NATIONAL QUALITY FORUM

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SURGERY STEERING COMMITTEE

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THURSDAY, MAY 29, 2014

The Steering Committee met at the National Quality Forum, 9th Floor Conference Room, 1030 15th Street, N.W., Washington, D.C., at 8:00 a.m., Lee Fleisher and William Gunnar, Co-Chairs, presiding.

PRESENT:

LEE FLEISHER, MD, University of Pennsylvania, American Society of Anesthesiologists, Co-Chair (in person and via telephone) WILLIAM GUNNAR, MD, JD, National Surgery Program Office, Veterans Health Administration, Co-Chair ANTHONY ASHER, MD, FAANS, FACS, Carolina Neurosurgery & Spine Associates ROBERT CIMA, MD, MA, Mayo Clinic RICHARD DUTTON, MD, MBA, Anesthesia Quality Institute ELISABETH EREKSON, MD, MPH, Dartmouth Hitchcock Medical Center FREDERICK GROVER, MD, University of Colorado School of Medicine JOHN HANDY, MD, American College of Chest Physicians MARK JARRETT, MD, MBA, North Shore-LIJ Health System* CLIFFORD KO, MD, MS, MSHS, FACS, American College of Surgeons, UCLA School of Medicine, American College of Surgeons BARBARA LEVY, MD, FACOG, FACS, American College of Obstetricians and

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Gynecologists BARRY MARKMAN, Aetna KELSEY McCARTY, MS, MBA, Massachusetts General Hospital LAWRENCE MOSS, MD, Nationwide Children=s Hospital AMY MOYER, The Alliance KEITH OLSEN, PharmD, FCCP, FCCM, University of Nebraska Medical Center, American Society of Health-System Pharmacists COLLETTE PITZEN, RN, BSN, CPHQ, MN Community Measurement LYNN REEDE, DNP, MBA, CRNA, American Association of Nurse Anesthetists GARY ROTH, DO, FACOS, FCCM, FACS, MHA Keystone Center CHRISTOPHER SAIGAL, MD, MPH, UCLA ROBERT SARWIN, MD, MS, Seattle Children=s Hospital, Organization of Children=s Hospital Surgeons-in-Chief ALLAN SIPERSTEIN, MD, Cleveland Clinic LARISSA TEMPLE, MD, Memorial Sloan-Kettering Cancer Center A.J. YATES, MD, University of Pittsburgh Medical Center

NQF STAFF:

HELEN BURSTIN ANN HAMMERSMITH VY LUONG ANDREW LYZENGA AMARU SANCHEZ REVA WINKLER

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ALSO PRESENT:

MATT BRENGMAN KEZIAH COOK * DEBORAH DEITZ ELIZABETH DRYE CAROLINE GALLAHER * LEIN HAN JEFF JACOBS JOHN MORTON * SEAN O=BRIEN DONNA SLOSBURG * LISA SUTER KIM WOOD *

*present via telephone

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A-G-E-N-D-A

Welcome and Recap of Day 1 5 Consideration of Candidate Measures 0119: Risk-adjusted Operative Mortality for CABG (STS) 7 Hospital 30-day, All-cause, Risk-2558: Standardized Mortality Rate (RSMR) Following Coronary Artery Bypass Graft (CABG) Surgery (CMS) 51 0264: Prophylactic Intravenous (IV) Antibiotic Timing (ASC) 102 0126: Selection of Antibiotic Prophylaxis for Cardiac Surgery Patients (STS) . . . 113 0128: Duration of Antibiotic Prophylaxis for Cardiac Surgery Patients (STS) . . . 135 0131: Risk-adjusted Stroke/Cerebrovascular 0114: Risk-adjusted Postoperative Renal Failure (STS) 178 . . 2556: Yearly Surgical Case Volume of Primary Stapled Bariatric Procedures for Opportunity for Public Comment 219 2557: Hospital-level, 30-day All-cause Readmission Rate After Elective Primary Bariatric Surgery Procedures (ASMBS) 220

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2559: Bariatric Surgery Hospital
2561: STS Aortic Valve Replacement (AVR)
Composite Score (STS) ..... 319
2563: STS Aortic Valve Replacement (AVR) +
Coronary Artery Bypass Graft (CABG)
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0734: Participation in a National Database
for Pediatric and Congenital Heart Surgery
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Adjourn
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1	P-R-O-C-E-E-D-I-N-G-S
2	8:00 a.m.
3	CO-CHAIR FLEISHER: I want to thank
4	everybody for a great first day of the meetings.
5	I think we=re starting to get some clear signals
6	of how this committee is thinking and I think
7	there=s a lot of thought processes that I
8	certainly and I know the NQF staff will take up
9	to CSAC.
10	What=s important is the thought
11	processes that you have over things like the
12	temperature measures and others of how you
13	think about reserve is actually probably the
14	critical thing. Because people are still
15	wrestling with these issues and recognize again
16	from yesterday that we are the approvers of
17	measures. We do worry about how people use
18	them but it=s actually out of our hands in how
19	people use them.
20	So, just a recap of what we recommended
21	and what we did not. Importantly we did not
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1	recommend urinary catheter removal, the
2	vaginal suspension at the time of hysterectomy.
3	The perioperative temperature management was
4	withdrawn. The antibiotic timings were put on
5	reserve for three of them. The SCIP measures
6	were all put on reserve.
7	The clinician antibiotic selection,
8	there was no consensus. The antibiotic timing
9	readministering clinician was deferred, and
10	the discontinuation was put on reserve.
11	We have a lot of things to get through
12	today. The goal for me is to be done at 3:30.
13	The latest is 3:45 per the agenda so we will get
14	started.
15	MS. WINKLER: We have a couple of
16	measures that are carrying over from yesterday,
17	but we=re going to do them after we do the first
18	two measures on mortality for CABG. So the
19	first measure we=re going to do is measure 0119,
20	Risk-adjusted Operative Mortality for CABG.
21	That=s the STS measure. You guys here?
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1 Okay, we=re going to flip the order of these measures and do 2558, the Hospital 30-day 2 All-cause Risk-standardized Mortality Rate 3 Following CABG. This is 4 а new measure submitted by CMS. 5 6 CO-CHAIR FLEISHER: And I acknowledge that Dr. Grover has chosen to recuse himself 7 given that this is a competing measure to the 8 9 STS measure. 10 Who is actually the primary reviewer? Not here yet? Okay, who=s the secondary? 11 There was no secondary. Dr. Jacobs is here? 12 Would you mind starting and hopefully the 13 14 reviewer for the CMS measure -- if you don=t mind? 15 So we are going to start with 0119. 16 Ι 17 am actually the primary and Cliff is the 18 secondary. 19 DR. JACOBS: Good morning, everybody. 20 And the first measure we=re doing this morning risk-adjusted operative mortality 21 is for **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

1	coronary artery bypass grafting. And this is
2	a measure that reports the percentage of
3	patients aged 18 or older undergoing isolated
4	coronary artery bypass grafting who die,
5	including both all deaths occurring during the
6	hospitalization in which the coronary artery
7	bypass grafting was performed even if after 30
8	days, and second, those deaths occurring after
9	discharge from the hospital but within 30 days
10	of the procedure.
11	So that=s the standard definition of
12	operative mortality. And this basically
13	reports risk-adjusted operative mortality
14	after isolated coronary artery bypass
15	grafting.
16	Advantages of this measure include the
17	fact that it=s derived from a clinical data set.
18	So the denominator of patients undergoing
19	isolated CABG is a relatively pure denominator
20	because of the clinical nomenclature utilized
21	to isolate the patients undergoing isolated

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1	CABG. And also the clinical nomenclature
2	allows for a fairly sophisticated risk
3	adjustment.
4	And I guess with that introduction I=d
5	turn it over to the discussion and questions.
6	CO-CHAIR FLEISHER: Great. If we
7	could go through the criteria. The evidence.
8	This is probably one of the strongest from an
9	evidence perspective. Multiple papers over
10	the years since 1990 outlining the development,
11	validity and predictive value of this database.
12	MS. WINKLER: This is an outcome
13	measure so the criteria for evidence for an
14	outcome measure is whether there=s a rationale
15	to support the relationship of this outcome to
16	at least one healthcare structure, process,
17	intervention, or service.
18	CO-CHAIR FLEISHER: That makes it
19	simple. Any comments? Can we vote?
20	MR. SANCHEZ: Voting will now begin
21	for subcriterion 1a evidence. One is yes, two
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1	is no. The voting timer starts now.
2	We have 20 for yes, zero for no.
3	CO-CHAIR FLEISHER: So, performance
4	gap. This is well defined in the measure.
5	Considerable variation from the 10th
6	percentile of 0.89 to the risk-adjusted rate of
7	1.67 in the latest data set from June 2012 with
8	quite a bit of variation depending on race and
9	gender.
10	Any comments, questions? Can we
11	vote?
12	MR. SANCHEZ: Voting will now begin
13	for subcriterion 1b performance gap. One is
14	high, two is moderate, three is low, four is for
15	insufficient. The timer starts now.
16	We have 15 for high, 5 for moderate,
17	zero for low and zero for insufficient.
18	CO-CHAIR FLEISHER: Next criteria is
19	high priority. Addresses a specific national
20	health goal priority. Certainly mortality,
21	important. I don=t think there=s much else to
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1	say. Anybody? No comments, okay. Let=s	
2	vote.	
3	MR. SANCHEZ: Voting will now begin	
4	for subcriteria 1c high priority. One is high,	
5	two is moderate, three is low, four is	
6	insufficient. The timer starts now.	
7	We have 19 for high, 1 for moderate,	
8	zero for low and zero for insufficient.	
9	CO-CHAIR FLEISHER: Reliability. We	
10	heard a lot about how this has been tested over	
11	the years from the STS database and how and	
12	the data sources, the auditing via the STS	
13	database.	
14	Do you have any comment?	
15	DR. JACOBS: I think yesterday we	
16	covered the penetrance of the STS database	
17	which is about 90 to 95 percent of all programs	
18	in the country doing coronary artery bypass	
19	grafting. And we also discussed in detail the	
20	audit process to confirm the completeness and	
21	accuracy of the data. I can answer any	
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1 questions about that if anybody has any. CO-CHAIR Ι 2 FLEISHER: quess in relationship to the next measure do you know 3 what percentage of all cardiac surgeries? 4 So, when we look DR. JACOBS: I do. 5 at the percentage of all programs, first, we 6 7 know that the penetrance has increased every single year so that at this point in time 8 depending on what we consider the denominator 9 10 of programs it=s between 90 to 95 percent of 11 programs in the country. And as far as cases go the percentage 12 cases is higher than that because the 13 of 14 programs that we=re missing are lower-volume programs. So, therefore I would say the 15 16 percentage of programs is 90 to 95 percent and 17 the percentage of cases I would probably say is right at 95 percent. 18 19 CO-CHAIR FLEISHER: Questions or 20 comments? 21 MS. WINKLER: I=d like to -if **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

1 everybody else is done. A couple of questions on specifications, particularly as we know 2 we=re going to look at another measure that=s 3 very similar. 4 In this particular case this is data 5 that=s submitted to the database. 6 What are the 7 specifications around capturing death in operative mortalities? Which deaths do get 8 submitted? Which ones might not? Since you 9 10 are focusing in on operative mortality who makes the assessment of whether a death is 11 12 appropriate to submit as operative mortality or 13 might not be. 14 DR. JACOBS: Right. Well, I think 15 published several manuscripts we=ve that 16 clearly define the criteria of operative 17 mortality right down to the very minute of the 30-day cutoff. 18 19 So one is if you die before you go home from the hospital that=s clearly a definitive 20 21 death. And the other one is 30 days from the **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS

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1 date of surgery with a clock that turns over at midnight the day after surgery. So those 2 definitions are pretty tight and specified and 3 subject to a fairly aggressive audit. 4 The cause of the mortality is not 5 6 considered so it=s all causes whatsoever. 7 Because that could start a slippery slope if you say only deaths related to the operation 8 itself. And it=s all-cause mortality. 9 10 Which if you take it to the ultimate 11 extreme, if you have a coronary artery bypass graft operation, you go home on day 7 and you=re 12 hit by a car walking across the street on day 13 14 15 and die, that=s an operative mortality. 15 MEMBER DUTTON: It may be that your 16 cognitive dysfunction caused you to be hit by 17 the car of course, so it could be an operative mortality. 18 19 DR. JACOBS: And therefore it is. 20 MEMBER DUTTON: Yes. No, I totally 21 applaud the all-cause mortality. Ιt is **NEAL R. GROSS**

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1	difficult to capture deaths post discharge in
2	some patients. And I wanted I know you
3	devote abstracter time to doing that and you
4	work very hard at it. What percent do you think
5	you get?
6	DR. JACOBS: Right, so we=ve looked at
7	this quite closely and we=ve added fields in the
8	database to capture not only vital status at 30
9	days but beyond capturing vital status at 30
10	days the method of documenting that vital
11	status.
12	There=s a field in the database that
13	says how do you know they were alive at 30 days.
14	Was it because you saw them in the office? Was
15	it because a referring cardiologist saw them in
16	the office? Was it because you called their
17	home? So we go to that level of detail and then
18	we confirm that at the level of audit.
19	And I would say from our audit process,
20	our adjudication process and from the
21	methodology we use to confirm vital status by
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1 linking with the Social Security Death Master File the accuracy of discharge mortality is 2 well over 99 percent. And I think the accuracy 3 of 30-day mortality probably is between 98 and 4 99 percent. So both quite good. 5 6 Clearly, you=re alluding as to, discharge mortality is more complete than 7 30-day mortality, but both are extremely good. 8 CO-CHAIR GUNNAR: 9 So T=d be 10 interesting in Dr. Handy=s thoughts. The 11 perspective from -- at least my perspective is 12 that 30-day all-cause mortality is an accepted 13 definition. It extends all across 14 specialties. 15 The oddness here, and it=s been 16 historical in the STS, is if I have the patient, 17 he=s in the hospital and he stays for six months but he never leaves and he dies, it=s applied 18 19 to your mortality rate which does a couple of 20 things. 21 It takes many more patients out of the NEAL R. GROSS COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W.

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1	reporting cycle so you have to almost go back.
2	And so there=s some mechanics about that.
3	And the second is that there=s
4	disparities between hospitals depending on how
5	good they are at offloading patients who may
6	need a lower level of care but don=t go home.
7	So if you=re able to send a patient to a rehab
8	center or to some ventilator center they=re
9	discharged from the primary facility but they
10	really died a related death which is what you=re
11	trying to capture.
12	So I guess my question has always been
13	has STS looked at going back and sort of
14	harmonizing that with the same data definition
15	that=s used across all surgical fields which is
16	all-cause 30-day mortality.
17	DR. JACOBS: Right. So the reason we
18	use operative mortality instead of that which
19	is kind of a standard definition for most
20	surgical training programs and for most
21	surgical databases is because it eliminates a

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1	kind of perverse incentive that if you have a
2	clearly very ill patient that=s going to die to
3	keep them alive till day 31.
4	And by having survival to discharge it
5	eliminates this incentive to say, okay, we=ve
6	got somebody who=s dying and it=s day 28 but
7	we=re going to put a trach in, put a PEG in, keep
8	him alive and get him going till 31 days.
9	And I think in our training program as
10	cardiac surgeons the definition of operative
11	mortality is a fairly standardized definition
12	that=s been in our literature since the
13	beginning of cardiac surgery.
14	And we actually have mechanisms in
15	place right now that allow for the fact that if
16	somebody dies in an acute care facility where
17	the surgery did not take place that=s still an
18	operative mortality.
19	CO-CHAIR FLEISHER: So, attribution
20	is to the original site.
21	DR. JACOBS: Absolutely. Just like
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1 the readmission measure, same concept. CO-CHAIR 2 FLEISHER: Okay. And secondly, to your question, you have a date of 3 death? 4 DR. JACOBS: Yes. 5 CO-CHAIR FLEISHER: So that if there 6 was ever harmonization with the other measures 7 you could get to 30-day all-cause mortality, 8 not just --9 Absolutely. 10 DR. JACOBS: That=s a variable that=s in our database and that=s 11 12 quite accurate. CO-CHAIR FLEISHER: So that actually 13 is useful from the perspective of you in a 14 15 clinical registry. 16 And do you know how many additional 17 variables you have compared to what=s on the discharge 18 summaries, what=s on the 19 U-BOLTS-92s? DR. JACOBS: Right. So I think -- I 20 21 couldn=t give you a number. What I do know is **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701

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that the clinical database allows for creation 1 of a cohort of isolated CABG which is much more 2 pure than one would get from trying to identify 3 the cohort of patients undergoing isolated CABG 4 from a billing database. 5 6 And that comes from a number of 7 variables. We can look at associated operations with the CABG. We can associate --8 we can look at whether or not a ventricular 9 10 assist device was planned versus unplanned and 11 really create a very pure cohort of isolated CABG patients. 12 I think when talk 13 And we about 14 harmonization, harmonization across discharge date versus 30 days is a relatively easy thing. 15 16 The challenge is harmonizing across the definition of the denominator. 17 And that I think is the big strength 18 19 of using a clinical registry to identify this cohort. Number one, defining the denominator 20 21 appropriately, and number two, having precise

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1	variables to do meaningful risk adjustment
2	which are variables that really aren=t in a
3	billing database.
4	CO-CHAIR FLEISHER: Do you know how
5	many variables are in the model and how many are
6	not available in a billing database? Do you
7	know that?
8	MR. O=BRIEN: I=ll have to recount but
9	I=d say around 30 variables in the model and in
10	terms of the variables available in billing
11	data, I mean, basically an ICD-9 diagnostic
12	code for thousands of conditions. So I don=t
13	know if you can count it that way.
14	CO-CHAIR FLEISHER: Fred, any chance
15	you know the answer to that specific question?
16	MEMBER GROVER: I don=t know the
17	answer to that specific question. It=s not
18	just the variables but it=s the definitions.
19	In other words, in the timing if
20	somebody has an MI and they=re in the hospital,
21	they weren=t admitted with that diagnosis.
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1	They have an MI. You operate on them two days
2	later, that=s very important in terms of the
3	risk adjustment model. So you=ve got to have
4	that level of detail.
5	CO-CHAIR GUNNAR: The other is my
6	understanding is that the variables actually
7	that force the model change every three years
8	when you reset the model. So that you have a
9	whole bunch you can a couple hundred you can
10	look to but that would
11	DR. JACOBS: So the fields in the
12	database and their definitions are modified
13	every three years as part of a database upgrade
14	process. The actual variables used within the
15	model have stayed relatively constant.
16	So, the fields in the database change
17	and that allows us to do a variety of other
18	quality improvement and research initiatives.
19	But when it comes to which of those fields are
20	used for this model we=ve tried to maintain
21	consistency within that subset of fields over

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1		
1	time.	
2	CO-CHAIR FLEISHER: Other questions?	
3	Shall we vote?	
4	MR. SANCHEZ: Voting will now begin	
5	for subcriteria 2a reliability. One is high,	
6	two is moderate, three is low, four is	
7	insufficient. The timer starts now.	
8	We have 16 for high, 5 for moderate,	
9	zero for low and zero for insufficient.	
10	CO-CHAIR FLEISHER: Okay. Validity.	
11	And we=ve heard a lot about testing. Do you	
12	want to make any further comments?	
13	DR. JACOBS: I think it=s the same	
14	discussion we had yesterday with 10 percent of	
15	the sites getting audited every year.	
16	And we know that these particular	
17	fields that go into the risk-adjusted mortality	
18	measure are amongst the most complete and	
19	accurate fields in the database.	
20	CO-CHAIR FLEISHER: Okay, so risk	
21	adjustment. Any comments on risk adjustment?	
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1	I mean, you=ve had multiple papers on
2	this and I find probably one of the best
3	risk-adjusted models available. And I=m
4	trying to remember, I actually unfortunately
5	did not bring what your C statistic is in your
6	model. MR. O=BRIEN: I think the C statistic
7	is in the low eighties, like 0.80, 0.81
8	depending on the data set.
9	CO-CHAIR FLEISHER: Questions on
10	validity or on risk adjustment in this model?
11	We can vote.
12	MR. SANCHEZ: Voting will now begin
13	for subcriterion 2b validity. One is high, two
14	is moderate, three is low, four is
15	insufficient. The timer starts now.
16	We have 19 for high, 2 for moderate,
17	zero for low and zero for insufficient.
18	CO-CHAIR FLEISHER: Feasibility. So
19	I think the feasibility is perversely this
20	is data collection is difficult in that it=s
21	actually a nurse-driven protocol. It=s
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actually a clinical registry.

1

2	However, they have between 90 and 95
3	percent penetrance because basically the
4	hospitals are paying to be part of this data set
5	uniquely which I think many other data
6	registries are having difficulty replicating
7	this because of the resources necessary.
8	In fact, that=s probably the biggest
9	issue that I=ve heard is whether hospitals can
10	continue to maintain the infrastructure needed
11	to actually complete this data set.
12	DR. BURSTIN: This is a question for
13	Jeff and Sean. From conversations with Frank
14	Opelka he tells me there=s actually been an
15	effort to define the EHR elements associated
16	with the registry. I think that would be
17	helpful for the feasibility discussion going
18	forward.
19	DR. JACOBS: Absolutely. STS is
20	involved in ongoing efforts to collaborate with
21	leading vendors of electronic health records to
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1	develop a methodology where an EHR can be linked
2	to the STS database and allow direct
3	importation of whatever fields one could get
4	out of the EHR.
5	That=s an initiative that=s important
6	to STS to minimize data entry burden but to
7	assure the completeness and accuracy of the
8	data through a direct EHR import.
9	MEMBER HANDY: So, from the leading
10	vendors what percent of the data could be
11	directly abstracted? Do you have an idea at
12	this point?
13	DR. JACOBS: I think it would be too
14	early to say because it involves harmonization
15	of definitions and adding certain precisely
16	defined fields into the EHR that the vendors
17	maintain. So I don=t think we could give an
18	exact number yet.
19	But some things are pretty obvious and
20	easy like name, date of birth, date of surgery,
21	weight and height. Some things are a little
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1	more challenging like the presence or absence
2	of preoperative renal failure, the presence or
3	absence of preoperative pulmonary dysfunction.
4	And that=s just a matter of harmonizing with the
5	EHR. And that=s an ongoing process. I think
6	it=s a little too early to answer that question
7	with an exact number.
8	MR. SHAHIAN: This is Dave Shahian.
9	Can I make a comment?
10	CO-CHAIR FLEISHER: Sure. Please,
11	Dave.
12	MR. SHAHIAN: Yes. We actually have
13	a day-long meeting planned for later this
14	summer with a major EHR vendor. And we=ve been
15	thinking quite a bit about this issue over the
16	past few years.
17	As Jeff has correctly pointed out
18	there are some things that will be no-brainers.
19	But the thing that makes a clinical
20	database like the STS registry unique is the
21	granularity and specificity of its data
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elements and the standardization across the
 country.

That distinguishes it from EHRs in which the data collected are generally not standardized and don=t have that degree of granularity.

We have to be able to do what we're doing with the STS database. We have to be able to know that something selected as post operative renal failure in Massachusetts means the same thing as it does in San Diego.

So, you know, it=s probably somewhere a little south of 50 percent I would guess that are actually going to be able to be extracted from an EHR. But even that would substantially reduce data collection burden. So we=re working hard in that area.

18 CO-CHAIR FLEISHER: Other questions?19 Comments? Vote.

MR. SANCHEZ: Voting will now begin for criteria 3 feasibility. One is high, two

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1	is moderate, three is low, four is
2	insufficient. The timer starts now.
3	We have 10 for high, 11 for moderate,
4	zero for low and zero for insufficient.
5	CO-CHAIR FLEISHER: Usability. It=s
6	used for public reporting. It=s part of, what,
7	Consumer Reports and Quality Benchmarks.
8	DR. JACOBS: Right. It=s publicly
9	reported on two different websites. One is the
10	Consumer Reports website and the other is the
11	STS website. Consumer Reports provides web
12	access that=s at an arm=s length from STS. And
13	then the STS provides web access that is
14	accompanied by educational literature provided
15	by STS about risk adjustment and about the
16	measures that we publicly report.
17	So this measure is used for public
18	reporting. It=s also used for a variety of
19	quality improvement initiatives. And
20	therefore I think it has a fair amount of
21	usability in all important domains.

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1	MS. WINKLER: Jeff, I haven=t seen it
2	recently. Do you happen to have a graph or data
3	of the change over time in mortality of the
4	database participants? I saw some earlier and
5	it=s quite impressive. So I was curious what
6	the most recent data looked like.
7	DR. JACOBS: Yes, I think you=re
8	absolutely right. We=ve demonstrated a number
9	of graphs over the years that have showed the
10	dramatic decrease in risk-adjusted mortality
11	after isolated coronary artery bypass grafting
12	over time from the beginning of the database up
13	until now.
14	And you=re absolutely right that year
15	after year it continues to decrease. The
16	increments are a little less as the numbers get
17	lower.
18	But I think that=s one of the biggest
19	arguments in favor of participating in a
20	multi-institutional clinical registry is that
21	participation in and of itself is potentially
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associated with quality improvement as demonstrated by this measure.

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CO-CHAIR FLEISHER: Do you know -it=s aggregated by program and participant. DR. JACOBS: Right. So, it=s reported, stratified by two ways. Stratified by hospital and stratified by participant. The definition of participant is a practice group which rarely is an isolated surgeon, most commonly is a group of surgeons.

And most commonly the relationship between a hospital and a practice group is one to one. But sometimes one hospital will have more than one practice group and sometimes one practice group will go to more than one hospital.

17 So, by reporting both by practice 18 group and by hospital one can then get at the 19 differences in performance in the rare 20 situations where a hospital has more than one 21 practice group or a practice group goes to more

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1 than one hospital.

2	CO-CHAIR FLEISHER: And Sean, does it
3	have the statistical power to actually comment
4	by individual surgeon or not? And then Jeff,
5	you can comment whether you=ve chosen to do it
6	by group because of a strategic decision.
7	MR. O=BRIEN: I think small and
8	variable sample sizes are the challenge for a
9	lot of outcome measures. So that it has the
10	ability to differentiate performance, at the
11	extremes particularly.
12	I think that it really depends on your
13	choice of criterion, or identifying
14	differential performance. So when providers
15	are classified in performance categories that
16	it involves a tradeoff of kind of types of
17	errors, false positive and false negative.
18	When you do analyses to actually
19	estimate the true amount of variability that
20	exists, whether or not you can detect it with
21	a given sample size, just asking the question

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of how much variability is there, it=s really a substantial amount of variability. If you estimated the risk-adjusted

mortality rates at the top 10 percent of the distribution compared to the bottom percent of the distribution that would be a 3 and a half-fold difference potentially, estimated difference between the outcomes at those extremes.

The STS approach uses a fairly conservative criterion for identifying outliers. And that could be increased by adjusting that criterion. So there=s a power to identify performance to the extremes.

DR. JACOBS: And I=d just like to add 15 a little bit about the concept of reporting via 16 17 hospital and practice group versus the concept of reporting by individual participant. 18 And 19 this discussion will apply to all of our 20 measures because it=s going to come up 21 repetitively with each measure.

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1	First of all, STS has traditionally
2	and up till now chosen the strategy of reporting
3	by hospital or practice group because we
4	believe that the outcomes after cardiac surgery
5	are reflective of the performance of the entire
6	team rather than the performance of one
7	individual provider.
8	So, all outcomes are affected not only
9	by the surgeon but by anesthesia, nursing,
10	cardiology, preoperative care, postoperative
11	care. And that=s why oftentimes we=ll see the
12	phrase that cardiac surgery is a team sport and
13	therefore outcomes are reflective of the
14	performance of the entire team.
15	That being said, there=s clearly a
16	substantial desire to get at outcomes and
17	outcome measures of individual providers. And
18	although currently this measure is reported
19	stratified by hospital and practice group we=re
20	in the process of working fairly aggressively
21	to develop mechanisms to report cardiac

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surgical performance stratified by the
 individual surgeon.

Sean already alluded to some of the issues that we face in doing that which relate to sample size. Any individual surgeon does less cases than an entire hospital or practice group.

And developing 8 we=re some 9 methodologies to overcome those challenges 10 which include not only using composite measures 11 but potentially creating a composite of 12 composites where the performance of an 13 individual surgeon can be examined by their 14 overall performance across а number of 15 operations rather than just isolated CABG.

And that=s something we=re working on fairly aggressively right now to address the desire to get at performance measures for individual surgeons.

20 I realize that=s a little bit long 21 discussion but it applies to about five or six

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1	of the measures we=re going to discuss today.
2	MEMBER YATES: Question. Given the
3	fact that you=re being asked about going to a
4	more granular level of individual surgeons, do
5	you have any data or have you ever polled the
6	members of STS as to their acceptance of the STS
7	registry as being (a) fair and (b) accurate?
8	DR. JACOBS: That=s a great question.
9	And I think, first of all, most surgeons realize
10	that this is inevitable and that our discussion
11	about cardiac surgery being a team sport and the
12	outcomes being reflective of the performance of
13	the entire team, while we believe that=s true
14	is not going to prevent the need and ultimate
15	release of outcomes stratified by individual
16	surgeons. So it=s somewhat inevitable.
17	And therefore most STS members feel
18	that if this is inevitable it certainly would
19	be better coming from a clinical data source
20	with precise definitions of the denominator and
21	precise methodologies of risk adjustment.

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1	And as far as the question you=re
2	asking about polling members, I think where we
3	are right now is we=re finalizing our
4	methodology to create a technique to report
5	outcomes stratified by individual surgeons for
6	risk-adjusted mortality.
7	And that=s going to be presented to the
8	membership at our next annual meeting. Dave
9	Shahian is leading that initiative. Sean=s
10	the leading statistical expert on that
11	initiative.
12	And I think prior to polling the
13	members I think it=s important to share with
14	them exactly the methodology we plan to use to
15	accomplish this feat.
16	And then after that I think the next
17	step would be to go back and see what kind of
18	buy-in we get from the membership.
19	MEMBER YATES: If I could just make a
20	comment to the NQF staff. It=s not one of the
21	criteria for usability and use, but the
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1	acceptance by the specialty is an important
2	canary in the mineshaft if you will.
3	And if there=s a sense of this is fair
4	for us then its utilization across very
5	different potential purposes such as state and
6	various different federal reporting mechanisms
7	is going to be more readily enforced or more
8	readily participated within.
9	And what you don=t want is a lot of
10	inertia and a lot of because there=s a sense
11	that the measure isn=t really capturing quality
12	by the profession itself.
13	And if you have 90 percent of cardiac
14	surgeons feeling this is not the case with STS,
15	but if 90 percent of cardiac surgeons felt like
16	the data didn=t make any sense to them you=d
17	want to know that as a process for determining
18	its quality.
19	And that might be something to add to
20	your usability and use criteria. Because I
21	think that would be important moving forward.
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1	DR. BURSTIN: It=s a very fair point.
2	I think again being a multi-stakeholder
3	organization that also has to be balanced by the
4	usability by the end users as well, by consumers
5	and purchasers. So, it is always a balance but
6	I completely see your point.
7	DR. JACOBS: And I would just add to
8	that. You=re absolutely right, if the users of
9	the database, the cardiac surgeons, don=t buy
10	into the actual use of the database that=s a big
11	problem.
12	And the fact that over 90 percent of
13	programs, close to 95 percent and certainly 95
14	percent of operations are reported, I think
15	that alone demonstrates a fair amount of
16	substantial buy-in to what we=re currently
17	reporting.
18	The fact that the number of programs
19	publicly reporting has doubled over the last
20	three years also demonstrates the buy-in. And
21	I think we=ll have similar buy-in with the
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1 individual participant measures once they=re fully developed. 2

This is Dave Shahian. MR. SHAHIAN: Can I make one brief comment?

CO-CHAIR FLEISHER: Go ahead, Dave. MR. SHAHIAN: One of my jobs is unofficial chair of the complaint department database. And I must say it=s been astonishing how few complaints or areas of pushback from our participants.

They=re usually fairly sophisticated nuanced kinds of issues around the statistics 12 and we usually have been able to answer them 13 14 quite easily.

I think the reason that there=s been 15 16 such widespread acceptance is twofold. First 17 of all, I think the STS database participants recognize both the value of clinical data and 18 19 the accuracy with which it=s collected in your institution and then presumably across other 20 21 programs.

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1	They also recognize that absent the
2	kind of data and accuracy and models that we
3	have they=re subject to a lot of other black-box
4	rating mechanisms out there which they=d like
5	to avoid as we would.
6	And then the third aspect of this is
7	the education. Before we roll out any measure
8	we go through an extensive educational
9	campaign. The papers are published in the
10	literature. We present them at national
11	meetings. Everything is spelled out in great
12	detail. So I think education is a large part
13	of this.
14	So we really have very few areas of
15	pushback and hopefully it=ll continue to be
16	that way going forward. Thank you.
17	CO-CHAIR FLEISHER: Collette=s next
18	and then Anthony.
19	MEMBER PITZEN: Collette Pitzen,
20	thank you. I just wanted to make a comment
21	about the usability of the measure. And this
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comment is not to pick on STS. It's a great, 1 wonderful database. It's also in general for 2 complication rates for surgical procedures. 3 With the national rates of CABG mortality at 4 around 2 percent it's really difficult to 5 6 discern with this as an individual measure 7 differences between practices. If you go on STS's website for this 8 9 particular measure every single practice gets 10 a two-star rating. So I just wanted to share 11 that from that perspective about identifying excellent providers, even though there is 12 13 differentiation at the decile level it is very 14 hard to discern when you have a complication rate that is so low. 15 Thanks. 16 Yes, there's a couple of DR. JACOBS: 17 comments I would make related to that. First of all, someone in this room yesterday talked 18

about what kind of complication rates would be accepted in the airline industry. And I think that's great. That was a tremendous analogy.

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1	A 2 percent complication rate in the
2	airline industry would be completely
3	unacceptable. And I think we're trying to get
4	that 2 percent death rate after isolated CABG
5	to be a lot less.
6	But second of all, regarding your
7	important point about differentiation, the
8	isolated CABG risk-adjusted mortality measure
9	is a component of the overall CABG composite.
10	And the overall CABG composite even on the
11	publicly reported site shows substantial
12	differentiation between one-star, two-star and
13	three-star.
14	And then if we get to the non-publicly
15	reported programs, all of the programs which is
16	the remaining half of them there's even more
17	differentiation. So, about 12.5 percent are
18	one-star, 75 percent are two stars and 12.5
19	percent are three stars.
20	And then when one goes beyond the star
21	rating to the actual percentage there's even
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1	more variation because one can stratify not
2	just into one-star, two-star and three-star,
3	but the actual numbers and those numbers are
4	publicly reported to accompany the stars.
5	MEMBER PITZEN: Right. I'm sorry, I
6	forgot to mention I think there's extreme value
7	in inclusion in the composite.
8	CO-CHAIR FLEISHER: Thank you.
9	CO-CHAIR GUNNAR: Can I jump in just
10	real quick? Just real quick. Because I think
11	Collette's point is a good one and one for NQF
12	to think about going forward.
13	My understanding is ACC, American
14	College of Cardiology and STS have for some time
15	tried to collaborate on integrating their
16	information.
17	Isn't the real question about quality
18	since it's a cardiac service team sport really
19	isn't it about when you present to the hospital
20	with a cardiac a coronary syndrome of some
21	sort and how you then exit the hospital. And

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that now incorporates so many more technologies than it ever did in the past.

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And it really relates to the integration of a cardiac cardiology team. So I wanted to kind of have the comment about that.

6 DR. JACOBS: Yes, and I can comment on 7 that a bit. The STS and the ACC are currently involved in number of collaborative 8 а link data 9 initiatives that from the STS 10 database with data from the NCDR, the database 11 of the ACC, and also with Medicare data for 12 longitudinal outcomes. And that's been funded through a federally funded grant called the 13 14 ASERT grant. It's led to а number of 15 publications some of which have been published 16 in the New England Journal.

And what that allows is for the assessment of performance across the entire spectrum of cardiac care, the whole team, not just surgery but surgery, cardiology and the whole team in a programmatic examination of

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1 outcomes.

I think that the work done with those 2 linkages up till now have been to look at 3 comparative effectiveness research and overall 4 quality of healthcare delivered by the cardiac 5 6 team. it's think 7 And I a reasonable possibility that that initial work 8 is ultimately going to lead to some measures that 9 10 could be proposed that would not just be 11 cardiology or cardiac surgery quality 12 measures, but cardiac team quality measures. 13 It's not a big leap from where we are now to get to that point. 14

15 CO-CHAIR FLEISHER: I just have a 16 quick question for staff. The star rating. 17 Depending on different committees is that part 18 of the measure or is that not? Just for 19 clarification for me.

20 MS. WINKLER: No, the star rating is 21 actually not. The measure includes the point

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estimate as the measure result.

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How it's portrayed to any particular audience could be quite variable depending on the user. This is STS's chosen method but it is by no means something that automatically goes with the measure.

This is something that's been discussed throughout NQF the last time we went through this. So just to be clear.

DR. JACOBS: And we at the STS look quite closely on what's the best way to portray this information to patients, patients' families and other consumers of healthcare.

And on our website and on the Consumer Reports website one of the goals is to be able to portray this information in a fashion that it can be understood by somebody with a sixth grade education.

And then to allow a deeper dive into the same data with more detail for those that have the sophistication and the desire to learn

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1 more. So therefore, the star rating allows 2 one level of examination but by right-clicking 3 on those stars, or double-clicking on those 4 stars, all of the detail numbers that go into 5 choosing those stars is also provided. 6 7 CO-CHAIR FLEISHER: So, Anthony, do you still have a question? 8 9 MEMBER ASHER: Dave, are you still on 10 the line? 11 MR. SHAHIAN: Yes, I am. Dave, when you were 12 MEMBER ASHER: talking -- I'm just looking at this from the 13 standpoint of the individual users. 14 And of 15 course the goal here is to develop more relevant 16 measures of performance. And I would imagine 17 also to ultimately relieve individuals of burdens that aren't relevant. 18 19 And so in this present period do you believe it's the case that the measures that 20 21 you've developed, particularly in the context **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W.

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1	of the registry, has this overall reduced the
2	burden on thoracic surgeons with respect to
3	relevant measures programs? Or is that more of
4	a future goal?
5	MR. SHAHIAN: No, I think it has. I
6	mean there are if you're talking about the
7	other sort of proprietary commercial rating
8	organizations that I have alluded to, they're
9	out there and programs are continuing to
10	receive ratings. But our members have highly
11	validated and we hope NQF-endorsed measures
12	that stand as the gold standard. And that's'
13	the advantage we have.
14	I guess I would have to say that there
15	are fewer of the less desirable ratings applied
16	to cardiac surgery now simply because folks
17	recognize the validity and the strength of our
18	measures.
19	And it's one of the arguments that when
20	other societies come to us and ask about this
21	whole measure development process we always
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1 point out to them that you need to do it, you need to do it right. You need to do it with the 2 best possible science. And that's your best 3 defense against some of the less desirable, 4 less accurate rating systems. So I think we're 5 on our way to achieving that goal. 6 And we're expanding our portfolio of 7 measures to include more and more procedures 8 9 and more aspects of care like and more 10 appropriateness which hopefully you'll be 11 seeing in the future. CO-CHAIR FLEISHER: Thanks. I think 12 need to vote on this measure. 13 we So, 14 usability. MR. SANCHEZ: Voting will now begin on 15 criteria 4, usability and use. One is for 16 17 high, two is for moderate, three is for low, four is for insufficient information. Timer 18 19 starts now. CO-CHAIR FLEISHER: And I think this 20 21 is a great discussion. As we go to the other **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701

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1	measures if it's similar hopefully we can just
2	say as discussed first thing this morning. It
3	will be a way to continue.
4	Okay, we're now onto as soon as this
5	is done.
6	MR. SANCHEZ: We have 12 for high, 11
7	for moderate, zero for low, zero for
8	insufficient information.
9	CO-CHAIR FLEISHER: Are we ready to go
10	onto vote? Any objection? Okay, go ahead and
11	vote.
12	MR. SANCHEZ: Voting will now begin
13	for overall suitability for endorsement. One
14	is for yes, two is for no. The timer starts
15	now.
16	CO-CHAIR FLEISHER: Okay. And if we
17	can switch and get CMS and I guess the Yale group
18	for the next measure. All yours.
19	MR. SANCHEZ: We have 23 for yes and
20	zero for no.
21	CO-CHAIR GUNNAR: So this is 2558,
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1 correct? Hospital 30-day all-cause risk-standardized mortality rate following 2 coronary artery bypass graft surgery. 3 CMS. Who is the discussant? 4 My apologies. CMS. 5 MS. SUTER: Actually, my name's Lisa 6 I'm a physician with the Yale Center 7 Suter. for Outcomes Research and Development. 8 We developed this measure under contract to CMS. 9 10 MS. DRYE: Hi, Elizabeth Drye. Also director of quality measurement there and a 11 12 physician who worked with Lisa on this measure. 13 CO-CHAIR GUNNAR: So, you have three to five minutes to sort of provide an overview. 14 Great, thank you. 15 MS. SUTER: Thank 16 you very much for giving us this opportunity to 17 speak in front of you. As was recently discussed this is a 18 19 high-impact, high-priority procedure. It has been conditionally MEDPAC supported 20 as а 21 measure. **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS

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1	You may or may not be aware that this
2	was developed in combination with a readmission
3	measure based on administrative claims. Those
4	two measures were developed in very close
5	harmonization with a complementary readmission
6	measure based on registry data developed by STS
7	that involved weekly workgroup meetings with
8	our group and the STS measure developers which
9	led to very closely harmonized readmission
10	measures.
11	And the mortality measure that we
12	developed benefitted from this close
13	harmonization of the readmission measures.
14	And is intended to complement the claims-based
15	readmission measure.
16	Although we were unable to validate
17	this measure against the STS database due to
18	contractual issues the cohort definition of
19	isolated CABG was validated for the readmission
20	measure against the registry-based measure
21	with a 97 percent accuracy, much higher than

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1	previous published reports of administrative
2	claims achieving an accurate definition of
3	isolated CABG. And we're sure that this is due
4	to the close collaboration between STS and
5	Yale.
6	In addition, we were able to validate
7	the risk adjustment model for this measure
8	against a well known state registry database in
9	New York with the assistance of Dr. Edward
10	Hannon and received a high correlation between
11	the two risk models.
12	I think that's all I need to say and
13	I'm happy to answer questions from the
14	committee.
15	CO-CHAIR GUNNAR: Ms. McCarty?
16	MEMBER MCCARTY: So, this is an
17	outcome measure that reflects the process of
18	care coordination, particularly for peri- and
19	postoperative care and care at the time of
20	transitions, for example, discharges to home or
21	skilled nursing facilities.
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1	It is an all-cause mortality with a
2	risk-standardized mortality rate for patients
3	18 years and older discharged from the hospital
4	following a qualifying CABG procedure where
5	mortality is defined as death from any cause
6	within 30 days of the procedure after having an
7	admission for CABG.
8	We've heard a lot about this already
9	this morning so I think I can probably stop
10	there.
11	MS. WINKLER: Why don't we go ahead
12	and start going through the criteria. So the
13	first one is evidence. This is an outcome
14	measure. This is sort of the same measure you
15	just talked about. It would be interesting if
16	you voted differently for this time than the
17	last one.
18	CO-CHAIR GUNNAR: So a challenge has
19	been posed by NQF.
20	MEMBER GROVER: So I'm abstaining on
21	this one too.
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1	MEMBER CIMA: Can we just ask a
2	question of sort of the gorilla in the room or
3	elephant in the room? Why two?
4	MS. WINKLER: We'll get there.
5	MEMBER CIMA: Okay. I didn't know
6	where that was going to fall in the criteria.
7	MS. WINKLER: We'll get there.
8	CO-CHAIR GUNNAR: Amaru, we're ready.
9	MR. SANCHEZ: Voting will now begin
10	for criteria la evidence. One is for yes, two
11	is for no. Timer starts now.
12	We have 23 for yes, zero for no.
13	CO-CHAIR GUNNAR: Proving we are a
14	trainable group. And honest in our responses.
15	So, performance gap.
16	MEMBER MCCARTY: Yes, so performance
17	gap. So, in the data analysis they did they
18	showed an average of 3.3 percent mortality with
19	a fairly large range I think for this type of
20	measure where it ranged from 1.5 percent for
21	certain facilities up to 9.3 percent. Which is
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1 again I think a very wide amount of variation for this type of measure. So I do think that 2 there is a high opportunity, high performance 3 opportunity with this measure. 4 CO-CHAIR GUNNAR: Any other 5 discussion? We're ready to vote. 6 7 MR. SANCHEZ: Voting will now begin for subcriterion 1b performance gap. One is 8 for high, two is for moderate, three is for low, 9 10 four is for insufficient. Timer starts now. 11 CO-CHAIR GUNNAR: Waiting for one 12 more? Or we're good. Olson, Dr. Olson stepped out. So we're good at 22. 13 Sixteen for high, six 14 MR. SANCHEZ: 15 for moderate, for for zero low, zero 16 insufficient. 17 CO-CHAIR GUNNAR: I think that tracks with our previous response. 18 19 MEMBER MCCARTY: In terms of priority 20 know that CABG surgeries are high-cost we 21 procedures and they account for the majority of **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701

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1 cardiac procedures performed nationally. And then again from what we've seen with the data 2 associated with considerable 3 it's also morbidity, mortality and healthcare spending. 4 So my assessment was that this is a high 5 6 priority. 7 CO-CHAIR GUNNAR: Any further 8 discussion? All right, ready to vote. MR. SANCHEZ: Voting will now begin 9 10 for subcriterion 1c high priority. One is for 11 high, two is for moderate, three is for low, four is for insufficient. Timer starts now. 12 CO-CHAIR FLEISHER: 13 In case people were wondering I just asked -- there is an 14 15 obligation to make those statements for the 16 transcript purposes. CO-CHAIR GUNNAR: We have 22 so let's 17 18 19 MR. SANCHEZ: We have 21 for high, 1 20 for moderate, for low, zero for zero insufficient. 21 **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

CO-CHAIR GUNNAR: And now for the discussion.

MEMBER MCCARTY: So, for reliability the developers did use the exact same risk stratification methodology as was used in STS because they wanted to keep these two measures in harmonization if I understood that correctly from the description.

In order to review the data they looked 9 10 at a combined data set with 2008 to 2010 data 11 and randomly split it into approximately two equal subsets of patients and calculated the 12 risk-stratified mortality rate of each sample 13 and got agreement between those two sets. 14 So they did significant reliability testing and 15 16 showed good results from that.

17And again, the methodology is the same18one that I believe we heard described earlier19today.

20 CO-CHAIR GUNNAR: Any other -- yes, 21 here we go. Dr. Yates.

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1	MEMBER YATES: The data for STS is
2	through the direct registry. How are you
3	collecting the data for CMS? Is the data
4	coming from that registry data directly, or is
5	it coming from harmonized collection of data
6	through administrative data set?
7	MS. SUTER: This is Lisa Suter with
8	Yale CORE. The data for this measure, entirely
9	administrative claims data. There's no burden
10	on hospitals. These are already submitted
11	using billing codes.
12	The risk adjustment strategy looks
13	back 12 months prior to the hospitalization for
14	the CABG procedure, includes all appropriate
15	diagnostic and procedural codes in the risk
16	adjustment. And this risk adjustment model
17	was validated against a registry sample using
18	the New York registry with Ed Hannon's
19	assistance.
20	MS. WINKLER: When you look at the
21	data you presented for the gap in variation
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1	compared to that from STS they are quite
2	different. So, how are the specifications of
3	this measure differ from the STS measure? I
4	mean, what can account for those differences?
5	MS. SUTER: So, I think there are
6	this is Lisa Suter from Yale CORE. I think
7	there are several possible discordances
8	between the results you saw from STS and our
9	results, the first of which is these were
10	results from 2009 through 2011 for our data.
11	We can't speak to the STS data but certainly
12	there may be trends over time that we're not
13	capturing.
14	The second of which is as you're aware
15	of the last discussion the outcome is slightly
16	different with ours being a truncated measure
17	at 30 days all-cause even if you are
18	hospitalized beyond the 30-day mark.
19	And the last of which is that you're
20	measuring a slightly different collection of
21	hospitals. This measure captures all
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1 hospitals submitting Medicare claims for CABG procedures. And it is also looking solely at 2 among Medicare beneficiaries. So, patients 3 who are 65 years and older. Whereas the STS 4 data includes younger patients. 5 6 We have validated our measure 7 specifications in an all-payer data set using California all-payer data and found similar 8 results. But the results that were presented 9 10 in the application for Medicare are beneficiaries 65 and older. 11 CO-CHAIR GUNNAR: Dr. Fleisher. 12 Go ahead. 13 CO-CHAIR FLEISHER: 14 So, I'm going to 15 ask the questions I asked of the STS group. 16 Which is how many additional elements or less 17 elements are there in your model and how do you handle transfers within your model. 18 19 MS. SUTER: This is Lisa Suter from The claims-based measure 20 Yale CORE. is 21 harmonized with regards to transfers with the **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701

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1	STS measure. The mortality is attributed to
2	the initial hospital performing the initial
3	CABG procedure.
4	So, any subsequent transfers are not
5	considered separate hospitalizations. The
6	outcome is attributed to the initial hospital
7	performing the CABG procedure.
8	In terms of your second question was
9	the differences in terms of risk adjustment
10	factors. So, on page 37 of the technical
11	report we list the risk variables included in
12	our model. I'm not actually sure numerically
13	which ones are how they differ from STS.
14	I will say that there's a significant
15	overlap between the mortality risk adjustment
16	model and the readmission risk adjustment model
17	which was validated against the registry data
18	in a matched cohort of patients and yielded very
19	similar C statistics for readmission.
20	Again, it was validated against a New
21	York State registry and revealed very similar
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1	C statistics in that database with the clinical
2	registry data yielding a C statistic of 0.75 and
3	the claims-based measure, 0.74.
4	With our whole cohort our C statistic
5	is 0.84. That includes the national data.
6	That's the C statistic for our model in national
7	data.
8	In terms of the differences between a
9	clinical risk model, as you can imagine claims
10	data captures very different information. A
11	COPD diagnosis does not necessarily present the
12	same information as an FEV1 might. So, they do
13	capture different information.
14	But when they are aggregated up at the
15	hospital level in a matched cohort of patients
16	they produced correlation rates for the
17	readmission measure of in excess of 0.90, close
18	to 0.95 in some cases depending on the statistic
19	used.
20	We saw similar correlations in the New
21	York State registry data when we looked at a
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1 comparison of the risk model performance in the clinical data versus the registry data. 2 CO-CHAIR FLEISHER: So, have 3 vou actually compared the rankings between yours 4 and the STS data set? Do hospitals change in 5 6 rank? 7 MS. SUTER: So, we have not done a comparison of our mortality measure compared to 8 the STS results. 9 10 We did do a recategorization analysis 11 in the New York data set. As you can imagine 12 this is a challenge. There were only 36 13 hospitals that had matching and of those 36 only 35 had at least 25 cases in the data that we had 14 available. 15 16 those 35 hospitals, 33 were Amonq categorized 17 identically in terms of performance between the 2 measures, and 2 were 18 19 categorized differently. 20 The two that categorized were differently were identified as low-performing 21 **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W.

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outliers by the registry model 1 and were categorized as no different than average, New 2 York average by the claims-based measure. 3 We tried to investigate that a little 4 The predictions of the performance bit. 5 are highly overlapping but 6 estimates the 7 cutoffs just meant that for the claims-based model they fell close to, you know, just below 8 the cutoff for being considered worse than 9 10 average. So I think in comparison we know from 11 our readmission measure that the claims-based 12 measure does seem to err on the side 13 of 14 conservatism in terms of identifying outliers 15 which may be an appropriate approach given its application potentially. 16 17 CO-CHAIR GUNNAR: How do you manage missing data? 18 19 MS. SUTER: So, there are patients who are not enrolled in Medicare for the sufficient 20 21 period pre-operatively to allow us risk **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W.

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1 adjustment are eliminated from measurement. And that's probably the largest exclusion to 2 3 our measures. In terms of unreliable data, a small 4 proportion of patients are eliminated if they 5 6 have what we consider data that might be in 7 So, for example, age over 115 or things error. like that. It's a small proportion 8 of patients, it's probably 0.1 percent of cohort, 9 10 but they are excluded with a careful algorithm that identifies outlying data. 11 And otherwise there are no -- I mean, 12 these are administrative billing data. 13 There 14 are no missing data as long as you're enrolled 15 and we have captured your claims. 16 CO-CHAIR GUNNAR: And for 17 perspective, Dr. Grover, STS average age for The median. CABG, is it 68? Sixty-seven? 18 19 MEMBER GROVER: It's in the sixties I 20 believe, but I can't remember. 21 CO-CHAIR GUNNAR: But it's at or **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS

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69 1 around Medicare age at 65. Well, yes, but there 2 MEMBER GROVER: It's just they're a lot, are younger patients. 3 you know, that's a disease that presents later. 4 CO-CHAIR GUNNAR: But I think for the 5 perspective of the committee about half. It's 6 7 roughly about half of --MEMBER GROVER: Yes. 8 9 CO-CHAIR GUNNAR: -- the patients 10 would be of Medicare age that undergo coronary 11 artery bypass grafting. Okay. We can agree on that I think? All right. 12 Collette? 13 MEMBER PITZEN: Hi, Collette Pitzen. 14 15 I just had a small question that came up during 16 our workgroup call. Thanks, Dr. Suter, for all 17 the explanations. Ιf I'm understanding 18 correctly 19 patients that have a repeat CABG procedure 20 during the measurement period are not included. Or their initial CABG is the one that's included 21 **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701

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1	but a redo CABG, then potentially mortality
2	after that would not be included. And I was
3	just wondering about the overall impact of that
4	particular exclusion. Thank you.
5	MS. SUTER: Thank you. This is Lisa
6	Suter from Yale CORE.
7	In terms of the decision to identify
8	the first CABG in the measurement period and
9	exclude subsequent CABGs this was a lengthy
10	discussion with the measure developers that
11	included our workgroup with cardiothoracic
12	surgeons including Dr. Shahian who I believe is
13	on the phone.
14	And the thinking and what has always
15	really impressed me about cardiothoracic
16	surgeons involved in this measure development
17	is the tremendous amount of responsibility for
18	patient outcomes in this group of physicians.
19	And the feeling was any subsequent
20	events in the year following a CABG,
21	particularly a redo CABG were likely a
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significant failure of the initial CABG. And the feeling was from a clinical standpoint to capture that initial CABG as the primary event and obtain an appropriate measurement and performance estimate for that hospital performing that CABG. Certainly other approaches are

justifiable but for this particular measure the recommendation from our clinical experts was to appropriately pick that.

A distant history of prior CABG is captured as long as it's captured in the codes, the RV codes for history of CABG procedures.

MEMBER KO: I wanted to ask a question about data source. So clearly the prior measure was registry. This is claims. And there's pros and cons of both. If we could marry the best of both that would be terrific. When we try to do that at the college,

merge clinical and claims, one of the problems we have is the delay in getting the

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administrative. We can't seem to reconcile that and give back timely data.

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How do you handle that? What do you see as the time frames if you're going to report however, semiannually or annually? How delayed is that data? And do you see -- and does it even matter if it's delayed? If it's a year late, you know, how large are the differences from year to year? Because I suspect it's not that large.

MS. SUTER: So this is Lisa Suter from Yale CORE. It's a great question and I'll present and answer and also allow CMS to comment as well if there are additional comments.

First of all, the exact reporting 15 16 mechanism for this measure hasn't been 17 determined. Other similar measures have been reported across a three-year time frame. 18 So a 19 hospital has a three-year collection period of 20 data.

There is usually about a 18-month

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1 delay between the end of the measurement period and the report of the results. And the reason 2 for that extensive delay is that hospitals have 3 a legal opportunity to debate their claims and 4 their payments. And so that gives hospitals an 5 opportunity to make sure that their claims are 6 accurate and to ensure that the administrative 7 claims that are being used for performance 8 estimation are final. 9 10 And therefore, it's a tradeoff. And 11 this is something that measure developers are 12 challenged with all the time which is the 13 tradeoff between accuracy of our estimation and 14 the rapid cycle with which we can provide hospitals 15 feedback to for performance 16 improvement.

In terms of generating reliable estimates at the hospital level we have for the purposes of the outcome measures that we have developed thus far and that are in public reporting because of the high-profile nature of

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1 these measures we have favored longer periods of data collection in order to provide stable 2 estimates using final action claims in order to 3 produce the most acceptable estimates 4 to stakeholders possible. 5 6 We recognize that that's a tradeoff. And this is certainly one of the areas in which 7 having complementary measures that measure a 8 similar outcome and a similar cohort such as the 9 10 two measures we're discussing today provide measurement opportunity in different spaces 11 12 that are both very important to healthcare. 13 CO-CHAIR GUNNAR: Any other 14 discussion? Ready to vote. Voting will now begin 15 MR. SANCHEZ: 16 for 2a reliability. One is for high, two is for 17 moderate, three is for low, four is for insufficient. Timer starts now. 18 19 We have 12 for high, 10 for moderate, 20 1 for low, zero for insufficient. 21 CO-CHAIR GUNNAR: Validity. **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W.

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1	MEMBER MCCARTY: So in terms of
2	validity this measure was tested for validity
3	at the data element level.
4	In addition, the developer cites that
5	the validity testing has been completed
6	similarly for six other NQF-endorsed varoius
7	30-day mortality measures that are currently
8	used now for public reporting.
9	And then we heard that fom various
10	testing that they did they actually have used
11	data from five different data registries in
12	terms of validating the data at various levels.
13	So I believe that this measure has been highly
14	validated.
15	CO-CHAIR GUNNAR: Any further
16	discussion? We're ready to vote.
17	MR. SANCHEZ: Voting will now begin
18	for 2b validity. One is for high, two is for
19	moderate, three is for low, four is for
20	insufficient. Timer starts now.
21	CO-CHAIR GUNNAR: Just vote again
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1 real fast everybody. There we go. MR. SANCHEZ: We have 14 for high, 9 2 for for 3 moderate, zero for low, zero insufficient. 4 MEMBER MCCARTY: Okay. In terms of 5 6 feasibility all data elements required for this 7 measure are captured in electronic claims. And as stated earlier, this type of data 8 9 collection and analysis is already being 10 collected at facilities for other types of 11 30-day mortality metrics that are currently publicly reported measures. So, feasibility 12 for this I believe is high. 13 CO-CHAIR 14 GUNNAR: Any other 15 discussion? Ready to vote. SANCHEZ: Voting will now begin 16 MR. 17 for feasibility, criteria 3. One is for high, two is for moderate, three is for low, four is 18 19 for insufficient. Timer starts now. 20 CO-CHAIR GUNNAR: Everybody one more 21 We're missing one. There we go. time. **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W.

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1 MR. SANCHEZ: We have 21 for high, 2 for for for 2 moderate, zero low, zero insufficient. 3 MEMBER MCCARTY: Okay. In terms of 4 usability and use I have a little bit of 5 6 difficulty thinking about usability the 7 because there are competing measures that are evaluated. But get to 8 Ι know we that discussion later today in terms of comparing 9 10 them. It would be used for public reporting, 11 or that is CMS's plan though I guess they're 12 still considering it. But they will be looking 13 14 to make that happen. 15 And this is a new measure so at least 16 in terms of the way it's defined from CMS so there's no -- it's not a continued one. 17 But it would be used for public reporting. 18 19 MS. WINKLER: Dr. Cima, you had said 20 ask when. Now might be part of your question 21 would be reasonable to ask, the added value, or **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS

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1	usefulness of two, or something like that.
2	DR. BURSTIN: Or actually just frame
3	it the usefulness of this particular measure.
4	MS. WINKLER: In the context of
5	others.
6	MEMBER CIMA: Well, I mean the
7	question becomes it's not really a data
8	burden issue because it's administrative data.
9	But we already know that 90 to 95 percent of all
10	cardiac programs are in STS. Hospitals are
11	doing that. It's more granular data.
12	It does have the advantage of not
13	having a 30-day cutoff. And for those of us who
14	have spent time in cardiac ICUs a number of
15	patients that do expire there greater than 30
16	days. And so, you're going to miss some.
17	Having two measures out there with
18	sort of the same title that are going to be used
19	in the public reporting space using different
20	methodologies doesn't really do it may do a
21	service, but it may also do a disservice because

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1	you may pull away with different ideas.
2	And I think as we're pairing away
3	things just having a measure because we have
4	another measure that does the same thing,
5	that's going to capture a measure that has more
6	granularity, has more detail, that you can get
7	a subset of those greater than 65, I'm not sure
8	why I'm just trying to understand why. Why
9	two.
10	It just seems like that's one of the
11	things we should try and do is either harmonize
12	them to one or basically say one is better than
13	the other and we're going to go with the one
14	that's better. And just decide which one. I
15	don't have a dog in the fight but I'm just saying
16	just go with one.
17	CO-CHAIR GUNNAR: Dr. Siperstein.
18	MEMBER SIPERSTEIN: I mean, obviously
19	there's an issue comparing this to the STS
20	measure. But the issue I have with usability
21	even as an isolated measure to assess the

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1	quality of the program is that this just looks
2	at the Medicare subset. And that's the major
3	factor just as an isolated measure that I have
4	issues with usability.
5	CO-CHAIR GUNNAR: Tagging onto that
6	question historically if you underwent a trach
7	after a coronary artery bypass procedure you
8	actually fell into a different ICD-9 code and
9	you actually didn't get tracked under CABG.
10	Under a DRG code, yes. So, apologize
11	for that, yes. Different DRG code. So you
12	wouldn't get tracked for that reason.
13	MS. SUTER: So this is Lisa Suter from
14	Yale CORE. We do not use DRGs in the definition
15	of our measure cohort so you're identified by
16	your procedure code which does not disappear
17	even if your trached following surgery.
18	MEMBER SIPERSTEIN: Perfect. Thank
19	you.
20	CO-CHAIR FLEISHER: So to follow up on
21	Allan's question or was it Bob's. So I look at
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two things.

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One is is it fair to look at a program. 2 Why would you think that only measuring the 3 Medicare patients, they uniquely 4 are You actually have a smaller sample different? 5 6 size for a given population. So that why is 7 there advantage to only saying that to Medicare beneficiaries. So CMS may want to answer that. 8 Two is there's a very small cohort of 9 10 small programs that don't participate in the STS database. Given the size or the volume of 11 patients, especially with the hierarchical 12 model that you use can you make any statements 13 14 about quality in a hospital that's so small that they wouldn't participate in the STS database. 15 understanding of 16 Because my vour 17 hierarchical model would actually place them as no different than average which gets to the 18 19 third statement. In the STS model you actually 20 have outliers and in your model do you know what percent really fall as outliers? 21

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1	We heard 12.5 percent low, 12.5
2	percent high statistically from the STS group.
3	Where do you fall out as far as high and low?
4	MS. DRYE: Hi, this is Elizabeth Drye
5	from Yale CORE.
6	The focus on Medicare patients is in
7	part I'll just speak as a measure developer
8	and CMS, I think you might want to come in
9	because it's partly pragmatic and partly
10	programmatic focused.
11	The pragmatic piece is as you know,
12	that's the one national data set that we have
13	where we have every patient covered for a
14	defined age group, 65 and older. So we are able
15	to pull in those straggler hospitals and
16	programs that don't participate with STS. And
17	those are of particular concern.
18	In terms that's a great question.
19	Is there enough volume at these hospitals. I
20	don't think, and I would turn back to both my
21	colleague Lisa Suter and our STS colleagues.
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1	I don't think we were able to really
2	fully characterize the uncaptured STS
3	hospitals because of data agreements. We
4	really would love to be able to answer that
5	question, exactly how many patients are at the
6	hospitals that don't participate with STS and
7	how concentrated are they within those
8	hospitals.
9	But I think we're particularly
10	concerned about the quality of care. This is
11	a Medicare beneficiary-centered approach.
12	And that's an important group that we're all
13	going to be in at some point.
14	CO-CHAIR FLEISHER: Well, I would
15	actually ask the robustness of the model. So,
16	from year to year given sample size of Medicare
17	patients who actually have a larger, maybe
18	anywhere from a 100 percent larger sample size
19	if it's really only 50 percent Medicare. So
20	the robustness from year to year to say anything
21	about Medicare, is it really is a larger

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sample size in any given year going to give you more a reflection of the program, or do you actually think that the ability to just track the Medicare cohort really reflects the patient's care in that hospital? We're trying to get at the MS. DRYE: quality of for those patients care specifically. And I think we will have enough You know, all of our outcomes patients.

measures are focused on the subset of patients in a hospital that are Medicare patients.

We are -- we don't have a reason to think that care doesn't track with the overall care in the hospital. So, I mean, it's a research question that we've tried to look at in different contexts.

But I think we will have enough to have outliers in our population. I think there were not a lot of -- it's very hard if you -- whether you see outliers or not depends as you know on how conservatively you classify outliers.

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1	So if you use, for example, a 95
2	percent confidence interval and say we're only
3	going to put somebody in a better or worse
4	category if they're very we have a really
5	high level of confidence then in these kinds of
6	outcomes measures you're not going to see a high
7	number of outliers.
8	But I think we would have the ability
9	to identify very poor performers, even if they
10	have relatively few cases.
11	CO-CHAIR FLEISHER: I guess another
12	way to ask my question and I'll be brief is if
13	they're an outlier in your data set and not an
14	outlier in STS the next year, has anybody
15	looked, would they remain an outlier in your
16	data set? Or was it just a statistical fluke
17	of that year?
18	MS. SUTER: So, we haven't had the
19	opportunity to look at that. I will also just
20	say I tried to see whether we've had an
21	opportunity to run the actual performance
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1 categorizations on the whole U.S. sample. And we don't have those bootstrap 2 that actually slot hospitals into 3 results categories. It was only done for the small 4 subset of hospitals during the validation 5 6 So I can't actually tell you using process. 7 the methodology for existing publicly reported CMS mortality measures how many outliers there 8 are in the CABG measure. 9 10 But Elizabeth's right that you could 11 create more outliers depending on what cutoff you use to define an interval estimate around 12 the national average in order to define what's 13 statistically significantly different 14 from 15 national average. I would just -- I'm trying 16 MS. DRYE: to answer your question. I apologize because 17 I feel like I'm not answering your questions as 18 19 directly as I want to. And it's not because of 20 the way you're asking them, I think I'm just -they're really, really tough questions. 21

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1	Would that same hospital let's say
2	that, you know, we're trying to get at a latent
3	variable of quality in these hospitals.
4	And let's say it's a bad hospital.
5	You know, if it shows up one year as a bad
6	hospital in our measure or an STS measure would
7	it necessarily be an outlier in the next year?
8	I don't think we can say for sure it will.
9	What we think about with these outcome
10	measures, we try to produce them so we get the
11	most reliable score we can. But if you don't
12	want to be a bad hospital you better be good.
13	If you want to be a really good outlier you
14	better be great. I mean, the really, really
15	great and the really, really bad will be
16	consistent, but there's some movement year over
17	year. And I think that's just the nature of
18	using patient outcomes to capture a latent
19	variable of quality.
20	CO-CHAIR FLEISHER: Dr. Yates.
21	MEMBER YATES: Just a follow-up on the
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1 duality question that came up. And this may be something that CMS answers more readily. 2 But it came to my attention the other day that I saw 3 NSOIP data being advertised 4 on HospitalCompare.gov as being now one of the 5 things being reported there. 6 7 And is there any possibility for the transparency 8 greatest for consumer you 9 stakeholders that would have dual 10 reporting, side-by-side reporting of STS 11 reporting and also the CMS Yale CORE reporting processes so that there would be a way for 12 13 consumers Medicare compare, say, the to population versus population at large, or see 14 that the two correlate? 15 16 MS. HAN: This is Lein Han from CMS. 17 I would like to address the question of what you call duality or competing measures. 18 19 From CMS's perspective we don't see this issue as either/or. I think I would like 20 to frame 21 it as more like short-term and **NEAL R. GROSS**

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1	long-term. And see it as a progression.
2	CMS very much is supportive of
3	measures using different data sources,
4	clinical data, EHR data. And our goal is to
5	develop measures, use measures in the EHR
6	environment using electronic health records in
7	the future.
8	But you can see that I can see the
9	vote feasibility is pretty high for these
10	measures from the panel. So that's the current
11	concern right now, short-term, is the
12	feasibility for us.
13	We take into account the burden to
14	hospitals, burden to taxpayers, and a lot of
15	legal factors too, to implement a measure. So,
16	that's how we see why we proposed using the
17	claims-based measures as a short-term goal
18	here.
19	CMS has developed not only
20	claims-based measures. We have hybrid
21	measures in terms of clinical and the EHR data
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1	with the claims data. And we are working
2	toward develop or we call it reengineer, the
3	de novo measures using EHRs.
4	So, I think for us it's a progression
5	issue. It's not either and or. It's not dual
6	thing.
7	And the other thing is that CMS is not
8	the only organization develop or use measures.
9	And we'd like to see these measures these are
10	both very good measures. Like other
11	organizations may have the capability to use
12	other measures. I think that's one of the
13	reasons we support these types of measures.
14	And to answer the question about
15	voluntary reporting right now on the Hospital
16	Compare using the ASC measures. And it's just
17	a voluntary reporting. So we don't require
18	hospitals to submit data. And then it's really
19	hospital has an agreement with a registry
20	whether they are participators. CMS, like I
21	said, it's a feasibility issue. We like to see

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1	this data but we don't really require hospitals
2	to submit the data to CMS. Thank you.
3	CO-CHAIR GUNNAR: Dr. Levy?
4	MEMBER LEVY: This is just a really
5	practical question but this has all been
6	specified with ICD-9. Have you tested it with
7	ICD-10? Just from a practical standpoint and
8	our being able to use this measure over the next
9	several years. I can foresee some
10	administrative issues and reliability and
11	validity issues with this measure.
12	MS. SUTER: This is Lisa Suter with
13	Yale. The measure has been crosswalked to
14	ICD-10. We don't actually have ICD-10 data in
15	which to test it at this point.
16	The crosswalked ICD-10 as you can
17	imagine is a challenge for this kind of a
18	measure and involved both using a standardized
19	metric or software tool that crosswalks and
20	then had extensive physician review in order to
21	ensure that the crosswalk was clinically

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1	coherent. And when data becomes available I'm
2	sure there will be a plan for actually testing
3	it in ICD-10 data.
4	MEMBER LEVY: My real concern there is
5	ICD-10 PCS as I understand it is not as granular
6	as the ICD-9 for procedure codes. And that
7	even though you did the crosswalks, how
8	hospitals will do the crosswalks and report the
9	data may be problematic.
10	MS. SUTER: It's certainly a concern
11	that will need to be addressed when ICD-10 is
12	implemented and there's actual data to assess
13	the measures in ICD-10 dat.
14	CO-CHAIR GUNNAR: Collette?
15	MEMBER PITZEN: Collette Pitzen. I
16	just wanted to comment on a subject that was a
17	little bit ago. But it has to do with the data
18	being specified and used in the Medicare
19	population.
20	From my developer's hat if you have
21	really good specifications there's no reason
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1 why you couldn't take these specs and apply them to an all-payer state database, or have that 2 applicability. So I don't think that should be 3 a negative in our consideration. 4 Sorry, this is Lisa Suter 5 MS. SUTER: Just to follow up, this has been 6 from Yale. validated in the California State all-payer 7 So, it does perform similarly in an 8 data set. 9 all-payer data set. 10 CO-CHAIR GUNNAR: Dr. Markman. Using claims data 11 MEMBER MARKMAN: versus a registry you can bullet your claims 12 data down to the individual physician if he is 13 14 an outlier. This is Lisa Suter from MS. SUTER: 15 16 Yale. This measure was developed at the level 17 of the hospital and was tested solely at the level hospital. 18 of the The measure 19 development contract was specified as such. We have not tested it at the physician 20 As had been earlier discussed there are 21 level. **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS

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1 concerns about sample size in terms of being able to estimate at the surgeon level. 2 MEMBER MARKMAN: You see, but in the 3 big picture when you compare registries and 4 with the comment before on how it's difficult 5 6 to get the individual surgeon to comply using 7 claims data you can, I mean you can -- the advantage of using claims data is that you can 8 really narrow it down to outliers in the 9 10 physician population. Because you need to. 11 And I think that that's one of the big differences between a registry versus using for 12 CMS. 13 Sorry, I just wanted to add 14 MS. DRYE: 15 onto that point. And I think it's true for both STS. know it's true for the outcomes 16 Ι 17 measures CMS has reported for hospitals. Hospitals do get patient-level data 18 19 which identify the specific surgeon and the 20 risk factors and outcome for every patient confidentiality. And our hope is that if there 21 **NEAL R. GROSS**

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1	are outlier surgeons we know that are causing
2	an unacceptably high mortality rate that there
3	are discussions that go on in that hospital.
4	So it's not transparent to the public
5	but it is to the provider group and the
6	hospital.
7	CO-CHAIR GUNNAR: Ms. Moyer?
8	MEMBER MOYER: We've talked about the
9	reduced sample size with this, but what we
10	haven't talked about, specifically salient to
11	usability and use, is there's no opt-out of the
12	public reporting.
13	When, you know, and I applaud STS for
14	the voluntary reporting and all of the
15	hospitals that share their data, but it's a
16	voluntary reporting publicly.
17	And as someone who purchases in a state
18	where previous voluntary efforts, we have had
19	a geographic area of hospitals send us a letter
20	and say you don't need to know how good we are.
21	We're just, we're not going to participate.
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There's an advantage to this measure that takes that off the table.

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CO-CHAIR GUNNAR: Dr. Yates?

MEMBER YATES: My one comment was is that having looked at the release of the individual physician payment data that was put looking out in 2012 and at the local distribution of attribution of different surgeries to different surgeons it wasn't clear that the billing data which may not be the same as the data attributed to individual surgeons that you're using, but it wasn't clear that it was accurate.

We had one partner that had -- one member of the group that had been very, very busy but he was accounted for absolutely no surgeries. Yet somebody else was attributed accurately. I personally was attributed for just my office work.

20 So, it may be because of common billing 21 or common tax IDs being used. And I think

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1	that's changing with individual tax IDs being
2	more required. But there is a question as to
3	how you capture the individual surgeon through
4	billing data because there has been in the past
5	common tax IDs.
6	DR. BURSTIN: Just two cautions
7	before you vote on usability.
8	First, the discussion of the
9	individual physician is really important and
10	very important as we think about the future.
11	It's not applicable to this measure. This is
12	just a facility-level measure. It shouldn't
13	have some of the issues that were just raised.
14	And secondly, this really is about the
15	usefulness of this measure. As tempting as it
16	is to do the comparison that is a secondary
17	discussion after the decision around
18	endorsement recommendation for endorsement
19	of both measures.
20	So I don't want you to weigh in the
21	comparison and contrast. You're really
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looking at this measure and its usefulness, usability and all the other criteria individually.

You'll then have a chance to talk about 4 competing. But I just want to keep it clean. 5 6 CO-CHAIR GUNNAR: But the argument to that is if I have -- we know we have two 7 measures. One is 18 months behind the other. 8 Even if they were equal, even if we said they 9 10 were equal, from an NQF perspective and for the assistance of this committee if you had two 11 exact measures but one was 18 months behind, 12 data was 18 months behind from a usability point 13 14 of view that has an impact.

DR. BURSTIN: Right and so what -those are perfectly appropriate for you to rate the usability of this measure. But I wouldn't do it as a comparison to the other one. You'll have an opportunity to have a discussion of the two measures side-by-side.

But certainly fair game to talk about

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anything related to this measure in your vote on usability for this measure. But not in contrast I think to the other one, just to be fair.

CO-CHAIR GUNNAR: Dr. Handy?

MEMBER HANDY: Well, could you expound on that a little bit, Helen? Because we really haven't had the harmonization discussion yet and it's not even part of the script actually. So, I'd like to hear a little bit more of the mechanics. Because it does seem unfair that we're sort of simultaneously harmonizing. It seems unfair to this measure.

MS. WINKLER: Let me just add this. This is the reason we're going through them independently. Each one stands on its own, it gets rated as an individual measure.

know they both meet the 18 Once we 19 criteria then we can have that. If one of them don't 20 doesn't we need to have that So that's why we're doing them 21 conversation.

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1	first.
2	So that's exactly what we're going to
3	do. So you need to get this one evaluated
4	before we can go onto the next step of comparing
5	them.
6	CO-CHAIR GUNNAR: Let's vote. Dr.
7	Siperstein.
8	MEMBER SIPERSTEIN: I'd just like the
9	developers to address the concern on the
10	usability of this particular isolated measure.
11	If it's to assess the quality of the
12	facility and you're only addressing a subset of
13	the patient population doesn't that
14	potentially negatively impact usability?
15	MS. SUTER: So this is Lisa Suter from
16	Yale CORE.
17	Similar to the impact that existing
18	Medicare claims-based mortality and
19	readmission measures have had on readmission
20	and mortality rates nationally and we are
21	seeing particularly for AMI as well as for
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1 pneumonia and other conditions that public reporting of these measures among the Medicare 2 population is influencing national rates. 3 It is likely that hospitals are not 4 individually separating their 5 quality 6 improvement efforts out for the Medicare 7 population, but they responding are to performance estimates across their hospital 8 9 populations. 10 And it is likely that surgical care 11 provided to Medicare populations particularly 12 for CABG which is much more common in that age group are certainly a signal of quality of the 13 14 hospital. 15 CO-CHAIR GUNNAR: myself Ι For 16 appreciate the fact that there's an attempt to 17 risk-adjust what could be just publicly reported unadjusted mortality rates. 18 So, 19 plain statement.

20 DR. BURSTIN: Also a couple of weeks 21 ago the readmission committee reviewed the

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claims-based CABG readmission measure as the 1 same population. So there's also I think an 2 issue of the harmonization to the related 3 readmission measure I suspect as well. 4 And in terms of usability MS. SUTER: 5 6 of this particular measure the intention is 7 that it is appropriate to measure two domains of care across the same cohort. 8 And given that there is a claims-based 9 10 readmission measure we think that it's important when your hospitals are focusing on 11 12 like reducing readmissions things that unintended consequences such as short-term 13 14 mortality are simultaneously measured to 15 ensure that we are not influencing the wrong 16 kinds of quality improvement efforts in our work to reduce readmissions. 17 further 18 CO-CHAIR GUNNAR: Any discussion? Dr. Han. 19 MS. HAN: Hi, this is Lein Han from 20 21 CMS. **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

1	I want to say that we implement the
2	measure we also monitor the impact. And from
3	what we saw from the analysis they have provided
4	us and by the by others too we saw the
5	reduction or decrease in the readmission and
6	also improved the quality of care for the
7	mortality.
8	Because we have a published paper on
9	how AMI mortality, distribution of the AMI
10	mortality I think narrowed and also shifted,
11	the bell curve shifted to a lower mean.
12	So, I think in the national level
13	because of the way CMS implemented national
14	level. And we do see the impact and stimulated
15	the quality improvement. I think this is very
16	encouraging. Thank you.
17	CO-CHAIR GUNNAR: Any other comments?
18	Let's vote. Usability and use.
19	MR. SANCHEZ: Voting will now begin
20	for criteria 4 usability and use. One is for
21	high, two is for moderate, three is for low,
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1	four is for insufficient. Timer starts now.	
2	CO-CHAIR GUNNAR: So we need one more,	
3	is that right?	
4	MR. SANCHEZ: Yes, I think we need one	
5	more.	
6	CO-CHAIR GUNNAR: Can everybody do it	
7	one more time?	
8	MR. SANCHEZ: We have 8 for high, 12	
9	for moderate, 3 for low, zero for insufficient	
10	information.	
11	CO-CHAIR GUNNAR: So a vote for does	
12	the measure meet NQF criteria for endorsement.	
13	Any discussion before we vote? Go ahead.	
14	MR. SANCHEZ: Voting will now begin	
15	for overall suitability for endorsement. One	
16	is for yes, two is for no. Timer starts now.	
17	We have 22 for yes, 1 for no.	
18	CO-CHAIR FLEISHER: Great. We're	
19	going to move onto measure 0264. As we	
20	continue we're going to have to balance a	
21	richness of the discussion with allowing all of	
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1 these to be discussed since there are people in come to participate. 2 the room who have Prophylactic intravenous antibiotic timing. 3 Do we have -- is it Donna on the phone? 4 MS. SLOSBURG: Yes, Donna is on the 5 6 phone. 7 CO-CHAIR FLEISHER: Great. And who's the reviewer? Fred, you can actually 8 participate. It's nice to have you back. 9 10 Donna, do you want to start with giving us a brief overview of the measure? 11 SLOSBURG: Sure. I'm Donna 12 MS. Slosburg. I apologize I couldn't be there in 13 I'm the executive director of the 14 person. 15 Ambulatory Surgery Quality Center 16 Collaboration. 0264. 17 This It's measure was ambulatory surgery center admissions with an 18 19 order for a prophylactic IV antibiotic for prevention of surgical site infection who 20 21 received a prophylactic antibiotic on time.

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1	It was endorsed in 2007 and went
2	through maintenance in 2012. I was listening
3	in yesterday during all the discussion about
4	the antibiotic measures, but unlike those other
5	measures this measure has only been in use in
6	the CMS Ambulatory Surgery Center Quality
7	Reporting Program since October of 2012.
8	CMS did present the ambulatory surgery
9	data regarding this measure on April 23 of this
10	year. And timely use for calendar year 2013
11	was at about 96 percent.
12	Again, this is a little different than
13	the other measures. We are only in our second
14	full year of reporting. And I'd be happy to
15	answer any questions.
16	CO-CHAIR FLEISHER: Okay, Fred, do
17	you want to take us through evidence?
18	MEMBER GROVER: I'd just like to
19	perhaps ask the developer here a little bit on
20	the level of analysis before we do that if I
21	might.
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1	In terms of it's analyzed at the
2	individual ambulatory surgery center level for
3	349 centers, but the remainder of the total of
4	the 671 centers are centers that report to a
5	corporate system level.
6	Not having the information on those it
7	would seem to me doesn't allow you to truly see
8	the trends over time between sites. Could you
9	maybe clarify that? Is that an issue? Or
10	explain that to us?
11	MS. SLOSBURG: I have someone else on
12	the phone as well. Kim, I don't know if Kim
13	wants to take this question.
14	MS. WOOD: You are correct that when
15	we initially developed this measure we had to
16	rely on volunteers and we were able to recruit
17	corporate volunteers and individual
18	volunteers.
19	When you look at this measure,
20	however, currently as it's being used in the ASC
21	Quality Reporting Program that CMS has
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1 developed these results are available to the centers at the individual center level as well 2 as how they stand in comparison to the overall 3 rate. 4 So Fred, is the CO-CHAIR FLEISHER: 5 6 evidence different from the other antibiotic measures for this one? 7 Things get better. MEMBER GROVER: 8 Yes, the evidence, I mean obviously this is a 9 10 process measure. It's a timeliness the same 11 being within one hour. They review а 12 prospective study. Six large were 13 observational, two were small and there were three randomized trials. 14 And their overall assessment was that 15 there was good evidence. And I guess I would 16 17 the overall evidence based rate on my assessment as moderate. 18 19 CO-CHAIR FLEISHER: Great. Can we --20 any comments? Yes, Barbara. 21 MEMBER LEVY: I just want to comment **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701

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1 that those papers are all in inpatient And I'm not sure that we're clear on 2 settings. antibiotic prophylaxis in the outpatient 3 setting. So, I agree with you, moderate at 4 But in fact those data don't apply to 5 best. this patient population in this setting. 6 7 MEMBER GROVER: Yes, and maybe again you might want to respond to that. But my take 8 on that would be that's what they would say is 9 10 one of the unique parts of this they want to see 11 if those other measures apply to this. Then they need to look 12 MEMBER LEVY: 13 at outcomes. 14 MEMBER GROVER: Yes. 15 CO-CHAIR FLEISHER: you So, are questioning whether the link to outcome is 16 17 sufficient enough and therefore whether it even deserves a moderate in the outpatient setting 18 19 because it's a different population. I am, I just don't know 20 MEMBER LEVY: 21 that we have the data to provide the link. **NEAL R. GROSS**

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1 CO-CHAIR FLEISHER: Okay. The question is is that 2 MEMBER CIMA: this is an order for antibiotics but it doesn't 3 question -- the real question is is it an 4 appropriate order for antibiotics. 5 So, 6 anybody can write an order. The measure says if you wrote the order 7 we're going to give it within an hour. 8 And it has a whole long list of antibiotics that 9 10 probably shouldn't even be used in an 11 outpatient setting. 12 question So the real is is it 13 appropriateness. And there's no data to 14 support in an outpatient setting like this in these ACSs whether or not in many of these cases 15 16 whether you even need an antibiotic. So I 17 agree, I'm not sure there's even evidence base to support this. 18 19 CO-CHAIR FLEISHER: So, can we get --20 Donna, can you or your colleague comment on --I assume this is a single measure of timing and 21 **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS

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1	not timing and choice. And whether or not
2	there is evidence in the outpatient setting.
3	MS. WOOD: This is Kim and I'd be happy
4	to address that. The first question raised was
5	whether there is evidence of impact on surgical
6	site infection outcomes in the outpatient
7	setting. The answer is no.
8	There is no literature that we're
9	aware of that looks at this in the outpatient
10	setting.
11	The second question was about whether
12	or not we were looking at the appropriateness
13	of the selection of the antibiotic and no, we're
14	not.
15	However, the way that we've designed
16	this measure is such that we have attempted to
17	make it, let me think of the right word,
18	compatible perhaps with the physician measure.
19	And that's why you see the comparable
20	list of antibiotics that ties in with the
21	measure that the AMA PCPI has developed
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1 regarding the selection of the antibiotic. CO-CHAIR FLEISHER: That's not in the 2 specifications currently, correct? 3 MS. WOOD: Yes. 4 CO-CHAIR FLEISHER: Okay. Rick? 5 6 MEMBER DUTTON: One of the things that might help clarify this is understanding what 7 specific procedures this applies to. 8 Since likely a lot of the literature does apply to the 9 10 cases that are now being done in outpatient 11 things like laparoscopic centers, 12 cholecystectomies, a lot of GYN surgery, 13 arthroscopies that were all inpatient back in 14 the day when this literature was written about the value of prophylactic antibiotics. 15 16 So the problem here is understanding 17 which cases this measure is intended to apply Because I think there is probably good 18 to. 19 evidence and it probably is appropriate for some of the cases being done in the outpatient 20 21 center but not all.

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1	CO-CHAIR FLEISHER: But can you
2	comment on the denominator inclusions?
3	MS. WOOD: So, the denominator is
4	designed to strictly assess whether the
5	facility administers antibiotics that are
6	ordered for prophylaxis on time.
7	And again, since there is a measure
8	that looks at the appropriateness of the
9	physician's orders that can be used to assess
10	the physician-level use these two can go hand
11	in hand. But
12	CO-CHAIR FLEISHER: My question is
13	MS. WOOD: we're just looking
14	sorry?
15	CO-CHAIR FLEISHER: Do you have any
16	exclusions or is it all procedures? Is the
17	simple question.
18	MS. WOOD: No, it's all procedures for
19	which
20	CO-CHAIR FLEISHER: Thank you.
21	Kelsey?
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1	MEMBER MCCARTY: Yes, just to follow
2	up on Dr. Cima's comment. I'm wondering why
3	it's limited to just IV antibiotics and not
4	administration of all antibiotics. Because
5	you're also getting a sample bias there.
6	CO-CHAIR FLEISHER: Any answer from
7	the developer? I don't know if you
8	MS. WOOD: It is written that way in
9	order to be as harmonized as possible with the
10	related physician measure.
11	CO-CHAIR FLEISHER: Collette?
12	MEMBER PITZEN: Just a measure design
13	comment or observation. If it's based only on
14	those patients that have an order for that there
15	is some selection bias.
16	Perhaps if it was procedure-based then
17	you'd be catching patients perhaps that should
18	be receiving that antibiotic that are not.
19	CO-CHAIR FLEISHER: Thank you. I
20	think, Fred, any comments before we vote?
21	MEMBER GROVER: Those are all good
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1	comments. And it's obvious that this is just
2	to see about the timing of the antibiotics when
3	the order's been written. It doesn't address
4	whether the order should have been written or
5	not written. So I think we're probably ready
6	to vote on the evidence.
7	MR. SANCHEZ: Voting will now begin
8	for la evidence. One is for high, two is for
9	moderate, three is for low, four is for
10	insufficient evidence with exception, five
11	insufficient evidence. Timer starts now.
12	We have 1 for high, 7 for moderate, 12
13	for low, 1 for insufficient evidence with
14	exception, 2 for insufficient evidence.
15	CO-CHAIR FLEISHER: Okay, so this
16	measure fails on evidence and therefore fails.
17	Is that correct? We are moving onto the next
18	measure. Thank you.
19	We need STS back. Who's doing 0126?
20	CO-CHAIR GUNNAR: Okay. So this is
21	0126 Selection of Antibiotic Prophylaxis for
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Cardiac Surgery Patients, Society of Thoracic
Surgery. Dr. Jacobs?

DR. JACOBS: Well, good morning, 3 again. Jeff Jacobs. This is again Selection 4 of Antibiotic Prophylaxis for Cardiac Surgery 5 It's a measure that reports the 6 Patients. 7 percentage of patients 18 years or older undergoing cardiac surgery who had an order for 8 9 received preoperative prophylactic or 10 antibiotics recommended for the operation.

evidence 11 The base comes from а substantial body of literature about the value 12 appropriate 13 of the use of prophylactic 14 antibiotics in prevention of infection and mediastinitis. 15

And I think that this is a previously endorsed measure that's coming up for renewal. And I think probably the evidence base has been discussed here extensively previously so I think I could just answer any questions.

MEMBER DUTTON: I was the primary

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1	reviewer of the measure. The numerator is the
2	antibiotic order is written. STS also
3	assesses whether it was actually given. The
4	denominator is all cardiac surgery patients
5	over 18. I am not sure why the exclusion to
6	adults only other than to note that there's
7	little evidence in pediatric populations. The
8	evidence is Jeff?
9	DR. JACOBS: Well, there's two
10	reasons. The literature that supports this
11	particular measure was developed based on
12	patients over the age of 18.
13	There is a body of literature related
14	to the use of prophylactic antibiotics for
15	pediatric cardiac surgery, cardiac surgery in
16	those less than 18. But it's not as
17	substantial and the evidence base is not as
18	solid.
19	And on top of that there's substantial
20	variability in practice across the country that
21	has been published and documented in a number
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1 of published surveys about pediatric So consequently this measure is 2 antibiotics. focusing on adults where there's a more solid 3 evidence base. 4 In addition to that the pediatric 5 population undergoing cardiac surgery is in a 6 different component of the STS database. 7 So this is an STS adult cardiac surgery database 8 And the congenital database has the 9 measure. 10 patients that are less than 18. But just to 11 CO-CHAIR GUNNAR: be clear, adult congenital gets included in the 12 adult population? 13 14 DR. JACOBS: That's a real question that we could spend the next hour and a half 15 16 talking about. But the brief answer is that an 17 adult congenital patient can end up in either database depending on what the predominant 18 19 component of the operation is. And I'll give 20 a couple of examples. If a patient with a previous repair of 21 **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W.

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1 tetralogy of Fallot comes in and gets a coronary artery bypass graft that's going to end up in 2 the adult cardiac database. 3 If a patient with a functionally 4 interventricular heart that's had an atrial 5 6 pulmonary connection comes into the hospital 7 for a Fontan revision to a more hemodynamically favorable Fontan circuit at the age of 35 that 8 would end up in the congenital database. 9 So, 10 it really depends on what the most predominant 11 component to the operation is. CO-CHAIR GUNNAR: But with regard to 12 this measure would the latter be included or 13 excluded from? 14 15 This measure applies to DR. JACOBS: all patients entered into the adult cardiac 16 17 database. Only the adult. 18 CO-CHAIR GUNNAR: 19 There you go. MEMBER DUTTON: As far as the evidence 20 goes I think the evidence is very strong 21 **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W.

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1 connecting this process to prevention of particularly mediastinitis. 2 CO-CHAIR GUNNAR: Anv other 3 discussion? We'll go ahead and vote. 4 SANCHEZ: Voting will now begin 5 MR. for 1a evidence. One is for high, two is for 6 7 moderate, three is for low, four is for insufficient evidence with exception, five is 8 for insufficient evidence. Timer starts now. 9 10 MEMBER GROVER: Just for the record I'll be abstaining from all the STS measure 11 12 votes. We have 22 for high, 1 13 MR. SANCHEZ: 14 for moderate, zero for low, zero for insufficient evidence with exception and zero 15 16 for insufficient evidence. 17 Regarding MEMBER DUTTON: the opportunity for improvement 18 the current 19 performance on this measure is median of 99.2 percent with 20 2.8 percent of providers 21 identified as low performers.

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1	This would meet our criteria from
2	yesterday for being topped out. My opinion is
3	it is an important measure. It should continue
4	to be collected.
5	The cost to collect this in the
6	registry setting is essentially zero as this
7	one is as we were discussing earlier hardwired
8	into the system and routinely collected.
9	And I think it is reasonable to
10	continue to collect and report this particular
11	measure, particularly when mortality from
12	cardiac surgery is also at a very low level.
13	That was 98 percent earlier and that measure was
14	accepted by this group.
15	DR. JACOBS: And I would just add to
16	that, first of all, I agree with everything that
17	you said. And the other piece to consider is
18	that the mortality associated with a
19	postoperative infection after cardiac surgery
20	as well as the morbidity of a postoperative
21	infection after cardiac surgery is
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1 substantial, perhaps worse than almost any other postoperative infection. 2 Postoperative mediastinitis is a big 3 deal. People die from it. Those that survive 4 are often in the hospital for months and months 5 6 requiring multiple operations. 7 CO-CHAIR GUNNAR: Dr. Yates? MEMBER YATES: Since the inception of 8 this particular measure there's been greater 9 10 utilization of MRSA screening in some surgical 11 subspecialties. And my question to you, does the STS 12 database or registry allow for the recording of 13 14 the actual antibiotic used as opposed to being checked off as appropriate. And does it also 15 16 allow for, as an exclusion criteria for the use 17 of a first or second generation cephalosporin, the fact that the patient may be MRSA-positive? 18 19 And I ask this in the sense that a performance gap could be discerned over time in 20 terms of mediastinitis rates based on whether 21

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1 or not, for instance, clindamycin or vancomycin are used versus whether or not people are 2 screened for MRSA. 3 So, given the fact that those are 4 potential end uses which are not part of this 5 6 measure which is looking at whether or not 7 appropriate antibiotics have been given is the granularity within the system so that that 8 9 could be an outcome that would justify 10 continuing to make this an important measure? 11 DR. JACOBS: So, currently no, but that's certainly an excellent idea for an area 12 of future investigation within the database. 13 I think that's a great idea. 14 15 Currently we track whether or not the appropriate antibiotics are given and there's 16 17 a whole list of criteria on what's appropriate and what's not based on the evidence base. 18 But 19 I think what you're suggesting is a great area 20 of future investigation. 21 CO-CHAIR GUNNAR: So, the question, **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W.

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Dr. Jacobs, if this process measure was placed 1 on reserve is it from STS's perspective that 2 mediastinitis or the rate of mediastinitis 3 would really be the end -- it's the end goal, 4 correct? That's the quality goal. 5 6 So the question is if this fails or is 7 placed on reserve based on the performance gap which is insignificant at this point 8 my question is isn't -- you still stand on the 9 10 NOF-endorsed measure of outcome of mediastinitis, correct? 11 12 Well, absolutely, DR. JACOBS: we have our NQF-endorsed measure of mediastinitis 13 14 which is also a component of the NQF-endorsed composite score. So that's all important. 15 16 The only thing I would add is that 17 clearly the major endpoint here is prevention of postoperative mediastinitis. 18 19 But there's also some subtle endpoints 20 regarding use of appropriate antibiotics and 21 prevention of inappropriate overuse of **NEAL R. GROSS**

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antibiotics that could lead to development of multiple resistant strains of organisms within hospitals. So, by choosing the appropriate

antibiotic there's more endpoints than just preventing mediastinitis. There's also preventing using the wrong antibiotics which could then lead to a variety of other problems within the hospital.

MR. SHAHIAN: This is Dave Shahian. The only other point is that the endpoint of major interest which is internal infection of mediastinitis occurs with an average incidence of about 0.3 percent.

So 15 it's devastating but а exceptionally rare outcome and it is very hard 16 17 to distinguish levels of performance based on something that occurs that infrequently. 18 So I 19 think it's a reason for considering a process 20 measure in this case.

MEMBER DUTTON: Yes, I would echo

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21	quality of care to the remaining 99.7 percent
20	But this measure allows evaluation of
19	0.3 percent.
18	is exceedingly rare, less than 1 percent, maybe
17	topped out, I would just add that mediastinitis
16	And you know, regarding the concept of
15	makes it a little bit bigger of a deal.
14	than most postoperative infections. So that
13	surgery is probably an order of magnitude worse
12	of the postoperative infection for cardiac
11	differentiator here is the potential severity
10	DR. JACOBS: I think the only
9	Or did we decide yesterday something different?
8	though for all other patients having surgery.
7	MEMBER CIMA: It's the same case
6	heard, 3 per 10,000 is not measurable.
5	whereas the actual outcome which is, as you just
4	measurable and improvable above 98 percent
3	process measures is that the processes are
2	reconsider our stance on some of the topped-out
1	that. And one of the reasons I think we should

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1	who don't get mediastinitis. Their quality of
2	care is evaluated by assuring that they get the
3	right antibiotics.
4	And it really does effect a change in
5	the behavior of the operating room team.
6	During the timeout, one has a timeout to make
7	sure the right antibiotic was given at the right
8	time. And that, a lot of it is because of this
9	measure. And I'd sure hate to see that go away.
10	MS. WINKLER: I just want to make one
11	comment. You're talking about a lot of things
12	that are outside this current criteria which is
13	the gap that may be appropriate in some of the
14	other criteria. So I'd really ask you to focus
15	in. Right now we're talking about performance
16	gap.
17	And I would also caution you that the
18	criteria should be applied equitably and
19	equally along all the measures. So, you know,
20	the question about gap is the same question for
21	each measure. There may be some of these

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issues you're raising apply in other criteria that would be appropriate.

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CO-CHAIR GUNNAR: Dr. Temple?

MEMBER TEMPLE: I have a gap question. current measure includes received and The 5 6 ordered. And what I'm wondering is in your 7 data set are you seeing a gap -- if we separate the received and ordered are you seeing a gap 8 number of patients receiving 9 in the the 10 antibiotic? Because really that's the real 11 may be a potential place qap that for 12 improvement.

I think that's a good 13 DR. JACOBS: 14 question. We track both. But I don't know 15 that we've looked at the gap between received 16 and ordered.

17 MEMBER TEMPLE: Because I think that if we're looking -- if there's any gap that 18 19 would be the gap that would be important for us to consider in looking and evaluating this. 20 21 CO-CHAIR GUNNAR: Ms. McCarty?

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1 MEMBER MCCARTY: I'm wondering if you know of the estimated 0.3 percent of patients 2 end up with mediastinitis, do 3 who what percentage of those failed this measure of 4 getting the right antibiotic on time. 5 6 DR. JACOBS: That's another good question. I don't know that we've looked at 7 8 that. CO-CHAIR GUNNAR: Dr. Yates? 9 10 MEMBER YATES: I don't know if STS can 11 answer this, but just point as а of 12 clarification. And this is an impact as 13 opposed to a gap question but it ties into the 14 conversation. It's not so much the current rate of 15 16 mediastinitis as reported. It would be the 17 rate of mediastinitis that you would have without antibiotics. 18 19 corollary is in The total hip 20 replacement it's a 5 percent incidence of 21 infection without antibiotics and 1 percent **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701

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1	with. So the real question is what would be the
2	consequence for the population of patients as
3	was already asked that didn't get it and what's
4	their risk.
5	And in addition to mediastinitis
6	there's a certain amount of morbidity and a cost
7	that's not inconsequential from graft harvest
8	sites which have a higher infection rate and are
9	certainly probably affected by antibiotic
10	administration.
11	DR. JACOBS: Sure. There's graft
12	harvest site infections. There's infections
13	of prostheses like prosthetic valve infections
14	and endocarditis. The big one is the
15	mediastinitis but there are other
16	postoperative infections, I would agree with
17	that.
18	CO-CHAIR GUNNAR: All right.
19	Collette?
20	MEMBER PITZEN: I'm sorry, I'm going
21	to sound like a broken record but I just want
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1	to beg the question. We're asked to evaluate
2	the rate in the data that we're seeing for this
3	measure as it's specified, and at 99.2 percent
4	where is there to go from there?
5	DR. JACOBS: I'd answer that with the
6	same answer that other panel members provided
7	yesterday. 99.2 percent seems pretty high but
8	it would be totally unacceptable in the airline
9	industry and I think it should be totally
10	unacceptable in the cardiac surgery operating
11	room.
12	CO-CHAIR GUNNAR: Noted. All right.
13	We'll go onto vote. Oh, I'm sorry. Ms. Moyer.
14	MEMBER MOYER: I just wanted to go
15	beyond just the 99.2 percent. We're at 100
16	percent performance on this measure by the 30th
17	percentile. So, it's not just the overall
18	measure performance, it's looking at the
19	variation within those percentiles.
20	You have to go to the bottom decile to
21	get below 98 percent. And I know that's not
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1 acceptable for the airplane industry although I'd get on a plane -- we're all getting on planes 2 tonight but we're not all going to have CABGs 3 It's a different risk between the tonight. 4 two. 5 6 DR. JACOBS: Sure, but patients are 7 going to that bottom decile to have their CABG. And there are patients that actually have their 8 CABG there. 9 10 MEMBER MOYER: That's true. think 11 DR. JACOBS: And Ι that 12 emphasizes the importance of this. 13 And I think it's MEMBER MOYER: I absolutely 14 important from a QI perspective. think it should still be available to those 15 16 hospitals. 17 But I mean, from a gap perspective I'm just not really seeing, you know, if we want to 18 19 be consistent with what we did yesterday I'm not 20 seeing it. GUNNAR: 21 CO-CHAIR That is the **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

1 instruction. So, shall we vote? MR. SANCHEZ: Voting will now begin 2 for 1b performance gap. One is high, two is 3 moderate, three is low, four is insufficient. 4 Timer starts now. 5 6 We have 1 for high, 5 for moderate, 16 for low, 1 for insufficient. 7 CO-CHAIR GUNNAR: So, at this point we 8 then ask is the committee by a raise of hands 9 10 willing to consider this as a reserve measure? 11 Yes. All yeses in the air. How many is that? 12 (A show of hands) 13 MEMBER MCCARTY: In the same way we evaluate measures to determine if we should 14 15 have more than one of the competing measures, 16 if we move measures into reserve status do we 17 do the same thing for those? MS. WINKLER: 18 Yes. 19 MEMBER MCCARTY: Okay. CO-CHAIR GUNNAR: So, we have 20 yeses 20 21 and so we'll carry on. **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W.

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		134
1	MEMBER DUTTON: As far as the	
2	reliability of the measure goes this is a	
3	measure renewal. The mechanism for collecting	
4	the data has not changed in decades. So it is	
5	it remains reliable and	
6	MR. SANCHEZ: Sorry, Dr. Dutton, high	
7	priority first.	
8	MEMBER DUTTON: Oh, sorry, priority.	
9	This is important.	
10	(Laughter)	
11	CO-CHAIR GUNNAR: Any further	
12	discussion? I think that discussion actually	
13	we've had so we can carry on.	
14	MR. SANCHEZ: Voting will now begin	
15	for 1c high priority. One is for high, two is	
16	for moderate, three is for low, four is for	
17	insufficient. Timer starts now.	
18	CO-CHAIR GUNNAR: Dr. Ko has stepped	
19	out so there will only be and Dr. Grover has	
20	recused himself. I think that's correct at 21.	
21	MR. SANCHEZ: We have 17 for high, 3	
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135 1 for moderate, zero for low, 1 for insufficient. 2 MEMBER DUTTON: Sorry, Ι now recommend that this measure is reliable. 3 CO-CHAIR GUNNAR: And any further --4 we've had that discussion numerous times. 5 6 Shall we vote? MR. SANCHEZ: Voting will now begin 7 for 2a reliability. One is for high, two is for 8 moderate, three is for low, four is 9 for 10 insufficient. Timer starts now. We have 17 for high, 4 for moderate, 11 zero for low, zero for insufficient. 12 CO-CHAIR GUNNAR: We've discussed the 13 14 validity of the STS data at great length and I believe it is highly valid. 15 CO-CHAIR GUNNAR: Go ahead and vote. 16 17 MR. SANCHEZ: Voting will now begin for 2b validity. One is for high, two is for 18 19 moderate, three is for low, four is for insufficient. Timer starts now. 20 21 We have 21 for high, 1 for moderate, **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W.

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1	zero for low and zero for insufficient.
2	MEMBER DUTTON: The feasibility of
3	STS data collection has also been discussed.
4	This requires a substantial investment by the
5	institution in doing it but in this
6	high-profile area this has been justified.
7	And I think indicated by the fact that
8	that 90 to 95 percent of all institutions do
9	participate in this. I think it's been
10	adjudged to be usable for the institution.
11	Given that mechanism this data is very
12	feasible to collect and as I say pretty much
13	hardwired. This will eventually transmit
14	directly from the electronic records.
15	CO-CHAIR GUNNAR: Any further
16	discussion? We'll go ahead and vote.
17	MR. SANCHEZ: Voting will now begin
18	for criteria 3 feasibility. One for high, two
19	is for moderate, three is for low, four is for
20	insufficient. Timer starts now.
21	We have 14 for high, 9 for moderate,
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1	zero for low, zero for insufficient.
2	MEMBER DUTTON: Regarding usability I
3	think the decade-long history of this measure
4	with substantial improvement in performance
5	over that time indicates that it is usable to
6	drive improvements in performance at the local
7	facility and practice level.
8	I have one additional thought for STS
9	which is it might perhaps be appropriate at some
10	point to create a composite of process measures
11	as well as the composite of outcomes. In other
12	words, what would be a bundle of good
13	performance as a process measure that may be
14	more discriminating than the individual
15	measures themselves.
16	DR. JACOBS: I think that's an
17	excellent idea.
18	CO-CHAIR GUNNAR: Any other
19	discussion? Hearing none let's vote on
20	usability and use.
21	MR. SANCHEZ: Voting will now begin
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1	for criteria 4 usability and use. One is for
2	high, two is for moderate, three is for low,
3	four is for insufficient information. Timer
4	starts now.
5	We have 14 for high, 5 for moderate,
6	3 for low, 1 for insufficient information.
7	CO-CHAIR GUNNAR: And so the overall
8	suitability for reserve status. Any further
9	discussion? Let's go ahead and vote on that.
10	MR. SANCHEZ: Voting will now begin
11	for potential for reserve status. One is for
12	yes, two is for no. Timer starts now.
13	We have 22 for yes, 1 for no.
14	CO-CHAIR GUNNAR: So the
15	recommendation of the committee is that this
16	measure be placed in reserve status.
17	CO-CHAIR FLEISHER: Okay, we're going
18	to keep you here. We're going to keep you here.
19	We have five minutes left before the break to
20	get through 0128. Who's the discussant?
21	Okay. So, similar measure. Jeff, it's all
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yours.

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2	DR. JACOBS: This is duration of
3	antibiotic prophylaxis for cardiac surgery
4	patients. It's a partner measure to the one we
5	previously discussed, percent of patients over
6	the age of 18 undergoing cardiac surgery where
7	prophylactic antibiotics were ordered to be
8	discontinued or were discontinued within 48
9	hours after cardiac surgery end time.
10	CO-CHAIR FLEISHER: Evidence.
11	MEMBER REEDE: Thank you. So the
12	evidence for this measure is similar to all that
13	we have looked at from the STS database. I
14	believe it would be rated moderate to high. If
15	we want to discuss more I can.
16	CO-CHAIR FLEISHER: Comments?
17	Questions? Okay, let's rate the evidence.
18	MR. SANCHEZ: Voting will now begin
19	for la evidence. One is for high, two is for
20	moderate, three is for low, four is for
21	insufficient evidence. The timer starts now.
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140 1 CO-CHAIR GUNNAR: Can you try to vote one more time? 2 MR. SANCHEZ: We have 17 for high, 5 3 for moderate, for low, for 4 zero zero insufficient evidence. 5 MEMBER REEDE: As is indicative of all 6 7 the successful measures this too is probably 8 topped out with a minimal gap in performance. 9 CO-CHAIR FLEISHER: Any comment, 10 Jeff? 11 DR. JACOBS: No, same comment as before. The performance gap is minimal but the 12 high postoperative 13 stakes because are mediastinitis is a bad infection. 14 15 CO-CHAIR FLEISHER: Okay, vote. MR. SANCHEZ: Voting will now begin 16 17 for 1b performance gap. One is high, two is moderate, three is low, four is insufficient. 18 19 Timer starts now. We have 1 for high, 4 for moderate, 17 20 for low, 1 for insufficient. 21 **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W.

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1	CO-CHAIR FLEISHER: Okay, as is our
2	tradition now how many would like to put this
3	on reserve status as opposed to okay. I'm
4	going to short-circuit the discussion and ask
5	is there anything different that anyone would
6	like to discuss regarding any of the other
7	criteria for this measure? Any?
8	MEMBER MOYER: I just have one quick
9	question. For the bottom decile of hospitals
10	if I'm reading this correctly, there's two and
11	they scored zero percent? That's not a data
12	error? They're literally not hitting this
13	measure ever?
14	MR. O'BRIEN: I don't know the details
15	of those particular sites but I think it's
16	possible that perhaps is a data error.
17	DR. JACOBS: It could be a data error.
18	Could be low-volume sites that aren't doing a
19	lot of CABGs and just haven't complied.
20	Without going back and looking at the
21	individual records it's impossible to know.
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		142
1	CO-CHAIR FLEISHER: Can you please	
2	take us through each vote?	
3	MR. SANCHEZ: Voting will now begin	
4	for 1c high priority. One is for high, two is	
5	for moderate, three is for low, four is for	
6	insufficient. Timer starts now.	
7	We have 17 for high, 6 for moderate,	
8	zero for low, zero for insufficient.	
9	MR. SANCHEZ: Voting will now begin	
10	for 2a reliability. One is for high, two is for	
11	moderate, three is for low, four is for	
12	insufficient. Timer starts now.	
13	We have 17 for high, 5 for moderate,	
14	1 for low, zero for insufficient.	
15	MR. SANCHEZ: Voting will now begin	
16	for 2b validity. One is for high, two is for	
17	moderate, three is for low, four is for	
18	insufficient. Timer starts now.	
19	We have 17 for high, 5 for moderate,	
20	zero for low, zero for insufficient.	
21	MR. SANCHEZ: Voting will now begin	
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1 for criteria 3 feasibility. One is for high, two is for moderate, three is for low, four is 2 for insufficient. Timer starts now. 3 CO-CHAIR GUNNAR: We still need a 4 couple of more votes. 5 We have 14 for high, 9 6 MR. SANCHEZ: 7 for moderate, for low, for zero zero insufficient. 8 Voting will now begin for criteria 4 9 10 usability and use. One is for high, two is for moderate, three is for low, four is for 11 12 insufficient information. Timer starts now. We have 12 for high, 8 moderate, 3 low, 13 zero insufficient information. 14 Voting will now begin for potential 15 for reserve status. One is for yes, two is for 16 17 no. Timer starts now. We have 22 for yes, 1 for no. 18 19 CO-CHAIR FLEISHER: On that note we 20 are only four minutes behind with one measure 21 behind. So we keep it at 10:45 to restart so **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W.

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1	that we can continue to stay on time. Thank	
2	you.	
3	(Whereupon, the foregoing matter went	
4	off the record at 10:32 a.m. and went back on	
5	the record at 10:46 a.m.)	
6	CO-CHAIR GUNNAR: So the expertise	
7	measure is 0131 Risk-adjusted	
8	Stroke/Cerebrovascular Accident, STS. Dr.	
9	Jacobs.	
10	DR. JACOBS: Hi, good morning again.	
11	Jeff Jacobs again. This is measure 0131	
12	Risk-adjusted Stroke/Cerebrovascular	
13	Accident. It reports the percentage of	
14	patients over the age of 18 undergoing isolated	
15	coronary artery bypass grafting who have a	
16	postoperative stroke, i.e., any confirmed	
17	neurologic deficit of abrupt onset caused by a	
18	disturbance of blood flow to the brain that did	
19	not resolve within 24 hours.	
20	This measure is being considered as an	
21	individual measure now but it's also part of our	
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composite measure for coronary artery bypass
 grafting as well.

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It has a low percentage of occurrence but the consequences are devastating, very similar to postoperative mediastinitis.

The measure has been publicly reported 6 It's also utilized for a 7 in the composite. variety of quality improvement initiatives and 8 in fact STS currently has a stroke workgroup 9 10 within the STS task force of quality 11 improvement is trying identify that to variables that are present in hospitals with 12 very low stroke rates so that those variables 13 14 can be shared across across the breadth of STS.

And I think that summarizes the use of the measure as well as its scientific basis. And I think I can answer any questions.

CO-CHAIR GUNNAR: Discussant? MEMBER ASHER: So as mentioned this was -- this is an outcomes measure that was originally endorsed in 2007, most recently in

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2011.

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2	With respect to evidence several
3	studies were referenced by STS. Specifically
4	studies that document reductions in the rates
5	of stroke post CABG with implementation of
6	various preoperative strategies.
7	They also demonstrated that the
8	implementation of these modalities is highly
9	variable among groups performing CABG and also
10	that the stroke rates are significantly
11	variable.
12	The conclusion was many opportunities
13	exist to decrease stroke rates by increasing
14	implementation of these evidence-based
15	strategies. And I think that there is
16	sufficient information here to suggest a
17	relationship between the measured outcome and
18	a number of different healthcare processes.
19	CO-CHAIR GUNNAR: Any discussion?
20	Vote on evidence.
21	MR. SANCHEZ: Voting will now begin
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Timer starts now. 2 CO-CHAIR GUNNAR: Let the record show 3 Dr. Grover has recused himself. 4 MR. SANCHEZ: We have 23 yes, zero no. 5 MEMBER ASHER: With respect 6 to 7 performance gap it's already been mentioned that the stroke rate is low perhaps due to the 8 implementation of this measure. But that does 9 10 represent a somewhat limited opportunity for improvement. 11 12 In addition, and as we'll discuss in more detail in a few minutes in the empirical 13 14 validity testing section almost all 15 participants fall into the mid-performance 16 like 99 category. And Ι mean percent 17 participants. So in that regard this doesn't provide an opportunity for a lot of distinction 18 19 between providers. So we've had this conversation in the 20 context of a number of these different measures 21

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for la evidence. One is for yes, two is for no.

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1	but this one in particular seems to have a lot
2	of providers falling within one particular
3	category which is that moderate range.
4	So I would rank this as low to moderate
5	on the basis of the room that we have for further
6	performance improvement.
7	CO-CHAIR GUNNAR: So, I need some
8	clarification here because on a performance
9	metric the act of doing something in
10	relationship to, you know, the outcome.
11	We can easily see that if you're giving
12	antibiotics 99 percent of the time that's one
13	thing. That's different from evaluating the
14	outcome itself. So the outcome here is low.
15	The measurement of that outcome you would look
16	at as does so the question is as part of this
17	registry it is mandatory that you assess
18	whether or not this outcome occurred. I don't
19	think the two equate.
20	MS. WINKLER: I think it's an
21	excellent question. I'd ask the same question
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1	myself is, you know, when you're looking at
2	important outcomes does it matter that
3	everybody's doing well, or is that a
4	particularly good thing?
5	And I think particularly in these
6	low-incidence adverse outcomes we see a lot of
7	this in patient safety. Don't you, Andrew?
8	Andrew oversees our patient safety portfolio.
9	And so this is not an unusual situation to have
10	low incidence, and in fact that's highly
11	desirable.
12	So I think you're right to ask the
13	question that when it comes to desirable
14	outcome measures can you the whole concept
15	of topped out doesn't really I think have the
16	same meaning as it does with a process measure.
17	So, I don't know if Helen wanted to
18	weigh in on that either. The question about
19	high levels of performance with outcome
20	measures.
21	DR. BURSTIN: In general outcome
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1 measures that are considered safety events, even low-volume, are still very appropriate to 2 track just because they're important adverse 3 So we don't worry as much about low 4 events. events there. 5 MEMBER ASHER: Well, then is there an 6 7 issue here about the way performance is being evaluated? Over 99 percent of people are 8 9 falling particular performance into а 10 category. 11 So, if we're looking at -- I mean, what is the gap we're looking for? If we're looking 12 at a gap among providers there just isn't much 13 14 of a gap among providers even in achieving this particular outcome. So, I'm just looking at a 15 way of assessing this particular measure. 16 17 CO-CHAIR GUNNAR: Let me take Dr. Asher to the logical endpoint which is tomorrow 18 19 there is no more postoperative stroke. Does the measure then become irrelevant? 20 21 DR. JACOBS: And I would say even if **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W.

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there was no more postoperative stroke it's 1 still a very relevant thing to keep track of 2 because a stroke is a devastating event. 3 MEMBER ASHER: I think that it would 4 only -- we've made some arguments about some 5 6 things that are either intermediate outcomes or 7 performance measures and talked about how various healthcare processes have just become 8 9 part of what we do. 10 And so if everybody is doing those things then the question would be are those 11 12 hardwired into the processes of taking care of patients with cardiothoracic surgical issues. 13 And I don't know the answer to that. 14 15 But all I know is that most people are 16 now -- we have low rates. Most people are 17 falling into one category. So I'm just trying to find a way for 18 19 us to logically address this issue. Is there 20 a performance gap. MS. WINKLER: 21 Just from sort of a **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701

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1 perspective on the criteria I think you've raised an important question around dealing 2 with very important adverse outcomes that are 3 very low incidence, thank you. 4 And so the issue around the gap I think 5 of a criteria under 6 becomes less these 7 circumstances. But we haven't provided that quidance for you. 8 Andrew, I'm just wondering in terms of 9 10 your patient safety issues around this where 11 have they gone? I mean, typically we 12 MR. LYZENGA: haven't had much pushback on endorsement of 13 low-incidence adverse outcomes. 14 I don't want to push us into this area 15 16 but there has been discussion often about the 17 application of those measures subsequently. Which is again maybe not in the purview of this 18 19 Some people feel they're not as committee. 20 suitable for, say, accountability purposes. 21 But again, I don't want to get us into that

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1 discussion necessarily. That's my impression of the previous discussions on this kind of 2 3 issue. CO-CHAIR GUNNAR: So if it's all right 4 we'll start on that side of the room and then 5 6 we'll work to this side of the room. So, Dr. 7 Saigal. MEMBER SAIGAL: I was just going to 8 9 say that I think that a performance gap in terms 10 of it being an outcome measure is still 11 important to report because I think that 12 consumers do look for these things. And even 13 if it's a very low rate I think it's meaningful to people that are looking for surgeons and for 14 hospitals. 15 16 MEMBER PITZEN: Collette Pitzen. As 17 the naysayer on high-performing measures I do different feelings about 18 have outcome 19 I do think that they do have their measures. 20 place. They may not be well suited for 21 accountability in trying to differentiate

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1 performance between practices but I think that there's value there. I just wanted to share 2 Thank you. 3 that. MEMBER MOSS: The significance of 4 this event justifies continued measurement and 5 6 reporting. 7 But in the patient safety world increased effectiveness there's some for 8 9 reporting very low-rate events as days between 10 last event versus proportional percentage 11 There are some statistical advantages rate. It tends to make it more meaningful 12 to that. to the stakeholders. 13 MEMBER DUTTON: I'm kind of concerned 14 15 by the double standard here, why an outcome 16 measure is not topped out but a process measure 17 would be. If you think about it the process is 18 19 actually a much more controllable thing. As a consumer I recognize, and in fact it's part of 20 the informed consent, I could have a stroke as 21 **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701

part of my CABG. 1

2	But if I thought that my doctor wasn't
3	going to give me the antibiotics which is
4	something that's completely under his control
5	I would be much more upset about that as a
6	consumer of healthcare.
7	CO-CHAIR GUNNAR: Dr. Ko.
8	MEMBER CIMA: Just to go to the point
9	though. Outcome measures are important but
10	99-plus percent of people have the same rate.
11	So it's not really a good tool for
12	distinguishing. It is part of composite
13	measure which is probably a more realistic one
14	to provide.
15	And it's not like this measurement is
16	going to go away. It's going to be in the STS
17	database. And the purpose of the STS database,
18	it's you have individual practices look at
19	their performance and say, boy, we're a high
20	outlier in stroke maybe.
21	So the purpose of collecting this at
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1	a national level and reporting it as an
2	individual measure, I feel if it's 99 percent
3	it's non-distinguishable. It is somewhat
4	topped out in that sense.
5	And it's not like it's going to stop
6	being measured.
7	DR. JACOBS: I would say that beyond
8	the star rating again there's a percentage of
9	stroke that's also reported which provides
10	additional information. So it's not just the
11	information one gets from one-star, two-star,
12	or three-star, but the more granular data with
13	the actual numbers that support that.
14	I would also say that at the present
15	point in time every component of our composite
16	ratings are NQF-endorsed measures. So our
17	composites are all made up of all NQF-endorsed
18	measures.
19	And if we've not had a situation
20	where any of the domains of the composites are
21	non-NQF endorsed measures up till now. In the
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1 CABG composite there's 11 domains -- there's 11 measures that divide into 4 domains and those 2 are all NOF-endorsed measures. 3 MEMBER KO: My question goes 4 to exactly that. And maybe this is -- maybe we can 5 6 get some guidance from the NQF. 7 It seems like everyone is going to move towards composites. So, do we need each of 8 9 this component of the composite to be 10 NQF-endorsed? Because then we're suddenly, I mean 11 each little part by itself might not mean as 12 much as the whole together. So how do we do 13 this? 14 DR. BURSTIN: Yes, that's actually a 15 16 helpful question. So we updated our composite 17 measure evaluation guidance about a year ago and in fact changed, and this is a change from 18 19 the prior time. We looked at the STS composite 20 that we no longer require the individual 21 elements within a measure to be endorsed, or

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actually really more so want to see how they -actually asking for we're more of the evaluation at the composite level, how those come together. And in fact, I think some of the issues around low gap here will come up in reliability and validity potentially is where we often see that some of these very low-volume events have a difficult time of being reliable on their own, although can be good when you combine them with

11 other safety events.

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12 I will say though that we did do a 13 report three or four years ago specifically on the issue of low-volume safety events. 14 And recognized -- and the point that was just given 15 16 by Dr. Moss was exactly right, that there are 17 different ways to display safety events that are still meaningful even if they are low 18 19 changing denominator. volume. So the 20 Changing the days since last central line 21 infection.

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1	And I'm actually curious, and Andrew
2	may know this, but for example, in our
3	evaluation of the AHRQ patient safety
4	indicators just a couple of weeks ago I think
5	the denominator was actually different because
6	it's more, for example, I think it may even be
7	per 1,000 discharges.
8	So there are different ways to display
9	that data that still may be very informative to
10	patients and purchasers. And I'd be curious to
11	hear Amy's perspective in particular of even if
12	it's low-volume is it enough to say it's 3 per
13	1,000 versus 6 per 1,000 as they're making some
14	of those decisions.
15	So very good point. I'm happy to
16	share around that paper, this work that was done
17	several years ago specifically on the
18	low-volume safety events because I think it's
19	becoming more and more relevant right now.
20	CO-CHAIR GUNNAR: Dr. Yates?
21	MEMBER YATES: To the question as to
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1	whether or not the outcomes at a low rate are
2	equivalent to process measures, 99 percent of
3	patients can expect to get a total hip or a total
4	knee replacement without infection. But that
5	1 percent is something that we strive to be 0.5
6	percent. We strive to be 0.3 percent.
7	And I would argue that at this part of
8	the composite that is STS, that being stroke,
9	is an outcome that the stakeholder or patient
10	might, depending on their value system, see as
11	a fate as bad or as worse than death depending
12	on how badly the stroke turns out. And they're
13	different for the rest of their life.
14	And so if you have 10,000 coronary
15	artery bypasses and you have a 1 percent rate,
16	and you have 100 people that have a stroke, the
17	goal would be to make it 20, or make it 10, or
18	make it zero.
19	I would argue that outcomes of this
20	sort are never really a never event, but the
21	goal should be to hit never. And as such
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carving down from 99 percent to 99.9 percent 1 would be an important goal. And I think it's 2 worth keeping this and keeping this as an 3 important endpoint. 4 I'm sure that cardiac surgeons when 5 6 they have someone with a stroke it's similar to 7 looking for the black box after a plane crash. They go back with a fine-toothed comb trying to 8 figure out where it went wrong. 9 And I think 10 it's important to have this. I would agree with that. 11 DR. JACOBS: 12 And I would just add that the logic that we used 13 earlier this morning to endorse risk-adjusted operative mortality really applies here. 14 It's 15 the arguments far the same exact as as 16 percentage of occurrence of the event. 17 So if one were to be consistent with what we did this morning the same logic would 18 19 It's subject to apply here. the same criticisms. 20 CO-CHAIR GUNNAR: Dr. Fleisher? 21 **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS

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1	CO-CHAIR FLEISHER: Yes, I would
2	agree with Dr. Yates and just say that you could
3	also think of this as a patient-reported
4	outcome. It's actually the patient is
5	reporting a functional status change which
6	leads but that's the difference between what
7	some of the other outcomes say. It's not
8	I'll leave it there.
9	DR. BURSTIN: Just one more comment.
10	I was just checking in with Karen Pace who's our
11	lead methodologist reminding me that again,
12	performance gap says considerable variation or
13	less than optimal performance.
14	So I guess the question for STS is if
15	you think there's, you know, is there variation
16	across providers. And that's an adequate
17	reason as well for the performance gap. So,
18	perhaps a question for you guys.
19	MR. O'BRIEN: I'll just weigh in from
20	the statistician's perspective.
21	In terms of the variation across
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1 providers I don't have the numbers in front of But what's being reported in the table 2 me. there is the distribution of the estimates of 3 performance over providers. Those 4 are generated from that hierarchical model which 5 6 has the property of the estimates in the 7 presence of a moderate sample size. To the there's uncertainty about 8 extent the 9 estimates, the estimates are shrunken back 10 towards the average. So it's substantially 11 underestimating the true amount of spread between participants. If each participant had 12 vast numbers of cases you'd actually see a much 13 wider distribution. 14 And for a lot of the outcome measures 15 comparing 16 from the top of the we see distribution to the worst. 17 When you estimate that true signal distribution it's fairly 18 19 substantial. I've heard that NQF staff mention in 20

connection with other measures that I don't

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1 think you're being asked to endorse а particular star rating threshold. 2 And so although you're seeing very few one and three 3 stars, I don't know if that's how they were 4 labeled in NOF measure submission. That 5 actually is a very, very conservative threshold 6 7 that's being used. In the NQF submission materials 8 Ι labeled confidence 9 think they as were 10 intervals. They're actually -- the interval estimates that come out of the hierarchical 11 models aren't confidence intervals in the sense 12 traditional conventional confidence 13 that 14 intervals are. They're more like 15 Bayesian-type intervals. And they're much 16 more conservative. And so we've looked at other methods 17 of classifying provider performance by using 18 19 less rigid, strict certainty criteria. So to 20 the extent that you have wiggle room on that you

can basically create more outliers.

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1	So, if these were conventional
2	confidence intervals, you know, basically if
3	you had a 95 percent confidence interval and you
4	used another version of this you can have 5
5	percent outliers just kind of even if there
6	was no variation you'd expect to have just false
7	positives rated as outliers.
8	So this is really controlling,
9	minimizing the probability of falsely
10	classifying as above or below average
11	performance. But there's certainly by on
12	conference calls and discussions we have
13	considered other approaches and there's plenty
14	of opportunity to have many, many more outliers
15	than are shown in the submission material.
16	DR. JACOBS: I would just briefly add
17	to that that a take-home message from what Sean
18	just said is that there still is variation among
19	providers with the postoperative outcome of
20	stroke.
21	There's enough of a variation that STS
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1 has invested substantial time and energy in creating a stroke workgroup that is looking at 2 the high-performing providers to try to figure 3 out what they're doing better than everybody 4 else to figure out what can then be done to 5 6 minimize stroke even more. a low-incidence 7 Admittedly it's complication there's still enough 8 but variation that we've been able to identify 9 10 high-performing providers and try to learn from 11 them. And the effort is being made to cut this 12 from 1 percent to 0.5 percent to less because 13 it's so devastating. We'll work on this 14 CO-CHAIR GUNNAR: side and then we'll come back over here. 15 Ms. 16 Moyer. 17 We in general have a MEMBER MOYER: preference when we do our public reporting for 18 19 measures that show variations. In this case we preferentially looking for 20 would be the 21 composite. **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W.

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1	That said, there are instances where
2	if we in the absence of that measure we'll
3	report something like this that shows no
4	variation just to let patients know it's
5	something we've looked at. It's not we don't
6	know anything, we just can't differentiate.
7	I absolutely think this should be part
8	of that bigger composite. But I mean, from a
9	patient perspective if they're looking at the
10	individual parts of it, oh gosh, do I go to the
11	person who's got the better stroke rate or the
12	better deep sternal wound infection rate.
13	I mean, that's not something patients
14	can really appropriately weigh. I think
15	they'd be looking more for that overall harm,
16	that overall morbidity.
17	And I think this is a very important
18	aspect of that. I just, I struggle with it on
19	its own as a useful tool for patients or
20	accountability applications.
21	MEMBER SAIGAL: I just wanted to
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1	comment to Sean that I don't think I mean,
2	the interest in finding performance
3	differences is a take-home that's great to get.
4	But I don't think it's at the expense of
5	sacrificing specificity of the measure. So I
6	think it would be very counterproductive to
7	find variation where there could be question if
8	it really exists as a latent variable.
9	DR. JACOBS: I would just say that
10	this is not a situation where there's no
11	variation and that we'd be having a lot of
12	errors. When you use a model to estimate the
13	amount of true variation it's very clear that
14	there's substantial variation. And the
15	difficulty is in the ability to estimate that
16	rate precisely. So there's going to be some
17	tradeoff between false positives and false
18	negatives.
19	But it's not basically where you're
20	accepting just for the sake of having outliers.
21	That you catch a lot of true differences that
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we're currently missing by using a very strict criterion.

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CO-CHAIR GUNNAR: Collette?

MEMBER PITZEN: Collette Pitzen. 4 Just to comment for future. You've done a ton 5 6 of statistics in the section where we're 7 looking for reliability testing the on That information wasn't performance score. 8 provided. And I'm sure that you probably have 9 10 information about that. So for future submissions that might be helpful in helping us 11 determine if there is differentiation between 12 provider groups. 13

CO-CHAIR GUNNAR: Dr. Asher?

MEMBER ASHER: This has been a helpful
conversation for me. I would just ask, going
back to the process.

So it seems to me where we've been going with this is that, first of all, we can argue about the amount of variation. And I understand that basically depending on the

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statistical models there may be more or less variation that's represented in some of these things, particularly with the star ratings.

It seems like we also -- we need to re-calibrate our ideas of what substantial variation are, particularly in these low-incidence but high potential morbidity areas. And that might -- I don't know that we need formal guidance on that, but it may be almost like an asterisk you'd have next to like 1b suggests that we as a group need to be looking at these areas slightly differently.

I'm just bringing that up because again the numbers are low but maybe we have to think about it in a different way.

16 CO-CHAIR GUNNAR: Anv other 17 discussion? So, if I capture a bit of this just We have already voted on an 18 before we vote. 19 outcome measure which is mortality which shows low rate of distinguishing data between 20 а 21 centers.

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1	And we've had a complete discussion as
2	to the fact that if this was placed in reserve
3	status or eliminated it still would be voted as
4	it's already part of a composite measure.
5	But that's not what we're voting on at this
6	point.
7	So, knowing what we know with regard
8	to performance gap I think we vote.
9	MR. SANCHEZ: Voting will now begin
10	for 1b performance gap. One is for high, two
11	is for moderate, three is for low, four is for
12	insufficient. Timer starts now.
13	We have 7 for high, 10 for moderate,
14	4 for low, 1 for insufficient.
15	CO-CHAIR GUNNAR: Dr. Asher?
16	MEMBER ASHER: I'd argue this is a
17	high-priority area.
18	DR. JACOBS: I agree.
19	CO-CHAIR GUNNAR: Any further
20	discussion? We can vote.
21	MR. SANCHEZ: Voting will now begin
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1	for 1c high priority. One is high, two is
2	moderate, three is low, four is insufficient.
3	Timer starts now.
4	We have 19 for high, 3 for moderate,
5	zero for low, zero for insufficient.
6	MEMBER ASHER: So with respect to
7	reliability the numerator statement is the
8	number of isolated CABG procedures in which
9	postoperative stroke is marked as yes.
10	Denominator is all patients undergoing
11	isolated CABG. There are no exclusions. We
12	all know what the data source is. I have no
13	particular issues with specifications,
14	definitions, or coding.
15	With respect to reliability testing,
16	this wasn't in my mind well separated out in the
17	measure information. However, I believe what
18	was meant to represent this particular testing,
19	and please correct me if I'm wrong, but was this
20	audit a process involving re-abstraction of
21	data for 20 cases, comparison of 72 individual

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data elements with those submitted to the data
 warehouse.

And there was substantial agreement between those data sets which basically demonstrates that the data contained in the database is both comprehensive and accurate. So is that what was supposed to be represented for the reliability testing?

DR. JACOBS: Yes, I think absolutely that relates to the audit. And when we do the audit that provides the numeric details of the data re-abstraction process to confirm that not only is the data complete but it matches a re-abstraction so it's accurate.

15 CO-CHAIR GUNNAR: Yes, I would say 16 we've already voted on reliability and validity 17 with regard to the STS registry. So let's 18 carry -- unless there's further discussion 19 let's carry on.

20 MS. WINKLER: The only thing to think 21 about is yes, you've talked about the testing

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1	of the database. Remember that reliability
2	involves the specifications. So if there are
3	any questions around that.
4	And validity also includes any threats
5	to validity, handling of exclusions, the risk
6	model. So, those could vary from measure to
7	measure. So if there are any things that are
8	different please bring them up.
9	CO-CHAIR GUNNAR: Collette?
10	MEMBER PITZEN: I'm just curious
11	about the numerator and the qualification
12	around an event less than 24 hours. Could you
13	just talk to a little bit about the reliability
14	and the checking of that particular data
15	element? Thank you.
16	DR. JACOBS: Sure. So, there's a
17	universal definition of stroke. And there's a
18	paper that's called "The Universal Definition
19	of Stroke." And that was a harmonized
20	definition across multiple medical societies.
21	And STS participated in the creation of that
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2 to track a stroke. 3 And part of that definition is a 4 temporal cutoff where you have a transient 5 6 neurologic event that is associated with 7 recovery versus a permanent neurologic event. obviously it's a continuous 8 And variable that we're dichotomizing. 9 We're 10 dichotomizing that with a cutoff of 24 hours which is a standard universal definition of 11 stroke. And that's part of the audit process 12 that during audit one can confirm if the patient 13 had transient left arm weakness or transient 14 blindness and it went away in four hours that's 15 not going to be a stroke. But if a patient had 16 17 two or more consecutive days of those findings And those are all that would be a stroke. 18 19 audited fields. MEMBER PITZEN: And so the validation 20 around those fields has been acceptable? 21

harmonized definition of stroke. And that was the definition that is used in the STS database

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1	DR. JACOBS: Excellent.	
2	MEMBER PITZEN: Great. Perfect.	
3	That's all I needed to know.	
4	CO-CHAIR GUNNAR: Any other	
5	discussion? Let's vote on reliability.	
6	MR. SANCHEZ: Voting will now begin	
7	for 2a reliability. One is for high, two is for	
8	moderate, three is for low, four is for	
9	insufficient. Timer starts now.	
10	CO-CHAIR GUNNAR: Please re-vote.	
11	MR. SANCHEZ: We have 14 for high, 8	
12	for moderate, zero for low, zero for	
13	insufficient.	
14	MEMBER ASHER: So, validity was	
15	looked at in the context of face validity and	
16	I think that we've discussed that in the context	
17	of other STS measures with respect to the	
18	empirical validity testing.	
19	They basically look to see if the	
20	information could be used to predict future	
21	performance and they submitted data to suggest	
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that that was the case.

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Although, again, we have very, very
few individuals in some of these extreme
categories. And so you just have to keep that
in mind. But it appears to me that based on the
constructs that they've used that it deserves
a high rating with respect to validity.
CO-CHAIR GUNNAR: Any other
discussion? Let's vote.
MR. SANCHEZ: Voting will now begin
for 2b validity. One is for high, two is for
moderate, three is for low, four is for
insufficient. Timer starts now.
Still waiting on one more vote. Can
you please cast your vote again? We have 17 for
high, 5 for moderate, zero for low, zero for
insufficient.
MEMBER ASHER: There's no new
information here with respect to feasibility.
We've all discussed this in the context of the
other STS measures and I think it deserves a
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1 moderate or high rating with respect to feasibility. 2 GUNNAR: CO-CHAIR Further 3 discussion? Hearing none, let's vote. 4 MR. SANCHEZ: Voting will now begin 5 6 for criteria 3 feasibility. One is for high, two is for moderate, three is for low, four is 7 for insufficient. Timer starts now. 8 CO-CHAIR GUNNAR: And vote one more 9 10 time. It's failing to pick up one person so one 11 more time. MR. SANCHEZ: We have 12 for high, 10 12 for 13 moderate, zero for low, for zero insufficient. 14 MEMBER ASHER: This measure is in 15 16 We've already discussed it from current use. 17 ways that these various measures are being used so I won't talk about that. 18 19 I do question whether or not the fact 20 that it is part of the CABG composite score 21 limits its usefulness as an isolated measure. **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W.

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1	I know we're supposed to evaluate specific
2	characteristics of this but it is part of the
3	composite.
4	There is this very limited change in
5	terms of the number of individuals who fall into
6	these extreme categories. And so I raise that
7	question.
8	I also raise a question as to whether
9	or not there's evidence of risk-adjusted
10	improvement in performance. In 4b1 there is a
11	reference to 1b which references the appendix.
12	In looking at the odds ratios
13	presented over two time periods it wasn't clear
14	to me that performance improved in the various
15	provider deciles that were presented. I
16	discussed this with Amy. We were hoping that
17	perhaps the STS could address that particular
18	issue.
19	We've discussed this before about 4b
20	as a part of this overall evaluation, whether
21	or not there's evidence that there is
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1 improvement. And again, with most people in the moderate category wondering what 2 the relevance of that is. 3 MR. O'BRIEN: Ι think it may be 4 difficult to assess improvement over time 5 6 because back in the two thousands the time frame 7 in the STS database for defining stroke was a 72-hour time frame. Currently it's 24. 8 So there's only within a certain window we can look 9 10 for improvement. And I think going back for several of 11 12 the outcomes considering mortality and stroke you saw kind of dramatic improvements over the 13 14 nineties and early two thousands, and then more of the leveling off in recent years. So I'm not 15 16 sure just across the last few years whether 17 there's much difference. I don't recall seeing data suggesting a dramatic difference 18 19 over the past two years. JACOBS: And the definitional 20 DR. 21 changes I can clarify a bit. Back in the early **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS

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1	part of the STS database there was transient
2	ischemic attacks which were less than 24 hours,
3	something called a reversible ischemic
4	neurologic deficit which was 24 to 72 hours, and
5	then a stroke was greater than 72 hours.
6	Then at some point in time when the
7	universal definition of stroke evolved the term
8	"reversible ischemic neurologic deficit"
9	either went away or some people would say well,
10	that's just a subtype of stroke and thus it's
11	dichotomized into two things, TIA and stroke.
12	MEMBER DUTTON: I'd comment about
13	that too. As performance on some of these
14	outcomes tops out I would suggest that you will
15	continue to demonstrate improvement because
16	you will achieve the same level of outcome in
17	progressively sicker and sicker patients as
18	time goes on.
19	And as a suggestion for STS about how
20	to analyze it and how to show ongoing
21	improvement that might be a way to approach it.
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1	CO-CHAIR GUNNAR: Any other
2	discussion regarding usability and use?
3	Hearing none let's vote.
4	MR. SANCHEZ: Voting will now begin
5	for 4 usability and use. One is for high, two
6	is for moderate, three is for low, four is for
7	insufficient information. Timer starts now.
8	Still waiting on a few if you could
9	please resubmit. We have 13 for high, 6 for
10	moderate, 2 for low, zero for insufficient
11	information.
12	CO-CHAIR GUNNAR: Any further
13	discussion? Please vote on whether this
14	measure meets NQF criteria.
15	MR. SANCHEZ: Voting will now begin
16	for overall suitability for endorsement. One
17	is for yes, two is for no. Timer starts now.
18	CO-CHAIR GUNNAR: One more time.
19	MR. SANCHEZ: We have 20 for yes, 2 for
20	no.
21	CO-CHAIR GUNNAR: So, the committee
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votes in favor of this maintaining status as an
NQF-endorsed measure.

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CO-CHAIR FLEISHER: So we're moving onto 0114 so you can stay there. I assume this is another part of the composite. Who's the discussant? Great. Allan, you want to briefly tell us how other than the change in the endpoint, how this differs from the previous measure?

DR. JACOBS: Right. So the issues and dialogue for this measure are going to be very, very similar to the last measure, including the rate of occurrence and very similar to operative mortality.

This is a measure of risk-adjusted postoperative renal failure, the percentage of patients over the age of 18 undergoing isolated CABG without preexisting renal failure who develop postoperative renal failure or require dialysis.

And again, this is another extremely

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1 morbid complication after cardiac surgery. Ιt occurs rarely but it's a life-altering event 2 for sure. 3 And rather than discuss the evidence 4 base I think the evidence base speaks for 5 6 itself. The development of the model speaks for itself. And the issues we're going to 7 discuss are very similar to the ones we just 8 discussed with stroke. And I'm happy to answer 9 10 any questions. So yes, I think we 11 MEMBER SIPERSTEIN: can minimize a lot of repetition on this model. 12 Analogous to, for example, the mortality in the 13 stroke measure. Really talk about the outcome 14 15 of a multidisciplinary team throughout the entire process of care. 16 17 CO-CHAIR FLEISHER: So are we ready to vote on evidence? Okay, please do. 18 19 Voting will now begin MR. SANCHEZ: 20 for la evidence. One is for yes, two is for no. 21 Timer starts now. **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701

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1	We have 22 for yes, zero for no.	
2	CO-CHAIR FLEISHER: Okay. Can we go	
3	onto gap?	
4	MEMBER SIPERSTEIN: So in terms of an	
5	opportunity for important the data was	
6	presented that categorized various centers as	
7	being high, mid or low performers.	
8	And the rate ranged from 0.3 percent	
9	in the high performers, 2 percent in the mid and	
10	up to 6.7 percent in the low. So I'd interpret	
11	this as there is still a range in the measure	
12	and a continued opportunity for improvement.	
13	CO-CHAIR FLEISHER: Questions?	
14	Comments? So it's a larger gap.	
15	DR. JACOBS: Right. I think this one	
16	should be a little easier to vote on than	
17	mortality in stroke because the gap is larger.	
18	MEMBER YATES: And we discussed this	
19	in the workgroup. The gap being larger is	
20	reflective of the fact that this is a true	
21	cardiac team gap analysis in that multiple	
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1	health providers are involved in terms of
2	maintaining pressure or giving the right or
3	wrong drugs and the like.
4	And that this goes this extends from
5	the operating room environment all the way out
6	through the ICU and to the floor.
7	CO-CHAIR FLEISHER: Let's vote.
8	Thank you.
9	MR. SANCHEZ: Voting will now begin
10	for 1b performance gap. One is high, two is
11	moderate, three is low, four is insufficient.
12	Timer starts now.
13	Still waiting on a few of you. Please
14	resubmit. And one last time, please. We have
15	12 for high, 10 for moderate, zero for low, zero
16	for insufficient.
17	CO-CHAIR FLEISHER: Okay. Next.
18	MEMBER SIPERSTEIN: I think the
19	priority has been well addressed with this
20	affecting about 2.5 percent of the patients
21	with the high morbidity and cost. And also a
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1 link to survival. And there are multiple publications that address this. 2 3 CO-CHAIR FLEISHER: Let's vote, unless any comments. 4 Voting will now begin MR. SANCHEZ: 5 6 for 1c high priority. One is for high, two is for moderate, three is for low, four is for 7 insufficient. Timer starts now. 8 Still waiting on a few responses if you 9 10 could please resubmit. We have 21 for high, 1 11 for moderate, for low, for zero zero 12 insufficient. 13 MEMBER SIPERSTEIN: So in terms of the 14 reliability the numerator statement is clear and easy to calculate. The definition of renal 15 16 failure is either creatinine that's greater 17 than or equal to 4 or a threefold increase in the creatinine. Both of those are easily 18 19 numerical data. Or dialysis а new 20 requirement. 21 The denominator is pretty much **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

1 all-inclusive with all patients over 18 undergoing isolated CABG. 2 exclusions. 3 Verv minimal Even patients who have had renal transplants 4 previously are included. And obviously re-op 5 6 CABGs are included also. So minimal 7 exclusions. We've talked about the databases and 8 the clear definitions. 9 10 CO-CHAIR FLEISHER: Questions? 11 Comments? Let's vote. 12 Voting will now begin MR. SANCHEZ: for 2a reliability. One is high, two 13 is moderate, three is low, four is insufficient. 14 Timer starts now. 15 16 MR. LYZENGA: We're still waiting on 17 a few if you could resubmit your vote, please. We have 17 for high, 4 18 MR. SANCHEZ: 19 for moderate, for zero low, zero for insufficient. 20 21 CO-CHAIR FLEISHER: Validity. **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701

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1	MEMBER SIPERSTEIN: I think we've
2	been through all of this previously so ditto to
3	the last.
4	CO-CHAIR FLEISHER: Any objections to
5	voting? Let's vote.
6	MR. SANCHEZ: Voting will now begin
7	for 2b validity. One is high, two is moderate,
8	three is low, four is for insufficient. Timer
9	starts now.
10	Still waiting on a few if you could
11	please resubmit. We have 21 for high, 1 for
12	moderate, zero for low, zero for insufficient.
13	CO-CHAIR FLEISHER: Okay.
14	Feasibility.
15	MEMBER SIPERSTEIN: Has been
16	reviewed.
17	CO-CHAIR FLEISHER: Thank you. Any
18	objections? Let's vote.
19	MR. SANCHEZ: Voting will now begin
20	for criteria 3 feasibility. One is for high,
21	two is for moderate, three is for low, four is
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1	for insufficient. Timer starts now.	
2	Still waiting on one more if you could	
3	please resubmit. We have 15 for high, 7 for	
4	moderate, zero for low, zero for insufficient.	
5	CO-CHAIR FLEISHER: Usability.	
6	MEMBER SIPERSTEIN: I think all these	
7	points closely mirror the former measure also.	
8	CO-CHAIR FLEISHER: Any comments?	
9	Let's vote.	
10	MR. SANCHEZ: Voting will now begin	
11	for criteria 4 usability and use. One is for	
12	high, two is for moderate, three is for low,	
13	four is for insufficient information. Timer	
14	starts now.	
15	Waiting on a few responses if you could	
16	please resubmit. One more time, please. We	
17	have 17 high, 5 moderate, zero low, zero	
18	insufficient information.	
19	CO-CHAIR FLEISHER: And let's move	
20	onto voting for endorsement.	
21	MR. SANCHEZ: Voting will now begin	
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1	for overall suitability for endorsement. One
2	is for yes, two is for no. Timer starts now.
3	CO-CHAIR FLEISHER: And if we can
4	switch out the developer group because we're
5	moving to the next.
6	MR. SANCHEZ: Still waiting on two
7	more if you could please resubmit. Twenty-one
8	yes, zero no.
9	CO-CHAIR FLEISHER: Okay. So, as
10	we're about to start the bariatric measures it
11	would be great for Reva or Helen to just comment
12	on what's in this space. And if nothing's on
13	the space my assumption is we do or don't change
14	the criteria from your perspective. I just
15	want to have that out there.
16	MS. WINKLER: I would just refer you
17	back to the surgical portfolio document that I
18	gave you yesterday and you'll see that the three
19	measures that are newly submitted for your
20	consideration are the only measures in that
21	space.

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1	I mean, clearly as surgeons the other
2	measures that more generally apply to all types
3	of surgery would be applicable to this area, but
4	specifically to bariatric surgery these are the
5	first ones.
6	And the criteria are the same for all
7	measures new or for maintenance as we talked
8	about briefly yesterday. For maintenance
9	there are a few expectations of additional
10	information based on use, experience and that
11	sort of stuff. But for new measures everything
12	is the same.
13	CO-CHAIR FLEISHER: Yes and if I could
14	just confirm that Dr. Morton has an open line.
15	DR. MORTON: Yes, this is John Morton
16	from the American Society of Metabolic and
17	Bariatric Surgeons. And you guys also have Dr.
18	Matt Brengman in attendance as well
19	representing ASMBS. Thank you.
20	CO-CHAIR GUNNAR: So, five minutes
21	from the developers to provide an overview of
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Stapled Bariatric Procedures for Morbid 2 Obesity. 3 DR. MORTON: Sure. So this is, as 4 mentioned before these are new measures that 5 we're presenting on behalf of 6 bariatric 7 surgery. We don't have any previous measures. This specific measure is looking at a 8 yearly surgical case volume for primary stapled 9 10 bariatric procedures in bariatric surgery. 11 There are three main procedures, sleeve, the band and the bypass. In looking at 12 the current evidence when we examine it pretty 13 14 closely we see the preponderance of the morbidity and mortality associated with the 15 procedures lies with the stapled cases. 16

2556 Yearly Surgical Case Volume of Primary

We're also pretty aware in review of the literature that volume emerges as a predictor for both mortality and morbidity. The other thing that we're able to know is that this is a particular variable that is

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reliable and easy to access.

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We have had discussion about surgeon 2 volume versus hospital volume. However, the 3 data is more clearly available and more 4 consistent when it comes to hospital volume. 5 And so the case volume, again as I 6 7 mentioned before is important for morbidity and mortality but there are some data to also 8 support its use for patient satisfaction and 9 10 even some of the resource utilization measures such as return to work, length of stay and 11 12 readmissions. 13 So as what we're offering as our first 14 measure here total yearly primary stapled bariatric surgery cases in 18 year or older 15 16 patients. And we've listed the codes for the specific procedures. These are both listed as 17 ICD-9 and CPT codes. It should be pretty 18 19 readily available whether it be through a data 20 registry or even through an OR log. I think that's most ofwhat we wanted 21

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1 to identify. I think we've mentioned already that the volume we do is an important measure 2 for prediction of complication. And we've 3 outlined why hospital is more straightforward 4 as a captured variable. 5 6 The number that we've looked at at case volume after a pretty extensive literature 7 review is for stapled cases 50 annual cases a 8 9 year. 10 So I think I'll probably pause here 11 because Ι think the measure is fairly 12 straightforward. And I'm happy to answer any 13 questions. So, let the record 14 CO-CHAIR GUNNAR: show that Dr. Ko will recuse himself from this 15 16 measure voting. 17 MR. BRENGMAN: So I'll just make one comment and that is that in our review of the 18 19 NQF measures there are four current measures of 20 surgical volume as it relates to different 21 procedures, not bariatrics. **NEAL R. GROSS**

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1	CO-CHAIR GUNNAR: Kelsey?
2	MEMBER MCCARTY: So, as stated this
3	measure looks at yearly case volume of primary
4	stapled bariatric surgical procedures
5	performed on patients 18 years and older.
6	It is neither an outcome nor a process
7	measure, but rather a structural measure. The
8	developers are making the case that procedure
9	volume is an easily quantifiable variable that
10	appears to correlate to overall outcomes of
11	morbidity and mortality, and that this variable
12	acts as a surrogate for experience, expertise
13	and institutional commitment.
14	They also provide the rationale that
15	case volume reflects patient choice which is in
16	turn reflective of patient satisfaction and
17	economic factors such as time to return to work,
18	hospital length of stay and ease of follow-up.
19	And finally, they also describe this
20	measure as a surrogate for physician to
21	physician referral patterns.

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1	My concern with this measure is that
2	it's not paired with an outcome measure or
3	anything actionable. So it's not clear to me
4	what you would do with the results.
5	So they have selected a threshold of
6	50 cases per year based on expertise of the
7	society. So if you're less than 50 cases a
8	year, and I guess I would ask this to the
9	developer, what happens.
10	So, do you encourage people to get the
11	case volume up? Do you encourage patients not
12	to go there, physicians not to make referrals?
13	Is it just patient information to decide to do
14	with that what they will? I'm not sure what to
15	make of that.
16	DR. MORTON: Well, there's a few
17	things to consider there.
18	First, the recommendation is based on
19	the presented literature, the evidence that's
20	available. So this is above and beyond any
21	sort of expert opinion.
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1	There's considerable literature to	
2	support the threshold number.	
3	In terms of what to do with the actual	
4	volume criteria I think that it's pretty well	
5	linked to both mortality and morbidity. And we	
6	can certainly amend that because I think that's	
7	a valid point. Any sort of measure that we want	
8	to look at we want to make actionable. And so	
9	we could easily marry it to morbidity and	
10	mortality.	
11	CO-CHAIR GUNNAR: Any other	
12	discussion? Dr. Sawin?	
13	MEMBER SAWIN: I wonder if the	
14	developers could comment on why children under	
15	the age of 18 were excluded.	
16	DR. MORTON: I appreciate that point.	
17	And we did look at it. Unfortunately we simply	
18	don't have data for the young adults undergoing	
19	bariatric surgery. It's probably less than 1	
20	percent of the overall case volume. There's	
21	about 180,000 cases being done annually. And	
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1 in the data that we have currently we're seeing extremely small sample sizes 2 on an institutional level. 3 And there's a lot of variation, 4 anywhere from, you know, 2 cases to up to about 5 6 50 or 60 seems to be the largest. So we just don't have enough signal to figure out what a 7 volume threshold might be for the pediatric 8 9 patients. 10 And we felt that the overwhelming 11 majority of the cases being done are adult 12 cases, not pediatric. And we felt most 13 comfortable recommending in the adult 14 population. CO-CHAIR GUNNAR: Dr. Asher. 15 16 MEMBER ASHER: So, low volumes of 17 procedures can be a safety issue. High volumes can sometimes lead to better outcomes but can 18 19 imply over-utilization. That's also 20 certainly the case for a lot of surgical 21 procedures. So, is there anything in the **NEAL R. GROSS**

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1 existing evidence to suggest that in some high-volume centers that perhaps 2 it's an over-utilized procedure? 3 DR. MORTON: That's a great guestion. 4 really gets So, it to the of 5 core 6 appropriateness for these patients. In that regard bariatric surgery I 7 think has been pretty straightforward about 8 indications procedure. 9 for And these 10 indications for procedure have been set by the 1991 NIH consensus conference criteria. 11 And that has been a general rule for 12 almost all -- for all the insurance companies, 13 all the different payers. 14 So there's little opportunity for over-utilization as long as the 15 16 indications are met. So I think given the fact 17 that we've got very clear criteria about appropriateness of indication there's less 18 19 likelihood for over-utilization. One point about utilization. 20 There's 21 18 million patients who qualify for about

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1 weight loss surgery and there's currently about 180,000 cases being done. So it's about 1 2 of the eligible population. 3 percent So there's at this point little indication of 4 over-utilization for the procedure in 5 the 6 population. CO-CHAIR GUNNAR: Dr. Burstin. 7 DR. BURSTIN: Just one comment. 8 Ι just wanted to follow up on Kelsey's point. 9 Ι 10 did check. We do in fact have four endorsed 11 volume measures on AAA, esophageal resection, pancreatic resection and pediatric heart 12 13 surgery. 14 Those are all attached to mortality 15 Those are considered high-risk, measures. 16 low-volume procedures. So I just wanted to put 17 that out there. So the question is going to be is there 18 19 a quality signal here for the volume standing 20 alone. 21 MORTON: There is. There's DR. **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

1	fairly clear indication about the effect of
2	volume on mortality. You know, there's quite
3	a few cases. Probably the best cases have been
4	done with the nationwide inpatient sample and
5	with that threshold of 50. I mean, I can try
6	to get you the exact number here, what the
7	differences are in mortality. I apologize,
8	give me one moment.
9	It's an odds ratio of 2. So you can
10	imply there that there's a twofold increase in
11	mortality for these patients when they're going
12	to a lower-volume center. So it does appear
13	that there is adequate signal to determine that
14	volume does have an effect.
15	CO-CHAIR GUNNAR: We'll go to this
16	side of the room and then to that. So, Dr.
17	Grover.
18	MEMBER GROVER: Yes, thanks to your
19	group for putting forward these proposals.
20	I have a question on in cardiac
21	surgery we've looked at this for many, many
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1	years. And although there's a statistical
2	relationship to volume if you do a scattergram
3	and look there are in the lower-volume centers
4	some high-performing centers, exceptions to
5	that overall trend that you see. And in the
6	high-volume centers there are some that don't
7	do so well.
8	So how can you does the literature
9	discriminate in that way to allow some type of
10	accommodation for the centers that do well that
11	are low-volume and the ones that don't do so
12	well that are high-volume that are more the
13	exceptions to the rule?
14	DR. MORTON: When we look at we've
15	actually done some scatter plots. And I think
16	what it reflects is where we are in the
17	evolution of bariatric surgery vis-a-vis CT
18	surgery, a much more established field of
19	surgery.
20	We're still seeing a pretty consistent
21	trend. And actually, the threshold we saw
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1 around 50 continues to extend frankly once you're getting into some hospitals that do 2 greater than 400. 3 We've looked at whether or not there 4 are high-performing low-volume centers and it 5 6 appears to be a really rare event in just 7 looking at the scatter plot. So we don't see the same sort of relationship we've been able 8 to see in thoracic. 9 10 And I think part of the reason for that is simply it's still early on in the quality 11 12 improvement process for the field. And I think 13 some of the lessons learned by the high-volume hospitals will at some point and should diffuse 14 to the low-volume centers and you'll see more 15 16 homogenization of outcome. 17 But we have not seen that to date. ТΟ date there's still a pretty strong indication 18 19 that volume makes a big difference. Dr. Markman? 20 CO-CHAIR GUNNAR: 21 In reading your MEMBER MARKMAN: **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS

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1 paper and your evidence for this the centers of excellence are defined as greater than 125 2 cases per year. I'm just curious why you 3 picked 50. 4 And then further in your paper there's 5 6 a statement that when you go to a center of 7 excellence that there was lower mortality but higher morbidity. 8 9 So, if you can address those two 10 questions. And this was in the paper that you 11 just sent out to us. 12 DR. MORTON: Okay. So the paper we 13 just sent to you guys is in reference to accreditation. So in reference to to the 14 15 volume question we have now the reason that the 16 125 came up is that was a historic number at this 17 That was the previous volume standard point. when the accreditation programs started back in 18 19 2006-2007. then there's been 20 And since an 21 evolution in the types of procedures we do.

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1	There's much less banding being performed now
2	and more bypasses and sleeves. And as a result
3	the stapled procedures are the ones that garner
4	more attention.
5	In regards to the specific paper about
6	the accreditation this is a paper that's being
7	published in the Annals of Surgery.
8	And in it the big differences were
9	around mortality as well as failure to rescue.
10	If you look at the individual complications we
11	looked at roughly about 20. And there were
12	the vast majority had improved outcomes at
13	accredited centers. There were a handful that
14	did not have improvement. But the majority did
15	show improvement for those specific
16	complications.
17	MEMBER MARKMAN: So what is the
18	percentage of complications. And I guess
19	leakage is probably the greatest one. But what
20	is the percentage of this complication?
21	DR. MORTON: So, I have here just
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1	looking at the overall. And this is around
2	accreditation on volume. So, those were two
3	different effects if you will. And volume
4	exerts a pretty big effect but so does
5	accreditation. In fact, we've seen that
6	accreditation exerts an effect above and beyond
7	volume. But volume is a place to start.
8	And when we look at the actual
9	complication rates in that particular paper the
10	accredited hospitals had roughly about an 11.3
11	percent complication rate of any sort in the
12	inpatient stay. And the unaccredited
13	hospitals were at 12.3 percent.
14	MEMBER MARKMAN: So, the question now
15	comes down is that we have several measures that
16	we're reviewing. And we will review each one.
17	But don't you have a measure also on
18	accreditation?
19	DR. MORTON: We indeed do. And the
20	three measures that we have are around
21	accreditation, around surgical volume and
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about 30-day readmissions.

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If we view this in any sense 2 of priority, if we really view accreditation as 3 being the most important measure because from 4 those a lot of things emanate. It's a platform 5 It gives the centers the 6 for benchmarking. 7 opportunity for quality improvement. It should be mentioned that volume is 8 a subset for accreditation and in many ways 9 10 accreditation is a composite measure of many 11 different things that are going on all at once. It can render significant advantage for the 12 entering accredited 13 patient an center. 14 Everything from the data registry that allows for benchmarking to having resources in place, 15 the quality improvement requirement and the 16 17 standards that we've already mentioned. So, basically the 18 MEMBER MARKMAN: 19 evidence on this particular measure of 50

DR. MORTON: I wouldn't say most of

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cases, most of your evidence is on 125 cases.

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1 it. I would say the historic data is around the 125, but the most contemporary data that we have 2 indicates that 50 for stapled cases is the best 3 4 measure. That 125 number came out back in 5 6 2004-2005. And at that point it was a very 7 different landscape for bariatric surgery. Banding was a much, much more common procedure. 8 And that has now changed where we're seeing more 9 10 and more stapled procedures. 11 And it doesn't take as many stapled 12 procedures to indicate changes in morbidity and mortality because the rates are higher. 13 And 14 with the most contemporary data that we have from the University of California Irvine the 50 15 16 stapled cases make a difference. 17 Not to get too technical, but when we went back to look at the 125 threshold we found 18 19 about half of the procedures being that 20 performed were banding. And if we excluded the 21 banded procedures it really had no impact on the

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1 overall morbidity and mortality because the band procedure was so safe. 2 But we saw a lot of the morbidity and 3 mortality was associated with the stapled 4 And that's what led to the review of the 5 cases. 6 data to make it most contemporary. And that's where we came up with the 50 stapled cases. 7 CO-CHAIR GUNNAR: Collette? 8 9 MEMBER PITZEN: I just have a process 10 question I guess in terms of -- and maybe 11 everyone is already there and I'm not. But 12 what kind of evidence are we looking for for a structural standalone volume measure in order 13 14 for it to go forward in the process? Yes, this was part of 15 DR. BURSTIN: 16 when we did the Evidence Task Force Report a 17 couple of years ago it very clearly said that the requirements for structural measures are 18 19 identical to process measures. So it's still -- that's why I asked the 20 21 question earlier. It still is the quality and **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS

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1	the quantity, the consistency of the evidence
2	that the structural element has an impact on
3	outcomes.
4	CO-CHAIR GUNNAR: So, just before we
5	vote I have a comment. A question. I know
6	that NIH has seven studies out there currently
7	active which may change the guidance and
8	recommendations going forward.
9	If the criteria for bariatric surgery
10	is modified, it becomes more metabolic-based as
11	opposed to weight-based going forward, and the
12	volume as a result of that goes down how does
13	a strictly freestanding volume-related
14	measurement stand up?
15	DR. MORTON: Well, we do view the
16	procedures as being important in consideration
17	that they're above and beyond weight as you
18	mentioned. It's a very powerful metabolic
19	operation with high remission rates for
20	diabetes.
21	We don't anticipate even if there were
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21	volume. Frankly, I think that it will most
20	recommendations coming forward will decrease
19	So I don't anticipate that any sort of
18	of the diabetics.
17	with even a broadening of indication for some
16	consistent with what we've done in the past but
15	revised guidelines they were essentially
14	And when they came out with their
13	as the Obesity Society.
12	Academy for Clinical Endocrinologists as well
11	American Heart Association and the American
10	they deferred the guidelines to both the
9	decided guidelines are not NIH business and
8	revisions of them. They deferred it. They
7	look at this in terms of guidelines and perhaps
6	It should be mentioned that NIH did
5	not change with any sort of recommendations.
4	a longstanding indication that probably will
3	procedures being done. Because this has been
2	would be large changes in the number of
1	changes in any sort of indications that there

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1	likely increase volume as there's better
2	awareness of the procedure and its efficacy and
3	safety.
4	CO-CHAIR GUNNAR: Any further
5	discussion? I think we're ready to vote on the
6	evidence for this particular measure.
7	MR. SANCHEZ: Voting will now begin
8	for la evidence. One is for high, two is for
9	moderate, three is for low, four is for
10	insufficient evidence. Timer starts now.
11	We have zero for high, 11 for moderate,
12	9 for low, 2 for insufficient evidence.
13	MS. WINKLER: This is a gray zone
14	result. In other words, there's no consensus
15	of the committee at this point in time. So
16	we'll continue evaluating the measure but
17	realizing that there isn't a decision out of the
18	committee on this criteria so far.
19	MEMBER MCCARTY: Okay, so for
20	performance gap the developers say that the
21	American Society of Metabolic and Bariatric
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1	Surgery in conjunction with the American
2	College of Surgeons have created a joint
3	quality improvement program again using this
4	volume threshold of 50 stapled bariatric cases
5	per year as the requirement.
6	So this is a little bit different from
7	what you just cited in the paper because in the
8	what was put before us in the measure
9	description says that 50 cases per year is
10	actually the highest requirement for level of
11	certification. So, clarification on that
12	would be helpful.
13	And there was also no data supplied in
14	terms of how many hospitals today that perform
15	bariatric surgery meet this 50 threshold. So
16	it's difficult to evaluate what the opportunity
17	to improve is without that baseline data.
18	CO-CHAIR GUNNAR: Any additional
19	comments? I think we're ready to vote.
20	DR. BURSTIN: Do they want to respond?
21	You asked a question.
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1	CO-CHAIR GUNNAR: Sorry. I went to
2	the vote inappropriately. So, the developers,
3	please.
4	DR. MORTON: Well, yes, there is a
5	performance gap. And in some they're within
6	the literature cited. There is at least 25
7	percent of centers that do bariatric surgery
8	are below that threshold of the 50 stapled cases
9	annually. So that's a pretty substantial
10	performance gap.
11	And we have been able to substantiate
12	that by looking at nationwide and patient
13	samples and incorporates both that
14	incorporates over 1,000 sampled hospitals that
15	may or may not be accredited. So we do see a
16	pretty significant performance gap there.
17	And I do want to emphasize that there
18	is a very, very clear relationship between
19	volume and mortality which is a significant
20	outcome.
21	CO-CHAIR GUNNAR: Dr. Fleisher?
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1	CO-CHAIR FLEISHER: So, I'm actually
2	confused in how to rate this. Because you
3	actually you keep saying 50 but your measure
4	is number. So be very specific. This is not
5	do you make 50 which is a cutoff. You say how
6	many have you done. So how you rate a
7	performance gap if you are 51?
8	So I'd actually turn to staff for some
9	recommendation. Because I don't understand
10	how to analyze this.
11	DR. BURSTIN: Well and again, just to
12	read what's up there, it's that there's
13	variation or gap. So I guess the question
14	would be is there sufficient variation across
15	institutions and volume would be the way I would
16	read that.
17	CO-CHAIR FLEISHER: But that, okay,
18	volume that it's important enough to
19	DR. BURSTIN: You've already made the
20	assessment on your first vote sort of. I take
21	that back, you didn't make the assessment on
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your first vote of whether evidence is
important or not.

But assuming for now, assuming that's the case you're now at the point where you're discussing whether there's sufficient variation or a gap in performance. So I would base this pretty much on variation I would think.

MEMBER SIPERSTEIN: So are we trying to say then -- so we're basically just saying someone has to tell you how many cases you do.

But implicit in all this is this number 50 keeps on coming up in the measure that we're saying 50, 50, 50. But that's not really what the measure is saying. It's just saying numbers.

17 So, as far -- if we exclude the whole 18 idea of 50 then there isn't a performance gap 19 because we don't know what that means. We just 20 know that hospitals are doing different 21 numbers.

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1	And we could define a quality measure	
2	for every procedure then and it wouldn't have	
3	any real value.	
4	DR. BURSTIN: Right. And I guess the	
5	issue here is really this question from the	
6	first criterion of whether you believe that	
7	there's evidence that volume affects the	
8	outcome in this case.	
9	In this particular one you're really	
10	just saying is there variation. It's not based	
11	on 50. The 50 is more their evidence was really	
12	my assumption. It's not the construction of	
13	the measure. The measure itself is volume as	
14	are other volume indicators.	
15	CO-CHAIR FLEISHER: So Helen, just	
16	we're at near the end because I'm thinking	
17	usability versus performance gap.	
18	DR. BURSTIN: Yes.	
19	CO-CHAIR FLEISHER: And that's where	
20	I think there's some confusion. Because how	
21	it's used.	
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1	DR. BURSTIN: So, as an example, I
2	pulled up from and again, this is more on
3	evidence, but from the Evidence Task Force
4	Report. The measure they used as the example
5	was nurse staffing hours which in some ways
6	doesn't have a threshold either. It is more of
7	a continuous variable. But in that instance
8	the evidence was that higher nursing hours
9	resulted in lower morbidity and mortality.
10	So I think, I mean I'm just following
11	the same argument. I think the argument here
12	is they're saying that volume as a structural
13	measure has an impact, has a relationship to
14	outcome. And so I think what you're looking at
15	here is really whether you believe there is
16	sufficient variation across hospitals to push
17	it forward on performance gap.
18	I'm not indicating whether I think
19	that's a good or bad idea. Just again, more so
20	from our precedence of looking at other
21	structural measures.

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1	MR. BRENGMAN: I will just make a
2	comment on that and that is that's exactly what
3	made it very difficult actually to sort of write
4	this measure. There are only very few volume
5	measures and it is the number.
6	The evidence piece is pretty
7	definitive that there's a continuum of higher
8	quality over a number. We presented 50 as part
9	of the accreditation process but not as the
10	basis of this particular measure.
11	And so there is a high degree of
12	variability of centers doing as low as 15 cases
13	and as high as greater than 400. And that's
14	presented within the literature base as well.
15	DR. MORTON: I just wanted to follow
16	up on Matt's point. There's actually a lot of
17	data around the volume effect and how 50 stapled
18	cases makes a difference.
19	There's a study from Procolis survey
20	2003, Wellwer and Kax 2007, Kells, Obesity
21	Surgery 2009, Flung 2004, Jack, Sword, Smith in
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1	2010. I mean I can go on. You guys have that
2	list there. There's considerable evidence
3	demonstrating a very strong relationship
4	between volume and outcome.
5	And even though some of the data were
6	historic where we saw different numbers, the
7	most contemporary data are quite clear about 50
8	stapled cases having significant impact on
9	patient mortality.
10	And the mortality difference between
11	that threshold of 50 greater than, less than is
12	quite high. It's stated here as being greater
13	than twofold.
14	CO-CHAIR GUNNAR: Okay, we're going
15	to go on this side and then there. So, Kelsey,
16	did you have a comment?
17	MEMBER MCCARTY: Well, just that I
18	feel like where I'm really struggling where to
19	evaluate this measure, and this is the problem
20	with all of these types of measures I suppose,
21	is that yes, there's research that shows
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1 there's a strong correlation, but it is on this continuum basis. So the science is there to 2 support it. 3 But when you adopt measures like this 4 you're trying to fit it in this administrative 5 6 framework that doesn't always work. Sometimes we don't -- it might need more administrative 7 definition to figure out what to do with this. 8 So, is there good research to support 9 10 a correlation? Yes. Is there good research 11 to support a constructive way to make this an administratively run metric? I don't think 12 13 so. Well, it's a fairly MORTON: 14 DR. 15 straightforward measure. It's 50 stapled 16 So I think getting that -cases. 17 CO-CHAIR GUNNAR: No, no, no, just to get focus back in on performance gap I think 18 19 let's stay there for the moment. And so, Dr. 20 Saigal. MEMBER SAIGAL: So it sounds like it's 21 **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701

1 conceptually not possible to have a performance gap the way it's written, right? Because it 2 basically is reporting the number that's 3 happening. So everyone meets it and there's no 4 5 gap. 6 CO-CHAIR GUNNAR: Except there's 7 variation. Depends on how we MEMBER SAIGAL: 8 9 interpret that variation. 10 CO-CHAIR GUNNAR: Dr. Temple? 11 MEMBER TEMPLE: I hope this fits in 12 the performance gap section. But what I'm hearing is it's not just the volume but it's 13 also the case mix. So I'm hearing that -- so 14 if somebody has been, based on how this is 15 16 written if you're doing 50 bands instead of 50 17 stapled and you should be doing the 50 stapled, that's variability that you would want to 18 19 capture in a measure. It's not captured in 20 this performance gap the way the measure is 21 written. So I don't see the volume piece in

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1 this.

2	And then I see that the whole issue
3	about case mix and choice, you know, the
4	appropriateness of a band versus a staple is not
5	addressed in this. And that to me may be where
6	you see the variability and could find a
7	performance gap measure to measure.
8	CO-CHAIR GUNNAR: I think we take the
9	submission the way it's written. We must and
10	let's vote unless there's further discussion.
11	So I think we're ready.
12	MR. SANCHEZ: Voting will now begin
13	for 1b performance gap. One is for high, two
14	is for moderate, three is for low, four is for
15	insufficient. Timer starts now.
16	We've got 2 for high, 3 for moderate,
17	7 for low, 10 for insufficient.
18	CO-CHAIR GUNNAR: So I believe that
19	stops this analysis. So, for 2556 the
20	recommendation of the committee is that it not
21	be endorsed as an NQF measure.

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225 1 So we will go onto 2557. (Whereupon, the foregoing matter went 2 off the record at 12:14 p.m. and went back on 3 the record at 12:25 p.m.) 4 Can you open the CO-CHAIR FLEISHER: 5 6 phones for public comment? 7 OPERATOR: At this time if you would like to make a comment please press * and then 8 the number 1. At this time there are no public 9 10 comments. Okay, anybody in 11 CO-CHAIR FLEISHER: the room, short public comment? Okay. 12 Thank 13 you, Lisa. CO-CHAIR FLEISHER: 14 Okay, let's 15 restart. We won't let you eat. We'll let you 16 talk first. We are on the readmission 2557. 17 And just checking, anyone leaving before 3:30 18 19 today? What time? 1:30. About One. Two. 20 3. Do you have any measures that you have 21 reviewed? Any measures? Which is which one? **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W.

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1	Okay. So we'll make it by 3. Great. Yes.
2	Okay, readmission. Want to give us a
3	two-minute to three-minute overview.
4	DR. MORTON: Sure.
5	CO-CHAIR FLEISHER: Yes, we hear you.
6	DR. MORTON: Hi. This is John Morton
7	again. This is the NQF measure 2557 and it's
8	Hospital-level 30-day All-cause Readmission
9	Rates after Elective Primary Bariatric Surgery
10	Procedures.
11	The American Society for Metabolic and
12	Bariatric Surgery is the measure steward. And
13	we're examining hospital-level 30-day
14	all-cause readmission rates following elective
15	primary bariatric surgery. And the ages 18 to
16	65.
17	We've included the specific bariatric
18	procedures that are listed there. We're
19	looking at the outcome as being defined as a
20	readmission for any cause within 30 days of the
21	discharge date of the index procedure.
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1	We wanted to make sure we have a good
2	population of homogeneity by excluding some of
3	the other procedures, mainly open or revisional
4	procedures or extremes of age where we don't
5	have good data about the level of readmissions
6	for those patients.
7	Our rationale for this is that we view
8	the NQF's previous statements on all-cause
9	30-day readmission measures as being
10	important. And we view this particular
11	measure as being a standard for quality
12	monitoring and looking at the accountability of
13	care for patients.
14	There have been great strides made in
15	bariatric surgery around decreasing mortality
16	and even individual complication rates are
17	relatively low. DVT, leaks are less than 1
18	percent.
19	There is still quite a bit of variation
20	around readmission. National average for
21	bariatric surgery is still about anywhere from
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1 6 to 8 percent. And again, we view it as an important measure because it is a composite of 2 different components of care, complications, 3 patient and physician satisfaction 4 and resource utilization. 5 6 And in sum we feel this all-cause 7 readmission measure would provide an ample opportunity to improve hospital performance. 8 CO-CHAIR FLEISHER: 9 Thank you. And 10 who's reviewing? Collette. 11 MEMBER PITZEN: Thanks. As stated 12 this is measure 2557 Hospital-level 30-day 13 All-cause Readmission after Elective Bariatric 14 Procedures which include gastroscopic rho and 15 gastric bypass, sleeve gastrectomy, V 16 biliopancreatic diversion and lab-adjustable 17 gastric banding. The numerator is 18 It's a new measure. 19 readmission to an acute care hospital with a 20 stay that is at least 24 hours for any reason 21 within 30 days of the index hospital discharge **NEAL R. GROSS**

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1 date.

2	The intended level of analysis for
3	this measure is a hospital-reported measure.
4	However, no performance results were provided,
5	no analysis and no data provided in this
6	application for that consideration.
7	Before I go into each of the selection
8	criteria I want to share that I do think this
9	measure has potential but I do have some
10	concerns. So I'd just like to briefly talk
11	about that and then we can go into the criteria.
12	On the plus side obesity is at epidemic
13	level in our nation. This is an important
14	related procedure for the population at
15	significant risk for morbidity.
16	Many surgical procedures have a fairly
17	low readmission rate. For example, total
18	knee, less than 1 to 2 percent which may or may
19	not make them able to be used for measures for
20	accountability and public reporting.
21	Granted, though this is not as high as

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1	readmission rates for chronic conditions in the
2	18 to 22 percent ranges in the literature
3	provided by the developer demonstrate a range
4	between 1.7 and 9.4 percent based on procedure
5	type which may demonstrate opportunity for
6	improvement.
7	I applaud the developer's decision to
8	include any reason for readmission which is
9	appropriate for this population.
10	Concerns. Again, no performance data
11	provided so we're unable to understand the true
12	opportunity.
13	Concerns about the measure
14	specifications overall. The intent of the
15	exclusion, for one example, the intent of the
16	exclusions are to exclude procedures performed
17	related to gastric cancer which is an
18	appropriate exclusion. However, listed
19	diagnosis codes are malignant neoplasm of the
20	esophagus and do not include malignant neoplasm
21	of the stomach.

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1	There's no reliability or validity
2	testing on the data for this measure. There's
3	no current risk adjustment plan or model for
4	this outcome-based measure. The developer
5	shares a struggle with the lack of
6	administrative data that could be used in a risk
7	adjustment model. But they have plans for
8	future development around that.
9	And my last concern is the ability of
10	a registry-based data system to reliably
11	capture readmission data. Medicare data
12	demonstrates that as many as 22 percent of
13	readmissions occur to a facility other than the
14	index hospital.
15	During our workgroup call the
16	developers shared that part of the registry
17	database process includes a follow-up with the
18	patient at 30 days. In the registry
19	participants the follow-up success rate is
20	around 90 percent. But this mechanism would
21	need to be tested and included for

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1	understanding the measure performance and
2	impact of missing data. Claims data-based may
3	be a more reliable and complete data source.
4	CO-CHAIR FLEISHER: So, can we focus
5	on because you've identified a lot of the
6	future issues.
7	MEMBER PITZEN: I'm going to start
8	walking through the criteria for evidence.
9	This is an outcome measure. The
10	developer at one point in time stated it was an
11	outcome measure. However, in the actual
12	application on criteria 1a1 they talk about
13	this as being an intermediate outcome measure.
14	I would disagree a little bit. It's
15	an outcome. So, they provided a lot of
16	information, a lot of literature that might not
17	have been necessary. However, they did not
18	provide that direct link of the processes to the
19	outcome.
20	However, we can glean that from the
21	literature that was provided. Examples of
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1 related processes include fluid and electrolyte balance, surgical technique, 2 prevention of infection, deep vein thrombosis 3 and coordination of care. So I think this 4 would suggest that the link is present, it's 5 6 just not part of the application. 7 CO-CHAIR FLEISHER: So purely for evidence, any comment on whether outcome, and 8 9 I agree with you, this is an outcome measure, 10 that there's -- the rationale supports the 11 relation of the outcome to at least one process as Collette has nicely outlined. Comments? 12 Let's vote. 13 14 DR. MORTON: We do agree it is an 15 outcome measure. CO-CHAIR FLEISHER: Okay, thank you. 16 17 Thank you. Voting will now begin 18 MR. SANCHEZ: 19 for la evidence. One is for yes, two is for no. 20 Voting timer starts now. 21 We're needing one more. Can everyone **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

resubmit please? We've got 20 for yes, 2 for no.

1

2

3

4

CO-CHAIR FLEISHER: Okay, gap.

MEMBER PITZEN: No performance data Unable to understand the true was provided. 5 However, literature provided 6 opportunity. 7 demonstrated some potential opportunity for improvement with literature citing readmission 8 rates of laparoscopic bypass 6.5 percent, open 9 10 gastric 9.4, sleeve gastrectomy 5.4, and 11 adjustable gastric banding at 1.7. No data was presented or discussed about disparities. 12

I would just mention 13 MS. WINKLER: 14 that for new measures that it is not -- because 15 there frequently may not have been a great deal 16 of use to generate data it is acceptable to reference literature data for this criteria for 17 18 a new measure.

19 CO-CHAIR FLEISHER: So if I could ask 20 you stated the rates. Do we know how much 21 variability between hospitals?

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235 1 MEMBER PITZEN: We don't. It was literature cited that those are what the rates 2 are in the application. I don't have any 3 information about variability. 4 CO-CHAIR FLEISHER: This is 5 а facility-level. 6 MEMBER PITZEN: It's a facility-level 7 8 measure. CO-CHAIR FLEISHER: Does 9 the 10 developer have any literature? DR. MORTON: We do have data about 11 12 that and it is listed in the evidence area. But 13 there is a tremendous amount of variation, anywhere from 1 percent up to about 20 percent 14 15 depending on center. 16 CO-CHAIR FLEISHER: Further 17 comments? You have a comment? MEMBER MARKMAN: How are we going to 18 19 collect the data? I mean is this claims-based, or is this going to be --20 21 CO-CHAIR FLEISHER: That's **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701

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1 specification. Oh, okay. 2 MEMBER MARKMAN: CO-CHAIR FLEISHER: So let's stay on 3 4 gap. MR. SANCHEZ: Voting will now begin 5 for 1b performance gap. One is high, two is 6 moderate, three is low, four is insufficient. 7 Timer starts now. 8 We have 7 high, 13 moderate, 1 low, 9 10 zero insufficient. 11 CO-CHAIR FLEISHER: Okay, next. 12 Next is priority. MEMBER PITZEN: 13 Although not explicitly stated but filtered 14 throughout the literature provided the measure 15 does relate and reflect to a high priority of 16 aspect of care related to obesity. 17 CO-CHAIR FLEISHER: Okay. Comments? Let's vote. 18 19 MR. SANCHEZ: Voting will now begin for 1c high priority. One is high, two is 20 moderate, three is low, four is insufficient. 21 **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701

1 Timer starts now. Still waiting on a few if you could 2 please resubmit. We have 13 high, 9 moderate, 3 zero low, zero insufficient. 4 CO-CHAIR FLEISHER: Next. 5 Reliability. 6 7 MEMBER PITZEN: Reliability. The numerator again is readmission to an acute care 8 hospital with a stay that is at least 24 hours 9 10 for any reason within 30 days of hospital 11 discharge. 12 The actual numerator details are not well specified in the application and simply 13 14 repeat the procedures in the denominator. 15 I guess I just wanted one overarching 16 an experienced measure comment. Even as 17 person I could not take these specs as written today and implement them with any certainty or 18 19 reliability. So I think that there's some work that needs to be done around that area. 20 21 The denominator statement is **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS

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1 incorrect. It's stated as all hospitals performing bariatric surgery but the implied 2 intent of that is it's all patients aged 18 to 3 65 undergoing -- I'm sorry, elective primary 4 bariatric procedures. 5 6 The denominator is well specified with CPT and ICD-9 pd codes listed. 7 Again, I talked about the intent of the 8 exclusion and I would recommend that 9 the 10 developer really look carefully at the 11 exclusion codes that are related to malignant 12 neoplasms because that is significant for the 13 measure. The data sources indicated include 14 15 electronic health record and registry data. 16 However, no data on results from these systems 17 were provided and no testing occurred. A small thing. The type of score is 18 19 listed as a continuous variable. This should 20 be labeled as an outcome proportionate rate 21 type of score. **NEAL R. GROSS**

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1	Testing. No reliability testing was
2	completed or provided on actual data. A few
3	minutes before we broke for lunch we had gotten
4	some additional information but that is around
5	published literature studies. So we have no
6	reliability and validity testing on the actual
7	data for the measure.
8	So I would rate the reliability of the
9	measure and the specifications to be low.
10	CO-CHAIR FLEISHER: Barry, you want
11	to now?
12	MEMBER MARKMAN: Yes. And so how are
13	you going to collect the data? You see
14	electronic records. Can you be more specific
15	on how you're going to determine readmission?
16	DR. MORTON: Well, readmission as
17	we've listed is through the data registry.
18	With the data registries we've already
19	discussed we do have the ability to have
20	follow-up at 30 days that's based on from report
21	from patient.
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1	So, it's similar to many other
2	programs. And that way we're able to capture
3	if the patient got readmitted to a different
4	hospital which I think is an important
5	component.
6	So, where exactly the readmission data
7	can come from is a variety of sources including
8	the electronic medical record. But ultimately
9	the registry is the one that's going to give the
10	very best results and that's what we're
11	advocating for to be as complete as possible.
12	Because if we rely simply on
13	individual hospitals we may miss that patients
14	got admitted elsewhere. So we are stating it
15	would be through the registry.
16	I think it's already been mentioned
17	before. Given that this is a new measure some
18	of those reliability issues may not come up.
19	We may not have data for that.
20	We have looked at the exclusion
21	criteria and the idea was to exclude all GI
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1	malignancies which is generally ICD-9 code 150
2	subset X. But we will make sure that that's
3	absolutely clarified.
4	CO-CHAIR FLEISHER: Any other
5	comments regarding Collette's concerns?
6	MEMBER YATES: Who's the central
7	collector of the data? Where does the data
8	from I'm looking at S24 and it's "Each
9	facility will maintain a registry." There's
10	various numerous registries suggested. But
11	who's the central data sorter if you will?
12	Where does the data go?
13	DR. MORTON: The central data will be
14	stored in the Metabolic and Bariatric Surgery
15	Accreditation Quality Improvement Program.
16	Again, that's the one that has the
17	highest reliability of data in terms of it being
18	clinically derived. And we have that 30-day
19	patient follow-up. So we're able to account
20	for readmissions that might have occurred at a
21	different hospital.

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1	MEMBER YATES: But the actual
2	collection process at the individual hospitals
3	will be individual registries or databases as
4	well as or statewide registries that they
5	then are voluntarily sending up to MBSAQIP? Or
6	are we assuming that all hospitals that are
7	involved with that program are the ones that are
8	sending data?
9	DR. MORTON: All the hospitals that
10	are involved with MBSAQIP will be the ones
11	sending data. There's pretty clear-cut
12	criteria and training for how to obtain this
13	data within the data registry itself.
14	The nurse reviewers who are the people
15	collecting the data have very strict
16	specifications about how to obtain that data.
17	And so the sample sizes there were just to
18	indicate that there are other means of doing
19	this.
20	But we're advocating for the best way
21	of doing this which is through the MBSAQIP where
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1	we have to find definitions and we also have
2	standards in place to make sure that the data
3	is reliable.
4	CO-CHAIR FLEISHER: Barry?
5	MEMBER MARKMAN: Yes. Is the data
6	that you collect, I mean, is that also going to
7	be used in your accreditation of the facility?
8	DR. MORTON: To this date we have not
9	decided about specific thresholds for
10	readmission. All we are asserting in this
11	measure is that 30-day all-cause readmissions
12	for bariatric surgery primary procedures
13	should be collected.
14	In the future there may be some role
15	that readmissions play around
16	re-accreditation. But at this point in time
17	what we're asking for is enforcement of the
18	collection of the 30-day readmission rates.
19	CO-CHAIR FLEISHER: So let's stay on
20	reliability. That fits in usability which is
21	
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1	MEMBER SIPERSTEIN: Just to clarify
2	because I was over there. Does everybody
3	participate in the registry?
4	We had this discussion that the
5	penetrance of STS was 95 percent. So, is this
6	and I know John kept on saying we would prefer
7	to use this.
8	I just want to know how many hospitals.
9	Because it goes to the validity. It goes to
10	whether or not you're going to be able to get
11	the data. And then it goes later on to data
12	burden.
13	MR. BRENGMAN: It's currently 75
14	percent of the programs in the country, 750
15	total programs right now.
16	There is an increasing number as the
17	new program comes online. For those who don't
18	know two major databases have combined and is
19	going online right now to become the MBSAQIP
20	which you see in the application.
21	Those are two similar databases, not
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1	totally exactly the same. Now they're unified
2	as a single data set. They have defined data
3	collectors who are trained. There's no
4	inter-reliability, inter-observer
5	reliability, but there's then validation of the
6	data ongoing and then at site visits where all
7	things like complications and readmissions are
8	audited. So, it's a very reliable database
9	structure over time.
10	CO-CHAIR FLEISHER: Just to follow up
11	on that. STS was able to tell us not only
12	programs but cases and there's a strong
13	correlation. What defines a program versus
14	what defines a non-program surgeon who does
15	bariatric surgery? Do you know how many people
16	just do bariatric surgery out there, the number
17	of procedures?
18	DR. MORTON: This is a question of how
19	many bariatric surgeons there are?
20	CO-CHAIR FLEISHER: Well, it's
21	actually the data is regarding programs in
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1	bariatric surgery, but that does not
2	necessarily define the universe of bariatric
3	surgery per se. STS can comment on that.
4	Not bariatric surgeons. What
5	percentage of the bariatric surgery that CPT
6	codes included in this measure would this cover
7	in total? Do you have any idea?
8	DR. MORTON: As Matt mentioned, about
9	75 percent of hospitals performing bariatric
10	surgery are accredited.
11	That does not translate into the
12	number of procedures because the lion's share
13	of procedures are done by accredited hospitals.
14	So, it's probably closer to about 85 percent of
15	the total cases are done at accredited centers.
16	That's the distinction between number of cases
17	and number of hospitals.
18	MEMBER PITZEN: This is Collette.
19	I'm sorry if I missed this but what I'm having
20	a hard time grappling with is is there a
21	registry already built? Are the data fields
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1 DR. MORTON: Yes. Okay. It would have 2 MEMBER PITZEN: been nice had you provided a data dictionary to 3 us for us to understand that there was some 4 structure present. 5 DR. MORTON: We're happy to do that. 6 And there was actually a web link to the data 7 elements and how they're collected and the 8 criteria around them. But that's in the 9 10 document, but we can make it even more explicit by sending the entire data dictionary if that's 11 12 helpful. 13 CO-CHAIR FLEISHER: So let's vote on 14 reliability unless anyone has а comment specific on reliability? Okay. 15 16 MR. SANCHEZ: Voting will now begin 17 for 2a reliability. One is high, two is moderate, three is low, four is insufficient. 18 19 Timer starts now. We have 1 high, 11 moderate, 8 low, 2 20 insufficient. 21 **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701

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1	CO-CHAIR FLEISHER: So we are in the
2	gray area. So we will continue, correct?
3	Okay, next.
4	MEMBER PITZEN: Again, there was no
5	validity testing of the data that was submitted
6	with the application. Literature again was
7	provided today right before the meeting.
8	However, that is not the actual testing of the
9	measure. Therefore no meaningful differences
10	were demonstrated because no data was
11	submitted.
12	Again, the exclusion coding which can
13	be corrected.
14	And then unsure of the potential
15	impact of missing data. Again. So I still
16	view this in the low area.
17	CO-CHAIR FLEISHER: Comments? Amy?
18	MEMBER MOYER: I was concerned about
19	the lack of information regarding the risk
20	adjustment. It sounded like there's nothing
21	formal yet and some might submit un-risk
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1 adjusted. And it seems like that would have an impact on the readmission rate. 2 Risk adjustment is MR. BRENGMAN: 3 being built into the new data set but we don't 4 have it at this time. 5 6 And part of the problem with having two separate database collecting all of this data 7 was it's not uniform enough to do that kind of 8 work on. 9 10 And so having a unifying data set now, that can go on going forward. But we have to 11 say that's going to be something in the future. 12 13 Validation of the data. I think 14 there's a description in one of the measures of how the data is validated for the MBSAQIP 15 16 database. I know we supplied it as an adjunct 17 perhaps to one of the other measures. But it doesn't do any testing on it. I hear what 18 19 you're saying, about how the data is collected. 20 CO-CHAIR FLEISHER: So, Ι would actually just like to get clarification from 21

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1	staff in that if risk adjustment is felt to be
2	important given this criteria and they don't
3	have it yet how do we interpret that, or how does
4	NQF suggest?
5	MS. WINKLER: Well, risk adjustment
6	is, you know, not always necessary and
7	sometimes it is. It really impacts the
8	validity of the measure results. And that's
9	certainly how you handle case mix adjustment is
10	a potential threat to validity that you should
11	consider in your evaluation.
12	DR. MORTON: If I could comment. We
13	are in the process
14	CO-CHAIR FLEISHER: If we could just
15	let Helen will comment and then we'll be
16	happy to hear the developer comments.
17	DR. BURSTIN: Yes, so just briefly
18	it's risk adjustment or justification for the
19	lack thereof is essentially the way you should
20	view it.
21	We do have other outcome measures that
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1	are not risk-adjusted and in fact are done
2	through exclusions by, for example, taking the
3	lowest risk cases or the lowest risk
4	pregnancies and pulling those together. It's
5	kind of a bit of a poor man's risk adjustment
6	but that's acceptable as well. So the real
7	issue is whether the absence of risk adjustment
8	is justifiable or is it just that it's not
9	ready. Sorry, John, go ahead.
10	CO-CHAIR FLEISHER: So, John, do you
11	want to comment now?
12	DR. MORTON: Yes, I sure do. I think
13	that's exactly what I was going to mention is
14	that we have done some means of risk adjustment
15	here by excluding revisional cases that we all
16	know as surgeons are tougher cases, higher
17	rates of readmissions.
18	We've also excluded the open cases
19	because we know those cases tend to be done for
20	specific reasons. They may be tougher
21	patients to do.
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1	And we also excluded the extremes of
2	age. So we have done some exclusions. And I
3	do think it goes a long way towards addressing
4	the issues that have come up where we are able
5	to get a more reliable measure.
6	So we did exclude open, revisional and
7	extremes of age. And we are working towards
8	risk adjustment but we already have done some
9	work in the area to get a homogenous population
10	by excluding those populations.
11	CO-CHAIR FLEISHER: So essentially
12	you've done segmentation as opposed to risk
13	adjustment.
14	DR. MORTON: Exactly.
15	MEMBER MARKMAN: If you're talking
16	about patient risk adjustment I can add that to
17	have the procedure itself, to go for bariatric
18	surgery and to have it approved through a prior
19	auth process, it is extensive. And the
20	clearances that you need to get the surgery
21	include cardiac, pulmonary, psychological.
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1 You have to have other morbidities with that. And I'm trying to help you here a 2 little bit in terms of the risk assessment 3 because they are scrutinized if they're under 4 the insurance umbrella. 5 6 CO-CHAIR FLEISHER: Okay. Collette, 7 did you -- or Rick? Just to add to that MEMBER DUTTON: 8 though the most important risk which would be 9 10 the patient's weight really does need to be 11 accounted for and it doesn't sound like they've done that yet or they have data for that yet. 12 To do the surgery you 13 MEMBER MARKMAN: have to have certain BMIs which --14 15 DR. MORTON: Right. -- which you start at 16 MEMBER MARKMAN: 17 35 with a comorbidity. If you're above 40 you're kind of put a pass on it. 18 19 So in doing -- I mean, in terms of a risk assessment I don't --20 21 CO-CHAIR FLEISHER: But what I think **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701

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1 Rick's saying is a lot of ours are above 60 at one of our hospitals and you 2 know, that accounting for it at the high end. I think one 3 of my hospitals -- yes. 4 Collette, any other comments or are we 5 6 ready to vote? 7 MEMBER PITZEN: I just want to open it up to Keith who was the secondary reviewer to 8 see if he had any additional comments. 9 10 MEMBER OLSEN: I don't. I agree with 11 your assessments on the measure. MS. WINKLER: One comment. I know I 12 probably should have something 13 said to 14 reliability, but being an outcome measure for endorsement these -- all measures do need to be 15 16 tested for reliability with formal reliability 17 testing as well as testing for validity as explained in the criteria. 18 19 So Collette, could you review just to be sure we know what the status of that is? 20 21 I'11 try. MEMBER PITZEN: So, **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS

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1	because no actual data was submitted with this
2	measure the actual reliability testing
3	between, you know, assessing the performance
4	between sites, that was not present.
5	There was literature that was provided
6	that talked about some of the reliability about
7	capturing a readmission rate. However, we
8	don't have that testing present.
9	And again, I have some concerns about
10	the specifications as they're written today and
11	moving that into implementation will be
12	difficult.
13	CO-CHAIR FLEISHER: Okay, please
14	vote.
15	MR. SANCHEZ: Voting will now begin
16	for 2b validity. One is for high, two is for
17	moderate, three is for low, four is for
18	insufficient. Voting starts now.
19	Please resubmit. One more time,
20	please. We have zero for high, 9 for moderate,
21	12 for low and 2 for insufficient.
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1	CO-CHAIR FLEISHER: So it actually
2	does not right. We're at 61 percent. So it
3	does not pass reliability. Validity.
4	Reliability. Right.
5	So I think you've gotten a lot of
6	guidance on some of the issues here. And this
7	committee will be intact for two to three years
8	as far as the members. So I think there will
9	be a chance to improve the measure. Okay.
10	DR. MORTON: Well, we will certainly
11	take it to heart.
12	CO-CHAIR GUNNAR: So, the next is 2559
13	Bariatric Surgery Hospital Accreditation.
14	Developers, would you like to provide an
15	overview?
16	DR. MORTON: Yes. So this is measure
17	2559 and the measure title is Bariatric Surgery
18	Hospital Accreditation. We are the stewards.
19	I want to point out that bariatric
20	surgery is a new surgical specialty.
21	Accreditation has only been in place as a
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1	concept for about seven years now.	
2	We do know that bariatric surgery has	
3	improved over time and one of the reasons for	
4	it is hospital accreditation.	
5	As mentioned at the beginning we have	
6	had no measures submitted to NQF before and we	
7	view this particular measure of clear	
8	importance.	
9	We're also aware that accreditation is	
10	not at all uniform. There is a performance gap	
11	here with only about 75 to 80 hospitals, 80	
12	percent of hospitals being accredited for	
13	bariatric surgery.	
14	There are also some opportunities for	
15	harmonization amongst the different	
16	accrediting bodies, whether they be American	
17	College of Surgeons and ASMBS, MBSAQIP as well	
18	as some of the insurance payers.	
19	But we do know that there are	
20	particular key elements of accreditation that	
21	are important, namely the standards involved.	
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1	The data registry is absolutely critical to
2	allow for benchmarking for quality improvement
3	efforts.
4	In addition, the requirement for
5	quality improvement as a condition for
6	accreditation.
7	And finally, looking at the data
8	provided there's 10 studies that have been
9	performed. Seven of the studies are well in
10	support of accreditation across the board for
11	complication. Mortality and failure to
12	rescue, resource utilization. And all of the
13	papers utilize the same data set so there's not
14	any difference in terms of where they're
15	obtained from. And there are some particular
16	issues with the papers against accreditation.
17	But we really view this as being
18	critical for continued quality improvement for
19	bariatric surgery. Without accreditation
20	there will not be opportunity for collection of
21	data as this is an additional resource for

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1 hospitals to provide.

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And the data registry is absolutely critical to have the ability to benchmark, compare, move forward with your quality improvement efforts.

So, in sum we view accreditation as being absolutely critical for our future endeavors in quality improvement in bariatric surgery. And without it a lot of these efforts will be in peril.

is considerable 11 And there а 12 performance gap here with approximately 25 13 percent of hospitals not being accredited in the United States. And I think there's a clear 14 15 preponderance evidence in support of of 16 accreditation as listed in the literature 17 cited.

And this is a particular measure that is fairly easy to obtain and define and has been reliable to date. And we've had all the citations there listed.

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1	So I do appreciate the committee
2	reviewing this and all the measures provided
3	and appreciate the support they'll provide in
4	bariatric surgery moving forward in terms of
5	quality improvement and supporting the
6	accreditation measure. Thank you.
7	CO-CHAIR GUNNAR: Dr. Roth.
8	MEMBER ROTH: This is Gary Roth.
9	This is measure 2559 Bariatric Surgery Hospital
10	Accreditation sponsored by the American
11	Society of Metabolic and Bariatric Surgery.
12	Due to the inherent delays in my
13	operating room during the workgroup call which
14	I'm sure is unique to my operating room I missed
15	the call. But I did review the transcript. It
16	appeared to be quite an interesting discussion.
17	There was a comment made that there
18	hasn't been endorsement of an accreditation
19	measure like this. Was that relative to the
20	NQF, or was that just relative to the bariatric?
21	MS. WINKLER: That was relative to

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1 NQF. Okay. So in that 2 MEMBER ROTH: respect this may be a little bit different. 3 I'm not sure how that's going to affect the 4 discussion. 5 6 But bariatric surgery is as described 7 relatively speaking a new field. The premise that there's going to be a favorable impact that 8 accreditation will have upon surgical outcomes 9 10 versus those institutions that are non-accredited. 11 One of the demonstrated drivers for 12 13 accreditation for bariatric surgery is, as mentioned, is safety and effectiveness. 14 Also as mentioned accreditation for 15 16 bariatric surgery programs is not uniform, 17 about 75 to 80 percent. It described 730 hospitals that were part of the registry and 250 18 19 that are also doing bariatric surgery that are not accredited. 20 There is multiple accrediting bodies 21 **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W.

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1 reportedly which is an opportunity for harmonization among those. 2 Accreditation -- well, the measure is 3 accreditation versus non-accreditation of 4 5 course. 6 It's described as a process measure 7 but there's also components of outcomes with what's in the discussion. Possibly that this 8 could be some type of composite measure. 9 10 The process measures of course are 11 such things as patient selection, level of 12 critical care support, continuous quality there's 13 improvement. But also outcome discussions too including case volumes and data 14 collection --15 16 CO-CHAIR FLEISHER: Just а 17 clarification. I believe this would actually be considered a structure. 18 19 MEMBER ROTH: Okay. What Ι was 20 reading described it process in the as 21 application. Structure most certainly would **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS

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fit more appropriately.

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The accreditation of course incorporates many different processes as mentioned. Quality improvement requirements, multidisciplinary team.

And one of the premises of course is mortality and morbidity after bariatric surgery's influenced by center's accreditation status including such things as accredited centers having a reduction in failure to rescue.

The numerator is the number of hospitals that are accredited. The denominator of course all those that are performing bariatric surgery. And the cases were identified through ICD-9 procedure codes.

The key elements of the accreditation include such things as case volume, patient selection, and approved procedures, commitment to quality care standards, appropriate equipment and instrumentation, critical care

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support, continuation of care, data collection 1 and continuous quality improvement. 2 Under evidence there was an extensive 3 citation of the literature but there were no 4 level 1 type studies. Of course, all the 5 6 studies in this case are observational cohort 7 type studies. The literature though is really not 8 homogenous in the sense that when you look at 9 10 the studies 4 of the 10 that were described here 11 actually do not support the concept of 12 accreditation including morbidity, mortality 13 issues, length of stay and cost. So, within the literature the -- I 14 15 would say the literature supports it you know 16 at a moderate level at best. 17 CO-CHAIR FLEISHER: Comments? Comments from the developer? 18 19 DR. MORTON: Yes, Ι think it's important to take a close look at the literature 20 21 because if you review the articles that are **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS

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1 against accreditation there's numerous flaws. I agree that the level 1 evidence is 2 not going to be present there and it never will 3 This does not lend itself to level 1 be. 4 of evidence in terms randomization for 5 6 accreditation. One study against accreditation was 7 from the Livingston and Good study should be 8 frankly 9 dismissed because it predated 10 accreditation. It utilized the data set from 2005 and accreditation did not start until 11 2006. 12 The Michigan paper stated they did not 13 see differences in accreditation. 14 However, every center participating in the Michigan 15 16 collaborative had elements of accreditation, 17 namely volume standard, data registry, quality improvement, site visits. So they were all 18 19 virtually the same. 20 The JAMA paper had significant 21 methodological flaws in the sense that it **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS

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1	compared it to a control group that was stated
2	not to have accreditation. Unfortunately all
3	of those control population were private payers
4	who also had accreditation in place.
5	So it was not a very accurate
6	assessment of a control group. So I think the
7	preponderance of the evidence is for
8	accreditation.
9	And one final point to make is I agree
10	this is more of a composite measure and I'll
11	readily grant that it has strong elements of a
12	structural measure. Thank you.
13	MEMBER ROTH: And relative to the
14	literature some of the support of literature
15	also predates the accreditation as far as the
16	dates that the articles were published.
17	DR. MORTON: I'm not sure which ones
18	those might be because I was I'm just looking
19	at them now and there's none that I can see that
20	predate 2006 other than the Livingston article.
21	MEMBER ROTH: I'd have to go back to
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1	the references but I looked at them date-wise	
2	also and characterized them by the date they	
3	were published.	
4	CO-CHAIR FLEISHER: Any other	
5	comments? Dr. Cima?	
6	MEMBER SIPERSTEIN: John, can you	
7	just clarify one thing about the accrediting	
8	bodies?	
9	So, there's the bariatric NSQIP but	
10	then there's five other accrediting bodies.	
11	Do they all use the same sort of are they all	
12	going to be measuring the same thing? Are we	
13	just saying accrediting is accrediting and	
14	they're all equal?	
15	DR. MORTON: Well, the main	
16	accrediting body, the national accrediting	
17	body is the MBSAQIP.	
18	The other ones that are listed there	
19	are national accrediting bodies that are	
20	represented by different payers, Cigna, Aetna,	
21	United as well as Blue Cross.	
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1	Blue Cross in particular has cited the
2	MBSAQIP standards and accreditation program as
3	being consonant with what they're pursuing to
4	the point that if you are an MBSAQIP-accredited
5	hospital no further review of those standards
6	are required. So that's one step forward
7	already around harmonization with that
8	particular payer.
9	Had discussions with the other payers
10	to make sure that they're also moving in that
11	direction.
12	That being said, there is very strong
13	consistency along most of the criteria with
14	those private payers.
15	MEMBER JARRETT: Hi, this is Mark.
16	I've been kind of quiet all morning but I have
17	to ask this question philosophically.
18	If we start saying that a measure is
19	going to be are you accredited, where do we stop
20	that? Do we start then we start saying well,
21	do you have some Joint Commission accredited to
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1 be a stroke center? Do you have to be so-and-so accredited to be a patient-centered home? 2 I mean, accreditation is wonderful and 3 I think everybody should do it, but the question 4 is does that become a measure that people are 5 6 going to say oh, here's another quality measure 7 that we have to say yes or no on. That's my 8 concern. DR. MORTON: Well, I think bariatric 9 10 surgery is a little bit different in the sense 11 that it is new and there's not a consistent application of it. Unlike say the example 12 cited there, Joint Commission, there's pretty 13 uniformity 14 qood around that type of accreditation. 15 But for bariatric surgery there's not 16 17 uniformity for that. There's still quite a gap in terms of who's accredited and who's not. 18 19 And I do think it bears a lot of 20 elements that are going to improve both 21 hospital performance and patient care. **NEAL R. GROSS**

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1	MEMBER JARRETT: Well, I beg to differ
2	because Joint Commission for stroke is
3	relatively recent in the last couple of years
4	and I would say there's more variability in the
5	treatment of strokes throughout the country
6	which is a much more frequent event.
7	So if you were going to go somewhere
8	towards that then I would say well then we
9	should mandate everybody who takes care of a
10	stroke has to be an accredited stroke center.
11	And that's going to be that becomes a whole
12	that's just the road I'm afraid we're going
13	to go down.
14	That's, again, not knocking the fact
15	that this is very critical, very important and
16	I think everybody should participate in. The
17	question is does it become an NQF measure.
18	CO-CHAIR GUNNAR: I think we have one
19	other comment here. We appreciate that line of
20	discussion but we'll move back to the evidence
21	category. And any other discussion? Hearing

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1	none I think we're ready to vote.	
2	MR. SANCHEZ: Voting will now begin	
3	for la evidence. One is for high, two is for	
4	moderate, three is for low, four is for	
5	insufficient evidence. Timer starts now.	
6	We have 1 high, 9 moderate, 12 low, 1	
7	insufficient evidence.	
8	CO-CHAIR GUNNAR: So I believe that's	
9	gray. So we will carry on.	
10	MEMBER ROTH: Okay, under	
11	opportunities for improvement, performance	
12	gap, there most certainly are described worse	
13	outcomes especially in the older patients and	
14	ethnic minority patients. But not necessarily	
15	based purely on accredited or non-accredited	
16	centers using accreditation as the discussion	
17	point.	
18	So there is a need to improve the	
19	quality in outcomes. I don't know that we see	
20	a specific performance gap associated	
21	CO-CHAIR GUNNAR: If I read this	
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1 correctly, not to interrupt, there is a gap. It's 20 to 25 percent of the facilities 2 performing bariatric 3 surgery not are accredited. 4 MEMBER ROTH: So is that affecting the 5 6 outcomes. 7 CO-CHAIR GUNNAR: Okay. Any other Hearing none we'll go to voting. discussion? 8 MR. SANCHEZ: Voting will now begin 9 10 for 1b performance gap. One is high, two is moderate, three is low, four is insufficient. 11 12 Timer starts now. We have 4 for high, 16 for moderate, 13 14 3 for low, zero for insufficient. priority 15 MEMBER On hiqh ROTH: 180,000 bariatric surgeries are performed 16 17 annually. Again the concept that 25 percent of the centers are not accredited. 18 19 There is a high priority associated with the number of surgeries that are performed 20 21 per year and the known outcomes. **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS

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1 CO-CHAIR GUNNAR: Any other discussion? Dr. Fleisher. 2 CO-CHAIR FLEISHER: Yes, just 3 а question for clarification. High priority of 4 accreditation? Versus high priority of the 5 6 health of bariatric patients? The disease or 7 the --MS. WINKLER: Yes, it's more the 8 9 condition of the patient. 10 CO-CHAIR GUNNAR: Ready to vote? Voting will now begin 11 MR. SANCHEZ: for 1c high priority. One is for high, two is 12 for moderate, three is for low, four is for 13 insufficient. Timer starts now. 14 We have 11 for high, 10 for moderate, 15 16 2 for low, zero for insufficient. 17 MEMBER 2, ROTH: Okay under reliability, scientific acceptability 18 of 19 measure properties. Reliability testing, it was listed as not applicable I believe in the 20 21 application. **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS

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1	But the article that was just
2	submitted today described reliability testing,
3	demonstrated that the measure data elements are
4	repeatable, reproducing the same results in a
5	high proportion of the time when assessed in the
6	same population at the same time period. So
7	that was again the article that was just
8	distributed today.
9	MS. WINKLER: Specifications come
10	under reliability and I think we need to be very
11	clear what this measure is. And so, let's be
12	sure we're all thinking about it in terms of the
13	same thing.
14	As a structural measure where the
15	question is is this hospital or facility
16	accredited and it's a yes or no dichotomous
17	answer I just want to verify. Because some of
18	the things that Dr. Morton has been saying
19	confuse me just a little bit. So I want to be
20	sure we're all on the same page that that is the
21	measure.
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1 DR. MORTON: Accredited, yes. Accreditation is the measure. I'm happy to 2 clarify any points of confusion. 3 But accreditation is the measure. 4 I think the MS. WINKLER: Okay. 5 6 secondary question is is it to that 7 accreditation by any of those accrediting bodies? is it accreditation by your 8 Or 9 particular group? 10 DR. MORTON: What we're looking for is 11 accreditation based on those components that 12 we've described there. And we're looking at it specifically at national registries that allow 13 benchmarking. And some of those payers do have 14 some of that, but most of them do not. 15 16 And what we're looking forward to is 17 having a single accreditation process and body where all of those that are mentioned there are 18 19 going to incorporate the same measures. WINKLER: 20 MS. Okay. So trying to 21 clarify again. So you're defining the data **NEAL R. GROSS**

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element of accreditation by whether they assess
those elements.

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DR. MORTON: Right. The best expression of accreditation is going to be through participation with the MBSAQIP. That is going to be the absolute best expression of the accreditation measure that we're putting forward.

9 We cite of those other some 10 accrediting bodies examples of other as 11 national accrediting agencies. And they 12 incorporate some of the elements of MBSAQIP. 13 But, what we are asking for enforcement for is 14 accreditation through its best expression which 15 is the MBSAQIP program. That 16 incorporates national clinically derived data 17 that allows for benchmarking, has a requirement for quality improvement, has the resources in 18 19 place, multidisciplinary team. So, to be 20 clear, that's what we're asking for.

CO-CHAIR FLEISHER: So, to

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1 re-clarify, you're not asking about your database specifically, correct? 2 MR. BRENGMAN: To clarify, we 3 are asking for accreditation that encompasses the 4 seven components that are described in the 5 So it has to have those seven 6 application. components. If it has all of those seven then 7 it qualifies as an accreditation program under 8 this measure. Is that right, John? 9 10 DR. MORTON: That's correct, Matt. 11 And the best expression of those seven components is through MBSAQIP where if there 12 were another national organization that were 13 able to supply those seven elements then that 14 too would be -- that would be compatible with 15 16 this accreditation measure. 17 MR. BRENGMAN: To give you an example 18 of that, currently the Cigna program 19 MBSAQIP with some additional encompasses 20 volume data. So they would qualify because 21 they have a program that has the seven

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components and then something else. So that
would be fine.

CO-CHAIR FLEISHER: So who would 3 actually be in charge of deeming this 4 accrediting organization meeting 5 as the measure to meet the measure? 6

7 I mean, in other words there has to be 8 somebody who -- STS is in charge of the 9 database. So we know who's in charge of the 10 database.

Who deems an accrediting organization as stamping the approval to meet this measure if you join them?

DR. MORTON: Well, to be clear it would be MBSAQIP if that can be as clear as possible. It would be MBSAQIP.

We cite those other ones to point out that there are other accrediting bodies out there, but we're looking to harmonize all of these.

And MBSAQIP in the current

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1 configuration is the one best example of it that we feel should be the leader and the certifier 2 and the best expression of accreditation. 3 CO-CHAIR FLEISHER: Collette, can 4 you? 5 6 MEMBER PITZEN: I'll try to add. Ιt 7 is confusing because if I try to take it in a development example someone has to be the owner 8 and the steward of the measure that you're 9 10 measuring, the numerator and the denominator. 11 So somebody would have to be gathering all that 12 data and trying to make the decision if those 13 seven key criteria were met in terms of how this measure is specified. 14 If the intent is accreditation for 15 16 MBSAQIP, I mean that is a different statement 17 in your numerator. CO-CHAIR GUNNAR: Dr. Grover? 18 19 MEMBER GROVER: I had two questions 20 kind of along the same lines. Because you're 21 kind of in a way putting the response, all your **NEAL R. GROSS**

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1	faith in the accreditation system and you
2	aren't really controlling the data elements
3	that go into that yourself.
4	The other question I had, and maybe,
5	Reva or Helen, you can help me here, is that I
6	thought historically, and maybe you've changed
7	your policy. I thought when you brought
8	measures before the NQF you generally had had
9	them in place for a year or two or something and
10	collecting data to show that you do have the
11	reliability and the validity of collecting that
12	data. Is that passé?
13	MS. WINKLER: No. We don't
14	necessarily expect a great deal of data from new
15	measures because they haven't been widely used.
16	But we certainly expect reliability and
17	validity testing for all measures that are
18	submitted.
19	So that will generate some data. It
20	may not be large amounts.
21	CO-CHAIR FLEISHER: Collette?
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1	MEMBER PITZEN: So, if I could just
2	carry that theme along in terms of the criteria.
3	Then we don't have the information to validate
4	that that is occurring.
5	CO-CHAIR GUNNAR: Dr. Yates?
6	MEMBER YATES: The application as
7	given, there are multiple different potential
8	accrediting bodies. It's not clear how many of
9	them carry your organization's blessing. It
10	says that they may include the following
11	organizations as opposed to dropping the "may"
12	and just saying that they do include.
13	And the concern would be is that a
14	moving target and within each of those
15	accrediting bodies, are they moving targets in
16	the sense that they could be changing one of the
17	seven criteria that you've accepted one year
18	but have dropped one or added one a year after.
19	DR. MORTON: Hello?
20	CO-CHAIR GUNNAR: We hear you.
21	MR. MORGAN: I'm sorry, I missed that
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1 last question. There was some sort of noise. 2 Sorry. To reiterate, the MEMBER YATES: 3 application shows multiple different potential 4 accrediting bodies. And the actual statement 5 is, is that the other accredited bodies may 6 7 include, but it's not clear that they have your organization's blessing for each of them. 8 And that it's been vetted, that that process has 9 10 been vetted. So it's kind of hard to know what the 11 12 real numerator is for those hospitals that are accredited. 13 MR. MORGAN: Well the numerator and 14 denominator for hospitals that are accredited 15 16 is actually pretty clear. We're going by the 17 MBSAQIP of accreditation status. And based on that, about 75 percent of bariatric surgery 18 19 hospitals are accredited. To be complete, we included those 20 21 additional their accreditor payers and **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS

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1 strategies in order to be complete. To be very clear we view MBSAQIP as 2 the appropriate accrediting body and ASMBS as a steward for this 3 4 measure. And so we're very clear about that. 5 6 We're looking to harmonize what we're doing 7 along with those other payors. And as I mentioned a little bit earlier, Blue Cross and 8 Blue Shield have endorsed that and they're 9 10 moving forward in that direction. And we would like these other 11 accrediting agencies to do the same. 12 And they've given every indication that they're 13 14 looking at a single standard. So in fact the 15 MEMBER YATES: 16 statement would be other accrediting bodies 17 might include the following organizations if they indeed meet the requirements as you've put 18 19 them forth. 20 MR. MORGAN: Yes, I think that 21 would be accurate. Yes. And again, the main **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS

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1	reason that was listed was just to be you know,
2	complete about what's the landscape currently.
3	But we do view MBSAQIP as the leading model for
4	accreditation.
5	CO-CHAIR GUNNAR: Dr. Dutton.
6	MEMBER DUTTON: We've had this
7	discussion before at the NQF around for
8	instance participating and registry. And it
9	will come up again in a few minutes when we talk
10	about the STS measure.
11	I think traditionally how this has
12	gone here is the steward puts forward the
13	criteria, i.e., participation and registry or
14	accreditation. And here are the conditions of
15	that. The measure would then be open to
16	reporting by anybody who can meet those
17	criteria.
18	And if we asked MBAS here if another
19	registry appears with the same requirements or
20	another accrediting agency appears that meets
21	these criteria, would that be acceptable?
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1	MR. MORGAN: Yes. I think that
2	would be acceptable as long as they met those
3	criteria listed. And that's why we specify
4	them because we view those criteria as being
5	bedrock to the accreditation process. And to
6	ensuring better outcomes.
7	And so I think it is in some ways
8	very similar to what you've outlined. And
9	participation in the data registry like the
10	next measure is a component of this composite
11	measure if you will, of accreditation. As we
12	do view that as being perhaps the single most
13	important aspect of accreditation is
14	participation in the data registry.
15	CO-CHAIR GUNNAR: Collette.
16	MEMBER PITZEN: So just to clarify
17	process. So if we treat this like all other
18	measures, there really hasn't been any testing
19	of the reliability of collecting and capturing
20	that information from the other sites.
21	MR. MORGAN: So if you're referring
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1	to reliability of trying to determine if center
2	is accredited or not, there are you know, years
3	of data of demonstrating which centers were
4	accredited. I'm not sure if that's exactly
5	what you're asking, but we have we do have
6	multiple years of the accrediting body as
7	having listed who's accredited.
8	MEMBER PITZEN: This is Collette.
9	I'll try again. When you're listing seven key
10	elements of this is defining accreditation, if
11	I translated that into a clinical measure that
12	we're used to working with for diabetes, we have
13	five components that we expect patients to meet
14	in order to meet that numerator criteria. So
15	I'm getting confused, is it as simple yes/no,
16	you're accredited by someone, or is it you're
17	meeting these key elements and then you would
18	be considered to be accredited?
19	MR. MORGAN: I see. So in order to
20	be accredited, a yes/no, you have to meet those
21	seven. And there can be no exceptions around
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1	those seven. So it's an all or none. Does	
2	that make sense?	
3	Hello?	
4	MEMBER SIPERSTEIN: But if we	
5	John, if we say if you're saying then another	
6	body can then accredit, assuming they meet	
7	those seven, we have good data from MBSAQIP that	
8	you can meet those standards, but do we have any	
9	reliable data from other accrediting bodies	
10	that they also would meet those standards?	
11	Because you either have to make the	
12	I think you have to make the statement, in	
13	order to be accredited, you have to be part of	
14	the metabolic MBSAQIP, or these are the	
15	standards that everyone needs to meet, and what	
16	data do we have that other accrediting bodies	
17	will provide us that data?	
18	I mean is that sort of what you're	
19	getting at Collette?	
20	MEMBER PITZEN: Yes.	
21	MR. MORGAN: Yes, so where we have	
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1	the data for this, and that those individual
2	centers have met those individual components is
3	around MBSAQIP, we don't have data for the other
4	accrediting bodies. So again, just to
5	reinforce, MBSAQIP is the leading and best
6	example for accreditation that incorporates
7	those seven different elements.
8	And we do have data from the
9	different site reviews that have been
10	performed. So as part of a site review, all of
11	those elements are investigated for and
12	accounted for. And the inability to meet those
13	components, would not result in accreditation.
14	So you have to meet all those component in order
15	to be accredited.
16	Where we have good data and
17	reliability around that is with MBSAQIP. The
18	other ones that we mentioned there were simply
19	to be complete and to give you examples and
20	opportunities for further harmonization. But
21	we do have very good data about centers meeting

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1	those components through the site reviews.	
2	And again, it is an all or none.	
3	They have to meet all of those criteria in order	
4	to be accredited.	
5	CO-CHAIR GUNNAR: Collette.	
6	MEMBER PITZEN: I'll try one more	
7	time. So we don't have any information on the	
8	testing of that hypothesis?	
9	CO-CHAIR GUNNAR: Dr. Yates? Dr.	
10	Fleisher?	
11	CO-CHAIR FLEISHER: Yes, just to go	
12	back to the criteria, because I've looked at the	
13	criteria again. So it says appropriate	
14	instruments, am I correct. The seven	
15	elements, the appropriate equipment and	
16	instruments can count commitment to quality	
17	care standards. Those are nebulous enough in	
18	this specification, unless I missed somewhere	
19	in the specification that you define it that	
20	other organizations know what that means.	
21	Am I just not like we do John	
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1 is, do you in this document define so other organizations can meet it, what appropriate 2 equipment and instruments, and commitment to 3 quality care standards mean, and what critical 4 care support means? 5 6 MR. MORGAN: We most certainly do. 7 And it's an 82 page document. And it is the standards associated with MBSAQIP. 8 And it's absolutely detailed. 9 In the interest of 10 brevity in the application, it wasn't 11 incorporated entirely there because it is an 82 page document. 12 13 However this is a web citation, 14 reference. But there is absolute clear data, 15 specifications about exactly what that means. 16 It's an 82 page document that can very easily 17 be supplied. CO-CHAIR FLEISHER: But that's to 18 19 criteria, right? your me For your accreditation? 20 21 MR. MORGAN: Correct. Right. **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701

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1	CO-CHAIR FLEISHER: Not for any
2	accreditation, correct?
3	MR. MORGAN: That's correct.
4	CO-CHAIR FLEISHER: Thank you.
5	CO-CHAIR GUNNAR: Amy?
6	MEMBER MOYER: I just I'm
7	looking through the algorithm for evaluating
8	reliability. And the first boxes are the
9	specifications precise and ambiguous and
10	complete. And we spent a lot of time
11	discussing this. It feels like it maybe
12	doesn't meet that first box.
13	CO-CHAIR GUNNAR: Any other
14	comments? All right, I think we're ready to
15	vote.
16	MR. SANCHEZ: Voting will now begin
17	for 2A, reliability. 1 is high, 2 is moderate,
18	3 is low, 4 is insufficient. Timer starts now.
19	Zero for high, 4 for moderate, 12
20	for low, 6 for insufficient.
21	CO-CHAIR GUNNAR: So the measure
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fails to pass. And therefor is not recommended
for endorsement.

MS. WINKLER: Would it be fair to say that as a committee, because you considered this a high priority condition, you would certainly like to see you know, improved measures to come back on these because the topic area is an important one.

feel 9 MEMBER GROVER: Yes, Т 10 strongly about that. I think what you all have 11 heard here as a committee, a large committee here that spent probably an hour on this. 12 So we obviously would like to see you succeed. 13 14 And we just need more information and more 15 experience. At least I'm speaking for myself 16 on that issue.

17 CO-CHAIR FLEISHER:: Just --18 you're within the college, correct? I mean the 19 organization sits within the college, so 20 perhaps Cliff, you can help them as they come 21 back.

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1	MR. MORGAN: Well we appreciate it.	
2	And what we would greatly appreciate.	
3	MEMBER KO: So I just had a question	
4	about the structural measures. Because this	
5	is a, you know there was two of them are	
6	structure, volume and participating in	
7	accreditation program. And then the outcome.	
8	Outcome measure is easy enough.	
9	But the structural measure is very	
10	interesting as we kind of go through our	
11	different eras of how we do things. You know,	
12	first it was structural volume. And then it	
13	was the process measures with Mark McClellan's	
14	piece in CMS and what not.	
15	And then we've gone to outcomes.	
16	And as we run this program, outcomes are not	
17	enough. So maybe we go to composites, or maybe	
18	we kind of even go back to a maybe the biggest	
19	composite is an accreditation program, where it	
20	has those pieces, a structural piece of process	
21	and an outcome.	
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1	And it even has the piece of putting
2	it into play. Because then there's the
3	accreditation itself. Is this, I mean is this
4	worthwhile. Or is this something that's in the
5	vision of this group of that piece? Because
6	that's a huge step forward. It's a lot of work
7	obviously to put the application forward.
8	But it's a huge step. And it might
9	be in the right direction, but if it's the
10	feeling of the group that accreditation like
11	what this is, or the stroke program, or you
12	know, any of those types of programs, is not in
13	is not the direction of what NQF wants of
14	a measure, then that's really helpful for us.
15	CO-CHAIR FLEISHER: Collette?
16	MEMBER PITZEN: I just wanted to
17	make some additional comments. Again, I think
18	we're excited about that outcome measure. And
19	I'd like to see that come back through again.
20	And I had a suggestion. People do
21	this to me all the time. I think a really cool
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1	measure would be looking at the weight loss of
2	patients undergoing this procedure, and their
3	maintenance of that weight loss over time.
4	I think consumers, providers,
5	purchases would be interested in that kind of
6	outcome measures. Thanks.
7	CO-CHAIR FLEISHER: Amy?
8	MEMBER MOYER: From my
9	perspective, I really struggle with
10	accreditation as something that NQF would
11	endorse. And I guess part of what I struggle
12	to understand about it is I've never met a
13	hospital that won't tell me they're accredited.
14	They won't tell me their mortality
15	rate or their complication rate or you know, all
16	these other things. But they're not shy about
17	saying we're accredited. And so I struggle
18	with what adding the NQF endorsement to that
19	means, unless we're endorsing something
20	specific. And then that feels like a direction
21	we may not want to go in either. That was an

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1	issue I had.
2	MR. BRENGMAN: Can I ask a question
3	about the accreditation thing. Because I'm
4	also a little puzzled because it is, this
5	particular accreditation is quite lengthy.
6	It's got elements of structure, you
7	have to buy all this junk for your hospital.
8	You have to have nursing pathways which are
9	clearly process. And then it's also outcomes
10	because if you don't meet certain outcome
11	requirements you can't be accredited either.
12	So you have to do all of those
13	things, and then you have to be inspected by an
14	onsite inspector. And they have to sign off on
15	you to meet these measures, which are all
16	scientifically based. And the committee for
17	MBSAQIP anyway, it's like 40 surgeons who have
18	met for two years to come up with the criteria,
19	who are knowledgeable in the field.
20	And so you have this accreditation,
21	and it seemed to me we got derailed on sort of
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1	we tried to include too many people honestly
2	in my opinion. But we could come back to that.
3	But I agree with Dr. Ko. I mean if
4	you can't get that through as a single measure,
5	do you want to see more of that? Did you want
6	to see more of what the details of the structure
7	were? Of what we do to make accreditation? I
8	think the other two were a little easier.
9	MEMBER HANDY: So one of the
10	problems that I had which was why I asked the
11	question. Is there's Blue Cross/Blue Shield
12	accreditation. There are other
13	accreditations. And the way you open it up for
14	the measure, made it unmanageable.
15	So I don't know what the committee
16	would think of, you know if there was one
17	accrediting body, that would change in my mind
18	how it's organized, who owns it, who maintains
19	it.
20	Now whether that would get through
21	NQF is other people's opinions. That was the
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1 biggest problem I had. I mean there is 2 MEMBER SIPERSTEIN: a point that Amy brings up. And that is you 3 know, every hospital in the country that gets 4 paid by CMS is accredited by the 5 joint 6 commission. But we wouldn't be having this 7 meeting if every hospital was doing great. So accreditation in and of itself, 8 just because they got the stamp of approval from 9 10 some organization, and I know that's not the 11 case with this. I mean but that's the point, should NQF be in the business of saying who's 12 accreditation is more important than someone 13 else accreditation. 14 I'm not sure if this is the right 15 forum for this. I think the problem with this 16 measure, in my personal line, was there was 17 confusion about are we picking a winner, saying 18 19 that you have to use a specific tool, you know 20 we had this -- I remember being very vocal about 21 this when we had, when I was on this three years

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ago or four years ago, about STS. Are you
picking a winner.

I'm not sure we're in the business of picking winners. We shouldn't be. We're in the business of writing a very good design. And I think what was the problem about it for me was it kept on coming back and John kept on saying we're going to use MBSAQIP as the benchmark.

Well, the thing STS went away -always came back at me with, these are measures that anybody can collect the data on and do. And if you keep on saying well we're the racehorse, we're the winner, and that you need to -- we're hoping to get to us, that's not what NQF should be doing.

17 MEMBER LEVY: You know I think a way 18 to think about this is accreditation is a lot 19 like board certification for us. It's 20 something, it's a benchmark, it's something 21 we'd like everybody to have

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1	But then we don't say that all board
2	certified surgeons are the same. And we
3	continue to hold board certified surgeons to
4	standards and to performance review and all
5	those things.
6	So I think accreditation is not what
7	we do at NQF. And it's not a measure, an
8	outcome measure, it is just a benchmark. It's
9	something that payers may clearly want to look
10	at. But that's not what we're it doesn't
11	describe quality in the way that we need to do
12	that.
13	So I think if we look at the analogy
14	between board certification and accreditation
15	in these different kinds of programs, that
16	might help us.
17	CO-CHAIR GUNNAR: A.J.?
18	MEMBER YATES: I'll take a contrary
19	point, and to answer Dr. Ko's question. I mean
20	there's accreditation in the board sense. But
21	then you may help if NQF were to define as a
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1	structure process say had a paradigm for
2	looking at this issue. Because it's come up
3	again apparently more than once.
4	And the paradigm I would suggest is,
5	is there a patient problem, or is there a
6	patient population that is unique. Is that
7	patient population helped by a hospital going
8	through all the steps to reach accreditation
9	and to maintain accreditation that includes
10	data that comes goes out, comes back and
11	causes quality improvement on a regular basis.
12	And is there data to show that that
13	process helps. And lastly fourth, is there a
14	critical mass of hospitals involved with it
15	that you can maybe say that this is the winner.
16	That this is a good thing to be involved with.
17	STS as an example, has evolved to
18	that 95 percent winner status if you will. I
19	would suggest that the bariatric group is very
20	close to this, having I'm assuming ACS and the
21	other group merged to create MSG.

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1	And your data's there in this
2	unpublished paper. Your data, your
3	information's there. And it may be that you
4	sold yourself short on this by opening it up to
5	any other accrediting body, which is a black
6	a whole bunch of black boxes.
7	Whereas you really ought to be
8	shooting at the problem are these obese
9	patients going through surgery. There's a
10	performance gap we can show. We can show that
11	if people do go through the accrediting process
12	and as a team we have to create all this
13	information, and we have feedback loops in
14	place that we're going to improve outcomes, I
15	would definitely say that it is part of NQF's
16	business.
17	You know it's and in terms of
18	board accreditation, we're way, way away from
19	anything like that as individual physicians.
20	But the whole move for master you know,
21	mastering certification, or recertifying and

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going through those processes, is mean to
address that issue.

But at this level, for specific programs, for specific patient populations, I think it is within NQF's -- I think it's within their bounds to work with this. But I think that some guidelines should be made.

MEMBER PITZEN: Yes, I would just like to share an opinion. I think the QI movement has vastly changed in the last 20 years. NQF has a hierarchical preference for measures. And there's nothing wrong with having accreditation and those kinds of bodies. But it's a means to the end of the outcomes that you desire.

So there may be less value in a simple yes/no, we're all accredited, we all have joint commission accreditation. But what are the pieces and components, what are the outcomes underneath that that are going to support population health and improvement and

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2	MEMBER MARKMAN: Yes, I'd just like
3	to commend the two on your outcome. And I agree
4	with Collette, that to take on a 30 day
5	readmission initiative, and I've looked
6	through the entire portfolio of our and we
7	don't really have one, other than the CMF. I
8	think there's a cardiac one.
9	But I really commend you guys for
10	coming out and trying to accumulate the data on
11	readmissions, which is very important to
12	everybody. So kudos.
13	MEMBER SIPERSTEIN: I think the
14	context of this measure's very important.
15	Because obviously this is an evolving
16	specialty. It's coming from their
17	professional organization. And they are
18	striving to improve the quality of their
19	patient care and obviously have to commend them
20	for putting these forward.
21	And in that context, I think even
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1 the issue of being accredited or not is an important move towards improving quality in a 2 fledgling areas. The implication for example 3 in a more mature area like cardiac surgery are 4 different. 5 6 Ι think definitely in But 7 bariatrics in this context, it's а very important group of measures, and 8 Ι would encourage the group to refine them and resubmit 9 10 them. 11 MEMBER KO: I have a comment and 12 then maybe a question. So the comment is to 13 just our quickly our experience share in MBSAQIP in that we, for all the criteria that 14 within getting the data and the 15 we vote on 16 statistics and reliability and doing the audits 17 and whatnot, we perseverate on that. We spend hours and hours fighting 18 19 and figuring out what's the best way to do this. And we could probably submit a ton of measures 20 21 that would -- I mean I'm not trying to be -- that

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1 would probably pass our rigor here, with our rigor in the last couple of days. 2 What we have found in MBSAOIP is 3 that the data are essential, but it's not 4 enough. So even though we -- the participants 5 6 of MBSAQIP have these measures basically at 7 their fingertips, more is needed. And I think that all of us who have done QI realize that. 8 There's much more needed than just whatever the 9 10 mortality rate, or this risk adjusted 11 readmission rate, or this whatever SSI, UTI 12 rate. And there's going to -- and I think 13 that that's what STS is figuring out, that it's 14 15 going to be a composite of more than just one It's going to be a group of things. 16 thing. 17 Like theirs is 11 things. And these accreditation things are 18 19 getting us potentially to that next level of 20 getting all these pieces together. So whether 21 it's these metrics that we have, or it's some **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS

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1	kind of structural thing of, I don't know, some
2	communication thing. Or an experience, that's
3	the potential here.
4	And so I'm asking maybe Dr. Gunnar
5	and Dr. Fleisher, that is this something that
6	we can do in this committee, if we're a standing
7	committee for two or three years, to identify
8	that as a potential gap in our scan of the
9	environment of this is a way to potentially
10	raise that next level, so a next level within
11	surgery.
12	CO-CHAIR FLEISHER: So I'm going to
13	actually ask the NQF to comment. Because if
14	you remember as we were charged yesterday, we
15	were specifically told that the reason we went
16	to standing committees, is to identify gaps and
17	to go out and solicit developers.
18	And what you're hearing, I think
19	very clearly, and I think that was said very
20	nicely is, this is an area where there is a huge
21	gap. And I think uniformly, the committee

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1	believes that improving bariatric care, can I
2	say that for the committee, would be a thing
3	that would be outstanding.
4	MS. WINKLER: Speaking more to
5	Cliff's question, which I think is a little bit
6	more global, and that is the fact that you're
7	putting out an idea, and you're really like to
8	get feedback on what people think of where
9	measurement could or should go. You've gotten
10	it from this group.
11	And one of the things I'm going to
12	do, is in the report that this goes out, and you
13	can help me write the section if you'd like, is
14	to actually highlight that as a discussion area
15	that you guys have considered and are asking.
16	And we can specifically call it out
17	as something you're really like to see member
18	and public comment on, in terms of how they see
19	the next generation of measurement evolving and
20	perhaps get some useful feedback. So we can
21	use the process to help along that way.

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1	CO-CHAIR FLEISHER: And remember,
2	that's a really a really important of member and
3	public comment will be critical. Because
4	and that will hopefully come back to this
5	committee when we get those comments. Not just
6	up the chain within.
7	DR. BURSTIN: So yes, I just want to
8	add that completely, Cliff, that's the reason
9	we made you guys a standing committee. It
10	doesn't just have to be measure you know, gaps,
11	but also information, measurement science
12	gaps.
13	What do we need to know to
14	understand how benchmarking relates to
15	measurement, or whatever the case may be. We
16	can raise it up beyond the surgery committee,
17	or we can have it be a surgery specific kind of
18	initiative. All that would be exciting.
19	Just a couple of thoughts on the
20	issue of structure measures because I think
21	this is a really important question, really
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1	back. We have not to date endorsed measures of
2	accreditation for largely many of the reasons
3	that Barbara outlined, of whether they're
4	within scope or not.
5	I will tell you that we've had many
6	discussions over the years about for example
7	should maintenance and certification
8	potentially be a composite that has different
9	elements within it. Those are the kinds of
10	things that have come forward.
11	So when this measure came forward,
12	my feeling was I really wanted this Committee
13	to take a look at it because I thought it was
14	an important discussion for all of you to have.
15	I will say that again, as part of the work we've
16	done to date as was pointed out by Collette, we
17	do have a hierarchical preference for outcomes.
18	Structural measures and actually
19	went back to the evidence task force. But
20	again, specifically says structural measures
21	are appropriate primarily when they are very

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1	well established structural process outcome	
2	relationships. And when it is not feasible to	
3	directly measure the outcome or processes.	
4	So it still feels like that is a,	
5	okay we'll do that if we can't get the other one.	
6	So I think in general, the preference would	
7	certainly be for the outcome to bariatric	
8	surgery complications, pass through you know,	
9	whether there's leaks or other really important	
10	issues that should come forward. Those would	
11	be absolutely welcome I'm sure by the broadest	
12	array of multiple stakeholders at NQF.	
13	And I think if you wanted to pursue	
14	the one around accreditation, I think the key	
15	is really to the specifics of what are those	
16	seven elements? Can they be reliably	
17	measured? And is that really something you	
18	think would have a strong relationship to	
19	outcome?	
20	CO-CHAIR GUNNAR: Barbara?	
21	MEMBER LEVY: Just one other point	
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1	about accreditation, and that's for how long is
2	it now. You know a surgeon leaves one group and
3	goes someplace else. The team changes.
4	I just have problems with that as a
5	measure because it's not a static thing at all.
6	It requires a lot of validation in terms of
7	audit. And I just think looking at the
8	outcomes is going to get us closer to where we
9	want to be.
10	And Cliff, I totally agree with you,
11	that in terms of implementation, of quality
12	improvement, we've got a long way to go. And
13	benchmarking is step one, that we know we need
14	to do. But I'm not sure that the accreditation
15	gets us where we want to be.
16	CO-CHAIR GUNNAR: Dr. Moss.
17	DR. MOSS: So I agreed strongly
18	with what Cliff articulated. There is a
19	significant gap, and being able to close that
20	would be a step forward in surgical quality
21	improvement. I just want to advocate that we
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need to do something more sophisticated then
just a yes/no answer.

I mean if we're going to put these 3 composites together with the elements of 4 accreditation, we've got to put them together 5 6 in a way that provides something more to the 7 public then just yes or no. There has to be some sort of graded or comprehensive assessment 8 to quality that people can use to compare one 9 10 institution against another.

MR. MORGAN: If I could make a comment.

CO-CHAIR FLEISHER: John, did you 13 14 want to say something before we move on? 15 MR. MORGAN: Yes, I just you know, 16 I appreciate all the comments. And I just want 17 to emphasize again, that bariatric surgery is very early on in its guality improvement 18 19 process. 20

And I love all the different thoughts involved here. But we have to walk

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1	before we can run. And to that end, what we
2	know about accreditation is currently that it
3	does make a difference for those outcomes.
4	Which one of those elements makes
5	the biggest difference? That's area for
6	further investigation. We're not as far along
7	as other fields.
8	What we do know, is that the
9	accreditation process has helped in terms of
10	having better outcomes. And I would love to
11	have more opportunity to refine the measures,
12	particularly around accreditation, as well as
13	readmission and move forward.
14	I am concerned about focusing in on
15	specific outcomes. For leak for example.
16	That's less than one percent of an occurrence.
17	If we're really looking to move the needle of
18	quality improvement, having the elements and
19	tools in place to perform the quality
20	improvement, is bedrock.
21	And that's where we view
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1	accreditation as being so important to
2	accomplish those tasks. Future inquiry will
3	let us know what elements of inquiry of
4	accreditation will make a difference. But
5	right now, the only thing we do know is that
6	accreditation makes a big difference in
7	outcomes for patients.
8	But we really do appreciate the
9	opportunity to present to you all. And we will
10	be resilient and we will see what we can do to
11	move the measures forward. Thank you very
12	much.
13	CO-CHAIR FLEISHER: Thank you.
14	Okay, we are going to attempt to get through
15	some at least the composite measures.
16	MS. WINKLER: No, no, no. We need
17	
18	CO-CHAIR FLEISHER: No, we have the
19	improvement and the status of surgical wounds.
20	MS. WINKLER: Right. If you'll
21	recall, yesterday we didn't have the lead
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1	reviewer here for the meeting, and we delayed	
2	this measure. So is Debra Deitz on the line?	
3	MS. DEITZ: I am.	
4	MS. WINKLER: Hi Debra. Thank you	
5	for bearing with us and coming back again today.	
6	So we've had overnight members of the committee	
7	review the measure, so that we can have a more	
8	fair discussion of it at this point in time.	
9	So we'll give you a couple minutes	
10	to introduce the measure, and then we'll	
11	proceed with the discussion.	
12	MS. DEITZ: Okay, can I just we	
13	weren't sure that you were going to deal with	
14	us right first thing. And I just would like to	
15	see what other members of the team are also	
16	here, of the measure developer team.	
17	So Caroline, are you on the line?	
18	MS. GALLAHER: Yes.	
19	MS. DEITZ: Okay.	
20	MS. GALLAHER: Hi, this is Caroline	
21	Gallaher. I'm the CMS lead for the Home Health	
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1 Quality Reporting Program. And hopefully 2 MS. DEITZ: Okay. members of the Acumen team will also be joining 3 So we can get started, and we'll expect 4 us. them to join us. 5 6 So the measure that you're looking 7 at today is as someone said vesterday, you needed to put on your home health hats. 8 And I think that's really important. 9 10 This is looking at the improvement in status of surgical wounds in the home health 11 Specifically how many episodes of 12 setting. care were there in which the patient had a 13 14 better status of surgical wounds at the end of their home health stays, then they did at the 15 16 beginning of their home health stay. 17 And if calculated as most of the home health measures are, on data that comes 18 19 from the OASIS data set, which is the standard 20 data set that home health agencies need to 21 collect. It's part of the conditions of

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1 participation, that they collect these data as their comprehensive 2 part of patient 3 assessment. And it's the information on the 4 surgical wounds they are actually 5 ___ SO recording the status of the wound as healing, 6 7 or partially granulating, or not healing, as part of the measure. And while they are 8 recording the patient's status as part of their 9 10 normal clinical practice. 11 The CMS, Medicare, Home Health Compare website, currently reports a total of 12 22 outcome in process measures for Medicare and 13 Medicaid patients, so that consumers can review 14 and compare agency performance. 15 And this 16 outcome measure is the only measure related to 17 surgical wounds that is publically reported. According to the date that we've --18 19 the most recent data that we've looked at, about 20 25 percent of all the home health patients had 21 a surgical wound. And about 13 percent of

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episode. 3 And there are lots of home health 4 wound care treatments that are known to improve 5 6 wound healing, such as keeping the wound clean and dry. And avoiding activities that will 7 cause problems for the wound, skin torsion or 8 tension near the wound. Educating patients 9 10 about lifting restrictions, and nutritional intake, and what's the signs of wound problems 11 that they need to report. 12 The -- we looked at the data from the 13 14 2011 measurement period, and the 2013, or 12/13measurement period, and we saw a change in the 15 16 main risk adjusted performance rate on this 17 And increase from 86.2 percent to measure. 87.9 percent for the agencies in which there 18 19 were at least 20 valid episodes, which is what 20 we report. 21 And because of the high prevalence

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1 of surgical wounds in home health patients, and because there are agency practices that are 2 associated with improving that outcome, CMS 3 believes it is important to continue publically 4 reporting the measure. 5 And that's my presentation. 6 7 CO-CHAIR GUNNAR: So this is a discussion of an established metric and it's 8 9 maintenance, outcome SO measures. Dr. 10 Markman. 11 MEMBER MARKMAN: I just put this 12 together since last night. But this is a significant measure. And it's -- it hasn't 13 been reviewed since 2009. 14 This is the first time it's come up. And just -- I want to read, 15 16 I'm going to ask you to help me with the 17 numerator and the denominator because we're going to look at the rationale on our first 18 19 vote. 20 And it says that the numerator is 21 the number of home health episodes of care where **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W.

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1 the patient has a better status of surgical wound to discharge, compared to the start of 2 And the denominator is all home health 3 care. episodes of care in which the patient was 4 eligible to improve. 5 6 So I mean my -- I'm not really sure 7 what you mean by eligible, but then most wounds will heal and the what I'm concerned about, or 8 I want you to explain, is why only 13 percent 9 10 of those wounds have gotten better. Because 11 most of them -- wounds heal. I would say would be 100 percent with the right care. 12 So that's my first question. 13 And 14 I'll give you an opportunity to answer. Why from the body of evidence, that we came up with 15 16 only 13 percent? 17 MS. DEITZ: Well I think that the first thing is your question about what does it 18 19 mean to be eligible to improve. And that means that when a patient has a surgical wound at the 20 21 time of admission, and it is already starting

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1	to heal, or al you know fully granulating,
2	then we would not say that they had an
3	opportunity to improve, because the they
4	could no long they could not be rated any
5	higher at discharge.
6	So I believe that we submitted some
7	data on a attachment that shows the number of
8	wounds and how they fall out, but in terms of
9	how many are actually eligible to improve.
10	MEMBER MARKMAN: For the
11	committee, we're talking about millions of
12	wounds. We're talking about four, on the
13	episodes, there's 4.8 million episodes, and
14	almost 3.9 wounds.
15	So it's I'm mean, I'm just
16	looking for you know, I mean I see listen,
17	I think that the that as part of the wound
18	care team, you contribute your contribution
19	is significant.
20	But in terms of finding the rational
21	for the healing, which is what we're going to
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1	vote on, I see you know, and I have that graph
2	in front of me. I just I see 13 percent.
3	And this has been ongoing, so
4	CO-CHAIR GUNNAR: Dr. Dutton?
5	MEMBER DUTTON: With an
6	unfortunate amount of experience in the wound
7	business, I think it would help the committee
8	to understand what kind of wounds we're talking
9	about. Obviously a closed surgical wound
10	where it's sew up, the skin is closed and it's
11	granulating, would not be included in this.
12	But are we talking about an open
13	surgical wound, for example where it was
14	superficially opened because of a superficial
15	infection and we are now waiting closure. Or
16	are we talking about decubitus diabetic foot
17	ulcers and at that kind of wound?
18	MEMBER MARKMAN: That's the
19	question because it's not defined, you know the
20	types of wounds.
21	MS. DEITZ: I'm sorry, but it does
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1	not may not appear to be well defined in the
2	actual measure. However, we have specific
3	other measures that refer to and measure the
4	healing of decubitus pressure ulcers, stasis
5	ulcers, et cetera. And this is restricted to
6	surgical wounds that are either healing by
7	primary or secondary intent.
8	And there's a good deal of guidance
9	that is provided to the clinician based on WOCN
10	guidance as to assist them in determining what
11	the status is of the surgical wound.
12	MEMBER MARKMAN: So these don't
13	include Wagner's foot ulcers or these are
14	just postoperative I mean are they open
15	wounds? Or are they infected wounds? Or are
16	they closed wounds?
17	And I brought this up during the
18	discussion. I'm not really sure about your
19	data set you know. And if you have additional
20	information about it, I mean I actually review
21	a lot of OASIS forms.

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1	But I you know, I just don't have a
2	good grasp of what types of wounds and why such
3	the low rate of healing.
4	MS. DEITZ: Well I think that it has
5	to do with the number of wounds that are not
6	moving from one status to the next at the time
7	that the patient is discharged from home
8	health. So the status that they can record is
9	either newly epithelialized, fully
10	granulating, early partial granulation, or not
11	healing.
12	So and again, we provide the agency
13	clinicians with a good deal of guidance about
14	how they determine which of those which of
15	those criteria apply. Definitions apply.
16	MEMBER MARKMAN: I mean this
17	measure has been in place for five years. And
18	you know, we have to show the relationship has
19	a did it fluctuate from the 13 percent? Was
20	it better one year? Or has it been kind of
21	stable at 13 percent for five years?

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1	MS. DEITZ: Well I see this is
2	discussed. I apologize because the team
3	members who have been doing the analysis of the
4	responses, and the healing are appear to not
5	have joined us yet on the call.
6	CO-CHAIR GUNNAR: Well let's move
7	forward.
8	MS. WINKLER: Yes, we can postpone
9	it to another.
10	CO-CHAIR GUNNAR: Yes, Dr. Yates?
11	MEMBER YATES: Well I
12	MS. GALLAHER: Yes, this is
13	Caroline from CMS. I believe our other team
14	members should be getting on the call in just
15	a few minutes. So if the committee would just
16	bear with us and you know
17	MS. WINKLER: Actually we really
18	need to you know, if we need to let you get
19	yourselves together. If that's the case, if
20	you could just hang there and let us talk about
21	another couple of measures, we'll get back to
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1	you when you have your team all together.	
2	MS. GALLAHER: Okay. We weren't	
3	sure what time you were going to call us. We	
4	weren't expecting to be quite this early. So	
5	I apologize for us not being quite ready for our	
6	presentation.	
7	But yes, I would appreciate that if	
8	you would give us as little a few minutes.	
9	CO-CHAIR FLEISHER: Okay, so thank	
10	you. We will at the latest, 3:15, because	
11	that's when we're essentially finishing.	
12	So Jeff? So we are going to go on	
13	to 2561. And who's the discussant? Do you	
14	want to take care of this one?	
15	CO-CHAIR GUNNAR: Yes, that's	
16	fine. This is measure 2561 STS, aortic valve	
17	replacement composite score. This is a new	
18	measure being introduced.	
19	DR. JACOBS: Well good afternoon,	
20	and we'll do our best to get through as many of	
21	the remaining STS measures in the time	
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1 available.

2	The first two measures that we have
3	on the table now are two composite measures.
4	And just to briefly give a history, the STS
5	currently has a composite measure in place for
6	isolated coronary artery bypass grafting.
7	And this measure is a four domain
8	composite that is composed of 11 national
9	quality forum endorsed measures that are
10	grouped into the following domains. Absence
11	of operative mortality, so that's risk adjusted
12	mortality; absence of major morbidity, and then
13	high quality interoperative care by using the
14	internal mammary artery and appropriate
15	perioperative medication usage. So that's the
16	current CABG composite, the four domain
17	composite.
18	What we're going to talk about today
19	is an AVR composite and then an AVR CABG
20	composite. The AVR composite is a two domain
21	composite. The absence of operative mortality

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and the absence of operative morbidity.

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And for all of the composite we've brought forward measures SO far, including this one, the absence of morbidity is an any or none, for stroke, external wound infection, renal failure, reoperation and prolonged ventilation. So any of those events would qualify for morbidity as opposed to absence of morbidity.

10 And we've created a composite for 11 aortic valve replacement surgery based on the just described, the two domain 12 structure composite. And it's captured over a three year 13 14 time interval because aortic valve replacement 15 is done less commonly then coronary bypass grafting, and to have sufficient sample size, 16 17 we used three years.

I think I'll stop there, that's a pretty good opening statement about it. CO-CHAIR GUNNAR: So the question from the front table is, do you -- Jeff do you

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1	have a problem if we take these next two, both	
2	61 and what's the other one, the next one?	
3	DR. JACOBS: 63	
4	CO-CHAIR GUNNAR: 63 together? So	
5	AVR and AVR CABG together?	
6	DR. JACOBS: Yes, I think that	
7	would make sense from a time efficiency point	
8	of view, because the issues are essentially	
9	identical.	
10	CO-CHAIR GUNNAR: So Lynn Reede is	
11	also discussant on the 63. So we'll so a	
12	couple of just to frame this I think for others.	
13	So there's three parts of this. There is the	
14	morbid the absence of mortality, so within	
15	30 days, correct? Or is it the whole, any	
16	hospitalization?	
17	DR. JACOBS: It's the same	
18	operative mortality measure we discussed	
19	earlier. So it's the union of 30 day plus	
20	discharge.	
21	CO-CHAIR GUNNAR: All right, so	
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331 1 it's the absence of mortality, yes or no, that's And then the absence of morbidity in one 2 one. of those --3 DR. JACOBS: Five morbidity 4 domains. 5 CO-CHAIR GUNNAR: Five morbidity 6 7 domains, which are all NQF endorsed. DR. JACOBS: Correct. 8 CO-CHAIR GUNNAR: So you could have 9 10 -- you could -- now here's my question. This 11 was one that was left from our phone call. Would you be can -- if you died, so you had 12 checked off on that, would you also be included 13 14 in the morbidity realm as well? 15 MR. O'BRIEN: Not automatically. So that morbidity endpoint does not in -- you 16 17 know, it's a --CO-CHAIR GUNNAR: Stroke, died. 18 19 MR. O'BRIEN: Did any one or more of 20 the following things happen. 21 CO-CHAIR GUNNAR: Stoke, died. **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

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1	MR. O'BRIEN: So your death would	
2	show up in the death	
3	CO-CHAIR GUNNAR: Right.	
4	MR. O'BRIEN: Domain and then the	
5	stroke would show up in the stroke domain. And	
6	they'd both be counted, but the death would only	
7	be counted in the death domain, it wouldn't be	
8	recounted in the morbidity domain.	
9	CO-CHAIR GUNNAR: Exactly. I just	
10	wanted to clarify. And then there's the	
11	cumulation, there's the domain which is the	
12	additive of those two, is the true or not?	
13	MR. O'BRIEN: That is correct.	
14	CO-CHAIR GUNNAR: So there is	
15	actually and then those are converted to the	
16	star composite for each of those. The absence	
17	of mortality, the absence of morbidity and then	
18	DR. JACOBS: And then an overall	
19	star and a composite staring.	
20	CO-CHAIR GUNNAR: And then the	
21	composite star, okay. And each.	
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1	DR. JACOBS: Right, so when it's
2	publically reported, one can go to the website
3	and get the star rating for the whole composite.
4	The star rating for any individual domain of the
5	composite and can drill down even further and
6	get the percentages of the different events as
7	well that make up the composite.
8	CO-CHAIR GUNNAR: So this applies
9	to both of these and Lynn did you want to say
10	anything else?
11	MEMBER YATES: No, keep going.
12	CO-CHAIR GUNNAR: Okay. Dr. Yates
13	or who's up, Dr. Dutton.
14	MEMBER DUTTON: Are the
15	morbidities weighted in some way? Or do they
16	occur at approximately equal incidents, so are
17	they exerting the same weight on the measure?
18	MR. O'BRIEN: So just to step back,
19	the composite consists of two domains and each
20	domain has its own score. So in a sense it's
21	a composite of composites, because we're taking
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334 1 a composite of mortality and morbidity, but morbidity itself is a composite. 2 So within the morbidity domain, 3 that's constructed as an any one or more yes or 4 It's a composite end point like you'd have 5 no. 6 in a clinical trial if the patient died or had 7 a heart attack, yes or no. So it's any one or more of the 8 morbidities that occurred. So there's 9 no 10 explicit waiting there, it's just basically any 11 one or more happened. 12 In terms of the weighting, sure --13 MEMBER DUTTON: So if one of those 14 occurs ten times more often than the other three, for instance the prolonged ventilation, 15 16 does that end up driving this method? 17 MR. O'BRIEN: No, it's a great The end points that occur more 18 question. 19 frequently will tend to have a little bit more statistical influence. 20 21 But when we've looked at you know, **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701

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different ways of weighting the individual morbidity components, and looked at item total correlations to kind of assess to what extent are they being driven by individual items, this was the approach that a surgeon committee felt you know, although it doesn't have explicit weighting, they looked at kind of the implicit weightings that went along with having it's any or none.

And you know, although certain items did contribute more, for example the prolonged ventilation, it had face validity with the panel that developed the measure. So that's an inherit feature of any kind of composite, and I think you've identified you know, one of the features of the approach.

But it was an approach that was very transparent during the development process. And had to face validity with the development team and stakeholders.

CO-CHAIR GUNNAR: Dr. Fleisher.

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1	CO-CHAIR FLEISHER: I'm still a
2	little confused. Can a patient for the
3	composite score be counted twice or once for
4	in other words, if a patient had morbidity and
5	mortality, it's back to yours, does that count
6	once or twice against them, the hospital in the
7	composite score?
8	MR. O'BRIEN: Well I mean, there
9	are two different domains. So each domain is
10	what's the percentage each hospital has
11	estimated percentage of patients that die,
12	okay. Now you're also going to estimate the
13	percentage of patients that experience
14	morbidity. Yes the same patient can
15	contribute information to the estimation of
16	both of those by percentages.
17	CO-CHAIR FLEISHER: But in other
18	words, I'm basically asking almost a survival
19	curve of death or what percentage of patients
20	have death or am I at the composite score, death
21	or a complication, is the composite score or

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1	really doesn't have an accurate score?
2	MR. O'BRIEN: On the morbidity
3	there's not so the on the morbidity
4	domain, that's the composite of did any one or
5	more of these things happen. In that list,
6	there's five things on the list, death is not
7	one of the things on that list.
8	DR. JACOBS: In other words this is
9	not an estimate of morbidity in survivors, it's
10	an estimate of all patients that have
11	experienced morbidity. And I think, if for
12	face validity, it makes sense to me because one
13	can die after a CABG with no complications, or
14	an aortic valve replacement with no
15	complications. Or one can die with a stroke
16	and renal failure and prolonged intubation and
17	five reoperations.
18	So I think those are different forms
19	of death. It still ends up in a horrible
20	situation with death. But it makes sense to
21	track both of those things. But it's clearly
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1	not the second domain is not morbidity in	
2	survivors, it's morbidity in anybody.	
3	CO-CHAIR FLEISHER: So just, is	
4	there any measure that says how many people	
5	walked out of the hospital with zero compli	
6	walked out of that hospital without having a	
7	complication or die?	
8	DR. JACOBS: I don't think that's	
9	the that would be easy enough for us to do,	
10	but I think that's not the way this composite	
11	is structured.	
12	CO-CHAIR FLEISHER: But that's not	
13	okay, that's, that was my, okay.	
14	CO-CHAIR GUNNAR: So let me frame	
15	it a little bit, because it's the way that	
16	plays in the it comes into play would be in	
17	the fringes, right. If I'm a two almost one in	
18	mortality, I am a two almost one in morbidity,	
19	I could be some two-two, but I could be a one	
20	statistically overall because the two added	
21	together would put me in the lowest category.	

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1	True or not true?
2	DR. JACOBS: I think that's a Sean
3	O'Brien question.
4	MR. O'BRIEN: That sounds true.
5	That just
6	CO-CHAIR GUNNAR: It's critical
7	math, you can't do that.
8	DR. JACOBS: I'm a big picture guy.
9	If you want to get to the mathematical
10	statistics, you've got to talk to the PhD over
11	here. I don't want him doing any operations,
12	and I certainly don't want to be talking about
13	statistics.
14	MR.O'BRIEN: I just say as maybe as
15	an aside, STS does report a measure that's a
16	positive six items, which is any of those four
17	measures of morbidity or mortality where you
18	know, the word composite can have different
19	meanings and be confusing.
20	So here we're talking about a
21	composite where we're taking a domain score
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1 that exists for mortality, a domain score each participant gets a score for morbidity, and 2 we're basically averaging them together in a --3 you know after standardizing them, they kind of 4 have a similar variance as denotes under the 5 6 they're qoinq age, and to get averaged 7 together. Like you know, one goes into the other, divided two -- separately, separately. 8 CO-CHAIR GUNNAR: But each domain 9 10 is rated separately. The mortality domain, the morbidity domain and then the composite 11 12 domain. 13 The composite is an MR. O'BRIEN: average of the two domain specific scores, or 14 it's literally an average, one plus the other 15 16 divided by two. 17 DR. JACOBS: That's the math that I was getting at. 18 19 MR. O'BRIEN: And just to you know, 20 thev're all estimated together in a biq 21 multi-variant model where all the end points **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

1	are analyzed and estimated simultaneously, and
2	combined in fancy ways. But the math part is
3	simple, it's just what we're estimating.
4	CO-CHAIR GUNNAR: So the second
5	part of the question, the question we asked on
6	the phone call, which you were great to take,
7	had to do with volume number as to the
8	application of this composite with regard to
9	AVR and AVR CABG.
10	And they have given you cite that
11	report, CABG numbers are significant, right?
12	They're almost they're triple digited, it's
13	100 I think is the average that
14	DR. JACOBS: Yes, most common
15	operation we do.
16	CO-CHAIR GUNNAR: Right, it's a
17	common operation. The question here from a
18	statistical point of view, and again, Sean,
19	what you know, when we're looking at the average
20	number of AVRs per facility at less than 30,
21	it's 28-30. And the number of AVR CABGs coming
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342 1 in around 18, and not all facilities reporting. So the -- it's interesting, there's 2 a group that does AVRs, and then there's a group 3 that does not do, small number, that actually 4 doesn't do AVR CABG. The question is --5 6 DR. JACOBS: You mean publically 7 report? Publically --CO-CHAIR GUNNAR: 8 no, well reported to your registry. 9 10 DR. JACOBS: Well, so I don't mean to interrupt, but --11 No, please do. 12 CO-CHAIR GUNNAR: DR. JACOBS: First of all, anybody 13 14 who does any of these and participates in the data base reports all of these operations. 15 The 16 STS data base works by every cardiac operation 17 being done at a hospital is reported. And that's verified at the audit process 18 to 19 comparison with the operative logs. So if a center is performing AVRs or 20 21 performing AVR CABGs, those show up in the data **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W.

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1	base. That's one fact. Another fact is that
2	the sample size issue is a real sample size
3	issue. Because even though AVR and AVR CABG
4	are you know, both within the top five
5	operations done by adult cardiac surgeons by
6	volume, to get a significant sample size to
7	get a large enough sample size in order to truly
8	differentiate outliers in a meaningful
9	fashion, we aggregate three years of data for
10	this composite as opposed to one year of data
11	for the CABG composite. That's the way we
12	address the small sample size.
13	CO-CHAIR GUNNAR: So just to finish
14	up that, just the point I was trying to make was
15	that you had 970 in the last reporting cycle
16	that reported having in of your reporting
17	sites, 970 reported doing an AVR, but only 933,
18	if I have the numbers correct, reported doing
19	an AVR CABG. That was the point I was making.
20	Is that not all sites did an AVR
21	CABG. 40 more sites, or rough 30 some, did an

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1	AVR, but not a combined procedure.	
2	DR. JACOBS: Yes, I think that's	
3	believable.	
4	CO-CHAIR GUNNAR: Right.	
5	DR. JACOBS: Some smaller programs	
6	may not have a higher claim of valve replacement	
7	surgery, and certainly of valve CABGs. They	
8	may just be focusing on you know CABGs alone,	
9	and referring those other more complex	
10	operations to other hospitals nearby.	
11	CO-CHAIR GUNNAR: So I'm getting	
12	beyond the questions, because I hear Reva in my	
13	left ear. And so we want to go and vote is	
14	there any more discussion on this first, just	
15	the rationale support, the health outcome?	
16	And so, let's and this goes to	
17	remember, we're voting on both of these	
18	together.	
19	CO-CHAIR FLEISHER: Well actually,	
20	you probably need to separately vote, or can you	
21		
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1	CO-CHAIR GUNNAR: Yes, how can we
2	do this? No, no, no, we're just. Can we do 61
3	and 63 Helen, together? That was the question.
4	DR. BURSTIN: Yes, I mean if people
5	feel comfortable that whatever they would vote
6	on, would be for the other, let's just go ahead
7	and we'll put them together in the report like
8	that.
9	CO-CHAIR GUNNAR: The developers
10	are fine with that, so.
11	DR. BURSTIN: Okay, that sounds
12	fine.
13	DR. JACOBS: We're fine with that.
14	CO-CHAIR GUNNAR: Okay, so we're
15	voting on both 61 and 63, and go ahead.
16	MR. SANCHEZ: Voting will now begin
17	for 1A evidence. 1 is for yes, 2 is for no.
18	The timer starts now.
19	CO-CHAIR GUNNAR: Yes, Dr. Grover
20	is abstaining, and we lost Dr. Saigal and Dr.
21	Asher. So we're at 21.
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1	MR. SANCHEZ: 21 for yes. Zero for	
2	no.	
3	CO-CHAIR GUNNAR: So next, and I	
4	think Dr. Reede do you want to talk about	
5	well, okay go yes, please.	
6	MEMBER REEDE: For the performance	
7	gap, the issue looking at this particular	
8	measure was that some of the lower performing	
9	organizations didn't show up in the data. So	
10	there's still opportunity to improve there as	
11	a performance gap.	
12	DR. JACOBS: I think if we look at	
13	the distribution of star ratings for first of	
14	all the AVR composite, we have a distribution	
15	of about three percent one star, 90 percent two	
16	star, and six or seven percent three star. So	
17	that's all comers.	
18	Now unlike the isolated CABG	
19	composite where we have a very nice spectrum of	
20	publically reporting one star, two star and	
21	three star programs, I think as of now, the AVR	
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1	public reporting is a little bit more skewed.
2	But that I think is in part because it's a newer
3	measure that we've just started to publically
4	report, and it's a few years behind on the
5	adoption curve of public reporting.
6	So I think ultimately, the same
7	phenomenon will happen with a little lag to what
8	happened with CABG. We'll continue to get more
9	and more sites to publically report, and then
10	the publically reported website will have a
11	distribution very similar to what's the
12	distribution in the actual data base.
13	Does that answer your question?
14	Thanks.
15	CO-CHAIR GUNNAR: Yes, I mean I
16	might take a different perspective, which is
17	the entire composite measure is put together to
18	establish a performance gap with regard to the
19	outcomes, the collective outcomes, correct?
20	Because before the numbers were so
21	small on mortality, and each and every one of
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1	the individual morbidity fields, that in fact
2	the cumulative aggregate is meant to establish
3	a performance gap. The question about how
4	that's applied is really a threshold that is
5	made is determined by the society, not and
6	your aspirational goal was that everybody be a
7	two or three star at the get go.
8	So the measure really I don't think
9	is about or this domain of performance gap
10	is actually I think, for me is high to moderate,
11	because it actually expands on the cumulation
12	of a small points of light, right?
13	So let me see if I've got that
14	correct. Do I have that correct Reva? The
15	very first thing?
16	MS. WINKLER: Yes, that's fine. I
17	mean one of the advantages to composites, is
18	that it does give you an opportunity to look at
19	the data differently and perhaps open up
20	variation in gaps that didn't exist in the
21	individual components.

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CO-CHAIR GUNNAR: Any 1 other discussion? So I think we can vote. 2 MR. SANCHEZ: Voting will now begin 3 for 1B, performance gap. 1 is high, 2 is 4 moderate, 3 is low, 4 is insufficient. Timer 5 6 starts now. 7 CO-CHAIR GUNNAR: Vote again. We need one more. Oh, there it is, okay. 8 We have 12 high, 9 MR. SANCHEZ: 10 eight moderate, one low, zero insufficient. CO-CHAIR GUNNAR: So I think we've 11 -- I think this has been answered in its 12 components, but I think -- any other discussion 13 on the priority of this? I think we can go 14 ahead and vote. 15 MR. SANCHEZ: Voting now will begin 16 17 for 1C, high priority. 1 is high, 2 is moderate, 3 is low, 4 is insufficient. 18 The 19 timer starts now. 20 21 high, zero moderate, zero low, zero insufficient. 21 **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701

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1	MS. WINKLER: I just want to point
2	out that because this is a composite measure,
3	there's an additional category criteria
4	under 1, and that is for composite
5	specifically. And that really speaks to is the
6	composite structuring, what the components
7	that are put together, are they does it make
8	sense? Is it logical? Does the quality
9	construct have meaning as opposed to just sort
10	of random throwing things into the pot.
11	CO-CHAIR GUNNAR: So my
12	perspective is, is that it in fact is thoughtful
13	and speaks to the significant outcomes that one
14	would look to in evaluating the overall quality
15	of the surgical program under the evaluation.
16	My issue here and what I have
17	mentioned numerous times I think, is the issue
18	regarding the amount of noise that can exist
19	when you have low numbers where an event can
20	cause a big swing in impact on your overall
21	score. And you know, that gets smoothed away

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And fundamentally I don't know why 3 this wouldn't all be in one. It's about the 4 program, and why shouldn't AVR or AVR CABG and 5 6 CABG just be put together. That's the first 7 question. And then the second question is, and 8 we were reassured on the phone about -- during 9 10 a conference call, about the movement between 11 one category to the next, that has -- but the is relatively 12 data on that small, for particularly AVR CABG. 13 So I don't know that there's, from 14 15 my impression, that there's great data to argue 16 against the thought that I could in fact in one 17 cycle be in reporting if I was a lone small program, that I could do my 18 or 30 AVR, AVR 18 19 mortality or morbidity CABGs, have no 20 associated with that on one cycle, yet the next 21 cycle be absolutely terrible but really have

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substantially when you have the higher CABG numbers.

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1	the same program, and no difference other then
2	the fact that I had some events accumulate in
3	one reporting period because I'm a low number.
4	So I'll stop there.
5	DR. JACOBS: Yes, so I'll try to
6	address a few of these points. I think the
7	issue of sample size is an important issue, and
8	that is the reason why this is reported over a
9	three year time interval instead of a one year
10	time interval. And that is why confidence
11	intervals are use.
12	And with the confidence intervals
13	that we used and with the three year reporting
14	interval, the sample size is large enough that
15	we can say that there's a 97 and a half percent
16	Bayesian probability that a one star provider
17	or a three star provider is different from a two
18	star provider. And that's regardless of
19	programmatic volume when one uses three years.
20	Second, your discussion about
21	creating a composite of composites. And
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1	that's the next step in all of this. This is
2	an exercise in building blocks.
3	So first we created all of the
4	individual measures and put them through NQF
5	endorsement. That allowed us to then create
6	composite scores for each of the lesions.
7	The next step in this is a composite
8	of composites where one can have a programmatic
9	composite that would take into account mitral
10	valve surgery, aortic valve surgery, AVR CABG
11	and CABG. And that composite of composites
12	would have the added value of potentially
13	creating sample size large enough for
14	individual provider public reporting to
15	complement the programmatic public reporting.
16	MR. SHAHIAN: And this is Dave
17	Shahian. The only thing I would add to that is
18	that although we are building that composite of
19	composites, in fact patients are having one
20	procedure.
21	So I think from a patient
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1	perspective, they not only want the overall
2	assessment of a program, but they want to know
3	how did that program do with my planned
4	procedure? Because there are in fact programs
5	that do proportionally a lot more valve surgery
6	for example.
7	And it is not necessarily true that
8	a program that excels in CABG surgery is going
9	to excel in valve surgery. So the ability to
10	drill down to the level of individual
11	procedures we think is important for the
12	consumer.
13	DR. JACOBS: Yes, there's
14	published literature that shows that a program
15	that's excellent with operation A in cardiac
16	surgery, may or not be excellent with operation
17	B. And I think Dave's point is a good one, that
18	an individual patient is going to be having an
19	aortic valve replacement, and he wants to go to
20	a place that he knows is good at that operation.
21	CO-CHAIR GUNNAR: I just want to

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1	make sure we touched on all the arguments. Any	
2	Dr. Reede anything else from you?	
3	Oh, I was just asking if I just	
4	wanted to make sure we touched on all of the	
5	we put all of the arguments out there.	
6	MEMBER REEDE: We did, thank you.	
7	CO-CHAIR GUNNAR: Any Dr.	
8	Temple?	
9	MEMBER TEMPLE: Just sort of a I	
10	guess it's a phase validity and it fits this	
11	composite thing, it structure area. So as	
12	a surgeon it seems like if you have a if you	
13	have two complications, your score is the same	
14	as you have with death and a complication. Is	
15	that right kind of for the composite?	
16	MR. O'BRIEN: No, so it doesn't	
17	work out that way.	
18	MEMBER TEMPLE: Okay, good.	
19	MR. O'BRIEN: So in terms of the	
20	weighting between mortality and morbidity	
21	endpoints, there's not any really great	
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1 rational objective way to determine the 2 weighting.

MEMBER TEMPLE: Right.

MR. O'BRIEN: So the approach that 4 was adopted by the committee, was to take the 5 6 two domains and weigh them equally, recognizing that we wanted to avoid a situation where one 7 of the two domains dominated because of having 8 one of the scores that has a very large standard 9 10 deviation. You take a measure that has a very 11 large standard deviation and average it with something that has very little variation, the 12 overall average is being dominated by the one 13 14 with the larger variation.

So they were first standardized to have the same standard deviation, then averaged together so you could say that they were being weighted equally. Of course when you do that, those weights have implications and it turns out that so, you know if you said what's the impact on that score if I increased my avoidance

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1 morbidity by one percentage point, how much is that going to change my composite score? Would 2 that change it as much improving my mortality 3 by one percentage point? 4 And the answer is no. The impact of 5 improving on mortality has a substantially 6 7 larger impact improving the overall on composite then the one percentage 8 ___ the equivalent percentage point difference 9 in 10 morbidity. And I don't recall the exact numbers, but it's a ratio that I can pull off 11 12 this. 13 No, no. MEMBER TEMPLE: I just --14 when I read, I just wanted to make sure that that was the case. 15 16 CO-CHAIR GUNNAR: Any other 17 discussion? Get ready to vote. MR. SANCHEZ: Voting will now begin 18 19 for 1D, composite. 1 for high, 2 for moderate, 3 for low, 4 for insufficient. Timer starts 20 21 now. **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701

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1	We have 13 for high, 8 for moderate,	
2	zero for low, zero for insufficient.	
3	CO-CHAIR GUNNAR: So we'll run	
4	through reliability and by validity and	
5	feasibility fairly quickly. We've been	
6	through this before. If there's any	
7	discussion, just stop and raise your hand.	
8	So reliability? Time for a vote.	
9	MR. SANCHEZ: Voting will now begin	
10	for 2A, reliability. 1 for high, 2 for	
11	moderate, 3 for low, 4 for insufficient. The	
12	timer starts now.	
13	We have 17 for high, four for	
14	moderate, zero for low, zero for insufficient.	
15	CO-CHAIR GUNNAR: Validity, any	
16	discussion? None, go for vote.	
17	MR. SANCHEZ: Voting will now begin	
18	for 2B, validity. 1 is for high, 2 for	
19	moderate, 3 for low, 4 for insufficient. Timer	
20	starts now.	
21	Still waiting on two votes, so if	
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1	you could please resubmit.	
2	CO-CHAIR GUNNAR: Yes, vote again.	
3	We might have missed Dr. Temple stepped out,	
4	so.	
5	MR. SANCHEZ: We have 15 for high,	
6	five for moderate, zero for low, zero for	
7	insufficient.	
8	MS. WINKLER: And because it's a	
9	composite, there's one more criteria under	
10	scientific acceptability. And this is really	
11	empirical analysis that supports the composite	
12	construction. Really responds to some of your	
13	questions about the relative frequencies of the	
14	various contribution to the composite.	
15	CO-CHAIR GUNNAR: So there are two	
16	publications, one for each of these measures in	
17	the Annals of Thoracic Surgery, which is a	
18	society, STS journal, that walks through the	
19	construction and the validation of the	
20	composite measure for both of those. For both	
21	61 and 63.	
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1	There is no other evidence outside
2	of that to my knowledge, other than the
3	extensive amount of evidence that exists for
4	each of the components.
5	DR. JACOBS: Yes, I would agree
6	with that. I would just clarify by saying that
7	the Annals of Thoracic Surgery is a free
8	institution from STS. They're separate
9	institutions. STS uses the Annals as their
10	official journal, as does another surgical
11	organization, the Southern Thoracic Surgical
12	Association.
13	And papers that are presented at the
14	STS meeting are submitted for publication to
15	the Annals, but not all of them actually get
16	published. They go through a separate, very
17	rigorous peer review process, which is
18	completely separate from STS.
19	So these papers have certainly gone
20	through a separate peer review process that's
21	outside the scope of the Society of Thoracic
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1	Surgeons. And it is correct that these are the
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2	papers that have been used to publish and
3	provide the scientific basis of these
4	composites, because they are new tools, and
5	these are the first publications about them.
6	But I think they provide ample evidence.
7	CO-CHAIR GUNNAR: Dr. Reede, any
8	additional comments?
9	MEMBER REEDE: Just that
10	correlation was done really at the participant
11	level, so that they looked at on the Pearson and
12	the Spearman, they did that sort of analysis of
13	the correlation between the components.
14	MR. O'BRIEN: I'm sorry, I didn't
15	hear the end of your comment, and I'd like to
16	respond if it's something I should have picked
17	up.
18	MEMBER REEDE: Oh, there's really
19	nothing to respond. It's just saying that you
20	actually did the correlation between the
21	different measures to bring the composite to
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362 1 to validate the composite. MR. GUNNAR: Any other discussion? 2 Then we can go ahead and vote on 2D. 3 MR. SANCHEZ: Voting will now begin 4 for 2D, composite. 1 for high, 2 for moderate, 5 3 for low, 4 for insufficient. Timer starts 6 7 now. We have 13 high, seven moderate, 8 9 zero low, zero insufficient. 10 CO-CHAIR GUNNAR: Feasibility, any further discussion? Ready for a vote. 11 MR. SANCHEZ: Voting now will begin 12 for criteria 3, feasibility. 1 for high, 2 for 13 moderate, 3 for low, 4 for insufficient. 14 Timer 15 starts now. CO-CHAIR GUNNAR: We need -- are we 16 17 good? Okay. MR. SANCHEZ: We've got 12 for 18 19 high, eight for moderate, three for low, four 20 -- I'm sorry, zero for low, zero insufficient. 21 CO-CHAIR GUNNAR: And usability **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

1 and use. Any further discussion? Let's carry 2 on, vote. MR. SANCHEZ: Voting will now begin 3 for criteria 4, usability and use. 1 for high, 4 2 for moderate, 3 for low, 4 for insufficient 5 6 information. Timer starts now. 7 We have 17 for high, three for moderate, zero for low, zero for insufficient 8 information. 9 10 CO-CHAIR GUNNAR: And SO the 11 overall suitability for endorsement, any 12 further discussion on these two measures? We'll vote on these collectively then. 13 MR. SANCHEZ: Voting will now begin 14 for overall suitability for endorsement. 1 15 for yes, 2 for no. Timer starts now. 16 17 CO-CHAIR GUNNAR: Please re-vote. MR. SANCHEZ: 20 for yes, zero for 18 19 no. 20 CO-CHAIR GUNNAR: So resounding yes for both 61 and 63. 21 **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

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1	CO-CHAIR FLEISHER: Okay, we're
2	going to go to 0734, 0113 and 0456. If we can
3	get this done in 15 minutes.
4	DR. JACOBS: Sure.
5	CO-CHAIR FLEISHER: And does
6	anybody have an objection to doing all three
7	together, the databases? We can vote
8	independently for endorsement if anybody feels
9	they need to be separated out.
10	DR. JACOBS: All right, so what I'd
11	like to do first is talk a little about the
12	penetration of each of these databases. So
13	these three measures successively are
14	participation in national database for
15	pediatric congenital heart surgery, then for
16	adult cardiac surgery and then for general
17	thoracic surgery.
18	We know for pediatric cardiac
19	surgery, that there's 125 programs in the
20	United States that do pediatric heart surgery.
21	And currently 108, or 86 percent of those
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1 programs, participate in the STS database. 2 Those 86 percent of programs represent over 90 percent of the cases because 3 all of the large volume programs participate. 4 volume 14 percent of the lower 5 However, 6 participate the STS programs do not in 7 database. In general thoracic surgery, 8 well first, in adult cardiac surgery, we've 9 10 talked about multiple times already, that we have 90 to 95 percent of the programs and over 11 12 95 percent of the operations. The programs that we don't have in the majority are VA 13 14 hospitals, military hospitals or Kaiser 15 hospitals. 16 And finally, in general thoracic 17 surgery, the denominator is a little bit more allusive, because general thoracic surgery is 18 19 performed by thoracic surgeons and also by 20 general surgeons. The STS database has 21 welcomed the participation of general surgeons

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1	in the thoracic database along with board	
2	certified thoracic surgeons.	
3	And there's no actual source of data	
4	that can provide a true denominator, but my best	
5	estimate of penetrants of the thoracic database	
6	would be that we capture 30 to 40 percent of the	
7	thoracic surgery done in the country.	
8	CO-CHAIR FLEISHER: So	
9	DR. JACOBS: The only other thing I	
10	would say about these measures, and the topped	
11	out concept, because clearly at the concept of	
12	topped out's going to come up at least for the	
13	adult cardiac one, and maybe for the pediatric	
14	one, although I think at 86 percent is probably	
15	not topped out.	
16	One should not underestimate the	
17	value of having this measure exist when one goes	
18	to meet with a middle manger in the hospital and	
19	asks for allocation of resources to support the	
20	existence of this database and the personnel to	
21	collect the data for the database.	

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1	I've had to go to hospital
2	administrators in my own hospital and in other
3	hospitals and explain to them why this is
4	important. And when we can say that it's an NQF
5	endorse measure simply to participate, that is
6	a tool that gets a middle manager to authorize
7	the writing of the check to pay for the salary
8	of the person to enter the data. So
9	CO-CHAIR FLEISHER: So the key
10	question is, you have multiple outcome, which
11	are endorsed by NQF, and then which could argue
12	for the same thing. So why do you need the
13	database itself?
14	DR. JACOBS: So well I think that
15	question has a different answer for adult
16	cardiac, general thoracic and congenital.
17	Because in congenital there's really only two
18	NQF endorsed measures. This and reporting
19	mortality stratified by STAT categories.
20	Those are the only two congenital measures that
21	are endorsed that involve the STS database.
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1	In thoracic there's somewhere
2	between that and what we have for adult cardiac.
3	And I think it makes a cleaner argument with the
4	hospital administrator to have these measures
5	there then just to say we need this to capture
6	the data for this endpoint measure. Sometimes
7	the act of participation being an endorsed
8	measure just gets the guy to write the check
9	easier.
10	And there's data that shows that the
11	very act of participation in and of itself
12	improves quality. And we've published that.
13	There are papers that have published that show
14	that database participation alone leads to
15	improvement in quality.
16	CO-CHAIR FLEISHER: Why don't
17	the question is going to come up whether we're
18	going to have sufficient time. So why don't
19	while they're here, we may have to continue this
20	on the call.
21	DR. JACOBS: Okay.
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1	CO-CHAIR FLEISHER: But why don't
2	we use the next, if it's okay, 10 minutes, to
3	have a discussion with the developers while
4	we're all in the room, which is very different
5	then on the call potentially. And then we
6	could potentially vote on the call about this.
7	MEMBER HANDY: Well I just wanted
8	to make the point that many of the foregoing
9	outcomes that we had talked about, especially
10	with regard to the burden of reporting, is
11	predicated on the presence of these databases.
12	Without these databases are essential to the
13	execution of those measures.
14	CO-CHAIR FLEISHER: True, but do
15	you need the databases to be endorsed to
16	actually there are other databases utilized
17	for outcomes, correct? In which the database
18	participation is not endorsed. So that's not
19	a definite linkage to my knowledge. Helen?
20	DR. BURSTIN: That's correct. I
21	will say these issues, these measures have come
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1	up all the way to the level of the NQF Board of
2	Directors because this became an issue a couple
3	of years ago. Actually right around the time
4	Jeff and his group submitted the pediatric
5	surgery one.
6	We also had one from ACR, on
7	participation and radiation dose registry as
8	well. Those are the two new ones. And there
9	was a sense by the board, and we've really been
10	trying to follow this very much along the lines
11	of the comment I read earlier from the evidence
12	task force report, that you know, these kind of
13	structural measures should really only be there
14	if you in fact don't have other measures that
15	get at the outcomes.
16	And so I think you know, the
17	question of participation and had drive
18	participation and how it relates to the use of
19	an endorsed measure, I think is something I
20	would ask you to consider, fully noting I'll
21	point out last, it just seems like there's one

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of these a week, and there is. 1 just a few weeks ago, 2 But the committee, the safety committee, in fact did 3 put forward the endorsement of the 4 not and radiation safety 5 radiology, measure. Feeling like it was time to move forward to get 6 7 to measures of radiation safety rather than measures of participation and registry. 8 I get that right around radiation safety. 9 10 CO-CHAIR FLEISHER: So, Robert? 11 MEMBER CIMA: I just wanted to ask and get a clarification from the developer 12 because we're grouping these. I know on the 13 pediatric one, there isn't a national one. 14 But 15 in the other ones you define national, regional and local participation. 16 So how do we define that? 17 T mean how is that distinguished then if you know, a 18 19 new -- if the State of New Hampshire decided to form their own regional -- I just wanted to --20 21 I know in the pediatric one such a thing doesn't

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exist, but how do you define these? And so it 1 doesn't necessary need to be STS then, it could 2 be some other regional thing. 3 DR. JACOBS: I think it's designed 4 creation of a multi-institutional by the 5 6 registry with broad enough participation that the registry would be useful for benchmarking 7 performance improvement, quality improvement 8 and public reporting. 9 10 So it doesn't have to be the STS database that can provide those functions. 11 But a certain number of institutions would be 12 necessary in order to be able to provide those 13 functions. I don't think there's any standard 14 definition of what that number is. I think 15 16 it's probably a little like pornography, you 17 know it when you see it. MEMBER CIMA: And how about for 18 19 specifications of a measure? We need to know 20 it. 21 DR. JACOBS: But I don't think --**NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

1	that's a random number. I don't think anybody
2	could say that a multi-institutional database
3	is useful when it has 10 or 11 or 14
4	participants. I think that number doesn't
5	exist. There would be no database to come up
6	with it.
7	I understand that we need numbers to
8	endorse things here whenever they exist. But
9	the best you can do there is have a panel of
10	experts look at the database and say does this
11	database really meet the requirements of being
12	able to perform these functions.
13	CO-CHAIR FLEISHER: Thank you.
14	We're not going to vote today and we're probably
15	going to have to take these separately on the
16	call. Rick?
17	MEMBER DUTTON: Two quick
18	questions. The missing practices in the adult
19	registry are systematically missing in the
20	DoD/VA. Do they have a registry?
21	DR. JACOBS: The VA does have an
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1	adult cardiac registry. Dr. Grover could
2	speak to that because he was one of the founders
3	and I mean he kind of created that ball game.
4	So yes, they do have their own.
5	MEMBER DUTTON: And is the Northern
6	New England Collaborative still functioning?
7	And is that another competing registry? Or not
8	competing, but collaborative registry?
9	DR. JACOBS: No, I honestly don't
10	know the level of function of the Northern New
11	England Registry right now myself. I couldn't
12	comment on that. Maybe Dr. Grover or Dr.
13	Shahian could.
14	MEMBER DUTTON: And then the second
15	question, how many of these registries of these
16	three have been nominated as QCDRs?
17	DR. JACOBS: The adult cardiac one.
18	CO-CHAIR FLEISHER: A.J.?
19	MEMBER YATES: Yes, and to follow
20	up that question, and I had brought this up in
21	the workgroup. The repository of this data is
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1 going to be STS. I mean you're going to the be the steward of this measure. 2 So the question is can you leave 3 room for the reporting by VA hospitals that they 4 are participating in the VA registry. Do you 5 6 leave room for perhaps hospital systems that 7 choose not to pay the money to belong to STS, but run their own registry, such as the Kaiser 8 system or something to that effect? And I have 9 10 a follow up question. Well I think the VA 11 DR. JACOBS: database would meet this requirement. 12 13 MEMBER YATES: But will you leave 14 room for them to report to STS so that if you're the steward of this -- who keeps score, is the 15 16 question. I don't understand 17 DR. JACOBS: what you mean by leave room. 18 19 MEMBER YATES: Because when we talked about this on the conference call, the 20 21 -- being involved with the STS registry is **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

1	adjudged by the fact that people send in their
2	scores. That's how you know people are
3	involved.
4	DR. JACOBS: Right.
5	MEMBER YATES: But there isn't some
6	questionnaire that goes out to the universe of
7	hospitals doing cardiac, thoracic and
8	pediatric surgery cardiac surgery, asking
9	them if they belong to a registry.
10	DR. JACOBS: Correct. I don't
11	think that that exists. I think that in
12	MEMBER YATES: It's self defining
13	that it has to be your registry if it's only
14	recorded by them putting it out.
15	DR. JACOBS: No, I don't think so.
16	I think that the VA registry could similarly say
17	that this is a list of hospitals that
18	participate in our registry and meet this
19	requirement should they choose to do so. And
20	that would be
21	MEMBER YATES: But they could be
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1	self-defining then is what I'm saying.	
2	DR. JACOBS: Sure.	
3	CO-CHAIR FLEISHER: Rick did you	
4	have a comment that you think can help clarify?	
5	MEMBER DUTTON: Yes, specific to	
6	that, Dr. Yates, so the score would be kept by	
7	the user of the measure. So CMS would	
8	determine that DoD registry meets the	
9	requirements of this measure.	
10	MEMBER YATES: That would satisfy	
11	me. Because the way it's written	
12	DR. JACOBS: That's a good answer.	
13	MEMBER YATES: The way it's	
14	written, it's written as your group seeing that	
15	people have put in the data, then they get a	
16	score saying that they participate.	
17	CO-CHAIR FLEISHER: Thanks.	
18	Larry.	
19	MEMBER MOSS: So I understand that	
20	we're looking at all three of these measures	
21	together. I just want to make the comment that	
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1	I think the argument is most compelling on	
2	congenital heart surgery because there are not	
3	readily available outcome measures.	
4	And the participation outcome link	
5	is very, very strong. And so we have the most	
6	at stake to not authorize that one.	
7	DR. JACOBS: I would agree.	
8	CO-CHAIR FLEISHER: Great. We'll	
9	discuss that on the call. John?	
10	MEMBER HANDY: So I wanted to make	
11	a point about the general thoracic database	
12	which I had quoted some literature on	
13	yesterday, saying that it's actually not very	
14	representative of the national experience, and	
15	has far superior outcomes to the national	
16	experience. So it is linked to better	
17	outcomes.	
18	DR. JACOBS: Yes, you got that.	
19	CO-CHAIR GUNNAR: Yes, for that	
20	reason I wasn't going to push against including	
21	them three together. I think you have to look	
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1	at the thoracic one separately, it's a	
2	different animal.	
3	As far as the VA's concerned, I mean	
4	we were in fact, we were Dr. Grover can attest	
5	to the fact that we began before the STS, but	
6	they look remarkably similar somehow. And you	
7	can say the same about ACS and NSQIP.	
8	So I think Dr. Yates' point is well	
9	taken. Is this is about having a clinical	
10	database with certain features, the way I look	
11	at it, that answers certain questions and	
12	collects certain data.	
13	And from CMS' point of view, which	
14	is one of the things in a time frame, which is	
15	the other part of the discussion I would like	
16	to have at some point, you know, what that time	
17	frame would be, because in relationship to	
18	quality improvement, the closer you can get the	
19	time frame from the events that are occurring	
20	at the facility, the tighter-knit the afferent	
21	and efferent loop of this are.	

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1 So those are my points. CO-CHAIR FLEISHER: Great. 2 Ι think -- thank you for allowing us to defer the 3 vote, because I think it would take a lot more 4 But I think you've at least heard discussion. 5 6 some of the concerns and perhaps the two 7 individuals who are reviewing this, or three individuals that reviewed this, if you have 8 9 other questions based upon this, or who can help 10 define that even better on the call, would that 11 be acceptable that the reviewers continue a dialog with you? 12 13 DR. JACOBS: Absolutely. Yes, 14 feel free to email me. Jeffjacobs at msn.com. 15 Send me an email and we can set up a phone 16 conference and involve Dave Shahian if we 17 wanted to. Or we can have an email dialog or whatever's easier. 18 19 MS. WINKLER: You have a conference 20 call set up as a post-call for this committee to meet on June 9 from 2:00 to 4:00 Eastern. 21 So **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS

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381 1 we'll see what we can do. Although that agenda's starting to look kind of packed. 2 MEMBER JARRETT: It would be good 3 though if you could combine it with that 4 conference call. Ιf not, we'll do it 5 6 separately. The call is 7 CO-CHAIR FLEISHER: 8 June 10, which is Tuesday. Okay. Why don't we go back to the -- thank you. 9 10 DR. JACOBS: Yes, I just wanted to thank everybody in this room. This has been an 11 12 enjoyable experience. The work being done by surprisingly, I would say 13 every -- a а 14 surprisingly enjoyable experience. I think that the work that your 15 group is doing is commendable. It's been 16 17 educational for me. And it's been a little intellectually challenging, but I've enjoyed 18 19 And thank you. Thank you very much for it. 20 all your work. 21 CO-CHAIR FLEISHER: Thank you. **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701

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1 Where were we? MS. DEITZ: Back at home health. 2 DR. BURSTIN: Are you all with us 3 Debra? 4 Ms. Deitz: Yes. 5 6 DR. BURSTIN: Wonderful. Okay, we will relaunch. 7 MEMBER MARKMAN: So then the issue 8 is -- so I mean, this is an important measure 9 10 because it entails 11,000 plus agencies, and millions of wounds. So then the question is, 11 12 you know, I would submit to you that there is 13 a relationship. I don't know about the numerator and the denominator, but I would 14 submit to the committee --15 16 CO-CHAIR FLEISHER: Well the first 17 question is evidence. Is there anything further one evidence that you think, that's 18 19 specifications, the numerator. That's an 20 outcome. 21 MEMBER MARKMAN: Yes. **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W.

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1	CO-CHAIR FLEISHER: Is there a	
2	sufficient evidence? It's an outcome measure.	
3	MEMBER MARKMAN: I'm going to say	
4	yes.	
5	CO-CHAIR FLEISHER: Okay, so let's	
6	vote.	
7	MR. SANCHEZ: Voting will now begin	
8	for 1A, evidence. 1 is yes, 2 is no. Timer	
9	starts now.	
10	We have 19 for yes, three for no.	
11	CO-CHAIR FLEISHER: Next. We are	
12	in gap again.	
13	MEMBER MARKMAN: It looks like in	
14	terms of the reporting, over the last three	
15	years that have been reported, that there's	
16	actually been a decrease in the number of valid	
17	episodes that have been reported.	
18	CO-CHAIR FLEISHER: But is there	
19	variability in outcome between sites?	
20	MEMBER MARKHAM: I'm going to ask	
21	the developer.	
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384 1 CO-CHAIR FLEISHER: Okay, for Debra. Debra? 2 3 MS. DEITZ: Yes. CO-CHAIR FLEISHER: Are you on the 4 phone? 5 6 MS. DEITZ: Yes. 7 CO-CHAIR FLEISHER: Is there 8 variability on the -- between home health 9 groups and the outcome of this measure, the 10 results of this measure. MS. DEITZ: Yes, and I'm going to 11 12 let, Keziah Cook of Acumen address this. 13 MS. COOK: Can you guys hear Sure. 14 me? CO-CHAIR FLEISHER: 15 Yes. 16 MS. COOK: Okay. Apologies for 17 earlier, my line wasn't open. You know I think probably the easiest way to see the opportunity 18 19 for improvement in this measure is to look at 20 the interquartile range and the inter-decile 21 range. **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701

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1	So we're basically seeing in the
2	most recent year of data, the difference
3	between the 25th percentile and the 75th
4	percentile is about nine percentage points.
5	So agencies sort of you know, the best agencies,
6	nine percent more of their patients improve in
7	surgical wounds then at the worst agencies.
8	And it's even more extreme if we
9	compare the 10th percentile to the 90th
10	percentile. So that difference in the most
11	recent year is nearly 17 percentage points.
12	CO-CHAIR FLEISHER: Comments?
13	Questions? Let's vote.
14	MR. SANCHEZ: Voting will now begin
15	for 1B, performance gap. 1 is high, 2 is
16	moderate, 3 is low, 4 is insufficient. Timer
17	starts now.
18	CO-CHAIR FLEISHER: Are we okay?
19	MR. SANCHEZ: We have nine for
20	high, 11 for moderate, zero for low, zero for
21	insufficient.
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1	CO-CHAIR FLEISHER: Next.
2	Priority. Does this address an important
3	issue?
4	MEMBER MARKMAN: Yes.
5	CO-CHAIR FLEISHER: Okay. Any
6	comments? Questions?
7	MR. SANCHEZ: Voting will begin now
8	for 1C, high priority. 1 is high, 2 is
9	moderate, 3 is low, 4 is insufficient. Timer
10	starts now.
11	You have 13 for high, six for
12	moderate, one for low, zero for insufficient.
13	CO-CHAIR FLEISHER: Okay. Next,
14	
	it's not a composite. Reliability.
15	MEMBER MARKMAN: Now the issue is
16	the 13 percent improvement of only I mean
17	they're not doing decubitus and they're not
18	doing chronic diabetic wounds. These are
19	post-op infections. And they've only seen a 13
20	percent improvement in the wound. And I would
21	submit that
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1	MS. COOK: I'm sorry, this is
2	Keziah. Can I please clarify. I know this
3	came up earlier, and we actually have a typo on
4	our form.
5	CO-CHAIR FLEISHER: Yes, and can
6	you tell us specifications is really the key
7	issue because we know it's been tested. Go
8	ahead.
9	MS. COOK: Well, so first just to
10	clarify that 13 percent number. That sentence
11	should have read that it's 13 percent of
12	patients had a surgical wound as a find, and
13	their surgical wound was capable of
14	improvement.
15	So it's 13 percent of the patients
16	are eligible for the measure. And it's not
17	that only 13 percent improved. It's the 13
18	percent of home health patients overall are
19	these post-surgical patients who have a wound
20	that is able to improve. Many of them have
21	already fully epithelialized.

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1 MEMBER PITZEN: This is Collette, I just wanted to comment. So the average overall 2 performance rate is 89 percent, but probably 3 some room for improvement. 4 But just to clarify, that's on all 5 6 of those 4,000,000 home care visits. 13 7 percent of that large population had the opportunity to have -- that had a surgical wound 8 that needed healing. Right? 9 10 MS. COOK: That's not correct. 11 MEMBER PITZEN: No? 12 The population numbers MS. COOK: are calculated only for those patients who are 13 eligible for the measure. So there were about, 14 500,000 patients who 15 Ι think about were eligible for the measure. 16 17 MEMBER PITZEN: Correct. So that 88 percent rate 18 MS. COOK: 19 is off of those approximately 500 thousand patients. Not off of the 4,000,000 total home 20 21 health population. **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701 www.nealrgross.com

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1	MEMBER MARKMAN: So in the mix of	
2	wounds, how many were closed wounds, and how	
3	many were open wounds, do you know?	
4	CO-CHAIR FLEISHER: The question	
5	is to precisely specify how they actually did	
6	the calculation, and that's the question	
7	that's this question of reliability. Do you	
8	specify precisely?	
9	MS. COOK: Right. So the item	
10	itself actually actually Deb would you have	
11	the item in front of you? I'm still trying to	
12	get it pulled up.	
13	MS. DEITZ: Yes. And the response	
14	is zero, is that it's newly epithelialized.	
15	And that would be the one that would not be	
16	counted at start of care because they would not	
17	be eligible for improvement.	
18	And then 1 is fully granulating, 2	
19	is early partial granulation and 3 is not	
20	healing.	
21	MS. COOK: And so what the measure	
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1 actually captures is if those are sort of in order of severity, so if a patient moves from 2 having a wound that is not healing, which I 3 think was number 3, to a number 2, which -- Deb 4 which one was the number 2? 5 6 MS. DEITZ: Yes, early partial 7 granulation. MS. COOK: Okay, so if they move 8 from not healing to early partial granulation, 9 10 that's considered an improvement. Or if they 11 move from early partial granulation all the way fully epithelialized, 12 that's up to improvement. 13 14 So if they move upwards on a --15 Or if they no longer are MS. DEITZ: 16 considered to surgical have wound at а 17 discharge because it is fully epithelialized. CO-CHAIR FLEISHER: 18 Thank you. 19 Collette. 20 MEMBER PITZEN: I just wanted to 21 We talked about this during the comment. **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. WASHINGTON, D.C. 20005-3701

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1 workgroup call. Initially I was a little bit confused. I thought it was simply a binary 2 yes/no, did the wound improve? And I thought 3 maybe that was a bit subjective. 4 But it was clarified that they're 5 6 using WOCN guidelines for describing those wound characteristics. 7 So there is а standardized process for determining that 8 9 improvement. 10 MEMBER MARKMAN: Is there any time 11 lines that you have incorporated in these wound healing things? I mean is it -- do you take a 12 week, or? I mean when you took the episode, do 13 you define a time element? 14 So the typical episode 15 MS. COOK: 16 length is just under 60 days. So an episode is 17 the time period between the start of care and the patient's discharge. 18 That's typically 19 about 60 days. 20 Although, you know, there are some 21 patients who are in home care for longer than **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W.

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1	that. But the 60 days is the typical length.
2	CO-CHAIR FLEISHER: A.J.?
3	MEMBER YATES: Yes, I'm just going
4	to point out that we need to look at this from
5	the perspective of nursing, as opposed to the
6	perspective of say surgeons. And what we're
7	looking at is the value given to the patient and
8	the value given to the payers, that's primarily
9	CMS in this case, for the intervention of the
10	home health nurse.
11	And whether there was observation
12	performed, which is captured. And whether or
13	not there was some positive influence by the
14	nurse being there by keeping the dressing dry,
15	keeping the patient out of trouble, doing some
16	local wound care.
17	And that's going to be a different
18	level of reliability testing then, it's in a
19	sense observational, and I think that it's in
20	effect, a composite of all the things they do.
21	MEMBER MARKMAN: Yes. They
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393 1 actually do more than that. I mean you know. MEMBER YATES: Right. 2 MEMBER MARKMAN: I mean yes, it's 3 not just the wound. They don't just take care 4 of the wound. 5 Right. 6 MEMBER YATES: But this 7 one measure of that that I think is reasonably 8 measured this way. MEMBER MARKMAN: 9 Yes. 10 CO-CHAIR FLEISHER: Larissa? 11 MEMBER TEMPLE: One of my concerns 12 is when the VNS go out to assess wounds, it's often a different person who assess the wound 13 on the various episodes of the visits. And so 14 I was wondering if there is any data to show the 15 16 interobserver agreement? 17 I know that there are objective criteria, but I've certainly seen myself, 18 19 disagreement. And so I'm curious to know if 20 there are -- if they've ever looked at the 21 interobserver agreement, and/or if they've **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS

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1 seen a wound get better and then get worse and then look to see if it was the same assessor. 2 Because I think that's an important 3 piece of data because as clinicians we get these 4 reports that wounds are getting better. 5 We 6 feel a whole lot better when it's the same nurse versus different ones. And so I think that 7 that's an important piece to this. 8 And I'm curious to know this. 9 10 CO-CHAIR FLEISHER: That is 11 reliability, so can we get a comment from the developer on that aspect of reliability? 12 So it sounds like you're 13 MS. COOK: 14 talking about the item level reliability, 15 rather than the measure reliability. Deb, I know the item level reliability was done quite 16 17 some time ago, I think in the early 2000's. Do you happen to recall any of the findings? 18 Or 19 else we could round them up. MS. DEITZ: I need to locate the 20 21 item level reliability. I know that it was NEAL R. GROSS COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W.

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1	done. And I know that it was considered to be
2	acceptable as part of the development of the
3	OASIS data set. And it was felt to be
4	sufficiently reliable to be used in the data set
5	for measure quality measurement and payment.
6	CO-CHAIR FLEISHER: Kelsey?
7	MEMBER McCARTY: My question was
8	kind of similar, but I guess on the opposite
9	side. If you do have continuity of care, it's
10	the same person, is that person then reporting
11	on their own ability to heal the wound, and do
12	they have to acknowledge if that's not going
13	like what's the reliability there of getting,
14	I mean is the person there grading themselves
15	I guess is what I'm asking?
16	MS. DEITZ: And I would say that the
17	person is using very standardized criteria, the
18	WOCN criteria to assess the wound at the time
19	that the patient is discharged.
20	CO-CHAIR FLEISHER: Still, but you
21	don't have any reliability testing of that,
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1	correct?	
2	MS. DEITZ: We have reliability.	
3	This item was did undergo reliability	
4	testing, yes.	
5	CO-CHAIR FLEISHER: So yes.	
6	Collette?	
7	MEMBER PITZEN: Just maybe to help	
8	clarify. I'm assuming that you're capturing	
9	and storing that initial observation, and what	
10	that wound status was and then your discharge,	
11	that it's like two separate fields that you're	
12	comparing, would that be a correct assessment?	
13	MS. DEITZ: Yes.	
14	MEMBER KO: Would this type of	
15	ongoing measure require an audit rather than a	
16	reliability testing ten years ago, just like	
17	you know the 10 percent STS audit making sure	
18	that it's continues to be high quality?	
19	MEMBER DUTTON: I'll add a thought	
20	for the developers. This is 2014, we have	
21	flying cars. You can take a picture of the	
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1	wound at each visit and actually compare them	
2	independently then, or send them to the	
3	physician to compare.	
4	MEMBER MARKMAN: I definitely	
5	agree. I if that, you know, I mean we're	
6	taking the whole subjective and making it an	
7	objective, and many wound care clinics do that,	
8	it's standard of care.	
9	So that in terms of the reliability,	
10	a picture's worth a thousand words. And it's	
11	something that then can be communicated back to	
12	the physicians when the because these	
13	patients should be homebound. And if they	
14	can't see the doctor, then.	
15	CO-CHAIR FLEISHER: Yes, Amy?	
16	MEMBER MOYER: Isn't this in	
17	essence the chart that they're taking the data	
18	from? Isn't it the documentation of the care	
19	giver? I mean it sounds kind of like it's	
20	almost auditing the chart in some of the other	
21	measures we've looked at are I mean, I'm not	

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1 familiar with OASIS, but. It's basically a 2 DR. BURSTIN: database they're entering the information 3 But again, they are using standardized 4 into. approaches to do it. And again, I think that's 5 6 similar things could be said about many things. 7 We enter our own data in about our own care, so. CO-CHAIR FLEISHER: Collette? 8 9 MEMBER PITZEN: Just а 10 recommendation. Maybe you would want to 11 repeat that kind of on data element reliability studies in the future submissions, but. 12 13 CO-CHAIR FLEISHER: Any other 14 comments, Dr. Grover? I think the picture 15 MEMBER GROVER: aspect not only of reliability, but I would hope 16 17 that if you don't, I would hope you audit these. I mean just a sample audits and then you would 18 19 have a before and after picture to make it 20 objective. And make sure that the observer or 21 the treater that's taking care of this wound is **NEAL R. GROSS**

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1	verenting it equivately	
1	reporting it accurately.	
2	CO-CHAIR GUNNAR: Okay. We'll go	
3	ahead and vote.	
4	MR. SANCHEZ: Voting will now	
5	CO-CHAIR GUNNAR: Do we have	
6	we're close to do we have 18? We do not have	
7	our let's go reliability. Let's vote, I	
8	think we have 18, so go ahead.	
9	MR. SANCHEZ: Voting will now begin	
10	for 2A, reliability. 1 is for high, 2 for	
11	moderate, 3 for low, 4 for insufficient. Timer	
12	starts now.	
13	We have one for high, 11 for	
14	moderate, six for low, zero for insufficient.	
15	CO-CHAIR GUNNAR: We have quorum	
16	for the remainder. So validity.	
17	MEMBER MARKMAN: The last comments	
18	in terms of reliability and validity is that	
19	possibly the subjective aspect, but I think	
20	that from the standardization of the OASIS,	
21	that it's valid.	
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1	MS. WINKLER: Plus I think there's	
2	significant information testing information	
3	submitted in the submission that should cover	
4	it.	
5	MEMBER MARKMAN: Yes.	
6	CO-CHAIR GUNNAR: All right, any	
7	other comments? Let's vote.	
8	MR. SANCHEZ: Voting will now begin	
9	for 2B, validity. 1 is high, 2 moderate, 3 low,	
10	4 insufficient. Timer starts now.	
11	We have one for high, 12 for	
12	moderate, one for low, zero for insufficient.	
13	CO-CHAIR GUNNAR: Feasibility?	
14	MEMBER MARKMAN: Feasibility, it's	
15	electronic, it's mandated, so yes.	
16	CO-CHAIR GUNNAR: Any other	
17	comments? Let's vote.	
18	MR. SANCHEZ: Voting will now begin	
19	for criteria 3, feasibility. 1 is high, 2	
20	moderate, 3 is low, 4 is insufficient. Timer	
21	starts now.	
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401 Waiting on one more vote, please 1 resubmit. 2 CO-CHAIR GUNNAR: Please submit 3 again. Okay, we've got it. 4 MR. SANCHEZ: We have 13 high, five 5 6 moderate, zero low, zero insufficient. 7 CO-CHAIR GUNNAR: Usability and 8 use. MEMBER MARKMAN: Absolutely. 9 10 CO-CHAIR GUNNAR: It's been in place, doing the job. Go ahead, no other 11 12 discussion, we'll vote. 13 MR. SANCHEZ: Voting will now begin for criteria 4, usability and use. 1 is high, 14 2 is moderate, 3 is low, 4 is insufficient 15 16 information. Timer starts now. 17 CO-CHAIR GUNNAR: We need one more. Everybody up again. And vote. 18 There we go, 19 got it. 20 MR. SANCHEZ: 10 high, eight zero insufficient 21 moderate, low, zero **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS 1323 RHODE ISLAND AVE., N.W. (202) 234-4433 WASHINGTON, D.C. 20005-3701

402 information. 1 CO-CHAIR GUNNAR: So overall 2 suitability for endorsement. Any 3 other comments, discussion? Hearing none, time to 4 vote. 5 MR. SANCHEZ: Voting will now begin 6 for overall suitability for endorsement. 1 is 7 yes, 2 is no. The timer starts now. 8 CO-CHAIR GUNNAR: Everyone please 9 10 vote. There we go. MR. SANCHEZ: We have 17 yes. 11 One 12 no. 13 DR. BURSTIN: Thank you for everybody's patience 14 on the phone. We appreciate it, so it's passed. 15 16 MS. DEITZ: Thank you. 17 Thank you very much. MS. COOK: MS. WINKLER: Yes, operator, is 18 19 anybody on the line for public comment? 20 Anybody in the room want to make a comment? 21 Okay, it is time to make OPERATOR: **NEAL R. GROSS** COURT REPORTERS AND TRANSCRIBERS

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1	a public comment. Please press star then the	
2	number 1. There are no public comments at this	
3	time.	
4	MS. WINKLER: Okay. Next steps	
5	briefly before you all run to catch your planes,	
6	trains and whatevers. Thank you all very much	
7	for your time. We realize this is very	
8	intense.	
9	We do have a few left over	
10	stragglers that we will deal with. As I	
11	mentioned there was a there is a conference	
12	call scheduled, it should be on your calendars.	
13	Somehow I it's on mine too, but I somehow	
14	can't read calendars or something.	
15	But we will be sending out a	
16	discussion agenda ahead of time. But we do	
17	have, we'll talk with the folks from ASA about	
18	the tabled measure 269. Also we'll want to do	
19	the three database measures. We need to think	
20	about how we want to do those efficiently. The	
21	call is only two hours.	
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1	Plus we need to look at related and
2	competing measures. Given the results of your
3	evaluation on the antibiotic prophylaxis
4	measures, there might not be a whole lot there.
5	But certainly we do want to look at the two CABG
6	mortality measures side by side and have the
7	conversation about competing measures.
8	We also want to take a look at the
9	entire results of what you've done. And you
10	know, see if it all makes sense. Have you, you
11	know, do some last comments before we start
12	wrapping it up and start writing up a report
13	that reflects this that will go out for public
14	comment.
15	So those are our next steps. We are
16	anticipating, or was scheduled to go out for
17	public comment like July 3. So this will be
18	quickly moving through the month of June.
19	And so if you've got anybody you
20	want to share this with, they should be looking
21	for it to be available for public comment in
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1	July. So that's kind of the time frame going	
2	forward.	
3	This committee will regroup by	
4	conference call in August, to talk about those	
5	comments that we receive. And perhaps act on	
6	them depending on what the comments may	
7	indicate.	
8	So we're going to be moving briskly	
9	through the process steps through the summer.	
10	So Bill, any questions from you all? Andrew	
11	anything I've forgotten? Any comments from	
12	anybody?	
13	I know I've had sidebar suggestions	
14	from all sorts of folks on, you know, process	
15	improvements, and suggestions and all sorts of	
16	things. We're open ears. So feel free to keep	
17	those suggestions coming, and don't be	
18	surprised if we call you back and say okay	
19	you're on, we really want to hear the details.	
20	So, any last	
21	CO-CHAIR FLEISHER: Reva?	
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1	MS. WINKLER: Yes?	
2	CO-CHAIR FLEISHER: Yes, we have	
3	Lee on the phone now, and I'm particularly	
4	MS. WINKLER: Hi Lee.	
5	CO-CHAIR FLEISHER: How people	
6	hi I'm particularly interested in how people	
7	think the pre-meeting conference calls could be	
8	improved and utilized in a different way.	
9	Because I'm not sure they were always as	
10	effective.	
11	MS. WINKLER: Okay. We'll	
12	certainly see if we can figure out the best way	
13	to get your feedback and suggestions for how we	
14	can make this work. Since we're going to be	
15	working together going forward for the next	
16	couple of years.	
17	Also, one thing we didn't do, we	
18	will need to do, is somehow randomly get you to	
19	two year or three year terms. We did it? Oh	
20	good, I missed it. Okay.	
21	You know if you're staying for two	
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1	or three years. So renewable for another term	
2	if you so desire. So we really want to make	
3	this easy for everybody.	
4	Dr. Yates?	
5	MEMBER YATES: Yes, I was just	
6	going to make a comment to the question on the	
7	phone. And I had already said this to you Reva,	
8	but I think that if there could be an emphasis	
9	on the conference calls, the workgroup calls to	
10	ask to determine the level of evidence, and	
11	bring out the level of evidence on the process	
12	measures during the workgroup calls so that	
13	that's better assessed.	
14	Because I think some measures	
15	failed today from an inadequate discussion of	
16	the level of evidence. And I think some of the	
17	measures I just think we lost a lot of time	
18	debating evidence that was clearly presented	
19	that wasn't discussed well enough to say level	
20	one, level two, level three.	
21	MS. WINKLER: But anyway. Thank	
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1	you all very much. Travel safely.	
2	(Whereupon, the above-entitled	
3	proceeding was concluded at 3:28 p.m.)	
4		
5		
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10		
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