks. We have not heard any substantial data beyond the anecdote of, you know, the plural of anecdote being data. That this is a real concern.

7 But I think very much there's sense that this is something we need to monitor going 8 9 forward. And some of this relates to the fact 10 that measures have taken on higher stakes, 11 particularly the financial higher stakes. And we 12 need to recognize that the environment has 13 certainly changed over the last five years in 14 that respect.

15 So I guess one question might be, and 16 one thing perhaps to tee up for a subsequent 17 discussion is, you know, to hear from you and 18 perhaps with the palliative care folks, are there 19 ways we can help mitigate this risk? You know, 20 I've heard a couple of discussion points today. 21 Audits: what's involved in the audits? Does some 22 of this get captured? Are there ways to build

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additional items into audits that may help with that?

The other thing is, again, the point 3 4 Collette just made, it's not just 30-day 5 mortality, it's in the context of a lot of other measures that give you a sense of how overall it 6 Are there balancing measures that you 7 went. might put in place to go along with this that may 8 9 in fact show there may be something coming out in 10 a different direction that's not intended? The 11 patient-reported measures piece I think is absolutely great, both in terms of functional 12 13 status, but also the shared decision-making 14 This has been an ongoing discussion for a piece. 15 Chris, we'd very much like to think about while. 16 what we could move forward in that space. Still haven't been a lot of measures coming forward 17 18 yet, but very much hopeful. 19 And it's now a requirement in

20 Washington state that they are going to be -- if 21 any of you are from Washington -- that they are 22 going to be certifying these patient decision

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And I will be sitting on their panel in 1 aids. 2 May when they actually come up with some criteria. 3 4 So, again, I think there is some 5 movement in this direction. It's a whole lot slower than we'd like. 6 7 And, lastly, I think there are efforts around the measures itself, what you could do in 8 9 terms of the measures: exclusions, composites, 10 whatever the case may be. 11 And, lastly, to the point raised, I 12 think it was Larissa, about measure maintenance, 13 we actually are proposing next -- in just a 14 couple of weeks to our CSAC in fact a pretty 15 radical redesign of measure maintenance where we 16 de-emphasize a lot of rework, which is what it 17 feels like to us at least, and I think to the 18 committee chairs we have talked to, around, you 19 know, the constant emphasis on re-looking at 20 evidence and instead use you, as standing 21 committee, to help us attest to the fact that 22 evidence is still okay. Ask the developers, ask

you, but not necessarily spend a lot of time on 1 2 Not unless you require re-testing, unless that. you've changed, for example, a data source or a 3 level of analysis or things along those lines, 4 5 and put a lot more emphasis on asking the developers when they come back for maintenance to 6 7 really focus in on use and usefulness. Is the measure moving a needle? Is it having unintended 8 9 consequences? And how do we find out how?

10 And that's still a real issue for us. 11 And just interestingly, I spent an hour on the 12 phone with that reporter from the New York Times 13 explaining these measures to her, Collette. So 14 to Amy's point, so it is not -- this is complex 15 It's really hard to explain. stuff. So I think 16 anything we can do collectively to do that.

17 So I will just offer I would love to 18 have this conversation continue. If we'd like to 19 do it offline not to disrupt your flow, but 20 offline and perhaps even involve some of the 21 palliative care folks, I think that would be a 22 great way to further this discussion because it's

going to keep coming up again and again and 1 2 again. So thanks. 3 DR. FLEISHER: As always, very 4 thoughtful. And that was after I spent 45 5 minutes with the reporter, so --So how many people would be willing to 6 7 have that phone call attributed to this? I think it's unanimous, Andrew. 8 9 Helen, if you can work, and Marcia, 10 with our palliative care colleagues to invite 11 them to our call. 12 What I would actually suggest, given 13 that A.J. and others have already started 14 proposing ways to mitigate these risks is can we 15 create a sandbox, Juliet and Andrew, of ideas for 16 discussion on the call because I think that would 17 facilitate, rather than just having an open call. 18 We can talk about some of these ideas, put them into buckets. And then we can push out and 19 20 really have maybe even lead discussions on 21 certain ideas and whether they would work. And we'll work with Marcia and Helen. 22

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1 DR. GUNNAR: Can we work out, reach 2 out to the appellants, to the people who -- and have them participate as well? 3 4 DR. TEMPLE: It also might be helpful 5 to have the patients' family center group as well, especially since we're talking about these 6 7 issues. DR. FLEISHER: Fantastic. 8 I'm sure 9 that will be well-appreciated by CSAC and the 10 board to approach this so thoughtfully. Should we start? 11 12 MR. LYZENGA: So in the interest of 13 sort of time and efficiency today, and given that 14 just about all of the STS measures have very 15 consistent methodology across them, particularly 16 in terms of the way the data is collected so, you 17 know, a lot of the -- we sort of, you know, 18 determined that a lot of these issues, or 19 specifically around feasibility and usability are 20 probably pretty similar across the measures, if 21 not exactly the same. So we are going to try to kind of reduce the amount of voting that we have 22

1	to do by we will go through the first measure and
2	we will take a vote. And then for the remaining
3	measures on the day I think for feasibility and
4	usability we are just going to ask you to give us
5	an okay if we can just carry over that first vote
6	for the rest of the measures for each one.
7	And then we will there are some
8	differences related to the scientific
9	acceptability of the evidence for each measure,
10	so we will have to go through each of those. But
11	we are going to try to kind of run through the
12	others.
13	So does anybody have any comments or
14	questions on that?
15	DR. FLEISHER: Amy?
16	MS. MOYER: I felt like the first one
17	was a little different from the rest since it was
18	kind of a structural measure versus the rest were
19	more process and open.
20	MR. LYZENGA: That is true. Maybe we
21	should instead of the first one have the second
22	measure carry over. So we'll go through the

first one, second one and then carry over. 1 2 DR. FLEISHER: Great. 3 MR. LYZENGA: Thanks, Amy, that's a 4 good point. 5 DR. FLEISHER: So I guess Robert and -- okay, let's have the status. 6 7 MR. LYZENGA: Yes. DR. FLEISHER: Are there first 8 9 discussions? Correct? Yes, okay, 3-minute 10 presentation on the measure. 11 DR. JACOBS: So which measure are we doing, just so I know? 12 13 So my name is Jeff Jacobs. I am up here with Max. And Max is a statistician from 14 15 DCRI and I am a heart surgeon at Johns Hopkins, 16 also The Heart Institute in St. Pete, Florida. 17 And from 2006 to 2014 I chaired the STS 18 Congenital Heart Surgery Database. 19 DR. FLEISHER: And can you mention who 20 else is in the room? 21 DR. JACOBS: So in the back we have 22 Dave Shahian who is the Chair of the STS National

Database Work Force and a member of the board of 1 2 And we have Jane Han who is the coordinator NQF. of a huge amount of the work that we do with the 3 4 STS database. And we also have -- she stepped 5 out for a minute -- but we have Jamie Yap who is also STS staff working with us. 6 7 DR. FLEISHER: Thanks. If you want to give a quick introduction of the first measure? 8 9 DR. JACOBS: Sure. So we are going to 10 -- we are starting with surgical volume for 11 pediatric and general heart surgery stratified by 12 the STAT categories. 13 Right, so the first three measures on 14 this list come from the STS Congenital Heart 15 Surgery Database. A brief introduction to the 16 STS Congenital Heart Surgery Database is that we 17 know from manpower studies that 125 hospitals in 18 the United States perform pediatric heart 19 surgery, and currently 120 of those hospitals are 20 submitting data to the STS database. So that's a 21 penetrance of over 95 percent.

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And we know that the data in the

1 database is quite good from a rigorous audit
2 process where 10 percent of the sites are audited
3 with site visits every year. And the results of
4 that audit shows that the data is quite good and
5 believable, and especially when it comes to data
6 that goes into our risk models and into diagnosis
7 procedure and mortality.

The first measure is surgical volume 8 9 stratified by five -- what we now call STAT 10 categories which are STS EACTS congenital heart 11 surgery mortality categories. And what the STAT 12 categories are, I guess to understand that the 13 first thing is to take a step back and think of 14 pediatric heart surgery in comparison to adult 15 heart surgery. With adult heart surgery if you 16 think about coronary artery bypass grafting, 17 aortic valve replacement and mitral valve 18 replacement and combinations of those, that's 19 going to get 80 to 90 percent of the adult heart 20 surgery, even more at some institutions. 21 In pediatric heart surgery, to capture

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80 to 90 percent of the operations one would need

1 to include about 125 different types of 2 operations that have a tremendous range in 3 complexity and range in expected outcomes. So 4 rather than initially developing risk models for 5 each individual operation, a strategy was evolved 6 to group operations into categories that were 8 similar in overall operative risk.

And initially these categories were 8 9 developed based on expert opinion where a group 10 of surgeons and cardiologists sat around at a 11 table, looked at all the different operations, 12 and grouped operations into category 1, 2, 3, 4, 13 5. The STAT categories are different because 14 they are developed based on objective data of an 15 analysis of 77,000 operations in the STS and 16 EACTS databases. And this allowed us to group 17 all 100-plus operations into five categories of 18 increasing complexity.

19Category 1 operations there is a less20than 1 percent chance of dying before going home.21Category 5 operations it's about a 2022percent or one in five chance of dying before

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going home.

2 And this first measure is a measure 3 that asks if a given hospital can capture all the operations that they do and stratify them into 4 5 the five STAT categories. 6 MR. LYZENGA: Dr. Sawin, are you on 7 the phone? DR. SAWIN: I'm a little bit 8 I am. 9 stymied here because I can't get into the 10 website, so --11 MR. LYZENGA: Do you want to get us 12 started, Dr. Markman? 13 DR. MARKMAN: Okay. This is measure 14 0732. It's surgical volume of pediatric and 15 congenital heart surgery. And as Dr. Jacobs 16 eloquently mentioned, it's a relatively new 17 measure. It was -- original endorsement was in 18 November of 2011. And it is a structural measure 19 type. 20 And the recommendation from the committee in terms of the evidence was that it 21 22 should be paired, because of the volume, with

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another measure. And in terms of the evidence, 1 2 my question to Dr. Jacobs is with the pairing has it improved in terms of the evidence? 3 Are you 4 familiar with the pairing of these? Right, so --5 DR. JACOBS: Yes. So how is that going? 6 DR. MARKMAN: -- we're going to discuss 7 DR. JACOBS: this measure later with a companion measure which 8 9 talks about operative mortality stratified by 10 these five categories. And obviously that's 11 going to be a topic that we'll discuss in the 12 future. 13 But the question on the table is has 14 results improved? And Max was able to run some 15 analyses over the past week where we looked at 16 outcome stratified by the STAT categories on an 17 annual basis going from 1998 up until 2014. And 18 there's a very impressive decline in discharge 19 mortality and operative mortality across all five 20 categories, most notable in the most complex category, Category 5, on a year by year basis. 21 22 And we also stratified that analysis

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into 4-year analytic windows. And both looking 1 2 at 4-year analytic windows and in an annual 3 basis, operative mortality has declined across each of the STAT categories, most significantly 4 5 in the highest level. DR. FLEISHER: Rick? 6 7 DR. DUTTON: Just a dumb question to What is pediatric and congenital surgery? 8 start. 9 DR. JACOBS: Right. That's a great 10 question. 11 DR. DUTTON: So how old do you have to 12 be or not be or yes --13 DR. JACOBS: Right. So what we 14 capture in the STS Congenital Heart Surgery 15 Database is pediatric and congenital heart 16 surgery. And what we use to define that is the 17 concept of pediatric and congenital cardiac 18 disease. 19 So pediatric cardiac disease is any 20 disease of the heart that exists in a patient 21 under the age of 18. So that includes anything 22 from being born with a hole in the heart to being

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stabbed in the heart.

2 DR. DUTTON: Okay. 3 DR. JACOBS: And so congenital or 4 acquired.

5 Now, congenital heart disease is 6 something you are born with. And that's any 7 disease that you are born with at any age. So in 8 our database we capture all acquired disease in 9 patients less than the age of 18 and we capture 10 congenital disease at any age.

11 So, for example, a patient at the age 12 of one year has a repair of Tetralogy of Fallot 13 and then comes back at the age of 22 for a 14 pulmonary valve insertion and right ventricular 15 outflow tract reconstruction, that's an operation 16 that most commonly is done by a congenital heart 17 surgeon and ends up in the STS Congenital Heart 18 Surgery Database. 19 DR. DUTTON: Perfect. Thank you.

21 transplant for my --- as a result of my 22 congenital heart disease at any age is that

DR. GUNNAR:

If I have a heart

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included as well? 1 2 DR. JACOBS: Yes. Kelsey, did you have a 3 DR. FLEISHER: 4 5 MS. MCCARTY: Oh. No. 6 DR. FLEISHER: Okay. Any other 7 comments before we vote on evidence? 8 DR. EREKSON: I'm sorry, I'm not as 9 familiar. But in general I think my impression 10 is that congenital and pediatric cardiac surgery 11 is not necessarily elective, that you are not 12 going to be offering these patients non-surgical 13 treatments. Is that correct? 14 Well, I'd say that's a DR. JACOBS: 15 great question. For some of the most complex 16 forms of congenital heart disease that require 17 surgery in the first weeks of life, and without 18 surgery there is no chance of survival, some of 19 those with the highest risk, prior to operating, 20 it's not uncommon to have a conference with the 21 family and say, Okay, without surgery the chances 22 of survival are essentially zero, with surgery

1 the chances of survival are 50 percent. And a 2 discussion takes place as to whether or not the 3 family is willing to take on these very high 4 risks for that operation.

5 So there are a very small subset of 6 patients who are treated non-operatively with 7 comfort care and they don't survive. That's less 8 and less common as results are improving, but it 9 does happen.

DR. EREKSON: And I guess this gets to In this specific case these are not necessarily procedures where people would be gaming the system and pushing patients towards surgical treatment. At least it seems like that. Are you monitoring for those types of --

16 DR. JACOBS: Well, I think any measure 17 is subject to gaming. That's a fact. But I 18 think it's probably not so easy to sit down with 19 a mother and a father with a sick little baby and 20 do anything but what's best for that little baby. 21 And the database has a fairly intense audit 22 process that covers a variety of domains of

what's in the database, but I think that the 1 2 likelihood of counseling a mother and a father to do anything but what's best for the baby is 3 4 pretty close to zero. 5 Just one more question. MR. MARKMAN: I noted in here that are you collaborating with 6 7 the European association? What percentage of that contributes toward your database? 8 9 DR. JACOBS: Right. So the STS 10 Congenital Heart Surgery Database is purely 11 hospitals in the United States and Canada. We 12 also receive some data from a few other countries 13 that they use for their internal work, but when 14 we do an analysis of the STS aggregate data it's 15 only United States and Canada. 16 The EACTS database is a separate 17 database that's maintained in Warsaw, Poland. 18 And that database has the same exact fields, same 19 exact data definitions, and it's basically a 20 sister database. 21 When we do our benchmarking within 22 North America it's only based on North American

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data. But when we do research projects on rare lesions we will pool our data with the European data.

4 DR. HANDY: I'm not sure this is the 5 right time to ask but since your application states that the relationship between surgical 6 7 volume for pediatric congenital heart surgery at a center and quality care is unclear and 8 9 controversial, why is the STS promoting this 10 structural measure when you already have two 11 outcome measures which take into account more or 12 less the same thing, not the volume but you're 13 tying the mortality to the volume --14 DR. JACOBS: Right. 15 DR. HANDY: -- for the STAT 16 categories? 17 DR. JACOBS: Well, nowhere in this 18 measure do we say that more volume is a sign of 19 high quality. That's not the argument for this 20 But we have published several papers measure. 21 using the STS Congenital Heart Surgery Database 22 that shows that there is some form of

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relationship between volume and outcome that's 1 2 more amplified at high-complexity operations. And there's dozens of papers that have 3 If someone asked me to 4 been written about this. 5 summarize them I would say that on the whole, evidence shows that high volume centers tend to 6 7 perform better, especially with more complex But there's plenty of low volume 8 operations. 9 centers that achieve excellent results. That's a 10 two sentence summary of the volume outcome 11 relationship in congenital heart surgery fusing 12 together multiple papers.

13 Now, this measure is not being put 14 forth as a measure to say that volume is a 15 surrogate for quality, this measure is being put 16 forth that programs that have the ability to 17 capture data and stratify them by these five STAT 18 categories have in place a mechanism to track 19 outcomes. And that activity of being able to 20 capture all of your operations, put them into 21 buckets of complexity and get them into a 22 database, that we believe is a sign of quality

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because you're measuring.

2 DR. FLEISHER: Just a quick question 3 then, Robert.

Did you have a follow-up?

5 DR. HANDY: Yes. I mean is that 6 necessary, considering you have 95 percent 7 penetrance in the STS database which is more 8 granular than this?

9 DR. JACOBS: Yes, so that's a great 10 question. So when this measure was put forth the 11 penetrance was a lot less than 95 percent. When 12 we put forth this measure the first time it was 13 probably 60 or 70 percent. Now it's 95 percent. 14 This measure is one of the facts that helped 15 increase that penetrance.

16 It's not unusual for a surgeon to sit 17 in a meeting room with some hospital middle 18 managers that are asking for justification about 19 why they should invest the resources necessary to 20 capture all these operations and stratify them 21 into these categories of complexity. And when 22 one says, well, this is an NQF-endorsed measure,

that is very helpful to getting them to write the 1 2 check to pay for the resources to capture the data. 3 4 DR. HANDY: Maybe you just started 5 answering the performance gap question. And remember that we do 6 DR. FLEISHER: 7 have with performance gap, reserve status, which this committee used frequently to say the 8 9 evidence exists but the gap does not but we 10 thought it was an important measure. 11 Robert? 12 DR. CIMA: Yes. That was going to be 13 my question that John followed up on is that 14 yesterday we had this discussion about pairing 15 volume and mortality with esophagectomy and we 16 actually did away with one of them and accepted 17 the other. You know, this is basically a measure 18 that says we measure. And you have other 19 measures that, as John points out, directly 20 related to this that are actual outcomes. And 21 the evidence, as Dr. Jacobs said, is not as clear 22 as it is with an esophagectomy. And so I'm just,

you know, again trying to simplify and look for
 value in a measure.

3	I mean if you are looking for outcomes
4	you have pediatric outcomes. And I know we have
5	discussed this before. I'm not sure the NQF
6	should be in the fact of endorsing measures so
7	that it makes business sense for people to join a
8	registry. And I'm just saying what value does
9	this add?
10	DR. FLEISHER: Okay. We want to stay
11	with evidence. We also want, the one question
12	is, is this, is STS saying this is paired, such
13	that if one goes down, and we have Helen here and
14	Marcia.
15	If one goes down, the other goes down,
16	or is this a standalone measure because we had
17	DR. BURSTIN: Standalone.
18	DR. FLEISHER: This is a standalone?
19	DR. BURSTIN: I mean if they're paired
20	and one goes down, I guess the question for the
21	committee remaining would be is the other as a
22	standalone sufficient to keep it going.

So I, the comments that 1 DR. JACOBS: I wanted to make is that even though the 2 penetrance is down 95 percent. If one is a 3 4 mother or a father who's bringing a baby to a 5 hospital, it's in the 5 percent that's not this. That's a big deal, and I think 6 7 encouraging the remaining 5 percent to meet the gap, that's a good thing. 8 9 I also think that removing this 10 measure could have some unintended consequences 11 as well because we're in an environment of very 12 limited healthcare resources. And there's middle 13 managers in every hospital that are trying to do 14 their job better by cutting the budget. 15 And when this, if this measure were to 16 be removed, this gives a tool for a middle 17 manager to limit the support of the database and 18 the data collection enterprise by saying NQF just 19 removed endorsement of this measure. Therefore, 20 we don't need to provide the support to collect 21 the data anymore. I think we should be careful. 22 DR. FLEISHER: Let me be careful also.

When we put on reserve, it's still endorsed. 1 2 It's just in reserved status for the committee. Evidence only because I want to vote. Evidence, 3 4 Larry? 5 DR. MOSS: I think this is evidence. As someone who's lived in the world of children's 6 7 survey since before the STS database existed, this model has been extraordinarily valuable. 8 9 And it's transformed the field. And 10 you can qualify for this measure just by showing 11 up, but I would vigorously argue against this 12 going away. I mean it has worked. It is 13 working, and it's going to continue to work. 14 DR. FLEISHER: So that's endorsement 15 evidence. 16 MS. PITZEN: I just have a question. 17 I didn't hear paired or standalone. This 18 measure, is it standalone? 19 This measure has been MS. MURPHY: 20 identified as paired. 21 MS. PITZEN: What is it paired with? 22 MS. MURPHY: It's paired with a

1 mortality measure. 2 MS. PITZEN: I see. DR. FLEISHER: So what does that mean 3 4 for us as we vote? 5 MS. MURPHY: What is has meant in conversations of committee and in endorsement 6 7 practice over time is that the position has been that a volume measure alone cannot stand alone. 8 9 There should be something with it, and 10 that has traditionally been a mortality measure. 11 So the sense has been that a volume measure would 12 not be endorsed alone. 13 And I think yesterday what happened 14 was that the measure that went down was the 15 mortality measure. So then that would have left 16 a volume measure alone. So it took down the 17 volume measure with it. 18 DR. GUNNAR: The other way around. 19 MS. MURPHY: They both went down. 20 DR. GUNNAR: They retracted them when 21 they admitted that they were paired. 22 DR. FLEISHER: They are going to try

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to come back with a combined measure.

2 DR. JACOBS: So when these measures went through the cycle of endorsement the first 3 4 time around, the reason, one of the arguments in 5 favor of both of these is kind of a subtle argument but an important argument. 6 This measure talks about tracking 7 surgical volume for all pediatric and congenital 8 9 heart surgery and pediatric and congenital heart 10 surgery stratified by STAT categories. 11 The mortality measure that we'll talk 12 about later just talks about tracking mortality 13 stratified by STAT categories. And that subtle difference deals with the fact that about 97-98 14 15 percent of operations are classifiable by the 16 STAT categories. 17 Then there's 2 to 3 percent of 18 operations that are not classifiable by the STAT 19 system because they're performed rarely, and 20 there's no data to put them into the STAT system. 21 So this measure, the volume measure, 22 required a program to not only keep track of the

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volume for all their STAT categories but also the 1 2 volume of everything that they do, whereas the 3 second measure only looked at mortality 4 stratified by STAT categories because the overall 5 volume, the overall mortality is really not quite as meaningful because it varies so much based on 6 7 case mix. Is that an operational 8 DR. CIMA: 9 I just want to know for this. issue? If you're 10 a member of the STS, and you're a children's 11 hospital, you would put in all your cases no 12 matter what. And then does the STS do this in 13 the background? 14 That's a great question. DR. JACOBS: 15 So this measure is written to be independent of 16 whether or not one participates in the STS 17 database. 18 So this measure is written that any 19 program can do it independently or as part of the 20 STS database. So if one is at a hospital that's

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participating in the STS database, one could

still track all your outcomes, track all your

outcomes stratified by STAT categories and comply.

3 But those hospitals that participate 4 in the STS database, which is now 95 percent, 5 they'll send all their data to STS with the procedure of every operation and their 6 7 classification of which STAT category it would be STS, at the level of DCRI, goes through all 8 in. 9 those procedures and assures that the STAT 10 categorization is uniformly applied across all 11 centers. 12 So it's done both at the individual 13 hospital and at DCRI. And when DCRI, Duke 14 Clinical Research Institute, does multi-15 institutional outcomes analysis, they do that 16 analysis based on cleansed and adjudicated data 17 to assure that the STAT categorization's applied 18 uniformly across centers. 19 DR. FLEISHER: So let's vote on 20 evidence. And we will get to the issue of what we do with the measure itself. So please vote. 21 22 All right, go ahead, voting on evidence. We have

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a couple more votes, just real quickly. 1 Let's 2 call it. 3 MR. LYZENGA: We've got 45 percent 4 high, 35 percent moderate, 20 percent low, zero 5 insufficient and one abstention. So the measure passes on evidence, and we can move to 6 7 performance gap. 8 DR. FLEISHER: So Robert, do you want 9 to comment? Are you in yet? 10 DR. SAWIN: Yes, so as mentioned, the 11 penetrance of this measure is already high. Ι 12 think we just had the discussion about, from Dr. 13 Jacobs about the potential value of getting that 14 last 5 percent involved. 15 DR. FLEISHER: Other comments on the 16 performance gap? 17 DR. MARKMAN: Yes, I think the 18 performance gap, after you explained it Dr. 19 Jacobs, I mean it's almost inherent in the 20 complexity of what you're doing in terms of the 21 STAT categories. 22 And I still believe that there is a

performance gap and that you have moved the needle, according to what you were status, with your status. And you can move it more, and I think that because of the complexity of what you're doing, I still believe that there is a performance gap.

7 DR. JACOBS: I agree completely. If 8 one's child is having surgery at a hospital 9 that's in the 5 percent that's not doing this, 10 that's a sub-optimal setup.

I think that in and of itself is a performance gap. I think that this measure has been one of many reasons why the numbers went from 30 percent to 50 percent to now 95 percent penetrance.

And I do believe that withdrawing this
measure or retiring this measure could
potentially have an unintended consequence of
decreasing the funding to support the enterprise.
DR. FLEISHER: We shouldn't -DR. JACOBS: I mean I used the wrong
word because I couldn't think of the right word.

1 DR. FLEISHER: But no, not the reserve 2 status but --DR. JACOBS: That's what I was looking 3 4 for. 5 DR. FLEISHER: We shouldn't, the funding for the enterprise should be different. 6 The question that I think Helen and I were 7 discussing is if this measure goes away, but the 8 9 other measure stands, is the measures together 10 weaker --11 DR. JACOBS: Yes. 12 DR. FLEISHER: -- in the way we report 13 it to the public, because you're saying it's 14 paired. 15 Right, I think to answer DR. JACOBS: 16 the question, is the measure weaker, yes. It's weaker because if we only had the mortality 17 18 measure, we would not know the answer of the 19 overall programmatic volume because the mortality 20 measure just stratifies mortality by five STAT 21 categories. 22 This measure has volume by five STAT
1 categories and overall volume.

2 DR. FLEISHER: So how should we think 3 about this as we vote?

DR. BURSTIN: I guess, I'm sorry, just coming in late to this conversation. But it sounds like high penetration is not necessarily a bad thing. You'd like to, in fact, have many centers participating so you've actually got data from 95 percent of facilities on volume.

I guess one question might be, is there a reason beyond having the risk-adjusted mortality by, stratified by category in which volume is incorporated, is there an additional benefit to having volume paired with this measure? And I guess one question might be from a consumer, purchaser perspective.

Would it be useful to know volume along with mortality, not in and of itself, but if it's a paired measure and you get both, I would think a fair number of consumers would like to see this, institution of this, a lot of my procedure, just as a thought.

1	DR. JACOBS: I would agree with that.
2	This is a publically reported measure. If you go
3	to the STS website, this information is on the
4	STS website as a publically reported measure.
5	And I think one way to say this is
6	imagine you're a mother, and you have a little
7	baby that needs a complex operation. And if you
8	knew that the mortality of a given center was 18
9	percent for that complex operation, that would be
10	useful.
11	Now if you also knew that the
12	mortality for that center was 18 percent, but
13	they had only done ten operations in the last
14	four years, eleven operations in the last four
15	years and another center that had the same
16	mortality but did, had done 150, that's useful
17	information for that mother and father to know.
18	So I think that it's publically
19	reported, and it does provide useful information.
20	MS. MURPHY: I have a question. I
21	thought from the submission there was information
22	that said that volume is not publically reported,

that that particular parameter is not publically 1 2 reported. On the STS website, we 3 DR. JACOBS: 4 report the number of operations done and the 5 mortality for all the STAT categories. Do you run the risk of 6 DR. GUNNAR: 7 limiting access to care where small volume centers could be providing excellent care? 8 9 DR. JACOBS: Well, I think I would 10 agree that there's several small volume centers 11 that do provide excellent care. And there's also 12 some small volume centers that are outliers on 13 the side of low performance. We know that. 14 I think that there's, best as I know, 15 nowhere in the United States that a little baby 16 has a hole in the heart and needs heart surgery, 17 that they're not going to be able to get it. 18 There's not limited access. There's 19 countries where that exists. When Fred Grover or 20 when I go to Jamaica, there's places where a baby 21 has a hole in the heart, and they don't get it 22 fixed.

But there's no such thing as that not happening in the United States. There's always a way for a little baby who has a pediatric heart problem to end up in a heart center and have it, have the operation done.

When you're in a state like North 6 7 Dakota or South Dakota, one might have to travel a little bit further. But probably it makes 8 9 sense to travel a little further to go to a 10 center that does a reasonable amount of heart 11 surgery than to have it done in a place that only 12 does maybe one pediatric heart operation a month, 13 which is what a state like North Dakota might 14 generate.

DR. FLEISHER: Barbara, Amy.

DR. LEVY: So I just think we need to be thinking about this category, and maybe it's outlived its purpose in some ways. Performance gap is all about quality improvement.

20 But these measures are used for much 21 more than quality improvement as Jeff has been 22 talking to us about. They're for public

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They're for patient decision making. 1 reporting. 2 They're for a lot of other purposes, 3 and so I think when we're talking about retiring 4 a measure because the performance gap has been 5 improved to the point where we don't see a lot of quality improvement opportunity in the measure, I 6 7 think we've limited ourselves. And we need to broaden, perhaps, this voting metric that we look 8 9 at as a committee. 10 So Helen are we allowed DR. FLEISHER: 11 to, or Marcia, are we allowed to say not 12 applicable? I mean because I think what you're 13 suggesting, Barbara, is it's hard to put it into 14 that list. 15 I think if you can explain DR. LEVY: 16 it, that's great. 17 DR. JACOBS: Can I make a slight 18 clarification, too, because it was brought up that the measure submission form says that volume 19 20 is not publically reported? 21 And I've just received a message that 22 that's an error, and volume is publically

reported. And that was just an error in the 1 2 measure submission form, which I think is 3 understandable because this is the book of all 4 our measure submission forms. 5 DR. FLEISHER: Okay. If you can send an addendum. I think that's probably our only 6 7 error. DR. SAIGAL: Can I ask a question? 8 9 Maybe what we could do is have, if a measure 10 doesn't have a performance gap, but it still has, 11 you know, and in a usability area, it's still 12 important for consumer decision making or 13 purchaser decision making, you can still pass. 14 DR. FLEISHER: Andrew, how do you want 15 to do this? 16 MR. LYZENGA: I think we have enough 17 discussion to go and address this issue. 18 DR. FLEISHER: Yes. So are we making 19 the determination that we do not need to vote on 20 performance gap? Is that --21 DR. BURSTIN: I'm sorry. I'm still 22 having sidebars here with my methodologist. I'm

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not sure I think this is the gap issue. 1 2 Performance gap really is intended to say, is there variation in performance, or is 3 4 there significant performance gap. It's not the 5 same thing as seeing that a lot of institutions are doing it. 6 7 So I guess the question might be, is there still a gap, i.e. there is variation in 8 9 volume across these institutions, such that this 10 information is useful. 11 I mean we should talk about the 12 content of the measure. I think we're getting 13 confused about the 95 percent number, which in 14 the past, some of the structural measures we have 15 seen from STS -- and we've had plenty of debates 16 about this as Dave Shahian knows well --17 participation in pediatric cardiac surgery 18 database should be a different story. 19 If that's topped out, you could say 20 sure, I'll look at the mortality measure. Ι 21 think in this instance it's a different measure. 22 It's not, 95 percent doesn't reflect performance.

It reflects submission of data, and if the 1 2 measure is publically reported, that's really, I think, the issue. So I'm not sure there's 3 4 actually a gap question. 5 So just by a show of DR. FLEISHER: hands, are people comfortable that when they 6 7 vote, they will vote on Helen's definition of performance gap, which we will put into the body 8 9 of the report that that's how we approached this? 10 DR. DUTTON: So the gap is that 11 there's a variability between --12 DR. FLEISHER: Yes, that there's a 13 gap, that there's variability. 14 DR. DUTTON: Between facility volume, 15 okay. 16 DR. FLEISHER: Anybody uncomfortable 17 voting for that? So let's vote --18 DR. BURSTIN: They're waving their 19 voting wand. 20 DR. FLEISHER: Okay. Let's vote based 21 upon that, and we will put clearly in the 22 document what this vote meant, rather than doing

not a

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not applicable.

2 MR. LYZENGA: Okay. Voting on
3 performance gap.
4 MALE PARTICIPANT: So we're just

recognizing that there's variability?

DR. BURSTIN: Yes, that's one of thedefinitions of gap.

8 MALE PARTICIPANT: And that's how we 9 defined it.

10 MR. LYZENGA: All right, so we have 50 11 percent high, 40 percent moderate, 10 percent 12 low, zero insufficient. The measure passes on 13 performance gap. We will go on to reliability 14 now. I'm sorry -- yes, reliability.

DR. SAWIN: So I think as presented, this data is very reliable. It's audited by the STS. I don't think there's any question that it's high quality data and highly valued by congenital heart surgeons who participate in it. MR. LYZENGA: Any other comments on

21 reliability? Does not sound like it. Let's go
22 ahead and vote. Okay, if we can have the vote.

79 percent high, 21 percent moderate, zero low, 1 2 zero insufficient. Measure passes on reliability. We'll 3 4 move to validity. Dr. Sawin, or anybody else 5 have any comments on validity? 6 DR. SAWIN: I'm sorry. I was on mute. The validity has also been well established. 7 Again, the data is audited, and the data 8 9 abstractors are well trained. So it's a given 10 that it's high validity. 11 DR. FLEISHER: So going forward for 12 validity of any of the database measures, we will 13 ask prior to voting. And if --- are you okay 14 with that? And if anyone agrees that we should 15 vote separately for validity on any measure. 16 MR. LYZENGA: I think validity is one 17 that we want to continue to do votes for. 18 DR. FLEISHER: Okay. 19 MR. LYZENGA: Reliability may be a 20 different question because it seems like the 21 audit process is what's being referred to here, 22 and that's consistent across the measures.

I don't know. Can we have some, what 1 2 do you think about that? Melinda, Karen? FEMALE PARTICIPANT: Scientific 3 4 acceptability --5 MR. LYZENGA: It's the scientific acceptability as a whole we're going to --6 (Simultaneous speaking) 7 You can reliably 8 MALE PARTICIPANT: 9 measure. If they have it measured, doesn't mean 10 it's valid. 11 MR. LYZENGA: Right. Yes, we'll 12 definitely do validity. 13 DR. FLEISHER: So validity we'll vote. 14 Please vote. Amy, do you want to hold the vote? 15 Amy and Collette? 16 MS. MOYER: So I'm reading what's on 17 the screen in front of me, and it says one of 18 the things we're supposed to be looking at is 19 that the measure score correctly reflects the 20 quality of care provided. 21 And it sounded to me like that was 22 unclear, that this volume necessarily reflected a

difference in quality of care. I mean that was 1 2 pretty much what was said. I'm still a little boggled by the 3 4 votes results on the evidence. I have to be 5 honest. So what I would say 6 DR. JACOBS: 7 again, to summarize the data about the volume outcome relationship, most people will feel that 8 9 quality of care at pediatric cardiac programs is 10 higher at high volume centers. 11 That difference is especially notable 12 in the most complex of operations. There's 13 plenty of papers published that support those two 14 facts. But the caveat is that excellent care is 15 delivered at some low volume programs. So one 16 cannot say that all low volume programs are not 17 good because some low volume programs are 18 absolutely fine. 19 It's just that if we're going to find 20 a low performance outlier, it's more likely to be 21 in a low volume program than in a high volume 22 program.

That's, I think, the summary of the 1 2 evidence of why volume is associated, at least in some important ways, with outcome. 3 4 DR. SAIGAL: Can I mention, yesterday 5 we voted on a measure that had no evidence. We specifically said that there was no evidence for 6 7 the measure. It was a thought process, and we So to be consistent --8 approved it. 9 MR. LYZENGA: That was for an outcome 10 There are different requirements for an measure. 11 outcome measure as opposed to a structure, 12 process or intermediate outcome. 13 DR. SAIGAL: Okay, but still --14 MR. LYZENGA: We do require a higher 15 sort of level of evidence for a process or 16 structure measure. 17 DR. FLEISHER: All right, Collette. 18 MS. PITZEN: Sorry. I just have a 19 technical question. In terms of validating that 20 the results are accurate, what kind of checks and 21 balances are in place for determining that the 22 volumes that the groups are reporting are

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accurate.

2 DR. JACOBS: So that's a great 3 question, and I think we could address that now, 4 and it'll apply to every measure we talk about 5 for the rest of today. Ten percent of the sites in the STS 6 7 database are randomly selected for audit on an annual basis. This applies to the congenital 8 9 heart surgery database and adult cardiac surgery 10 database. 11 That audit includes reviewing the 12 operative log of the hospital to see how many 13 cases were done and reviewing the database to see 14 that all those cases were actually put into the 15 database. 16 So that's one component of a much 17 larger picture of the audit. But to answer your question, that -- I think that answers your 18 19 question. 20 MS. PITZEN: Perfect. Thanks. It 21 just wasn't part of the application. 22 MR. LYZENGA: Shall we vote? We're

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1	voting on validity now, 60 percent high, 30
2	percent moderate, 10 percent low, zero
3	insufficient. The measure passes on validity.
4	So we'll go ahead to feasibility.
5	DR. FLEISHER: Robert?
6	DR. SAWIN: Like all the registries,
7	there is the expense issue, the FTE involved in
8	having the clinical data abstractor. The fees
9	are relatively moderate at four to five thousand
10	dollars. But overall, I think the feasibility is
11	reasonable.
12	MR. LYZENGA: Any additional comments
13	or questions on feasibility? All right, let's go
14	ahead and vote, 71 percent high, 29 percent, and
15	zero low, zero insufficient. The measure passes
16	on feasibility. Go ahead to usability.
17	DR. SAWIN: So I just wanted to
18	clarify from Dr. Jacobs. So this data is
19	publically reported?
20	DR. JACOBS: Yes.
21	DR. SAWIN: So I think usability is
22	also good in both consumers and providers can

access the data. I'm not aware of any, other 1 2 than the issues we talked about earlier with 30 day mortality, I'm not aware of any unintended 3 4 consequences. Again, the audit process here is 5 very rigorous. Just a quick question 6 DR. MARKMAN: 7 for Dr. Jacobs. I'm not, on your site, how in depth do you go into these STAT categories for 8 9 the public? 10 So I could demonstrate. DR. JACOBS: 11 I can either demonstrate or just describe it 12 because the site's up and running. They were 13 just looking at it over here. 14 But basically, for any program, you'll 15 get a table that has for rows, overall 16 programmatic volume STAT category one, two, three 17 four and five. 18 And the numerator will be patients who 19 met the definition of operative mortality. The 20 denominator will be the overall programmatic 21 volume for that category. 22 And then a percentage will be reported

for what the mortality is. And then after that, 1 2 there's an O/E ratio and a risk adjusted mortality that's calculated. 3 4 DR. MARKMAN: Do you explain in 5 layman's terms the difference in the stat? Yes, absolutely. 6 DR. JACOBS: So what 7 we did was when we wrote this website and the entire STS public reporting website, our team was 8 9 assigned the task of putting explanatory text on 10 the website that could be understood by somebody 11 with a fifth grade education. 12 And I think we've done a pretty good 13 job of achieving that goal. 14 DR. CIMA: Just for public record, Dr. 15 Jacobs and I were talking offline. I just want 16 to make sure how they define public reporting in 17 STS --18 DR. JACOBS: Right. 19 DR. CIMA: -- because it's not 20 necessarily what everyone thinks. 21 DR. JACOBS: Yes, I don't think this 22 is news because this is the way the adult cardiac

surgery public reporting initiative works as well.

First, the program needs to choose whether or not to participate in the database. So that's optional. There's no mandatory rule that we can require people to participate in the database.

But we know that in the adult 8 9 database, the penetration is over 90 percent and 10 the congenital database, 95 percent. Then, once 11 in the database, one has to decide whether or not 12 they're willing to publically report. And not 13 all programs that participate in the database 14 publically report, but what we have found is that 15 each year, more and more programs are opting into 16 public reporting.

17DR. FLEISHER: Yes, Melissa?18MS. THOMASON: So I am the patient19voice on this board. First of all, I want to20commend you. The entire time you've spoke of21keeping it into the perspective of real lives,22real patients.

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1	And thank you so much. I'm really
2	interested in the information you're putting out
3	there publically, and just really want to know if
4	you know how often patients are accessing your
5	information. Do you guys have numbers with that?
6	And how do you disseminate this
7	information? So how does the public know that
8	this is even available for their use?
9	DR. JACOBS: Right, so those are also
10	some very good questions. First of all, off the
11	top of my head, I do not know the number of
12	people who have accessed the website, but would
13	clearly be very easy information to obtain.
14	Regarding how we share this
15	information, if we focus first on the congenital
16	database, where public reporting is a new thing,
17	we rolled out public reporting in the congenital
18	database in January of 2015. So we've just
19	started it in the congenital database.
20	We've partnered with several advocacy
21	groups that are parent advocacy groups. There's
22	Pediatric Congenital Heart Association

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especially. And they are actively helping us 1 2 wordsmith some of the text that describes the information so that we can really be sure that 3 we're meeting our goal of explaining at the level 4 5 of a fifth grade education. They are also in the process of 6 putting together their own website that explains 7 it in even more detail, and they're talking about 8 9 having some oral video presentations describing 10 it. 11 And this group, which is basically 12 parents of children who have had heart surgery or 13 adults who have had heart surgery as children, 14 this group is working on all of this. 15 And there's several STS members that 16 are on a committee that are helping them create 17 even more educational information. In fact, we 18 just had a phone conference Tuesday night about 19 this very topic. 20 So we're working to get the information out in collaboration with disease 21 22 specific patient advocacy groups, I guess is the

answer to your question in one sentence. 1 2 DR. FLEISHER: Collette? 3 MS. PITZEN: Just a question of 4 curiosity. Is there a plan to use the STS 5 measures in a PQRS program in the future? DR. JACOBS: I think that would be a 6 7 great idea. MS. PITZEN: I mean you have great 8 9 penetration across the country. It would be a 10 great avenue for your cardiac surgeons to get credit within those modules. 11 12 DR. FLEISHER: Thank you. Any other 13 comments, usability? Please vote. 14 MR. LYZENGA: We have 85 percent high, 15 10 percent moderate, 5 percent low and zero insufficient information. It passes on usability 16 17 and use. 18 So that means we can go ahead and move 19 to overall suitability for endorsement. Any 20 additional comments or questions? Hearing none, 21 let's go ahead and vote. Overall suitability for 22 endorsement, yes or no.

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1	DR. FLEISHER: We need a few more
2	votes. Okay, and we're set.
3	MR. LYZENGA: We have 90 percent yes,
4	10 percent no. Measure passes.
5	DR. FLEISHER: Great.
6	DR. JACOBS: I just want to bring a
7	piece of clarification about the PQRS. So I was
8	answering that specifically related to the
9	congenital database when I said that would be a
10	great idea.
11	We already do that with several of our
12	adult measures, okay. So I just interpreted that
13	you were asking about the pediatric measures, but
14	STS already has several of the adult measures
15	that are through the PQRS deal.
16	MR. LYZENGA: Okay. So we're moving
17	on to Measure 2683, Risk Adjusted Operative
18	Mortality for Pediatric and Congenital Heart
19	Surgery.
20	DR. JACOBS: Can I make this might
21	be out of order. I don't know, but I would
22	suggest if you did 0733 first. 0733 is a

building block for 2683. 1 So --2 MR. LYZENGA: Sure. That makes sense. DR. JACOBS: If we discussed that 3 4 first, then it would be a building block for the 5 other one. All right, so 6 MR. LYZENGA: Sure. 7 we're going to switch the sequence up a little We'll go with 0733 first. This is 8 bit. 9 operative mortality stratified by the five STAT 10 mortality categories. And Collette and, let's 11 have --So briefly, we've already 12 DR. JACOBS: 13 discussed in detail what the STAT categories are. 14 This is just reporting operative mortality 15 stratified by the STAT categories. 16 I'd like to spend just 60 seconds 17 addressing the concept of operative mortality in 18 general just to get a few points on the record. 19 And this relates a lot to the 20 discussion that was held earlier about operative 21 mortality. And I just want to make four points. Each will be one sentence long. 22

1	First of all, by using operative
2	mortality instead of 30 day mortality, that
3	mitigates against the problem of perversely
4	incentivizing providers to keep a patient alive
5	until 31 days.
6	So the measure of 30 day mortality
7	alone could have unintended consequences, which
8	are mitigated by using operative mortality, which
9	is a combination of 30 day mortality and
10	discharge mortality.
11	Second of all, by using robust risk
12	adjustment, that risk adjustment mitigates
13	against the fear to operate on high risk
14	patients.
15	So there was discussion before about
16	could a mortality measure have the perverse
17	unintended consequence of not wanting to operate
18	on high risk patients. Well, good risk
19	adjustment models will prevent that, and I
20	believe that the STS risk adjustment models
21	prevent that.
22	Third, in general, the STS has taken

the approach that mortality is one element of a multi-domain outcome analysis that includes mortality and morbidity components. And I believe in the future should include patient reported outcomes as well. So we're not saying mortality is the only measure. We're saying it's an important piece of a multi-domain measure.

And fourth, there was discussion 8 9 before about how do we assure that lives are not 10 unnecessarily being prolonged to comply with the 11 measure or to game the system. And I think 12 there's two answers to that. Number one, by 13 using operative mortality instead of 30 day 14 mortality, we eliminate the perverse incentive to 15 keep somebody alive 31 days.

But also, I think we could develop a mechanism, that through the audit process, we can assure as best as possible the unnecessarily prolonging life purely to do on a measure doesn't occur.

It's hard to believe it occurs thatoften as somebody who operates on patients

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1 everyday. But I think the audit process could 2 address that. So that's my comments related to 3 mortality. As Helen said, this is complex stuff, 4 but if we're going to talk about a mortality 5 measure, I think we have to get all those facts 6 out on the table.

7 Now specifically, this mortality measure stratifies mortality by the five STAT 8 9 There's multiple publications in the categories. 10 peer review literature that shows substantial 11 variation across institution for mortality in 12 each of these five categories and especially in 13 the higher levels, four and five. And I think 14 that's why this is important.

MS. PITZEN: Great. Thanks very much.
This is measure 0733, Operative mortality
stratified by the five STAT mortality categories.
Apologies for my voice.

This measure includes pediatric
patients or congenital heart surgery patients
with an indexed surgery who have died, all deaths
during the hospitalization, any time frame, even

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after 30 days or deaths after discharge but
 within 30 days.

And just a comment, this is 3 4 incorporating neonates, infants, pediatric 5 patients and adult patients that have congenital repairs that are occurring. The level of 6 7 analysis is group practice or facility. I can talk a little bit about 8 evidence. 9 The developers states critical 10 evaluation of operative mortality allows one to 11 evaluate the risk associated with a given 12 procedure for various patient characteristics. 13 14 And more importantly, aggressively 15 research ways to minimize that risk. I just want 16 to add that processes of care are involved, 17 including patient selection for appropriateness 18 of procedure, surgical technique and post-19 operative care related to the avoidance of the 20 outcome of mortality. So I would rate the 21 evidence as really high. 22 DR. FLEISHER: Larry?

Just a reminder, this was 1 DR. GUNNAR: 2 originally endorsed in 2011. MR. LYZENGA: So we'll vote on 3 4 evidence. Go ahead and vote. I think we can 5 call it. Unanimous yes. The measure passes on So we'll go to performance gaps. 6 evidence. 7 DR. GUNNAR: Dr. Jacobs, do you have anything to say about performance? 8 9 DR. JACOBS: I would just say that 10 there's multiple papers in the peer reviewed 11 literature, some of which I've written, that 12 document that the operative mortality varies 13 substantially from institution to institution at 14 all STAT categories and especially at the highest 15 STAT categories. 16 MR. LYZENGA: Collette? 17 MS. PITZEN: Great. Thanks. The 18 current mortality rate is at 3.4 percent. The 19 variation over time is best described by a table 20 provided by the measure developer. 21 Within that table, especially in the 22 Category 1, the least severe, there was

improvement from 0.75 percent to 0.38 percent. 1 2 And in the highest mortality category, from 18.8 percent mortality down to 12.75. So it is 3 4 demonstrating improvement over time. MR. LYZENGA: So we'll vote on 5 performance gap. Oh, I'm sorry. 6 Rick? Yes, this applies to a 7 DR. DUTTON: lot of the measures with low volume, so valve 8 9 CABG ones that come up later will apply as well. 10 So you have 100 centers doing 2100 cases a year, 11 so about 200 cases per center for the mean. So a 12 death rate of 3.4 percent is seven deaths a year. 13 So with those low numbers, the ability to 14 discriminate high and low providers is almost 15 zero. 16 And I think you reported that 90 17 something percent wind up in the can't 18 discriminate from average category. So, it gets 19 to the discussion we were having yesterday. 20 I think as a quality improvement 21 measure, this has very little value because you 22 can't show a big difference. But I think as a

public accountability measure, obviously, 1 2 mortality after congenital heart surgery is very important. 3 And I would endorse it for that 4 5 reason, but I wanted to hear you --You're raising some great 6 DR. JACOBS: 7 points. The feedback reports of the STS congenital heart surgery database go back to 8 9 participants every six months. 10 In that six month feedback report, 11 those participants receive data that's analyzed 12 both in a one year and a four year analytic 13 window. So the one year analytic window reflects 14 a picture of most recently what's happening. 15 But has the problem that you just 16 pointed out, that if you're doing 200 cases a 17 year, there's only about seven children that die, 18 which is good. But then it's hard to 19 differentiate. 20 The four year analytic window now 21 narrows the confidence intervals and allows for 22 identification of outliers.

If we look at the four year analytic 1 window across the whole STS database, using 95 2 percent confidence intervals, we can identify 3 4 about 12.5 percent of programs as low performing 5 outliers, 12.5 percent as high performing outliers, and about 75 percent as as expected 6 performing. 7 So by using a four year analytic 8 9 window, we can increase our sample size and 10 identify more outliers. By also reporting it to 11 the participants in a one year analytic window at the same time, they can get a picture as to 12 13 what's happening most recently. 14 DR. GUNNAR: Just to clarify, not all 15 sites that participate are signed up for public 16 reporting. 17 DR. JACOBS: Correct. All sites that 18 participate get the feedback report that they can 19 use internally for quality improvement. Public 20 reporting in the congenital database especially, 21 is a new thing and only went live about eight 22 weeks ago.

I	
1	And not all sites participate. If we
2	go online, we can see who's participating now and
3	who's not. If this is anything like what we saw
4	in the adult database, every year more and more
5	sites will publically participate.
6	DR. DUTTON: Jeff, is that Lake
7	Woebegone? Are the people who choose to
8	publically report the ones that have good
9	results?
10	DR. JACOBS: No, well, so that's also
11	a good question, and I think the best way to do
12	that would be to think about the adult cardiac
13	database.
14	In the adult cardiac database, we know
15	that about 75 percent of programs are two star,
16	12.5 percent one star and three star. And if we
17	look at the distribution of star ratings amongst
18	publically reported programs, it's quite
19	different.
20	But there are one star programs that
21	are publically reporting, and there are two start
22	and there are three star. It's just that it's

1	not 12.5, 75, 12.5. I would guess it's more like
2	5 percent are one star instead of 12.5 percent
3	are one star.
4	DR. DUTTON: And at some point the
5	fact that you're not publically reporting will be
6	taken as evidence
7	DR. JACOBS: In and of itself as a
8	sign of poor quality.
9	DR. DUTTON: of low, so the public
10	good is still served. I get that.
11	DR. JACOBS: Absolutely.
12	MR. LYZENGA: Larry?
13	DR. MOSS: I was going to make this
14	comment yesterday about volume when we were
15	talking about the esophageal resection measure.
16	Essentially, all measures in
17	children's surgery are going to suffer from this
18	low volume issue. It's the nature of the field,
19	and we found it across specialties, that
20	mortality will not be a good discriminator of
21	quality in children's surgery.
22	But nevertheless, you can't not report

mortality, and none of our stakeholders in other 1 2 programs wanted us to not report mortality, despite the fact that it's not an ideal 3 discriminator. 4 5 Other comment about volume with this database here, this is not a low volume sample. 6 These are all the cases, so it isn't that there 7 8 are more out there to capture. This is the 9 universe of cases, so the numbers are the 10 numbers. 11 Any additional comments MR. LYZENGA: 12 on performance gap? Hearing none, let's go ahead 13 and vote. 14 DR. GUNNAR: So just maybe a question. 15 When you're so low, and a single event can be 16 tracked to a patient, is there a risk of 17 offending HIPAA in this? 18 DR. JACOBS: So we've -- STS has 19 invested a fair amount of time and money working 20 with some really world-class HIPAA lawyers that 21 are based in Chicago to make sure that everything 22 we're doing is compliant with HIPAA.

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And just as I'm confident that it's
snowing here, and it's not snowing in St. Pete,
Florida, I'm confident that what we're doing is
HIPAA compliant.
MR. LYZENGA: All right, let's go
ahead and vote on performance gap.
Forty-two percent high, 53 percent
moderate, 5 percent low, zero insufficient.
Measure passes on performance gap. We'll move to
reliability.
DR. GUNNAR: Collette?
MS. PITZEN: Just a couple comments on
reliability. The numerator statement is clear.
The denominator includes an extensive procedure
list created and classified by STS.
Just a comment in the measurement
world, many times, procedures are defined by CPT
procedure codes or standard billing codes. This
measure does require participation in the STS
database and reliance on the categories that were
created by STS.
There was no reliability performance

score testing recorded for this measure. 1 2 However, that data element testing showed good agreement with 97.5 percent accuracy rate. 3 4 DR. JACOBS: So I guess regarding the 5 reliability testing, my understanding of the measure submission process is that there's two 6 7 choices. One is to do it with the reliability 8 9 testing that we did not do in the others through 10 the audit, and we chose to save it through the 11 audit. 12 We know that measurement of 13 reliability is quite accurate -- measurement of 14 mortality is quite reliable. That's a better way 15 to say that. So we chose the option of doing 16 this through the audit, and I think that proves 17 that we have pretty good reliability. 18 MS. PITZEN: Just an additional 19 comment, oftentimes doing, the reliability 20 performance score testing does give us an idea 21 about capturing the variability between groups 22 and understanding if it's a good predictor.
1	So just a comment overall on all of
2	the individual measures that we're looking at
3	today, we don't know what those reliability
4	scores are except for your composite measure.
5	DR. JACOBS: So first, let me take a
6	step back. I forgot to answer one of your
7	previous comments about the list of procedures
8	that are eligible.
9	So that list of procedures that are
10	eligible has been cross-mapped to both CPT and
11	ICD-9 codes. And although it's probably
12	technically easier to do this participation in
13	the STS database, it's certainly possible to do
14	this without participating in the STS database.
15	And it's possible to do it using ICD-9
16	or CPT codes, a methodology which I think would
17	be less accurate and precise but certainly
18	doable.
19	MS. PITZEN: Just a quick following,
20	so do you have that crosswalk available?
21	DR. JACOBS: Yes.
22	MS. PITZEN: Okay. I just didn't see

it. 1 2 DR. JACOBS: I thought it was in here, but if it's not, it certainly can be put in 3 4 there. 5 Okay. Thank you. MS. PITZEN: Larry and then Greg? 6 DR. GUNNAR: **All** 7 right, Greg. DR. DUTTON: Not trying to pick on 8 9 you, but I always enjoy the chance to learn. Do 10 you want to comment on the no preemie PDAs --11 DR. JACOBS: Yes. 12 DR. DUTTON: -- exclusion because --13 DR. JACOBS: Right. That's a great 14 question. 15 DR. DUTTON: -- don't preemies need 16 love, too? 17 DR. JACOBS: No, they totally do. Ι 18 think, in fact I do a lot of those preemie PDA ligations, and I love them all. 19 20 So the goal here is to have a measure 21 of mortality that's reflective of the 22 programmatic performance of a pediatric and

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3

4

5

congenital cardiac surgery program.

The trick with premature PDAs is that it's an operation that takes 15 minutes. It's a very small blip in a hospitalization that might be several months.

6 And oftentimes, when those premature 7 babies die, they die months after the surgery of 8 an event totally unrelated to the operation, like 9 necrotizing enterocolitis or sepsis or some other 10 miserable problem with prematurity.

11 So when we developed this measure, it 12 just didn't seem like mortality after a premature 13 duct ligation is reflective of the programmatic 14 performance of the cardiac surgery program.

Now the STS database captures that,
and we can look on any given time within the STS
database what is the mortality after premature
PDA ligation at any participant in the database
or across the whole database.

It's just that we don't include that in this particular measure because we don't think that mortality is reflective of the programmatic

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performance of the cardiac surgical program. 1 2 DR. GUNNAR: Any additional comments? Let's go ahead and vote on reliability. 3 MR. LYZENGA: A misfire. I think we 4 5 may have to revote on this one. 6 DR. GUNNAR: Okay. Vote again. Is 7 that ready? MR. LYZENGA: Not quite. It's just a 8 9 Ready. Go ahead, voting on reliability. second. 10 We have 76 percent high, 19 percent moderate, 5 11 percent low, zero insufficient. Measure passes 12 on reliability. We'll go ahead to validity. 13 MS. PITZEN: The developer provided 14 great data element validity. Accuracy rates in 15 the 97 percent. Just wanted to comment. It 16 appears to me that this measure is not risk-17 adjusted, rather stratified by the five STAT 18 categories. And so C statistics were provided 19 for those five STAT categories as well. 20 Additionally for validity. They did 21 a nice job of comparing over time levels of 22 provider performance and consistency across time.

The only thing I would 1 DR. JACOBS: 2 add is that we believe that stratification across STAT categories is risk adjustment by definition. 3 4 DR. GUNNAR: Any other comments? DR. YATES: One comment for all the 5 rest of the STS measures we go at because we 6 discussed today, and this is relevant to the 7 mortality question that came up earlier. 8 9 At any point does the registry capture 10 the unusual circumstance, albeit in children, but 11 might be applicable to all the rest of the 12 measures, does it capture DNR status going into 13 surgery, which would be atypical? And does it 14 capture the creation of DNR status after surgery? 15 DR. JACOBS: So this is a very complex 16 question you're asking, which we could spend the 17 next several hours on. 18 To try to answer it in two sentences, 19 in my career I've done over 3000 pediatric and 20 congenital cardiac operations, and I've never 21 once taken somebody to the operating room with a 22 DNR status when we started the operation. I've

just not seen that happen.

2	Now, on the other side of the
3	spectrum, I would say that 90 percent of the
4	children who die after heart surgery are DNR at
5	the time that they die, at least 90 percent.
6	Because eventually, through the grieving process
7	of the family and the overall horribleness of
8	watching a baby die, there's multiple meetings
9	with the healthcare team, the nurses, all the
10	family members.
11	And we gradually work our way through
12	this grieving process where the first discussion
13	is it seems unlikely that your baby is going to
14	survive and that it may be time to shift the
15	focus of our care from survival to comfort.
16	And after several more discussions and
17	however many discussions the family requires,
18	which might be one or might be 20, eventually,
19	the child reaches a point where they're put on
20	DNR.
21	And usually even there then some
22	levels of support are withdrawn. So it's unusual

for a child to die after pediatric heart surgery
 not being a DNR.

3 So we could track those things, and I 4 think it would be interesting to track. But I 5 would think that on the side of DNR before 6 pediatric heart surgery, it's going to be close 7 to zero. In DNR at the time of death, it's going 8 to be almost all of them.

9 DR. YATES: Again, the question wasn't 10 asked specifically for pediatric. It was meant 11 to just clear the air for the rest of the day, 12 and I would, if it's not captured, it would 13 certainly be a valuable thing to be able to 14 report on if questioned by outside parties.

And I think it would ameliorate some of the concerns that were expressed in the earlier conversation where it does happen and people, and that's there's normal behavior.

Again, that ties into broad questions
about cardiac going forward for validity. Thank
you.

DR. GUNNAR: Larry?

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DR. MOSS: So I think Dr. Yates raises 1 2 an excellent point, but just also for the record, there is a vigorous national debate about DNR in 3 4 patients with congenital anomalies. And it remains to be resolved. 5 It's not the national standard of care to take 6 patients for any operation, congenital heart or 7 not who are not, who don't have the DNR status 8 9 removed but what to do in the post-operative 10 period and how to define that is being looked at 11 and not yet defined. 12 DR. GUNNAR: Any other comments? 13 We'll vote on validity. 14 MR. LYZENGA: We have 81 percent high, 15 14 percent moderate, 5 percent low, zero 16 insufficient. Measure passes on validity, so 17 we'll go ahead to feasibility. 18 So yes, is this -- and there was some 19 question of whether the first measure, which was 20 a volume measure, would be applicable across the 21 rest of them. 22 Do we have some consensus that it is?

1	Does anybody want to discuss feasibility or vote,
2	or are you comfortable turning the vote
3	MALE PARTICIPANT: We should vote. We
4	don't have to
5	MR. LYZENGA: All right, we're voting.
6	So go ahead and cast your vote on feasibility.
7	We have 75 percent high, 20 percent moderate, 5
8	percent low, zero insufficient. So we'll move on
9	to usability.
10	DR. GUNNAR: Discussion? Dr. Yates,
11	you have your, okay. Collette, go ahead.
12	MS. PITZEN: I know this is late.
13	It's just a comment. The STS database has great
14	penetration. It's being used across the country,
15	but it also can be a very burdensome thing.
16	The data collection form for the
17	congenital pediatric database is 32 pages long.
18	So I just think in a general sense, at some point
19	in time, we need to weigh the value of burden and
20	feasibility against the value of the metric that
21	we're collecting and outputting.
22	DR. JACOBS: I think you're absolutely

correct. One thing about those 32 pages though 1 2 is that huge portions of that are parent/child fields. 3 4 So if a given field applies to an 5 operation, you click yes, and you enter the children of all that. If not, you enter no, and 6 you bypass all the pages associated with that 7 child. 8 9 And several portions of that are just 10 lists of diagnoses, procedures, complications, so 11 32 pages might sounds very overwhelming. But I 12 know that essentially, everybody doesn't do it by 13 paper now. 14 They do it electronically, and in our 15 own hospital, the time it takes to enter the 16 information from a given operation by the team t 17 that enters the data is about 20 minutes per 18 operation at most. 19 DR. GUNNAR: What's the complete 20 number of data fields? 21 DR. JACOBS: I don't know that off the 22 top of my head. I'd have to go back and look

1 that up. 2 DR. GUNNAR: For the adults, it's about 680 or something with the new format. 3 4 DR. JACOBS: Yes, I mean there's no 5 doubt that it takes time, effort and money to collect these data. But it's money well spent. 6 7 DR. SAWIN: And there's value, too, from a quality improvement standpoint. 8 There's 9 all kinds of data that can be generated by this 10 registry, so it's very valuable for things much 11 wider than mortality. 12 DR. GUNNAR: Any other comments? Vote 13 on usability? 14 MR. LYZENGA: Go ahead and vote. Ι 15 think we can call it. We have 70 percent high, 16 25 percent moderate, 5 percent low, zero 17 insufficient. Measure passes on usability and 18 use. 19 So we will go ahead to overall 20 suitability for endorsement. Any additional 21 comments? Hearing none, we'll vote on overall 22 suitability for endorsement.

1	Unanimous yes, 100 percent. The
2	measure passes, and I think we are going to go
3	ahead and take a break now. If you could come
4	back here at 10:45. Thanks everyone.
5	(Whereupon, the above-entitled matter
6	went off the record at 10:29 a.m. and resumed at
7	10:48 a.m.)
8	DR. FLEISHER: Okay, we are going to
9	get started. I've been talking to Helen and
10	Marcia, I mean I think this morning's discussion
11	and where we ended up really is a new direction
12	for how we get ahead of some of these discussion
13	and help shape them. So, it's really fantastic
14	and we look forward to that call.
15	And I guess they'll decide whether
16	that will be an open call? Yes, and they'll get
17	back to us. So, we'll hopefully decide.
18	So, we are up to, is it 2683? Okay.
19	DR. JACOBS: So, this is a measure
20	from the STS Congenital Heart Surgery Database
21	that I think I'm most proud of out of everything
22	in the congenital database that we've done. It's

a tool that uses everything we've talked about so
 far as building blocks.

And we talked earlier that 3 4 categorizing operations into STAT categories is a 5 form of risk adjustment. But, one could then argue that any given patient in STAT Category 5 6 7 might not be the same as any other patient. So, you can have a STAT Category 5 8 9 patient undergoing an Norwood Operation that had 10 a prenatal diagnosis that was electively delivered that goes to the operating room 11 12 extubated and eating. 13 Then you can have another patient 14 having a Norwood Operation that is born in shock, 15 gets put on ECMO and goes to the operating 16 theater on mechanical circulatory support. 17 And the STS Congenital Health Surgery 18 Database has only matured recently to a point 19 where we have the capability of finely 20 differentiating these preoperative factors. 21 This risk model that we're putting 22 forward is a new measure and what it does is it

provides risk adjusted operative mortality based 1 2 on a number of variables which include the operation that's performed, the STAT category of 3 4 that operation, a variety of preoperative factors 5 that include chromosomal abnormalities, syndromes that the child is born with, non-cardiac 6 abnormalities like gastroschisis or omphalocele 7 that the child is born with, preoperative factors 8 9 like whether or not the baby's on the ventilator, 10 on mechanical circulatory support, has preoperative renal failure or a preoperative 11 12 neurologic deficit and previous cardiac surgery. 13 And all of those factors are then put into a multivariable model that allows one to 14 15 calculate risk adjusted mortality and observe to 16 expected mortality rates. And those can then be 17 reported back stratified by STAT categories, 18 stratified by age groups or stratified by both 19 STAT categories and age groups. 20 So, this is our newest measure of 21 reporting risk adjusted operative mortality in 22 the STS Congenital Heart Surgery Database. It is

publically reported on the STS website and it's a 1 2 new measure that we're putting forward for endorsement. 3 4 DR. FLEISHER: Fantastic. So, 5 evidence? This is clearly an outcome, so, Larry, any comments about? 6 DR. MOSS: So, things to talk about 7 about the measure but with respect to the link 8 9 between risk adjusted mortality and processes and 10 structure of care, I think it's pretty self-11 evident, so I don't have anything more to say 12 about that. 13 DR. FLEISHER: Shall we vote? 14 Any comments on evidence? 15 Let's vote. Get the vote? 16 MR. LYZENGA: Unanimous yes and passes 17 on evidence. So, go to performance gap. 18 DR. MOSS: So, one just quick 19 additional comment about evidence before we jump 20 into that. 21 We've commented that mortality is a less than ideal discriminator in the pediatric 22

world. The state of the field in infant outcomes 1 2 is evolved to neurodevelopmental outcomes. I'm interested whether there's 3 anything in the pipeline or whether you will be 4 5 bringing us anything in the future in that area? 6 DR. JACOBS: Yes, that's a great 7 question. So, we have an NIH funded R01 grant 8 9 that's funding research into developing a multi-10 domain composite that will be based on risk 11 adjusted mortality and a variety of risk adjusted 12 morbidities that will include postoperative 13 strokes, postoperative renal failure, 14 postoperative respiratory failure, postoperative 15 mechanical circulatory support. 16 We're moving in that direction. We've 17 published some papers about that already where we 18 have STAT morbidity categories that is a parallel initiative to the STAT mortality categories. 19 20 And through an NIH funded grant, we're 21 developing a multi-domain composite. We're 22 working with Dave Shahian, Sara Pasquali whose an

Outcomes Investigator at University of Michigan, 1 2 Sean O'Brien and Max and the DCRI team, that's where we're headed. 3 Hopefully, I'll be back here to tell 4 5 you guys about that soon. DR. FLEISHER: Fantastic. 6 I mean 7 perversely, it would be interesting if there was a gap in care identified in our document and 8 9 somebody put in a grant, they could actually cite 10 our document as something to support that. 11 So, this idea of what people are 12 bringing up again, the value of a standing 13 committee, would be useful. So, Andrew, we'll 14 make sure that's in the document. 15 DR. JACOBS: Yes, I think that's 16 great. 17 DR. MOSS: So, with respect to 18 performance gap, the risk adjustment process is 19 well described and we'll discuss that under 20 validity. 21 But, in the model, there were 86 cites 22 with 12 high outliers and seven low outliers, so

22 percent were statistical outliers. 1 So, there 2 does seem to be a significant opportunity for improvement and the data do seem to outline that. 3 4 DR. FLEISHER: Okay, any other 5 comments? Questions? Let's vote. Can we see the vote? 6 7 MR. LYZENGA: Fifty-seven percent 8 high, 43 percent moderate. The measure passes 9 performance gap. 10 So, we'll move to reliability. 11 DR. MOSS: We've talked about the 12 audit process, that's the relevant issue here. Ι 13 won't repeat that unless people want to discuss 14 it. 15 Rick? DR. FLEISHER: 16 DR. DUTTON: One quick request. For 17 all of the -- it applies to about half of the STS 18 measures, but includes all the mortality ones. 19 The anesthesia ghetto down here would love to 20 have you list the anesthesia CPT codes for these 21 same operations. 22 Are they same operation, same patient?

Same outcome? 1 Same results? There's an 2 anesthesia provider there as well. We would love to have you list our CPT codes with these so they 3 4 can be reported. Yes, I think that that's 5 DR. JACOBS: very doable and we would be happy to do it. 6 7 Just so you know, there is a Congenital Cardiac Anesthesia Society and the 8 9 Congenital Cardiac Anesthesia Society has 10 partnered with the STS Congenital Heart Surgery 11 Database and there's a specific anesthetic module 12 of the STS Congenital Heart Surgery Database. 13 That initiative is led by David Vener 14 at Texas Children's Hospital and we partnered 15 with anesthesia for a variety of issues and it's 16 been very good and we're starting to publish some 17 papers together. 18 There's this Optional Anesthesia 19 module that has increasing penetrance and we're 20 really moving in that direction in multiple 21 domains. 22 Thank you. DR. FLEISHER:

1	No other comments?
2	Let's vote.
3	MR. LYZENGA: Eighty-six percent high,
4	14 percent moderate, zero low, zero insufficient.
5	So it passes reliability. And we're
6	going to go validity.
7	DR. MOSS: So, I think the most
8	relevant issue for validity is the risk
9	adjustment model and the developers were kind
10	enough to provide a line by line mathematical
11	proof of that model which, I think, you did to
12	intimidate the reviewers and it worked.
13	You talk about the logistic regression
14	model and my interpretation in reading through
15	what's provided, it seems to me the biggest risk
16	in this kind of analysis is nesting of cases in
17	individual institutions because of the unique
18	processes of care associated with congenital
19	heart surgery.
20	It was my interpretation that this was
21	a hierarchical model, I'm just confirming that
22	with you and your statistician.

MR. HE: Yes, this is a hierarchical 1 2 model, but unlike the more hierarchical model you will see in some other measures, the hierarchical 3 4 part for this model is actually not to 5 accommodate the participant level of variation. It's actually to accommodate the procedure level 6 of variation. 7 So, Jeff already mentioned that we 8 9 have the STAT category in this model. We 10 actually have finer categories. We included all 11 the procedures that have more than 50 cases. So, 12 we have more than five categories of procedures 13 in this model. 14 To accommodate that large number of 15 categories, we can now use all the different 16 procedures that may affect the model, so inside 17 we pulled out all of those as a separate level so 18 that we have a hierarchical structure. 19 DR. MOSS: So, pardon me for my -- if 20 this is a statistically naive question, but could 21 you explain to us, if we don't adjust for nesting 22 of cases within institutions or nesting of

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patient outcomes within institutions, we could 1 2 potentially overestimate the difference in How does this model address that? 3 outcomes. 4 MR. HE: Sorry, could you explain it 5 in maybe another way for me? DR. MOSS: How does this model address 6 7 variation within a center versus variation between centers? 8 9 So, this model is to provide MR. HE: 10 a baseline prediction for patients that come in 11 for a pediatric or congenital heart surgery. 12 So, I think it's called a marginal 13 model. So, we try to just to give an estimate at 14 the population average level. 15 I'll just add to that for DR. MOSS: 16 the committee that the outcome of all that is 17 pretty good reliability. It was 0.55 for the 18 institutions with less than 200 cases and 0.88 19 with institutions with more than 800 cases and 20 0.69 overall. 21 DR. FLEISHER: Thank you, important 22 information.

Any other questions, comments? 1 2 Let's vote. 3 MR. LYZENGA: We have 62 percent high, 4 38 percent moderate. The measure passes on 5 validity. So, we'll go ahead to feasibility. 6 7 DR. MOSS: Again, I think this is an 8 issue we've covered and if there's discussion, 9 please go ahead. 10 DR. FLEISHER: Would anybody like to 11 vote or can we carry this over? Anybody 12 disagree? Okay. 13 MR. LYZENGA: All right, we will carry 14 over the vote from the previous measure on 15 feasibility. Any comments or desire to vote on 16 17 usability? All right, we will also carry over 18 the votes from the last measure on this one. 19 And we will take a vote now on overall 20 suitability for endorsement. 21 One hundred percent yes. The measure 22 passes.

1	We'll go on to the next one.
2	Next, we'll be moving to 0115, Risk
3	Adjusted Surgical Re-exploration.
4	Dr. Jacobs?
5	DR. JACOBS: Yes. So, now we're
6	moving into the STS Adult Cardiac Surgery
7	Database and this measure is the percent of
8	patients age 18 or older undergoing coronary
9	artery bypass grafting who require reintervention
10	during the current hospitalization for
11	mediastinal bleeding with or without tamponade,
12	graft occlusion, valve dysfunction or other
13	cardiac reasons.
14	So, basically, patients who require
15	surgical re-exploration for a cardiac reason.
16	DR. GUNNAR: I didn't have it, is this
17	a new measure or is this what is it?
18	DR. JACOBS: This is an old measure.
19	DR. GUNNAR: It is a maintenance
20	because I didn't have it in my documents.
21	DR. JACOBS: It's maintenance of an
22	old measure which ultimately becomes part of our

multi-domain composite for coronary artery bypass
 grafting.

3 DR. FLEISHER: And Barry? 4 MR. MARKMAN: Yes, it was first 5 introduced in 2007 and it's one of the 11 component measures of the STS CABG composite. 6 7 It's an outcome measure and my question is, I mean the risk adjustment is very 8 9 important and it's one of 11 of them. What's so 10 important about having these individual risk 11 adjusted within the composite itself? 12 DR. JACOBS: Right. So, well, first 13 of all, it's nice to know that each element of 14 the composite is also an NQF endorsed measure. 15 So, that when we look at a composite, you can say 16 that every single piece of that composite has 17 been evaluated individually and is endorsed by 18 NQF. 19 So, that's a general principle as to 20 why all the different pieces of the composite 21 that we'll talk about later are also individual 22 NQF endorsed measures, some of which are coming

up for maintenance during today.

2	DR. GUNNAR: So, just for my when
3	you sign on for public reporting, do you sign on
4	for the whole whatever STS has decided that
5	they're going to report or is it a la carte or
6	DR. JACOBS: So, the way it works
7	right now is that when one signs on for public
8	reporting, there is a consent form with a variety
9	of check boxes. And what the check boxes include
10	is, you can report for CABG, you can report for
11	aortic valve replacement, you can report for
12	aortic valve replacement combined with CABG.
13	So, if you agree to report for CABG,
14	you get the whole CABG composite. If you agree
15	to report for AVR or AVR CABG, same thing.
16	So, you can't select a component of a
17	composite to report, but you can select the
18	operative subgroup that you would report.
19	DR. GUNNAR: So, flip that is, are the
20	components reported or just
21	DR. JACOBS: Both.
22	DR. GUNNAR: So, both the composite

all the components --

2 DR. JACOBS: Right. -- the composite and the 3 DR. GUNNAR: composite is reported. 4 Correct, correct. 5 DR. JACOBS: So, you start out by getting the composite but then 6 the user of the website, the patient, the parent, 7 the family has the ability to drill down into 8 9 more detail should they desire, both with star 10 ratings and with point estimates with components 11 and rules or credible indices.

12 MR. MARKMAN: Do you continually data 13 mine this and for new uses and new findings based 14 upon your database? I see that you have 15 something with ethnicity in your little summary. 16 So, as you continually data mine, have 17 you done anything new or different with this? 18 DR. JACOBS: Well, there's the quality 19 arm and the research arm and the research arm is 20 active, ongoing arm of the database that leads to 21 dozens of peer review publications every year. 22 I mean it's the range of the entire

spectrum of cardiothoracic surgery.

2 MR. MARKMAN: Yes, so, it's ongoing And, you know, I mean you're really 3 performance. 4 using that. 5 DR. JACOBS: Absolutely. Like on the quality side, participants get feedback in the 6 Adult Cardiac Surgery Database every three months 7 with a feedback report that provides the 8 9 information in these measures plus the whole pile 10 of other information. And then on the research side, there's 11 12 probably 25 to 30 ongoing studies right now to 13 try to improve the state of care. 14 MR. MARKMAN: And I also noticed that, 15 I don't know if this goes back to usability, but 16 I think the evidence does come into play here. 17 You have a pretty stable number of 18 participants but there is some variation. I mean

20 DR. JACOBS: Well, some hospitals stop 21 doing heart surgery, other hospitals start doing 22 heart surgery and, you know, so it changes every

do some hospitals drop out?

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Some of the changes probably related to 1 harvest. 2 hospitals dropping out or hospitals coming in, but once you're over 90 percent penetrance, 3 4 that's not the huger part. In an era of shifting health care 5 economics, there's new places that are starting 6 7 to do open heart surgery and there's other places that are deciding not to. 8 9 DR. CIMA: On the evidence section, 10 you report over two 12-month periods, but I just 11 want to know, since this has been an older 12 measure, is there data on overall performance? Ι 13 mean this is a bleeding measure, so are there 14 fewer -- has this moved people over time? 15 I know that the DR. JACOBS: Yes. 16 overall performance in the composite has gotten 17 better over time. Our overall mortality has 18 gotten better over time. I don't know the answer 19 specifically how the rate of re-exploration for 20 bleeding has changed over time, but the rate of 21 re-exploration overall has changed over time. 22 That's certainly something we can look

1	at.
2	DR. GUNNAR: Barbara, you I think
3	you had backup to this. Is there anything else
4	you wanted to add?
5	DR. LEVY: No.
6	DR. GUNNAR: Can we go ahead and vote
7	on the evidence?
8	MR. LYZENGA: So, voting on whether a
9	rationale supports the relationship of the health
10	outcome to at least one health care service
11	process intervention or service. Your options
12	are yes and no.
13	I think we can close it out. Ninety-
14	five percent yes, five percent no. The measure
15	passes on evidence.
16	So, we'll move to opportunity for
17	improvement performance gap.
18	MR. MARKMAN: So, why do you only have
19	90 percent and, I mean you still have room to
20	enlist more hospitals?
21	DR. JACOBS: So, we're talking
22	penetrance. Why do we only have 90 percent of

the hospitals in the country participating in the
 database right now?

3 MR. MARKMAN: Right. DR. JACOBS: You know, I think my 4 5 guess is it's higher. When the last STS CMS link penetrance paper went up to 2012, right, so the 6 7 way we're calculating penetrance is based on the number of CMS providing hospitals that perform 8 9 CABG and how many of those participate in the STS 10 database. Because, essentially, everybody that 11 does CABG participates in Medicare. 12 So, we have to calculate the 13 denominator. We have to use the latest link to 14 Medicare data. And we have now access to a link 15 of STS data to Medicare data up through 2012 and 16 every year the penetrance has gone up for the 17 last 12 years so that it's now 90 percent as of 18 2012. And my guess is in 2015, it's even higher, 19 but I can't say that as a fact until we have more 20 recent CMS data.

21 DR. GUNNAR: But to be clear, you 22 could have three groups operating at a particular 1 facility, theoretically, and only one of the 2 groups participate?

3 DR. JACOBS: Yes, so, when we 4 publically report our outcomes, we publically 5 report our outcomes stratified both by 6 participant group and by hospital.

So, we can go to the STS public
reporting website or the public reporting website
on Consumers Report and we can say we want the
outcomes for all the hospitals or all the groups.
In most cases, that's a one to one

12 relationship. There's one hospital that's got 13 one group. But, in some cases, it's one to many 14 or many to one. So, one group can operate at 15 many hospitals and a given hospital can have many 16 groups.

And what the strategy of allowing access to the data both stratified by hospital and by group is to deal with that issue. And even though some hospitals have many groups or some groups go to many hospitals, by allowing access to the data with both ways, we can really

answer questions for both approaches. 1 2 DR. GUNNAR: Any other discussion regarding performance? 3 4 Can we -- what has happened in this 5 arena regarding performance for this particular measure over the last --6 7 DR. JACOBS: Right, so I think that somebody else just asked that. I don't know the 8 9 answer to how re-exploration for bleeding has 10 changed over time. 11 I think that we know that risk 12 adjusted mortality has decreased over time and 13 performance on the composite has changed over 14 time and we certainly could investigate how this 15 particular domain of the composite has changed 16 over time, but I couldn't tell you that right 17 now. 18 DR. GUNNAR: So, as a matter of 19 process, and I'm -- believe me, without that 20 evidence it may be the desire of this committee 21 to say that this is topped out and without that 22 information, we can't make that determination.

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1 So, as a matter of --2 DR. JACOBS: They're getting it. 3 DR. GUNNAR: Okay. Do you want to move to the next measure and come back to that? 4 5 Because I don't know that we can fundamentally vote on performance gap without the information. 6 7 DR. LEVY: Well, there is a discrepancy between the high performing and the 8 9 low performing places. I mean there is 10 definitely gap that's still there that they've 11 reported in the submission. 12 DR. JACOBS: Right. I think there's 13 definitely evidence that there's variation, I 14 just can't tell you if it's better now than it 15 was two years ago. 16 DR. GUNNAR: And what's the 17 relationship between the high performing and the 18 low performing? Is the difference between --19 DR. LEVY: It's one percent to five 20 percent, something like that. 21 DR. GUNNAR: Okay, that's kind of what 22 I needed to know.

1	DR. JACOBS: Okay.
2	DR. GROVER: It's 1.4 to 9.2 percent
3	and
4	DR. GUNNAR: Very good, and then we'll
5	save that other comment about what's happened
6	over time for
7	DR. JACOBS: We'll have that for you
8	
9	(off mic comments)
10	DR. GUNNAR: Thank you.
11	Any other discussion on performance?
12	Let's go ahead and vote.
13	MR. LYZENGA: Voting on performance
14	gap, data demonstrate considerable variation or
15	overall less than optimal performance across
16	providers.
17	DR. GUNNAR: While we're waiting for
18	that, just a point, at some point, this, you
19	know, the hope of all these, right, is that
20	they'll all go into reserve status at some point
21	in the future, right, that's the ultimate goal,
22	right?

1	David? Well, here is the question, as
2	a component, does it matter to a composite
3	measure whether a component of that composite
4	measure is in reserve status? Just a matter of -
5	- I don't think it does from an NQF perspective.
6	MR. LYZENGA: From an NQF perspective,
7	components of a composite do not need to be
8	endorsed, so it can be in reserve status, it
9	could not be endorsed.
10	DR. GUNNAR: John?
11	DR. HANDY: Although, I hadn't thought
12	about it until Dr. Jacobs said it, it does if
13	a specialty group just sort of arbitrarily
14	chooses points of care to say this is good care,
15	there is more standing if the points of care that
16	have been chosen to make up the composite have
17	been separately endorsed by the NQF.
18	I also had the same question and never
19	had considered it in that light.
20	DR. JACOBS: Yes, I mean I think I
21	mean that's our belief. We like to be able to
22	say when people question the basis of our
composite that every element within the composite 1 2 has been vetted through NQF and endorsed. And one thing that I 3 MS. MURPHY: would add and look to Helen about this is my 4 5 memory of it over the time of developing the guidance for composite measures and the 6 7 endorsement is that the individual measures did not have to be endorsed but they were to be 8 9 vetted through the process. 10 DR. BURSTIN: That's still correct, 11 yes. 12 MS. JOHNSON: There's a couple little 13 details on that. Without going into too much 14 details, sometimes individual measures aren't 15 quite reliable enough to make it through on their 16 own but they actually do contribute to the 17 composite. 18 So, that might be one reason why a 19 measure couldn't be individually endorsed by NQF, 20 but would be a very valid part of the composite. 21 MR. LYZENGA: In other words, the 22 reliability of a composite can be greater than

the reliability of its components. 1 2 DR. HANDY: So, regarding that, I find that a little confusing. 3 4 So, if you have a proposed composite 5 score then we individually go through the different parts and say are these clinically 6 7 plausible and relevant and have some relationship to quality, but not to the level of endorsement? 8 9 MS. MURPHY: That's correct. There is 10 not the requirement that each individual measure 11 be endorsed but that it be vetted as a valid 12 measure for inclusion in a composite. 13 DR. BURSTIN: And sometimes some of 14 that's because on its own, an individual measure 15 may not have enough heft when you put it 16 together, for example, with other similar 17 measures in a domain, they logically hang 18 together in a way that they may not individually 19 be able to stand on their own. 20 DR. GROVER: But I think if you -- one 21 of the values in the public reporting is the 22 composite, but also breaking down in the public

report the relative performance on each of the 1 2 measures that are in the composite. And so, I would think that it would be 3 4 good when you're publically reporting these to 5 have the endorsement of the NOF. MR. LYZENGA: All right, so we voted 6 7 on performance gap. The results are 57 percent 8 high, 43 percent moderate, zero percent low, zero 9 insufficient. 10 So, the measure passes on performance 11 And we'll move to reliability. gap. 12 DR. GUNNAR: Lynn? 13 MS. REEDE: Do you want me to ask my 14 question or wait for that question? 15 DR. SHAHIAN: We'll send this to you 16 but over the last decade the rate of decline in 17 re-operations is 9.2 percent in the STS database. 18 So, we'll send you the paper. 19 Thank you, Dr. Shahian. DR. GUNNAR: 20 Did -- Lynn, did you have a comment 21 before we vote reliability? 22 MS. REEDE: Yes, it was a question

about the modeling. Will we continue to have 1 2 variation in the measures and the composite because of the way the risk adjusted 3 4 stratification is set up so we'll always have 5 outliers at each end though the bell curve may When we use that as part of our 6 move? performance gap, I'm just asking that question, 7 will it continue to create that variation? 8 9 So, currently the performance MR. HE: 10 groups are defined first by constructing those 11 confidence interval, a 95 percent confidence 12 interval. 13 So, hypothetically, if there's just no 14 true variation at all, then all the centers, 15 using the correct measures, all the centers will 16 not be labeled as performance outliers. 17 MS. REEDE: Well, you're still going 18 to have a star rating eventually. You'll still 19 have one, two and three star identified in the 20 model, is that correct? 21 MR. HE: Yes, but, again, 22 hypothetically, if there's not true variation

1 then everybody will be a two star. 2 MS. REEDE: Thank you. Any other comments on 3 MR. LYZENGA: reliability? Seeing none, we'll go ahead and 4 5 vote. So, this is -- we're voting on whether 6 the measure is precisely specified, can be 7 collected, the data can be collected consistently 8 9 and that it has been tested within appropriate 10 method and scope with adequate results. 11 DR. GUNNAR: So, here's where the --12 I mean just to go back, are these reliability? 13 Can we think of these as sort of the continuum 14 for the adult measures on reliability, validity? 15 We've heard from previous discussion 16 how they're collected and their audit process and 17 18 MR. LYZENGA: Yes, I think that the 19 reliability submission is pretty consistent 20 across the submissions. But, I think that's a 21 question for the committee whether you feel 22 comfortable carrying over your votes on

reliability.

2	DR. GUNNAR: So, does anybody object?
3	We'll take the unanimous vote as a requirement?
4	DR. FLEISHER: I would just like, we
5	did it on the pediatric, it's a separate
6	database. Let's just vote once on the adult
7	would make me comfortable and then because
8	this is correct, a different database?
9	DR. GUNNAR: Correct.
10	DR. FLEISHER: So, we can just vote
11	once and then anything that, if you could tell us
12	is this the same database then I would feel
13	comfortable going forward.
14	MS. JOHNSON: And I would also say
15	you'd want to be sure that the reliability
16	results are similar across measures to be able to
17	carry over that vote even if the methods, et
18	cetera are the same.
19	MR. LYZENGA: If I'm not mistaken, the
20	audit results are presented in reliability and
21	those are the same across the measures as the 97
22	percent agreement rate of the data elements.

1	DR. GUNNAR: It's agreed.
2	Cliff?
3	DR. KO: So, are we talking about
4	reliability in terms of validation and audit of
5	the data, that reliability? Or do we talk about
6	reliability of distinction of the measure of
7	distinguishing hospitals for whatever the measure
8	is, re-operation or mortality when we talk about
9	reliability?
10	Because if it's the latter, then I
11	don't think we can vote all together. If it's
12	the former, we can.
13	MR. LYZENGA: And there is it
14	depends on if you're doing reliability, I think,
15	at the data element versus the performance score
16	level.
17	If it was done at the performance
18	score level, then we would be getting to the
19	question of variation within the, you know,
20	hospital as opposed to variation and
21	discrimination at the data element level which is
22	what they provided here.

It's just a question of the sort of 1 2 reliability of the collection of the data elements. And we do have some inner guidance. 3 Ι 4 think our guidance suggests that reliability is generally considered, you know, that the voting 5 on reliability should be sort of higher for 6 7 performance score reliability. Or we would prefer that be provided, but we certainly accept 8 9 data element reliability. 10 And, in this instance, it appears they've, you know, provided the same results 11 12 across and it's just sort of a report on their 13 audit process. 14 MS. JOHNSON: Just to remind you, in 15 your algorithm for reliability, you'll notice 16 that if developers show you reliability at the 17 score level, it's eligible for a high rating. Ιf 18 it's only at the data element level, a moderate 19 rating should be the highest that it would get. 20 DR. GUNNAR: Collette? 21 MS. PITZEN: Karen, thanks for that 22 point. I was going to suggest that, too,

especially if our votes are going to carry over 1 2 for several measures. There isn't -- many of them do not 3 have the performance score reliability. 4 5 I guess as chair, given DR. GUNNAR: the discussion, despite the -- I think we're 6 almost set to -- we have to vote on each one. 7 What are your thoughts? 8 9 MR. LYZENGA: I don't know that that's 10 I think if we can vote once and if the the case. committee is comfortable carrying those votes 11 12 over, that's acceptable. 13 MS. JOHNSON: Yes, so just to recap, 14 since all of them used data element reliability, 15 well, data element validity which carries over 16 for data element reliability, it's all the same 17 in all the measures. Once you vote once, then it 18 would be fine to carry over. 19 DR. GUNNAR: Anyone on the committee 20 uncomfortable with that? Hearing none, we'll 21 vote on reliability and validity and those votes 22 will carry over for these like measures or

1	measures that have like data collection.
2	MR. LYZENGA: So, we have 71 percent
3	high, 29 percent moderate, zero low, zero
4	insufficient.
5	The measure passes on reliability.
6	These results will be carried over to subsequent
7	measures.
8	DR. GUNNAR: Validity? Again, Dr.
9	Markman?
10	MR. LYZENGA: I think on validity,
11	we'll have to vote individually. This one's not
12	going to carry through.
13	DR. GUNNAR: This will not carry
14	through? Okay. Understood.
15	All right, Barry, any comments?
16	MR. MARKMAN: Well, I mean it's the
17	same database as the composite, so I think it
18	shows validity in the outcome of bleeding.
19	MR. LYZENGA: There's also they did
20	the data element and validity testing, I'll just
21	note. But they also provided some testing
22	results on the distribution of participants to

the end tertiles and --

2 DR. GUNNAR: Percentiles, yes. MR. LYZENGA: But they showed some 3 4 data that showed consistency in scores across 5 time, I should say. I would ask the developers to provide any clarification, if possible. 6 7 But they're showing that registry participants and providers who are in the middle 8 9 tertile or in the, you know, get one score in one 10 year typically stay the same in the next year is 11 basically. And that is intended to demonstrate 12 that the measure is valid. 13 DR. YATES: I have a validity question 14 and it's -- and the reason I'm asking it is that 15 people can choose to be adamant about not having 16 a mediastinal bleed and having to go back for 17 bleeding versus doing a lot of anticoagulation 18 with abovian (phonetic) and then having something 19 squeeze out the toothpaste tube the other way 20 which would be mediastinitis. 21 And I'm pretty sure I know the answer

already, but you do capture mediastinitis rates

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1 as well, correct? 2 DR. JACOBS: Yes. So, that would be the one 3 DR. YATES: 4 confounding factor that is addressed in this 5 instance, that's part of their complex of things they measure, then that doesn't get -- there's no 6 7 undue problem with that. 8 DR. GUNNAR: Agreed. 9 Any other -- Larry? 10 DR. MOSS: Does re-exploration in the 11 ICU count or does this necessitate going to the 12 operating room? 13 DR. JACOBS: It's regardless of 14 geographic location. 15 DR. GUNNAR: Dr. Yates, are you okay. 16 All right, ready to vote. We're 17 voting on validity kind of slowly apparently. 18 MR. LYZENGA: Yes, voting on validity, 19 so specifications consistent with the evidence, 20 testing appropriate with an appropriate method 21 and scope with adequate results, appropriate 22 exclusions, appropriate method of risk adjustment

and stratification, demonstrating meaningful 1 2 differences in performances and so on. I think we've got everybody. 3 4 Eighty percent high, 20 percent 5 moderate, zero low, zero insufficient. The measure passes on validity. 6 So, we will move to feasibility and usability and I 7 think we will be voting on these once for the 8 9 adult measures, is that correct? We'll take this 10 vote or did we already do a vote? We did not. 11 We should do a vote on this one and then we will 12 carry these votes over to the subsequent 13 measures. 14 So, any discussion on DR. GUNNAR: 15 feasibility? Hearing none, let's go ahead and 16 vote. 17 MR. LYZENGA: Sixty-eight percent 18 high, 27 percent moderate, five percent low, zero 19 insufficient. 20 The measure passes on feasibility. 21 We'll move to usability. This will also be the vote that carries across the adult outcome 22

measures.

1

2 DR. GUNNAR: Any discussion before we Hearing none, go ahead. 3 vote? MR. LYZENGA: We'll have to redo this 4 5 one again, sorry. Just one moment. Okay, one more time, if you'd submit 6 7 your vote on usability and use. Again, this will carry over to the remaining STS adult outcome 8 9 measures. 10 Eighty-two percent high, 18 percent 11 moderate, zero percent low, zero percent 12 insufficient. 13 The measure passes on usability and 14 And we will go ahead to overall suitability use. 15 for endorsement. 16 Any additional comments or questions? 17 Hearing none, let's go ahead and vote. 18 Does the measure meet NQF criteria for 19 endorsement, yes or no? 20 We have a unanimous yes. The measure 21 passes. We'll move on to the next one. 22 Next we have 0130, Risk Adjusted Deep

Sternal Wound Infection Rate.

2	DR. JACOBS: Right, so this is what
3	was brought up before, percent of patients 18
4	years or older undergoing isolated coronary
5	bypass grafting who develop mediastinitis or deep
6	sternal wound infection within 30 days of cardiac
7	surgery.
8	DR. FLEISHER: So, evidence, any
9	comments from Rick or Keith?
10	DR. DUTTON: So, this is an outcome
11	measure. It's reported at the clinician group
12	and facility level. The outcome is strongly
13	influenced by processes of care, so it's an
14	outcome we can change.
15	I guess I'll just hit my generic
16	comments so we can go through this quicker.
17	The gap is minimal. High performers
18	are at was the number 0.2 percent, so two in a
19	thousand low performers are at about 1.1 percent
20	in the last year. So, you could argue that's
21	five times higher, but this is a very important
22	outcome that you don't want to have.

1	It's important for the composite and
2	it's important because it's the backside to the
3	re-exploration measure just discussed.
4	But, again, the number of high and low
5	groups on this measure by itself is very small.
6	It's, I think, two percent are outliers either
7	high or low.
8	DR. FLEISHER: Keith, any comments?
9	DR. OLSEN: None.
10	DR. FLEISHER: Okay. Let's just vote
11	and then I'll ask if there's comments between
12	each did you want to make a comment?
13	DR. JACOBS: Yes, I was just going to
14	say that although it's a rare event, it's a big
15	deal. I mean, you know, people die from this and
16	not only that, but if one is in a low performing
17	outlier, there's clear interventions that can
18	take place that can solve the problem.
19	So, the stakes are high and, although
20	it's a rare problem, it's a fixable problem.
21	MR. LYZENGA: Okay, voting on
22	evidence. The question is whether a rationale

1	supports the relationship of this health outcome,
2	and in this case, deep sternal wound infection to
3	at least one health care structure process
4	intervention or service.
5	Dr. Ko?
6	DR. KO: Well, just maybe we can get
7	the vote done and then I just have a question.
8	MR. LYZENGA: Okay.
9	Unanimous yes. The measure passes on
10	evidence.
11	Go ahead, Dr. Ko.
12	DR. KO: I think it's insightful for
13	Jeff to say that, you know, first of all, that
14	there's two percent on each side but it's a very
15	<pre>important it's a severe thing if somebody gets</pre>
16	a sternal wound infection.
17	Is there a way that the NQF looks at
18	that more objectively than saying it's a really
19	serious thing for this? Because, you know, the
20	two percent on each side, I'm not sure that that
21	would pass. But, the fact that this is a really
22	important topic, sternal wound infection is.

1	So, how do we where is that cut
2	point of what's important versus, you know, if
3	this was a hemorrhoid then that two percent would
4	not pass. But if this is sternal wound
5	infection, it does.
6	Is there a way that the NQF
7	DR. FLEISHER: I think that's us.
8	DR. KO: Oh, we just kind of
9	internally implicitly figure this out?
10	DR. FLEISHER: I think that's why you
11	have a and you can correct me, Marcia and
12	Karen but I think that we are, I mean and
13	that's why the CSAC and I think the consumers and
14	all the councils get to see the vote out of this
15	body, the exact vote, not just it passed, to see
16	how strongly a group of 23 of us believe this is
17	an important measure.
18	DR. YATES: I'd like to comment on
19	that because it's an outcomes measure, so it's
20	not like a process measure where if it's only two
21	percent, we think it's topped out.
22	As an outcomes measure, we live in a

world of trying to decrease infection rates to 1 2 less than one percent. We're trying to get infection rates to half a percentage point. 3 4 And for those particular infections 5 such as mediastinitis or an infected total joint, the impact to the patient is tremendous. 6 The cost is tremendous. 7 The morbidity and mortality 8 from repeat surgeries to correct the problem are 9 tremendous. 10 So, there's a world of difference 11 between a two percent infection rate and one 12 percent. And I think that has to be kept in mind 13 that when we're talking outcomes, infection 14 rates, you're talking in half a percentage points 15 as being important. 16 DR. DUTTON: This also gets to our 17 discussion yesterday about the uses of the 18 measure and that's something that CSAC, I know, 19 is going to take up. 20 It's much less useful as a quality 21 improvement measure because it's not very 22 discriminatory but it's very important as a

public accountability measure.

2	DR. FLEISHER: I think those are
3	excellent comments and I think if we continue to
4	place in the public record, you know, the
5	definition of a superficial, you know, a little
6	bit of redness in a wound, if this group did not
7	feel that was worthy of putting out there because
8	it wasn't important enough or it was, that's part
9	of the voting of this body.
10	MR. LYZENGA: And will be reflected in
11	the report. I remember, I think, the last cycle
12	we had another similar measure postoperative
13	stroke, it had the same kind of questions, rare
14	event, very severe, many consequences for the
15	patient and we communicated that in the report
16	that the concerns about the, you know, low volume
17	of the event but it's severity.
18	DR. FLEISHER: So, vote on performance
19	gap unless
20	MR. LYZENGA: We have 38 percent high,
21	57 percent moderate, five percent low, zero
22	insufficient.

1	The measure passes on performance gap.
2	So, we'll move to reliability. I
3	believe this is one where we're going to carry
4	over, so unless there are any objections, we will
5	carry over the previous vote.
6	DR. FLEISHER: Any objections?
7	MR. LYZENGA: Hearing none, we'll go
8	to the validity.
9	DR. DUTTON: Yes, I have nothing
10	further to add, I put my comments in.
11	DR. FLEISHER: Keith? Anything?
12	Anybody have any comments?
13	Let's vote on validity.
14	MR. LYZENGA: Okay, go ahead and cast
15	your votes on validity.
16	Sixty-seven percent high, 33 percent
17	moderate, zero low, zero insufficient.
18	The measure passes on validity.
19	And we will move to well,
20	feasibility and usability and unless there are
21	any additional comments or questions or
22	objections from the committee, we'll carry over

the feasibility and usability votes. 1 2 Seeing none, we'll vote on overall suitability for endorsement. 3 4 I know this slide isn't quite correct, 5 but you guys get the gist, we're voting on overall suitability. 6 All right, give us a moment here. 7 Okay, voting. 8 9 Unanimous yes, the measure passes. 10 So, we'll move on to the next. 11 Starting to get pretty efficient here. 12 0116 is the next measure, Anti-13 platelet Medication at Discharge. This is a 14 process measure, so I don't believe we'll be able 15 to carry over our votes on this one. 16 DR. JACOBS: So, this is one of the 17 next two that are process measures, this one anti-platelet medication the next one anti-lipid 18 19 medication. 20 This one is the percentage of patients 21 over the age of 18, isolated CABG, discharged 22 home on a lipid lowering statin.

There's ample evidence in the peer 1 2 review literature how this is associated with outcome and the references are provided in the 3 4 packet. 5 DR. GUNNAR: Chris? 6 DR. SAIGAL: Okay, so, as just 7 discussed, the evidence for this is strong. There's consistent level one evidence of benefit. 8 9 It's incorporated into clinical practice 10 guidelines, so I think in terms of evidence, the process outcome link is met. 11 12 DR. GUNNAR: Any other comments? 13 DR. DUTTON: Just quickly. Prescribed 14 versus given or prescription filled versus 15 actually taken, nobody's ever figured how to 16 measure the last one. But this is a 17 prescription, so an order for the drug exists. 18 DR. GUNNAR: I think we can go ahead 19 -- any other comments? We can go ahead and vote, 20 evidence. 21 MR. LYZENGA: Voting on evidence. 22 We have 67 percent high, 33 percent

1	moderate, zero low, zero insufficient.
2	The measure passes on evidence. And
3	we'll move to performance gap.
4	DR. SAIGAL: Okay, so there's a very
5	small gap even at the 20th percentile the
6	performance is like 98 percent.
7	They grouped them by high versus low
8	performers that we discussed by baseline
9	significant, variance on the group mean. The
10	high performers achieved 99.9 percent performance
11	while low performers achieved about 95 percent
12	performance.
13	It's statistically meaningful,
14	probably not that clinically meaningful. I'm not
15	sure how many cardiac events are being prevented
16	with a three or four percent gap in the end. But
17	it is statistically meaningful.
18	DR. GUNNAR: Jeff, do you want to
19	comment?
20	DR. JACOBS: I think, again, this is
21	a it's a re-endorsement of a previous measure
22	that's part of the composite which kind of

explains why we want to have this brought forward again.

I think that there's data that shows 3 4 that it's associated with outcome. I think there 5 is increased compliance with it over time which, therefore, is going to mean less variability. 6 7 DR. GUNNAR: But to be clear, as we discussed before, if we determine that this is no 8 9 longer -- if there is no longer a gap, it can go 10 to reserve status. We vote on reserve status. 11 MR. LYZENGA: If we vote -- if we get 12 a low or insufficient vote on performance gap, we 13 may vote on whether to put it in reserve status 14 and we would move through the rest of the 15 criteria in that case. 16 DR. GUNNAR: Correct. Dr. Handy? 17 DR. HANDY: So, I know that we talked

18 a lot about reserve status last time. We haven't 19 this time and I'm not sure I recall all the 20 things that we said.

So, reserve status means still NQFendorsed but what does it imply for the

1

1 institution? Are they, therefore, relieved of 2 the collection responsibilities, there's no more 3 data being gathered? I mean what does reserve 4 entail?

5 MR. LYZENGA: Well, NQF endorsement 6 doesn't really entail any sort of or compel 7 anybody to do anything to report on anything. In 8 general, it just is a sort of judgment on the 9 scientific sort of merit of the measure itself.

Sorry, I kind of lost my train of thought.

DR. FLEISHER: So one example would be, since this would be collected as part of the STS database, the committee would essentially be saying there is not sufficient gap to keep it endorsed -- to keep it as going through the maintenance process.

However, if STS ever came back and
said there was a large performance gap, then it
still exists in the set of measures -- correct,
Karen and Marcia -- and therefore, they wouldn't
have to go through a new submission because it

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exists. We believe it's evidence is important. 1 2 At least this is the way CSAC was thinking about it. 3 4 MR. LYZENGA: So, it remains endorsed, 5 it's just kind of an indication that it's just sort of an asterisk in a way, this is topped out 6 7 but it remains endorsed. It can be used. DR. HANDY: So it's success, but does 8 9 endorsement have a sunset? I mean does reserve 10 status have a sunset? In other words, you can 11 sort of stick it in there and say, well, I've got 12 an NQF endorsed thing forever now. 13 DR. FLEISHER: We haven't gone to 14 that. That's an excellent question we'll have to 15 address. But certainly, reserve status doesn't 16 require maintenance, if I remember correctly. 17 MR. LYZENGA: No, I believe it does, 18 I think it should still go through yes. 19 maintenance. We'll confirm that, but I believe 20 every three years it will still need to come up. 21 So, there is no requirement for 22 maintenance of reserve status measures?

1	MS. JOHNSON: Yes. Let me read you a
2	couple of can somebody turn off their mic?
3	Thank you.
4	I'll just read you a couple of
5	sentences from our guidance.
6	Endorsement with reserve status
7	retains these measures in the NQF portfolio for
8	periodic monitoring while also communicating to
9	potential users that the measure is no longer
10	addressed high leverage areas for accountability
11	purposes.
12	So, it's not saying you shouldn't use
13	it or you can't use it, but it's kind of
14	signaling that, you know, it's not high leverage
15	anymore like maybe it was before.
16	But if they do not go through periodic
17	maintenance but the standing committee will
18	periodically review measures in reserve status
19	for any change in evidence, evidence of
20	deterioration in performance or unintended
21	consequences or any other concerns related to the
22	measure.

1	The standing committee may remove a
2	measure from inactive endorsement status or
3	reserve status if the measure no longer meets NQF
4	endorsement criteria.
5	The maintenance review may occur upon
6	request from the standing committee or measure
7	steward to return the measure to active
8	endorsement.
9	So, it's pretty much in your court
10	what you would want to do with it.
11	DR. GUNNAR: Amy and then Cliff?
12	MS. MOYER: I want to make sure I'm
13	remembering this correctly.
14	So, you know, earlier we talked about
15	paired measures and if one is kicked out,
16	whatever, voted off the island, the other one has
17	to stand alone.
18	For a composite, though, decisions we
19	make about the individual measures do not affect
20	the overall composite and its endorsement status
21	and it's used in that, right?
22	MR. LYZENGA: No, the composite may

remain endorsed even if its components are deendorsed.

3	DR. FLEISHER: I would think they may
4	you might think about this is the composite might
5	be endorsed but putting this out alone, what
6	we're signaling is a simple measure of this in a
7	public reporting space, we believe it's topped
8	out and is not as critical to be out there as a
9	measure independently, but has nothing to do with
10	the composite.
11	DR. GROVER: Could I ask you a follow-
12	up on that?
13	I mean if, again, you have the
13 14	I mean if, again, you have the composite score out there and then you want to
14	composite score out there and then you want to
14 15	composite score out there and then you want to have each of the components of that in the public
14 15 16	composite score out there and then you want to have each of the components of that in the public reporting, this doesn't preclude that, right? I
14 15 16 17	composite score out there and then you want to have each of the components of that in the public reporting, this doesn't preclude that, right? I mean we can we still
14 15 16 17 18	composite score out there and then you want to have each of the components of that in the public reporting, this doesn't preclude that, right? I mean we can we still DR. FLEISHER: No, it's still an NQF
14 15 16 17 18 19	composite score out there and then you want to have each of the components of that in the public reporting, this doesn't preclude that, right? I mean we can we still DR. FLEISHER: No, it's still an NQF endorsed measure that happens to be on reserve

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not good enough to be a solitary accountability 1 2 measure. I would think -- would that be an 3 4 accurate -- what you would think about it, 5 Marcia? 6 MS. WILSON: Yes. 7 DR. GUNNAR: But to get to your point specifically, the STS is free to put out their 8 9 menu of publically reported components regardless 10 of NQF endorsement. 11 So, if this result turns out that 12 there's, based on the evidence, that there's no 13 performance gap and we vote it low, then there'll 14 be a decision about reserve status or no status. 15 In either of those cases, it doesn't 16 impact the composite or the ability of the STS to 17 report independently what they would like on 18 public reporting sites. They just can't assign 19 NOF endorsement. 20 The question, I think, that's left is, 21 if it's in reserve status, can they still report 22 that it's NQF endorsed? And the answer is

1 resoundingly, yes. 2 But I think we've -- have we finally laid this one down? 3 4 DR. FLEISHER: To be very clear, this 5 is the committee that's ahead of the curve in actually defining it. So, in many ways, although 6 7 CSAC is the decision making body, how we did it, how you did it, actually helped inform CSAC and 8 9 will further inform CSAC should any of these 10 measures go in reserve status. 11 DR. KO: Can you remind us, because it 12 seemed like the last committee meeting we had, we 13 just, ah, reserve, reserve, reserve. How many 14 measures are in reserve status and which -- is 15 there like five or six of them that went there? 16 DR. CIMA: That was the SCIP measures. 17 I mean those are the ones --18 DR. KO: That's all the SCIP measures 19 in what, 60 minutes? 20 DR. CIMA: Pretty much, and that's 21 what I was saying, you know, we topped out like -22 - yes, I don't think anyone would disagree that

there is strong evidence that antibiotics within 1 2 an hour of incision for almost any surgery that requires antibiotics is important. And that was 3 4 the concern last year when we put it in reserve 5 was what's going to happen to it. And the topped out was about 98 6 7 percent, you know, and so, that's why I was just wondering, you know, this is a process measure 8 9 that's at 98 percent. It's a standalone process 10 measure. 11 I mean we sort of set a precedent last 12 year and I just want to know if the rationale is 13 different now? 14 DR. KO: But I wanted to clarify, even 15 the discontinuation of antibiotic one was 16 reserved? 17 MR. LYZENGA: I think we removed 18 endorsement from one or two but the rest of them 19 remained endorsed with reserve status. 20 DR. SIPERSTEIN: I actually have the 21 list in front of me, Cliff. There were nine 22 measures that we put on reserve status, eight of

those dealt with antibiotics, one of them had to 1 2 do with participation in a database for cardiac 3 surgery. 4 MR. LYZENGA: And I think we can pull 5 up the number of total reserve measures and just doing that now. 6 7 MS. FELDMAN: There's 14 endorsed reserved measures. 8 9 DR. GUNNAR: In total or for just the 10 surgery --11 MR. LYZENGA: In total. 12 MR. GUNNAR: And we own --13 MR. LYZENGA: We own nine of them. 14 MR. GUNNAR: -- nine of them, maybe 15 ten. 16 Can we vote on --17 DR. SIPERSTEIN: I just want one 18 follow-up comment about just helping to think 19 about reserve status. 20 I mean I think after our discussion, 21 it prompted discussion in other committees about 22 how to think about this concept of reserve

status.

2	And just from a procedural point of
3	view, one of the statements was that in the
4	absence of having this as an option, the measure
5	would otherwise lose endorsement. It meets all
6	of the criteria except opportunity for
7	improvement is no longer met.
8	And so, I think just thinking about
9	the process, you know, if we went through our
10	routine process, we'd end up voting no in the end
11	and this allows a measure that otherwise is
12	entirely valid, but has been a success to still
13	be recognized in that category, but at the same
14	time, says if you've got limited health care
15	resources, don't put your team measuring this, go
16	look at something else that has a little more
17	traction.
18	DR. GUNNAR: Any other discussion?
19	Hearing none, let's vote on performance gap.
20	MR. LYZENGA: Okay, so we have five
21	percent high, 14 percent moderate, 77 percent
22	low, and five percent insufficient.

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1 The measure does not pass performance 2 gap which makes it eligible for reserve status. And can we take a vote on that? 3 4 DR. GUNNAR: Well, why don't we got 5 through the other --MS. JOHNSON: Yes, you'll go through 6 the rest of them and then you'll come back and 7 8 vote. 9 MR. LYZENGA: We'll go through the 10 rest of the criteria and then we'll come back. 11 Okay. So, I think we've already 12 DR. GUNNAR: 13 -- reliability, we move on, right? 14 MR. LYZENGA: So, can we do 15 reliability? I think it's the same, well, can we get some input from the developers? Is there any 16 17 difference in the reliability? 18 DR. JACOBS: Same. 19 MR. LYZENGA: Same, so --20 MS. JOHNSON: Any concerns about the 21 specs and how they're put out, any of that kind 22 of stuff?
DR. SAIGAL: I don't think so. 1 The 2 exclusions are appropriate. It's pretty clear. MR. LYZENGA: All right, so we'll 3 4 carry over the reliability vote as well on this 5 one unless anyone objects. Seeing no objections, we'll go to 6 7 validity. And again, I think we'll want to vote on this. 8 9 DR. GUNNAR: Any discussion? Seeing 10 none, go ahead. 11 MR. LYZENGA: Okay, voting on 12 validity. 13 Just in general, this is voting on whether this measure is a valid and accurate 14 15 reflection of quality care. 16 We have 60 percent high, 35 percent 17 moderate, five percent low, zero insufficient. 18 The measure passes on validity. 19 Feasibility and usability I think 20 we'll also be able to carry over here. 21 DR. GUNNAR: Any objections? 22 MR. LYZENGA: Also using the registry,

any objection to carrying feasibility and 1 2 usability over? 3 Seeing none, we will do so. And we'll move to an overall vote or vote on overall 4 5 suitability for endorsement. DR. GUNNAR: 6 Reserve status. 7 MR. LYZENGA: Yes, you're right. So, here, we're voting on -- there we 8 9 go. 10 So voting on endorsement --11 Any final --DR. GUNNAR: 12 MR. LYZENGA: -- with reserve status. 13 DR. GUNNAR: -- questions? Seeing 14 none, go ahead and vote. I think we've led the 15 field in discussing reserve status. 16 MR. LYZENGA: I think we can close it 17 out. 18 Unanimous yes. The measure is 19 endorsed with reserve status. 20 Thank you all. 21 And now we'll move to 0118 Anti-lipid 22 Treatment at Discharge.

1	DR. GUNNAR: So, since my partner is
2	also discussant, I'll take on this.
3	So, Jeff, did you want to make any
4	comments opening up?
5	DR. JACOBS: So, I think this is going
6	to be a fairly identical discussion to the
7	previous one. It's the same exact measure just
8	different set of drugs.
9	DR. FLEISHER: My only question,
10	looking at the evidence is, a lot of the evidence
11	is extrapolated from there's no guideline
12	specific to the cardiovascular patient to the
13	surgical patient, if I'm not mistaken. It's all
14	guidelines related to cardiovascular disease.
15	So, I was just questioning the absolute link.
16	DR. JACOBS: I think it's a fair
17	extrapolation, because the population here is
18	isolated to coronary artery bypass grafting, and
19	clearly, those patients are all patients with
20	cardiovascular disease.
21	DR. GUNNAR: Any other oh,
22	Collette?

This is in terms of the 1 MS. PITZEN: 2 measure construction related to the evidence. The ACC/AHA guidelines recommend 3 4 starting a statin for AS/CVD age 21 and older. 5 So there is no support for that 18- to 20-yearold patient. So, I would recommend either 6 7 changing the age of the measure to be consistent with the guidelines. 8 9 DR. JACOBS: Well, I think it's 10 probably because it's never been studied in those 11 patients between the ages of 18 to 21, and it's pretty rare, but if you're coming to a coronary 12 13 artery bypass graft operation as a 19-year-old 14 because of atherosclerotic coronary artery 15 disease, probably it makes sense to follow this 16 measure. 17 I think that the lack of evidence in 18 the published literature doesn't mean that 19 there's a lack of justification in applying this 20 measure to patients between the ages of 18 and 21 21. 22 Right. MS. PITZEN: This is Collette

I agree that it is rare. I'm also coming 1 again. 2 from the perspective of we did a complete redesign around appropriate statin use for 3 patients with vascular disease and we did need to 4 5 write in kind of an exception for that age range. So, if we're going to be consistent 6 7 with what currently is published, I think that needs to be considered. 8 9 DR. JACOBS: It seems to me that it 10 makes sense that if you're getting coronary 11 artery bypass graft operation at the age of 19 12 for the atherosclerotic artery disease, it makes 13 sense to be on these medicines. 14 DR. FLEISHER: I think we're asking 15 two different questions, which is, does it make 16 sense, as opposed to is there evidence? And I 17 think Collette and I are both articulating it 18 would be great if there was some evidence to show 19 that it --20 DR. GROVER: It would have to be 21 something like a familial hypolipidemia, and 22 you'd never have it. And then it would allow you

to probably justify -- I mean do the usual 1 2 justification in this. So, Dr. Shahian? 3 DR. FLEISHER: 4 DR. SHAHIAN: We'd be happy to change 5 that to 21 if that'll settle the issue. Would that -- yeah, that's fine. 6 7 DR. YATES: We're going back to the very same question you just asked, which is that 8 9 we're using inferred implications from non-10 cardiac surgery patient studies, and we're 11 talking about guidelines for heart patients in 12 general. 13 I think that the subcategory of those 14 heart patients that end up having a CABG are a 15 sine qua non for having cardiovascular disease. 16 And as such, it's fair to make that leap from the 17 generalized literature to coronary artery 18 disease. 19 If they decided the day of surgery to 20 cancel surgery, they'd still be better doctors if 21 they were to put those patients on statins based 22 on guidelines. And I would argue that if an 18

to 21-year-old, I would agree with Dr. Jacobs, 1 2 that if for some reason some patient comes in needing a bypass surgery 18 to 21, they've 3 4 declared themselves part of that population in a 5 special way that is beyond -- I think we're dancing -- we're asking a lot of angels to dance 6 7 on a pinhead here. I don't know that we have to ask them 8 9 to change -- their measures across the board are 10 all 18 and over and I think that horse sense would say that it's okay just to leave it as is 11 12 and not to change what is very consistent 13 classification of adult. 14 DR. GUNNAR: So, from a matter of 15 process, there's, I think, one of two things that 16 could be done here. 17 The first is to have STS provide 18 whatever impact that would make with regard to 19 this measure. So, what is the number of 18- to 20 21-year-olds that are operated on for coronary 21 artery disease? Which would give the relevant 22 impact to that cohort on the measure.

1	Or we ask, I think, how do you amend
2	what's the process for amending? This measure
3	now gets amended and comes back, which would be
4	just process here.
5	MR. LYZENGA: If the committee wants
6	the age range to be changed to 21, we can just
7	sort of accept that now with the understanding
8	that we'll coordinate with the developer to do
9	that, and you can vote on the measure, assuming
10	that it will be specified for 21 and older.
11	It's not clear to me that that's what
12	the whole committee wants to do yet.
13	DR. JACOBS: I mean, certainly, STS,
14	as Dave said, we could change this to 21 if
15	that's what the Committee wants. My own take on
16	this is it doesn't it's not very logical.
17	There's very, very few patients
18	between the ages of 18 and 21 that require
19	coronary artery bypass grafting for coronary
20	artery disease. Those that do probably really
21	should be on these medicines.
22	DR. GUNNAR: So, let's have a

1	Collette and then we'll take a I think
2	we'll take a vote on after that whether the
3	Committee would like the developer to change or
4	whether they're happy with the current language.
5	So, Collette?
6	MS. PITZEN: I'm not trying to be
7	difficult, honestly. The ACC/AHA came out with
8	four very specific at-risk groups. One of them
9	was age 21 and older with AS/CVD, diabetic
10	patients age 40 to 75, anyone with an LDL age 21
11	and older with an LDL greater than 190, and the
12	fourth category was based on a risk calculator.
13	So, I'm just raising the question to
14	be consistent with the current guidelines that
15	are based on random control trials.
16	Thanks.
17	DR. GUNNAR: And I don't know that
18	I think the Committee can still view those as
19	true and unrelated with regard to this measure.
20	So, Lee, do you want to
21	DR. FLEISHER: No, my only comment,
22	and A.J., thanks for the comments, but an

accountability measure, in my mind, and looking 1 2 at the evidence, is a little different than what a doctor wants to do with his 18- to 21-year-3 4 olds. 5 And I think that's consistent with Collette's comments. I think the will of the 6 Committee is the will of the Committee. I mean I 7 just felt it was important from a process 8 9 standpoint that we articulate what those 10 guidelines said. 11 So, accepted. DR. GUNNAR: 12 Amy? 13 MS. MOYER: So, from a process 14 standpoint, if I followed this correctly, we're 15 potentially talking about, if we didn't change 16 the age, a rate of insufficient evidence with 17 exception. What would be the impact of that? Is 18 that a still pass or it doesn't pass? Okay. 19 MS. JOHNSON: And, sorry, Andrew, just 20 to -- it gets really complicated the way our 21 criteria are set up sometimes and it's hard to 22 parse what you're talking about.

But I think, really, this discussion 1 2 is a little bit more about validity and how the specs line up with evidence. So, technically, I 3 think you'd be fine to vote on evidence without 4 5 thinking about the 18 to 20. You can think about it again under validity, see what you think. 6 7 But it gets even a little bit more complicated because we already know -- well, we 8 9 don't know how many -- but you also are thinking 10 about in terms of feasibility and usability and 11 You're thinking possibly changing a measure use. 12 that all the other ones are similar to. 13 And I think, you know, I'm not going 14 to tell you guys what to do, but it'd be really 15 interesting to know how many operations there and 16 does that even change anything? 17 DR. JACOBS: Yeah, I mean, it's 18 probably exceedingly rare, but we obviously don't 19 have a number at the tip of our tongues here. 20 DR. GUNNAR: I mean, I did 4,000 21 operations. I can't remember. Fred? I mean, 22 anybody collectively in the room? Dr. Shahian,

how many patients with coronary disease did you 1 2 operate on between 18 to 21? How many 18- to 21year-old have you operated on? 3 4 DR. SHAHIAN: May I just comment 5 additionally on the rationale? Certainly, secondary prevention in 6 7 this population of patients with atherosclerotic coronary artery disease is one rationale. But we 8 9 also know that, you know, statins reduce re-10 operation for occluded grafts. There is a 11 randomized trial showing a very substantial 12 reduction in graft closure. 13 And we also know the pleiotropic 14 effects of statins decrease, post-operative 15 stroke, atrial fibrillation and a number of other 16 issues relating to the systemic inflammatory 17 effects of cardiopulmonary bypass. 18 So there are a variety of reasons that 19 statin drugs are particularly efficacious. 20 Dr. Fleisher, I'll forward a paper to 21 you that reviews --22 DR. FLEISHER: Yes, I would actually

-- and I'm on the Oversight Committee for 1 2 Practice Guidelines for the ACC/AHA. So, I think it would be great for them to -- for STS to, the 3 4 next time we have an update, or that this gets 5 addressed in the update, even it's a lower level of evidence, it would just be helpful. 6 7 DR. SIPERSTEIN: Yeah, I would just suggest, it's such a small group, we really have 8 9 no data and we just move forward. 10 DR. GUNNAR: Collette? Your card is 11 -- oh. 12 All right, I think we vote on the 13 evidence. 14 MR. LYZENGA: All right, voting on 15 evidence. 16 This is -- oh, I'm sorry, yes. So, 17 let's hold off on the vote. Go to the next 18 slide. Apologies, thanks for the correction. 19 This is a process measure, so we're voting on 20 evidence now, high, moderate, low or 21 insufficient. 22 We have 32 percent high, 47 percent

1	moderate, 16 percent low, five percent
2	insufficient evidence with exception.
3	The measure passes on evidence. So we
4	will go ahead and move to performance gap.
5	DR. GUNNAR: Lee?
6	DR. FLEISHER: They show, I mean,
7	pretty high but not I mean, they're 95.5
8	percent. So, it's actually the interesting
9	question when this comes back is how many
10	patients remained on statins?
11	I mean, this is just, as Rick pointed
12	out, a single point that, whether they were
13	ordered, essentially, and it would be great to
14	know that if it's possible from any other data
15	source.
16	DR. HANDY: Well, I think this is a
17	little different than the platelets. If you look
18	at the submitted information more in the
19	appendices, the different in the anti-platelet
20	between the low performing tercile and the high
21	performing tercile was 95 versus 99 percent.
22	In this particular tercile, it's 89

percent versus 99. So, I mean, it's still pretty 1 2 high but 11 percent is a lot less than four 3 percent. 4 DR. GUNNAR: Any other discussion? 5 We'll vote on performance gap. MR. LYZENGA: Voting on performance 6 7 gap. Ten percent high, 71 percent moderate, 8 9 19 percent low, zero insufficient. 10 So, the measure passes performance 11 gap. And unless there are any objections, we 12 will again carry over our votes on feasibility 13 and usability -- oh, I'm sorry, reliability, my 14 I'm getting ahead of myself. mistake. 15 So, we'll carry over the vote on 16 reliability and we'll still hold the vote on 17 validity. So, that's what is under discussion 18 right now, measure validity. Any comments? 19 DR. FLEISHER: None. 20 DR. GUNNAR: Same. 21 MR. LYZENGA: Seeing no comments, 22 we'll vote on validity. We can close it out, I

think. 1 2 Fifty-nine percent high, 41 percent moderate, zero low, zero insufficient. 3 4 The measure passes validity. And now 5 we will carry over our votes for feasibility and usability unless there are any objections from 6 the committee. 7 Seeing no objections, we will move on 8 9 to a vote on overall suitability for endorsement. 10 DR. GUNNAR: Any discussion? Hearing 11 none. 12 MR. LYZENGA: Ninety-five percent yes, 13 five percent no. 14 The measure passes. 15 And we move on to another outcome 16 measure. This is Risk Adjusted Operative 17 Mortality for Aortic Valve Replacement. Unless 18 we actually --19 DR. FLEISHER: We have listed NOF 20 member and public comment for 12:15. 21 MR. LYZENGA: You're right. 22 DR. FLEISHER: Perhaps we should open

1 the phones. MR. LYZENGA: We should do that. 2 3 Operator, could you open the lines for 4 public comment? 5 OPERATOR: At this time, if you would like to make a public comment, please press star 6 7 then the number one on your telephone keypad. And there are no public comments at 8 9 this time. 10 MR. LYZENGA: Thank you. Are there any public comments from 11 12 members in the audience? No, okay. 13 So, we can move on. So, we're back to 14 outcome measures. This is Risk Adjusted 15 Operative Mortality for Aortic Valve Replacement. 16 DR. JACOBS: So, now we're 17 transitioning into a group of outcome measures 18 that are going to be risk adjusted operative 19 mortality, first for aortic valve then aortic 20 valve and CABG, then mitral valve and then mitral 21 valve and CABG starting with repair and then 22 replacement.

And this will all follow a very 1 2 similar theme. The definition is operative morality. The discussion regarding operative 3 4 mortality this morning, I think, will suffice. And I think we just move on and start 5 working our way through these operative mortality 6 7 measures. Okay, so this measure was 8 MS MCCARTY: 9 first approved in 2007 and re-endorsed by the 10 committee in 2011. It applies to all patients 18 11 years and older and it is stratified to either include, as Rick pointed out this morning, the 12 13 adjustment that they made is to include all 14 deaths that happen within the hospital regardless 15 of the days out from surgery in which they 16 happen, or to include deaths within 30 days even 17 if the patient is discharged. 18 In terms of the evidence, we had a 19 lengthy discussion about that this morning, so I 20 don't know if I have anything to add to that. DR. FLEISHER: So, any other comments 21 22 on evidence?

1	Hearing none, why don't we vote?
2	MR. LYZENGA: Ninety-five percent yes,
3	five percent no.
4	The measure passes evidence. We'll
5	move to performance gap.
6	MS. MCCARTY: And in terms of
7	performance gap, there has been measurable
8	improvement in the outcome for this metric. They
9	current mortality rates are about two and half
10	percent.
11	And, again, given this morning's
12	discussion, I'm not really sure what to make of
13	that number. Maybe that's exactly where it needs
14	to be, it's hard to say, but there I mean we
15	certainly don't expect zero, I would think, on
16	this measure.
17	There is some variability between
18	institutions where that goes all the way up to, I
19	think, about 12 percent with the highest outlier.
20	So, there is some variability.
21	DR. FLEISHER: Comments?
22	LYZENGA: Okay, let's vote on

1 performance gap. 2 Thirty-six percent high, 64 percent moderate, zero low, zero insufficient. 3 4 So, the measure passes on performance 5 gap. And unless there are any objections 6 from the Committee, we'll carry over our previous 7 vote on reliability. 8 9 Seeing no objections, we'll go to 10 validity. 11 Any comments or questions on validity 12 for this measure? 13 DR. FLEISHER: Seeing none. 14 MR. LYZENGA: Let's vote on validity. 15 Seventy-six percent high, 24 percent 16 moderate, zero low, zero insufficient. 17 The measure passes on validity. 18 And unless there are any objections 19 from the Committee, we will carry over our 20 previous votes on feasibility and usability. 21 Seeing no objections, we'll vote on 22 overall suitability for endorsement.

1	Go ahead and cast your vote.
2	Unanimous yes, 100 percent, the
3	measure passes.
4	Next is?
5	DR. GUNNER: The next is 0123, Risk
6	Adjusted Operative Mortality for AVR and CABG.
7	DR. JACOBS: Right, so this is the
8	same exact except it's for the population of
9	patients who had an aortic valve replacement
10	combined with coronary bypass grafting.
11	The issues are the same and the
12	details are going to be very much the same.
13	DR. FLEISHER: So, my only comment, in
14	the overall measure, because it's the same, is,
15	as far as performance gap, 4.81 initially to 4.19
16	during the latter evaluation period, and you have
17	a range now of 1.68 to 8.51.
18	DR. JACOBS: Right. So, I think it
19	makes the performance gap criteria based on
20	variability, for sure.
21	DR. FLEISHER: Yes.
22	DR. HANDY: Well, that's exactly what

I was going to comment, too, is that the seminal 1 2 piece of information is very deep into the application. 3 4 It's on Page 30 where you, typically, 5 in all these applications, where you have terciles of performers, and that's really where 6 7 the performance gap is, not in the mean. And the mean is reported up-front. 8 9 It's kind of what we're talking about and seeing, 10 yet that's the seminal information. So, I would 11 just say move it up. 12 DR. FLEISHER: I would actually just 13 really credit staff, because if you look at the 14 measure worksheet, it's really nicely outlined 15 I mean, Helen, and we have to give a lot there. 16 of credit to that change in process to the staff. 17 And they did a fantastic job. It was easy to 18 find and really answered --19 DR. JACOBS: I couldn't agree more. 20 That was a huge tool to time-saving. 21 MR. LYZENGA: Sure, can we vote on 22 evidence?

1 Ninety-five percent yes and five 2 percent no. 3 The measure passes evidence. 4 We'll move to performance gap. 5 DR. GUNNAR: I think we already discussed performance gap, but if anybody else 6 has any? Go ahead and vote. 7 8 MR. LYZENGA: Voting on performance 9 gap. 10 DR. GUNNAR: We should be done by 11 1:00. 12 DR. JACOBS: I think it's all building 13 blocks. We've built all the building blocks and 14 now it's just going through the process with the 15 building blocks. 16 MR. LYZENGA: All right, we've got 48 17 percent high, 48 percent moderate, 5 percent low. 18 The measure passes performance gap. 19 And unless there are any objections 20 from the Committee, we'll carry over our previous 21 vote on reliability. 22 Seeing none, we'll go ahead to

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2	DR. GUNNAR: Any discussion on
3	validity? Hearing none, let's go ahead and vote.
4	MR. LYZENGA: Eighty-two percent high,
5	18 percent moderate, zero percent low, zero
6	insufficient.
7	The measure passes on validity.
8	And unless we have any objections from
9	our Committee members, we'll carry over our votes
10	on feasibility and usability.
11	Seeing none, we will vote on overall
12	suitability for endorsement.
13	Unanimous yes, the measure passes.
14	DR. FLEISHER: How about if we grab
15	lunch and come back to our seats? Yes?
16	DR. MOSS: I just wanted to ask a
17	general question. This is my second time through
18	this process and we've seen many, many STS
19	measures sail through, which obviously speaks to
20	the quality of the organization and the good
21	work.
22	But it seems to be almost a

disproportionate representation across American 1 2 healthcare, and we saw the gynecologists come last year and then come back again a year later, 3 4 and then the bariatric surgeons were here last 5 year and didn't come back this year. I'm just wondering, is there anything 6 we should be doing as a committee to be more 7 accessible or more available to groups out there 8 9 that want to do this kind of work? 10 DR. FLEISHER: So, I actually would 11 say the Committee -- well, I would defer to staff 12 because it's usually not the Committee that does 13 it, it's --14 DR. BURSTIN: Well, it's actually a 15 great question and some of this is also the 16 growth of registries in the surgery space. And 17 some registries -- and Dave and I, we talked 18 about this just yesterday at the ACS vetting. 19 Some registries have not brought their 20 measures traditionally through NQF and some have. So, you know, we've been delighted STS has made 21 22 that commitment. But I guess one question might

be, as you work with your individual specialists or other groups to think about if there are really good measures out there, you think it would be useful to bring through this process, we'd be very open to finding who they are and we could work with those developers certainly to bring them through.

But, again, I think there are a fair 8 9 number of specialty areas that are not bringing 10 them through. And, again, some of them are also 11 in different committees, and maybe one thing we 12 could do going forward is share the list of all 13 of the sort of surgical-related measures, like 14 functional status and things like that, that may 15 be living in other committees, with this group 16 for input.

DR. MOSS: It seems like the part of it is there is very good back and forth relationship between the NQF and the STS. And I'm just wondering if that could exist with other groups.

22

DR. FLEISHER: Helen, do you want to

1 talk about the incubator? Because isn't that the 2 space?

3 DR. BURSTIN: Why don't take other4 comments first? Just to wrap it up.

I was pretty involved a 5 DR. GROVER: number of years ago on the professional council, 6 7 the ones that had the physicians in it, and I really tried to encourage at those meetings all 8 9 the other specialties with professional 10 organizations to be involved with this process 11 and develop databases and kind of take charge for 12 their own area of the quality improvement and be 13 a resource to their members and to the patients 14 that they operate on.

And it was hard. Maybe there's more now, but I think we have always offered, and Dave can say this too, within the STS, and Jeff too, if people want advice from us or anything we can do to help them get cranked up and get going, we'd be glad to do.

21 But I think we just happen to be the 22 first ones involved back 14 years ago or 12 or

whatever it was.

1

2	DR. FLEISHER: Barbara?
3	DR. LEVY: Well, as someone who is in
4	charge of quality and safety for our specialty
5	association, I will tell you that the big barrier
6	is not an interest or a desire to do it, it is
7	the funding to do it. And the funding is an
8	overwhelming barrier. You know, to do this well
9	is millions and millions of dollars. Our members
10	don't support that. So, we survive on membership
11	dues.
12	And unlike STS, we're not driven we
13	weren't driven 15 or 20 years ago with the public
14	reporting.
15	There's definitely some public
16	reporting, particularly of obstetrical outcomes,
17	but it's at the facility level. It's not at the
18	individual provider level.
19	And so there's no drive from our
20	members to support these activities. And very
21	honestly, without some other source of funding
22	that's going to help us drive this, I don't know

1	how to get it done. I can't get it through my
2	board and get that kind of support.
3	DR. FLEISHER: A.J.?
4	DR. YATES: At the ACS meeting, you
5	now have, I mean, the 800 pound gorilla in the
6	room in NSQIP which is obviously a huge, you
7	know, sample registry. But, obviously, they're
8	going to create measures from that. Do they
9	intend to take those measures through NQF or is
10	there intention to somehow not go through NQF and
11	bypass the CMS pathway?
12	DR. BURSTIN: I'm going to ask Cliff
13	to answer that one. Although I will point out,
14	we have endorsed NSQIP measures in the past and
15	would obviously be delighted to bring those
16	through again.
17	DR. YATES: You know, in smaller
18	specialties, again, cost and inertia are a big
19	deal. But, obviously, the best answer for a lot
20	of us would be more robust registries.
21	And I will now let Cliff answer.
22	DR. KO: Thank you for asking that.

Yes, absolutely. To Barbara's point, it does 1 2 take a fair amount of resource, but the College is committed to that, so we are putting stuff 3 4 together not just with NSQIP, but bariatric as 5 well. That one should not have been submitted at that point last year, but putting together stuff 6 7 with that. So, yes.

8 MR. LYZENGA: Yeah, I was just going 9 to say, the bariatric group still remains 10 interested. We didn't drive them off completely, 11 they weren't quite ready to submit during this 12 cycle, but they do intend to bring some measures 13 back.

DR. CIMA: But the one issue becomes, as Cliff knows and we talked about this, is, you know, STS has been very open about making available the risk adjustment and stuff even though it's very onerous.

I mean, for someone not -- to want to
do this individually, without having an
established -- NSQIP is not in every hospital.
It's what, 450 hospitals now, Cliff? And some of

that stuff is proprietary, so it's not like you
 can do it your own.

3 DR. FLEISHER: So, I think what we did 4 was for the other, the prior endorsed measures is 5 that we just felt there was an important thing we 6 put out to the whole risk adjustment modeling so 7 that everyone knew what that was.

8 And in order to be used, then, people 9 just have to collect those And we made the 10 models very small and easy so that if somebody 11 weren't in that database that they could collect 12 these things.

13 What's difficult is the rigor with 14 which they're collected is variable. And that's 15 where the STS is -- I mean, having one registry 16 do everything is great. And our work with the 17 CDC when we harmonized an SSI measure made it 18 parsimonious where you have SSI and only like 19 three -- I think there were five risk factors, 20 and it was good metrics or good reliability and 21 validity of the measure.

22

The vehicle to do the measurement was

different. People were getting different answers
 whether they used NSQIP or NHSN or a third
 registry.

And so all of these things need to be taken care of or addressed. You know, it's not endorsement of the measure but how it's going to be implemented. And that is a big deal when it ultimately gets used, if it ultimately gets used.

9 DR. FLEISHER: So, one of the 10 questions in this gap analysis, as we write it, 11 there is expertise on different types of measures 12 from different developers that we have identified 13 over the years.

I wouldn't want to put David or any other group on the spot, but in addition to NQF staff, we may be able to identify resources in the developer community, in the surgical space, that could serve as potentially point people who have gotten through NQF.

20 DR. JACOBS: Right. That's what I was 21 going to comment on.

22

I think there's no doubt, developing

measures is expensive and the time to develop a 1 2 body of knowledge to develop the measure and the registry to track the measure, it's time 3 consuming and it's expensive. 4 And STS is lucky now because, 5 initially, under Fred's leadership and then 6 7 Dave's leadership, we have this whole measure development team. We have Jane Han here who here 8 9 who could produce this 800-plus page book of 10 measures. And it takes time to develop that. 11 STS is currently in dialogue with the 12 neurosurgical societies to partner with them to 13 develop neurosurgery quality measures, and we've 14 had several preliminary phone conferences with 15 actually came out of the last meeting of this 16 group, and then some discussions with 17 neurosurgery and STS after that. 18 And I think that's something our group 19 is willing to do. And if there's other 20 professional societies that are at a point where 21 they have the time, money and energy to do this, 22 and they need the intellectual input, there's

people in our team that could work with those
 other societies and we'd be happy to be worked as
 a resource to do that.

DR. ASHER: Hi, this is Tony Asher. I'm on the line. I'm sorry I can't be there in person, but I just want to chime in.

7 The neurosurgery societies have really 8 appreciated STS' input, not only in terms of our 9 registry development but also in terms of our 10 ambitions to develop measures.

11 You know, everybody has mentioned the 12 challenges related to resources, and they're 13 substantial. And in particular, when you're not 14 looking at this in terms of individual measures, 15 I mean, you know, right now CMS is insisting on 16 the use of several, you know, at least nine 17 measures to satisfactorily participate in 18 something like PQRS.

And so when you're starting with no
specialty-specific measures, it's already
daunting to think about getting your first
through, through a process like NQF has set up.

But to think about developing nine so 1 2 that you could have your members using all measures that are really relevant to what you're 3 doing every day becomes difficult. 4 So, you know, assuring resources for 5 measure development, at least benefitting from 6 7 the experience of groups like STS, and having a tool kit available, will be critically important 8 9 for smaller specialty societies. 10 If I could just make one other 11 comment, the other thing that's complicating our 12 decisions as to whether or not to move forward 13 with an individual measure development right now 14 is the fact that there is a QCDR method which, 15 frankly, is a lower bar with respect to measure 16 development. 17 I'm sure that that's going to change 18 over time. But right now, it's a very attractive mechanism for groups like ours that do have an 19 20 existing registry, want to have measures that are 21 more specific to what we do every day, but can't 22 right now either because of expenses or just time

considerations, come up with multiple measures 1 2 and get them through the NQF process. In the short term, I think it's going 3 4 to be a great way for us to at least get in the 5 But we do recognize the importance and game. value of having measures vetted for more general 6 use outside of, for example, our own specific 7 8 registries. 9 DR. SHAHIAN: Could I just make one 10 comment, Dr. Fleisher? 11 Just with respect to the cost of 12 developing measures, the biggest cost of 13 developing a measure is not on any society's 14 balance sheet. It's the investment of hundreds, 15 in the case of STS, volunteers who are on calls 16 at 6:00 in the morning and 9:00 at night, who 17 have taken the time to make themselves really 18 experts in some of these methodological issues. 19 The contribution of those individuals 20 cannot be -- I really can't adequately express 21 it. But it is far greater than the actual cost 22 that you see on a balance sheet.
1	And I think any society that wants to
2	get in this game really has to develop that cadre
3	of people who are really committed to doing this.
4	That's the key to our success, I think.
5	DR. FLEISHER: We'll go with a couple
6	quick comments.
7	Rick, did you want to say and we're
8	going to end where it all began with Fred.
9	DR. DUTTON: Very quickly, I will echo
10	what Lee said about the we were here last year
11	as a new measure developer, had a miserable time,
12	but the staff really stepped up to help us this
13	year and it was much has been a much better
14	process.
15	I think that's the barrier I would put
16	on the table for societies just getting into
17	this.
18	To echo the other comments, I think
19	having a registry is pretty much critical at this
20	point if you're going to have the data in order
21	to build measures, and we are slowly developing
22	that.

I agree with what Dr. Asher said about 1 2 the QCDR, but we look at it slightly differently. That's our farm team, that's where we can develop 3 4 the measures in a very specialty-specific way and 5 get the data we need to advance into the NQF, and that's our intention with what we have in that 6 7 pipeline now. 8 DR. FLEISHER: Great. 9 DR. ASHER: I think we'd view OCDR the 10 same way. 11 DR. FLEISHER: Great. Fred's going to 12 make a comment and then Helen's going to finish. 13 DR. GROVER: Yes, I'd just like to say 14 that I obviously -- our group was stimulated, as 15 mentioned, in the '80s. But Karl Hammermeister, 16 my cardiology colleague, when worked developing 17 the VA database, always made the point, and I 18 think it's true and it's been true over the 19 years, that when physicians come forward 20 themselves with things they want to measure for 21 quality, they take ownership over that and 22 they're probably more likely to rise to the

occasion and try to improve on their performance
 when it's something that originates within their
 own group and has meaning with their group so it
 has credibility and validity.

5 But I think what you really need in 6 these, as Dave mentioned, is some leaders that 7 really take this to heart and really want to do 8 it and have fun with it, and the STS leadership 9 always supported this, even when we were getting 10 started.

11 It cost us several hundred thousand 12 dollars when we changed over in the mid '90s for 13 two or three years in a row. But then we became 14 -- when we built our number of people 15 participating, groups and hospitals obviously, we 16 got rid of that deficit.

But you have to have a champion. And we would be willing or there'd be people, like Dave or Jeff in our group, that would be willing to go to a national meeting or a meeting of the leadership of these professional societies to try to stimulate some enthusiasm. Because if you

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don't have the data and have some control over 1 2 what you're doing yourself, somebody else will do it for you. 3 And a lot of times, there'd be these 4 5 people that have no intent of ever going through That's the for-profits in the sky. 6 NOF. And 7 then you're feeling like you're a victim and none of us want to be a victim. 8 9 DR. FLEISHER: Well, it's clear to see 10 why everyone followed you, Fred. 11 So, Helen, did you want to make? 12 DR. BURSTIN: Just a couple of 13 comments. I know I'm between you and lunch. 14 So, I'm pleased to hear from Rick that 15 things are improved because we really have been 16 trying to do as much as we can with up front 17 support, hand holding. 18 Our goal, just to make it clear, is to 19 get these measures through. We want to make sure 20 that whatever comes through the process is good 21 make it through. We don't want to have a 22 "gotcha" feeling. It really is the intent.

The better something comes through the 1 2 process, the easier it'll have going through. 3 So, up front support, we're very invested in 4 that. 5 And I think part of what we'd love to also know from the surgical societies, in 6 7 particular, is what do you need to succeed? Ι mean, as we're moving towards e-measures, for 8 9 example, are there particular elements that would 10 be really useful? 11 So, we've got a new project HHS has 12 funded for us with NLM on coming up with an 13 approach to harmonize value sets, the kind of 14 building blocks of, you know, this is cardiac 15 surgery or this is post-op just to make it very 16 clear, more plug-and-play over time. And, again, 17 we'd love to have further conversations with you 18 on that. 19 The other thing is I know, Marcia 20 mentioned it yesterday, we're going to be 21 convening an extra panel in the next few months 22 to think through this issue of moving for

endorsement for intended use, or at least some grades of endorsement, fully recognizing that, you know, farm team measures may not need to reach the same level of rigor, if you're going to be using it for QI and benchmarking as a measure that might be in a penalty program or a payment program. So, more on that to follow, certainly.

8 But we recognize the field has 9 changed, the idea that a QI measure is held to 10 the same, you know, standards is something as 11 that might be used for public reporting for 12 patients to make decisions, purchasers to make 13 decisions or penalties just doesn't seem logical 14 anymore.

And then lastly, Lee gave us hints to the fact that we also, the Board did approve our proposal recently that we would move to try to develop a measure incubator, and we've moved pretty far along that process.

20 And the idea there would be that we 21 would never endorse measures. That's not our 22 role -- I mean, develop measures, sorry -- we

will continue to endorse measures. There you go,
 there you go.

(Laughter.)

But we would not develop measures but 4 5 there seems to be a need for some entity to help facilitate development. 6 So, we're trying to 7 think about how we can bring to the table folks who have ideas for measures, and that can 8 9 certainly include the specialty societies, hook 10 you up with both test beds, experts and just kind 11 of move the process along and see if we can 12 really kind of disrupt the measure development 13 cycle a bit, move things through more fast and 14 more quickly and particularly give access to data 15 from the concepts stage. As you're developing a 16 measure, here's your test bed. Can you move that 17 along more rapidly, get the outcomes you want? 18 So, certainly more on that to follow. 19 But, again, trying to be very much 20 responsive to what we recognize as a rapidly

22 trying to keep up.

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changing measure development and use world and

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3

1	So, thanks.
2	DR. FLEISHER: Okay, well, let's get
3	lunch. If we could maybe try to get back here
4	around 1:00 and we'll kind of have a working
5	lunch, that'll be great.
6	(Whereupon, the above-entitled matter
7	went off the record at 12:40 p.m. and resumed at
8	12:59 p.m.)
9	DR. GUNNAR: Now that we're in a
10	rhythm. The next measure is 0121, if I have it
11	right. Risk Adjusted Operative Mortality for
12	Mitral Valve Replacement.
13	DR. JACOBS: Yes. So again, we're
14	working our way through the risk adjusted
15	operative mortality measures. And now we're
16	going to have four of them related to the mitral
17	valve.
18	The first two are mitral valve
19	replacement. One without and one with CABG. And
20	then the next two are mitral valve repair for the
21	same.
22	Again, the details are really going to

be very similar to the previous operative 1 2 mortality measures. And the data is basically similar but valve-specific. 3 DR. GUNNAR: And the model is all 4 5 based on a 2009 publication. DR. JACOBS: 6 Correct. 7 DR. GUNNAR: All right. So, Dr. Roth? DR. ROTH: Yes, let's see if we can 8 9 get that momentum re-going again. This was 10 previously endorsed in December 2011. It's a 11 It's risk adjusted operative maintenance. 12 mortality for mitral valve replacement. Percent 13 of patients age 18 years and older undergoing 14 mitral valve replacement who died, both including 15 all deaths during the hospitalization in which 16 the procedure was performed, even if after 30 17 days, and those deaths occurring after discharge 18 from the hospital, but within 30 days of the 19 procedure. 20 Again, this is just replacement. It 21 does not include repair. Repair is another 22 discussion. It's an outcomes measure, of course.

Evidence is pretty much as discussed this
morning.
DR. GUNNAR: Any other discussion on
the evidence?
(No response)
DR. GUNNAR: Hearing none, shall we
vote?
MR. LYZENGA: Voting on evidence. Go
ahead and cast your vote.
Unanimous yes. The measure passes on
evidence. So we'll move to performance gap.
DR. ROTH: There were two groups that
were reviewed December of 2008 I'm sorry, July
of 2008 through June of 2011. And then again
July 2011 through June of 2014.
Average rate was 5.85 percent for the
first time period. 5.26 percent for the second
time period. But the range was somewhat
different. The first time period, 2.7 percent to
12.7 percent. So, quite a bit of variability.
And then the second time period, 5.26 percent to
11.5 percent. Again, somewhat of a variation
11.5 percent. Again, somewhat or a variation

between the two time periods, but very similar. 1 2 DR. GUNNAR: So the question I have is, does the STS recognize the gender 3 4 distinctions in relationship to their modeling, 5 and that's why the two are separated? Is that -or why -- you don't throw them in the same 6 bucket. Or do you distinguish the gap based on 7 gender, but gender doesn't impact the model by 8 9 definition, right? 10 MR. HE: Yes, we use the same model 11 for both genders. And gender itself is actually 12 a covariant, a predictor in the model. But we 13 provide the results by gender. We provide all 14 the evaluation statistics by gender so that the 15 requirement of the form is met. So we don't do 16 anything differently for male and female. 17 DR. GUNNAR: Yes. I asked it poorly, 18 probably. But the model isn't a separate model 19 for male or a separate model for female? It's a 20 combined model. 21 MR. HE: Yes. 22 You've just identified a DR. GUNNAR:

performance gap between gender, correct? 1 2 MR. HE: Yes. So, for most of the predictors, like ejection fraction, we don't 3 assume that there is a different effect between 4 5 gender groups. So the same effect is used. The only exception is body surface 6 7 So, for body surface area, a male and area. female have different effect size. But that's 8 9 the only exception. 10 Which leads to the DR. GUNNAR: 11 missing data conversation. And you've improved 12 over time, but it's still pretty much the same. 13 EF is your highest component of missing data. 14 It's small, but just for -- and you do your best 15 to apply really the lowest impact data element if 16 there's a missing piece of data. 17 MR. HE: Yes. 18 DR. GUNNAR: I just wanted to -- any 19 other questions about performance gap? 20 (No response) 21 DR. GUNNAR: Hearing none, go ahead 22 and vote.

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1	MR. LYZENGA: Voting on the performance
2	gap. Variation in performance or overall less
3	than optimal performance.
4	We have 48 percent high, 52 percent
5	moderate, zero low, zero insufficient. The
6	measure passes performance gap.
7	And barring any objections from our
8	Committee, we will carry over our votes on
9	reliability and move to validity.
10	(No response)
11	MR. LYZENGA: Seeing none, let's
12	discuss validity. Or is there any discussion on
13	validity? Any comments or questions?
14	DR. ROTH: Actually, I don't have any
15	additional comments on it. You know, it's 19,000
16	operations with 989 participants. It's a pretty
17	large number.
18	DR. GUNNAR: Let's go ahead and vote
19	on validity.
20	MR. LYZENGA: So, again, voting on
21	validity. The question is whether the measure is
22	an accurate representation of quality care in

1

this area.

2 We have 65 percent high, 35 percent moderate, zero low, zero insufficient. 3 The 4 measure passes on validity. 5 And if there are no objections from the Committee, we will carry over our votes on 6 7 feasibility and usability. 8 (No response) 9 Seeing no objections, we MR. LYZENGA: 10 will vote on overall suitability for endorsement. 11 Go ahead and cast your vote. 12 And we have a unanimous yes. The 13 measure passes. 14 So, next we'll move to --15 DR. GUNNAR: So, we wanted to -- the 16 question is, could we just do the repair next? 17 Because that's mine. I'm discussing, but also it 18 is a mirror of this, literally. There are 19 different numbers obviously, but -- and so the 20 question is begged: why did you separate mitral 21 valve replacement from mitral valve repair? 22 DR. JACOBS: Right. And that's a

1 great question. I think the operative mortality 2 in the short and long term outcomes are different in the two subsets. And probably the patients 3 4 that get one versus the other are different. So 5 it makes sense to have separate models for the 6 two. 7 Some patients are candidates for both.

8 Some patients are clearly only candidates for 9 replacement. But we felt that the patient 10 subsets were different enough and their outcomes 11 were different enough that it makes sense to 12 create two models.

So the measure is, as you said, the same that we just discussed for replacement, but now for patients who undergo repair of the mitral valve.

 17
 DR. GUNNAR: Do you want to say this

 18
 is 1501?

MR. LYZENGA: Yes. To clarify, we're
on 1501 now. Risk Adjusted Operative Mortality
for Mitral Valve Repair.

DR. FLEISHER: So, any other comments

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or questions from the Committee? 1 2 (No response) So, from the patient's 3 DR. FLEISHER: 4 perspective, if they're coming in, they should 5 look at both? I'm just curious, from a gap analysis, from a --6 7 DR. JACOBS: Yeah. I think, from the patient's perspective, step one is to have a 8 9 detailed heart to heart discussion with your 10 surgeon about what operations you might end up 11 getting. And what's the likelihood of having a 12 replacement? What's the likelihood of having a 13 repair? 14 And I think some patients go to the 15 operating room where the plan is 100 percent to 16 replace the valve. Other patients go to the 17 operating room that we're going to do our very 18 best to do a repair and only replace it if we 19 have to.

Those patients who go with the plan we're going to do our very best to do a repair and only replace it if we have to, some have an

anatomic substrate that it's almost close to, but not exactly 100 percent chance that they'll get a repair. Others have an anatomic substrate that's 50/50.

5 So, based on all that, you know, which 6 data they look at, they should talk to their 7 surgeon. And then probably look at both sets of 8 data. And they'll go in there having a good idea 9 what it's going to be, but not knowing for sure 10 sometimes.

DR. FLEISHER: Yes, Melissa?

12 MS. THOMASON: That's exactly the 13 conversation I had to have with my surgeon. So 14 that's exactly how it went down. But I would 15 have wanted to go see information for both and 16 see them separately. In having the valve 17 entirely replaced, first it's repaired, was an 18 entirely different set of long term implications 19 for me and all of that, so.

20 DR. FLEISHER: Thank you. And thank 21 you for the honesty to provide us with your 22 story.

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Given the similarity, are there any 1 2 comments about any aspect? Or should we just vote continuously? 3 4 (No response) Hearing no comments, 5 DR. FLEISHER: Andrew, take us through it. 6 7 MR. LYZENGA: Let's vote. All right, so we're voting first time evidence, whether a 8 9 rationale supports the relationship of the health 10 outcome to at least one healthcare structure, 11 process, intervention or service. 12 We have a unanimous yes on evidence. 13 And we'll go to performance gap. Unless there 14 are any objections, we'll go ahead and vote. 15 Voting on performance gap. 16 We have 29 percent high, 71 percent 17 moderate, zero low, zero insufficient. If there 18 are no objections from the Committee, we'll carry 19 over our votes on reliability and move to 20 validity. Any comments or questions on validity? 21 22 (No response)

MR. LYZENGA: Seeing none, let's go 1 2 ahead and vote. 73 percent high, 27 percent moderate, 3 zero low, zero insufficient. And unless there 4 5 are any objections, we will carry over our votes from feasibility and usability. 6 Seeing none, we will go ahead and vote 7 on overall suitability for endorsement. 8 9 Unanimous yes. Measure passes. So 10 now we're going --11 DR. GUNNAR: So, let's go back to 12 0122. Which is Operative Mortality Mitral Valve 13 Replacement and CABG. And again, Dr. Handy, do 14 you have any other? 15 DR. HANDY: It's the same as before. 16 It's just a question of magnitude. 17 So, jumping way ahead, if you look at 18 the performance gap, whereas the highest tercile 19 of mortality in the mitral valve repair was three 20 percent, this is 20.6 percent. So, 21 percent 21 versus the lowest tercile, which is 2.3. 22 Other than that, it's the same. Just

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proportional risk.

2 DR. JACOBS: Sure. And it makes 3 It's a bigger operation with higher risk. sense. 4 DR. GUNNAR: So, just to go back, and 5 they showed two periods of time. And in the mitral valve world, if I read them all right, 6 7 there's been more incremental increase or improvement in men than there has been in 8 9 females. In both mitral valve and mitral valve 10 repair. And I didn't review this one. Were the 11 sexes, did gender make a difference in the level 12 of improvement across risk groups? 13 I don't know. DR. HANDY: I didn't 14 look at it -- I didn't look for that, Bill. 15 I just thought we'd have DR. GUNNAR: 16 some dialogue because we've gone through so many 17 today. 18 (Laughter) Trying to slow us down. 19 DR. GUNNAR: 20 DR. JACOBS: The nice thing is that, 21 with the discussion we had this morning about 22 operative mortality and some of the other

building blocks, it just sets all this up. 1 2 Because this is now just all based on a variety of building blocks that we put together to put 3 4 these measures together. And then the composites 5 are the next set of building blocks. We're headed towards the DR. GUNNAR: 6 But I did want to sort of -- if you 7 composite. had any reflections on sort of the gap or the 8 9 improvement. 10 From an NQF perspective, these 11 measures are supposed to, you know, at least push 12 improvement. And so the question here is, did 13 it? And can you show any? 14 DR. JACOBS: You know, I mean, I think 15 the issue of mitral valve surgery in women is a 16 complex issue. But maybe the simplest way to 17 look at it is that, in general, women are 18 smaller. Their hearts are smaller. Their mitral 19 valve is smaller. The mitral valve annulus is 20 smaller. Getting a good prosthesis in there is 21 more difficult. And doing a repair on a smaller 22 mitral valve is a little bit more challenging.

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1	That's not based on a lot of data.
2	That's based on doing a lot of surgery.
3	DR. GUNNAR: Yeah, and mine was merely
4	an observation. And I think that you've
5	confirmed that it exists. It's just, you know,
6	there is no current explanation for it. But
7	there is signs of improvement over the periods of
8	measurement.
9	DR. JACOBS: Yes. Agreed.
10	DR. GUNNAR: Okay. All right.
11	MR. LYZENGA: Okay. Let's vote on
12	evidence.
13	We have a unanimous yes. So we'll
14	move onto performance gap. Any comments or
15	questions?
16	DR. KO: I just have quick question.
17	There's a table, and I feel so moronic because I
18	can't understand the table.
19	But the mean, it says, and this is on
20	page four at the top where it says 953 STS
21	participants. And it's the mean, N is 7.7 and
22	the percent in the column, percent operative

mortality is 12 percent, 11.9. So that is the 1 2 mean mortality rate of this procedure? This combined procedure? 3 4 DR. HANDY: We're trying to locate the 5 table. It's a common methodology across all 6 7 these applications. And what they do is, this is that particular one, Cliff, is looking at the 8 9 consistency of your operative mortality tercile 10 in the earlier time period versus the second time 11 period. And so, in general, what you see is 12 13 that the best performers stay best, and the worst 14 stay worst. But in this particular case, they 15 actually flipped. 16 And that's the one that you're looking 17 It's the 8.6, 11.1, 9.4. at, I believe. Is that 18 what we're talking about? This is on page 28 of 19 the empirical validity. It's a graphic. 20 DR. KO: Is that what you're talking 21 about? You're talking about on page four? DR. HANDY: I think that's the word --22

1 DR. KO: On the top of page four, the 2 word -- it says calculation of MV replacement and CABG operative mortality. 3 4 DR. HANDY: Yes. We're there. DR. KO: You see 36 months. Do you 5 see it? 6 7 MR. HE: Yes, so that table actually summarizes both the sample size, the denominator 8 9 size of each hospital, of all the hospitals. And 10 also the raw observable operative mortality rates 11 across all hospitals. 12 So if you look at a mean role, so it 13 basically means the average sample size across 14 all hospitals is 7.7. And the average mortality 15 rates across all hospitals -- and by the way, 16 this is hospital level average, it's 11.9. 17 Does that clarify? 18 DR. KO: Yes. And then the 19 percentages down the left side, it looks like 50 20 percent of the samples have zero percent 21 mortality? Is that what that means? 22 MR. HE: Yeah. Those are the

So, right, that means 50 percent of 1 percentiles. 2 the participants didn't have any patient experience in the mortality event. 3 4 DR. KO: Okay. Thank you. DR. DUTTON: That shouldn't be 5 surprising since the average center did nine of 6 7 these cases in the year and one of them died. DR. HANDY: 8 Correct. 9 DR. DUTTON: So, half the centers 10 would have no mortality, predictably. 11 DR. HANDY: Exactly. 12 MR. LYZENGA: Any other comments on 13 performance gap, or questions? 14 (No response) 15 Seeing none, let's go MR. LYZENGA: 16 ahead and vote on performance gap. The question 17 is whether there is variation or overall less 18 then optimal performance across providers. 19 We have 43 percent high, 57 percent 20 moderate and zero low and zero insufficient. The 21 measure passes on performance gap. 22 And unless there are any objections

1	from the Committee, we will carry over our
2	reliability voting results.
3	Seeing none, we'll go to validity.
4	Any comments on validity?
5	Hearing none, we'll go ahead and vote.
6	Voting now on validity.
7	Sixty-three percent high, 37 percent
8	moderate, zero low, zero insufficient. The
9	measure passes validity.
10	Unless there are any objections from
11	the Committee, we'll carry over our votes for
12	feasibility and usability.
13	(No response)
14	MR. LYZENGA: Seeing none, let's vote
15	on overall suitability for endorsement.
16	MS. THOMASON: I have a question.
17	MR. LYZENGA: Yes, go ahead.
18	MS. THOMASON: I just wanted to
19	clarify. So all of the measures we've talked
20	about this morning, are they still at this point
21	publicly reported information disseminated just
22	like we talked about before?

So, let's take it 1 DR. JACOBS: Yeah. 2 from the beginning. All of the three congenital measures are publicly reported. 3 4 The adult measures are publicly 5 reported as composite scores, with the ability to then drill down to the components of the 6 7 composite. But the status of the different measures are a little bit different. 8 9 So, CABG and all the CABG related 10 measures have been publicly reported through the 11 CABG composite since 2010. And STS has made the 12 commitment to roll out at least one new measure 13 every year, because it takes time to roll them 14 out for public reporting. 15 So, right now we're publicly reporting 16 isolated CABG, isolated aortic valve replacement, 17 aortic valve replacement combined with CABG, and 18 the congenital measures. The next ones on the 19 schedule to be rolled out are the mitral valve 20 measures and some general thoracic measures. 21 So, the short answer to your question 22 is, everything is currently publicly reported

that we've talked about today except for the 1 2 mitral valve measures, and the mitral valve measures we anticipate being rolled out within 3 4 the next year on public reporting. 5 But to clarify, if you go DR. GUNNAR: to your hospital, they may not, even though they 6 7 do aortic valve and mitral valves, they may not report the valve bundles. They'll report their 8 9 CABG bundle if they've signed onto it. 10 DR. JACOBS: Right. So the point 11 there is that STS is a professional medical 12 society and not the government. We can't mandate 13 anybody to do this. We can just create the 14 service to publicly report. And each hospital 15 and each cardiac surgical program has to decide 16 whether or not they're going to do it. 17 Every year the number of programs who 18 are doing it is increasing. And there's huge 19 efforts within STS to get more programs to sign 20 up and participate. 21 And those efforts range from blast 22 emails to webinars to telephone campaigns where

Fred and Dave and several STS leaders even 1 2 divided up the names of non-reporting programs and called them. 3 4 So, we're working on getting more and 5 more programs to report. And it's getting better But you're absolutely right, not 6 every year. 7 everybody is publicly reporting. And when they publicly report, they do 8 9 not necessarily have to publicly report each 10 But it's getting better every year. measure. 11 MR. LYZENGA: All right. If anybody 12 has not voted, yet for overall suitability for 13 endorsement, please do so now. 14 And we have a unanimous yes. The 15 measure passes. And I think now we're onto our 16 composite. The last measure of the day. 17 DR. GUNNAR: No, we've got one more. 18 MR. LYZENGA: One more. My mistake. 19 DR. GUNNAR: Right, 1502, exactly. 20 This is exactly as was just said. This is the 21 last iteration. This is repair plus CABG. 22 Everything we said so far stands. And

nothing new to add. 1 2 DR. YATES: As the reviewer, I concur. It's an outcomes measure. You can -- and there's 3 performance gap. 4 5 And the rarity of event? DR. GUNNAR: The channel -- what is the average per center? 6 7 DR. YATES: The average per center has stayed the same over two time periods of around 8 9 five percent. The range has become less over 10 time from the high going from around 13 percent 11 down to eight percent. But still represents two 12 and a half full difference from the low in the 13 range of about three percent. So there's a wide 14 range. And it remains in according to 15 performance gap. 16 DR. DUTTON: And this is way more 17 common than replacement. There are 14 per 18 center, per year in this. 19 DR. GUNNAR: Originally. So there's 20 a significant performance gap I believe. 21 So we're voting on evidence. 22 DR. YATES: There is evidence first.

1 It's an outcomes measure. They did provide 2 evidence, but it wasn't required. DR. LYZENGA: So, voting on evidence 3 4 first. Go ahead and cast your vote. 5 And we have a unanimous yes. And is there any discussion on performance gap? 6 Or 7 should we go ahead and vote? Let's vote. 8 Forty-seven percent high, 53 moderate, 9 zero low, zero insufficient. Measure passes on 10 performance gap. 11 If there are no objections from the Committee, we'll carry over our reliability 12 13 voting and move to validity. Any comments or 14 questions on validity of this measure? 15 Seeing none, we'll go ahead and vote. 16 Voting now on validity. 17 Fifty-eight percent high, 42 percent 18 moderate, zero low, zero insufficient. The 19 measure passes on validity. 20 Baring objection from the Committee, 21 we will carry it forward, our votes on 22 feasibility and usability.

Seeing no objection, we'll move to 1 2 overall suitability for endorsement. Any comments or questions before we vote? No? All 3 4 right. Voting now on overall suitability for 5 endorsement. And we have a unanimous yes. 6 The 7 measure passes. And now on for a composite. 8 9 So the next measure up is DR. GUNNAR: 10 0696, STS CABG composite score. So Jeff, do you 11 have any comments for that? 12 DR. JACOBS: Right. So now we're 13 moving into -- yes, we're moving into a different 14 type of measure, a composite measure. 15 And this is the STS CABG composite 16 score. And it's based on a combination of 11 NOF 17 endorsed process and outcome measures. 18 The composite is divided into four 19 domains. Domain number one is the absence of 20 operative mortality. Domain number two is the 21 absence of major morbidity, which is defined as 22 patients who do not experience any major

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morbidity.

2	The morbidities include re-operation
3	for any cardiac reasons, which we discussed
4	earlier today; renal failure; deep sternal wound
5	infection, which we discussed earlier today;
6	prolonged mechanical ventilation; and
7	cerebrovascular accident or permanent stroke. So
8	it's an absence of all of those major
9	complications.
10	The third is a process measure, which
11	is use of the internal mammary artery. Which is
12	a process measure that's been shown to be
13	associated with improved short term and long term
14	outcomes after coronary bypass grafting.
15	And then the fourth domain is a
16	perioperative medications bundle that is the
17	proportion of patients who receive all of the
18	required perioperative medications. Including
19	preoperative beta blockade, discharge
20	antiplatelet medication, discharge beta blockade
21	therapy and discharge anti-lipid medication. Two
22	of those four we discussed today.

All four of those and really all the 1 2 components are previously endorsed NQF measures. So what's new here is that we take these 11 3 4 previously endorsed NOF measures and put them 5 into a statistical model. Which then allows reporting publicly the programs stratified. 6 7 And the way we're doing it is into a Programs are assigned one star, two 8 star system. 9 star or three stars. About 75 percent of the 10 programs are two star programs. And about 12.5 11 percent are one star and three stars. 12 And this is the first STS measure that 13 has been publicly reported. And it's been 14 publicly reported since 2010. 15 And that's a rapid summary of how this 16 works. And I'd be happy to answer any questions. 17 DR. GUNNAR: I think the only other 18 thing we had before we roll it out, Lynn, is the 19 fact that we endorsed which composite measures 20 I think we endorsed AVR and AVR CABG on last? 21 our last round if I'm not mistaken. 22 DR. YATES: We also did renal failure

and prolonged ventilation/intubation as outcomes, 1 2 separately. 3 DR. GUNNAR: Yes. 4 DR. YATES: And I think CVA. 5 So, Lynn? DR. GUNNAR: Okay. I believe we've discussed 6 MS. REEDE: It's been 7 the evidence on this measure as well. audited in the same the other measures were 8 9 inside the composite. 10 Any other discussion? DR. GUNNAR: 11 All right. We'll vote on the 12 evidence. 13 MR. LYZENGA: Okay, we have one more 14 on the phone there. Yes. Got it. 95 percent 15 yes, five percent no. Measure passes evidence. 16 We'll move to performance gap. 17 DR. GUNNAR: Any comments Lynn? 18 MS. REEDE: Nothing new. 19 Yes, I think the star DR. JACOBS: 20 system along shows there's a performance gap when 21 there's about 12.5 is one star, 12 and a half is 22 three star.

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DR. GUNNAR: And that's based on a 95 1 2 percent confidence interval on these measurement assess -- on the measurement assessments, right? 3 This one actually used a 98 4 MR. HE: 5 percent Bayesian type credible integral. And the choice of 98 over 95 is the course of isolated 6 7 CABG population is pretty large --- very large actually. 8 9 And we are combining four domains. So 10 that gives us more accuracy. So we want to 11 divide the hospitals more reliably in a sense. 12 So we're using more strict criteria. 13 DR. JACOBS: Yes. The -- I'm going to 14 venture into statistical territory, which is a 15 little dangerous here. But --We encourage that Jeff. 16 DR. GUNNAR: 17 DR. JACOBS: The quote that I've heard 18 that explains this to most -- that surgeons like, 19 is that by using a 98 percent Bayesian credible 20 index, it means that the outliers that are 21 identified were 99 percent certain that they're 22 true outliers.
1	So that's how those numbers came				
2	about. And I think people who are identified as				
3	outliers like to know that there's a 99 percent				
4	certainty statistically that they really are an				
5	outlier. Did I say that right?				
6	DR. GUNNAR: Yes. And it exceeds				
7	beyond a reasonable doubt. There's no doubt.				
8	Liz?				
9	DR. EREKSON: Oh, sorry. So this is				
10	one of the measures that's been publicly reported				
11	for the longest amount of time. Can you guys				
12	comment on unintended consequences of public				
13	reporting?				
14	Or because this public reporting is in				
15	effect, are you seeing centers moving away from				
16	more surgery? Or dropping out of the database?				
17	Anything like that as a consequence of the five				
18	years of public reporting experience?				
19	DR. JACOBS: Great. So that's a				
20	really important question you're asking. I think				
21	there's many potential unintended consequences of				
22	public reporting.				

And it's in general, very important to 1 2 keep our eye on those potential unintended Because everybody in this room I 3 consequences. 4 think, believes that on the whole public 5 reporting is a good thing. And we don't want to have bad things happen because we're doing 6 something we believe is a good thing. 7 I think the most feared unintended 8 9 consequence is risk aversion. To state that 10 simply, a surgeon or a hospital doesn't want to 11 operate on a high risk patient because they could 12 look bad on a publicly reported report card. 13 And the solution to risk aversion is 14 having solid risk adjustment methodologies that 15 level the playing field and credit providers, 16 surgeons and hospitals for caring for the high 17 risk patients. And those are the patients that 18 may actually benefit the most from surgery. 19 So I think although risk aversion is 20 a potential unintended consequence, we mitigate 21 against that risk by having what I would believe 22 are the best risk adjustment models in all of

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medicine within this composite.

2 And to date, no one has shown us any evidence that risk aversion is taking place 3 because of public reporting and we've not seen 4 5 any evidence that that's taking place. DR. SHAHIAN: I can add a little bit 6 to that too, Jeff. We've going to be publishing 7 our work. We're presenting it next month at the 8 9 American Surgical on our first four years of 10 experience with public reporting. 11 And we looked specifically at the 12 question of risk aversion. And found that there 13 has been essentially zero change in the expected 14 mortality rates over that four year period of 15 public reporting. 16 And in fact, some of the most 17 important risk predictors have actually increased 18 in frequency. Like preoperative renal failure, 19 severe chronic obstructive pulmonary disease, 20 have actually gone up a little bit. 21 And so, there has really been no 22 evidence that programs overall are shying away

from the more difficult cases. That was
 certainly one of the things that we wanted to
 confirm.

DR. YATES: Is there the positive possibility that the trust in your risk stratification, your risk adjustment model is so good that surgeons feel more comfortable taking on higher risk patients then maybe they did in the earlier part of the process?

DR. SHAHIAN: I would hope so. We just recently published another paper. The lead author is Englund. Showing that -- and this is in over a half a million patients over the last three years.

15 If you stratify them by quintiles of 16 expected mortality risk, the O/E ratio was 17 essentially unity for each of those categories 18 except the very highest risk group of hospitals. 19 The ones that were doing the most difficult 20 And it turns out their O/E ratio was .8. cases. 21 So actually the risk modes over 22 predict mortality at the high end by a little

bit. And actually give more credit than is
 probably warranted to programs that are doing
 very high risk.

And if you take all the high -- the highest risk cases from the hospitals, three years of experience and compress them into one year, in a sort of a thought experiment. And look at the O/E ratio for that nightmare year, which occasionally can happen, there's still -they still have an O/E ratio that's about one.

So, I think the adequacy of risk adjustment has been demonstrated. I hope that we're getting that message across to our participants. I think we are.

DR. GUNNAR: When you do your audits and you got that four percent that's -- your rate of reliability is not met, did they tend to upcode or down -- or underestimate or overestimate? Or is that anything you can say about the five year outcome.

21 DR. SHAHIAN: I'm not sure I've 22 noticed a consistent trend. Jane, do you recall

1 or Jeff? 2 DR. JACOBS: No, I don't know. Ι 3 didn't see that. 4 DR. GUNNAR: My hypothesis would be, 5 is that people don't game that. They'd probably under represent their comorbidity. And that's 6 7 what -- you know, they leave things out. So, you may want to look at that. 8 It 9 would be interesting to --10 DR. SHAHIAN: No, that would be a very 11 important question to look at a little bit 12 further. I think that --13 DR. GROVER: Yes, with the VADs -- the 14 VADs have had a great improvement over the past 15 several years with the nonpulsatile VADs. And 16 ECMO being used even more in the temporary VADs. 17 And it may be that some of these 18 people in the risk models based, you know, in the 19 last couple of years. And we re-up it every 20 what? Every three years? Every two years? We re -- every three, calculate it. 21 22 But it may be that some of the

technologies are ahead of our re-upping that 1 2 which is affecting it as well. I mean, we have some incredibly high people that come into our 3 4 place from out -- other hospitals that are just 5 moribund that respond to ECMO for example. Get a coronary bypass and walk out. 6 7 DR. GUNNER: Go then, Cliff? Oh, I don't know, oh, here I 8 DR. KO: 9 I don't know where this guestion belongs in am. 10 terms of all these things. But, are these 11 weighted? Are the domains weighted? Or are they 12 just all equal? 13 MR. HE: Yes. They are weighted. So 14 the mortality domain has a larger -- largest 15 weight amount of the four domains. 16 And the way we weighted them, so we 17 designed different weights to different domains. 18 And the goal is that the final values made 19 clinical sense. So even though in the measure 20 development, we derived those weights using the 21 statistical method. 22 And we actually just used one over the

standard deviation of the specific domain. So the domain with the larger variation, was assigned the lower weight. That's how we started.

Actually, when we -- when we developed the measure, we look at different ways. That's the one we choose. And we make sure that the number makes clinical sense. And we continuously make sure that makes sense and reflects the current clinical practice.

I think one example is that -- so, IMA
when the measure was first developed, the IMA
usage was not very consistent across the board.
But it gets really consistent right now.

So, almost everybody who is getting IMA, it's really good. So the IMA's variation gets really small. But we are not giving IMA our larger weight just because of that. We keep using our original weight because we think that makes clinical sense.

21 MR. LYZENGA: And I'll just note that 22 we actually have an opportunity to vote on that.

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We'll have two additional questions for 1 2 composite. 3 One, in just a moment, on the conceptual basis for the composite measure 4 5 construction. And then one a little bit later on the empirical results around their construction. 6 7 DR. GUNNAR: Dr. Dutton? Yes, so, based on a lot 8 DR. DUTTON: 9 of experience with trauma registry scoring over 10 decades, once you set a benchmark, you would 11 expect as science marches on, everybody to get 12 better. And I think you said you're seeing that 13 in the data. 14 And that's why the .8 O/E in the 15 highest group. How often are you resetting the benchmark in this data? 16 17 DR. JACOBS: The model is recalibrated 18 every three years. 19 I think we use the last three MR. HE: 20 years of data to recalibrate the model. That way 21 we actually recalibrate the model every time the 22 measure was calculated.

1	So we used the latest three year as
2	the benchmark.
3	DR. JACOBS: What he said.
4	MR. LYZENGA: Any more comments on
5	performance gap?
6	DR. GUNNAR: Test the vote? Yes.
7	MR. LYZENGA: Let's go ahead and vote
8	on performance gap.
9	Fifty-six percent high, 44 percent
10	moderate and zero low, zero insufficient. The
11	measure passes on performance gap.
12	And Alexandra, if you would skip over
13	high priority. But there will be one more here,
14	1D. There we go, composite.
15	So this is the the question here is
16	whether the conceptual basis for the composite
17	construction, weighting methodology, that sort of
18	thing, is explicitly articulated and logical or
19	that the sort of quality construct makes sense.
20	And then there's a rationale for distinctive
21	additive value of the composite itself.
22	Any discussion? Comments or

questions? 1 2 Okay. Let's go ahead and vote. Eighty-four percent high, 16 percent 3 4 moderate, zero low, zero insufficient. So, the 5 measure passes on importance to measuring report. And we'll go ahead and move to 6 7 reliability. 8 DR. GUNNAR: Lynn, any comments on 9 reliability? 10 MS. REEDE: So, reliability, I think we discussed. Again, it's been audited over the 11 12 last few periods of time. The risk prediction 13 model has been good. 14 And I really have nothing more to add. 15 DR. GUNNAR: Any discussion? 16 It will be in vote. 17 MR. LYZENGA: Okay. We're voting on 18 reliability. 19 Okay, with 74 percent high, 26 percent 20 moderate. The measure passes reliability. And 21 we'll go to validity. 22 DR. GUNNAR: Any further discussion?

1 Let's go ahead and vote. 2 MR. LYZENGA: Okay. We're voting on validity. 3 4 We have 63 percent high, 37 percent 5 moderate, zero low, zero insufficient. The measure passes on validity. 6 And we got one more composite specific 7 here in just a moment. There we go. So this is 8 9 again, we just looked at the conceptual basis of 10 the composite. And now we're -- the question is whether the empirical analysis supports the 11 12 composite construction. 13 MS. REEDE: So, signal to noise ratio 14 was used to look at this particular measure for 15 reliability. So that it looked at the 16 differences between hospital versus random 17 statistical fluctuations, making the measure 18 valid. 19 DR. GUNNAR: Any other comments? 20 Let's go ahead and vote. 21 MR. LYZENGA: Voting on the scientific 22 acceptability of the composite measure

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properties.

2	79 percent high, 21 percent moderate					
3	and zero low, zero insufficient. The measure					
4	passes scientific acceptability.					
5	And we'll go to feasibility. Any					
6	additional comments same? Everybody all right					
7	with this? Or do we vote? Or can we carry					
8	forward?					
9	DR. GUNNAR: You can carry forward.					
10	MR. LYZENGA: All right. We'll carry					
11	forward our votes on feasibility and usability					
12	for this one was well.					
13	And go to overall suitability for					
14	endorsement. Any comments or questions before we					
15	vote?					
16	Hearing none, let's go ahead and vote.					
17	Unanimous yes. The measure passes.					
18	And that will do it for our measures today. Well					
19	done. Good work everyone.					
20	So actually we should take a moment					
21	for public comment. Operator, could you open the					
22	lines?					

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1	DR. GUNNAR: We vetted it at 2:30, but
2	do we wait or what happens?
3	MR. LYZENGA: We can open it up right
4	now and see if there's anybody who wants to.
5	(Laughter)
6	MR. LYZENGA: Operator, is there
7	anybody on the line who wants to make public
8	comment?
9	OPERATOR: Okay, at this time if you
10	would like to make a public comment, please press
11	star then the number one.
12	There are no public comments at this
13	time.
14	MR. LYZENGA: Thank you. So that will
15	do it for our measure review.
16	Juliet, do you want to talk about next
17	steps for a moment? Just give us a
18	MS. FELDMAN: So, as we presented at
19	the start of our meeting, we have a post-meeting
20	webinar scheduled for next Friday the 27th. We
21	will discuss as a project team whether we think
22	that it's still needed, given that we've gone

we got through all the measures today. 1 2 I know we didn't have a thorough discussion of gaps. So, we'll determine whether 3 that can be done via email or whether it's worth 4 5 meeting during that time. MR. LYZENGA: Keep the call in on your 6 books, on your schedule for now. 7 MS. FELDMAN: And we'll be in touch 8 9 early next week. And then we will get to writing 10 the draft report. So, we will keep you posted on 11 our progress with that. And we will likely be 12 following up with you. 13 Are there any questions regarding next 14 steps in the process? 15 We will also have a MS. MURPHY: 16 summary of the discussion of related and 17 competing, which we'll bring back to the group. 18 MS. MOYER: Do we have that one AHRO 19 measure that might have been coming back for the 20 call? 21 MR. LYZENGA: Yes. Thank you for the 22 reminder. We will be coordinating with AHRQ and

it's still I think a question of whether it will 1 2 be feasible for them to bring it back within this cycle. 3 4 But we'll be -- they're I think 5 investigating that as we speak. And we'll be talking to them and let you know what the plan 6 7 is. And as Juliet mentioned, we would like 8 9 to have some more discussion about gaps in the 10 surgery portfolio. Gaps in surgical measurement. 11 We did create a little document that we can kind 12 of pass out to you right now. That you can take 13 a look at on your ride home. 14 And that offers a few spaces. It's 15 broken out by topic area. So the current 16 measures are separated out into general topic 17 areas. 18 And we would just ask you to take a 19 look at what's there currently. And then there 20 are a few spaces at the bottom of each little 21 section, each table, where we -- we'll send you 22 an electronic copy of this document.

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And we'll ask you to just fill in a 1 2 couple of potential measure concepts if you can think of any in that particular area. Or just if 3 4 there are gaps in measurement, you could identify 5 those there. And if you do know of any potential 6 7 measure developers in the area, you could just list those out. And that could give us sort of a 8 9 lead for reaching out to measure developers. And 10 helping coordinate measure development in that 11 area. 12 So, we'd appreciate your feedback 13 there. Any other comments? Go ahead, Helen? 14 So I just want to thank DR. BURSTIN: 15 everybody. But I also want to make an 16 announcement. 17 It just seems timely since this is the 18 Surgery Standing Committee, that next week we are 19 delighted to in fact present the Eisenberg Award 20 for patient safety to NSQIP. So Cliff and the 21 folks from ACS will be coming to our meeting and 22 I just wanted to offer that congratulations.

1	(Applause)
2	DR. BURSTIN: For a big honor,
3	especially for somebody like me who spent years
4	working under the tutelage of Dr. John Eisenberg.
5	So, huge honor. He would be delighted to hear
6	that NSQIP got this award.
7	MR. LYZENGA: Thanks everybody for a
8	great meeting. And safe travels.
9	(Whereupon, the above-entitled matter
10	was concluded at 1:51 p.m.)
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CERTIFICATE

This is to certify that the foregoing transcript

In the matter of: Surgery Standing Committee

Before: NQF

Date: 03-20-15

Place: Washington, DC

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