comg i uneme itepoi e	ed Outcomes to Drive Multiple Sclerosis Care
<u> </u>	Draft Measure Specifications for Field Testing
	Using Patient-Reported Outcomes to Drive Multiple Sclerosis Care
Description:	Percentage of persons with MS age 18 or older who, during the measurement period, complete an initial PRO assessment in association with a clinic encounter/visit, have a care plan developed based on the PRO responses and patient priorities, and complete at least one PRO follow-up assessment within the measurement period. (For field testing this will be reassessment within 6 months)
	All patients within the denominator who complete an initial PRO assessment using a qualified tool(s)* during a clinic encounter/visit AND have a care plan developed based on the PRO responses and patient prioritization AND complete at least one follow-up PRO assessment within 6 months. (For field testing the initial assessment should occur during the first six months of the trial period and follow-up reassessment would occur within 6 months)
	All MS patients age 18 and older who have a clinic encounter/visit, except those who are excluded.
Denominator and Numerator Exclusion criteria:	 Age < 18 at time of the first clinic encounter/visit during the field test Non-English speaking and no translation of PROM available Patient declines to complete the first or follow-up PROM Patient does not complete the first or follow-up PROM even after 2 requests from provider Patient is no longer receiving care from the practice/clinic Patient encounter/visit was only for evaluation or second opinion
Measurement Period:	September 1, 2018 – August 31, 2019
Eligible Patient Population:	Patients with a confirmed diagnosis of MS (ICD-10, G35)
5	Medical Doctors, Doctors of Osteopathy, and Nurse Practitioners specializing in neurology.
Measure Scoring:	Rate/Proportion
	Higher score indicates better quality
Measure Type:	Process

*For field testing, the qualified tool is the MS-Specific Patient Reported Outcome Measure (PROM) provided in Appendix B.

MS-Specific PROM Implementation

The MS-specific PROM should be administered to all eligible patients at least twice during the measurement period ([September 1, 2018]-[August 31, 2019]), as documented in the patient's medical record. All eligible patients should have an *Initial Assessment* and *Follow-Up Assessment*, as defined below:

- **Initial Assessment**: the patient completes the MS-specific PROM at his/her first clinical encounter during the testing period. The patient may provide responses directly or an interviewer may ask the patient the questions and document the patient's responses. At least one question must have a valid response from the patient to be considered complete. The PROM should be administered up to 14 days before or at the time of the scheduled clinical visit. A care plan is developed by the provider and patient based on the patient's responses and priorities. The care plan must be documented in the patient's medical record. During field testing, collection of initial assessments will be limited to the first 6 months of the testing period.
- **Follow-Up Assessment**: the patient completes the MS-specific PROM within 6 months of his/her initial assessment. The PROM can be administered up to 14 days before or at the time of the scheduled clinical visit. Patients without a clinic visit should be contacted by the provider to request completion of the follow-up PROM. The patient may provide responses directly or an interviewer may ask the patient the questions and document the patient's responses. At least one question must have a valid response from the patient to be considered complete.
- Note: In order for a an assessment to be counted as "complete" the patient must respond to the seven core domain gateway questions along with the global QoL question (a total of 8 questions). Please see the MS-Specific PROM in Appendix B.

Administration: The MS-specific PROM should be administered to all eligible patients at least twice during the testing period. It may be administered on paper, via a computer-based data collection system, or by telephone interview. Interviewers may also assist patients who are unable to independently complete the PROM because of visual or upper extremity difficulties. Proxies may complete PROMs for patients who are unable to self-report because of cognitive deficits.

Scoring: The MS-specific PROM is intended to provide clinicians with item-level data (i.e., patient responses to individual questions). The ways in which clinicians access PROM results will vary depending on the data collection method and the site-specific clinical workflow. Acceptable methods include viewing paper-and-pencil copies, in an EHR, or in a separate data collection system.

Test Site Responsibilities

- 1. Use our qualified PROM to collect PRO-PM data on all eligible patients during the measurement period (at least 50 MS patients).
- 2. Work with NU to execute a contract and data use agreement and establish a secure data transfer protocol between site and Northwestern
 - a. Identify who will send data to NU. Data may be sent by providers or other clinical staff, or authorized institutional representatives (e.g., IT staff within a health system)
 - b. At NU, data may be shared with Dave Cella, Aaron Kaat, Cindy Nowinski, Anne Deutsch (consultant), the TBD analyst, Elijah Patten (study coordinator), and potentially informatics staff required to set up the transfer site.

3. Using the data model and codebook provided to them, send Northwestern the deidentified or masked data for the data elements summarized below.

- Date of birth (masked) or age in years at time of first clinical encounter (CE), or for individuals age 90+ a statement to that effect
- Dates (masked) for 1) initial assessment, 2) initial CE, 3) care plan (default date for this will be date of initial CE), 4) date of follow-up PRO assessment
- PRO item responses for each assessment
- Mode of PRO administration (paper-and-pencil; computer; interviewer)
- PROM respondent: patient or proxy
- Reason a PRO initial or re-assessment was not done Only for patients who did not receive a PRO assessment
- Indication that care plan was developed (Y/N checkbox)
- Indication that person with MS was asked about priorities (Y/N)
- Practice information (e.g. number of providers)
- Clinical information (e.g. MS subtype; co-morbidities, treatment)
- Other demographics (e.g. sex, race, ethnicity)
- Unique identifier for each patient to provide linkage between data from initial and follow-up PRO assessments
- 4. For e-Measure evaluation, sites will provide information on
 - a. data element availability in their EMR,
 - b. source of data element and,
 - c. how collection of the data elements fit into their clinical workflow.
- 5. Optional: To conduct a chart review for the purpose of auditing the care plan data element

Use this section	nts and Field Specifications ion to build your data submission umn order, definitions, example	on. The specifications contain detailed information es, and appropriate formatting.	regarding ea	ich column in th	e submission file,
Column	Field Name	Notes	Required	Format	Example
A	Clinic_ID	Identifier of clinic site	Yes	alphanumeric	NU-Neurology
В	Patient_ID	Sequentially-numbered identifier within a clinic site	Yes	numeric	1
С	DOB_shift	Shifted Date of Birth; January 1st of year of birth; patients should be no older than 90 years of age (i.e., 01/01/1929 is the earliest acceptable shifted DOB)	Yes	01/01/уууу	01/01/1955
D	Patient_Gender	1=Male; 2=Female; 3=Other or Unknown	Yes	numeric	2
Е	Assessment_Number	1=Baseline; 2=Follow-up	Yes	numeric	1
F	EncounterDate_shifted	Shifted date of baseline clinic visit or shifted date of follow-up PROM completion = Encounter date - [DOB - January 1st]. For example: a March 3rd DOB is 62 days (including the 3rd) from January 1st in a non- leap-year-of-birth. If a clinical encounter occurs on $10/10/2018$, the date shifted encounter = $10/10/2018 - 62$ days, which is 8/9/2018.	Yes	mm/dd/yyyy	8/9/2018
G	МОА	Mode of administration - 1=Electronic; 2=Paper; 3=Interview; 4=Telephone	Yes	numeric	3
Н	Respondent	1=Self; 2=Proxy	Yes	numeric	1

Column	Field Name	Notes	Required	Format	Example
Ŧ		1=PROM completed during a clinical encounter; 2=PROM completed before clinical	N		
l	PROM_Timing	encounter (i.e. at home or community setting)	Yes	numeric	2
J	EHR_Int	Was the PROM integrated into the EHR data? (1=Yes; 2=No)	Yes	numeric	3
К	EHR_Ext	Was the PRO data (i.e. this file) extracted from an EHR or manually entered? (1=Extracted from EHR; 2=Entered manually)	Yes	numeric	2
L	Patient_Exclusions	0 = No exclusionary criteria; $1 =$ Age < 18 at time of initial assessment; $2 =$ Non-English speaking and no translation of PROM available; $3 =$ Patient declines to complete initial or follow-up PROM; $4 =$ Patient does not complete initial or follow-up PROM even after 2 requests from provider; $5 =$ Patient is no longer receiving care from the practice; $6 =$ Patient visit was only for evaluation or second opinion.	Yes	numeric	0
		Shifted date of first active attempt by medical team to pursue PROM completion; required if patient declined after 2 contact attempts. Shifted date of contact attempts = Attempt date - [DOB - January 1st]. For example: a March 3rd DOB is 62 days (including the 3rd) from January 1st in a non-leap-year-of-birth. If a contact attempt occurs on 10/10/2018, the date shifted attempt = 10/10/2018 - 62 days, which			
Μ	ContactAttempt1_shift	is 8/9/2018.	No	mm/dd/yyyy	5/28/2018

Column	Field Name	Notes	Required	Format	Example
		Shifted date of second active attempt by medical team to pursue PROM completion; required if patient declined after 2 contact attempts. Shifted date of contact attempts = Attempt date - [DOB - January 1st]. For example: a March 3rd DOB is 62 days (including the 3rd) from January 1st in a non- leap-year-of-birth. If a contact attempt occurs on 10/10/2018, the date shifted attempt =			
N O through BZ	ContactAttempt2_shift PROM_Responses	10/10/2018 - 62 days, which is 8/9/2018. Responses to all PROM items; Standard Vocabulary [For example: 5=Without any difficulty, 4=With a little difficulty, 3=With some difficulty, 2=With much difficulty, 1=Unable to do, -9=Skipped, -8=Refused]	No Yes	mm/dd/yyyy	6/4/2018
СА	Careplan	Was a careplan developed based off of the PROM responses? [0=No plan developed; 1=Careplan developed]	Yes	numeric	1

Appendix A

PROM Rationale Outline

Project History and Stakeholder Involvement

Stakeholders, including clinicians, researchers, individuals with MS, and patient advocates, participated in this project from the beginning. A stakeholder panel was first assembled late in 2016 by the National Quality Forum (NQF) to discuss how to better create and incorporate patient-reported outcomes in the traditional care model of MS. The main recommendation that emerged from this session was the need for a performance measure paired with periodic PRO data and clinical intervention.

To implement this recommendation, NQF recruited three members from the expert panel (Drs. Cella, Deutsch and Kaat) to become developers of an MS-specific PRO measure (PROM) and a performance measure that assesses the use of an MS-specific PROM in clinical care. The remaining stakeholders have continued to contribute to the project by offering periodic input into the measure development. Specifically, in early 2018, the measure developer team convened the experts to discuss and receive feedback regarding draft versions of the PROM and performance measure. Clinician experts, in addition, have aided in the PRO item selection process by ranking items in terms of clinical actionability.

PRO Domain Selection and PROM Format

We reviewed commonly reported symptoms from the National Multiple Sclerosis Society (NMSS); PatientsLikeMe MS community survey (see the <u>NQF report</u> for more details); review of the literature on existing MS-specific PROMs; and multistakeholder input (including patients and clinicians). We identified 14 clinically relevant PRO domains. Given the heterogeneous nature of MS, both between and within individuals across time, we were pressed to balance comprehensive assessment and patient burden. We conceptualized a categorization system of "Core" and "Supplemental" domains in response to this challenge.

Our PROM (see table below) consists of seven domains which we consider "core," in that all patients are asked to complete these sections of the measure. All patients complete the six Core Domains plus a global HRQoL question. Each domain is assessed with up to four items (or questions). Assessment of Core Domains begins with a "gateway" item; the patient's response to the gateway item determines whether the patient is asked the remaining three items within that bank. Use of "gateway" questions and skip patterns are a useful way to reduce respondent burden, which increases the efficiency of the questionnaire by decreasing the number of questions that need responses. For example, if a respondent indicates s/he does not have pain, s/he can skip any follow-up questions asking about pain in terms of intensity of frequency. The use of gateway questions and skip patterns can also reduce errors, because a respondent who reports no pain is unable to provide a rating of the intensity of pain. This approach is

recommended in the <u>literature</u> for efficient questionnaire development. The use of gateway questions and skip patterns is used by the Centers for Medicare and Medicaid Services on the post-acute care assessment instruments. For example, the <u>Inpatient Rehabilitation Facility Patient</u> <u>Assessment Instrument (IRF-PAI)</u> uses this approach with several data elements, including C0100, C0600, GG0170H1, GG0170Q1, M0210.

Patients can elect to report on any Optional Domains that are of importance to them. Optional Domains do not include a gateway item. Across domains, patients can answer a minimum of 7 items and a maximum of 57 items on the MS-specific PROM. This approach is intended to minimize patient and provider burden while addressing key domains that are most important to patients with MS.

Source Measures

We relied on measurement systems with calibrated item banks to serve as our primary source measures. Using calibrated banks, scores can be generated for any subset of items. Specific items were chosen using psychometric information and clinician input on clinical relevance and actionability.

Of the existing calibrated measurement systems, we relied heavily on the <u>Neuro-QoL system</u>, given that it was developed for patients with neurological conditions and has been validated for use with MS. We also selected several <u>PROMIS measures</u>. PROMIS was developed for individuals with chronic conditions, and some measures (i.e., PROMIS MS Fatigue) have been specifically validated on individuals with MS.

A few domains were not addressed by either Neuro-QoL or PROMIS, in which case we looked to other measurement systems. We selected the Vision QOL Role Performance measure from the <u>NIH Toolbox system</u>. This IRT-calibrated measure was validated and normed in the general population. For Bladder Function, we utilized the Bladder Management measure from SCI-QoL, another IRT-based measurement system closely related to Neuro-QoL. While developed for patients with spinal cord injuries, experts considered the items also appropriate for use in MS.

Of the available assessments relating to spasticity, we selected the <u>Patient Reported Impact of</u> <u>Spasticity Measure (PRISM)</u>, which consists of 8 IRT-calibrated items. These items were developed using a combined spinal cord and MS sample.

<u>Northwestern is the authorized distributor of all Neuro-QoL, PROMIS and NIH Toolbox</u> <u>measures. We have permission to use items from the other measurement systems.</u>

Aside from the Core and Supplemental categorization, we also limit patient burden by skipping irrelevant items. Each Core domain begins with a "gateway" item. If a patient indicates no impairment on that item, the remaining items in the domain are not administered, and the patient advances to the next Core domain. If, however, a patient's response to the gateway item surpasses a certain threshold, they will receive the remaining three items for that domain.

Measure Contents

Core Domains	Minimum	Maximum	Source Measure
	Number of Items	Number of Items	
Mobility	1	4	Neuro-QoL Mobility
Cognitive function	1	4	Neuro-QoL Cognitive Function
Social function	1	4	Neuro-QoL Social Role Participation
Depression	1	4	PROMIS Depression
Pain	1	4	PROMIS Pain Interference
Fatigue	1	4	PROMIS MS Fatigue
Global HRQOL	1	1	PROMIS
Total:	7 items	25 items	
Optional		Number of	Source Measure
Domains		Items	
Sleep		4	PROMIS Sleep Disturbance 8a
Sexual function		4	PROMIS Global Satisfaction
Bowel function		4	PROMIS Constipation and Incontinence
			SFs
Bladder function		4	SCI-QOL Bladder Management
Vision (sensory)		4	NIH Toolbox Vision QOL – Role
			performance
Stiffness/spasticity		4	Patient Reported Impact of Spasticity
			(PRISM)
Anxiety		4	PROMIS Anxiety
Upper extremity		4	Neuro-QoL Upper Extremity Function
function			
Total:		32 items	
All Domains	7 items	57 items	

Appendix B

PROM (paper-and-pencil version)

PAGE 1: MOBILITY

Please respond to these questions by marking one box per row.



Continue to PAGE 2: FATIGUE

PAGE 2: FATIGUE



PAGE 3: PAIN



PAGE 4: COGNITION



PAGE 5: MOOD

Please respond to these questions by marking one box per row.



Continue to PAGE 6: BLADDER FUNCTION

PAGE 6: BLADDER FUNCTION

Please respond to these questions by marking one box per row.



Continue to PAGE 7: SOCIAL FUNCTION

PAGE 7: SOCIAL FUNCTION



PAGE 8: QUALITY OF LIFE

Excellent 5	Very good	Good 3	Fair	Poor
5			2	
	Г			
		Continue to P	PAGE 9: ADDITIC	ONAL CONCERNS
	L			

PAGE 9: ADDITIONAL CONCERNS

Would you like to tell your doctor about any of the following areas (for all selected areas, please
follow the page prompts to provide further information)?
30. Your sleep:
□ Yes, I would like my doctor to know more (<i>if selected, please complete page 10</i>)
\Box No, I would not like my doctor to know more
31. Your problems with using your hands and arms:
□ Yes, I would like my doctor to know more (<i>if selected, please complete page 11</i>)
\Box No, I would not like my doctor to know more
32. Your spasticity (problems with muscle control):
□ Yes, I would like my doctor to know more (<i>if selected, please complete page 12</i>)
\Box No, I would not like my doctor to know more
33. Your anxiety or worry:
□ Yes, I would like my doctor to know more (<i>if selected, please complete page 13</i>)
□ No, I would not like my doctor to know more
34. Your sexual function:
□ Yes, I would like my doctor to know more (<i>if selected, please complete page 14</i>)
\Box No, I would not like my doctor to know more
35. Your bowel function:
□ Yes, I would like my doctor to know more (<i>if selected, please complete page 15</i>)
\Box No, I would not like my doctor to know more
36. Your vision:
□ Yes, I would like my doctor to know more (<i>if selected, please complete page 16</i>)
□ No, I would not like my doctor to know more

If <u>"No"</u> to <u>all of the</u> <u>above</u>, you have completed the questionnaire. If <u>"Yes</u>" to <u>any of the above</u>, continue to and complete the appropriate section(s)

PAGE 10: SLEEP

In the past 7 days.				
37. I had trouble s	sleeping.			Sleep90
Never	Rarely	Sometimes	Often	Always
	□ 2			5
38. I had difficult	y falling asleep.			Sleep44
Not at all	A little bit	Somewhat	Quite a bit	Very much
1	2	3	4	5
39. I had trouble s	staying asleep.			Sleep87
Never	Rarely	Sometimes	Often	Always
1	2	3	4	5
40. My sleep was	restless.			Sleep108
Not at all	A little bit	Somewhat	Quite a bit	Very much
1	2	3	4	5

PAGE 11: HAND AND ARM FUNCTION

Please respond to these questions by marking one box per row.

in the you usic to	write with a pen or po			PFA4
Without any	With a little	With some	With much	Unable
difficulty	difficulty	difficulty	difficulty	to do
5	4	3	2	1
42. Are you able to 1	nake a phone call usi	ng a touch tone key-p	ad?	NQUEX
Without any	With a little	With some	With much	Unable
difficulty	difficulty	difficulty	difficulty	to do
5	4	3	2	
43. Are you able to j	pick up coins from a t	table top?		PFB
Without any	With a little	With some	With much	Unable
difficulty	difficulty	difficulty	difficulty	to do
5		2		
5 4 3 2 44. Are you able to turn a key in a lock?				
Without any	With a little	With some	With much	Unable
difficulty	difficulty	difficulty	difficulty	to do

PAGE 12: SPASTICITY

Over the past week				
45. My abnormal mus	scle control or invol	untary muscle move	ment bothered me a	lot. PRISM1
Never	Rarely	Sometimes	Often	Very often
true for me	true for me	true for me	true for me	true for me
0	1	2	3	4
46. My abnormal mus	scle control or invol	untary muscle move	ment made transfer	s hard PRISM6
for me or my care	giver.			
Never	Rarely	Sometimes	Often	Very often
true for me	true for me	true for me	true for me	true for me
0	1	2	3	4
47. My abnormal mus	scle control or invol	untary muscle move	ment made me feel	PRISM4
frustrated.				
Never	Rarely	Sometimes	Often	Very often
true for me	true for me	true for me	true for me	true for me
0	1	2	3	4
48. My abnormal mus	scle control or invol	untary muscle move	ment interrupt my s	sleep. PRISM8
Never	Rarely	Sometimes	Often	Very often
true for me	true for me	true for me	true for me	true for me
0	1	2	3	4

PAGE 13: ANXIETY OR WORRY

49. I felt anxious.				EDANX05
Never	Rarely	Sometimes	Often	Always
1	2	3	4	5
50. I felt tense.				EDANX54
Never	Rarely	Sometimes	Often	Always
1	2	3	4	5
51. I felt like I nee	ded help for my a	nxiety.		EDANX07
Never	Rarely	Sometimes	Often	Always
1	2	3	4	5
52. I found it hard	l to focus on anyth	ing other than my anx	iety.	EDANX40
Never	Rarely	Sometimes	Often	Always
				- -

PAGE 14: SEXUAL FUNCTION

	-	your sex life?		SFSAT101
Not at all	A little bit	Somewhat	Quite a bit	Very much
1	2	3	4	5
54. When you hav	e had sexual activit	ty, how much have ye	ou enjoyed it?	SFSAT105
Not at all	A little bit	Somewhat	Quite a bit	Very much
1	2	3	4	5
55. How much ple	easure has your sex	life given you?		SFSAT102
None	A little bit	Somewhat	Quite a bit	A lot
1	2	3	4	5
56. How satisfied	have you been with	your sexual relation	ship with a partner?	SFSAT104
Not at all	A little bit	Somewhat	Quite a bit	Very much
Π				

PAGE 15: BOWEL FUNCTION

Please respond to these questions by marking one box per row.

57. How often did yo could not make i	ou have bowel inco it to the bathroom i		have an accident	because you	GISX45
No days	1 day	2-3 days	4-5 days	6-7 days	
1	2	3	4	5	
58. How often did yo	ou soil or dirty you	r underwear befor	e getting to a bat	hroom?	GISX46
No days	1 day	2-3 days	4-5 days	6-7 days	
	2	3	4	5	
59. How often after a passed all your s		did you feel unfini	shed—that is, th	at you had not	GISX72
Never	Rarely	Sometimes	Often	Alway	ys
	□ 2	□ 3	4	5	
1					GISX47
¹ 60. How often did yo	ou leak stool or soil	your underwear?			GI5A4/
1 60. How often did yo No days	o u leak stool or soil 1 day	-	4-5 days	6-7 days	GISA4/

PAGE 16: VISION

Please respond to these questions by marking one box per row.

Please remember that all the questions ask about your vision while wearing any glasses or contact lenses that you usually use. Answer the questions thinking of your vision as it is when corrected by any glasses or contact lenses that you usually use. 61. Because of your eyesight, how limited are you in doing your daily activities? 49VS Unable to do Unable or limited Not limited A little bit Somewhat A lot because of in daily work because at all limited limited limited eyesight of another reason 2 5 1 3 4 6 62. Because of your eyesight, how much are you limited in how long you can work or do other 52VS activities? Unable to do Unable or limited Not limited A little bit Somewhat A lot because of in daily work because at all limited limited limited eyesight of another reason 3 4 63. Because of your eyesight, how limited are you in doing your daily work whether inside or 50VS outside the house? Unable to do Unable or limited Not limited A little bit Somewhat A lot because of in daily work because at all limited limited limited eyesight of another reason 5 2 1 3 4 6 64. Because of your eyesight, how much less do you accomplish than you would like? **51VS** Do not accomplish Accomplish Accomplish Accomplish less at all a little bit less somewhat less a lot less 1 2 3 4