



NATIONAL  
QUALITY FORUM

# Measure Developer Workshop

National Quality Forum

*May 17, 2017*



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# Measure Prioritization & Graduated Measurement

Dr. Helen Burstin, Chief Scientific Officer, NQF  
Elisa Munthali, Vice President – Quality Measurement, NQF

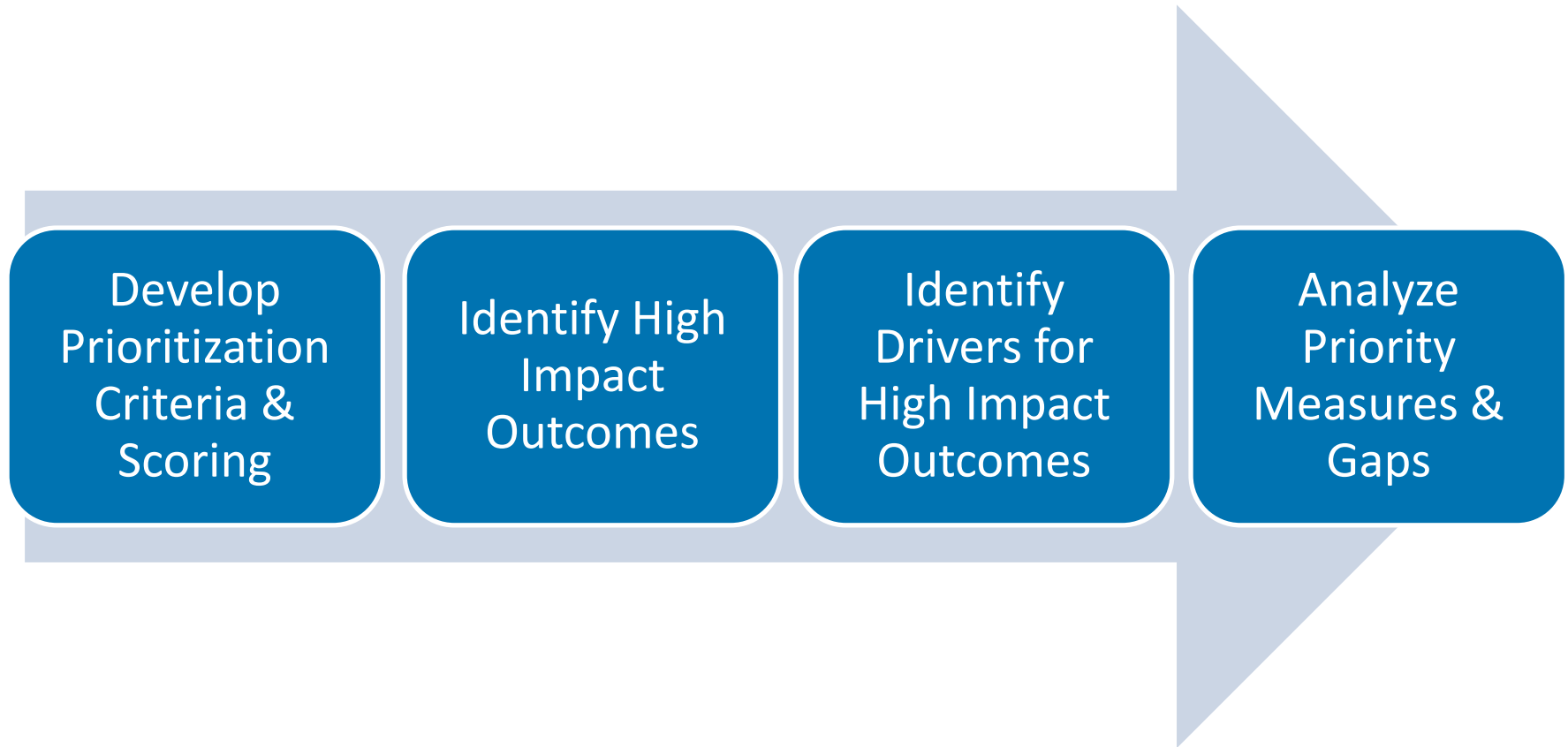
*May 17, 2017*

# NQF Strategic Vision



# Prioritize Measures

# NQF Measure Prioritization Process



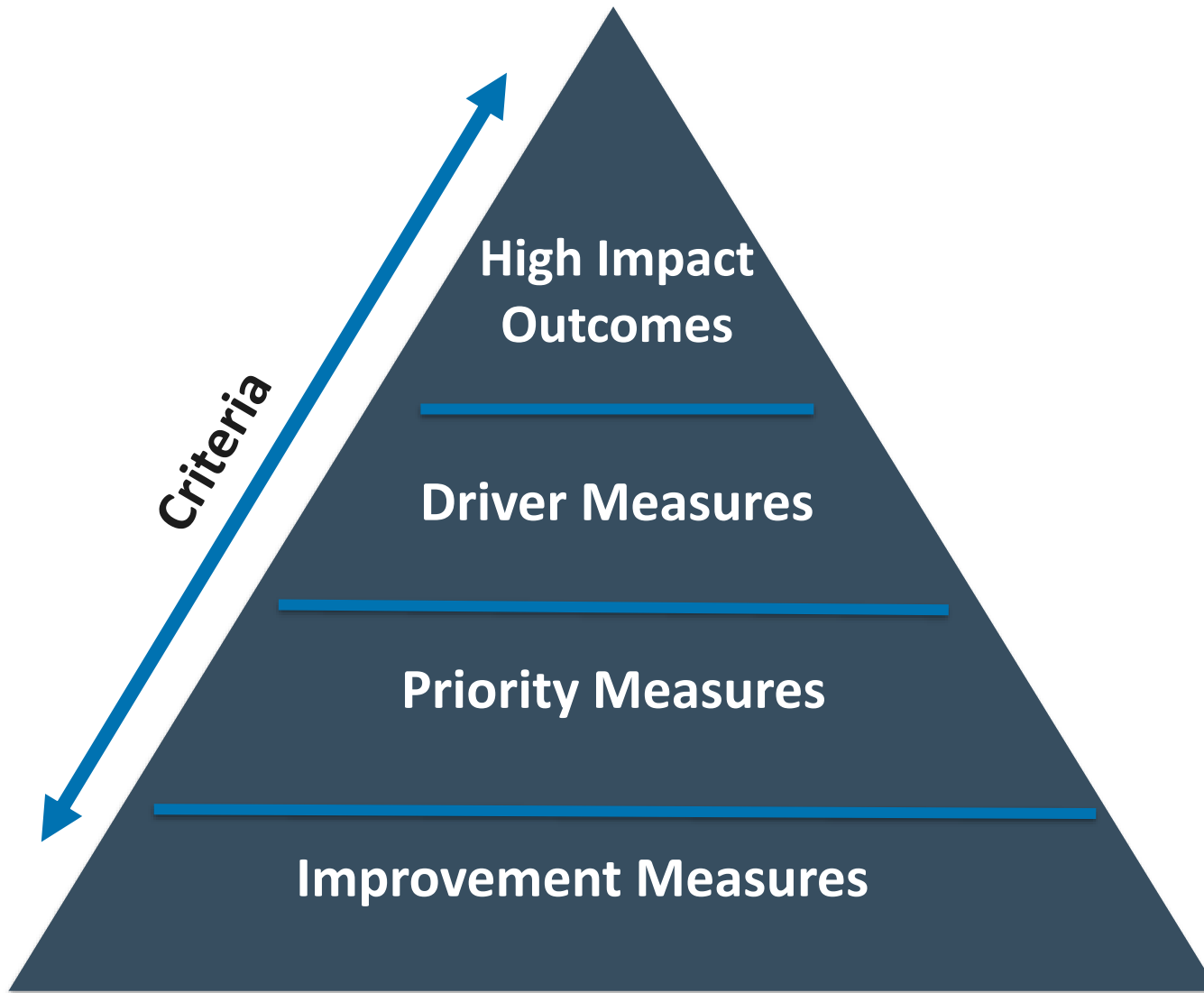
# Prioritization Criteria: Environmental Scan

- National Quality Strategy
- IOM Vital Signs
- NQF Prioritization Advisory Committees
- Healthy People 2020 Indicators
- Kaiser Family Foundation Health Tracker
- Consumer priorities for Hospital QI and Implications for Public Reporting, 2011
- IOM: Future Directions for National Healthcare Quality and Disparities Report, 2010
- IHI Whole System Measures
- Commonwealth Fund International Profiles of Healthcare Systems, 2015
- OECD Healthcare Quality Project
- OECD Improving Value in Healthcare: Measuring Quality
- Conceptual Model for National Healthcare Quality Indicator System in Norway
- Denmark Quality Indicators
- UK NICE standards – Selecting and Prioritizing Quality Standard Topics
- Australia's – Indicators used Nationally to Report on Healthcare, 2013
- European Commission Healthcare Quality Indicators
- Consumer-Purchaser Disclosure Project – Ten criteria for meaningful and usable measures of performance

# NQF Prioritization Criteria

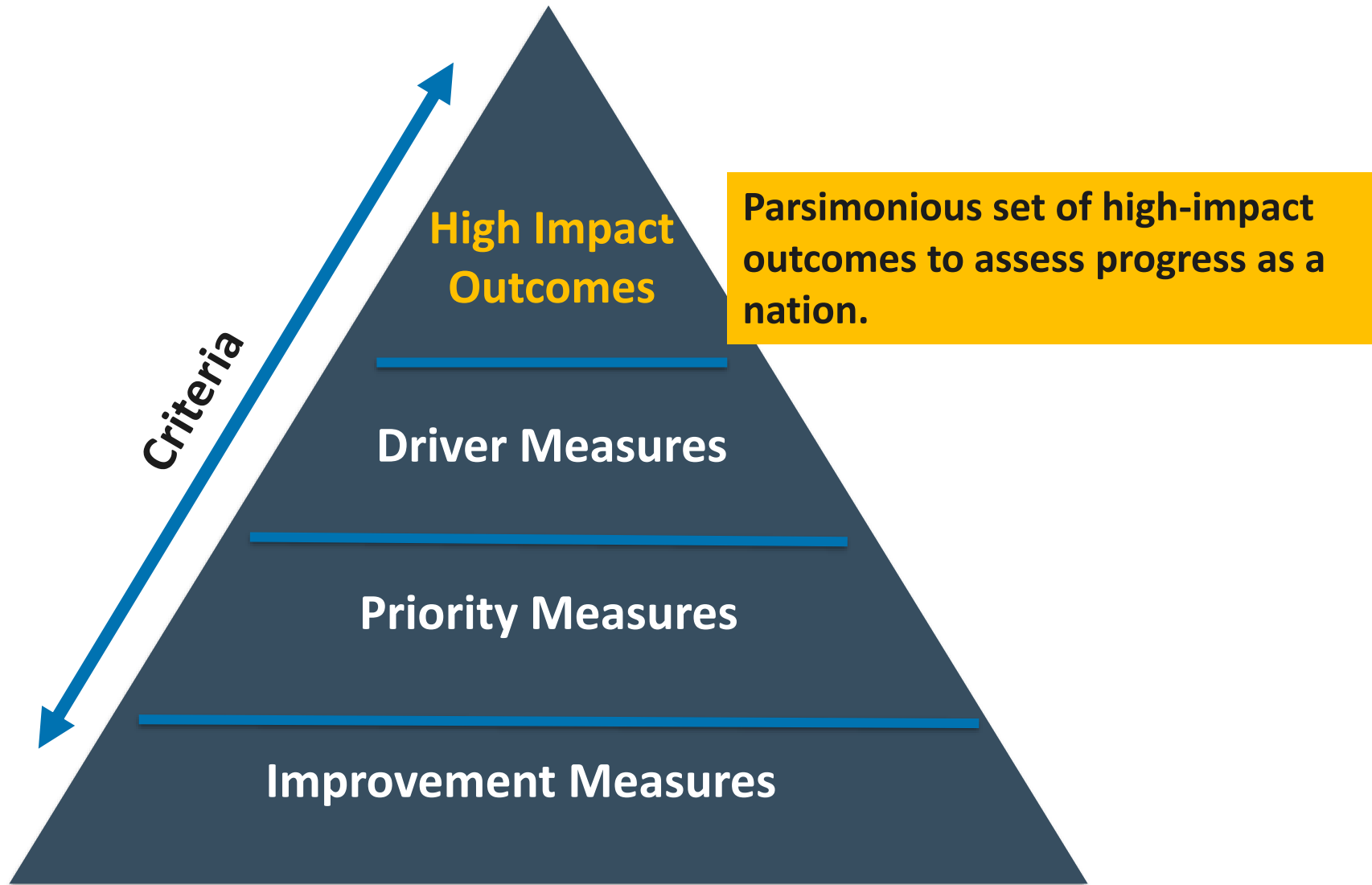
| Criterion                                           | Description                                                                                                                                           |
|-----------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>Outcome-focused</b>                              | Preference for outcome measures and measures with strong link to improved outcomes and costs                                                          |
| <b>Improvable and actionable</b>                    | Preference for actionable measures with demonstrated need for improvement and evidence-based strategies for doing so                                  |
| <b>Meaningful to patients and caregivers</b>        | Preference for person-centered measures with meaningful and understandable results for patients and caregivers                                        |
| <b>Support systemic and integrated view of care</b> | Preference for measures that reflect care that spans settings, providers, and time to ensure that care is improving within and across systems of care |
|                                                     |                                                                                                                                                       |

# Hierarchical Framework





# Hierarchical Framework



# High-Impact Outcomes

## High Impact Outcomes

**Functional status/well-being**

**Patient experience (including care coordination, shared decisionmaking)**

**Preventable harm/complications**

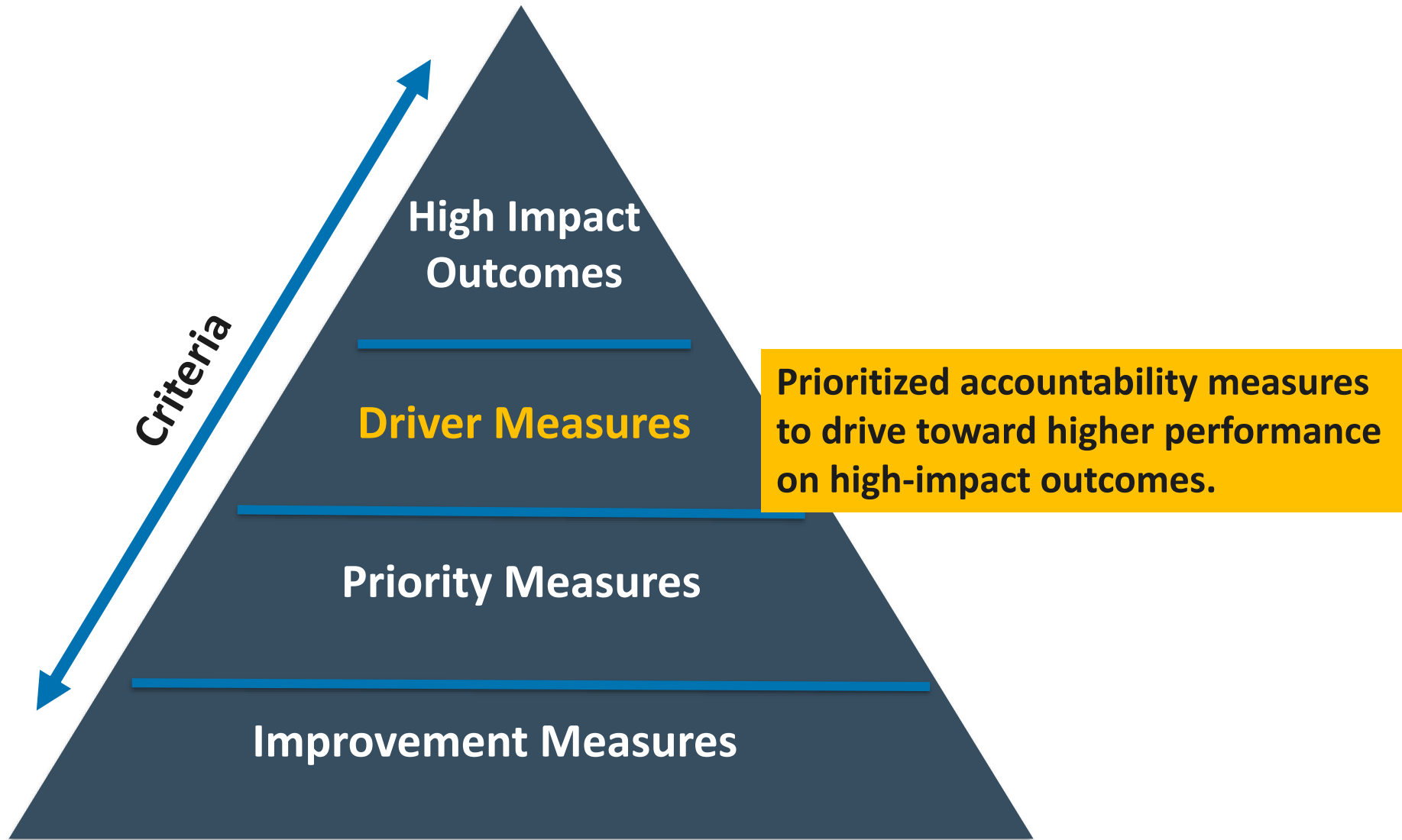
**Prevention/healthy behaviors**

**Total cost/high-value care**

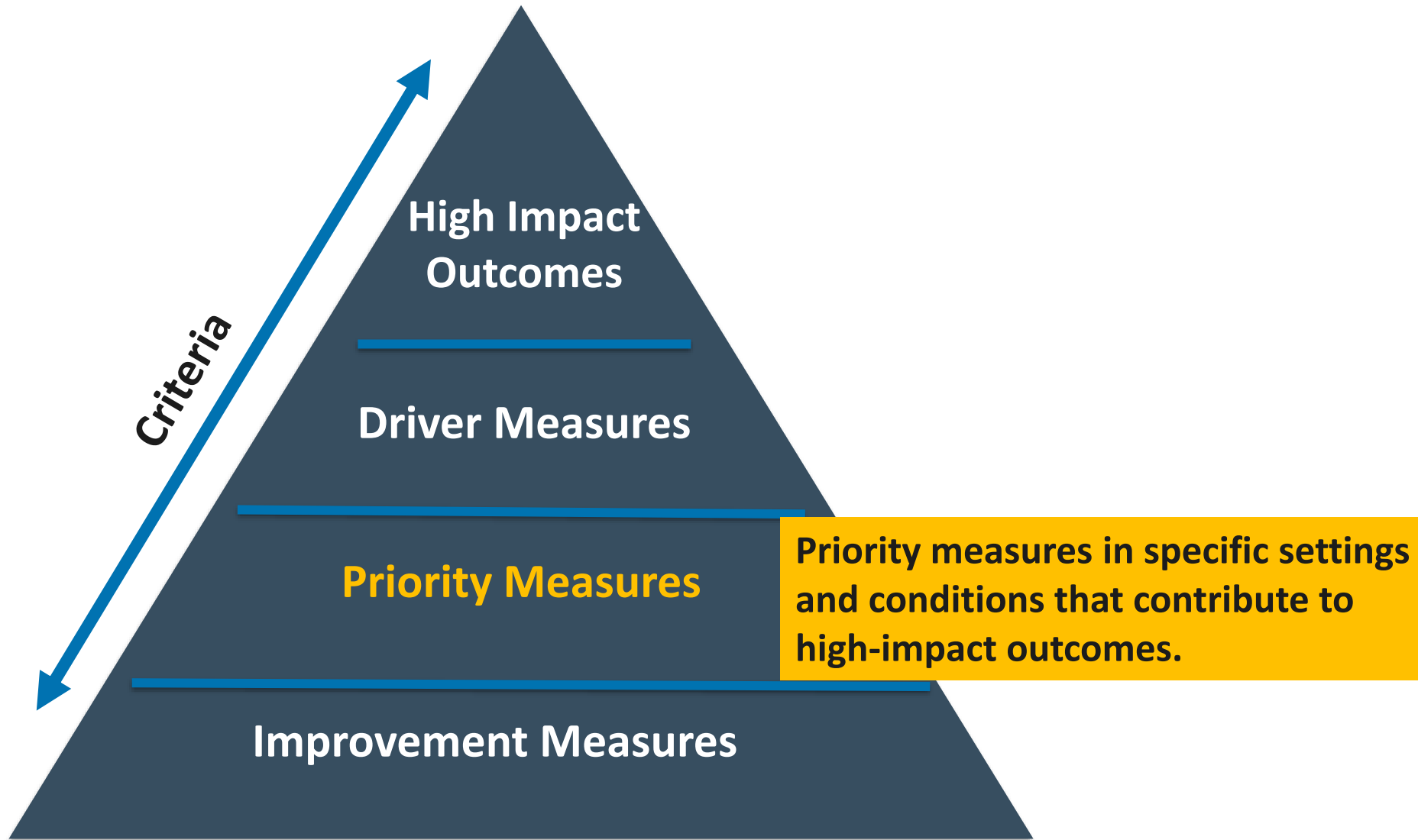
**Access to needed care**

**Equity of care**

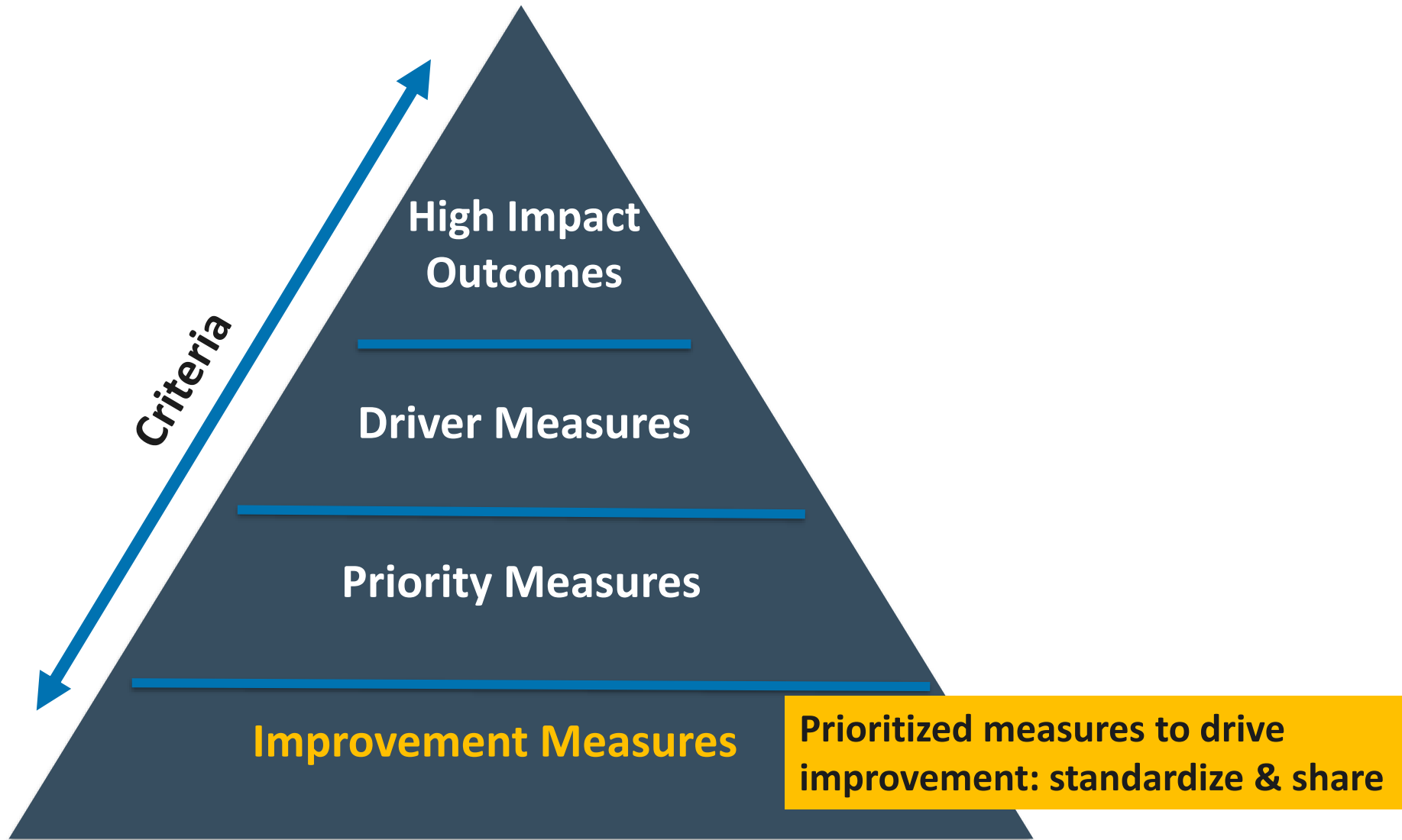
# Hierarchical Framework



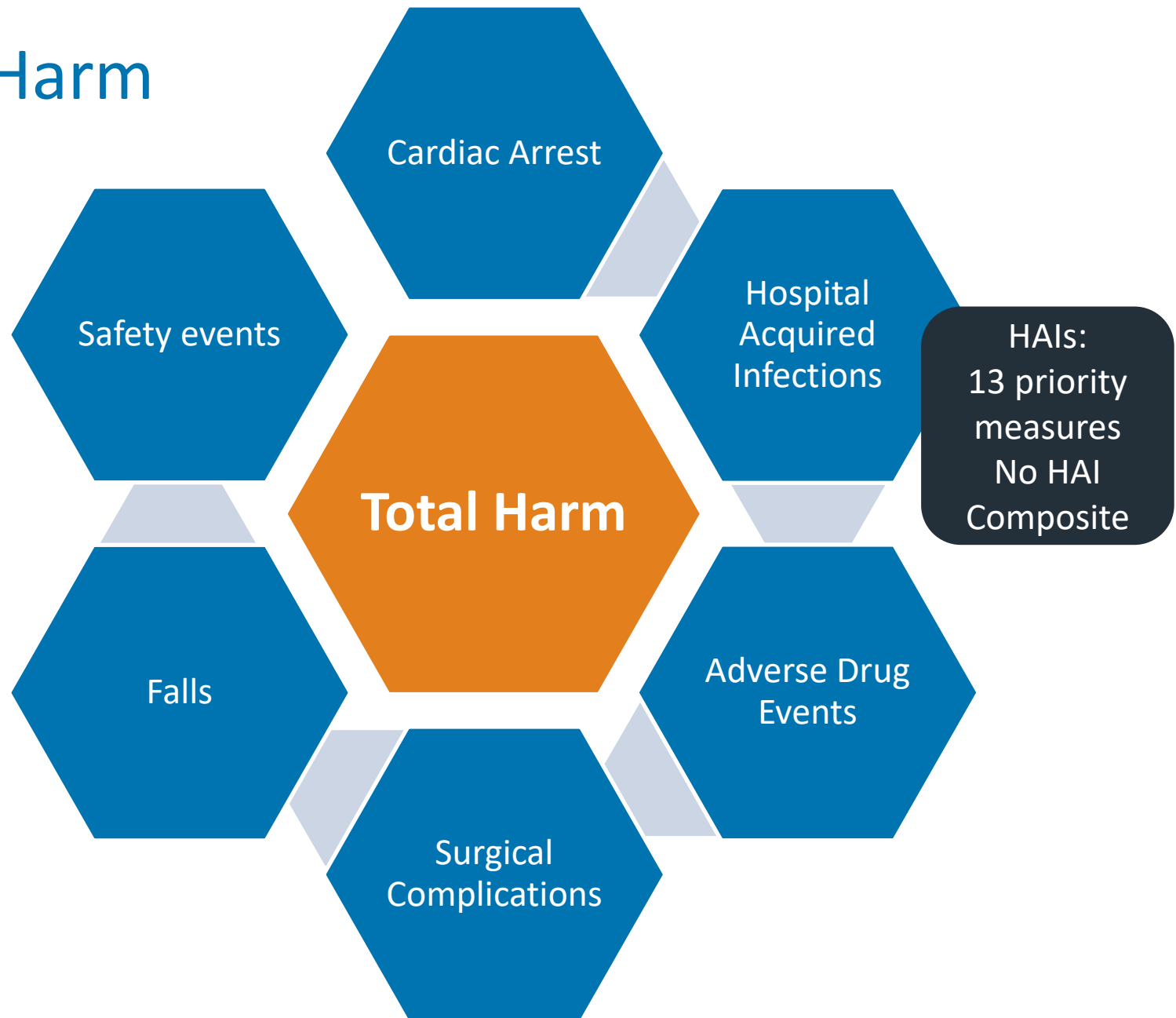
# Hierarchical Framework



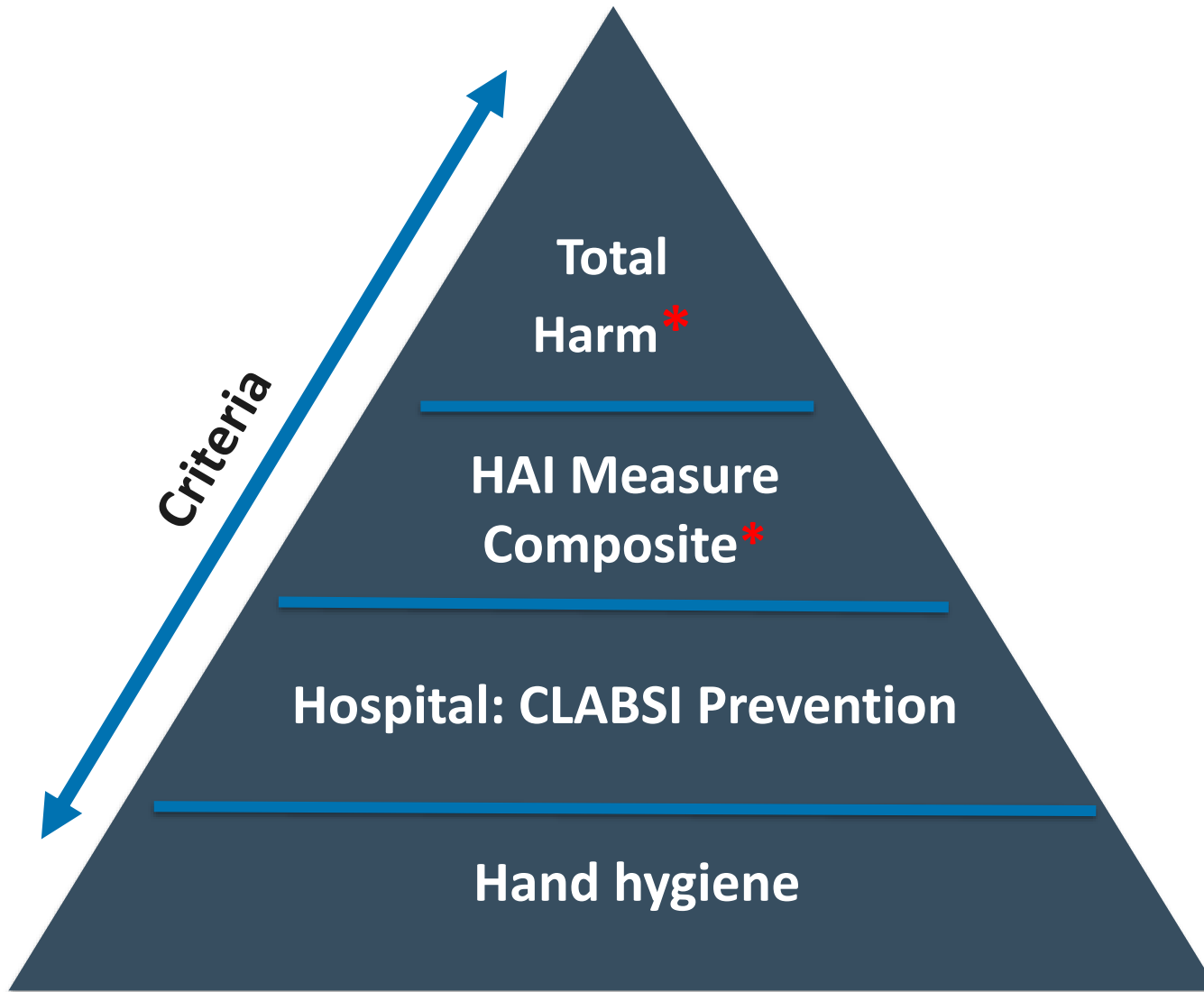
# Hierarchical Framework



# Total Harm



# Example: Total Harm



**\* Gap**

# Prioritization: Next Steps

- Develop approach to assess the attributable effect of potential measures that can drive toward improved performance on the high-impact outcome
- NQF will build the prioritization approach for measures and gaps into future endorsement and selection work
- NQF will explore potential partnerships to share and standardize prioritized improvement measures



# Graduated Measurement

# Persistent gaps in measurement

- The pipeline of innovative measures to support healthcare system transformation is limited
- Current measures cannot fully support shift to alternative payment models and population focus
- Persistent barriers in the development, testing and use of innovative measures:
  - Access to funding
  - Access to test beds
  - Use of innovative measures in accountability programs

# How can NQF support measure innovation?

- Consensus process designed for fully developed measures intended for accountability
- New pathways could bring measures to NQF along the measure development and testing cycle
- Provide access to multistakeholder input and NQF guidance throughout the measure development lifecycle
- More flexible approach should encourage access to:
  - Earlier availability of measurement expertise
  - Access to multistakeholder input
  - Direct input and approval from NQF standing committees at different stages of development and testing

# Prior Experience with Flexible Submission

- Time limited endorsement
- Two-stage endorsement pilot
- eMeasures for Trial Use

# Graduated Measurement Approach

- Build on prior models and develop new agile approach for measure submission
- Measure developers could enter the process at any stage to meet the needs of their current state of development
- Earlier submission for multistakeholder input and expert input could encourage use and feedback prior to incorporation into accountability programs
- More agile process should allow NQF committees to approve measures at earlier stages of development for use and testing
- NQF Measure Incubator can be accessed at any stage of development

# Draft Graduated Measurement Levels



# Level 1: Concept Approval

- Potential options:
  - Multistakeholder feedback through NQF commenting
  - NQF technical assistance
- No standing committee engagement
- Measure implementation: None

# Level 2: Approval for Collection and Use

- Standing committee review:
  - *Evidence*
  - *Usability*
  - *Precision of draft specifications*
  - *Preliminary feasibility assessment based on data source*
- Measure implementation - collect data in the field and iteratively improve measure prior to testing



# Level 3: Approval for Testing

- Standing committee review:
  - *Evidence*
  - *Usability*
  - *Feasibility*
  - *Performance gap*
  - *Precise specifications*
  - *Face validity*
- Measure implementation - share data and analytics – useful for improvement and benchmarking; not ready for payment/public reporting

# Level 4: Endorsement

- Standing committee review: as above, with formal testing for reliability and validity
- Consider additional requirements for endorsement (e.g., measure score level testing, feedback)
- Measure implementation – use for accountability (payment/public reporting)



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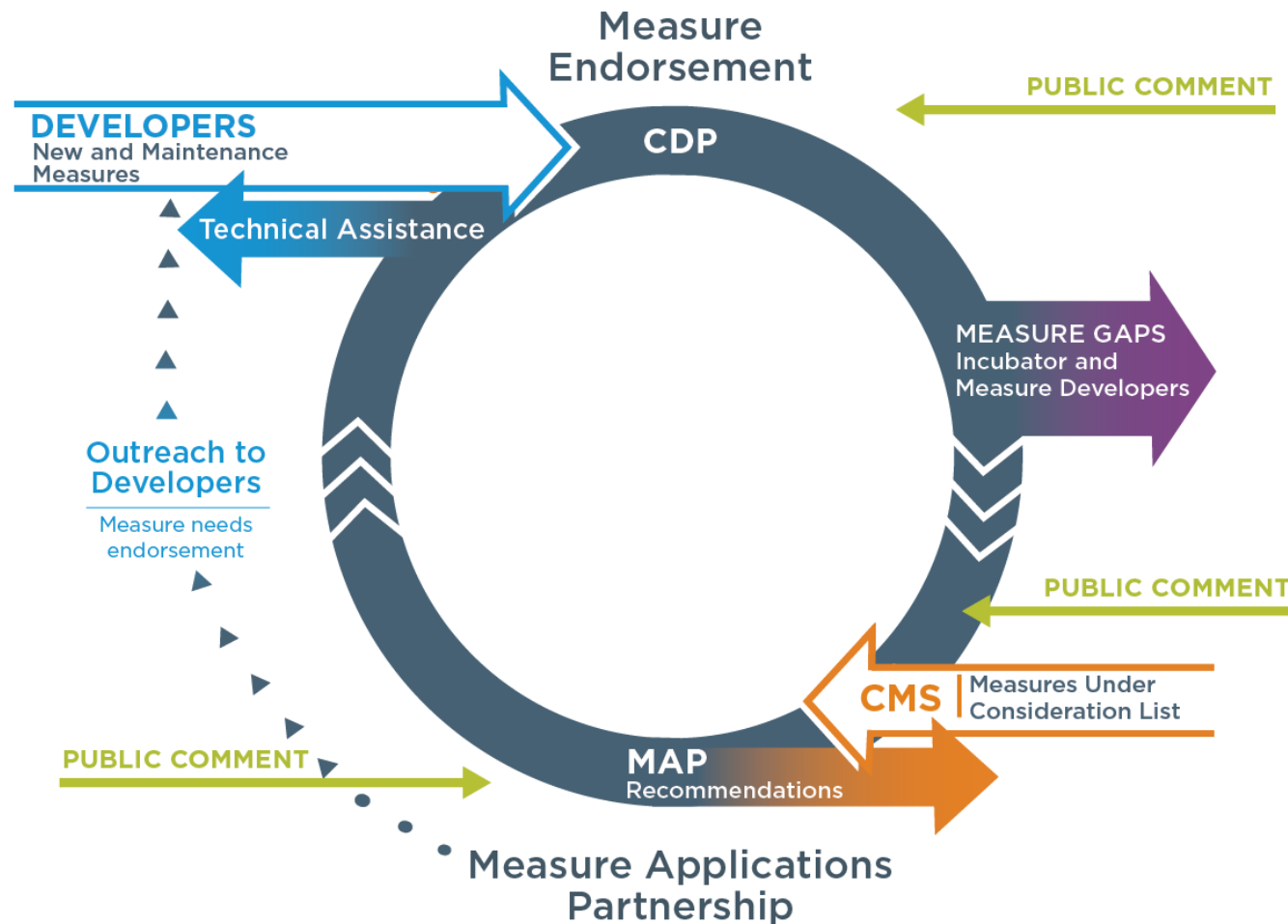
# Feedback on Measures in Use: What Can We Learn?

*John Bernot, MD*

# NQF Strategic Vision



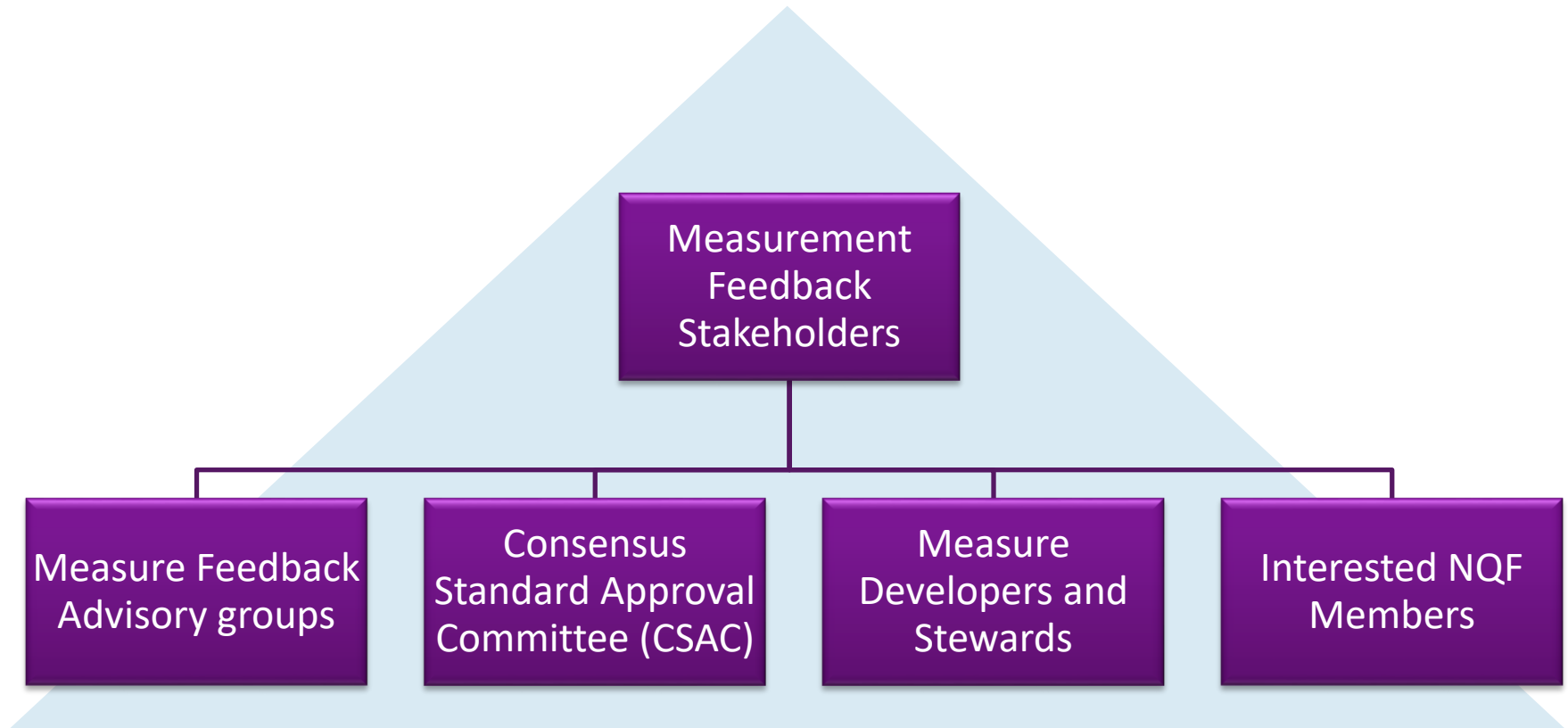
# Current Structure: Collecting and Integrating Feedback



# Objectives

- Learn from the field about experiences with measures
- Enhance and expand feedback on the implementation and impact of measures
- Share learnings broadly within and outside of NQF
- Inform measure prioritization and reduction

# Identifying Stakeholder Priorities

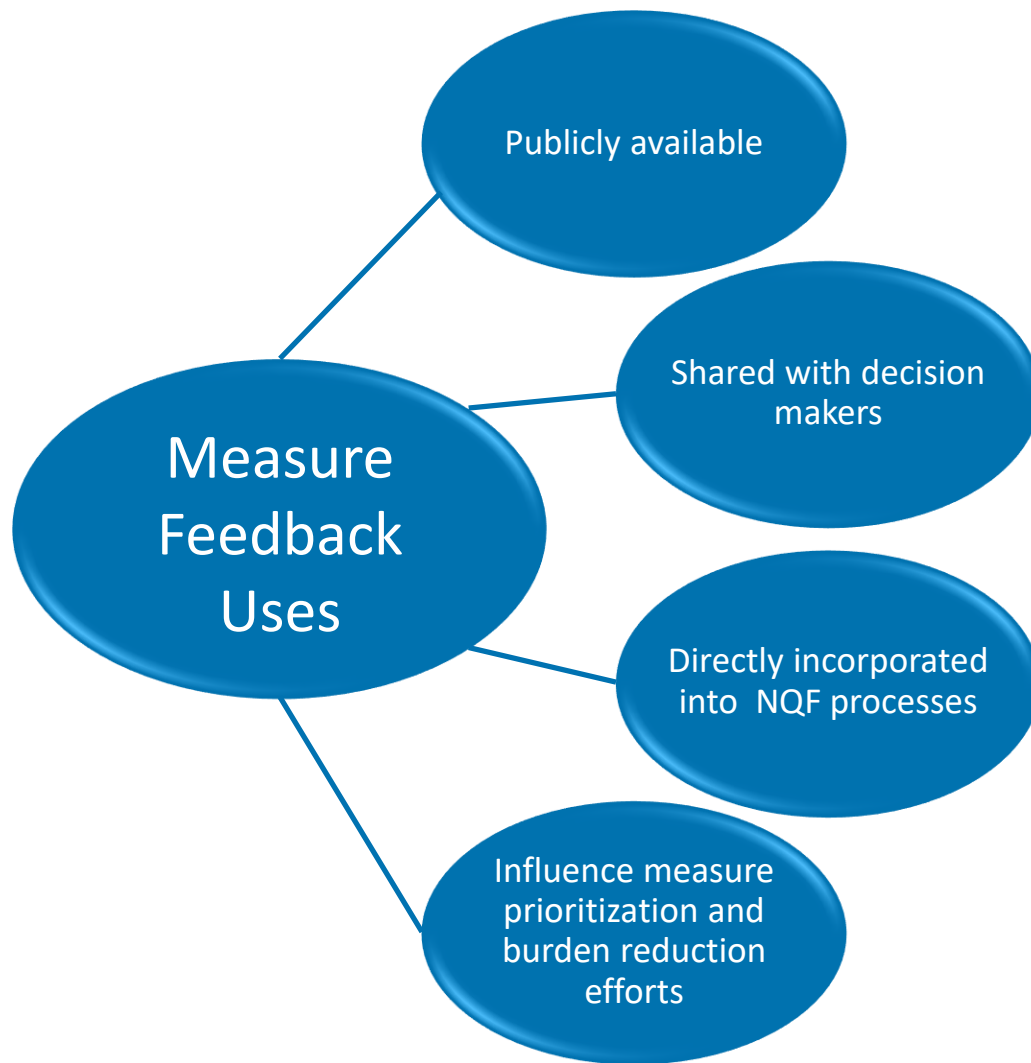


# Collecting Measure Feedback





# Measure Feedback Uses



## Decision Makers

- Measure Applications Partnership (MAP)
- Measure stewards and developers
- NQF endorsement committees
- NQF Measure Incubator
- Key stakeholders

# Next Steps

Engage with Advisory Group and partners

Formulate approaches to integrate measurement feedback into NQF processes

Identify incentives to provide measurement feedback

Synthesize and share measurement feedback received

Develop methods to optimize and scale measurement feedback efforts

# Demonstration

The screenshot displays the NQF website interface. At the top, the header includes 'NATIONAL QUALITY FORUM', navigation links for 'About Us', 'News', and 'NQF Work', and a search bar. Below the header, a search bar contains the text '0125'. A sidebar on the left titled 'Narrow Your Search' lists various 'Measure Type' options: Process: Appropriate Use, Composite, Cost/Resource Use, Efficiency, Outcome, Outcome: PRO, Process, Structure, Intermediate Clinical Outcome, Endorsement Status, eMeasure, and Measure Steward. The main content area features a yellow banner with 'Measures (Result List)', 'Portfolios', and 'Compare' buttons. The selected measure is '0125 Timing of Antibiotic Prophylaxis for Cardiac Surgery Patients' by STEWARD: The Society of Thoracic Surgeons. The measure description states: 'Percent of patients aged 18 years and older undergoing cardiac surgery who received prophylactic antibiotics within one hour of surgical incision or start of procedure if no incision was required (two hours if receiving vancomycin or fluoroquinolone)'. The numerator statement is 'Number of patients undergoing cardiac surgery patients who received prophylactic antibiotics within one hour of surgical incision or start of procedure if no incision was required (two hours if vancomycin or fluoroquinolone)'. The denominator statement is 'Number of patients undergoing cardiac surgery'. The exclusions section lists cases removed from the denominator and other exclusions. A red circle highlights the 'Submit Feedback' button in the 'Take Action' section. The status section indicates 'Endorsement Removed' with a last updated date of 'May 08, 2012'. The 'Found in Portfolio(s)' section lists 'GDAHC Publicly Reported Measures'. A purple box in the bottom left corner promotes the 'Field Guide to NQF Resources' as an online reference.

NATIONAL QUALITY FORUM

About Us News NQF Work Search

0125

☐ Search as Phrase

Measures (Result List) Portfolios Compare ☐ ☐ ☐ Add to Compare Add to Portfolio Export ?

**Narrow Your Search**

**Measure Type:**

- ☐ Process: Appropriate Use
- ☐ Composite
- ☐ Cost/Resource Use
- ☐ Efficiency
- ☐ Outcome
- ☐ Outcome: PRO
- ☐ Process
- ☐ Structure
- ☐ Intermediate Clinical Outcome

☒ Endorsement Status

☒ eMeasure

☒ Measure Steward

**0125** **Timing of Antibiotic Prophylaxis for Cardiac Surgery Patients**  
STEWARD: The Society of Thoracic Surgeons

**Measure Description:**

Percent of patients aged 18 years and older undergoing cardiac surgery who received prophylactic antibiotics within one hour of surgical incision or start of procedure if no incision was required (two hours if receiving vancomycin or fluoroquinolone)

**Numerator Statement:**

Number of patients undergoing cardiac surgery patients who received prophylactic antibiotics within one hour of surgical incision or start of procedure if no incision was required (two hours if vancomycin or fluoroquinolone)

**Denominator Statement:**

Number of patients undergoing cardiac surgery

**Exclusions:**

Cases are removed from the denominator if the patient had a documented contraindication or rationale for not administering antibiotic in medical record.

Other exclusions include:

- Patients who had a principal diagnosis suggestive of preoperative infectious diseases
- Patients whose ICD-9-CM principal procedure was performed entirely by Laparoscope
- Patients enrolled in clinical trials
- Patients with documented infection prior to surgical procedure of interest
- Patients who were receiving antibiotics more than 24 hours prior to surgery
- Patients who were receiving antibiotics within 24 hours prior to arrival

This list will be provided in the STS Adult Cardiac Surgery Database Data Manager's Training Manual as acceptable exclusions.

**Take Action**

**Submit Feedback**

**Status**

**Endorsement Removed**

Last Updated Date:  
**May 08, 2012**

Measure History:  
[Full History](#)

**Found in Portfolio(s)**

[GDAHC Publicly Reported Measures](#)

**Field Guide to NQF Resources:**

An online reference to help you quickly access NQF resources related to quality measurement. ➤

# Demonstration

NATIONAL QUALITY FORUM

About UsNewsNQP Work

Search

Feedback on NQF Measure # 0125 : Timing of Antibiotic Prophylaxis for Cardiac Surgery Patients

Thank you for your continued engagement with the National Quality Forum. We are committed to actively seeking implementation experiences on measures from all stakeholders. Please provide any unexpected findings (positive or negative) during implementation of these measures including unintended consequences or unintended benefits.

Is this feedback on behalf of another person or organization?  
☐ yes ☒ no

0125 : Timing of Antibiotic Prophylaxis for Cardiac Surgery Patients

Summarize your feedback:\*

10000 characters maximum

**B****U****I**

Submit

Submit Feedback to Multiple Measures

Clear Feedback

Feedback Received

| Date Submitted | First/Last Name | Organization           | Summary                                                                                                                                                                     |
|----------------|-----------------|------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 05/16/2017     | John Bernot     | National Quality Forum | This measure has not proven useful for our organization. We would recommend an outcome measure that looks at the rate of infection after cardiac surgery as an alternative. |

# Demonstration

The screenshot displays the National Quality Forum (NQF) website. At the top left is the NQF logo, a circular arrangement of colorful dots, followed by the text "NATIONAL QUALITY FORUM". To the right is a search bar with a magnifying glass icon and social media icons for Twitter and LinkedIn. Below the header is a navigation bar with links for "About Us", "News", and "NQF Work" (which has a dropdown arrow). The main content area features a large banner with a cityscape background and the text "21<sup>ST</sup> CENTURY CARE: Advancing Quality in Health IT" in yellow. To the right of the banner is a grid of eight buttons: "Find Measures", "Projects", "Submit Measure", "Dashboard", "Calendar", "Reports", "Questions & Answers", and "Submit Feedback". The "Submit Feedback" button is highlighted with a red circle. Below the banner, there are four columns of text, each starting with a blue link: "g/Feedback.aspx", "the Path to", "2017 Consumers and", "Reducing", "Make More of Your", and "Full Story".

NATIONAL QUALITY FORUM

Search

About Us | News | NQF Work ▾

Find Measures | Projects | Submit Measure | Dashboard

Calendar | Reports | Questions & Answers | **Submit Feedback**

21<sup>ST</sup> CENTURY CARE:  
**Advancing Quality in Health IT** Full Story

[g/Feedback.aspx](#) | [the Path to](#) | [2017 Consumers and](#) | [Reducing](#) | [Make More of Your](#)

# Demonstration

NATIONAL QUALITY FORUM

About Us

News

NQF Work ▾

Search

## Measure Feedback

Thank you for your continued engagement with the National Quality Forum. We are committed to actively seeking implementation experiences on measures from all stakeholders. Please provide any unexpected findings (positive or negative) during implementation of these measures including unintended consequences or unintended benefits.

Is this feedback on behalf of another person or organization?

☐ yes ☒ no

Select the Measure you are providing feedback on:\*

cardiac

0113 : Participation in a Systematic Database for **Cardiac** Surgery

0125 : Timing of Antibiotic Prophylaxis for **Cardiac** Surgery Patients

0126 : Selection of Antibiotic Prophylaxis for **Cardiac** Surgery Patients

0128 : Duration of Antibiotic Prophylaxis for **Cardiac** Surgery Patients

10000 characters maximum

# Demonstration

NATIONAL QUALITY FORUM

About UsNewsNQF Work ▾

Search

Feedback on NQF Measure # 0125 : Timing of Antibiotic Prophylaxis for Cardiac Surgery Patients

Thank you for your continued engagement with the National Quality Forum. We are committed to actively seeking implementation experiences on measures from all stakeholders. Please provide any unexpected findings (positive or negative) during implementation of these measures including unintended consequences or unintended benefits.

Is this feedback on behalf of another person or organization?

☐ yes ☒ no

0125 : Timing of Antibiotic Prophylaxis for Cardiac Surgery Patients

Summarize your feedback:\*

10000 characters maximum

**B****U****I**

Submit

Submit Feedback to Multiple Measures

Clear Feedback

Feedback Received

| Date Submitted | First/Last Name | Organization           | Summary                                                                                                                                                                     |
|----------------|-----------------|------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 05/16/2017     | John Bernot     | National Quality Forum | This measure has not proven useful for our organization. We would recommend an outcome measure that looks at the rate of infection after cardiac surgery as an alternative. |

# Demonstration

✉ SEND ✕ DISCARD 📎 INSERT 📱 APPS ⋮

To:  John Bernot

Cc: +

Subject: Measure Feedback Request

Calibri 12 B I U              

Thank you for your continued engagement with the National Quality Forum. We are committed to actively seeking implementation experience for measure **0125 : Timing of Antibiotic Prophylaxis for Cardiac Surgery Patient** from all stakeholders. Please click [here](#) to provide any unexpected findings (positive or negative) during implementation of these measures including unintended consequences or unintended benefits.

~NQF Staff



# Demonstration

NATIONAL QUALITY FORUM

About UsNewsNQP Work ▾

Search

Feedback on NQF Measure # 0125 : Timing of Antibiotic Prophylaxis for Cardiac Surgery Patients

Thank you for your continued engagement with the National Quality Forum. We are committed to actively seeking implementation experiences on measures from all stakeholders. Please provide any unexpected findings (positive or negative) during implementation of these measures including unintended consequences or unintended benefits.

Is this feedback on behalf of another person or organization?

☐ yes ☒ no

0125 : Timing of Antibiotic Prophylaxis for Cardiac Surgery Patients

Summarize your feedback:\*

10000 characters maximum

**B****U****I**

Submit

Submit Feedback to Multiple Measures

Clear Feedback

Feedback Received

| Date Submitted | First/Last Name | Organization           | Summary                                                                                                                                                                     |
|----------------|-----------------|------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 05/16/2017     | John Bernot     | National Quality Forum | This measure has not proven useful for our organization. We would recommend an outcome measure that looks at the rate of infection after cardiac surgery as an alternative. |

# Demonstration

DEMO

NATIONAL QUALITY FORUM

About Us

News

NQF Work ▾

benefits.

Is this feedback on behalf of another person or organization?

☐ yes ☒ no

0125 : Timing of Antibiotic Prophylaxis for Cardiac Surgery Patients

Summarize your feedback:\* 10000 characters maximum

**B** **U** **I**

On behalf of xyz organization, we would like to express our support for the Cardiac Surgery measures.

Submit

Submit Feedback to Multiple Measures

Clear Feedback

## Feedback Received

| Date Submitted | First/Last Name | Organization | Summary |
|----------------|-----------------|--------------|---------|
|----------------|-----------------|--------------|---------|

# Demonstration

NATIONAL QUALITY FORUM

About UsNewsNQF Work ▾Search

0125 : Timing of Antibiotic Prophylaxis for Cardiac Surgery Patients

Summarize your feedback:\*10000 characters maximum

**B****U****I**

On behalf of xyz organization, we would like to express our support for the Cardiac Surgery measures.

Feedback on other measures

Your feedback has not been submitted yet. Please type in which other measures you would like to submit this feedback to.

cardiac surg

0113 : Participation in a Systematic Database for **Cardiac Surgery**

0125 : Timing of Antibiotic Prophylaxis for **Cardiac Surgery** Patients

**0126 : Selection of Antibiotic Prophylaxis for Cardiac Surgery Patients**

0128 : Duration of Antibiotic Prophylaxis for Cardiac Surgery Patients

Submit

Cancel

Submit

Submit Feedback

Feedback Received

| Date Submitted | Organization | Summary                                                                                                                                                                     |
|----------------|--------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 05/16/2017     | John Bernot  | National Quality Forum                                                                                                                                                      |
|                |              | This measure has not proven useful for our organization. We would recommend an outcome measure that looks at the rate of infection after cardiac surgery as an alternative. |

# Questions?

# Break



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# Measure Incubator™ Update

## *A Focus on Patient-Centric Performance Measures*

Kathleen Giblin, Senior Vice President, Quality Innovation, NQF  
Lyn Paget, Consultant, PatientsLikeMe

*May 17, 2017*

# Measure Incubator Update

# Strategic Direction

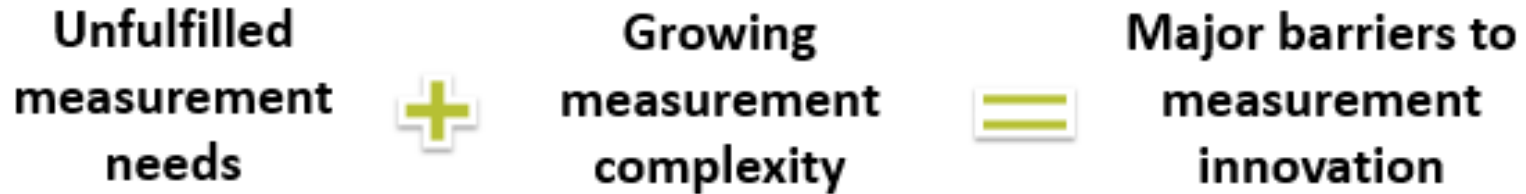


**Improve healthcare quality, safety, and affordability**



# NQF Measure Incubator

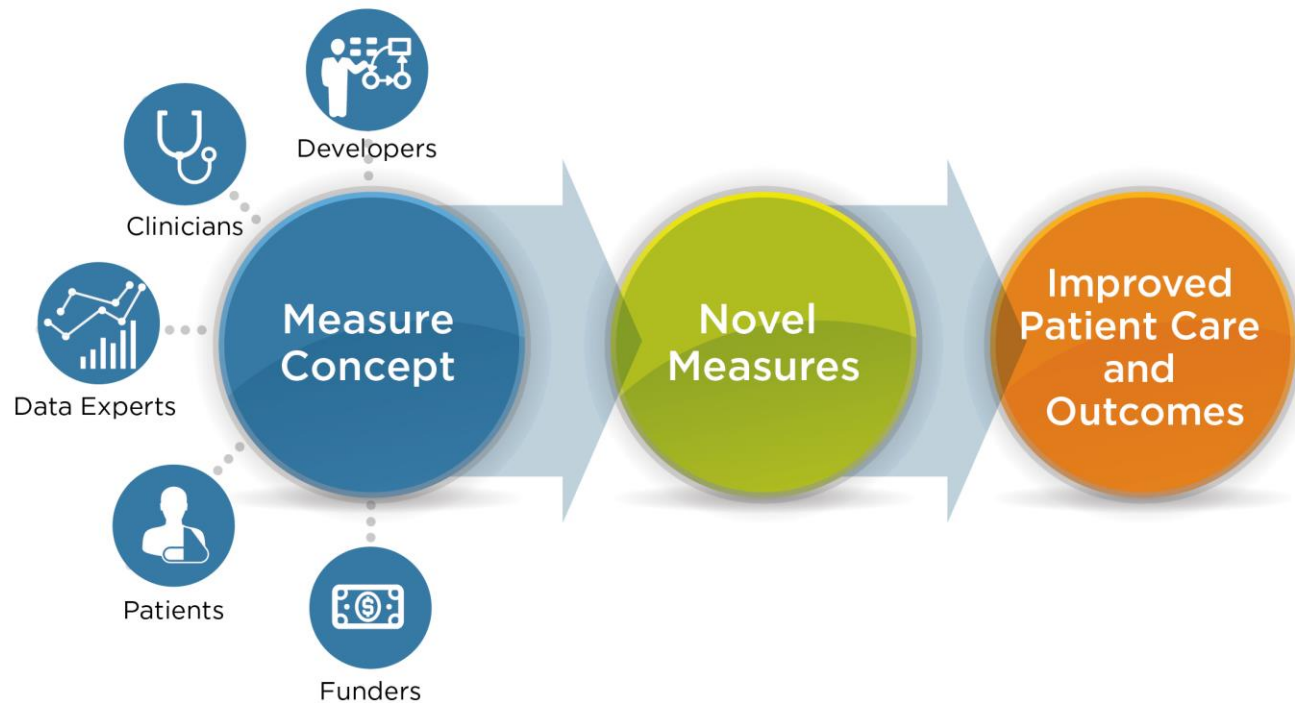
## *The Drive for Meaningful Quality Measures*



- Current measure development is slow, costly and rigid
- Most existing measures are built from administrative claims/paper medical records alone
- Highly complex specification and testing processes
- Need for fewer, high-impact measures that assess:
  - *Outcomes and composites*
  - *System-level performance*
  - *Patient-reported experience and outcomes*

# NQF Measure Incubator

*Getting to Quality Measures that Matter*



# NQF Measure Incubator

## *The Learning Collaborative*

- **Purpose:** identify and share best practices around tough issues of measure development and to creatively collaborate on identifying solutions
- **Includes** stakeholders interested in measurement, such as measure developers, researchers, data entities, purchasers, patient organizations, and clinician groups



# Measure Incubator Learning Collaborative

## *2016 Innovation Challenge*

Five winners proposed novel methodological approaches to improving healthcare quality measurement

- **Charlotta Lindvall, Dana-Farber Cancer Institute:** Proposes using natural language processing to develop quality measures in palliative surgery using electronic health record (EHR) data
- **S. Mani Marashi, Henry Ford Health System:** Describes a successful two-year pilot to report hospital-acquired venous thromboembolism events in real-time using data from EHRs, rather than claims
- **Robert Philips, American Board of Family Medicine:** Proposes using a new data registry open to all primary care physicians to identify and develop efforts to improve clinical practice and quality measures
- **Ellen Shultz & Michelle Langer, American Institutes for Research:** Suggest using “bookmarking,” a method widely used in educational testing, to score and classify patient-reported outcome (PRO) measures and address this critically important measure gap area
- **Tracy Spinks, MD Anderson Cancer Center:** Outlines a new, streamlined, standardized approach to implementing PRO measure sets in EHRs

# NQF Measure Incubator

## *Current Projects*

- Multiple Sclerosis (MS) PRO-PM
- Chronic Obstructive Pulmonary Disease (COPD) PRO-PM
- Rheumatoid Arthritis PRO-PM
- Collaboration with PatientsLikeMe informs incubation of PRO-PMs
- Obesity PRO-PM
- Pain Management in Acute Care Settings
- Advanced Illness Care
- Oncology

*Patient-Reported Outcomes a Main Focus of Measure Incubator Projects*

# Building Patient-Centric Performance Measures

# Background

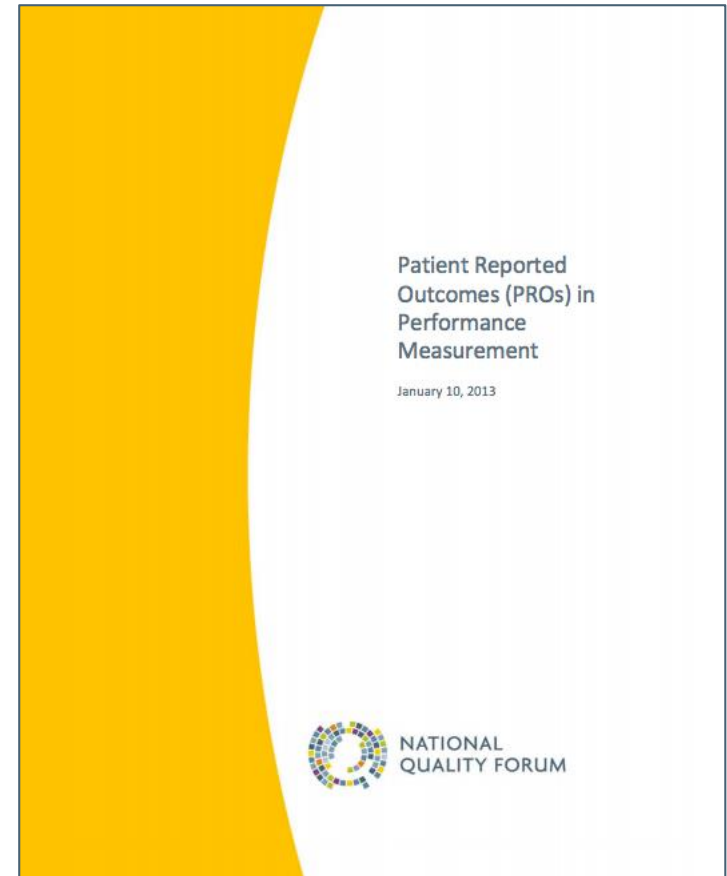
- ✓ Patients are increasingly being viewed as the essential data source to help evaluate, improve and guide payments for health care.
- ✓ Patient prioritized measurement is front and center in the 2016 CMS quality measure development plan.
- ✓ Patient-reported outcomes (PROs) - information on the outcomes of health care obtained directly from patients - have become the most prominently recommended shift in the paradigm of quality improvement.

# Project Overview

- 2015 Robert Wood Johnson Foundation funded PatientsLikeMe in partnership with NQF to demonstrate a model that incorporates patient priorities into performance measurement.
- Building on the 2013 NQF recommendations and guiding principles for PRO Performance Measurement.



Robert Wood Johnson Foundation





# Project Components

1. Explore needs of measurement field;
2. Develop a conceptual model of good health care from the patient's perspective; and
3. Demonstrate methods to incorporate patient experience data into measurement.

# Measurement Field Needs

- Exploratory scan including 26 multi-stakeholder interviews to better understand the requirements and expectations of PRO-PM.

*More  
meaningful  
data.*

*Uncover  
problems only  
patients can  
evaluate.*

*Assess value  
and costs in  
more complete  
way.*

*Increase ability to  
connect what we  
pay for to health  
improvement.*

*Empower patients  
to engage in  
decisions and  
choose according  
to preferences.*

# Implementation Facilitators

- ✓ Leverage technology to identify patients, minimize burden, and support shared decision-making.
- ✓ Talk with patients about measures and how they are used.
- ✓ Neutralize workflow impact by offloading other tasks.
- ✓ Institute incentives and reimbursement models to advance PROM use.
- ✓ Risk adjust PROMs for fair provider comparison and to restrict cherry picking of patients.

# Implementation Facilitators

- ✓ Choose PROMs calibrated for individual and population level analysis.
- ✓ Select PROMs that match the needs and goals of patients and clinicians (not researchers).
- ✓ Offer multiple modalities for patient data input.
- ✓ Provide interpretation of data that is actionable for patients and clinicians.
- ✓ Translate and adapt PROMs for diverse patient populations.

# Illustration of Value



patientslikeme®

# About PatientsLikeMe

- Founded in 2004 as a direct response to a family's experience with chronic disease (ALS)
- Open, patient facing research based community
- Opened to any condition in 2011
- Deep patient experience data ~40 chronic life-changing conditions
- Free to join. No advertising



## Patients

- 500,000+ members
- 2,700+ conditions
- 100% volunteer

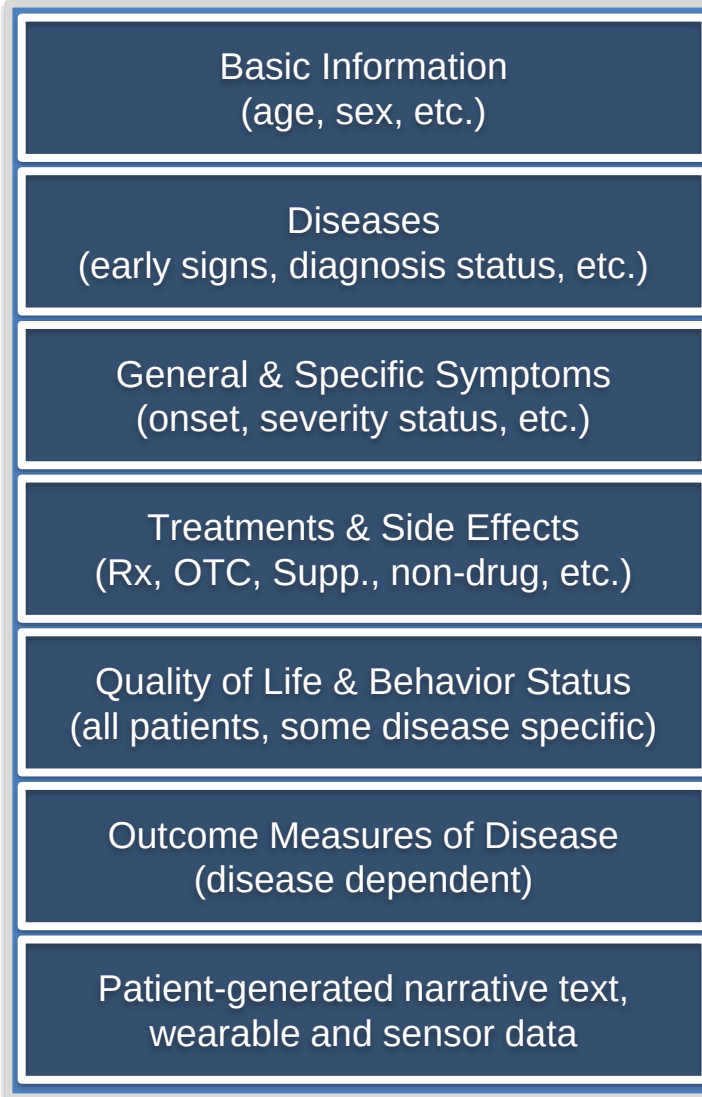
## Data

- 38+ million structured data points
- 4+ million free-text posts
- 15+ PROs
- Patient-generated taxonomy (mapped to clinical classification systems)

## Insights

- 90+ publications
- Staff: research, clinical, science, technology

# PLM Data Domains



Engagement



Data Integrity



Standards \*



Evidence



Knowledge



Empowerment





# Conceptual Model of Good Health Care

- Concept mapping approach
- Survey PLM patients, vulnerable patients and stakeholders
- Target conditions: heart disease, cancer, stroke, diabetes, hypertension and arthritis
- Participation in phase one: concept elicitation
  - *187 PLM patients*
  - *17 stakeholders*
  - 1300 patient statements
  - *500 stakeholder statements*
  - *~ 250 unique keywords*

---

## Example Statements

My doctor/provider makes eye contact with me

I am able to choose which provider(s) I want to see

I am able to contact my doctor's office with any needs, even between visits

The doctor/provider does not seem rushed

I am treated with respect

My doctor/provider is knowledgeable about my condition(s) and appropriate treatments for my condition(s)

The costs for office visits and treatments/medicine are reasonable

I get answers to all of my questions

My doctor/provider takes time to explain (diagnosis, treatment options, prognosis, side effects) in sufficient detail

My doctor/provider gets to know me

The doctor/provider is on time for appointments

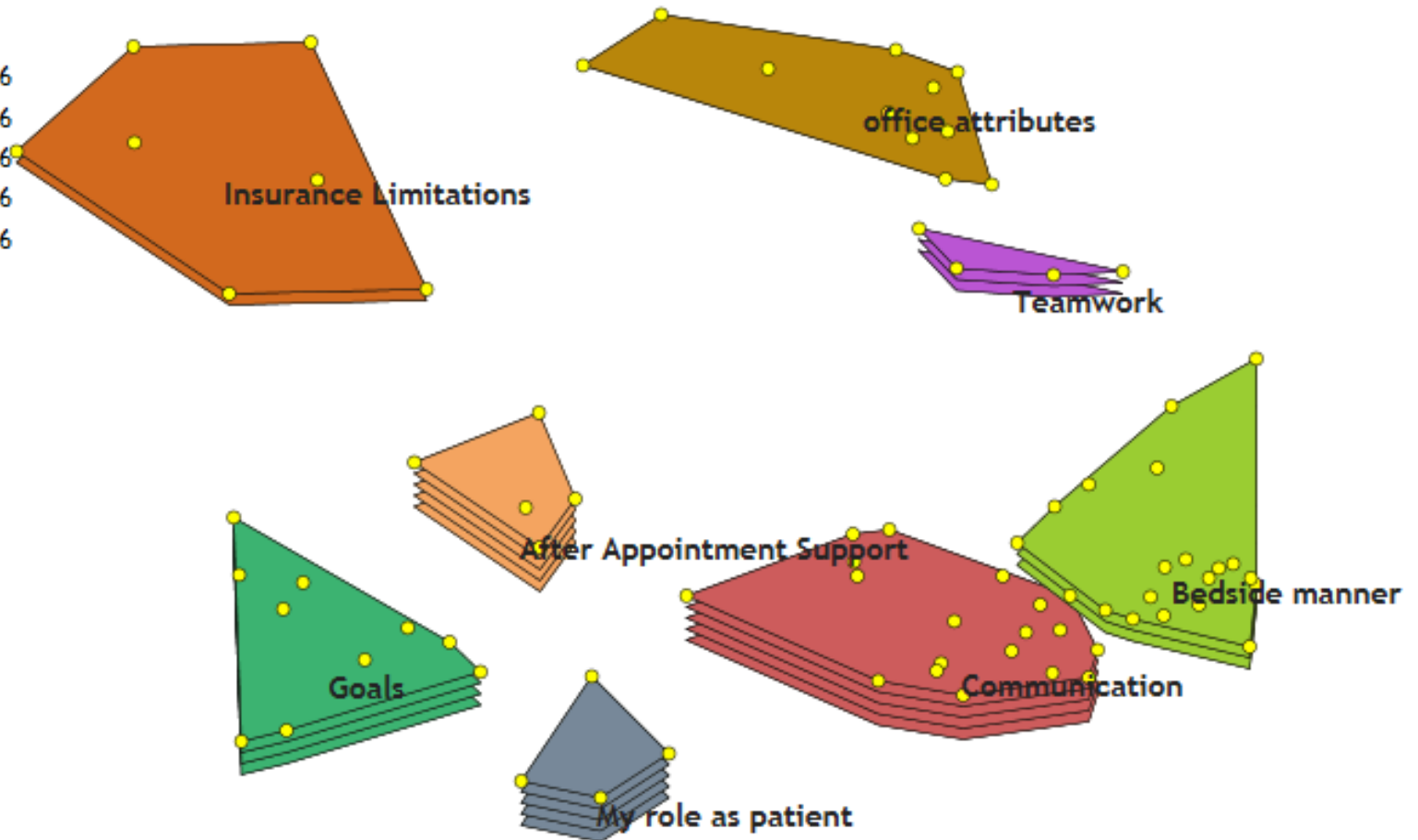
Treatments are effective

My doctor/provider appreciates my input and asks my opinion

# Cluster Map (8)

## Cluster Legend

| Layer | Value        |
|-------|--------------|
| 1     | 4.15 to 4.26 |
| 2     | 4.26 to 4.36 |
| 3     | 4.36 to 4.46 |
| 4     | 4.46 to 4.56 |
| 5     | 4.56 to 4.66 |



# A New Approach

## Patient Input into Measure Development

- Innovation in PRO-PM development process tested in collaboration with the NQF Measure Incubator™
  - ▢ Provide qualitative and quantitative patient experience data
  - ▢ Perspective on outcomes of greatest importance
  - ▢ Provide context and recommendations for measurement priorities from patients' perspective
  
- Measure Incubator PRO-PM projects
  - ▢ COPD - 2,545 PLM community
  - ▢ Multiple Sclerosis - 57,198 PLM community
  - ▢ Rheumatoid Arthritis - 9,950 PLM community

# In-Depth Patient Experience Reports

*Building on PLM's experience with FDA Patient-Focused Drug Development Initiative*

- Composition of the patient community
  - *gender, age, race, ethnicity, diagnosis status, education level and insurance*
- Analysis of relevant patient data
  - *symptom severity, health related quality of life, comorbidities, medications, non-drug treatments*
- Survey results using measurement tools under consideration for performance assessment
  - *determine how these surveys match patient priorities and where gaps exist*
- Qualitative analyses of patient discussion forum posts
- Summary and recommendations

# Report for NQF Measure Incubator Rheumatoid Arthritis Expert Panel

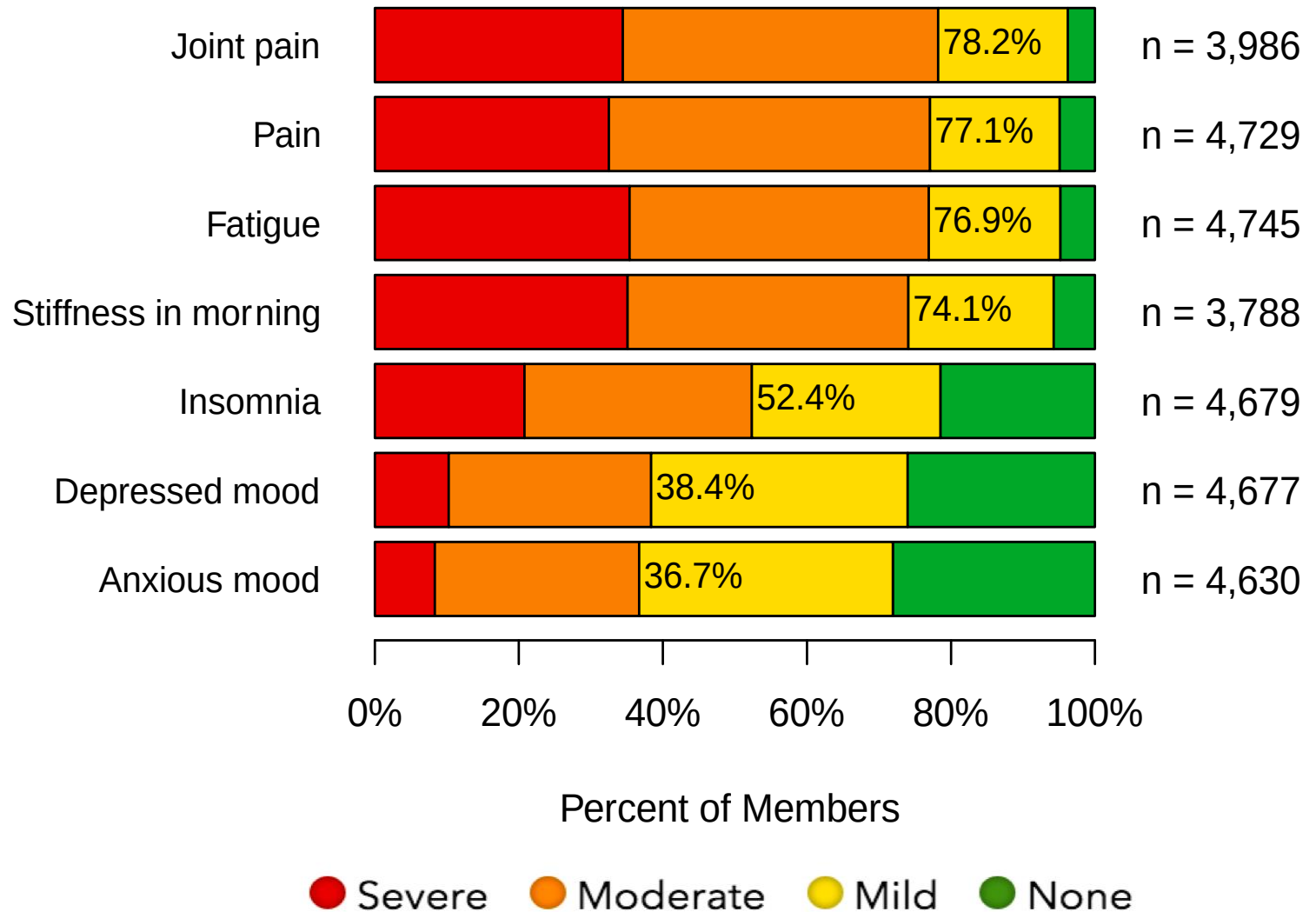
## The Voice of the PatientsLikeMe Rheumatoid Arthritis Patient

A Brief Report on Patient Perceptions of Important  
Treatment Outcomes

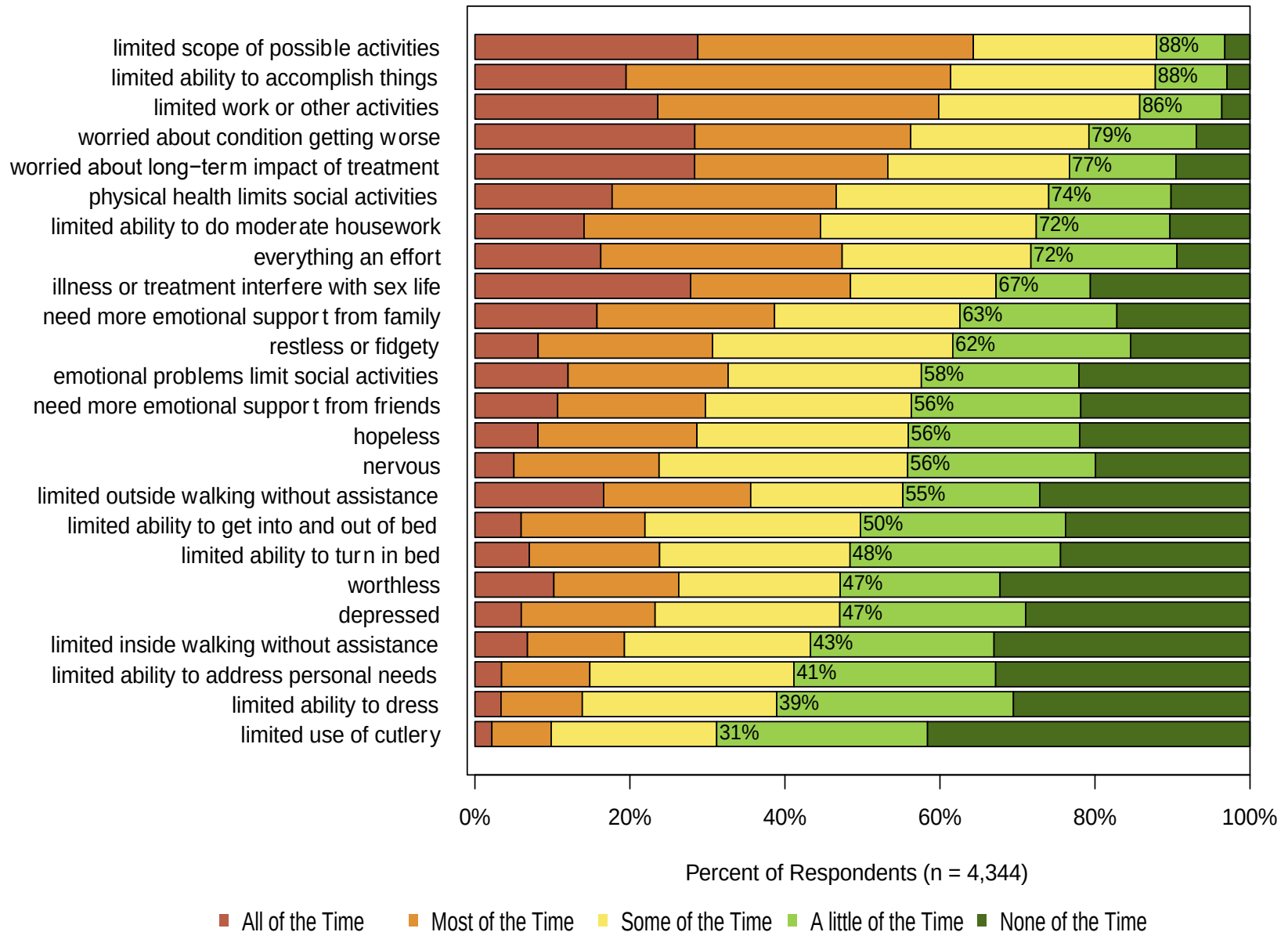
March 1st, 2017

patientslikeme®

## Most Recently Reported Symptom Severity: RA Community



## Most Recent Quality of Life Report: RA Community





# RA Participant Survey (n=109)

- Clinical questions
  - *diagnosis, disease status*
- RAPID3 15 items
  - *When your doctor is trying to understand the impact that RA has on your life, how important is it that your doctor asked this question (1-5 scale)*
- RAPID3 content feedback
  - *Overall rating, content coverage, additional feedback*
- Demographic questions
  - *gender, age, race/ethnicity, and education*

# RAPID3 Items Ranking

Table 7. Descriptive Statistics for RAPID3 Item Ratings

| Item                         | %<br>Endorsing<br>“Not” | %<br>Endorsing<br>“A Little” | %<br>Endorsing<br>“Somewhat” | %<br>Endorsing<br>“Very” | %<br>Endorsing<br>“Extremely” |
|------------------------------|-------------------------|------------------------------|------------------------------|--------------------------|-------------------------------|
| 1: Dress                     | 2.8                     | 10.1                         | 19.3                         | 33.0                     | 34.9                          |
| 2: Get in and out of bed     | 3.7                     | 10.1                         | 15.6                         | 35.8                     | 34.9                          |
| 3: Lift cup                  | 5.5                     | 11.0                         | 27.5                         | 28.4                     | 27.5                          |
| 4: Walk outdoors             | 5.5                     | 3.7                          | 15.6                         | 41.3                     | 33.9                          |
| 5: Wash/Dry entire body      | 4.6                     | 10.1                         | 22.9                         | 28.4                     | 33.9                          |
| 6: Bend down                 | 2.8                     | 11.0                         | 19.3                         | 35.8                     | 31.2                          |
| 7: Turn faucets              | 6.4                     | 13.8                         | 24.8                         | 28.4                     | 26.6                          |
| 8: Get in and out of vehicle | 3.7                     | 6.4                          | 22.0                         | 37.6                     | 30.3                          |
| 9: Walk two miles            | 5.5                     | 6.4                          | 16.5                         | 35.8                     | 35.8                          |
| 10: Recreational activities  | 4.6                     | 8.3                          | 19.3                         | 32.1                     | 35.8                          |
| 11: Good night’s sleep       | 1.8                     | 1.8                          | 10.1                         | 28.4                     | 57.8                          |
| 12: Deal with anxiety        | 2.8                     | 10.1                         | 20.2                         | 20.2                     | 46.8                          |
| 13: Deal with feeling blue   | 2.8                     | 9.2                          | 15.6                         | 29.4                     | 43.1                          |
| 14: Pain                     | 0.0                     | 1.8                          | 9.2                          | 22.0                     | 67.0                          |
| 15: General functioning      | 0.0                     | 1.8                          | 10.1                         | 26.6                     | 60.6                          |

# Qualitative Feedback: What Content is Missing

- Difficulty completing daily activities
- Social/Recreational Activities (hobbies, sexual activity, religious activities)
- Occupational/ homemaker/ caregiving
- Other health-related concerns (appetite, muscle spasm, fatigue, appearance)
- Emotional Health/wellbeing
- RA-symptoms
- Cognitive function (concentration, brain fog)
- Treatment related concerns (medications, side effects)
- Environmental impacts
- Comorbid conditions

# Qualitative Feedback: Additional

- Time period – one week
  - Place to add information
  - More targeted RA questions
  - Responses that lead to referrals (depression)
  - What happens to the information?
  - How will it inform my treatment?
- Use as a semi-structured interview
  - Vary questions depending on the severity
  - Computer adaptive technology
  - 2 participants created a tool
    - *20 items and 10 items*
    - *“Very Active Patient 1” or VAP1*

# PLM RA Discussion Forum

- Posts from Jan 2014 – Nov 2016
- 148 patients
- Average age 53.8 years
- 92% female
- 44% RA is primary condition
- Mention of outcomes/symptoms
- 377 posts analyzed and coded

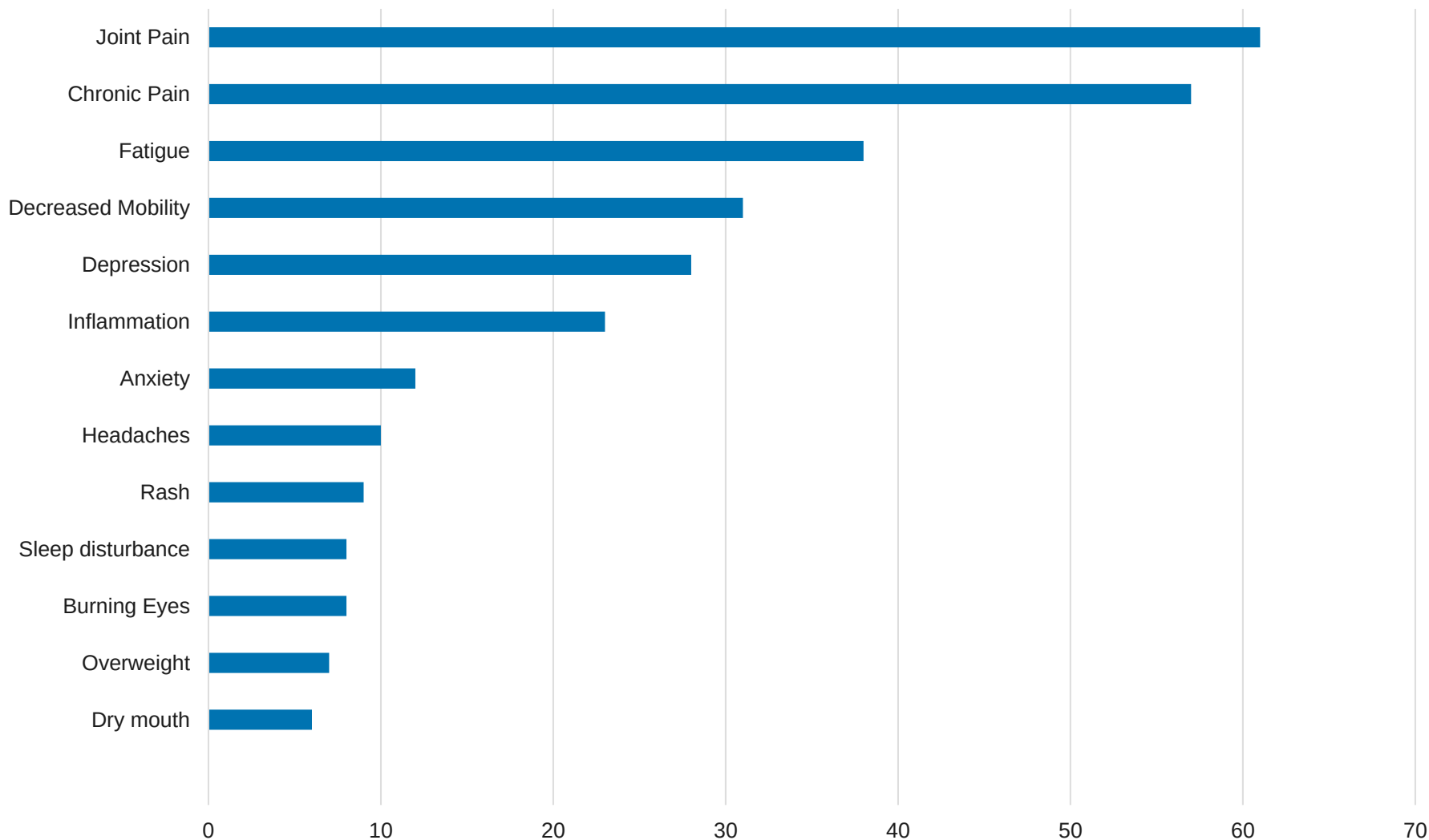
The Muscles, Bones and Joints Forum

Search this forum

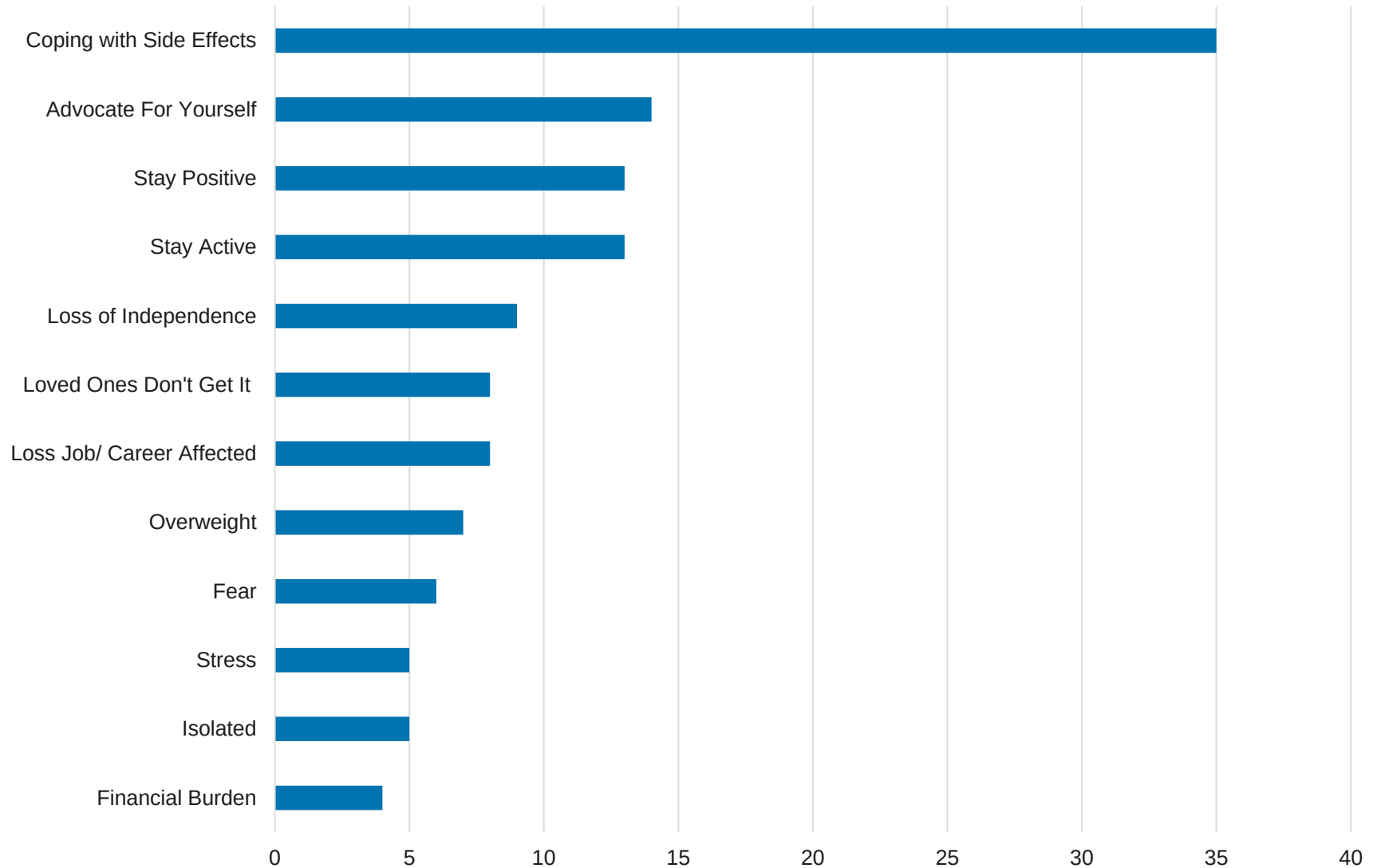
All topics Most helpful Search

| Unread | Topic                                                                                                                                                                      | Last reply                                                                                                                                                                                                                                                                                                          | Tags                                                                                             |
|--------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------|
| 1      | <p><a href="#">Male w/ RA pain in hands, shoulders &amp; feet. Numbness, swelling, &amp; joint pain in hands</a></p> <p>MathewAaron created on Mar 28, 2017</p> <p>0 0</p> | <p>Last reply about 20 hours ago by MathewAaron</p> <p>I am a 38 year old male who has been diagnosed with RA. I am an athlete and am in good physical shape aside from this diagnosis. The RA does not disturb my daily activities, but it is quite annoying/bothersome as each day is like a new adventure...</p> | <p>arm pain, Hand tingling, Muscle and joint pain, RA (Rheumatoid Arthritis), sleep help ...</p> |
| 6      | <p><a href="#">Bones and joints</a></p> <p>BossLadyLT16 created on Jan 03, 2017</p> <p>5 3</p>                                                                             | <p>Last reply 1 day ago by astig</p> <p>Hi hope you have A good day I'm from the Philippines I had some questions Hope your kind answer could help me I was diagnosed with cerrebral atrophy by before that I experience knee pains and balance problems and it's getting worse everyday it...</p>                  | <p>Osteoarthritis, RA (Rheumatoid Arthritis)</p>                                                 |
| 1      | <p><a href="#">Systemic osteoarthritis</a></p> <p>Lsnyd713 created on Mar 27, 2017</p> <p>0 0</p>                                                                          | <p>Last reply 1 day ago by Lsnyd713</p> <p>Does anyone else have OA everywhere! Both knees, both hips (plus a pincer impingement), 3 spots on my spine (cervical, thoracic and lumbar), both joints in both shoulders (also tendinosis and bursitis), and both hands. None of my doctors have...</p>                | <p>Osteoarthritis</p>                                                                            |
| 2      | <p><a href="#">Medial Nerve Block</a></p> <p>Marty64 created on Oct 08, 2016</p> <p>1 1</p>                                                                                | <p>Last reply 1 day ago by Lsnyd713</p> <p>I had a lumbar block done and it is helping a lot. I have a very good pain management team and they did a fantastic job. Unfortunately, since I have severe arthritis in all my major joints, including 3</p>                                                            | <p>stenosis</p>                                                                                  |

## Treatment Outcomes of Importance within PatientsLikeMe RA Community n=377



## Topics of Importance Regarding Quality of Life Within the PatientsLikeMe RA Community n=377



# What We are Learning

- Similarities exist across conditions in patient experience and priorities when considering health related quality of life (HRQL)
- Aggregate patient experience reports turn anecdotal input into quantifiable evidence
- Patients have very practical ideas about the value of measurement
- Relevant and easy to interpret data at point of care is necessary to support shared decision making
- Patient-centered context for measure developers is multifaceted and identifies:
  - What is meaningful to measure
  - How best to operationalize measurement
  - What are the most relevant uses of data by patients and clinicians
  - How to align with individual patient goals



# Questions for MD Workshop

- Compared to current methods of eliciting patient input, how would this approach help you?
- What additional patient experience information would help inform measure development?
- At what point in the process of measure development would you expect this information to be most helpful?
- How do you think this method of patient input could change the overall assessment of quality and performance in health care?

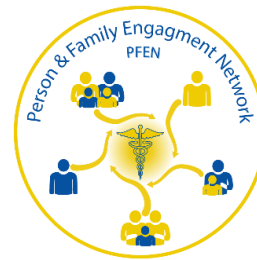
# Lunch

# Person & Family Engagement in Quality Measurement

Rachel Johnson-DeRycke  
Person & Family Engagement Team Lead  
Yale Center for Outcomes Research & Evaluation (CORE)

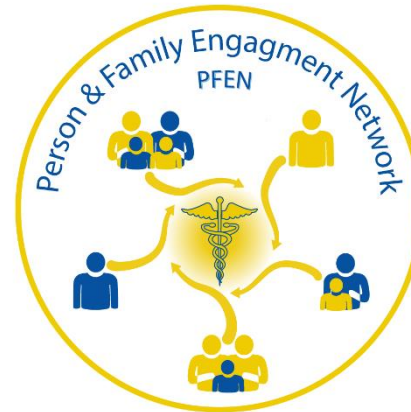
NQF Measure Developer Workshop

May 17, 2017



# Key Players

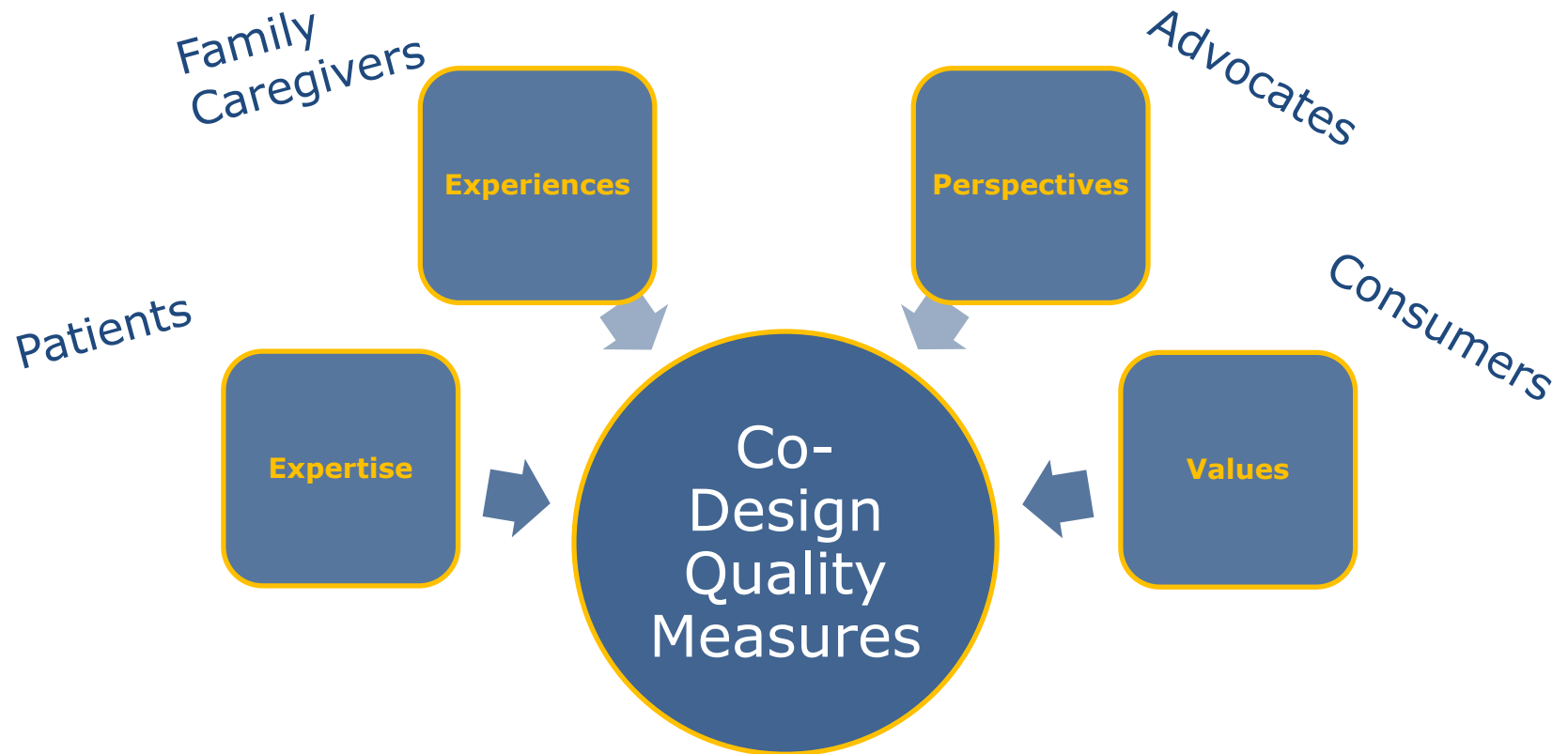
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# Definitions

|                          |                                                                                                                 |
|--------------------------|-----------------------------------------------------------------------------------------------------------------|
| <b>PFE</b>               | Person and family engagement                                                                                    |
| <b>Patients</b>          | Individuals managing a health condition who interact with the health care system                                |
| <b>Family Caregivers</b> | Individuals who assist a family member in managing their health and health care                                 |
| <b>Consumers</b>         | Individuals who have experience with the health care system but do not currently manage a health condition      |
| <b>Advocates</b>         | Individuals who work at nonprofit organizations representing a constituency of patients                         |
| <b>PFE Partners</b>      | Patients, family caregivers, consumers, and advocates who collaborate with CORE on quality measurement projects |
| <b>PFEN</b>              | Person & Family Engagement Network, made up entirely of PFE Partners                                            |

# Persons & Families Can Shape Their Healthcare



# How PFE Partners Contribute

---

- Guide decision-making
- Recommend additional research or analysis
- Prioritize direction of measure development
- Define measure outcomes
- Create and refine tools
- Ensure measures are described and displayed so patients can understand them
- Teach us how to engage them effectively

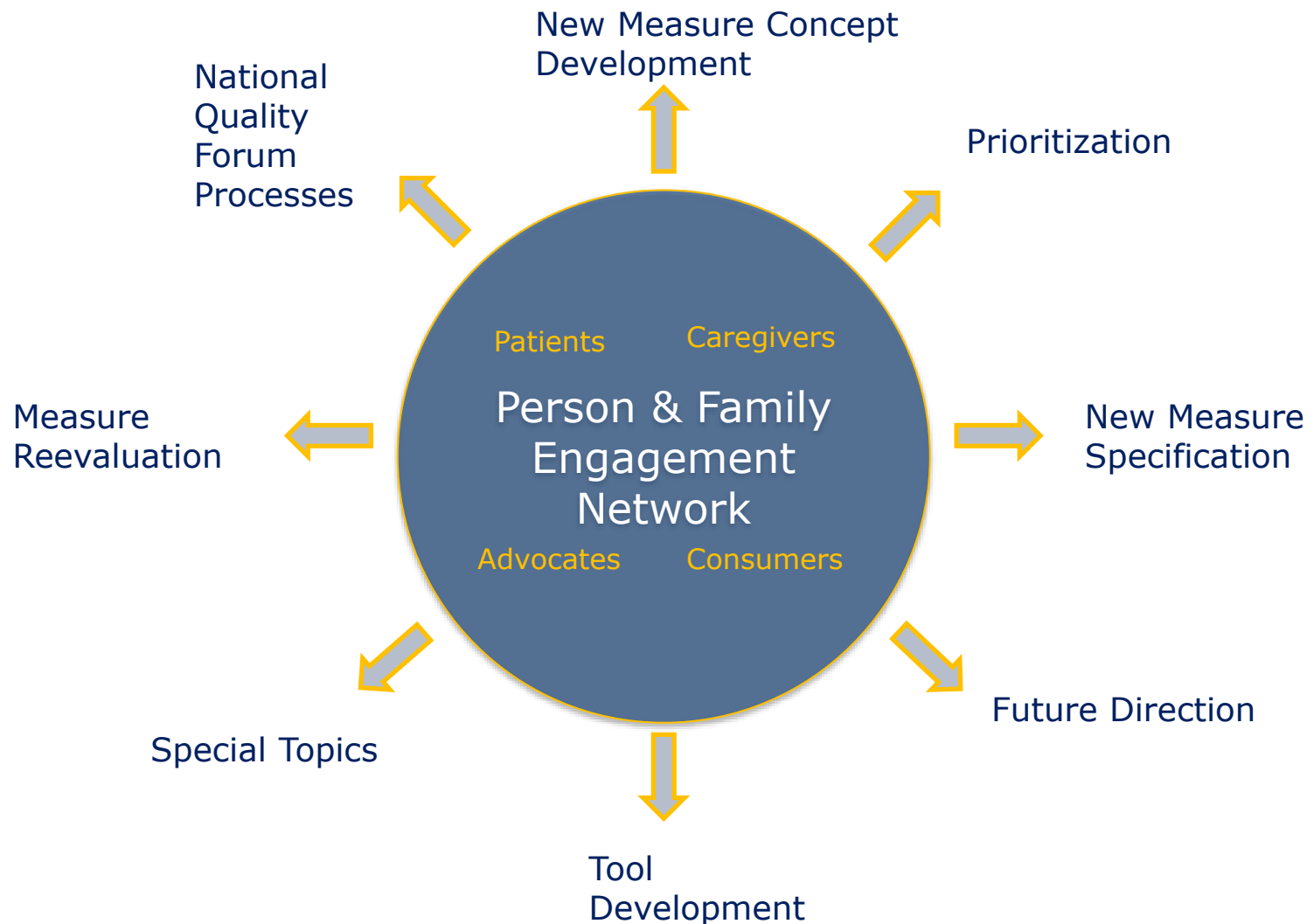
# Ways We Engage

---

- Technical Expert Panels
- Co-Development Groups
- Concept Advisory Groups
- Communication Workshops
- Surveys
- Interviews
- Discussion Forums and Webinars



# Person & Family Engagement Network



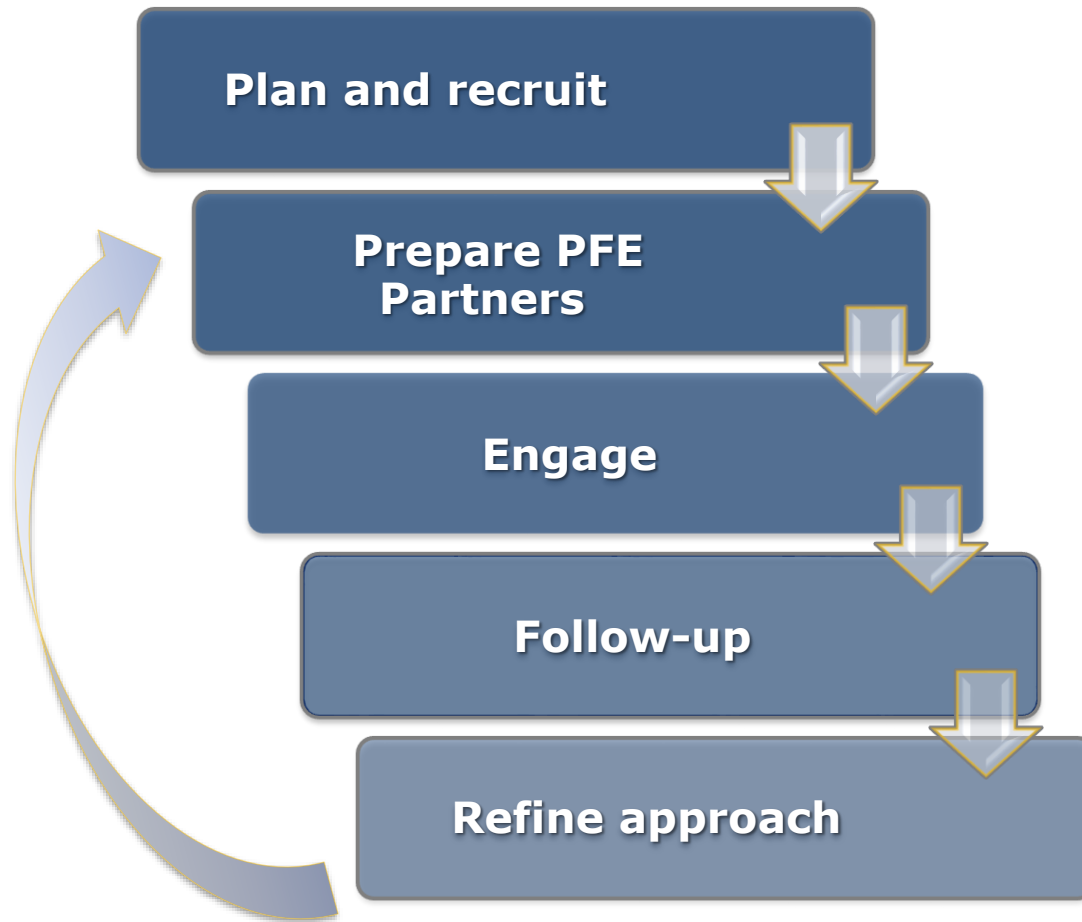
# PFE Impact

---

- Co-developed six new outcome measures
- Co-created Hospital Star Ratings methodology
- Co-developed preliminary conceptual relationship between socio-demographic factors and outcomes
- Refined, simplified, and improved patient-centeredness of *Hospital Compare* language and displays

# Engagement Process

---



# Challenges and Mitigation Strategies

---

Recruitment

Engagement

Organizational

# What PFE Partners are Saying

---

- *"I definitely feel I have made an impact. [As a patient], I felt truly listened to for the first time."*
- *"It's great to have been part of something that will ultimately change the lives of patients and their families."*
- *"This [working group] was a unique experience in my experience as an advocate, and I hope this becomes standard operating practice in the measurement process."*
- *"It was valuable to have the dynamic discussion with the working group, and see the discussion progress...It was a good process. [I felt] we moved it forward in a way that's meaningful and improved the site, made it more useable."*
- *"[I am] excited about the project, it could help ensure that consumers are consistently engaged in their health decisions."*

# What CORE Measure Developers are Saying

---

- *"Working with the patients and patient advocates has been invaluable ...The feedback we have received has directly resulted in substantial changes to the methodology."*
- *"Given the diverse and uniquely relevant experiences of the patient advocates, their contributions had broad application for improving how we communicate about...outcome measures."*
- *"We greatly benefited from having...patient advocates on our technical expert panel. They brought a perspective and expertise that incorporated a truly patient-centered voice into early measure development and complemented our other content experts."*
- *"[Patients and advocates] brought different perspectives, which was critically important, and ensured the tool included dimensions that mattered to patients."*

# Moving Forward: PFE and NQF

---

- Increase patient representation at NQF
  - PFE Committee
  - Patients on the NQF board
- Involve patients in preparing and presenting measures for endorsement
  - NQF measure submission forms
  - Co-present measures
- Encourage patient, family, advocate, and consumer involvement in endorsement processes
  - Proactive outreach about upcoming NQF meetings
  - Participation in public comment

# Questions and Discussion

---







# Hybrid Measures and the Core Clinical Data Elements (CCDE)

# Agenda

---

- Background on CORE's Measures
- CORE's Approach to Measure Development
- Measure Specification and Testing
- Future Considerations

# Why Use EHR Data in Measures

---

- Clinical data that are available and easily extracted for most patients can have broad utility in outcome measurement
  - Address provider's preference for clinical data in patient-level risk adjustment
  - Simplify development of risk-adjustment models and reduce overlap of work across developers
  - Align with CMS goals to use more clinical data in quality measures and programs

# Measures Developed

---

- We have developed 3 measures of hospital performance on patient outcomes that use EHR data
  - Hybrid AMI 30-day mortality measure (#2473)
  - Hybrid Hospital-Wide Readmission measure (#2879)
  - Hybrid 30-day stroke mortality measure (#2877)



# Hybrid Measures

---

- Use a combination of claims and EHR data
  - Claims to define the condition cohort usually for a specific set of conditions or procedures
  - Claims or other administrative data to define the outcome
  - EHR data in risk-adjustment



# Structure of Hybrid Measures

|               | Cohort                                        | Risk Adjustment                                                                           | Outcome                                                                      |   | New Risk Variables                                |
|---------------|-----------------------------------------------|-------------------------------------------------------------------------------------------|------------------------------------------------------------------------------|---|---------------------------------------------------|
| Data Source   | Claims                                        | Claims                                                                                    | Claims or Enrollment Data                                                    | + | EHR                                               |
| Data Type     | ICD codes (grouped into condition categories) | ICD codes (grouped into condition categories)                                             | ICD codes (grouped into condition categories)<br>Disenrollment from Medicare |   | Clinical data captured during the episode of care |
| Data Elements | Principal discharge diagnosis                 | Principal & secondary diagnoses<br>(12 months prior to and including the index admission) | Principal diagnoses and procedure codes<br>Disenrollment due to death        |   | First-Captured vital signs and lab test results   |

# Principles for Selecting Data

---

- Begin by using EHR data in risk adjustment
  - Responsive to stakeholder concerns that claims data are not ideal
  - Data needed for outcomes are less feasible
- Data elements must be feasibly obtainable now
- Data elements that require changes to clinical workflow should be limited to those of critical importance and should come in later stages



# Feasibility Criteria

---

- To be feasible, CORE determined that data elements must be:
  - Consistently obtained in the target population based on current clinical practice
  - Captured with a standard definition and recorded in a standard format
  - Entered in structured fields that are feasibly retrieved from current EHR systems





# NQF Criteria

---

- Developers must provide a feasibility score for all data elements with supporting evidence
  - Data availability
  - Data accuracy
  - The presence of a standard coding system
  - Data availability in routine workflow
- The feasibility of measure logic must also be assessed



# Core Clinical Data Elements

---

- Each of the hybrid measures uses a subset of 22 clinical data elements we have identified as feasible and predictive of mortality and readmission.
  - Vital signs
  - Laboratory test results
  - Must be the first value captured during the episode to reflect patients' status at the time of arrival



# Core Clinical Data Elements

| Data Elements                            | Units of Measurement | Time Window for First Captured Values |
|------------------------------------------|----------------------|---------------------------------------|
| <b>Patient Characteristics</b>           |                      |                                       |
| Age at admission                         | Years                | ---                                   |
| Gender                                   | Male or female       | ---                                   |
| <b>First-Captured Vital Signs</b>        |                      |                                       |
| Heart Rate                               | Beats per minute     | 0-2 hours                             |
| Systolic Blood Pressure                  | mmHg                 | 0-2 hours                             |
| Diastolic Blood Pressure                 | mmHg                 | 0-2 hours                             |
| Respiratory Rate                         | Breath per minute    | 0-2 hours                             |
| Temperature                              | Degrees Fahrenheit   | 0-2 hours                             |
| Oxygen Saturation                        | Percent              | 0-2 hours                             |
| Weight                                   | Pounds               | 0-24 hours                            |
| <b>First-Captured Laboratory Results</b> |                      |                                       |
| Hemoglobin                               | g/dL                 | 0-24 hours                            |
| Hematocrit                               | % red blood cells    | 0-24 hours                            |
| Platelet                                 | Count                | 0-24 hours                            |
| WBC Count                                | Cells/mL             | 0-24 hours                            |
| Potassium                                | mEq/L                | 0-24 hours                            |
| Sodium                                   | mEq/L                | 0-24 hours                            |
| Chloride                                 | mEq/L                | 0-24 hours                            |
| Bicarbonate                              | mmol/L               | 0-24 hours                            |
| BUN                                      | mg/dL                | 0-24 hours                            |
| Creatinine                               | mg/dL                | 0-24 hours                            |
| Glucose                                  | mg/dL                | 0-24 hours                            |
| Troponin                                 | ng/mL                | 0-24 hours                            |



# Other Measures

---

- We are also developing
  - Measures that use only data from EHRs
    - For cohort, risk adjustment, and outcome assessment
  - Patient-reported outcome measures
    - Will likely leverage EHRs for data collection



# Approach to Measure Development

---

- We begin with rigorous assessment of the measure concept and feasibility
  - Technical experts
  - Person and Family Engagement
  - Review the literature
  - Previous feasibility assessments



# Sources of EHR Data

---

- Development requires EHR data or a surrogate
- We have previously partnered with
  - Health IT vendors
  - Health Systems
  - Registries populated with chart abstracted data
- For hybrid measures we also require patient identifiers to link EHR data with claims



# Development Steps

---

- Initial assessment of the feasibility of measure specifications including EHR data elements
- EHR data validity testing
- Electronic specification and testing measure logic



# Initial Assessment of Feasibility

---

- Through direct testing of EHR data we establish that the data are
  - Structured (numerical or pseudo-numerical lists)
  - Captured on most patients in the target measure cohort
  - Function as expected in the measure calculation





# Initial Data Validity Testing

---

- To insure that the data we use in testing is accurate
  - Work to understand what validity checks were done by the entity with the data, or
  - Perform validity checks in real time as we build an extracted dataset
- We attempt to do this in more than 1 EHR environment



# Electronic Specifications

---

- Measure Authoring Tool (MAT) output
  - Human and machine readable logic expressed using accepted quality measurement standards
- Value sets for included data elements
  - Must be up to date and available through the Value Set Authority Center
  - Some proprietary coding systems cannot be downloaded for submission



# MAT Testing

---

- Recruit volunteer hospitals
- Train Health IT staff on the specification and assist in developing a data query
- Review output and code
- Train abstractors to identify the same data elements from the clinical record
- Assess match between extracted (by automated query) and abstracted values
  - Redundant with data element validity testing



# Considerations

---

- Is data element validity testing sufficient or must developers always test the measure logic (MAT output) as a separate step?
- What should be the standards for handling missing data in measures of performance used for accountability?
- What should the standards be for testing measure score reliability when most measures are developed and initially tested among a small number of providers?





## **Use of Electronic Health Record Data in Measure Development**

### **National Quality Forum Measure Developers Workshop**

**Colleen M. McKiernan, MSPH CPH**

*Senior Consultant, Federal Health and Human Services  
The Lewin Group*

**Madison Davidson, MPH CPH**

*Research Consultant, Federal Health and Human Services  
The Lewin Group*

# Electronic Data are Transforming Measure Development

The conventional measure development process introduces data in later stages of the lifecycle



Easy access to large electronic datasets allows us to integrate data at multiple stages in during development, transforming the lifecycle into a data-driven process

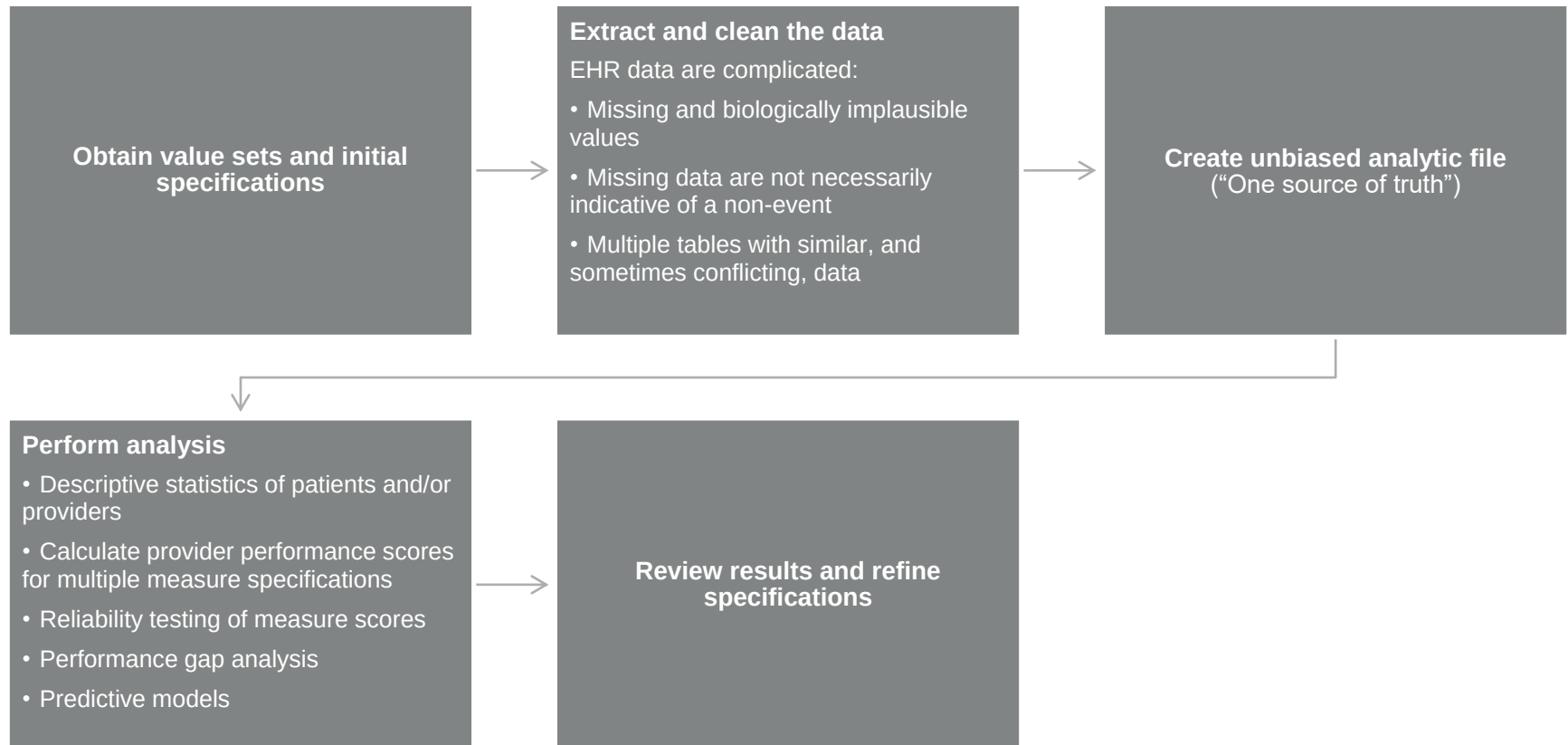


★ Data are used during this stage

# Use of Electronic Data has Benefits and Challenges

| Benefits                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | Challenges                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <p><i>Big data:</i> Samples are large, even for rare diagnoses</p> <p><i>Cost-effective:</i> Reduces need for additional data contributors (including recruitment, contracting, and abstraction costs)</p> <p><i>Easier concept evaluation:</i> Transforms measure development into a data-driven, 'fast-fail' approach</p> <p><i>Fast:</i> Analyses take weeks, not months</p> <p><i>Similar:</i> Data are similar to sources that will be used once a measure is implemented</p> | <p><i>Convenience sampling:</i> Data are an opportunity sample not designed for quality measurement</p> <p><i>Bias for contributors:</i> Natural bias is driven by the contributing EHRs, which can impact applicability of results (sociodemographic strata)</p> <p><i>Complex:</i> Analytics may be deceptively complex, making it easy to mistake precision for accuracy or validity</p> <p><i>Large samples could make the insignificant significant:</i> Because IPPs are so big, everything is statistically significant; these results may not be clinically meaningful</p> |

# Using EHR Data for Measure Development and Testing





# Draft Measure Specifications: HbA1C Targets in the Frail Elderly

---

- Due to risk of overtreatment leading to clinically significant hypoglycemic events, providers should take care when establishing HbA1c targets for management of frail or elderly patients with Type II diabetes  
(*Choosing Wisely®* | ABIM Foundation)
  - **The Challenge:** How do we collect sufficient data to explore different combinations of characteristics to identify patients appropriate for inclusion in this measure? What HbA1c score(s) signify increased risk of hypoglycemia?
  - **The Solution:** Using OptumOne data to obtain clinical history, HbA1c values, and other clinical indicators, we are able to provide a quick, cost-effective alternative to performing site testing of draft specifications

# Draft Measure Specifications: HbA1C Targets in the Frail Elderly

## OptumOne EHR Data Provided a Well-Powered Sample for Alpha Testing

|                                                            | Type II Diabetics | Type II Diabetics with Pre-Defined List of Comorbidities |
|------------------------------------------------------------|-------------------|----------------------------------------------------------|
| <i>Sample Patient Population</i>                           | 139,627           | 102,776                                                  |
| <i>Count of Unique Providers</i>                           | 18,679            | 17,798                                                   |
| <i>Count of Unique Providers with at Least 20 Patients</i> | 2,868             | 2,266                                                    |

- Use of these data allowed us to test **128 different combinations** of clinical characteristics and comorbidities to help define inclusion/exclusion criteria
- Access to these data also allowed us to test multiple numerator thresholds to refine measure specification

# QUESTIONS?



**Colleen McKiernan** | [Colleen.McKiernan@Lewin.com](mailto:Colleen.McKiernan@Lewin.com)

**Madison Davidson** | [Madison.Davidson@Lewin.com](mailto:Madison.Davidson@Lewin.com)



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# Trial Use Program for eMeasures

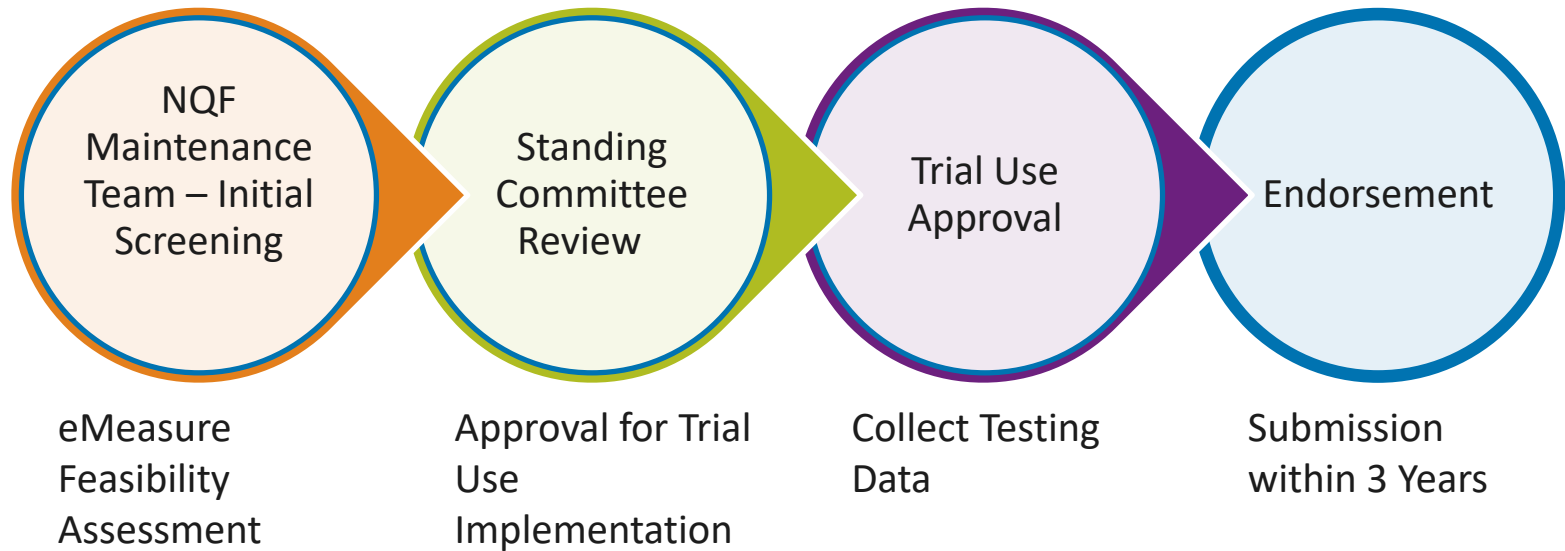
Kyle Cobb, Senior Director, NQF

*May 17, 2017*

# The Need

- Interest in developing more eMeasures for Federal programs and obtaining NQF endorsement
- eMeasure may need to be more widely implemented to meet NQF endorsement criteria
- Trial Use Program is specifically for these type eMeasures ready for implementation, but cannot yet be adequately tested.

# Trial Use Approval -> Endorsement



# Screening Criteria

- ✓ Meet all criteria under *Importance to Measure and Report*
- ✓ eMeasure Feasibility Scorecard
- ✓ eMeasure Testing Form for Trial Use with
  - *results from BONNIE Tool simulated data set, or*
  - *test data set from another source*
- ✓ Plan for future use and discussion of how the measures will be useful for accountability and improvement
- ✓ Identification of related and competing measures and a plan for harmonization or justification for developing a competing measure

# Trial Use Approval

| NQF Criteria for Evaluation                    | eMeasure Testing Challenges | Trial Use Approval Evaluation Difference      |
|------------------------------------------------|-----------------------------|-----------------------------------------------|
| Importance to Measure and Report               |                             |                                               |
| Scientific Acceptability of Measure Properties | ✓ Testing in 3 EHRs         | ✓ Reliability 2a1 only<br>✓ Validity 2b1 only |
| Feasibility                                    | ✓ Implementation readiness  |                                               |
| Usability and Use                              |                             |                                               |
| Related and Competing Measures                 |                             |                                               |



# Endorsement Evaluation Options

- Approved for Trial Use eMeasures may be submitted for endorsement at any time prior to the three-year expiration.
  - **Option 1:** *Submit and evaluate only the Scientific Acceptability of Measure Properties criterion, including the final eMeasure specification and all testing. If endorsed, endorsement date will assume the Approval for Trial Use date.*
  - **Option 2:** *Submit and evaluate on all criteria. If endorsed, a new endorsement date will be identified and endorsement maintenance will be scheduled from the new endorsement date, at which time it will be submitted for endorsement maintenance and subject to evaluation on all criteria.*

# Break



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# **Scientific Acceptability: Expectations and Examples**

Karen Johnson, Senior Director, NQF

*May 17, 2017*

# Scientific Acceptability of Measure Properties

Extent to which the measure, as specified, produces consistent (reliable) and credible (valid) results about the quality of health care delivery

## 2a. Reliability (must-pass)

*2a1. Precise specifications*

*2a2. Reliability testing—data elements or measure score*

## 2b. Validity (must-pass)

*2b1. Specifications consistent with evidence*

*2b2. Validity testing—data elements or measure score*

*2b3. Justification of exclusions—relates to evidence*

*2b4. Risk adjustment—typically for outcome/cost/resource use*

*2b5. Identification of differences in performance*

*2b6. Comparability of data sources/methods*

*2b7. Missing data*

# Questions that a consideration of scientific acceptability helps us to answer

- Are the specifications clear so that everyone will calculate the measure in the same way?
- Are the specifications consistent with the evidence?
- Is the variation between providers primarily due to real differences? Or is it because there is a lot of "noise" in the measurement?
- Is the measure actually measuring what it is intended to measure (i.e., quality of care)?
- Do the results of the measurement allow for correct conclusions about quality of care?

# Why is this important? Consider potential consequences of...

- Inconsistent measurement
- Inaccurate measurement
- Measurement that cannot differentiate between providers
- Measurement that leads to wrong conclusions about quality of care

# Considerations

- Reliability and validity are not all-or-none properties
  - *They are a matter of degree*
- Reliability and validity are not static
  - *They vary with different conditions of using the measure*
- NQF allows flexible testing options (not prescriptive)
  - *Level of testing, data used in testing, methods of testing*
- NQF does not set specific thresholds for R/V
  - *Results should be within acceptable norms*
- Testing can be done on samples
- Prior evidence may be used as appropriate

# Reliability



# NQF Reliability Criterion – *Goal is to have consistent and precise measurement*

## **Criterion #2: Scientific Acceptability of Measure Properties**

### **2a. Reliability (must-pass)**

*2a1. Precise specifications*

*2a2. Reliability testing—data elements or measure score*

### **2b. Validity (must-pass)**

# Consistent (repeatable/reproducible) measurement

- Requires precise, unambiguous, and complete **specifications**
  - *Requirements vary based on type of measure*
- Can be demonstrated through empirical reliability testing of the **data elements**

# Testing Data Elements for Reliability

- Uses patient-level data
- Needed for all critical data elements (not just computed score)
  - *At a minimum, numerator, denominator, exclusions*
- If based on instrument or scale (e.g., PRO-PM), reliability of the instrument or scale (e.g., internal consistency, test-retest analysis)
- If data element validity has been demonstrated, then additional demonstration of data element reliability is not required

# Testing Data Elements for Reliability

- What we often get (for measures not based on instruments)
  - *Percent agreement (only)*
  - *Kappa statistics*
- What we'd like to see
  - *Kappa statistics or ICCs*
  - *Others??*

# Testing Data Elements for Reliability

- What we sometimes get
  - ▢ *Very little explanation of the method*
  - ▢ *One value, with no explanation*
  - ▢ *No interpretation*
- What we'd like to see
  - ▢ *Detailed explanation of method*
  - ▢ *Values for all critical data elements—and if missing, why*
  - ▢ *Interpretation (e.g., use of Landis and Koch classification)*
    - » If values not great, speculate on why not; re-testing is expected

# Not-So-Recent Example: Improvement in Ambulation -- Sample

- **Dataset:** Medicare Home Health Outcome and Assessment Information Set (OASIS), March-June 2009
- **Entities:** 20 home health agencies representing various types, locations, and sizes were included in the testing.
  - *Agencies were recruited through national and state associations and were selected based on capacity to do the reliability study*
  - *Ownership: 4 private, for-profit; 2 public for-profit chain; 6 private nonprofit; 1 health dept.; 5 hospital-based; 2 visiting nurse associations*
  - *Location: 4 states (AZ, MO, NY, TX)*
  - *Size: Less than 10,000 visits/year (n=3); 10,000-30,000 (n=10); greater than 30,000 (n=7)*
- **Patients:** 20-40 patients per agency, for a total of 500 patients
  - *Patient case-mix characteristics in the testing sample were similar to national averages with few significant differences*

# Not-So-Recent Example: Improvement in Ambulation -- Methods

- **Inter-rater reliability** was assessed for the critical data elements used in this measure to determine the amount of agreement between 2 different nurses' assessments of the same patient.
- Patients were **randomly** selected from the planned visits for start or resumption of care and discharge assessments for each day of the study.
- The first nurse assessed the patient on the usual visit. The second nurse visited the patient and conducted the same assessment within 24 hours of the first assessment so as not to confound differences in assessment with real changes in the patient.
- Data analysis included:
  - *Percent agreement*
  - *Kappa statistic to adjust for chance agreement for categorical data*

# Not-So-Recent Example: Improvement in Ambulation -- Results

| Data Element                                                                  | N   | Percent Agreement | Kappa |
|-------------------------------------------------------------------------------|-----|-------------------|-------|
| Functional status score for ambulation                                        | 500 | 85%               | 0.62  |
| Functional status score for ambulation prior to this start/resumption of care | 495 | 83%               | 0.55  |
| Primary diagnosis major diagnostic category                                   | 500 | 90%               | 0.70  |
| Pain scale                                                                    | 500 | 88%               | 0.69  |
| Location prior to this start/resumption of care                               | 500 | 91%               | 0.72  |



# Not-So-Recent Example: Improvement in Ambulation -- Interpretation

- A statistical measure of inter-rater reliability is Cohen's Kappa which ranges generally from 0.0 to 1.0 (although negative numbers are possible) where large numbers mean better reliability and values near zero suggest that agreement is attributable to chance alone
- Landis & Koch, 1977 offers the following classification of Kappa Interpretation
  - $< 0$  *Poor agreement*
  - $0.00 - 0.20$  *Slight agreement*
  - $0.21 - 0.40$  *Fair agreement*
  - $0.41 - 0.60$  *Moderate agreement*
  - $0.61 - 0.80$  *Substantial agreement*
  - $0.81 - 1.00$  *Almost perfect agreement*
- The results of our inter-rater analysis ranged from Kappa = 0.55 to 0.72. All values except one were in the range of substantial agreement and one was in the range of moderate agreement, demonstrating acceptable reliability of the assessment data used in the performance measure

# Precise measurement

- Measurement that allows one to **differentiate between providers**
  - *Critical for accountability applications*
- Is demonstrated through empirical reliability testing of the **measure score** (the results of the measure calculation)
  - *Uses data that have been aggregated across providers*
  - *Must be tested for the measure as specified*
    - » For example, if specified at the clinician and hospital levels of analysis, must have testing for both

# Testing Measure Scores for Reliability

- What we often get (for measures not based on instruments)
  - *Signal-to-Noise analyses*
    - » Based on beta-binomial model
  - *Split-sample correlations*
  - *Stability analysis (results over time)*
- What we'd like to see
  - *Signal-to-Noise analyses*
    - » Various methods (beta-binomial; ANOVA)
  - *Other??*

# Testing Measure Scores for Reliability

- What we sometimes get
  - *Very little explanation of the method*
  - *Results that exclude low-volume providers*
  - *No interpretation*
- What we'd like to see
  - *Detailed explanation of method*
  - *Testing for measure as specified*
    - » If you are not excluding low-volume providers from the measure, do not exclude them from testing
  - *Reliability estimates for providers of different sizes*
  - *Interpretation*
    - » If values not great, speculate on why not; re-testing is expected

# Validity

# NQF Validity Criterion – *Goal is to make valid conclusions about quality*

## **Criterion #2: Scientific Acceptability of Measure Properties**

**2a. Reliability (must-pass)**

**2b. Validity (must-pass)**

*2b1. Specifications consistent with evidence*

*2b2. Validity testing—data elements or measure score*

*2b3. Justification of exclusions—relates to evidence*

*2b4. Risk adjustment—typically for outcome/cost/resource use*

*2b5. Identification of differences in performance*

*2b6. Comparability of data sources/methods*

*2b7. Missing data*

# NQF Validity Testing Requirements

*Source: 2016 Measure Evaluation Criteria and Guidance for Evaluating Measures for Endorsement, pp 15-16*

**2b2. Validity testing** demonstrates that the measure **data elements** are correct and/or the **measure score** correctly reflects the quality of care provided, adequately identifying differences in quality. For **PRO-PMs and composite performance measures**, validity should be demonstrated for the computed performance score.

**NOTE:** Validity testing applies to both the data elements and computed measure score. Validity testing of **data elements** typically analyzes agreement with another **authoritative source** of the same information. Examples of validity testing of the **measure score** include, but are not limited to: **testing hypotheses** that the measures scores indicate quality of care, e.g., measure scores are different for groups known to have differences in quality assessed by another valid quality measure or method; **correlation** of measure scores with another valid indicator of quality for the specific topic; or **relationship** to conceptually related measures (e.g., scores on process measures to scores on outcome measures). **Face validity** of the measure score as a quality indicator may be adequate if accomplished through a **systematic and transparent process**, by identified **experts**, and explicitly addresses whether performance scores resulting from the measure as specified can be used to **distinguish good from poor quality**.

# Validity Testing: Key Points

*Validity refers to the correctness of measurement.*

- Empirical analysis is required for the **measure as specified**
  - *Data element – assesses the correctness of the data elements compared to a “gold standard”*
  - *Measure score – assesses the correctness of conclusions about quality that can be made based on the measure scores (i.e., a higher score on a quality measure reflects higher quality).*
- Face validity of the **measure score** also is accepted



# Testing Data Elements for Validity

- Uses patient-level data
- Needed for all critical data elements (not just computed score)
  - *At a minimum, numerator, denominator, exclusions*
  - *Should expect high level of agreement*
- If based on instrument or scale (e.g., PRO-PM), validity of the instrument or scale (e.g., content validity, confirmatory factor analysis)
- If data element validity has been demonstrated, then additional demonstration of data element reliability is not required

# Testing Data Elements for Validity

- What we often get
  - *Percent agreement (only)*
  - *Kappa statistics*
- What we'd like to see
  - *Sensitivity*
  - *Specificity*
  - *Positive predictive value*
  - *Negative predictive value*

# Testing Data Elements for Validity

- What we often get
  - *Very little explanation of the method*
  - *One value, with no explanation*
  - *No interpretation*
- What we'd like to see
  - *Detailed explanation of method, with gold standard plainly stated*
  - *Values for all critical data elements—and if missing, why*
  - *Interpretation (e.g., use of Landis and Koch classification)*
    - » If values not great, speculate on why not; re-testing is expected

# Face Validity of the Measure Score

- Judgment of whether, on the face of it, the measure results appear to reflect quality of care
  - *Subjective determination*
  - *Weakest form of validity—therefore subject to challenge*
- NQF requirements
  - *Systematic assessment of the score from the measure as specified*
  - *Assesses whether measure results are an accurate reflection of performance on quality or resource use*
  - *Assesses whether measure results can distinguish good from poor performance*

# Face Validity of the Measure Score

- What we sometimes get
  - *Assessments of whether the measure is a “good idea”*
  - *Feedback on the construction of the measure*
    - » e.g., exclusions, ICD codes, etc.
  - *Feedback on the feasibility of the measure*
  - *No description of the experts involved*
  - *Little to no description of what was assessed*
  - *A general statement of results (e.g., the panel agreed the measure is valid)*
  - *No results at all*

# Face Validity of the Measure Score

- What we'd like to see
  - *Details about what was asked and how the assessment was done*
  - *A list of the experts with their credentials*
    - » NQF doesn't require a particular number of participants
    - » We'd prefer that you query individuals NOT involved in the development process
  - *Actual results of the assessment*
    - » 9 of the 10 experts strongly agreed that the measure results differentiate good from poor quality of care; none disagreed with that statement

# Empirical Testing of the Measure Score

Our thinking has evolved...

- **THEN:** *Different types of validity (e.g., predictive, criterion, concurrent, convergent, construct)*
- **NOW:** *Different ways to validate, but all involve assessing hypothesized relationship(s) of the measure results to results of another measure(s), based on knowledge of the underlying construct(s)*
- Very much a theoretical exercise
  - *Typically, not the role of a statistician (although they participate in identifying appropriate statistical testing and sample sizes and perform the mechanics of the testing)*

# Empirical Testing of the Measure Score

- **Step 1:** Link concept of interest to some other concept by a “hypothesis” or construct (a “mini-theory”)
  - *Usually there are many hypotheses than can be articulated*
  - *The hypothesis should indicate the direction of the relationship*
  - *Because the hypothesis is based on a theoretical framework, there should be some idea about the expected strength of the relationship*
- **Step 2:** Assess the “hypothesis” empirically
- **Step 3:** Examine the results of the testing
  - *Statistical significance, strength (effect size), direction*
  - *If the expected relationship is found, then it is likely that the hypothesis is sound and validity has been demonstrated to some extent*
  - *If the expected relationship is not found, then either hypothesis or measure (or both) is at fault*



# Common Methods/Statistics

- Methods/statistics used in validation include:
  - *Correlations*
  - *Regression estimates (e.g., odds ratios)*
  - *Difference between means*
  - *Sensitivity/specificity; positive (and negative) predictive values (generally used for validity testing of data elements)*
- Choice of statistic depends on purpose (test difference, test relationship), testing level (data element, performance measure score), and type of data (continuous, categorical)

# Recent Example: NICU Admission Temperature of Low-Birthweight Babies

- Hypothesis: Hospitals that have more babies with low temps at NICU admission have a higher NICU mortality rate
- Results:

|            | Proportion Cold/<br>Very Cool | Mortality |
|------------|-------------------------------|-----------|
| Hospital A | 0.344                         | 0.051     |
| Hospital B | 0.381                         | 0.104     |
| Hospital C | 0.414                         | 0.131     |

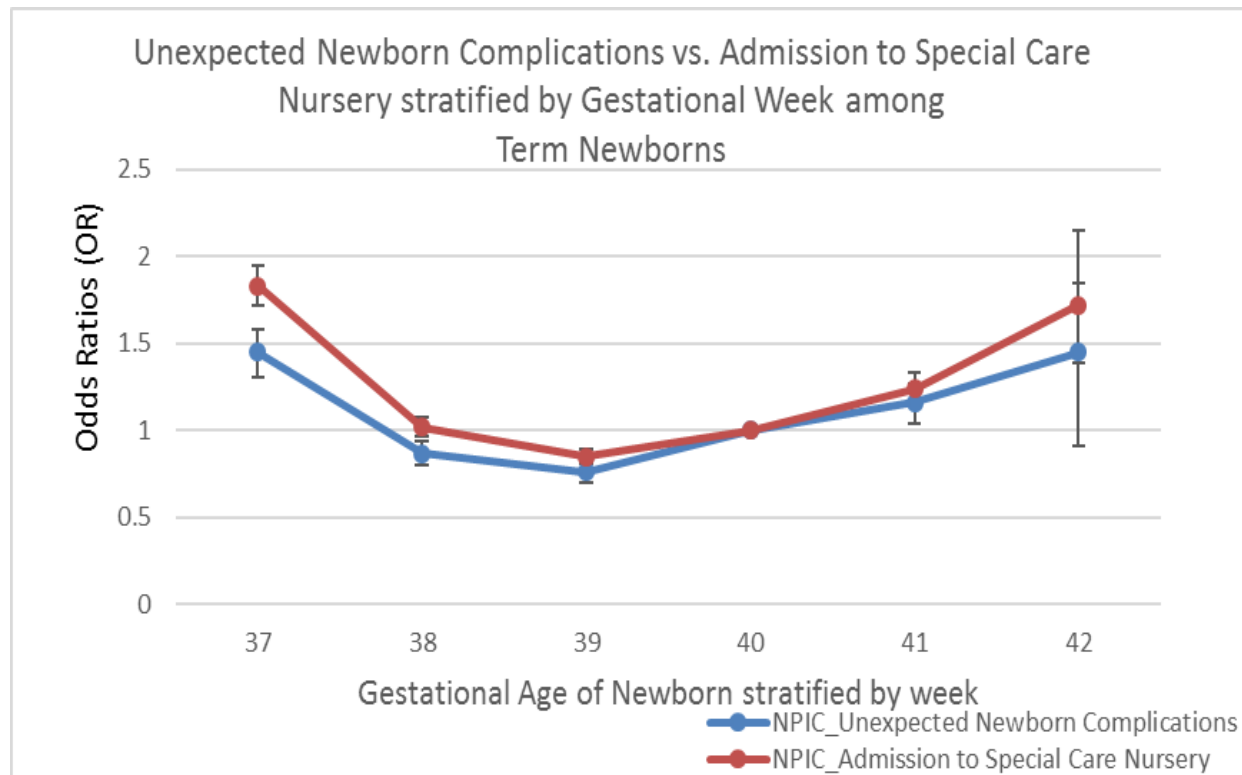
- Interpretation: Findings consistent with our expectations

# Recent Example: Unexpected Complications in Term Newborns

- Hypothesis: We predict that our measure will be highly correlated with a neonatal admission to the NICU
- Results:

| Gestational Week | Unexpected Newborn Complications* | Admission to Special Care Nursery (NICU) |
|------------------|-----------------------------------|------------------------------------------|
| 37               | 1.45 (1.32, 1.59)                 | 1.83 (1.72, 1.95)                        |
| 38               | 0.87 (0.80, 0.94)                 | 1.02 (0.97, 1.08)                        |
| 39               | 0.76 (0.71, 0.82)                 | 0.85 (0.81, 0.89)                        |
| 40               | 1                                 | 1                                        |
| 41               | 1.16 (1.05, 1.28)                 | 1.24 (1.15, 1.33)                        |
| 42               | 1.45 (1.05, 1.99)                 | 1.72 (1.39, 2.15)                        |

# Recent Example: Unexpected Complications in Term Newborns



# Recent Example: Unexpected Complications in Term Newborns

- **Interpretation:** We can therefore conclude that severe and moderate morbidity in the NPIC cohort of newborns was validated by a very similar pattern of neonatal intensive care admissions with the lowest morbidity and NICU admissions being at 39 and 40 weeks and the highest at 37 and 42 weeks.
  - *This demonstrates that our metric successfully captures and quantifies neonatal morbidity in term newborns.*
- Our results are also comparable to published studies on the differences in neonatal morbidity by gestational week (3-4) with the lowest adverse neonatal outcomes occurring at 39 and 40 weeks of gestation compared with later weeks of term gestation.
- Additionally, in a separate test of correlation, both sets of odds ratios demonstrated high positive correlation further reinforcing the results and conclusions.

# Empirical Testing of the Measure Score

- What we often get
  - *Naming of a method*
    - » e.g., predictive, concurrent, convergent, construct validity
  - *A correlation table, but no explanation and little interpretation*
- What we'd like to see
  - » Description of the hypothesized relationships
    - *Which other measures? Why? Expected direction? Expected strength?*
  - » Interpretation of findings
    - *How does (or doesn't) this validate a measure?*

# Considerations for Evaluation of Validity Testing

- Level of testing
  - *Patient-level data*
  - *Provider-level performance measure score*
- As with reliability, validity is not an not all-or-none property: it is a matter of degree
  - *Therefore, evaluation of validity requires judgment*
    - » Appropriate method
    - » Adequate sample (representative, numbers, patients & entities)
    - » Adequate results (within norms)
    - » Threats adequately assessed and accounted for
- Validity is not static: it can vary with different conditions of using the measure
  - *NQF requires a minimal demonstration of validity*
  - *Understanding that validity “in the field” may be less than what is seen in testing*

# Extent of Validation

- Often, demonstration of validity should come from a series of studies
  - *More studies needed if no gold standard*
  - *More studies (with expected results) strengthens evidence of validity*
    - » As with clinical evidence, validity is built over time with multiple studies
  - *Even one study with unexpected results with respect to a gold standard may invalidate a measure*
- NQF criteria also require consideration of potential threats to validity (e.g., exclusions)



# Threats to Validity

- Patients inappropriately excluded from measurement
- Differences in patient mix (for outcome and resource use measures)
- Measure scores that are generated with multiple data sources/methods
- Systematic error
  - *Systematically missing data*
  - *Systematically “incorrect” data*
  - *Both can be intentional or unintentional*

# Threats to Validity: What We'd Like To See

- Exclusions
  - ▢ *At minimum, frequency of occurrence*
  - ▢ *Variability across measured entities*
  - ▢ *Preferably, sensitivity analysis of results with and without exclusions*
- Risk-adjustment (for outcome, resource use, some process measures)
  - ▢ *Empirical analysis to demonstrate that it isn't needed **OR***
  - ▢ *Conceptual and empirical approach*
    - » Conceptual rationale for SDS factors, even if SDS factors not ultimately included in the approach
  - ▢ *Discrimination and calibration statistics*

# Threats to Validity: What We'd Like To See

- Meaningful differences
  - *At minimum, demonstrate variation among measured entities*
  - *Statistical analysis*
- Comparability if multiple data sources/methods are used
- Missing data
  - *How handled*
  - *How frequent*
  - *Demonstration that results are not biased*
    - » All particularly important for eMeasures, composite measures, or PRO-PMs

# Questions for Discussion

- Any surprises?
- Score-level reliability – additional methods?
- Data element validity
  - *Do you typically validate the data elements?*
  - *Sensitivity/specificity/PPV/NPV not common. Why not?*
- Face validity
  - *Do you think NQF should drop face validity option?*
- Empirical testing of the measure score
  - *Does thinking of this as “assessing relationships” make this any clearer?*
  - *What are some of the barriers to score-level validation?*
  - *How can these be mitigated?*
- If you could change anything we do regarding validity, what would it be?

THANK YOU



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## **Next Steps**

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# Next Steps

- May 18-19: NQF Kaizen
  - More predictable and frequent submissions, faster review, easier access to information
  - Thank you for completing the Developer Survey!
  - Look for published follow-up report/public comment period
- Look for new project announcements on the NQF website, and from [measuremaintenance@qualityforum.org](mailto:measuremaintenance@qualityforum.org)
- Measure Developer Webinar June 17 (1:00 PM ET)